

Crop diversification improves cropping system sustainability: An 8-year on-farm experiment in South-Western France

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1 Crop diversification improves cropping system sustainability: an 8-year on-

2 farm experiment in south-western France

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- 9
- 10 Abstract
- 11 CONTEXT

Conventional cropping systems in south-western France contribute greatly to the degradation of environmental resources. Crop diversification is considered to be an effective mechanism to increase the sustainability of cropping systems and promote their transition to agroecology. To test this hypothesis, farmers, agricultural advisers and scientists developed a participative co-design project.

17 OBJECTIVE

The main objective was to co-design cropping systems to reduce the use of inputs, experimentwith them on farms and assess their sustainability over several years.

20 METHODS

Eight diversified cropping systems were designed during multi-actor co-innovation workshops. These systems were established and monitored for eight years (2010-2017) on two fields on each of eight farms located in areas with different soil and climate conditions. At the end of the eight-year study, the performance of these cropping systems was evaluated using 15 economic, environmental and social indicators.

26 RESULTS AND CONCLUSIONS

27 Crop diversification improved most of the environmental indicators. Pesticide use decreased by 20-64% in five of the eight systems but increased in the other three, due to production 28 contracts that required systematic applications or in order to control high levels of pest or 29 weed pressure. In parallel, mean energy consumption (-30%), greenhouse gas emissions 30 (-36%) and irrigation water consumption (-43%) decreased significantly after diversification, 31 32 which helped the systems mitigate and adapt to climate change. The economic performance, however, was more contrasted, with four of the eight farms showing a decrease in semi-net 33 margin of 10-35% compared to those of the initial systems, but the other four showing an 34 35 increase of 5-190%. Production of food energy also generally decreased (by up to 40%) after 36 diversification, mainly due to a decrease in the amount of cereals produced (especially maize). Thus, crop diversification usually improves the environmental sustainability of cropping 37 38 systems; however, for certain specialised high-value cropping systems, which often have high environmental impacts, it tends to reduce their economic performances. 39

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41 Keywords: multi-criteria evaluation; low-input cropping system; on farm-experiment; co42 design approach

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44 **1. Introduction**

Food production in the second half of the 20th century has increased greatly due to intensification of agriculture, but it has also led to many undesirable environmental impacts, such as biodiversity loss; soil degradation; and soil, water, air, and food contamination by pesticides (Foley *et al.*, 2005; MEA, 2005), which can have negative impacts on human health (Mostafalou and Abdollahi, 2013; Carvalho, 2017; Kim *et al.*, 2017). To limit or reduce these negative externalities, several directives and plans have been developed and implemented in

the European Union since the 1990s, especially through the Common Agricultural Policy (e.g. 51 52 Directive 2009/128/EC; European Green Deal). In France, the national Ecophyto plan (2008-2025) aims to halve the amount of pesticides applied by developing and promoting alternative 53 pest management strategies, based mainly on integrated pest management principles 54 (Reganold et al., 2001; Barzman et al., 2015), while maintaining an overall level of 55 production compatible with food/feed demand or other industrial needs (e.g. energy, fibre) 56 57 (Debaeke et al., 2009; Lechenet et al., 2016; Lechenet et al., 2017a). Attempting to meet such potentially contradictory objectives simultaneously may be difficult and raise questions that 58 need to be addressed collectively by farmers and stakeholders in agricultural research and 59 60 development (Thornton and Herrero, 2001; Vasileiadis et al., 2013; Richard et al., 2020).

Among the possible strategies to reach these ambitious goals, redesigning cropping systems 61 (CS) using a diversity of agronomic mechanisms that are well-reasoned and interconnected 62 63 for maximum efficiency is probably one of the most promising to reduce the dependence of agricultural systems on inputs (Attoumani-Ronceux et al., 2011; Lechenet et al., 2017b). 64 Redesigning of cropping systems can be combined with complementary strategies such as 65 substitution of inputs with more "environmentally friendly" practices. Many agricultural 66 research and development projects use this Efficiency-Substitution-Redesign strategy 67 68 (MacRae et al., 1989; Hill and MacRae, 1995) to assess the degree of change between initial and alternative systems and to develop methods to analyse and compare alternative systems 69 that have been developed to decrease the use of inputs (Giuliano et al., 2016; Casagrande et 70 71 al., 2017; Lechenet et al., 2017b).

Many agronomists consider crop diversification in space and time as the most effective strategy for decreasing input dependence because it can decrease pest pressure (e.g. break biological cycles, reduce weed specialisation), and it is a central pillar of agroecology (Altieri and Nicholls, 2005; Duru *et al.*, 2015). However, the specialisation of farming systems and

CS in France in the past 50 years has often decreased crop diversity (Duru et al., 2015; 76 77 Meynard et al., 2018), which has resulted in monocultures (mainly of maize and winter cereals) in several regions. Currently, reintroducing crop diversity faces technical lock-ins, 78 such as a lack of agronomic knowledge about crop species (e.g. choice, management, 79 performance), a scarcity of references on how to use this diversity to build efficient crop 80 rotations (Meynard et al., 2018) and organisational and economic lock-ins, with specialised 81 82 sectors (e.g. the maize production chain) that are unwilling to manage a wide range of crops (Magrini et al., 2016; Magrini et al., 2019). 83

When designing CS prototypes, two main approaches can be used: model-based design or a prototyping approach that involves different stakeholders/experts (Vereijken, 1997; Lançon *et al.*, 2007; Lesur-Dumoulin *et al.*, 2018). By using knowledge from farmers, advisers (e.g. from extension services, cooperatives) and scientists in a design step and then defining objectives that the CS prototype needs to fulfil, this second approach can explore more innovations than the former (Lesur-Dumoulin *et al.*, 2018).

In south-western France, especially in the Occitanie region, CS are highly specialised and not 90 very diversified. In alluvial valleys, on loamy soils with differing depths and degrees of stone 91 content, the CS are dominated by maize (mainly for grain), usually irrigated (> 70% of the 92 93 area) and planted in monoculture. Water consumption for irrigation is a major issue, especially as effects of climate change become more severe. At the same time, although maize 94 requires few pesticide treatments, the active ingredients used, such as S-metolachlor, are 95 widely detected in the region's groundwater and surface water. In hillside areas of the region, 96 mainly on clay soils, the CS are based on a relatively short rotation of wheat (durum or soft) 97 and sunflower. Most of these CS include deep tillage (usually mouldboard ploughing) and 98 bare soil during the fallow period. These operations often cause significant erosion, loss of 99 soil fertility and high energy consumption. 100

The objective of this study was to test the hypothesis that crop diversification can improve 101 102 agronomic, economic, environmental and social performances of CS. One of its original features was to conduct these experiments on farms over a long period (8 years). To this end, 103 104 CS were co-designed during workshops with groups of 6-8 farmers, advisers and scientists in six territories of the Occitanie region. Following these co-design workshops, eight farmers (1-105 106 2 per territory) committed themselves to implement the co-designed diversified CS with 107 support from their local adviser. The performance of these CS was monitored for eight years using a variety of indicators to assess their sustainability. 108

109

110 2. Materials and methods

111 2.1. Territories of the study and main features of their initial CS

112 The study was conducted in the Occitanie region (Fig. 1), which has an oceanic climate with 113 Mediterranean influences, according to the Köppen climate classification. In 2009, six 114 agricultural territories that represented the main areas of cash-crop production of the 115 Occitanie region were chosen:

three were located in alluvial corridors of the Garonne, Ariège and Adour Rivers (Fig. 116 1). Soil types there are mainly silty (55-60%, clay content 15-20%), with a relatively 117 low organic carbon content (mean < 1.0%) and sometimes stony (especially in the 118 Ariège corridor). The main CS is based on a grain maize (or maize seed) monoculture, 119 mostly irrigated and cultivated after deep tillage (mouldboard ploughing) in the spring. 120 121 the other three were located on hillsides of the region (Lauragais, Tarn and Causses du _ Lot) (Fig. 1). Soils there are mainly calcareous clay (clay content > 30%). The CS, 122 usually rainfed, are based mainly on a durum wheat-sunflower rotation, but are 123 sometimes more diverse, with rapeseed or barley as winter crops and sorghum as a 124

125 summer crop. Soil tillage is generally reduced for winter crops but deeper126 (mouldboard ploughing or other deep tillage) for spring crops.

