

PSE/CAPE paradigm transition towards "La pensée complexe" in a global world under pressure



Vincent.Gerbaud@ensiacet.fr

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Acknowledgments

Pr. Xavier Joulia

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PSI Team at Laboratoire de Génie Chimique



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Insatiable Humanity

 Exponentially increasing indicators for global socio-economic development and the structure and functioning of the Earth system





• Living safely on earth vs exceeding the liveable limits







© Kate Raworth, author of Doughnut Economics: Seven Ways to Think like a 21st Century Economist, 2017



Facts and evidences are gloomy

Environment

Biodiversity

Climate



• Facts and evidences gloomy

Environment

Biodiversity

Terrestrial Vertebrate Biomass Amphibiens 40 % 1400 Conifères 34 % 1200 Récifs coralliens 33 % Milions of Tonnes 800 900 33 % Mammifères marins Requins et raies 31 % 400 27 % Crustacés obal Carrying 200 mmifères terrestres 25 % Nombre d'espèces animales/végétales 0 Oiseaux 14% 10,000 BCE 1900 1950 2000 2050 Detail Local Control Values Smill Control Values Control Values Smill Control Values Smill Control Values Contr menacées d'extinction 5the extinction 6 the extinction The 6th extinction us ADENE V. Gerbaud June 14, 2022 - PSE and my entropy curve. A paradigm transition towards "La pensée complexe"

CITS

Climate

Facts and evidences are gloomy

Environment

La planète bruie

17.3 millions d'he

Biodiversity

Climate



Extreme events



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Ubfration Acut 2021

En Sibérie, des feux de forêts démesurés

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Forecast temperatures to July 1

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• Facts and evidences are gloomy (more)

Humanity

Resources

Pourcentage des émissions de CO2 dans la population mondiale (déciles) Les 10 % les plus riches Les 10 % les plus riches, responsables de près de la moitié des 49 % issions liées au mode de consommat us evenus (19 % We are responsible 11 % des fonction (7% We can act! B 4 % mondiale (3% Répartition de la population 2,5 % Les 50 % les plus pauvres, inequality Les 50 % les plus pauvres responsables de seulement 2% 10 % environ des émissions totales liées au mode 1,5 % de consommation A 62 ans, seuls 5% $\leftarrow {\rm des} \ {\rm plus} \ {\rm riches} \ {\rm sont}$ décédés Part des personnes mortes A 62 ans, 75% des plus pauvres sont encore en vie, un quart d'entre Bury ast décédé 25% of poor people Part des personnes en vie will die before retiring inégalités A l'age de la retraite, 62 ans àge légai de départ 25% des plus inequity pauvres sont dėja morts Part des survivants par âge 10 % et par niveau de vie Source: Insee données 2018 20 ans 30 ans 40 ans 50 ans 60 ans 70 ans 80 ans 90 ans 100 ans June 14, 2022 - PSE and my entropy curve. A paradigm transition towards "La pensée complexe" V. Gerbaud

Energy

CIPICS

• Facts and evidences are gloomy (more)

Humanity

Resources



thirsty extraction → depletion

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Energy

Resources

• Facts and evidences are gloomy (more)

2019: 100 000 000 oil barils / day 15 898 729 m³/day = 4221 olympic swimming pool 5,84 x 10¹⁴ KJ (1 BEP = 5861520 KJ)

Humanity



Energy



• And things will get worse !







My grandmother, 100 yrs old

My three daughters, 17, 20, 23

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Will my PSE activity enable my children to grow up happily to a 100 yrs ?



So, I need to shift my paradigm from cartesianism towards 'La Pensée Complexe'

- → A methodological shift in my science
 - → An epistemological shift in my philosophy of science
 - → A metaphysical shift in my represention of the world

Preliminary

• Epistemology

- The philosophy of knowledge
 - The study of how what constitutes valid knowledge (Piage)

Paradigm

- A distinct set of concepts or thought patterns, including theories, research methods, postulates, and standards for what constitutes legitimate contributions to a field
 - Cartesianism (Descartes



Rationalism (Leibnitz 🔮

Positivism (Comte 🗽)

Constructivism

educational constructivism.(Piaget 🧑)

pragmatic constructivism (LeMoigne 🕢 Avenier Morin 🚺)







Preliminary

- Audience homework 1:
 - Identify the phases of my transition curve



Elisabeth Kübler Ross 1969 John Fisher 2012

- Audience homework 2:
 - build your own transition curve via reflexive thinking!
 - Did you also experience these phases over your career?

