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### **To cite this version:**

Marine Cacioppo, Mathieu Lempereur, Antoine Marin, Helene Rauscente, Armel Cretual, et al.. Motor patterns of the impaired upper limb in children with unilateral cerebral palsy performing bimanual tasks. Clinical Biomechanics, 2022, 97, pp.105710. 10.1016/j.clinbiomech.2022.105710. hal-03775192

## **HAL Id: hal-03775192 <https://hal.science/hal-03775192v1>**

Submitted on 22 Jul 2024

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# **Motor patterns of the impaired upper limb in children with unilateral cerebral palsy performing bimanual tasks**

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#### **Length:**

Abstract: 250 words / Main text: 3165 words

2 Tables and 3 Figures

5 Supplementary Materials

28 References

# **ABSTRACT**

#### **Background**

Upper limb movement patterns have not yet been identified in bimanual conditions despite the difficulties children with unilateral cerebral palsy have performing bimanual activities. The aim was to identify specific motor patterns from kinematic deviations during bimanual tasks in this population.

#### **Methods**

Twenty children with unilateral cerebral palsy and 20 age-matched, typically developing children performed the five tasks of a 3D bimanual protocol. To evaluate upper limb kinematic deviations, 10 Arm Variable Scores were calculated for the affected /non-dominant upper limb of each participant for each task. Sparse K-means cluster analysis was applied to the 50 Arm Variable Scores of all the children to identify motor patterns and determining variables. Clinical tests of impairment (muscle strength, selectivity, spasticity) and function (Assisting hand assessment, Abilhand-Kids) were compared between the clusters obtained.

#### **Findings**

Three different motor patterns were identified using the data from all the children: mild, proximaldistal and proximal-distal with trunk. The most important cluster determinants were the Arm Variable Scores for pronation-supination and wrist extension. In the cerebral palsy group, scores of impairments (p<.01) and function (Assisting Hand Assessment [p<.001] and Abilhand-Kids [p=.004]) differed for each motor pattern. Supination and wrist extension deviations differed significantly between the groups (p<.001).

#### **Interpretation**

During performance of bimanual tasks, children with unilateral cerebral palsy used distinct motor patterns that each corresponded to a specific clinical profile. Elbow-wrist deviations were the largest and most decisive and were specific to the cerebral palsy group: they should be the target of interventions to enhance bimanual function.

#### **ClinicalTrials.gov identifier: NCT03888443**

# **KEYWORDS**

children; cerebral palsy; motion analysis; upper limb; bimanual; motor pattern

## **1. INTRODUCTION**

Children with unilateral cerebral palsy (uCP) have difficulty with bimanual interaction and coordination. Since most activities of daily living are bimanual, assessing movement limitations in bimanual conditions [1,2] would help to identify the impairments that negatively impact the performance of daily life activities [3]; therapies and interventions could then be tailored accordingly. However, to date, bimanual assessments remain semi-quantitative and do not include kinematics. To this purpose, a bimanual 3D motion analysis (3DMA) protocol, 'Be An Airplane Pilot' (BE-API) was developed and validated to assess the kinematics of the impaired upper limb (UL) of children with uCP [4,5]. It consisted of a five-task protocol integrated into a game scenario. Each task evaluated specific impaired UL joint angle during bimanual tasks: the five tasks together evaluated all the UL joint angles.

Few studies have attempted to classify overall UL movement patterns in children with uCP; furthermore, movement pattens have only been evaluated during unimanual and not bimanual tasks [6–8]. Such a classification presents a challenge because of to the complexity of UL movements and the necessity to account for the large clinical heterogeneity within this population. However, clinicians would have a better understanding of a child's functional limitations if they could identify the contribution of each joint to the overall UL movement pattern, as well as the movement specificities of children with CP compared to typically developing children (TDC). In addition, it would be useful to determine if specific movement patterns during bimanual tasks were associated with certain clinical profiles: relationships between clinical assessments and UL kinematics have previously been reported [4,9]. This information would offer valuable insights into abnormal movement that would help to tailor impairment-based UL treatments.

