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## Smallest $C_{2\ell+1}$ -critical graphs of odd-girth $2k+1^*$

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#### Abstract

Given a graph H, a graph G is called H-critical if G does not admit a homomorphism to H, but any proper subgraph of G does. Observe that  $K_{k-1}$ -critical graphs are the standard k-(colour)-critical graphs. We consider questions of extremal nature previously studied for k-critical graphs and generalize them to H-critical graphs. After complete graphs, the next natural case to consider for H is that of the odd-cycles. Thus, given integers  $\ell$  and k,  $\ell \geq k$ , we ask: what is the smallest order of a  $C_{2\ell+1}$ -critical graph of odd-girth at least 2k+1? Denoting this value by  $\eta(k,C_{2\ell+1})$ , we show that  $\eta(k,C_{2\ell+1})=4k$  for  $1\leq \ell \leq k \leq \frac{3\ell+i-3}{2}$  ( $2k=i \mod 3$ ) and that  $\eta(3,C_5)=15$ . The latter means that a smallest graph of odd-girth 7 not admitting a homomorphism to the 5-cycle is of order 15. Computational work shows that there are exactly eleven such graphs on 15 vertices.

## 1 Introduction

A k-critical graph is a graph which is k-chromatic, but any of its proper subgraphs is (k-1)-colourable. Extremal questions on critical graphs are a rich source of research in graph theory. Many well-known results and conjectures deal with this subject, see for example [5, 9, 10, 11, 21]. Typical questions are for example:

**Problem.** What is the smallest possible order of a k-critical graph having a certain property, such as low clique number, high girth or high odd-girth?

For example, the existence of graphs of high girth and high chromatic number, proved by Erdős [8], is a starting point of this problem. This fact implies that each of the above questions has a finite answer. The specific question of the smallest 4-critical graph without a triangle has received considerable attention: Grötzsch built a graph on 11 vertices which is triangle-free and not 3-colourable. Harary [14] showed that any such graph must have at least 11 vertices, and Chvátal [4] showed that the Grötzsch graph is the only one on 11 vertices.

In the context of colouring, it is of interest to consider the *odd-girth*, that is, the size of a smallest odd-cycle of a graph, rather than the girth, since every graph with no odd-cycle is 2-colourable. Extending the example of the Grötzsch graph, Mycielski [18] introduced a construction,

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now known as the Mycielski contruction, to increase the chromatic number without increasing the clique number. A generalization of this construction is used to build 4-critical graphs of high odd-girth, more precisely the generalized Mycielski construction on  $C_{2k+1}$ , denoted  $M_k(C_{2k+1})$ , is a graph of odd-girth 2k+1. Several authors (starting with Payan [24]) showed that  $M_k(C_{2k+1})$  is 4-chromatic for any  $k \geq 2$  and in fact 4-critical, thus providing an upper bound of  $2k^2 + k + 1$  for the minimum order  $\eta(k)$  of a 4-critical graph of odd-girth at least 2k+1. (We will later generalize the function  $\eta$  using the notion of homomorphism.) We refer to [13, 22, 28, 29] for several other proofs. Among these authors, Ngoc and Tuza [22] asked whether the upper bound  $\eta(k) \leq 2k^2 + k + 1$  is essentially optimal. The first quadratic lower bound for  $\eta(k)$  was established in [23] by considering a maximum induced bipartite subgraph. Improving on this approach, Jiang in [16] proved  $\eta(k) \geq (k-1)^2 + 2$ . Recently, Esperet and Stehlík [7] proved that  $2k^2 + k + 1$  is the correct bound for the special case of graphs where any two odd-cycles have a common vertex.<sup>1</sup>

The current work is a first step towards generalizing these extremal questions for k-critical graphs to H-critical graphs, defined using the terminology of homomorphisms. A homomorphism of a graph G to a graph H is a vertex-mapping that preserves adjacency, i.e., a mapping  $\psi: V(G) \to V(H)$  such that if x and y are adjacent in G, then  $\psi(x)$  and  $\psi(y)$  are adjacent in H. If there exists a homomorphism of G to H, we may write  $G \to H$  and we may say that G is H-colourable, or that G maps to H. In the study of homomorphisms, it is usual to work with the core of a graph, that is, a minimal subgraph which admits a homomorphism from the graph itself. It is not difficult to show that a core of any graph is unique up to isomorphism. A graph is said to be a core if it admits no homomorphism to a proper subgraph. We refer to the book [15] for a reference on these notions.

Homomorphisms generalize proper vertex-colourings. Indeed, a homomorphism of G to  $K_k$  is equivalent to a k-colouring of G. However, the extension of the notion of colour-criticality to a homomorphism-based one is not much studied. As defined by Catlin [3], for a graph H, (we may assume H is a core), a graph G is said to be H-critical if G does not have a homomorphism to H but any proper subgraph of G does. We thus have the following.

## **Observation 1.** A graph G is k-critical if and only if it is $K_{k-1}$ -critical.

This gives a large number of interesting extremal questions. By Observation 1, these questions are well-studied when H is a complete graph. The next most accessible family of graphs H to be considered is the one of odd-cycles. For example, the extremal question of the minimum number of edges of an H-critical graph on n vertices has been the subject of extensive studies for  $H = K_n$ , see [17] for results and references on this subject. Recently, extensions of this study to  $C_5$ -critical graphs [6] and to  $C_7$ -critical graphs [25] were performed. We note, furthermore, that the (2k+1)-colouring problem is captured by the  $C_{2k+1}$ -colouring problem through a basic graph operation. For further details and extension of the study to signed graphs we refer to [19].

In this work, we are interested in the order of a smallest  $C_{2\ell+1}$ -critical graph having the homomorphism property that its odd-girth is at least a given value.<sup>2</sup> We generally denote by  $\eta(k, H)$  the smallest order of a graph of odd-girth at least k that has no homomorphism to H, and we ask the following.

**Problem 2.** Given positive integers k, l, what is the smallest order  $\eta(k, C_{2\ell+1})$  of a  $C_{2\ell+1}$ -critical graph of odd-girth at least 2k+1?

<sup>&</sup>lt;sup>1</sup>In fact, Ngoc and Tuza [22] equivalently asked for the value of the smallest constant c such that the odd-girth of any 4-chromatic graph of order n is at most  $(c+o(1))\sqrt{n}$ . In this language, the tentative value  $\eta(k)=2k^2+k+1$  translates to a value of  $\sqrt{2n-7/4}+1/2$  for the odd-girth of a 4-chromatic graph of order n; this is also the formulation used in [7]. The result of Jiang [16] is formulated as a strict upper bound of  $2\sqrt{n}+3$  for the odd-girth of a 4-chromatic graph of order n.

