

Degradation Mechanism of Metal–Organic Framework Drug Nanocarriers Studied by Solid-State Nuclear Magnetic Resonance and X-ray Absorption Near-Edge Structure Spectroscopy

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1	Degradation mechanism of metal-organic framework
2	drug nanocarriers studied by solid-state NMR and
3	XANES spectroscopy
4	Mai Dang Le Vuong ^{1,2} , Ioanna Christodoulou ^{2,a} , Marianna Porcino ³ , Si-Thanh Dong ⁴ , Benedikt
5	Lassalle-Kaiser ⁴ , Mohamed Haouas ¹ , Ruxandra Gref ² , and Charlotte Martineau-Corcos ^{1,b}
6	AUTHOR ADDRESS
7	(1) Université Paris-Saclay, UVSQ, CNRS, Institut Lavoisier de Versailles, 78000, Versailles,
8	France
9	(2) Université Paris-Saclay, CNRS, Institut des Sciences Moléculaires d'Orsay, 91405, Orsay,
10	France
11	(3) Université d'Orléans, CNRS, Conditions Extrêmes et Matériaux : Haute Température et
12	Irradiation (CEMHTI), CNRS UPR 3079, 45071, Orléans, France
13	(4) Synchrotron SOLEIL, 91192, L'Orme des Merisiers, Gif-sur-Yvette, France
14	

16 ABSTRACT. Metal-organic framework nanoparticles (nanoMOFs) are novel porous drug delivery 17 systems whose features include high drug loading capacity, versatile functionalization, 18 biocompatibility, and biodegradability. However, little knowledge about the nature of nanoMOFs 19 degradation mechanism is one of many reasons that prevents their clinical use. MIL-100 (MIL 20 stands for Matériaux de l'Institut Lavoisier) is among the most studied nanoMOF for drug delivery. 21 Here, we investigate at the atomic scale the degradation mechanism of metal(III)-trimesate 22 nanoMIL-100 drug carrier in biological-mimicking phosphate medium. By using solid-state NMR 23 (ssNMR) spectroscopy, we found that the first step of nanoMIL-100(Al) degradation is the 24 substitution of labile water ligands, resulting in new coordination bonds between Al(III) and 25 phosphate ions, followed by the substitution of trimesate ligands leading to their release. The data 26 indicated that the reaction-limiting step most likely is the formation of an inorganic 27 aluminophosphate layer at the nanoparticle surface and that drug encapsulation and surface coating 28 affect the nanoMIL-100(Al) degradation. X-ray Absorption Near Edge Structure (XANES) 29 spectroscopy study of nanoMIL-100(Fe) degradation corroborates the hypothesized alteration 30 mechanism of nanoMIL-100(Al). From the ensemble of data, a stepwise degradation mechanism 31 representative for the nanoMIL-100 drug delivery system is proposed.

32

33 1. Introduction

The concept of sustained drug release has been around since the 1960s¹; the quest has never stopped to search for the most appropriate drug carriers for the delivery and controlled release of therapeutic molecules to a targeted location in the patient. Since the first report in 2010²², metalorganic framework nanoparticles (nanoMOFs) as drug carriers have gained increasing interest as they combine the advantages of both their inorganic and organic constituents. Metal-organic frameworks (MOFs) are porous materials made of metal-ligand coordination units assembled as an ordered crystalline structure with high permanent porosity³⁻⁵. This high porosity enables MOFs to capture a large amount of drug within a small amount of carrier, thus potentially reducing the administered dose and related toxicity for the patients.

43 Among the most studied nanoMOFs for drug delivery to date is nanoMIL-100(Fe), belonging to 44 the MOF family of MIL-100. It consists of trivalent metal cations such as Al (III) or Fe (III), and 45 benzene-1,3,5-tricarboxylate ligands, also called trimesate or BTC^{6,7}. Figure 1 illustrates the 46 structure of MIL-100. Its secondary building unit (SBU), the Fe or Al(III) metal trimer, consists 47 of three metal cations (III) coordinated with one central bridging oxygen and six carboxylate 48 groups. The 6-fold coordination sphere is completed with hydroxyl and water ligands. Two metal 49 cations coordinate with one water molecule per cation, and one cation coordinates with one 50 hydroxyl group. Every four SBUs connect through trimesate linkers, creating tetrahedra. These 51 tetrahedra build the MTN type MIL-100 framework, which possesses two kinds of pores: large 52 mesoporous cages of 29 Å diameter, accessible through hexagonal (8.6 Å) and pentagonal windows (4.8-5.5 Å), and small mesoporous cages of 25 Å diameter, accessible exclusively 53 54 through pentagonal opening windows. The accepted formula generally is $M_3O(H_2O)_2OH(C_6H_3(CO_2)_3)_2$ (M is Fe or Al)^{7,8}. In addition, the MIL-100 MOFs consist of 55 56 coordinative unsaturated metal sites (CUS) with strong Lewis acid properties produced upon 57 thermal activation and departure of labile ligands^{9,10}.

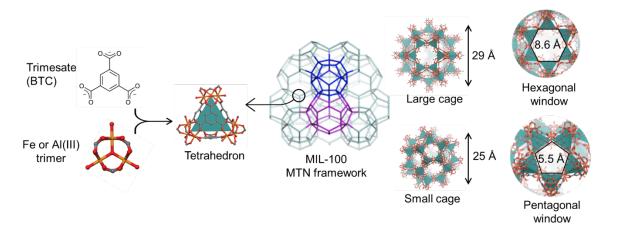


Figure 1. Structure of the MOF family MIL-100. The Fe(III) or Al(III) trimer is 6-coordinate Fe or Al (III) coordinating with bridging oxygen, water, trimesate, and hydroxyl ligands. Tetrahedra, made of Fe or Al(III) trimers on their vertices and trimesates on their faces, are arranged following the MTN framework, creating two types of mesoporous cages: large cages with 29 Å diameter, accessible through hexagonal (8.6 Å) and pentagonal windows (4.8-5.5 Å), and small cages with 25 Å diameter, accessible only through pentagonal windows. Adapted from reference¹¹.

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The high drug payload of nanoMIL-100(Fe) has been demonstrated for various drugs^{12,1312,13}, 67 namely anticancer^{2,14,15}, antibiotic^{16,17}, antiretroviral¹⁸, and a combination of anti-inflammatory and 68 69 antibiotics¹⁹. For example, the anticancer drug busulfan was loaded in nanoMIL-100(Fe) with a 70 payload of 25 wt%, five and sixty times higher than its payload in polymer nanoparticles and in 71 liposomes, respectively^{2,20,21}. Recently, the aluminum-trimesate nanoMIL-100(Al) was also 72 explored for drug delivery. The MIL-100(Al) gel loaded with the anticancer drug doxorubicin 73 (DOX) showed a remarkably high payload of 62 wt%²². More recently, DOX was efficiently 74 encapsulated (74% encapsulation efficiency) in core-shell nanoMIL-100(Al) coated with y-75 cyclodextrin (CD) citrate and was released progressively depending on the initial drug loading²³.