Our hypothesis was that distinct patterns of UL movement could be identified in children with uCP performing bimanual tasks. The main objective of this study was therefore to use UL joint deviation data recorded during bimanual tasks in children with uCP and TDC to identify motor patterns, and to identify the kinematic variables which were the most discriminant for each pattern. The second objective was to determine if there were differences in clinical ratings of impairment and function between each motor pattern. The third objective was to compare kinematic movement deviations between children with uCP and TDC to identify those which were specific to the uCP group.

# **2. METHODS**

#### **2.1 Participants**

This study used data from the validation study of the "Be An Airplane Pilot 2.0" (BE-API 2.0) protocol [4]. Twenty children with uCP were recruited from the Physical Medicine and Rehabilitation Department of Rennes University Hospital (France) between February and June 2019. Children aged 6 to 17 years with sufficient UL movement (reach and grasp) to perform the protocol tasks (Manual Ability Classification System (MACS) [10] level I to III) were prospectively included. Children with severe cognitive or visual disturbances, UL pain, previous UL surgery or who had undergone botulinum toxin injections less than three months previously were excluded. Twenty age-matched TDC were included for comparison. Approval was obtained from the ethical committee Ouest II from Angers. All the parents and children received oral and written information about the study and non-opposition to the use of the data was collected.

#### **2.2 3D-Motion analysis procedure**

The BE AP 2.0 protocol was performed by both the children with uCP and the TDC. This protocol is the first to propose an overall assessment of UL degrees of freedom (DoF) during bimanual tasks with 3D kinematic recordings, and has been found to have excellent psychometric properties for validity and reliability in both children with uCP and TDC [4].

The BE-API 2.0 protocol involves 3D kinematic recording of five bimanual tasks performed in a game scenario [4]: flying an aeroplane. It involves the manipulation of a 2-handed joystick, turbo, shifter, dashboard, box and buzzer. Each task ("flying mission") is designed to evaluate one or two UL DoF in particular. Task 1 "flying over mountains" consists of using both hands to push the wheel down and pull it back up (elbow extension and wrist adduction). Task 2 "slaloming" consists of rotating the wheel with the affected hand 90° upwards then 90° downwards while pressing the turbo with the dominant hand (shoulder rotations). Task 3 "hooking the luggage" consists of hanging a magnet on a dashboard as high as possible while the other hand keeps the wheel horizontal (shoulder plane of elevation and elevation). Task 4 "opening the door" consists of hanging a magnet on a dashboard as high as possible while the other hand keeps the wheel horizontal (wrist extension). Task 5 "refueling" consists of pressing the buzzer with the dominant hand while the affected hand supinates to catch a gas coin and places it on the gas tank (supination). For the TDC group, the non-dominant hand was used to perform the tasks which were performed by the affected hand in the uCP group. More details of the set-up and tasks are provided in Cacioppo et al. [4] and Supplementary Material 1.

In this protocol, bimanual tasks are considered as the simultaneous use of both hands, either to perform a symmetrical (task 1) or asymmetrical (tasks 2, 3, 4 et 5) movement. In asymmetrical tasks, the movement of interest was only performed by the impaired (non-dominant) UL while the dominant UL was involved in a different, simultaneous movement to accomplish the task.

#### **2.3 Data collection**

Kinematic data were recorded with a 10 camera VICON® system (sampling 100 Hz, Oxford Metrics, UK). Twenty-six 9mm markers were fixed to the trunk, arms, forearms and hands according to the recommendations of the International Society of Biomechanics (ISB) [11] (Supplementary Material 2). Shoulder (rotations, plane of elevation, elevation), elbow (flexion-extension, pronation-supination) and wrist (flexion-extension, abduction-adduction) kinematics were calculated for each task using the Euler sequences recommended by the ISB [11]. The shoulder joint was defined as the "thoraco-humeral joint" and its centre was estimated using a functional method [12,13].

