

The lacquer crafting of Ba state: Insights from a Warring States lacquer scabbard excavated from Lijiaba site (Chongqing, southwest China)

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 scabbard excavated from Lijiaba site (Chongqing, southwest China)

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Abstract: The lacquer scabbard of a bronze sword dating from the Warring States 16 (476-221 BC) and excavated from a Ba tomb of the Lijiaba site (Chongqing, 17 southwest China) was characterized by a multidisciplinary approach including 18 microscopy, scanning electron microscopy-energy dispersive X-ray spectrometry 19 (SEM-EDS), and pyrolysis-gas chromatography/mass 20 Raman spectroscopy Pyrolysis-comprehensive spectrometry (Py-GC/MS). two-dimensional 21 gas 22 chromatography/mass spectrometry (Py-GCxGC/MS) has been applied for the first 23 time for the characterization of a valuable archaeological lacquer sample confirming the benefits of this technique for the description of the ingredients used in the 24 formulation of the lacquer coating. Besides, the analytical results also showed that, in 25 accordance with what is suspected from the lacquer technique employed at this period, 26 27 two lacquer film layers and a bone-derived ground layer were present as confirmed by 28 the characterization of hydroxyapatite particles (Ca₅(PO₄)₃OH) of different sizes. Py-GC/MS analysis allowed identification of the origin of the scabbard lacquer as a 29 Toxicodendron vernicifluum lacquer and detection of potential drying oil markers, 30 while Py-GCxGC/MS analysis revealed the presence of a series of polyaromatic 31 32 hydrocarbons (PAHs) compounds non-characterizable by 1D GC/MS analysis. This latter observation highlights the use of soot attributed to a plant origin in conjunction 33 with bone for the manufacture and coloring of the lacquers. 34

Key words: *Ba* ethnic group, Lijiaba site, lacquer scabbard, Asian lacquer,
 Toxicodendron vernicifluum, Py-GCxGC/MS, PAHs characterization

37 **1. Introduction**

Lacquer is a natural product which is polymerized by laccase enzyme and has been 38 used as coating materials for wooden crafts, ceramics, leather and metal artifacts since 39 the Neolithic period (Zhai et al., 2021). Lacquer sap usually contains urushiol, plant 40 gum, laccase, nitrogen substance, and water. Different lacquer trees, depending on 41 their locations of growth, have been traditionally used for lacquer making in the 42 countries where lacquer artworks have been developed (Lu et al., 2012). Globally, the 43 Asian lacquer reported as *shengqi* (in China) and *urushi* (in Japan) is a sap collected 44 from lacquer trees of the Toxicodendron vernicifluum (Stokes) F. A. Barkley species, 45 for which the principal component is urushiol (C15 unsaturated hydrocarbon chains at 46 the 3-position of the catechol ring). Vietnam's and Taiwan region's lacquer consists 47 48 in a sap exuded from lacquer trees of the Toxicodendron succedaneum (L) Kuntze species, where the principal component is laccol (C17 unsaturated hydrocarbon chain 49 at the 3-position of the catechol ring). In some part of southern China, Toxicodendron 50 succedaneum has also been reported as lacquer sap origins (e.g., in the Guangxi 51 province that is close to Vietnam) (Wan et al., 2007; Heginbotham et al., 2016). 52 53 Myanmar, Laos, Cambodia, and Thailand lacquers consist of a sap exuded from *Gluta* usitata (Wall.) Ding Hou lacquer tree species, and their principal component is thitsiol 54 (4- and/or 3-substituted catechol derivatives with 17 carbon chains) (Ma et al., 2014). 55 The polyhydroxy phenols (urushiol, laccol, thitsiol) are the main compositions of 56 lacquer and their chemical differences can be intentionally used to differentiate the 57 origin of lacquer sample. 58

As a cross-linked polymer, Lacquer film is insoluble in most solvents and only a few 59 analytical techniques are appropriate for its analysis. Pyrolysis-gas 60 chromatography/mass spectrometry (Py-GC/MS) is effective and mostly used for 61 lacquer film analysis due to its minimum quantity required, easy sample preparation 62 and effective in lacquer origin tracing. Typical pyrolysis products issued from lacquer 63 samples are principally alkanes, alkenes, alkylbenzenes, alkylphenols and 64 alkylcatechols products (Lu et al., 2012 and references cited therein). If different 65 patterns in the resulting chromatograms can be observed depending on the pyrolysis 66 system used for lacquer analysis (Le Hô et al., 2012), basically, these products are still 67 present and represent the usual markers of origin for the characterization of lacquer 68 samples (Ma et al., 2014). Consequently, by using an extracted ion chromatogram 69 70 procedure for the treatment of mass spectrometry data, it is possible to identify the 71 components of the lacquer saps to determine the origin of the lacquer samples.

Although lacquer has a long history in China (Zhai et al., 2021) and some researches
have disclosed on the ancient lacquer in other areas in China (Jin et al., 2012; Wei et

al., 2011; Jin et al., 2017; Hao et al., 2017; Fu et al., 2020; Zheng et al., 2020; Xiao et
al., 2020), there is no specific research dedicated to the lacquer craft of marginal area
(e.g., the *Ba* State in the southwest part of China). Furthermore, the beneficial of
GCxGC/MS separation of complex additives in lacquer crafting has not yet been
explored in archaeological samples except our previous work on pure modern lacquer
film (Okamoto et al., 2018).

Ba group was an ethnic group living in southwest China, upper stream of the Yangtze 80 River during a period extending from the Shang Dynasty to the Qin Dynasty (ca.1600 81 BC-221 BC). The associated Ba State developed a stronger power in the Spring and 82 Autumn period (770-476 BC) which continued during the Warring States (476-221 83 BC). Ba State had close cultural and economic exchanges as well as military conflicts 84 85 with its neighboring Chu State (Bai, 2020). Some typical sites of Ba culture in the Chongqing area such as the Shuangyantang site in Wushan, the Lijiaba site in 86 Yunyang (Fan and Freestone, 2017), the Yujiaba site in Kai county (Fan et al, 2020), 87 and the Xiaotianxi site in Fuling were unearthed through archaeological discoveries. 88 Among them, the Lijiaba site (Fig.1), located on the eastern bank of the Pengxi river 89 (a branch of the Yangtze River) is known as the regional center of Ba state in the 90 Pengxi river basin. Lijiaba site is of utmost importance for the research of Ba culture 91 as well as for the findings of evidence of exchanges of these Ba people with other 92 93 cultures that are contemporary to its development.

