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## Isolation, characterization, and structure-based engineering of a neutralizing nanobody against SARS-CoV-2

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**ABSTRACT**

SARS-CoV-2 engages with human cells through the binding of its Spike receptor-binding domain (S-RBD) to the receptor ACE2. Molecular blocking of this engagement represents a proven strategy to treat COVID-19. Here, we report a single-chain antibody (nanobody, DL4) isolated from immunized alpaca with picomolar affinity to RBD. DL4 neutralizes SARS-CoV-2 pseudoviruses with an  $IC_{50}$  of  $0.101 \mu\text{g mL}^{-1}$  (6.2 nM). A crystal structure of the DL4-RBD complex at 1.75-Å resolution unveils the interaction detail and reveals a direct competition mechanism for DL4's ACE2-blocking and hence neutralizing activity. The structural information allows us to rationally design a mutant with higher potency. Our work adds diversity of neutralizing nanobodies against SARS-CoV-2 and should encourage protein engineering to improve antibody affinities in general.

**Key words:** Covid-19, Crystal structure, Neutralizing antibody, Protein engineering, Receptor-binding domain, Single-chain antibody

**Highlights:**

- Isolation of a neutralizing nanobody targeting RBD with picomolar affinity
- The high-resolution structure of the nanobody-RBD complex reveals the neutralizing mechanism
- Structure-based engineering yielded improved potency

## INTRODUCTION

An essential step for SARS-CoV-2 infection is its attachment to the human cells via a binding event between its Spike receptor-binding domain (S-RBD) and the human receptor angiotensin-converting enzyme 2 (ACE2)<sup>1-4</sup>. S contains two subunits S1 and S2 that are generated by the cleavage of the proprotein<sup>4</sup>. The S1 subunit contains the RBD and is responsible for virus attachment, while the S2 subunit is responsible for a membrane fusion event that is triggered by the RBD-ACE2 engagement. S assembles into a trimer and is heavily decorated by glycosylation to escape immune surveillance. Suiting the role of molecular recognition, the RBD is relatively less glycosylated and therefore represents a hot spot for neutralizing antibodies<sup>5-11</sup> and vaccine development<sup>12-21</sup>. Much of the RBD and the receptor-binding motif (RBM, the ACE2-binding surface) is shielded by the N-terminal domain of S1 from adjacent protomers of the S trimer in the so-called more stable “closed conformation”; and such RBDs are referred to as “down”-RBD. In the “open-conformation”, the RBD ejects and exposes RBM for ACE2-binding<sup>1,2,22,23</sup> while also opening opportunities for neutralizing antibodies.

Naturally occurring single-chain antibodies (nanobodies) from camelids and sharks are increasingly recognized as “next-generation” therapeutics<sup>24</sup> owing to their unique advantages. Their small sizes (~14 kDa) allow convenient and high-yield production in microbial hosts, straightforward screening, and rapid directed evolution with various display platforms<sup>25,26</sup>. In the past months, dozens of neutralizing nanobodies against SARS-CoV-2 have been reported<sup>8,27-37</sup>, most of which target RBD with nanomolar affinities.

Here, we report the isolation of a neutralizing nanobody from an immunized alpaca with picomolar affinity to RBD. Using X-ray crystallography, we characterize its epitope and reveal the interaction details whereby all three complementarity-determination regions (CDRs) and a significant portion of the framework region participated in the antigen recognition. Guided by the high-resolution structure, we have designed a mutant that increases the neutralizing activity to 3-fold of the wild-type. Our work presents a high-affinity nanobody and a strategy to engineer antibodies to improve potencies in general.

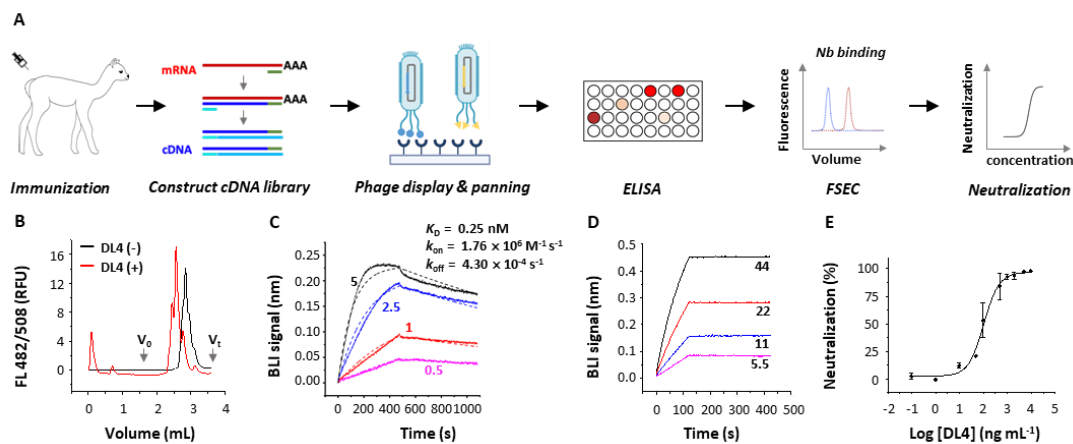
## RESULTS

### Isolation of a picomolar-affinity neutralizing nanobody from immunized alpaca

To obtain nanobodies against RBD, an adult female alpaca was immunized for four rounds with recombinant RBD expressed and purified from insect cells. The

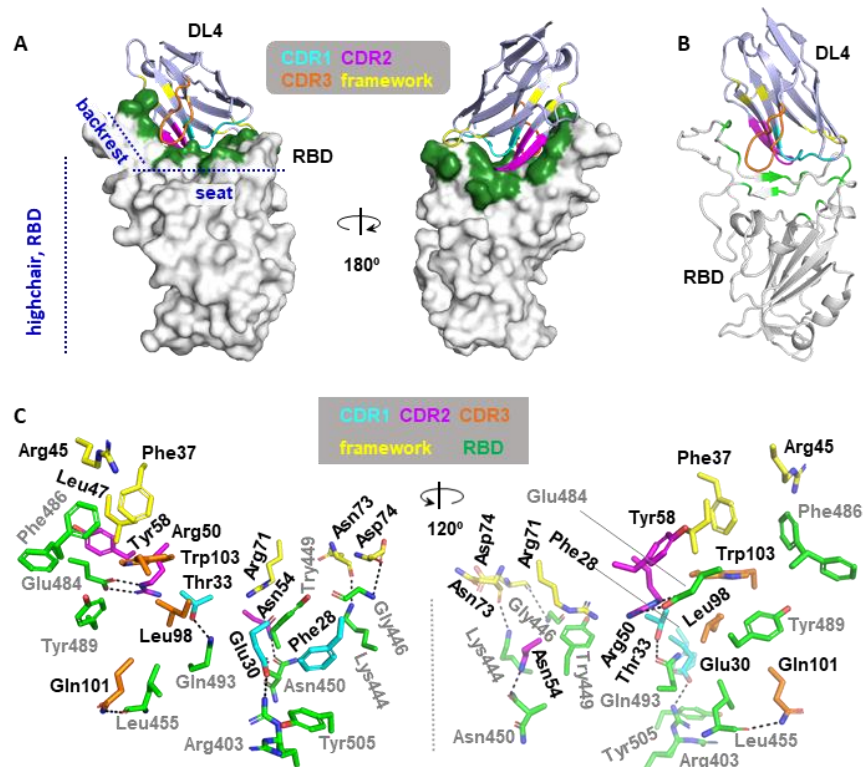
immunization effect was monitored by ELISA test which showed a high titer ( $\sim 1 \times 10^6$ ) in comparison with the pre-immunization sera. A phage display library was constructed using mRNA isolated from peripheral blood lymphocytes. High-affinity nanobodies were enriched by three rounds of panning under increasingly stringent conditions (decreasing amount of the immobilized antigen RBD). Using ELISA, we identified 28 unique RBD binders and we focus on a nanobody named DL4 in this study.