#### **2.4 Data analysis**

Arm Profile Scores (APS) and Arm Variable Scores (AVS) were calculated for each task, as described in Jaspers et al. [14], except for scapula movements. Each angular waveform was time-normalized between 0 and 100%. Then, AVS were calculated using the root mean square error (RMSE) between the point-by-point comparison of each joint angle of a child and the mean value for the same joint angle from the database of the 20 TDC age-matched children included in the study. Therefore, a total of 10 AVS were calculated for each task: trunk (flexion/extension, abduction/adduction, axial rotation), shoulder (plane of elevation, elevation, rotation), elbow (flexion/extension, pronation/supination) and wrist (flexion/extension, abduction/adduction). The APS corresponds to the mean RMSE of all 10 joint angles and is used to measure the total amount of movement deviation in each task. The 10 AVS and APS were presented together in a bar chart representing the Arm Movement Analysis Profile (A-MAP) (Figure 3). All the data were processed with Matlab® (MathWorks, Natick, MA, USA).

#### **2.5 Clinical assessments**

On the same day as they performed the BE API 2.0 protocol, each child with uCP also underwent an assessment of impairment (muscle strength, selectivity and spasticity) and two functional evaluations (Assisting Hand Assessment (AHA) [15] and the ABILHAND-Kids [16]) (Supplementary Material 3).

Muscle strength, selectivity and spasticity were evaluated for 16 muscle groups and individual muscles by experienced physiotherapists (Supplementary Material 4). Muscle strength was evaluated using the Medical Research Council scale (MRC) [17], a six-point ordinal scale from 0

to 5 in which 0 indicates no muscle contraction and 5 indicates normal strength. A composite strength score was defined as the sum of the muscle weakness scores (total score: 0-80). Muscle selectivity was evaluated using selective motor control (SMC) [18], a five-point ordinal scale from 0 to 2, in increments of 0.5, in which a score of 2 corresponded to normal selectivity. A composite selectivity score was defined as the sum of the muscle selectivity scores (total score: 0-32). Muscle spasticity was evaluated with the Modified Ashworth Scale (MAS) [19], a six-point ordinal scale, rating from 0 to 4 (possible scores: 0,1,1+,2,3,4). A score of 0 represents normal muscle tone, whereas a score of 4 corresponds to no possible movement due to rigidity. A composite spasticity score, rated from 0 to 5, was defined as the sum of MAS scores for each child (total score: 0-80 [16 muscles x 5 levels]).

The Assisting Hand Assessment (AHA) [15], was used to evaluate bimanual performance. It involves rating the spontaneous use of the impaired UL from a video recording of bimanual tasks performed during a play-session. The total score ranges from 0 to 100 AHA-units, where 100 AHA-units corresponds to normal spontaneous use of the impaired hand. It was rated by a trained occupational therapist. The Abilhand-kids questionnaire [16] was used to assess manual performance in daily activities. It involves the rating of 21 mostly bimanual activities by the parents. The score is expressed in %-logits and higher scores correspond to higher manual ability.

#### **2.6 Statistical methods**

Sparse K-means (SK-means) cluster analysis [20] was applied to the AVS of all the children in order to define UL motor patterns, following the recent method applied by Abbasi et al. for gait analysis [21], using R studio®. In contrast with classical K-means analysis, this method simultaneously identifies both clusters and decisive variables, and thus yields results that are more easily interpreted clinically. Variables were inputted in the clustering process with no prejudgment of their influence. SK-means analysis uses a LASSO-type penalty to select the variables which contribute to maximize the between-cluster distance. This penalty estimates the weighting of each variable in this maximization problem. Then, the relative weighting (RW) for 127 variable *i* was calculated as  $w_i / \sum_{w_i} \times 100$ . All AVS were considered independently for each of the 5 tasks in the clustering. The optimal number of clusters was determined with the elbow method [22].

The results of the clinical tests of impairment (muscle strength, selectivity and spasticity) and function (MACS level, AHA and Abilhand-Kids) were then compared between the resulting motor patterns using a one-way ANOVA or Kruskal-Wallis test according to the data distribution.

