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Jazia Awassa, Damien Cornu, Christian Ruby, Sofiane El-Kirat-Chatel. Direct contact, dissolution and generation of reactive oxygen species: How to optimize the antibacterial effects of layered double hydroxides. Colloids and Surfaces B: Biointerfaces, 2022, 217, pp.112623. 10.1016/j.colsurfb.2022.112623. hal-03707352

HAL Id: hal-03707352 https://hal.science/hal-03707352v1

Submitted on 28 Jun 2022

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1 Direct contact, dissolution and generation of reactive oxygen species:

- 2 How to optimize the antibacterial effects of layered double hydroxides
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7 Abstract

8 Infections by pathogenic bacteria have been threatening several fields as food industries, 9 agriculture, textile industries and healthcare products. Layered double hydroxides materials 10 (LDHs), also called anionic clays, could be utilized as efficient antibacterial materials due to their 11 several interesting properties such as ease of synthesis, tunable chemical composition, 12 biocompatibility and anion exchange capacity. Pristine LDHs as well as LDH-composites 13 including antibacterial molecules and nanoparticles loaded-LDHs were proven to serve as efficient 14 antibacterial agents against various Gram-positive and Gram-negative bacterial strains. The 15 achieved antibacterial effect was explained by the following mechanisms: (1) Direct contact 16 between the materials and bacterial cells driven by electrostatic interactions between positively 17 charged layers and negatively charged cell membranes, (2) Dissolution and gradual release over 18 time of metallic ions or antibacterial molecules, (3) Generation of reactive oxygen species.



19

- 20 Keywords
- 21 LDH
- 22 Antibacterial mechanism
- 23 Direct contact

- 24 Metallic ions release
- 25 Antibacterial molecules release
- 26 ROS generation

27 **1. Introduction**

28 Infectious diseases caused by microbial contamination are threatening human health all over the 29 world [1]. Infections by pathogenic microorganisms are of serious concern to several fields such 30 as food industries [2], textile industries [3], healthcare [4], and pharmaceutical products [5]. 31 Traditional antibiotic drugs can usually treat bacterial infections, however, their extensive use had 32 led to bacterial resistance and the spread of resistant strains [6,7]. Recent advances in the field of 33 material science had opened up new gates for designing new antimicrobials based on synthetic 34 inorganic materials [8–10] In fact, inorganic antibacterial materials are considered advantageous 35 over organic antibacterial materials due to their higher stability, recyclability and improved safety 36 [11].

37 Among the various inorganic materials, layered double hydroxides (LDHs) were extensively 38 studied due to their interesting properties as anion exchangeability, compositional flexibility, and 39 biocompatibility, thus making them good candidates for several potential applications as catalysis 40 [12,13], sorption of pollutants [14,15], biosensors [16], drug storage delivery [17,18], and 41 antimicrobial materials [12,19-22]. Layered double hydroxides (LDHs), also known as anionic clays, are lamellar solids having a general formula expressed as $[M(II)_{(1-x)} M(III)_x (OH)_2]^{x+} [A^{n-x/n}_{x/n}]^{x+1}$ 42 43 $. yH_2O]^{x-}$, where M(II) and M(III) represent divalent and trivalent metallic cations respectively, and Aⁿ⁻ is an exchangeable intercalating anion. The LDH structure (Fig. 1A) is based on the 44 45 stacking of positively charged brucite-like sheets comprising the divalent and trivalent cations 46 linked by OH units coordinated at the octahedral positions forming sheets, which are then stacked 47 up on top of each other to yield a layered structure similar to that of brucite mineral $[Mg(OH)_2]$ 48 [23–27]. These brucite-like sheets hold a net positive charge which is compensated by negatively charged anions along with water molecules in the interlaminar regions. 49





51 Fig. 1. (A) A schematic representation of LDH structure. The laminar composition is made up of positively 52 charged brucite-like sheets presenting divalent and trivalent metal cations (M(II) and M(III)) octahedrally 53 linked to hydroxyl groups (HO⁻), whereas compensating intercalating anions (A^{n-}) and water molecules are 54 present in the interlaminar region [28]. (B) Proposed antibacterial mechanisms of LDHs. (1): Direct surface 55 contact interactions between pristine and nanoparticles-loaded LDHs with bacteria, (2) Dissolution of 56 antibacterial molecules: release of antibacterial molecules by antibacterial molecules-loaded LDHs and 57 release of metallic ions by pristine and nanoparticles-loaded LDHs (3) Generation of reactive oxygen 58 species (ROS) by pristine and nanoparticles-loaded LDHs.

59 LDH-based materials were utilized for several biological applications especially antimicrobial 60 ones [8,29,30]. In fact, several surveys showed that LDHs possess high potential as carriers of functional antibacterial molecules as antibiotics because of their high biocompatibility, high 61 62 chemical stability, and controlled release rate [31-33]. In addition, LDH can also be loaded with 63 nanoparticles (NPs) such as gold, silver, copper and zinc oxide NPs which are known to treat 64 several diseases caused by bacterial infections [19,34-38]. The assembly of metallic NPs-in inorganic matrices as of LDHs can provide an inexpensive and biocompatible means to help limit 65 the problem of aggregation and high exposure dose of free NPs [39]. Moreover, recent studies 66 67 showed that even pristine LDHs, more precisely Zn and Cu-based pristine LDHs, could induce an efficient antibacterial without any further modification [22,40–43]. 68

69 Each type of antibacterial LDH, e.g. antibacterial molecules-loaded LDHs, NPs-loaded LDHs and 70 pristine LDHs, may induce a different antibacterial mode of action. Fig. 1B illustrates the three 71 different suggested mechanisms explaining the antimicrobial activity of these different types of 72 antibacterial LDHs: (1) direct surface interactions between pristine and NPs-loaded LDHs with 73 the bacteria thus leading to their growth inhibition or death [39,40,42,44,45], (2) dissolution by 74 through the controlled release of antibacterial molecules present in the LDH interlaminar region 75 of antibacterial molecules-loaded LDH composites [46,47], or through the release of release constituent metallic ions, e.g. Zn²⁺, Cu²⁺, Ag⁺ from NPs-loaded and pristine LDHs [12,40,43,48]. 76 77 (3) generation of reactive oxygen species (ROS) by pristine and NPs-loaded LDHs to yield an 78 efficient antibacterial effect [16,38,40-42,49]. Table 1 reports the antibacterial performance of 79 pristine and NPs-loaded LDHs together with the suggested antibacterial mechanisms provided in 80 previous literature.

In fact, numerous reviews reported the synthesis routes of LDH materials and their various applications [50–53], however, only a few focused on their antimicrobial effects [8,54–56]. Although these reviews explained the efficiency of LDHs to serve as antimicrobial materials, the information given concerned more generally different types of biological applications and not specifically the antimicrobial ones. Moreover, none of these reviews reported in detail the different antibacterial mechanisms occurring at the biointerface between LDHs and bacteria.

87 In this review, several suggested antibacterial mechanisms of the different types of antibacterial 88 LDHs are discussed in three sections. Of course, it is not possible to isolate only one antibacterial 89 mechanism, because some antimicrobial effects of LDHs result from the combination of direct contact, ion or antimicrobial molecules release and ROS generation. However, some specificities 90 91 can be correlated to the different suggested mechanisms. For instance, the long-distance effect 92 through molecules or ion release, or the effect of light for the ROS generation. Therefore, each 93 section starts with different physico-chemical considerations, followed by various experimental 94 observations of these mechanisms and is concluded with some considerations on how to tune the 95 properties of LDHs to maximize the antimicrobial effect through the suggested mechanism.

96 Table 1. Antibacterial performance of pristine and NPs-loaded LDHs and the proposed associated 97 antibacterial mechanisms. MIC values correspond to the minimum inhibitory concentration required to 98 inhibit the growth of a certain bacteria, whereas inhibition zone diameters correspond to the obtained 99 inhibitory diameter zone generated by LDHs when being tested in agar disk or agar well diffusion tests.

