



# Unlimited lists of fundamental units of quadratic fields - Applications to some arithmetic properties

Georges Gras

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# UNLIMITED LISTS OF QUADRATIC INTEGERS OF GIVEN NORM APPLICATION TO SOME ARITHMETIC PROPERTIES

GEORGES GRAS

ABSTRACT. We use the polynomials  $m_s(t) = t^2 - 4s$ ,  $s \in \{-1, 1\}$ , in an elementary process giving unlimited lists of *fundamental units of norm  $s$* , of real quadratic fields, with ascending order of the discriminants. As  $t$  grows from 1 to an upper bound  $\mathbf{B}$ , for each *first occurrence* of a square-free integer  $M \geq 2$ , in the factorization  $m_s(t) =: Mr^2$ , the unit  $\frac{1}{2}(t + r\sqrt{M})$  is the fundamental unit of norm  $s$  of  $\mathbb{Q}(\sqrt{M})$ , even if  $r > 1$  (Theorem 4.2). Using  $m_{s\nu}(t) = t^2 - 4s\nu$ ,  $\nu \geq 2$ , the algorithm gives unlimited lists of *fundamental integers of norm  $s\nu$*  (Theorem 4.6). We deduce, for any prime  $p > 2$ , unlimited lists of *non  $p$ -rational* quadratic fields (Theorems 6.3, 6.4, 6.5) and lists of degree  $p - 1$  imaginary fields with non-trivial  $p$ -class group (Theorems 7.1, 7.2). All PARI programs are given.

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## 1. INTRODUCTION AND MAIN RESULTS

**1.1. Definition of the “F.O.P.” algorithm.** For the convenience of the reader, we give, at once, an outline of this process which has an interest especially under the use of PARI programs [P].

**Definition 1.1.** We call “First Occurrence Process” (F.O.P.) the following algorithm, defined on a large interval  $[1, \mathbf{B}]$  of integers. As  $t$  grows from  $t = 1$  up to  $t = \mathbf{B}$ , we compute some arithmetic invariant  $F(t)$ ; for instance, a pair of invariants described as a PARI list, as the following illustration with square-free integers  $M(t)$  and units  $\eta(t)$  of  $\mathbb{Q}(\sqrt{M(t)})$ :

$$F(t) \mapsto L(t) = \text{List}([M(t), \eta(t)]),$$

provided with a natural order on the pairs  $L(t)$ , then put it in a PARI list  $\mathbf{LM}$ :

$$\begin{aligned} \text{Listput}(\mathbf{LM}, \text{vector}(2, c, L[c])) &\mapsto \text{List}([L(1), L(2), \dots, L(t), \dots, L(\mathbf{B})]) \\ &= \text{List}([ [M(1), \eta(1)], \dots, [M(t), \eta(t)], \dots, [M(\mathbf{B}), \eta(\mathbf{B})] ]); \end{aligned}$$

after that, we apply the PARI instruction  $\mathbf{VM} = \text{vecsort}(\mathbf{LM}, 1, 8)$  which builds the list:

$$\mathbf{VM} = \text{List}([L_1, L_2, \dots, L_j, \dots, L_N]), \quad N \leq \mathbf{B},$$

such that  $L_j = L(t_j) = [[M(t_j), \eta(t_j)]]$  is the first occurrence (regarding the selected order, for instance that on the  $M$ 's) of the invariant found by the algorithm and which removes the subsequent duplicate entries.

Removing the duplicate entries is the key of the principle since in general they are unbounded in number as  $\mathbf{B} \rightarrow \infty$  and do not give the suitable information

Since the length  $N$  of the list  $\mathbf{VM}$  is unknown by nature, one must write  $\mathbf{LM}$  as a vector and put instead:  $\mathbf{VM} = \text{vecsort}(\text{vector}(\mathbf{B}, c, \mathbf{LM}[c]), 1, 8)$ ; thus,  $N = \#\mathbf{VM}$  makes sense and one can (for possible testing) select elements and components as  $X = \mathbf{VM}[k][2]$ , etc. If  $N$  is not needed, then  $\mathbf{VM} = \text{vecsort}(\mathbf{LM}, 1, 8)$  works well.

For instance, the list  $\mathbf{LM}$  of objects  $F(t) = (M(t), \varepsilon(t))$ ,  $1 \leq t \leq \mathbf{B} = 10$ :

$$\mathbf{LM} = \text{List}([ [5, \varepsilon_5], [2, \varepsilon_2], [5, \varepsilon'_5], [7, \varepsilon_7], [5, \varepsilon''_5], [3, \varepsilon_3], [2, \varepsilon'_2], [5, \varepsilon'''_5], [6, \varepsilon_6], [7, \varepsilon'_7] ]),$$

with the natural order on the first components  $M$ , leads to the list:

$$\mathbf{VM} = \text{List}([ [2, \varepsilon_2], [3, \varepsilon_3], [5, \varepsilon_5], [6, \varepsilon_6], [7, \varepsilon_7] ]).$$

**1.2. Quadratic integers.** Let  $K =: \mathbb{Q}(\sqrt{M})$ ,  $M \in \mathbb{Z}_{\geq 2}$  square-free, be a real quadratic field and let  $\mathbf{Z}_K$  be its ring of integers.

Recall that  $M \geq 2$ , square-free, is called the “Kummer radical” of  $K$ , contrary to any “radical”  $m = Mr^2$  giving the same field  $K$ .

There are two ways of writing for an element  $\alpha \in \mathbf{Z}_K$ . The first one is to use the integral basis  $\{1, \sqrt{M}\}$  (resp.  $\{1, \frac{1+\sqrt{M}}{2}\}$ ) when  $M \not\equiv 1 \pmod{4}$  (resp.  $M \equiv 1 \pmod{4}$ ). The second one is to write  $\alpha = \frac{1}{2}(u + v\sqrt{M})$ , in which case  $u, v \in \mathbb{Z}$  are necessarily of same parity; but  $u, v$  may be odd only when  $M \equiv 1 \pmod{4}$ . We denote by  $\mathbf{T}_{K/\mathbb{Q}}$  and  $\mathbf{N}_{K/\mathbb{Q}}$ , or simply  $\mathbf{T}$  and  $\mathbf{N}$ , the trace and norm maps in  $K/\mathbb{Q}$ , so that  $\mathbf{T}(\alpha) = u$  and  $\mathbf{N}(\alpha) = \frac{1}{4}(u^2 - Mv^2)$  in the second writing for  $\alpha$ .

Then the norm equation in  $u, v \in \mathbb{Z}$  (not necessarily with co-prime numbers  $u, v$ ):

$$u^2 - Mv^2 = 4s\nu, \quad s \in \{-1, 1\}, \quad \nu \in \mathbb{Z}_{\geq 1},$$

for  $M$  square-free, has the property that  $u, v$  are necessarily of same parity and may be odd only when  $M \equiv 1 \pmod{4}$ ; then:

$$z := \frac{1}{2}(u + v\sqrt{M}) \in \mathbf{Z}_K, \quad \mathbf{T}(z) = u \quad \& \quad \mathbf{N}(z) = s\nu.$$

Finally, we will write quadratic integers  $\alpha$ , with positive coefficients on the basis  $\{1, \sqrt{M}\}$ ; this defines a unique representative modulo the sign and the conjugation. Put:

$$\mathbf{Z}_K^+ := \left\{ \alpha = \frac{1}{2}(u + v\sqrt{M}), \quad u, v \in \mathbb{Z}_{\geq 1}, \quad u \equiv v \pmod{2} \right\}.$$

Note that these  $\alpha$ 's are not in  $\mathbb{Z}$ , nor in  $\mathbb{Z} \cdot \sqrt{M}$ ; indeed, we have the trivial solutions  $\mathbf{N}(q) = q^2$  ( $\alpha = q \in \mathbb{Z}_{\geq 1}$ ,  $s = 1$ ,  $\nu = q^2$ ,  $Mv^2 = 0$ ,  $u = q$ ) or  $\mathbf{N}(v\sqrt{M}) = -Mv^2$ ,  $v \in \mathbb{Z}_{\geq 1}$ ,  $s = -1$ ,  $\nu = -Mv^2$ ,  $u = 0$ ), which are not given by the F.O.P. algorithm for simplicity. These viewpoints will be more convenient for our purpose and these conventions will be implicit in all the sequel.

Since norm equations may have several solutions, we will use the following definition:

**Definition 1.2.** Let  $M \in \mathbb{Z}_{\geq 2}$  be a square-free integer and let  $s \in \{-1, 1\}$ ,  $\nu \in \mathbb{Z}_{\geq 1}$ . We call fundamental solution (if there are any) of the norm equation  $u^2 - Mv^2 = 4s\nu$ , with  $u, v \in \mathbb{Z}_{\geq 1}$ , the corresponding integer  $\alpha := \frac{1}{2}(u + v\sqrt{M}) \in \mathbf{Z}_K^+$  of minimal trace  $u$ .

**1.3. Quadratic polynomial units.** It is classical that the continued fraction expansion of  $\sqrt{m}$ , for a positive square-free integer  $m$ , gives, under some limitations, the fundamental solution, in integers  $u, v \in \mathbb{Z}_{\geq 1}$ , of the norm equation  $u^2 - mv^2 = 4s$ , whence the fundamental unit  $\varepsilon_m := \frac{1}{2}(u + v\sqrt{m})$  of  $\mathbb{Q}(\sqrt{m})$ . A similar context of “polynomial continued fraction expansion” does exist and gives polynomial solutions  $(u(t), v(t))$ , of  $u(t)^2 - m(t)v(t)^2 = 4s$ , for suitable  $m(t) \in \mathbb{Z}[t]$  (see, e.g., [McL, McLZ, Nat, Ram, SaAb]). This gives the quadratic polynomial units  $E(t) := \frac{1}{2}(u(t) + v(t)\sqrt{m(t)})$ .

We will base our study on the following polynomials  $m(t)$  that have interesting universal properties (a first use of this is due to Yokoi [Yo, Theorem 1]).

**Definition 1.3.** Consider the square-free polynomials  $m_{s\nu}(t) = t^2 - 4s\nu \in \mathbb{Z}[t]$ , where  $s \in \{-1, 1\}$ ,  $\nu \in \mathbb{Z}_{\geq 1}$ . The continued fraction expansion of  $\sqrt{t^2 - 4s\nu}$  leads to the integers  $A_{s\nu}(t) := \frac{1}{2}(t + \sqrt{t^2 - 4s\nu})$ , of norm  $s\nu$  and trace  $t$ , in a quadratic extension of  $\mathbb{Q}(t)$ . When  $\nu = 1$ , one obtains the units  $E_s(t) := \frac{1}{2}(t + \sqrt{t^2 - 4s})$ , of norm  $s$  and trace  $t$ .

The continued fraction expansion, with polynomials, gives the fundamental solution of the norm equation (cf. details in [McL]), but must not be confused with that using evaluations of the polynomials; for instance, for  $t_0 = 7$ ,  $m_1(t_0) = 7^2 - 4 = 45$  is not square-free and  $E_1(7) = \frac{1}{2}(7 + \sqrt{45}) = \frac{1}{2}(7 + 3\sqrt{5})$  is indeed the fundamental solution of  $u^2 - 45v^2 = 4$ , but not the fundamental unit  $\varepsilon_5$  of  $\mathbb{Q}(\sqrt{45}) = \mathbb{Q}(\sqrt{5})$ , since one gets  $E_1(7) = \varepsilon_5^6$ .

**1.4. Main algorithmic results.** We will prove that the families of polynomials  $m_{s\nu}(t) = t^2 - 4s\nu$ ,  $s \in \{-1, 1\}$ ,  $\nu \in \mathbb{Z}_{\geq 1}$ , are universal to find all square-free integers  $M$  for which there exists a privileged solution  $\alpha \in \mathbf{Z}_K^+$  to  $\mathbf{N}(\alpha) = s\nu$ ; moreover, the solution obtained is the fundamental one, in the meaning of Definition 1.2 saying that  $\alpha$  is of minimal trace  $t \geq 1$ . This is obtained by means of an extremely simple algorithmic process (described § 1.1) and allows to get unbounded lists of quadratic fields, given by means of their Kummer radical, and having specific properties.

The typical results, admitting several variations, are given by the following excerpt of statements using quadratic polynomial expressions  $m(t)$  deduced from some  $m_{s\nu}(t)$ :

**Theorem 1.4.** Let  $\mathbf{B}$  be an arbitrary large upper bound. As the integer  $t$  grows from 1 up to  $\mathbf{B}$ , for each first occurrence of a square-free integer  $M \geq 2$ , in the factorizations  $m(t) =: Mr^2$ , we have the following properties for  $K := \mathbb{Q}(\sqrt{M})$ :

a) Consider the polynomials  $m(t) = t^2 - 4s\nu$ ,  $s \in \{-1, 1\}$ ,  $\nu \in \mathbb{Z}_{\geq 2}$ :

(i)  $m(t) = t^2 - 4s$ .

The unit  $\frac{1}{2}(t + r\sqrt{M})$  is the fundamental unit of norm  $s$  of  $K$  (Theorem 4.2).

(ii)  $m(t) = t^2 - 4s\nu$ .

The integer  $A_{s\nu}(t) = \frac{1}{2}(t + r\sqrt{M})$  is the fundamental integer in  $\mathbf{Z}_K^+$  of norm  $s\nu$  in the meaning of Definition 1.2 (Theorem 4.6).

b) Let  $p$  be an odd prime number and consider the following polynomials.

(i)  $m(t) \in \{p^4t^2 \pm 1, p^4t^2 \pm 2, p^4t^2 \pm 4, 4p^4t^2 \pm 2, 9p^4t^2 \pm 6, 9p^4t^2 \pm 12, \dots\}$ .

The field  $K$  is non  $p$ -rational apart from few explicit cases (Theorem 6.3).

(ii)  $m(t) = 3^4t^2 - 4s$ .

The field  $F_{3,M} := \mathbb{Q}(\sqrt{-3M})$  has a class number divisible by 3, except possibly when the unit  $\frac{1}{2}(9t + r\sqrt{M})$  is a third power of a unit (Theorem 7.1). Up to  $\mathbf{B} = 10^5$ , all the 3-class groups are non-trivial, apart from few explicit cases.

(iii)  $m(t) = p^4t^2 - 4s$ ,  $p \geq 5$ .

The imaginary cyclic extension  $F_{p,M} := \mathbb{Q}((\zeta_p - \zeta_p^{-1})\sqrt{M})$ , of degree  $p - 1$ , has a class number divisible by  $p$ , except possibly when the unit  $\frac{1}{2}(p^2t + r\sqrt{M})$  is a  $p$ -th power of unit (Theorem 7.2).

For  $p = 5$ , the quartic cyclic field  $F_{5,M}$  is defined by the polynomial  $P = x^4 + 5Mx^2 + 5M^2$  and up to  $\mathbf{B} = 500$ , all the 5-class groups are non-trivial, except for  $M = 29$ .

Moreover, this principle gives lists of solutions by means of Kummer radicals (or discriminants) of a regularly increasing order of magnitude, these lists being unbounded as  $\mathbf{B} \rightarrow \infty$ . See, for instance Proposition 3.1 for lists of Kummer radicals  $M$ , then Section 2 for lists of arithmetic invariants (class groups,  $p$ -ramified torsion groups, logarithmic class groups of  $K$ ), and Theorems 6.4, 6.5, giving unlimited lists of units, local (but non global)  $p$ th powers, whence lists of non- $p$ -rational quadratic fields.

All the lists have, at least,  $O(\mathbf{B})$  distinct elements, but most often  $\mathbf{B} - o(\mathbf{B})$ , and even  $\mathbf{B}$  distinct elements in some situations.

So, we intend to analyze these results in a computational point of view by means of a new strategy to obtain arbitrary large list of fundamental units, or of other quadratic integers, even when radicals  $m_{s\nu}(t) =: M(t)r(t)^2$ ,  $t \in \mathbb{Z}_{\geq 1}$ , are not square-free (i.e.,  $r(t) > 1$ ). By comparison, it is well known that many polynomials, in the literature, give subfamilies of integers (especially fundamental units) found by means of the  $m_{s\nu}$ 's with assuming that the radical  $m_{s\nu}(t)$  are square-free.

**Remark 1.5.** It is accepted and often proven that the integers  $t^2 - 4s\nu$  are square-free with a non-zero density and an uniform repartition (see, e.g., [FrIw], [Rud]); so an easy heuristic is that the last  $M = M_{\mathbf{B}}$  of the list VM is equivalent to  $\mathbf{B}^2$ . This generalizes to the F.O.P. algorithm applied to polynomials of the form  $a_n t^n + a_{n-1} t^{n-1} + \dots + a_0$ ,  $n \geq 1$ ,  $a_n \in \mathbb{Z}_{\geq 1}$ , and gives the equivalent  $M_{\mathbf{B}} \sim a_n \mathbf{B}^n$  as  $\mathbf{B} \rightarrow \infty$ .

The main fact is that the F.O.P. algorithm will give *fundamental solutions* of norm equations  $u^2 - Mv^2 = 4s\nu$  (see Section 4), whatever the order of magnitude of  $r$ ; for small values of  $M$ ,  $r$  may be large, even if  $r(t)$  tends to 1 as  $M(t)$  tends to its maximal value, equivalent to  $\mathbf{B}^2$ , as  $t \rightarrow \infty$ . Otherwise, without the F.O.P. principle, one must assume  $m_{s\nu}(t)$  square-free in the applications, as it is often done in the literature.

## 2. FIRST EXAMPLES OF APPLICATION OF THE F.O.P. ALGORITHM

**2.1. Kummer radicals and discriminants given by  $m_s(t)$ .** Recall that, for  $t \in \mathbb{Z}_{\geq 1}$ , we put  $m_s(t) = M(t)r(t)^2$ ,  $M(t)$  square-free.