76 Both nanoMIL-100(Al) and nanoMIL-100(Fe) demonstrated high loading capacity for active 77 molecules bearing phosphate moieties because of the strong interaction between Al(III) or Fe(III) 78 CUS and phosphate group^{14,18,24}. Additionally, to improve the nanoMOF's colloidal stability and 79 to modulate their interaction with biological media and cells, the surface of nanoMIL-100(Fe) and nanoMIL-100(Al) was coated covalently with polymers²⁵ or non-covalently with biopolymers, 80 81 lipids and carbohydrates^{15,26-31}. More importantly, for biomedical applications, nanoMIL-100(Fe) has been shown non-toxic and biodegradable in vitro^{2,32,33} and in vivo^{2,21,34,35}. NanoMIL-100(Al) 82 83 has also been shown non-toxic in vitro³³. Still, many questions remain about the nanoMIL-100 84 carrier stability, for instance, toxicity might come from nanoMOF's degradation products³² or drug 85 encapsulation can modify nanoMOF's degradation kinetics²¹. To further progress the clinical 86 translation of nanoMOFs, a critical aspect that needs to be addressed is deciphering the degradation 87 mechanism of the nanoMIL-100 family.

88 However, there is little literature on the important topic of nanoMIL-100 degradation in 89 biological-relevant media and the effects of drug encapsulation and surface coating. A popular 90 hypothesis is that the degradation reaction of MIL-100(Fe) produces free iron(III) ions and trimesic 91 acid. Early studies found that the culprit of degradation is the phosphate component of the cell 92 medium^{18,36}. The higher the phosphate concentration, the higher the trimesate release, the more 93 degraded the nanoMOF¹⁶. Li et al. discovered that despite witnessing more than 30% trimesate 94 ligand loss, the MIL-100(Fe) nano- and micro-particles maintained their sizes and shapes upon 95 exposure to phosphate buffer³⁷. Using Raman and Mossbauer spectroscopy, the authors suspected 96 the formation of an amorphous shell of iron phosphate and/or iron oxide surrounding an intact 97 crystalline core. In addition, using *in situ* atomic force microscopy and high-resolution 98 transmission electron microscopy, Christodoulou et al. also found that the nanoMIL-100(Fe) and 99 microMIL-100(Fe) degraded without collapsing or changing their dimension¹¹¹¹. Degradation of 100 MIL-100(Fe) started at the particle surface, where the crystal planes at the surface disappeared 101 within 2 hours of incubation in phosphate buffer saline (PBS) at pH 7.4. However, none of these 102 techniques have given evidence at the atomic scale for the changes in iron coordination.

103 To study the MOF structure at the atomic scale, solid-state NMR (ssNMR) spectroscopy is a 104 non-destructive and valuable technique to get information about both the long-range crystal 105 structure (when combined with XRD and periodic first-principles calculations)³⁸ and short-range 106 local structure or interactions in MOFs. Deviations from periodic structures in MOFs can happen 107 after chemical modification or functionalization, which are often not detected by routine 108 characterization techniques such as X-ray diffraction and infrared spectroscopy. In this case, 109 ssNMR spectroscopy is a technique of choice for locally probing atoms, their neighboring 110 environments, and chemical bonds while keeping the MOF intact^{39,40}. It has been used extensively 111 to determine the structure of new MOFs^{7,41,42}, and the adsorption-desorption mechanism of liquid 112 and gases^{43,44}. For porous drug delivery systems, ssNMR spectroscopy has been mainly used to study mesoporous silica⁴⁵⁻⁴⁷ and MOFs^{48,49}. For MIL-100(Fe), ssNMR spectroscopy gave indirect 113 114 proof about the interaction between the strong paramagnetic Fe(III) and the drug azidothymidine 115 triphosphate or the coating cyclodextrin phosphate (CD-P)^{18,26}. For diamagnetic MIL-100(Al), 116 ssNMR spectroscopy provided insights about host-guest interaction and location of the drugs 117 adenosine triphosphate (ATP) and doxorubicin, as well as the surface coating cyclodextrin-118 phosphate (CD-P)^{23,24}.

In this work, we further use solid-state NMR spectroscopy to investigate the degradation mechanism at the atomic scale of this nanoMIL-100(Al) in PBS, revealing a stepwise degradation process. We also study the impact of ATP drug loading and CD-P surface modification on the 122 nanoMOF degradation. ATP and CD-P were chosen based on their well-known interaction 123 between molecules bearing phosphate moieties and the Al(III) cations of nanoMIL-100(Al)^{24,26}. 124 The ensemble of ssNMR data (²⁷Al, ³¹P, ³¹P-²⁷Al) reveals the evolution of the metal coordination 125 sphere in MIL-100 during degradation reaction, as a function of several parameters: MIL-100 126 structure, concentration of the degradation medium, interaction with surface coating and loaded 127 drug. To check whether our proposed degradation mechanism applies to the iron MIL-100 analog, 128 we studied the degradation of nanoMIL-100(Fe) in PBS by XANES spectroscopy, which was 129 selected because it can provide information about the local structure of iron(III) in MOFs^{50,51}. This 130 paper shows that using a combination of ssNMR and XANES spectroscopy allows better 131 understanding of the chemistry of nanoMIL-100 drug carriers and their degradation.

132

133 2. Experimental Section

Herein the most important experimental methods and techniques are summarized. Details aregiven in the Supporting Information.

136 2.1. Synthesis of nanoMIL-100(Al) and nanoMIL-100(Fe)

NanoMIL-100(Al) and nanoMIL-100(Fe) were synthesized by microwave-assisted synthesis,
 following a published procedure^{8,52}. All reagents and solvents were used without further
 purification.

140 2.2. Drug encapsulation and surface coating of nanoMIL-100(Al)

141 NanoMOFs were loaded with ATP with the input loading 30 wt% of initial nanoMOF, following 142 a reported soaking procedure¹⁴. A 1.5 mg.mL⁻¹ aqueous solution of ATP disodium salt hydrate was 143 added to a determined milligram of nanoMOF (m_MOF). The final nanoMOF concentration was 144 5 mg.mL⁻¹. The weight of drug remaining in the supernatant after drug loading 145 (m_drug_remaining) was determined by HPLC based on a reported procedure⁵³. The actual drug

146 payload wt% was calculated as: $\underline{m_drug_input - m_drug_remaining}_{m_MOF} \times 100$, where m_drug_input is the

147 weight of drug added to nanoMOF.