94

Figure 1

In order to increase our knowledge on the lacquer crafts of the *Ba* ethnic group, the 95 96 lacquer film sample from the scabbard of a bronze sword (03YLM11:10) dated to the Warring States period were studied by a multidisciplinary approach including 97 microscopy, scanning electron microscopy-energy dispersive X-ray spectrometers 98 (SEM-EDS), Raman spectroscopy, pyrolysis coupled to gas chromatography/mass 99 spectrometry (Py-GC/MS) and coupled to comprehensive two-dimensional gas 100 chromatography/mass spectrometry (Py-GCxGC/MS). As a result, new elements for a 101 complete description of the lacquer coating of this representative artifact of the Ba 102 ethnic group were obtained. 103

104

105 **2. Experimental**

106 **2.1 The archaeological background and materials**

107 The Lijiaba site is situated in the Qingshu village (Yunyang county), Chongqing, southwest China (31°6'15"N, 108°41'E) (Fig.1). It was discovered in 1987 and 108 archaeological survey and tentative excavations were carried out between 1992 and 109 1995, prior to regular excavations started in 1997. The collected material remains 110 from this site suggested that it was inhabited during a long period spanning from the 111 Shang-Zhou Dynasty to the Six Dynasties (ca.1600 BC-ca.600 AD) (Chongqing 2001, 112 2003). The exceptional nature of the findings conducted in the selection of the site as 113 one of the Top Ten Archaeological Discoveries in China. Numerous tombs from the 114 Eastern Zhou Dynasty (770-221 BC), which includes the Spring and Autumn period 115 and the Warring States period were excavated there. 116

117

Figure 2

Besides bronze (Fan and Freestone 2017) and pottery artefacts (Wu et al. 2013), 118 lacquer film marks and lacquer objects were also found in Lijiaba site (Chongqing. 119 2001, 2003). The lacquer film residue studied in this paper was found on the scabbard 120 of the bronze sword in the tomb 2003YLM11 (archaeological number 03YLM11:10, 121 Fig.2). The tomb was located in the center of area III of Lijiaba site which is a 122 rectangular earthen pit tomb and filled with a lot of green paste mud (Fig.2). Other 123 archaeological objects unearthed with the bronze sword consist in ceramic and bronze 124 125 artifacts shown in Fig.2.

126

Figure 3

127 A relatively small surface of lacquer coating was preserved intact on the surface of the 128 scabbard of the bronze sword. Some small lacquer fragments spontaneously detached 129 from the scabbard were chosen for analysis since they provided lacquer samples of 130 interest in avoiding further sampling, which could be prejudicial for the integrity of 131 the scabbard, already noticeably damaged.

132 **2.2 Microscope observation**

Digital microscopy (VHX-5000 Keyence) used in reflection mode permitted to reveal the surface profiles of lacquer samples. The lacquer sample was mounted in epoxy resin at room temperature and polished. And the sample was coated with carbon for SEM analysis. A FEI-Quanta 200 environmental scanning electron microscope (SEM) equipped with an X-ray energy dispersive spectroscopy (EDS) system was utilized to observe morphologies of the samples in high-vacuum mode applying an accelerating voltage of approximately 20 kV.

140 2.3 Raman spectroscopy

141 Raman spectra of the sample were recorded at room temperature with a Renishaw 142 inVia Raman microscope system from 3500 to 50 cm⁻¹ in extensive mode with a laser 143 wavelength of 532 nm and 50L× objective lens. Laser power was set for emission at 1 144 percent power, which corresponds to ca. 0.5 mW with an integration time of 10 s. The 145 number of accumulations was fixed from 1 to 10.

146 2.4 Py-GC/MS Analysis

The Py-GC/MS system consisted of a vertical micro-furnace pyrolyzer PY-2020iD 147 (Frontier Lab, Fukushima, Japan) fitted to a Shimadzu QP2010Plus GC/MS instrument 148 149 (Shimadzu, Champs-sur-Marne, France). The mass of samples used for analysis lies between 100-130 µg. The samples investigated by Py-GC/MS were cut with a scalpel 150 in tiny pieces after a cleaning and polishing step to eliminate dusts and trace of soil 151 contaminants under binocular magnifier. The state of the archaeological samples 152 permits with difficulty to separate properly the layers of lacquer. Consequently, 153 analysis was conducted on samples presenting the whole stratigraphy of the lacquer's 154 coatings, namely the layers described in section 3.1 below. 155

The conditions of analysis have been described elsewhere (Avataneo and Sablier, 156 2017). Briefly, the sample was introduced into the micro-furnace at 500°C; 157 chromatographic separation was conducted on an apolar fused silica capillary column 5% 158 phenyl-95% dimethyl polysiloxane (30 m length, 0.25 mm inner diameter, 0.25 µm 159 film thickness) with a the following oven temperature program: 2 min at 40 °C, 160 ramping at 12 °C min⁻¹ to 325 °C, plateau at 325 °C for 10 min. The same experimental 161 conditions were applied for thermally-assisted hydrolysis and methylation (THM) 162 Py-GC/MS (Challinor, 2001). Only a volume of 3-5 µL of tetramethyl ammonium 163 hydroxide (25% in methanol) was added to the sample in the sample cup before its 164 introduction in the micro-furnace. The scan range of the mass spectrometer was set 165 from 40 to 500 u, operated at 5000 u. s^{-1} , and ionization was performed by electron 166 ionization at 70 eV. Identification of pyrolysis products was obtained by comparison of 167 their mass spectra with mass spectra of the NIST library (NIST, 2011) and by 168 interpreting the main fragmentations observed. 169