In each territory, a group of 6-8 farmers, all exclusively field-crop producers with no
livestock, was assembled according to local advisers' knowledge of their potential interest in
redesigning more sustainable CS. These farmers were involved in the co-design workshops,
which started at the end of 2009 or beginning of 2010.

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132 2.2. Method to co-design, test and evaluate diversified CS

Co-designing of the CS was based on a participative prototyping approach that involved 133 134 farmers, advisers and scientists (Vereijken, 1997; Lançon et al., 2007; Lesur-Dumoulin et al., 2018). The farmers were identified by local advisers from the Chamber of Agriculture 135 according to (i) their farming systems, which had to be representative of the territory, and (ii) 136 137 their motivation for the project. Most of the farmers selected could be considered as "adviser farmers" (Richard et al., 2020): aware of the need to improve agricultural production methods 138 139 and with a high level of expertise and knowledge about agronomic mechanisms alternative to the use of inputs. A two-day group workshop was then organised in each territory. The 140 workshop was divided into two main steps (Fig. 2), which aimed to (i) analyse advantages and 141 142 disadvantages of the initial CS and stakeholders in the territory in order to (ii) co-design and evaluate CS prototypes. 143

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2.2.1. Step 1 – Systems analysis and farmers' perceptions

The main objectives of step 1 were to identify the main issues of the territory in order to improve the sustainability of farming systems and to analyse their existing CS by defining the one that would serve as a reference. In most territories, farmers spontaneously mentioned environmental problems, mainly degradation of water quality (surface or ground water) due to

the presence of nitrate and/or pesticides. In this first phase of step 1, the advisers and scientists let the farmers express themselves so they could exchange practical knowledge and suggestions. Next, the group analysed strengths, weaknesses, opportunities of and threats to (SWOT) agronomic, economic, environmental and social aspects of the reference system. The next phase aimed to have the group share and adhere to a systems approach to be able to codesign the CS prototypes and then define and prioritise their main objectives.

During this phase, the advisers and scientists present identified 1-2 farmers who were likely to experiment with, on their own farms, the CS outlined. Farmers were chosen by considering their motivations, open-mindedness and ability to exchange with other farmers and the advisers. Two farmers accepted doing so in two of the territories (Fig. 1), while one farmer accepted doing so in the other four (Fig. 2).

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2.2.2. Step 2 – Co-design and *ex-ante* assessment of the CS prototypes

An iterative method, based on that developed by the national network on innovative CS 163 (Attoumani-Ronceux et al., 2011), was used to combine the participative co-design of CS 164 with multi-criteria ex-ante assessment of the CS prototypes designed (Fig. 2). For the co-165 design phase, the objectives previously defined were used and supplemented by a variety of 166 167 constraints (e.g. keeping certain crops in the rotation, such as durum wheat or maize). The objectives and constraints could vary among territories, but some of them were the same. In 168 particular, a common objective was to use less pesticides and fertilisers than in the initial CS, 169 using both crop diversification and other mechanisms of integrated crop protection. 170

The initial group of 6-8 farmers, 2-3 advisers and 1-2 scientists was divided into two equalsized subgroups, and a prototype version of the serious game "Mission Ecophyt'eau®" (https://www.civam.org/accompagner-le-changement/mission-ecophyteau/) was used to facilitate interactions and knowledge sharing in a playful atmosphere. The principle of this

collaborative game is to generate interactions between participants in order to co-design CS 175 176 that meet the objectives and constraints set. One of the scientists or advisers acted as the "facilitator", who was familiar with the CS co-design method. Maintaining a neutral position 177 during the workshop, the facilitator stimulated discussion and thoughts in the groups. The 178 participants first identified which cash crops to include in the CS and then discussed where 179 place these crops in the CS. These exchanges led them to reflect on how to manage fallow 180 periods, sometimes by adding multiservice cover crops or maintaining periods of bare soil 181 (e.g. "false sowing" for mechanical weed control). Once the CS was finalised, which usually 182 required many iterations, the group defined the technical operations and their associated 183 184 decision rules. These rules specified the reasoning behind management decisions, such as 185 tillage operations, destruction dates of multiservice cover crops and pest thresholds at which pesticide treatments begin. 186

When 2-3 prototypes had been designed in each group, ex-ante assessment of their 187 performance was performed using the multi-criteria assessment method MASC 2.0® (Sadok 188 et al., 2009) to verify whether the prototypes met the objectives set. If the performances 189 assessed using the selected indicators did not meet the farmers' objectives and constraints 190 sufficiently well, adjustments could be made. At the end of this stage, the farmer(s) who were 191 going to experiment with the co-designed CS on their farm chose from among the prototypes 192 developed, depending on their specific objectives and constraints. Because the CS developed 193 were no longer prototypes after the farmers' choice, we refer to them as "diversified CS". 194

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2.2.3. Step 3: On-farm experimentation of diversified CS

Experiments with the diversified CS started with tillage for spring crops in 2010 and lasted
until harvest of spring crops in 2017. On each of the eight farms involved in the project (Fig.
1), at least two fields with a minimum area of 1 ha were used to experiment with the

diversified CS. The other fields on each farm continued to be managed according to the initial
CS, against which performances of the diversified CS could be compared. The duration of the
rotation of the diversified CS (Table 1), allowed for evaluation of one complete rotation of the
diversified CS on each of the two fields for Farm 8 and nearly three complete rotations of the
diversified CS on each field for the shortest CS (Farms 2 and 4).

Farmers and advisers recorded all the information needed to calculate the selected indicators 205 206 (section 2.3), such as technical operations, crop yields, weed pressure on fields, labour time and grain quality at the field level. During the project, local advisers, scientists and farmers 207 met once per year to present results, describe the degree to which the objectives had been 208 209 achieved and collect farmers' feedback on the operational implementation of the CS tested. Based on this feedback, the decision rules were sometimes modified slightly when necessary 210 (using a stepwise approach), to include specific characteristics not identified during the co-211 212 design workshops, such as the presence of flora that are difficult to manage by mechanical weeding alone. 213

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215 2.2.4. Step 4: *ex-post* multi-criteria assessment of the diversified CS

After eight years of study, the sustainability of the initial and diversified CS was assessed using multi-criteria evaluation based on 15 indicators, mainly from the MASC 2.0® and SYSTERRE® (Toqué *et al.*, 2019) tools (Table 2). To provide input data for or calculate these indicators, all technical operations used in the initial CS (maintained on most of the farms) and the diversified CS (tested on two fields per farm), such as the equipment used and labour times were collected regularly by the advisers involved in the project.

