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# Optimization of the Pechini-derived synthesis of rare-earth free aluminum borate phosphors presenting tunable white emission

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## ABSTRACT

The present study is dedicated to the development of aluminum borate luminescent powders which appear as promising and more environmental-friendly than conventional phosphors. The different steps of the luminescent powder synthesis, in particular the precursors (inorganic and organic) ratios and calcination conditions, are adjusted by studying the resulting optical features to get a broader and warmer white emission. As shown in previous works, the photoluminescence emission originates from polyaromatic hydrocarbons trapped in the inorganic aluminum borate matrix. Morphological and structural properties of the particles remain otherwise identical for every optimized parameter. The aluminum borate powder synthesized in these optimized conditions exhibits a wide and intense emission band under near-UV excitation. These structural and optical properties combined with time-resolved photoluminescence measurements demonstrate that the adjustments of the synthetic process allow the formation and trapping of more and different emitting centers. The modulation of the excitation wavelength (from 305 to 405 nm) leads to a tunable photoluminescence emission characterized by a large band lying between 400 and 700 nm. This feature, associated with the use of secure and abundant precursors makes aluminum borate powders very interesting phosphors for optical applications.

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44 **Introduction**

45  
46 Solid-state white light displays using light emitting  
47 diodes (LEDs) have emerged over the last decade and  
48 they are substituting traditional technologies thanks  
49 to a significantly reduced energy consumption. The  
50 phosphor-converted (pc)-White LEDs (WLEDs) usu-  
51 ally combine a semiconductor chip emitting in the  
52 ultraviolet or blue wavelength range to one or more  
53 phosphor(s) generally deposited in the form of coat-  
54 ings using either on-chip or remote configurations  
55 [1]. Herein, we will focus on the last one.

56 Commonly commercialized pc-WLEDs are com-  
57 posed of a blue-emitting InGaN LED covered by a  
58 composite material made of a polymeric matrix in  
59 which a mixture of luminescent compounds is dis-  
60 persed: the yellow phosphor cerium-doped yttrium  
61 aluminum garnet ( $\text{Y}_3\text{Al}_5\text{O}_{12}:\text{Ce}^{3+}$  or YAG:Ce) [2–4]  
62 usually blended with a red phosphor (generally  $\text{Eu}^{2+}$   
63 doped nitride or sulfide compounds) to convert the  
64 chip emission into a warm white emission [5–7].

65 More recently, the use of near-UV LEDs as excita-  
66 tion sources has attracted a lot of attention in order to  
67 optimize photometric parameters such as color render-  
68 ing index (CRI) or correlated color temperature  
69 (CCT). Several strategies are exposed in the literature:  
70 the most common consists in the association of the  
71 UV LED with red, green and blue (RGB) phosphors  
72 to generate an overall white light. Two approaches  
73 for the mixture of colors are then considered. The first  
74 one involves the mixture of the three RGB phosphors  
75 to prepare a one-layer coating with a broad emission  
76 band covering the entire visible spectrum [8, 9]. The  
77 second process is based on the superposition of three  
78 layers of single-color phosphors: phosphor powder  
79 dispersed in a polymeric matrix or phosphor in glass.  
80 Major issues of cascade-excitation resulting in a low  
81 luminous efficacy are raised [8, 10], an important  
82 optimization work has to be done to reduce this  
83 phenomenon. Another way to obtain white light  
84 using UV LED is to combine it with a suitably doped  
85 ( $\text{Eu}^{2+}$ ,  $\text{Eu}^{3+}$ ,  $\text{Dy}^{3+}$ ) or co-doped ( $\text{Tm}^{3+}/\text{Tb}^{3+}/\text{Eu}^{3+}$ ,  
86  $\text{Tm}^{3+}/\text{Dy}^{3+}$ ,  $\text{Yb}^{3+}/\text{Er}^{3+}/\text{Tm}^{3+}$ ) single-phase host  
87 such as silicates, phosphates or even oxychlorides  
88 [11].

89 Both blue- and UV-excitation systems aforemen-  
90 tioned lift two main drawbacks: an instability of their  
91 photometric parameters due to temperature aging  
92 and the use of rare-earths (RE) in phosphors

composition (yttrium, cerium, europium...) 93  
[4, 12–14]. These latter have been classified as critical 94  
elements by the European Union [15]. The ore 95  
extraction requires important quantities of chemicals, 96  
water and energy [16, 17], and it is thus associated 97  
with environmental and economic issues [17–19]. 98  
Most of the generated pollution results from the 99  
storage of the residues from the ore refining. The 100  
wastewaters and processed chemicals are stored in 101  
huge open air retention basins. Among these toxic 102  
spills, we can cite heavy metals and radioactive ele- 103  
ments: soil, air and surface/groundwater can be 104  
contaminated in large areas around the mines 105  
[20–22]. Hence, developing alternative phosphors to 106  
overcome these issues is getting increasing attention. 107

A first step to reduce the RE quantity in the pc- 108  
WLED devices is to combine YAG:Ce with a RE-free 109  
red phosphor. Manganese ions ( $\text{Mn}^{2+}/\text{Mn}^{4+}$ ) are 110  
promising candidates thanks to their photolumines- 111  
cence (PL) properties in the red wavelength range 112  
[23, 24]. For example,  $\text{Mn}^{4+}$ -doped fluoride  $\text{K}_2\text{SiF}_6$  113  
(KSF) host matrix, allowing a red emission without 114  
the use of RE, has been developed by several pro- 115  
cesses. However, moisture stability and control of the 116  
oxidation degree of manganese should be improved 117  
though [25]. 118

Quantum dots (QDs) [26] and often more specifi- 119  
cally carbon dots (CDs) [27–29] are other promising 120  
RE-free materials owing to their narrow PL emission 121  
band adjustable over the full visible spectrum thanks 122  
to the possible mixing of several different color 123  
emitting QDs. Thus, QDs show good potential for 124  
white LED phosphors application [30–32]. Never- 125  
theless, they present low quantum yields (QYs) in the 126  
solid state and their UV/thermal stability remains 127  
unsuitable for commercial requirement for the time 128  
being. 129

Among possible RE-free phosphors, one can also 130  
find silica-based luminescent materials such as sili- 131  
cate-carboxylate, silica nanowires and aluminum sili- 132  
cate [33–35]. The decomposition of organic 133  
precursors during synthesis or post-synthesis ther- 134  
mal treatments leads to carbon, carbonyl or hydro- 135  
carbon defects to which the luminescence is 136  
attributed. The same phenomenon has been proposed 137  
for yttrium aluminum borate (YAB) synthesized by 138  
the modified Pechini process [36]. More recently, for 139  
YAB powders prepared by a sol–gel process, we 140  
evidenced by involving complementary techniques 141  
as  $^{13}\text{C}$  labeling nuclear magnetic resonance (NMR) 142

143 and electron paramagnetic resonance (EPR) spectro-  
 144 scopies, thermal analyses, optical properties and DFT  
 145 calculations, that the PL emission originates from  
 146 polyaromatic hydrocarbon (PAH) molecules entrap-  
 147 ped in the inorganic matrix [37–39]. In the same way,  
 148 larger extended polyaromatic molecules (seven rings  
 149 and more) with hydroxyl or other oxygenated groups  
 150 have been proposed as PL emitting centers produced  
 151 during the successive thermal treatments (pyrolysis  
 152 and calcination) in the case of modified Pechini-syn-  
 153 thesized YAB [40]. Subsequently, the necessity to  
 154 replace critical elements (yttrium here) from the  
 155 material composition has led to the study of zinc  
 156 aluminum borate (ZAB) where not only the glassy  
 157 network modifier was changed but also the glassy  
 158 matrix forming elements (aluminum and boron) ratio  
 159 has been optimized to improve the trapping of the PL  
 160 emitting centers [41]. Even if borates are known as  
 161 very interesting optical materials, as highlighted in  
 162 the recent review [42], their study as rare-earth-free  
 163 phosphors is rather new and concerns not necessarily  
 164 crystallized borate matrices.