Preliminary

- A thermodynamic homology* for my personnal transition curve
 - Helmoltz « free energy » F → Acting capability dF = dU - T.dS
 - U / internal energy → my resources (knowledge, skills)
 - T / temperature → physical & cognitive mobility
 - S/total entropy for an open system

 $dS = dS_i + dS_e$ with $dS_i i 0$ (2nd law)

- S_i / internal entropy → degree of disorganization dS_i ≥ 0 (2nd law) → learning needs some effort and dissipates energy
- S_e / exchanged entropy → exchanged information (papers, conference, books, thesis)



*Homology: dissimilar reality; similarity at the conceptual level





Early career 1996 – 2011

The world outside? No need to bother as long as Science goes on.



(Descartes is watching me)



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The business-as-usual paradigm

- Cartesianism (Descartes) Rationalism (Leibnitz) Positivism (Comte)
 - "Cogito, ergo sum" \rightarrow reasoning is the source of knowledge
 - The world is real and reasoned.
 - Concepts: Faith in disciplinary science approaches, in truth, in logic
 - Principles: objectivity, reductionism, causality, exhaustivity

	Descartes	
In short	Cogito ergo sum	
Approach	causality	
Paradigm & Epistemology	rationalism	
	materialism	
Example	any artefact	



15 thrilling years of conventionnal PSE/CAPE

- -Quest for numbers & excellency
 - Running for fundings usually as Principal Investigator
 - FR fundings: ANR, ATIP,
 - EU fundings: FP6, FP7, H2020
 - Local fundings
 - Industry-backed fundings
 - Industry driven topics
 - Rewards
 - PhD thesis students
 - MSc students,
 - Publications
 - Conferences
 - Books
 - Patents
 - Software tools











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PSE/CAPE fields and approach

- Topics
 - Tailored computer aided molecular and solvent design
 - Extractive and azeotropic distillation
 - Hybrid energy production plant
 - Thermodynamic modeling
- Multiscale
 - Molecules → → Aggregates →
 → Fluids → → Unit operation →
 - \rightarrow Plant $\rightarrow \rightarrow$ Supply chain

- Multiple approaches

- Conceptual design
- Simulation
- Optimization
- Control
- Scheduling



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PSE/CAPE expertise

- Modeling

- Local transport phenomena (heat, mass, CFD)
- Global mass & energy balance
- Phenomenological-driven
- Data-driven (NN)

Numerical methods

- Molecular simulation
- PDAE & NLAE; steady state (NR) & dynamic (RK4, Gear); simulation
- Stochastic optimisation (MC, GA)
- Deterministic optim. (NR, LP, MINLP)

Thermodynamics

- SLE, VLE, SLLE, supercritical
- EOS, G^{ex} models
- Molecule and mixture property prediction
 - Group Contribution, QSAR,
- Exergy analysis
- Nonequilibrium thermodynamics





My entropy sensor

• Acting capability (dF = dU – T.dS) is increased

- As I gain knowledge and expertise, dU > 0
- Conferences, reviews, meetings : T is quite high
- Entropy change is globally ($dS = dS_i + dS_e$) < 0
 - I spend energy for learning, so $dS_i > 0$

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• I publish, give talk, supervise students, so $dS_e \ll 0$

dF = (dU – T.dS) > 0







me

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"purity reached 99.8%"

"design method was validated by batch simulation and experiments"

"the total energy consumption was improved by 23.6%"

"Computer time was 5.6s for the 2300 variable problem"

"it is the first time a thermodynamic model of two demixing aqueous phases is proposed"

"impurity estimate is 135 ppm, compared to 23 ppm measured"

"The solvent property matched the target values within a 5% marging"

• But is this meaningful with respect to global changes?



The bleak prospect is there





The fall... 2011 – 2016

Personal introspection

How am I to cope with the world changing outside?

Professional introspection

Is my PSE work meaningful wrt. the global challenges of our world?



(Descartes is still watching me !)









Facing the global challenge



• Come-on man, be rational!