- 223 2.3. Description of the indicators
- The 15 indicators selected to evaluate the overall performance of the CS were divided among
- economic (4), environmental (8) and social (3) aspects (Table 2).
- 226
- 227 2.3.1. Economic indicators

228 #1 Mean semi net-margin at the rotation level

- The semi-net margin (SNM, €/ha/year), an indicator of the economic profitability of the crop
 or CS, was calculated as follows:
- SNM = (Gross product + Subsidies Operational costs Mechanical costs) / Rotation
 duration
- with Operational costs = Seed costs + Pesticide costs + Fertiliser costs + Irrigation costs

Mechanical costs=
$$\sum_{i=1}^{n} Area_i \times (Tractor cost_i + Equipment cost_i + Fuel costs)$$

where n is the number of mechanical operations on a field, and Area_i is the area worked (in ha) per operation. The costs of the tractor, equipment and fuel (in \in .ha⁻¹) per operation include wear, maintenance and depreciation.

Irrigation costs include the irrigation equipment used (e.g. pivot, hose reel, sprinkler) and
associated costs (e.g. electricity or fuel for pumps). Mechanical costs are estimated using
reference values for technical operations based on the type of equipment, traction power and
working width used (Chambres d'agriculture de France, 2018).

- Eight crop-price scenarios were chosen for the period 2007-2014 to test the robustness of the SNM of the CS (Massot *et al.*, 2016) (Table 3). Economic performances of the initial CS and diversified CS were compared.
- 244

245 **#2 Weed pressure in the field**

Weed pressure was considered an economic indicator because poor weed management can 246 have severe economic consequences for farmers due to primary harmfulness (competition) in 247 248 the short term or secondary harmfulness (seed bank) in the long term. Weed abundance and phenological stage were determined using qualitative scales at the flowering stage of each 249 cash crop of the rotation. Measurements were based on counting weeds in 0.5 m^2 quadrats (5 250 per field) to estimate weed density (plants.m⁻²) and on crossing each field in a "W" shape (i.e. 251 four transects) to assess ca. 2000 m^2 per field. The scales used for monitoring were as follows: 252 Seven classes for abundance, based on weed density (plants.m⁻²) (adapted from Barralis 253 (1976)): ε : less than 10 individual weeds in the entire area assessed; Class 0: < 0.1, Class 254 1: 0.1-1, Class 2: 1-3, Class 3: 4-20, Class 4: 21-50, Class 5: 51-500, Class 6: > 500 255 Five classes for phenological stage: A: Cotyledons to 1-3 leaves (grasses: 1-3 leaves); B: 256 Young plant (4-6 leaves); C: Adult plant (grasses: tillering); D: Flowering; E: Seeds 257

disseminated, senescent plant.

The observer records the weed species identified and their minimum, maximum and dominant 259 phenological stages. To estimate overall weed pressure in the field, a score from 1-10 is then 260 attributed to each of the four transects according to the dominant class of abundance and 261 phenological stage of the weed community (without distinguishing species), and the four 262 scores are averaged for the field (Table 4). When designing this scoring system, the 263 agricultural advisers in the project considered that a mean score > 7 corresponds to well-264 managed weeds, whereas a mean score > 8 corresponds to a low risk of increasing the weed 265 seed bank. 266

267

268 **#3 Economic efficiency of inputs**

This indicator represents the technical efficiency with which the CS transforms inputs and 269 270 indicates the degree to which the gross margin is increased per € of input used: Economic efficiency of inputs = (Gross_Products – Operational_costs) / Operational_costs 271 272 **#4 Food energy production** 273 The amount of energy produced by the yield of a CS is considered as a proxy of its efficiency. 274 275 The energy-production indicator (EP, MJ/ha/year), which estimates the mean amount of energy in the yields of a rotation at the CS level, was calculated as follows: 276 $EP = (Yield_1 \times K_1 + Yield_2 \times K_2 + \dots + Yield_n \times K_n)/n$ 277 278 where Yield_n= yield (kg/ha dry matter (DM)) of a crop in the CS, and K_n= energy content (MJ/kg DM) in the crop and n = duration of the CS (years) 279 280 Nearly all of the energy contents came from ADEME (2011) - 15.8 MJ/kg DM for soft 281 wheat, 15.9 for durum wheat and barley, 16.2 for grain and maize seed, 16.4 for sorghum, 282 20.2 for soya bean, 16.0 for faba bean, 26.1 for sunflower and 25.2 for rapeseed - while that 283 for carrot seed (13.8) came from Wadhwa et al. (2013), for carrots grown as vegetables. 284 285 286 2.3.2. Environmental indicators **#5** Treatment Frequency Index 287 288 The Treatment Frequency Index (TFI) estimates the number of registered doses of a given pesticide applied, per ha and per cropping season (OECD, 2001) and is calculated for each 289 290 pesticide application as follows: $TFI = \frac{Application rate \times Application area}{Registered dose \times Field area}$

The application rate and registered dose are both expressed for a given commercial product 291 292 (which can contain several active ingredients). The recommended dose depends obviously on the crop and the targeted pest. In this study, the registered dose was defined as the lowest dose 293 294 of a pesticide (including seed treatments) that is recommended for a given crop. The TFI for a given cropping season equals the sum of the TFI for each pesticide application performed 295 during the cropping season. The TFI for a given CS equals the sum of the TFI of each crop of 296 297 the CS divided by the number of crops. Thus, the TFI describes pesticide use using a single summary variable. 298

To compare the TFI of the diversified CS to CS that grew an equivalent number of crops, regional reference TFIs for the crops in the diversified CS were used to calculate a regional reference TFI for a CS (i.e. "regional CS") with the same crop species.

302

303 #6 I-PHY

Environmental impacts of pesticides were estimated using the cumulative I-PHY index 304 305 (formerly IPEST), an expert system for calculating indicators that reflect potential environmental impacts of applying a pesticide to a field crop (van der Werf and Zimmer, 306 1998; Lindahl and Bockstaller, 2012; Lechenet et al., 2014). I-PHY estimates the risk of 307 pesticide application to three compartments of the environment: air, surface water and ground 308 water. I-PHY is calculated for each active ingredient application and ranges from 0 (high risk) 309 to 10 (no risk), with an acceptable risk defined at a score of 7. I-PHY is based on a field's 310 inherent sensitivity to pesticide transfer toward these three compartments; characteristics of 311 the active ingredient (e.g. sorption properties, solubility, ecotoxicity, mobility, degradation 312 half-life); and characteristics of the application (e.g. number of active ingredients, canopy 313 cover at application) to calculate three impact factors, one for each compartment. I-PHY is 314

obtained using fuzzy decision trees that aggregate the three impact factors into one summaryindicator.

317

318 *#*7 Nitrogen indicator

The nitrogen indicator (NI) assesses the potential risk of technical operations on the quality of (i) ground water, through NO₃⁻ leaching (I_{NO3-}), (ii) air, through N₂O (I_{N2O}) emissions and (iii) the soil and biodiversity, indirectly through the NH₃ volatilisation (I_{NH3}), a source of atmospheric deposition. For each of these processes, a risk indicator is calculated that ranges from 0 (high risk) to 10 (no risk), with an acceptable risk defined at a score of 7. NI equals the minimum value of I_{NO3-} , I_{N2O} and I_{NH3} .

325

326 **#8 Irrigation amount**

The amount of irrigation water supplied to crops was recorded, and a mean per ha and per year for each CS was calculated.

329

330 **#9 Organic matter indicator**

The organic matter indicator (OMI) was developed from the Henin-Dupuis model (Boiffin *et al.*, 1986) to calculate the inputs necessary (recommended input, R_{input}) to maintain the soil at a satisfactory equilibrium organic matter (OM) content in the long term. The OMI is a function of the mean OM input over the past four years (OM_{input}) divided by R_{input} : OMI = 7 × $\frac{OM_{input}}{R_{input}}$, with OMI = 7 as a satisfactory score. Weights are used to calculate OM_{input} to consider soil tillage intensity (especially conservation tillage). See Bockstaller et al. (1997) for details on calculating OMI.