165 In this paper, based on our previous results, we  
 166 eliminate the glass modifier (zinc) from the compo-  
 167 sition and we describe the development of a rare-  
 168 earth free phosphor: a luminescent aluminum borate  
 169 (AB) micrometric powder with a  $Al_xB_yO_z$  general  
 170 formula. The structural and morphological charac-  
 171 teristics of this powder have been studied by powder  
 172 X-ray diffraction (PXRD) and scanning and trans-  
 173 mission electron microscopies (SEM—TEM). The  
 174 stoichiometric precursors ratios used for the synthe-  
 175 ses leading to AB powders with the best lumines-  
 176 cence properties in terms of PL emission profile and  
 177 intensity have been determined thanks to optical  
 178 studies. These latter have been carried out using a  
 179 near-UV excitation source similar to commercial UV  
 180 LED chips. Once the precursors ratio optimized, the  
 181 heating treatment conditions leading to the most  
 182 suitable AB powder optical properties have been  
 183 identified. The optical properties have been charac-  
 184 terized by recording emission spectra using different  
 185 excitation wavelengths and performing time-re-  
 186 solved photoluminescence spectroscopy measure-  
 187 ments (TRPL).

## Experimental 188

### Materials 189

Citric acid monohydrate was purchased from Sigma-  
 190 Aldrich (purity 99.0 + %). aluminum nitrate  
 191  $Al(NO_3)_3 \cdot 9H_2O$  (purity 99.0 + %), D-sorbitol (purity  
 192 97.0 + %), boric acid  $H_3BO_3$  (purity 99.8%) and  
 193 ultrapure water (0.63  $\mu S/cm$ ) were purchased from  
 194 Fisher Scientific. 195

### Synthesis of AB powder by modified Pechini method 196

The synthesis of AB luminescent powders has been  
 198 conducted following the modified Pechini method  
 199 similar to that already described [41, 43]. Based on  
 200 these previous works, Fig. 1 illustrates the different  
 201 preparation steps. Citric acid monohydrate (Cit: 35 to  
 202 100.8 mmol) and  $Al(NO_3)_3 \cdot 9H_2O$  amounts (Al: 4.2 to  
 203 9 mmol) are dissolved in 50 mL of ultrapure water at  
 204 80 °C under stirring for 20 min (solution 1). At the  
 205 same time, D-sorbitol (Sorb: 33.6 to 70 mmol) and  
 206  $H_3BO_3$  amounts (B: 12 to 16.8 mmol) are dissolved in  
 207 the same conditions (solution 2). The powder PL has  
 208 been optimized by exploring different molar ratios  
 209 among precursors: the inorganic ratio,  $R_i = Al/B$ , the  
 210 organic ratio,  $R_o = Cit/Sorb$ , and the organic/inor-  
 211 ganic ratio,  $R_{o/i} = (Cit + Sorb)/(Al + B)$ .  $R_i$  has been  
 212 studied from 0.25 to 0.75,  $R_o$  from 0.5 to 2 and  $R_{o/i}$   
 213 from 4 to 8. All the ratios are summarized in Table 1.  
 214

Solution 1 and solution 2 were mixed together and  
 215 heated under reflux at 100 °C for 48 h (first step,  
 216 Fig. 1) leading to a yellowish/orange color solution.  
 217 This resulting solution was partially evaporated at  
 218 80 °C in a ventilated furnace, initiating the  
 219 polyesterification reactions and subsequently heated  
 220 at 250 °C for 30 min with a heating rate of 30 °C/h  
 221 under air. An expanded brown solid was obtained  
 222 and crushed 5 times by dry planetary milling for  
 223 3 min at 430 rpm in a zirconia bowl with 0.5 mm  
 224 diameter zirconia balls with an air/powder/bead  
 225 ratio of 1/1/1 by volume (second step, Fig. 1). The  
 226 fine brown powder was pyrolyzed at 700 °C for 12 h  
 227 with a HR of 30 °C/h under a continuous  $N_2$  flow  
 228 (approx. 10 mL/min). These conditions lead to  
 229 eliminate a large part of organics (69% mass loss  
 230 measured by thermogravimetric analysis (TGA),  
 231 Figure S1) without hot spots that could induce  
 232 inhomogeneities. The black powder resulting from  
 233

234 the pyrolysis was sieved to select grains with a  
 235 diameter smaller than 25  $\mu\text{m}$ . The final thermal  
 236 treatment was a calcination under a continuous  $\text{O}_2$   
 237 flow of 10 mL/min. For a further study, heating rate  
 238 (HR) and calcination temperature ( $T_{\text{ca}}$ ) were investi-  
 239 gated from 20  $^\circ\text{C}/\text{h}$  to 90  $^\circ\text{C}/\text{h}$  and from 680  $^\circ\text{C}$  to  
 240 720  $^\circ\text{C}$ , with a dwell time of 10 min, respectively.  
 241 During this step, a large part of the remaining organic  
 242 moieties was oxidized leading to 77% mass loss  
 243 mainly through  $\text{CO}_2$  and  $\text{H}_2\text{O}$  (Figure S2). The total  
 244 mass loss during the thermal treatments (pyrolysis  
 245 + calcination) reaches 93%. The final powder is  
 246 beige and luminescent under UV excitation. The  
 247 thermal treatments conditions presented in Fig. 1  
 248 were set according to the study of ZAB powders  
 249 carried out by Gaffuri et al.[41]. The luminescent AB  
 250 powder was synthesized at first in these reported  
 251 conditions and will be subsequently referred as  
 252 "reference sample."

## 253 Characterizations

### 254 Microstructural properties

255 **Powder X-ray diffraction** PXRD patterns of AB pow-  
 256 ders were recorded using a Bruker D8 Endeavor  
 257 diffractometer operating with  $\text{Cu-K}\alpha$  radiation  
 258 ( $\lambda = 1.5418 \text{ \AA}$ ) with a goniometer in a symmetrical  
 259 geometry. The data were collected in a  $2\theta$  range with  
 260 a scan speed of  $1^\circ/\text{min}$ .