<sup>&</sup>lt;sup>2</sup>This is a homomorphism property because any graph G has odd-girth at least 2k + 1 if and only if  $C_{2k-1}$  does not map to G.

In this paper, we study Problem 2 when  $\ell \geq 2$ . As we discuss in Section 2, it follows from a theorem of Gerards [12] that  $\eta(k, C_{2\ell+1}) \geq 4k$  whenever  $\ell \leq k$ , and  $\eta(k, C_{2k+1}) = 4k$ . We prove (in Section 3) that, surprisingly,  $\eta(k, C_{2\ell+1}) = 4k$  whenever  $\ell \leq k \leq \frac{3\ell+i-3}{2}$  (with  $2k = i \mod 3$ ). We then prove (in Section 4) that  $\eta(3, C_5) = 15$ . We conclude with further research questions in the last section. Table 1 summarizes the known bounds for Problem 2 and small values of k and  $\ell$ .

	k = 1	k=2	k=3	k=4	k=5	k = 6	k = 7	k = 8
$\ell = 1$	4	11 [14]	<b>15</b> –22 [Th. 11]–[24]	<b>17</b> –37 [Co. 12]–[24]	<b>20</b> –56 [Co. 7]–[24]	27-79 [16]-[24]	38-106 [16]-[24]	51-137 [16]-[24]
$\ell = 2$	3	8 [Co. 5]	<b>15</b> [Th. 11]	<b>17</b> –37 [Co. 12]–[24]	<b>20</b> –56 [Co. 7]–[24]	<b>24</b> –79 [Co. 7]–[24]	<b>28</b> –106 [Co. 7]–[24]	<b>32</b> –137 [Co. 7]–[24]
$\ell = 3$	3	5	12 [Co. 5]	16 [Th. 9]	<b>20</b> –56 [Co. 7]–[24]	<b>24</b> –79 [Co. 7]–[24]	<b>28</b> –106 [Co. 7]–[24]	<b>32</b> –137 [Co. 7]–[24]
$\ell = 4$	3	5	7	16 [Co. 5]	<b>20</b> [Th. 9]	<b>24</b> –79 [Co. 7]–[24]	<b>28</b> –106 [Co. 7]–[24]	<b>32</b> –137 [Co. 7]–[24]
$\ell = 5$	3	5	7	9	<b>20</b> [Co. 5]	<b>24</b> [Th. 9]	28 [Th. 9]	<b>32</b> –137 [Co. 7]–[24]
$\ell = 6$	3	5	7	9	11	<b>24</b> [Co. 5]	28 [Th. 9]	<b>32</b> [Th. 9]
$\ell = 7$	3	5	7	9	11	13	28 [Co. 5]	<b>32</b> [Th. 9]
$\ell = 8$	3	5	7	9	11	13	15	<b>32</b> [Co. 5]

Table 1: Known values and bounds on the smallest order  $\eta(k, C_{2\ell+1})$  of a  $C_{2\ell+1}$ -critical graph of odd-girth at least 2k+1. Bold values are proved in this paper.

Note that the value of  $\eta(3, C_5)$  indeed was the initial motivation of this work. In [1], we use the fact that  $\eta(3, C_5) = 15$  to prove that if a graph B of odd-girth 7 has the property that any series-parallel graph of odd-girth 7 admits a homomorphism to B, then B has at least 15 vertices. The 15 vertices solution then strengthen at the same time results on circular and fractional coloring of this family of graphs. One may expect similar properties for other extremal solutions.

## 2 Preliminaries

This section is devoted to the introduction of useful preliminary notions and results.

Circular chromatic number. We recall some basic notions related to circular colourings. For a survey on the matter, consult [30]. Given two integers p and q with gcd(p,q) = 1, the circular clique C(p,q) is the graph on vertex set  $\{0,\ldots,p-1\}$  with i adjacent to j if and only if  $q \leq |i-j| \leq p-q$ .

A homomorphism of a graph G to C(p,q) is called a (p,q)-colouring, and the *circular chromatic number* of G, denoted  $\chi_c(G)$ , is the smallest rational  $\frac{p}{q}$  such that G has a (p,q)-colouring. Since C(p,1) is the complete graph  $K_p$ , we have  $\chi_c(G) \leq \chi(G)$ . On the other hand  $C(2\ell+1,\ell)$  is the cycle  $C_{2\ell+1}$ . Thus  $C_{2\ell+1}$ -colourability is about deciding whether  $\chi_c(G) \leq 2 + \frac{1}{\ell}$ .

It is a well-known fact that  $C(p,q) \to C(r,s)$  if and only if  $\frac{p}{q} \le \frac{r}{s}$  (e.g. see [30]), in particular we will use the fact that C(12,5) has a homomorphism to C(5,2), that is,  $C_5$ .

Odd- $K_4$ 's and a theorem of Gerards. The following notion will be central in our proofs. An odd- $K_4$  is a subdivision of the complete graph  $K_4$  where each of the four triangles of  $K_4$  has

become an odd-cycle [12]. Furthermore, we call it a (2k+1)-odd- $K_4$  if each such cycle has length exactly 2k+1. Since subdivided triangles are the only odd-cycles of an odd- $K_4$ , the odd-girth of a (2k+1)-odd- $K_4$  is 2k+1. The following is an easy fact about odd- $K_4$ 's whose proof we leave as an exercise.

**Proposition 3.** Let K be an odd- $K_4$  of odd-girth at least 2k + 1. Then, K has order at least 4k, with equality if and only if K is a (2k + 1)-odd- $K_4$ . Furthermore, in the last case any two disjoint edges of  $K_4$  are subdivided the same number of times when constructing K.

A (2k+1)-odd- $K_4$  is, more precisely, referred to as an (a, b, c)-odd- $K_4$  if three edges of a triangle of  $K_4$  are subdivided into paths of length a, b and c respectively (by Proposition 3 this is true for all four triangles). Note that while the terms "odd- $K_4$ " or "(2k+1)-odd- $K_4$ " refer to many non-isomorphic graphs, an (a, b, c)-odd- $K_4$  (a + b + c = 2k + 1) is unique up to a relabeling of vertices. See [20, Section 4.2.1] for some homomomorphism properties of odd- $K_4$ 's.

An odd- $K_3^2$  is a graph obtained from three disjoint odd-cycles and three disjoint paths (possibly of length 0) joining each pair of cycles [12]. Thus, in such a graph, any two of the three cycles have at most one vertex in common (if the path joining them has length 0). Hence, an odd- $K_3^2$  of odd-girth at least 2k + 1 has order at least 6k.