On fluorescence-detection size exclusion chromatography, the elution volume of fluorescently labeled RBD<sup>38</sup> was decreased upon incubation with DL4 (**Fig. 1B**), suggesting a stable DL4-RBD complex in solution. Further binding kinetics measurements with a biolayer interferometry (BLI) assay confirmed a tight complex with picomolar affinity ( $K_D = 0.25$  nM) (**Fig. 1C**). The BLI assay also demonstrated DL4's ability to bind S (**Fig. 1D**). A neutralization assay using SARS-CoV-2 pseudotyped particles (pp) bearing the S from the first-reported strain from Wuhan displayed an  $IC_{50}$  of  $0.101 \mu\text{g mL}^{-1}$  ( $6.23$  nM) for DL4 (**Fig. 1E**).



**Fig. 1. Strategy and isolation of neutralizing nanobodies.** (A) Flowchart for generation of neutralizing nanobodies (Nbs). A cDNA library was constructed using mRNA isolated from an immunized alpaca. The library was selected by rounds of panning and RBD-binders were screened by enzyme-linked immunosorbent assay (ELISA) and fluorescence-detector size exclusion chromatography (FSEC). Neutralizing nanobodies were identified by assays with SARS-CoV-2 pseudoviruses. (B) Unpurified DL4 causes earlier elution of the fluorescently labeled RBD on gel filtration. (C) Binding kinetics of DL4 to RBD using biolayer interferometry (BLI) with RBD immobilized and DL4 as analyte at indicated concentrations (nM). Solid lines indicate original data and dotted lines indicate fitted curves. (D) Evidence for binding between DL4 and Spike. Apparent binding kinetics are not fitted because of the likely existence of bridged complexes between immobilized DL4 and the trimeric

analyte S. (E) Neutralization assay of DL4 against SARS-CoV-2 pseudoviruses. Mean and standard error of the mean (s.e.m.,  $n = 3$ ) are plotted.



**Fig. 2. Crystal structure of the DL4 in complex with the receptor-binding domain (RBD).** (A) The overall structure of the DL4 (light blue) in complex with RBD (white). DL4 binds the highchair-shaped RBD at the ‘seat’ and ‘backrest’ region. The binding interface is colored green. Three CDRs and the framework residues involved in the binding are color-coded as indicated. (B) Cartoon representation of the overall DL4-RBD structure. The three CDRs are color-coded as in A. DL4-contacting sites in RBD are colored green. (C) Stick representation of the interaction residues from DL4 (cyan, magenta, orange, and yellow) and RBD (green). DL4 residues are labeled in black and RBD residues are labeled in grey. Dash lines indicate distances within 3.8 Å.

### Structural characterization of the DL4 epitope

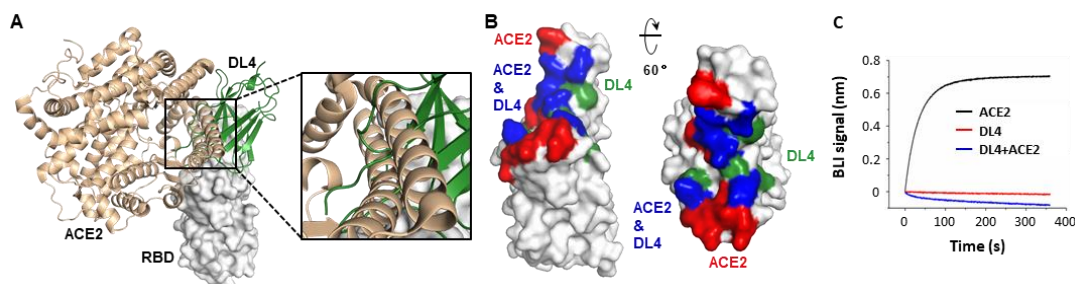
To accurately characterize the epitope of DL4, we crystallized DL4 in complex with RBD in the space group of  $P22_12_1$  and solved its structure to 1.75-Å resolution by molecular replacement using previous RBD and nanobody structures as search models. The structure was refined to  $R_{\text{work}}/R_{\text{free}}$  of 0.1891 / 0.2174 with no geometry violations (Table 1). Each asymmetric unit contains two DL4-RBD complexes. Because the two copies are similar ( $C\alpha$  RMSD of 0.216 Å), we use chains A and B

for structure description.

The RBD structure assembles a high-chair shape and DL4 binds RBD at the ‘seat’ and ‘backrest’ region with a buried surface area <sup>39</sup> of 1026.7 Å<sup>2</sup> (**Fig. 2A**), with contributions of 205.6 Å<sup>2</sup> from CDR1, 326.8 Å<sup>2</sup> from CDR2, 257.5 Å<sup>2</sup> from CDR3, and interestingly, 236.8 Å<sup>2</sup> (23 % of the total surface) from the framework region (**Fig. 2B**). For clarity, we label residues from RBD with a prime. The three CDRs interact with RBD via two salt bridge pairs (Glu30 / Arg403’ and Arg50 / Glu484’), three hydrogen bonds (Thr33 / Gln493’, Asn54 / Asn450’, Gln101 / Leu 455’), and hydrophobic interactions by apolar residues or hydrocarbon portion of polar residues such as Glu484’ (**Fig. 2C, Table S1**). The framework loop contributed two hydrogen bonds (Asn73 / Lys444’, Asp74 / Gly446’) and a cation- $\pi$  interaction (Arg71 / Tyr449’) (**Fig. 2C, Table S1**).