261 **Thermogravimetric analysis coupled mass spectrometry** Differential thermal analysis (DTA), and TGA

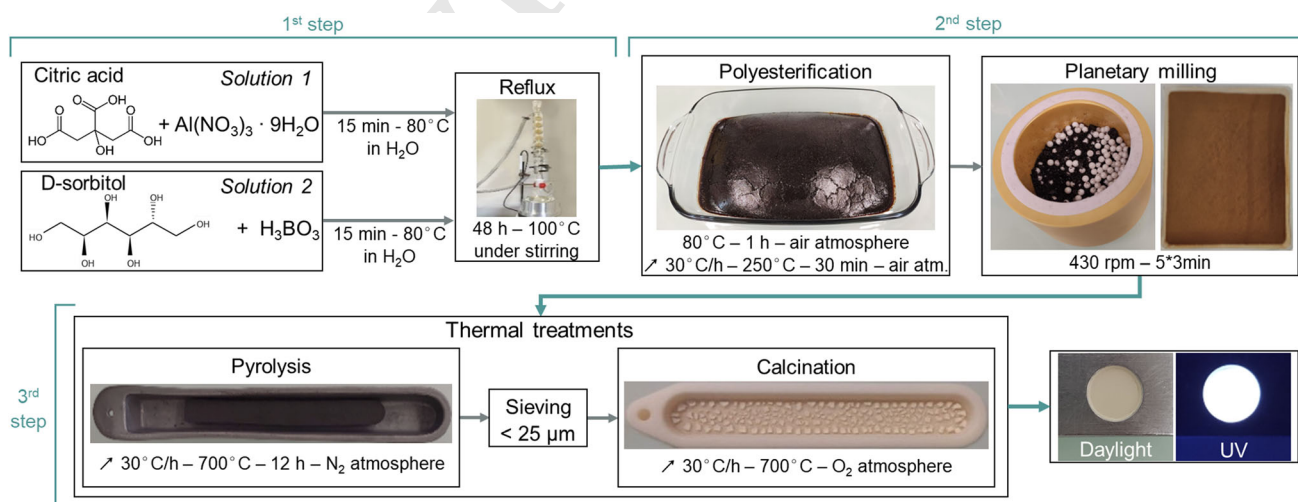
**Table 1** Molar ratios of the precursors, aluminum nitrate, boric acid, citric acid and D-sorbitol used for the preparation of the different samples. **a** study of influence  $R_o$  ratio with  $R_{o/i} = 5$  **b** study of influence of  $R_{o/i}$  ratio with  $R_o = 1.5$

(a)	( $R_o$ )	Al	:	B	:	Cit	:	Sorb
	0.5	1	:	2	:	5	:	10
	0.75	1	:	2	:	6.4	:	8.6
	1	1	:	2	:	7.5	:	7.5
	1.25	1	:	2	:	8.3	:	6.7
	<b>1.5*</b>	<b>1</b>	:	<b>2</b>	:	<b>9</b>	:	<b>6</b>
	2	1	:	2	:	10	:	5
(b)	( $R_{o/i}$ )	Al	:	B	:	Cit	:	Sorb
	4	1	:	2	:	7.2	:	4.8
	<b>5*</b>	<b>1</b>	:	<b>2</b>	:	<b>9</b>	:	<b>6</b>
	6	1	:	2	:	10.8	:	7.2
	6.5	1	:	2	:	11.7	:	7.8
	7	1	:	2	:	12.6	:	8.4
	8	1	:	2	:	14.4	:	9.6

\*Reference sample: molar ratio for Cit and Sorb used for YAB and ZAB powders.

263 were carried out with a SETARAM TAG 16 equip- 263  
 264 ment, using 30 mg samples within 100  $\mu\text{L}$  alumina 264  
 265 crucibles and a heating rate of 5  $^\circ\text{C}/\text{min}$  under pure 265  
 266 (Airproducts, alphagaz 2) oxygen. These thermal 266  
 267 analyses were directly coupled to a HIDEN analytical 267  
 268 apparatus (QGA-HAL201-RC) mass spectrometer to 268  
 269 analyze the gaseous by-products of decompositions. 269

270 **Granulometry** Grain sizes of powders were mea- 270  
 271 sured by laser granulometry with a Malvern 271



**Figure 1** Modified Pechini synthesis of aluminum borate luminescent powders in three major steps.

272 Mastersizer 2000. Dispersing agent was absolute  
273 ethanol.

274 **Microscopy** The powder particles have been  
275 observed in a field emission scanning electron  
276 microscope (FESEM) ZEISS Ultra + . Acceleration  
277 voltage  $V = 2.0$  kV &  $V = 4.0$  kV.

278 Transmission Electronic Microscopy (TEM) images  
279 were recorded on a Hitachi H-7650 microscope at the  
280 Centre Imagerie Cellulaire Santé (CICS) of Clermont-  
281 Ferrand. Acceleration voltage  $V = 80$  kV.

282 **Optical properties Quantum yields & photoluminescence**  
283 **emission** QY efficiencies and emission spectra of the  
284 powders were measured using a C9920-02G PLQY  
285 integrating sphere measurement system from  
286 Hamamatsu Photonics. The setup consisted of a  
287 150 W monochromatized Xe lamp, an integrating  
288 sphere (Spectralon coating , diameter = 3.3 in) and a  
289 high-sensitivity CCD camera. All measurements  
290 were carried out at room temperature.

291 External quantum yield (eQY) was calculated from  
292 the internal quantum yield (iQY) and absorption  
293 coefficient (Abs) measurements according to Eq. (1):

$$294 \quad eQY = iQY \times Abs \quad (1)$$

295 Measurement uncertainties of iQY and Abs are 5%  
296 of the measured values, eQY uncertainty arises from:

$$297 \quad \frac{\Delta eQY}{eQY} = \frac{\Delta iQY}{iQY} + \frac{\Delta Abs}{Abs} \quad (2.1)$$

$$300 \quad \Delta eQY = \Delta iQY \times Abs + \Delta Abs \times iQY \quad (2.2)$$

$$302 \quad \Delta eQY = 5\% \times iQY \times Abs + 5\% \times Abs \times iQY \quad (2.3)$$

$$304 \quad \Delta eQY = 10\% \times eQY \quad (2.4)$$

306

307 **Time-resolved photoluminescence** TRPL was per-  
308 formed at room temperature. The excitation source  
309 was the second harmonic (400 nm) of a Ti:Sa pulsed  
310 laser with a pulse duration of 150 fs and a repetition  
311 rate of 76 MHz. The average power on the sample is  
312 equal to  $5 \text{ W cm}^{-2}$ . The photoluminescence signal  
313 was collected in a 32 cm focal monochromator using  
314 a grating with 300 gr/mm coupled with a streak  
315 camera.

## Results and discussion

316

As noticed in the introduction, Burner et al. identified  
317 carbonaceous species, PAHs-type small molecules, as  
318 emitting centers of the sol-gel-synthesized YAB  
319 powders [37]. The presence of larger PAH molecules  
320 as emitting centers was recently confirmed for YAB  
321 powders synthesized by the modified Pechini  
322 method [40]. Based on this knowledge, we selected  
323 several synthesis parameters that seemed relevant to  
324 favor the formation of PAH-like molecules trapped in  
325 the inorganic matrix to improve the photometric  
326 parameters of the AB powders. Therefore, the influ-  
327 ence of molar ratios of the different inorganic and  
328 organic precursors, as well as the calcination condi-  
329 tions (heating rate and temperature of calcination)  
330 were studied. Before presenting the optimization of  
331 these parameters using the optical study, the first  
332 part of this section will be dedicated to structural and  
333 morphological properties of AB powders, that remain  
334 identical whatever the precursor ratios. 335