AVS were compared between groups using a repeated measures ANOVA (mixed model) with uCP/TDC as a between-subject factor and AVS and tasks as within-subjects factors. APS were also compared between groups using a repeated measures ANOVA. A post-hoc analysis with a Bonferroni correction was applied on significant results. All the statistical tests were performed with a two-tailed significance level of 5% (using Statistica® 13.0 (StatSoft, TIBCO Software Inc.)).

## **3. RESULTS**

#### **3.1 Participants (Figure 1)**

Twenty children with uCP were enrolled in this study (mean age 11.4 (±3.01) years; 16 girls; 10 right side impairment). Ten children had MACS level I, 5 MACS level II and 5 MACS level III. Mean MRC score was 58.9 (±12.1), SMC score was 25.2 (±5.8), and MAS score was 2.45 (±4.1). Mean AHA score was 67 (±17) AHA-units and mean ABILHAND-Kids score was 74 (±12.3) %- logits (one missing). Twenty age-matched TDC were included for comparison (mean age 10.7 (±2.96) years; 15 boys; 18 left side non-dominant).

### **3.2 Motor patterns identified from kinematic deviation (AVS) clusters**

#### **3.2.1 SK-means clustering (Figure 2)**

The sensitivity analysis for the SK-means clustering algorithm indicated that the optimal number of clusters for the dataset (40 children) was 3. When the data from the entire protocol (i.e. all tasks) were pooled, all TDC were classified as Pattern 1, except one child.

### **3.2.2 Description of the three motor patterns (Figure 2.1 and Table 1)**

#### **3.2.2.1 Pattern 1 – Mild deviations**

Pattern 1 included 9 children with uCP and 19 TDC. Deviations were generally small and the most deviated DoF differed for each task. Elbow pronation-supination was persistently more deviated than the other DoF, except in Task 4. Mean AVS was 9° (2.14-17.12°).

#### **3.2.2.2 Pattern 2 – Proximal-distal deviations**

Pattern 2 included 8 children with uCP and 1 TDC. AVS were higher than for Pattern 1 in each task: mean AVS was 14.5° (1.9- 41.2°). The largest deviations were both distal and proximal (elbow pronation-supination, wrist extension, elbow extension, wrist adduction, shoulder plane of elevation, rotations). Elbow pronation-supination was persistently more deviated than the other DoF except in Task 4. Wrist adduction AVS was higher than in Patterns 1 and 3 (Supplementary Material 5).

#### **3.2.2.3 Pattern 3 – Proximal-distal and trunk deviations**

Pattern 3 included 3 children with uCP. The largest deviations were for distal joints (elbow extension, elbow pronation-supination and wrist extension). Shoulder rotations, plane of elevation, elevation and rotations were largely deviated especially for Tasks 2 and 3 which particularly involved shoulder movement. Elbow pronation-supination was persistently more

- deviated than the other DoF except in Task 4. Trunk AVS were higher than in Patterns 1 and 2, especially trunk rotation AVS. Mean AVS was 23.9° (2.9-102.8°) (Supplementary Material 5).
- 

#### **3.2.3 Cluster determinants (Figure 2.2)**

From the 50 variables included in the cluster analysis, 17 accounted for more than 80% of the total weights of the variables. The AVS for each task all contributed to the final clustering decision. The most decisive AVS were elbow pronation-supination (tasks 1,2,3,5; RW=5.19- 22.7%), wrist extension (all tasks; RW=2.72-11.11%) and elbow extension (all tasks; RW=1.16- 1.71%).

### **3.3 Comparison of clinical profiles between motor patterns (Table 2)**

Significant differences were found for MACS level (p<.001), AHA score (p<.001), Abilhand-Kids (p=0.009), muscle strength (p=<.001), selectivity (p<.001) and spasticity (p<.001) between the three clusters. In Pattern 1, the uCP group had higher mean AHA and Abilhand-Kids scores, greater strength and selective control and less spasticity. In Pattern 3, they had lower mean AHA and Abilhand-Kids scores, lower strength and selectivity and more spasticity.