### DL4 competes directly with ACE2 for RBD-binding

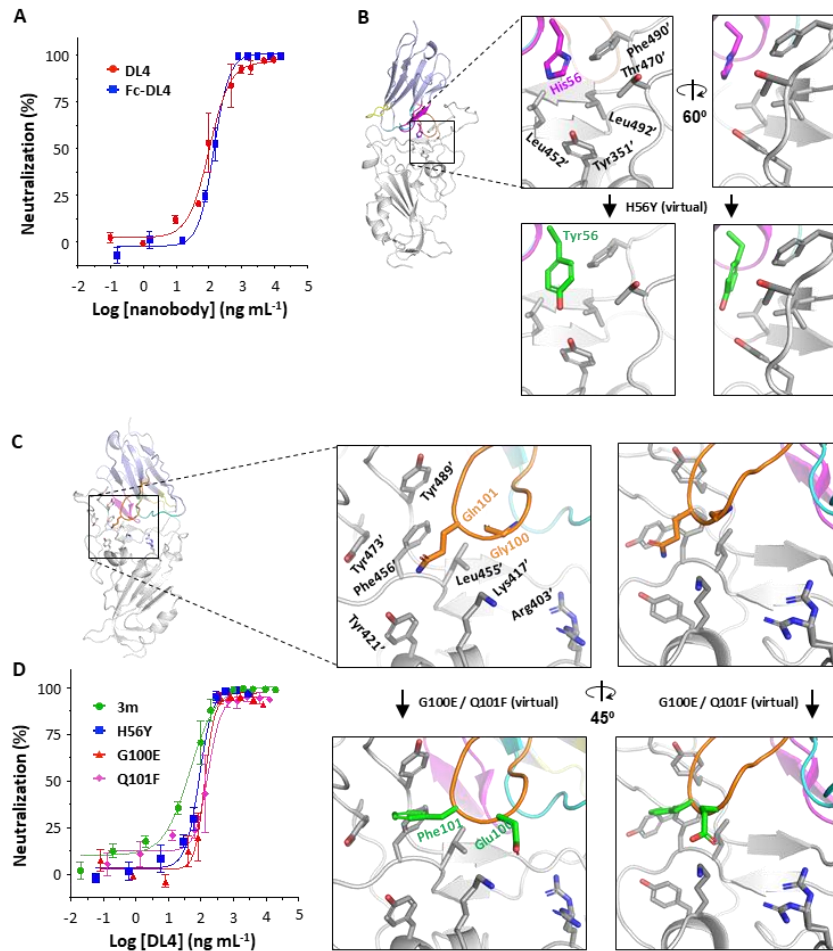
Aligning the DL4-RBD complex to the ACE2-RBD structure <sup>40,41</sup> reveals a large overlap between the DL4 epitope and the receptor-binding motif (RBM) (**Fig. 3A, 3B**). Specifically, the shared site includes 15 residues, some of which, such as Gln493’ and Glu484’ are key residues for both the receptor- and DL4-binding. Consistent with the structural observation, pre-incubation with DL4 completely blocked the binding between ACE2 and RBD (**Fig. 3C**). Aligning the DL4 structure to the S structures <sup>1</sup> showed that, although the epitope is shielded by Asn343-linked glycans and nearby residues in the ‘down’-RBD, it is well exposed on the ‘up’-RBD (**Fig. S1**). Taken together, DL4 neutralizes SARS-CoV-2 by directly blocking the receptor recognition.



**Fig. 3. Nanobody DL4 engages the receptor-binding domain (RBD) at the receptor-binding motif and directly competes with ACE2 for RBD-binding.** (A) Aligning the DL4-RBD structure onto the ACE2-RBD structure (PDB ID 6M0J) <sup>40</sup> reveals clashes between ACE2 (wheat) and DL4 (green). Only the RBD from the DL4-binding structure is shown (white). (B) The overlap (blue) between the ACE2-binding site (red) and the DL4 epitope (green). (C) Pre-incubation of DL4 with

RBD prevents ACE2 from binding to RBD. A sensor coated with RBD was first treated with 100 nM of DL4 (monovalent) before being incubated with a DL4-containing solution with (blue) or without (red) ACE2. As a control, the ACE2-RBD binding profile (black) was recorded using the same procedure without DL4 on a biolayer interferometry (BLI) system.





**Fig. 4. Structure-based design for a gain-of-function DL4 triple mutant.** (A) Neutralization assay of DL4 and the divalent form Fc-DL4. Data for DL4 are replotted from **Fig. 1E**. (B, C) The rationale for the design of H56Y (B) and G100E and Q101F (C). H56Y and Q101F may bind RBD tighter because of hydrophobic matching. G100E may bind RBD tighter by gaining a salt bridge. (D) Neutralization assay of the three single mutations and the triple mutant (3m). The triple mutant displayed a 3-fold neutralizing activity compared to the wild-type DL4. In A and D, mean  $\pm$  s.e.m. from three independent experiments are plotted.

### Structure-based design improved DL4's potency

Next, we set to engineer DL4 for higher neutralizing activity. Avidity effects are commonly exploited for nanobody engineering<sup>8,42</sup> and we also constructed the Fc version of DL4 (Fc-DL4). Unlike those in previous reports<sup>8</sup>, however, the Fc fusion did not significantly increase neutralizing activity, displaying an IC<sub>50</sub> of 0.142  $\mu$ g mL<sup>-1</sup> / 1.82 nM (0.101  $\mu$ g mL<sup>-1</sup> / 6.23 nM for DL4) (**Fig. 4A**).

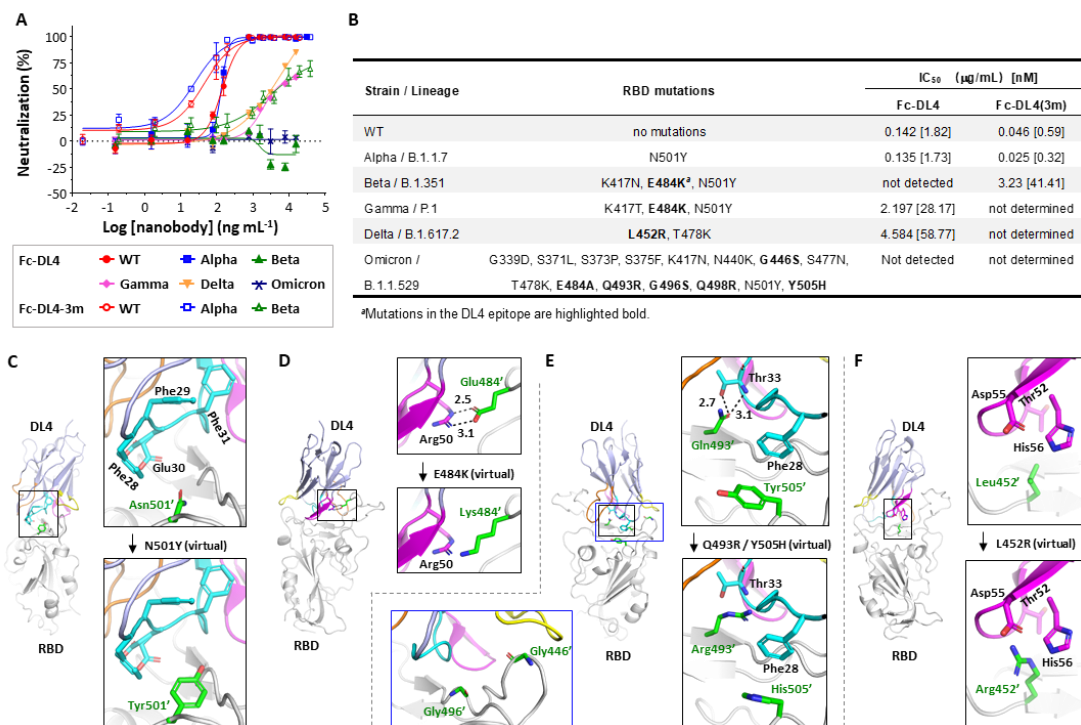
Previously, we have designed gain-of-function nanobody mutants based on structural information to increase binding affinity and neutralizing activity<sup>8</sup>. This approach was used again for DL4. Analyzing the DL4-RBD structure reveals that His56 from CDR2 is located in a hydrophobic microenvironment (**Fig. 4B**) and does not contribute to hydrogen bonding (**Fig. 2C**). To match the hydrophobic patch, His56 was mutated to Phe, Tyr, and Trp. Similarly, Gln101 in CDR3 was also mutated to the three aromatic residues to match the hydrophobic patch on the RBD made by Tyr421', Leu455', Phe456', Try473', Tyr489', and the hydrocarbon portion of Lys471' (**Fig. 4C**). In addition, the G100E mutant was designed to introduce a possible salt bridge with Lys417' or the nearby Arg403'. In neutralizing assays, H56Y, Q101F, and G100E inhibited viral entry with IC<sub>50</sub> values of 0.088 µg mL<sup>-1</sup> (1.13 nM), 0.163 µg mL<sup>-1</sup> (2.09 nM), and 0.128 µg mL<sup>-1</sup>µg mL<sup>-1</sup> (1.64 nM), respectively (the Fc-version was used, **Fig. 4D**). Although the single mutations behaved similarly to the wildtype (0.142 µg mL<sup>-1</sup>, 1.82 nM), the triple mutant (Fc-DL4(3m)) displayed a 3-fold neutralizing activity compared to the wild-type (IC<sub>50</sub> = 0.046 µg mL<sup>-1</sup>, 0.59 nM) (**Fig. 4D**).