NanoMOFs were coated with phosphate-β-cyclodextrin (CD-P) at the theoretical coating 30 wt% of initial MOF, by adapting a published method²⁶. A 3 mg.mL⁻¹ aqueous solution of phosphated β-cyclodextrin CD-P sodium salt (average 3-4 phosphate groups per cyclodextrin unit) was added to a determined milligram of nanoMOF. The final nanoMOF concentration was 10 mg.mL⁻¹. The amount of coating remaining in the supernatant after surface coating (m_coating_remaining) was determined by quantitative liquid-state NMR spectroscopy. The actual surface coating wt% was calculated as: $\frac{m_{coating_input - m_{coating_remaining}}{m_{MOF}}$, where

155 m_coating_input is the weight of drug added to nanoMOF.

156 2.3.Degradation of nanoMIL-100(Al) and nanoMIL-100(Fe)

157 NanoMOF was incubated in PBS pH 7.4 at 37°C for 2-5 days following two conditions: 10

158 mg.mL⁻¹ nanoMOF and PBS 10 mM, and 0.5 mg.mL⁻¹ nanoMOF and PBS 1 mM. PBS used is

159 Dulbecco's Phosphate-Buffered Saline 1X i.e., 10 mM, pH 7.0-7.3, comprised of 8.1 mM

160 Na₂HPO₄, 1.5 mM KH₂PO₄, 138 mM NaCl, and 2.7 mM KCl.

161 2.4. Characterization of nanoMIL-100(Al)

162 Morphology and size of nanoMOF were observed by Transmission Electron Microscopy (TEM)

163 under a 120 kV microscope. Particle size of 0.5 mg.mL⁻¹ nanoMOF suspension in EtOH abs or

- 164 deionized (DI) water was measured by Dynamic Light Scattering (DLS). Specific surface area of
- 165 MOF was measured by the Brunauer–Emmett–Teller technique (BET) after degassing MOF
- 166 powder under vacuum at 100°C overnight. Crystallinity was evaluated by performing Powder X-
- 167 ray Diffraction (PXRD) at λ (Cu K α) of 1.5406 Å. Thermal stability was studied by

168 Thermogravimetric Analysis (TGA). The amount of trimesate and ATP in the supernatant 169 collected after nanoMOF degradation was determined by High-Performance Liquid 170 Chromatography (HPLC) following a published procedure³⁷. The amount of CD-P in the 171 supernatant was determined by NMR spectroscopy, performed at 9.4 T on a Bruker Avance 172 spectrometer equipped with a probe head of 5 mm. The chemical shifts were referenced to 173 tetramethylsilane for ¹H and ¹³C. Coordination structure of nanoMIL-100(Fe) was studied by 174 XANES spectroscopy recorded at the K-edge of Fe at 7112 eV at the SAMBA beamline in 175 Synchrotron SOLEIL, France. Experimental details are reported in the Supporting Information 176 (SI).

177 Coordination structure of nanoMIL-100(Al) was studied by Magic-Angle Spinning (MAS) 178 NMR spectroscopy performed at 11.7 T on a Bruker NEO NMR WB spectrometer equipped with 179 probe heads of 4 and 3.2 mm, except for the ${}^{31}P{}^{-27}Al$ 2D NMR measurement, which was recorded 180 at 17.6 T with a 4 mm probe head. The chemical shifts were referenced to tetramethylsilane for ${}^{1}H$ 181 and ${}^{13}C$, to Al(NO₃)₃ 1 M solution for ${}^{27}Al$, and to H₃PO₄ 85 wt% solution for ${}^{31}P{}$. **Table S1** in SI 182 reports details of NMR experiments.

183

184 3. Results and Discussion

185 3.1. Preparation and characterization of nanoMIL-100(Al)

The first step of this study was the synthesis of nanoMIL-100(Al) suspensions adequate as drug carriers, *i.e.* having a diameter lower than 200 nm and uniform size distribution. However, following a published procedure⁵², the obtained MIL-100(Al) suspension had an inhomogeneous size distribution. Therefore, we used an additional size separation step and successfully prepared nanoMIL-100(Al) with hydrodynamic diameter Z-Average of 147 \pm 14 nm and polydispersity 191 index PdI of 0.10 ± 0.02 (Figure S1). TEM images (Figure 3a and Figure S2) also displayed solid 192 nanoMIL-100(Al) particles with uniform shape and size $(88 \pm 25 \text{ nm})$. The nanoparticle size was 193 overestimated by DLS because in DLS, the average nanoparticle diameter is determined from 194 scattered light intensity and large objects scatter more light than small ones⁵⁴. The chemical 195 composition of the obtained nanoMIL-100(Al) was analyzed by TGA (Figure S4). It also showed 196 good crystallinity (XRD pattern in Figure S5) and a BET surface area of 1770 m².g⁻¹ (N₂ 197 adsorption isotherm in Figure S3a), which agree with published studies of MIL-100(A1)^{7,52}. ¹H 198 and ¹³C MAS NMR spectra in Figure S6 and Figure S7 show typical features for MIL-100(A1) 199 materials.

A recent study by our group detected an extra ²⁷Al peak in MIL-100(Al) nanoparticle, which was not detected in the previous study of MIL-100(Al) microparticle⁷. This extra peak represents the surface Al sites in MIL-100(Al) nanoparticle, indicated by high field NMR experiments ³¹P-²⁷Al *D*-HMQC and ²⁷Al 2D MQMAS²⁴. These surface species were reproduced in this study (**Figure S8**) and are expected to play a crucial role in the degradation of nanoMIL-100(Al).

205 3.2. Degradation mechanism of nanoMIL-100(Al)

In the second step of this study, nanoMIL-100(Al) was incubated in PBS, composed of phosphate monobasic and dibasic salts, at 37°C to mimic the physiological medium. All measurements were performed *ex situ* by recovering the nanoparticles from suspension in PBS after a given degradation period.

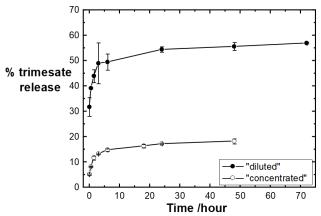


Figure 2. Kinetics of trimesate release, represented by the proportion of trimesate detected in the supernatant after degradation over the initial trimesate content in nanoMIL-100(Al). Two degradation conditions were named "diluted": 0.5 mg.mL⁻¹ MOF in PBS 1 mM, and "concentrated": 10 mg.mL⁻¹ MOF in PBS 10 mM, respectively.