(Descartes is watching me)

- Decision: Let's drop the personal introspection and keep the professional one
 - How can I incorporate global challenges in my professional activity?
 - Any problem has a solution!
 - I need to set objectives!
 - Solution: incorporate the three pillars of Sustainable Growth : economic, social, environnemental in my activity





Facing the global challenge



• Let's do the best I know in classical PSE:



Some examples of a "cartesian complexity"

- Sust. Growth concern 🛑 🔵 🔵 at MACRO scale:
 - A framework of <u>sustainable</u> chemical enterprise model and decision-making process
 - Based on Model driven Engineering & multiscale modeling



J. HEINTZ, J.P. BELAUD D, V. GERBAUD. Chemical enterprise model and decision-making framework for sustainable chemical product design. *Computers in Industry*, 65(3), 505-520, 2014

- Tentative to develop a framework embedding stakeholders and constraints within a pyramidal and rather rigid chemical enterprise perspective
 - Pro: Explicit stakeholders and constraints
 - Pro: dissociating "financial economy" from "sustainable development and society"
 - Cons: Still a mainstream & rigid vision





V. GERBAUD, TELES DOS SANTOS M., PANDYA N., AUBRY J.M. Computer aided framework for designing bio-based commodity molecules with enhanced properties. *Chem. Eng. Sci.*, 159, 177-193, 2017

L. MOITY, MOLINIER V., BENAZZOUZ A., JOOSSEN B., GERBAUD, V., AUBRY J.M. A "top-down" in silico approach for designing ad hoc bio-based solvents: Application to glycerol-derived solvents of nitrocellulose. *Green Chem.*, 18, 3239-3249, 2016.

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Some examples of a "cartesian complexity"

- Social & environmental concern

 at MACRO scale
 - MO MINLP goal programming MS modeling of a bioethanol supply chain
 - Biomass seasonability, geographical availability, biomass degradation, process conversion technologies, product demand

Fig. 2. Superstructure of biomass supply chain model.



Fig. 1. Illustration of the workflow diagram with multi scale modeling

- Economics: cost
- Environment impact = Eco-cost LCA method
- Social benefit = job creation
 - » Direct & indirect jobs 11 process plant size
 - » Induced jobs : based on geographical location



Fig. 3. Structure of the system of ecocosts 2012 (www.ecocostvalue.com).



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C. MIRET, P. CHAZARA, L. MONTASTRUC, S. NEGNY, S.DOMENECH. Design of bioethanol green supply chain: Comparison between first and second generation biomass concerning economic, environmental and social criteria. *Comput. Chem. Engin.*, 85, 16-35, 2016

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Some examples of a "cartesian complexity"

- The technosolutionist illusion "A.I will take care of all"
 - Combining data driven & process engineering physics models for new modeling approaches
 - "grey box" Hybrid approach





My entropy sensor

- Acting capability is decreasing
 - I still gain knowledge and expertise, dU > 0
 - T remains high as I delve deeper into PSE/CAPE
 - Entropy change is globally ($dS = dS_i + dS_e$) >> 0

I'm in a state of cognitive dissonance!

- My energy is dissipating into states inaccessible for action
 → entropy is growing
 - I still spend energy for learning, so $dS_i > 0$
 - $dS_e \sim 0$ since I struggle to organize my thinking and to devise some professional action compatible with sustainable growth and hopefully global issues.

So, dF = (dU – T.dS) < 0









→ I need to shake the bars of the cage



Shattering & shifting the frame

Bifurcating from cartesianism to 'La Pensée Complexe'



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Bifurcation starts with an insignificant event

- April 2014: workshop "Acting-Thinking in Complexity"
 - Important notice: 'in' complexity rather than 'the' Complexity

	Descartes		Morin	LeMoigne Avenier
In short	Cogito ergo sum	limited complexity (complicated)	general complexity	subjective complexity
Approach	causality	Thinking ' <u>the</u> ' complexity	Thinking ' <u>in</u> ' complexity	Acting-Thinking 'in' complexity
Paradigm &	z rationalism		constructivism	
Epistemology	materialism	materialism	materialism	subjectivism
Example	any artefact	a plane	the mobility issue	Should I move?

• Constructivism:

- Knowledge of reality is a human construct using science but also experience, intuition
 - » La "pensée complexe" (Morin 💽) "Agir-Penser en complexité" (LeMoigne 🕢 Avenier
 - Interdisciplinarity, subjectivity, path dependency, partiality and uncertainty
 - Principles: interrelation, irreductionism, hologrammatism, recursivity, bifurcation, non-linearity, emergence, dialogic, ecology of action, self-organisation, autocriticism, no forecasting...