339 **#10 Duration of bare soil**

This indicator calculates the percentage of the CS rotation with bare soil during the period of 340 risk for nitrate leaching and erosion. For nitrate leaching, bare soil corresponds to soil without 341 342 live plant cover during the drainage period (late autumn and winter), while for erosion, it corresponds to soil without live plant cover or crop residues during periods of intense rainfall, 343 corresponding to spring (May-June) and autumn (October-November) in the study region. For 344 erosion, an area of tilled crops sown during the risk period or in juvenile development stage is 345 considered as bare soil, while an area under conservation or reduced tillage is considered to 346 have no erosion risk: 347

Bare soil duration = duration of bare soil during the risk period (for erosion and nitrate leaching) / duration of the rotation $\times 100$

350

351 **#11 Energy consumption**

Energy consumption (MJ/ha/year) = (Mechanical energy use + Energy used to produce fertilisers + Energy used to produce pesticides + Energy used to produce seeds) / duration of the rotation

Values for mechanical energy use, energy used to produce fertilisers, energy used to produce
pesticides and energy used to produce seeds were collected from ADEME (2011).

357

358 **#12 Greenhouse gas emissions**

Greenhouse gas (GHG) emissions include direct CO₂ emissions from combustion of fossil
fuels on fields (e.g. tractors, harvesters) and N₂O emissions related to the nitrogen cycle (e.g.
nitrogen input, crop residues). Emissions are calculated in kg CO₂ eq./ha/year.

363 2.3.3. Social indicators

#13 Labour time
Labour time corresponds to the time spent in and outside the field. The farmers recorded the
operations performed in the CS and the time they spent on them (h/ha). They also estimated

366 operations performed in the CS and the time they spent on them (h/ha). They also estimated 367 the time spent observing crops to make decisions about pest management. This observation 368 time is often considered to become longer when CS are diversified and strategies besides 369 pesticide use are used.

370

371 #14 Workload distribution

Labour time was summed by month (h/ha/month) to estimate the distribution of the workloadthroughout the year.

374

375 #15 Number of human-toxic pesticide applications

This indicator estimates the number of pesticides toxic to humans applied in the CS studied,as follows:

Toxicity to human health= $\sum_{i=1}^{n} \frac{\text{number of toxic pesticide applications during rotation}_{i}}{\text{duration of the rotation}}$

The pesticides included are those classified as very toxic (class I in the European Union, initially labelled as T+ (very toxic)) or as toxic (class II in the European Union, initially labelled as T (toxic)).

381

382 2.4. Farmers' perception of CS performances

383

384 At the middle and end of the project, workshops with farmers who tested the diversified CS 385 allowed scientists and advisers to assess their perceptions of the performances obtained. As expected, farmers' personal motivations influenced how they perceived a decrease in certain performances or degrees of achieving objectives. This qualitative evaluation thus supplemented the quantitative analysis based on the 15 indicators.

389

390 **3. Results**

391 3.1. Overall description of the co-designed diversified CS

During the co-design workshops, objectives common to all of the groups were defined. For all of the CS designed, the first objective was to reduce the dependence on inputs, particularly pesticides and nitrogen fertilisers, and to maintain or improve economic performances. The objective for pesticide use was a decrease of 25% to 50%, the latter of which corresponds to the goal of the French Ecophyto plan. In addition to these objectives, seven of the eight farmers wanted to improve weed management.

Among other objectives, four farmers mentioned distributing work time better throughout the 398 year and, if possible, decreasing it. Two of these farmers wanted to develop new professional 399 activities: market gardening (Farm 3) or a second, non-farming activity (Farm 4). Several 400 farmers wanted to start or maintain reduced tillage (Farms 1, 5, 6, 7 and 8) or even go further 401 by engaging in conservation agriculture (Farms 2 and 4) by combining its three mechanisms: 402 crop diversification, maximizing the duration of soil cover with live plants or mulch, and 403 404 reducing or stopping tillage (Table 1). It was important to identify the farmers' individual objectives, as they helped to understand their contrasting degrees of satisfaction with 405 406 performances after *ex-post* assessment.

407 As mentioned, one of the main mechanisms used during the co-design workshops to achieve 408 the objectives was to lengthen rotations and diversify crop production. This was used for all 409 eight co-designed CS, ranging from the introduction of one new crop (Farm 7, with an initial 410 rotation of three crops) to four new crops (Farm 1, with an initial monoculture CS). All CS 411 except for that on Farm 5 were also diversified by introducing multiservice cover crops (Table

1). Finally, the co-designed CS had a longer mean rotation duration than the initial CS (4.9 \pm 412 413 1.7 years vs. 1.9 ± 1.1 years, respectively). The initial CS grew crops from three botanical families (80% from Poaceae (33% for maize alone), along with Asteraceae (sunflower) and 414 415 Brassicaceae (rapeseed)), whereas the diversified CS grew crops from five botanical families (62% from Poaceae (only 15% for maize alone), along with 15% Fabaceae, introduced as 416 cash crops on five of the farms, and 15% Asteraceae). Fabaceae were also widely introduced 417 418 to diversified CS as cover crops, usually (14 of 18 cover crops) as faba bean and mainly in pure stands (11 of 14 cover crops). 419

During the eight years of monitoring, the farmers applied the co-designed CS effectively, 420 421 except on Farm 5, which introduced carrot seed production, not considered initially but whose economic potential prompted the farmer to introduce it into the initially defined rotation. Over 422 the eight years, crop yields of both initial and diversified CS were generally good (Table 1), 423 424 as they were slightly higher than the mean yields in the territories studied (Chambre d'agriculture Occitanie, CERFRANCE, 2018). Nevertheless, on Farm 3, the soya bean yield 425 426 on one field in year 2 was extremely low (i.e. < 0.3 t DM/ha) due to flooding caused by a dyke that failed after heavy rainfall. We considered that this event was not related to 427 performances of the diversified CS; consequently, when calculating indicators, its yield was 428 429 replaced by that obtained in the second season of soya beans (3.7 t DM/ha).

430

431 3.2. Performances of the diversified CS

432 3.2.1. Economic performances

Mean SNM of the initial and diversified CS were 589 ± 279 and 656 ± 261 €/ha/year,
respectively, with large differences among CS (Table 5). SNM decreased by 15-35% in four
of the eight diversified CS compared to that in the initial CS. Farmers' perceptions of these
changes varied. For example, despite a 10% decrease in SNM, the farmer of Farm 3

considered the economic performance of the diversified CS to be satisfactory (Table 5), 437 438 because it met his objective to decrease on-farm working time, which he had set during the design workshops. According to the eight crop-price scenarios (Fig. 3), 27% of the diversified 439 CS generated a higher SNM (*ie* an increase > 10 % of the SNM of the initial CS), whereas 440 42% of the diversified CS generated a lower SNM (*ie* a decrease > 10 % of the SNM of the 441 initial CS). For some CS that were initially efficient economically (e.g. maize seed 442 443 monoculture on Farm 1, grain maize monoculture on Farm 3, rainfed CS with frequent rapeseed crops in the rotation on Farm 7), no price scenario was favourable for the diversified 444 CS. On Farm 5, crop diversification, especially the introduction of a high value-added crop 445 446 under contract (carrot seed), always increased the SNM (by 20-80%, depending on the scenario). 447

Weed pressure decreased in five of the eight diversified CS, but except on Farm 7, farmers 448 449 remained unsatisfied by it, perhaps reflecting over-representation of the ability of a longer rotation to control weeds. On Farm 7, an abundance of herbicide-resistant ryegrass rendered 450 451 weed management difficult. Diversifying the initial CS of rainfed winter crops by introducing sunflower and a cover crop improved management of the ryegrass greatly (weed pressure 452 indicator increased from 5.1 to 8.2) (Table 5), which the farmer considered satisfactory. 453 However, for three CS initially in maize monoculture, diversification did not improve weed 454 management. In addition, the weeds initially present were strongly related to maize 455 monoculture (e.g. Echinochloa crus-galli, Polygonum persicaria, Polygonum aviculare, 456 Datura stramonium), and crop diversification led to the appearance (or reappearance) of 457 species associated more with winter crops (e.g. significant increase in Alopecurus 458 459 myosuroides, Vulpia bromoides and Lolium multiflorum).