### Structural interpretation of DL4's varying activity against variants

Next, the ability of Fc-DL4 (or Fc-DL4(3m)) against several variants of concern (VOCs) including the Alpha (B.1.1.17), Beta (B.1.351), Gamma (P.1), Delta (B.1.617.2), and Omicron (B.1.1.529) was tested using pseudoviruses. DL4 remained effective against the Alpha strain, displaying a similar IC<sub>50</sub> to the original Wuhan strain (**Fig. 5A, 5B**). Mutations in the Beta and Omicron strain almost escaped Fc-DL4, whilst the Gamma and Delta mutations compromised neutralization activity by 14 and 30 folds, respectively. Interestingly, despite the lack of neutralizing activity of Fc-DL4 against the Beta strain, the Fc-DL4(3m) showed weak inhibition with an IC<sub>50</sub> of 3.23 µg mL<sup>-1</sup> (**Fig. 5A, 5B**).

The atomic details of the DL4-RBD interactions provided possible explanations for DL4's varying activity against these variants. The Alpha strain contains a single mutation (N501Y) in the RBD. Although Ans501' is in the vicinity of the CDR1, it does not form hydrogen bonds with DL4 (**Fig. 5C**). Therefore, mutation of Ans501' is not expected to affect DL4-RBD binding, at least directly. In addition, a tyrosine replacement appeared to be compatible with the local hydrophobic patch consisting of Phe28/29/31; and Tyr501' may even form a hydrogen bond with Glu30 (**Fig. 5C**), explaining DL4/DL4(3m)'s equal or slightly higher neutralizing activity against Alpha compared to the original Wuhan strain (**Fig. 5A, 5B**).

The Beta and Gama variant both contain a lysine replacement of Glu484', a residue that forms a key salt bridge with Arg50 in CDR2 (**Fig. 2C**). The E484K mutation would not only eliminate the salt bridge but also introduce charge-charge repulsion with Arg50 (**Fig. 5D**). Similarly, the Omicron strain contains the E484A mutation. Although it alone may be less disruptive than E484K, its combination with other epitope mutations that either eliminate existing interactions (Q493R, Y505H) or distort backbone conformations (G446S, G496S) are expected to weaken the antibody-antigen interactions further (**Fig. 5E**). Finally, the structural reason for the weakened neutralizing activity against the Delta was less obvious. Although the Leu452' was at the DL4's epitope, it is not immediately clear how the replacement with an arginine would affect the interactions, especially with the DL4 Asp55 in the vicinity for the formation of a possible salt bridge with Arg452' (**Fig. 5F**). Possibly, L452R deforms the local region to prevent DL4 from accessing the epitope or to weaken its affinity with DL4.



**Fig. 5. Structural interpretation of DL4's varying activity in neutralizing VOCs.**

**A** Neutralization assay of Fc-DL4 and Fc-DL4(3m) for the Alpha, Beta, Gamma, Delta, and Omicron pseudovirus. Mean  $\pm$  s.e.m. from three independent experiments are plotted. **B** Summary of the strain information and neutralization results in **A**. Data for the original Wuhan strain (WT) are from **Figs. 1E/4A/4D** for comparison. **C-F**, Structural interpretation of DL4's sensitivity for mutations from the Alpha (**C**),

Beta/Gamma (**D**), Omicron (**E**), and Delta (**F**). The analysis was limited to the mutations occurring at the DL4's epitope. The overview and expanded view of the boxed region are shown. RBD residues are marked with a prime. Dash lines indicate H-bonds or salt bridges with distances shown in Å.

## DISCUSSION

In this study, we report a high-affinity RBD binder isolated from immunized alpaca and its structural and biological characterization. Most monovalent RBD-targeting nanobodies bind S or RBD with  $K_D$  in the nanomolar ranges<sup>8,27-32,35-38</sup>. Structurally characterized RBM-type nanobodies with  $K_D$  values in the low picomolar ranges include Nb20 (10.4 pM), Nb21 (< 1 pM)<sup>37</sup>, Huo-C5 (99 pM), Huo-F2 (40 pM) and Huo-H3 (25 pM) from immunized llama<sup>43</sup>, and Fu2 (118 pM)<sup>44</sup> and Re5D06 from immunized alpaca (2 pM)<sup>45</sup>. With a  $K_D$  of 245 pM, DL4 joins with these seven as ultra-high-affinity ACE2-blockers. This reinforces the notion that, despite their small sizes, nanobodies can bind antigens with comparable affinity with Fab which is four times in size. One of the reasons, as revealed in this study and previous structural reports<sup>8,38,43,44,46</sup>, is that the framework region of the nanobodies can also participate in the antigen-binding, thus essentially expanding the binding surface and increasing the number of interactions. In the case of DL4, a concave surface of the nanobody framework aligns with the protruding “backrest” of RBD with good shape complementarity (**Fig. 2A, 2B**). This type of interaction has also been observed in the case of nanobodies against the KDEL receptor<sup>47</sup>, the  $\kappa$ -opioid receptor<sup>48</sup>, the folate transporter<sup>49</sup>, and the histo-blood group antigen BabB<sup>50</sup>.

