

Regular Transducer Expressions for Regular Transformations

Vrunda Dave, Paul Gastin, Shankara Narayanan

▶ To cite this version:

Vrunda Dave, Paul Gastin, Shankara Narayanan. Regular Transducer Expressions for Regular Transformations. Information and Computation, 2022, 282, pp.104655. 10.1016/j.ic.2020.104655. hal-03709884

HAL Id: hal-03709884 https://hal.science/hal-03709884v1

Submitted on 30 Jun 2022

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Regular Transducer Expressions for Regular Transformations 1

Vrunda Dave^a, Paul Gastin^b, Shankara Narayanan Krishna^a

^aDept of CSE, IIT Bombay, India vrunda, krishnas@cse.iitb.ac.in ^bLSV, ENS Paris-Saclay & CNRS, Université Paris-Saclay, France paul.gastin@ens-paris-saclay.fr

Abstract

Functional MSO transductions, deterministic two-way transducers, as well as streaming string transducers are all equivalent models for regular functions. In this paper, we show that every regular function, either on finite words or on infinite words, captured by a deterministic two-way transducer, can be described with a regular transducer expression (RTE). For infinite words, the two-way transducer uses Muller acceptance and ω -regular look-ahead. RTEs are constructed from constant functions using the combinators if-then-else (deterministic choice), Hadamard product, and unambiguous versions of the Cauchy product, the 2-chained Kleene-iteration and the 2-chained omega-iteration. Our proof works for transformations of both finite and infinite words, extending the result on finite words of Alur et al. in LICS'14.

The construction of an RTE associated with a deterministic two-way transducer is guided by a regular expression which is "good" wrt. its transition monoid. "Good" expressions are unambiguous, ensuring the functionality of the output computed. Moreover, in "good" expressions, iterations (Kleene-plus or omega) are restricted to subexpressions corresponding to *idempotent* elements of the transition monoid. "Good" expressions can be obtained with an unambiguous version of Imre Simon's famous forest factorization theorem.

To handle infinite words, we introduce the notion of transition monoids for deterministic two-way Muller transducers with look-ahead, where the look-ahead is captured by some backward deterministic Büchi automaton.

This paper is an extended version of [15] presented at LICS'18.

1. Introduction

One of the most fundamental results in theoretical computer science is that the class of regular languages corresponds to the class of languages recognised by finite state automata, to the class of languages definable in MSO, and to the class of languages whose syntactic monoid is finite. Regular languages are also those that can be expressed using regular expressions; this equivalence is given by Kleene's theorem. This beautiful correspondence between machines, logics and algebra in the case of regular languages paved the way to generalizations of this

¹This work has been partially supported by IRL RELAX

fundamental theory to regular transformations [19], where, it was shown that 9 10 regular transformations are those which are captured by two-way transducers and by MSO transductions a la Courcelle. Much later, streaming string transducers 11 (SSTs) were introduced [1] as a model which makes a single pass through the 12 input string and uses a finite set of variables that range over strings from the 13 output alphabet. In [1], the equivalence between SSTs and MSO transductions 14 was established, thereby showing that regular transformations are those which 15 are captured by either SSTs, two-way transducers or MSO transductions. This 16 theory was further extended to work for infinite string transformations [4]; the 17 restriction from MSO transductions to first-order definable transductions, and 18 their equivalence with aperiodic SSTs and aperiodic two-way transducers has also 19 been established over finite and infinite strings [20], [17]. Other generalizations 20 such as [2], extend this theory to trees. More recently, this equivalence between 21 SSTs and logical transductions is also shown to hold good even when one works 22 with the origin semantics [8]. 23

Moving on, a natural problem pertains to the characterization of the output 24 computed by two-way transducers or SSTs (over finite and infinite words) using 25 regular-like expressions. For the strictly lesser expressive case of sequential 26 one-way transducers, this regex characterization of the output is obtained as 27 a special case of Schützenberger's famous equivalence [18] between weighted 28 automata and regular weighted expressions. The question is much harder when 29 one looks at two-way transducers, due to the fact that the output is generated 30 in a one-way fashion, while the input is read in a two-way manner. Recently, 31 [5] proposed a set of combinators, analogous to the operators used in forming 32 regular expressions, to form *combinator expressions* and proved their equivalence 33 with SSTs. 34

³⁵ **Our Contributions**. We generalize the result of [5] from finite to infinite ³⁶ words, and we propose a completely different proof technique based on transition ³⁷ monoids and on Simon's forest factorization theorem.

³⁸ Over finite words, we work with two-way deterministic transducers (denoted ³⁹ 2DFT) while over infinite words, the model considered is deterministic two-⁴⁰ way transducers with regular look-ahead, equipped with the Muller acceptance ⁴¹ condition. Figure 1 gives an ω -2DMT_{la} (la stands for look-ahead and M in the ⁴² 2DMT for Muller acceptance).

In both cases of finite words and infinite words, we come up with a set of combinators which we use to form *regular transducer expressions* (RTE) characterizing two-way transducer $(2DFT/\omega-2DMT_{la})$.

⁴⁶ The Combinators. We describe our basic combinators that form the building ⁴⁷ blocks of RTEs. The semantics of an RTE is a partial function $f: \Sigma^{\infty} \to \Gamma^{\infty}$ ⁴⁸ whose domain is denoted dom(f).

⁴⁹ The constant function $d \in \Gamma^*$ maps all strings in Σ^{∞} to some fixed finite ⁵⁰ output word d.

Given a string $w \in \Sigma^{\infty}$, the if-then-else combinator K?f:g checks if w is in the regular language K or not, and produces f(w) if $w \in K$ and g(w) otherwise. The Hadamard product $f \odot g$ when applied to w produces $f(w) \cdot g(w)$,

⁵⁴ provided f(w) is finite, otherwise it is undefined. ⁵⁵ The unambiguous Cauchy product $f \Box g$ when applied on $w \in \Sigma^{\infty}$ produces ⁵⁶ $f(u) \cdot g(v)$ if $w = u \cdot v$ is an unambiguous decomposition of w with $u \in \mathsf{dom}(f) \cap \Sigma^*$



Figure 1: An ω -2DMT_{la} \mathcal{A}' with $[\mathcal{A}'](u_1 \# u_2 \# \dots \# u_n \# v) = u_1^R u_1 \# u_2^R u_2 \# \dots \# u_n^R u_n \# v$ where $u_1, \dots, u_n \in (a+b)^*, v \in (a+b)^{\omega}$ and u^R denotes the reverse of u. The Muller acceptance set is $\{\{q_5\}\}$. The look-ahead expressions $\Sigma^* \# \Sigma^\omega$ and $(\Sigma \setminus \{\#\})^\omega$ are used to check if there is a # in the remaining suffix of the input word.

The unambiguous Kleene-plus f^{\boxplus} applied to $w \in \Sigma^*$ produces $f(u_1) \cdots f(u_n)$ 58 if $w = u_1 \cdots u_n$ is an unambiguous factorization of w, with each $u_i \in \mathsf{dom}(f)$. 59 The unambiguous 2-chained Kleene-plus $[K, f]^{2\boxplus}$ when applied to a string $w \in$ 60 61

62

 Σ^* produces as output $f(u_1u_2) \cdot f(u_2u_3) \cdots f(u_{n-1}u_n)$ if w can be unambiguously written as $u_1u_2 \cdots u_n$, with each $u_i \in K$, for the regular language K. We also have the reverses $f \stackrel{\leftarrow}{=} g, f^{\stackrel{\leftarrow}{\boxplus}}$ and $[K, f]^{\stackrel{\leftarrow}{2\boxplus}}$, which parse the input from left to right as before, but produce the output from right to left. For instance, 63 64 with the notation above, $f^{\textcircled{B}}(w)$ produces $f(u_n) \cdots f(u_1)$. 65

The unambiguous ω -iteration produces $f^{\omega}(w) = f(u_1)f(u_2)\cdots$ if $w \in \Sigma^{\omega}$ 66 can be unambiguously decomposed as $w = u_1 u_2 \cdots$ with each $u_i \in \mathsf{dom}(f) \cap \Sigma^*$. 67 Finally, the unambiguous two-chained ω -iteration produces $[K, f]^{2\omega}(w) =$ 68 $f(u_1u_2)f(u_2u_3)\cdots$ if $w\in\Sigma^{\omega}$ can be unambiguously decomposed as $w=u_1u_2\cdots$ 69 with $u_i \in K$ for all $i \geq 1$, where $K \subseteq \Sigma^*$ is regular. 70

Example 1. Consider the RTE $C = C_4^{\boxplus} \boxdot C_2^{\omega}$ with

$$C_{1} = a ? a : (b ? b : (\# ? \# : \bot))$$

$$C_{2} = a ? a : (b ? b : \bot)$$

$$C_{3} = a ? a : (b ? b : (\# ? \varepsilon : \bot))$$

$$C_{4} = ((a + b)^{*} \#) ? (C_{3}^{\overleftarrow{\boxminus}} \odot C_{1}^{\overleftarrow{\boxplus}}) : \bot$$

Then $dom(C_1) = dom(C_3) = (a + b + \#)$ and $dom(C_2) = (a + b)$. Next, we 71 see that dom(C₁) = dom(C₃) = $(a + b + \pi)$ and dom(C₂) = (a + b). Next, we see that dom(C₄) = $(a + b)^* \#$ and, for $u \in (a + b)^*$, $\llbracket C_4 \rrbracket (u\#) = u^R u \#$ where u^R denotes the reverse of u. This gives dom(C) = $((a + b)^* \#)^+ (a + b)^{\omega}$ with $\llbracket C \rrbracket (u_1 \# u_2 \# \cdots u_n \# v) = u_1^R u_1 \# u_2^R u_2 \# \cdots \# u_n^R u_n \# v$ when $u_i \in (a + b)^*$ and $v \in (a + b)^{\omega}$. The RTE C' = $(a + b)^{\omega}$? C_2^{ω} : C corresponds to the ω -2DMT_{la} A' 72 73 74 75 in Figure 1; that is, $\llbracket C' \rrbracket = \llbracket \mathcal{A}' \rrbracket$. 76

Our main result is that two-way deterministic transducers and regular trans-77 ducer expressions are effectively equivalent, both for finite and infinite words. 78

Theorem 2. 79

(1) Given an RTE (resp. ω -RTE) we can effectively construct an equivalent 80 2DFT (resp. an ω - $2DMT_{la}$). 81

⁸² (2) Given a 2DFT (resp. an ω -2DMT_{la}) we can effectively construct an equiv-⁸³ alent RTE (resp. ω -RTE).

The construction of an RTE starting from a two-way deterministic transducer 84 \mathcal{A} is quite involved. It is based on the transition monoid $\mathsf{TrM}(\mathcal{A})$ of the transducer. 85 This is a classical notion for two-way transducers over finite words, but not 86 for two-way transducers with look-ahead on infinite words (to the best of our 87 knowledge). So we introduce the notion of transition monoid for ω -2DMT_{la}. We 88 handle the look-ahead with a backward deterministic Büchi automaton (BDBA), 89 also called *complete unambiguous* or *strongly unambiguous* Büchi automata 90 [10, 24]. The translation of \mathcal{A} to an RTE is crucially guided by a "good" (ω -91)regular expression induced by the transition monoid of \mathcal{A} . The good (ω -)regular 92 expression facilitates a uniform treatment of finite and infinite words. As a 93 remark, it is not a priori clear how the result of [5] extends to infinite words 94 using the techniques therein. 95

⁹⁶ A regular expression F over alphabet Σ is good wrt. a morphism φ from Σ^* ⁹⁷ to a monoid $(S, ., 1_S)$ if (i) it is unambiguous and (ii) for each subexpression ⁹⁸ E of F, the image of all strings in L(E) maps to a single monoid element s_E . ⁹⁹ Note that (ii) implies that for each subexpression E^+ of F, s_E is an idempotent. ¹⁰⁰ These good expressions are obtained thanks to an unambiguous version [21] ¹⁰¹ of the celebrated forest factorization theorem due to Imre Simon [23]. Good ¹⁰² rational expressions might be useful in settings beyond two-way transducers.

¹⁰³ See [16, Appendix A.2] for a practical example using transducers.

Related Work. We briefly discuss two recent papers which are closely related 104 to this paper. As mentioned above, we generalized the result of [5] from finite to 105 infinite words. Actually, [5] works with copyless cost register automata (CCRA) 106 over finite words. CCRA are generalizations of SSTs and compute a partial 107 function from finite words over a finite alphabet to values from a monoid $(\mathbb{D}, +, 0)$. 108 SSTs correspond to CCRAs where the output monoid is the free monoid ($\Gamma^*, \cdot, \varepsilon$) 109 for some finite output alphabet Γ . The combinators introduced in [5] form the 110 basis for a declarative language DReX [3] over finite words, which can express 111 all regular string-to-string transformations, and can also be efficiently evaluated. 112 The proof in [5] is rather simple in the case of commutative output monoids. 113 and quite non-trivial in the other case. The output generated in a CCRA is 114 stored in registers, and it is important to keep track of the flow of the content 115 between registers on each input word. To this end, [5] uses shapes, which are 116 bi-partite graphs over the set of registers. An edge from register X to register 117 Y in a shape implies that register X flows into register Y after reading the 118 input word. The expression representing $\llbracket A \rrbracket$ for a CCRA A is obtained by 119 "summarizing" sets of paths having some fixed shape S, and then combining 120 the summaries appropriately: this includes concatenation of shapes, as well 121 as iteration. While concatenation of shapes is easy, the iteration of shapes 122 is handled via a "normalization" which ensures that the iterated shapes are 123 idempotent. 124

Very recently, [6] proposed an alternative proof for the result of [5] over finite words. The proof of [6] has some similarities with the one we proposed in our extended abstract which appeared in [15]. Instead of using the transition monoid of a two-way automaton which fully describes how a word w acts on states (starting on the left/right of w in state p, the run exists on the left/right of w in state q), they define a flow automaton based on Shepherdson construction [22].



Figure 2: A 2DFT \mathcal{A} with $[\![\mathcal{A}]\!](ba^{m_1}ba^{m_2}b\dots a^{m_k}b) = a^{m_2}b^{m_1}a^{m_3}b^{m_2}\dots a^{m_k}b^{m_{k-1}}$.

Then, they use the state elimination technique of Brzozowski and McCluskey to obtain flows labelled with function expressions. Their technique for handling concatenation is similar to ours. The main difference is in the way loops are handled. We use the unambiguous version of Simon's theorem so that Kleeneplus only occurs on idempotents, whereas [6] defines *simple* loops for which they give a direct translation, and then shows how to reduce arbitrary loops to simple ones.

138 2. Finite Words

¹³⁹ We start with the definition of two-way automata and transducers for the ¹⁴⁰ case of finite words.

141 2.1. Two-way automata and transducers

Let Σ be a finite input alphabet and let \vdash, \dashv be two special symbols not 142 in Σ . We assume that every input string $w \in \Sigma^*$ is presented as $\vdash w \dashv$, where 143 \vdash, \dashv serve as left and right delimiters that appear nowhere else in w. We write 144 $\Sigma_{\vdash \dashv} = \Sigma \cup \{\vdash, \dashv\}$. A two-way automaton $\mathcal{A} = (Q, \Sigma, \delta, I, F)$ has a finite set of 145 states Q, subsets $I, F \subseteq Q$ of initial and final states and a transition relation 146 $\delta \subseteq Q \times \Sigma_{\vdash \dashv} \times Q \times \{-1, 1\}$. The -1 represents the reading head moving to the 147 left, while a 1 represents the reading head moving to the right. The reading 148 head cannot move left when it is on \vdash . See Figure 2 for an example. 149

A configuration of \mathcal{A} is represented by $w_1 q w_2$ where $q \in Q$ and $w_1 w_2 \in |\Sigma^*|$. 150 If $w_2 = \varepsilon$ the computation has come to an end. Otherwise, the reading head 151 of \mathcal{A} is scanning the first symbol of $w_2 \neq \varepsilon$ in state q. If $w_2 = aw'_2$ and if 152 $(q,a,q',-1)\in\delta$ (hence $a\neq\vdash),$ then there is a transition from the configuration 153 $w'_1 bqaw'_2$ to $w'_1 q'baw'_2$. Likewise, if $(q, a, q', 1) \in \delta$, we obtain a transition from 154 $w_1 qaw'_2$ to $w_1 aq'w'_2$. A run of \mathcal{A} is a sequence of transitions; it is accepting if 155 it starts in a configuration $p \vdash w \dashv$ with $p \in I$ and ends in a configuration $\vdash w \dashv q$ 156 with $q \in F$. The language $\mathcal{L}(\mathcal{A})$ or domain dom (\mathcal{A}) of \mathcal{A} is the set of all words 157 $w \in \Sigma^*$ which have an accepting run in \mathcal{A} . 158

To extend the definition of a two-way automaton \mathcal{A} into a two-way transducer, $(Q, \Sigma, \delta, I, F)$ is extended to $(Q, \Sigma, \Gamma, \delta, I, F)$ by adding a finite output alphabet Γ and the definition of the transition relation as a *finite* subset $\delta \subseteq Q \times \Sigma_{\vdash \dashv} \times Q \times$ $\Gamma^* \times \{-1, 1\}$. The output produced on each transition is appended to the right of the output produced so far. \mathcal{A} defines a relation $\llbracket \mathcal{A} \rrbracket = \{(u, w) \mid u \in \mathcal{L}(\mathcal{A})\}$ and w is the output produced on an accepting run of $u\}$. The transducer \mathcal{A} is said to be functional if for each input $u \in \mathsf{dom}(\mathcal{A})$, at most one output w can be produced. In this case, for each $u \in \mathsf{dom}(\mathcal{A})$, there is exactly one $w \in \Gamma^*$ such that $(u, w) \in \llbracket \mathcal{A} \rrbracket$. We also denote this by $\llbracket \mathcal{A} \rrbracket(u) = w$. We consider a special symbol $\bot \notin \Gamma$ that will stand for *undefined*. We let $\llbracket \mathcal{A} \rrbracket(u) = \bot$ when $u \notin \mathsf{dom}(\mathcal{A})$. Thus, the semantics of a functional transducer \mathcal{A} is a map $\llbracket \mathcal{A} \rrbracket: \Sigma^* \to \mathbb{D} = \Gamma^* \cup \{\bot\}$ such that $u \in \mathsf{dom}(\mathcal{A})$ iff $\llbracket \mathcal{A} \rrbracket(u) \neq \bot$.

We use non-deterministic unambiguous two-way transducers (2NUFT) in 171 some proofs. A two-way transducer is unambiguous if each string $u \in \Sigma^*$ has 172 at most one accepting run. Clearly, 2NUFTs are functional. A deterministic 173 two-way transducer (2DFT) is one having a single initial state and where, from 174 each state, on each symbol $a \in \Sigma_{\vdash \dashv}$, at most one transition is enabled. In that 175 case, the transition relation is a partial function $\delta: Q \times \Sigma_{\vdash \dashv} \to Q \times \Gamma^* \times \{-1, 1\}$. 176 2DFTs are by definition unambiguous. It is known [11] that 2DFTs are equivalent 177 to 2NUFTs. 178

A 1DFT (1NUFT) represents a deterministic (non-deterministic unambiguous) transducer where the reading head only moves to the right.

181 Example 3. Figure 2 shows a two-way transducer \mathcal{A} with dom $(\mathcal{A}) = (ba^*)^+ b$, 182 $\llbracket \mathcal{A} \rrbracket (ba^{m_1}b) = \varepsilon$ and $\llbracket \mathcal{A} \rrbracket (ba^{m_1}ba^{m_2}b\cdots a^{m_k}b) = a^{m_2}b^{m_1}a^{m_3} b^{m_2}\cdots a^{m_k}b^{m_{k-1}}$ 183 for $k \ge 2$ and $m_i \in \mathbb{N}$ for $1 \le i \le k$.

184 2.2. Regular Transducer Expressions

Let Σ and Γ be finite input and output alphabets. Recall that $\bot \notin \Gamma$ is a special symbol that stands for *undefined*. We define the output monoid as $\mathbb{D} = \Gamma^* \cup \{\bot\}$ with the usual concatenation on words, \bot acting as a zero: $d \cdot \bot = \bot \cdot d = \bot$ for all $d \in \mathbb{D}$. The unit is the empty word $\mathbf{1}_{\mathbb{D}} = \varepsilon$.

We define Regular Transducer Expressions (RTE) from Σ^* to \mathbb{D} using some basic combinators. The syntax of RTE is defined with the following grammar:

$$C ::= d \mid K ? C : C \mid C \odot C \mid C \boxdot C \mid C \overleftarrow{\boxdot} C \mid C^{\boxplus} \mid C^{\overleftarrow{\boxplus}} \mid [K, C]^{2\boxplus} \mid [K, C]^{2\boxplus}$$

where $d \in \mathbb{D}$ ranges over output values, and $K \subseteq \Sigma^*$ ranges over regular languages of *finite words*. The semantics of an RTE *C* is a function $\llbracket C \rrbracket \colon \Sigma^* \to \mathbb{D}$ defined inductively following the syntax of the expression, starting from constant functions. Since \bot stands for *undefined*, we define the *domain* of a function $f \colon \Sigma^* \to \mathbb{D}$ by $\operatorname{dom}(f) = f^{-1}(\mathbb{D} \setminus \{\bot\}) = \Sigma^* \setminus f^{-1}(\bot)$.

¹⁹⁴ **Constants.** For $d \in \mathbb{D}$, we let $\llbracket d \rrbracket$ be the constant map defined by $\llbracket d \rrbracket(w) = d$ ¹⁹⁵ for all $w \in \Sigma^*$.

We have $\mathsf{dom}(\llbracket d \rrbracket) = \Sigma^*$ if $d \neq \bot$ and $\mathsf{dom}(\llbracket \bot \rrbracket) = \emptyset$.

Each regular combinator defined above allows to combine functions from Σ^* to \mathbb{D} . For functions $f, g: \Sigma^* \to \mathbb{D}$, $w \in \Sigma^*$ and a regular language $K \subseteq \Sigma^*$, we define the following combinators.

If then else. (K?f:g)(w) is defined as f(w) for $w \in K$, and g(w) for $w \notin K$. We have $\mathsf{dom}(K?f:g) = (\mathsf{dom}(f) \cap K) \cup (\mathsf{dom}(g) \setminus K)$.

Hadamard product. $(f \odot g)(w) = f(w) \cdot g(w)$ (recall that $(\mathbb{D}, \cdot, \mathbf{1}_{\mathbb{D}})$ is a monoid).

We have $\operatorname{\mathsf{dom}}(f \odot g) = \operatorname{\mathsf{dom}}(f) \cap \operatorname{\mathsf{dom}}(g)$.

Unambiguous Cauchy product and its reverse. If w admits a unique fac-205 torization $w = u \cdot v$ with $u \in \mathsf{dom}(f)$ and $v \in \mathsf{dom}(g)$ then we set 206 $(f \boxdot g)(w) = f(u) \cdot g(v)$ and $(f \boxdot g)(w) = g(v) \cdot f(u)$. Otherwise, we 207 set $(f \boxdot g)(w) = \bot = (f \overleftarrow{\boxdot} g)(w).$ 208 We have $\operatorname{\mathsf{dom}}(f \boxdot g) = \operatorname{\mathsf{dom}}(f \overleftarrow{\boxdot} g) \subseteq \operatorname{\mathsf{dom}}(f) \cdot \operatorname{\mathsf{dom}}(g)$ and the inclusion is 209 strict if the concatenation of dom(f) and dom(g) is ambiguous. 210 **Unambiguous Kleene-plus and its reverse.** If w admits a unique factoriza-211 tion $w = u_1 \cdot u_2 \cdots u_n$ with $n \ge 1$ and $u_i \in \mathsf{dom}(f)$ for all $1 \le i \le n$ then 212 we set $f^{\boxplus}(w) = f(u_1) \cdot f(u_2) \cdots f(u_n)$ and $f^{\overleftarrow{\boxplus}}(w) = f(u_n) \cdots f(u_2) \cdot f(u_1)$. 213 Otherwise, we set $f^{\boxplus}(w) = \bot = f^{\overleftarrow{\boxplus}}(w)$. 214 We have $\operatorname{dom}(f^{\boxplus}) = \operatorname{dom}(f^{\textcircled{\boxplus}}) \subseteq \operatorname{dom}(f)^+$ and the inclusion is strict if the Kleene iteration $\operatorname{dom}(f)^+$ of $\operatorname{dom}(f)$ is ambiguous. Notice that 215 216 $\operatorname{\mathsf{dom}}(f^{\boxplus}) = \emptyset$ when $\varepsilon \in \operatorname{\mathsf{dom}}(f)$. 217 Unambiguous 2-chained Kleene-plus and its reverse. If w admits a uni-218 que factorization $w = u_1 \cdot u_2 \cdots u_n$ with $n \ge 1$ and $u_i \in K$ for all $1 \le n$ 219 $i \leq n$ then we set $[K, f]^{2\boxplus}(w) = f(u_1u_2) \cdot f(u_2u_3) \cdot \cdot \cdot f(u_{n-1}u_n)$ and 220 $[K, f]^{\stackrel{\frown}{2\boxplus}}(w) = f(u_{n-1}u_n)\cdots f(u_2u_3)\cdot f(u_1u_2)$ (if n = 1, the empty product 221 gives the unit of \mathbb{D} : $[K, f]^{2\boxplus}(w) = \mathbf{1}_{\mathbb{D}} = [K, f]^{\overline{2\boxplus}}(w)$. Otherwise, we set 222 $[K, f]^{2\boxplus}(w) = \bot = [K, f]^{\overleftarrow{2\boxplus}}(w).$ 223 Again, we have $\operatorname{\mathsf{dom}}([K, f]^{2\boxplus}) = \operatorname{\mathsf{dom}}([K, f]^{2\boxplus}) \subseteq K^+$ and the inclusion is strict if the Kleene iteration K^+ of K is ambiguous. Notice that, even if 224 225 $w \in K^+$ admits a unique factorization $w = u_1 \cdot u_2 \cdots u_n$ with $u_i \in K$ for all 226 $1 \leq i \leq n, w$ is not necessarily in the domain of $[K, f]^{2\boxplus}$ or $[K, f]^{\overleftarrow{2\boxplus}}$. For w to be in this domain, it is further required that $u_1u_2, u_2u_{3,\cdots}, u_{n-1}u_n \in \mathbb{C}$ 227 228 dom(f). Notice that we have dom($[K, f]^{2\boxplus}$) = dom($[K, f]^{\overline{2\boxplus}}$) = K^+ when 229 K^+ is unambiguous and $K^2 \subseteq \mathsf{dom}(f)$. 230

Lemma 4. The domain of an RTE C is a regular language dom $(C) \subseteq \Sigma^*$.