### Grain morphology and structure

336

SEM images of the typical AB sample were recorded  
337 after each synthesis step and are presented with the  
338 corresponding PXRD patterns in Fig. 2 to study its  
339 structure-morphology relationship. The brown  
340 expanded matrix milled in a micron-sized powder  
341 has a slight porosity due to the off-gassing occurring  
342 during polyesterification reactions (Fig. 2a). On the  
343 PXRD pattern (Fig. 2a), a weak peak at  $2\theta = 28^\circ$  (in-  
344 dexed by a red up-triangle) is attributed to  $\text{H}_3\text{BO}_3$   
345 recrystallization. The PXRD pattern of the pyrolyzed  
346 powder (Fig. 2b) reveals a totally amorphous materi-  
347 al. The SEM images show rough faces and smoother  
348 ones, marked by conchoidal fractures (highlighted on  
349 the 300-nm-scaled photograph, Fig. 2b), specific to  
350 glassy materials. The SEM photograph 3- $\mu\text{m}$ -scaled  
351 of AB powder calcined at  $700^\circ\text{C}$  (Fig. 2c) evidences a  
352 similar aspect to the pyrolyzed one. The luminescent  
353 powder keeps the glassy characteristics evidenced by  
354 the conchoidal fractures. Furthermore, some grains  
355 present on their surface a thin crust of 10 nm-diam-  
356 eter nanowires (weak surface crystallization,  
357 Fig. 2c—confirmed by TEM study, Figure S3)  
358 assigned to the  $\text{Al}_4\text{B}_2\text{O}_9$  phase according to the cor-  
359 responding PXRD pattern (Fig. 2c) [41]. On the other  
360 hand, the  $\text{H}_3\text{BO}_3$  partial recrystallization is indicated  
361 by the presence of the thin intense peak at  $28^\circ$  ( $2\theta$ ). 362

363 This phenomenon may be due to the hydrolysis of  
 364 the boron in the matrix. The grain size was controlled  
 365 thanks to the sieving step before calcination and  
 366 measured by laser granulometry both after pyrolysis  
 367 (volume-weighted mean diameter, denoted  
 368  $D_{4,3} = 18 \mu\text{m}$ ) and calcination ( $D_{4,3} = 9 \mu\text{m}$ ) steps  
 369 (Figure S4). In the following investigations, all powders  
 370 were assumed to be with similar morphology  
 371 and granulometry.

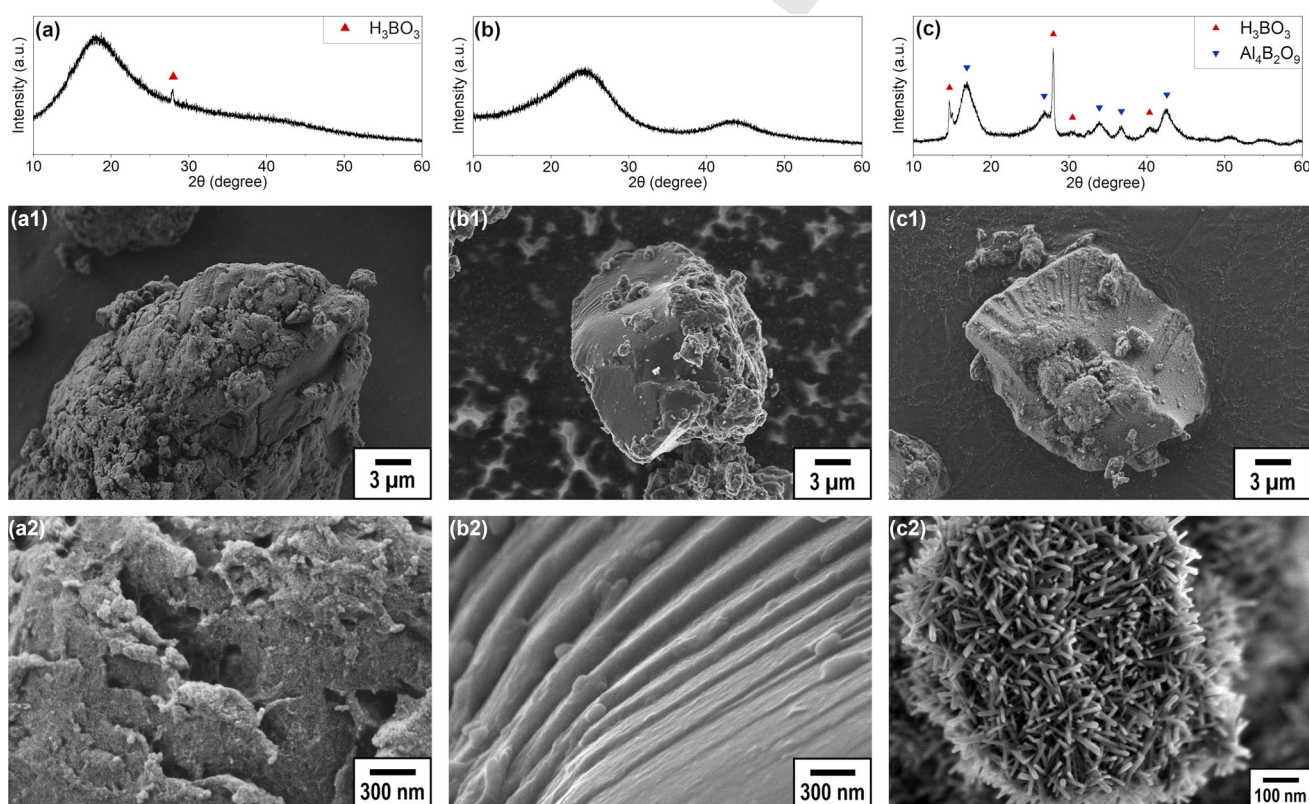
### 372 Optimization of the inorganic ratio $R_i$

373 The network modifiers ( $\text{Y}^{3+}$  or  $\text{Zn}^{2+}$  cations) have  
 374 been removed from the glassy inorganic matrix in  
 375 this study. Thus, it is important to check the ability of  
 376 the AB matrix to trap PAH emitting centers. The  
 377 influence of the Al/B molar ratio was studied starting  
 378 from the previous results obtained for ZAB powders  
 379 [41]. Three samples were prepared as described in the  
 380 experimental section ( $R_i = 0.25; 0.5; 0.75$ ). Their opti-  
 381 cal properties have been studied. The eQY of samples  
 382 calcined at  $650 \text{ }^\circ\text{C}$ ,  $675 \text{ }^\circ\text{C}$ ,  $700 \text{ }^\circ\text{C}$  and  $725 \text{ }^\circ\text{C}$   
 383 was measured for an excitation wavelength of