It is noted that the  $IC_{50}$  (6.23 nM) of the monomeric DL4 is more than 20 folds than its  $K_D$  (245 pM) to RBD. This would indicate epitope masking in the S trimer, as suggested by the structural superposition (**Fig. S1**). Thus, unlike in the case of the BLI binding experiment using isolated RBD, not all the RBM are accessible to DL4 in the context of the S trimer on viral particles, reducing the apparent affinity of DL4 to S. Alternatively, the functional affinity between the S trimer and ACE2 dimer would be much higher than those reported which was measured using RBD and ACE2 monomers<sup>40</sup> due to avidity and positive cooperativity reasons<sup>51-53</sup>. To outcompete ACE2-RBD engagement, therefore, may require high concentrations of antibodies in the case of the monomeric DL4. A survey of the literature found this to be a general trend: most monomeric nanobodies (20 out of 24) show an  $IC_{50}$  value that is at least 5-fold higher than the  $K_D$  value<sup>37,54-56</sup>, and 8 of them report a >20 fold difference<sup>44,46,54,57-60</sup>.

In the literature, increasing avidity generally improves potency, although the

effect can vary from dozens to thousands of times<sup>8,28</sup>. Interestingly, the avidity effect for DL4 was not apparent (**Fig. 4A**). Mechanistically, fusing with Fc may introduce additional steric hindrance to prevent RBD-ACE2 binding. It may also tether two S trimers to restrict their conformational changes should the two nanobody entities bind to different S. More commonly, avidity is known to increase potency by boosting apparent binding affinity by increasing local concentration and hence a faster  $k_{on}$  and a slower  $k_{off}$ . In the case of DL4, the affinity may not be the limiting factor owing to its exceptional binding kinetics. Alternatively, the way DL4 binds RBD in the context of the S trimer may have prevented the avidity effects. Aligning the DL4 structure to the “two up-RBD” S structure<sup>61</sup> revealed a spacing of  $\sim 95$  Å between the C-termini of the two DL4 molecules (**Fig. S2**). This would exceed the flexible limits of the disulfide-tethered Fc dimer (estimated distances of  $\sim 30$ - $50$  Å)<sup>62</sup>. Such arrangements could have two consequences (on a single S trimer): little avidity effects would occur, or the S trimer would collapse if both DL4 protomer bind S-RBD simultaneously. Based on the similar but slightly increased neutralizing activity after Fc-fusion ( $IC_{50}$  changed from 6.23 nM to 1.82 nM), both effects may exist at a minor level. Nevertheless, because the Fc fusion can increase the potency *in vivo* by extending the serum half-life of nanobodies from several minutes to several days<sup>8</sup> and thus should be still be useful for therapeutic reasons.

The fact that the DL4(3m) is more potent than DL4 is worth discussing. Thus, despite multiple rounds of immunization, there was still space for rational design. Such practice may be applied to the existing antibodies although the effect of mutations on pharmacological behavior will have to be tested in the cases of therapeutic antibodies.

Accumulating evidence has suggested a general pattern regarding antibody characteristics and epitope. RBM-targeting antibodies are generally more potent than those targeting the RBD core<sup>9,63-65</sup> but are more susceptible to escape mutants<sup>64,66-70</sup>. Consistent with this trend, despite DL4's resistance to the mutations in the Alpha strain, its neutralizing activity is compromised or fully lost against variants with more RBM mutations. Although somewhat disappointing the results were not unexpected because the immunization was performed with RBD from the original Wuhan strain. Our results here highlight the lag-phase of antibody development in relation to the virus evolution and urge more rapid methods for developing antibodies with diverse epitopes and neutralizing mechanisms.

Owing to their minute sizes, nanobodies may bind surfaces that are inaccessible to conventional antibodies. DL4 may be able to bind to the ‘down’-RBD given the minor clashes with the ‘closed’ conformation of S, in addition to binding with the

'up'-RBD (**Fig. S1**). This possibility remains to be experimentally investigated. The small size of nanobodies could also mean fewer chances for steric hindrance for the development of non-competing pairs that target different epitopes. Such pairs will allow the development of biparatopic nanobodies to increase tolerance to escape mutants, and DL4's ultra-high affinity could offer advantages in such applications.

## MATERIALS AND METHODS

### Protein expression and purification - Spike (S)

A DNA fragment encoding the polypeptide containing, from the N- to the C-terminus, residues Met1 – Gln1208 (without the C-terminal transmembrane domain, Uniprot P0DTC2) of the SARS-CoV-2 S with two stabilizing proline mutations K986P/V987P, a GSAS linker substituting the furin sites (Arg682-Arg685), a C-terminal T4 fibritin trimerization motif (GYIPEAPRDGQAYVRKDGWVLLSTFL), a TEV protease cleavage site, a FLAG tag and a polyhistidine tag<sup>22</sup> was cloned into a pCDNA3.1 backbone vector and overexpressed in Expi293 cells by transient transfection using polyethylenimine (PEI). The supernatant of the cell culture after 3.5 days was harvested by filtration through a 0.22- $\mu$ m membrane, and adjusted to contain 4 mM MgCl<sub>2</sub>, 20 mM imidazole, 200 mM NaCl, and 20 mM Tris-HCl pH 7.5. The filtrate was added with 3 mL of Ni-NTA beads and the mix was incubated at 4 °C for 2 h for batch binding. The beads were poured into a Bio-Rad gravity column, washed with 50 column volume (CV) of 20 mM imidazole, and eluted with 250 mM imidazole in 200 mM NaCl, 20 mM Tris-HCl pH 7.5. Fractions containing S were pooled, concentrated using a 100-kDa cut-off membrane concentrator, and further fractioned by size exclusion chromatography. Protein was quantified using a theoretical  $\epsilon_{280}$  of 138,825 M<sup>-1</sup> cm<sup>-1</sup>.

### Protein expression and purification - RBD

A DNA fragment encoding the polypeptide containing, from the N- to C-terminus, the honey bee melittin signal peptide (KFLVNVALVFMVVYISYIYAA), a Gly-Ser linker, residues 330-531 of the SARS-CoV-2 S (Uniprot P0DTC2), a Gly-Thr linker, the 3C protease site (LEVLFQGP), a Gly-Ser linker, the Avi tag (GLNDIFEAQKIEWHE, for enzyme-based biotinylation), a Ser-Gly linker, and a deca-His tag was cloned in a pFastBac-backbone vector for overexpression in *Trichoplusia ni* High Five suspension cells. Cells at a density of  $2 \times 10^6$  cells per milliliter were transfected with baculovirus produced using standard Bac-to-Bac procedures (Invitrogen) and the expression was carried out for 48-60 h at 27 °C in glass flasks. The medium from 1 L of culture was filtered through a 0.22- $\mu$ m membrane and the filtrate was adjusted to contain 30 mM imidazole before being incubated with 3.0 mL of Ni-Sepharose Excel (Cat 17-3712-03, GE Healthcare) resin for 2 h at 4 °C with mild agitation for batch binding. The beads were poured into a Bio-Rad gravity column, washed with 10 CV of 20 mM imidazole, and eluted with 300 mM of imidazole in 150 mM NaCl, 20 mM Tris HCl pH 8.0. For enzyme-catalyzed biotinylation, the Avi-tagged RBD at 0.8 mg mL<sup>-1</sup> was incubated