In a graph G, a thread is a path in G where the internal vertices have degree 2 in G. For example, in an odd- $K_4$  or in an odd- $K_3^2$ , the paths of degree 2-vertices joining two vertices of degree at least 3 are threads.

**Theorem 4** (Gerards [12]). If G has neither an odd- $K_4$  nor an odd- $K_3^2$  as a subgraph, then it admits a homomorphism to its shortest odd-cycle.

We have the following corollary of Proposition 3 and Theorem 4.

Corollary 5. For any positive integer k, we have  $\eta(k, C_{2k+1}) = 4k$ .

*Proof.* Consider a  $C_{2k+1}$ -critical graph G of odd-girth 2k+1. It follows from Theorem 4 that G contains either an odd- $K_4$ , or an odd- $K_3^2$ . If it contains the latter, then G has at least 6k vertices. Otherwise, G must contain an odd- $K_4$  of odd-girth at least 2k+1, and then by Proposition 3, G has at least 4k vertices. This shows that  $\eta(k, C_{2k+1}) \geq 4k$ .

Moreover, no (2k+1)-odd- $K_4$  admits a homomorphism to  $C_{2k+1}$ . Indeed, assume by contradiction that there is such a homomorphism  $\varphi$  of a (2k+1)-odd- $K_4$ , G, to  $C_{2k+1}$ , and let x, y, z, t be the vertices of degree 3 of G. Consider the (2k+1)-cycle C that passes through x, y, z. This cycle must be mapped surjectively to  $C_{2k+1}$  by  $\varphi$ . Thus, t must be identified with some vertex of C by  $\varphi$ . If it is identified with a vertex of the xy-thread of G, then the (2k+1)-cycle going through x, y, t would not be mapped surjectively to C by  $\varphi$ , a contradiction. The same argument applies to the xz-thread and the yz-thread, showing that t cannot be mapped and thus  $\varphi$  does not exist.

Since any (2k+1)-odd- $K_4$  has order 4k by Proposition 3, the latter paragraph shows that  $\eta(k, C_{2k+1}) \leq 4k$ .

Since  $C_{2\ell+3}$  maps to  $C_{2\ell+1}$  and by transitivity of homomorphisms, a graph with no homomorphism to  $C_{2\ell+1}$  also has no homomorphism to  $C_{2\ell+3}$ . Thus:

**Observation 6.** Let  $k, \ell$  be two positive integers. We have  $\eta(k, C_{2\ell+1}) \ge \eta(k, C_{2\ell+3})$ .

We obtain this immediate consequence of Corollary 5 and Observation 6:

Corollary 7. For any two integers  $k, \ell$  with  $k \geq \ell \geq 1$ , we have  $\eta(k, C_{2\ell+1}) \geq 4k$ .

## 3 Rows of Table 1: $\eta(k, C_{2\ell+1})$ for fixed $\ell$

In this section, we study the behavior of  $\eta(k, C_{2\ell+1})$  when  $\ell$  is a fixed value, that is, the behavior of each row of Table 1. Note again that whenever  $\ell \geq k+1$ , we have  $\eta(k, C_{2\ell+1}) = 2k+1$ . As mentioned before, the first row (i.e.  $\ell = 1$ ) is about the smallest order of a 4-critical graph of odd-girth 2k+1 and we know  $\eta(k, C_3) = \Theta(k^2)$  [23].

It is not difficult to observe that for fixed  $\ell$ , the function  $\eta(k, C_{2\ell+1})$  is strictly increasing, in fact with a little bit of effort we can even show the following.

**Proposition 8.** For  $k \geq \ell$ , we have  $\eta(k+1, C_{2\ell+1}) \geq \eta(k, C_{2\ell+1}) + 2$ .

Proof. Let G be a  $C_{2\ell+1}$ -critical graph of odd-girth 2k+3 and order  $\eta(k+1, C_{2\ell+1})$ . Consider any (2k+3)-cycle  $v_0 \cdots v_{2k+2}$  of G, and build a smaller graph by identifying  $v_0$  with  $v_2$  and  $v_1$  with  $v_3$ . It is not difficult to check that the resulting graph has odd-girth exactly 2k+1 and does not map to  $C_{2\ell+1}$  (otherwise, G would), proving the claim.

While it is likely that for a fixed  $\ell$ , the value of  $\eta(k, C_{2\ell+1})$  grows quadratically in terms of k, we show, somewhat surprisingly, that at least just after the threshold of  $k = \ell$ , the function  $\eta(k, C_{2\ell+1})$  only increases by 4 when  $\ell$  increases by 1, implying that Proposition 8 cannot be improved much in this formulation. More precisely, we have the following theorem.

**Theorem 9.** For any  $k, \ell \geq 3$  and  $\ell \leq k \leq \frac{3\ell + i - 3}{2}$  (where  $2k = i \mod 3$ ), we have  $\eta(k, C_{2\ell + 1}) = 4k$ .

To prove this theorem, we give a family of  $C_{2\ell+1}$ -critical odd- $K_4$ 's which are of odd-girth 2k+1. This is done in the next theorem, after which we give a proof of Theorem 9.

**Theorem 10.** Let  $p \geq 3$  be an integer. If p is odd, any (a,b,c)-odd- $K_4$  with  $(a,b,c) \in \{(p-1,p-1,p),(p,p,p)\}$  has no homomorphism to  $C_{2p+1}$ . If p is even, any (p-1,p,p)-odd- $K_4$  has no homomorphism to  $C_{2p+1}$ .

*Proof.* Let  $(a,b,c) \in \{(p-1,p-1,p), (p,p,p), (p-1,p,p)\}$  and let K be an (a,b,c)-odd- $K_4$ . Let t, u, v, w be the vertices of degree 3 in K with the tu-thread of length a, the uv-thread of length b and the tv-thread of length c. We now distinguish two cases depending on the parity of p and the values of (a,b,c).