215 As a first step, to choose the suitable conditions for the NMR investigation, we determined the 216 degradation kinetics of nanoMIL-100(Al) and the formation of trimesate by using HPLC. We 217 chose two degradation conditions, "concentrated":10 mg.mL⁻¹ nanoMIL-100(Al) in PBS 10 mM, 218 2 days, and "diluted": 0.5 mg.mL⁻¹ nanoMIL-100(Al) in PBS 1 mM, 2 days. In the "concentrated" 219 condition, the molar ratio of phosphate/Al₃ trimer (P/Al_3) is 0.54:1, meaning that nanoMIL-100(Al) 220 is in excess, whereas in the "diluted" condition, the P/Al₃ is 11:1, and PBS is in excess compared 221 to nanoMIL-100(Al). The percentage of released trimesate upon degradation in PBS is shown in 222 Figure 2. As expected, the release profile of trimesate from nanoMIL-100(Al) had a similar pattern 223 with MIL-100(Fe) and consisted of two stages. In the first three hours after incubation in PBS, 224 there was a rapid generation of trimesic acid. Subsequently, the degradation reaction rate decreased 225 gradually in the next 24 hours and reached equilibrium after 48-72 hours. This plateau of trimesate 226 release after a given period was also observed in other works dealing with MIL-100(Fe)^{11,16,18}.

There could be two explanations for this degradation plateau: 1) insufficient amount of phosphate to react with nanoMIL-100(Al), or 2) another mechanism preventing the reaction from proceeding further. The first explanation was supported by the fact that the trimesate release was higher in the "diluted" degradation, where the ratio P/Al₃ was higher than in the "concentrated" degradation. To investigate further the limitation step of the nanoMIL-100 degradation reaction, the morphology and structure of the degraded nanoMOF were characterized.

Modification of nanoMIL-100(Al) morphology after 48-hour degradation is shown in **Figure 3b** and **Figure S9**. The degraded nanoMIL-100(Al) retained their initial faceted shape and size distribution 98 \pm 24 nm, similar to the degraded nanoMIL-100(Fe) in Li *et al.*³⁷. However, the degraded nanoMIL-100(Al) had holes on its surface, which is somewhat different from the degraded nanoMIL-100(Fe), whose TEM images displayed particles with rounded edges and without holes at the surface.

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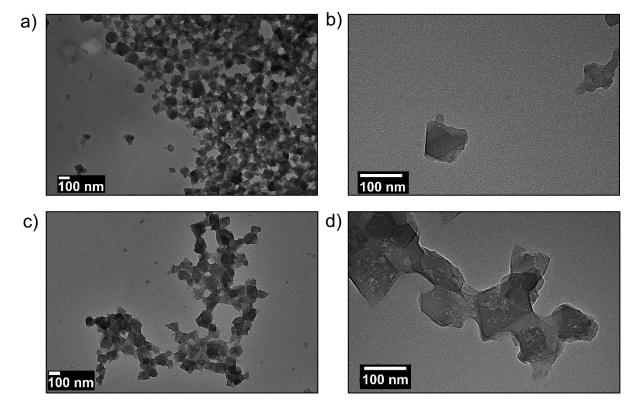


Figure 3. TEM images of intact nanoMIL-100(Al) at 10 k (a) and 30 k (b) magnification. TEM images of degraded nanoMIL-100(Al) at 10 k (c) and 30 k (d) magnification. Degradation was carried out with "diluted" condition: 0.5 mg.mL⁻¹ nanoMOF in PBS 10 mM.

244

245 More importantly, solid-state NMR spectroscopy demonstrates significant changes in the 246 coordination sphere of nanoMIL-100(Al) after 48-hour degradation, indicated by the evolution of 247 ²⁷Al MAS NMR spectra (Figure 4a). The first noticeable change is the growth of the ²⁷Al 248 resonance around -2 ppm, a chemical shift characteristic of 6-coordinate Al cations coordinated 249 to phosphate ions, coming from the MIL-100(Al) trimer and the degradation medium PBS, 250 respectively. The presence of this new coordination mode for the 6-fold aluminum was confirmed 251 in the ²⁷Al multiple-quantum MAS (MQMAS) NMR spectrum of the degraded nanoparticles 252 (Figure 5). The formation of the Al-O-P bond was further evidenced by the ³¹P-²⁷Al 2D MAS 253 NMR spectrum (Figure 4b), which showed a correlation peak between this 27 Al resonance at -2

254 ppm and the ³¹P resonances around –10 ppm. This ³¹P-²⁷Al correlation peak indicates close spatial 255 proximity within 5 Å between these two nuclei, which fits the Al-O-P bond distance. It was also 256 confirmed by ¹H-³¹P and ¹H-²⁷Al 2D NMR spectroscopy (Figure S12 and Figure S13), showing, 257 in the degraded MIL-100(Al), the proximity between the phosphorus and the protons of the linker, 258 and between the new 6-coordinate Al(III) and the trimesate linker. Note that this degraded Al 259 species still remains in 6-coordination state as in the original MIL-100(Al) trimer, indicating that 260 substitution of an existing ligand such as water or trimesate occurred. Trimesate is a stronger Lewis 261 base than water, thus the metal-ligand bond Al-O-H between Al(III) and water is more easily 262 broken than the metal-ligand bond Al-O-C between Al(III) and trimesate. As a result, phosphate 263 ions from PBS should first replace the water ligand and form a strong Al-O-P coordination bond 264 with Al(III) center. Then, due to an increase in interaction between the Al metal center and the 265 newly bonded phosphate ligand, interaction of the Al center with the trimesate ligands decreases. 266 As the connection Al-O-C between carboxylic groups of the trimesate and Al weakens, phosphate 267 can also attack and replace the position of carboxylate. Free trimesic acid is liberated when all 6 268 Al-O-C bonds between a trimesate linker and Al trimers are disconnected.