**2.1.1. Kummer radicals.** The following program gives, as  $t$  grows from 1 up to  $\mathbf{B}$ , the Kummer radical  $M$  and the integer  $r$  obtained from the factorizations of  $m'_1(t) = t^2 - 1$ , under the form  $Mr^2$ ; then we put them in a list LM and the F.O.P. algorithm gives the pairs  $\mathbf{C} = \text{core}(\text{mt}, 1) = [M, r]$ , in the increasing order of the radicals  $M$  and removes the duplicate entries:

MAIN PROGRAM GIVING KUMMER RADICALS

```
{B=10^6;LM=List;for(t=1,B,mt=t^2-1;C=core(mt,1);L=List(C);
listput(LM,vector(2,c,L[c])));M=vecsort(vector(B,c,LM[c]),1,8);
print(M);print("#M = ",#M)}
[M,r]=
[0,1],
[2,2],[3,1],[5,4],[6,2],[7,3],[10,6],[11,3],[13,180],[14,4],[15,1],[17,8],[19,39],[21,12],
[22,42],[23,5],[26,10],[29,1820],[30,2],[31,273],[33,4],[34,6],[35,1],[37,12],[38,6],
[39,4],[41,320],[42,2],[43,531],[46,3588],[47,7],[51,7],[53,9100],[55,12],[57,20],
[58,2574],[59,69],[62,8],[65,16],[66,8],[67,5967],[69,936],[70,30],[71,413],[74,430],
(...)
[999980000099,1],[999984000063,1],[999988000035,1],[999992000015,1]
#M = 999225
```

**Remark 2.1.** Some radicals are not found. Of course they will appear for  $\mathbf{B}$  larger according to Proposition 3.1. For instance, the Kummer radical  $M = 94$  depends on the fundamental unit  $\varepsilon_{94} = 2143295 + 221064\sqrt{94}$  of norm 1; so, using  $m'_1(t)$ , the minimal solution is  $t = 2143295$ . For the Kummer radical  $M = 193$ ,  $\varepsilon_{193} = 1764132 + 126985\sqrt{193}$  is of norm  $-1$  and  $m'_{-1}(1764132) = 193 \times 126985^2$ . So  $t^2 - 1 = 193r^2$  has the minimal solution  $t = 6224323426849$  corresponding to  $\varepsilon_{193}^2$ .

**2.1.2. Discriminants.** If one needs the discriminants of the quadratic fields in the ascending order, it suffices to replace the Kummer radical  $M = \text{core}(\text{mt})$  by  $\text{quaddisc}(\text{core}(\text{mt}))$  giving the discriminant  $D$  of  $\mathbb{Q}(\sqrt{M})$ . We use  $m'_1(t)$  and  $m'_{-1}(t)$  together to get various  $M$  modulo 4 (thus the size of the list  $[D]$  is  $2 * \mathbf{B}$ ); this yields the following program and results with outputs  $[D]$ :

## MAIN PROGRAM GIVING DISCRIMINANTS

```

{B=10^6;LD=List;for(t=1,B,L=List([quaddisc(core(t^2-1))]);
listput(LD,vector(1,c,L[c]));L=List([quaddisc(core(t^2+1))]);
listput(LD,vector(1,c,L[c]));D=vecsort(vector(2*B,c,LD[c]),1,8);
print(D);print("#D = ",#D)}
[D]=
[[0],
[5],[8],[12],[13],[17],[21],[24],[28],[29],[33],[37],[40],[41],[44],[53],[56],[57],[60],
[61],[65],[69],[73],[76],[77],[85],[88],[89],[92],[93],[97],[101],[104],[105],[113],[120],
[124],[129],[136],[137],[140],[141],[145],[149],[152],[156],[161],[165],[168],[172],
[173],[177],[184],[185],[188],[197],[201],[204],[205],[209],[213],[220],[221],[229],
(...)]
[3999960000104],[3999968000060],[3999976000040],[3999992000008]
#D = 1998451

```

This possibility is valid for all programs of the paper; we will classify the Kummer radicals, instead of discriminants, because radicals are more related to norm equations, but any kind of output can be done easily.

**2.2. Application to minimal class numbers.** One may use this classification of Kummer radicals and compute orders  $h$  of some invariants, then apply the F.O.P. principle, with the instruction  $VM = \text{vecsort}(\text{vector}(B, c, LM[c]), 2, 8)$  to the outputs  $[M, h]$ , to get successive possible class numbers  $h$  in ascending order (we use here  $m_1(t) = t^2 - 4$ ):

## MAIN PROGRAM GIVING SUCCESSIVE CLASS NUMBERS

```

{B=10^5;LM=List;for(t=3,B,M=core(t^2-4);
h=quadclassunit(quaddisc(M))[1];L=List([M,h]);
listput(LM,vector(2,c,L[c]));
VM=vecsort(vector(B-2,c,LM[c]),2,8);
print(VM);print("#VM = ",#VM)}
[M,h]=
[5,1],[15,2],[2021,3],[195,4],[4757,5],[3021,6],[11021,7],[399,8],[27221,9],[7221,10],
[95477,11],[1599,12],[145157,13],[15621,14],[50621,15],[4899,16],[267101,17],[11663,18],
(...)
[2427532899,7296],[2448270399,7356],[2340624399,7384],[1592808099,7424],[1745568399,7456],
[2443324899,7600],[2479044099,7680],[2251502499,7840],[1718102499,7968],[2381439999,8040],
[2077536399,8328],[1981140099,8384]
#VM = 2712

```

One may compare using polynomials  $m_s(t)$  to obtain radicals, then for instance class numbers  $h$ , with the classical PARI computation:

```

{B=10^6;LM=List;N=0;for(M=2,B,if(core(M)!=M,next);
N=N+1;h=quadclassunit(quaddisc(M))[1];
L=List([M,h]);listput(LM,vector(2,c,L[c]));
VM=vecsort(vector(N,c,LM[c]),2,8);
print(VM);print("#VM = ",#VM)}
[M,h]=
[[2,1],[10,2],[79,3],[82,4],[401,5],[235,6],[577,7],[226,8],[1129,9],[1111,10],[1297,11],
[730,12],[4759,13],[1534,14],[9871,15],[2305,16],[7054,17],[4954,18],[15409,19],
(...)
[78745,60],[68179,62],[57601,63],[71290,64],[87271,66],[53362,68],[56011,70],[45511,72],
[38026,74],[93619,76],[94546,80],[77779,84],[90001,87],[56170,88],[99226,94],[50626,96]]
#VM = 73

```

The lists are not comparable but are equal for “ $B = \infty$ .”

**2.3. Application to minimal orders of  $p$ -ramified torsion groups.** Let  $\mathfrak{T}_K$  be the torsion group of the Galois group of the maximal abelian  $p$ -ramified (i.e., unramified outside  $p$  and  $\infty$ ) pro- $p$ -extension of  $\mathbb{Q}(\sqrt{M})$ . The following program, for any  $p \geq 3$ , gives the results by ascending order (outputs  $[M, h = p^a, T = p^b]$ , where  $h$  is the order of the  $p$ -class group and  $T$  that of  $\mathfrak{T}_K$ ):

MAIN PROGRAM GIVING SUCCESSIVE ORDERS OF  $p$ -TORSION GROUPS

```

{B=10^5;p=3;e=18;LM=List;for(t=2,B,M=core(t^2-1);
K=bnfinit(x^2-M,1);wh=valuation(K.no,p);Kt=bnrinit(K,p^e);
CKt=Kt.cyc;wt=valuation(Kt.no/CKt[1],p);L=List([M,p^wh,p^wt]);
listput(LM,vector(3,c,L[c]));VM=vecsort(vector(B-1,c,LM[c]),3,8);
print(VM);print("#VM = ",#VM)}
[M,#h_p,#T_p]=
[[3,1,1],[15,1,3],[42,1,9],[105,1,27],[1599,3,81],[1095,1,243],[23066,9,729],
[1196835,3,2187],[298662,9,6561],[12629139,27,19683],[6052830,9,59049],
[747366243,243,177147]]
#VM = 12

```

**2.4. Application to minimal orders of logarithmic class groups.** For the definition of the logarithmic class group  $\tilde{\mathfrak{T}}_p$  governing Greenberg's conjecture [Gre1], see [Jau3, Jau4], and for its computation, see [BeJa] which gives the structure as abelian group. The following program, for  $p = 3$ , gives the results by ascending orders (all the structures are cyclic in this interval):

```

MAIN PROGRAM GIVING SUCCESSIVE CLASSLOG NUMBERS
{B=10^5;LM=List;for(t=3,B,M1=core(t^2-4);M2=core(t^2+4);
K1=bnfinit(x^2-M1);Clog= bnflog(K1,3)[1];C=1;for(j=1,#Clog,
C=C*Clog[j]);L=List([M1,Clog,C]);listput(LM,vector(3,c,L[c]));
K2=bnfinit(x^2-M2);Clog= bnflog(K2,3)[1];C=1;for(j=1,#Clog,
C=C*Clog[j]);L=List([M2,Clog,C]);listput(LM,vector(3,c,L[c]));
VM=vecsort(vector(2*(B-2),c,LM[c]),3,8);
print(VM);print("#VM = ",#VM)}
[M,Clog,#Clog]=
[[5, [], 1], [257, [3], 3], [2917, [9], 9], [26245, [27], 27], [577601, [81], 81], [236197, [243], 243],
[19131877, [729], 729], [172186885, [2187], 2187], [1549681957, [6561], 6561]]
#VM = 9

```

### 3. UNITS $E_s(t)$ VS FUNDAMENTAL UNITS $\varepsilon_{M(t)}$

**3.1. Polynomials  $m_s(t) = t^2 - 4s$  and units  $E_s(t)$ .** This subsection deals with the case  $\nu = 1$  about the search of quadratic units (see also [Yo, Theorem 1]). The polynomials  $m_s(t) \in \mathbb{Z}[t]$  define, for  $t \in \mathbb{Z}_{\geq 1}$ , the parametrized units  $E_s(t) = \frac{1}{2}(t + \sqrt{t^2 - 4s})$  of norm  $s$  in  $K := \mathbb{Q}(\sqrt{M})$ , where  $M$  is the maximal square-free divisor of  $t^2 - 4s$ . But  $M$  is unpredictable and gives rise to the following discussion depending on the norm  $\mathbf{S} := \mathbf{N}(\varepsilon_M)$  of the fundamental unit  $\varepsilon_M =: \frac{1}{2}(a + b\sqrt{M})$  of  $K$  and of the integral basis of  $\mathbf{Z}_K$ :

(i) If  $s = 1$ ,  $E_1(t) = \frac{1}{2}(t + \sqrt{t^2 - 4})$  is of norm 1; so, if  $\mathbf{S} = 1$ , then  $E_1(t) \in \langle \varepsilon_M \rangle$ , but if  $\mathbf{S} = -1$ , necessarily  $E_1(t) \in \langle \varepsilon_M^2 \rangle$ .

If  $s = -1$ ,  $E_{-1}(t) = \frac{1}{2}(t + \sqrt{t^2 + 4})$  is of norm  $-1$ ; so, necessarily the Kummer radical  $M$  is such that  $\mathbf{S} = -1$ .

(ii) If  $t$  is odd,  $E_s(t)$  is written with half-integer coefficients,  $t^2 - 4s \equiv 1 \pmod{4}$ , giving  $M \equiv 1 \pmod{4}$  and  $\mathbf{Z}_K = \mathbb{Z}[\frac{1+\sqrt{M}}{2}]$ ; so  $\varepsilon_M$  can not be with integer coefficients ( $a$  and  $b$  are necessarily odd).

If  $t$  is even,  $M$  may be arbitrary as well as  $\varepsilon_M$ .

We can summarize these constraints by means of the following Table:

$t^2 - 4s$	$\mathbf{S} = \mathbf{N}(\varepsilon_M)$	$E_s(t) \in$	$\varepsilon_M = \frac{1}{2}(a + b\sqrt{M})$
$t^2 - 4$ , $t$ even	1 (resp. $-1$ )	$\langle \varepsilon_M \rangle$ (resp. $\langle \varepsilon_M^2 \rangle$ )	$a, b$ odd or even
$t^2 - 4$ , $t$ odd	1 (resp. $-1$ )	$\langle \varepsilon_M \rangle$ (resp. $\langle \varepsilon_M^2 \rangle$ )	$a, b$ odd
$t^2 + 4$ , $t$ even	$-1$	$\langle \varepsilon_M \rangle$	$a, b$ odd or even
$t^2 + 4$ , $t$ odd	$-1$	$\langle \varepsilon_M \rangle$	$a, b$ odd

Recall that the F.O.P. algorithm consists, after choosing the upper bound  $\mathbf{B}$ , in establishing the list of *first occurrences*, as  $t$  increases from 1 up to  $\mathbf{B}$ , of any square-free integer  $M \geq 2$ , in the factorization  $m_s(t) = M(t)r(t)^2$  (whence  $M = M(t_0)$  for some  $t_0$  and  $M \neq M(t)$  for all  $t < t_0$ ), and to consider the unit:

$$E_s(t) := \frac{1}{2}(t + \sqrt{t^2 - 4s}) = \frac{1}{2}(t + r(t)\sqrt{M(t)}), \text{ of norm } s.$$

The F.O.P. is necessary since, if  $t_1 > t_0$  gives the same Kummer radical  $M$ ,  $E_s(t_0) = \varepsilon_M^{n_0}$  and  $E_s(t_1) = \varepsilon_M^{n_1}$  with  $n_1 > n_0$ .

We shall prove (Theorem 4.2) that, under the F.O.P. algorithm, one always obtains the minimal possible power  $n \in \{1, 2\}$  in the writing  $E_s(t) = \varepsilon_M^n$ , whence  $n = 2$  if and only if  $s = 1$  and  $\mathbf{S} = -1$ , which means that  $E_s(t)$  is always the fundamental unit of norm  $s$ .

The following result shows that any square-free integer  $M \geq 2$  may be obtained for  $\mathbf{B}$  large enough.

**Proposition 3.1.** *Consider the polynomial  $m_1(t) = t^2 - 4$ . For any square-free integer  $M \geq 2$ , there exists  $t \geq 1$  such that  $m_1(t) = Mr^2$ .*



*Proof.* The corresponding equation  $t^2 - 4 = Mr^2$  becomes of the form  $t^2 - Mr^2 = 4$ . Depending on the writing in  $\mathbb{Z}[\sqrt{M}]$  ( $M \equiv 2, 3 \pmod{4}$ ) or  $\mathbb{Z}[\frac{1+\sqrt{M}}{2}]$  ( $M \equiv 1 \pmod{4}$ ), of the powers  $\varepsilon_M^n = \frac{1}{2}(t+r\sqrt{M})$ ,  $n \geq 1$ , of the fundamental unit  $\varepsilon_M$ , this selects infinitely many  $t \in \mathbb{Z}_{\geq 1}$ .  $\square$

**Remark 3.2.** One may use, instead, the polynomial  $m'_1(t) = t^2 - 1$  since for any fundamental unit of the form  $\varepsilon_M = \frac{1}{2}(a+b\sqrt{M})$ ,  $a, b$  odd, then  $\varepsilon_M^3 \in \mathbb{Z}[\sqrt{M}]$ , but some radicals are then obtained with larger values of  $t$ ; for instance,  $m_1(5) = 21$  and  $m'_1(55) = 21 \cdot 12^2$  corresponding to  $55 + 12\sqrt{21} = (\frac{1}{2}(5 + \sqrt{21}))^2$ .

Since for  $t = 2t'$ ,  $t^2 + 4s = 4(t'^2 - s)$  gives the same Kummer radical as  $t'^2 - s$ , in some cases we shall use  $m'_s(t) := t^2 - s$  and especially  $m'_1(t) := t^2 - 1$  which is “universal” for giving all Kummer radicals.

With the polynomials  $m_{-1}(t) = t^2 + 4$  or  $m'_{-1}(t) = t^2 + 1$  a solution does exist if and only if  $N(\varepsilon_M) = -1$  and one obtains odd powers of  $\varepsilon_M$ .

**3.2. Checking of the exponent  $n$  in  $E_s(t) = \varepsilon_{M(t)}^n$ .** The following program determines the expression of  $E_s(t)$  as power of the fundamental unit of  $K$ ; it will find that there is no counterexample to the relation  $E_s(t) \in \{\varepsilon_{M(t)}, \varepsilon_{M(t)}^2\}$ , depending on  $\mathbf{S}$ , from Table (3.1); this will be proved later (Theorem 4.2). So these programs are only for verification, once for all, because they unnecessarily need much more execution time.

Since  $E_s(t)$  is written in  $\frac{1}{2}\mathbb{Z}[\sqrt{M}]$  and  $\varepsilon_M$  on the usual  $\mathbb{Z}$ -basis of  $\mathbf{Z}_K$  denoted  $\{1, w\}$  by PARI (from the instruction `quadunit`), we write  $E_s(t)$  on the PARI basis  $\{1, \text{quadgen}(D)\}$ , where  $D = \text{quaddisc}(M)$  is the discriminant.