Case 1. Assume that p is odd and  $(a, b, c) \in \{(p-1, p-1, p), (p, p, p)\}$ . By contradiction, we assume that there is a homomorphism h of K to  $C_{2p+1}$ . Then, the cycle  $C_{tvw}$  formed by the union of the tv-thread, the vw-thread and the tw-thread is an odd-cycle of length a+b+c. Therefore, its mapping by h to  $C_{2p+1}$  must be onto. Thus, u has the same image by h as some vertex u' of  $C_{tvw}$ . Note that u' is not one of t, v or w, indeed by identifying u with any of these vertices we obtain a graph containing an odd-cycle of length p or 2p-1; thus, this identification cannot be extended to a homomorphism to  $C_{2p+1}$ . Therefore, u' is an internal vertex of one of the three maximal threads in  $C_{tvw}$ . Let  $C_u$  be the odd-cycle of length a+b+c containing u and u'. After identifying u and u',  $C_u$  is transformed into two cycles, one of them being odd. If (a, b, c) = (p, p, p), then  $C_u$  has length 3p. Then, the two newly created cycles have length at least p+1, and thus at most 2p-1. If (a, b, c) = (p-1, p-1, p), then  $C_u$  has length 3p-2, and the two cycles have length at least p and at most 2p-2. In both cases, we have created an odd-cycle of length at most 2p-1. Hence, this identification cannot be extended to a homomorphism to  $C_{2p+1}$ , a contradiction.

Case 2. Assume that p is even and (a, b, c) = (p - 1, p, p), and that h is a homomorphism of K to  $C_{2p+1}$ . Again, the image of  $C_{tvw}$  by h is onto, and u has the same image as some vertex u' of  $C_{tvw}$ . If u' = t, identifying u and u' produces an odd (p - 1)-cycle, a contradiction. If  $u' \in \{v, w\}$ ,

then we get a (2p-1)-cycle, a contradiction. Thus, u' is an internal vertex of one of the three maximal threads in  $C_{tvw}$ . Let  $C_u$  be the odd-cycle of length 3p-1 containing u and u'. As in Case 1, after identifying u and u',  $C_u$  is transformed into two cycles, each of length at least p and at most 2p-1; one of them is odd, a contradiction.

We note that Theorem 10 is tight, in the sense that if p is odd and  $(a, b, c) \in \{(p-1, p-1, p), (p, p, p)\}$  or if p is even and (a, b, c) = (p-1, p, p), then an (a, b, c)-odd- $K_4$  has a homomorphism to  $C_{2p-1}$ .

We can now prove Theorem 9.

Proof of Theorem 9. By Corollary 7, we know that  $\eta(k, C_{2\ell+1}) \geq 4k$ . We now prove the upper bound. Recall that  $\eta(k, C_{2\ell+1}) \leq \eta(k, C_{2\ell-1})$ . If  $2k \equiv 0 \pmod 3$ , then  $p = \frac{2k+3}{3}$  is an odd integer, and  $p \leq \ell$ . By Theorem 10, a (p-1,p-1,p)-odd- $K_4$ , which has order 6p-6=4k, has no homomorphism to  $C_{2p+1}$ , and thus  $\eta(k, C_{2\ell+1}) \leq \eta(k, C_{2p+1}) \leq 4k$ . Similarly, if  $2k \equiv 1 \pmod 3$ , then  $p = \frac{2k+2}{3}$  is an even integer, and  $p \leq \ell$ . By Theorem 10, a (p-1,p,p)-odd- $K_4$ , which has order 6p-4=4k, has no homomorphism to  $C_{2p+1}$ , and thus  $\eta(k, C_{2\ell+1}) \leq \eta(k, C_{2p+1}) \leq 4k$ . Finally, if  $2k \equiv 2 \pmod 3$ , then  $p = \frac{2k+1}{3}$  is an odd integer, and  $p \leq \ell$ . By Theorem 10, a (p,p,p)-odd- $K_4$ , which has order 6p-2=4k, has no homomorphism to  $C_{2p+1}$ , and thus  $\eta(k, C_{2\ell+1}) \leq \eta(k, C_{2p+1}) \leq 4k$ .

## 4 The value of $\eta(3, C_5)$

We now determine  $\eta(3, C_5)$ , which is not covered by Theorem 10. By Corollary 7, we know that  $\eta(3, C_5) \geq 12$ . In fact, we will show that  $\eta(3, C_5) = 15$ .

Examples of graphs of odd-girth 7 on 15 vertices are given in Figure 1. In fact, using a computer search, Gordon Royle (private communication, 2016) has verified that these two graphs are the only two  $C_5$ -critical graphs of order 15 and odd-girth 7.

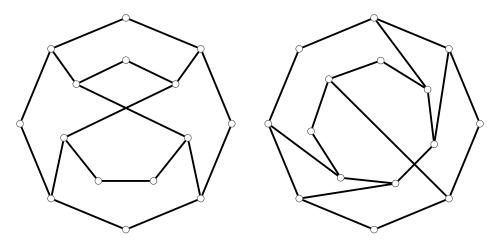


Figure 1: The two  $C_5$ -critical graphs of order 15 and odd-girth 7.

One may add a few edges to each of these two graphs without creating a shorter odd cycle. G. Royle reports that there are eleven non isomorphic graphs built in this way. For the most symmetric of all these graphs two different presentations are given in Figure 2.

This implies that  $\eta(3, C_5) \leq 15$ . Next, we prove that this upper bound is tight.

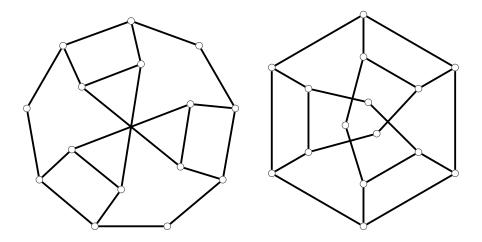


Figure 2: A symmetric graph of order 15 and odd-girth 7 which do not map to  $C_5$ .

**Theorem 11.** Any graph G of order at most 14 and odd-girth at least 7 admits a homomorphism to  $C_5$ , and thus  $\eta(3, C_5) = 15$ .

*Proof.* We consider a  $C_5$  on the vertex set  $\{0, 1, 2, 3, 4\}$  where vertex i is adjacent to vertices i + 1 and i - 1 (modulo 5). Thus, in the following, to give a  $C_5$ -colouring we will give a colouring using elements of  $\{0, 1, 2, 3, 4\}$  where adjacent pairs are mapped into (cyclically) consecutive elements of this set.

Given a graph G and a vertex v of it, we partition V(G) into four sets  $\{v\}, N_1(v), N_2(v)$  and  $N_{3+}(v)$  where  $N_1(v)$  (respectively  $N_2(v)$ ) designates the set of vertices at distance exactly 1 (respectively 2) of v, and  $N_{3+}(v)$  the vertices at distance 3 or more of v. Note that because of the odd-girth requirement for G,  $N_1(v)$  and  $N_2(v)$  are independent sets.