Example 5. Consider the RTEs

$$C_{1} = ([(a+b)^{+}\#]?\varepsilon:\bot)\Box((a+b)^{+}?\operatorname{copy}:\bot)$$

$$C_{2} = \#$$

$$C_{3} = ((a+b)^{+}?\operatorname{copy}:\bot)\Box([\#(a+b)^{+}]?\varepsilon:\bot)$$

²³² where $copy = (a?a:(b?b:\bot))^{\boxplus}$.

²³³ Then, dom($[C_2]$) = Σ^* , dom([copy]) = $(a + b)^+$ and dom($[C_1]$) = dom($[C_3]$) =

 $_{^{234}} (a+b)^+ \# (a+b)^+. \ Moreover, \ [\![C_1 \odot C_2 \odot C_3]\!] (u\#v) = v \# u \ for \ all \ u, v \in (a+b)^+.$

Example 6. Consider the RTEs

$$C_a = (b?\varepsilon:\bot) \boxdot (a?a:\bot)^{\boxplus}$$
$$C_b = (b?\varepsilon:\bot) \boxdot (a?b:\bot)^{\boxplus}$$

- We have dom($\llbracket C_a \rrbracket$) = ba^+ = dom($\llbracket C_b \rrbracket$) and $\llbracket C_a \rrbracket$ (ba^n) = a^n and $\llbracket C_b \rrbracket$ (ba^n) = b^n .
- We deduce that $\operatorname{dom}(\llbracket C_b \overleftarrow{:} C_a \rrbracket) = ba^+ ba^+$ and $\llbracket C_b \overleftarrow{:} C_a \rrbracket(ba^n ba^m) = a^m b^n$.

Consider the expression

$$C = [ba^+, C_b \overleftarrow{:} C_a]^{2\boxplus} \boxdot (b?\varepsilon:\bot).$$

²³⁷ Then, dom($[\![C]\!]$) = $(ba^+)^+b$, and $[\![C]\!](ba^mb) = \varepsilon$ and for $k \ge 2$ we have ²³⁸ $[\![C]\!](ba^{m_1}ba^{m_2}b\cdots a^{m_k}b) = a^{m_2}b^{m_1}a^{m_3}b^{m_2}\cdots a^{m_k}b^{m_{k-1}}.$

Theorem 7. 2DFTs and RTEs define the same class of functions. More pre cisely,

1. given an RTE C, we can construct a 2DFT \mathcal{A} such that $[\![\mathcal{A}]\!] = [\![C]\!]$,

242 2. given a 2DFT \mathcal{A} , we can construct an RTE C such that $[\![\mathcal{A}]\!] = [\![C]\!]$.

The proof of (1) is given in the next section, while the proof of (2) will be given in Section 2.6 after some preliminaries in Section 2.5 on transition monoids for 2DFTs and the unambiguous forest factorization theorem.

Remark 8. Notice that the reverse Cauchy product is redundant, it can be expressed with the Hadamard product and the Cauchy product:

 $f \overleftarrow{\boxdot} g = \left((\mathsf{dom}(f) \, ? \, \varepsilon : \bot) \boxdot g \right) \odot \left(f \boxdot (\mathsf{dom}(g) \, ? \, \varepsilon : \bot) \right).$

The unambiguous Kleene-plus is also redundant, it can be expressed with the unambiguous 2-chained Kleene-plus:

 $f^{\boxplus} = [\mathsf{dom}(f), f \boxdot (\mathsf{dom}(f) ? \varepsilon : \bot)]^{2\boxplus} \odot ((\mathsf{dom}(f)^* ? \varepsilon : \bot) \boxdot f).$

Remark 9. We can extend the 2-chained Kleene-plus to k-chained Kleene-246 plus for any $k \geq 3$. It is defined as follows: If w admits a unique factor-247 ization $w = u_1 u_2 \dots u_n$, with $n \ge 1$ and $u_i \in K$ for all $1 \le i \le n$, then 248 $[K, f]^{k \boxplus}(w) = f(u_1 u_2 \dots u_k) f(u_2 u_3 \dots u_{k+1}) \dots f(u_{n-k+1} u_{n-k+2} \dots u_n). \quad Oth-$ 249 erwise, we set $[K, f]^{k \boxplus}(w) = \bot$. Notice that if n < k, we have an empty product 250 which gives the unit of \mathbb{D} : $[K, f]^{k \boxplus}(w) = \mathbf{1}_{\mathbb{D}}$. In [16], we have shown that adding 251 the k-plus combinator (or its reverse) does not increase the expressive power of 252 RTEs. 253

Remark 10. The combinator expressions proposed in [5] are equivalent to our RTEs on finite words (see below). Our terminology and notation are all inspired from weighted automata literature. We prefer to stick to these classical notions since they are well-established and we believe they are more natural for string to string transducers.

The base function L/d in [5] maps all strings in language L to the constant d 259 and is undefined for strings not in L. This can be written using our if-then-else 260 $L?d: \perp$. The conditional choice combinator $f \triangleright g$ of [5] maps an input σ to 261 $f(\sigma)$ if it is in dom(f), and otherwise it maps it to $g(\sigma)$. This can be written 262 in our syntax as dom(f)? f: g. The split-sum combinator $f \oplus g$ of [5] is the 263 classical Cauchy product $f \boxdot g$. The iterated sum Σf of [5] is the Kleene-plus f^{\boxplus} . 264 The left-split-sum and left-iterated sum of [5] correspond to our reverse Cauchy 265 product $f \overleftarrow{\boxdot} g$ and reverse Kleene-plus $f^{\overleftarrow{\boxdot}}$. The sum f + g of two functions in 266 [5] is the classical Hadamard product $f \odot g$. Finally, the chained sum $\Sigma(f, L)$ of [5] is our two-chained Kleene-plus $[L, f]^{2\boxplus}$. 267 268

269 2.3. RTE to 2DFT

In this section, we prove Theorem 7(1), i.e., we show that given an RTE C_{271} we can construct a 2DFT \mathcal{A} such that $\llbracket \mathcal{A} \rrbracket = \llbracket C \rrbracket$. We do this by structural induction on RTEs, starting with constant functions, and then later showing that 2DFTs are closed under all the combinators used in RTEs.

Constant functions: We start with the constant function $d \in \mathbb{D}$ for which it is easy to construct a 2DFT \mathcal{A} such that $\llbracket d \rrbracket = \llbracket \mathcal{A} \rrbracket$. For $d = \bot$, we take \mathcal{A} such that dom(\mathcal{A}) = \emptyset (for instance we use a single state and an empty transition function). Assume now that $d \in \Gamma^*$. The 2DFT scans the word up to the right end marker, outputs d and stops. Formally, we let $\mathcal{A} = (\{q\}, \Sigma, \Gamma, \delta, q, \{q\})$ s.t. $\delta(q, a) = (q, \varepsilon, +1)$ for all $a \in \Sigma \cup \{\vdash\}$ and $\delta(q, \dashv) = (q, d, +1)$. Clearly, $\llbracket \mathcal{A} \rrbracket(w) = d$ for all $w \in \Sigma^*$.

²⁸¹ The inductive steps follow directly from:

Lemma 11. Let $K \subseteq \Sigma^*$ be regular, and let f and g be RTEs with $\llbracket f \rrbracket = \llbracket M_f \rrbracket$ and $\llbracket g \rrbracket = \llbracket M_g \rrbracket$ for 2DFTs M_f and M_g respectively. Then, one can construct

- 1. a 2DFT \mathcal{A} such that $\llbracket K?f:g\rrbracket = \llbracket \mathcal{A}\rrbracket$.
- 285 2. a 2DFT \mathcal{A} such that $\llbracket \mathcal{A} \rrbracket = \llbracket f \odot g \rrbracket$.

286 3. 2DFTs \mathcal{A} , \mathcal{B} such that $\llbracket \mathcal{A} \rrbracket = \llbracket f \boxdot g \rrbracket$ and $\llbracket \mathcal{B} \rrbracket = \llbracket f \boxdot g \rrbracket$.

287 4. 2DFTs \mathcal{A} , \mathcal{B} such that $\llbracket \mathcal{A} \rrbracket = \llbracket f^{\boxplus} \rrbracket$ and $\llbracket \mathcal{B} \rrbracket = \llbracket f^{\overleftarrow{\boxplus}} \rrbracket$.

288 5. 2DFTs \mathcal{A} , \mathcal{B} such that $\llbracket \mathcal{A} \rrbracket = \llbracket [K, f]^{2 \boxplus} \rrbracket$ and $\llbracket \mathcal{B} \rrbracket = \llbracket [K, f]^{2 \boxplus} \rrbracket$.

Proof. (1) If then else. Let \mathcal{B} be a complete DFA that accepts the regular 289 language K. The idea of the proof is to construct a 2DFT \mathcal{A} which first runs \mathcal{B} 290 on the input w until the end marker \dashv is reached in some state q of \mathcal{B} . Then, 291 $w \in K$ iff $q \in F$ is some accepting state of \mathcal{B} . The automaton \mathcal{A} moves left all 292 the way to \vdash , and starts running either M_f or M_q depending on whether $q \in F$ 293 or not. Since \mathcal{B} is complete, it is clear that $dom(\mathcal{A}) = dom(K?f:g)$ and the 294 output of \mathcal{A} coincides with $[M_f]$ iff the input is in K, and otherwise coincides 295 with $[M_q]$ 296

(2) Hadamard product. Given an input w, the constructed 2DFT \mathcal{A} first runs M_f . Instead of executing a transition $p \xrightarrow{-1/\gamma, +1} q$ with q a final state of M_f , it executes $p \xrightarrow{-1/\gamma, -1}$ reset where reset is a new state. While in the reset state, it moves all the way back to \vdash and it starts running M_g by executing reset $\xrightarrow{\vdash/\gamma', +1} q'$ if $\delta_g(q_0, \vdash) = (q', \gamma', +1)$ where δ_g is the transition function of M_g and q_0 is the initial state of M_g . The final states of \mathcal{A} are those of M_g , and its initial state is the initial state of M_f . Clearly, dom $(\mathcal{A}) = \text{dom}(M_f) \cap \text{dom}(M_g)$ and the output of \mathcal{A} is the concatenation of the outputs of M_f and M_g .

(3) **Cauchy product.** The domain of a 2DFT is a regular language, accepted by the 2DFA obtained by ignoring the outputs. Since 2DFAs are effectively equivalent to (1)DFAs, we can construct from M_f and M_g two DFAs $C_f =$ $(Q_f, \Sigma, \delta_f, s_f, F_f)$ and $C_g = (Q_g, \Sigma, \delta_g, s_g, F_g)$ such that $\mathcal{L}(C_f) = \operatorname{dom}(f)$ and $\mathcal{L}(C_g) = \operatorname{dom}(g)$.

Now, the set K of words w having at least two factorizations $w = u_1v_1 = u_2v_2$ with $u_1, u_2 \in \mathsf{dom}(f), v_1, v_2 \in \mathsf{dom}(g)$ and $u_1 \neq u_2$ is also regular. This is easy since K can be written as $K = \bigcup_{p \in F_f, q \in Q_g} L_p \cdot M_{p,q} \cdot R_q$ where • L_p is the set of words which admit a run in C_f from its initial state to the final state $p \in F_f$,

• $M_{p,q}$ is the set of words which admit a run in C_f from state p to some final state in F_f , and also admit a run in C_g from its initial state to state $q \in Q_g$,

• R_q is the set of words which admit a run in C_g from state q to some final state in F_g , and also admit a run in C_g from its initial state to some final state in F_q .

Therefore, we have dom $(f \boxdot g) = \text{dom}(f \boxdot g) = (\text{dom}(f) \cdot \text{dom}(g)) \setminus K$ is a regular language and we construct a complete DFA $\mathcal{C} = (Q, \Sigma, \delta, q_0, F)$ which accepts this language.

1. From $\mathcal{C}_f, \mathcal{C}_g$ and \mathcal{C} we construct a 1NUFT \mathcal{D} such that $\mathsf{dom}(\mathcal{D}) = \mathsf{dom}(f \boxdot g)$ 324 and on an input word $w = u \cdot v$ with $u \in \mathsf{dom}(f)$ and $v \in \mathsf{dom}(g)$ it produces 325 the output u # v where $\# \notin \Sigma$ is a new symbol. On an input word $w \in \Sigma^*$, 326 the transducer \mathcal{D} runs a copy of \mathcal{C} . Simultaneously, \mathcal{D} runs a copy of \mathcal{C}_f on 327 some prefix u of w, copying each input letter to the output. Whenever \mathcal{C}_f is 328 in a final state after reading u, the transducer \mathcal{D} may non-deterministically 329 decide to stop running C_f , to output #, and to start running C_g on the 330 corresponding suffix v of w ($w = u \cdot v$) while copying again each input 331 letter to the output. The transducer \mathcal{D} accepts if \mathcal{C} accepts w and \mathcal{C}_q 332 accepts v. Then, we have $u \in \mathcal{L}(\mathcal{C}_f) = \mathsf{dom}(f), v \in \mathcal{L}(\mathcal{C}_g) = \mathsf{dom}(g)$ and 333 $w = u \cdot v \in \mathcal{L}(\mathcal{C}) = \mathsf{dom}(f \boxdot g)$. The output produced by \mathcal{D} is u # v. The 334 only non-deterministic choice in an accepting run of \mathcal{D} is unambiguous 335 since a word $w \in \mathcal{L}(\mathcal{C}) = \mathsf{dom}(f \boxdot g)$ has a unique factorization $w = u \cdot v$ 336 with $u \in \mathsf{dom}(f)$ and $v \in \mathsf{dom}(g)$. 337

2. We construct a 2DFT \mathcal{T} which takes as input words of the form u # v with 338 $u, v \in \Sigma^*$, runs M_f on u and then M_g on v. To do so, u is traversed in 339 either direction depending on M_f , and the symbol # is interpreted as 340 the right end marker \dashv . We explain how \mathcal{T} simulates a transition of M_f 341 moving to the right of \dashv , producing some output γ and going to a state q. 342 If q is not final, then \mathcal{T} moves to the right of # and then all the way to 343 the end and rejects. If q is final, then \mathcal{T} stays on # (simulated by moving 344 right and then back left), producing the output γ , but goes to the initial 345 state of M_g instead. \mathcal{T} then runs M_g on v, interpreting # as \vdash . When M_g 346 moves to the right of \dashv , \mathcal{T} does the same and accepts iff M_g accepts. 347

3. In a similar manner, we construct a 2DFT \mathcal{T}' which takes as input strings 348 of the form u # v, first runs M_q on v and then runs M_f on u. Assume that 349 M_q wants to move to the right of \dashv going to state q. If q is not final then 350 \mathcal{T}' also moves to the right of \dashv and rejects. Otherwise, \mathcal{T}' traverses back to 351 \vdash and runs M_f on u. When M_f wants to move to the right of # going to 352 some state q and producing γ , \mathcal{T}' moves also to the right of # producing 353 γ and then all the way right producing ε . After moving to the right of \dashv , 354 it accepts if q is a final state of M_f and rejects otherwise. 355

We construct a 2NUFT \mathcal{A}' as the composition of \mathcal{D} and \mathcal{T} . The composition of a 1NUFT and a 2DFT is a 2NUFT [11], hence \mathcal{A}' is a 2NUFT. Moreover,

10

³⁵⁸ $\llbracket \mathcal{A}' \rrbracket = \llbracket f \boxdot g \rrbracket$. Using the equivalence of 2NUFT and 2DFT, we can convert \mathcal{A}' ³⁵⁹ into an equivalent 2DFT \mathcal{A} . In a similar way, to obtain $\llbracket f \boxdot g \rrbracket$, the 2NUFT \mathcal{B}' ³⁶⁰ is obtained as a composition of \mathcal{D} and \mathcal{T}' and is then converted to an equivalent ³⁶¹ 2DFT \mathcal{B} .

(4) Kleene-plus. The proof is similar to case (3). First, we show that dom(f^{\boxplus}) 362 is regular. Notice that if $\varepsilon \in \mathsf{dom}(f)$ then $\mathsf{dom}(f^{\boxplus}) = \emptyset$, hence we assume below 363 that $\varepsilon \notin \mathsf{dom}(f)$. As in case (3), the language K of words w having at least 364 two factorizations $w = u_1v_1 = u_2v_2$ with $u_1, u_2 \in \mathsf{dom}(f), v_1, v_2 \in \mathsf{dom}(f)^*$ and 365 $u_1 \neq u_2$ is regular. Hence, $K' = \operatorname{dom}(f)^* \cdot K$ is regular and contains all words 366 in $dom(f)^+$ having several factorizations as products of words in dom(f). We 367 deduce that $\operatorname{\mathsf{dom}}(f^{\boxplus}) = \operatorname{\mathsf{dom}}(f)^+ \setminus K'$ is regular and we can construct a complete 368 DFA C recognizing this domain. 369

As in case (3), from \mathcal{C}_f and \mathcal{C} , we construct a 1NUFT \mathcal{D} which takes as input 370 w and outputs $u_1 \# u_2 \# \cdots \# u_n$ iff there is an unambiguous decomposition of 371 w as $u_1u_2\cdots u_n$, with each $u_i \in \mathsf{dom}(f)$. We then construct a 2DFT \mathcal{T} that 372 takes as input words of the form $u_1 \# u_2 \# \cdots \# u_n$ with each $u_i \in \Sigma^*$ and runs 373 M_f on each u_i from left to right, i.e., starting with u_1 and ending with u_n . The 374 transducer \mathcal{T} interprets # as \vdash (resp. \dashv) when it is reached from the right (resp. 375 left). The simulation by \mathcal{T} reading # of a transition of M_f moving to the right 376 of \dashv is as in case (3), except that \mathcal{T} goes to the initial state of M_f . 377

The 2NUFT \mathcal{A}' is then obtained as the composition of \mathcal{D} with the 2DFT \mathcal{T} . Finally, a 2DFT \mathcal{A} equivalent to the 2NUFT \mathcal{A}' is constructed. Likewise, \mathcal{B} is obtained using the composition of \mathcal{D} with a 2DFT \mathcal{T}' that runs M_f on each factor u_i from right to left.

(5) **2-chained Kleene-plus.** As in case (4), we construct the 1NUFT \mathcal{D} which 382 takes as input w and outputs $u_1 # u_2 # \cdots # u_n$ iff there is an unambiguous 383 decomposition of w as $u_1u_2\cdots u_n$, with each $u_i \in K$. We then construct a 2DFT 384 \mathcal{D}' that takes as input words of the form $u_1 \# u_2 \# \cdots \# u_n$ with each $u_i \in \Sigma^*$ and 385 produces $u_1u_2 \# u_2u_3 \# \cdots \# u_{n-1}u_n$. The 2NUFT \mathcal{A}' is then obtained as the 386 composition of \mathcal{D}' with the 2DFT \mathcal{T} constructed for case (4). Finally, a 2DFT \mathcal{A} 387 equivalent to the 2NUFT \mathcal{A}' is constructed. The output produced by \mathcal{A} is thus 388 $\llbracket M_f \rrbracket (u_1 u_2) \cdot \llbracket M_f \rrbracket (u_2 u_3) \cdots \llbracket M_f \rrbracket (u_{n-1} u_n)$. We proceed similarly for \mathcal{B} . 389

390 2.4. Unambiguous forest factorization

In Section 2.6, we prove that, given a 2DFT \mathcal{A} , we can obtain an RTE Csuch that $\llbracket \mathcal{A} \rrbracket = \llbracket C \rrbracket$. We use the fact that any $w \in \Sigma^*$ in the domain of \mathcal{A} can be factorized unambiguously into a good rational expression. The unambiguous factorization of words in Σ^* guides the construction of the combinator expression for $\llbracket \mathcal{A} \rrbracket(w)$ over Γ in an inductive way.

For rational expressions over Σ we will use the following syntax:

$$F ::= \emptyset \mid \varepsilon \mid a \mid F \cup F \mid F \cdot F \mid F^+$$

where $a \in \Sigma$. For reasons that will be clear below, we prefer to use the Kleeneplus instead of the Kleene-star, hence we also add ε explicitly in the syntax. An expression is said to be ε -free if it does not use ε .

Let $(S, \cdot, \mathbf{1}_S)$ be a *finite* monoid and $\varphi \colon \Sigma^* \to S$ be a morphism. We say that a rational expression F is φ -good (or simply good when φ is clear from the context) when 402 1. the rational expression F is unambiguous,

⁴⁰³ 2. for each subexpression E of F we have $\varphi(\mathcal{L}(E)) = \{s_E\}$ is a singleton set. ⁴⁰⁴ Notice that \emptyset cannot be used in a good expression since it does not satisfy the ⁴⁰⁵ second condition. Also, the second condition implies that for each subexpression ⁴⁰⁶ E^+ of F we have $s_E \cdot s_E = s_E$ is an idempotent.

⁴⁰⁷ **Theorem 12** (Unambiguous Forest Factorization [21]). For each $s \in S$, there ⁴⁰⁸ is an ε -free good rational expression F_s such that $\mathcal{L}(F_s) = \varphi^{-1}(s) \setminus \{\varepsilon\} \subseteq \Sigma^+$. ⁴⁰⁹ Therefore, $G = \varepsilon \cup \bigcup_{s \in S} F_s$ is an unambiguous rational expression over Σ such ⁴¹⁰ that $\mathcal{L}(G) = \Sigma^*$.

Theorem 12 can be seen as an unambiguous version of Imre Simon's forest 411 factorization theorem [23]. Its proof, which can be found in [21], follows the 412 same lines of the recent proofs of Simon's theorem, see e.g. [12, 13]. For the 413 sake of completeness, we summarize the proof idea and contributions in [21] 414 here. Given a semigroup morphism $\varphi \colon \Sigma^+ \to S$, [21] constructs a universal, 415 unambiguous automaton \mathcal{A} , which is "good" wrt φ in the following sense: (1) 416 \mathcal{A} is unambiguous and accepts all words in $\Sigma^* \cup \Sigma^{\omega}$, (2) \mathcal{A} has a unique initial 417 state i which has no incoming transitions to it, as well as a unique final state f418 419 with no outgoing transitions from it, (3) the states of \mathcal{A} are totally ordered as $Q \setminus \{i, f\} < f < i$, where Q is the set of states of \mathcal{A} , (4) for each state q, the set 420 of words that have a run originating at q and ending at q, visiting only states 421 lower than q in the ordering are mapped to a unique idempotent $e_q \in S$. These 422 properties of \mathcal{A} ensure that, for any word $w \in \Sigma^* \cup \Sigma^\omega$, the unique accepting run 423 of w produces a Ramsey split in the sense of [13], with the height of the split 424 being bounded by the number of states of \mathcal{A} . The construction of \mathcal{A} proceeds 425 according to the local divisor technique, which uses a lexicographic induction on 426 $(|S|, |\varphi(\Sigma)|)$. While the base cases (i) when S is a group, and (ii) $|\varphi(\Sigma)| = 1$ are 427 easy, the inductive cases are non trivial. The inductive cases follow by identifying 428 an element $c \in S$ for which $Sc \subsetneq S$ or $cS \subsetneq S$, and the details are in [21]. 429

The forest factorization theorem can be derived easily from the construction 430 of \mathcal{A} as follows : consider a morphism $\varphi: \Sigma^+ \to S$, and define a monotone 431 bijection $h: (Q, <) \rightarrow (\{1, 2, \dots, |Q|\}, <)$. For any word $w = a_1 a_2 \cdots \in \Sigma^{\infty}$, 432 consider the unique accepting run $q_0 \xrightarrow{a_1} q_1 \xrightarrow{a_2} \dots$ of w in \mathcal{A} . Define a split σ of w as $\sigma(i) = h(q_i)$ for all positions $i \ge 0$ in w. Two positions i < j are σ -equivalent 433 434 iff $q_i = q_j$ and $q_k \leq q_i$ for all $i \leq k \leq j$. We obtain this way, $w(i, j] = a_{i+1} \dots a_j$ 435 as a word whose run originates and ends in q_i , while visiting only states whose 436 orderings are lower. Thus, $\varphi(w(i, j]) = e_{q_i}$ is the unique idempotent associated 437 to q_i , resulting in σ being a Ramsey split. Thus, we obtain a Ramsey split using 438 the construction of \mathcal{A} , s.t. the height of the factorization tree is bounded by the 439 number of states of \mathcal{A} . 440

The second implication arising from the construction of \mathcal{A} is that we obtain the good expressions used in this paper, by a state elimination of \mathcal{A} , using the ordering on its states.