460 The economic efficiency of inputs ranged from 0.50-2.70 € for diversified CS and 0.10-2.60 €
461 for initial CS. Farmers' perception of these results varied, with some unsatisfied (Farm 1 and

7), but others satisfied (e.g. Farm 3) despite a relatively strong decrease (Table 5). Economic
efficiency of inputs increased most on Farms 2 and 4, which were initially maize
monocultures that adopted a similar strategy (although in different territories) by introducing
soya bean and a winter cereal and switching to conservation agriculture.

Food energy production of the CS varied from 63 000-190 000 MJ/ha, and CS with a high percentage of maize (with high yields) produced the most. Thus, at best, diversified CS maintained energy production (Farms 1, 6, 7) but usually decreased it slightly (\approx -10%, Farm 8) or strongly (> -25%, Farms 2, 3, 4, 5).

470

471 3.2.2. Environmental performances

Pesticide use decreased greatly in four diversified CS compared to the initial CS, sometimes 472 by more than 50% (Table 5). TFI decreased most on Farm 2 (-64%), which was also managed 473 474 under conservation agriculture. This farm stopped all insecticide and fungicide applications, maintaining only a few herbicide applications at low doses, notably glyphosate. This decrease 475 476 in pesticide use can be explained by (i) introducing low-TFI crops such as soya beans and (ii) strong support from local advisers (involved in the project) when making decisions about 477 pesticide applications. On Farm 4, also under conservation agriculture, and Farm 5, under 478 479 reduced tillage, pesticide use increased significantly (+26 and +68%, respectively) (Table 5), with the largest increase in herbicide use. On Farm 4, the increase was due mainly to 480 herbicide applications to kill cover crops, while on Farm 5, it was due to the carrot seed crop 481 on Farm 5 (which received 33% of the pesticide applications). Farm 3 decreased pesticide use 482 by nearly 20%, but the farmer was unsatisfied because his objective was a 50% decrease. 483 Comparing the TFI of the diversified CS to those of the regional CS (with the same crop 484 species), five CS had a relatively strong decrease in pesticide use (-27% for Farm 6 to -56% 485 for Farm 3) (Table 6). For Farm 5, the TFI of the diversified CS was much larger than that of 486

the initial CS (Table 5) but similar to that of the regional CS (Table 6). In contrast, for Farm
7, the TFI of the diversified was 10% larger than that of the initial CS, but 47% larger than
that of the regional CS, illustrating that the initial CS was not pesticide-efficient.

For all diversified CS except that on Farm 7, the I-PHY indicator improved (by 4-38%) and
had scores > 7, reflecting a low risk of transferring active ingredients into the environment.
For Farm 7, the lack of improvement is explained by the choice of active ingredients
considered to pose a risk to the air and ground water.

The duration of bare soil decreased in all diversified CS compared to that in the initial CS (by 8-40%), representing 5-12% and 6-14% of the year, respectively. This decrease was associated with longer rotations and the introduction of cover crops into nearly all fallow periods.

For the irrigated CS (Farms 1-5), the mean irrigation amount was $2 121 \pm 884$ and $1 034 \pm$ 498 387 m³/ha/year in the initial CS and diversified CS, respectively. For most irrigated systems, 499 mainly in maize monoculture initially, diversification decreased irrigation amounts greatly (by 500 501 up to -72%). In parallel, energy consumption varied greatly among CS and was a mean of 30% lower in diversified CS than in initial CS (4 643 \pm 2 947 vs. 7 747 \pm 5 923 MJ/ha/year, 502 respectively). For the irrigated CS, the decrease in irrigation explains most of that in energy 503 504 consumption (-10% to -40%) (Table 5). For Farm 4, the significant decrease (\approx -50%) in energy consumption was also due to a strong decrease in nitrogen fertilisation (as illustrated 505 by the NI) and conversion to no-tillage for all crops. Total energy consumption and GHG 506 emissions were positively correlated (r = 0.97, p < 0.01). Mean GHG emissions decreased by 507 36% in the diversified CS compared to those in the initial CS, representing 352 ± 184 and 562508 \pm 327 kg CO₂ eq./ha/year, respectively (Table 5). 509

511 3.2.3. Social performances

Labour time varied among the farms and the CS, ranging from 3.4-48.0 and 2.9-20.0 512 h/ha/year in the initial CS and diversified CS, respectively. Crop diversification usually led to 513 a decrease in labour time or small increase in initially low times (Farms 6 and 8) (Table 5). 514 Labour time decreased most for irrigated maize monocultures, with a 57% decrease for Farm 515 1, whose initial CS, a maize seed monoculture, required the most labour time per ha (mean of 516 517 48 h). All farmers were satisfied by these decreases, as well as the change in the distribution of their workload in the diversified CS, which decreased the peak of intensive labour periods 518 (Table 5; Fig. 4). 519

For the number of human-toxic pesticides used, the general decrease in pesticide use and decisions to use less toxic active ingredients in most of the diversified CS decreased the number of human-toxic pesticides used and thus farmers' exposure to them. The decrease was particularly large on Farm 1, whose maize seed monoculture led to the use of several humantoxic pesticides per year (mean of 10), mainly insecticides. For Farm 3, however, the diversified CS led to the use of human-toxic products (insecticides) that were not present in the initial grain maize monoculture.

527

528 4. Discussion

529 4.1. Crop diversification as a mechanism to improve environmental performances

The degree to which the environmental performance of a CS can be improved depends on the former's initial level and thus on the reference value used (Viguier *et al.*, 2021). Nevertheless, in this eight-year study, conducted with farmers who were ready to question their technical operations, diversifying CS with cash crops and/or service plants improved most of the environmental indicators selected. These results, obtained under "real" farming conditions and not on research fields, are particularly original and encouraging and clearly highlight the
value of diversifying CS to reduce their environmental impacts.