LDH sample	Туре	Tested Bacteria	Antibacterial performance	Proposed mechanism	Reference

Ag-ZnAl	NP-loaded LDHs	Gram-positive: Staphylococcus aureus Gram-negative: Escherichia coli	Ag-LDH composite showed a more stable antibacterial activity than unsupported nanosized silver with inhibition zone diameters and MIC values ranging between 7 and 14.7 mm and 0.3 and 0.7 µg/mL, respectively over several days	Surface interactions	[39]
Ag-ZnAl	NP-loaded LDHs	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli</i>	Ag-LDHs showed efficient antibacterial activity with inhibition zone diameters ranging from 8 to 18 mm. Aged samples showed an enhanced antibacterial activity in comparison to samples obtained directly by coprecipitation due to their smaller size enabling more contact with the tested bacteria	Surface interactions	[44]
Ag-MgAl	NP-loaded LDHs	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli</i>	Ag-LDH showed similar antimicrobial activity to that of free Ag NPs with MIC values of 6.6 and 12 μ g/mL against <i>S.</i> <i>aureus</i> and <i>E. coli</i> , respectively	Surface interactions	[57]
ZnO-Water polyurethane (WPU)-ZnAl	NP-loaded LDHs	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli</i>	ZnO-WPU-LDH completely inhibited (100% inhibition) the growth of tested bacteria which was validated by plate colony count method	Ions release, generation of ROS	[49]
ZnFe	Pristine LDHs	Gram-positive: S. aureus, Staphylococcus epidermidis, Streptococcus pyogenes Gram-negative: E. coli, Proteous vulgaris, Klebsiella pneumoniae, Pseudomonas aeruginosa	ZnFe LDHs showed an efficient antimicrobial activity very similar to that of conventional antibiotics with inhibition zone diameters of 16.8-22.9 mm depending on the type of tested bacteria and selectivity of LDHs toward different bacterial strains	Surface interactions, metal ions release	[22]
ZnAl	Pristine LDHs	Gram-positive: <i>S. aureus</i> , <i>S. epidermidis</i> Gram-negative: <i>P. aeruginosa</i>	ZnAl LDH samples exhibited an efficient antibacterial activity with MIC values ranging from 4000 to 10000 μ g/mL depending on the Zn ^{II} :Al ^{II} molar ratio initially present in the LDH structure	Ions release, generation of ROS	[58]
Ternary CuZnAl	Pristine LDHs	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli</i>	Ternary LDH samples showed increasing antibacterial activities with inhibition zone diameters of 8-15 mm	Surface interactions, ions release	[59]

			depending on the size of LDH particles		
AgCl-ZnAl	NP-loaded LDHs	Gram-negative: E. coli	AgCl-LDHs showed efficient antibacterial activity with MIC values ranging from 3.83 and 9.45 μ g/mL depending on the size of the powders	Surface interactions, generations of ROS	[60]
Ag-MgAl	NP-loaded LDHs	Gram-negative: E. coli	Ag-LDHs showed an efficient antibacterial activity with MIC values between 63 and 500 μ g/mL depending on the size and amount of loaded Ag NPs.	Ions release, surface interactions	[61]
Au-MgAl	NP-loaded LDHs	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli</i>	Au-LDH inhibited the growth of tested bacteria by 25-100% only when being irradiated with Near Infrared (NIR) laser. Greater bacterial inhibition percentages were achieved with longer irradiation times and greater hybrid loadings	Surface interactions, generations of ROS	[38]
ZnAl	Pristine LDHs	Gram-positive: S. aureus, Streptococcus lactis, Bacillus cereus Gram-negative: E.coli, P. aeruginosa, Salmonella typhimurium	ZnAl LDHs showed an efficient antibacterial activity with inhibition zone diameters of 9- 21 mm and MIC values of 150- 300 μ g/mL depending on the type of tested	Ions release, surface interactions, generation of ROS	[40]
CuAl, NiAl, CoAl, MnAl, MgAl,	Pristine LDHs	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli</i>	LDH samples showed an efficient antibacterial activity with MIC values between 800 and 1500 μ g/mL depending on the type of tested LDH sample	Ions release, surface interactions, generation of ROS	[41]
Ternary CuMgAl:CO ₃	Pristine LDHs	Gram-positive: S. aureus, Bacillus subtilis, Enterococcus faecalis Gram-negative: E. coli, P. aeruginosa	Ternary LDH samples showed increasing antibacterial activity with increasing Cu^{II} :Al ^{II} molar ratio and MIC values up to 31000 µg/mL	Surface interactions, ions release	[42]
ZnAl, CuAl, NiAl, CoAl, MgAl	Pristine LDH	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli</i>	Only ZnAl and CuAl LDHs exhibited an efficient antibacterial effect with inhibition zone diameters ranging from 7 to 13 mm and MIC values of 375 to 12000 μ g/mL depending on the concentration of released divalent metallic cations	Ions release	[43]

101

2. LDH antibacterial activity by direct surface interactions

102 **2.1.Electrostatic interactions between bacteria and LDHs**

From a physicochemical point of view, microorganisms as bacteria can be considered as living colloidal particles. This is due to their compositional polypeptides having ionizable weakly acidic and basic groups, *e.g.* carboxyl and amino groups [62,63]. Therefore, materials possessing positively charged surfaces are believed to be ideal nuclei for microbial adhesion [64]. LDHs have a positively charged surface with zeta potential values up to 30-50 mV [65], large surface areas of $10-200 \text{ m}^2/\text{g}$ [66], and a high anion exchange capacity of 2-5 mmol/g [67], thus meeting all the criteria for an ideal bacterial adhesion.

110 Jin et al. reported the removal of Gram-negative bacteria Escherichia coli by chloride-intercalated 111 pristine MgAl and ZnAl LDHs for water purification applications [68]. LDH slurry systems 112 showed a bacterial adsorption efficiency \geq 99%. Similarly, the flow-through column showed an 113 adsorption efficiency as high as 87 to 99%. Such findings suggested that LDHs could potentially 114 be used as a sorbent material to purify untreated water from bacteria. Liu et al. developed a 115 carbonate intercalated NiFe LDH which was able to adsorb 45-55 mg/g of *Bacillus subtilis* under 116 different experimental conditions [66]. The authors proposed different possible mechanisms of 117 bacteria adsorption onto LDH particle surface including four types of interactions which are 118 sketched in Fig. 2. The first suggested interaction involved electrostatic interactions between the 119 negatively charged bacterial membrane (due to the presence of PO_4^- groups) and the positively 120 charged LDH surface (**Region A, Fig. 2**). Bacterial adsorption could also be enhanced by anion 121 exchange reactions, where PO_4^- groups present at the surface of the bacterium could gradually replace individual CO_3^{2-} on the LDH surface (**Region B, Fig. 2**). Bacterial adsorption could be 122 123 further achieved by the substitution of the OH groups on the LDH surface with the PO_4^- groups

(Region C, Fig. 2). The last suggested adhesion mechanism was that PO₄⁻ groups present on the
bacterial surface and the OH groups on the LDH surface would interact with each other via
hydrogen bonds and/or polar attractions (Region D, Fig. 2).



Fig. 2. Mechanism of *B. subtilis* adhesion onto carbonate intercalated NiFe LDH. (A) Electrostatic interactions, (B) Anion exchange, (C) OH replacement and (D) H-bond and polar interactions. Reproduced from Ref. [66] with the permission of Elsevier. Copyright (2013), Elsevier.

131 These mentioned investigations did not correlate the adsorption of bacteria on LDHs to their 132 antibacterial activity where no antibacterial activity tests were carried out.