One must specify  $\mathbf{B}$  and  $s$ , the program takes into account the first value  $2 + s$  of  $t$  since  $t = 1, 2$  are not suitable when  $s = 1$ ; then the test  $n > (3 + s)/2$  allows the cases  $n = 1$  or  $2$  when  $s = 1$ . The output of counterexamples is given by the (empty) list  $\mathbf{Vn}$ :

**3.2.1. Case  $s = 1$ ,  $m(t) = t^2 - 4$  (expected exponents  $n \in \{1, 2\}$ ).**

```
{B=10^6;s=1;LM=List;LN=List;for(t=2+s,B,
mt=t^2-4*s;C=core(mt,1);M=C[1];r=C[2];res=Mod(M,4);
D=quaddisc(M);w=quadgen(D);Y=quadunit(D);
if(res!=1,Z=1/2*(t+r*w));if(res==1,Z=(t-r)/2+r*w);
z=1;n=0;while(Z!=z,z=z*Y;n=n+1);L=List([M,n]);
listput(LM,vector(2,c,L[c]));
VM=vecsort(vector(B-(1+s),c,LM[c]),1,8);
print(VM);print("#VM = ",#VM);
for(k=1,#VM,n=VM[k][2];if(n>(3+s)/2,Ln=VM[k];
listput(LN,vector(2,c,Ln[c])));Vn=vecsort(LN,1,8);
print("exceptional powers : ",Vn)}
[M,n]=
[2,2],[3,1],[5,2],[6,1],[7,1],[10,2],[11,1],[13,2],[14,1],[15,1],[17,2],[19,1],[21,1],
[22,1],[23,1],[26,2],[29,2],[30,1],[31,1],[33,1],[34,1],[35,1],[37,2],[38,1],[39,1],
[41,2],[42,1],[43,1],[46,1],[47,1],[51,1],[53,2],[55,1],[57,1],[58,2],[59,1],[61,2],
[62,1],[65,2],[66,1],[67,1],[69,1],[70,1],[71,1],[74,2],[77,1],[78,1],[79,1],[82,2],
(...)
[999982000077,1],[999986000045,1],[999990000021,1],[999997999997,1]
#VM = 998893
exceptional powers:List([])
```

**3.2.2. Case  $s = -1$ ,  $m(t) = t^2 + 4$  (expected exponents  $n = 1$ ).**

```
[M,n]=
[2,1],[5,1],[10,1],[13,1],[17,1],[26,1],[29,1],[37,1],[41,1],[53,1],[58,1],[61,1],
[65,1],[73,1],[74,1],[82,1],[85,1],[89,1],[97,1],[101,1],[106,1],[109,1],[113,1],
[122,1],[130,1],[137,1],[145,1],[149,1],[157,1],[170,1],[173,1],[181,1],[185,1],
[197,1],[202,1],[218,1],[226,1],[229,1],[233,1],[257,1],[265,1],[269,1],[274,1],
(...)
[999986000053,1],[999990000029,1],[999994000013,1],[999998000005,1]
#VM = 999874
exceptional powers:List([])
```

**3.3. Remarks on the use of the F.O.P. algorithm.** (i) For a matter of space, the programs do not print the units  $E_s(t)$  in the outputs, but it may be deduced easily. To obtain a more complete data, it suffices to replace the instructions:

$\mathbf{L} = \text{List}([M, n]), \quad \text{listput}(\mathbf{LM}, \text{vector}(2, c, \mathbf{L}[c])), \quad \text{listput}(\mathbf{LN}, \text{vector}(2, c, \mathbf{Ln}[c]))$



by the following ones (but any information can be put in  $L$ ; the sole condition being to put  $M$  as first component):

$$L = \text{List}([M, n, t]), \quad \text{listput}(LM, \text{vector}(3, c, L[c])), \quad \text{listput}(LN, \text{vector}(3, c, Ln[c]))$$

or simply:

$$L = \text{List}([M, t]), \quad \text{listput}(LM, \text{vector}(2, c, L[c])), \quad \text{listput}(LN, \text{vector}(2, c, Ln[c]))$$

giving the parameter  $t$  whence the trace, then the whole integer of  $\mathbb{Q}(\sqrt{M})$ ; for instance for  $m_{-1}(t) = t^2 + 4$  and the general program with outputs  $[M, n, t]$ :

```
[M,n,t]=
[2,1,2],[5,1,1],[10,1,6],[13,1,3],[17,1,8],[26,1,10],[29,1,5],[37,1,12],[41,1,64],
[53,1,7],[58,1,198],[61,1,39],[65,1,16],[73,1,2136],[74,1,86],[82,1,18],[85,1,9],
[89,1,1000],[97,1,11208],[101,1,20],[106,1,8010],[109,1,261],[113,1,1552],
[122,1,22],[130,1,114],[137,1,3488],...
```

For instance for the data  $[41, 1, 64]$ , one has  $t = 64$  giving  $t^2 + 4 = 4100$ , whence the fundamental unit  $E_{-1}(64) = \varepsilon_{41} = \frac{1}{2}(64 + 10\sqrt{41})$ . Another interesting fact is the case of  $[137, 1, 3488]$  giving a large fundamental unit at the beginning of the list.

(ii) The programs of § 3.2, computing  $n$ , may be used with changing  $m_s(t)$  into other polynomials as those given Section 5, or by any  $T := f(t)$  with the data  $mt = T^2 \pm 4$  and  $Z = (T + r * w)/2$  as the following about units  $E_s(T) = \frac{1}{2}(T + r\sqrt{M})$ .

(a)  $T = t^2$  (traces are squares); all are fundamental units ( $B = 10^4$ , outputs  $[M, n]$ ):

```
{B=10^4;s=1;LN=List;LM=List;for(t=2+s,B,T=t^2;
mt=T^2-4*s;C=core(mt,1);M=C[1];r=C[2];res=Mod(M,4);
D=quaddisc(M);w=quadgen(D);Y=quadunit(D);
if(res!=1,Z=1/2*(T+r*w));if(res==1,Z=(T-r)/2+r*w);
z=1;n=0;while(Z!=z,z=z*Y;n=n+1);L=List([M,n]);
listput(LM,vector(2,c,L[c]));
VM=vecsort(vector(B-(1+s),c,LM[c]),1,8);
print(VM);print("#VM = ",#VM);
for(k=1,#VM,n=VM[k][2]);
if(n!=1,Ln=VM[k];listput(LN,vector(2,c,Ln[c])));
Vn=vecsort(LN,1,8);print("exceptional powers : ",Vn)}
[M,n]=
[7,1],[51,1],[69,1],[77,1],[187,1],[287,1],[323,1],[723,1],[1023,1],[1067,1],[1077,1],
[2397,1],[3053,1],[3173,1],[5183,1],[6347,1],[6557,1],[9799,1],[14189,1],[14637,1],
[15117,1],[16383,1],[26243,1],[29127,1],[31093,1],[39999,1],[43637,1],[47103,1],
[47213,1],[50621,1],[71111,1],[71283,1],[83517,1],[99763,1],[102613,1],[114243,1],
(...)
[9956072546774637,1],[9964048570846557,1],[9988005398920077,1],[9996000599959997,1]
#VM = 9998
exceptional powers : List([])
```

(b)  $T = \text{prime}(t)$  (traces are prime),  $s = -1$  ( $B = 10^4$ , outputs  $[M, T = \text{prime}(t), n]$ ); there is only the exception  $[5, 11, 5]$  obtained as  $\varepsilon_5^5 = \frac{1}{2}(5 + 11\sqrt{5})$ :

```
[M,T=prime(t),n]
[5,11,5],[29,5,1],[53,7,1],[149,61,1],[173,13,1],[293,17,1],[317,89,1],[365,19,1],
[533,23,1],[773,139,1],[797,367,1],[821,16189,1],[965,31,1],[1373,37,1],[1493,2357,1],
[1685,41,1],[1781,211,1],[1853,43,1],[1997,9161,1],[2213,47,1],[2285,239,1],[2309,17539,1],
[2477,647,1],[2813,53,1],[3485,59,1],[3533,2437,1],[3653,1511,1],
(...)
[10965650093,104717,1],[10966906733,104723,1],[10968163445,104729,1]
#VM = 9995
exceptional powers:List([[5,11,5]])
```

(iii) When several polynomials  $m_i(t)$ ,  $1 \leq i \leq N$ , are considered together (to get more Kummer radicals solutions of the problem), there is in general commutativity of the two sequences in  $\text{for}(t = 1, B, \text{for}(i = 1, N, mt = \dots))$  and  $\text{for}(i = 1, N, \text{for}(t = 1, B, mt = \dots))$ . But we will always use the first one.

#### 4. APPLICATION OF THE F.O.P. ALGORITHM TO NORM EQUATIONS

We will speak of solving a norm equation in  $K = \mathbb{Q}(\sqrt{M})$ , for the search of integers  $\alpha \in \mathbf{Z}_K^+$  such that  $\mathbf{N}(\alpha) = s\nu$ , for  $s \in \{-1, 1\}$  and  $\nu \in \mathbb{Z}_{\geq 1}$  given (i.e.,  $\alpha = \frac{1}{2}(u + v\sqrt{M})$ ,  $u, v \in \mathbb{Z}_{\geq 1}$ ). If the set of solutions is non-empty we will define the notion of *fundamental solution*; we will see that this definition is common to units ( $\nu = 1$ ) and non-units.

We explain, in Theorem 4.6, under what conditions such a fundamental solution for  $\nu > 1$  does exist, in which case it is necessarily unique and found by means of the F.O.P., algorithm using  $m_{-1}(t)$  or  $m_1(t)$  (depending in particular on  $\mathbf{S}$ ).

Note that the resulting PARI programs only use very elementary instructions and never the arithmetic ones defining  $K$  (as `bnfinit`, `K.fu`, `bnfsintnorm`, ...); whence the rapidity even for large upper bounds  $\mathbf{B}$ .

**4.1. Main property of the trace map for units.** In the case  $\nu = 1$ , let  $\mathbf{S} = \mathbf{N}(\varepsilon_M)$ ; we will see that  $\alpha$  defines the generator of the group of units of norm  $s$  of  $\mathbb{Q}(\sqrt{M})$  when it exists (whence  $\varepsilon_M$  if  $s = \mathbf{S}$  or  $\varepsilon_M^2$  if  $\mathbf{S} = -1$  and  $s = 1$ ).

**Theorem 4.1.** *Let  $M \geq 2$  be a square-free integer. Let  $\varepsilon = \frac{1}{2}(a + b\sqrt{M}) > 1$  be a unit of  $K := \mathbb{Q}(\sqrt{M})$  (non-necessarily fundamental). Then  $\mathbf{T}(\varepsilon^n)$  defines a strictly increasing sequence of integers for  $n \geq 1$ .<sup>1</sup>*

*Proof.* Set  $\bar{\varepsilon} = \frac{1}{2}(a - b\sqrt{M})$  for the conjugate of  $\varepsilon$  and let  $s = \varepsilon\bar{\varepsilon} = \pm 1$  be the norm of  $\varepsilon$ ; then the trace of  $\varepsilon^n$  is  $T_n := \varepsilon^n + \bar{\varepsilon}^n = \varepsilon^n + \frac{s^n}{\varepsilon^n}$ . Thus, we have:

$$\frac{T_{n+1}}{T_n} = \frac{\varepsilon^{n+1} + \frac{s^{n+1}}{\varepsilon^{n+1}}}{\varepsilon^n + \frac{s^n}{\varepsilon^n}} = \frac{\varepsilon^{2(n+1)} + s^{n+1}}{\varepsilon^{n+1}} \times \frac{\varepsilon^n}{\varepsilon^{2n} + s^n} = \frac{\varepsilon^{2(n+1)} + s^{n+1}}{\varepsilon^{2n+1} + s^n \varepsilon}.$$

To prove the increasing, consider  $\varepsilon^{2n+1} + s^n \varepsilon$  and  $\varepsilon^{2(n+1)} + s^{n+1}$ , which are positive for all  $n$  since  $\varepsilon > 1$ ; then:

$$\begin{aligned} \Delta_n(\varepsilon) &:= \varepsilon^{2(n+1)} + s^{n+1} - (\varepsilon^{2n+1} + s^n \varepsilon) = \varepsilon^{2(n+1)} - \varepsilon^{2n+1} + s^{n+1} - s^n \varepsilon \\ (4.1) \quad &= \varepsilon^{2n+1}(\varepsilon - 1) - s^n(\varepsilon - s). \end{aligned}$$

(i) Case  $s = 1$ . Then  $\Delta_n(\varepsilon) = (\varepsilon - 1)(\varepsilon^{2n+1} - 1)$  is positive.

(ii) Case  $s = -1$ . Then  $\Delta_n(\varepsilon) = \varepsilon^{2(n+1)} - \varepsilon^{2n+1} - (-1)^n(\varepsilon + 1)$ . If  $n$  is odd, the result is obvious; so, it remains to look at the expression for  $n = 2k$ ,  $k \geq 1$ :

$$(4.2) \quad \Delta_{2k}(\varepsilon) = \varepsilon^{4k+2} - \varepsilon^{4k+1} - \varepsilon - 1.$$

Let  $f(x) := x^{4k+2} - x^{4k+1} - x - 1$ ; then  $f'(x) = (4k+2)x^{4k+1} - (4k+1)x^{4k} - 1$  and  $f''(x) = (4k+1)x^{4k-1}[(4k+2)x - 4k] \geq 0$  for all  $x \geq 1$ . Thus  $f'(x)$  is increasing for all  $x \geq 1$  and since  $f'(1) = 0$ ,  $f(x)$  is an increasing map for all  $x \geq 1$ ; so, for  $k \geq 1$  fixed,  $\Delta_{2k}(\varepsilon)$  is increasing regarding  $\varepsilon$ .

Since the smallest unit  $\varepsilon > 1$  with positive coefficients is  $\varepsilon_0 := \frac{1+\sqrt{5}}{2} \approx 1.6180\dots$  we have to look, from (4.2), at the map  $F(z) := \varepsilon_0^{4z+2} - \varepsilon_0^{4z+1} - \varepsilon_0 - 1$ , for  $z \geq 1$ , to check if there exists an unfavorable value of  $k$ ; so:

$$F'(z) := 4 \log(\varepsilon_0) \varepsilon_0^{4z+2} - 4 \log(\varepsilon_0) \varepsilon_0^{4z+1} = 4 \log(\varepsilon_0) \varepsilon_0^{4z+1} (\varepsilon_0 - 1) > 0.$$

Since  $F(1) \approx 4.2360 > 0$ , one gets  $\Delta_n(\varepsilon) > 0$  in the case  $s = -1$ ,  $n$  even.  $\square$

**4.2. Unlimited lists of fundamental units of norm  $s$ ,  $s \in \{-1, 1\}$ .** We have the main following result.

**Theorem 4.2.** *Let  $\mathbf{B} \gg 0$  be given. Let  $m_s(t) = t^2 - 4s$ ,  $s \in \{-1, 1\}$  fixed. Then, as  $t$  grows from 1 up to  $\mathbf{B}$ , for each first occurrence of a square-free integer  $M \geq 2$  in the factorization  $m_s(t) = Mr^2$ , the unit  $E_s(t) = \frac{1}{2}(t + r\sqrt{M})$  is the fundamental unit of norm  $s$  of  $\mathbb{Q}(\sqrt{M})$  (according to the Table (3.1) in §3.1, we have  $E_s(t) = \varepsilon_M$  if  $s = -1$  or if  $s = \mathbf{S} = 1$ , then  $E_s(t) = \varepsilon_M^2$  if  $s = 1$  and  $\mathbf{S} = -1$ ).*

*Proof.* Let  $M_0 \geq 2$  be a given square-free integer. Consider the first occurrence  $t = t_0$  giving  $m_s(t_0) = M_0 r(t_0)^2$  if it exists (existence always fulfilled for  $s = 1$  by Proposition 3.1); whence  $M_0 = M(t_0)$ . Suppose that  $E_s(t_0) = \frac{1}{2}(t_0 + r(t_0)\sqrt{M(t_0)})$  is not the fundamental unit of norm  $s$ ,  $\varepsilon_{M(t_0)}^{n_0}$  ( $n_0 \in \{1, 2\}$ ) but a non-trivial power  $(\varepsilon_{M(t_0)}^{n_0})^n$ ,  $n > 1$ .

Put  $\varepsilon_{M(t_0)}^{n_0} =: \frac{1}{2}(a + b\sqrt{M(t_0)})$ ; from Table (3.1),  $n_0 \in \{1, 2\}$  is such that  $\mathbf{N}(\varepsilon_{M(t_0)}^{n_0}) = s$  (recall that if  $s = 1$  and  $\mathbf{S} = -1$ ,  $n_0 = 2$ , if  $\mathbf{S} = s = 1$ ,  $n_0 = 1$ ; if  $s = -1$ , necessarily  $\mathbf{S} = -1$  and  $n_0 = 1$ , otherwise there were no occurrence of  $M_0$  for  $s = -1$  and  $\mathbf{S} = 1$ ).

<sup>1</sup>The property holds from  $n = 0$  ( $\mathbf{T}(1) = 2$ ), except for  $M = 5$  ( $\mathbf{T}(\varepsilon_M) = 1$ ,  $\mathbf{T}(\varepsilon_M^2) = 3$ ) and  $M = 2$  ( $\mathbf{T}(\varepsilon_M) = 2$ ,  $\mathbf{T}(\varepsilon_M^2) = 6$ ).