Concerning pesticide use, a decrease of 50%, as targeted by the Ecophyto plan, appears to be 537 realistic and achievable, including in CS without livestock, but decreases differed greatly 538 among farmers. These differences were related mainly to (i) farmers' technical skills, in 539 particular their knowledge about the new species introduced into the CS (Meynard et al., 540 541 2018); (ii) their freedom to make decisions about pesticide applications, as contracts for crops usually require systematic applications and are thus less compatible with reduction of 542 pesticide use; (iii) initial pest and weed pressures in the CS and (iv) the number of 543 544 agroecological mechanisms that can be used to manage pest and weed pressures (Gaba et al., 2014; Deguine et al., 2021); for example, a lack of tillage in conservation agriculture systems 545 greatly complicates weed management without herbicides, especially glyphosate (Adeux et 546 547 al., 2017). The decrease in pesticide use observed was also related to regular monitoring of pest and weed pressures in fields by the local advisers in the project (12-14 days/year/farm), 548 549 in direct relation to the regional network for monitoring pest and weed pressures (Cros et al., 2021). Along with the decrease in the amounts of pesticide used, decreasing the risk of 550 transfer to the environment seems possible, either directly because of this decreased use or 551 552 indirectly through a more appropriate choice of active ingredients applied or their formulation (Li et al., 2021). 553

In southern France, adapting CS to climate change requires, in particular, minimizing reliance on irrigation water. Diversifying crops with species that consume less water (than maize, in particular) has positive effects, in addition to decreasing the sensitivity of farms to climate hazards (Lin, 2011; Roesch-McNally *et al.*, 2018; Hufnagel *et al.*, 2020). In addition, increasing the duration of soil cover by plants (or mulch) can decrease negative effects of extreme rainfall events (Baumhardt and Jones, 2002; Palm *et al.*, 2014), whose frequency is

increasing significantly. Here again, co-design of CS, their diversification, especially by 560 561 service plants, and better organisation of crop rotations can increase the duration of soil cover, as highlighted during the on-farm monitoring. However, these effects are not always 562 563 sufficient to increase soil carbon sequestration (estimated here by the OMI) (Viguier et al., 2021), or in particular to return the amount of OM to the soil observed in irrigated maize 564 monocultures, even without soil cover during the fallow period. Achieving objectives of the 565 "4 per 1000" initiative is thus a major challenge for CS, which relies in particular on 566 increasing the OM returned to the soil, especially by service plants (Poeplau and Don, 2015; 567 Rumpel et al., 2020; Rodrigues et al., 2021). In this study, most of the cover crops planted 568 569 were faba bean, mostly as a pure crop, as it is inexpensive and easy to plant and establish, but it does not fit well into all possible periods between two cash crops. To optimise carbon 570 sequestration, the species and varieties of cover crops and the composition of mixtures must 571 572 be chosen as a function of the CS and soil and climate conditions (Poeplau and Don, 2015; Couëdel et al., 2018; Bybee-Finley et al., 2022). 573

574 In this study, crop diversification decreased energy consumption and GHG emissions, which are strongly correlated, by nearly 40%; thus, CS diversification is an effective mechanism for 575 decreasing them, as highlighted in other studies (Pellerin et al., 2013; Viguier et al., 2021). 576 The main items that consume energy are fertilisers, fuel and, if present, irrigation (Colnenne-577 David and Dore, 2015; Pellerin et al., 2017). Nevertheless, the CS studied appeared less 578 effective at mitigating effects of climate change through carbon sequestration, as only the CS 579 of Farm 4, under conservation agriculture, showed an increase in OMI. However, this 580 indicator remains relatively inaccurate and, as highlighted by other studies, should be 581 combined with measurements of soil carbon content after several rotations (Islam and Weil, 582 2000; Scopel et al., 2013; Viguier et al., 2021). As mentioned, cover crops are important for 583 increasing soil carbon content in cereal CS significantly (Poeplau and Don, 2015). In south-584

western France, one challenge is to successfully exploit the summer, during which potential photosynthetic activity is highest but water to produce OM that will be returned to the soil is scarce. By redesigning diversified CS whose cash crops use less water, some of the water saved could be used to produce ecosystem services besides provisioning services, particularly climate-regulation services; however, doing so requires a real paradigm shift in crop production and potentially providing inputs (such as water) for supporting or regulating ecosystem services, not only provisioning services.

592

4.2. Crop diversification as a mechanism to improve economic and social performances

594 The economic performance of the CS was assessed using four indicators, particularly the 595 SNM, which includes mechanisation costs. The eight-year monitoring of the eight farms shows that crop diversification can increase the SNM significantly if the decrease in input 596 597 costs is combined with a strict control of mechanisation costs. Another important conclusion is that crop diversification and input reduction do not always increase economic profitability, 598 despite good crop productivity. They can even lead, as for four of the farms in this study, to 599 lower economic performance, which is consistent with the economic performance of low-600 input CS tested in experimental fields (Bonnet et al., 2021; Viguier et al., 2021). In initial CS 601 602 with high added value, such as the maize-seed monoculture studied, diversification always decreased economic profitability. The farmers were satisfied by this improvement in 603 environmental performance, but it was not enough to compensate for the loss of more than 604 605 30% of SNM per ha. The reorientation of Common Agricultural Policy subsidies towards an agroecological transition of systems is an incentive (Solazzo et al., 2016; Scown et al., 2020), 606 but it remains insufficient to make deep changes to these CS, which are oriented towards 607 economic optimisation, without any real consideration for the negative externalities 608 generated. In contrast, for irrigated grain-maize monocultures, introducing soya bean into the 609

rotation (as in four farms in this study) is a powerful mechanism for increasing SNM (Jouffret 610 611 et al., 2015). Soya beans are relatively simple to grow, with few pest pressures, and are suitable for low-input management (25% of soya bean area in France is in organic farming). 612 613 For two of the farms studied, initially irrigated maize monocultures, diversifying the CS followed a similar strategy of introducing soya bean and a straw cereal. Nearly the same 614 rotation, tested at an experimental station to diversify a maize monoculture, showed 615 satisfactory economic performance and a large decrease in environmental impacts, 616 particularly pesticide transfers (Giuliano et al., 2016; Giuliano et al., 2021). 617

Finally, another positive effect of diversifying CS was better distribution of labour time throughout the year, especially for CS that were initially specialised (e.g. monocultures). This result must be tempered, however, because introduction of new crops into the CS was accompanied by regular technical monitoring by advisers in the project, rather than by the farmers themselves.

623

624 4.3. Limits and perspectives of the on-farm study

The multi-criteria analysis highlights that, to date, one or more CS cannot be identified that 625 simultaneously satisfy all the sustainability objectives targeted and that trade-offs must 626 therefore be accepted, for example according to the priorities of a territory. This observation 627 is similar to that made by other studies based on long-term experiments on CS at experimental 628 stations or based on monitoring of farmers' fields (Giuliano et al., 2016; Angevin et al., 2017; 629 Colnenne-David et al., 2017; Viguier et al., 2021). Furthermore, the diversity of stakeholders 630 in the co-design workshops of this study was probably too low, which did not allow for a 631 wide range of diversification strategies to be explored. Ultimately, despite strong 632 diversification of the initial CS, participants chose mainly crops that were already common in 633 the territories concerned, probably because organised value chains with a guaranteed a market 634

already existed, but also perhaps due to farmers' and advisers' fears and lack of technical knowledge about less common crops (Meynard *et al.*, 2018). A recommendation for a future study would therefore be to broaden the panel of stakeholders involved in co-design workshops to (i) collectively choose and prioritise diversification objectives and strategies that support the agroecological transition of territories and (ii) allow for a wide range of possibilities that could, in some cases, favour the emergence of new value chains.

On this point, contracting within certain value chains is often proposed as a mechanism for economic stability and as a way to encourage diversification of production (Huh and Lall, 2013; de Roest *et al.*, 2018). However, the study shows that some contracts, particularly those for seed production (e.g. maize and carrots), restrict technical operations and input levels. This limits the ability to implement alternative strategies, particularly pesticide applications, and farmers lose some of their decision-making capacity.

