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#### MOMENTUM MAPS AND THE KÄHLER PROPERTY FOR BASE SPACES OF REDUCTIVE PRINCIPAL BUNDLES

#### DANIEL GREB AND CHRISTIAN MIEBACH

ABSTRACT. We investigate the complex geometry of total spaces of reductive principal bundles over compact base spaces and establish a close relation between the Kähler property of the base, momentum maps for the action of a maximal compact subgroup on the total space, and the Kähler property of special equivariant compactifications. We provide many examples illustrating that the main result is optimal.

#### 1. INTRODUCTION

Complex-reductive Lie groups  $G = K^{\mathbb{C}}$  acting holomorphically on Kählerian manifolds *X* appear naturally in many questions of Complex Geometry. Classical examples, one of which found by Lescure we recall in Section 5 below, show that even if the action is proper and free with compact quotient manifold *B*, so that *X* becomes a *G*-principal bundle over *B*, the Kähler property does in general not descend to *B*.

In these examples, the induced action of the maximal compact subgroup K of G is not Hamiltonian with respect to any Kähler form on X, i.e., there is no equivariant momentum map  $\mu : X \to \mathfrak{k}^* = \text{Lie}(K)^*$ . So, a natural guess might be that the Kähler property descends for Hamiltonian *G*-actions. We will see that it follows from the theory of Kählerian Reduction, see [GS82], [Sja95], and [HL94], that this is true once in addition the zero fibre  $\mu^{-1}(0)$  of the momentum map is compact, and conversely that principal *G*-bundles over compact Kähler manifolds always admit Kähler structures such that the *K*-action admits a momentum map with compact zero fibre.

The group *G* is an affine algebraic group in a unique and canonical way. As such, it admits a (non-unique) equivariant smooth projective completion; i.e., there exists a smooth projective variety  $\overline{G}$  admitting an effective algebraic action of *G* and containing a point  $e \in \overline{G}$  that has trivial stabiliser in *G* and open *G*-orbit  $G \cong G \cdot e \subset \overline{G}$ . Any principal *G*-bundle  $\pi: X \to B$  admits a fibrewise partial compactification to a  $\overline{G}$ -fibre bundle  $\overline{\pi}: \overline{X} \to B$  having the same transition functions as  $\pi$ ; using the associated bundle construction, this can be written as  $\overline{X} = X \times_G \overline{G} \to X/G$ . With this notation, our main result can now be formulated as follows:

**Theorem 1.1.** Let  $G = K^{\mathbb{C}}$  be a complex-reductive Lie group acting holomorphically, properly and freely on the connected complex manifold X such that Q = X/G is compact. Then, the following are equivalent:

- (*a*) *The quotient Q is Kähler.*
- (b) There exists a K-invariant Kähler form on X with respect to which there is a momentum map  $\mu: X \to \text{Lie}(K)^*$  with compact zero fibre  $\mu^{-1}(0) \neq \emptyset$ .
- (c) The natural compactification of the principal G-bundle  $\pi: X \to Q$  to a  $\overline{G}$ -fibre bundle  $\overline{\pi}: \overline{X} \to Q$  is a Kähler manifold.

The implication "(a)  $\Rightarrow$  (b)" can be seen as a first instance of a Hamiltonian version of Mumford's famous GIT-statement [MFK94, Converse 1.12], which has been vastly

generalised to show that any open subset of a smooth quasi-projective variety admitting a projective good quotient is actually the set of semistable points with respect to some linearised line bundle, see [Hau04].

In Section 5, we give several examples showing that Theorem 1.1 is optimal. In particular, we construct an example of a proper, free action of a complex-reductive group  $G = K^{\mathbb{C}}$  on a Kähler manifold X such that the K-action is Hamiltonian (and in fact X admits an equivariant Kähler compactification on which the K-action is still Hamiltonian) with compact, non-Kähler quotient B; in particular, the K-action is Hamiltonian with respect to *some* Kähler form on X, but there is *no* Kähler form on X with K-momentum map having non-empty compact zero fibre.

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**Notation.** Throughout, we will work over the field  $\mathbb{C}$  of complex numbers. A *variety* is a reduced scheme of finite type over  $\mathbb{C}$ ; i.e., not necessarily irreducible. All complex spaces are assumed to be Hausdorff and second countable, so that smooth complex spaces are complex manifolds. If *G* acts holomorphically on a complex manifold *X*, for any  $\xi \in \mathfrak{g} = \text{Lie}(G)$  we will denote the induced (real holomorphic) *fundamental vector field* on *X* by  $\xi_X$ ; i.e., for any  $f \in \mathcal{C}^{\infty}(X)$ , we have

$$\xi_{X}(f)(p) := \left. \frac{d}{dt} \right|_{t=0} \left( f(\exp(t\xi) \bullet p) \right).$$

If a Lie group *K* with Lie algebra  $\mathfrak{k} := \operatorname{Lie}(K)$  acts on a Kähler manifold  $(X, \omega)$  preserving the Kähler form, a *momentum map* is a *K*-equivariant map  $\mu : X \to \mathfrak{k}^*$  whose components  $\mu^{\xi}(\cdot) = \mu(\cdot)(\xi) \in \mathcal{C}^{\infty}(X)$  satisfy the Hamiltonian equations

$$d\mu^{\xi} = \iota_{\xi_{\mathbf{v}}}\omega$$

If the complex structure of *X* is denoted by  $J \in \text{End}(TX)$ , slightly nonstandard, but useful for our purposes, for any  $f \in C^{\infty}(X)$ , we set  $d^{c}(f) := df \circ J$ , so that  $2i\partial \bar{\partial} = -dd^{c}$ .