In the rest of the section, we assume Theorem 12, and use it in obtaining an RTE corresponding to \mathcal{A} . For the purposes of this paper, we work with the transition monoid of the two-way transducer.

447 2.5. Transition monoid of 2NFAs

Consider a two-way possibly non-deterministic automaton (2NFA) \mathcal{A} . Let TrM be the transition monoid of \mathcal{A} which is obtained by quotienting the free ⁴⁵⁰ monoid $(\Sigma^*, \cdot, \varepsilon)$ by a congruence which equates words behaving alike in the ⁴⁵¹ underlying automaton. Transition monoids for two way automata were defined ⁴⁵² in [9] for finite words and later extended to infinite words [17]. We recall the ⁴⁵³ definition.

In a one way automaton, the canonical morphism $\mathsf{Tr} \colon \Sigma^* \to \mathsf{Tr}\mathsf{M}$ is such that 454 Tr(w) consists of the set of pairs (p,q) such that there is a run from state p 455 to state q reading w. In the case of two-way automaton, we also consider the 456 starting side (left/right) and ending side (left/right) of the reading head while 457 going from state p to q. This is represented with a *direction* d amongst "left-left" 458 (\supset) , "left-right" (\rightarrow) , "right-left" (\leftarrow) and "right-right" (ς) . Hence, an element of 459 TrM is a set X of tuples (p, d, q) with $p, q \in Q$ states of A and $d \in \{\rightarrow, \geqslant, \varsigma, \leftarrow\}$. 460 The canonical morphism $\mathsf{Tr}: \Sigma^* \to \mathsf{Tr}\mathsf{M}$ is such that $\mathsf{Tr}(w)$ is the set of triples 461 (p, d, q) which are compatible with w. For instance, $(p, \rightarrow, q) \in \mathsf{Tr}(w)$ iff \mathcal{A} has a 462 run starting in state p on the left most symbol of w and which exits w on its 463 right and in state q. Likewise, $(p, \varsigma, q) \in \mathsf{Tr}(w)$ iff \mathcal{A} has a run starting in state 464 p on the right most symbol of w and which exits w on its right and in state q. 465 The explanation is similar for other directions. 466



Figure 3: Illustrations of subset of Tr(abb)

Consider the 2DFT \mathcal{A} of Figure 2 and its underlying input 2DFA \mathcal{B} . The run for word *babbabb* starting from state q_0 is shown in Figure 3. In the transition monoid of \mathcal{B} , we have

$$\mathsf{Tr}(abb) = \{(q_0, \varsigma, q_1), (q_1, \Diamond, q_5), (q_1, \varsigma, q_2), (q_2, \Diamond, q_4), (q_2, \leftarrow, q_5), (q_3, \Diamond, q_4), (q_3, \leftarrow, q_5), (q_4, \Diamond, q_4), (q_4, \varsigma, q_1), (q_5, \Diamond, q_5), (q_5, \varsigma, q_6), (q_6, \rightarrow, q_2), (q_6, \varsigma, q_1)\}.$$

⁴⁶⁷ Some of these triples are highlighted in Figure 3.

It is well-known that TrM is a monoid and that Tr is a morphism, see for instance [7]. The left-right and right-right relations were already used by Shepherdson to prove the equivalence between two-way and one-way automata [22]. These relations define a right-congruence. We obtain a congruence by considering also the right-left and left-left relations. The quotient of the free monoid by this congruence is the transition monoid of the 2NFA.

Let $(p, d, q) \in \operatorname{Tr}(w)$. If $w = a \in \Sigma$, then we know that reading a in state p, \mathcal{A} may move in direction d and enter state q. If $w = w_1 \cdot w_2$ for $w_1, w_2 \in \Sigma^+$, then we can possibly decompose (p, d, q) into several "steps" depending on the behaviour of \mathcal{A} on w starting in state p. As an example, see Figure 4, where we decompose $(p, \rightarrow, q) \in \mathsf{Tr}(w)$. We show only those elements of $\mathsf{Tr}(w_1)$ and $\mathsf{Tr}(w_2)$ which help in the decomposition; the pictorial depiction is visually intuitive.



Figure 4: The first and second pictures are illustrations of subsets of $\operatorname{Tr}(w_1)$ and $\operatorname{Tr}(w_2)$ respectively. $(p, \rightarrow, q_1), (q_2, \varsigma, q_3), (q_4, \varsigma, q_5) \in \operatorname{Tr}(w_1)$ while $(q_1, \supsetneq, q_2), (q_3, \supsetneq, q_4), (q_5, \rightarrow, q) \in \operatorname{Tr}(w_2)$. The third picture shows that $(p, \rightarrow, q) \in \operatorname{Tr}(w_1 \cdot w_2)$: (p, \rightarrow, q) consists of "steps" $(p, \rightarrow, q_1), (q_1, \supsetneq, q_2), (q_2, \varsigma, q_3), (q_3, \supsetneq, q_4), (q_4, \varsigma, q_5), (q_5, \rightarrow, q)$ alternately from $\operatorname{Tr}(w_1)$ and $\operatorname{Tr}(w_2)$.

480 Example 13. Let $\Sigma = \{a, b\}$ and let A be the following 1DFT:



481

Let TrM be the transition monoid of \mathcal{A} and let Tr: $\Sigma^* \to \text{TrM}$ be the canonical 482 morphism. The expression $F = a^+(ba)^+$ is not Tr-good: one of the reasons 483 why F is not Tr-good is that the subexpression a^+ is such that Tr(a) is not an 484 idempotent since $\operatorname{Tr}(a) = \{(q_1, q_2), (q_2, q_1)\}$ and $\operatorname{Tr}(a^2) = \{(q_1, q_1), (q_2, q_2)\}$, thus 485 $Tr(a^2) \neq Tr(a)$. We have omitted the direction in the tuples as the underlying 486 automaton is one way. Similarly, the subexpression $(ba)^+$ is also not Tr-good. 487 The expression $F' = aba \cup aaba \cup a(aa)^+ba \cup a(baba)^+ \cup a(aa)^+(baba)^+$ is not 488 Tr-good, even though each of the expressions $aba, aaba, a(aa)^+ba, a(baba)^+$ and 489 $a(aa)^+(baba)^+$ are Tr-good. F' is not Tr-good since $Tr(\mathcal{L}(F'))$ is not a singleton. 490 The expression $F'' = aba \cup (aa)^+ \cup a(aa)^+ ba$ is Tr-good. 491

492 2.6. 2DFT to RTE

In Appendix A, we give a practical example showing how to compute an RTE equivalent to the transducer \mathcal{A} of Figure 2.

⁴⁹⁵ Consider a deterministic and complete two-way transducer \mathcal{A} . Let TrM ⁴⁹⁶ be the transition monoid of the underlying input automaton. We can apply ⁴⁹⁷ the unambiguous factorization theorem to the morphism Tr: $\Sigma^* \to \text{TrM}$ in ⁴⁹⁸ order to obtain, for each $s \in \text{TrM}$, an ε -free good rational expression F_s for ⁴⁹⁹ Tr⁻¹(s) \ { ε }. We use the unambiguous expression $G = \varepsilon \cup \bigcup_{s \in \text{TrM}} F_s$ as a guide ⁵⁰⁰ when constructing RTEs corresponding to the 2DFT \mathcal{A} .

Lemma 14. Let F be an ε -free Tr-good rational expression and let $\text{Tr}(F) = s_F$ be the corresponding element of the transition monoid TrM of \mathcal{A} . We can construct a map $C_F : s_F \to \mathsf{RTE}$ such that for each step $x = (p, d, q) \in s_F$ the following invariants hold:

- 505 $(\mathsf{I}_1) \operatorname{\mathsf{dom}}(C_F(x)) = \mathcal{L}(F),$
- (12) for each $u \in \mathcal{L}(F)$, $[\![C_F(x)]\!](u)$ is the output produced by \mathcal{A} when running step x on u (i.e., running \mathcal{A} on u from p to q following direction d).

⁵⁰⁸ *Proof.* The proof is by structural induction on the rational expression. For each ⁵⁰⁹ subexpression E of F we let $\text{Tr}(E) = s_E$ be the corresponding element of the ⁵¹⁰ transition monoid TrM of \mathcal{A} . We start with atomic regular expressions. Since F⁵¹¹ is ε -free and \emptyset -free, we do not need to consider $E = \varepsilon$ or $E = \emptyset$.

atomic Assume that $E = a \in \Sigma$ is an atomic subexpression. Since the 2DFT \mathcal{A} is deterministic and complete, for each state $p \in Q$ we have

- either $\delta(p,a) = (q,\gamma,1)$ and we let $C_a((p,\rightarrow,q)) = C_a((p,\varsigma,q)) = a?\gamma: \bot$,
- 515 516

514

517

• or $\delta(p, a) = (q, \gamma, -1)$ and we let $C_a((p, \supseteq, q)) = C_a((p, \leftarrow, q)) = a ? \gamma : \bot$.

Clearly, invariants (I_1) and (I_2) hold for all $x \in Tr(a) = s_E$.

union Assume that $E = E_1 \cup E_2$. Since the expression is good, we deduce that $s_E = s_{E_1} = s_{E_2}$. For each $x \in s_E$ we define $C_E(x) = E_1 ? C_{E_1}(x) : C_{E_2}(x)$. Since E is unambiguous we have $\mathcal{L}(E_1) \cap \mathcal{L}(E_2) = \emptyset$. Using (I_1) for E_1 and E_2 , we deduce that

$$dom(C_E(x)) = (\mathcal{L}(E_1) \cap dom(C_{E_1}(x))) \cup (dom(C_{E_2}(x)) \setminus \mathcal{L}(E_1))$$

= $\mathcal{L}(E_1) \cup \mathcal{L}(E_2) = \mathcal{L}(E)$.

Therefore, (I_1) holds for E. Now, for each $u \in \mathcal{L}(E)$, either $u \in \mathcal{L}(E_1)$ and $[C_E(x)](u) = [C_{E_1}(x)](u)$ or $u \in \mathcal{L}(E_2)$ and $[C_E(x)](u) = [C_{E_2}(x)](u)$. In both cases, applying (I_2) for E_1 or E_2 , we deduce that $[C_E(x)](u)$ is the output produced by \mathcal{A} when running step x on u.

concatenation Assume that $E = E_1 \cdot E_2$ is a concatenation. Since the expression is good, we deduce that $s_E = s_{E_1} \cdot s_{E_2}$. Let $x \in s_E$.

> • If $x = (p, \rightarrow, q)$ then, by definition of the product in the transition monoid TrM, there is a unique sequence of steps $x_1 = (p, \rightarrow$ $,q_1), x_2 = (q_1, \supset, q_2), x_3 = (q_2, \subset, q_3), x_4 = (q_3, \supset, q_4), \ldots, x_i =$ $(q_{i-1}, \subset, q_i), x_{i+1} = (q_i, \rightarrow, q)$ with $i \ge 1, x_1, x_3, \ldots, x_i \in s_{E_1}$ and $x_2, x_4, \ldots, x_{i+1} \in s_{E_2}$ (see Figure 4). We define

$$C_{E}(x) = (C_{E_{1}}(x_{1}) \boxdot C_{E_{2}}(x_{2})) \odot (C_{E_{1}}(x_{3}) \boxdot C_{E_{2}}(x_{4})) \odot \cdots \odot (C_{E_{1}}(x_{i}) \boxdot C_{E_{2}}(x_{i+1})).$$

Notice that when i = 1 we simply have $C_E(x) = C_{E_1}(x_1) \boxdot C_{E_2}(x_2)$ with $x_2 = (q_1, \rightarrow, q)$.

The concatenation $\mathcal{L}(E) = \mathcal{L}(E_1) \cdot \mathcal{L}(E_2)$ is unambiguous. Therefore, for all $y \in s_{E_1}$ and $z \in s_{E_2}$, using (I_1) for E_1 and E_2 , we obtain $\mathsf{dom}(C_{E_1}(y) \boxdot C_{E_2}(z)) = \mathcal{L}(E)$. We deduce that $\mathsf{dom}(C_E(x)) = \mathcal{L}(E)$ and (I_1) holds for E.

Now, let $u \in \mathcal{L}(E)$ and let $u = u_1 u_2$ be its unique factorization 531 with $u_1 \in \mathcal{L}(E_1)$ and $u_2 \in \mathcal{L}(E_2)$. The step $x = (p, \rightarrow, q)$ per-532 formed by \mathcal{A} on u is actually the concatenation of steps x_1 on 533 u_1 , followed by x_2 on u_2 , followed by x_3 on u_1 , followed by x_4 534 on u_2, \ldots , until x_{i+1} on u_2 . Using (I_2) for E_1 and E_2 , we de-535 duce that the output produced by \mathcal{A} while running step x on u is 536 $\llbracket C_{E_1}(x_1) \rrbracket (u_1) \cdot \llbracket C_{E_2}(x_2) \rrbracket (u_2) \cdots \llbracket C_{E_1}(x_i) \rrbracket (u_1) \cdot \llbracket C_{E_2}(x_{i+1}) \rrbracket (u_2) =$ 537 $\llbracket C_E(x) \rrbracket(u).$ 538

If x = (p, ⊃, q) then, following the definition of the product in the transition monoid TrM, we distinguish two cases.

Either $x \in s_{E_1}$ and we let $C_E(x) = C_{E_1}(x) \boxdot (E_2 ? \varepsilon : \bot)$. Since dom $(E_2 ? \varepsilon : \bot) = \mathcal{L}(E_2)$, we deduce as above that dom $(C_E(x)) =$ $\mathcal{L}(E)$. Moreover, let $u \in \mathcal{L}(E)$ and $u = u_1 u_2$ be its unique factorization with $u_1 \in \mathcal{L}(E_1)$ and $u_2 \in \mathcal{L}(E_2)$. The step $x = (p, \supseteq, q)$ performed by \mathcal{A} on u reduces to the step x on u_1 . Using (l₂) for E_1 , we deduce that the output produced by \mathcal{A} while making step x on uis $[C_{E_1}(x)][(u_1) = [C_E(x)]](u)$.



Figure 5: Let $w = w_1 \cdot w_2 \in \mathcal{L}(E)$ with $w_1 \in \mathcal{L}(E_1)$, $w_2 \in \mathcal{L}(E_2)$. We have $(p, \rightarrow, q_1), (q_2, \subsetneq, q_3), (q_4, \leftarrow, q) \in \mathsf{Tr}(w_1)$ and $(q_1, \supsetneq, q_2), (q_3, \supsetneq, q_4) \in \mathsf{Tr}(w_2)$. Then (p, \supsetneq, q) is composed of "steps" $(p, \rightarrow, q_1), (q_1, \supsetneq, q_2), (q_2, \subsetneq, q_3), (q_3, \supsetneq, q_4), (q_4, \leftarrow, q)$ alternately from $\mathsf{Tr}(w_1)$ and $\mathsf{Tr}(w_2)$.

Or there is a unique sequence of steps (see Figure 5) $x_1 = (p, \rightarrow, q_1)$, $x_2 = (q_1, \supset, q_2), x_3 = (q_2, \subsetneq, q_3), x_4 = (q_3, \supset, q_4), \dots, x_i = (q_{i-1}, \leftarrow, q)$ with $i \ge 3, x_1, x_3, \dots, x_i \in s_{E_1}$ and $x_2, x_4, \dots, x_{i-1} \in s_{E_2}$. We define

$$C_E(x) = (C_{E_1}(x_1) \boxdot C_{E_2}(x_2)) \odot (C_{E_1}(x_3) \boxdot C_{E_2}(x_4)) \odot \cdots \odot (C_{E_1}(x_i) \boxdot (E_2? \varepsilon : \bot)).$$

As for the first item, we can prove that invariants (I_1) and (I_2) are satisfied for E.

• The cases $x = (p, \leftarrow, q)$ or $x = (p, \subsetneq, q)$ are handled symmetrically. For instance, when $x = (p, \leftarrow, q)$, the unique sequence of steps is $x_1 = (p, \leftarrow, q_1), x_2 = (q_1, \subsetneq, q_2), x_3 = (q_2, \supsetneq, q_3), x_4 = (q_3, \subsetneq, q_4), \ldots, x_i = (q_{i-1}, \supsetneq, q_i), x_{i+1} = (q_i, \leftarrow, q)$ with $i \ge 1, x_1, x_3, \ldots, x_i \in s_{E_2}$ and $x_2, x_4, \ldots, x_{i+1} \in s_{E_1}$ (see Figure 6). We define

$$C_E(x) = ((E_1 ? \varepsilon : \bot) \boxdot C_{E_2}(x_1)) \odot (C_{E_1}(x_2) \boxdot C_{E_2}(x_3)) \odot \cdots \odot (C_{E_1}(x_{i-1}) \boxdot C_{E_2}(x_i)) \odot (C_{E_1}(x_{i+1}) \boxdot (E_2 ? \varepsilon : \bot)).$$

Kleene-plus Assume that $E = F^+$. Since the expression is good, we deduce that $s_E = s_F = s$ is an idempotent of the transition monoid TrM. Let $x \in s$.

• If $x = (p, \supset, q)$. Since F^+ is unambiguous, a word $u \in \mathcal{L}(F^+)$ admits a unique factorization $u = u_1 u_2 \cdots u_n$ with $n \ge 1$ and $u_i \in \mathcal{L}(F)$. Now, $\mathsf{Tr}(u_1) = s_E$ and since $x = (p, \supset, q) \in s_E$ the unique run ρ of \mathcal{A} starting in state p on the left of u_1 exits on the left in state q. Therefore, the unique run of \mathcal{A} starting in state p on the left of $u = u_1 u_2 \cdots u_n$ only visits u_1 and is actually ρ itself. Therefore, we

539

540



Figure 6: Let $w = w_1 \cdot w_2 \in \mathcal{L}(E)$ with $w_1 \in \mathcal{L}(E_1)$, $w_2 \in \mathcal{L}(E_2)$. We have $(p, \leftarrow, q_1), (q_2, \supset, q_3) \in \mathsf{Tr}(w_2)$ and $(q_1, \subsetneq, q_2), (q_3, \leftarrow, q) \in \mathsf{Tr}(w_1)$. Then $(p, \leftarrow, q) \in \mathsf{Tr}(w)$ is composed of "steps" $(p, \leftarrow, q_1), (q_1, \subsetneq, q_2), (q_2, \supset, q_3), (q_3, \leftarrow, q)$ alternately from $\mathsf{Tr}(w_2)$ and $\mathsf{Tr}(w_1)$.



Figure 7: In the Kleene-plus $E = F^+$, a step $x = (p, \rightarrow, q) \in s_E$ on some $u = u_1 u_2 \cdots u_n$ with $u_\ell \in \mathcal{L}(F)$ is obtained by composing the following steps in s_F : $x_1 = x$, $x_2 = (q, \supseteq, p_2)$, $x_3 = (p_2, \subseteq, p_3)$, $x_4 = (p_3, \supseteq, p_4)$, $x_5 = (p_4, \subseteq, p_5)$, $x_6 = (p_5, \rightarrow, q)$.

set $C_E(x) = C_F(x) \boxdot (F^* ? \varepsilon : \bot)$ and we can easily check that $(I_1 - I_2)$ are satisfied. Similarly for $x = (p, \varsigma, q)$ we set $C_E(x) = (F^* ? \varepsilon : \bot) \boxdot C_F(x)$. If $x = (p, \to, q)$. Recall that s is an idempotent, hence $x \in s^2$. We distinguish two cases. Assume first that $y = (q, \to, q) \in s$. Let $u = u_1 u_2 \cdots u_n$ be a word with $u_i \in \mathcal{L}(F)$ for $1 \le i \le n$. When reading u starting in state p on

with $u_i \in \mathcal{L}(F)$ for $1 \leq i \leq n$. When reading u starting in state p on the left, the transducer will use step x on u_1 and then step y on each u_i with $2 \leq i \leq n$. Therefore, we set

$$C_E(x) = F ? C_F(x) : (C_F(x) \boxdot (C_F(y))^{\boxplus}).$$

Otherwise, there exists a unique sequence of steps in s: $x_1 = x$, $x_2 = (q, \ge, p_2)$, $x_3 = (p_2, \subsetneq, p_3)$, $x_4 = (p_3, \supseteq, p_4)$, ..., $x_i = (p_{i-1}, \subsetneq, p_i)$,

 $x_{i+1} = (p_i, \rightarrow, q)$ with $i \ge 3$ (see Figure 7). We define

$$C_E(x) = (C_F(x) \boxdot (F^* ? \varepsilon : \bot)) \odot [F, C']^{2 \boxplus}$$

$$C' = ((F ? \varepsilon : \bot) \boxdot C_F(x_2)) \odot (C_F(x_3) \boxdot C_F(x_4)) \odot \cdots \odot$$

$$(C_F(x_i) \boxdot C_F(x_{i+1}))$$

Since the expression is good, the Kleene-plus $E = F^+$ is unambigu-564 ous. We have $\operatorname{\mathsf{dom}}(C_F(x_j)) = \mathcal{L}(F)$ for $1 \leq j \leq i+1$ by (I_1) . Also 565 $\operatorname{\mathsf{dom}}(F^* ? \varepsilon : \bot) = \mathcal{L}(F^*)$. Since F^+ is unambiguous, the concatena-566 tion $F \cdot F^*$ is also unambiguous and we get $\mathsf{dom}(C_F(x) \boxdot (F^* ? \varepsilon :$ 567 $(\perp)) = \mathcal{L}(F) \cdot \mathcal{L}(F^*) = \mathcal{L}(E)$. Also, the product $F \cdot F$ is unam-568 biguous and we deduce that $\operatorname{dom}(C_F(x_j) \boxdot C_F(x_{j+1})) = \mathcal{L}(F)^2$ for 569 $1 \leq j \leq i$ and dom $((F ? \varepsilon : \bot) \boxdot C_F(x_2)) = \mathcal{L}(F)^2$. Therefore, 570 $\operatorname{dom}(C') = \mathcal{L}(F)^2$ and using once again that F^+ is unambiguous, 571 we deduce that $\operatorname{dom}([F, C']^{2\boxplus}) = \mathcal{L}(F^+) = \mathcal{L}(E)$. We deduce that 572 $\operatorname{dom}(C_E(x)) = \mathcal{L}(E)$ and (I_1) holds for E. 573 Let now $u \in \mathcal{L}(F^+) = \mathsf{dom}(C_E(x))$. We have to show that the output 574 $\gamma \in \mathbb{D}$ produced by \mathcal{A} when running step x on u is $[C_E(x)](u)$. There 575 is a unique factorization $u = u_1 u_2 \cdots u_n$ with $n \ge 1$ and $u_\ell \in \mathcal{L}(F)$ 576 for $1 \leq \ell \leq n$. 577 Assume first that n = 1 (see Figure 7 left). By definition, we have 578 $\llbracket [F, C']^{2\boxplus} \rrbracket (u) = \varepsilon$ and $\llbracket C_F(x) \boxdot (F^* ? \varepsilon : \bot) \rrbracket (u) = \llbracket C_F(x) \rrbracket (u)$ which, 579 by induction, is the output γ produced by \mathcal{A} running step x on u. 580 Therefore, $\llbracket C_E(x) \rrbracket(u) = \gamma \cdot \varepsilon = \gamma.$ 581 Assume now that $n \ge 2$ (see Figure 7 middle for n = 2 and right for n = 5). For $1 \le \ell \le n$ and $1 \le j \le i+1$, we denote $\gamma_i^{\ell} = [C_F(x_i)](u_\ell)$ the output produced by \mathcal{A} when running step x_i on u_{ℓ} . We can check (see Figure 7) that the output γ produced by \mathcal{A} when running x on

$$\gamma = \gamma_1^1 (\gamma_2^2 \gamma_3^1 \gamma_4^2 \cdots \gamma_i^1 \gamma_{i+1}^2) (\gamma_2^3 \gamma_3^2 \gamma_4^3 \cdots \gamma_i^2 \gamma_{i+1}^3) \cdots (\gamma_2^n \gamma_3^{n-1} \gamma_4^n \cdots \gamma_i^{n-1} \gamma_{i+1}^n)$$

582	We have $[\![C']\!](u_{\ell}u_{\ell+1}) = \gamma_2^{\ell+1} \gamma_3^{\ell} \gamma_4^{\ell+1} \cdots \gamma_i^{\ell} \gamma_{i+1}^{\ell+1}$ for $1 \le \ell < n$. There-
583	fore, we obtain $\gamma = \gamma_1^1 [\![C']\!] (u_1 u_2) [\![C']\!] (u_2 u_3) \cdots [\![C']\!] (u_{n-1} u_n)$. Since
584	$\llbracket C_F(x) \boxdot (F^* ? \varepsilon : \bot) \rrbracket(u) = \gamma_1^1$ we deduce that $\gamma = \llbracket C_E(x) \rrbracket(u)$.
585	• The case of $x = (p, \leftarrow, q)$ can be handled similarly.