» Other similar approach:

• The "School of Palo Alto" on systemic and relational approach



Confidence in the future

Acting – thinking in complexity







Avenier

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Three necessary shifts

Metaphysics

1. A metaphysical shift

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 Like every living creature, I walk in a changing world along a path towards the ever farer horizon

2. An epistemological shift *Epistemology*

- Pragmatic constructivsm of LeMoigne & Avenier
 - Concepts:
 - Interdisciplinarity, subjectivity, path dependency, partiality, uncertainty, experiential learning
 - Principles of La Pensée Complexe:
 - interrelation, irreductionism, hologrammatism, recursivity, bifurcation, non-linearity, emergence, dialogic, ecology of action, self-organisation, autocriticism, no forecasting...







Three necessary shifts

3. A methodological shift *Methodology*

- Experiential learning whenever possible

and a new research focus

- Study socio-ecological transitions
 - Setting a dynamic perspective on complex ecosystems evolution

D.A. KOLB, R. Fry. Toward an applied theory of experiential learning. In C. Cooper (Ed.), Studies of group process (pp. 33–57). New York: Wiley, 1975





practicing in complexity: making connections

- Exploring non-financial economic theories
 - micro
 -



– Meso

• Geels' MLP: A multilevel perspective on transition pathways in sociotechnical regimes.



Nicholas Georgescu-Roegen



• N. Georgescu Roegen: "The entropy law and the economic process" / "tomorrow, degrowth"



(non financial) economical theories

• Meso scale: Geels' multilevel perspective on transition pathways in sociotechnical regimes.

- Multi-actor networks

11 × K

- Multi-level perspective
 - Niche \rightarrow where innovation starts
 - Regime → where activity is operant
 - Landscape → surrounding context

- 5 transition pathways

- Transformation
- Reconfiguration
- Technological substitution
- De-alignment
- Re-alignment





F.W. GEELS, J. SCHOT Typology of sociotechnical transition pathways. Res. Policy, 36. 2007 F.W. GEELS, Causality and explanation in socio-technical transitions research: Mobilising epistemological insights from the wider social sciences. Res. Policy, 51, 2022

(non financial) economical theories

- MACRO scale: Nicholas Georgescu-Roegen
 - 1971: The entropy law and the economic process
 - In french: Demain la décroissance = "tomorrow, de-growth«
 - His theoretical claims:
 - Physical limits on earth resources impact the economic process
 - Any economic process is an open system as in irreversible thermodynamics
 - According Thermodynamics' second law , sustainable economic process means that degrowth is desirable

A leading contributor of bioeconomics

- based on describing economic activity via 'flow-fund' (+stock) appr solutioners
 - quite analog to mass and energy balances !

$\left[Q(t);R(t);I(t);M(t);W(t);L(t);K(t);H(t)\right]_{0}^{T}$ (2)



The Earth's biosphere

The economic

system

Recycled material

Natural

esource Energy

Materials

Waste

assimilati

Degraded

materials

Low-grade thermal energy

Nicholas

avec, pour les fonds, L: la terre; K: le capital; H: les hommes et, pour les flux, Q: la quantité de produit; R: ressources naturelles; I: inputs intermédiaires; M: maintenance du capital; W: les déchets.

(non financial) economical theories

-1ª X E **MACRO scale: Prospective scenarios**

- Macro-economy prospective simulation
 - (sequel from fund flow modeling)
 - The 1985' ATHEMA model for the prospective analysis of territorial economy by Ph. Courrege
 - » Physical economy model based on fund - flow description at the local scale
 - » activity described following the NAF and NACE economy activity classification
 - » A LP framework solved with GAMS over a 20 year time horizon scenarios
 - Design of Hybrid renewable energy systems MILP framework solved with ILog

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A. ROTH, M. BOIX, L. MONTASTRUC, P. ETUR, V. GERBAUD. A flexible software for optimal design of Hybrid Renewable Energy Systems (HRES) - Case study of a stand-alone HRES for a factory in tropical area. J. Cleaner Prod., 223, 214-225, 2019

HRES sizing

Produced electricity

Stored

eletricity

Mind

turbine

Hydro-

electricity

panels

Diesel

Input data

Electricity

grid

Onsumer

0

Electric gric

Batteria newser and energy

Stored energy



Some examples for tackling social issue

- MACRO scale: Establishment of collaborative networks
 - how to increase acting capability?
 - A model-driven engineering approach combined with thermodynamic analogy