133 **2.2. Topological adhesion of LDH on bacteria**

127

134 One other possible reason explaining LDH-bacteria adhesion is the rough surface of LDHs thus 135 promoting the adhesion phenomena which may be a key factor contributing to their antibacterial 136 activity [45,69]. It had been well known that the motility of bacteria is essential for bacterial normal 137 functions [70], and that rough antimicrobial colloidal surfaces might adhere to bacteria and disturb 138 its normal motility functions [71,72]. Zhang et al. successfully synthesized antibacterial hybrids 139 of silica nanorods and unsmooth hollow Mn/Ni LDHs [45]. The antibacterial activities of SiO₂, 140 Mn/Ni LDHs and SiO₂ nanorods @Mn/Ni LDHs were investigated against Gram-negative E. coli 141 and Gram-positive Staphylococcus aureus bacteria, by standard cell viability Live/Dead Bac Light 142 assay (Fig. 3Fig. 3A). The ratio of green (alive bacteria) to red (dead bacteria) fluorescence (G/R)

143 was also measured to compare the antibacterial efficiency of the different tested samples. As 144 shown in Fig. 3B, the ratio of G/R fluorescence in both Gram-positive and Gram-negative bacteria 145 decreased significantly over time for hollow Mn/Ni LDHs as well as SiO₂@Mn/Ni LDHs samples, 146 thus indicating their efficient antibacterial activity. The antibacterial activity of Mn/Ni LDHs and 147 SiO₂@Mn/Ni LDHs was related to their bacterial adhesion properties to rough surfaces. As shown 148 in Fig. 3C and D, both hollow Mn/Ni LDHs and SiO₂ nanorods@Mn/Ni LDHs exhibited 149 relatively good adhesion (blue arrows) and strong connection (red arrows) with *E.coli*. Therefore, 150 the antibacterial activity of hollow Mn/Ni(OH)x LDHs structures was attributed to their ability to 151 "seize" the bacteria thus disturbing its motility and leading to its death. Such findings come in line 152 with those of Wang et al. who reported the enhancement of antibacterial function by loading 153 lysozyme at the surface of ternary MgZnAl LDHs due to the rough surface of the host flower-like 154 LDH providing better contact with bacteria and thus an amplified antibacterial effect [69]. LDHs 155 adhesion to bacteria could further affect the bacterial biological activities, such as blocking the 156 channels for nutrients uptake and waste exclusion, which would subsequently lead to bacterial 157 death or inhibition [21,47,73]. Moreover, LDHs may also adsorb many essential nutrients for 158 bacterial growth in medium, e.g. proteins, celluloses, starch and proteins [21,47]. This would thus 159 reduce the bioavailability of these necessary nutrients and inhibit the bacterial growth.





Fig. 3. (A) Confocal microscopy images of *S. aureus* and *E. coli* incubated with a mixture of propidium iodide (red color) and SYTO 9 (green color) and various samples. The images correspond to 25 h after incubation. Green fluorescence corresponds to live bacteria while red fluorescence corresponds to dead bacteria. (B) The time-dependent concentrations of live bacteria, evaluated by the ratio of G/R. (C) TEM images of *E. coli* with or without treatment of different samples. Blue arrows correspond to bacterial adhesion. (D) SEM images of *E. coli* with or without treatment of different samples. Red arrows correspond

to a direct connection with *E. coli* bacteria. Reproduced from Ref. [45] with the permission of Wiley Online
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Although these investigations suggested that the rough surface of LDHs aid in the adhesion phenomena leading to the achieved antibacterial effect [45,69], real measurements of LDH surface roughness are lacking. One good method to ensure the effectiveness of LDHs roughness in the antibacterial activity is to compare the antibacterial activity of different LDH samples having different surface roughness which could be evaluated easily by atomic force microscopy (AFM) for example [74,75].

175 2.3.Antibacterial test assays used to evaluate the direct contact antibacterial performance 176 of LDHs

Different antibacterial test assays allowed the evaluation antibacterial performance of LDHs associated with direct contact. One very important point to consider while correlating the antibacterial performance of LDHs to their followed antibacterial mechanism is the used antibacterial test assay. Generally, evaluating the antibacterial performance of pristine and NPsloaded LDHs could be achieved by agar disk or agar well diffusion methods [22,39,40,43,44], plate colony count method [49,76], or else by evaluating the minimum inhibitory concentration (MIC) of LDHs by broth macro or microdilution assays (**Table 1**) [39,40,40,43,58].

However, not all assays are suitable to suggest or validate a certain antibacterial mechanism. For instance, Carja *et al.* [39] and Mishra *et al.* [44] suggested direct surface interaction as a mechanism explaining the antibacterial activities of Ag-ZnAl LDH particles. However, they reported inhibitions diameters between 7 and 18 mm in agar-agar gels, which seems in contradiction with a mechanism only driven by contact with the bacteria. Indeed, the mass transfer of particles through convection can be neglected in a gel, therefore the distance run by a particle 190 in those experiments can be estimated with the Fick equation using the Stokes-Einstein equation 191 for the diffusion coefficients [77]. At room temperature, in an agar-agar gel (typical viscosity: $\eta =$ 192 0.01 Pa.s or 10 cPl) and with standard LDH particle aggregate (5 µm), the diffusion constant is in 193 the order of magnitude of 4-16 m^2/s . During a standard duration of an experiment of disk diffusion 194 or agar well diffusion (24 to 48h), the typical distance of the particle from the original position is 195 20 to 40 µm. Direct contact cannot be the explanation for the inhibition of the bacterial growth 196 several millimeters away from the place in which LDHs were deposited. Therefore, inhibition zone 197 diameters measurements are not suitable to evaluate the antibacterial effect of LDHs by direct 198 surface interactions.

199 2.4.Experimental observations, limitation and maximization of the direct contact effect 200 of LDHs

201 Haffner et al. investigated the influence of particle size (from 42 to 208 nm) on pristine LDH 202 interactions with bacteria-mimicking membranes (DOPE/DPG) [9]. LDH binding to bacteria-203 mimicking membranes and their destabilization increased significantly with decreasing particle 204 size. Due to strong interactions with anionic lipopolysaccharide and peptidoglycan layers, direct 205 membrane disruption was observed for both Gram-negative E. coli and Gram-positive S. aureus 206 bacteria. Such membrane disruption was primarily evidenced by the leakage of the tested 207 membrane liposomes which was enhanced with decreasing LDH particle sizes. The net negative 208 charge of bacteria was also reduced due to the presence of electrostatic surface interactions which 209 were thought to induce the observed membrane disruption.

In fact, the influence of LDH particle sizes on their antibacterial properties could also be determined by comparing the MIC values of LDHs having different particle sizes against the same microorganism. For instance, Lobo-Sanchez *et al.* reported a MIC value of 10,000 µg/mL for bulk

213 Zn-based pristine LDHs (micron-sized agglomerated LDHs) [58], which is remarkably lower than 214 that of ~250 nm-sized Zn-based pristine LDH nanosheets prepared by Dutta et al. (MIC value of 215 200 μ g/mL) [40]. Moreover, smaller-sized Zn-based LDH nanosheets (~50 nm) investigated by 216 Moaty et al. presented even a lower MIC value of 15.6 µg/mL against the same bacterial strain 217 [22]. In this context, LDH nanosheets present a larger surface area and more active sites compared 218 to bulk LDHs. Additionally, the great difference in MIC values between bulk LDHs and LDH 219 nanosheets could also be related to the ability of nanosheets to provide a stable mobile suspension 220 in solution, whereas bulk LDHs tend to sediment, thus limiting the accessible surface and contact with the tested S. aureus bacteria. 221