### **3.4 Comparison of kinematics between children with uCP and TDC (Figure 3)**

APS and AVS were significantly higher in the uCP group (mean difference of 7.60°, p<0.001, and 5.31°, p<0.001 respectively). There was a significant interaction between AVS and group (p<0.001), and between AVS and task (p<0.001), and a significant main effect of group and task on AVS (p<0.001). In the post hoc analysis, the children with uCP had significantly greater elbow pronation-supination (15.6°, p<0.001) and wrist extension (12.2°, p<0.001) deviations than the TDC.

## **4. DISCUSSION**

This original study mined kinematic data using cluster analysis and identified three patterns of UL kinematic deviations in children with uCP performing bimanual tasks. The variables which particularly influenced the clusters were elbow pronation-supination and wrist extension deviations. Clinical ratings of impairment and function differed significantly between the three motor patterns, suggesting a relationship between motor pattern and clinical severity. The children with uCP had significantly greater elbow pronation-supination and wrist extension deviations than the TDC, indicating these deviations were specific to the uCP group.

The results of this study demonstrated that the kinematic deviations of the children with uCP could be classified into three different motor patterns. To our knowledge, only the study by Rouafi et al. [7] attempted to classify UL kinematic movements in children with uCP using clustering. Using both kinematic and EMG variables, they identified four clusters which were related to the MACS level. However, their analysis only included elbow flexion-extension and pronation-supination kinematics. In the present study, children with Pattern 1 were able to adapt the recruitment of each DoF according to the task, whereas those with Pattern 3 had large deviations, especially of the elbow and wrist, across all tasks. The trunk was also often more deviated in this pattern, suggesting that the more severe distal impairments induced more pronounced proximal compensations [5,23–25]. The finding that elbow pronation-supination and wrist extension particularly discriminated between motor patterns revealed that distal motor impairments strongly influence the overall UL movement pattern in children with uCP. Distal impairments must therefore be more largely considered when analyzing bimanual performance in children with uCP. This information could help clinicians to make treatment decisions and to evaluate the effectiveness of interventions [2,26,27].

The three motor patterns identified corresponded to three distinct levels of impairment and function in children with uCP, showing a link between pattern-type and CP-severity. Relationships have previously been found between the AHA score and kinematic deviations during both unimanual [5,24] and bimanual tasks [4]. This supports the use of kinematic data as a complement to clinical and functional assessments. If clinicians can recognize a child's motor 227 pattern and define the characteristics of the severity of the impairment and functional deficit, this will help them to target therapies using an impairment-based approach.

The kinematic deviations which differed the most between the children with uCP and the TDC

230 were elbow supination and wrist extension (mean deviation difference of 12-16° between groups).

231 The extent of the uCP deviations was more than double (APS of  $20^{\circ}$  in BE API) that found in the

unimanual protocol by Jaspers et al. (APS of 8°) [5,24], possibly because the additional need for

interlimb coordination during bimanual tasks reduces motor performance [1,28]. This further supports the importance of assessing bimanual function to approach real life limitations and reinforces the need to focus on elbow and wrist movements.

In the literature, the choice of the most appropriate task to provide an overall description of UL movement remains debated [23,25]: UL movement varies considerably according to the task, as was found in the present study. This suggests that protocols should include at least 5 tasks, several of which should focus on elbow supination and wrist extension, to fully assess bimanual function. Moreover, the clustering analysis provided new a perspective for kinematic data mining, with clinical applications. Quantitative data-driven approaches can be used to provide more specific information regarding movement execution and thus help to determine individualized, goal-directed therapeutic interventions.

This study has several limitations. It was a pilot study which involved the classification of UL movements by cluster analysis in a sample of 20 children with uCP. The analysis only included kinematic variables, however spatiotemporal variables and variables relating to movement quality (e.g. smoothness, straightness) could be added to classify the overall movement of the impaired 248 UL. Although this method identified different motor patterns, the small number of children with Pattern 3 (n=3) prevented the analysis of correlations with impairment and function scores. One TDC was classified as Pattern 2 while all the others were classified as Pattern 1. This likely highlights a limitation of the clustering approach we used. The accuracy of the clustering was, however, high since the TDC were identified as a homogenous group (except for one child), each pattern was characterized by different AVS and the motor patterns corresponded to the children's clinical profiles. The sex ratio differed significantly between the children with uCP and the TDC and could constitute a bias in the between-group comparison.