 $u = u_1 u_2 \cdots u_n$ is

Lemma 14 is the main ingredient in the construction of an RTE equivalent to a 2DFT.

Proof of Theorem 7 (2). First, we let $C_{\varepsilon} = \llbracket \mathcal{A} \rrbracket(\varepsilon) \in \Gamma^* \cup \{\bot\}$. Then, we will define for each $s \in \mathsf{Tr}\mathsf{M}$, an $\mathsf{RTE} \ C_s$ such that $\mathsf{dom}(C_s) = \mathsf{dom}(\mathcal{A}) \cap (\mathsf{Tr}^{-1}(s) \setminus \{\varepsilon\})$ and $\llbracket C_s \rrbracket(u) = \llbracket \mathcal{A} \rrbracket(u)$ for all $u \in \mathsf{dom}(C_s)$. Assuming an arbitrary enumeration s_1, s_2, \ldots, s_m of $\mathsf{Tr}\mathsf{M}$, we define the final RTE as

$$C_{\mathcal{A}} = \varepsilon ? C_{\varepsilon} : (\mathsf{Tr}^{-1}(s_1) ? C_{s_1} : (\mathsf{Tr}^{-1}(s_2) ? C_{s_2} : \cdots (\mathsf{Tr}^{-1}(s_{m-1}) ? C_{s_{m-1}} : C_{s_m}))) .$$

It remains to define the RTE C_s for $s \in \text{TrM}$. We first define RTEs for steps in the 2DFT \mathcal{A} on some input $\vdash u$ with $u \in \text{Tr}^{-1}(s) \setminus \{\varepsilon\}$. Such a step must exit on the right since there are no transitions of \mathcal{A} going left when reading \vdash . So either the step (q_0, \rightarrow, q) starts on the left in the initial state q_0 and exits on the right in some state q. Or the step (p, ζ, q) starts on the right in some state pand exits on the right in some state q. See Figure 8.

Let s_{\vdash} be the set of steps $(p, \rightarrow, q), (p, \varsigma, q)$ such that there is a transition $\delta(p, \vdash) = (q, \gamma_p, +1)$ in \mathcal{A} . From the initial state q_0 of \mathcal{A} , there is a unique sequence of steps $x_1 = (q_0, \rightarrow, q_1), x_2 = (q_1, \supset, q_2), x_3 = (q_2, \varsigma, q_3), x_4 = (q_3, \supset, q_4), \ldots, x_i = (q_{i-1}, \varsigma, q_i), x_{i+1} = (q_i, \rightarrow, q)$ with $i \ge 1, x_1, x_3, \ldots, x_i \in s_{\vdash}$ and $x_2, x_4, \ldots, x_{i+1} \in s$ (see Figure 8 left). We define

$$C_{\vdash F_s}((q_0, \rightarrow, q)) = \gamma_{q_0} \odot C_{F_s}(x_2) \odot \gamma_{q_2} \odot C_{F_s}(x_4) \odot \cdots \odot \gamma_{q_{i-1}} \odot C_{F_s}(x_{i+1}).$$

Notice that when i = 1 we simply have $C_{\vdash F_s}((q_0, \rightarrow, q)) = \gamma_{q_0} \odot C_{F_s}((q_1, \rightarrow, q))$. Since dom $(C_{F_s}(x_i)) = \mathcal{L}(F_s) = \mathsf{Tr}^{-1}(s) \setminus \{\varepsilon\}$ for $i = 2, 4, \ldots, i+1$, we deduce that dom $(C_{\vdash F_s}((q_0, \rightarrow, q))) = \mathsf{Tr}^{-1}(s) \setminus \{\varepsilon\}$. Moreover, for each $u \in \mathsf{Tr}^{-1}(s) \setminus \{\varepsilon\}$, the output produced by \mathcal{A} performing step (q_0, \rightarrow, q) on $\vdash u$ is $[\![C_{\vdash F_s}((q_0, \rightarrow, q))]\!](u)$.



Figure 8: (Left) Given steps of s, a step (q_0, \rightarrow, q) of $\vdash u$ for some $u \in F_s$, is obtained by composing the following steps alternatively from s_{\vdash} and s: $x_1 = (q_0, \rightarrow, q_1), x_2 = (q_1, \supset, q_2), x_3 = (q_2, \subsetneq, q_3), x_4 = (q_3, \supset, q_4), x_5 = (q_4, \lneq, q_5), x_6 = (q_5, \rightarrow, q).$ (Right) A step (p, \subsetneq, q) of $\vdash u$ for some $u \in F_s$, is obtained by composing the following steps alternatively from s and s_{\vdash} : $x_1 = (p, \leftarrow, q_1), x_2 = (q_1, \subsetneq, q_2), x_3 = (q_2, \supsetneq, q_3), x_4 = (q_3, \subsetneq, q_4), x_5 = (q_4, \frown, q_2)$.

Let p be a state of \mathcal{A} . Either there is a step $(p, \varsigma, q) \in s$ and we let $C_{\vdash F_s}((p, \varsigma, q)) = C_{F_s}((p, \varsigma, q))$. Or, there is a unique sequence of steps $x_1 = (p, \leftarrow, q_1)$, $x_2 = (q_1, \varsigma, q_2), x_3 = (q_2, \varsigma, q_3), x_4 = (q_3, \varsigma, q_4), \ldots, x_i = (q_{i-1}, \rightarrow, q)$ with $i \geq 3, x_1, x_3, \ldots, x_i \in s$ and $x_2, x_4, \ldots, x_{i-1} \in s_{\vdash}$ (see Figure 8 right). We define

$$C_{\vdash F_s}((p, \varsigma, q)) = C_{F_s}(x_1) \odot \gamma_{q_1} \odot C_{F_s}(x_3) \odot \gamma_{q_3} \odot \cdots \odot \gamma_{q_{i-2}} \odot C_{F_s}(x_i).$$

As above, we have dom $(C_{\vdash F_s}((p, \varsigma, q))) = \mathsf{Tr}^{-1}(s) \setminus \{\varepsilon\}$. Moreover, for each $u \in \mathsf{Tr}^{-1}(s) \setminus \{\varepsilon\}$, the output produced by \mathcal{A} performing step (p, ς, q) on $\vdash u$ is $[C_{\vdash F_s}((p, \varsigma, q))](u)$.



Figure 9: On input $\vdash u\dashv$, a step $x = (q_0, \rightarrow, q)$ is obtained by composing the following steps alternatively from steps of $\vdash u$ and $s\dashv$: $x_1 = (q_0, \rightarrow, q_1)$, $x_2 = (q_1, a, q_2)$, $x_3 = (q_2, a, q_3)$, $x_4 = (q_3, a, q_4)$, $x_5 = (q_4, a, q_5)$ and $x_6 = (q_5, a, q_2)$.

Similarly, let s_{\dashv} be the set of steps (p, \supset, q) such that there is a transition $\delta(p, \dashv) = (q, \gamma_p, -1)$ in \mathcal{A} or steps (p, \rightarrow, q) such that there is a transition $\delta(p, \dashv) = (q, \gamma_p, +1)$ in \mathcal{A} . From the initial state q_0 of \mathcal{A} , there is a unique sequence of steps $x_1 = (q_0, \rightarrow, q_1), x_2 = (q_1, \supset, q_2), x_3 = (q_2, \subsetneq, q_3), x_4 = (q_3, \supset$ $(q_4), \ldots, x_i = (q_{i-1}, \lneq, q_i), x_{i+1} = (q_i, \rightarrow, q)$ with $i \ge 1$, and x_1, x_3, \ldots, x_i are steps where $C_{\vdash F_s}$ is defined and $x_2, x_4, \ldots, x_{i+1} \in s_{\dashv}$ (see Figure 9).

Notice that this sequence of steps corresponds to an accepting run iff $q \in F$ is an accepting state of \mathcal{A} . Therefore, either $q \notin F$ and $\mathsf{dom}(\mathcal{A}) \cap (\mathsf{Tr}^{-1}(s) \setminus \{\varepsilon\}) = \emptyset$ so we set $C_s = \bot$. Or, $q \in F$ and $\mathsf{Tr}^{-1}(s) \setminus \{\varepsilon\} \subseteq \mathsf{dom}(\mathcal{A})$ so we define

$$C_s = C_{\vdash F_s}(x_1) \odot \gamma_{q_1} \odot C_{\vdash F_s}(x_3) \odot \gamma_{q_3} \odot \cdots \odot C_{\vdash F_s}(x_i) \odot \gamma_{q_i}.$$

We have dom $(C_s) = \operatorname{Tr}^{-1}(s) \setminus \{\varepsilon\}$ and for all $u \in \operatorname{dom}(C_s)$ we have $\llbracket C_s \rrbracket(u) = \llbracket \mathcal{A} \rrbracket(u)$.

609 3. Infinite Words

In this section, we start looking at regular functions on infinite words. As in Section 2, we restrict our attention to two-way transducers as the model for computing regular functions. Given a finite alphabet Σ , let Σ^{ω} denote the set of infinite words over Σ , and let $\Sigma^{\infty} = \Sigma^* \cup \Sigma^{\omega}$ be the set of all finite or infinite words over Σ .

615 3.1. Two-way transducers over ω -words (ω -2DMT_{Ia})

Let Σ be a finite input alphabet and let Γ be a finite output alphabet. Let \vdash be a left end marker symbol not in Σ and let $\Sigma_{\vdash} = \Sigma \cup \{\vdash\}$. The input word is presented as $\vdash w$ where $w \in \Sigma^{\omega}$.

Let \mathcal{R} be a finite set of *look-ahead* ω -regular languages. For the ω -regular languages in \mathcal{R} , we may use any finite descriptions such as ω -regular expressions or automata. Below, we will use *complete unambiguous Büchi automata* (CUBA) [10], also called *backward deterministic Büchi automata* [24]). A deterministic two-way transducer (ω -2DMT_{la}) over ω -words is given by $\mathcal{A} = (Q, \Sigma, \Gamma, q_0, \delta, \mathcal{F}, \mathcal{R})$, where Q is a finite set of states, $q_0 \in Q$ is a unique initial state, and $\delta : Q \times \Sigma_{\vdash} \times \mathcal{R} \mapsto Q \times \Gamma^* \times \{-1, +1\}$ is the partial transition function. We request that for every pair $(q, a) \in Q \times \Sigma_{\vdash}$, the subset $\mathcal{R}(q, a)$ of languages $R \in \mathcal{R}$ such that $\delta(q, a, R)$ is defined forms a partition of Σ^{ω} . This ensures that \mathcal{A} is complete and behaves deterministically. The set $\mathcal{F} \subseteq 2^Q$ specifies the Muller acceptance condition. As in the finite case, the reading head cannot move left while on \vdash . A configuration is represented by w'qaw'' where $w'a \in \vdash \Sigma^*, w'' \in \Sigma^{\omega}$ and q is the current state, scanning letter a. From configuration w'qaw'', let R be the unique ω -regular language in $\mathcal{R}(q, a)$ such that $w'' \in R$, the automaton outputs γ and moves to

$$\begin{cases} w'aq'w'' & \text{if } \delta(q, a, R) = (q', \gamma, +1) \\ w'_1q'baw'' & \text{if } \delta(q, a, R) = (q', \gamma, -1) \text{ and } w' = w'_1b. \end{cases}$$

The output $\gamma \in \Gamma^*$ is appended at the end of the output produced so far. A run ρ of \mathcal{A} on $w \in \Sigma^{\omega}$ is a sequence of transitions starting from the initial configuration $q_0 \vdash w$ where the reading head is on \vdash :

$$q_0 \vdash w \xrightarrow{\gamma_1} w'_1 q_1 w''_1 \xrightarrow{\gamma_2} w'_2 q_2 w''_2 \xrightarrow{\gamma_3} w'_3 q_3 w''_3 \xrightarrow{\gamma_4} w'_4 q_4 w''_4 \cdots$$

We say that ρ reads the whole word w if $\sup\{|w'_n| \mid n > 0\} = \infty$. The set of states visited by ρ infinitely often is denoted $\inf(\rho) \subseteq Q$. The word w is accepted by \mathcal{A} , i.e., $w \in \operatorname{dom}(\mathcal{A})$ if ρ reads the whole word w and $\inf(\rho) \in \mathcal{F}$ is a Muller set. In this case, we let $\llbracket \mathcal{A} \rrbracket(w) = \gamma_1 \gamma_2 \gamma_3 \gamma_4 \cdots$ be the output produced by ρ .

⁶²³ The notation ω -2DMT_{la} signifies the use of the look-ahead (la) using the ω -⁶²⁴ regular languages in \mathcal{R} . It must be noted that without look-ahead, the expressive ⁶²⁵ power of two-way transducers over infinite words is lesser than regular transfor-⁶²⁶ mations over infinite words [4]. A classical example of this is given in Example ⁶²⁷ 15, where the look-ahead is necessary to obtain the required transformation.

Example 15. Figure 1 shows an ω -2DMT_{la} \mathcal{A}' over $\Sigma = \{a, b, \#\}$ that defines 628 the transformation $[\![\mathcal{A}']\!](u_1 \# u_2 \# \cdots \# u_n \# v) = u_1^R u_1 \# u_2^R u_2 \# \cdots \# u_n^R u_n \# v$ where $u_1, \ldots, u_n \in (a+b)^*, v \in (a+b)^{\omega}$ and u^R denotes the reverse of u. The 629 630 Muller acceptance set is $\{\{q_5\}\}$. From state q_1 reading \vdash , or state q_4 reading #, 631 \mathcal{A}' uses the look ahead partition $\mathcal{R}(q_1, \vdash) = \mathcal{R}(q_4, \#) = \{\Sigma^* \# \Sigma^{\omega}, (\Sigma \setminus \{\#\})^{\omega}\},\$ 632 which indicates the presence or absence of a # in the remaining suffix of the 633 word being read. For all other transitions, the look-ahead language is Σ^{ω} , hence 634 it is omitted. Also, to keep the picture light, the automaton is not complete, i.e., 635 we have omitted the transitions going to a sink state. It can be seen that any 636 maximal string u between two consecutive occurrences of # is replaced with $u^{R}u$; 637 the infinite suffix over $\{a, b\}^{\omega}$ is then reproduced as it is. 638

Remark 16. The model used here is a two-way, deterministic Muller automaton, which has for each pair (q, a) consisting of a state and symbol, a tuple of lookahead ω -regular languages which are mutually exclusive. The model (denoted 2WST_{la}) used in [4] however is a two-way deterministic Muller automaton which is equipped with a look-behind automaton (a NFA) and a look-ahead automaton (a possibly non-deterministic Muller automaton). It is easy to see that the two models are equivalent, see [16] for details.

646 3.2. ω -Regular Transducer Expressions (ω -RTE)

As in the case of finite words, we define regular transducer expressions for infinite words. Let Σ and Γ be finite input and output alphabets and let \bot stand for undefined. We define the output domain as $\mathbb{D} = \Gamma^{\infty} \cup \{\bot\}$, with the usual concatenation of a finite word on the left with a finite or infinite word on the right. Again, \bot acts as zero and the unit is the empty word $1_{\mathbb{D}} = \varepsilon$.

The syntax of ω -Regular Transducer Expressions (ω -RTE) from Σ^{ω} to \mathbb{D} is defined by:

$$C ::= L?C: C \mid C \odot C \mid E \boxdot C \mid E^{\omega} \mid [K, E]^{2\omega}$$

where $K \subseteq \Sigma^+$ ranges over *regular* languages of finite non-empty words, $L \subseteq \Sigma^{\omega}$ ranges over ω -regular languages of infinite words and E is an RTE over finite words as defined in Section 2.2. The semantics $\llbracket E \rrbracket \colon \Sigma^* \to \Gamma^* \cup \{\bot\}$ of the finitary combinator expressions $E \in \mathsf{RTE}$ is unchanged (see Section 2.2). The semantics of an ω -RTE C is a function $\llbracket C \rrbracket \colon \Sigma^{\omega} \to \mathbb{D}$. Given a regular language $K \subseteq \Sigma^+$, an ω -regular language $L \subseteq \Sigma^{\omega}$, and functions $f \colon \Sigma^* \to \Gamma^* \cup \{\bot\}$, $g,h \colon \Sigma^{\omega} \to \mathbb{D}$, we define

If then else. We have $\operatorname{dom}(L?g:h) = (\operatorname{dom}(g) \cap L) \cup (\operatorname{dom}(h) \setminus L)$.

Moreover, (L?g:h)(w) is defined as g(w) for $w \in \mathsf{dom}(g) \cap L$, and h(w)for $w \in \mathsf{dom}(h) \setminus L$. ⁶⁶² Hadamard product. We have dom $(g \odot h) = g^{-1}(\Gamma^*) \cap dom(h)$.

Moreover, $(g \odot h)(w) = g(w) \cdot h(w)$ for $w \in \mathsf{dom}(g) \cap \mathsf{dom}(h)$ with $g(w) \in \Gamma^*$.

Unambiguous Cauchy product. If $w \in \Sigma^{\omega}$ admits a unique factorization $w = u \cdot v$ with $u \in \text{dom}(f)$ and $v \in \text{dom}(g)$ then we set $(f \boxdot g)(w) = f(u) \cdot g(v)$. Otherwise, we set $(f \boxdot g)(w) = \bot$.

Unambiguous ω -iteration. If $w \in \Sigma^{\omega}$ admits a unique infinite factorization $w = u_1 u_2 u_3 \cdots$ with $u_i \in \mathsf{dom}(f)$ for all $i \ge 1$ then we set $f^{\omega}(w) = f(u_1)f(u_2)f(u_3)\cdots \in \Gamma^{\infty}$. Otherwise, we set $f^{\omega}(w) = \bot$.

Unambiguous 2-chained ω -iteration. If $w \in \Sigma^{\omega}$ admits a unique factorization $w = u_1 u_2 u_3 \cdots$ with $u_i \in K$ for all $i \ge 1$ and if moreover $u_i u_{i+1} \in \mathsf{dom}(f)$ for all $i \ge 1$ then we set $[K, f]^{2\omega}(w) = f(u_1 u_2) f(u_2 u_3) f(u_3 u_4) \cdots$.

Otherwise, we set $[K, f]^{2\omega}(w) = \bot$.

Remark 17. Let $C_{\varepsilon} = (\Sigma ? \varepsilon : \bot)^{\omega}$. We have dom $(C_{\varepsilon}) = \Sigma^{\omega}$ and $[\![C_{\varepsilon}]\!](w) = \varepsilon$ for all $w \in \Sigma^{\omega}$. Now, for $\gamma \in \Gamma^+$, let $C_{\gamma} = (\Sigma ? \gamma : \bot) \boxdot C_{\varepsilon}$. We have dom $(C_{\gamma}) = \Sigma^{\omega}$ and $[\![C_{\gamma}]\!](w) = \gamma$ for all $w \in \Sigma^{\omega}$. Therefore, we can freely use constants $\gamma \in \Gamma^*$ when defining ω -RTEs.

Remark 18. We can express the ω -iteration with the 2-chained ω -iteration as follows: $f^{\omega} = [\operatorname{dom}(f), f \boxdot (\operatorname{dom}(f)?\varepsilon:\bot)]^{2\omega}$.

Remark 19. In a similar manner to $[K, f]^{k\boxplus}$, we can extend 2-chained ω iteration as well to k-chained ω -iteration for any $k \ge 3$. It is defined as follows: If w admits a unique factorization $w = u_1u_2..., with u_i \in K$ for all $i \ge 1$, then $[K, f]^{k\omega}(w) = f(u_1u_2...u_k)f(u_2u_3...u_{k+1})...$ Otherwise, we set $[K, f]^{k\omega}(w) = \bot$. In [16], we have shown that adding k-chained ω -iteration does not increase the expressive power of ω -RTEs.

Example 20. We now give the ω -RTE for the transformation given in Example 15. It was also sketched in Example 1. Let

$$\begin{split} E_1 &= a ? a : (b ? b : (\# ? \# : \bot)) \\ E_2 &= a ? a : (b ? b : \bot) \\ E_3 &= a ? a : (b ? b : (\# ? \varepsilon : \bot)) \,. \end{split}$$

Then $dom(E_1) = dom(E_3) = (a + b + \#)$ and $dom(E_2) = (a + b)$. Let

$$E_4 = ((a+b)^* \#)? (E_3^{\textcircled{H}} \odot E_1^{\textcircled{H}}) : \bot$$

We have $\operatorname{dom}(E_4) = (a+b)^* \#$ and, for $u \in (a+b)^*$, $\llbracket E_4 \rrbracket (u\#) = u^R u\#$ where u^R denotes the reverse of u. Next, let

$$C_1 = E_4^{\boxplus} \boxdot E_2^{\omega} \,.$$

Then, $dom(C_1) = [(a+b)^* #]^+ (a+b)^{\omega}$, and

$$[C_1](u_1 \# u_2 \# \cdots u_n \# v) = u_1^R u_1 \# u_2^R u_2 \# \cdots \# u_n^R u_n \# v$$

when $u_i \in (a+b)^*$ and $v \in (a+b)^{\omega}$. Finally, let

$$C = (a+b)^{\omega} ? E_2^{\omega} : C_1.$$

We have dom $(C) = [(a+b)^* #]^* (a+b)^{\omega}$ and $[\![C]\!] = [\![\mathcal{A}']\!]$ where \mathcal{A}' is the transducer of Figure 1.