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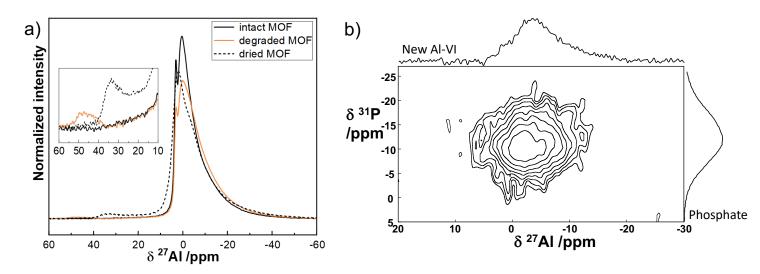
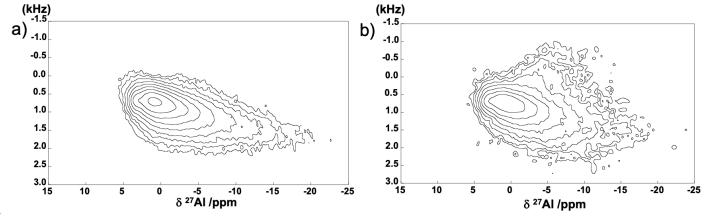


Figure 4. a) ²⁷Al MAS NMR spectrum of intact (solid black line), degraded (orange), and dehydrated (black dash line) nanoMIL-100(Al). The inset graph shows the 40 ppm region corresponding to the 4 and 5-coordinate Al(III). b) 2D ³¹P-²⁷Al MAS NMR spectrum of degraded MOF in excess PBS.



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Figure 5. ²⁷Al MQMAS spectrum of a) original and b) degraded nanoMIL-100(Al)

Notably, the 1D ²⁷Al MAS NMR spectrum of the degraded nanoMIL-100(Al) (Figure 4) shows
another new Al species at 48 ppm, whose chemical shift lies between the range of 4- and 5coordinate aluminum cations. A decrease in the coordination number of aluminum cations in MIL-

281 100(Al) is a known phenomenon observed when heating the sample above $150^{\circ}C$. As in the study 282 of Haouas *et al.*⁵⁵, we also found that the coordinated water ligands were removed by heating, thus 283 creating 5-coordinate Al cations with a ²⁷Al chemical shift of 34 ppm (Figure 4). When the 284 dehydrated MIL-100(Al) re-adsorbed water from ambient air, this 5-coordinate Al cation returned 285 to 6-fold coordination without modifying the framework. However, in the degraded MIL-100(Al), 286 the degraded low-coordinate Al had a higher chemical shift of 48 ppm; and this chemical shift did 287 not change when the sample was exposed to air or heated above 150°C (Figure S11), implying 288 that this low-coordinate Al site no longer coordinates with water ligands. As Al(III) species bonded 289 with phosphate usually have lower ²⁷Al chemical shifts than the same coordination number Al(III) 290 species with no phosphate ligand due to a deshielding effect in NMR⁵⁶, we hypothesize that these 291 Al species at 48 ppm are 4-coordinate Al with at least one phosphate ligand. Such 4-coordinate-Al is favorable in basic pH but not in neutral pH of PBS⁵⁶, which could explain why these species 292 293 have very low intensity in the ²⁷Al NMR spectrum. When the nanoMIL-100(Al) was degraded in 294 excess PBS ("diluted" condition), the intensity of the 4-coordinate ²⁷Al peak increased slightly, 295 and even a new 5-coordinate ²⁷Al peak was observed around 22-25 ppm (Figure 6). Therefore, we 296 ruled out the possibility of an intermediate product, and we assigned these 4- and 5-coordinate ²⁷Al 297 resonances to an inorganic aluminum phosphate AlPO₄^{58,5957,58}. As the 4- and 5-coordinate ²⁷Al 298 peaks only account for 1% of the total Al amount, the amount of these degradation products is low, 299 and their formation may happen only at the surface. If these 4- and 5-coordinate aluminophosphate 300 form a non-porous layer, this layer can passivate the nanoMIL-100(Al) surface, prevent phosphate 301 from reacting with nanoMIL-100(Al), thus slowing down the degradation reaction. Blocked access 302 to the nanoMIL-100(Al) was supported by a 10-time decrease in BET surface area (Figure S3).

303 The existence of this passivation layer may explain how degradation and trimesate release reached
304 a plateau after 48 hours as determined by HPLC (Figure 2).

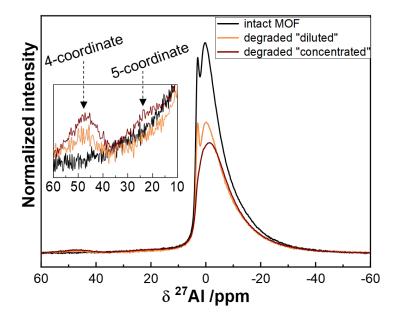




Figure 6. ²⁷Al MAS NMR of the degraded nanoMIL-100Al) at the "diluted" and "concentrated" conditions, demonstrating the effect of increasing P/Al₃ ratio on the nanoMOF degradation reaction. The arrows indicate the position of 4- and 5-coordinate Aluminum species

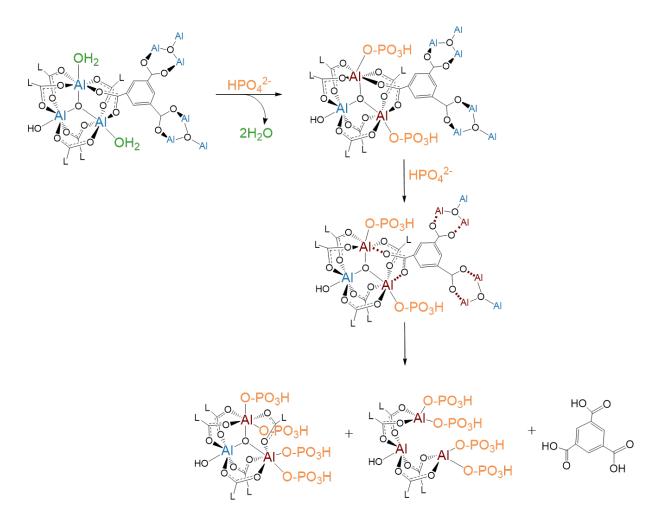
309 The present ssNMR study about nanoMIL-100(Al) so far agrees with the hypothesis of Li et al. 310 about nanoMIL-100(Fe)³⁷ that aluminum phosphate/iron phosphate complex is the degradation 311 product of nanoMIL-100 reacting with phosphate buffer PBS. While Li et al. could only 312 hypothesize the formation of iron phosphate and iron oxide hematite and goethite³⁷, the present 313 study offers concrete evidence about the structure of the degraded nanoMIL-100(Al) by showing 314 the coordination states of Al and the likely formation of Al-O-P bond in the degradation product. 315 To summarize, we propose for the first time a molecular mechanism of nanoMIL-100(Al) 316 degradation in the mimicking biological medium PBS as follows (Figure 7):

1) Phosphate ions in PBS attack nanoMIL-100(Al) surface, substitute labile water ligands of
MIL-100(Al), and form coordination Al-O-P bond with MIL-100(Al). Consequently, the Al-O-C
coordination bonds between MIL-100(Al) trimer and trimesate linker are weakened.