# 2. MOMENTUM MAPS FOR K-REPRESENTATIONS AND THEIR PROJECTIVE COMPACTIFICATIONS

Let *V* be a finite-dimensional complex *G*-representation. Assume that we are given a *K*-invariant Hermitian product  $\langle \cdot, \cdot \rangle$  on *V*, making the induced *K*-representation unitary. Let  $\chi_V : V \to \mathbb{R}^{\geq 0}$  be defined by  $v \mapsto \langle v, v \rangle$  and consider the Kähler form  $-dd^c \chi_V$ on *V*. Note that the good quotient  $\pi_V : V \to V//G = \text{Spec}(\mathbb{C}[V]^G)$  exists; it is endowed with a Kähler structure by Kählerian reduction with respect to the momentum map

$$\mu_V: V \to \mathfrak{k}^*, \ v \mapsto (\xi \mapsto 2\langle i\xi \cdot v, v \rangle) = d^c \chi_V(\xi_V)(v).$$

These however are not the right Kähler form and momentum map to consider in our situation, since they do not globalise to the situation of a *G*-vector bundle over a non-trivial base manifold with typical fibre *V*, see Example 3.4.

Instead, we are going to compactify the situation. For this, we consider the *G*-representation space  $W := V \oplus \mathbb{C}$ , where *G* acts trivially on  $\mathbb{C}$ , and embed *V* regularly

and equivariantly into  $\mathbb{P}(W) = \mathbb{P}(V \oplus \mathbb{C})$ ; explicitly we consider

$$\theta: V \hookrightarrow \mathbb{P}(V \oplus \mathbb{C}), \ v \mapsto [v:1]$$

We denote by  $\mathbb{P}(W)^{ss}$  the Zariski-open subset of points that are semistable with respect to the linearisation of the *G*-action on  $\mathbb{P}(W)$  in *W* or equivalently the corresponding linearisation in the line bundle  $\mathcal{O}_{\mathbb{P}(W)}(1)$ ; the associated good quotient will be called  $\pi_{\mathbb{P}(W)} \colon \mathbb{P}(W)^{ss} \to \mathbb{P}(W)^{ss} // G$ . While not strictly needed for our subsequent arguments, the following observation regarding GIT is at least philosophically crucial.

**Lemma 2.1.** The representation space V is mapped isomorphically to a  $\pi_{\mathbb{P}(W)}$ -saturated subset of  $\mathbb{P}(W)^{ss}$  via the G-equivariant map  $\theta$ .

*Proof.* This is almost tautological: if we choose linear coordinates (i.e., linear forms)  $z_1, \ldots, z_{\dim V}, z_0$  on  $W = V \oplus \mathbb{C}$ , then  $z_0$  is *G*-invariant, as the action of *G* on  $\mathbb{C}$  is trivial. This implies that the associated section  $\sigma = \sigma_{z_0} \in H^0(\mathbb{P}(W), \mathcal{O}_{\mathbb{P}(W)}(1))$  is *G*-invariant. Since obviously

$$\theta(V) = \{ [w] \in \mathbb{P}(W) \mid \sigma(w) \neq 0 \} = \mathbb{P}(W)_{\sigma},$$

every point in  $\theta(V)$  is semistable. Moreover, for any  $\tau \in H^0(\mathbb{P}(W), \mathcal{O}_{\mathbb{P}(W)}(1))^G$ , the corresponding open subset  $\mathbb{P}(W)_{\tau}$  is  $\pi_{\mathbb{P}(W)}$ -saturated; see the proof of [MFK94, Thm. 1.10]

Consequently, we will consider  $V \subset \mathbb{P}(V \oplus \mathbb{C})$ , suppressing  $\theta$ . Using the linear coordinate  $z_0$  on  $\mathbb{C}$  introduced in the previous proof, we endow the *G*-representation  $W = V \oplus \mathbb{C}$  with the Hermitian form  $\langle \cdot, \cdot \rangle$  corresponding to

$$\chi_W(v, z_0) := \chi_V(v) + |z_0|^2.$$

This in turn yields a Fubini-Study form on  $\mathbb{P}(W)$ , which is *K*-invariant and makes the *K*-action Hamiltonian with momentum map given by

$$\mu^{\xi}([w]) = \frac{2\langle i\xi \cdot w, w \rangle}{\langle w, w \rangle}$$

The next observation is a momentum geometry counterpart of Lemma 2.1 and will be applied fibrewise in the bundle situation considered in Section 3 below.