170 2.5 Py-GCxGC/MS Analysis

The Py-GCxGC/MS system consisted of a vertical microfurnace pyrolyzer PY-3030D (Frontier Lab, Fukushima, Japan) fitted to a Shimadzu QP2010-Ultra mass spectrometer (Shimadzu, Champs-sur-Marne, France) equipped with a two-stage thermal modulator ZX 2 (Zoex, Houston, TX, USA). Quantities of lacquer sample analyzed were about 50 μg, weighed with a microbalance (XP2U Ultra Micro Balance, Mettler Toledo, Viroflay, France). 177 The GCxGC chromatography system consisted in an apolar capillary column OPTIMA-5HT (30 m \times 0.25 mm I.D., 0.25 µm film thickness, Macherey-Nagel, 178 Hoerdt, France) in the first-dimension, and a moderate polar column Zebron ZB-50 179 $(2.8 \text{ m} \times 0.1 \text{ mm I.D.}, 0.1 \text{ µm film thickness, Phenomenex, Le Pecq, France})$ in the 180 second-dimension and for the loop modulator system. The separation was carried out 181 in a constant pressure mode (300 kPa). The ZX 2 two-stage thermal modulator 182 operated a cooled air jet at -94° C, modulated with a pulsed hot air jet at a modulation 183 period of 9 s, and a programmed hot pulse duration of 0.350 s. Details for the analysis 184 have been reported previously (Okamoto et al, 2018), and consists briefly of an oven 185 temperature program initially held 5 min at 70°C, and increased at 3°C/min to 300°C, 186 where it is held during 20 min. The mass spectrometer was operated at $20,000 \text{ u.s}^{-1}$, 187 with a scan range from 45 to 600 u, using electron ionization at 70 eV. Data 188 processing of the Py-GCxGC/MS raw data was achieved using a GC Image software, 189 version 2.4 (Lincoln, NE). As noted above, lacquer components were identified by 190 comparison of their mass spectra with mass spectra of the NIST library (NIST, 2011) 191 and by interpretation of the main fragmentations. 192

193

194 **3. Results**

195 **3.1. Microscopic observation**

Two lacquer film layers, ticked as the first lacquer layer and the second lacquer layer 196 197 on the ground layer, can be clearly observed (Fig.4A). The first layer is on the top of the ground layer and the second layer is on the top of the first layer. The micrographs 198 of the lacquer base, the first lacquer layer and the second lacquer layer are separately 199 shown in Fig.4B, Fig.4C and Fig.4D, respectively. There are some white, yellow and 200 red particles, as well as some cracks visible on the ground layer in Fig.4B. Similarly, 201 202 there are some small white and red particles visible on the first lacquer film layer in Fig.4C. Moreover, big particles on the cracks were observed on the second lacquer 203 layer in Fig.4D. 204

205 On observation of the whole sample cross-section, the layout of the lacquer sample can be divided into three parts, a lacquer coating divisible in two parts (with a total 206 thickness of ca. 45 µm), a ground layer which is about 360-380 µm thick, and the 207 wood support as shown in Fig.4E. Depending on the angle of view, the layout of the 208 lacquer film layers can be difficult to observe as revealed on the cross-section 209 210 micrograph in Fig.4F. Large (300 µm*170 µm) and small (20 µm * 50 µm) white or white-yellow particles can be found on the ground layer (Fig.4G and Fig.4H) . 211 212 Additionally, some holes can also be observed in the ground layer (Fig.4H).

Figure 4

The SEM-EDS results from the analysis of the different layers showed in Fig.5 are 214 depicted in Table 1. The main elements contained in the lacquer sample are carbon 215 (C), oxygen (O), iron (Fe), copper (Cu), lead (Pb), calcium (Ca), phosphorous (P), 216 magnesium (Mg), aluminium (Al) and silicon (Si). The content of calcium and 217 phosphorus in the ground layer is obviously higher than those observed in the lacquer 218 film layers. As reported in Fig.5B-C, distribution patterns for calcium and phosphorus 219 present a similar appearance. Combined with the Raman spectroscopy analysis results 220 (see below in section 3.2), the areas of calcium and phosphorus distribution are nicely 221 correlated to the presence of hydroxyapatite. The copper and lead distributed on the 222 cross-section may likely come from the bronze sword, whereas the silicon present 223 224 may likely come from the soil.

225

213

Figure 5

226 **3.2. Raman spectroscopy analysis**

Lacquer sample cross-section was investigated by Raman spectroscopy. The 227 corresponding Raman spectra of the large white or yellow-white particles in the 228 229 sample and Raman spectra of the hydroxylapatite reference ore (Ca_{5.00} (P_{1.00} O₄)₃((OH)_{0.98} Cl_{0.02})) ('Hydroxylapatite R060180') were shown in Fig.6. The observed 230 Raman peaks at 431, 579, 591, 609, 962, 1047, 1069 and 3574 cm⁻¹ are ascribed to the 231 Raman peaks of hydroxyapatite (Timchenko et al, 2018). Small hydroxyapatite 232 233 particles are observed in the lacquer samples. The small particles are less than 10 µm in size while the larger particles are more than 300 µm in size. This may agree with 234 the use of different particle size of bone powders in different steps of lacquering craft 235 in ground layer formation (e.g., first applying large size particles to fill large holes in 236 the wooden base and then applying small size particles to smooth the surface), a 237 238 phenomenon previously observed in adjoining *Chu* state lacquerware (Jin et al. 2017; Jin et al. 2012). Cuprite (Cu₂O), anatase (TiO₂) and carbon (amorphous) are also 239 detected on the cross-section in which the origin of cuprite was attributed to the 240 241 bronze sword while the origin of anatase was attributed to the soil present in the 242 buried environment (Fig.S1, supplementary material).

243

Figure 6

The detection of the white particles of indeterminate forms is in accordance with the use of powdered bone as a ground layer demonstrated by the cross-sectional views of the lacquer coating in the archaeological sample. The detection of Ca during the SEM-EDX analysis (Fig.5B) confirms this statement. Detection of hydroxyapatite by Raman spectroscopy also acts in favor of a presence of added crushed bones particles,
which is unexpected at first glance and reveals a piece of interesting information on
the basic technique of the *Ba* group for the preparation of elemental consolidation
layer.