647 Finally, as mentioned, the results of this on-farm study are encouraging and suggest effective strategies for decreasing environmental impacts of farming activities. Although performing 648 649 research directly on farms is increasingly encouraged to promote interactions and facilitate transitions (Lacoste et al., 2021), few on-farm studies that evaluate the performance of 650 innovative CS have been published, and in this respect the results of this study are original. In 651 652 this study, supporting the farms required a large amount of time of the agricultural advisers, up to 15 days/year/farm. One of the initially unidentified benefits of this investment was that 653 it increased the local advisers' skill with systemic agronomy approaches, which they were 654 able to use later, particularly with the farm networks of the national Ecophyto plan. However, 655 this degree of human investment suggests that it will be difficult to disseminate equivalent 656 approaches to a larger scale if the same level of support is provided. Despite this large 657 investment in monitoring and accompanying farmers, it was not possible to have all crops of a 658 CS present on each farm each year, which is recommended to identify effects of annual 659

weather conditions on crop performance (Bonnet *et al.*, 2021). Moreover, this kind of approach cannot specifically assess conflicts between technical operations, which remain manageable when only two fields are involved but could become problematic if the entire farm is involved. Nevertheless, to partially overcome this limitation, the results were analysed, discussed and compared to those of other farmers in each territory at each annual review.

666

667 **5.** Conclusion

This study summarised eight years of monitoring and data collection from initial and 668 669 diversified CS on eight farms in south-western France. The initial hypothesis was that crop diversification was an important mechanism for improving the overall sustainability of CS. 670 The multi-criteria analysis performed, using a variety of indicators, indicates that crop 671 672 diversification improves the environmental sustainability of CS and some aspects of the social dimension. Several farms were able to meet objectives for reducing inputs, particularly 673 674 pesticides and nitrogen fertilisers. Diversified CS were generally more climate-friendly as they consumed less energy and emitted less GHGs. In irrigated CS, which were often 675 monocultures, diversification also decreased the amount of irrigation water greatly. Compared 676 677 to the initial CS, the diversification strategies used reduced farmers' workload and distributed it better throughout the year. However, the economic performance of the diversified CS 678 varied, with no guarantee of increasing or even maintaining farmers' income. Promoting the 679 agroecological transition of CS and, more broadly, of food systems, thus implies providing 680 economic support to "virtuous" systems that help restore ecosystem functions and services. 681

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Fig.1. Location of the six territories and eight farms of the study in the Occitanie Region (south-western France)



Fig. 2. Description of the participatory approach used to co-design and experiment with cropping systems (CS) in a farm network in south-western France.





Fig. 3. Semi-net margin (SNM, €/ha/year) of the initial and diversified cropping systems (CS) for the eight scenarios of crop prices (see Table 3 for details).



Fig. 4. Distribution of labour time throughout the year for the initial and diversified cropping systems (CS).

Table 1. Main characteristics of the eight farms in the study and main features of the initial and diversified cropping systems (CS).

B: barley; CS: carrot seed; DW: durum wheat; FB: faba bean; M: grain maize; MS: maize seed; RS: rapeseed; SB: soya bean; SG: sorghum; SF: sunflower; SW: soft wheat. The species in brackets and in italics correspond to cover crops during fallow period. Values indicate mean yields (t dry matter/ha).

Site	Main soil type (soil type)			Initial	CS								D	iversifie	ed CS						
Farm	1 Silt – Clay silt (Gleyic Luvisol)	MS monoculture 3.9				Irrigated	Conventional tillage (mouldboard plough, 25 cm deep)	2010-2014- 2016 MS* 2.9	(FB)	2011-2015- 2017 MS 3.1	(Oat)	2012-2016 SF 2.5	2010-2012- 2016 SW 5.9	(Vetch+ oat)	- 2012-2014 SB 3.2	2012- 2014 SW 5.5	(FB)			Irrigated	Reduced 1 tillage (≈ 10 cm deep)
Farm 2	Silty with coarse 2 elements, hydromorphic (Luvisol)	M monoculture				Irrigated	Reduced tillage (≈ 10 cm deep)	2010-2012- 2013-2015- 2016 M	2009- 2010- 2012- 2013- 2015-2016 SW 5 8	(FB)	2011-2012-2014 2015-2017 SB	(FB)								Irrigated	Conservation agriculture (no-tillage)
Farm	3 Silt with coarse elements (Luvisol)	M monoculture				Irrigated	Conventional tillage (mouldboard plough, 25 cm deep)	2010-2014- 2015 M 111.6	(FB+ phacelia)	2011-2015- 2016 SF 2.6	2010-2011-2015- 2016 SW 7.0	(FB+ phacelia)	2012-2013- 2017 SB 3.7	2012-2013 SW 6.8	3 (FB+ phacelia)					Irrigated	Conventional tillage (mouldboard plough, 25 cm deep)
Farm 4	4 Silt with coarse elements (Luvisol)	M monoculture 9.8				Irrigated	Reduced tillage (≈ 10 cm deep)	2010-2012- 2013-2015- 2016 M 10.2	(FB)	2011-2013- 2014-2016- 2017 SB 3.8	2010-2011-2013 2014-2016 SW 7.1	(FB)								Irrigated	Conservation l agriculture (no-tillage)
Farm :	Silt with coarse elements, 5 hydromorphic (Luvisol)	M 12.4	SW 7.0			Irrigated	Reduced tillage (≈ 10 cm deep)	2010-2014- 2016 M 11.2	2010- 2014-2016 SW 7.1	2011-2015 CS 0.4	2010-2012-2016 SW 6.9	2011-2013 RS 3.2	2012-2014 SW 6.4							Irrigated	Reduced 1 tillage (≈ 15 cm deep)
Farm	Calcareous clay on 6 hillsides (Cambic Calcisol)	DW 6.2	SF 2.7			Rainfed	Reduced tillage (≈ 15 cm deep)	2010-2012- 2014-2016 DW 5.7	(FB)	2012-2014- 2016 SF 2.5	2010-2012-2014 2016 SW 5.7	(FB)	2012-2014- 2016 SG 5.2							Rainfed	Reduced tillage (≈ 15 cm deep)
Farm ′	Calcareous clay on 7 hillsides (Calcisol)	RS 3 2	SW	B 4.5		Rainfed	Reduced tillage (≈ 15 cm deep)	2010-2011- 2014-2015 RS 3 3	2011- 2012- 2015-2016 SW 4 8	(FB)	2010-2013-2014 2017 SF 2.4	- 2010-2013- 2014 B 4 8								Rainfed	Reduced tillage (≈ 15 cm deep)
Farm	Calcareous clay on 8 hillsides (Cambic Calcisol)	DW 5.3	SF 3.2	SW 5.5	SF 2.0	Rainfed	Reduced tillage (≈ 15 cm deep)	2009-2013 DW 4.8	(FB)	2011-2015 SG 4.4	(FB)	2012-2016 SB 2.2	2012-2016 SW 5.1	(Vetch+ oat)	- 2010-2014 SF 2.0	2010- 2014 FB 3.1	2011-2015 SW 5.1	(Vetch+ oat)	2013- 2017 SF 1.9	Rainfed	Reduced tillage (≈ 15 cm deep)

*in bold: crops cultivated in year 1 of the project (2010) on two fields on each farm. The years in blue and green correspond to the rotations on the two fields that received the diversified CS.