A proper 3-colouring of  $G[N_{3+}(v)]$  using colours  $c_1, c_2$  and  $c_3$  is said to be v-special if:

- (i) each vertex with colour  $c_3$  is an isolated vertex of  $G[N_{3+}(v)]$ ,
- (ii) no vertex from  $N_2(v)$  sees both colours  $c_1$  and  $c_2$ .

A key observation is the following: given any graph G, if for some vertex v of G, there exists a v-special colouring of  $G[N_{3+}(v)]$ , then G maps to  $C_5$ . Such a homomorphism is given by mapping  $c_1$ -vertices to 0,  $c_2$ -vertices to 1 and  $c_3$ -vertices to 3, and then extending as follows:

- for any vertex u in  $N_2(v)$ , if u has a  $c_1$ -neighbour, map it to 4; otherwise, map it to 2,
- all vertices of  $N_1(v)$  are mapped to 3,
- vertex v is mapped to 2 or 4.

Now, let G be a minimal counterexample to Theorem 11: G has odd-girth at least 7, has order at most 14, but does not map to  $C_5$ . Note that G is connected, indeed G does not map to  $C_5$  if and only if one of its components does not map to  $C_5$ .

We first collect a few properties of G. The previous paragraph allows us to state our first claim.

Claim 11.A. For no vertex v of G there is a v-special colouring of  $G[N_{3+}(v)]$ .

Since G is a minimal counterexample, it cannot map to a subgraph of itself (which would be a smaller counterexample):

**Claim 11.B.** Graph G is a core. In particular, for any two vertices u and v of G,  $N(u) \nsubseteq N(v)$ .

Recall that a walk between two vertices u and v is a sequence of (not necessarily distinct) vertices starting with u and ending with v, where two consecutive vertices in the sequence are adjacent. A walk between u and v is a uv-walk, and a k-walk is a walk with k+1 vertices counting multiplicity.

Claim 11.C. For any two distinct vertices u and v of G, there is a uv-walk of length 5.

*Proof of claim.* If not, identifying u and v would result in a smaller graph of yet odd-girth 7 which does not map to  $C_5$ , contradicting the minimality of G.  $(\Box)$ 

Claim 11.D. Graph G has no thread of length 4 or more.

*Proof of claim.* Once again, by minimality of G, if we remove a thread of length 4, the resulting graph maps to  $C_5$ . But since there is a walk of length 4 between any two vertices of  $C_5$ , this mapping could easily be extended to G.  $\Box$ 

Now we can state a more difficult claim.

Claim 11.E. There is no vertex of G of degree 4 or more, nor a vertex of degree exactly 3 with a second neighbourhood of size 5 or more.

*Proof of claim.* For a contradiction, suppose that a vertex v has degree 4 or more, or has degree 3 and a second neighbourhood of size 5 or more.

By Claim 11.B, the neighbours of v should have pairwise distinct neighbourhoods, so that even if v has degree 4 or more, we must have  $|N_2(v)| \ge 4$ . Thus, by a counting argument (recall that G has at most 14 vertices),  $N_{3+}(v)$  has size at most 5. Since G has odd-girth 7, this means  $G[N_{3+}(v)]$  is bipartite.

Suppose  $G[N_{3+}(v)]$  has at most one non-trivial connected component. Consider any proper 3-colouring of  $G[N_{3+}(v)]$  such that colours  $c_1$  and  $c_2$  are used for the non-trivial connected component, and colour  $c_3$  is used for isolated vertices. Then, no vertex of  $N_2(v)$  can see both colours  $c_1$  and  $c_2$ , as this would result in a short odd-cycle in G. Thus, any such colouring is v-special. Hence, by Claim 11.A, we derive that  $G[N_{3+}(v)]$  has at least two non-trivial components. Since it has order at most 5, it must have exactly two.

Assume now that both non-trivial connected components are isomorphic to  $K_2$ . Consider all proper 3-colourings of  $G[N_{3+}(v)]$  such that colours  $c_1$  and  $c_2$  are used for the copies of  $K_2$  (the potentially remaining vertex being coloured with  $c_3$ ). Considering Claim 11.A, one may easily check that if none of these colorings is v-special, then there is a short odd-cycle in G, which is a contradiction.

Hence,  $G[N_{3+}(v)]$  is isomorphic to the disjoint union of  $K_2$  and  $K_{2,1}$ . Let  $u_1$  and  $u_2$  be the vertices of  $K_2$ , and  $u_3$ ,  $u_4$  and  $u_5$  be the vertices of  $K_{2,1}$  such that  $u_4$  is the central vertex.

Let  $\varphi_1$  and  $\varphi_2$  be two proper 2-colourings of  $G[N_{3+}(v)]$  as follows: (i)  $\varphi_1(u_1) = \varphi_1(u_3) = \varphi_1(u_5) = c_1$  and  $\varphi_1(u_2) = \varphi_1(u_4) = c_2$ , and (ii)  $\varphi_2(u_2) = \varphi_2(u_3) = \varphi_2(u_5) = c_1$  and  $\varphi_2(u_1) = \varphi_2(u_4) = c_2$  (see Figure 3).



Figure 3: The two 2-colorings of  $N_{3+}(v)$  in the proof of Claim 11.E.

Since  $\varphi_1$  is not v-special, there is either a vertex  $t_1$  adjacent to  $u_2$  and  $u_3$  (by considering the symmetry of  $u_3$  and  $u_5$ ), or a vertex  $t'_1$  adjacent to  $u_1$  and  $u_4$ . Similarly, since  $\varphi_2$  is not v-special, either there is a vertex  $t_2$  adjacent to  $u_1$  and one to  $u_3$  and  $u_5$ , or there is a vertex  $t'_2$  adjacent to  $u_2$  and  $u_4$ . If  $t'_1$  exists, then in the subgraph induced by  $t'_1$ ,  $t_2$  or  $t'_2$ , whichever exists, and their neighbors we will find an odd-cycle of length at most 5. Similarly,  $t'_2$  does not exist. Thus,  $t_1$  and  $t_2$  must exist. Moreover, for a similar reason,  $t_2$  is not a neighbour of  $u_3$  and, therefor, it is a neighbor of  $u_5$ .

Next, we show that  $u_4$  has degree at least 3. Suppose not, then it has degree exactly 2. Let  $\varphi_3$  be a partial  $C_5$ -colouring of G defined as follows:  $\varphi_3(u_1) = \varphi_3(u_5) = 0$ ,  $\varphi_3(u_2) = 1$ ,  $\varphi_3(u_3) = 3$  and  $\varphi_3(u_4) = 4$ . Then, no vertex in  $N_2(v)$  sees both 0 and 1 (by odd-girth arguments). Thus, we can extend  $\varphi_3$  to  $N_2(v)$  using only colours 2 and 4 on these vertices. Then, all vertices of  $N_1(v)$  can be mapped to 3 and v can be mapped to 2 and  $G \to C_5$ , a contradiction.