# **5. CONCLUSION**

Three distinct patterns of UL kinematic deviations were identified in children with uCP during bimanual tasks: pronation-supination and wrist extension were the most decisive variables. The results showed the contribution of each DoF to abnormal UL movement as well as the clinical profiles that corresponded to each motor pattern. Cluster analysis may facilitate the identification of pathological movement patterns in children with uCP, contributing to both the overall understanding of UL impairments, and to the planning of goal-directed therapeutic interventions for individual patients.

## **ACKNOWLEDGMENT**

We wish to thank the participants and their families, the team of the Paediatric Physical Medicine and Rehabilitation department of Rennes University Hospital, M2S and LaTIM laboratories. We also thank Johanna Robertson for language assistance and constructive criticism.

## **FUNDING**

This work was supported by the IPSEN Company. They provided financial support for the conduct of the research. They were not involved in study design; in the collection, analysis and interpretation of data; in the writing of the report; and in the decision to submit the article for publication.

## **DECLARATIONS OF INTEREST**

Declarations of interest: none

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### **Figure 1: Recruitment flow chart for the children with uCP**



## **Figure 1 caption: Recruitment flow chart for the children with uCP**

uCP: unilateral cerebral palsy





## **Figure 2 caption: Motor patterns determined by clustering of kinematic deviations (AVS)**

Fig 2.1: Arm Variable Scores of all children according to the three patterns for the entire protocol.

Fig 2.2: Weights of Arm Variable Scores (AVS) in the clustering expressed as the relative weighting (RW=% of total W). A threshold of 1% was chosen to establish the importance of each variable, in accordance with Abbasi et al 2021. AA: abduction-adduction; EL: elevation; FE, flexion-extension; IER: internal-external rotation; POE: plane of elevation; PS: pronation-supination.



**Figure 3: Arm Movement Analysis Profile in the compared to compared to children with uCP compared to** 

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## **Figure 3 caption: Arm Movement Analysis Profile of children with uCP compared to TDC for each task**

Arm Movement Analysis Profiles (AMAP) in children with unilateral cerebral palsy (uCP) and typically developing (TD) children for the entire protocol and for each of the five BE API tasks. Each bar corresponds to one Arm Variable Score (AVS: the deviation index for a kinematic variable [joint angle]). The height represents the RMS difference across time between each joint angle of a given child with uCP and the mean value for the same joint angle of the TDC. The Arm Profile Score (APS) for the overall upper limb movement deviation is displayed on a column to the right, and is the average RMS difference across time for all kinematic variables. Significant differences between both groups are marked with  $**$  p <.01;  $***$  p < .001. AA: abductionadduction; EL: elevation; FE, flexion-extension; POE: plane of elevation. PS: pronation-supination



### **Table 1: Description of the kinematic deviations of each task according to the 3 UL motor patterns**

Arm Variable Score (AVS), the deviation index for each kinematic variable (joint angle), expressed in degrees. AVS more than 10 degrees are in bold and highlighted in grey. AA: abduction-adduction; EL: elevation; FE, flexion-extension; IER: internal-external rotation; POE: plane of elevation; PS: pronation-supination; RMS: Root Mean Square; UL: upper limb.

## **Table 2: Comparison between deviant movement patterns and clinical characteristics of children with uCP**



Comparisons between children with unilateral Cerebral Palsy (uCP) of each pattern were performed using one-way ANOVA or Kruskal-Wallis test according to the distribution.

MACS, Manual Ability Classification System; N, number; AHA, Assisting Hand Assessment; MRC, Medical Research Council; SMC, Selective motor control; MAS, modified Ashworth scale