**Lemma 2.2.** A potential for the restriction of the Fubini-Study Kähler form  $\omega = \omega_{\mathbb{P}(W)}$  to  $V \subset \mathbb{P}(W)$  is given by

$$\omega|_{V} = -dd^{c}\log(\chi_{V}+1) = 2i\partial\bar{\partial}\log(\chi_{V}+1).$$

The function  $\rho := \log(\chi_V + 1) \in C^{\infty}(V)$  is an exhaustion of V, and if  $\xi_{\mathbb{P}(W)}$  is the vector field on  $\mathbb{P}(W)$  induced by the K-action, then

$$V \ni v \mapsto d^c \rho(\xi_{\mathbb{P}(W)})([v:1]) = \mu^{\zeta}([v:1])$$

defines a momentum map for the K-action on V with respect to  $\omega|_V$ .

*Proof.* The first part is well-known, the exhaustion property is clear, and the last part follows by direct computation that uses the obvious equality

$$\xi_W(v,1) = (\xi_V(v),0)$$

together with the fact that (being a *G*-equivariant isomorphism)  $\theta^{-1}$  transforms the fundamental vector field  $\xi_{\mathbb{P}(W)}$  into  $\xi_V$ .

*Remark* 2.3. In the following, we will only need that  $\rho$  is an exhaustive *K*-invariant potential for a Kähler form on *V*. The fact that  $d^c\rho$  then yields a momentum map for the *K*-action can be seen as follows: since  $\rho$  and hence  $d^c\rho$  is *K*-invariant, we have  $\mathcal{L}_{\xi_X}(d^c\rho) = 0$ , and therefore Cartan's formula yields

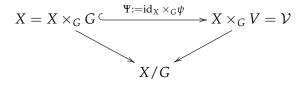
$$d(\iota_{\xi_X}(d^c\rho)) = -\iota_{\xi_X}(dd^c(\rho)) = \iota_{\xi_X}\omega,$$

so that indeed  $\iota_{\xi_X}(d^c \rho)$  is the  $\xi$ -component of a momentum map with respect to  $\omega$ , whose equivariance is easy to check as well.

#### 3. KÄHLER FORMS ON HOLOMORPHIC PRINCIPAL BUNDLES AND VECTOR BUNDLES

Given a *G*-principal bundle  $\pi$ :  $X \to Q$  over a compact Kählerian manifold Q = X/G, we will be looking for special Kähler structures on *X*. For this, the following construction will be useful.

*Remark* 3.1. As *G* is an affine algebraic *G*-variety (with algebraic action given by leftmultiplication), it admits a closed (algebraic) embedding  $\psi : G \hookrightarrow V$  into the vector space associated with a certain finite-dimensional *G*-representation  $\varphi : G \to GL_{\mathbb{C}}(V)$ , see for example [Bri18, Prop. 2.2.5]. I.e., there is a closed *G*-orbit  $G \cdot v$  in *V* with  $G_v = \{e\}$ , and in particular,  $\varphi$  is injective. We may assume that *V* has a *K*-invariant Hermitian form *h*, so that  $\varphi|_K : K \hookrightarrow SU(h)$ . The associated vector bundle  $\mathcal{V} := X \times_G V$ has a natural holomorphic *G*-action (preserving each fibre), as well as a Hermitian metric that is induced by *h* and therefore invariant by the associated *K*-action. Slightly abusing notation, we will call the bundle metric *h* as well. Writing  $X = X \times_G G$ , we may produce a closed *G*-equivariant holomorphic embedding



of *X* into  $\mathcal{V}$  over Q = X/G.

Let now  $(B, \omega)$  be a compact Kähler manifold and let  $\pi: E \to B$  be a holomorphic vector bundle.

*Remark* 3.2. Setting  $\mathcal{W} := \mathcal{V} \oplus \mathbb{C}$ , it can be deduced from [Bla56, Théorème Principal II] that the total space of  $\mathbb{P}(\mathcal{W}) \to B$  is Kähler. In fact, there is a rather explicit way of constructing Kähler forms on projectivisations of vector bundles, e.g. see [Voi07, Prop. 3.18]. As we will see in more detail below, one uses the fact that there exists a (1, 1)-form  $\omega_{\mathcal{W}}$  on  $\mathbb{P}(\mathcal{W})$  whose restriction to every fibre  $\mathbb{P}(\mathcal{W}_x)$  is the Fubini-Study form of this fibre associated with a bundle metric on  $\mathcal{W}$ , namely (up to some positive constant) the Chern form of  $\mathcal{O}_{\mathbb{P}(\mathcal{W})}(1)$ . Then one obtains a Kähler form on  $\mathbb{P}(\mathcal{W})$  by adding a sufficiently large multiple of the pull-back of  $\omega$  to  $\mathbb{P}(\mathcal{W})$ . Since the holomorphic vector bundle  $\mathcal{V}$  embeds as an open subset into  $\mathbb{P}(\mathcal{V} \oplus \mathbb{C})$ , we see that  $\mathcal{V}$  and hence  $X \subset \mathcal{V}$  both inherit corresponding Kähler forms by restriction.