Hence, there exists a vertex  $t_3$  in  $N_2(v)$  which is adjacent to  $u_4$ . Note that, by the odd-girth condition,  $t_3$  has no other neighbour in  $G[N_{3+}(v)]$  and, in particular, it must be distinct from  $t_1$  and  $t_2$ . Vertices  $t_1, t_2$  and  $t_3$  are in  $N_2(v)$ , so there are vertices  $s_1, s_2$  and  $s_3$  in  $N_1(v)$  such that  $s_i$  is adjacent to  $t_i$  for i between 1 and 3. Moreover, vertices  $t_1, t_2$  and  $t_3$  are pairwise connected by a path of length 3. Therefore, their neighbourhoods cannot intersect, so that vertices  $s_1, s_2$  and  $s_3$  are distinct.

Now, consider the partial  $C_5$ -colouring  $\varphi_3$  again. We may extend  $\varphi_3$  to  $N_2(v)$  by assigning colour 0 to neighbours of  $u_4$ , colour 4 to neighbours of  $u_1$  and  $u_5$ , and colour 2 to the rest. If no vertex of  $N_1(v)$  sees both colours 0 and 4 in  $N_2(v)$ , we may colour  $N_1(v)$  with 1 and 3 and colour v with 2, which is a contradiction. Thus, there exists some vertex x in  $N_1(v)$  seeing both colours 0 and 4 in  $N_2(v)$ . The only vertices with colour 0 in  $N_2(v)$  are neighbours of  $u_4$  so that x must be at distance 2 from  $u_4$ . Since there is no short odd-cycle in G, vertex x cannot be at distance 2 from  $u_5$ . Thus, it is at distance 2 from  $u_1$ . Let  $u_4$  be the middle vertex of this path from  $u_4$  to  $u_4$ . Now  $u_4$  is a neighbour of  $u_4$  which is distinct from  $u_4$  and  $u_4$ . By the symmetry between  $u_4$  and  $u_4$ , there must be a fifth vertex  $u_5$  in  $u_4$  which is a neighbour of  $u_4$  and distinct from  $u_4$ . We can readily check that  $u_4$  is distinct from  $u_4$  and  $u_4$ . Thus,  $u_4$  is a contradiction with the order of  $u_4$  which should be at most 14. This concludes the proof of Claim 11.E.

#### Claim 11.F. G contains no 6-cycle.

Proof of claim. Suppose, by contradiction, that G contains a 6-cycle  $C: v_0, \ldots, v_5$ . For a pair  $v_i$  and  $v_{i+2}$  (addition in indices is done modulo 6) of vertices of C, the 5-walk connecting them (see Claim 11.C) is necessarily a 5-path because of the odd-girth condition, and we denote it by  $P^i$ . Furthermore, at most one inner-vertex of  $P^i$  may belong to C, and if it does, it must be a neighbour of  $v_i$  or  $v_{i+2}$  (one can check that otherwise, there is a short odd-cycle in G).

Assume first that none of the six paths  $P^i$  ( $0 \le i \le 5$ ) has any inner-vertex on C. In this case, by Claim 11.E, we observe that the neighbours of  $v_i$  in  $P^i$  and  $P^{i+4}$  (addition in superscripts is done modulo 6) are the same. Let  $v'_i$  be this neighbour of  $v_i$ .

Vertices  $v_i'$ ,  $i=0,1,\ldots,5$  are all distinct, as otherwise we have a short odd-cycle in G. Let x and y be the two internal vertices of  $P^0$  distinct from  $v_0'$  and  $v_2'$ . By our assumption, x and y are distinct from vertices of C. We claim that they are also distinct from  $v_i'$ ,  $i=0,\ldots,5$ . Vertex x is indeed distinct from  $v_0'$  and  $v_2'$  by assumption. It is distinct from  $v_1'$ ,  $v_3'$  and  $v_4'$  as otherwise there will be a short odd-cycle. By the symmetry of x and y and by the same arguments, y is distinct from  $v_0'$ ,  $v_1'$ ,  $v_2'$ ,  $v_4'$ ,  $v_5'$ . Moreover, we cannot simultaneously have  $x=v_5'$  and  $y=v_3'$ , otherwise we get a 5-cycle. Finally, if we have  $x=v_5'$  then  $\{v_0',v_1,y,v_3,v_4'\}\subseteq N_2(v_5)$  and  $d(v_5)\geq 3$ , contradicting Claim 11.E. As a result, since  $|V(G)|\leq 14$ , x and y are internal vertices of all  $P^i$ 's.

But then it easy to find a short odd-cycle.

Hence, we may assume that some path  $P^i$ , say  $P^1$  without loss of generality, has (exactly) one inner-vertex on C. Without loss of generality, say  $v'_1 = v_0$  (recall that it is not possible that a vertex of  $P^1$  that is not a neighbour of  $v_1$  or  $v_3$  is on C). Let  $v_0x_1x_2x_3v_3$  be the 4-path connecting  $v_0$  and  $v_3$  (recall that at most one inner-vertex of  $P^i$  belongs to C, so  $x_i \notin C$  for i = 1, 2, 3). We next assume that  $P^5$  does not have any inner-vertex in C. Then, no vertex of  $P^5$  is a vertex from  $\{x_1, x_2, x_3\}$ , for otherwise we have a short odd-cycle in G. But then,  $v_0$  violates Claim 11.E as  $\{v'_5, v_4, x_2, v_2, v'_1\} \subseteq N_2(v_0)$ . Therefore, an inner-vertex of  $P^5$  lies on C. Considering the odd-girth conditions and Claim 11.E, either  $v'_5 = v_4$ , or the neighbour of  $v_1$  on  $P^5$  is  $v_2$ . As both cases are symmetric with respect to our assumptions so far, we may assume that the second case holds without loss of generality. Thus, we have  $P^5: v_5y_1y_2y_3v_2v_1$ . Then,  $P^1$  and  $P^5$  are vertex-disjoint, for otherwise we have a short odd-cycle in G.