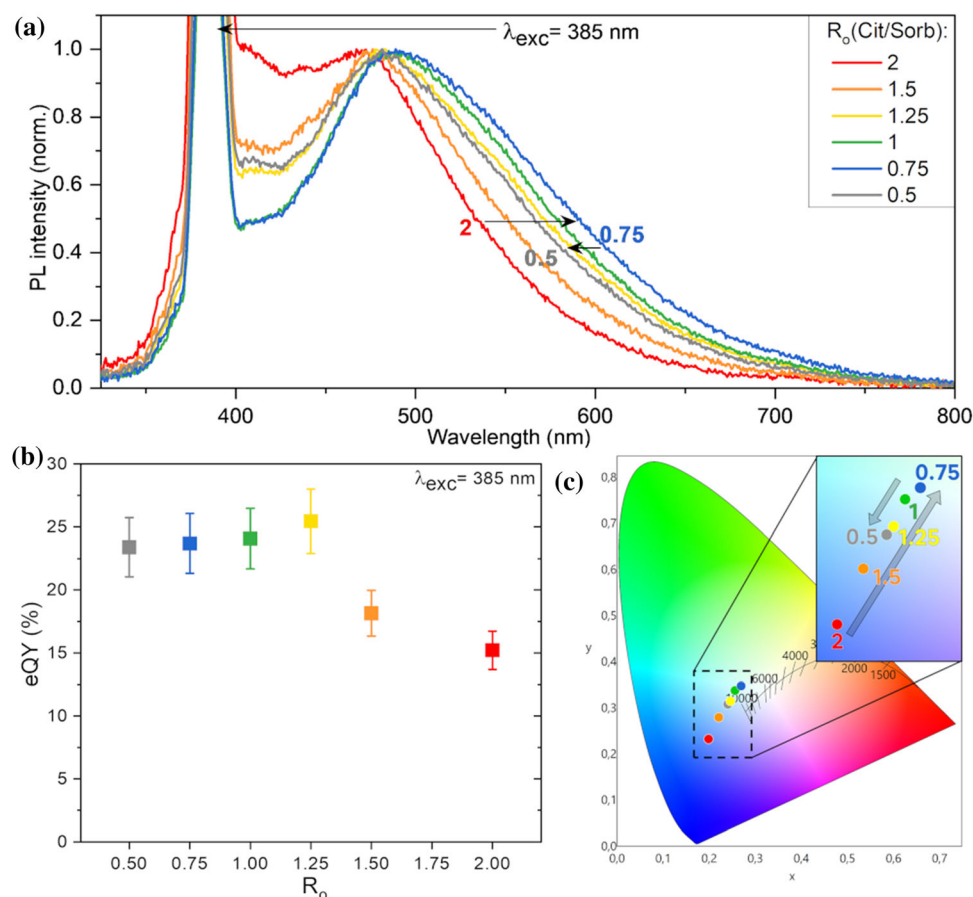
$\lambda_{\text{exc}} = 385 \text{ nm}$  (Figure S5). The highest eQY  
 384 ( $17.9\% \pm 1.8\%$ ) was reached for a  $R_i = 0.5$  calcined at  
 385  $700 \text{ }^\circ\text{C}$ . This ratio is associated with a broader and  
 386 more intense PL emission band than the 0.25 and 0.75  
 387 Al/B ratios for the same calcination temperature  
 388 (Figure S6). Thus, as observed for ZAB matrices,  
 389 samples with  $R_i$  larger than 0.75 were characterized  
 390 by colder PL emissions and lower eQYs. This con-  
 391 firms that the inorganic precursors ratio affects the  
 392 formation and trapping mechanisms of the PAH  
 393 molecules by the aluminum borate matrices. There-  
 394 fore, the Al/B ratio of 0.5 was selected for the fol-  
 395 lowing optimization studies.  
 396

### 397 Optimization of $R_o$ and $R_{o/i}$ molar ratios

398 Organic precursors being at the origin of the forma-  
 399 tion of PAH molecules within the inorganic matrix,  
 400 the influence of  $R_o$  and  $R_{o/i}$  ratios on the PL of  
 401 powders was studied. On one hand, the  $R_o$  ratio  
 402 assesses the importance of both precursors, citric acid  
 403 ( $\alpha$ -hydroxylated tricarboxylic acid) and sorbitol  
 404 ( $\text{C}_6\text{H}_8(\text{OH})_6$ ) which play the role of complexing



**Figure 2** XRD patterns and corresponding SEM images of AB sample after each step of the modified Pechini synthesis: **a a1 a2** brown powder, **b b1 b2** powder pyrolyzed at  $700 \text{ }^\circ\text{C}$ , **c c1 c2** powder calcined at  $700 \text{ }^\circ\text{C}$ .



**Figure 3** Normalized PL emission spectra (a) and corresponding eQYs (b) and CIE (Conference Internationale de l'Eclairage 1931) chromaticity coordinates (c) of AB samples with  $R_o$  ratios varying from 0.5 to 2.

agents of aluminum and boron atoms and allow the formation of the polymer network by esterification between free carboxylic and alcohol functions. On the other hand, the  $R_{o/i}$  ratio was adjusted keeping  $R_o$  constant in order to evaluate the best quantity of organic compounds to use relative to aluminum and boron forming the inorganic network. The different compositions have been compared with the results obtained for the initial synthesized powder considered as reference. The investigated  $R_o$  and  $R_{o/i}$  ratios varied from 0.5 to 2 for  $R_o$  and from 4 to 8 for  $R_{o/i}$  with  $R_i$  kept constant at 0.5 (Table 1). The PL properties (emission spectra and QY) of all these samples have been studied to select the ratio leading to the best photometric characteristics.

Figure 3 presents the normalized PL emission spectra of samples with  $R_o$  varying from 0.5 to 2 for a fixed  $R_{o/i} = 5$  (reference value, Table 1). The near-UV excitation wavelength has been set to 385 nm since it

corresponds to the wavelength of some commercial UV LEDs. The PL emission spectrum of the reference sample ( $R_o = 1.5$ , in orange) has a broad emission band lying from the blue to the yellow wavelengths range. Decreasing the  $R_o$  from 2 to 0.75 progressively shifts and widens the PL emission spectra to higher emission wavelengths (Fig. 3a). This is confirmed by the CIE chromaticity coordinates: the emission is warmer when  $R_o$  decreases from 2 to 0.75 (Fig. 3c). With a lower  $R_o = 0.5$ , the PL emission goes backward to a colder color. Corresponding eQYs for the same excitation wavelength (385 nm) are shown in Fig. 3b. The maximum value of the eQY (25.4%  $\pm$  2.5%) is obtained for  $R_o = 1.25$ . Below this  $R_o$ , eQY remains between 23.4%  $\pm$  2.3% and 24.1%  $\pm$  2.4%. Above this ratio, the eQY decreases to 15.2%  $\pm$  1.5%.

In addition, during syntheses, we noticed that  $R_o$  below 1 led to the formation of poorly expanded