The main theorem connecting ω -2DMT_{la} and ω -RTE is as follows. 688

Theorem 21. ω -2DMT_{la} and ω -RTEs define the same class of functions. More 689 precisely, 690

1. given an ω -RTE C, we can construct an ω -2DMT_{la} A such that [A] = [C]. 691

2. given an ω -2DMT_{la} \mathcal{A} , we can construct an ω -RTE C such that $[\mathcal{A}] = [C]$. 692

The proof of (1) is given in the next section, while the proof of (2) will be 693 given in Section 3.7 after some preparatory work on backward deterministic Büchi 694 automata (Section 3.4) which are used to remove the look-ahead of ω -2DMT_{la} 695 (Section 3.5), and the notion of transition monoid for ω -2DMT_{la} (Section 3.6) 696 used in the unambiguous forest factorization theorem extended to infinite words 697 (Theorem 28). 698

3.3. ω -RTE to ω -2DMT_{la} 699

In this section, we prove one direction of Theorem 21: given an ω -RTE C, we 700 can construct an ω -2DMT_{la} \mathcal{A} such that $\llbracket \mathcal{A} \rrbracket = \llbracket C \rrbracket$. The proof is by structural 701 induction and follows immediately from 702

Lemma 22. Let $K \subseteq \Sigma^*$ be regular and $L \subseteq \Sigma^{\omega}$ be ω -regular. Let f be an RTE 703 with $\llbracket f \rrbracket = \llbracket M_f \rrbracket$ for some 2DFT M_f . Let g, h be ω -RTEs with $\llbracket g \rrbracket = \llbracket M_g \rrbracket$ and 704 $\llbracket h \rrbracket = \llbracket M_h \rrbracket$ for ω -2DMT_{la} M_q and M_h respectively. Then, one can construct 705 1. an ω -2DMT_{la} \mathcal{A} such that $\llbracket L?g:h \rrbracket = \llbracket \mathcal{A} \rrbracket$, 706

- 2. an ω -2DMT_{la} \mathcal{A} such that $\llbracket \mathcal{A} \rrbracket = \llbracket g \odot h \rrbracket$, 707
- 3. an ω -2DMT_{la} \mathcal{A} such that $\llbracket \mathcal{A} \rrbracket = \llbracket f \boxdot g \rrbracket$, 708
- 709
- 4. an ω -2DMT_{Ia} \mathcal{A} such that $\llbracket \mathcal{A} \rrbracket = \llbracket f^{\omega} \rrbracket$, 5. an ω -2DMT_{Ia} \mathcal{A} such that $\llbracket \mathcal{A} \rrbracket = \llbracket [K, f]^{2\omega} \rrbracket$. 710

Proof. Throughout the proof, we let $M_g = (Q_g, \Sigma, \Gamma, s_g, \delta_g \mathcal{F}_g, \mathcal{R}_g)$ and $M_h = (Q_h, \Sigma, \Gamma, s_h, \delta_h, \mathcal{F}_h, \mathcal{R}_h)$ be the ω -2DMT_{la} such that $\llbracket M_g \rrbracket = \llbracket g \rrbracket$ and $\llbracket M_h \rrbracket = \llbracket h \rrbracket$. 711 712

(1) If then else. The set of states of \mathcal{A} is $Q_{\mathcal{A}} = \{q_0\} \cup Q_g \cup Q_h$ with $q_0 \notin \mathcal{A}$ 713 $Q_g \cup Q_h$. In state q_0 , we have the transitions $\delta_{\mathcal{A}}(q_0, (\vdash, R \cap L)) = (q, \gamma, +1)$ if 714 $\delta_g(s_g,(\vdash,R)) = (q,\gamma,+1) \text{ and } \delta_{\mathcal{A}}(q_0,(\vdash,R'\setminus L)) = (q',\gamma',+1) \text{ if } \delta_h(s_h,(\vdash,R')) = (q',\gamma',+1) \text{ if } \delta_h$ 715 $(q', \gamma', +1)$. This invokes M_g (M_h) iff the input w is in L (not in L). The Muller 716 set \mathcal{F} is simply a union $\mathcal{F}_g \cup \mathcal{F}_h$ of the respective Muller sets of M_g and M_h . It 717 is clear that [A] coincides with $[M_q]$ iff the input string is in L, and otherwise, 718 $\llbracket \mathcal{A} \rrbracket$ coincides with $\llbracket M_h \rrbracket$. 719

(2) Hadamard product. Recall that for a word w to be in dom $(g \odot h)$ we 720 should have $w \in \mathsf{dom}(g) \cap \mathsf{dom}(h)$ and also $\llbracket g \rrbracket(w) \in \Gamma^*$. Hence, M_q will produce 721 $\llbracket g \rrbracket(w)$ after reading a finite prefix of w. We create a look ahead which indicates 722 the position where the transducer M_g can stop reading the input word w so that 723 we can reset the head to the left most position and start M_h . The look ahead 724 should satisfy two conditions for this purpose: 725

• M_q will not visit any position to the left of the current position in its 726 remaining run on w. 727

• The output produced by running M_g on the suffix of w should be ε . 728

To accommodate these two conditions, we construct for each state $q \in Q_q$, a 729 transducer A_q and we define an ω -regular look ahead language as $L_q = \operatorname{dom}(A_q)$. 730 The structure of A_q is the same as M_q except that we 731

- add a new initial state ι_q and the transition $\delta_q(\iota_q, \vdash, \Sigma^{\omega}) = (q, \varepsilon, +1),$
- remove all transitions from M_g where the output is $\gamma \neq \varepsilon$,
- remove all transitions from M_g where the input symbol is \vdash .

⁷³⁵ We explain the construction of the ω -2DMT_{la} \mathcal{A} such that $\llbracket g \odot h \rrbracket = \llbracket \mathcal{A} \rrbracket$. The ⁷³⁶ set of states of \mathcal{A} are $Q_{\mathcal{A}} = Q_g \cup Q_h \cup \{\text{reset}\}$. Backward transitions in \mathcal{A} and ⁷³⁷ M_g are the same: $\delta_{\mathcal{A}}(q, a, R) = (q', \gamma, -1)$ iff $\delta_g(q, a, R) = (q', \gamma, -1)$. Forward ⁷³⁸ transitions of M_g are divided into two depending on the look ahead. If we have ⁷³⁹ $\delta_g(q, a, R) = (q', \gamma, +1)$ in M_g for an $a \in \Sigma_{\vdash}$, then

 $\delta_{\mathcal{A}}(q, a, R \setminus L_{q'}) = (q', \gamma, +1) \quad \text{and} \quad \delta_{\mathcal{A}}(q, a, R \cap L_{q'}) = (\mathsf{reset}, \gamma, +1).$

From the reset state, we go to the left until \vdash is reached and then start running M_h . So, $\delta_{\mathcal{A}}(\text{reset}, a, \Sigma^{\omega}) = (\text{reset}, \varepsilon, -1)$ for all $a \in \Sigma$ and $\delta_{\mathcal{A}}(\text{reset}, \vdash, R) = (q'', \gamma, +1)$ if $\delta_h(s_h, \vdash, R) = (q'', \gamma, +1)$. The accepting set is the same as the Muller accepting set \mathcal{F}_h of M_h .

⁷⁴⁵ (3) **Cauchy product.** From the transducers M_f and M_g , we can construct a ⁷⁴⁶ DFA $\mathcal{D}_f = (Q_f, \Sigma, \delta_f, s_f, F_f)$ that accepts dom (M_f) and a deterministic Muller ⁷⁴⁷ automaton (DMA) $\mathcal{D}_g = (Q_g, \Sigma, \delta_g, s_g, \mathcal{F}_g)$ that accepts dom (M_g) .

⁷⁴⁸ Now, the set L of words w having at least two factorizations $w = u_1v_1 = u_2v_2$ ⁷⁴⁹ with $u_1, u_2 \in \text{dom}(f), v_1, v_2 \in \text{dom}(g)$ and $u_1 \neq u_2$ is ω -regular. This is easy ⁷⁵⁰ since L can be written as $L = \bigcup_{p \in F_f, q \in Q_g} L_p \cdot M_{p,q} \cdot R_q$ where ⁷⁵¹ • $L_p \subseteq \Sigma^*$ is the regular set of words which admit a run in \mathcal{D}_f from its initial

• $L_p \subseteq \Sigma^*$ is the regular set of words which admit a run in \mathcal{D}_f from its initial state to state p,

• $M_{p,q} \subseteq \Sigma^*$ is the regular set of words which admit a run in \mathcal{D}_f from state p to some final state in \mathcal{D}_f , and also admit a run in \mathcal{D}_g from the initial state to some state q in \mathcal{D}_q ,

• $R_q \subseteq \Sigma^{\omega}$ is the ω -regular set of words which (i) admit an *accepting* run from state q in \mathcal{D}_g and also (ii) admit an *accepting* run in \mathcal{D}_g from its initial state s_g .

Therefore, $\operatorname{\mathsf{dom}}(f \boxdot g) = (\operatorname{\mathsf{dom}}(f) \cdot \operatorname{\mathsf{dom}}(g)) \setminus L$ is ω -regular.

First we construct an ω -1DMT_{la} \mathcal{D} such that dom $(\mathcal{D}) = \text{dom}(f \boxdot g)$ and 760 on an input word w = uv with $u \in \mathsf{dom}(f)$ and $v \in \mathsf{dom}(g)$, it produces the 761 output u # v where $\# \notin \Sigma$ is a new symbol. From its initial state while reading 762 \vdash, \mathcal{D} uses the look-ahead to check whether the input word w is in dom $(f \boxdot q)$ 763 or not. If yes, it moves right and enters the initial state of \mathcal{D}_f . If not, it goes 764 to a sink state and rejects. While running \mathcal{D}_f , \mathcal{D} copies each input letter to 765 output. Upon reaching a final state of \mathcal{D}_f , we use the look-ahead $\mathsf{dom}(g)$ to see 766 whether we should continue running \mathcal{D}_f or we should switch to \mathcal{D}_q . Formally, if 767 $\delta_f(q, a) = q' \in F_f$ the corresponding transitions of \mathcal{D} are 768

⁷⁶⁹ $\delta_{\mathcal{D}}(q, a, \operatorname{\mathsf{dom}}(g)) = (s_g, a\#, +1)$ and $\delta_{\mathcal{D}}(q, a, \Sigma^{\omega} \setminus \operatorname{\mathsf{dom}}(g)) = (q', a, +1).$ ⁷⁷⁰ While running \mathcal{D}_g , \mathcal{D} copies each input letter to output. Accepting sets of \mathcal{D} are ⁷⁷¹ the accepting sets of the DMA \mathcal{D}_g . Thus, \mathcal{D} produces an output u#v for an input ⁷⁷² string w = uv which is in $\operatorname{\mathsf{dom}}(f \boxdot g)$ such that $u \in \operatorname{\mathsf{dom}}(f)$ and $v \in \operatorname{\mathsf{dom}}(g)$.

Next we construct an ω -2DMT_{Ia} \mathcal{T} which takes input words of the form u # v773 with $u \in \Sigma^*$ and $v \in \Sigma^{\omega}$, runs M_f on u and M_q on v. To do so, u is traversed 774 in either direction depending on M_f and the symbol # is interpreted as right 775 end marker \dashv for M_f . While simulating a transition of M_f moving right of \dashv , 776 producing the output γ and reaching state q, there are two possibilities. If q is 777 not a final state of M_f then \mathcal{T} moves to the right of #, goes to some sink state 778 and rejects. If q is a final state of M_f , then \mathcal{T} stays on # producing the output 779 γ and goes to the initial state of M_g . Then, \mathcal{T} runs M_g on v interpreting # as 780

⁷⁸¹ \vdash . The Muller accepting set of \mathcal{T} is the same as M_q .

⁷⁸² We construct an ω -2DMT_{la} \mathcal{A} as the composition of \mathcal{D} and \mathcal{T} . Regular ⁷⁸³ transformations are definable by ω -2DMT_{la} [4] and are closed under composition ⁷⁸⁴ [14]. Thus the composition of an ω -1DMT_{la} and an ω -2DMT_{la} is an ω -2DMT_{la}. ⁷⁸⁵ We deduce that \mathcal{A} is an ω -2DMT_{la}. Moreover $\llbracket \mathcal{A} \rrbracket = \llbracket f \boxdot g \rrbracket$.

(4) ω -iteration. By Remark 18, this is a derived operator and hence the result follows from the next case.

(5) **2-chained** ω -iteration. First we show that the set of words w in Σ^{ω} having an unambiguous decomposition $w = u_1 u_2 \cdots$ with $u_i \in K$ for each i is ω -regular. As in case (3) above, the language L of words w having at least two factorizations $w = u_1 v_1 = u_2 v_2$ with $u_1, u_2 \in K, v_1, v_2 \in K^{\omega}$ and $u_1 \neq u_2$ is ω -regular. Hence, $L' = K^* \cdot L$ is ω -regular and contains all words in Σ^{ω} having several factorizations as products of words in K. We deduce that $\Sigma^{\omega} \setminus L'$ is ω -regular.

As in case (3) above, we construct an ω -1DMT_{la} \mathcal{D} which takes as input w and outputs $u_1 \# u_2 \# \cdots$ iff there is an unambiguous decomposition of w as $u_1 u_2 \cdots$ with each $u_i \in K$. We then construct an ω -2DMT \mathcal{D}' that takes as input words of the form $u_1 \# u_2 \# \cdots$ with each $u_i \in \Sigma^*$ and produces $u_1 u_2 \# u_2 u_3 \# \cdots$.

Next we construct an ω -2DMT \mathcal{T} that takes as input words of the form $w_1 \# w_2 \# \cdots$ with each $w_i \in \Sigma^*$ and runs M_f on each w_i from left to right. The transducer \mathcal{T} interprets # as \vdash (resp. \dashv) when it is reached from the right (resp. from left). While simulating a transition of M_f moving right of \dashv , we proceed as in case (3) above, except that \mathcal{T} goes to the initial state of M_f instead.

The ω -2DMT_{la} \mathcal{A} is then obtained as the composition of \mathcal{D} , \mathcal{D}' and \mathcal{T} . The output produced by \mathcal{A} is thus $\llbracket M_f \rrbracket (u_1 u_2) \llbracket M_f \rrbracket (u_2 u_3) \cdots$.

⁸⁰⁵ 3.4. Backward deterministic Büchi automata (BDBA)

A Büchi automaton over the input alphabet Σ is a tuple $\mathcal{B} = (P, \Sigma, \Delta, \mathsf{Fin})$ 806 where P is a finite set of states, $Fin \subseteq P$ is the set of final (accepting) states, 807 and $\Delta \subseteq P \times \Sigma \times P$ is the transition relation. A run of \mathcal{B} over an infinite word 808 $w = a_1 a_2 a_3 \cdots$ is a sequence $\rho = p_0, a_1, p_1, a_2, p_2, \ldots$ such that $(p_{i-1}, a_i, p_i) \in \Delta$ 809 for all $i \geq 1$. The run is final (accepting) if $\inf(\rho) \cap \operatorname{Fin} \neq \emptyset$ where $\inf(\rho)$ is the 810 set of states visited infinitely often by ρ . This is a Büchi acceptance condition. 811 The Büchi automaton \mathcal{B} is backward deterministic (BDBA) or complete 812 unambiguous (CUBA) if for all infinite words $w \in \Sigma^{\omega}$, there is exactly one 813 run ρ of \mathcal{B} over w which is final, this run is denoted $\mathcal{B}(w)$. The fact that we 814 request at least/most one final run on w explains why the automaton is called 815 complete/unambiguous. Wlog, we may assume that all states of \mathcal{B} are useful, i.e., 816 for all $p \in P$ there exists some $w \in \Sigma^{\omega}$ such that $\mathcal{B}(w)$ starts from state p. In 817 that case, it is easy to check that the transition relation is backward deterministic 818 and complete: for all $(p, a) \in P \times \Sigma$ there is exactly one state p' such that 819 $(p', a, p) \in \Delta$. We write $p' \stackrel{a}{\leftarrow} p$ and state p' is denoted $\Delta^{-1}(p, a)$. In other words, 820 the inverse of the transition relation $\Delta^{-1}: P \times \Sigma \to P$ is a total function. 821

For each state $p \in P$, we let $\mathcal{L}(\mathcal{B}, p)$ be the set of infinite words $w \in \Sigma^{\omega}$ such that $\mathcal{B}(w)$ starts from p. Notice that, $\Sigma^{\omega} = \biguplus_{p \in P} \mathcal{L}(\mathcal{B}, p)$, i.e., words in Σ^{ω} are partitioned according to the starting state of their unique final run. For every subset $I \subseteq P$ of initial states, the language $\mathcal{L}(\mathcal{B}, I) = \bigcup_{p \in I} \mathcal{L}(\mathcal{B}, p)$ is ω -regular. Example 23. For instance, the automaton \mathcal{B} below is a BDBA. Moreover, we have $\mathcal{L}(\mathcal{B}, p_2) = (\Sigma \setminus \{\#\})^{\omega}$, $\mathcal{L}(\mathcal{B}, p_4) = (\#\Sigma^*)^{\omega}$, and $\mathcal{L}(\mathcal{B}, \{p_1, p_3, p_4\}) = \Sigma^* \#\Sigma^{\omega}$.



Deterministic Büchi automata (DBA) are strictly weaker than non-deterministic Büchi automata (NBA) but backward determinism keeps the full expressive power.

Theorem 24 (Carton & Michel [10]). A language $L \subseteq \Sigma^{\omega}$ is ω -regular iff L = $\mathcal{L}(\mathcal{B}, I)$ for some BDBA \mathcal{B} and initial set I.

The proof in [10] is constructive, starting with an NBA with m states, they construct an equivalent BDBA with $(3m)^m$ states.

A crucial fact on BDBA is that they are easily closed under Boolean operations. 836 In particular, the complement, which is quite difficult for NBAs, becomes trivial 837 with BDBAs: $\mathcal{L}(\mathcal{B}, P \setminus I) = \Sigma^{\omega} \setminus \mathcal{L}(\mathcal{B}, I)$. For intersection and union, we simply 838 use the classical cartesian product of two automata \mathcal{B}_1 and \mathcal{B}_2 . This clearly 839 preserves the backward determinism. For intersection, we use a generalized 840 Büchi acceptance condition, i.e., a conjunction of Büchi acceptance conditions. 841 For BDBAs, generalized and classical Büchi acceptance conditions are equivalent 842 [10]. We obtain immediately 843

Corollary 25. Let \mathcal{R} be a finite family of ω -regular languages. There is a BDBA ⁸⁴⁵ \mathcal{B} and a tuple of initial sets $(I_R)_{R \in \mathcal{R}}$ such that $R = \mathcal{L}(\mathcal{B}, I_R)$ for all $R \in \mathcal{R}$.

⁸⁴⁶ 3.5. Replacing the look-ahead of an ω -2DMT_{Ia} with a BDBA

Let $\mathcal{A} = (Q, \Sigma, \Gamma, q_0, \delta, \mathcal{F}, \mathcal{R})$ be an ω -2DMT_{la}. By Corollary 25 there is a BDBA $\mathcal{B} = (P, \Sigma, \Delta, \mathsf{Fin})$ and a tuple $(I_R)_{R \in \mathcal{R}}$ of initial sets for the finite family \mathcal{R} of ω -regular languages used as look-ahead by the transducer \mathcal{A} . Recall that for every pair $(q, a) \in Q \times \Sigma_{\vdash}$, the subset $\mathcal{R}(q, a)$ of languages $R \in \mathcal{R}$ such that $\delta(q, a, R)$ is defined forms a partition of Σ^{ω} . We deduce that $(I_R)_{R \in \mathcal{R}(q, a)}$ is a partition of P.

We construct an ω -2DMT $\widetilde{\mathcal{A}} = (Q, \widetilde{\Sigma}, \Gamma, q_0, \widetilde{\delta}, \mathcal{F})$ without look-ahead over the extended alphabet $\widetilde{\Sigma} = \Sigma \times P$ which is equivalent to \mathcal{A} in some sense made precise below. Intuitively, in a pair $(a, p) \in \widetilde{\Sigma_{\vdash}}$, the state p of \mathcal{B} gives the look-ahead information required by \mathcal{A} . Formally, the deterministic transition function $\widetilde{\delta} : Q \times \widetilde{\Sigma_{\vdash}} \to Q \times \Gamma^* \times \{-1, +1\}$ is defined as follows: for $q \in Q$ and $(a, p) \in \widetilde{\Sigma_{\vdash}}$ we let $\widetilde{\delta}(q, (a, p)) = \delta(q, a, R)$ for the unique $R \in \mathcal{R}(q, a)$ such that $p \in I_R$.

Example 26. For instance, the ω -2DMT $\widetilde{\mathcal{A}}$ constructed from the ω -2DMT_{Ia} of Figure 1 and the BDBA \mathcal{B} of Example 23 is depicted below, where • stands for an arbitrary state of \mathcal{B} .



Let $w = a_1 a_2 a_3 \cdots \in \Sigma^{\omega}$ and let $\mathcal{B}(w) = p_0, a_1, p_1, a_2, p_2, \ldots$ be the unique final run of \mathcal{B} on w. We define $\vdash w = (\vdash, p_0)(a_1, p_1)(a_2, p_2) \cdots \in \widetilde{\Sigma_{\vdash}}^{\omega}$. We can easily check by induction that the unique run of \mathcal{A} on w

$$q_0 \vdash w \xrightarrow{\gamma_1} w'_1 q_1 w''_1 \xrightarrow{\gamma_2} w'_2 q_2 w''_2 \xrightarrow{\gamma_3} w'_3 q_3 w''_3 \xrightarrow{\gamma_4} w'_4 q_4 w''_4 \cdots$$

corresponds to the unique run of $\widetilde{\mathcal{A}}$ on $\vdash w$

$$q_0 \vdash \widetilde{w} \xrightarrow{\gamma_1} \widetilde{w'_1} q_1 \widetilde{w''_1} \xrightarrow{\gamma_2} \widetilde{w'_2} q_2 \widetilde{w''_2} \xrightarrow{\gamma_3} \widetilde{w'_3} q_3 \widetilde{w''_3} \xrightarrow{\gamma_4} \widetilde{w'_4} q_4 \widetilde{w''_4} \cdots$$

where for all i > 0 we have $\widetilde{\vdash w} = \widetilde{w'_i w''_i}$ and $|w'_i| = |\widetilde{w'_i}|$. Indeed, assume that in a configuration w'qaw'' with $\vdash w = w'aw''$ the transducer \mathcal{A} takes the transition $q \xrightarrow{(a,R)} (q',\gamma,+1)$ and reaches configuration w'aq'w''. Then, $w'' \in R$ and the corresponding configuration $\widetilde{w'}q(a,p)\widetilde{w''}$ with $\vdash w = \widetilde{w'}(a,p)\widetilde{w''}$ and $|w'| = |\widetilde{w'}|$ is such that $p \in I_R$. Therefore, the transducer $\widetilde{\mathcal{A}}$ takes the transition $q \xrightarrow{(a,p)} (q',\gamma,+1)$ and reaches configuration $\widetilde{w'}(a,p)q'\widetilde{w''}$. The proof is similar for backward transitions. We have shown that \mathcal{A} and $\widetilde{\mathcal{A}}$ are equivalent in the following sense:

Lemma 27. For all words $w \in \Sigma^{\omega}$, the ω -2DMT_{la} \mathcal{A} starting from $\vdash w$ accepts iff the ω -2DMT $\widetilde{\mathcal{A}}$ starting from $\vdash w$ accepts, and in this case they compute the same output in Γ^{∞} .

⁸⁷⁴ 3.6. Transition monoid of an ω -2DMT_{la}

We use the notations of the previous sections, in particular for the ω -2DMT_{la} \mathcal{A} , the BDBA \mathcal{B} and the corresponding ω -2DMT $\widetilde{\mathcal{A}}$. As in the case of 2NFAs over finite words, we will define a congruence on Σ^+ such that two words $u, v \in \Sigma^+$ are equivalent iff they behave the same in the ω -2DMT_{la} \mathcal{A} , when placed in an arbitrary right context $w \in \Sigma^{\omega}$. The right context w is abstracted with the first state p of the unique final run $\mathcal{B}(w)$.

The ω -2DMT \mathcal{A} does not use look-ahead, hence, we may use for \mathcal{A} the classical notion of transition monoid. Actually, in order to handle the Muller acceptance condition of $\widetilde{\mathcal{A}}$, we need a slight extension of the transition monoid defined in Section 2.5. More precisely, the abstraction of a finite word $\widetilde{u} \in \widetilde{\Sigma}^+$ will be the set $\operatorname{Tr}(\widetilde{u})$ of tuples (q, d, X, q') with $q, q' \in Q, X \subseteq Q$ and $d \in \{\rightarrow, \supset, \subset, \leftarrow\}$ such that the unique run of \mathcal{A} on \tilde{u} starting in state q on the left of \tilde{u} if $d \in \{\rightarrow, \supset\}$ (resp. on the right if $d \in \{\varsigma, \leftarrow\}$) exits in state q' on the left of \tilde{u} if $d \in \{\supset, \leftarrow\}$ (resp. on the right if $d \in \{\neg, \varsigma\}$) and visits the set of states X while in \tilde{u} (i.e., including q but not q' unless q' is also visited before the run exits \tilde{u}).