222 Mao *et al.* designed three different Ag loaded-LDHs composites using three different synthesis 223 methods [61]. Fig. 4A shows the transmission electron microscopy (TEM) images of the loaded 224 AgNPs in each composite, the size of loaded Ag-NPs was 25, 65 and 15 nm for Ag-LDHs@TA-225 Fe(III), Ag-LDHs(PVP) and Ag-LDHs@PDA, respectively. Moreover, the amount of AgNPs 226 loading was determined using energy dispersive spectroscopy (EDS) surface analysis (Fig. 4B). 227 Consequently, the antimicrobial performance of the Ag-LDHs hybrids was influenced by both the 228 size and amount of loaded Ag NPs. Ag-LDHs(PVP) hybrid, having the highest Ag loading of 229 3.37% and medium-sized AgNPs, presented the lowest MIC value (Fig. 4C), thus being the best 230 tested antimicrobial composite. On the other hand, even with the lowest Ag loading, Ag-231 LDHs@TA-Fe(III) showed better antibacterial performance than that of Ag-LDHs@PDA, due to 232 its very small particle sizes of loaded AgNPs. A similar finding was reported by Nocchetti et 233 al. [60], where AgCl-LDH composites with Ag loading of 4.9% and small AgCl NPs (64 nm) 234 showed greater antibacterial performance compared to that of another AgCl-LDH composite with 235 Ag loading of 12.1% but with a larger size (94.8 nm). Therefore, the antibacterial performance

was rather dependent on the dimensions of loaded NPs, which corresponded to greater surface area and thereby promoted better contact with the bacteria probably leading to enhanced mobility of NPs adhering to bacteria. In fact, NPs as AgNPs can anchor to the bacterial cell wall and consequently impose membrane damages [78,79]. After adhesion to the bacterial wall, NPs can also penetrate the membrane to interact with cellular structures and biomolecules such as proteins, lipids, and DNA. Interaction between metallic NPs and cellular structures or biomolecules may subsequently lead to bacterial dysfunction and finally growth inhibition or death [78–81]

243 The previously mentioned investigations succeeded to correlate direct surface interactions between 244 bacteria and LDHs to their antibacterial activity. However, the used experimental approaches were 245 only achieved through the evaluation of the effect of LDH particle sizes [9,61] or else through 246 qualitative adhesion observations using SEM or TEM imaging [45,69] without any quantification 247 of the driving forces of interactions. In fact, other methods can be used to investigate and quantify 248 LDHs-bacterial membrane. In this context, the use of recent AFM-based force spectroscopy modes 249 would be helpful, e.g. single-molecule and single-cell force spectroscopies (SPFS and SCFS, 250 respectively [82,83]. The use of such force spectroscopy approaches would allow not only a 251 qualitative but also a quantitative evaluation of the presented forces of interactions at the single-252 particle or single-cell levels.

Even though there is still much missing information concerning the role of direct surface interactions in the antibacterial effect of pristine and NPs-loaded LDHs, one could still derive some factors which could help maximize such an effect:

an increase in the positive charge of the LDH or the loaded NPs increases the chance of
 electrostatic interactions with the negatively charged bacterial membranes

- a rough surface of the LDH promoting adhesion to bacteria

17

- smaller LDH particles or loaded NPs provide enhancing the mobility of the particles and
 maximumizing surface area for the interaction



261

Fig. 4. (A) HRTEM images of (a) Ag-LDHs(PVP), (b) Ag-LDHs@PDA and (c) Ag-LDHs@TA–
Fe(III). (B): EDS surface scans for (a) Ag-LDHs(PVP), (b) Ag-LDHs@PDA and (c) AgLDHs@TA–Fe(III). (C): The MIC values of the different Ag-LDHs against *E. coli*. Reproduced
from Ref. [61] with permission from the Royal Society of Chemistry.

266

3. LDH antibacterial activity by dissolution

267 **3.1.Release of antibacterial molecules out of antibacterial molecules loaded-LDHs**

Most investigations highlighting the efficiency of LDHs to act as antibacterial materials were related to their ability to intercalate antibacterial organic biomolecules such as antibiotics [21,47,84], lysozymes [69,85] and antibacterial polymeric organic molecules [86]. This is probably due to LDHs' remarkable property of intercalating a wide variety of anions within their
interlamellar regions. Recently, antibacterial molecules-intercalated LDHs had been also
incorporated with several polymeric matrices to design efficient antibacterial fillers for various
applications as well [87,88]. Table 2 reports some of the previous work done on the efficiency of
antibacterial compounds-loaded LDH composites.

Table 2. Antibacterial performance of organic biomolecules-loaded LDHs reported in the literature. MIC values correspond to the minimum inhibitory concentration required to inhibit the growth of a certain bacteria, whereas inhibition zone diameters correspond to the obtained inhibitory diameter zone generated by antimicrobial molecules-loaded LDHs when being tested in agar disk or agar well diffusion tests.

LDH host	Antimicrobial compound	Tested Bacteria	Antibacterial performance	Reference
MgAl	Phenoxymethyl-penicillin	Gram-positive: S. aureus	Penicillin-LDH showed efficient antibacterial activity with inhibition zone diameter ranging from 8 to 25 mm depending on the pH of the media	[84]
ZnAl	Cephazolin	Gram-positive: S. aureus	$\begin{array}{c c} Cephazolin-LDH & possessed & an \\ inhibition zone of 225 \ m^2 \ in \ water \ and \\ 240 \ m^2 \ in \ presence \ of \ 0.8\% \ NaCl \end{array}$	[47]
ZnAl	O-hydroxybenzoate (o- BzOH)	Gram-negative: E. coli	O-BzOH-LDH was able to reduce the growth of bacteria which was evidenced by plate count method. The antimicrobial properties of O-BzOH- LDH were enhanced with decreasing powder dimensions.	[89]
MgAl	Lysozyme (LYZ)	Gram-positive: S. aureus	LYZ-LDHs possessed great antibacterial activity (ranging from 40 to 97.5%) depending on the LYZ/LDH ratio and the pH of the bacteria- containing water	[85]
MgAl	Camphorsulfonic acid, Ciprofloxacin	Gram-positive: S. aureus, S. epidermidis, S. pyogenes Gram-negative: E. coli, Proteus vulgaris, P. aeruginosa, Enterobacter cloacae	Camphorsulfonic acid-LDH composite was active against Gram-negative bacteria with MIC between 1.4 and10 mg/mL, Ciproflaxin-LDH was active against both Gram-positive and Gram- negative with higher MIC of 1.3 mg/mL	[90]

MgAl	Turmeric curcuminoid	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli</i> , <i>P. aeruginosa</i>	Curcuminoid-LDH showed inhibition zone diameters against all tested strains ranging from 8 to 19 mm depending on the pH of the medium	[91]
MgAl	Berberine chloride (BBC)	Gram-positive: <i>S. aureus</i> , <i>B. subtilus</i> Gram-negative: <i>P. aeruginosa</i>	BBC-LDH hybrids showed inhibition zone diameters ranging from 2 to 9 mm depending on the type of tested bacteria and applied synthesis method. BBC- LDH hybrid prepared by coprecipitation showed a greater inhibitory effect than that synthesized by anion-exchange.	[92]
MgFeAl	Pipemidic Acid ions	Gram-negative: <i>E. coli</i> , <i>S. typhimurium</i>	Pipemidic acid-LDH showed efficient antimicrobial activity with MIC values of 3.5 and 4.5 mg/mL against <i>E. coli</i> and <i>S. typhimurium</i> , respectively	[93]
ZnAl	Benzoate anions (BZ)	Gram-positive: S. aureus	All hybrid BZ-LDH showed excellent antibacterial activities ensured by plate count method. BZ-LDH prepared by reconstruction route possessed the highest antibacterial activity among the other hybrids prepared by coprecipitation and anion-exchange routes	[94]
ZnAl	Chitosan polymer	Gram-positive: S. aureus, B. subtilus, Lactobacillus sakei, E. faecalis Gram-negative: E. coli, P. aeruginosa, P. vulgaris	Chitosan-LDH had superior activity to that of free chitosan and is active toward <i>L. sakei</i> (MIC=0.125 mg/mL), <i>S. aureus</i> (MIC=0.4 mg/mL), <i>E. coli</i> (MIC=0.2 mg/mL)	[86]
MgAl	3-(4- hydroxyphenyl)propionic acid (HPP) L-ascorbic acid (ASA) L-tyrosine (TYR) L-tryptophan (TRP)	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli</i>	All hybrids except HPP-LDH achieved 100% growth inhibition for both bacteria ensured by plate count method.	[95]
ZnTi	Kojic acid	Gram-positive: <i>S.aureus</i> Gram-negative: <i>E. coli</i> , <i>P. aeruginosa</i>	Kojic acid-LDH was efficient against <i>E.</i> <i>coli and P. aeruginosa</i> with inhibition zone diameters of 10.77 and 6.95 mm, respectively	[96]
CuAl-CMC polymer	Amoxicillin	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli</i>	Amoxicillin-LDH-CMC hybrids showed increasing inhibition zone diameters ranging from 8 to 25 mm depending on the LDH:CMC mass ratio	[87]