Then, Theorem 4.1 on the traces implies  $0 < a < t_0$ . We have:

$$a^2 - M(t_0)b^2 = 4s \quad \text{and} \quad m_s(a) = a^2 - 4s =: M(a)r(a)^2;$$

but these relations imply  $M(t_0)b^2 = M(a)r(a)^2$ , whence  $M(a) = M(t_0) = M_0$ . That is to say, the pair  $(t_0, M_0)$  compared to  $(a, M(a) = M_0)$ , was not the first occurrence of  $M_0$  (absurd).  $\square$

**Corollary 4.3.** *Let  $t \in \mathbb{Z}_{\geq 1}$  and let  $E_1(t) = \frac{1}{2}(t + \sqrt{t^2 - 4})$  of norm 1. Then  $E_1(t)$  is a square of a unit of norm  $-1$ , if and only if there exists  $t' \in \mathbb{Z}_{\geq 1}$  such that  $t = t'^2 + 2$ ; thus  $E_1(t) = (\frac{1}{2}(t' + \sqrt{t'^2 + 4}))^2 = (E_{-1}(t'))^2$ . So, the F.O.P. algorithm, with  $m_1(t) =: M(t)r(t)^2$ , gives the list of  $[M(t), t]$  for which  $\frac{1}{2}(t + \sqrt{t^2 - 4}) = \varepsilon_M^2$  (resp.  $\varepsilon_M$ ) if  $t - 2 = t'^2$  (resp. if not).*

**Corollary 4.4.** *Let  $M \geq 2$  be a given square-free integer and consider the two lists given by the F.O.P. algorithm, for  $m_{-1}$  and  $m_1$ , respectively. Then, assuming  $\mathbf{B}$  large enough,  $M$  appears in the two lists if and only if  $\mathbf{S} = -1$ . Then  $t'^2 + 4 = Mr'^2$  for  $t'$  minimal gives the fundamental unit  $\varepsilon_M = \frac{1}{2}(t' + r'\sqrt{M})$  and  $t^2 - 4 = Mr^2$ , for  $t$  minimal, gives  $\varepsilon_M^2$ ; whence  $t = t'^2 + 2$  and  $r = r't'$ .*

For  $s = -1$ , hence  $m_{-1}(t) = t^2 + 4$ ,  $t \in [1, \mathbf{B}]$ , we know, from Theorem 4.2, that the F.O.P. algorithm gives always the fundamental unit  $\varepsilon_M$  of  $\mathbb{Q}(\sqrt{M})$  whatever its writing in  $\mathbb{Z}[\sqrt{M}]$  or in  $\mathbb{Z}[\frac{1+\sqrt{M}}{2}]$ .

For  $s = 1$  one obtains  $\varepsilon_M^2$  if and only if  $\mathbf{S} = -1$ . So we can skip checking and use the following simpler program with larger upper bound  $\mathbf{B} = 10^7$ ; the outputs are the Kummer radicals  $[M]$  in the ascending order (specify  $\mathbf{B}$  and  $s$ ):

```
MAIN PROGRAM FOR FUNDAMENTAL UNITS OF NORM s
{B=10^7;s=-1;LM=List;for(t=2+s,B,mt=t^2-4*s;
M=core(mt);L=List([M]);listput(LM,vector(1,c,L[c])));
VM=vecsort(vector(B-(1+s),c,LM[c]),1,8);
print(VM);print("#VM = ",#VM)}
s=-1
[M]=
[2],[5],[10],[13],[17],[26],[29],[37],[41],[53],[58],[61],[65],[73],[74],[82],[85],
[89],[97],[101],[106],[109],[113],[122],[130],[137],[145],[149],[157],[170],[173],
[181],[185],[193],[197],[202],[218],[226],[229],[233],[257],[265],[269],[274],[277],
[281],[290],[293],[298],[314],[317],[346],[349],[353],[362],[365],[370],[373],[389],
(...)
[99999860000053],[99999900000029],[99999940000013],[99999980000005]
#VM = 9999742
s=1
[M]=
[2],[3],[5],[6],[7],[10],[11],[13],[14],[15],[17],[19],[21],[22],[23],[26],[29],[30],
[31],[33],[34],[35],[37],[38],[39],[41],[42],[43],[46],[47],[51],[53],[55],[57],[58],
[59],[61],[62],[65],[66],[67],[69],[70],[71],[73],[74],[77],[78],[79],[82],[83],[85],
[86],[87],[89],[91],[93],[94],[95],[101],[102],[103],[105],[107],[109],[110],[111],
(...)
[99999820000077],[99999860000045],[99999900000021],[99999979999997]
#VM = 9996610
```

The same program with outputs of the form  $[M, r, t]$  for  $s = 1$  gives many examples of squares of fundamental units. For instance, the data  $[29, 5, 27]$  defines the unit  $E_1(27) = \frac{1}{2}(27 + 5\sqrt{29})$  and since  $27 - 2 = 5^2$ , then  $t' = 5$ ,  $r' = 1$  and  $E_1(27) = (\frac{1}{2}(5 + \sqrt{29}))^2 = \varepsilon_{29}^2$ .

Some Kummer radicals giving units  $\varepsilon_M$  of norm  $-1$  do not appear up to  $\mathbf{B} = 10^7$ , e.g.,  $M \in \{241, 313, 337, 394, \dots\}$ ; but all the Kummer radicals  $M$ , such that  $\mathbf{S} = -1$ , ultimately appear as  $\mathbf{B}$  increases. So, as  $\mathbf{B} \rightarrow \infty$ , any unit is obtained, which suggests the existence of natural densities in the framework of the F.O.P. algorithm. More precisely, in the list LM (i.e., before using  $\mathbf{VM} = \text{vecsort}(\text{vector}(\mathbf{B}, c, \text{LM}[c]), 1, 8)$ ), any Kummer radical  $M$  does appear in the list as many times as the trace of  $\varepsilon_M^n$  ( $n$  odd) is less than  $\mathbf{B}$ , which gives for instance ( $\mathbf{B} = 10^3$ ):

```
[M]=
[5],[2],[13],[5],[29],[10],[53],[17],[85],[26],[5],[37],[173],[2],[229],[65],[293],[82],
[365],[101],[445],[122],[533],[145],[629],[170],[733],[197],[5],[226],[965],[257],
[1093],[290],[1229],[13],
```

This fact with Corollaries 4.3, 4.4 may suggest some analytic computations of densities (see a forthcoming paper [Gra10] for more details). For this purpose, we give an estimation of the gap  $\#\text{LM} - \#\text{VM} = \mathbf{B} - \#\text{VM}$ .

**Theorem 4.5.** *Consider the F.O.P. algorithm for units, in the interval  $[1, \mathbf{B}]$  and  $s \in \{-1, 1\}$ . Let  $\Delta$  be the gap between  $\mathbf{B}$  and the number of results. Then, as  $\mathbf{B} \rightarrow \infty$ :*

- (i) *For the polynomial  $m_{-1}(t) = t^2 + 4$ ,  $\Delta \sim \mathbf{B}^{\frac{1}{3}}$ ,*
- (ii) *For the polynomial  $m_1(t) = t^2 - 4$ ,  $\Delta \sim \mathbf{B}^{\frac{1}{2}}$ ,*

*Proof.* (i) In the list LM of Kummer radicals giving units of norm  $-1$ , we know, from Theorem 4.2, that one obtain first the fundamental unit  $\varepsilon_0 := \varepsilon_{M_0}$  from the relation  $t_0^2 + 4 = M_0 r_0^2$ , then its odd powers  $\varepsilon_{M_0}^{2n+1}$  for  $n \in [1, n_{\max}]$  corresponding to some  $t_n$  such that  $t_n^2 + 4 = M_0 r_n^2$  and  $t_n \leq t_{\max}$  defined by the equivalence:

$$\frac{1}{2}(t_{\max} + r_{\max}\sqrt{M_0}) \sim \left(\frac{1}{2}(t_0 + r_0\sqrt{M_0})\right)^{2n_{\max}+1}$$

in an obvious meaning. Thus, the “maximal unit” is equivalent to  $\mathbf{B}$  giving

$$n_{\max} \sim \frac{1}{2} \left[ \frac{\log \mathbf{B}}{\log t_0} - 1 \right].$$

So, we have to estimate the sums  $\sum_{t \in [1, \mathbf{b}]} \frac{1}{2} \left[ \frac{\log \mathbf{B}}{\log t} - 1 \right]$ , where  $\log(\mathbf{b}) \sim \frac{1}{3} \log(\mathbf{B})$ .

Of course there will be repetitions in the sum, but a more precise estimation is not necessary and we obtain an upper bound:

$$\Delta \sim \sum_{t \in [1, \mathbf{b}]} \frac{1}{2} \left[ \frac{\log \mathbf{B}}{\log t} - 1 \right] \sim \log \mathbf{b} \sum_{t \in [1, \mathbf{b}]} \frac{1}{\log t} \sim \log \mathbf{b} \cdot \frac{\mathbf{b}}{\log \mathbf{b}} \sim \mathbf{b} = \mathbf{B}^{\frac{1}{3}}.$$

(ii) In the case of norm 1, the list LM is relative to the fundamental units of norm 1 with all its powers (some are the squares of the fundamental units of norm  $-1$ ); the reasoning is the same, replacing  $\frac{1}{3}$  by  $\frac{1}{2}$ .  $\square$

**4.3. Unlimited lists of fundamental integers of norm  $s\nu$ ,  $\nu \geq 2$ .** The F.O.P. algorithm always give lists of results, but contrary to units, some norms  $s\nu$  do not exist in a given field  $K$ ; in other words, the F.O.P. only give suitable Kummer radicals since  $s\nu$  is given. Recall the well known:

**Theorem 4.6.** *Let  $s \in \{-1, 1\}$  and  $\nu \in \mathbb{Z}_{\geq 2}$  be given.*

(i) *A fundamental solution of the norm equation  $u^2 - Mv^2 = 4s\nu$  (Definition 1.2) does exist if and only if there exists an integer principal ideal  $\mathfrak{a}$  of absolute norm  $\nu$  with a generator  $\alpha \in \mathbf{Z}_K^+$  whose norm is of sign  $s$ .*

*Under the existence of  $\mathfrak{a} = (\alpha)$ , with  $\mathbf{N}(\alpha) = s'\nu$ , a representative  $\alpha \in \mathbf{Z}_K^+$ , modulo  $\langle \varepsilon_M \rangle$ , does exist whatever  $s$  as soon as  $\mathbf{S} = -1$ ; if  $\mathbf{S} = 1$ , a fundamental solution  $\alpha \in \mathbf{Z}_K^+$  does exist if and only if  $s' = s$ .*

(ii) *When the above conditions are fulfilled, the fundamental solution corresponding to the ideal  $\mathfrak{a}$  is unique (in the meaning that two generators of  $\mathfrak{a}$  in  $\mathbf{Z}_K^+$ , having same trace, are equal) and found by the F.O.P. algorithm.*

*Proof.* (i) If  $\mathfrak{a} = (\alpha)$ , of absolute norm  $\nu$ , with  $\alpha = \frac{1}{2}(u + v\sqrt{M}) \in \mathbf{Z}_K^+$ , one obtains  $u^2 - Mv^2 = 4s\nu$  for a suitable  $s \in \{-1, 1\}$  giving a solution with  $t = u$ ; then  $m_{s\nu}(t) = t^2 - 4s\nu = M(t)r^2$ , whence  $M = M(u)$  and  $r = v$ .

Reciprocally, assume that the corresponding equation (in unknowns  $t \geq 1$ ,  $s = \pm 1$ )  $t^2 - 4s\nu = Mr^2$ ,  $M \geq 2$  square-free, has a solution, whence  $t^2 - Mr^2 = 4s\nu$ . Set  $\alpha := \frac{1}{2}(t + r\sqrt{M}) \in \mathbb{Z}_K^+$ ; then one obtains the principal ideal  $\mathfrak{a} = (\alpha)\mathbf{Z}_K$  of absolute norm  $\nu$ .

(ii) Assume that  $\alpha, \beta$  are two generators of  $\mathfrak{a}$  in  $\mathbf{Z}_K^+$  with common trace  $t \geq 1$ . Put  $\beta = \alpha \cdot \varepsilon_M^n$ ,  $n \in \mathbb{Z}$ ,  $n \neq 0$ . Then:

$$\mathbf{T}(\beta) = \alpha \cdot \varepsilon_M^n + \alpha^\sigma \cdot \varepsilon_M^{n\sigma} = \frac{\alpha^2 \cdot \varepsilon_M^{2n} + s\mathbf{S}^n \nu}{\alpha \cdot \varepsilon_M^n}, \quad \mathbf{T}(\alpha) = \frac{\alpha^2 + s\nu}{\alpha};$$

thus  $\mathbf{T}(\beta) = \mathbf{T}(\alpha)$  is equivalent to  $\alpha^2 \cdot \varepsilon_M^{2n} + s\mathbf{S}^n \nu = \alpha^2 \cdot \varepsilon_M^n + s\nu \varepsilon_M^n$ , whence to:

$$\alpha^2 \cdot \varepsilon_M^n (\varepsilon_M^n - 1) = (\varepsilon_M^n - \mathbf{S}^n) s\nu.$$

The case  $\mathbf{S}^n = -1$  is not possible since  $\mathbf{N}(\beta) = \mathbf{N}(\alpha) = \mathbf{N}(\varepsilon_M^n) = \mathbf{S}^n$ ; so,  $\mathbf{S}^n = 1$ , in which case, one gets  $\alpha^2 \cdot \varepsilon_M^n = s\nu = s\alpha^{1+\sigma}$ , thus  $\alpha^\sigma = \alpha \cdot \varepsilon_M^n$  and  $\beta = \alpha^\sigma$ , but in that case,  $\beta \notin \mathbf{Z}_K^+$  (absurd). Whence the unicity.  $\square$

**Remark 4.7.** Consider the above case where  $\alpha$  and  $\beta$  are two generators of  $\mathfrak{a}$  in  $\mathbf{Z}_K^+$  with common trace  $t \geq 1$  and norm  $s\nu$ . Thus, we have seen that  $\beta = \alpha^\sigma = \alpha \cdot \varepsilon_M^n$ . The ideal  $\mathfrak{a} = (\alpha)$  is then invariant by  $G := \text{Gal}(K/\mathbb{Q})$ , so it is of the form  $\mathfrak{a} = (q) \times \prod_{p|D} \mathfrak{p}^{e_p}$ , where  $q \in \mathbb{Z}$ ,  $D$  is the discriminant of  $K$ ,  $\mathfrak{p}^2 = p\mathbf{Z}_K$  and  $e_p \in \{0, 1\}$ . In other words, we have to determine the principal ideals, products of distinct ramified prime ideals. This is done in details in [Gra10, §§ 2.1, 2.2]

For instance, let  $M = 15$  and  $s\nu = -6$ . One has the fundamental solution  $\alpha = 3 + \sqrt{15}$  of norm  $-6$ , with the trace  $t = 6$ ; then  $\alpha^\sigma = 3 - \sqrt{15} = \alpha \cdot (-4 + \sqrt{15}) = \alpha \cdot (-\varepsilon_M^{-1})$ ; similarly, for  $s\nu = 10$ , one has the fundamental solution  $\alpha = 5 + \sqrt{15}$  of norm  $10$ , with trace  $t = 10$  and the relation  $\alpha^\sigma = 5 - \sqrt{15} = \alpha \cdot (4 - \sqrt{15}) = \alpha \cdot (\varepsilon_M^2)$ . These fundamental solutions are indeed given by the F.O.P. algorithm by means of the data  $[\mathbf{M}, \mathbf{t}]$ :

```
s.Nu=-6
[M,t]=
[1,1],[6,24],[7,2],[10,4],[15,6],...
s.Nu=10
[M,t]=
[-39,1],[-31,3],[-15,5],[-6,4],[-1,2],[1,7],[6,8],[10,20],[15,10],...
```

Depending on the choice of the polynomials  $m_{-1}(t)$  or  $m_1(t)$ , consider for instance, the F.O.P. algorithm applied to  $M = 13$  (for which  $\mathbf{S} = -1$ ),  $\nu = 3$ , gives with  $m_{-1}(t)$  the solution  $[\mathbf{M} = 13, \mathbf{t} = 1]$  whence  $\alpha = \frac{1}{2}(1 + \sqrt{13})$  of norm  $-3$ ; with  $m_1(t)$  it gives  $[\mathbf{M} = 13, \mathbf{t} = 5]$ ,  $\alpha = \frac{1}{2}(5 + \sqrt{13})$  of norm  $3$ ; the traces  $1$  and  $5$  are minimal for each case. We then compute that  $\frac{1}{2}(5 + \sqrt{13}) = \frac{1}{2}(1 - \sqrt{13})(-\varepsilon_{13})$ .

But with  $M = 7$  (for which  $\mathbf{S} = 1$ ), the F.O.P. algorithm with  $m_{-1}(t)$  and  $\nu = 3$  gives  $[\mathbf{M} = 7, \mathbf{t} = 4]$  but nothing with  $m_1(t)$ .

**Remark 4.8.** A possible case is when there exist several principal integer ideals  $\mathfrak{a}$  of absolute norm  $\nu\mathbb{Z}$  (for instance when  $\nu = q_1q_2$  is the product of two distinct primes and if there exist two prime ideals  $\mathfrak{q}_1, \mathfrak{q}_2$ , of degree  $1$ , over  $q_1, q_2$ , respectively, such that  $\mathfrak{a} := \mathfrak{q}_1\mathfrak{q}_2$  and  $\mathfrak{a}' := \mathfrak{q}_1\mathfrak{q}_2^\sigma$  are principal). Let  $\mathfrak{a} =: (\alpha)$  and  $\mathfrak{a}' =: (\alpha')$  of absolute norm  $\nu$ . We can assume that, in each set of generators,  $\alpha$  and  $\alpha'$  have minimal trace  $u$  and  $u'$ , and necessarily we have, for instance,  $u' > u$ ; since the ideals  $\mathfrak{a}$  are finite in number, there exists an “absolute” minimal trace  $u$  defining the unique fundamental solution which is that found by the suitable F.O.P. algorithm.