We will now analyse the construction of the Kähler form on  $\mathcal{V} \subset \mathbb{P}(\mathcal{V} \oplus \mathbb{C})$  in more detail, with the aim of pointing out the specific features relevant to momentum map geometry.

**Lemma 3.3.** Let  $\pi: \mathcal{V} \to B$  be a holomorphic vector bundle of rank *n* over a compact Kähler manifold  $(B, \omega)$  on which the compact Lie group K acts holomorphically via fibre-preserving

*vector bundle automorphisms. Set*  $\mathfrak{k} := \text{Lie}(K)$ *. Let h be a K-invariant Hermitian metric h on*  $\mathcal{V}$ *, with associated length function* 

$$\chi_h: \mathcal{V} \to \mathbb{R}^{\geq 0}, v \mapsto h(v, v).$$

*Then, for all positive real numbers*  $c \gg 0$  *the* (1,1)*-form* 

$$\omega_{\mathcal{V}} = 2i\partial\bar{\partial}\log(\chi_h + 1) + c \cdot \pi^*(\omega)$$

has the following properties:

- (1)  $\omega_V$  is Kähler,
- (2) the K-action on  $\mathcal{V}$  admits a momentum map  $\mu \colon \mathcal{V} \to \mathfrak{k}^*$  with respect to  $\omega_{\mathcal{V}}$ ,
- (3) every point  $x \in B$  has an open neighborhood U such that on  $\pi^{-1}(U)$  we may write  $\omega = 2i\partial \bar{\partial}\rho$  for some function  $\rho \in C^{\infty}(\pi^{-1}(U))^{K}$  that has the following properties:
  - (a)  $\rho$  is an exhaustion when restricted to  $\mathcal{V}_y$  for all  $y \in U$ ,
  - (b) for all  $\xi \in \mathfrak{k}$  and for all  $v \in \pi^{-1}(U)$  we have

(3.1) 
$$\mu^{\varsigma}(v) = d^{c}\rho(\xi_{\mathcal{V}}(v)) = d\rho(J\xi_{\mathcal{V}}(v)),$$

where as usual  $J \in \text{End}(TV)$  denotes the (almost) complex structure of the complex manifold V, and  $\xi_V$  is the vector field on V associated with  $\xi$  via the K-action.

*Proof.* We consider  $\mathcal{W} = \mathcal{V} \oplus \mathbb{C}$ , the direct sum of  $\mathcal{V}$  with the trivial line bundle, and its projectivisation  $\mathbb{P}(\mathcal{W}) = (\mathcal{W} \setminus z_{\mathcal{W}})/\mathbb{C}^*$ , which contains  $\mathbb{P}(\mathcal{V})$  as a codimension one subbundle with (open) complement isomorphic to  $\mathcal{V}$ . Endow  $\mathcal{W}$  with the Hermitian metric

$$h_{\mathcal{W}}(v\oplus z):=\chi_h(v)+|z|^2.$$

Using the section of  $\mathcal{O}_{\mathbb{P}(\mathcal{W})}(1)$  corresponding to the divisor  $\mathbb{P}(\mathcal{V}) \subset \mathbb{P}(\mathcal{W})$  one computes that the *relative Fubini-Study form*  $\omega_{FS}^{\mathcal{W}}$ , i.e., the curvature form of the natural Hermitian metric in  $\mathcal{O}_{\mathbb{P}(\mathcal{W})}(1)$  induced by h, when restricted to  $\mathcal{V} = \mathbb{P}(\mathcal{W}) \setminus \mathbb{P}(\mathcal{V})$  is given by

$$c \cdot \omega_{FS}^{\mathcal{W}}|_{\mathcal{V}} = 2i\partial\bar{\partial}\log(\chi_h + 1) = -dd^c\log(\chi_h + 1)$$
 for some  $c \in \mathbb{R}^{>0}$ ;

see for example [Dem12, Chap. V, §15.C; p. 282], but notice the different convention regarding projectivisations of vector bundles. Restricting to any fibre  $V_y$ ,  $y \in B$ , we recover the Kähler form associated with the Hermitian scalar product  $h_y$  discussed in Lemma 2.2. Using compactness of *B*, Part (1) is now proven with the argument of [Voi07, p. 78].

The statements made in Parts (2) and (3) will be proven simultaneously. Let  $\{U_k\}_{k \in I}$  be a finite open covering of *B* so that  $\omega|_{U_k}$  is given by a potential  $\varphi_k$ ; i.e.,  $\omega|_{U_k} = -dd^c \varphi_k$ . It is clear that  $\omega_{\mathcal{V}}|_{\pi^{-1}(U_k)}$  has *K*-invariant potential

(3.2) 
$$\rho_k := \log(\chi_h + 1)|_{\pi^{-1}(U_k)} + c \cdot \pi^*(\varphi_k).$$

On any of the open sets  $\pi^{-1}(U_k)$  a corresponding momentum map for the *K*-action is given by  $\mu_k^{\xi} := \iota_{\xi v} d^c \rho_k$ , cf. Remark 2.3. Setting  $\eta := \log(\chi_h + 1)$  in order to shorten notation, still on  $\pi^{-1}(U_k)$  we compute