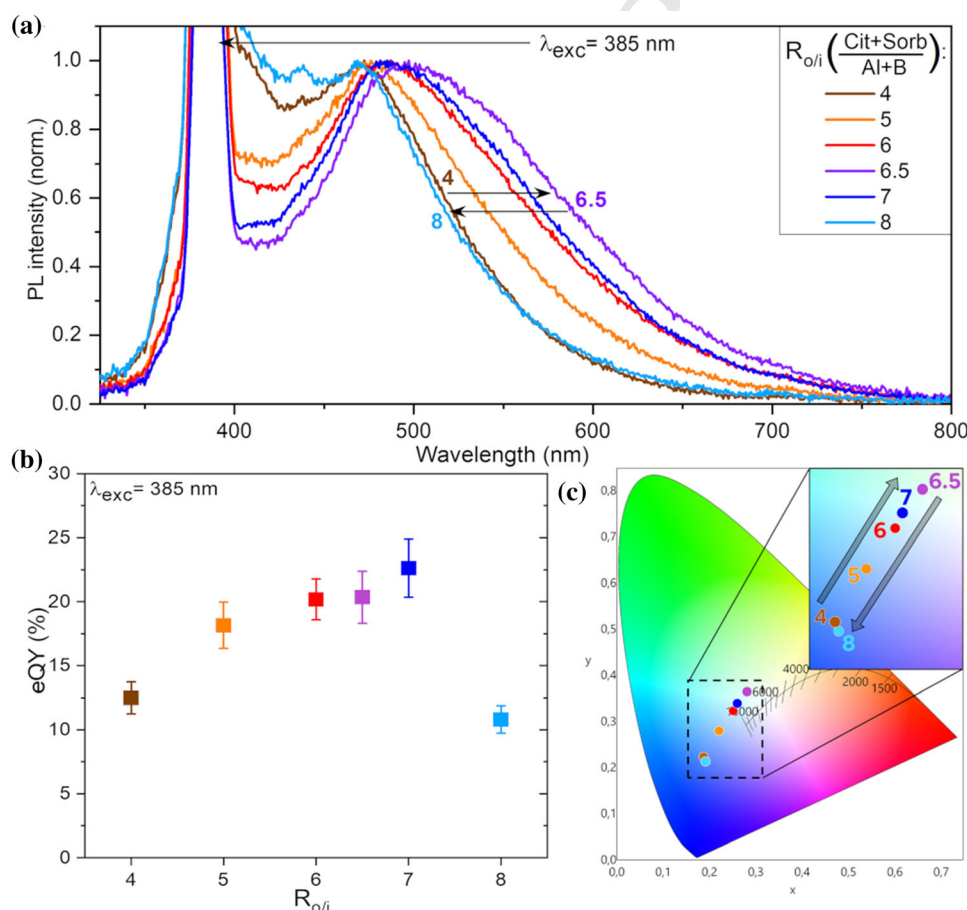


443 brown solids with a higher hardness making the  
 444 grinding and sieving steps more difficult. Thus, the  
 445 grain size is less controllable. In fact, a low  $R_o$  reduces  
 446 the  $CO_2$  off-gassing resulting from the decarboxylation  
 447 of the citrate during the heating of the  
 448 polyesterification step. In conclusion, the  $R_o = 1$   
 449 seems to be a good compromise to keep an easy-to-  
 450 grind powder presenting suitable optical properties:  
 451 an eQY of  $24.1\% \pm 2.4\%$  and still a warmer PL  
 452 emission than the reference sample.

453 Alongside, the study concerning the influence of  
 454  $R_{o/i}$  ratio on powder emission properties is presented  
 455 in Fig. 4.  $R_{o/i}$  ratio increases from 4 to 8 while  $R_o$  is  
 456 kept at the reference value of 1.5. The PL emission  
 457 spectra (Fig. 4a) are obtained with an excitation  
 458 wavelength of 385 nm. Increasing the  $R_{o/i}$  ratio from  
 459 4 to 6.5 makes the PL emission warmer and broader.  
 460 Beyond 6.5, the PL emission shifts toward the shorter

wavelengths. Therefore, the sample for which  $R_{o/i}$  461  
 ratio is 6.5 generates the warmest and broadest 462  
 emission band, ranging from 400 to 700 nm. 463  
 Regarding the eQYs (Fig. 4b), the optimum value is 464  
 for  $R_{o/i} = 7$  (eQY =  $22.6\% \pm 2.3\%$ ). However, the 465  
 best compromise between a rather efficient eQY and 466  
 a broad and warm PL emission is given by the  $R_{o/i}$  467  
 ratio of 6.5. Indeed, this  $R_{o/i}$  ratio at 6.5 provides a PL 468  
 emission band significantly shifted by 50 nm toward 469  
 the long wavelengths in comparison to  $R_{o/i} = 7$ , 470  
 while maintaining an eQY of  $20.3\% \pm 2.0\%$ . 471

472 Considering these results, an optimized composition 473  
 was chosen allowing to improve the optical 474  
 characteristics (colorimetry, quantum efficiency) 475  
 while maintaining a reproducible synthesis. It is 476  
 characterized by the following ratios:  $R_o = 1$  and  $R_{o/}$   
 $i = 6.5$ . Thus, we can guess that the optimization of 477  
 the carbonated precursors quantities has led to more 478



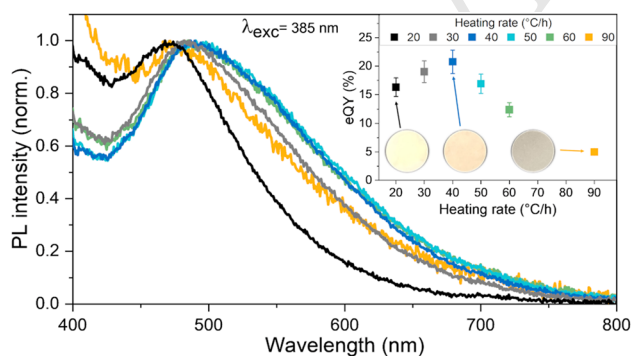
**Figure 4** Normalized PL emission spectra (a) and corresponding eQYs (b) and CIE chromaticity coordinates (c) of AB samples with  $R_{o/i}$  ratios varying from 4 to 8.

479 PL emitting centers (PAH molecules) trapped in the  
480 aluminum borate matrix while keeping identical the  
481 other synthesis conditions.

## 482 Optimization of the calcination parameters

483 Once the precursors composition has been optimized,  
484 attention was focused on calcination parameters. This  
485 thermal treatment is crucial for the PL emission  
486 properties of AB powders. Thermogravimetric anal-  
487 ysis coupled mass spectrometry (TGA-MS, Figure S7)  
488 shows a 7 wt% loss of water between 100 and 200 °C  
489 demonstrating the slight hygroscopicity of the pow-  
490 der. The main mass loss of 73 wt% for the tempera-  
491 ture range 450–600 °C is related to the decomposition  
492 of the pyrolytic carbon eliminated by oxidation (CO<sub>2</sub>,  
493 CO and H<sub>2</sub>O exhaust gases). In addition, this thermal  
494 treatment leads to the trapping of emitting centers  
495 formed within the inorganic matrix when it densifies.  
496 Thus, the oxidation conditions of pyrolyzed powders  
497 induce variations in the PL emission profiles and in  
498 photometric characteristics of the AB powders.  
499 Therefore, we optimized the calcination conditions  
500 by varying the HR and the final T<sub>ca</sub> around previ-  
501 ously defined values (HR = 30 °C/h and  
502 T<sub>ca</sub> = 700 °C).

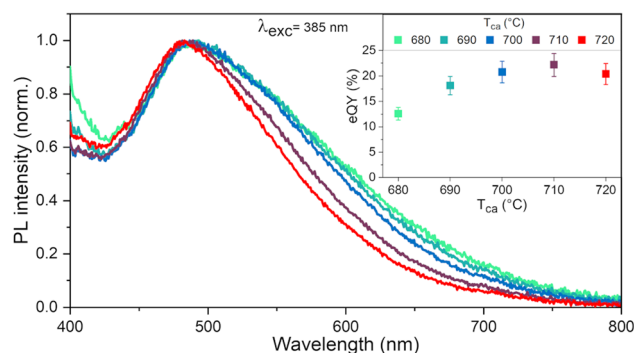
503 The HR variation keeping the same final reference  
504 temperature of 700 °C was first studied. Figure 5  
505 shows the results of PL emissions and eQY versus  
506 HR. The three circular photographs in Fig. 5 cor-  
507 respond to the calcined powders prepared with HR =  
508 20, 40 and 90 °C/h. For a slow HR (20 °C/h), the  
509 obtained yellowish-colored powder exhibits a narrow



**Figure 5** Normalized PL emission spectra and corresponding eQYs of AB samples calcined at different HR (from 20 °C/h to 90 °C/h) to the T<sub>ca</sub> of 700 °C. Three round photographs of the powders calcined, respectively, at 20 °C/h, 40 °C/h and 90 °C/h are presented in the eQY graph.