with 5 mM ATP, 10 mM magnesium acetate, 43.5  $\mu\text{M}$  biotin, and 22  $\mu\text{g mL}^{-1}$  home-purified BirA in a 3.2-mL reaction mix and incubated at 4 °C. After 16 h of reaction, biotinylated RBD was concentrated with a 10-kDa cut-off membrane to 3  $\text{mg mL}^{-1}$  before being further fractioned on a Superdex Increase 200 10/300 GL gel filtration column. Fractions containing the RBD were pooled, aliquoted, flash-frozen in liquid nitrogen, and stored at -80 °C until use.

For crystallization, RBD eluted from the Ni-NTA column was desalted to remove imidazole using a desalting column, and digested with home-purified 3C protease to remove the C-terminal tags. After 16 h of digestion, the tag-free RBD was mixed with DL4 at a molar ratio of 1:1.3 and the complex was loaded onto a Superdex Increase 200 10/300 GL column for gel filtration. Fractions containing both RBD and DL4 complex were pooled, and concentrated to 10  $\text{mg mL}^{-1}$  for crystallization.

### **Protein expression and purification - monovalent DL4 in *Escherichia coli***

Monovalent DL4 was expressed with a C-terminal Myc tag and a hexahistidine tag in the *E. coli* strain MC1061. Briefly, cells carrying DL4-encoding pSb-init plasmids<sup>25</sup> were grown in Terrific Broth (TB, 0.017 M  $\text{KH}_2\text{PO}_4$  and 0.072 M  $\text{K}_2\text{HPO}_4$ , 1.2 % (w/v) tryptone, 2.4 % (w/v) yeast extract, 0.5% (v/v) glycerol) supplemented with 25  $\text{mg L}^{-1}$  chloramphenicol at 37 °C with vigorous shaking at 200 rpm. When  $\text{OD}_{600}$  reached 0.5 (typically ~ 2 h), the temperature was dropped to 22 °C and the cells were incubated for another 1.5 h before being added with 0.02% (w/v) arabinose to induce DL4 expression. After 17 h of induction, cells were collected by centrifugation and lysed by osmotic shock. Briefly, cells from 1 L of culture were first resuspended in 20 mL of TES-high Buffer (0.5 mM EDTA, 0.5 M sucrose, and 0.2 M Tris-HCl pH 8.0) and incubated at 4 °C for 30 min. The dehydrated cells were then abruptly rehydrated with 40 mL of ice-cold deionized water at 4 °C for 1 h. The periplasmic extract was separated from the cells by centrifugation at 20,000 g at 4 °C for 30 min. The supernatant was added with 2 mM of  $\text{MgCl}_2$ , 150 mM of NaCl, and 20 mM of imidazole. To the mix was added with Ni-NTA resin that had been pre-equilibrated with buffer containing 20 mM of imidazole, 150 mM NaCl, and 20 mM Tris HCl pH 8.0. After incubation for 2 h, the beads were washed with 30 mM imidazole and eluted with 300 mM imidazole, 150 mM NaCl, and 20 mM Tris HCl pH 8.0.

### **Protein expression and purification - divalent nanobodies in mammalian cells**

Fc-DL4 (from N- to C-terminal: signal peptide, DL4, Fc) was transiently expressed in Expi293 suspension cells. Briefly, cells at a density of  $2.5 \times 10^6$  cells per



milliliter were transfected with a mix of plasmid and PEI. After 65 h at 37 °C, cells were separated from the medium by centrifugation at 1,000×g and filtration. The filtrate was mixed with rProtein A beads (Cat SA012005, SmartLifesciences, China) for batch binding at 4 °C. After 3 h, the beads were poured into a gravity column, washed using 20 CV of PBS buffer, and eluted with an acidic buffer containing 0.1 M glycine pH 3.0. The elution was mixed immediately with 1 M Tris HCl pH 8.0. After neutralization, the buffer was exchanged to PBS using a Bio-Rad desalt column.

Nanobody mutants in this study were all generated on the Fc-fusion constructs with a standard PCR-based site-directed mutagenesis protocol. DNA sequences were verified by Sanger sequencing and the mutants were expressed and purified the same way as DL4.

### **Alpaca immunization and antibody titer determination**

Purified RBD (2 mg mL<sup>-1</sup>, 0.5 mL) was emulsified with an equal volume of the Gerbu adjuvant (Cat. 3111) by vortexing. The emulsion was injected by the subcutaneous route at ten sites near the bow lymph node in the neck base of a 3-year old adult female alpaca. The immunization process was repeated for 3 rounds (a total of 4 injections) with 4 days between each injection.

To monitor antibody titer, 3 mL of blood samples before and after each injection were incubated at room temperature (RT, 20-25 °C) for 2 h. The clotted sample was then centrifuged at 3,000 g for 5 min at RT to harvest sera in the supernatant. A 96-well plate (Maxisorp, Nunc Thermo Fisher Scientific) was coated overnight at 4 °C with 100 µL of 2 µg mL<sup>-1</sup> biotinylated RBD in TBS (150mM NaCl, 20mM Tris, pH8.0), followed by blocking with 0.5% bovine serum albumin (BSA) in TBS. The plate was washed five times with TBS and incubated with serially diluted sera for 1 h. The plate was washed and the remaining nanobodies were detected by HRP-conjugated Goat anti-alpaca IgG (Cat. S001P, NBbiolab) using Tetramethylbenzidine (TMB) (Merck, Cat.T2885) as an HRP substrate.

### **Phage display library and panning**

Eighty milliliters of blood were drawn from the immunized alpaca into EDTA-coated tubes. Peripheral blood lymphocytes were isolated with Ficoll Plus (density of 1.077 g mL<sup>-1</sup>) following the manufacturer's instructions. mRNA was isolated from lymphocytes using RNAsio Plus (Takara). Reverse transcription of the mRNA was carried out using a commercial kit (Vazyme Cat. R312-01). Subsequent PCR was performed with 50 ng of cDNA and the primer pair 5'-GTCCTGGCTGCTCTTCTACAAGG-3' and

5'-GGTACGTGCTGTTGAACTGTTCC-3'. The PCR product was gel purified, and used as the template for a second PCR with the prime pair 5'-ATATGC *TCTTCAAGTCAGGTGCAGCTGCAGGAGTCTGGRGGAGG*-3' and 5'-TATAGCTCTTCCTGCCGAGGAGACGGTGACCTGGGT-3' which anneals with the framework 1 and framework 4 regions of nanobodies, respectively. These primers contained a site (*italic*) for the type IIs restriction enzyme *BspQI*.