Let us call G', the 12-vertex subgraph induced by the vertices of C,  $P^1$  and  $P^5$ . Notice that G' also contains  $P^0$ ,  $P^2$ ,  $P^3$  and  $P^4$ . Moreover, the vertices and edges of C,  $P^1$  and  $P^5$  form a 7-odd  $K_4$ , more precisely, a (1,2,4)-odd- $K_4$ . Now, because of the odd-girth of G, and by Claim 11.E, one can check that the only possible third neighbour of  $v_1$  in G', if any, is  $v_4$  (and vice-versa). Furthermore, within the set  $\{x_1, x_2, x_3, y_1, y_2, y_3\}$  of vertices, the only possible edges are those of the form  $x_iy_i$  with i=1,2,3. (See Figure 4(i) for an illustration of G'.) We claim that G' must be a subgraph of C(12,5). Indeed, even if these four optional edges are present, we obtain an isomorphic copy of C(12,5): consider the isomorphism from C(12,5) to this graph, given by  $\{0: v_0, 1: v_4, 2: v_2, 3: v_3, 4: y_2, 5: x_1, 6: v_5, 7: v_1, 8: v_3, 9: y_3, 10: x_2, 11: y_1\}$ . (See Figure 4(ii) for an illustration of C(12,5).) Thus, the circular chromatic number of G' is at most 12/5, implying that G' has a homomorphism to  $C_5$ .

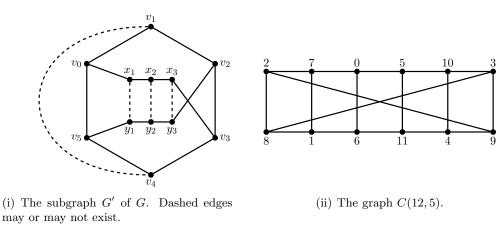


Figure 4: Graphs used in the proof of Claim 11.F.

Hence, there is at least one vertex in G, that is not a vertex of G'. Using Claim 11.E, one can check that any vertex of G not in G' can be adjacent only to  $x_2$  or to  $y_2$  (or both) and that there are at most two such vertices, one a neighbor of  $x_2$  another a neighbor of  $y_2$ . Without loss of generality (considering the symmetries of the graph), assume that  $x_2$  has an additional neighbour, v. Then, either v is also adjacent to  $y_2$  (then G has order 13), or v and  $y_2$  have a common neighbour, say w. If v is adjacent to both  $x_2$  and  $y_2$ , then the only edges induced by the set  $\{x_1, x_2, x_3, y_1, y_2, y_3\}$  are edges in G' (otherwise we have a short odd-cycle). But then, we exhibit a homomorphism of G to G: map G: map G: G: map G: G: map G: map G: map G: G: map G: map

#### Claim 11.G. G contains no 4-cycle.

Assume that some vertex not in K (say x) is adjacent to two vertices of K. Then, these two vertices must be a vertex on the tu-path of K ( $a_2$  or  $a_3$ , without loss of generality it is  $a_2$ ) and a vertex on the vw-path of K ( $b_2$  or  $b_3$ ). By the automorphism of K that swaps v and w and reverses the vw-path, without loss of generality we can assume that the second neighbour of x in K is  $b_3$ . Then, we claim that G has no further vertex. Indeed, if there is a last vertex y, since  $a_2$  and  $b_3$  have already three neighbours, y must be adjacent to at least two vertices among  $\{a_3, b_2, x\}$ . If it is adjacent to both  $a_3$  and  $b_2$ , we have a 6-cycle, contradicting Claim 11.F; otherwise, y is of degree 2 but part of a 4-cycle, implying that G is not a core, a contradiction. Thus, G has order 13 and no further edge. We create a homomorphic image of G by identifying x and  $a_3$ . The obtained graph is a subgraph of C(12,5). Hence, G has circular chromatic number at most 12/5 and a homomorphism to  $C_5$ , a contradiction.

Thus, any vertex not in K has at most one neighbour in K. Since G has no 4-thread, one vertex not in K (say x) is adjacent to one of  $a_2$  or  $a_3$  (without loss of generality, say  $a_2$ ), and the last vertex, y, is adjacent to one of  $b_2$  and  $b_3$  (as before, by the symmetries of K we can assume it is  $b_2$ ). Moreover, x and y must be adjacent, otherwise they both have degree 1. Also there is no further edge in G. But then, as before, we create a homomorphic image of G by identifying x with  $b_2$  and y with  $a_2$ . The resulting graph is a subgraph of C(12,5), which again gives a contradiction. This completes the proof of Claim 11.G.  $\square$ 

Claims 11.F and 11.G imply that G has girth at least 7. To complete the proof, we note that since G has no homomorphism to  $C_5$ , it also has no homomorphism to  $C_7$ . Thus, by Theorem 4, G must contain either an odd- $K_3^2$  or an odd- $K_4$  of odd-girth at least 7. Since such an odd- $K_3^2$  must have at least 18 vertices, G contains an odd- $K_4$ . Let H be such an odd- $K_4$  of G. Since in fact its girth is at least 7, by Proposition 3 it has at least 12 vertices. We consider three cases, depending on the order of H.

Case 1. Assume that |V(H)| = 12. By Proposition 3, H is a 7-odd- $K_4$ , in fact, it is an (a, b, c)-odd- $K_4$  with a + b + c = 7. Since H has girth at least 7, we have only two possibilities: (i) (a, b, c) = (2, 2, 3) or (ii) (a, b, c) = (1, 3, 3). Each of these two possible odd- $K_4$ 's is of diameter 4. Furthermore, in each case, the pairs at distance 4 are isomorphic (each pair consists of two internal vertices of two disjoint threads of length 3). If G contains one more vertex than H, say x, then either x is of degree at most 1, which contradicts Claim 11.B or it creates a cycle of length at most 6, which is not possible due to the girth of G. Thus G has exactly two more vertices, say x and y and each of them is adjacent to at most one vertex in H. These two neighbours of x and y

are at distance 4 in H and x is adjacent to y. There are only two non-isomorphic such possibilities. A homomorphism to  $C_5$  in each of these two possibilities is given in Figure 5.

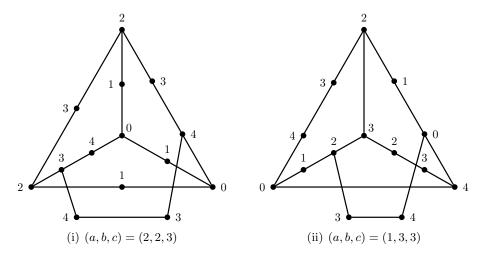


Figure 5: The two graphs considered in Case 1.