(3.3)  
$$\iota_{\xi_{\mathcal{V}}}d^{c}(\eta + c \cdot \pi^{*}\varphi_{k}) = \iota_{\xi_{\mathcal{V}}}d^{c}\eta + c \cdot \iota_{\xi_{\mathcal{V}}}d^{c}\pi^{*}\varphi_{k}$$
$$= \iota_{\xi_{\mathcal{V}}}d^{c}\eta + c \cdot \iota_{\xi_{\mathcal{V}}}\pi^{*}d^{c}\varphi_{k}$$
$$= \iota_{\xi_{\mathcal{V}}}d^{c}\eta$$

Here, we used the fact that  $\xi_{\mathcal{V}}$  is tangential to the fibres of  $\pi$ , whereas the forms  $\pi^* d^c \varphi_k$  vanish in fibre direction. Consequently, the maps  $\mu_k$  on  $\pi^{-1}(U_k)$  glue together to a well-defined momentum map  $\mu_{\mathcal{V}} \colon \mathcal{V} \to \mathfrak{k}^*$  with respect to  $\omega_{\mathcal{V}}$ ; as the computation (3.3) shows, it is given by the map formally associated with the (in general only fibrewise

strictly plurisubharmonic) function  $\eta = \log(\chi_h + 1)$  defined on the whole of  $\mathcal{V}$ . This shows the statements made in Part (2). The properties listed in Part (3) then follow from the definition of  $\rho_k$ , see Equation (3.2), and the fibrewise properties established in Lemma 2.2.

The following example explains the choice of the potential  $log(\chi_h + 1)$  and the remark at the end of the first paragraph of Section 2.

*Example* 3.4. The total space of the line bundle  $\mathcal{O}(1)$  over  $\mathbb{P}_1$  can be explicitly realised as  $\mathbb{P}_2 \setminus \{[0:0:1]\}$  with bundle projection  $\pi([z_0:z_1:z_2]) = [z_0:z_1]$ . Let us consider the bundle metric on  $\mathcal{O}(1)$  with associated length function

$$\chi_h([z_0:z_1:z_2]) = \frac{|z_2|^2}{|z_0|^2 + |z_1|^2}.$$

An explicit computation shows that there does not exist any c > 0 such that  $i\partial \overline{\partial} \chi_h + c \cdot \pi^* \omega_{FS}$  is positive. Namely, in the affine coordinates on  $\mathbb{P}_2 \setminus \{[0:0:1]\} \cap \{z_0 \neq 0\} \cong \mathbb{C}^2 \setminus \{0\}$  the Hermitian form of  $i\partial \overline{\partial} \chi_h + c \cdot \pi^* \omega_{FS}$  is given by

$$\frac{1}{\left(|z|^2+|w|^2\right)^3} \begin{pmatrix} |z|^2(1+c|w|^2)-|w|^2+c|w|^4 & \left(2-c\left(|z|^2+|w|^2\right)\right)z\overline{w} \\ \left(2-c\left(|z|^2+|w|^2\right)\right)\overline{z}w & \left(1+c|z|^2\right)|w|^2-|z|^2+c|z|^4 \end{pmatrix},$$

which is never positive definite.

#### 4. Proof of Theorem 1.1

Before we start the proof proper, we observe that in case that *G* is not connected with identity component  $G^{\circ}$ , the quotient  $Q^{\circ} := X/G^{\circ}$  is a finite topological covering of X/G and therefore also compact. Moreover, for  $l \in \{a, b, c\}$  statement (*l*) of Theorem 1.1 holds if and only if the corresponding statement ( $l^{\circ}$ ) holds for the action of  $G^{\circ}$ , the maximal compact group  $K^{\circ} := K \cap G^{\circ}$  of  $G^{\circ}$ , the equivariant compactification  $\overline{G^{\circ}} \subset \overline{G}$ , and the quotient  $Q^{\circ}$ . For this observation, the main point to notice is that  $\overline{G} = G \times_{G^{\circ}} \overline{G^{\circ}}$ , and hence

$$\overline{X} = X \times_G \overline{G} = X \times_G G \times_{G^\circ} \overline{G^\circ} \cong X \times_{G^\circ} \overline{G^\circ}.$$

We therefore assume for the remainder of the proof that  $G = G^{\circ}$  is connected.

4.1. **Implication "(b)**  $\Rightarrow$  (a)". We quickly summarise the fundamental results in the Kählerian version of the theory of Symplectic Reduction: Let  $G = K^{\mathbb{C}}$  be a complex-reductive group and let *X* be a holomorphic *G*-manifold. Let  $\omega$  be a *K*-invariant Kähler form on *X* such that the *K*-action on *X* is Hamiltonian with equivariant momentum map  $\mu: X \to \mathfrak{k}^*$ . If the zero fibre  $\mu^{-1}(0)$  is non-empty, then the set

$$X^{ss}(\mu) := \left\{ x \in X \mid \overline{G \bullet x} \cap \mu^{-1}(0) \neq \emptyset 
ight\}$$