Indicator number	Sustainability dimension	Criterion	Indicator (unit)					
1	Economic	Profitability	Mean semi-net margin (€/ha/year)					
2	Economic	Weed management	Indicator of weed pressure in the field					
3	Economic	Dependence on external inputs	Economic efficiency of inputs					
4	Economic	Productivity	Food energy production (MJ/ha/year)					
5	Environmental	Water quality (pesticide)	Treatment Frequency Index					
6	Environmental	Water quality (pesticide)	I-PHY					
7	Environmental	Water quality (nitrate)	Nitrogen indicator (NI/year)					
8	Environmental	Water quantity	Irrigation amount (m ³ /ha/year)					
9	Environmental	Soil quality	Organic matter indicator					
10	Environmental	Soil quality	Duration of bare soil (% of the period of nitrate-					
11	Environmental	Fossil energy	Energy consumption (MJ/ha/year)					
12	Environmental	Climate change mitigation	Greenhouse gas emissions (kg CO ₂ eq./ha/year)					
13	Social	Farmer's quality of life	Labour time (h/ha/year)					
14	Social	Farmer's quality of life	Workload distribution (h/ha/month)					
15	Social	Famer and public health	Number of human-toxic pesticide applications					

Table 2. Indicators selected to assess cropping system performances.

Cron	Prices (€/t)							
Сюр	Scenario 1	Scenario 2	Scenario 3	Scenario 4	Scenario 5	Scenario 6	Scenario 7	Scenario 8
Barley	159	157	183	160	157	155	107	94
Carrot seed	3500	3500	3500	3500	3500	3500	3500	3500
Durum wheat	207	225	211	238	233	227	150	162
Faba bean	225	148	188	252	263	310	245	156
Maize	131	167	204	160	176	97	120	97
Maize seed	999	1274	1556	1220	1342	740	915	740
Rapeseed	359	264	444	389	345	342	232	246
Soya bean	419	298	483	319	305	328	187	262
Sorghum	133	159	190	141	99	85	104	88
Sunflower	313	349	452	378	299	285	209	221
Soft wheat	171	166	196	166	149	147	117	107

Table 3. Crop prices in the scenarios used to test the robustness of the semi-net margin of the initial and diversified cropping systems. The prices were collected during the 2007-2014 period (Massot et al., 2016).

				Phenological s	stage	
Class	Abundance	A: Cotyledons	B: Young plant	C: Adult plant	D: Flowering	E: Seeds disseminated
0	< 0.1	10	10	9	8	7
1	0.1-1	10	10	9	7	7
2	1-3	10	10	8	7	5
3	4-20	10	9	8	6	3
4	21-50	9	9	7	5	1
5	51-500	9	8	6	4	1
6	> 500	9	8	5	2	1

Table 4. Score of the indicator of weed pressure as a function of weed abundance (plants.m⁻²) and phenological stage.

Indicator		Farm 1			Farm 2			Farm 3			Farm 4			Farm 5			Farm 6			Farm 7			Farm 8	
Indicator	Initial CS I	Diversified CS	$5 \Delta (\%)$	Initial CS	Diversified CS	Δ (%)	Initial CS	Diversified C	S Δ (%)	Initial CS	Diversified CS	Δ (%)	Initial CS	Diversified CS	Δ (%)	Initial CS	Diversified CS	δ Δ(%)	Initial CS	Diversified CS	5 Δ(%)	Initial CS	Diversified CS	δ Δ (%)
Mean semi-net margin (€/ha/year)	1 1 3 0	740	-34.5	628	918	+46.2	632	570	-9.8	218	632	+190	641	1105	+72.4	714	578	-19.0	365	308	-15.6	381	400	+5.0
E Weed pressure indicator	7.3	6.9	-5.5	8.1	7.2	-11.1	7.2	6.5	-9.7	6.1	6.7	+9.3	5.7	7.2	+27.1	6.3	7.2	+14.3	5.1	8.2	+60.8	6.3	7.2	+13.7
Economic efficiency of inputs	2.6	1.6	-38.5	0.7	2.2	+214	2.6	1.8	-30.8	0.1	0.5	+400	2.3	2.7	+17.4	2.0	2.1	+5.0	1.0	0.8	-20.0	1.3	1.6	+23.1
Food energy production (MJ/ha/year)	63 518	68 345	+7.6	190 566	113 841	-40.3	188 989	109 839	-41.9	159 300	118 606	-25.5	156 326	98 671	-36.9	84 576	83 072	-1.8	75 219	74 896	-0.4	70 388	63 342	-10.0
Treatment Frequency Index	3.7	2.2	-40.5	4.7	1.7	-63.8	1.6	1.3	-18.8	2.7	3.4	+25.9	2.5	4.2	+68.0	4.7	2.4	-48.9	4.9	5.4	+10.2	3.4	1.9	-44.1
I-PHY	6.4	7.1	+10.9	6.6	7.5	+13.6	5.9	7.0	+18.6	6.9	7.5	+8.7	6.2	7.8	+25.8	5.2	7.2	+38.5	6.0	4.6	-23.3	8.3	8.6	+3.6
Nitrogen indicator	5.8	8.3	+43.1	9.2	9.1	-1.1	7.9	8.1	+2.5	3.1	7.9	+155	8.4	9.6	+14.3	5.3	7.0	+32.1	6.8	8.5	+25.0	5.8	8.6	+48.3
Irrigation amount (m ³ /ha/year)	3250	1580	-51.4	2670	750	-71.9	2080	1070	-48.6	980	1180	+20.4	1625	590	-63.7	-	-	-	-	-	-	-	-	-
Solution Organic matter indicator	6.8	5.7	-16.2	7.0	6.2	-11.4	7.4	6.4	-13.5	7.1	8.5	+19.7	6.9	6.7	-2.9	8.1	8.3	+2.5	4.0	4.3	+7.5	5.1	4.9	-3.9
Duration of bare soil period	12	9	-28.9	10	6	-39.5	12	10	-9.5	10	6	-37.1	10	10	-7.9	14	12	-19.2	6	5	-13.0	14	12	-12.0
Energy consumption (MJ/ha/year)	9 438	4 948	-47.6	14 439	8 813	-39.0	8 050	4 762	-40.8	17 706	9 040	-48.9	5 652	3 245	-42.6	2 250	2 300	+2.2	3 427	3 197	-6.7	1 013	843	-16.8
Greenhouse gas emissions (kg CO ₂ eq./ha/year)	617	338	-45.2	937	640	-31.8	499	346	-30.6	1 146	603	-47.4	415	225	-45.8	322	252	-22.0	383	310	-19.0	180	102	-43.6
Habour time (h/ha/year)	47.9	20.3	-57.6	7.9	5.3	-32.9	9.3	5.8	-37.6	7.9	4.7	-40.1	6.5	4.6	-29.2	5.4	7.3	+35.2	7.0	6.8	-3.3	8.1	8.9	+9.9
Number of human-toxic pesticide applications	10	2.1	-	1	1	-	0	1.5	-	2	1	-	1.5	0.75	-	3	1.8	-	1	1	-	2	0.3	-

Table 5. Indicators of economic, environmental and social performances of the initial and diversified cropping systems (CS) implemented on the eight farms in the study. Colours indicate the degree of farmer satisfaction according to his/her specific objectives: green: highly satisfied; orange: poorly satisfied; red: not satisfied.

Table 6. Treatment Frequency Index (TFI) of the diversified cropping systems (CS) and the regional CS based on regional TFI values of each crop in the CS.

Farm number	TFI of the diversified CS	TFI of the regional CS	Δ (%)
Farm 1	2.2	3.7	-40.7
Farm 2	1.7	2.9	-40.8
Farm 3	1.3	3.0	-56.4
Farm 4	3.4	2.9	18.4
Farm 5	4.2	4.3	-1.6
Farm 6	2.4	3.3	-26.9
Farm 7	5.4	3.7	46.6
Farm 8	1.9	3.2	-41.4