For instance, let  $s = -1$ ,  $\nu = 15$ ; the F.O.P. algorithm gives the solution  $[\mathbf{19}, 4]$ , whence  $\alpha = 2 + \sqrt{19}$  of norm  $-15$ . In  $K = \mathbb{Q}(\sqrt{19})$  we have prime ideals  $\mathfrak{q}_3 = (4 + \sqrt{19}) \mid 3$ ,  $\mathfrak{q}_5 = (9 + 2\sqrt{19}) \mid 5$ . Then we obtain the fundamental solution with  $\mathfrak{a} = \mathfrak{q}_3^\sigma \mathfrak{q}_5$ , while  $\mathfrak{q}_3 \mathfrak{q}_5 = (74 + 17\sqrt{19})$ . The fundamental unit is  $\varepsilon_M = 170 + 39\sqrt{19}$  of norm  $\mathbf{S} = 1$  and one computes some products  $\pm \alpha \varepsilon_M^n$  giving a minimal trace with  $n = -1$  and the non-fundamental solution  $17 + 4\sqrt{19}$ .

If  $\nu = \prod_{q|\nu} q^{n_q}$ , where  $q$  denotes distinct prime numbers, there exist integer ideals  $\mathfrak{a}$  of absolute norm  $\nu\mathbb{Z}$  if and only if, for each inert  $q \mid \nu$  then  $n_q$  is even. In the F.O.P. algorithm this will select particular Kummer radicals  $M$  for which each  $q \mid \nu$ , such that  $n_q$  is odd, ramifies or splits in  $K = \mathbb{Q}(\sqrt{M})$ ; this is equivalent to  $q \mid D$  (the discriminant of  $K = \mathbb{Q}(\sqrt{M})$ ) or to  $\rho_q := (\frac{M}{q}) = 1$  in terms of quadratic residue symbols; if so, we then have ideal solutions  $\mathbf{N}(\mathfrak{a}) = \nu\mathbb{Z}$ .

Let's write, with obvious notations  $\mathfrak{a} = \prod_{q,q=0} q^{n_q} \prod_{q,\rho_q=-1} q^{2n'_q} \prod_{q,\rho_q=1} q^{n'_q} q^{n''_q \sigma}$ . Then the equation becomes  $\mathbf{N}(\mathfrak{a}') = \nu'\mathbb{Z}$  for another integral ideal  $\mathfrak{a}'$  and another  $\nu' \mid \nu$ , where  $\mathfrak{a}'$  is an integer ideal “without any rational integer factor”. Thus,  $\mathbf{N}(\mathfrak{a}') = s\nu'$  is equivalent to  $\mathfrak{a}' = \alpha'\mathbf{Z}_K$ . This depends on relations in the class group of  $K$  and gives obstructions for some Kummer radicals  $M$ . Once a solution  $\mathfrak{a}'$  principal exists (non unique) we can apply Theorem 4.6.

**4.3.1. Program for lists of quadratic integers of norm  $\nu \geq 2$ .** The program for units can be modified by choosing an integer  $\nu \geq 2$ , a sign  $s \in \{-1, 1\}$  and the polynomial  $m_{s\nu}(t) = t^2 - 4s\nu$  (outputs  $[\mathbf{M}(\mathbf{t}), \mathbf{t}]$ ):

```

MAIN PROGRAM FOR FUNDAMENTAL INTEGERS OF NORM s.nu
{B=10^6;s=1;nu=2;LM=List;for(t=1,B,mt=t^2-4*s*nu;M=core(mt);L=List([M,t]));
listput(LM,vector(2,c,L[c]));VM=vecsort(vector(B,c,LM[c]),1,8);
print(VM);print("#VM = ",#VM)}

(i) s = 1, nu = 2.
[M,t]=
[-7,1],[-1,2],[1,3],
[2,4],[7,6],[14,8],[17,5],[23,10],[31,78],[34,12],[41,7],[46,312],[47,14],[62,16],[71,118],
[73,9],[79,18],[89,217],[94,2928],[97,69],[103,954],[113,11],[119,22],[127,4350],[137,199],
[142,24],[151,83142],[158,176],[161,13],[167,26],[191,5998],[193,56445],[194,28],
[199,255078],[206,488],[217,15],[223,30],[233,6121],[238,216],
(...)
[999986000041,999993],[999990000017,999995],[999994000001,999997],[999997999993,999999]
#VM = 999909

(ii) s = -1, nu = 3.
[M,t]=
[1, 2],
[3,6],[7,4],[13,1],[19,8],[21,3],[31,22],[37,5],[39,12],[43,26],[57,30],[61,7],[67,16],
[73,34],[91,38],[93,9],[97,1694],[103,20],[109,73],[111,42],[127,586],[129,318],
[133,11],[139,448],[151,172],[157,50],[163,1864],[181,13],[183,54],[193,379486],
[199,28],[201,1758],[211,58],[217,766],[237,15],[241,62],[247,220],[259,32],[271,428],
(...)
[999986000061,999993],[999990000037,999995],[999994000021,999997],[999998000013,999999]
#VM = 999866

```

Consider the output [93, 9] ( $M = 3 \cdot 31$ ,  $t = 9$ ,  $r = 1$ ); then  $\alpha = A_{-3}(9) = \frac{1}{2}(9 + \sqrt{3 \cdot 31})$  of norm  $-3$  with ramified prime 3; it is indeed the minimal solution since the equation reduces to  $3x'^2 + 4 = 31y^2$  with minimal  $x' = 3$ , then minimal trace  $x = 9$ .

For the output [193, 379486],  $\alpha = A_{-3}(379486) = \frac{1}{2}(379486 + 27316\sqrt{193})$  of norm  $-3$ ; this is the minimal solution despite of a large trace, but  $\varepsilon_{193} = \frac{1}{2}(1764132 + 126985\sqrt{193})$  is very large and cannot intervene to decrease the size.

(iii)  $s = 1$ ,  $\nu = 15$ .

```

[M,t]=
[-59,1],[-51,3],[-35,5],[-14,2],[-11,4],[-6,6],[1,8],
[10,10],[21,9],[34,14],[61,11],[66,18],[85,20],[106,22],[109,13],[129,24],[154,26],
[165,15],[181,28],[201,312],[210,30],[229,17],[241,32],[265,1400],[274,34],[301,19],
[309,36],[346,38],[349,131],[354,414],[381,21],[385,40],[394,278],[409,41216],[421,3919],
(...)
[999982000021,999991],[999985999989,999993],[999993999949,999997],[999997999941,999999]
#VM = 999815
s=-1 nu=15
[M,t]=
[1,2],
[6,6],[10,10],[15,30],[19,4],[31,8],[34,22],[46,26],[51,12],[61,1],[69,3],[79,16],[85,5],
[94,38],[106,82],[109,7],[114,42],[115,20],[139,94],[141,9],[151,98],[159,24],[166,206],
[181,11],[186,54],[190,110],[199,536],[211,28],[214,58],[229,13],[241,52658],[249,126],
[265,130],[271,32],[274,1258],[285,15],[310,70],[331,7714],[334,146],[339,36],
(...)
[9999860000109,999993],[999990000085,999995],[999994000069,999997],[999998000061,999999]
#VM = 999782

```

For instance, [85, 5] illustrates Theorem 4.6 with the solution  $\alpha = \frac{1}{2}(5 + \sqrt{85})$  of norm  $-15$ , with  $(\alpha)\mathbf{Z}_K = \mathfrak{q}_3\mathfrak{q}_5$ , where 3 splits in  $K$  and 5 is ramified; one verifies that the ideals  $\mathfrak{q}_3$  and  $\mathfrak{q}_5$  are non-principal, but their product is of course principal. For this, one obtains the following PARI/GP verifications:

```

k=bnfinit(x^2-85)
k.clgp=[2,2],[[3,1;0,1]]
idealfactor(k,3)=[[3,[0,2]~,1,1,[-1,-1]~]1],[[3,[2,2]~,1,1,[0,-1]~]1]
idealfactor(k,5)=[[5,[1,2]~,2,1,[1,2]~]2]
bnfisprincipal(k,[3,[2,2]~,1,1,[0,-1]~])=[[1]~, [1,0]~]
bnfisprincipal(k,[5,[1,2]~,2,1,[1,2]~])=[[1]~, [1,1/3]~]
A=idealmul(k,[3,[2,2]~,1,1,[0,-1]~],[5,[1,2]~,2,1,[1,2]~])
bnfisprincipal(k,A)=[[0]~, [2,-1]~]
nfbasis(x^2-85)=[1,1/2*x-1/2]

```

The data  $[[0], [2, -1]]$  gives the principality with generator  $[2, -1]$  denoting (because of the integral basis  $\{1, \frac{1}{2}x - \frac{1}{2}\}$  used by PARI),  $2 - [\frac{1}{2}\sqrt{85} - \frac{1}{2}] = \frac{1}{2}(5 - \sqrt{85}) = \alpha^\sigma$ .

(iv)  $s = -1$ ,  $\nu = 9 \times 25$ .



[M, t]=  
 [1, 16],  
 [2, 30], [5, 15], [10, 10], [13, 20], [17, 120], [26, 6], [29, 12], [34, 18], [37, 5], [41, 24], [53, 105],  
 [58, 70], [61, 25], [65, 240], [73, 80], [74, 42], [82, 270], [85, 35], [89, 48], [97, 1280], [101, 3],  
 [106, 54], [109, 9], [113, 23280], [122, 330], [130, 110], [137, 52320], [145, 360], [146, 66],  
 [149, 21], [157, 55], [170, 390], [173, 195], [178, 130], [181, 27], [185, 2040],  
 (...)  
 [999966001189, 999983], [999978001021, 999989], [999982000981, 999991], [999994000909, 999997]  
 #VM = 999448

The case [37, 5] may be interpreted as follows:  $m_{-1}(5) = 5^2 + 4 \cdot 9 \cdot 25 = 5^2 \cdot 37$ , whence  $A_{-1}(5) = \frac{1}{2}(5 + 5\sqrt{37}) = 5 \cdot \frac{1}{2}(1 + \sqrt{37}) =: 5B$ , where  $B := \frac{1}{2}(1 + \sqrt{37})$  is of norm  $-9$  and  $5$  is indeed inert in  $K$ . Thus  $\frac{1}{2}(1 + \sqrt{37})\mathbf{Z}_K$  is the square of a prime ideal  $\mathfrak{q}_3$  over  $3$ . The field  $K$  is principal and we compute that  $\mathfrak{q}_3 = \frac{1}{2}(5 \pm \sqrt{37})\mathbf{Z}_K$ ,  $\mathfrak{q}_3^2 = \frac{1}{2}(31 \pm 5\sqrt{37})\mathbf{Z}_K$ . So,  $B\mathbf{Z}_K = \frac{1}{2}(1 + \sqrt{37})\mathbf{Z}_K = \frac{1}{2}(31 + 5\sqrt{37})\mathbf{Z}_K$  or  $\frac{1}{2}(31 - 5\sqrt{37})\mathbf{Z}_K$ . We have  $\varepsilon_{37} = 6 + \sqrt{37}$  and we obtain that  $B = \frac{1}{2}(31 - 5\sqrt{37}) \cdot \varepsilon_{37}$ , showing that  $\alpha = 5 \cdot \frac{1}{2}(1 + \sqrt{37})$  is the fundamental solution of the equation  $\mathbf{N}(\alpha) = 3^2 \cdot 5^2$  with minimal trace  $5$ .

For larger integers  $\nu$ , fundamental solutions are obtained easily, as shown by the following example with the prime  $\nu = 1009$ :

(v)  $s = -1$ ,  $\nu = 1009$ .

[M, t]=  
 [2, 14], [5, 13], [10, 102], [29, 100], [37, 21], [41, 8], [58, 42], [74, 58], [101, 305], [109, 1617],  
 [113, 656], [137, 2504], [157, 108], [173, 17], [185, 1168], [197, 259], [202, 35958], [205, 33],  
 [209, 4192], [218, 854], [241, 380808], [253, 681], [269, 620], [290, 158], [313, 384], [314, 2090],  
 [317, 1316], [337, 6792], [341, 67], [353, 16496], [370, 1422], [394, 86742],  
 (...)  
 [999986004085, 999993], [999990004061, 999995], [999994004045, 999997], [999998004037, 999999]  
 #VM = 999664

We finish with a highly composed number  $\nu$ , not obvious for a calculation by hand:

(vi)  $s = 1$ ,  $\nu = 2 \times 3 \times 5 \times 7$ .

[M, t]=  
 [-839, 1], [-831, 3], [-815, 5], [-791, 7], [-759, 9], [-719, 11], [-671, 13], [-615, 15], [-551, 17],  
 [-479, 19], [-399, 21], [-311, 23], [-215, 25], [-209, 2], [-206, 4], [-201, 6], [-194, 8], [-185, 10],  
 [-174, 12], [-161, 14], [-146, 16], [-129, 18], [-111, 27], [-110, 20], [-89, 22], [-66, 24], [-41, 26],  
 [-14, 28], [1, 29], [15, 30], [46, 32], [79, 34], [114, 36], [151, 38], [190, 40], [226, 332], [231, 42],  
 [249, 33], [274, 44], [319, 46], [366, 48], [385, 35], [415, 50], [466, 52], [511, 2758], [519, 54],  
 [526, 872], [574, 56], [609, 273], [610, 4100], [631, 58], [679, 574], [681, 39], [690, 60], [721, 511],  
 [751, 62], [814, 64], [834, 636], [865, 1265], [879, 66], [919, 2486], [946, 68], [991, 30158], [1009, 43],  
 (...)  
 [999985999209, 999993], [999989999185, 999995], [999993999169, 999997], [999997999161, 999999]  
 #VM = 999715

We have not dropped the negative radicals meaning, for instance with  $M = -839$ , that a solution of the norm equation does exist in  $\mathbb{Q}(\sqrt{-839})$  with  $\alpha = \frac{1}{2}(1 + \sqrt{-839})$ , or with  $M = -14$  giving  $\alpha = 14 + \sqrt{-14}$ .

## 5. UNIVERSALITY OF THE POLYNOMIALS $m_{s\nu}$

Let's begin with the following obvious result making a link with polynomials  $m_{s\nu}$ .

**Lemma 5.1.** *Let  $M \geq 2$  be a square-free integer and  $K = \mathbb{Q}(\sqrt{M})$ ; then, any  $\alpha \in \mathbf{Z}_K^+$  is characterized by its trace  $a \in \mathbb{Z}$  and its norm  $s\nu$ ,  $s \in \{-1, 1\}$ ,  $\nu \in \mathbb{Z}_{\geq 1}$ ; from these data,  $\alpha = \frac{1}{2}(a + b\sqrt{M})$  where  $b$  is given by  $m_{s\nu}(a) =: Mb^2$ .*

*Proof.* From the equation  $\alpha^2 - a\alpha + s\nu = 0$ , we get  $\alpha = \frac{1}{2}(a + \sqrt{a^2 - 4s\nu})$ , where necessarily  $a^2 - 4s\nu =: Mb^2$  (unicity of the Kummer radical) giving  $b > 0$  from the knowledge of  $a$  and  $s\nu$ .  $\square$

**5.1. Mc Laughlin's polynomials.** Consider some polynomials that one finds in the literature; for instance that of Mc Laughlin [McL] obtained from "polynomial continued fraction expansion", giving formal units, and defined as follows.

Let  $m \geq 2$  be a given square-free integer and let  $E_m = u + v\sqrt{m}$ ,  $u, v \in \mathbb{Z}_{\geq 1}$ , be the fundamental solution of the norm equation (or Pell-Fermat equation)  $u^2 - mv^2 = 1$  (thus,  $E_m = \varepsilon_m^{n_0}$ ,  $n_0 \in \{1, 2, 3, 6\}$ ). For such  $m, u, v$ , each of the data below leads to the *fundamental polynomial solution* of the norm equation  $U(t)^2 - m(t)V(t)^2 = 1$  (see [McL,



Theorems 1–5]), giving the parametrized units  $E_{M(t)} = U(t) + V(t)r\sqrt{M(t)}$ , of norm 1 of  $\mathbb{Q}(\sqrt{M(t)})$ , where  $m(t) =: M(t)r(t)^2$ ,  $M(t)$  square-free.

The five polynomials  $m(t)$  are:

$$\left\{ \begin{array}{l} mcl_1(t) = v^2t^2 + 2ut + m, \\ \quad U(t) = v^2t + u, \quad V(t) = v; \\ mcl_2(t) = (u-1)^2(v^2t^2 + 2t) + m, \\ \quad U(t) = (u-1)(v^4t^2 + 2v^2t) + u, \quad V(t) = v^3t + v; \\ mcl_3(t) = (u+1)^2(v^2t^2 + 2t) + m, \\ \quad U(t) = (u+1)(v^4t^2 + 2v^2t) + u, \quad V(t) = v^3t + v; \\ mcl_4(t) = (u+1)^2v^2t^2 + 2(u^2 - 1)t + m, \\ \quad U(t) = \frac{(u+1)^2}{u-1}v^4t^2 + 2(u+1)v^2t + u, \quad V(t) = \frac{u+1}{u-1}v^3t + v; \\ mcl_5(t) = (u-1)^2(v^6t^4 + 4v^4t^3 + 6v^2t^2) + 2(u-1)(2u-1)t + m, \\ \quad U(t) = (u-1)(v^6t^3 + 3v^4t^2 + 3v^2t) + u, \quad V(t) = v^3t + v. \end{array} \right.$$

Note that for  $mcl_1(t)$  one may also use a unit  $E_m = u + v\sqrt{m}$  of norm  $-1$  since  $U(t)^2 - mcl_1(t)V(t)^2 = u^2 - mv^2$ , which is not possible for the other polynomials.

We may enlarge the previous list with cases where the coefficients of  $E_m$  may be half-integers defining more general units (as  $\varepsilon_5, \varepsilon_{13}$  of norm  $-1$  in the case of  $mcl_1(t)$ , then as  $\varepsilon_{21}$  of norm 1 for the other  $mcl(t)$ ). This will give  $E_m = \varepsilon_m$  or  $\varepsilon_m^2$ .