One microgram of the gel-purified PCR product and 10 µg of the pDX\_init vector<sup>25</sup> were digested separately with *BspQI* (Cat. R0712L, New England Biolabs) at 50 °C. After 1.5 h, the mixture was heated at 80 °C for 10 min to inactivate *BspQI*. The digested DNA fragments were gel-purified. For ligation, 0.3 µg of the digested PCR products were mixed with 1.2 µg of the digested vector. The mix was added with 10 units of T4 ligase in ligation buffer (Cat. B110041, Sangon Biotech, Shanghai, China) for 1.5 h. The ligation products were transformed into *Escherichia coli* SS320 cells by electroporation in a 2-mm cuvette using a Gene Pulser Xcell (Bio-Rad) with the setting of 2,400 volts, 25 µF, and 750 Ω.

Cells treated above were grown in 225 mL of 2-YT broth (1.0 %(w/v) yeast extract, 1.6 %(w/v) tryptone, 0.5 %(w/v) NaCl, pH 7.0) supplemented with 200 µg mL<sup>-1</sup> ampicillin and 2 %(w/v) glucose in a 37-°C incubator shaking at 220 rpm. To 10 mL of the overnight culture, 27 µL of the M13KO7 helper phage at 10<sup>12</sup> plaque-forming units mL<sup>-1</sup> were added. The mixture was incubated at 37 °C for 30 min for infection. Cells were harvested by centrifugation at 3,200×g for 10 min, resuspended in 2-YT broth supplemented with 200 µg mL<sup>-1</sup> ampicillin and 25 µg mL<sup>-1</sup> kanamycin, and cultured in an incubator at 37 °C shaking at 160 rpm. After 16 h, the medium from 50 mL of culture was separated from cells by centrifugation at 3,200×g for 30 min at 4 °C. The supernatant (40 mL) was transferred into a fresh tube and incubated with 10 mL of 20 %(w/v) PEG 6,000 and 2.5 M NaCl for 30 min on ice. Phage particles were harvested by centrifugation at 3,200×g for 30 min at 4 °C and washed with 1 mL of PBS buffer.

The first round of panning was carried out in a Nunc Maxisorp 96-well immunoplate that had been coated with 67 nM neutravidin (Cat. 31000, Thermo Fisher Scientific) overnight at 4 °C and blocked with TBS buffer supplemented with 0.5 %(w/v) BSA for 30 min. Phage particles (4.9 mL) were incubated with biotinylated RBD (50 nM), aliquoted to the 96-well plate, washed, and released from the plate by tryptic digestion using 0.25 mg mL<sup>-1</sup> trypsin in a buffer containing 150 mM NaCl and 20 mM Tris-HCl pH 7.4. Wells were treated with AEBSF and the selected phage particles were amplified in *E. coli* for the second round of panning which was performed essentially as the first one except that the plate was replaced

with 12  $\mu\text{L}$  of MyOne Streptavidin C1 beads (Cat. 65001, Invitrogen). In addition, the bound phage particles were challenged with 5  $\mu\text{M}$  of non-biotinylated RBD to compete off weak binders. The third round of panning was carried out the same as the second round except that the biotinylated RBD concentration was kept at 5 nM. Enriched phagemid was sub-cloned into pSb\_init vector by fragment-exchange (FX) cloning and transformed into *E. coli* MC1061 cells for nanobody expression and screening.

### **Enzyme-linked immunosorbent assay (ELISA)**

Single colonies of the abovementioned *E. coli* MC1061 cells were grown at 37 °C for 5 h in an incubator shaking at 300 rpm before 1:20 diluted into 1 mL of fresh TB supplemented with 25  $\mu\text{g mL}^{-1}$  chloramphenicol and 0.02% (w/v) arabinose. After culturing at 22 °C for 17 h, cells were harvested by centrifugation at 3,000 g for 30 min. Pellets were resuspended in TES Buffer (0.5 mM EDTA, 20 % (w/v) sucrose, 0.5  $\mu\text{g/mL}$  lysozyme, 50 mM Tris-HCl pH 8.0) and lysed for 30 min at room temperature (RT, 20-25 °C). Cell lysate was added with 0.9 mL of TBS (150 mM NaCl, 20 mM Tris-HCl pH 7.4) supplemented with 1 mM  $\text{MgCl}_2$  and clarified by centrifugation at 3,000 g for 30 min at 4 °C. The supernatant containing extracted nanobodies was used for ELISA.

A 96-well plate (Cat. 442404, Thermo Fisher) was coated with Protein A at 4 °C for 16 h and blocked by 0.5 % (w/v) BSA in TBS buffer for 30 min at RT, followed by washing three times with TBS. The plate was incubated with anti-Myc antibodies at 1:2,000 dilution in TBS-BSA-T buffer (TBS supplemented with 0.5 % (w/v) BSA and 0.05 % (v/v) Tween 20) for 20 min at RT. After incubation, wells were washed three times using TBST (TBS supplemented with 0.05% Tween 20) to remove excess anti-Myc antibodies. The nanobody extracts prepared above were added to the wells and the plate was incubated for 20 min at RT. The plate was then washed three times with TBST followed by incubation with 50 nM of biotinylated RBD or the control protein MBP (the maltose-binding protein) for 20 min at RT. Wells were again washed three times followed by incubation with streptavidin-conjugated with horseradish peroxidase (HRP) (1:5,000, Cat S2438, Sigma). After 30 min, the plate was washed three times and the ELISA signal was developed by incubating the wells with 100  $\mu\text{L}$  of a mix containing 51 mM  $\text{Na}_2\text{HPO}_4$ , 24 mM citric acid, 0.006 % (v/v)  $\text{H}_2\text{O}_2$ , and 0.1  $\text{mg mL}^{-1}$  3,3',5,5'-tetramethylbenzidine) at RT. Absorbance at 650 nm was measured in a plate reader.

### **Fluorescence-detection size exclusion chromatography (FSEC)**

The ability of nanobodies to shift the elution volume of a fluorescently labeled RBD was carried out as previously described<sup>8</sup>. Briefly, biotinylated RBD was mixed with streptavidin (Cat 16955, AAT Bioquest) that was chemically labeled with fluorescein. The stable RBD-streptavidin complex (500 nM) was incubated with cell lysate containing nanobodies (a control nanobody against MBP was used as a control) and the mixture was applied onto an analytic gel filtration column (Cat 9F16206, Sepax) on an HPLC system equipped with a fluorescence detector (RF-20A, Shimadzu) for FSEC. The elution profile was monitored by fluorescence (excitation/emission wavelength of 482/508 nm).

### **Biolayer interferometry**

The binding kinetics were obtained using a bio-layer interferometry (BLI) assay on an Octet RED96 system (ForteBio). For DL4-RBD binding, biotinylated RBD ( $2 \mu\text{g mL}^{-1}$ ) was immobilized onto an SA sensor (streptavidin). The sensor was incubated with the monovalent DL4 at various concentrations (see figure legends) and the BLI signal was monitored for 480 s for association. The sensor was then transferred into DL4-free buffer (0.005 % (v/v) Tween 20,  $1 \times$  phosphate-buffered saline) for dissociation.