Case 2. Assume that |V(H)| = 13. Let the lengths of the four odd-cycles of H be  $l_1$ ,  $l_2$ ,  $l_3$  and  $l_4$ . Using the fact that  $l_1 + l_2 + l_3 + l_4 = 2|E(H)| = 30$  we conclude that three of these cycles are of length 7 and the last one is of length 9. Let a, b and c be the lengths of the three maximal threads of H whose union forms the 9-cycle. Let a', b' and c' be the respective lengths of the corresponding pairwise disjoint threads. Then, we have a' + b' + c = 7 and a + b + c = 9, which implies that a' + b' = a + b - 2. Together with the similar equalities a' + c' = a + c - 2 and b' + c' = b + c - 2, we obtain that a = a' + 1, b = b' + 1 and c = c' + 1. By the symmetries, we may assume that  $a \le b \le c$ . Considering that there are cycles of lengths 2a' + 2b' + 2, 2a' + 2c' + 2 and 2b' + 2c' + 2 in H, we have either (i) a' = b' = c' = 2 or (ii) a' = 1, b' = 2 and c' = 3. In the first case, the graph is of diameter 4 and thus there is no room for a 14th vertex; in the second case, there is a unique pair at distance 5, which leads us to a unique possible graph on 14 vertices, by connecting a 14th vertex to these two diametral vertices. Homomorphisms to  $C_5$  for each of these graphs are given in Figure 6.

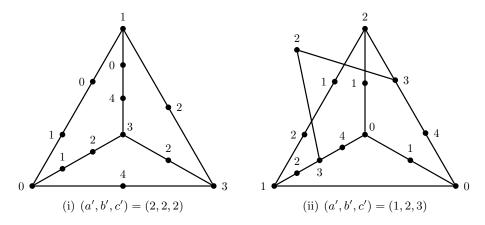


Figure 6: The two graphs considered in Case 2.

Case 3. Assume that |V(H)| = 14. Again, let  $l_1$ ,  $l_2$ ,  $l_3$  and  $l_4$  be the lengths of the four odd-cycles of H. Then, we have  $l_1 + l_2 + l_3 + l_4 = 2|E(H)| = 32$ , and either (I)  $l_1 = l_2 = l_3 = 7$  and

 $l_4 = 11$ , or (II)  $l_1 = l_2 = 7$  and  $l_3 = l_4 = 9$ .

For (I), we define a, b, c, a', b' and c' similarly as in Case 2. It is then implied that a = a' + 2, b = b' + 2 and c = c' + 2. Since  $a', b', c' \ge 1$  we have  $a, b, c, \ge 3$  and since a + b + c = 11 we have two possibilities: either a = b = 3, c = 5 or a = 3, b = c = 4. In the case (a, b, c) = (3, 3, 5), the vertex of degree 3 of H which is not on the face of size 11 has already five distinct vertices at distance 2, contradicting Claim 11.E. In the case (a, b, c) = (3, 4, 4), H is already of diameter 5, thus H is the same as G. For this case a  $C_5$ -colouring is given in Figure 7.

For (II), assuming c' is the length of the common thread of the two cycles of length 9, a similar calculation as in Case 2 implies that a = a', b = b' and c' = c + 2. The possibilities are (i) a = 1, b = 3 and c = 3, (ii) a = 1, b = 4 and c = 2, (iii) a = 2, b = 2 and c = 3, (iv) a = 2, b = 3 and c = 2, and (v) a = b = 3 and c = 1. As in each case, each pair of vertices is on a common cycle of length at most 10, and since the girth is at least 7, G must be isomorphic to G. Then, a mapping to G can readily be found (see Figure 8). This case completes the proof as there is no counterexample to the statement of the theorem.

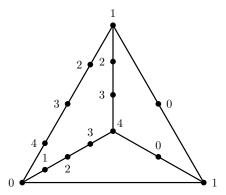


Figure 7: The first graph considered in Case 3, with (a, b, c) = (3, 4, 4).

We now deduce the following consequence of Theorem 11 and Proposition 8, that improves the known lower bounds on  $\eta(4, C_5)$  and  $\eta(4, C_3)$  (noting that for larger values of k, the bound of Corollary 7 is already stronger).

Corollary 12. We have  $\eta(4, C_3) \ge \eta(4, C_5) \ge 17$ .

## 5 Concluding remarks

In this work, we have started investigating the smallest order of a  $C_{2\ell+1}$ -critical graph of odd-girth 2k+1. We have determined a number of previously unknown values, in particular we showed that a smallest  $C_5$ -critical graph of odd-girth 7 is of order 15. In contrast to the result of Chvátal on the uniqueness of the smallest triangle-free 4-chromatic graph [4], we have found more than one such graph: Gordon Royle showed computationally, that there are exactly eleven such graphs (private communication, 2016) and Figure 1 shows three of them.

Regarding Table 1, we do not know the growth rate in each row of the table. Perhaps it is quadratic; that would be to say that for a fixed  $\ell$ ,  $\eta(k, C_{2\ell+1}) = \Theta(k^2)$ . This is indeed true for  $\ell = 1$ , as proved in [23].

Our last remark is about Theorem 9. We think that for any given k, Theorem 9 covers all values of k for which  $\eta(k, C_{2\ell+1}) = 4k$ .

A similar problem of interest is to ask for the order of a smallest  $C_{2\ell+1}$ -critical graph of girth g. This question has not been studied much. In this formulation, the Grötzsch graph is the smallest

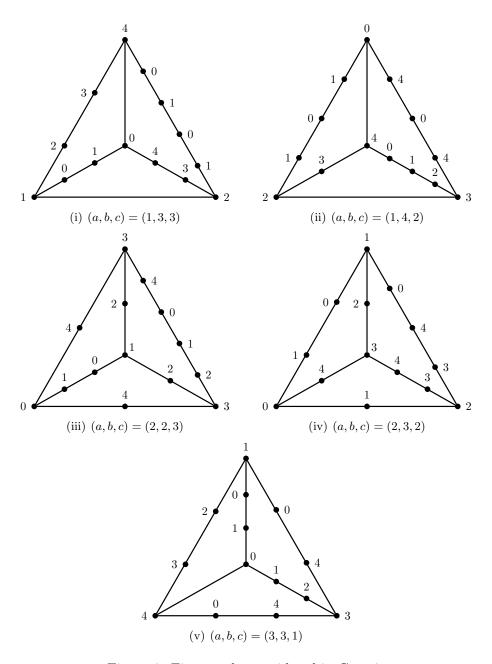


Figure 8: Five graphs considered in Case 3.

 $C_3$ -critical graph of girth 4. When girth 5 is considered, then again a computational work of Gordon Royle showed that 21 vertices are needed and again the answer is not unique [26]. See [9] for further studies.

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