For instance, with the automaton \mathcal{A} of Example 26, we have $(q_4, \rightarrow, \{q_2, q_3, q_4\}, q_5) \in \widetilde{\mathsf{Tr}}(\widetilde{u})$ when $\widetilde{u} \in ((a, p_1) + (b, p_1))^*(\#, p_1)((a, p_1) + (b, p_1))^*(\#, p_2).$

We denote by $\widetilde{\mathsf{Tr}\mathsf{M}} = \{\widetilde{\mathsf{Tr}}(\widetilde{u}) \mid \widetilde{u} \in \widetilde{\Sigma}^+\} \cup \{\mathbf{1}_{\widetilde{\mathsf{Tr}\mathsf{M}}}\}$ the transition monoid of 892 ${\mathcal A}$ with unit ${\bf 1}_{\widetilde{\mathsf{Tr}\mathsf{M}}}.$ The classical product of the transition monoid of a two-893 way automaton [7] is extended by taking the union of the sets X occurring 894 in a sequence of steps. For instance, if we have steps $(q_0, \rightarrow, X_1, q_1), (q_2, \varsigma)$ 895 $(X_3,q_3), \ldots, (q_{i-1}, \varsigma, X_i, q_i)$ in $\operatorname{Tr}(\widetilde{u})$ and $(q_1, \varsigma, X_2, q_2), (q_3, \varsigma, X_4, q_4), \ldots, (q_i, \varsigma, X_i, q_i)$ 896 $(q_i, \rightarrow, X_{i+1}, q_{i+1})$ in $\operatorname{Tr}(\widetilde{v})$ then there is a step $(q_0, \rightarrow, X_1 \cup \cdots \cup X_{i+1}, q_{i+1})$ in 897 $\operatorname{Tr}(\widetilde{u} \cdot \widetilde{v}) = \operatorname{Tr}(\widetilde{u}) \cdot \operatorname{Tr}(\widetilde{v})$. We denote by $\operatorname{Tr}: \widetilde{\Sigma}^* \to \operatorname{Tr} M$ the canonical morphism. 898 Let $u = a_1 \cdots a_n \in \Sigma^+$ be a finite word of length n > 0 and let $p \in P$. 899 We define the sequence of states p_0, p_1, \ldots, p_n by $p_n = p$ and for all $0 \leq p_n$ 900 i < n we have $p_i \xleftarrow{a_{i+1}}{p_{i+1}} p_{i+1}$ in \mathcal{B} . Notice that for all infinite words $w \in \mathcal{B}$ 901 $\mathcal{L}(\mathcal{B},p)$, the unique run $\mathcal{B}(uw)$ starts with $p_0, a_1, p_1, \ldots, a_n, p_n$. We define $\widetilde{u}^p =$ 902 $(a_1, p_1)(a_2, p_2) \cdots (a_n, p_n) \in \Sigma^+.$ 903

We are now ready to define the finite abstraction $\operatorname{Tr}(u)$ of a finite word $u \in \Sigma^+$ with respect to the pair $(\mathcal{A}, \mathcal{B})$: we let $\operatorname{Tr}(u) = (r^p, b^p, s^p)_{p \in P}$ where for each $p \in P$, $s^p = \widetilde{\operatorname{Tr}}(\widetilde{u}^p) \in \widetilde{\operatorname{Tr}}M$ is the abstraction of \widetilde{u}^p with respect to $\widetilde{\mathcal{A}}$, $r^p \in P$ is the unique state of \mathcal{B} such that $r^p \stackrel{u}{\leftarrow} p, b^p = 1$ if the word \widetilde{u}^p contains a final state of \mathcal{B} and $b^p = 0$ otherwise.

We define the transition monoid of $(\mathcal{A}, \mathcal{B})$ as the set $\operatorname{Tr} \mathcal{M} = \{\operatorname{Tr}(u) \mid u \in \Sigma^+\} \cup \{\mathbf{1}_{\operatorname{Tr}\mathcal{M}}\}$ where $\mathbf{1}_{\operatorname{Tr}\mathcal{M}}$ is the unit. The product of $\sigma_1 = (r_1^p, b_1^p, s_1^p)_{p \in P}$ and $\sigma = (r^p, b^p, s^p)_{p \in P}$ is defined to be $\sigma_1 \cdot \sigma = (r_1^{r^p}, b_1^{r^p} \vee b^p, s_1^{r^p} \cdot s^p)_{p \in P}$. We can check that this product is associative, so that $(\operatorname{Tr}\mathcal{M}, \cdot, \mathbf{1}_{\operatorname{Tr}\mathcal{M}})$ is a monoid. Moreover, let $u, v \in \Sigma^+$ be such that $\operatorname{Tr}(u) = \sigma_1$ and $\operatorname{Tr}(v) = \sigma$. For each $p \in P$, we can check that $\widetilde{uv}^p = \widetilde{u}^{r^p} \cdot \widetilde{v}^p$. We deduce easily that $\operatorname{Tr}(uv) = \sigma_1 \cdot \sigma = \operatorname{Tr}(u) \cdot \operatorname{Tr}(v)$. Therefore, $\operatorname{Tr}: \Sigma^* \to \operatorname{Tr}\mathcal{M}$ is a morphism.

916 3.7. ω -2DMT_{la} to ω -RTE

⁹¹⁷ We prove in this section that from an ω -2DMT_{la} \mathcal{A} we can construct an ⁹¹⁸ equivalent ω -RTE. The proof follows the ideas already used for finite words in ⁹¹⁹ Section 2.6. We will use the following generalization to infinite words of the ⁹²⁰ unambiguous forest factorization Theorem 12.

Theorem 28 (Unambiguous Forest Factorization [21]). Let $\varphi: \Sigma^* \to S$ be a morphism to a finite monoid $(S, \cdot, \mathbf{1}_S)$. There is an unambiguous rational expression $G = \bigcup_{k=1}^{m} F_k \cdot G_k^{\omega}$ over Σ such that $\mathcal{L}(G) = \Sigma^{\omega}$ and $F_k \cdot G_k^+$ are ε -free φ -good rational expressions for all $1 \leq k \leq m$.

We will apply this theorem to the morphism $\operatorname{Tr}: \Sigma^* \to \operatorname{Tr} M$ defined in Section 3.6. We use the unambiguous expression $G = \bigcup_{k=1}^m F_k \cdot G_k^{\omega}$ as a guide when constructing ω -RTEs corresponding to the ω -2DMT_{la} \mathcal{A} .

The following lemma is similar to Lemma 14. It shows how to construct the RTEs associated with steps of elements of the transition monoid TrM.

⁹³⁰ Lemma 29. Let G be an ε -free Tr-good rational expression and let $\text{Tr}(G) = \sigma_G = (r_G^p, b_G^p, s_G^p)_{p \in P}$ be the corresponding element of the transition monoid TrM

of $(\mathcal{A}, \mathcal{B})$. For each state $p \in P$, we can construct a map $C_G^p: s_G^p \to \mathsf{RTE}$ such

that for each step $x = (q, d, X, q') \in s_G^p$ the following invariants hold:

 $_{934} \quad (\mathsf{J}_1) \ \mathsf{dom}(C^p_G(x)) = \mathcal{L}(G),$

948 949

(J₂) for each $u \in \mathcal{L}(G)$, $\llbracket C_G^p(x) \rrbracket(u)$ is the output produced by $\widetilde{\mathcal{A}}$ when running step x on \widetilde{u}^p (i.e., running $\widetilde{\mathcal{A}}$ on \widetilde{u}^p from q to q' following direction d).

Proof. The proof is by structural induction on the rational expression. For each subexpression E of G we let $\text{Tr}(E) = \sigma_E = (r_E^p, b_E^p, s_E^p)_{p \in P}$ be the corresponding element of the transition monoid TrM of $(\mathcal{A}, \mathcal{B})$. We start with atomic regular expressions. Since G is ε -free and \emptyset -free, we do not need to consider $E = \varepsilon$ or $E = \emptyset$. The construction is similar to the one given in Section 2.6. The interesting cases are concatenation and Kleene-plus.

atomic Assume that $E = a \in \Sigma$ is an atomic subexpression. Notice that $\widetilde{a}^p = (a, p)$ for all $p \in P$. Since the ω -2DMT $\widetilde{\mathcal{A}}$ is deterministic and complete, for each state $q \in Q$ we have either $\widetilde{\delta}(q, (a, p)) = (q', \gamma, 1)$ and we let $C^p_a((q, \rightarrow, \{q\}, q')) = C^p_a((q, \bigcirc, \{q\}, q')) = a? \gamma : \bot$,

• or
$$\delta(q, (a, p)) = (q', \gamma, -1)$$
 and we let $C^p_a((q, \supset, \{q\}, q')) = C^p_a((q, \leftarrow, \{q\}, q')) = a ? \gamma : \bot$.

Clearly, invariants (J_1) and (J_2) hold for all $x \in s_E^p$.

union Assume that $E = E_1 \cup E_2$. Since E is good, we deduce that $\sigma_E = \sigma_{E_1} = \sigma_{E_2}$. For each $p \in P$ and $x \in s_E^p$ we define $C_E^p(x) = E_1 ? C_{E_1}^p(x) : C_{E_2}^p(x)$. Since E is unambiguous we have $\mathcal{L}(E_1) \cap \mathcal{L}(E_2) = \emptyset$. As in Section 2.6 we can prove easily that invariants (1 -) and (1 -) held for all $x \in s_E^p$.

can prove easily that invariants (J_1) and (J_2) hold for all $x \in s_E^p$.

concatenation Assume that $E = E_1 \cdot E_2$ is a concatenation. Since E is good, we deduce that $\sigma_E = \sigma_{E_1} \cdot \sigma_{E_2}$. Let $p \in P$ and $p_1 = r_{E_2}^p$. We have $s_E^p = s_{E_1}^{p_1} \cdot s_{E_2}^p$. Let $x \in s_E^p$.

> If $x = (q, \rightarrow, X, q')$ then, by definition of the product in the transition monoid $\widetilde{\text{TrM}}$, there is a unique sequence of steps $x_1 = (q, \rightarrow, X_1, q_1)$, $x_2 = (q_1, \supset, X_2, q_2), x_3 = (q_2, \subseteq, X_3, q_3), x_4 = (q_3, \supset, X_4, q_4), \ldots, x_i =$ $(q_{i-1}, \subseteq, X_i, q_i), x_{i+1} = (q_i, \rightarrow, X_{i+1}, q')$ with $i \ge 1, x_1, x_3, \ldots, x_i \in s_{E_1}^{p_1}$ and $x_2, x_4, \ldots, x_{i+1} \in s_{E_2}^p$ and $X = X_1 \cup \cdots \cup X_{i+1}$ (see Figure 10). We define

$$C_{E}^{p}(x) = (C_{E_{1}}^{p_{1}}(x_{1}) \boxdot C_{E_{2}}^{p}(x_{2})) \odot (C_{E_{1}}^{p_{1}}(x_{3}) \boxdot C_{E_{2}}^{p}(x_{4})) \odot \cdots \odot (C_{E_{1}}^{p_{1}}(x_{i}) \boxdot C_{E_{2}}^{p}(x_{i+1})).$$

Notice that when i = 1 we have $C_E^p(x) = C_{E_1}^{p_1}(x_1) \boxdot C_{E_2}^p(x_2)$ with $x_2 = (q_1, \rightarrow, X_2, q')$.

The concatenation $\mathcal{L}(E) = \mathcal{L}(E_1) \cdot \mathcal{L}(E_2)$ is unambiguous. Therefore, for all $y \in s_{E_1}^{p_1}$ and $z \in s_{E_2}^{p}$, using (J_1) for E_1 and E_2 , we obtain $\mathsf{dom}(C_{E_1}^{p_1}(y) \square$ $C_{E_2}^{p}(z)) = \mathcal{L}(E)$. We deduce that $\mathsf{dom}(C_E(x)) = \mathcal{L}(E)$ and (J_1) holds for E and $x = (q, \to, X, q')$.

Now, let $u \in \mathcal{L}(E)$ and let $u = u_1 u_2$ be its unique factorization with $u_1 \in \mathcal{L}(E_1)$ and $u_2 \in \mathcal{L}(E_2)$. We have $\widetilde{u_1 u_2}^p = \widetilde{u_1}^{p_1} \cdot \widetilde{u_2}^p$. Hence, the step $x = (q, \rightarrow, X, q')$ performed by $\widetilde{\mathcal{A}}$ on \widetilde{u}^p is actually the concatenation of



Figure 10: In the concatenation $E = E_1 \cdot E_2$, a step $x = (q, \rightarrow, X, q') \in s_E^p$ on some $u_1 u_2$ with $u_1 \in E_1$ and $u_2 \in E_2$, is obtained by composing the following steps alternatively from $s_{E_1}^{p_1}$ and $s_{E_2}^p$ for a unique state p_1 : $x_1 = (q, \rightarrow, X_1, q_1), x_2 = (q_1, \nearrow, X_2, q_2), x_3 = (q_2, \subsetneq, X_3, q_3), x_4 = (q_3, \swarrow, X_4, q_4), x_5 = (q_4, \lneq, X_5, q_5), x_6 = (q_5, \rightarrow, X_6, q')$ with $X = X_1 \cup \cdots \cup X_6$.

steps x_1 on $\widetilde{u_1}^{p_1}$, followed by x_2 on $\widetilde{u_2}^p$, followed by x_3 on $\widetilde{u_1}^{p_1}$, followed by x_4 on $\widetilde{u_2}^p$, ..., until x_{i+1} on $\widetilde{u_2}^p$. Using (J_2) for E_1 and E_2 , we deduce that the output produced by $\widetilde{\mathcal{A}}$ while making step x on \widetilde{u}^p is

$$\llbracket C_{E_1}^{p_1}(x_1) \rrbracket (u_1) \cdot \llbracket C_{E_2}^{p}(x_2) \rrbracket (u_2) \cdots \llbracket C_{E_1}^{p_1}(x_i) \rrbracket (u_1) \cdot \llbracket C_{E_2}^{p}(x_{i+1}) \rrbracket (u_2)$$

= $\llbracket C_{E}^{p}(x) \rrbracket (u)$

Therefore, (J_2) holds for E and step $x = (q, \rightarrow, X, q')$. The proof is obtained 964 mutatis mutandis for the other cases $x = (q, \gtrsim, X, q')$ or $x = (q, \varsigma, X, q')$ 965 or $x = (q, \leftarrow, X, q')$. 966 **Kleene-plus** Assume that $E = F^+$. Since E is good, we deduce that $\sigma_E =$ 967 $\sigma_F = \sigma = (r^p, b^p, s^p)_{p \in P}$ is an idempotent of the transition monoid TrM. 968 Notice that for all $p \in P$, since σ is an idempotent, we have $r^{r^p} = r^p$. 969 We first define C_E^p for states $p \in P$ such that $p = r^p$. Let $x \in s^p$. 970 • If $x = (q, \gtrsim, X, q')$. Since F^+ is unambiguous, a word $u \in \mathcal{L}(F^+)$ 971 admits a unique factorization $u = u_1 u_2 \cdots u_n$ with $n \ge 1$ and $u_i \in$ 972 $\mathcal{L}(F)$. Now, $\mathsf{Tr}(u_i) = \sigma$ for all $1 \leq i \leq n$ and since $p = r^p$ we deduce 973 that $\widetilde{u}^p = \widetilde{u_1}^p \widetilde{u_2}^p \cdots \widetilde{u_n}^p$. Since $x = (q, \mathfrak{z}, X, q') \in s^p$, the unique 974 run ρ of \mathcal{A} starting in state q on the left of $\widetilde{u_1}^p$ exits on the left in 975 state q'. Therefore, the unique run of \mathcal{A} starting in state q on the 976 left of \widetilde{u}^p only visits $\widetilde{u_1}^p$ and is actually ρ itself. Therefore, we set 977 $C^p_E(x) = C^p_F(x) \boxdot (F^* ? \varepsilon : \bot)$ and we can easily check that $(\mathsf{J}_1 - \mathsf{J}_2)$ 978 are satisfied. 979 • Similarly for $x = (q, \zeta, X, q')$ we set $C_E^p(x) = (F^* ? \varepsilon : \bot) \boxdot C_F^p(x)$. 980 If $x = (q, \rightarrow, X, q')$. Since σ is an idempotent, we have $x \in s^p \cdot s^p$. We 981 distinguish two cases depending on whether the step $y \in s^p$ starting 982 in state q' from the left goes to the right or goes back to the left. 983 First, if $y = (q', \rightarrow, X_2, q_2) \in s^p$ goes to the right. Since s^p is an 984 idempotent, following x in $s^p \cdot s^p$ is the same as following x in (the 985 first) s^p and then y in (the second) s^p . Therefore, we must have 986 $q_2 = q'$ and $X_2 \subseteq X$. In this case, we set $C_E^p(x) = F?C_F^p(x) : (C_F^p(x) \boxdot (C_F^p(y))^{\boxplus}).$ 987 988 Second, if $y = (q', \geq, X_2, q_2) \in s^p$ goes to the left. Since s^p is an idempotent, there exists a unique sequence of steps in s^p : $x_1 = x$, $x_2 = y, x_3 = (q_2, \varsigma, X_3, q_3), x_4 = (q_3, \varsigma, X_4, q_4), \ldots, x_i = (q_{i-1}, \varsigma)$



Figure 11: In the Kleene-plus $E = F^+$, a step $x = (q, \rightarrow, X, q') \in s_E^p$ on some $u = u_1 u_2 \cdots u_n$ with $u_\ell \in \mathcal{L}(F)$ is obtained by composing the following steps in s_F^p : $x_1 = x, x_2 = (q', \supset, X_2, q_2),$ $x_3 = (q_2, \subseteq, X_3, q_3), x_4 = (q_3, \supset, X_4, q_4), x_5 = (q_4, \subseteq, X_5, q_5), x_6 = (q_5, \rightarrow, X_6, q')$ with $X = X_1 \cup \cdots \cup X_6.$

 $X_i, q_i, x_{i+1} = (q_i, \rightarrow, X_{i+1}, q')$ with $i \ge 3$ (see Figure 11). We define

$$C_E^p(x) = \left(C_F^p(x) \boxdot (F^* ? \varepsilon : \bot)\right) \odot [F, C']^{2\boxplus}$$

$$C' = \left((F ? \varepsilon : \bot) \boxdot C_F^p(x_2)\right) \odot \left(C_F^p(x_3) \boxdot C_F^p(x_4)\right) \odot \cdots \odot$$

$$\left(C_F^p(x_i) \boxdot C_F^p(x_{i+1})\right)$$

The proof of correctness, i.e., that (J_1-J_2) are satisfied for E, is as in Section 2.6.

• If $x = (q, \leftarrow, X, q')$, the proof is obtained mutatis mutandis, using the backward unambiguous (2-chained) Kleene-plus $C^{\overleftarrow{\boxplus}}$ and $[K, C]^{\overleftarrow{2\boxplus}}$.

989

990

991

992

993

994

995

996

997 998

999

1000

1001

1002

1003

1004

1005

Now, we consider $p \in P$ with $r^p \neq p$. We let $p' = r^p$. We have already noticed that since σ is idempotent we have $r^{p'} = p'$. Consider a word $u \in \mathcal{L}(F^+)$. Since F^+ is unambiguous, u admits a unique factorization $u = u_1 \cdots u_{n-1} u_n$ with $n \geq 1$ and $u_i \in \mathcal{L}(F)$. Now, $\operatorname{Tr}(u_i) = \sigma$ for all $1 \leq i \leq n$. Using $r^p = p'$ and $r^{p'} = p'$ we deduce that $\widetilde{u}^p = \widetilde{u_1}^{p'} \cdots \widetilde{u_{n-1}}^{p'} \widetilde{u_n}^p$. So when n > 1, the expression C_E^p that we need to compute is like the concatenation of $C_E^{p'}$ on the first n - 1 factors with C_F^p on the last factor. Since $r^{p'} = p'$ we have already seen how to compute $C_E^{p'}$. We also know how to handle concatenation. So it should be no surprise that we can compute C_E^p when $p \neq r^p$. We define now formally $C_E^p(x)$ for $x \in s^p$.

• If $x = (q, \supset, X, q') \in s^p$. There are two cases depending on whether the step $y \in s^{p'}$ starting in state q from the left goes back to the left or goes to the right.

If it goes back to the left, then $y = (q, \gtrsim, X, q') = x$ since $s^p = s^{p'} \cdot s^p$



Figure 12: (Left) Given a look-ahead $p \in P$, a step (q, \rightarrow, q') of $\vdash u$ for some u with $\operatorname{Tr}(u) = (r^p, b^p, s^p)_{p \in P}$, is obtained by composing the following steps alternatively from s_{\downarrow}^p and s^p : $x_1 = (q, \rightarrow, q_1), x_2 = (q_1, \supset, X_2, q_2), x_3 = (q_2, \subsetneq, q_3), x_4 = (q_3, \supset, X_4, q_4), x_5 = (q_4, \lneq, q_5), x_6 = (q_5, \rightarrow, X_6, q')$. (Right) Similarly, a step (q, \subsetneq, q') of $\vdash u$ is obtained by composing the following steps alternatively from s^p and s_{\downarrow}^p : $x_1 = (q, \leftarrow, X_1, q_1), x_2 = (q_1, \subsetneq, q_2), x_3 = (q_2, \supset, X_3, q_3), x_4 = (q_3, \subsetneq, q_4), x_5 = (q_4, \rightarrow, X_5, q')$.

(recall that σ is idempotent) and we define

$$C_{E}^{p}(x) = F ? C_{F}^{p}(x) : (C_{F}^{p'}(x) \boxdot (F^{+} ? \varepsilon : \bot)).$$

If it goes to the right, then $y = (q, \rightarrow, X_1, q_1)$ and there exists a unique sequence of steps: $x_1 = y$, $x_2 = (q_1, \supset, X_2, q_2)$, $x_3 = (q_2, \subset, X_3, q_3)$, $x_4 = (q_3, \supset, X_4, q_4)$, ..., $x_i = (q_{i-1}, \leftarrow, X_i, q')$ with $i \ge 3$, $x_1, x_3, \ldots, x_i \in s^{p'}$ and $x_2, \ldots, x_{i-1} \in s^p$. Notice that $X = X_1 \cup \cdots \cup X_i$. We define $C_E^p(x) = F ? C_F^p(x) : C'$ where

$$C' = (C_E^{p'}(x_1) \boxdot C_F^p(x_2)) \odot \cdots \odot (C_E^{p'}(x_{i-2}) \boxdot C_F^p(x_{i-1})) \odot (C_E^{p'}(x_i) \boxdot (F ? \varepsilon : \bot)).$$

We can check that (J_1-J_2) are satisfied for (E, p, x).

1006 1007 1008

1009

1010

1011

1012

• If $x = (q, \leftarrow, X, q') \in s^p$. There are two cases depending on whether the step $y \in s^{p'}$ starting in state q' from the right goes to the left or

goes back to the right. If it goes to the left, then $y = (q', \leftarrow, X', q')$ with $X' \subseteq X$ and we define

 $C_E^p(x) = F ? C_F^p(x) : (C_E^{p'}(y) \overleftarrow{\boxdot} C_F^p(x)).$

If it goes back to the right, then $y = (q', \varsigma, X_2, q_2)$ and there exists a unique sequence of steps: $x_1 = x, x_2 = y, x_3 = (q_2, \varsigma, X_3, q_3),$ $x_4 = (q_3, \varsigma, X_4, q_4), \ldots, x_i = (q_{i-1}, \varsigma, X_i, q_i) x_{i+1} = (q_i, \leftarrow, X_{i+1}, q')$ with $i \ge 3, x_1, x_3, \ldots, x_i \in s^p$ and $x_2, \ldots, x_{i+1} \in s^{p'}$. Notice that $X_2 \cup \cdots \cup X_{i+1} \subseteq X$. We define $C_E^p(x) = F ? C_F^p(x) : C'$ where

$$C' = (C_E^{p'}(x_2) \overleftarrow{\boxdot} C_F^p(x_1)) \odot \cdots \odot (C_E^{p'}(x_{i-1}) \overleftarrow{\boxdot} C_F^p(x_{i-2})) \odot (C_E^{p'}(x_{i+1}) \overleftarrow{\boxdot} C_F^p(x_i)).$$

We can check that (J_1-J_2) are satisfied for (E, p, x).

• The cases $x = (q, \rightarrow, X, q') \in s^p$ and $x = (q, \varsigma, X, q') \in s^p$ can be handled similarly.

¹⁰¹³ We now define RTEs corresponding to the left part of the computation of ¹⁰¹⁴ the ω -2DMT_{la} \mathcal{A} , i.e., on some input $\vdash u$ consisting of the left end-marker and ¹⁰¹⁵ some finite word $u \in \Sigma^+$. As before, the look-ahead is determined by the state ¹⁰¹⁶ of the BDBA \mathcal{B} .