of semistable points is a non-empty open *G*-invariant of *X* admitting an *analytic Hilbert quotient*  $q: X^{ss}(\mu) \to X^{ss}(\mu) //G$ ; i.e., q is a surjective *G*-invariant, (locally) Stein map to a complex space  $X^{ss}(\mu) //G$  fulfilling

$$q_*(\mathcal{O}_{X^{ss}(\mu)})^G = \mathcal{O}_{X^{ss}(\mu)/\!/G}.$$

In particular, *q* is universal with respect to *G*-invariant holomorpic maps from  $X^{ss}(\mu)$  to complex spaces. Moreover, every fibre of *q* contains a unique *G*-orbit that is closed in  $X^{ss}(\mu)$ ; this orbit is the only one in  $\pi^{-1}(0)$  that intersects  $\mu^{-1}(0)$ , and the intersection is a uniquely determined *K*-orbit. With more work, one can show that  $X^{ss}(\mu)//G$  is homeomorphic to the symplectic reduction  $\mu^{-1}(0)/K$  and via this homeomorphism

inherits a Kähler structure induced by  $\omega$ , see [HL94, HHL94, Sja95], and the detailed survey [HH99].

Suppose from now on that in addition to the assumptions made above the *G*-action on *X* is free and proper. In this situation, since all *G*-orbits are closed in *X*, we have

$$X^{ss}(\mu) = G \cdot \mu^{-1}(0),$$

and hence  $X^{ss}(\mu)//G = X^{ss}(\mu)/G = \pi(X^{ss}(\mu))$  is an open subset of X/G that is homeomorphic to  $\mu^{-1}(0)/K$  and possesses a Kähler form, whose construction is much easier in this simple case; e.g., see [HKLR87, Thm. 3.1] and cf. [GS82, Thm. 3.5] or [HH99, Prop. 2.4.6]. Under the assumption made in (b), the non-empty subset  $\pi(X^{ss}(\mu)) \simeq \mu^{-1}(0)/K$  is not only open, but also compact. It therefore coincides with X/G = Q, which is hence Kähler.

4.2. **Implication "(a)**  $\Rightarrow$  **(b)".** Choose a *G*-equivariant closed holomorphic embedding  $\Psi: X \hookrightarrow \mathcal{V}$  into the total space of a holomorphic *G*-vector bundle over *Q*, as constructed in Remark 3.1, and let *h* be a corresponding *K*-invariant Hermitian metric on  $\mathcal{V}$ . Next, we apply Lemma 3.3 to obtain a constant  $c \gg 0$  such that

$$\omega = 2i\partial \partial \log(\chi_h + 1) + c \cdot \pi^*(\omega)$$

is a Kähler form with the properties (2) and (3) stated in the Lemma. We will show that the restriction of  $\omega$  to the closed submanifold  $X \subset \mathcal{V}$ , which we continue to denote by  $\omega$ , has the properties claimed in Theorem 1.1(b).

First, we notice that the *K*-action on *X* is Hamiltonian with respect to  $\omega$ , with momentum map being given by the restriction of the momentum map from  $\mathcal{V}$  to *X*, which we continue to denote by  $\mu$ . Now, let  $q \in Q$  be any point, let  $x \in X$  a point in the fibre over q, and let U and  $\rho$  be as in part (3) of the Lemma. Then, by (3.a) the restriction of  $\rho$  to  $X_q = G \cdot x \subset \mathcal{V}_q$  continues to be a strictly plurisubharmonic exhaustion function. Therefore,  $\rho|_{X_q}$  has a minimum and in particular a critical value, say at  $x_0 \in G \cdot x$ . As  $J\xi_{\mathcal{V}}(x_0) = J\xi_{X_q}(x_0) = J\xi_G \cdot x_0(x_0)$  is obviously tangent to the (complex) orbit  $G \cdot x_0 = G \cdot x$ , Formula (3.1) together with the fact that  $x_0$  is critical implies that

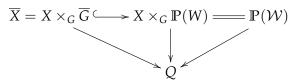
$$\mu^{\xi}(x_0) = d\rho(J\xi_{\mathcal{V}}(x_0)) = d\rho(J\xi_{X_a}(x_0)) = 0 \quad \text{for all } \xi \in \mathfrak{k},$$

so that  $x_0 \in \mu^{-1}(0)$ . In other words, every fibre of  $\pi: X \to Q$  intersects  $\mu^{-1}(0)$ , so that

$$\pi|_{\mu^{-1}(0)} \colon \mu^{-1}(0) \to Q$$

is a *K*-principal bundle over the compact manifold *Q*. In particular,  $\mu^{-1}(0) \subset X$  is compact.

4.3. **Implication "(a)**  $\Rightarrow$  **(c)".** As a normal projective *G*-variety for the connected group *G*, the completion  $\overline{G}$  admits a *G*-equivariant embedding into the projectivisation  $\mathbb{P}(W)$  of a finite-dimensional complex *G*-representation *W*; see [Bri18, Prop. 5.2.1, Prop. 3.2.6]. Using the associated bundle construction, this leads to a closed holomorphic embedding



into the projectivisation of the holomorphic vector bundle  $W = X \times_G W$  over Q. By assumption, Q is compact Kähler, so Remark 3.2 yields the claim.