2) Phosphate ions substitute carboxylate of trimesate linker. As the substitution of water and
trimesate continues, the formation of 6-fold aluminum atoms with a new coordination sphere (i.e.,
aluminum bonded with 1 to 2 phosphate groups) continues, producing the main degradation
products of nanoMIL-100(Al). The release of free trimesic acid starts when all six Al-O-C bonds
between a trimesate linker and Al trimers are broken.

325 3) New 4 and 5-coordinate Al species are produced, most likely as a layer of inorganic aluminum 326 phosphate $AIPO_4$ on the nanoMIL-100(Al) surface, due to cleavage of trimesate linker and the 327 aluminum-oxo center

4) After 6 hours, the insoluble aluminum phosphate species AlPO₄ passivate the MOF pore and pore window. The intact trimers of nanoMIL-100(Al) are no longer exposed to a high amount of phosphate. As a result, the degradation reaction reaches equilibrium, and the release of trimesate reaches the maximum.



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Figure 7. Proposed degradation mechanism of nanoMIL-100(Al) in phosphate buffer solution

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335 3.3. Degradation mechanism of drug-loaded and surface-coated nanoMIL-100(Al)

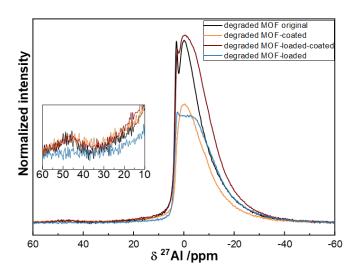
In the final step, we analyzed the effect of surface coating and drug loading on the nanoMIL-100(Al) degradation processes. As reported in the literature, the presence of loaded drug and surface coating could alter the physicochemical properties of nanoMOF^{21,26}, thus potentially affecting nanoMOF's degradation reaction.

340 NanoMIL-100(Al) was successfully loaded with adenosine triphosphate (ATP) at 18.6 ±0.8

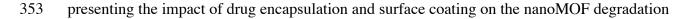
341 wt%, and coated with β -cyclodextrin-phosphate (CD-P) at 28.3 ± 0.4 wt%. Before studying the

342 degradation, the drug loading and surface coating quality were evaluated. Results of ²⁷Al and ³¹P

343 MAS NMR in Figure S14a and Figure S14b agree with our previous study²⁴. ³¹P MAS NMR 344 (Figure S16b) showed that the drug ATP is captured inside MIL-100(Al) by chemical adsorption through Al-O-P coordination. ²⁷Al MAS NMR (Figure S16a) showed that the CD-P coating is 345 346 located at the surface and does not penetrate the MOF core. The integrity of the trimesate framework was checked by TEM and ¹³C MAS NMR, see Figure S15 and Figure S17, 347 348 respectively. From now on, we denote in the figures below the CD-P-surface-coated nanoMIL-349 100(Al) as MOF-coated, the ATP-drug-loaded nanoMIL-100(Al) as MOF-loaded, the ATP-drug-350 loaded-CDP-surface-coated nanoMIL-100(Al) as MOF-loaded-coated.



352 **Figure 8.**²⁷Al MAS NMR spectra of degraded original and degraded modified nanoMIL-100(Al),



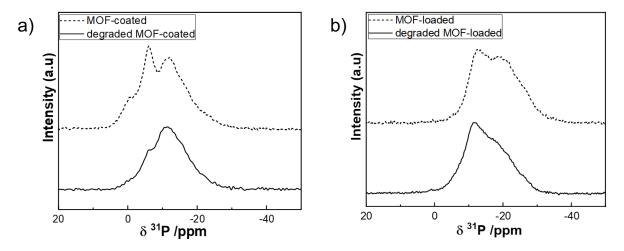


Figure 9. ³¹P MAS NMR of a) degraded CD-P-coated MIL-100(Al) compared to CD-P-coated
MIL-100(Al), and b) degraded ATP-loaded-MIL-100(Al) compared to ATP-loaded-MIL-100(Al).
CD-P and ATP are still present in the degraded nanoMOF after 4-5 days of degradation

Degradation of the CD-P coated nanoMIL-100(Al) is similar to degradation of the non-coated one. In **Figure 8**, the ²⁷Al MAS NMR spectrum of the degraded MOF-CDP shows both the hexacoordinate Al-O-P around -2 ppm and the tetra-coordinate aluminophosphate at 48 ppm. Observing a similar degradation product, we speculate that, as CD-P covers approximately 30% of the nanoMIL-100(Al) surface²⁶, degradation reaction still occurs at the nanoMOF's surface not attached to CD-P, following the reaction mechanism proposed in the previous section.

Additionally, the ³¹P MAS NMR spectrum of the degraded MOF-CDP in **Figure 9a** displays a shoulder around -10 ppm which belongs to CD-P, and the dominant signal of the phosphate coordinated with the MIL-100(Al) framework around -15 ppm. The presence of CD-P coating even after five days of degradation suggests that CD-P competes with inorganic phosphate ions to complex with Al(III). Consequently, PBS phosphates can only partially displace CD-P.

Meanwhile, the degradation reaction of the ATP-loaded nanoMIL-100(Al) exhibits features distinctive from that of the empty nanoMOF. No sign of low-coordinated Al was detected. ²⁷Al

370 NMR (Figure 8) still showed the 6-coordinate Al species around -2 ppm as the main degradation 371 product, while the Al species bonded with phosphate ATP was still present. Likewise, the ³¹P MAS 372 NMR spectrum in Figure 9b shows both a peak of coordinated PBS phosphate and a shoulder 373 signal of ATP. Both ²⁷Al and ³¹P NMR data consistently suggest that nanoMIL-100(Al) loaded 374 with ATP is less degraded than the empty one, and only a part of ATP is liberated from the 375 framework. Similar to the surface coating CD-P, the loaded drug ATP competes with inorganic 376 PBS phosphates to coordinate the Al(III) of nanoMIL-100(Al). The triphosphate ATP is a much 377 stronger complexing ligand towards Al(III) than the hydrogen phosphate and dihydrogen 378 phosphate ions⁶⁰, meaning that MIL-100(Al) forms a more stable coordination complex with ATP 379 than with phosphate ions. Therefore, it is difficult to break the coordination between ATP and 380 Al(III) by solely phosphate PBS, which explains the presence of ATP in the ³¹P NMR spectrum, 381 even after days of degradation. However, the strong Al-O-P bond between Al(III) and ATP makes 382 the Al-O-C bond between Al(III) and trimesate linker more easily attacked by phosphate. We 383 hypothesize that phosphate attacks the Al-O-C bond with trimesate, forming hexa-coordinate Al-384 O-P in the region -2 to -5 ppm observed in the ²⁷Al spectrum. When the Al center forms a new 385 coordination bond with inorganic phosphate, its coordination bond with ATP is weakened. As a 386 result, the probability is higher for phosphate to displace ATP from the framework. Another factor 387 to be considered is the steric effect caused by ATP. Bulky ATP possibly hinders phosphate 388 diffusion in the MIL-100(Al) trimer, thus preventing trimesate substitution.