510 PL emission in the blue range and a moderate eQY. In  
511 this case, the long heating time to reach the final T<sub>ca</sub>  
512 (700 °C) is associated to a slow formation and den-  
513 sification of the aluminum borate matrix. This favors  
514 the strong oxidation of the previously pyrolyzed  
515 powder. Thus, a part of the emitting centers (PAHs)  
516 has been degraded by the long oxidation step, in  
517 particular those emitting in the yellow range. On the  
518 opposite, the powder calcined through high HR  
519 (90 °C/h) has been partially oxidized exhibiting a  
520 grayish color due to the significant presence of black  
521 carbon. The fast heating treatment must have favored  
522 the rapid densification of the AB inorganic matrix.  
523 This did not allow to sufficiently oxidize a part of the  
524 pyrolytic carbon, which thus remained trapped in the  
525 aluminum borate network. The residual black carbon  
526 species act as non-radiative absorbing centers  
527 resulting in low PL efficiency (eQY = 5% ± 0.5%).  
528 Intermediate HR value (40 °C/h) leads to beige  
529 powder exhibiting the maximum of eQY  
530 (20.8% ± 2.1%) and the widest PL emission in the  
531 visible range (Fig. 5). We can therefore consider that  
532 this HR corresponds to an optimized residence time  
533 in the furnace, leading to the best calcination condi-  
534 tions with the simultaneous formation of the AB  
535 matrix and a wide range of emitting centers.

536 We adjusted the final temperature of calcination  
537 T<sub>ca</sub> using the optimized heating rate HR = 40 °C/h.  
538 A third 2.8 wt% mass loss close to 700 °C (Figure S7)  
539 is related to carbon elimination, particularly origi-  
540 nated from the emitting centers trapped until then in  
541 the matrix. As a consequence, we have studied AB  
542 powders obtained with a T<sub>ca</sub> comprised between  
543 680 °C and 720 °C, taking into account that for higher  
544 T<sub>ca</sub> the emission intensity significantly decreases



**Figure 6** Normalized PL emission spectra and corresponding eQYs of AB samples calcined at different T<sub>ca</sub> values from 680 °C to 720 °C with HR = 40 °C/h.

545 while the emission band shifts toward blue wave-  
546 lengths. Their optical features are gathered in Fig. 6.

547 It evidences that the best compromise to get a  
548 warm broad emission together with a satisfying eQY  
549 is to calcine the AB sample at 700 °C. Indeed, even if  
550 the eQY maximum is reached with  $T_{ca} = 710$  °C, the  
551 emission bandwidth is significantly reduced above  
552 700 °C. Below 700 °C, the emission bandwidth is  
553 almost not affected, but the eQY is quite lower indi-  
554 cating a less-efficient transformation of PAH mole-  
555 cules. Thus, the evolution in photometric  
556 characteristics are mainly related to the one of the  
557 PAH nature through partial oxidation during the  
558 calcination [40] (as hydroxylated PAH) before elimi-  
559 nation at higher temperature (720 °C).

560 In conclusion, the optimized calcination conditions  
561 are a HR of 40 °C/h and a temperature  $T_{ca}$  of 700 °C.  
562 The next part of this work will be dedicated to the use  
563 of TRPL to study the origin of the PL and the dif-  
564 ferences that may arise from the changes in the pre-  
565 cursors ratio.

## 566 Time-resolved photoluminescence

567 TRPL analyses have been conducted on the opti-  
568 mized AB powder (Fig. 7) and the reference sample  
569 (see Table 1 for composition and Figure S9 for the  
570 decays) at the excitation wavelength of 400 nm. Three  
571 emission wavelengths have been studied: 448 nm for  
572 the blue contribution, 506 nm corresponding to the  
573 maximum of emission and 563 nm for the yellow

574 contribution of the emission spectrum. The data were  
575 normalized and fitted with a bi-exponential decay  
576 Eq. (3):

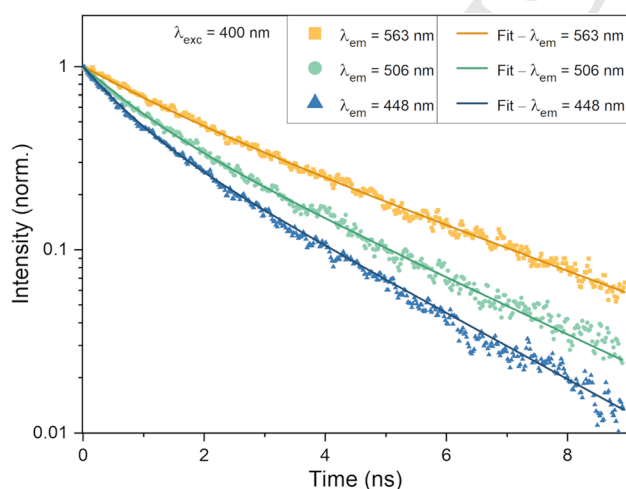
$$y = A_1 \exp\left(\frac{-t}{\tau_1}\right) + A_2 \exp\left(\frac{-t}{\tau_2}\right) \quad (3)$$

579  $\tau_1$  and  $\tau_2$  are the short and long lifetimes, respec-  
580 tively.  $A_1$  and  $A_2$  are the weights corresponding to  
581 each part of the decay. Figure 7 shows the results  
582 obtained thanks to the bi-exponential fitting for the  
583 three emission wavelengths studied. The results of  
584 the fit are gathered in Table 2.

585 The TRPL measurements of AB powders suggest  
586 the presence of several types or sizes [44] of emitting  
587 species as they are characterized by two decay life-  
588 times. The optimized sample decay curve for the  
589 448 nm emission wavelength is characterized by  
590 quite equiponderant contributions of 45% and 55%,  
591 respectively, for the short lifetime ( $\tau_1 = 0.7 \pm 0.1$  ns)  
592 and the long lifetime ( $\tau_2 = 2.4 \pm 0.1$  ns). For emission  
593 wavelength in the green range ( $\lambda_{em} = 506$  nm), the  
594 long lifetime is more weighted with 60%, and both  
595 short and long time constants present increased val-  
596 ues compared with the blue wavelength decay:  
597  $\tau_1 = 0.9 \pm 0.1$  ns and  $\tau_2 = 2.8 \pm 0.1$  ns. The trend  
598 continues for the yellow emission wavelength ( $\lambda_{em}$ -  
599 = 563 nm), with  $A_1 = 30\%$  and  $A_2 = 70\%$ , the short  
600 lifetime weight is reduced for the benefit of the long  
601 lifetime. This tendency is well noticeable in Fig. 7, as  
602 well as the evolution of  $\tau_1$  and  $\tau_2$  whose both values  
603 increase to  $1.4 \pm 0.1$  ns and  $3.6 \pm 0.1$  ns, respec-  
604 tively. The evolution of the values and the weights of  
605  $\tau_1$  and  $\tau_2$  with the different emission wavelengths  
606 supports the hypothesis of the presence of several  
607 types or sizes of PAH emitting centers.

609 The behavior of the decays of the reference sample  
610 (Figure S9, Table 2) is very analogous to the one of the  
611 optimized samples. If this similarity of the lifetimes  
612 suggests that the nature of the emitting centers of the  
613 reference and optimized powders are close, the  
614 improvements of eQY and the broadening with  
615 bathochromic effect should be attributed to the  
616 quantity of emitting centers and to their fashion of  
617 trapping in the inorganic matrix.