- **Lemma 30.** Let F be an ε -free Tr-good rational expression. For each state 1017 $p \in P$ and $q \in Q$, there is a unique state $q' \in Q$ and RTEs $C^p_{\vdash F}((q, \rightarrow, q'))$ (resp. 1018 1019
- 1020
- 1021
- output produced by $\widetilde{\mathcal{A}}$ on $\widetilde{\vdash u}^p$ when starting on the left (resp. right) in state 1022 q until it exists on the right in state q'. 1023
- *Proof.* Let $\sigma = (r^p, b^p, s^p)_{p \in P} = \mathsf{Tr}(F)$. We fix some state $p \in P$. For all words 1024 $u \in \mathcal{L}(F)$, we have $\widetilde{\vdash u}^p = (\vdash, r^p)\widetilde{u}^p$. Let s^p_{\vdash} be the set of steps $(q, \rightarrow, q'), (q, \subsetneq, q')$ 1025 such that $\delta(q, (\vdash, r^p)) = (q', \gamma_q^p, +1)$ in \mathcal{A} . 1026

For each $q \in Q$, there is a unique sequence of steps $x_1 = (q, \rightarrow, q_1), x_2 =$ $(q_1, \gtrsim, X_2, q_2), x_3 = (q_2, \varsigma, q_3), x_4 = (q_3, \gtrsim, X_4, q_4), \dots, x_i = (q_{i-1}, \varsigma, q_i), x_{i+1} =$ $(q_i, \rightarrow, X_{i+1}, q')$ with $i \ge 1, x_1, x_3, \dots, x_i \in S^p_{\vdash}$ and $x_2, x_4, \dots, x_{i+1} \in S^p$ (see Figure 12 left). We define

$$C^p_{\vdash F}((q, \to, q')) = \gamma^p_q \odot C^p_F(x_2) \odot \gamma^p_{q_2} \odot C^p_F(x_4) \odot \cdots \odot \gamma^p_{q_{i-1}} \odot C^p_F(x_{i+1}).$$

Using Lemma 29, we can show that $\mathcal{L}(F) = \mathsf{dom}(C^p_{\vdash F}((q, \to, q')))$ and also that 1027 for each $u \in \mathcal{L}(F)$, $[\![C^p_{\vdash F}((q, \rightarrow, q'))]\!](u)$ is the output produced by \mathcal{A} on $\vdash u^F$ 1028 when starting on the left in state q until it exists on the right in state q'. 1029

For each $q \in Q$, there is a unique sequence of steps $x_1 = (q, \leftarrow, X_1, q_1)$, $x_2 = (q_1, \zeta, q_2), x_3 = (q_2, z, X_3, q_3), x_4 = (q_3, \zeta, q_4), \dots, x_i = (q_{i-1}, \zeta, q_i),$ $x_{i+1} = (q_i, \to, X_{i+1}, q')$ with $i \ge 2, x_2, x_4, \dots, x_i \in S^p_{\vdash}$ and $x_1, x_3, \dots, x_{i+1} \in S^p$ (see Figure 12 right). We define

$$C^p_{\vdash F}((q,\varsigma,q')) = C^p_F(x_1) \odot \gamma^p_{q_1} \odot C^p_F(x_3) \odot \gamma^p_{q_3} \odot \cdots \odot \gamma^p_{q_{i-1}} \odot C^p_F(x_{i+1}).$$

Using Lemma 29, we can show that $\mathcal{L}(F) = \mathsf{dom}(C^p_{\vdash F}((q, \varsigma, q')))$ and also that 1030 for each $u \in \mathcal{L}(F)$, $[\![C^p_{\vdash F}((q, \varsigma, q'))]\!](u)$ is the output produced by $\widetilde{\mathcal{A}}$ on $\widetilde{\vdash u}^p$ 1031 when starting on the right in state q until it exists on the right in state q'. \Box 1032

Lemma 31. Let $F \cdot G^{\omega}$ be an unambiguous rational expression such that F and 1033 G are ε -free Tr-good rational expressions and $Tr(G) = \sigma = (r^p, b^p, s^p)_{p \in P}$ is an 1034 idempotent in the transition monoid TrM of $(\mathcal{A}, \mathcal{B})$. We can construct an ω -RTE 1035 $C_{FG^{\omega}}$ such that dom $(C_{FG^{\omega}}) = \mathcal{L}(FG^{\omega}) \cap \text{dom}(\mathcal{A})$ and for each $w \in \text{dom}(C_{FG^{\omega}})$, 1036 $\llbracket C_{FG^{\omega}} \rrbracket(w) = \llbracket \mathcal{A} \rrbracket(w).$ 1037

Proof. We first show that there exists one and only one state $p \in P$ such that 1038 $r^p = p$ and $b^p = 1$. For the existence, consider a word $w = u_1 u_2 u_3 \cdots \in \mathcal{L}(FG^{\omega})$ 1039 with $u_1 \in \mathcal{L}(F)$ and $u_n \in \mathcal{L}(G)$ for all $n \geq 2$. By definition of BDBA there is a 1040 unique final run of \mathcal{B} over w: $p_0, u_1, p_1, u_2, p_2, \ldots$ Let us show first that $p_n = p_1$ 1041 for all $n \ge 1$. Since σ is idempotent, we have $\mathsf{Tr}(u_2 \cdots u_{n+1}) = \mathsf{Tr}(u_{n+1})$. Since 1042 $p_1 \xleftarrow{u_2 \cdots u_{n+1}} p_{n+1}$ and $p_n \xleftarrow{u_{n+1}} p_{n+1}$, we deduce that $p_1 = r^{p_{n+1}} = p_n$. This 1043 implies $p_1 = r^{p_2} = r^{p_1}$. Let $p = p_1$ so that $p = r^p$ and the final run of \mathcal{B} on 1044 w is $p_0, u_1, p, u_2, p, \ldots$ Now, for all $n \ge 2$ we have $\mathsf{Tr}(u_n) = \sigma$ and we deduce 1045 that $p \xleftarrow{u_n} p$ visits a final state from Fin iff $b^p = 1$. Since the run is accepting, 1046 we deduce that indeed $b^p = 1$. To prove the unicity, let $p \in P$ with $p = r^p$ and 1047 $b^p = 1$. Let $v \in \mathcal{L}(G)$. We have $p \stackrel{v}{\leftarrow} p$ and this subrun visits a final state from 1048 Fin. Therefore, $p, v, p, v, p, v, p, \dots$ is a final run of \mathcal{B} on v^{ω} . Since \mathcal{B} is BDBA, 1049 there is a unique final run of \mathcal{B} on v^{ω} , which proves the unicity of p. 1050

We apply Lemma 30. We denote by $s'_{\vdash F}$ the set of triples $(q, d, q') \in Q \times \{ \rightarrow , \varsigma \} \times Q$ such that the RTE $C^p_{\vdash F}(q, d, q')$ is defined.

Starting from the initial state q_0 of \mathcal{A} , there exists a unique sequence of steps $x'_1 = (q_0, \rightarrow, q'_1), x'_2 = (q'_1, \supset, X'_2, q'_2), x'_3 = (q'_2, \subset, q'_3), x'_4 = (q'_3, \supset, X'_4, q'_4), \ldots, x'_i = (q'_{i-1}, \subset, q'_i), x'_{i+1} = (q'_i, \rightarrow, X'_{i+1}, q)$ with $i \ge 1, x'_1, x'_3, \ldots, x'_i \in s'_{\vdash F}$ and $x'_2, x'_4, \ldots, x'_{i+1} \in s^p$. We define

$$C_1 = \left(C^p_{\vdash F}(x'_1) \boxdot C^p_G(x'_2)\right) \odot \left(C^p_{\vdash F}(x'_3) \boxdot C^p_G(x'_4)\right) \odot \cdots \odot \left(C^p_{\vdash F}(x'_i) \boxdot C^p_G(x'_{i+1})\right)$$
$$C_2 = C_1 \boxdot \left(G^{\omega} ? \varepsilon : \bot\right).$$

We have $\operatorname{dom}(C_1) = FG$ and $\operatorname{\widetilde{\vdash}} u_1 u_2^p = \operatorname{\widetilde{\vdash}} u_1^p \widetilde{u_2}^p$ for all $u_1 \in F$ and $u_2 \in G$. Moreover, $\llbracket C_1 \rrbracket (u_1 u_2)$ is the output produced by $\widetilde{\mathcal{A}}$ on $\operatorname{\widetilde{\vdash}} u_1 u_2^p$ when starting on the left in the initial state q_0 until it exists on the right in state q. Now, C_2 is an ω -RTE with $\operatorname{dom}(C_2) = FG^{\omega}$ and for all $w = u_1 u_2 u_3 \ldots \in FG^{\omega}$ with $u_1 \in F$ and $u_n \in G$ for all n > 1, we have $\llbracket C_2 \rrbracket (w) = \llbracket C_1 \rrbracket (u_1 u_2) \in \Gamma^*$.

Now, we distinguish two cases. First, assume that there is a step $x = (q, \rightarrow, X, q') \in s^p$. Since σ is idempotent, so is s^p , and since $x'_{i+1} = (q'_i, \rightarrow, X'_{i+1}, q) \in s^p$ we deduce that q' = q. Therefore, the unique run of $\widetilde{\mathcal{A}}$ on $\widetilde{\mathsf{Iw}} = \widetilde{\mathsf{Iu}}_1^p \widetilde{u_2}^p \widetilde{u_3}^p \cdots$ follows the steps $x'_1 x'_2 \cdots x'_i x'_{i+1} x x x \cdots$. Hence, the set of states visited infinitely often along this run is X and the run is accepting iff $X \in \mathcal{F}$ is a Muller set. Therefore, if $X \notin \mathcal{F}$ we have $FG^{\omega} \cap \mathsf{dom}(\mathcal{A}) = \emptyset$ and we set $C_{FG^{\omega}} = \bot$. Now, if $X \in \mathcal{F}$ we have $FG^{\omega} \subseteq \mathsf{dom}(\mathcal{A})$ and we set

$$C_{FG^{\omega}} = C_2 \odot \left((FG? \varepsilon : \bot) \boxdot C_G^p(x)^{\omega} \right).$$

We have $\operatorname{\mathsf{dom}}(C_{FG^{\omega}}) = FG^{\omega}$ and for all $w = u_1 u_2 u_3 \ldots \in FG^{\omega}$ with $u_1 \in F$ and $u_n \in G$ for all n > 1, we have

$$[\![C_{FG^{\omega}}]\!](w) = [\![C_1]\!](u_1u_2)[\![C_G^p(x)]\!](u_3)[\![C_G^p(x)]\!](u_4)\cdots$$

¹⁰⁵⁸ By (J₂), we know that for all $n \geq 3$, $\llbracket C_G^p(x) \rrbracket (u_n)$ is the output produced by ¹⁰⁵⁹ $\widetilde{\mathcal{A}}$ when running step $x = (q, \to, X, q)$ on $\widetilde{u_n}^p$. We deduce that $\llbracket C_{FG^{\omega}} \rrbracket (w) =$ ¹⁰⁶⁰ $\llbracket \widetilde{\mathcal{A}} \rrbracket (\widetilde{\vdash w}) = \llbracket \mathcal{A} \rrbracket (w)$ as desired.

The second case is when the unique step $x_1 = (q, \supset, X_1, q_1)$ in s^p which starts from the left in state q exits on the left. Since s^p is idempotent and $x'_{i+1} = (q'_i, \rightarrow, X'_{i+1}, q) \in s^p$, by definition of the product $s^p \cdot s^p$, there is a unique sequence of steps $x_2 = (q_1, \subseteq, X_2, q_2), x_3 = (q_2, \supset, X_3, q_3), \ldots, x_j = (q_{j-1}, \subseteq, X_j, q_j), x_{j+1} = (q_j, \rightarrow, X_{j+1}, q)$ in s^p with $j \ge 2$. Therefore, for all $w = u_1 u_2 u_3 \ldots \in FG^{\omega}$ with $u_1 \in F$ and $u_n \in G$ for all n > 1, the unique run of $\widetilde{\mathcal{A}}$ on $\widetilde{\mathcal{H}w} = \widetilde{\mathcal{H}u_1}^p \widetilde{u_2}^p \widetilde{u_3}^p \cdots$ follows the steps $x'_1 x'_2 \cdots x'_i x'_{i+1} (x_1 x_2 x_3 \cdots x_j x_{j+1})^{\omega}$. Hence, the set of states visited infinitely often along this run is $X = X_1 \cup X_2 \cup \cdots \cup X_{j+1}$. We deduce that the run is accepting iff $X \in \mathcal{F}$ is a Muller set. Therefore, if $X \notin \mathcal{F}$ we have $FG^{\omega} \cap \operatorname{dom}(\mathcal{A}) = \emptyset$ and we set $C_{FG^{\omega}} = \bot$. Now, if $X \in \mathcal{F}$ we have $FG^{\omega} \subseteq \operatorname{dom}(\mathcal{A})$ and we set

$$C_{3} = \left((G ? \varepsilon : \bot) \boxdot C_{G}^{p}(x_{1}) \right) \odot \left(C_{G}^{p}(x_{2}) \boxdot C_{G}^{p}(x_{3}) \right) \odot \cdots \odot \\ \left(C_{G}^{p}(x_{j}) \boxdot C_{G}^{p}(x_{j+1}) \right) \\ C_{FG^{\omega}} = C_{2} \odot \left((F ? \varepsilon : \bot) \boxdot [G, C_{3}]^{2\omega} \right).$$

We have dom $(C_{FG^{\omega}}) = FG^{\omega}$ and for all $w = u_1 u_2 u_3 \ldots \in FG^{\omega}$ with $u_1 \in F$ and $u_n \in G$ for all n > 1, we have

$$\llbracket C_{FG^{\omega}} \rrbracket(w) = \llbracket C_1 \rrbracket(u_1 u_2) \llbracket C_3 \rrbracket(u_2 u_3) \llbracket C_3 \rrbracket(u_3 u_4) \cdots$$

Using (J_2) , we can check that this is the output produced by $\widetilde{\mathcal{A}}$ when running on $\widetilde{\vdash w}$. We deduce that $[\![C_{FG^{\omega}}]\!](w) = [\![\widetilde{\mathcal{A}}]\!](\widetilde{\vdash w}) = [\![\mathcal{A}]\!](w)$ as desired. \Box

¹⁰⁶³ We are now ready to prove that ω -2DMT_{la} are no more expressive than ¹⁰⁶⁴ ω -RTEs.

Proof of Theorem 21 (2). We use the notations of the previous sections, in particular for the ω -2DMT_{la} \mathcal{A} , the BDBA \mathcal{B} . We apply Theorem 28 to the canonical morphism Tr from Σ^* to the transition monoid TrM of $(\mathcal{A}, \mathcal{B})$. We obtain an unambiguous rational expression $G = \bigcup_{k=1}^m F_k \cdot G_k^{\omega}$ over Σ such that $\mathcal{L}(G) = \Sigma^{\omega}$ and for all $1 \leq k \leq m$ the expressions F_k and G_k are ε -free Tr-good rational expressions and σ_{G_k} is an idempotent, where $\operatorname{Tr}(G_k) = \{\sigma_{G_k}\}$. For each $1 \leq k \leq m$, let $C_k = C_{F_k G_k^{\omega}}$ be the ω -RTE given by Lemma 31. We define the final ω -RTE as

$$C = F_1 G_1^{\omega} ? C_1 : (F_2 G_2^{\omega} ? C_2 : \cdots (F_{m-1} G_{m-1}^{\omega} ? C_{m-1} : C_m)).$$

From Lemma 31, we can easily check that $\operatorname{dom}(C) = \operatorname{dom}(\mathcal{A})$ and $\llbracket C \rrbracket(w) = \llbracket \mathcal{A} \rrbracket(w)$ for all $w \in \operatorname{dom}(C)$.

1067 4. Conclusion

The main contribution of the paper is to give a characterisation of regular 1068 string transductions using some combinators, giving rise to regular transducer 1069 expressions (RTE). Our proof uniformly works well for finite and infinite string 1070 transformations. RTE are a succinct specification mechanism for regular transfor-1071 mations just like regular expressions are for regular languages. It is worthwhile 1072 to consider extensions of our technique to regular tree transformations, or in 1073 other settings where more involved primitives such as sorting or counting are 1074 needed. The minimality of our combinators in achieving expressive completeness, 1075 as well as computing complexity measures for the conversion between RTEs and 1076 1077 two-way transducers are open.

- [1] Rajeev Alur and Pavol Černý. Expressiveness of streaming string transducers. In Kamal Lodaya and Meena Mahajan, editors, 30th IARCS Annual Conference on Foundations of Software Technology and Theoretical Computer Science (FSTTCS 2010), volume 8 of Leibniz International Proceedings in Informatics (LIPIcs), pages 1–12. Schloss Dagstuhl-Leibniz-Zentrum fuer Informatik, 2010.
- 1084 [2] Rajeev Alur and Loris D'Antoni. Streaming tree transducers. J. ACM, 1085 64(5):31:1-31:55, 2017.
- [3] Rajeev Alur, Loris D'Antoni, and Mukund Raghothaman. DReX: A declarative language for efficiently evaluating regular string transformations. In Srinam K. Rajamani and David Walker, editors, 42nd Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages - POPL'15, pages 125–137. ACM Press, 2015.

- [4] Rajeev Alur, Emmanuel Filiot, and Ashutosh Trivedi. Regular transformations of infinite strings. In *Proceedings of the 27th Annual IEEE Symposium on Logic in Computer Science, LICS 2012, Dubrovnik, Croatia, June 25-28,* 2012, pages 65–74. IEEE Computer Society, 2012.
- [5] Rajeev Alur, Adam Freilich, and Mukund Raghothaman. Regular combinators for string transformations. In Thomas A. Henzinger and Dale Miller, editors, Joint Meeting of the 23rd EACSL Annual Conference on Computer Science Logic (CSL) and the 29th Annual ACM/IEEE Symposium on Logic in Computer Science (LICS), CSL-LICS '14, Vienna, Austria, July 14 - 18, 2014, pages 9:1–9:10. ACM, 2014.
- [6] Nicolas Baudru and Pierre-Alain Reynier. From two-way transducers to
 regular function expressions. In Mizuho Hoshi and Shinnosuke Seki, editors,
 22nd International Conference on Developments in Language Theory, DLT
 2018, volume 11088 of Lecture Notes in Computer Science, pages 96–108.
 Springer, 2018.
- ¹¹⁰⁶ [7] Jean-Camille Birget. Concatenation of inputs in a two-way automaton. ¹¹⁰⁷ Theoretical Computer Science, 63(2):141–156, 1989.
- [8] Mikołaj Bojańczyk, Laure Daviaud, Bruno Guillon, and Vincent Penelle.
 Which classes of origin graphs are generated by transducers. In 44th International Colloquium on Automata, Languages, and Programming (ICALP'17),
 volume 80 of LIPIcs, pages 114:1–114:13. Schloss Dagstuhl - Leibniz-Zentrum
 fuer Informatik, 2017.
- [9] Olivier Carton and Luc Dartois. Aperiodic two-way transducers and fotransductions. In *Proceedings of the 24th EACSL Annual Conference on Computer Science Logic (CSL'15)*, volume 41 of *LIPIcs*, pages 160–174.
 Schloss Dagstuhl - Leibniz-Zentrum fuer Informatik, 2015.
- [10] Olivier Carton and Max Michel. Unambiguous Büchi automata. *Theoretical Computer Science*, 297(1-3):37–81, Mar 2003.
- [11] Michal P. Chytil and Vojtěch Jákl. Serial composition of 2-way finite-state transducers and simple programs on strings. In Arto Salomaa and Magnus Steinby, editors, 4th International Colloquium on Automata, Languages and Programming (ICALP'77), pages 135–147. Springer Berlin Heidelberg, 1977.
- [12] Thomas Colcombet. Factorization forests for infinite words and applications
 to countable scattered linear orderings. *Theoretical Computer Science*,
 411(4-5):751-764, Jan 2010.
- ¹¹²⁶ [13] Thomas Colcombet. The factorisation forest theorem. To appear in Hand-¹¹²⁷ book "Automata: from Mathematics to Applications", 2013.
- [14] Bruno Courcelle. The expression of graph properties and graph transformations in monadic second-order logic. In Grzegorz Rozenberg, editor, *Handbook of Graph Grammars and Computing by Graph Transformations*, Volume 1: Foundations, pages 313–400. World Scientific, 1997.

- [15] Vrunda Dave, Paul Gastin, and ShankaraNarayanan Krishna. Regular Transducer Expressions for Regular Transformations. In *Proceedings of the 33rd Annual ACM/IEEE Symposium on Logic In Computer Science* (LICS'18), pages 315–324, Oxford, UK, July 2018. ACM Press.
- [16] Vrunda Dave, Paul Gastin, and ShankaraNarayanan Krishna. Regular
 transducer expressions for regular transformations. *CoRR*, abs/1802.02094,
 2018.
- [17] Vrunda Dave, Shankara Narayanan Krishna, and Ashutosh Trivedi. FOdefinable transformations of infinite strings. In Akash Lal, S. Akshay, Saket
 Saurabh, and Sandeep Sen, editors, 36th IARCS Annual Conference on Foundations of Software Technology and Theoretical Computer Science (FSTTCS 2016), volume 65 of Leibniz International Proceedings in Informatics (LIPIcs), pages 12:1–12:14. Schloss Dagstuhl-Leibniz-Zentrum fuer Informatik, 2016.
- [18] Manfred Droste, Werner Kuich, and Heiko Vogler. Handbook of Weighted
 Automata. Springer Publishing Company, 1st edition, 2009.
- [19] Joost Engelfriet and Hendrik Jan Hoogeboom. MSO definable string trans ductions and two-way finite-state transducers. ACM Transactions on Com putational Logic, 2(2):216-254, Apr 2001.
- [20] Emmanuel Filiot, Shankara Narayanan Krishna, and Ashutosh Trivedi.
 First-order Definable String Transformations. In Venkatesh Raman and S. P.
 Suresh, editors, 34th International Conference on Foundation of Software
 Technology and Theoretical Computer Science (FSTTCS 2014), volume 29
 of Leibniz International Proceedings in Informatics (LIPIcs), pages 147–159.
 Schloss Dagstuhl-Leibniz-Zentrum fuer Informatik, 2014.
- [21] Paul Gastin and ShankaraNarayanan Krishna. Unambiguous forest factor ization. CoRR, abs/1810.07285, 2018.
- [22] J. C. Shepherdson. The reduction of two-way automata to one-way automata.
 IBM Journal of Research and Development, 3(2):198–200, 1959.
- [23] Imre Simon. Factorization forests of finite height. Theoretical Computer
 Science, 72(1):65–94, Apr 1990.
- [24] Thomas Wilke. Backward deterministic Büchi automata on infinite words.
 In 37th IARCS Annual Conference on Foundations of Software Technology
 and Theoretical Computer Science (FSTTCS'17), volume 93 of LIPIcs,
 pages 6:1–6:9. Schloss Dagstuhl Leibniz-Zentrum fuer Informatik, 2017.

¹¹⁶⁷ Appendix A. 2DFT to RTE: A practical example

¹¹⁶⁸ We show in this section how one computes an RTE equivalent to the 2DFT ¹¹⁶⁹ \mathcal{A} of Figure 2.

1. We work with the morphism $\mathsf{Tr}: \Sigma^* \to \mathsf{Tr}\mathsf{M}$ which maps words $w \in \Sigma^*$ to 1170 the transition monoid TrM of \mathcal{A} . An element $X \in \text{TrM}$ is a set consisting of 1171 triples (p, d, q), where d is a direction $\{ \gtrsim, \leq, \rightarrow, \leftarrow \}$. Given a word $w \in \Sigma^*$. 1172 a triple $(p, \geq, q) \in \mathsf{Tr}(w)$ iff when starting in state p on the left most symbol 1173 of w, the run of \mathcal{A} leaves w on the left in state q. The other directions \subset 1174 (start at the rightmost symbol of w in state p and leave w on the right 1175 in state q), \leftarrow and \rightarrow are similar. In general, we have $w \in \mathsf{dom}(\mathcal{A})$ iff on 1176 input $\vdash w \dashv$, starting on \vdash in the initial state of \mathcal{A} , the run exits on the 1177 right of \dashv in some final state of \mathcal{A} . With the automaton \mathcal{A} of Figure 2 we 1178 have $w \in \mathsf{dom}(\mathcal{A})$ iff $(q_0, \rightarrow, q_2) \in \mathsf{Tr}(w)$. 1179

1180 2. For each $X \in \text{TrM}$ such that $(q_0, \rightarrow, q_2) \in X$, we find an $\text{RTE } C_X$ whose domain is $\text{Tr}^{-1}(X)$ and such that $\llbracket \mathcal{A} \rrbracket(w) = \llbracket C_X \rrbracket(w)$ for all $w \in \text{Tr}^{-1}(X)$. The RTE corresponding to $\llbracket \mathcal{A} \rrbracket$ is the disjoint union of all these RTEs and is written using the if-then-else construct iterating over for all such elements X. For instance, if the monoid elements containing (q_0, \rightarrow, q_2) are X_1, X_2, X_3 then we set $C = \text{Tr}^{-1}(X_1) ? C_{X_1} : (\text{Tr}^{-1}(X_2) ? C_{X_2} : (\text{Tr}^{-1}(X_3) ? C_{X_3} : \bot))$ where \bot stands for a nowhere defined function, i.e., $\text{dom}(\bot) = \emptyset$.