252 3.3 Py-GC/MS analysis of lacquer origin

The extracted ion chromatograms (EICs) at m/z 91, 107, 108, 123, 124 are reported 253 beside the total ion chromatogram (TIC) in Fig.S2, supplementary material. Table 2 254 255 resumes the assignments of the detected pyrolysis products. The fragment ion at m/z 91, chosen for the characterization of alkylbenzenes, showed a distribution of 256 homologues with a predominant alky chain of C1 to C9. The characteristic fragment 257 ions at m/z 107-108 for phenol species, yielded a larger distribution spanning from C5 258 to C10 in the low homologue region, and peaks attributed to the pentadecenyl and 259 260 pentadecyl phenols C15 for elution times between 21-23 min (Fig.S2, supplementary material). The m/z 107 fragment corresponds to a direct α C-C bond cleavage on the 261 262 substituted alkyl chain, and the m/z 108 fragment is issued from a retro-ene rearrangement implying a hydrogen atom on the alkyl chain (Bouchoux et al., 2012). 263 Interestingly, one can notice that the ortho isomers $(m/z \ 107)$ generally dominate the 264 265 meta isomers (m/z 108) in the distribution of alkylphenols observed in the corresponding EICs. The meta isomers (m/z 108) were barely observed while the 266 complete series of ortho isomers C5-C12 and the 2-pentadecylphenol markers, 267 C₂₁H₃₆O were observed in the sample analyzed under direct Py-GC/MS conditions 268 269 (Fig.S2, supplementary material). In comparison, catechol compounds (m/z 123, 124) were barely extracted from the background signal in the chromatograms. 270

Analysis of the lacquer sample was also conducted under THM pyrolysis conditions. 271 272 Globally, THM-Py-GC/MS experiments gave concordant results to those reported by Py-GC/MS. In accordance to Py-GC/MS results, the two characteristic catechol 273 274 1,2-dimethoxy-pentadecylbenzene $(C_{23}H_{40}O_2,$ $t_r = 22.57$ min) markers and 1,2-dimethoxy-pentadecenylbenzene ($C_{23}H_{38}O_2$, t_r=22.45 min) were both detected in 275 the tested sample confirming the Shengqi origin. Noteworthy, under THM pyrolysis 276 277 conditions, kumanotanic acid, mazzeic acid and miyakoshic acid were detected at a low ratio and their presence could be correlated to an ageing process of the urushi sample in 278 particular in presence of siccative oil as reported previously (Schilling et al. 2016; 279 Webb, Schilling, Chang 2016, Tamburini et al. 2016). In comparison to direct 280 281 Py-GC/MS analysis, the observed alkylbenzene series in the lacquer sample is 282 reduced: only the n-hexylbenzene was present while n-heptylbenzene and n-octylbenzene were only detected at traces level. The distribution of catechols, as 283 284 reported in the EICs at m/z 151 and 152 (Fig.7), showed a large distribution with additional catechol markers of lower side-chain length (C4-C5). The distribution of fragment ions at m/z 151 and 152 was observed to extend to the pentadecenyl and pentadecyl catechol C15 homologues for elution times of between 22 and 23 min, as expected for a *Toxicodendron vernicifluum* sap origin (Fig.7, Table 3).

Figure 7

THM-Py-GC/MS permitted to refine the analytical information gained on the sample, 290 noticeably with the characterization of several fatty acid methyl esters. TIC and EICs 291 292 at m/z 74, characteristic fragment ions for the fatty acid methyl esters, at m/z 151 and 152, characteristic fragment ions for the methylated catechols are reported in Fig.7. 293 Table 3 reports the assignments of these marker ions. In fact, distribution of fatty acid 294 methyl esters was observed with a noticeable high ratio for the peaks associated to 295 palmitic and stearic methyl esters (Fig.7). As well the repartition of the lower 296 297 components of fatty acid methyl esters (C6-C10) showed a maximum for the C8 homologue (Table 3, t_r=9.71 min). The nonanedioic acid, dimethyl ester, also reported 298 299 as the dimethyl ester of the azelaic acid ($C_{11}H_{20}O_4 t_r = 14.57 min$), was detected in association with the octanedioic acid, dimethyl ester ($C_{10}H_{18}O_4 t_r = 13.59$ min) and 300 the decanedioic acid, dimethyl ester ($C_{12}H_{22}O_4 t_r = 15.60 \text{ min}$) (Fig.7, Table 3). The 301 302 relative proportion of azelaic acid and di-acids of longer chain as well as the presence of palmitic acid were in accordance with the previous detection of those compounds 303 in aged mixtures of urushiol lacquer and drying oil (Tamburini et al., 2016). 304

305 3.4 Py-GCxGC/MS analysis of the lacquer sample

289

Due to the intrinsic grouping features of GCxGC separation (Han et al., 2018), 306 different series of compounds can be easily separated on the resulting Py-GGxGC/MS 307 chromatogram (Fig.8). Among the structures observed, the patterns of typical lacquer 308 component can be observed in the 2D chromatogram with a higher sensitivity and 309 310 dynamics compared to direct Py-GC/MS: (i) a series of alkane ranging from C10 to C29; (ii) a series of alkene ranging from C10 to C18; (iii) a series of alkylbenzene 311 homologues ranging from C1 to C15; (iv) a series of catechols ranging from C1 to 312 C15. These four series in the Py-GCxGC/MS TIC chromatogram confirmed distinctly 313 the presence of a lacquer originating from *Toxicodendron vernicifluum*. Moreover, as 314 can be seen in the 2D chromatogram, other compounds could be patently highlighted: 315 (i) a series of PAH compounds, which cannot be obtained during classical 1D analysis, 316 (ii) a series of 2-ketones and 7-ketones, similarly difficult to detect by Py-GC/MS, 317 and (iii) other organics (methylphenones, cycloalkenes, furanones) not characterized 318 otherwise. 319

Figure 8

As stated above, EICs of the m/z 107-108 (characteristic fragments for the 321 alkylphenols) confirmed the shengqi origin of the sample in particular with the 322 detection of the characteristic C15 ortho/meta homologues. All the homologues 323 already detected during direct Py-GC/MS were detected here but with an improved 324 characterization of the lower homologues C1-C5, which were hardly extracted from 325 the TIC background during 1D analysis. Moreover, the GCxGC separation conducted 326 to a better detailed picture of positional isomer distribution of alkylphenol markers 327 with the clear differentiation of ortho and meta isomers still observable in a 328 deteriorated archaeological sample, thanks to the sensitivity of the GCxGC separation 329 (Fig.S3, Supplementary materials). Showing a decreasing intensity, the C8-C14 330 331 homologues provided the meta isomers for all this group while the ortho isomers were slowly disappearing in the series for homologues above C9. Compare to Py-GC/MS 332 analysis, the complete series of alkylphenols from C1-C15 can be followed in the EIC 333 of m/z 107-108 ion markers of the alkylphenols. Similarly, the EICs for m/z 91 and 334 92 yielded the complete series of alkylbenzene from the C1 to C15 homologues. 335 Comparatively, as shown above, Py-GC/MS provided an alkylbenzene series from C1 336 to C11 and no detection of the characteristic C15 homologue. In addition, the lower 337 homologues of the alkylbenzene series are observable with their different positional 338 isomers. Noticeably, the C13 and C14 homologues were visible here and detected at 339 trace level. 340