4.4. **Implication** "(c)  $\Rightarrow$  (a)". Since  $\overline{\pi}: \overline{X} \to Q$  is a fibre bundle with compact Kählerian total space (and connected fibres), the claim follows from [Bla56, Prop. II.2].

This concludes the proof of Theorem 1.1.

5. EXAMPLES

The following example shows that even the "Kähler" statement of the implication  $(a) \Longrightarrow (b)$  of Theorem 1.1 does not hold for *non-compact Q*.

*Example 5.1.* The homogeneous fibration

$$\operatorname{SL}(2,\mathbb{C})/\left(\begin{smallmatrix}1&\mathbb{Z}\\0&1\end{smallmatrix}\right)\to \operatorname{SL}(2,\mathbb{C})/\left(\begin{smallmatrix}1&\mathbb{C}\\0&1\end{smallmatrix}\right)$$

is a  $\mathbb{C}^*$ -principal bundle over the non-compact Kähler base  $\mathbb{C}^2 \setminus \{0\}$ . Owing to [BO88, Theorem 3.1] however, the total space SL(2,  $\mathbb{C}$ ) /  $\begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}$  is *not* Kähler.

A slight modification of Example 5.1 yields an example showing that an analogue of Lemma 3.3 does not hold if we replace the vector bundle  $\pi: E \to X$  by an arbitrary holomorphic fibre bundle having Stein fibres, even though these kind of fibres also admit strictly plurisubharmonic exhaution functions similar to (the logarithm of) the norm square function used in the proof of Lemma 3.3.

*Example* 5.2. Let *B* be the Borel subgroup of  $G = SL(2, \mathbb{C})$  consisting of upper triangular matrices and let  $\Gamma = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}$ . One verifies directly that  $B/\Gamma$  is biholomorphic to  $(\mathbb{C}^*)^2$ and therefore a Stein manifold. Consequently, the homogeneous fibration  $G/\Gamma \rightarrow G/B$ is a holomorphic fibre bundle over the compact Kähler manifold  $\mathbb{P}_1$  with typical fibre  $(\mathbb{C}^*)^2$  such that the total space  $G/\Gamma$  is *not* Kähler, again by [BO88, Theorem 3.1]. Note that this bundle is *not* principal, as  $\Gamma$  is not normal in *B*.

Next, we give an example (originally due to Lescure) which explains that even for  $K^{\mathbb{C}}$ -actions on Kählerian manifolds X further conditions are needed to ensure that the quotient X/G is Kähler.

*Example* 5.3. Let us consider the Hopf surface  $Y = (\mathbb{C}^2 \setminus \{0\}) / \mathbb{Z}$  with respect to the  $\mathbb{Z}$ action given by  $m \cdot v = 2^m v$ . Let  $p \colon \mathbb{C}^2 \setminus \{0\} \to Y$  be the universal covering. The group  $G = GL(2, \mathbb{C})$  acts transitively on Y, and a direct calculation shows that the isotropy group of  $y_0 := p(1,0) \in Y$  is

$$G_{y_0} = \left\{ \begin{pmatrix} 2^m & b \\ 0 & a \end{pmatrix}; m \in \mathbb{Z}, b \in \mathbb{C}, a \in \mathbb{C}^* \right\}.$$

Let us consider the closed subgroups

$$H := \left\{ \begin{pmatrix} 2^m & b \\ 0 & 1 \end{pmatrix}; m \in \mathbb{Z}, b \in \mathbb{C} \right\} \text{ and } T := \left\{ \begin{pmatrix} 1 & 0 \\ 0 & a \end{pmatrix}; a \in \mathbb{C}^* \right\}$$

of  $G_{y_0}$ . One verifies directly that  $T \cong \mathbb{C}^*$  normalises H and thus that  $G_{y_0} = TH \cong T \ltimes$ *H*. Hence, we have the holomorphic  $\mathbb{C}^*$ -principal bundle  $X := G/H \to Y = G/(HT)$ . We claim that X is a Kähler manifold. For this, let  $S := SL(2, \mathbb{C})$  and note that

- (1)  $S \cap H = \begin{pmatrix} 1 & \mathbb{C} \\ 0 & 1 \end{pmatrix}$  is an algebraic subgroup of *S*, as well as that (2)  $SH = \{2^{m/2}g; m \in \mathbb{Z}, g \in S\}$  is a closed subgroup of *G*. (In fact, *G*/(*SH*) is an elliptic curve.)

Now we can apply [GMO11, Theorem 5.1] to deduce that X is Kähler.

However, there is no momentum map for the  $S^1$ -action on X = G/H with respect to any  $S^1$ -invariant Kähler form: Indeed, since the subgroup H has infinitely many connected components and is therefore not algebraic, the action of U(2) on X is not

Hamiltonian, see [GMO11, Theorem 4.11]. Since  $U(2) = SU(2)S^1$ , this implies that *X* is not a Hamiltonian  $S^1$ -manifold, either.