So we have the following transformation of the  $mcl(t)$ ,  $U(t)$ ,  $V(t)$ , that we explain with  $mcl_1(t)$ . The polynomial  $mcl_1(t)$  fulfills the condition  $U(t)^2 - mcl_1(t)V(t)^2 = u^2 - mv^2$ , which is the norm of  $E_m = u + v\sqrt{m}$ ; so we can use any square-free integer  $m \equiv 1 \pmod{4}$  such that  $E_m = \frac{1}{2}(u + v\sqrt{m})$ ,  $u, v \in \mathbb{Z}_{\geq 1}$  odd, and we obtain the formal unit  $E_{M(t)} = \frac{1}{2}(U(t) + V(t)\sqrt{mcl_1(t)})$  under the condition  $t$  even to get  $U(t), V(t) \in \mathbb{Z}_{\geq 1}$ . This gives the polynomials  $mcl_6(t) = v^2t^2 + 2ut + m$  and the coefficients  $U(t) = \frac{1}{2}(v^2t + u)$ ,  $V(t) = \frac{1}{2}v$  of a new unit, with  $mcl_6(t) = M(t)r(t)^2$ , for all  $t \geq 0$ .

For the other  $mcl(t)$  one applies the maps  $t \mapsto 2t$ ,  $t \mapsto 4t$ , depending on the degrees; so we obtain the following list, where the resulting unit is  $E_{M(t)} = U(t) + V(t)\sqrt{m(t)}$ , of norm  $\pm 1$ , under the conditions  $m \equiv 1 \pmod{4}$  and  $\varepsilon_m = \frac{1}{2}(u + v\sqrt{m})$ ,  $u, v$  odd:

$$\left\{ \begin{array}{l} mcl_6(t) = v^2t^2 + 2ut + m, \\ \quad U(t) = \frac{1}{2}(v^2t + u), \quad V(t) = \frac{1}{2}v; \\ mcl_7(t) = (u-2)^2(v^2t^2 + 2t) + m, \\ \quad U(t) = \frac{1}{2}((u-2)(v^4t^2 + 2v^2t) + u), \quad V(t) = \frac{1}{2}(v^3t + v); \\ mcl_8(t) = (u+2)^2(v^2t^2 + 2t) + m, \\ \quad U(t) = \frac{1}{2}((u+2)(v^4t^2 + 2v^2t) + u), \quad V(t) = \frac{1}{2}(v^3t + v); \\ mcl_9(t) = (u+2)^2v^2t^2 + 2(u^2 - 4)t + m, \\ \quad U(t) = \frac{1}{2}\left(\frac{(u+2)^2}{u-2}v^4t^2 + 2(u+2)v^2t + u\right), \quad V(t) = \frac{1}{2}\left(\frac{u+2}{u-2}v^3t + v\right); \\ mcl_{10}(t) = (u-2)^2(v^6t^4 + 4v^4t^3 + 6v^2t^2) + 4(u-2)(u-1)t + m, \\ \quad U(t) = \frac{1}{2}((u-2)(v^6t^3 + 3v^4t^2 + 3v^2t) + u), \quad V(t) = \frac{1}{2}(v^3t + v). \end{array} \right.$$

**5.2. Application to finding units.** In fact, these numerous families of parametrized units are nothing but the units  $E_s(T) = \frac{1}{2}(T + \sqrt{T^2 - 4s})$  when the parameter  $T = U(t)$  is a given polynomial expression. This explain that the properties of the units  $E_s(T)$  are similar to that of the two universal units  $E_s(t)$ , for  $t \in \mathbb{Z}_{\geq 1}$ , but, a priori, the F.O.P. algorithm does not give fundamental units when  $T(t)$  is not a degree 1 monic polynomial; nevertheless it seems that the algorithm gives most often fundamental units, at least for all  $t \gg 0$ .

We give the following example, using for instance the Mc Laughlin polynomial  $mcl_{10}(t)$  with  $m = 301$ ,  $u = 22745$ ,  $v = 1311$ , corresponding to,  $\varepsilon_m = \frac{1}{2}(22745 + 1311\sqrt{301})$  of norm 1 (program of Section 3); this will give enormous units  $E_{M(t)} =: \varepsilon_{M(t)}^n$ . The output is of the form  $[M(t), r(t), n]$ . Then there is no exception to  $E_{M(t)} = \varepsilon_{M(t)}$  (i.e.,  $n = 1$ ); moreover, one sees many cases of non-square-free integers  $mcl_{10}(t)$ :

```

Mc LAUGHLIN UNITS
{B=10^3;LN=List;LM=List;u=22745;v=1311;for(t=1,B,
mt=(u-2)^2*(v^6*t^4+4*v^4*t^3+6*v^2*t^2)+4*(u-2)*(u-1)*t+301;
ut=1/2*((u-2)*(v^6*t^3+3*v^4*t^2+3*v^2*t)+u);vt=1/2*(v^3*t+v);
C=core(mt,1);M=C[1];r=C[2];D=quaddisc(M);w=quadgen(D);
Y=quadunit(D);res=Mod(M,4);
if(res!=1,Z=ut+r*vt*w);if(res==1,Z=ut-r*vt+2*r*vt*w);
z=1;n=0;while(Z!=z,z=z*Y;n=n+1);L=List([M,r,n]);
listput(LM,vector(3,c,L[c]));VM=vecsort(vector(B,c,LM[c]),1,8);
print(VM);print("#VM = ",#VM);
for(k=1,#VM,n=VM[k][3];if(n>1,Ln=VM[k];
listput(LN,vector(3,c,Ln[c])));Vn=vecsort(LN,1,8);
print("exceptional powers:",Vn)}
[M,r,n]=
[656527122296918386395032242,2,1],[1594671238615711306590405613,63245,1],
[6538031892707128354912512481,1400,1],[8374054846220987469202089646,14,1],
[13294653599300065679245260247,4,1],[17461037237177260272395675419,140,1],
[28515629817043220531451663970,7672,1],[42017686932862256394245096245,1,1],
(...)
[2626102383534535069268098426753041168301,1,1]
#VM = 1000
exceptional powers : List([])

```

Using the Remark 1.5, with  $\mathbf{B} = 10^3$  and  $m(t)$  of degree 4, with leading coefficient:

$$a_4 \mathbf{B}^4 = (22745 - 2)^2 \cdot 1311^6 \cdot 10^{12} = 2626102377422775499879732689000000000000,$$

one gets:

$$\log(2626102383534535069268098426753041168301) / \log(a_4 \mathbf{B}^4) \approx 1.000000000025...$$

## 6. NON $p$ -RATIONALITY OF QUADRATIC FIELDS

**6.1. Recalls about  $p$ -rationality.** Let  $p \geq 2$  be a prime number. The definition of  $p$ -rationality of a number field lies in the framework of abelian  $p$ -ramification theory. The references we give in this article are limited to cover the subject and concern essentially recent papers; so the reader may look at the historical of the abelian  $p$ -ramification theory that we have given in [Gra5, Appendix], for accurate attributions, from Šafarevič's pioneering results, about the numerous approaches (class field theory, Galois cohomology, pro- $p$ -group theory, infinitesimal theory); then use its references concerning developments of this theory (from our Crelle's papers 1982–1983, Jaulent's infinitesimals [Jau1] (1984), Jaulent's thesis [Jau2] (1986), Nguyen Quang Do's article [Ng] (1986), Movahhedi's thesis [Mov] (1988) and subsequent papers); all prerequisites and developments are available in our book [Gra1] (2005).

**Definition 6.1.** *A number field  $K$  is said to be  $p$ -rational if  $K$  fulfills the Leopoldt conjecture at  $p$  and if the torsion group  $\mathfrak{T}_K$  of the Galois group of the maximal abelian  $p$ -ramified (i.e., unramified outside  $p$  and  $\infty$ ) pro- $p$ -extension of  $K$  is trivial.*

We will use the fact that, for totally real fields  $K$ , we have the formula:

$$(6.1) \quad \#\mathfrak{T}_K = \#\mathcal{C}'_K \cdot \#\mathcal{R}_K \cdot \#\mathcal{W}_K,$$

where  $\mathcal{C}'_K$  is a subgroup of the  $p$ -class group  $\mathcal{C}_K$  and where  $\mathcal{W}_K$  depends on local and global  $p$ -roots of unity; for  $K = \mathbb{Q}(\sqrt{M})$  and  $p > 2$ ,  $\mathcal{C}'_K = \mathcal{C}_K$  and  $\mathcal{W}_K = 1$  except if  $p = 3$  and  $M \equiv -3 \pmod{9}$ , in which case  $\mathcal{W}_K \simeq \mathbb{Z}/3\mathbb{Z}$ . For  $p = 2$ ,  $\mathcal{C}'_K = \mathcal{C}_K$  except if  $K(\sqrt{2})/K$  is unramified (i.e., if  $M = 2M_1$ ,  $M_1 \equiv 1 \pmod{4}$ ). Then  $\mathcal{R}_K$  is the “normalized  $p$ -adic regulator” of  $K$  (general definition for any number field in [Gra4, Proposition 5.2]). For  $K = \mathbb{Q}(\sqrt{M})$  and  $p \neq 2$ ,  $\#\mathcal{R}_K \sim \frac{1}{p} \log_p(\varepsilon_M)$ ; for  $p = 2$ ,  $\#\mathcal{R}_K \sim \frac{1}{2d} \log_2(\varepsilon_M)$ , where  $d \in \{1, 2\}$  is the number of prime ideals above 2.

So  $\#\mathfrak{T}_K$  is divisible by the order of  $\mathcal{R}_K$ , which gives a sufficient condition for the non- $p$ -rationality of  $K$ . Since  $\mathcal{C}_K = \mathcal{W}_K = 1$  for  $p \gg 0$ , the  $p$ -rationality only depends on  $\mathcal{R}_K$  in almost all cases.

**Proposition 6.2.** ([Gra6, Proposition 5.1]) *Let  $K = \mathbb{Q}(\sqrt{m})$  be a real quadratic field of fundamental unit  $\varepsilon_m$ . Let  $p > 2$  be a prime number with residue degree  $f \in \{1, 2\}$ .*

(i) *For  $p \geq 3$  unramified in  $K$ ,  $v_p(\#\mathcal{R}_K) = v_p(\varepsilon_m^{p^f-1} - 1) - 1$ .*

(ii) *For  $p > 3$  ramified in  $K$ ,  $v_p(\#\mathcal{R}_K) = \frac{1}{2}(v_p(\varepsilon^{p-1} - 1) - 1)$ , where  $\mathfrak{p}^2 = (p)$ .*

(iii) For  $p = 3$  ramified in  $K$ ,  $v_3(\#\mathcal{R}_K) = \frac{1}{2}(v_p(\varepsilon^6 - 1) - 2 - \delta)$ , where  $\mathfrak{p}^2 = (3)$  and  $\delta = 1$  (resp.  $\delta = 3$ ) if  $m \not\equiv -3 \pmod{9}$  (resp.  $m \equiv -3 \pmod{9}$ ).

A sufficient condition for the non-triviality of  $\mathcal{R}_K$  that encompasses all cases (since the decomposition of  $p$  in  $\mathbb{Q}(\sqrt{M(t)})$  is unpredictable in the F.O.P. algorithm) is  $\log_p(\varepsilon_m) \equiv 0 \pmod{p^2}$ ; this implies that  $\varepsilon_m$  is a local  $p$ th power at  $p$ . It suffices to force the parameter  $t$  to be such that a suitable prime-to- $p$  power of  $E_s(t) = \frac{1}{2}(t + r(t)\sqrt{M(t)})$  is congruent to 1 modulo  $p^2$ . So, exceptions may arrive only when  $E_s(t)$  is a global  $p$ th power.

**6.2. Remarks about  $p$ -rationality and non- $p$ -rationality.** In some sense, the  $p$ -rationality of  $K$  comes down to saying that the  $p$ -arithmetic of  $K$  is as simple as possible and that, on the contrary, the non  $p$ -rationality is the standard context, at least for some  $p$  for  $K$  fixed and very common when  $K$  varies in some families, for  $p$  fixed.

a) In general, most papers intend to find  $p$ -rational fields, a main purpose being to prove the existence of families of  $p$ -rational quadratic fields (see, e.g., [AsBo, Ben, BGKK, BeMo, Bou, BaRa, By, Gra2, Gra6, Kop, MaRo1, MaRo2, CLS]); for this there are three frameworks that may exist in general, but, to simplify, we restrict ourselves to real quadratic fields:

(i) The quadratic field  $K$  is fixed and it is conjectured that there exist only finitely many primes  $p > 2$  for which  $K$  is non  $p$ -rational, which is equivalent to the existence of finitely many  $p$  for which  $\frac{1}{p} \log_p(\varepsilon_K) \equiv 0 \pmod{p}$ .

(ii) The prime  $p > 2$  is fixed and it is proved/conjectured that there exist infinitely many  $p$ -rational quadratic field  $K$ , which is equivalent to the existence of infinitely many  $K$ 's for which the  $p$ -class group is trivial and such that  $\frac{1}{p} \log_p(\varepsilon_K)$  is a  $p$ -adic unit; this aspect is more difficult because of the  $p$ -class group.

(iii) One constructs some families of fields  $K(p)$  indexed by  $p$  prime. These examples of quadratic fields often make use of Lemma 5.1 to get interesting radicals and units.

For instance we have considered in [Gra6, §5.3] (as many authors), the polynomials  $t^2 p^{2\rho} + s$  for  $p$ -adic properties of the unit  $E = t^2 p^{2\rho} + s + t p^\rho \sqrt{t^2 p^{2\rho} + 2s}$  of norm 1.

Taking " $\rho = \frac{1}{2}$ ,  $t = 1$ ", one gets the unit  $E = p + s + \sqrt{p(p+2s)}$  considered in [Ben] where it is proved that for  $p > 3$ , the fields  $\mathbb{Q}(\sqrt{p(p+2)})$  are  $p$ -rational since the  $p$ -class group is trivial (for analytic reasons) and the unit  $p+1 + \sqrt{p(p+2)}$  is not a local  $p$ -power. Note that  $4p(p+2s) = m_1(2p+2s)$ , since  $\mathbf{N}(E) = 1$  for all  $s$ .

Similarly, in [BeMo], is considered the bi-quadratic fields  $\mathbb{Q}(\sqrt{p(p+2)}, \sqrt{p(p-2)})$ , containing the quadratic field  $\mathbb{Q}(\sqrt{p^2-4})$  giving the unit  $\frac{1}{2}(p + \sqrt{p^2-4})$  still associated to  $m_1(p)$ ; the  $p$ -rationality comes from the control of the  $p$ -class group since the  $p$ -adic regulators are obviously  $p$ -adic units.

Finally, in [Kop], is considered the tri-quadratic fields  $\mathbb{Q}(\sqrt{p(p+2)}, \sqrt{p(p-2)}, \sqrt{-1})$  which are proven to be  $p$ -rational for infinitely many primes  $p$ ; but these fields are imaginary, so that one has to control the  $p$ -class group by means of non-trivial analytic arguments.

The  $p$ -rational fields allow many existence theorems and conjectures (as the Greenberg's conjecture [Gre2] on Galois representations with open images, yielding to many subsequent papers as [AsBo, Ben, BeMo, Bou, BaRa, GrJa, Jau2, Kop]); they give results in the pro- $p$ -group Galois theory [MaRo1]. Algorithmic aspects of  $p$ -rationality may be found in [Gra3, Gra5, PiVa] and in [BeJa] for the logarithmic class group having strong connexions with  $\mathfrak{T}_K$  in connection with another Greenberg conjecture [Gre1] (Iwasawa's invariants  $\lambda = \mu = 0$  for totally real fields); for explicit characterizations in terms of  $p$ -ramification theory, see [Jau4, Gra8], Greenberg's conjecture being obvious when  $\mathfrak{T}_K = 1$ .

b) We observe with the following program that the polynomials:

$$m_s(p+1) = (p+1)^2 - 4s \quad \text{and} \quad m_s(2p+2) = 4(p+1)^2 - 4s$$

always give  $p$ -rational quadratic fields, apart from very rare exceptions (only four ones up to  $10^6$ ) due to the fact that the units  $E_s(p+1) = \frac{1}{2}(p+1 + \sqrt{(p+1)^2 - 4s})$  and  $E_s(2p+2) = p+1 + \sqrt{(p+1)^2 - s}$  may be a local  $p$ -power as studied in [Gra2] in a probabilistic point of view (except in the case of  $E_1(2p+2) = 1 + p + \sqrt{p^2 + 2p} \equiv 1 \pmod{p}$ , with  $\mathfrak{p}^2 = (p)$ , thus never local  $p$ th power):

```

{nu=8;L=List([-4,-1,1,4]);for(j=1,4,d=L[j]);
print("m(p)=(p+1)^2-(" ,d,")");forprime(p=3,10^6,
M=core((p+1)^2-d);K=bnfinit(x^2-M);
wh=valuation(K.no,p);Kmod=bnrinit(K,p^nu);
CKmod=Kmod.cyc;val=0;d=#CKmod;
for(k=1,d-1,C1=CKmod[d-k+1];
w=valuation(C1,p);if(w>0,val=val+w));if(val>0,
print("p=",p," M=",M," v_p(#(p-class group))=",wh,
" v_p(#(p-torsion group))=",val))))}

m(p)=(p+1)^2+4, p=13      M=2          v_p(#(p-class group))=0
                                v_p(#(p-torsion group))=1
m(p)=(p+1)^2+1, p=11      M=145        v_p(#(p-class group))=0
                                v_p(#(p-torsion group))=2
                                p=16651 M=277289105 v_p(#(p-class group))=0
                                v_p(#(p-torsion group))=1
m(p)=(p+1)^2-1, p=3       M=15          v_p(#(p-class group))=0
                                v_p(#(p-torsion group))=1
m(p)=(p+1)^2-4

```

The case of  $p = 3$ ,  $M = 15$  does not come from the regulator, nor from the class group, but from the factor  $\#\mathcal{W}_K = 3$  since  $15 \equiv -3 \pmod{9}$ ; but this case must be considered as a trivial case of non- $p$ -rationality.

c) For real quadratic fields, the 2-rational fields are characterized via a specific genus theory and are exactly the subfields of the form  $\mathbb{Q}(\sqrt{m})$  for  $m = 2$ ,  $m = \ell$ ,  $m = 2\ell$ , where  $\ell$  is a prime number congruent to  $\pm 3 \pmod{8}$  (see proof and history in [Gra3, Examples IV.3.5.1]). So we shall not consider the case  $p = 2$  since the non-2-rational quadratic fields may be easily deduced, as well as fields with non-trivial 2-class group.

d) Nevertheless, these torsion groups  $\mathfrak{T}_K$  are “essentially” the Tate–Šafarevič groups (see their cohomological interpretations in [Ng]):

$$\text{III}_K^2 := \text{Ker} \left[ H^2(\mathcal{G}_{K,S_p}, \mathbb{F}_p) \rightarrow \bigoplus_{p \in S_p} H^2(\mathcal{G}_{K_p}, \mathbb{F}_p) \right],$$

where  $S_p$  is the set of  $p$ -places of  $K$ ,  $\mathcal{G}_{K,S_p}$  the Galois group of the maximal  $S_p$ -ramified pro- $p$ -extension of  $K$  and  $\mathcal{G}_{K_p}$  the local analogue over  $K_p$ ; so their non-triviality has an important arithmetic meaning about the arithmetic complexity of the number fields (see for instance computational approach of this context in [Gra7] for the pro-cyclic extension of  $\mathbb{Q}$  and the analysis of the Greenberg’s conjecture [Gre1] in [Gra8]). When the set of places  $S$  does not contain  $S_p$ , few things are known about  $\mathcal{G}_{K,S}$ ; see for instance Maire’s survey [Mai] and its bibliography, then [Gra5, Section 3] for numerical computations.