For DL4 binding with S, an SA sensor was coated with  $5 \mu\text{g mL}^{-1}$  biotinylated nanobodies for approximately 1 min. The sensor was equilibrated in a nanobody-free buffer for  $\sim 30$  s, before bathing in various concentrations of S for 120 s. The dissociation was monitored for 300 s after transferring the sensor to the S-free buffer.

For competition between ACE2 and DL4, an SA sensor coated with biotinylated RBD was incubated with 100 nM of DL4 for 6 min. The sensor was then transferred into nanobody solutions with or without 100 nM of ACE2 (Cat 10108-H08B). The association of ACE2 was recorded for 360 s. As a control, the ACE2-RBD binding profile was monitored in the absence of nanobodies.

The data for DL4-RBD binding was fitted for a 1:1 stoichiometry for  $K_D$ ,  $k_{on}$ , and  $k_{off}$  calculations using the built-in software Data Analysis 10.0. The apparent binding kinetics for DL4-S interaction were not fitted owing to possible formation of bridged complexes.

### **Crystallization**

Crystals were grown at  $16^\circ\text{C}$  in a sitting drop plate which contained  $1 \mu\text{L}$  of protein solution ( $10 \text{ mg mL}^{-1}$ ) and  $1 \mu\text{L}$  of precipitant solution (25 % (w/v) polyethylene glycol 4,000, 0.2 M ammonium sulfate, and 0.1 M sodium acetate pH

4.6) in a drop and 70  $\mu\text{L}$  of reservoir solution in the well. Cryo protection was achieved by adding 20 % (v/v) glycerol in the precipitant solution. Crystals were harvested using a MiTeGen loop, and flash-cooled in liquid nitrogen before X-ray diffraction data collection.

### **X-ray diffraction data collection and structure determination**

Diffraction data were collected at beamline BL18U1 at Shanghai Synchrotron Radiation Facility with a  $50 \times 50 \mu\text{m}$  beam on a Pilatus detector with oscillation of  $0.5^\circ$  and a wavelength of  $0.97915 \text{ \AA}$ . Data were processed using HKL2000<sup>71</sup>. The structure was solved by molecular replacement using Phaser<sup>72</sup> with the RBD structure (PDB 6M0J)<sup>40</sup> and a nanobody structure (PDB 5M13)<sup>25</sup> as the search model. The model was manually adjusted according to  $2F_o - F_c$  maps in Coot<sup>73</sup>, and refined using Phenix<sup>74</sup>. Structures were visualized using PyMol.

### **Neutralization assay using SARS-CoV-2 pseudoviruses**

Retroviral pseudotyped particles were generated by co-transfection of HEK293T cells using polyethylenimine with the expression vectors carrying the murine leukemia virus core/packaging components (MLV Gag-Pol), the various viral envelope glycoproteins, and a retroviral transfer vector containing the gene encoding the green fluorescent protein (GFP). The 19 amino-acids at the C-terminus of S was truncated. Supernatant containing pseudotyped particles were harvested 48 h post-transfection and filtered through a  $0.45\text{-}\mu\text{m}$  membrane before neutralizing assays.

VeroE6-hACE2 cells were infected with  $100 \mu\text{L}$  of virus supernatant in a final volume of  $150 \mu\text{L}$  in a 48-well plate. Nanobodies were pre-incubated with the pseudotyped particles for 1 h at  $37^\circ\text{C}$  prior to cell/virus co-incubation. After 6 h, the supernatant was removed and the cells were incubated with medium at  $37^\circ\text{C}$  for 72 h. GFP expression was determined by fluorescence-activated cell sorting (FACS). The infectivity of pseudotyped particles incubated with nanobodies was normalized with the control (100%).

### **Animal experiment and ethics**

The alpaca immunization procedures were conducted in conformity with the institutional guidelines for the care and use of laboratory animals, and the protocols were approved by the Institutional Committee of Ethics and Research of the Central Laboratory at Xinyang Agricultural and Forestry University.

**Data availability**

The structure factors and coordinates are available through the protein data bank (PDB) under accession code 7F5G (DL4-RBD).

**CONFLICT OF INTERESTS**

A patent application for potential nanobody therapy for the treatment of COVID-19 has been submitted for DL4. Authors Y.Z., S.W., and J.T. are employed by the company Nanjing Crycision Biotech Co., Ltd., Nanjing, China.

**AUTHOR CONTRIBUTION**

T.L. and Y.La. selected, purified, and characterized nanobodies under the supervision of D.Li. B.Z. and S.H. performed neutralizing assays under the supervision of D.La.. Y.Z. and S.W. immunized alpaca and constructed phage-display library. Y.Z. performed crystallization and collected X-ray diffraction data under the supervision of J.T.. A.G. and S.B. constructed Spike mutants and helped establish neutralization assays. Y.Li. purified proteins and performed binding assays. J.B. helped with protein engineering. D.Li and Z.L. processed X-ray diffraction data and solved structures. D.Li analyzed structure, designed mutants, and wrote the manuscript with input from T.L. and D.La..

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**Table 1. Data collection and refinement statistics.**

DL4-RBD	
<b>Data collection</b>	
Space group	P 2 <sub>1</sub> 2 <sub>1</sub> 2 <sub>1</sub>
Cell dimensions □ □ □	
<i>a</i> , <i>b</i> , <i>c</i> (Å)	79.82, 95.04, 118.92
<i>α</i> , <i>β</i> , <i>γ</i> (°)	90, 90, 90
Wavelength (Å)	0.97915
Resolution (Å)	36.58 – 1.75 (1.78 – 1.75) <sup>a</sup>
<i>R</i> <sub>merge</sub>	0.110 (1.183)
<i>R</i> <sub>pim</sub>	0.046 (0.522)
<i>I</i> / <i>σI</i>	14.0 (2.1)
Completeness (%)	100.0 (100.0)
Multiplicity	12.8 (11.9)
<i>CC</i> * <sup>b</sup>	0.999 (0.973)
<b>Refinement</b>	
Resolution (Å)	33.66 – 1.75
No. reflections	91,696
<i>R</i> <sub>work</sub> / <i>R</i> <sub>free</sub>	0.1891 / 0.2174
No. atoms	5,970
Protein	5,127
Ligands	230
Solvent	613
No. residues	631
B-factors (Å <sup>2</sup> )	29.19
Protein	27.17
Ligand/ion	56.78
Solvent	35.72
R.m.s deviations	
Bond lengths (Å)	0.007
Bond angles (°)	0.880
Ramachandran	
Favoured (%)	96.31
Allowed (%)	3.69
Outlier (%)	0
<b>PDB ID</b>	7F5G

<sup>a</sup>Highest resolution shell is shown in parenthesis.  ${}^b CC^* = \sqrt{\frac{2CC_{1/2}}{1+CC_{1/2}}}$

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