341 EICs for the m/z 55-57 provided a longer distribution of the series of alkanes/alkenes than previously observed during Py-GC/MS analysis since this series was limited to 342 the C15 homologue in 1D analysis. Here, the series of alkanes span from C10 to C29, 343 and alkenes from C10 to C18. Interestingly, if the previous pattern observed during 344 Py-GC/MS analysis with major peaks at C14, C15 are conserved, Py-GCxGC/MS 345 346 yielded an increased distribution of these major homologues until the C17 homologue for both alkanes and alkenes. Previous results obtained with reference lacquer films 347 provided a similar pattern with the presence of alkanes and alkenes for the C14-C17 348 homologues in the case of the *Toxicodendron vernicifluum* lacquer sap differentiating 349 it from other lacquer saps (Okamoto et al., 2018). Noticeably, a series of compounds 350 351 was observed in the previous EIC m/z 91 for which their appearance was improved by the extraction of the base peak at m/z 119 in the chromatogram. Dispersedly 352 distributed around 21-22 min (dimension 1) and ca. 5s (dimension 2), and mixed to 353 the alkylphenol homologues distribution, these compounds were assigned to 354 substituted methylphenyl, ethanone. 355

356 Another series of ketone compounds associated to linear structures were observed between 5-62 min (dimension 1) and 3-4s (dimension 2). This series started with the 357 lower homologue: 2-hexanone (C₆H₁₂O MW 100) 5.7 min 2.96 s and continued by 358 incrementation in the number of carbons until the C18 homologue, 2-octadecanone. 359 Besides, a series of alkyl ketones assigned to alkyl, 7-one was observed from 360 C11-C17. From C10 to C12, unsaturated ketones were observed near their associated 361 2-ketones. Indeed, their detection could not be easily conducted from Py-GC/MS 362 chromatogram as these compounds are noticeably diluted in the global TIC. The fact 363 is that, after observation of this long-chain ketone series in 2D experiments, a 364 backward EIC procedure at m/z 58 for the 1D experiment could only provide a 365 limited part of these series of ketones present in the archaeological lacquer sample, 366 and definitely not their complete distribution revealed in the Py-GCxGC/MS analysis. 367 The observed series of ketones (n-alkan-2-ones) may result from the oxidative 368 burning of vegetation wax alkanes (Simoneit, 2002) as well as from the incomplete 369 combustion of aliphatic compounds (Oros and Simoneit, 2001a). Similarly, 370 α . ω -alkanedioic acids may result from the oxidation of biopolymers, of other lipid 371 compounds (e.g. w-hydroxyalkanoic acids), or from the incomplete combustion of 372 other products. In particular, the presence of α, ω -nonanedioic acid was previously 373 rationalized through the potential photooxidation of C18:1 and C18:2 alkenoic acids 374 (Oros and Simoneit, 2001b). Nevertheless, no any trace of unsaturated fatty acids was 375 detected during our investigations. 376

PAH compounds such as naphthalene, fluorene, anthracene, phenanthrene, and pyrene 377 can be well separated and detected together with their methylated homologues (Fig.8) 378 379 (Table S1 Supplementary Materials). However, no markers of presence for PAH derived compounds were characterized during the above direct Py-GC/MS analysis 380 (Table 2 and 3). The lack of detection of PAHs during 1D analysis can be rationalized 381 by the complexity of the components resulting in a mixture hardly resolved by a one 382 dimension GC/MS analysis. As a consequence, the detection and characterization of 383 PAHs that can hardly be fully characterized by 1D analysis constitutes one of the 384 most interesting improvement provided here by the use of the GCxGC separation. 385

386

387 4. Discussion

388 4.1 Bone-derived ground layer of *Ba* lacquering

Bone-derived ground layer (骨粉打底) has a long tradition in ancient Chinese lacquering. Scholars have speculated that this tradition was early used in Han dynasty

391 (202BC-220AD) which was testified by scientific analysis of Han lacquerwares (Jin, 2008). Later, the discovery of bone-derived ground layer from lacquerware in 392 Jiuliandun tomb (Chu state) traced this tradition back to the Warring States (Jin et al., 393 2017). The discovery of bone-derived ground layer on Ba state testified the previous 394 observation of Dr. Jin in lacquer wares from the Warring States period (Jin et al., 395 2012; Jin et al., 2017). The analytical evidence supports that different size particles of 396 hydroxyapatite from bone was added to the lacquer base during its making process, 397 confirming the old recorded recipes of lacquer coating making in ancient China (Jin et 398 al., 2017) and the earliest one of Ba state. 399

These particles of hydroxyapatite appeared to be added to the ground layer without 400 presenting a regular and reproducible size pattern in the process of lacquer making: 401 this observation agrees with the use of different particle size of bone powders in 402 different steps of lacquering craft in ground layer formation, a phenomenon 403 404 previously observed in adjoining Chu state lacquerware (Jin et al., 2017; Jin et al., 2012). Due to the cracks, the application steps of different size bone particles cannot 405 be clearly differentiated in the tested samples. The same traditions found in Ba state 406 and Chu state showed evident similarity in the lacquering, inferring the cultural and 407 408 craft communications between these two adjoining states. In addition, ground layer 409 with other composition or no ground layer (Wei et al., 2011; Fu et al., 2020) has also been reported which showed diversified lacquer crafting during the Warring States. 410 Down from this period, this tradition has a long and wide use that can be often found 411 in old Korean lacquerware and Chinese old lacquerware while the Japanese 412 413 lacquerware doesn't show such powdered bone foundation (Urushi 1988; Hao et al, 414 2021).