MgAl-LDPE polymer ZnAl-LDPE polymer	Salicylate anions (SA) Rosmarinate anions (RA)	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli</i>	SA-LDH-LDPE and RA-LDH-LDPE hybrids inhibited the growth of both tested bacteria evidenced by plate count method. The highest inhibition was observed for SA-ZnAl-LDPE	[88]
CaAl	Ciprofloxacin	Gram-positive: <i>S. aureus</i> Gram-negative: <i>E. coli, K.</i> <i>pneumoniae</i>	Ciprofloxacin-CaAl hybrids showed an inhibition zone diameter ranging between 43 and 60 mm and MIC values between 0.04 and 0.06 mg/L depending on the type of tested bacteria	[97]

280

281 Nearly all of the studies dealing with the antibacterial activity of antibacterial organic molecules-282 loaded LDHs are mainly concerned with the controlled release of the active biomolecule out of the 283 LDH matrix [31,46,87,93,96,98–100], which is the main contributor to the followed antibacterial 284 mechanism. . One example of controlled release behavior of antibacterial molecule-loaded LDHs 285 is the controlled delivery of Kojic acid (KA) out of ZnTi LDH [96]. In phosphate-buffered saline 286 (PBS, pH = 5), pure KA is released in one step and not gradually. However, the ZnTi-KA 287 composite released KA anions in a slow gradual manner, where even after 12 h KA anions were 288 still released. The prolonged release behavior of KA suggests the maintenance of a long-term 289 antibacterial effect of the LDH hybrid. The long-term antibacterial activity of antimicrobial 290 molecules loaded-LDHs can be explained by two main reasons: (1) the protection of the LDH 291 matrix for loaded molecules by isolating them from a hostile environment, (2) the slow release of 292 loaded molecules from the LDH interlayer [21,46,55]. Moreover, the intercalation of KA into LDH 293 also improved its stability at higher temperatures. Such enhancement of thermal stability of 294 antibacterial molecules when being loaded in LDH matrices was also reported in other studies as 295 well [90,101,102]

3.1.1. Methods for intercalating antibacterial molecules in the LDH and their influence on the release behavior

One important factor influencing the antimicrobial activity of antibacterial molecules-LDH hybrids is the applied synthesis method [92,94]. As with any other LDH material, antibacterial molecules loaded-LDHs were prepared by three different methods illustrated in **Fig. 5(A)**: (1) anion-exchange reactions, (2) direct coprecipitation, (3) rehydration of double layered oxides.



302

Fig. 5. (A) Schematic representation of the three different methods used to synthesize antibacterial molecules-loaded LDHs: (1) Anion-exchange reactions between previously prepared pristine LDHs and antibacterial molecules basic solution, (2) Direct coprecipitation of mixed metallic salt solutions and base addition in presence of the antibacterial molecule source, (3) Rehydration of layered double oxides, previously obtained by calcination of pristine LDHs, in presence of antibacterial molecules source. (B): Release profiles of BBC from anion exchange and coprecipitation biohybrid LDHs. (C): Inhibitory

diameters of free and immobilized BBC. Reproduced from Ref. [92] with permission from Elsevier.
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(1) Anion exchange reactions: due to their remarkable property in terms of anion exchange capacity, antibacterial-molecules LDHs can be synthesized by an indirect anion exchange route, which was commonly used for intercalation of larger anions as biological ones for example [103]. In this method LDHs with host anions of NO_3^- , CO_3^{2-} , and Cl⁻ are primarily prepared by coprecipitation, then the exchange with other desired intercalated anions can take place (**Fig. 5A**) [55,104]. In fact, anion-exchange synthesis is the most frequently used method to synthesize antibacterial molecules-intercalated LDHs [32,33,47,90,105].

318 (2) Coprecipitation: coprecipitation takes place by the addition of a solution containing the 319 anionic form of the desired antibacterial molecule to be intercalated into another solution having 320 the divalent and trivalent metal cations in a specific ratio. The pH should further be increased by 321 simultaneous addition of a base usually sodium hydroxide (NaOH) or urea hydrolysis that 322 subsequently leads to the precipitation of the antibacterial molecule-intercalated LDH (Fig. 5A) 323 [46,84,89]. Although the direct coprecipitation method does not favor the intercalation of large 324 molecules inside the interlamellar space of the LDH [106], several investigations on the synthesis 325 of antibacterial molecules-intercalated LDHs using the coprecipitation method were reported 326 [46,89].

(3) Rehydration of double layer oxides: one property of LDH clay materials is their ability to regain their original structure back after calcination followed by rehydration, this property is termed as "memory effect" [107]. Calcining LDHs at mild temperatures (400-500°C) would transfer them to their respective layered double oxides by eliminating interlayer water molecules, hydroxyl groups, and previously intercalated anions. Furthermore, when these obtained metal oxides are immersed in another solution containing other anions to be intercalated (antibacterial
anions in this case), the LDH structure can be regenerated back by rehydration (Fig. 5A) [108].
Several antibacterial molecules were successfully loaded into the LDH interlamellar spacing using
this synthesis method [84,109].

336 Djebbi et al. compared the antibacterial behavior of the same LDH composite synthesized using 337 two different methods, conventional anion exchange (AE) and direct coprecipitation (AC) routes 338 [92]. The amount of loaded antibacterial molecule berberine chloride (BBC) in the inorganic 339 matrix, estimated by UV-Vis spectroscopy analysis, was found to be 24% and 93% in BMAE and 340 BMAC, respectively. Moreover, the release profiles of BBC from AE and AC biohybrid LDHs 341 were separately measured in PBS at pH = 7.4 (Fig. 5B), and the released percentage of BBC from 342 AC was greater than that from AE. Consequently, the antimicrobial activity of AC was more 343 efficient than that of AE (Fig. 5C) due to an improvement in chemical stability, the greater amount 344 of loaded and released BBC. On contrary, Mishra et al. pointed out the lower antimicrobial 345 efficiency and lower release behavior of Benzoate-LDH hybrids synthesized by coprecipitation in 346 comparison to those synthesized by anion-exchange and reconstruction methods [94]. The authors 347 attributed the difference in the antimicrobial performance of the hybrids synthesized using 348 different methods to the different orientations of benzoate anions within the interlamellar space of 349 the LDH in each case. Such different orientation resulted in a different loading and release behavior 350 of antibacterial molecules as previously suggested by Wang et al. [33]. Such contradiction suggests 351 that choosing a particular synthesis method to synthesize antibacterial molecules-loaded LDHs is 352 an important factor to be taken into consideration. Therefore, an optimization of the most efficient synthesis method should be performed according to the type, structure, and orientations of the 353 354 antibacterial molecules loaded in the LDH interlayer.

355 3.1.2. Influence of the LDH host on the antibacterial activity of antibacterial molecules 356 loaded LDH composites

357 Bugatti et al. reported the influence of powder dimensions evaluated by granulometry analysis on 358 the antimicrobial properties of antibacterial molecules-loaded LDHs [89]. Antibacterial 359 composites (ZnAl-o-BzOH) based on ZnAl LDH and o-hydroxybenzoate (o-BzOH), also known 360 as salicylate, were synthesized by a direct coprecipitation method. The initial powder dimensions 361 were reduced by the high energy ball milling (HEBM) technique for 1 to 5 min influencing the 362 size as well as the morphology of the powders. Large, agglomerated particles were found for the 363 pristine samples, while well-defined platelets with uniform and thinner sizes were present in the 364 milled samples. The antimicrobial activity against E. coli was found much more effective for the 365 samples with lower powder dimensions. Therefore, particles with lower dimensions provided an 366 enhanced release of o-BzOH anions and an enhanced antibacterial activity. A similar finding was 367 suggested by Kuznetsova et al. who reported a remarkable impact of the hydrodynamic radius of 368 the LDH composite powders on the release behavior of 2-mercaptobenzothiazole anions out of 369 ZnAl LDH host and therefore an enhanced antibacterial activity [110].