In addition, the notable absence of the low-coordinate aluminophosphate can be explained by the chelating capability of triphosphate $ATP^{61,62}$. ATP coordinates with MIL-100(Al) through the terminal γ -phosphorus. During the breaking of the Al-O-C bond, the β -phosphorus of ATP can compete with phosphate PBS ions and create a second coordination bond with Al. The bidentate Al-ATP coordination not only halts the formation of low-coordinate Al but also prevents the 100%
release of ATP. Consistently, only 60% ATP was released in excessive PBS, while 100% of
trimesate ligand was found by HPLC (data not shown).

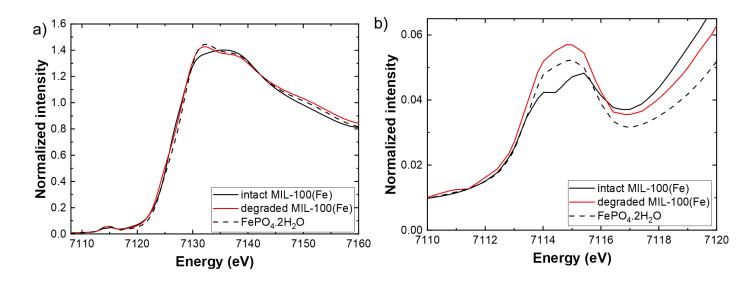
Finally, combining the effect of drug encapsulation and surface coating, **Figure 8** shows that degradation of the ATP-loaded CD-P-surface-coated nanoMIL-100(Al) produces both 6coordinate aluminophosphate complex and 4-coordinate inorganic aluminophosphate, but with a slightly lower proportion of 4-coordinate degraded complex (1.0%) than that in the surface-coated empty nanoMIL-100(Al) (1.6%). Also, the ²⁷Al peak at 2.5 ppm belonging to the original nanoMIL-100(Al) is more preserved in the degraded one in the presence of the drug ATP.

In summary, the degradation reaction of the modified MOF including the ATP-loaded and the
CD-P-surface coated nanoMIL100(Al) can be summarized as follows:

404 1) Surface coating with CD-P has a negligible effect on the degradation of nanoMIL-100(Al).
405 6-coordinate and 4-coordinate aluminum complexes with phosphate are formed as described in the
406 degradation mechanism of the original nanoMIL-100(Al)

407 2) Encapsulation of ATP inside nanoMIL-100(Al) impacts significantly the nanoMOF 408 degradation. Degradation of ATP-loaded nanoMIL-100(Al) starts with the substitution of 409 trimesate Al-O-C bond, thus producing hexa-coordinate aluminophosphate and free trimesate. 410 However, the bulky ATP limits the amount of trimesate release. The coordination of PBS 411 phosphate with the Al trimer facilitates the dissociation of the Al-O-P bond with ATP. Then, 412 phosphate from PBS replaces the bonded ATP, leading to the release of free ATP. During the bond 413 dissociation process, the coordinated ATP can make the second coordination bond with the 414 degraded Al trimer. Consequently, no low-coordinated Al is created, and ATP is not entirely 415 released.

3.4. Degradation mechanism of nanoMIL-100(Fe), in comparison with nanoMIL-100(Al)
To evaluate whether our proposed degradation mechanism applies to the iron MIL-100 analog
nanoMIL-100(Fe), XANES spectroscopy was used to study the *ex situ* degradation of the original,
CD-P surface-coated, and ATP-loaded nanoMIL-100(Fe). Similar to nanoMIL-100(Al),
nanoMIL-100(Fe) was incubated in PBS at 37°C, then the degraded nanoparticles were recovered
after 48 hours. A "very diluted" condition: 0.5 mg.mL⁻¹nanoMIL-100(Fe) in PBS 10 mM was used
to produce as much as possible degraded MIL-100(Fe) species.



423 **Figure 10**. a) Normalized Fe K-edge XANES spectra, and b) zoom on pre-edge region of degraded

424 nanoMIL-100(Fe) compared with original nanoMIL-100(Fe) and iron(III) phosphate dihydrate

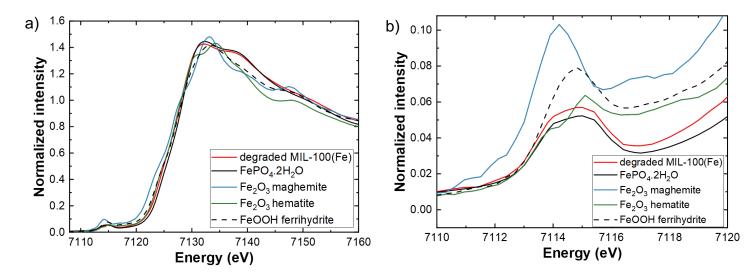
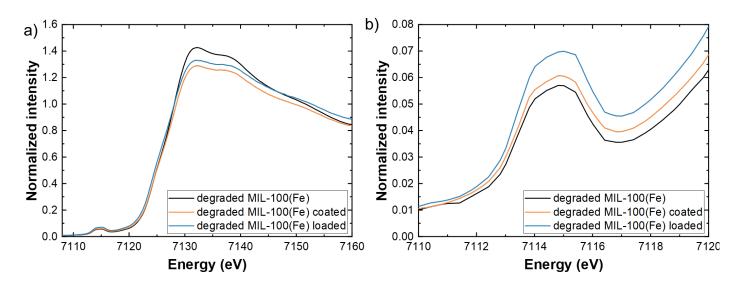


Figure 11. a) Normalized Fe K-edge XANES spectra, and b) zoom on the pre-edge region of degraded nanoMIL-100(Fe) compared with several Fe(III) possible degradation products, iron phosphate, iron oxides, and iron oxyhydroxide

428 The evolution of original nanoMIL-100(Fe) after degradation is shown in Figure 10. The 429 XANES Fe K-edge spectrum of the degraded nanoMIL-100(Fe) is reminiscent of that of iron (III) 430 phosphate dihydrate, a substance having 6-coordinate iron (III) bonds with phosphate through Fe-431 O-P bond⁶³. This similarity is also observed in the pre-edge region, where the intact MIL-100(Fe) 432 shows two features at 7114 and 7115.4 eV, while the degraded MIL-100(Fe) and FePO₄.2H₂O 433 only show a single, broad peak at 7114.9 eVc. Moreover, the XANES spectrum of this degraded 434 nanoMIL-100(Fe) is different from those of iron (III) oxide and iron (III) oxyhydroxide, excluding 435 their presence in the degraded nanoMIL-100(Fe) (Figure 11). Therefore, we propose that the first 436 step of nanoMIL-100(Fe) degradation also forms an iron-phosphate-6-coordinate species with Fe-437 O-P coordination bond, in the same way as illustrated in **Figure 7**, where phosphate from PBS 438 replaces water ligand of nanoMIL-100(Fe) and coordinates with Fe(III). The 4-coordinate iron 439 phosphate species were not detected due to its low amount, probably 1% of iron in the degraded 440 nanoMIL-100(Fe). Note that nanoMIL-100(Fe) also became less porous after degradation (Figure 441 S18) with a smaller decrease (50% decrease) in the BET surface area than in nanoMIL-100(Al)
442 (90% decrease).