415 **4.2 Lacquer sap and drying oil in** *Ba* **lacquerware**

If the use of drying oil like tung oil has been previously characterized in Japanese 416 export lacquer from modern time (Heginbotham and Schilling, 2011), the use of tung 417 oil has been mostly documented in Chinese lacquer (Hao et al., 2019; Tamburini et al., 418 2015) and the concomitant detection of oil and lacquer mixture in archaeological 419 samples is always a matter of interest to increase our knowledge on lacquer 420 fabrication. In previous experiments associating urushi lacquer and tung oil as a 421 mixture, a relatively low abundance of azelaic acid was reported and its low 422 abundance was correlated to the presence of induced cross-linking at a higher degree 423 when the oil was added to urushi (Tamburini et al. 2016). Additionally, during the 424 same experiments, the proportion of short chain fatty acids was observed to increase. 425 Noticeably, under the THM pyrolysis conditions applied here, numerous fatty acids 426

427 C6-C10 were observed (Table 3), an observation in accordance with the addition of
428 drying oil in the sample. In the absence of any other contradiction, assumption of the
429 use of tung oil can be considered in view of its previous detection in Asian lacquer
430 (Hao et al., 2019; Tamburini et al. 2015).

The presence of drying oil was observed previously in ancient samples dated from the 431 Jōmon period in Japan, suggesting that the mastered technic of mixing lacquer sap 432 with dry oil in the purpose of increasing luster and elastic of lacquer film was early 433 employed in the premise of lacquer making technique (Yuasa et al., 2015). Drying 434 435 oils have also been characterized recently in Chinese lacquer samples of the Warring States period (Fu et al., 2020) and even, feasibility studies have been attempted to 436 quantify drying oil in ancient lacquerwares using FTIR spectroscopy (Xiao et al., 437 2020). The detection of markers of potential drying oil addition in the sample of the 438 Ba ethnic group is in accordance with these experiments. The formation of azelaic 439 440 acid, as well as the formation of other similar dicarboxylic acids rationalized by oxidation processes of polyunsaturated fatty acids, can be proposed as a manifestation 441 of an autoxidation phenomenon of drying oils present in the sample (Tamburini et al., 442 2016). However, the possibility that formation of these dicarboxylic acids results from 443 444 the oxidation of soot components cannot be completely ruled out, at the present state 445 of investigations, and must be kept as an assumption (Oros and Simmoneit, 2001a, 2001b). 446

447 **4.3 Soot additives revealed by GCxGC separation**

As reported in the table of assignment of PAH related homologues (Table S1, 448 materials), we observed more easily 449 Supplementary the presence of methylphenanthrenes and dimethylphenanthrenes than the same homologues for 450 anthracene, methyl- and dimethylanthracenes in the lacquer sample. A lower 451 abundance of alkylanthracenes compared to alkylphenanthrenes in sedimentary rocks 452 is proposed to account for a widespread terpenoid origin to explain the presence of 453 alkylphenanthrenes (Smith et al., 1995): this fact correlates well with the use of plant 454 soot as black carbon source in the elemental consolidation lacquer layer of the 455 wooden scabbard. Indeed, the absence of structures commonly related to pyrolytic 456 PAHs like benzo[e]pyrene, benzo[a]pyrene, benzofluoranthenes, is in accordance 457 458 with the soot origin of the detected PAH homologues acting as a coloring material in the fabrication of lacquer artifacts belonging to the Warring States period. 459

However, assignment of the origin of PAHs in archaeological remains a matter of
discussion and shows difficulties in defining attribution of originating processes (Zou
et al., 2010). The absence of terpenic markers could act in the use of other species

463 than softwood species (e.g., pines, spruces, larches). In particular, retene, a well-known marker of abietic acid degradation was absent, which casts doubt on the 464 use of pine wood species (Ren et al., 2018; Karp et al., 2020). Conversely, if the 465 detection of an enlarged series of alkane homologues by Py-GCxGC/MS could be 466 correlated with combustion processes of pine wood species, specificity of theses 467 alkanes/alkenes as wood markers is questionable (Simoneit, 2002). More 468 interestingly, the presence of the mono-, di-, tri-methylated PAHs (naphthalene, 469 anthracene, pyrene) may be correlated to the use of a wood species with bark as 470 material for soot making (Achten et al., 2015). 471

472

473 **5. Conclusion**

In this paper, composition of the lacquer layers of the scabbard of a bronze sword 474 dating from the Warring States (475-221 BC) and excavated from a Ba tomb of the 475 476 Lijiaba site (Chongqing, southwest China) was investigated. Based on the experimental results obtained in the course of a multidisciplinary approach, the 477 lacquer material constitutive of the decorative coating of the wooden scabbard was 478 assigned to Shengqi origin with the characterization of chemical markers of 479 Toxicodendron vernicifluum lacquer trees. Additionally, the detection of 480 hydroxyapatite particles and the cartography of chemical elements in the sample are 481 in accordance with the use of crushed bone material in the composition of the ground 482 layer of lacquer. Worthy of mention, Py-GC/MS analysis of the scabbard lacquer 483 sample conducted to the assignment of origin for the lacquer sap and suggested the 484 presence of drying oil addition in relation to the lacquer-making recipes, whilst 485 Py-GCxGC/MS, due to its sensibility and extended resolution, demonstrated 486 unequivocally the presence of other compounds, and particularly PAHs which are 487 attributed to the use of soot of plant origin for coloring of the lacquer. 488

489 The present study, which investigated for the first time an archaeological sample of the Ba ethnic group of southwest China, permitted to increase our knowledge of the 490 491 lacquer making crafts of Ba state that has been hindered until now due to the rareness of lacquer samples unearthed in ancient Ba state locations. Moreover, the present 492 experimental study provides the base for the comparison of lacquer samples coming 493 494 from adjacent regions and central states upon further study of valuable interest to introduce connection between craft shift, manufacturing techniques and chemical 495 analysis strategies. In light of the present work, future research combining the 496 techniques employed here should permit further investigations of the ancient lacquer 497

498 craft in China to refine data and to explore existing relationships between ethnic499 groups in the sharing of lacquer manufacturing techniques.

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671 Fig.1. Location map of the Lijiaba site.



Fig.2. Plan of the tomb and list of the objects found.



Fig.3. Photograph of the bronze sword with its scabbard (archaeological number03YLM11:10).