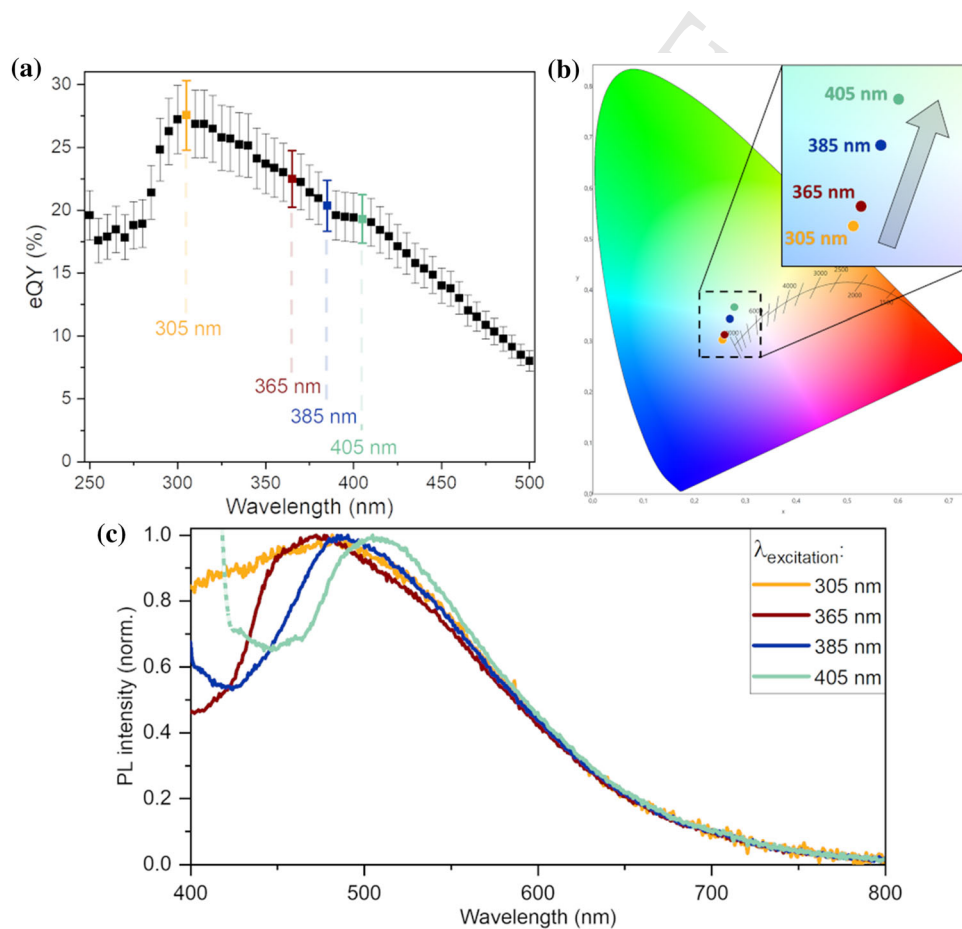
618 The final part of this work will highlight the tun-  
619 ability of the emission features of the AB powders  
620 depending on the excitation wavelength.



**Figure 7** Room-temperature decay curves of optimized AB powder recorded under 400 nm-excitation for different emission wavelengths.

**Table 2** Short and long lifetimes ( $\tau_1$ ,  $\tau_2$ ) and associated weights (A1, A2) obtained by fitting (bi-exponential decay) the TRPL data of optimized and reference AB powders excited at 400 nm, for three emission wavelengths (448 nm, 506 nm and 563 nm)

Sample	$\lambda_{em}$ (nm)	$\tau_1$ (ns)	$\tau_2$ (ns)	A1 (%)	A2 (%)	R <sup>2</sup>
Optimized	448	$0.7 \pm 0.1$	$2.4 \pm 0.1$	45	55	0.9985
	506	$0.9 \pm 0.1$	$2.8 \pm 0.1$	40	60	0.9975
	563	$1.4 \pm 0.1$	$3.6 \pm 0.1$	30	70	0.9974
Reference	448	$0.6 \pm 0.1$	$2.4 \pm 0.1$	46	54	0.9980
	506	$0.9 \pm 0.1$	$2.9 \pm 0.1$	40	60	0.9984
	563	$1.2 \pm 0.1$	$3.6 \pm 0.1$	32	68	0.9965

**Figure 8** eQYs (a), corresponding CIE coordinates (b) and normalized PL emission spectra (c) of optimized AB powder with focus on the maximum eQY value at 305 nm and usual near-UV chip wavelengths at 365, 385 and 405 nm..

## 621 Excitation wavelength modulation

622 The AB luminescent powders offer a particularly  
 623 interesting characteristic: they can be excited in a  
 624 wide range of wavelengths from UV to blue wave-  
 625 lengths as illustrated for the optimized powder in  
 626 Fig. 8a. Thus, the most-used commercial UV wave-  
 627 lengths (365 nm, 385 nm, 405 nm) are included in  
 628 this excitation domain. The maximum eQY is  
 629  $27.6\% \pm 2.8\%$  for  $\lambda_{exc} = 305$  nm. The corresponding  
 630 PL emission spectrum and those measured with

631  $\lambda_{exc} = 365$  nm, 385 nm and 405 nm are presented in  
 632 Fig. 8c. The associated CIE chromaticity coordinates  
 633 (Fig. 8b) highlight the bathochromic emission shift  
 634 occurring for the same sample when the excitation  
 635 wavelength increases.

636 This tunable emission profile could be explained  
 637 by the existence of PAHs of different sizes, such as  
 638 coronene or circumcoronene molecules as recently  
 639 evidenced by Salaün et al. combining spectroscopic  
 640 results (PL, EPR) and DFT calculations [40]. This  
 641 specific behavior is particularly interesting for tuning

642 the white emission from pretty cold to pretty warm  
643 color, including AB powders in a device combining  
644 different kinds of UV-LEDs.

## 645 Conclusion

646 In this work, RE-free AB phosphors presenting a  
647 broad PL emission band in the visible range under  
648 near-UV excitation have been developed by a modi-  
649 fied Pechini process. Their PL properties are due to  
650 the presence of PAH emitting centers trapped in the  
651 inorganic matrix. The different steps of the synthesis  
652 have been optimized to improve the photometric  
653 properties of AB powders. Both inorganic and  
654 organic precursors molar ratios were optimized.  
655 Respectively,  $A1/B = 0.5$ ,  $Cit/Sorb = 1$  and  $(Cit +$   
656  $Sorb)/(A1 + B) = 6.5$  are the ratios for which PL  
657 emission is the widest and warmest (from 400 to  
658 700 nm) with near-UV excitation (385 nm). The  
659 trapping properties of the inorganic AB matrix were  
660 enhanced, while the formation of PAH molecules  
661 emitting at warmer wavelengths was promoted.  
662 Then, the parameters of the calcination treatment,  
663 under  $O_2$  atmosphere, were adjusted. During this last  
664 synthesis step, the oxidation of organic residues  
665 resulting from the previous pyrolysis and acting as  
666 absorbing centers, is completed. The best conditions  
667 allowing a suitable oxidation step leading to the  
668 formation and conservation of emitting centers were  
669 determined: a heating rate of  $40\text{ }^\circ\text{C/h}$  and a calcina-  
670 tion temperature of  $700\text{ }^\circ\text{C}$ . TRPL measurements  
671 were performed to characterize the PAH emitting  
672 centers in the solid state. The optimized powder  
673 presents a satisfying eQY from 19 to 28% on a wide  
674 range of UV-excitation wavelengths (from 305 to  
675 405 nm). Moreover, the possibility of adapting the  
676 excitation wavelength to get an emission band with  
677 controlled profile has been described. It is quite  
678 interesting for applications in which the color of  
679 emission needs to be tuned in a condensed device  
680 with a single phosphor associated to several UV-ex-  
681 citation sources.

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## Declarations

**Conflict of interest** The authors declare that they 692  
have no conflict of interest. 693

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