Moreover, Coiai *et al.* had recently reported the contribution of the host LDH to the antimicrobial activity of antibacterial molecules-modified LDHs [88], where salicylic acid SA-ZnAl LDH hybrids provided higher antibacterial activity against Gram-negative *E. coli* and Gram-positive *S. aureus* bacteria in comparison to SA-MgAl LDH hybrids. The authors attributed the enhanced antibacterial activity of SA-ZnAl to the presence of zinc ions in the structure which may have contributed to the antibacterial performance of the hybrid alongside the antibacterial action of SA. The specific effect of Zn^{2+} ions as an antimicrobial agent will be detailed in **section 3.2.1**. Such

synergistic antibacterial effect of Zn²⁺ ions released out ZnAl LDHs and organic molecules loaded 377 378 in the LDH matrix was also reported by Li et al. [21].

379 3.1.3. Principles for the design of an efficient antibacterial molecules-loaded LDH

380 When designing antibacterial molecules-loaded LDH composites of controlled release behavior, 381 several factors must be taken into consideration to provide an enhanced antibacterial behavior 382 including:

- 383 the efficiency of the antibacterial guest molecule itself
- 384 the synthesis method of the composite and the orientation of antibacterial molecule within _
- 385 the interlamellar spacing of the LDH matrix allowing maximum loading
- 386 _ the size and dimensions of the resulted composite controlling the release behavior of the 387 hosted antibacterial biomolecule
- 388 the type of LDH host matrix aiding in the antibacterial performance

389 3.2. Dissolution of metallic cations out of pristine and NPs-loaded LDHs

390 As suggested previously, the release of metallic ions is one other possible mechanism explaining the antibacterial activity of pristine and NPs-loaded LDHs (Table 1). Metallic ions as Zn²⁺, Cu²⁺ 391 392 and Ag⁺ can damage bacterial membrane functions by: (i) blocking the transport of nutrients and 393 substances in and out of the membrane, (ii) reducing protein and enzymatic expressions, (iii) or 394 else by binding to bacterial intracellular DNA leading to their denaturation [73,111–114].

395

3.2.1. Antimicrobial effect through the release of Zn²⁺, Cu²⁺ and Ag⁺

396 Moaty et al. reported an efficient antibacterial activity against several Gram-positive and Gram-397 negative bacterial strains for ZnFe pristine LDH which was slightly weaker than that imposed by 398 standard antibiotics [22]. The authors suggested that released zinc ions had a great effect on both

399 bacteria because of the binding to their membranes which prolonged the lag phase of the growth 400 cycle. Dutta et al. also reported a significant impact of Zn₂Al-CO₃ LDH on several Gram-positive 401 and Gram-negative bacteria. The antibacterial efficiency varied in accordance with the applied 402 dosage of Zn₂Al-CO₃ LDH, where it was greatly enhanced for growing concentrations. 403 Additionally, the antibacterial activity was also dependent on the type of tested bacteria. Among 404 the tested microorganisms, Gram-negative bacteria were comparatively more sensitive toward the LDH sample than Gram-positive ones. The authors reported that released Zn^{2+} could strongly 405 406 contribute to the observed antibacterial effect. Finally, the difference in the antibacterial activity 407 between Gram-negative and Gram-positive bacteria was clarified by the different structures and 408 the chemical composition of the cell surfaces of these two types of bacteria. Gram-positive bacteria 409 possess an extra outer membrane that covers the peptidoglycan layer that is not present in Gram-410 negative bacteria [115].

411 Vallejo *et al.* reported the influence of the nature and amount of constituent divalent metal on the 412 antibacterial activity of pristine LDHs [116]. LDH samples containing copper or magnesium as 413 divalent metals were found to be less active against Corynebacterium ammoniagenes, whereas 414 those containing zinc showed superior bactericidal activity ranging from 98.1% to 99.9% 415 depending on the applied dosage. The reason behind the enhanced activity of Zn-based LDHs was 416 linked to the superior efficiency of the released zinc ions to induce an antibacterial effect than other released ions as Cu^{2+} and Mg^{2+} . The impact of the nature of constituent divalent metal was 417 also reported by Li et al. who studied the antibacterial activity of different MAI-LDH (M: Mg²⁺, 418 Cu²⁺, Ni²⁺, Co²⁺, and Mn²⁺) against Gram-negative *E. coli*, and *S. aureus* Gram-positive bacteria. 419 420 When the concentration of MAI-LDHs increased up to 1500 µg/mL, CuAI-LDH and MnAI-LDH 421 showed the best bacterial inhibition compared to the other LDH samples. On the other hand, NiAl-

422 LDH and CoAl-LDH exhibited a limited antibacterial activity, whereas MgAl-LDH had no 423 antibacterial ability. Similarly, Peng et al. compared the antimicrobial activity of MgAl and MgFe 424 LDHs to those of ZnAl and ZnFe LDHs, where the two latter presented superior antibacterial 425 properties [73]. A global investigation of the impact of different released constituent divalent metallic cations of MAI-LDHs (M: Mg^{2+} , Cu^{2+} , Ni^{2+} , Co^{2+} , and Zn^{2+}) was also performed recently 426 by our group [43], where it was found out that only Cu and Zn-based LDHs releasing Cu²⁺ and 427 428 Zn^{2+} ions were able to impose an efficient antibacterial effect against S. *aureus* and E. *coli* bacteria. 429 The inhibition zone diameters of all LDH samples were very similar to those of their corresponding 430 metallic salts counterparts (having a concentration similar to that of the one released by LDHs, 431 thus suggesting the strong relation between the antibacterial activity of pristine LDHs and their 432 metallic ions release capacity. Additionally, we figured out that not only the nature of constituent 433 divalent metals could impact the antibacterial effect of pristine LDH but also the stability and 434 crystallinity of LDHs. For instance, exchanging carbonate anions with other anions having lower 435 intercalating affinities as Cl⁻, NO₃⁻ and ClO₄⁻, increasing the Zn(II):Al(III) molar ratio [43,58] and lowering the crystallinity enhanced the release of Zn^{2+} in aqueous broth media and subsequently 436 437 the showed greater inhibition zone diameters and lower MIC values.

Tabti *et al.* synthesized a range of Cu-LDHs having different molar ratios of Cu:Al (Cu0.05– Al0.15, Cu0.10–Al0.10, Cu0.14–Al0.06, and Cu0.15–Al0.05) [42]. The antibacterial activity of the synthesized samples was estimated toward several bacterial strains, e.g. *E. coli*, *P. aeruginosa*, *E. faecalis*, *S. aureus*, *and B. Subtilis*. Samples having a higher molar ratio of Cu:Al showed better antibacterial activity due to the presence of a greater amount of Cu²⁺ ions which were further ejected providing an enhanced impact. Huang *et al.* reported the fabrication of hybrid polypyrrole-silver coated layered double hydroxides (LDHs@PPy-Ag) for both enhanced gas carrier property and antibacterial activity [117]. The prepared LDH hybrid was able to completely inhibit the growth of bacteria. The antibacterial activity of the prepared LDH hybrid was attributed to the synergistic effect of polypyrrole and the loaded silver NPs. In particular, it was believed that the direct contact effect with loaded AgNPs alongside the Ag⁺ ions released from unstable AgNPs were responsible for the excellent observed antibacterial activity.