Fig.4. The surface micrographs of lacquer sample from Lijiaba site: (A) lacquer
sample (B) ground layer, (C) the first lacquer layer, (D) the second lacquer layer.
Cross-section micrographs of lacquer sample from Lijiaba site: (E) whole lacquer
sample cross-section, (F) cross-section of the lacquer film layers, (G, H) cross-section
of the ground layer.



Fig.5. SEM-EDS elemental maps of Ca and P (A) SEM image of the analyzed area,
(B) SEM-EDS elemental map of Ca, (C) SEM-EDS elemental map of P.



Fig.6 The Raman spectra of the lacquer samples and a standard ore (a: lacquer sample,
b: (Ca5.00 (P1.00 O4)3((OH)0.98 Cl0.02))



Fig.7. The chromatograms obtained after pyrolysis of the tested sample under THM-Py-GC/MS where the total ion current (upper trace) and extracted ion chromatograms at m/z 74, 151, 152 are reported (see text for further description).



Fig.8. the 2D chromatograph of the lacquer sample.

Table 1. SEM-EDS results of the lacquer sample analysis

Analysis	area	С	0	Fe	Cu	Pb	Ca	Р	Mg	Al	Si	S	K
First	1	44.52	41.11	7.37	2.76	0.05	0.01	0.03	0.28	1.8	2.00	0.03	0.03
lacquer	2	48.71	39.26	6.13	2.44	0.09	0.07	0.00	0.18	1.4	1.59	0.05	0.07
film layer	3	58.61	31.46	5.36	3.36	0.04	0.04	0.02	0.21	0.46	0.39	0.05	0.01
Second	1	46.1	40.02	5.00	3.85	0.4	0.2	0.04	0.29	1.74	2.14	0.08	0.14
lacquer film layer	2	34.97	45.53	6.92	2.69	0.24	0.17	0.03	0.51	3.64	4.95	0.06	0.30
	1	44.69	35.63	5.76	7.6	0.65	0.84	0.59	0.26	1.63	2.16	0.05	0.14
Ground layer	2	44.5	36.48	5.62	4.46	0.36	2.05	0.77	0.32	2.14	2.78	0.09	0.43
	3	40.48	27.45	2.09	19.67	1.27	3.87	1.74	0.1	0.56	2.58	0.06	0.13

Table 2. Assignment of the main markers detected by Py-GC/MS in the investigated lacquer sample with retention time (t_r) , main fragment ions, expected molecular weights, assigned formula and most likely attribution of the products.

		fragment ions (in decreasing order				
Compounds	t _r (min)	of intensity)	MW	formula	assignement	
Alkanes, alkenes						
	3.27	43,41,57,71,56		C ₇ H ₁₆	n-Heptane	
	4.85	43,41,85,55,57	114	C ₈ H ₁₈	n-Octane	
	6.46	43,57,91,41,85	128	C ₉ H ₂₀	n-Nonane	
	7.99	57,43,71,41,70	142	$C_{10}H_{22}$	n-Decane	
	9.28	55,69,56,41,70	154	$C_{11}H_{22}$	1-Undecene	
	9.36	57,43,41,71,85	156	$C_{11}H_{24}$	n-Undecane	
	10.54	55,41,69,43,56	168	$C_{12}H_{24}$	1-Dodecene	
	10.64	57,43,41,71,85	170	$C_{12}H_{26}$	n-Dodecane	
	11.75	55,43,41,69,56	182	$C_{13}H_{26}$	1-Tridecene	
	11.83	57,43,71,85,41	184	$C_{13}H_{28}$	n-Tridecane	
	12.88	55,69,43,41,57	196	$C_{14}H_{28}$	1-Tetradecene	
	12.96	57,43,71,41,85	198	$C_{14}H_{30}$	n-Tetradecane	
	13.96	43,57,55,83,41	210	C ₁₅ H ₃₀	1-Pentadecene	
	14.04	57,43,71,85,41	212	C ₁₅ H ₃₂	n-Pentadecane	
alkylbenzenes						
	4.40	91,92,65,63,51	92	C ₇ H ₈	toluene	

	6.03	91,106,65,77,51	106	C_8H_{10}	Ethylbenzene
	7.50	91,120,92,65,105	120	C ₉ H ₁₂	n-Propylbenzene
	8.86	91,92,134,115,65	134	$C_{10}H_{14}$	n-Butylbenzene
	10.23	91,92,83,55	148	$C_{11}H_{16}$	n-Pentylbenzene
	11.48	91,92,105,43,162	162	$C_{12}H_{18}$	n-Hexylbenzene
	12.68	92,91, 65,176	176	$C_{13}H_{20}$	n-Heptylbenzene
	13.79	92, 91, 43, 79, 105	190	$C_{14}H_{22}$	n-Octylbenzene
	14.86	92,91,41,55,69	204	$C_{15}H_{24}$	n-Nonylbenzene
alkylphenols					
	13.42	107,108,123,164,77	164	$C_{11}H_{16}O$	2-Pentylphenol ^t
	14.46	107,108,155,77,137	178	$C_{12}H_{18}O$	2-Hexylphenol
	15.44	107,108,77,79,192	192	C ₁₃ H ₂₀ O	2- Heptylphenol
	16.40	107,108,77,119,206	206	C ₁₄ H ₂₂ O	2-Octylphenol ^t
	17.31	107,108,136,220,165	220	$C_{15}H_{24}O$	2-Nonylphenol ^t
	22.02	107,108,304,43,94	304	$C_{21}H_{36}O$	2-Pentadecylphenol
Monocarboxylic fatty acid					
	18.33	73, 43,57,60,41	256	$C_{16}H_{32}O_2$	Hexadecanoic acid (palmitic acid)
	19.90	73, 43,57,60,55	284	C ₁₈ H ₃₆ O ₂	Octadecanoic acid (stearic acid) ^t

$$*$$
 co-elution, superscript ^t means that only a trace of compounds was detected

Table 3. Assignment of the main markers detected by THM Py-GC/MS in the investigated lacquer sample with retention time (t_r) , main fragment ions, expected molecular weights, assigned formula and most likely attribution of the products.