In other words, the non- $p$ -rationality (equivalent, for  $p > 2$ , to  $\text{III}_K^2 \neq 0$ ) is an obstruction to a local-global principle and is probably more mysterious than  $p$ -rationality. Indeed, in an unsophisticated context, it is the question of the number of primes  $p$  such that the Fermat quotient  $\frac{2^{p-1} - 1}{p}$  is divisible by  $p$ , for which only two solutions are known; then non- $p$ -rationality is the same problem applied to algebraic numbers, as units  $\varepsilon_M$ ; this aspect is extensively developed in [Gra2] for arbitrary Galois number fields).

**6.3. Families of local  $p$ -th power units – Computation of  $\mathfrak{T}_K$ .** We shall force the non triviality of  $\mathcal{R}_K$  to obtain the non- $p$ -rationality of  $K$ .

**6.3.1. Definitions of local  $p$ -th power units.** Taking polynomials stemming from suitable polynomials  $m_s$  we can state:

**Theorem 6.3.** *Let  $p > 2$  be a prime number and let  $s \in \{-1, 1\}$ .*

(a) *Let  $a \in \mathbb{Z}_{\geq 1}$  and  $\delta \in \{1, 2\}$ . We consider  $T := 2\delta^{-1}(ap^4t^2 - \delta s)$  and  $m_1(T)$  giving rise to the unit  $E_1(T) = \frac{1}{2}(T + \sqrt{T^2 - 4}) = \frac{1}{\delta}(ap^4t^2 - \delta s + p^2t\sqrt{a^2p^4t^2 - 2\delta as})$ , of norm 1 and local  $p$ th power at  $p$ .*

*The cases  $(a, \delta) \in \{(1, 1), (1, 2), (2, 1), (3, 1), (3, 2), (4, 1), (5, 1), (5, 2)\}$  give distinct units.*

(b) *Consider  $T := t_0 + p^2t$  and  $m_s(T) = T^2 - 4s$  and the units of norm  $s$ :*

$$E_s(T) = \frac{1}{2}(T + \sqrt{T^2 - 4s});$$

*they are, for all  $t$ , local  $p$ th power at  $p$  for suitable  $t_0$  depending on  $p$  and  $s$ , as follows:*

(i) *For  $t_0 = 0$ , the units  $E_s(T) = E_s(p^2t)$  are local  $p$ th powers at  $p$ .*

(ii) For  $p \not\equiv 5 \pmod{8}$ , there exist  $s \in \{-1, 1\}$  and  $t_0 \in \mathbb{Z}_{\geq 1}$  solution of the congruence  $t_0^2 \equiv 2s \pmod{p^2}$  such that the units  $E_s(T)$  are local  $p$ th powers at  $p$ .

(iii) We get the data  $(p = 3, s = -1, t_0 \in \{4, 5\})$ ,  $(p = 7, s = 1, t_0 \in \{10, 39\})$ ,  $(p = 11, s = -1, t_0 \in \{19, 102\})$ ,  $(p = 17, s = -1, t_0 \in \{24, 265\}; s = 1, t_0 \in \{45, 244\})$ .

As  $t$  grows from 1 up to  $\mathbf{B}$ , for each first occurrence of a square-free integer  $M \geq 2$  in the factorization  $m(t) = a^2 p^4 t^2 - 2\delta a s = M(t)r(t)^2$  (case (a)), or the factorization  $m(t) = (t_0 + p^2 t)^2 - 4s = M(t)r(t)^2$  (case (b)), the quadratic fields  $\mathbb{Q}(\sqrt{M(t)})$ , are non  $p$ -rational, apart possibly when  $\frac{1}{\delta}(ap^4 t^2 - \delta s + p^2 t r(t)\sqrt{M(t)}) \in \langle \varepsilon_{M(t)}^p \rangle$  (case (a)), or  $\frac{1}{2}(t_0 + p^2 t + \sqrt{(t_0 + p^2 t)^2 - 4s}) \in \langle \varepsilon_{M(t)}^p \rangle$  (case (b)).

*Proof.* The case (a) is obvious. Since the case (b) (i) is also obvious, assume  $t_0 \not\equiv 0 \pmod{p^2}$ . We have:

$$(E_s(T))^2 \equiv \frac{1}{2}(T^2 - 2s + T\sqrt{T^2 - 4s}) \pmod{p^2},$$

whence  $(E_s(T))^2 \equiv \frac{t_0}{2}\sqrt{T^2 - 4s} \pmod{p^2}$  under the condition  $t_0^2 \equiv 2s \pmod{p^2}$ . So,  $E_s(T)^4 \equiv \frac{1}{4}t_0^2(t_0^2 - 4s) \equiv -1 \pmod{p^2}$ , whence the result. One computes that  $t_0^2 \equiv 2s \pmod{p^2}$  has solutions for  $(p-1)(p+1) \equiv 0 \pmod{16}$  when  $s = 1$  and  $(p-1)(p+5) \equiv 0 \pmod{16}$  when  $s = -1$ .  $\square$

For instance, in case (a) we shall use  $m(t) = p^4 t^2 - s$ ,  $m(t) = p^4 t^2 - 2s$ ,  $m(t) = p^4 t^2 - 4s$ ,  $m(t) = 9p^4 t^2 - 6s$ ,  $m(t) = 9p^4 t^2 - 12s$ ,  $m(t) = 4p^4 t^2 - 2s$ ,  $m(t) = 25p^4 t^2 - 10s$ ,  $m(t) = 25p^4 t^2 - 20s$ . The case (b) has the advantage that the traces of the units are in  $O(t)$  instead of  $O(t^2)$  for case (a).

Since in many computations we are testing if some unit  $E_s(T)$  is a global  $p$ th power, we state the following result which will be extremely useful in practice because it means that the exceptional cases are present only at the beginning of the F.O.P. list:

**Theorem 6.4.** *Let  $T$  be of the form  $T = ct^h + c_0$ ,  $c \geq 1$ ,  $h \geq 1$ ,  $c_0 \in \mathbb{Z}$  fixed and set  $T^2 - 4s = M(t)r(t)^2$  when  $t$  runs through  $\mathbb{Z}_{\geq 1}$ . For  $\mathbf{B} \gg 0$ , the maximal bound  $M_{\mathbf{B}}^{\text{pow}}$  of the square-free integers  $M(t)$ , obtained by the F.O.P. algorithm, for which  $E_s(T) := \frac{1}{2}(T + \sqrt{T^2 - 4s})$  may be a  $p$ th power in  $\langle \varepsilon_{M(t)} \rangle$  (whence the field  $\mathbb{Q}(\sqrt{M(t)})$  being  $p$ -rational by exception), is of the order of  $(c^2 \mathbf{B}^{2h})^{\frac{1}{p}}$  as  $\mathbf{B} \rightarrow \infty$ .*

*Proof.* Put  $\varepsilon_M = \frac{1}{2}(a + b\sqrt{M})$  as usual; then we can write  $\varepsilon_M \sim b\sqrt{M}$  and  $E_s(T) \sim T$  so that  $T$  and  $(b\sqrt{M})^p$  are equivalent as  $M$  and  $\mathbf{B}$  tend to infinity; taking the most unfavorable case  $b = 1$ , we conclude that  $M_{\mathbf{B}}^{\text{pow}} \ll (c^2 \mathbf{B}^{2h})^{2/p}$  in general.  $\square$

For instance  $T = t_0 + p^2 t$ , of the case (b) of Theorem 6.3, gives a bound  $M_{\mathbf{B}}^{\text{pow}}$ , of possible exceptional Kummer radicals, of the order of  $(p^4 \mathbf{B}^2)^{1/p}$ . This implies that when  $\mathbf{B} \rightarrow \infty$ , the density of Kummer radicals  $M$  such that  $E_s(T)$  is not a global  $p$ th power is equal to 1. With  $\mathbf{B} = 10^6$ , often used in the programs, the bound  $M_{\mathbf{B}}^{\text{pow}}$  tends to 1 quickly as  $p$  increases. In practice, for almost all primes  $p$ , the F.O.P. lists are without any exception (only the case  $p = 3$  gives larger bounds, as  $M_{10^6}^{\text{pow}} \approx 43267$  for the above example; but it remains around  $10^6 - 43267 = 956733$  certified solutions  $M$ ).

**6.3.2. Program of computation of  $\mathfrak{T}_K$ .** In case a) of Theorem 6.3, we give the program using together the 16 parametrized radicals and we print short excerpts. The parameter  $e$  must be large enough such that  $p^e$  annihilates  $\mathfrak{T}_K$ ; any prime number  $p > 2$  may be illustrated (here we take  $p = 3, 5, 7$ ). A part of the program is that given in [Gra3] for any number field. For convenience, we replace a data of the form [7784110, List([9])], in the outputs, by [7784110, 9] giving a 3-group  $\mathfrak{T}_K$  of  $\mathbb{Q}(\sqrt{7784110})$  isomorphic to  $\mathbb{Z}/9\mathbb{Z}$ .

```
{B=10^4;p=3;Lm=List([List([1,-4]),List([1,-2]),
List([1,-1]),List([1,1]),List([1,2]),List([1,4]),
List([4,-2]),List([4,2]),List([9,-6]),List([9,6]),
List([9,-12]),List([9,12]),List([25,-10]),
List([25,10]),List([25,-20]),List([25,20])]);
e=8;p4=p^4;Ln=List;LM=List;
for(t=1,B,for(e11=1,16,a=Lm[e11][1];b=Lm[e11][2];
mt=a*t^2*p4+b;M=core(mt);K=bnfinit(x^2-M,1);
Kmod=bnrinit(K,p^e);CKmod=Kmod.cyc;
Tn=List;d=#CKmod;for(k=1,d-1,
Cl=CKmod[d-k+1];w=valuation(Cl,p);
```

```

if(w>0,listinsert(Tn,p^w,1));L=List([M,Tn]);
listput(LM,vector(2,c,L[c])));
VM=vecsort(vector(16*B,c,LM[c]),1,8);
print(VM);print("#VM = ",#VM);
for(k=1,#VM,T=VM[k];if(T[2]==List([]),
listput(Ln,vector(1,c,T[c])));Vn=vecsort(Ln,1,8);
print("exceptions:",Vn)}
p=3
[M,Tn]=
[[2,[]],[3,[]],[5,[]],[6,[3]],[7,[]],[10,[]],[11,[]],[13,[]],[14,[]],[15,[3]],[21,[]],
[23,[]],[29,[9]],[33,[3]],[34,[]],[35,[]],[37,[]],[38,[]],[42,[9]],[53,[]],[55,[]],
[58,[3]],[61,[]],[62,[3]],[69,[3]],[74,[9]],[77,[3]],[78,[3]],[79,[9]],[82,[3]],[
[83,[3]],[85,[3]],[87,[3]],[93,[3]],[103,[3]],[106,[3]],[109,[3]],[110,[]],[115,[]],
[122,[81]],[141,[9]],[142,[3]],[143,[]],[145,[]],[146,[]],[151,[3]],[159,[3]],[173,[3]],
(...)]
[202378518245,[3]],[202419008110,[27,3]],[202459502005,[27,9]],[202459502015,[81]],
[202459502035,[81]],[202459502045,[81,3]],[202499999990,[3]],[202500000010,[3]]]
#VM = 139954
exceptions:List([[2],[3],[5],[7],[10],[11],[13],[14],[21],[23],[34],[35],[37],[38],[53],
[55],[61],[110],[115],[143],[145],[146],[205],[215],[221],[226],[227],[230],[437],[439],
[442],[445],[577],[890],[902],[905],[910],[1085],[1087],[1093],[1517],[1762],[1766],
[2605],[3595],[3605],[5605],[5615],[5645],[11005]])

p=5
[M,Tn]=
[[2,[]],[3,[]],[5,[]],[6,[]],[21,[]],[23,[]],[26,[]],[29,[]],[38,[5]],[39,[5]],[51,[5]],
[62,[25]],[69,[5]],[89,[25]],[102,[]],[107,[5]],[114,[5]],[127,[5]],[134,[5]],[161,[5]],
[183,[5]],[186,[5]],[213,[]],[219,[]],[231,[]],[237,[]],[278,[5]],[287,[5]],[295,[25]],
[326,[5]],[382,[5]],[422,[5]],[434,[25]],[453,[5]],[467,[5]],[501,[5]],[509,[5]],
[514,[25]],[519,[5]],[574,[5]],[581,[5]],[606,[125]],[623,[5]],[626,[5]],[627,[5]],
[629,[5]],[645,[5]],[662,[5]],[674,[5]],[761,[5]],
(...)]
[1561562640635,[125]],[1561562640645,[25]],[1561875062510,[25]],[1562187515605,[625]],
[1562187515615,[125]],[1562187515635,[25]],[1562187515645,[625]],[1562500000010,[15625]]]
#VM = 139982
exceptions:List([[2],[3],[5],[6],[21],[23],[26],[29],[102],[213],[219],[231],[237]])

p=7
[M,Tn]=
[[6,[7]],[37,[7]],[74,[7]],[101,[7]],[123,[7]],[145,[49]],[149,[7]],[206,[7]],[214,[7]],
[215,[7]],[219,[7]],[267,[7]],[505,[7]],[554,[7]],[570,[7]],[629,[7]],[663,[7]],[741,[7]],
[817,[49]],[834,[49]],[887,[49]],[894,[7]],[1067,[7]],[1373,[49]],[1446,[7]],[1517,[7]],
[1590,[7]],[1893,[7]],[2085,[7]],[2162,[7]],[2302,[49]],[2355,[7]],[2397,[7]],[2399,[7]],
[2402,[7]],[2405,[7]],[2498,[7]],[2567,[7]],[2615,[7]],[2679,[7]],[2742,[7]],[2778,[7]],
(...)]
[5998899040235,[49]],[6000099240090,[7]],[6000099240110,[7]],[6001299560005,[7]],
[6001299560015,[7]],[6001299560035,[7]],[6001299560045,[7]],[6002499999990,[7]]]
#VM = 139991
exceptions:List([])

```

For  $p = 11$  and  $13$  no exception is found for  $B = 10^4$ .