F. BENABEN, V. GERBAUD, A-M. BARTHE-DELANOE, A. ROTH Establishment of collaborative networks – a model-driven engineering approach based on thermodynamics . PRO-VE 2017 Conference

acting capability $\Delta F = \Delta U - T \Delta S$

Context is described by state variables

- opening (Temperature) presence of competitors, partners, clients and informational / physical connections, [ability to a move]
- *easiness* (Pressure) legal, cultural and financial frame [constraint to a move]
- wideness (Volume) market size. [size]



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Some examples for tackling social issue

- Meso scale: The Maelia[®] Integrated Assessment and Modelling platform
 - Modelling the social-ecological systems at the farmer scale.
 - A multi-agent platform
 - For assessing the environmental, economic and social impacts of agricultural activities, transformation and recycling of biomass, natural resource management strategies (e.g. water) and global (demography, dynamics of land cover and climate) changes.



Some examples for tackling social issue

- micro scale: Soclab: a framework simulating power games in social organizations
 - based on Le Crozier & Friedberg's sociology of organized action / theory of actor-network
 - Postulate: organizational phenomena are constructed by individual activities and behavior
 - A Model-driven engineering approach



C. SIBERTIN-BLANC, P. ROGGERO, F. ADREIT, B. BALDET, P. CHAPRON, J. EL-GEMAYEL, M. MAILLIARD, S.A. SANDRI SocLab: A Framework for the Modeling, Simulation and Analysis of Power in Social Organizations. J. Artif. Soc. Soc. Simul., 16. 2013

- Modeling of "how stakeholder's capability is impacted by the +/- variation of each factor"
 - e.g. increase river flow by opening dam lock makes farmers happy
- Multiagent modeling for playing the social game of power relationships
 - e.g. managing river resource
 - » What is the best compromise (overall capability)
 - » Which actors win? Loose?
 - » What is the acting capability of each actor?



Angel Control of Bono 2 Control of Bono 2 Control 2 Cont









My grandmother, 100 yrs old

Conclusion: The new HORIZON – EUROPE





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Towards a participative co-building and evaluation

11 × E





Some examples on the horizon

Towards a participative co-building and evaluation





Some examples on the horizon

• Mixing viewpoints

- Farms: architecture
- Farmer: stakeholder-oriented
- Farming: dynamic process oriented

• Taking care of regulations



My entropy sensor

• Acting capability is increasing again!

- I still gain knowledge and expertise, dU > 0
- T remains high as I explore new disciplines
 - Macroeconomy

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- Social entropy theories
- Entropy change is globally (dS = dSi + dSe) < 0
 - I've made peace with myself
 - I still spend energy for learning, so dSi > 0
 - dSe < 0 since I have found new ways to disseminate knowledge, to conduct research....

So, dF = (dU – T.dS) > 0







Guidelines & Conditions of change

• Be bold! think & act

- Practice "acting-thinking in complexity"
 - Connect, link, join, relate
 - Question the question
 - Reformulate the problem to be explored
 - Investigate contexts of any subject
 - Reveal implicits and untold
 - Pay attention to weak signals and feedback to adjust your progress along the path
 - Value the path more than the "expected result" so as to reach a '<u>satisfactory result</u>'
 - Do it with other people
 - Accept uncertainty
 - Accept contradiction
 - Redefine ways, means and ends
 - Bifurcate if necessary



Guidelines & Conditions of change

• Shift!

- Metaphysical shift
 - Reassess your relationship within the current world
- Epistemological shift
 - Shift from cartesianism to pragmatic constructivism
- Methodological shift
 - Act on what is meaningful
 - Act on what matters for you and for the socioecological transitions
 - Look-up
 - Drop excellency, h-factor as the ruling mark
 - Shift from economy-first towards more environment and social
 - Value alternative ways of evaluating research activity
 - Build a collective environment favorable for change
 - Discuss with colleagues and family
 - » Join academic activist groups
 - Practice with human beings, not just with computers :
 - » Interdisciplinarity, low-profile on your expertise
 - » Participative science with non-expert stakeholders



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As I walk along the uncertain path ahead, I'm confident that research approach embedded in La pensée Complexe is heading towards truely sustainable solutions meaningful for me