In addition, after degradation reaction, the oxidation number of Fe in nanoMIL-100(Fe) does not change and remain as +III, as the degraded and original nanoMIL-100(Fe) have the same edge position (**Figure 10a**), and the edge position of nanoMIL-100(Fe) is close to the values of Fe(III) compounds (**Figure S19**). Previously observed by other authors using Mossbauer spectroscopy³⁷, this maintenance of Fe oxidation number after nanoMIL-100(Fe) degradation is consistent with our proposed degradation mechanism based on the model nanoMIL-100(Al) (**Figure 7**) which describes degradation as an acid-base reaction.



450 Figure 12. a) Normalized Fe K-edge XANES spectra of degraded original and modified nanoMIL451 100(Fe), and b) zoom on the pre-edge region

The effect of ATP drug loading and CD-P surface coating on the nanoMIL-100(Fe) degradation was also investigated. **Figure S20** shows that the ATP-drug-loaded and CD-P-surface-coated nanoMIL-100(Fe) share the same XANES features as the original one, indicating that drug loading and surface coating do not degrade nanoMIL-100(Fe). **Figure 12** shows that degradation of drugloaded, and surface-coated nanoMIL-100(Fe) produces similar species as obtained from degradation of original one, as all three XANES and pre-edge spectra have similar shapes and
features. Once again, XANES spectroscopy shows that 6-coordinate iron-phosphate was formed
during the degradation reaction of nanoMIL-100(Fe).

This complementary study with XANES suggests that the first step in degradation of nanoMIL100(Fe) likely follows the degradation reaction of its aluminum analog, corroborating the ssNMR
study on nanoMIL-100(Al).

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464 4. Conclusions

465 By using solid-state NMR spectroscopy, we obtained novel knowledge about the degradation 466 mechanism of nanoMIL-100(Al) and nanoMIL-100(Fe) in phosphate buffer. For the first time, we 467 find direct evidence about the change of the metal coordination sphere and the limiting reaction 468 step in the nanoMIL-100 degradation mechanism. First, upon substitution of labile water ligands 469 by phosphate, Al-O-P coordination bonds are formed between aluminum species at the surface of 470 nanoMOF and phosphate from the medium, creating 6-coordinate aluminophosphate. Second, the 471 substitution of trimesate by phosphate leads to the release of free trimesate and creates 4-coordinate 472 inorganic aluminum phosphate. This 4-coordinate aluminum, which has not been observed before, 473 can passivate the nanoMOF surface and slow down the degradation reaction. Additionally, our 474 study demonstrates that chemical modification such as drug encapsulation and surface coating can 475 impact the nanoMOF degradation, hence its drug release process, as a function of metal-ligand 476 bond strength.

To conclude, insights about the degradation mechanism of nanoMOF from this work contribute to the understanding of MOF chemistry and help optimize the performance of nanoMOF drug carriers. This study also highlights the useful role of ssNMR spectroscopy with the support of

480 XANES spectroscopy in investigating in depth the nanoMOF structure. Using ssNMR 481 spectroscopy, we were able to obtain enough evidence about the coordination sphere and the 482 reaction limiting step of nanoMIL-100(Al) degradation, whilst XANES spectroscopy provided 483 complementary proof on the reaction of nanoMIL-100(Fe). The obtained knowledge in this study 484 prompts new studies on understanding drug delivery chemistry and developing characterization 485 tools for drug nanocarrier. Comparative studies of MIL-100(Al) and MIL-100(Fe) are being 486 carried out to investigate the behaviors of MIL-100 analogs. Various types of drug compounds can 487 be explored when different host-guest interactions and degradation behaviors are expected. In the 488 future, we will develop a new NMR spectroscopy method to monitor *in situ* drug delivery 489 processes of nanoMOF.

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491 ASSOCIATED CONTENT

Supporting Information. The supporting information contains: 1) experimental procedures of
nanoMOF preparation and characterization, 2) standard characterization of nanoMIL-100(Al):
DLS, TEM, XRD, BET, TGA, ¹H MAS NMR, ¹³C MAS NMR, ²⁷Al MAS and MQMAS NMR
spectra, 3) complementary NMR measurements on degraded nanoMIL-100(Al):, ³¹P, ¹H-³¹P, ¹H²⁷Al. The file is available free of charge *via* the Internet at http://pubs.acs.org

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498 AUTHOR INFORMATION

- 499 Corresponding Authors
- 500 Charlotte Martineau-Corcos, <u>ccorcos@cortecnet.com</u>, Orcid: 0000-0003-1887-1042
- 501 Ruxandra Gref, ruxandra.gref@universite-paris-saclay.fr, Orcid : 0000-0002-7869-0908

502 Authors

- 503 Mai Dang Le Vuong
- 504 Ioanna Christodoulou
- 505 Marianna Porcino
- 506 Si-Thanh Dong
- 507 Benedikt Lassalle-Kaiser
- 508 Mohamed Haouas

509 Present Addresses

- 510 (a) Université Paris-Saclay, UVSQ, CNRS, Institut Lavoisier de Versailles, 78000, Versailles,
- 511 France
- 512 (b) CortecNet, 7 avenue du Hoggar, 91940 Les Ulis, France

513 Author Contributions

- 514 C.M.C. and R.G. conceived the idea and designed the experiments. M.V. and I.C. synthesized
- and characterized the materials. M.P. performed the high field MAS NMR spectroscopy
- 516 experiments. M.V., B.L-K., and S-T.D. performed and analyzed XANES spectroscopy
- 517 measurement. M.V. and C.M.C. analyzed data. All authors participated in the data discussion.
- 518 M.V. wrote the manuscript, and all authors revised the manuscript. All authors have approved
- 519 the final version of the manuscript.

521	Notes

522 The authors declare no competing financial interest.

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