Compounds	t_{r} (min)	fragment ions (in decreasing order of intensity)	MW	formula	assignement
Alkanes, alkenes					
	6.42	43,57,91,41,85	128	C ₉ H ₂₀	n-Nonane
	7.90	41,55,56,43,70	140	$C_{10}H_{20}$	1-Decene
	7.99	57,43,71,41,70	142	$C_{10}H_{22}$	n-Decane
	9.25	55,69,56,41,70	154	$C_{11}H_{22}$	1-Undecene
	9.34	57,43,41,71,85	156	$C_{11}H_{24}$	n-Undecane
	10.53	55,41,69,43,56	168	$C_{12}H_{26}$	1-Dodecene
	10.62	57,43,41,71,85	170	$C_{12}H_{26}$	n-Dodecane
	11.75	55,43,41,69,56	182	$C_{13}H_{26}$	1-Tridecene
	11.82	57,43,71,85,41	184	$C_{13}H_{28}$	n-Tridecane
	12.86	55,69,43,41,57	196	$C_{14}H_{28}$	1-Tetradecene
	12.95	57,43,71,41,85	198	C ₁₄ H ₃₀	n-Tetradecane
	13.93	43,57,55,83,41	210	C ₁₅ H ₃₀	1-Pentadecene

	14.00	57,43,71,85,41	212	$C_{15}H_{32}$	n-Pentadecane
alkylbenzenes					
	11.45	91,92,65,79,162	162	$C_{12}H_{18}$	n-Hexylbenzene
	12.64	92,91, 65,176	176	$C_{13}H_{20}$	n-Heptylbenzene '
	13.75	92,55,91,190	190	$C_{14}H_{22}$	n-Octylbenzene* ^t
Methyl ester acid					
catechols					
	18 30	136 151 91 266 152	266	CieHaaOd	Methyl-6-(2,3-dimethoxyphenyl)hexanoate
	10.00	150,151,51,200,152	200	013112204	(miyamic acid)
	19.10	136,151,152,91,280	280	C ₁₆ H ₂₄ O ₄	Methyl-7-(2,3-dimethoxyphenyl)
				10 21 1	heptanoate (kumanotanic acid)
	19.89	136,152,151,294,91	294	$C_{17}H_{26}O_4$	Methyl-8-(2,3-dimethoxyphenyl)
					octanoate (mazzeic acid)
	20.45	136,152,151,308,91	308	$C_{18}H_{28}O_4$	Methyl-9-(2,3-dimethoxyphenyl)
Substituted					nonanoate (myakosnic acid)
Substituted					
uniterioxy benzenes					1.2-dimethoxy-4-methyl- benzene
	10.46	152,109,137,79,91	152	$C_9H_{12}O_2$	(homoveratrole)
	13.22	136,91,151,141,192	192	C ₁₂ H ₁₆ O ₂	1,2-dimethoxy-3-butenylbenzene
	13.34	136,91,152,151,194	194	C ₁₂ H ₁₈ O ₂	1,2-dimethoxy-3-butylbenzene
	14.28	136,151,91,121,206	206	C ₁₃ H ₁₈ O ₂	1,2-dimethoxy-3-pentenylbenzene
	14.34	208,136,151,152,	208	$C_{13}H_{20}O_2$	1,2-dimethoxy-3-pentylbenzene
	15.25	136,151,152,91,121	220	C ₁₄ H ₂₀ O ₂	1,2-dimethoxy-3-hexenylbenzene
	15.31	136,152,137,151,91	222	C ₁₄ H ₂₂ O ₂	1,2-dimethoxy-3-hexylbenzene*
	16.20	136,91,151,152,138	234	C ₁₅ H ₂₂ O ₂	1,2-dimethoxy-3-heptenylbenzene
	16.26	136,236,152,151,91	236	C ₁₅ H ₂₄ O ₂	1,2-dimethoxy-3-heptylbenzene*
	22.45	151,152,136,346,91	346	C ₂₃ H ₃₈ O ₂	1,2-dimethoxy-pentadecenylbenzene ^t
	22.57	152,348,151,136,91	348	C ₂₃ H ₄₀ O ₂	1,2-dimethoxy-pentadecylbenzene
Mono- and dicarboxyli	c fatty acid				
methyl esters	·				
	6.96	74,43,59,41,87	130	$C_7H_{14}O_2$	Hexanoic acid, methyl ester
	8.40	74,43,41,87,55	144	$C_8H_{16}O_2$	Heptanoic acid, methyl ester
	9.64	74,55,96,82,41	156	$C_9H_{16}O_2$	4-Octenoic acid, methyl ester
	9.71	74,87,43,41,55	158	$C_9H_{18}O_2$	Octanoic acid, methyl ester
	10.99	74,87,43,41,55	172	$C_{10}H_{20}O_2$	Nonanoic acid, methyl ester
	12.18	74,87,43,41,55	186	$C_{11}H_{22}O_2$	Decanoic acid, methyl ester
	13.59	69,55,74,67,97	202	$C_{10}H_{18}O_4$	Octanedioic acid, dimethyl ester
	14.30	74,87,43,41,55	214	$C_{13}H_{26}O_2$	Dodecanoic acid, methyl ester
	1457	55 02 74 152 111	216		Nonanedioic acid, dimethyl ester
	14.37	55,05,74,152,111	210	$C_{11}H_{20}O_4$	(azelaic acid methyl ester)

15.32	74, 87,43,41,55	228	$C_{14}H_{28}O_2$	Tridecanoic acid, methyl ester*
15.60	74,55,125,98,97	230	$C_{12}H_{22}O_4$	Decanedioic acid, dimethyl ester ^t
17.16	74,87,43,55,143	256	$C_{16}H_{32}O_2$	Pentadecanoic acid, methyl ester
19.00	74 97 42 55 41	270	$C_{17}H_{34}O_2$	Hexadecanoic acid, methyl ester
18.00	74,87,45,55,41	270		(Palmitic acid, methyl ester)
18.87	74,87,143,41,129	284	$C_{18}H_{36}O_2$	Heptadecanoic acid, methyl ester
10.61	74 87 43 55 41	208	СЧО	Octadecanoic acid, methyl ester (Stearic acid,
17.01	74,07,43,33,41	270	C ₁₉ H ₃₈ O ₂	methyl ester)
21.28	69,137,71,83,201	NA	NA	NA
21.60	74,87,43,55,57	340	$C_{22}H_{44}O_2$	Heneicosanoic acid, methyl ester
23.72	74,87,43,55,57	382	$C_{25}H_{50}O_2$	Tetracosanoic acid, methyl ester

* co-elution, t means that only a trace of compounds was detected