3. Consider the language $L = (ba^+)^+ b \subseteq \mathsf{dom}(\mathcal{A})$. Notice that the regular 1187 expression $(ba^+)^+b$ is not "good". For instance, condition (ii) is violated 1188 since $Tr(bab) \neq Tr(babab)$. Indeed, we can see in Figure A.13 that if we 1189 start on the right of bab in state q_3 then we exit on the left in state q_5 : 1190 $(q_3, \leftarrow, q_5) \in \mathsf{Tr}(bab)$. On the other hand, if we start on the right of babab 1191 in state q_3 then we exit on the right in state q_2 : $(q_3, \varsigma, q_2) \in \mathsf{Tr}(babab)$. 1192 Also, $(q_5, \rightarrow, q_1) \in \mathsf{Tr}(bab)$ while $(q_5, \rightarrow, q_2) \in \mathsf{Tr}(babab)$. It can be seen 1193 that $Tr(a)^2$ is an idempotent, hence $Tr(a^+) = Tr(a)$. We deduce also 1194 $\operatorname{Tr}(ba^+b) = \operatorname{Tr}(bab)^3$. Finally, we have $\operatorname{Tr}((ba^+)^n b) = \operatorname{Tr}(babab)^4$ for all 1195 n > 2. Therefore, to obtain the RTE corresponding to L, we compute 1196 RTEs corresponding to ba^+b and $(ba^+)^+ba^+b$ satisfying conditions (i) and 1197 (ii) of "good" rational expressions. 1198

4. While ba^+b is good since $\operatorname{Tr}(a)$ is an idempotent, $(ba^+)^+ba^+b$ is not good, the reason being that $\operatorname{Tr}(ba^+)$ is not an idempotent. We can check that $\operatorname{Tr}(ba^+ba^+)^5$ is still not idempotent, while $\operatorname{Tr}((ba^+)^i) = \operatorname{Tr}((ba^+)^3)$ for all $i \geq 3$, (see Figure A.13: we only need to argue for $(q_0, \rightarrow, q_3), (q_5, \rightarrow$ $,q_3)$ and (q_6, \rightarrow, q_3) in $\operatorname{Tr}((ba^+)^3)$ is an idempotent⁶. Thus, to compute the RTE for $L = (ba^+)^+b$, we consider the RTEs corresponding to the

 $[\]begin{split} ^{2}\mathrm{Tr}(a) &= \{(q_{1},\rightarrow,q_{1}),(q_{1},\varsigma,q_{1}),(q_{2},\rightarrow,q_{3}),(q_{2},\varsigma,q_{3}),(q_{3},\rightarrow,q_{3}),(q_{3},\varsigma,q_{3}),\\ (q_{4},\leftarrow,q_{4}),(q_{4},\geqslant,q_{4}),(q_{5},\leftarrow,q_{5}),(q_{5},\geqslant,q_{5}),(q_{6},\rightarrow,q_{6}),(q_{6},\varsigma,q_{6})\} \\ ^{3}\mathrm{Tr}(ba^{+}b) &= \{(q_{0},\rightarrow,q_{2}),(q_{0},\varsigma,q_{1}),(q_{1},\geqslant,q_{5}),(q_{1},\varsigma,q_{2}),(q_{2},\geqslant,q_{4}),(q_{2},\leftarrow,q_{5}),\\ (q_{3},\geqslant,q_{4}),(q_{3},\leftarrow,q_{5}),(q_{4},\geqslant,q_{5}),(q_{4},\varsigma,q_{1}),(q_{5},\rightarrow,q_{1}),(q_{5},\varsigma,q_{6}),(q_{6},\rightarrow,q_{2}),(q_{6},\varsigma,q_{1})\} \\ ^{4}\mathrm{Tr}(ba^{+}ba^{+}b) &= \{(q_{0},\rightarrow,q_{2}),(q_{0},\varsigma,q_{1}),(q_{1},\geqslant,q_{5}),(q_{1},\varsigma,q_{2}),(q_{2},\geqslant,q_{4}),(q_{2},\varsigma,q_{2}),\\ (q_{3},\geqslant,q_{4}),(q_{3},\varsigma,q_{2}),(q_{4},\geqslant,q_{5}),(q_{4},\varsigma,q_{1}),(q_{5},\rightarrow,q_{2}),(q_{5},\varsigma,q_{6}),(q_{6},\rightarrow,q_{2}),(q_{6},\varsigma,q_{1})\} \\ ^{5}\mathrm{Tr}(ba^{+}ba^{+}) &= \{(q_{0},\rightarrow,q_{3}),(q_{1},\geqslant,q_{5}),(q_{1},\varsigma,q_{1}),(q_{2},\geqslant,q_{4}),(q_{2},\varsigma,q_{3}),(q_{3},\geqslant,q_{4}),\\ (q_{3},\varsigma,q_{3}),(q_{4},\geqslant,q_{5}),(q_{4},\varsigma,q_{1}),(q_{5},\rightarrow,q_{1}),(q_{2},\geqslant,q_{4}),(q_{2},\varsigma,q_{3}),(q_{3},\geqslant,q_{4}),\\ ^{6}\mathrm{Tr}((ba^{+})^{3}) &= \{(q_{0},\rightarrow,q_{3}),(q_{1},\geqslant,q_{5}),(q_{1},\varsigma,q_{1}),(q_{2},\geqslant,q_{4}),(q_{2},\varsigma,q_{3}),(q_{3},\geqslant,q_{4}),\\ \end{array}$

 $⁽q_3, \varsigma, q_3), (q_4, \gtrsim, q_5), (q_4, \varsigma, q_1), (q_5, \rightarrow, q_3), (q_5, \varsigma, q_6), (q_6, \rightarrow, q_3), (q_6, \varsigma, q_6)\}$

1206 1207 "good" regular expressions $E_1 = ba^+b$, $E_2 = ba^+ba^+b$, $E_3 = [(ba^+)^3]^+b$, $E_4 = [(ba^+)^3]^+ba^+b$ and $E_5 = [(ba^+)^3]^+ba^+ba^+b$.



Figure A.13: Run of \mathcal{A} on an input word in $(ba^+)^+b$.

5. We define by induction, for each "good" expression E and "step" x =1208 (p, d, q) in the monoid element $X = \mathsf{Tr}(E)$ associated with E, an $\mathsf{RTE} C_E(x)$ 1209 whose domain is E and, given a word $w \in E$, it computes $[C_E(x)](w)$ 1210 the output of \mathcal{A} when running step x on w. For instance, if E = a and 1211 $x = (q_5, \leftarrow, q_5)$ the output is b so we set $C_a(q_5, \leftarrow, q_5) = (a?b: \bot)$. The if-1212 then-else ensures that the domain is a. Similarly, we get the RTE associated 1213 with all atomic expressions and steps. For instance, $C_b(q_1, \rightarrow, q_2) = (b ? \varepsilon$: 1214 \bot) = $C_b(q_3, \supseteq, q_4)$. For $u, v \in \Sigma^*$, we introduce the macro u/v = u? $v : \bot$. 1215 We have $\operatorname{\mathsf{dom}}(u/v) = \{u\}$ and $\llbracket u/v \rrbracket(u) = v$. 1216

We turn to the good expression a^+ . If we start on the right of a word $w \in a^+$ 1217 from state q_5 then we read the word from right to left using always the 1218 step (q_5, \leftarrow, q_5) . Therefore, we have $C_{a^+}(q_5, \leftarrow, q_5) = (C_a(q_5, \leftarrow, q_5))^{\overleftarrow{\boxplus}} = (a/b)^{\overleftarrow{\boxplus}}$. Similarly, $C_{a^+}(q_4, \leftarrow, q_4) = (a/a)^{\overleftarrow{\boxplus}}$, $C_{a^+}(q_1, \rightarrow, q_1) = (a/\varepsilon)^{\overleftarrow{\boxplus}} = C_{a^+}(q_6, \rightarrow, q_6)$. Now if we start on the left of a word $w \in a^+$ from 1219 1220 1221 state q_2 then we first take the step (q_2, \rightarrow, q_3) and then we iterate the 1222 step (q_3, \rightarrow, q_3) . Therefore, we have $C_{a^+}(q_2, \rightarrow, q_3) = a ? C_a(q_2, \rightarrow, q_3)$: 1223 $(C_a(q_2, \rightarrow, q_3) \boxdot (C_a(q_3, \rightarrow, q_3))^{\boxplus}) = a?(a/\varepsilon) \colon ((a/\varepsilon) \boxdot (a/\varepsilon)^{\boxplus}), \text{ which is}$ 1224 equivalent to the RTE $(a/\varepsilon)^{\boxplus}$. 1225

We consider now $E = ba^+ba^+$ and the step $x = (q_0, \rightarrow, q_3)$. We have (see Figure A.13)

$$C_E(x) = C_b(q_0, \to, q_1) \boxdot C_{a^+}(q_1, \to, q_1) \boxdot C_b(q_1, \to, q_2) \boxdot C_{a^+}(q_2, \to, q_3)$$
$$= (b/\varepsilon) \boxdot (a/\varepsilon)^{\boxplus} \boxdot (b/\varepsilon) \boxdot (a/\varepsilon)^{\boxplus}$$
$$\approx (ba^+ba^+ ? \varepsilon : \bot).$$

More interesting is the step $y = (q_4, \varsigma, q_1)$ since on a word $w \in E$, the run which starts on the right in state q_4 goes all the way to the left until it reads the first b in state q_5 and then moves to the right until it exits in

state q_1 (see Figure A.13). Therefore, we have

$$\begin{split} C_E(y) &= \left((b/\varepsilon) \overleftarrow{\boxdot} C_{a^+}(q_5, \leftarrow, q_5) \overleftarrow{\boxdot} C_b(q_4, \leftarrow, q_5) \overleftarrow{\boxdot} C_{a^+}(q_4, \leftarrow, q_4) \right) \odot \\ &\quad \left(C_b(q_5, \rightarrow, q_6) \boxdot C_{a^+}(q_6, \rightarrow, q_6) \boxdot C_b(q_6, \rightarrow, q_1) \boxdot C_{a^+}(q_1, \rightarrow, q_1) \right) \\ &= \left((b/\varepsilon) \overleftarrow{\boxdot} (a/b)^{\overleftarrow{\boxplus}} \overleftarrow{\boxdot} (b/\varepsilon) \overleftarrow{\boxdot} (a/a)^{\overleftarrow{\boxplus}} \right) \odot \\ &\quad \left((b/\varepsilon) \boxdot (a/\varepsilon)^{\boxplus} \boxdot (b/\varepsilon) \boxdot (a/\varepsilon)^{\boxplus} \right) \\ &\approx (b/\varepsilon) \overleftarrow{\boxdot} (a/b)^{\overleftarrow{\boxplus}} \overleftarrow{\boxdot} (b/\varepsilon) \overleftarrow{\boxdot} (a/a)^{\overleftarrow{\boxplus}} . \end{split}$$

The leftmost (b/ε) in the first line is used to make sure that the input word belongs to $E = ba^+ba^+$. Composing these steps on the right with b, we obtain the RTE $C_2 = C_{E_2}(q_0, \rightarrow, q_2)$ which describes the behaviour of \mathcal{A} on the subset $E_2 = ba^+ba^+b \subseteq \mathsf{dom}(\mathcal{A})$:

$$C_{2} = (C_{E}(x) \boxdot C_{b}(q_{3}, \Diamond, q_{4})) \odot (C_{E}(y) \boxdot C_{b}(q_{1}, \rightarrow, q_{2}))$$
$$= (C_{E}(x) \boxdot (b/\varepsilon)) \odot (C_{E}(y) \boxdot (b/\varepsilon))$$
$$\approx ((b/\varepsilon) \overleftarrow{\boxdot} (a/b)^{\overleftarrow{\boxdot}} \overleftarrow{\boxdot} (b/\varepsilon) \overleftarrow{\boxdot} (a/a)^{\overleftarrow{\boxdot}}) \boxdot (b/\varepsilon).$$

Therefore, $[\![C_2]\!](ba^{m_1}ba^{m_2}b) = a^{m_2}b^{m_1} = [\![\mathcal{A}]\!](ba^{m_1}ba^{m_2}b).$ 1226

The computation of the RTE $C_{E_3}(q_0, \rightarrow, q_2)$ for $E_3 = [(ba^+)^3]^+ b \subseteq \operatorname{dom}(\mathcal{A})$

1227 1228



Figure A.14: run of a word in $E_3 = [(ba^+)^3]^+ b$

We want to compute the RTE for the step (q_0, \rightarrow, q_2) on a word $u \in E_3$. It can be decomposed as shown in Figure A.14. Unlike the case of E_2 , we have to use the 2-chained Kleene plus. Let $F = (ba^+)^3$ so that $E_3 = F^+b$. We have (see Figure A.14),

$$C_{E_3}(q_0, \rightarrow, q_2) = (C_{F^+}(q_0, \rightarrow, q_3) \boxdot C_b(q_3, \geqslant, q_4)) \odot$$
$$(C_{F^+}(q_4, \leqslant, q_1) \boxdot C_b(q_1, \rightarrow, q_2)).$$

1229 1230

We know that $C_b(q_3, \geq, q_4) = (b/\varepsilon) = C_b(q_1, \rightarrow, q_2)$ hence it remains to compute $C_{F^+}(q_0, \rightarrow, q_3)$ and $C_{F^+}(q_4, \varsigma, q_1)$. First we define RTEs associated

with atomic expressions and steps which are going to be used in constructing 1231 $C_{E_3}(q_0, \to, q_2)$. They are $C_b(q_3, \gtrsim, q_4) = C_b(q_6, \to, q_1) = C_b(q_1, \to, q_2) =$ 1232 $C_b(q_5, \rightarrow, q_6) \ = \ (b/\varepsilon) \ \underset{\leftarrow}{\text{and}} \ C_{a^+}(q_2, \rightarrow, q_3) \ = \ C_{a^+}(q_1, \rightarrow, q_1) \ = \ (a/\varepsilon)^{\boxplus},$ 1233 $C_{a^+}(q_4, \leftarrow, q_4) = (a/a)^{\overleftarrow{\boxplus}}, \ C_{a^+}(q_5, \leftarrow, q_5) = (a/b)^{\overleftarrow{\boxplus}}.$ We compute RTE 1234 $C_F(x)$ for the relevant steps x in the monoid element X = Tr(F). F is an 1235 unambiguous catenation of $E_2 = ba^+ba^+b$ with a^+ and from Figure A.13, 1236 it can be seen that: 1237

(a) For $y_1 = (q_0, \to, q_3)$

$$C_F(y_1) = C_{E_2}(q_0, \to, q_2) \boxdot C_{a+}(q_2, \to, q_3)$$
$$= \left((b/\varepsilon) \overleftarrow{\boxdot} (a/b)^{\overleftarrow{\boxminus}} \overleftarrow{\boxdot} (b/\varepsilon) \overleftarrow{\boxdot} (a/a)^{\overleftarrow{\boxminus}} \right) \boxdot (b/\varepsilon) \boxdot (a/\varepsilon)^{\boxplus}$$

1238 1239 where $C_{E_2}(q_0, \rightarrow, q_2)$ has been computed in Section 1. For example, $[\![C_F(y_1)]\!](ba^{m_1}ba^{m_2}ba^{m_3}) = a^{m_2}b^{m_1}.$

(b) Continuing with the computation for $(ba^+)^3$ as in Figure A.14, for $y_2 = (q_3, \ge, q_4)$, we take the Cauchy product of $C_b(q_3, \ge, q_4)$ with $(a^+ba^+ba^+?\varepsilon:\bot).$

$$C_F(y_2) = C_b(q_3, \ge, q_4) \boxdot (a^+ba^+ba^+?\varepsilon:\bot) \approx ((ba^+)^3?\varepsilon:\bot)$$

 $\llbracket C_F(y_2) \rrbracket (ba^{m_1} ba^{m_2} ba^{m_3}) = \varepsilon.$ For $y_3 = (q_4, \varsigma, q_1)$, we have

(c) For
$$y_3 = (q_4, \zeta, q_1)$$
, we have

$$C_{F}(y_{3}) = (ba^{+}?\varepsilon:\bot) \overleftarrow{\triangleright} C_{ba^{+}ba^{+}}(y_{3})$$

= $(ba^{+}?\varepsilon:\bot) \overleftarrow{\triangleright} ((b/\varepsilon) \overleftarrow{\triangleright} (a/b)^{\overleftarrow{\boxplus}} \overleftarrow{\diamond} (b/\varepsilon) \overleftarrow{\diamond} (a/a)^{\overleftarrow{\boxplus}})$
 $\approx (ba^{+}b?\varepsilon:\bot) \overleftarrow{\triangleright} ((a/b)^{\overleftarrow{\boxplus}} \overleftarrow{\diamond} (b/\varepsilon) \overleftarrow{\diamond} (a/a)^{\overleftarrow{\boxplus}})$

1241 1242

- where $C_{ba+ba+}(y_3)$ is already computed in Section 1. As an example, $[C_F(y_3)](ba^{m_1}ba^{m_2}ba^{m_3}) = a^{m_3}b^{m_2}$.
- (d) For $y_4 = (q_1, \ge, q_5)$, it is similar to the $C_E(y)$ computed for C_{E_2} in Section 1. Here we have

$$\begin{split} C_F(y_4) &= C_{ba^+b}(y_4) \boxdot (a^+ba^+ ? \varepsilon : \bot) \\ &= \left((C_b(q_1, \to, q_2) \boxdot C_{a^+}(q_2, \to, q_3) \boxdot (b/\varepsilon)) \odot \\ &\quad (C_b(q_4, \leftarrow, q_5) \overleftarrow{\boxdot} C_{a^+}(q_4, \leftarrow, q_4) \overleftarrow{\boxdot} C_b(q_3, \supsetneq, q_4)) \right) \boxdot \\ &\quad (a^+ba^+ ? \varepsilon : \bot) \\ &= \left(((b/\varepsilon) \boxdot (a/\varepsilon)^{\boxplus} \boxdot (b/\varepsilon)) \odot ((b/\varepsilon) \overleftarrow{\boxdot} (a/a)^{\overleftarrow{\boxplus}} \overleftarrow{\boxdot} (b/\varepsilon)) \right) \boxdot \\ &\quad (a^+ba^+ ? \varepsilon : \bot) \\ &\approx \left((b/\varepsilon) \overleftarrow{\boxdot} (a/a)^{\overleftarrow{\boxplus}} \overleftarrow{\boxdot} (b/\varepsilon) \right) \boxdot (a^+ba^+ ? \varepsilon : \bot) \end{split}$$

1243

As an example, $[C_F(y_4)](ba^{m_1}ba^{m_2}ba^{m_3}) = a^{m_1}.$

(e) For $y_5 = (q_5, \varsigma, q_6)$, in the computation of $C_F(y_5)$ we need $C_{ba^+}(y_5)$. Thus, we compute $C_{ba^+}(y_5)$ below whose computation is similar to

 $C_E(y)$ computed above.

$$\begin{aligned} C_{ba^+}(y_5) &= ((b/\varepsilon) \overleftarrow{\boxdot} C_{a^+}(q_5, \leftarrow, q_5)) \odot (C_b(q_5, \rightarrow, q_6) \boxdot C_{a^+}(q_6, \rightarrow, q_6)) \\ &= ((b/\varepsilon) \overleftarrow{\boxdot} (a/b)^{\overleftarrow{\boxplus}}) \odot ((b/\varepsilon) \boxdot (a/\varepsilon)^{\boxplus}) \approx (b/\varepsilon) \overleftarrow{\boxdot} (a/b)^{\overleftarrow{\boxplus}} \end{aligned}$$

We can compute $C_F(y_5)$ as

$$C_F(y_5) = (ba^+ba^+?\varepsilon:\bot) \boxdot C_{ba^+}(y_5) \approx (ba^+ba^+b?\varepsilon:\bot) \overleftarrow{\boxdot} (a/b)^{\overleftarrow{\bowtie}}$$

As an example, $[\![C_F(y_5)]\!](ba^{m_1}ba^{m_2}ba^{m_3}) = b^{m_3}.$

(f) For $y_6 = (q_6, \rightarrow, q_3)$, the computation of $C_F(y_6)$ is similar to that of $C_{ba+ba+}(q_0, \rightarrow, q_2)$ computed above. We need $C_{ba+ba+}(q_6, \rightarrow, q_3)$ and $C_{ba+ba+b}(q_6, \rightarrow, q_2)$. We see the computation of these below.

$$\begin{split} C_{ba^+ba^+}(q_6, \to, q_3) &= C_b(q_6, \to, q_1) \boxdot C_{a^+}(q_1, \to, q_1) \boxdot \\ C_b(q_1, \to, q_2) \boxdot C_{a^+}(q_2, \to, q_3) \\ &= (b/\varepsilon) \boxdot (a/\varepsilon)^{\boxplus} \boxdot (b/\varepsilon) \boxdot (a/\varepsilon)^{\boxplus} \\ &\approx (ba^+ba^+ ? \varepsilon : \bot) \\ C_{ba^+ba^+b}(q_6, \to, q_2) &= (C_{ba^+ba^+}(q_6, \to, q_3) \boxdot C_b(q_3, \supsetneq, q_4)) \odot \\ &\quad (C_{ba^+ba^+}(q_4, \subsetneq, q_1) \boxdot C_b(q_1, \to, q_2)) \\ &\approx (ba^+ba^+b? \varepsilon : \bot) \odot \\ &\quad (((b/\varepsilon) \overleftarrow{\boxdot} (a/b)^{\overleftarrow{\boxplus}} \overleftarrow{\boxdot} (b/\varepsilon) \overleftarrow{\boxdot} (a/a)^{\overleftarrow{\boxplus}}) \boxdot (b/\varepsilon)) \\ &\approx ((b/\varepsilon) \overleftarrow{\boxdot} (a/b)^{\overleftarrow{\boxplus}} \overleftarrow{\boxdot} (b/\varepsilon) \overleftarrow{\boxdot} (a/a)^{\overleftarrow{\boxplus}}) \boxdot (b/\varepsilon)) \end{split}$$

Note that $C_{ba+ba+}(q_4, \varsigma, q_1)$ has been computed in Section 1. Now we concatenate with $C_{a+}(q_2, \rightarrow, q_3)$ needed in the computation.

$$C_{F}(y_{6}) = C_{ba^{+}ba^{+}b}(q_{6}, \rightarrow, q_{2}) \boxdot C_{a^{+}}(q_{2}, \rightarrow, q_{3})$$

$$= \left((b/\varepsilon) \overleftarrow{\boxdot} (a/b)^{\overleftarrow{\boxplus}} \overleftarrow{\boxdot} (b/\varepsilon) \overleftarrow{\boxdot} (a/a)^{\overleftarrow{\boxplus}} \right) \boxdot (b/\varepsilon) \boxdot (a/\varepsilon^{\boxplus})$$

$$\approx \left((b/\varepsilon) \overleftarrow{\boxdot} (a/b)^{\overleftarrow{\boxplus}} \overleftarrow{\boxdot} (b/\varepsilon) \overleftarrow{\boxdot} (a/a)^{\overleftarrow{\boxplus}} \right) \boxdot (ba^{+} ? \varepsilon : \bot)$$

1245

As an example, $[C_F(y_6)](ba^{m_1}ba^{m_2}ba^{m_3}) = a^{m_2}b^{m_1}$.

Now we are in a position to compute RTE $C_{F^+}(q_0, \rightarrow, q_3)$. As shown in figureA.14, it is a concatenation of step y_1 and then steps y_2 , y_3 , y_4 , y_5 and y_6 repetitively. Consecutive pairs of $(ba^+)^3$ are needed to compute the RTE and thanks to the 2-chained Kleene plus, we can define the RTE for the same.

$$C_{F^+}(y_1) = (C_F(y_1) \boxdot (F^* ? \varepsilon : \bot)) \odot [F, C']^{2\boxplus}$$

$$C' = ((F ? \varepsilon : \bot) \boxdot C_F(y_2)) \odot (C_F(y_3) \boxdot C_F(y_4)) \odot (C_F(y_5) \boxdot C_F(y_6))$$

1246 1247 As an example, $\begin{bmatrix} C_{F^+}(y_1) \end{bmatrix} (ba^{m_1} ba^{m_2} ba^{m_3} ba^{m_4} ba^{m_5} ba^{m_6}) = a^{m_2} b^{m_1} a^{m_3} b^{m_2} a^{m_4} b^{m_3} a^{m_5} b^{m_4}.$ Finally, we compute RTE for $y = (q_0, \rightarrow, q_2)$ for the expression $E_3 = [(ba^+)^3]^+ b$ by concatenating b with the above RTE.

$$C_{E_3}(y) = (C_{F^+}(q_0, \rightarrow, q_3) \boxdot C_b(q_3, \geqslant, q_4)) \odot (C_{F^+}(q_4, \varsigma, q_1) \boxdot C_b(q_1, \rightarrow, q_2))$$

1244

1248

Notice that $C_{F^+}(q_4, \varsigma, q_1) = (F^* ? \varepsilon : \bot) \boxdot C_F(y_3)$. We have already seen that $C_{E_3}(y)$ computes the output produced by a successful run on a word $w \in E_3$. Applying the RTE as above, we have, for example,

$$\begin{split} \llbracket C_{E_3}(y) \rrbracket (ba^{m_1} ba^{m_2} ba^{m_3} ba^{m_4} ba^{m_5} ba^{m_6} b) \\ &= a^{m_2} b^{m_1} a^{m_3} b^{m_2} a^{m_4} b^{m_3} a^{m_5} b^{m_4} a^{m_6} b^{m_5} \end{split}$$