In Example 5.3 above, while X is Kähler, the action of a maximal compact group K is not Hamiltonian with respect to any Kähler form. Therefore, one might wonder whether the existence of a momentum map for the K-action is actually sufficient to conclude that the base of a Kählerian principal bundle is Kähler. Candidates of counterexamples to this statement can be obtained by the Cox construction, which realises toric varieties associated with simplicial fans and without torus factors as geometric quotients of certain domains in  $\mathbb{C}^N$  by a linear action of a complex torus *T*, see [CLS11, Theorem 5.1.11]. The following concrete example, which is in some sense minimal, consists of a smooth complete non-projective toric threefold for which Cox' geometric quotient is a *T*-principal bundle.

*Example* 5.4. Let  $\Sigma$  be the simplicial fan from [FP05, Example 2]. It is shown that the associated toric threefold  $X_{\Sigma}$  is smooth, complete and non-projective, hence non-Kähler by Moishezon's Theorem, [GPR94, Chap. VII, Thm. 6.23]. Since  $|\Sigma(1)| = 8$  and  $X_{\Sigma}$  is smooth, we have

$$\operatorname{Cl}(X_{\Sigma}) = \operatorname{Pic}(X_{\Sigma}) \cong \mathbb{Z}^{|\Sigma(1)| - \dim X(\Sigma)} = \mathbb{Z}^{5},$$

see [CLS11, Theorem 4.1.3 and Proposition 4.2.6]. Moreover, since  $X_{\Sigma}$  has no torus factors, the relevant group is  $T = \text{Hom}_{\mathbb{Z}}(\mathbb{Z}^5, \mathbb{C}^*) \cong (\mathbb{C}^*)^5$ , see [CLS11, Lemma 5.1.1]. Consequently, an application of [CLS11, Theorem 5.1.11] implies that there exists a geometric *T*-quotient  $\pi : \mathbb{C}^8 \setminus Z(\Sigma) \to X_{\Sigma}$  where *T* acts linearly on  $\mathbb{C}^8$ . In particular, there is a momentum map for the action of any compact real form of *T* on  $\mathbb{C}^8 \setminus Z(\Sigma)$ , described explicitly in Section 2 above.

Using again the fact that  $X_{\Sigma}$  is smooth, we may apply [ADHL15, Proposition 2.1.4.6] to see that *T* acts *freely* on  $\mathbb{C}^8 \setminus Z(\Sigma)$ . Since the orbit space  $(\mathbb{C}^8 \setminus Z(\Sigma))/T \cong X_{\Sigma}$  is Hausdorff and since the holomorphic slice theorem for Hamiltonian actions, e.g. see [HH99, Thm. 4.1.], yields local slices for the *T*-action, it follows that *T* acts *properly* on  $\mathbb{C}^8 \setminus Z(\Sigma)$ , see [Pal61, Theorem 1.2.9]; i.e.,  $\pi$  is indeed a *T*-principal bundle.

*Remark* 5.5. As in Section 2 above, Example 5.4 can be equivariantly compactified  $\mathbb{C}^8 \hookrightarrow \mathbb{P}^8 = \mathbb{P}(\mathbb{C}^8 \oplus \mathbb{C})$  to a Hamiltonian action of  $K = (S^1)^5$  on  $\mathbb{P}^8$ , say with momentum map  $\mu$ . Now, given any point p in the open subset  $\mathbb{C}^8 \setminus Z(\Sigma)$ , we may actually shift the momentum map by a constant  $\xi \in \mathfrak{k}^*$  to define a new momentum map  $\hat{\mu}$  with  $p \in \hat{\mu}^{-1}(0)$ . The corresponding set of semistable points is Zariski-open in  $\mathbb{P}^8$ , hence its intersection with  $\mathbb{C}^8 \setminus Z(\Sigma)$  yields a Zariski-open subset  $U \subset (\mathbb{C}^8 \setminus Z(\Sigma))/T \cong X_{\Sigma}$  admitting a Kähler form  $\omega_U$ . As all quotient maps are in fact meromorphic,  $X_{\Sigma}$  is bimeromorphic to the compact Kähler space  $\hat{\mu}^{-1}(0)/K$  on which  $\omega_U$  extends to an honest Kähler structure by Kählerian reduction; cf. [Fuj96]. I.e., the Kählerian reduction theory explains in a precise way how  $X_{\Sigma}$  is in Fujiki's class C (and not Kähler).

*Remark* 5.6. With respect to item (c) of Theorem 1.1, Example 5.4 shows that (for connected G) it is not enough to assume

(1) some compactification  $\hat{X}$  of X to be Kähler, and

(2) the action map  $G \times X \to X$  to extend to a meromorphic map  $\overline{G} \times \widehat{X} \dashrightarrow \widehat{X}$ 

in order for X/G to be Kähler. While under these two assumptions there will always be a momentum map  $\hat{\mu}: \hat{X} \to \mathfrak{k}^*$  for the *K*-action, see e.g. the discussion of classical results regarding this connection in [GM18, Rem. 2.2], as in the example the intersection of the compact subset  $\hat{\mu}^{-1}(0)$  with the open subset  $X \subset \hat{X}$  might always be non-compact (or empty).

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