451 Yadollahi et al. prepared antibacterial nanocomposite hydrogels by the combination of 452 carboxymethyl cellulose (CMC), LDH and silver nanoparticles (AgNPs) [118]. CMC-LDH 453 hydrogels were prepared by intercalating CMC into different LDHs, e.g. MgAl, NiAl, CuAl and 454 ZnAl LDHs. Ag/CMC-LDH nanocomposite hydrogels (Ag/CMC-Mg-LDH, Ag/CMC-Ni-LDH, 455 Ag/CMC-Cu-LDH and Ag/CMC-Zn-LDH) were prepared through in situ formation of AgNPs 456 within the CMC-LDHs. CMC-Mg-LDH and CMC-Ni-LDH showed no antibacterial activity 457 against E. coli and S. aureus. On contrary, an antibacterial effect was observed for both CMC-Cu-458 LDH and CMC-Zn-LDH nanocomposites. Such an effect was attributed to the antibacterial 459 features of Cu²⁺ and Zn²⁺ ions released from CMC-Cu-LDH and CMC-Zn-LDH nanocomposites, 460 respectively. Ag/CMC-LDH nanohybrids exhibited a superior antibacterial activity against both 461 bacteria in comparison to their CMC-LDH counterparts. The authors attributed such enhanced 462 antibacterial activity to the antibacterial effect of released Ag⁺ ions from Ag/CMC-LDH 463 nanohybrids. Metal ions were slowly released from metallic NPs as AgNPs, and then absorbed 464 through the cell membrane. The released silver metallic ions were probably able to interact with 465 functional groups of nucleic acids and proteins, e.g. mercapto (-SH), amino (-NH), carboxyl (-466 COOH) groups [119]. This could further affect the normal physiological processes by damaging

the enzymatic activity, changing the cell structure, and ultimately inhibiting the growth ofmicroorganisms [81,119].

469 3.2.2. Correlation to the amount of metallic ions released and principles for the design 470 of LDHs with optimal antibacterial effect through ion release

471 Although all the previously mentioned investigations suggest the contribution of released metallic 472 ions to the antibacterial effect of pristine and NPs-loaded LDHs, the literature lacks real 473 experimental setups validating such contribution, *e.g.* quantitative evaluation of the concentration 474 of released ions in solution and their antibacterial efficiency. In our study [51], the MIC values of 475 Zn-based LDHs were studied in accordance with the amount of zinc ions released in LB and TSB 476 broth media. The MIC values of Zn-based LDHs decreased significantly from 12 to 0.375 mg/mL 477 when higher amounts of zinc ions were released. Therefore, we were able to establish the 478 contribution of metallic ions released out of pristine LDHs to their antibacterial properties thus 479 confirming the suggested antibacterial mechanism of metallic ions release.

In summary, several properties of pristine and NPs-loaded LDHs can be tuned in a way that theantibacterial effect by metallic ions release could be enhanced, including:

482 - nature of constituent divalent metal or loaded NP to be released, *e.g.* Zn²⁺, Cu²⁺ and Ag⁺,
483 having the greater effect

- 484 increasing the release of the divalent cations in various ways:
- 485 o increasing the divalent to the trivalent metal molar ratio of LDH (M^{II}:M^{III})
- 486 o lowering the crystallinity of LDH powders
- 487 o introducing intercalating anions having lower affinity to the layers

488

489

• decreasing the size of LDHs or loaded NPs which could provide an impact on the amount of metallic ions released, *e.g.* smaller particles release more metallic ions

- 490 tuning the amount and stability of loaded NPs for NPs-loaded LDHs
- 491

4. LDH antibacterial activity by the generation of reactive oxygen species

492 Reactive oxygen species (ROS) are produced by bacteria itself (endogenous) as a byproduct of 493 aerobic respiration or generated in the host environment (exogenous) [120]. ROS were called 494 "double-edged swords of life" in the mechanism of pathogen clearance [121]. First, successful 495 pathogens can exploit endogenous ROS for their growth and adaption. Second, exogenous ROS 496 were thought to be the weapon providing an efficient antibacterial effect since they can directly 497 damage bacterial membranes, DNA, lipids, and proteins by oxidative stress [120–122].

498 **4.1**.

4.1. Generation of ROS by LDHs

When a suitable source of light irradiates LDHs, an electron (\bar{e}) and a hole (h^+) are created which could subsequently generate ROS by the following reactions [123]:

- $501 \qquad h^+ + H_2 0 \longrightarrow H^+ + \bullet 0H \tag{1}$
- $502 \quad h^+ + OH^- \to \bullet OH \tag{2}$
- $503 \qquad \bar{e} + O_2 \longrightarrow \bullet O_2^- \tag{3}$
- $504 \quad \bullet O_2^- + H^+ \longrightarrow \bullet HOO \tag{4}$
- $505 \quad \bullet H00 + \bullet H00 \longrightarrow H_2O_2 + O_2 \tag{5}$

506 Where •OH are hydroxyl radicals, $\bullet O_2^-$ are superoxide radical anions, •HOO are hydrogen 507 superoxide radicals ,and H_2O_2 are hydrogen peroxide molecules, all belonging to the family of 508 ROS. The generation of ROS by LDHs is another possible mechanism explaining their 509 antibacterial activity as suggested by several studies reported in **Table 1**.

510

4.2. ROS generation by pristine LDHs

511 Zhao et al. reported the synthesis of antibacterial ZnTi LDH nanosheets with lateral dimensions in 512 the range of 40-80 nm [124]. ESR and X-ray photoelectron spectroscopy (XPS) measurements revealed that Ti³⁺ sites were generated within these nanosized LDH platelets. The antibacterial 513 514 activity of ZnTi LDHs found upon visible-light illumination was explained by the generation of superoxide anion radicals ($\cdot \mathbf{0}_{2}$) and hydroxyl radicals by trapping of electrons by Ti³⁺ ions and 515 516 the reaction of generated holes with water or hydroxyl ions(•OH), respectively. These generated 517 ROS ($\bullet 0_2$ and $\bullet 0H$) were believed to be strong and nonselective oxidants, which could lead to the 518 damage of bacteria by attacking cell membrane to cause cell lysis.

519

4.3.ROS generation by supported nanoparticles on LDH

520 4.3.1. Ag@AgCl-generated ROS

521 Nocchetti et al. successfully synthesized antibacterial LDH hybrids by loading AgCl NPs on ZnAl 522 LDHs for Rhodamine B (RhB) dye photodegradation and antibacterial potential applications [60]. 523 Kinetic experiments of the antibacterial activity of the prepared hybrids in the dark and under 524 irradiation ($\lambda > 350$ nm). No bacterial growth reduction was observed until 180 min upon treatment 525 with LDH hybrids in dark conditions. On the other hand, the number of live bacteria treated with 526 LDH hybrids was considerably reduced after 60 min of irradiation. The superior antibacterial 527 activity of the nanocomposites under irradiation for a very short time was explained by the 528 formation of Ag clusters on AgCl and the production of reactive oxygen species (ROS), such as 529 $\bullet O_2^-$, which was previously observed in the photodegradation process of RhB in presence of ROS 530 quenchers.

531

4.3.2. ZnO-generated ROS

532 Zhang *et al.* reported the fabrication of antibacterial nanocomposites based on loading zinc oxides 533 NPs (ZnO) on ZnAl LDHs which were further incorporated in water polyurethane (WPU) 534 polymeric matrix [49]. The antibacterial activities of the pure WPU, WPU/ZnAl-LDHs and 535 WPU/ZnO-loaded ZnAl-LDHs composite films with different ZnAl-LDHs/ZnO contents were 536 tested against E. coli and S. aureus. It was noted that the pure WPU did not show any antibacterial 537 activity, while the WPU/ZnAl-LDHs showed a weak antibacterial property. However, the 538 WPU/ZnO-loaded ZnAl-LDHs composites showed a strong antibacterial activity which reached 539 99% at a very low loading of 0.3 wt%. The authors explained the enhanced antibacterial property 540 of WPU/ZnO-loaded ZnAl-LDHs composites as follows: ZnO is a semiconductor with a wide 541 bandgap, which could be stimulated by photon energy higher than the bandgap of ZnO (3.37 eV), 542 and could lead to the movement of an electron from the valence band (V_b) to the conduction band (C_b) of the particle. Thus, a hole (h^+) in the valence band and a free electron (\overline{e}) in the conduction 543 544 band would be generated, triggering reactions (1)-(5) described in section 4.1. This in turn would 545 damage the bacterial cells. Similar suggested antibacterial mechanism concerning the generation 546 of ROS by ZnO-loaded ZnAl LDHs was also reported by Li et al. [125]. This mechanism was also 547 cited for layered double oxides containing those types of oxide species as well [42,76].

548

4.3.3. Beyond ROS: photothermal effect of Au on LDHs

549 Ma *et al.* reported the construction of an antibacterial core-shell gold nanorods-loaded LDHs 550 (GNR@LDH) nanostructures by loading gold nanorods (GNR) on a MgAl LDH matrix [38]. As 551 shown in **Fig. 6A**, the antibacterial activity of the synthesized GNR@LDH nanostructures showed 552 significant antibacterial activity against *E. coli* and *S. aureus* bacteria, only when being irradiated 553 by Near Infrared (NIR) laser (λ =808 nm). When the concentration exceeded 200 µg/mL,

554 GNR@LDH-PEG exhibited a significant antibacterial effect in 3 minutes of irradiation and even 555 a higher effect (99.25% and 88.44% for E.coli and S. aureus, respectively). Moreover, the SEM 556 images shown in Fig. 6B and C revealed that both bacteria adhered to GNR@LDH nanostructures, 557 probably due to their positively charged surface. However, before being irradiated by 808 nm laser, 558 no obvious damages were detected on the bacterial membrane of the rod-shaped E. coli and 559 spherical S. aureus (Fig. 6B). On the contrary, under irradiation with a NIR laser for 3 minutes, 560 some crumbles appeared on the surface and the cell membranes became incomplete, distorted, and 561 even melted (Fig. 6C, red arrows). Such observation suggested that bacterial adhesion to LDH 562 nanostructures is not responsible for their antibacterial effect, but it rather served as a first step in 563 promoting the antibacterial activity, as previously suggested by Gottenbos *et al.* [64]. In summary, 564 GNR@LDH showed remarkable photothermal antibacterial activity on both Gram-positive and 565 Gram-negative bacteria. This consequently led to the damage and destruction of E. coli and S. 566 aureus bacterial membranes.



567

Fig. 6. (A) Antibacterial activity evaluation of GNR@LDH-PEG against: (a) *E. coli*, (b): *S. aureus*. (B) SEM images of treated bacteria with GNR@LDH without 808 nm laser: (a) *E. coli*, (b) *S. aureus*. (C) SEM images of bacteria treated with GNR@LDH under 3 min irradiation with 808 nm laser: (a) *E. coli*, (b) *S. aureus*. (C) SEM *aureus*; *P < 0.01, 0.001 < **P < 0.005, ***P < 0.001, compared with control group, red arrows correspond to distorted cell membranes. Reproduced with permission from Ref. [38]. Copyright (2019), American Chemical Society.

574 It is somehow difficult to determine whether the observed damaged bacterial membranes were 575 indeed due to the generation of ROS or the reported photothermal activity under irradiation. To 576 validate such a hypothesis, the morphology of irradiated untreated bacteria should be examined as 577 well.

4.4.Limitation of the attribution of the ROS mechanism and general considerations for its effect

The aforementioned studies suggested either the contribution of ROS [41,42,49,58,60,76] or the photothermal effect [38] to the antibacterial mechanism of pristine and NPs-loaded LDHs. A real measurement of ROS and the evaluation of their role is therefore necessary to distinguish the contribution of each to the antibacterial activity. ROS generation could be evaluated using different methods including fluorescence spectroscopy using fluorescent dye probes, ESR and chromatography methods [126].

586 Another helpful suggestion to ensure the contribution of the generation of ROS by LDHs to their 587 antibacterial activity is to study the antibacterial effect of LDHs in function of generated ROS 588 initially evaluated using both qualitative and quantitative approaches. For example, Dutta et al. 589 confirmed that the antibacterial effect of ZnO NPs was related to bacterial membrane damage 590 caused by generated ROS evaluated using fluorescent dye probes [127]. Additionally, a scavenger 591 of ROS (histidine) was also used in different concentrations during antibacterial experiments in 592 order to evaluate more precisely the role of the generated ROS in the adapted antibacterial 593 mechanism. The antibacterial activity of ZnO NPs decreased significantly with increasing 594 concentrations of added histidine thus ensuring the great contribution of generated ROS to the 595 antibacterial performance of ZnO NPs.

596 In summary, ROS generation had been evidenced only for pristine LDHs (ZnTi LDH nanosheets).

597 The possibilities for ROS generation are increased with nanoparticle loading, either with metallic

598 particles (Au), halogen-metal salts (AgCl) or oxides (ZnO).

ROS generation should be triggered by UV, visible or IR light. Of course, a reference measurement
must be performed to ensure that the light source itself does not contribute to the observed
antibacterial effect.

602 **5.** Conclusion

As summarized in this review, LDH-based materials, *e.g.*, pristine LDHs, NPs loaded-LDHs and antibacterial molecules-loaded LDHs, could be potentially used as efficient antibacterial agents against various Gram-positive and Gram-negative bacterial strains.

- (1) Direct surface interactions between negatively charged bacterial membranes and positively
 charged pristine or NPs-loaded LDHs constitute one possible mechanism explaining their
 antibacterial activity. Such interaction is maximized by substantial surface charges, smaller
 particle sizes and good dispersion of LDHs or loaded NPs to promote better interaction
 with bacterial membranes leading to their damage.
- 611 (2) Dissolution of antibacterial molecules from antibacterial molecules-loaded LDH 612 composites is believed to be the main contributor to their antibacterial effect. The induced 613 antibacterial effect by the controlled release of loaded antibacterial molecules is dependent 614 on the efficiency and amount of the loaded antibacterial molecules, their orientation within 615 the LDH matrix, the size of the composites controlling the dissolution behavior as well as 616 the nature of the LDH host which could also aid in the antibacterial effect of these 617 composites. Moreover, dissolution also occurs for pristine and NPs-loaded LDHs that partially release their constituent divalent metallic ions (especially Zn^{2+} and Cu^{2+}) and NPs 618 619 metallic ions (Ag⁺), respectively. The release behavior of these metallic ions is dependent 620 on several factors and is increased for lower crystallinity of the particles, weakly 621 interacting intercalated anions, larger divalent over trivalent ion ratio. The released metallic

37

622 ions can further cause damages to the membrane functions by blocking the transport of
623 nutrients and substances in and out the membrane, or by binding to bacterial intracellular
624 DNA and proteins leading to their denaturation or deactivation.

625 (3) Finally, upon being radiated by a suitable source of illumination, pristine (Ti-Zn LDH) as 626 well as NPs-loaded (Ag@AgCl, ZnO and Au) LDHs can generate reactive oxygen species 627 (ROS) such as \bullet HO, \bullet O₂ and H₂O₂. These generated ROS are well known to be toxic to 628 bacteria by which they can damage their bacterial membranes, DNA, lipids, and proteins 629 by oxidative stress.

630 Although these different mechanisms were proposed to explain the antibacterial mode of action

of LDH-based materials, experimental evidences verifying them are still lacking. Hence,

632 special investigations are highly encouraged to study in detail the antibacterial mechanisms of

633 LDH-based materials. So that they could potentially be utilized as antibacterial agents in

634 different fields of applications such as antibacterial coatings, food packaging products, cement

635 additives, wound healing and textiles products.

636 Acknowledgment

637 The authors acknowledge the financial support from the French Ministry of Higher Education

638 (MESR), the French National Scientific Centre (CNRS).

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