The case b) of Theorem 6.3 gives an analogous program and will be also illustrated in the Section 7 about  $p$ -class groups, especially for the case  $p = 3$ . The results are similar and give, in almost cases, non-trivial  $p$ -adic regulators  $\mathcal{R}_K$ , hence non- $p$ -rational fields  $K$ :

```

p-RATIONALITY
{B=10^4;p=3;e=8;p4=p^4;Ln=List;LM=List;
for(t=1,B,forstep(s=-1,1,2,mt=p4*t^2-4*s;M=core(mt);
K=bnfinit(x^2-M,1);Kmod=bnrinit(K,p^e);
CKmod=Kmod.cyc;Tn=List;d=#CKmod;
for(k=1,d-1,C1=CKmod[d-k+1];w=valuation(C1,p);
if(w>0,listinsert(Tn,p^w,1));L=List([M,Tn]);
listput(LM,vector(2,c,L[c])));
VM=vecsort(vector(2*B,c,LM[c]),1,8);
print(VM);print("#VM = ",#VM);
for(k=1,#VM,T=VM[k];if(T[2]==List([]),
listput(Ln,vector(1,c,T[c])));Vn=vecsort(Ln,1,8);
print("exceptions:",Vn)}
p=3
[M,Tn]=
[[2,[]],[5,[]],[10,[]],[13,[]],[14,[]],[29,[9]],[35,[]],[37,[]],[58,[3]],[61,[]],[62,[3]],
[74,[9]],[77,[3]],[82,[3]],[85,[3]],[106,[3]],[109,[3]],[110,[]],[122,[81]],[143,[]],

```



```

[145, []], [173, [3]], [181, [3]], [182, [9]], [202, [3]], [221, []], [226, []], [229, [3]], [257, [27]],
[287, [3]], [323, [3]], [359, [9]], [397, [3]], [401, [3]], [410, [27]], [437, []], [442, []], [445, []],
[506, [9]], [515, [3]], [518, [3]], [533, [3]], [626, [3]], [635, [3]], [674, [9]], [730, [27]],
(...)
[8078953685, [81]], [8078953693, [9]], [8082189805, [3, 3]], [8085426557, [9]],
[8085426565, [9]], [8088663965, [9]], [8091902021, [3]], [8095140733, [3]],
[8098380077, [27, 3]], [8098380085, [27]]
#VM = 19990
exceptions:List([[2], [5], [10], [13], [14], [35], [37], [61], [110], [143], [145], [221], [226],
[437], [442], [445], [1085], [1093], [1517]])

p=5
[M, Tn]=
[[6, []], [21, []], [26, []], [29, []], [39, [5]], [51, [5]], [69, [5]], [89, [25]], [114, [5]], [161, [5]],
[326, [5]], [434, [25]], [501, [5]], [509, [5]], [514, [25]], [574, [5]], [581, [5]], [626, [5]],
[629, [5]], [674, [5]], [761, [5]], [789, [5]], [791, [5]], [874, [5]], [1086, [5]], [1111, [5, 5]],
[1191, [5]], [1351, [5]], [1406, [5]], [1641, [625]], [1761, [5]], [1851, [5]], [1914, [5]],
(...)
[62412530621, [5]], [62412530629, [5]], [62437515621, [25]], [62437515629, [125]],
[62462505621, [5]], [62462505629, [5]], [62487500621, [5]], [62487500629, [5]]]
#VM = 19996
exceptions:List([[6], [21], [26], [29]])

p=7
[M, Tn]=
[[6, [7]], [37, [7]], [101, [7]], [145, [49]], [149, [7]], [206, [7]], [215, [7]], [554, [7]], [570, [7]],
[629, [7]], [663, [7]], [741, [7]], [817, [49]], [894, [7]], [1067, [7]], [1373, [49]], [1517, [7]],
[1893, [7]], [2085, [7]], [2162, [7]], [2302, [49]], [2355, [7]], [2397, [7]], [2402, [7]], [2405, [7]],
[2498, [7]], [2567, [7]], [2679, [7]], [2742, [7]], [2845, [7]], [2915, [49]], [3162, [7]], [3477, [7]],
(...)
[239668014477, [7]], [239668014485, [7]], [239763977645, [343]], [239763977653, [7]],
[239859960029, [7]], [239955961613, [7]], [240051982397, [7]], [240051982405, [7]]]
#VM = 19998
exceptions:List([])

```

**6.4. Infiniteness of non  $p$ -rational real quadratic fields.** All these experiments raise the question of the infiniteness, for any given prime  $p \geq 22$ , of non  $p$ -rational real quadratic fields when the non  $p$ -rationality is due to  $\mathcal{R}_K \equiv 0 \pmod{p}$  (i.e.,  $\log(\varepsilon_M) \equiv 0 \pmod{p^2}$ ). The case  $p = 2$  being trivial because of genus theory for 2-class groups, we suppose  $p > 2$ . However, it is easy to prove this fact for  $p = 2$  by means of the regulators.

**6.4.1. Explicit families of units.** We will built parametrized Kummer radicals and units, in the corresponding fields, which are not  $p$ th power of a unit; the method relies on the choice of suitable values of the parameter trace  $t$ . This will imply the infiniteness of degree  $p - 1$  imaginary cyclic fields of the Section 7 having non trivial  $p$ -class group.

**Theorem 6.5.** (i) Let  $q \equiv 1 \pmod{p}$  be prime, let  $\bar{c} \notin \mathbb{F}_q^{\times p}$  and  $t_q \in \mathbb{Z}_{\geq 1}$  such that  $t_q \equiv \frac{c^2 + s}{2cp^2} \pmod{q}$ . Then, whatever the bound  $\mathbf{B}$ , the F.O.P. algorithm applied to the polynomial  $m(t_q + qx) = p^4(t_q + qx)^2 - s$ ,  $x \in \mathbb{Z}_{\geq 0}$ , gives lists of distinct Kummer radicals  $M$ , in the ascending order, such that  $\mathbb{Q}(\sqrt{M})$  is non- $p$ -rational.

(ii) For any given prime  $p > 2$  there exist infinitely many real quadratic fields  $K$  such that  $\mathcal{R}_K \equiv 0 \pmod{p}$ , whence infinitely many non  $p$ -rational real quadratic fields.

*Proof.* (i) *Criterion of non  $p$ th power.* Consider  $m(t) = p^4 t^2 - s$  and the unit  $E_s(2p^2 t) = p^2 t + \sqrt{p^4 t^2 - s}$  of norm  $s$  and local  $p$ th power at  $p$ . Choose a prime  $q \equiv 1 \pmod{p}$  and let  $c \in \mathbb{Z}_{>1}$  be non  $p$ th power modulo  $q$  (whence  $(q - 1)(1 - \frac{1}{p})$  possibilities). Let  $t \equiv \frac{c^2 + s}{2cp^2} \pmod{q}$ ; then:

$$\begin{aligned} \mathbf{N}(E_s(2p^2 t) - c) &= \mathbf{N}(p^2 t - c + \sqrt{p^4 t^2 - s}) \\ &= (p^2 t - c)^2 - p^4 t^2 + s = c^2 + s - 2cp^2 t \equiv 0 \pmod{q}. \end{aligned}$$

Such value of  $t$  defines the field  $\mathbb{Q}(\sqrt{M(t)})$ , via  $p^4 t^2 - s = M(t)r(t)^2$ , and whatever its residue field at  $q$  ( $\mathbb{F}_q$  or  $\mathbb{F}_{q^2}$ ), we get  $E_s(2p^2 t) \equiv c \pmod{q}$ , for some  $q \mid q\mathbb{Z}$ ; since in the inert case,  $\#\mathbb{F}_{q^2}^{\times} = (q - 1)(q + 1)$ , with  $q + 1 \not\equiv 0 \pmod{p}$ ,  $c$  is still non  $p$ th power, and  $E_s(2p^2 t)$  is not a local  $p$ th power modulo  $q$ , hence not a global  $p$ th power.



(ii) *Infiniteness*. Now, for simplicity to prove the infiniteness, we restrict ourselves to the case  $m(t) = p^4 t^2 - 1$  (the case  $m(t) = p^4 t^2 + 1$  may be considered with a similar reasoning in  $\mathbb{Z}[\sqrt{-1}]$  instead of  $\mathbb{Z}$ ). Let  $\ell$  be a prime number arbitrary large and consider the congruence:

$$p^2(t_q + qx) \equiv 1 \pmod{\ell};$$

it is equivalent to  $x = x_0 + y\ell$ ,  $y \in \mathbb{Z}_{\geq 0}$ , where  $x_0$  is a residue modulo  $\ell$  of the constant  $\frac{1 - t_q p^2}{qp^2}$ ; so, we have  $p^2(t_q + qx_0) - 1 = \lambda \ell^n$ ,  $n \geq 1$ ,  $\ell \nmid \lambda$ . Computing these  $m(t)$ 's, with  $t = t_q + (x_0 + y\ell)q$ , gives:

$$p^4(t_q + q(x_0 + y\ell))^2 - 1 = [p^2(t_q + q(x_0 + y\ell)) - 1] \cdot [p^2(t_q + q(x_0 + y\ell)) + 1] \equiv 0 \pmod{\ell};$$

the right factor is prime to  $\ell$ ; the left one is of the form  $\lambda \ell^n + qyp^2\ell$ , and whatever  $n$ , it is possible to choose  $y$  such that the  $\ell$ -valuation of  $\lambda \ell^{n-1} + qyp^2$  is zero. So, for such integers  $t$ , we have the factorization  $m(t) = \ell M' r^2$ , where  $M' \geq 1$  is square-free and  $M' r^2$  prime to  $\ell$ , which defines  $M := \ell M'$  arbitrary large.

This proves that in the F.O.P. algorithm, when  $\mathbf{B} \rightarrow \infty$ , one can find arbitrary large Kummer radicals  $M(t_q + (x_0 + y\ell)q)$  such that the corresponding unit  $E_1(t_q + (x_0 + y\ell)q)$  is a local  $p$ th power modulo  $p$ , but not a global  $p$ -th power.  $\square$

The main property of the F.O.P. algorithm is that the Kummer radicals obtained are distinct and listed in the ascending order; without the F.O.P. process, all the integers  $t = t_q + (x_0 + y\ell)q$  giving the same  $M$  give  $E_1(t_q + (x_0 + y\ell)q) = \varepsilon_M^n$  with  $n \not\equiv 0 \pmod{p}$ .

6.4.2. *Unlimited lists of non- $p$ -rational real quadratic fields*. Take  $p = 3$ ,  $q = 7$ ,  $c \in \{2, 3, 4, 5\}$ . With  $m(t) = 81t^2 - 1$ , then  $t_q \in \{2, 5\}$ ; with  $m(t) = 81t^2 + 1$ , then  $t_q \in \{3, 4\}$  and  $t = t_q + 7x$ ,  $x \geq 0$ . The F.O.P. list is without any exception, giving non 3-rational quadratic fields  $\mathbb{Q}(\sqrt{M})$  (in the first case,  $p = 3$  is inert and in the second one,  $p = 3$  splits. We give the corresponding list using together the four possibilities:

```
NON p-RATIONAL REAL QUADRATIC FIELDS I
{B=10^6;p=3;Lm=List([List([-1,3]),List([-1,4]),
List([1,2]),List([1,5])]);Ln=List;LM=List;
for(t=1,B,for(ell=1,4,s=Lm[ell][1];tq=Lm[ell][2];
M=core(81*(tq+7*t)^2-s);L=List([M]));
listput(LM,vector(1,c,L[c])));
VM=vecsort(vector(4*B,c,LM[c]),1,8);
print(VM);print("#VM = ",#VM)}
[M]=
[58],[74],[106],[113],[137],[359],[386],[401],[410],[494],[515],[610],[674],[743],[806],
[842],[877],[1009],[1010],[1157],[1367],[1430],[1901],[1934],[2006],[2153],[2255],
[2522],[2678],[2822],[2986],[3014],[5266],[5513],[6626],[6707],[6722],[6890],[7310],
[7610],[7858],[7919],[8101],[8465],[8555],[8738],[8761],[9410],[9634],[9998],[11183],
[11195],[11237],[11447],[11509],[11537],[11663],[11890],[11965],[13427],[13645],
[14795],[16895],[16913],[17266],[18530],[19223],[19826],[20066],[20735],[21023],
[21317],[21389],[22730],[23066],[23102],[23410],[23626],[23783],[23963],
(...)
[248061933000323],[248063067000323],[248063350500730],[248063634001297]
#VM = 4000000
```

In case of doubt about the results, one may use the same program with the computation of  $\#\mathfrak{T}_K$ ; but the execution time is much larger and it is not possible to take a large  $\mathbf{B}$  since the computations need the instructions  $K = \text{bnfinit}(x^2 - M)$  and  $K\text{mod} = \text{bnrinit}(K, p^e)$  of class field theory package (the list below contains 42 outputs up to  $M = 23963$ , while the first one contains 80 Kummer radicals):

```
NON p-RATIONAL REAL QUADRATIC FIELDS II
{B=10^3;p=3;Lm=List([List([-1,3]),List([-1,4]),
List([1,2]),List([1,5])]);e=8;p4=p^4;Ln=List;LM=List;
for(t=1,B,for(ell=1,4,s=Lm[ell][1];t0=Lm[ell][2];
M=core(81*(t0+7*t)^2-s);K=bnfinit(x^2-M);
Kmod=bnrinit(K,p^e);CKmod=Kmod.cyc;
Tn=List;d=#CKmod;for(k=1,d-1,
Cl=CKmod[d-k+1];w=valuation(Cl,p);
if(w>0,listinsert(Tn,p^w,1));L=List([M,Tn]));
listput(LM,vector(2,c,L[c])));
VM=vecsort(vector(4*B,c,LM[c]),1,8);
print(VM);print("#VM = ",#VM);
for(k=1,#VM,T=VM[k];if(T[2]==List([]),
```

```

listput(Ln,vector(1,c,T[c])));Vn=vecsort(Ln,1,8);
print("exceptions:",Vn)
[M,Tn]=
[[58,[3]],[74,[9]],[106,[3]],[359,[9]],[401,[3]],[410,[27]],[515,[3]],[674,[9]],[842,[9]],[
1009,[9]],[1157,[3]],[1367,[9]],[1430,[9]],[1934,[3]],[2255,[3]],[2678,[9]],[2822,[9]],[
3014,[3]],[5513,[9]],[6722,[27]],[6890,[3]],[7310,[3,3]],[7858,[9]],[7919,[3]],[8101,[3]],[
8465,[27]],[8555,[27]],[8738,[3]],[8761,[81]],[9410,[9]],[9634,[27,3]],[9998,[9,3]],
...]
[3955403663,[27]],[3956535802,[3]],[3957668101,[27,3]],[3965598730,[3]],[
3966732323,[9,3]],[3971268323,[81]],[3972402730,[3]],[3973537297,[27]]]
#VM = 4000
exceptions : List([])

```

## 7. APPLICATION TO $p$ -CLASS GROUPS OF SOME IMAGINARY CYCLIC FIELDS

Considering, now, the case b) of Theorem 6.3 for  $p > 2$ , we use the polynomial  $m_s(T) = T^2 - 4s$ , with  $T = t_0 + p^2t$ , and the unit of norm  $s$ :

$$E_s(T) = \frac{1}{2}(T + \sqrt{T^2 - 4s}),$$

for suitable  $s$  and  $t_0$  such that  $E_s(T)$  be a local  $p$ th power at  $p$ , which is in particular the case for all  $p > 2$  and all  $s$  when  $t_0 = 0$ . For  $t_0 \neq 0$ , we get the particular data when the equation  $t_0^2 \equiv 2s \pmod{p^2}$  has solutions (which is equivalent to  $p \not\equiv 5 \pmod{8}$ ): ( $p = 3, s \in \{-1, 1\}, t_0 = 0$ ), ( $p = 3, s = -1, t_0 \in \{4, 5\}$ ), ( $p = 7, s = 1, t_0 \in \{10, 39\}$ ), ( $p = 11, s = -1, t_0 \in \{19, 102\}$ ), ( $p = 17, s = -1, t_0 \in \{24, 265\}$ ;  $s = 1, t_0 \in \{45, 244\}$ ). For  $p = 2$ , a “mirror field” may be taken in  $\mathbb{Q}(\sqrt{-1}, \sqrt{M})$  (see, e.g., [Gra9] for some results linking 2-class groups and norms of units).

The programs are testing that  $E_s(T)$  is not the  $p$ th power in  $\langle \varepsilon_M \rangle$ .

**7.1. Imaginary quadratic fields with non-trivial 3-class group.** From the above, we obtain, as consequence, the following selection of illustrations (see Theorem 6.5 claiming that the F.O.P. lists are unbounded as  $\mathbf{B} \rightarrow \infty$ ):

**Theorem 7.1.** *Let  $t_0 \in \{0, 4, 5\}$  and  $m(t) := (t_0 + 9t)^2 + 4$  if  $t_0 \neq 0$ , or  $m(t) := (t_0 + 9t)^2 \pm 4$  if  $t_0 = 0$ . As  $t$  grows from 1 up to  $\mathbf{B}$ , each first occurrence of a square-free integer  $M \geq 2$  in the factorization  $m(t) =: Mr^2$ , the quadratic field  $F_{3,M} := \mathbb{Q}(\sqrt{-3M})$  has a class number divisible by 3, except possibly when the unit  $E_s(t_0 + 9t) := \frac{1}{2}(t_0 + 9t + r\sqrt{M})$  is a third power in  $\langle \varepsilon_M \rangle$ .*

*The F.O.P. algorithm applied to the subset of parameters  $t = 2 + 7x$  or  $t = 5 + 7x$ ,  $x \in \mathbb{Z}_{\geq 0}$  with  $m(t) = 81t^2 - 1$ , always gives non-trivial 3-class groups. Same results with  $t = \pm 3 + 7x$  with  $m(t) = 81t^2 + 1$ .*

*Proof.* If  $E_s(t_0 + 9t)$  is not a third power in  $\langle \varepsilon_M \rangle$  but a local 3th power at 3, it is 3-primary in the meaning that if  $\zeta_3$  is a primitive 3th root of unity, then  $K(\zeta_3, \sqrt[3]{E_s((t_0 + 9t))})/K(\zeta_3)$  is unramified (in fact 3 splits in this extension). From reflection theorem (Scholz’s Theorem in the present case), 3 divides the class number of  $\mathbb{Q}(\sqrt{-3M})$ , even when  $r > 1$  in the factorization  $m(t) =: Mr^2$ . The case of  $t_0 = 0$  and  $s = \pm 1$  is obvious. The second claim comes from Theorem 6.5 (see numerical part below).  $\square$

**7.1.1. Program for lists of 3-class groups of imaginary quadratic fields.** Note that the case where  $E_s(t_0 + 9t)$  is a third power is very rare because it happens only for very large  $t_0 + 9t$  giving a small Kummer radical  $M$ . One may verify the claim by means of the following program, in the case  $s = -1$  valid for all  $t_0$ , where  $[M, Vh]$  gives in  $Vh$  the 3-structure of the class group of  $\mathbb{Q}(\sqrt{-3M})$ ; at the end of each output, one sees the list of exceptions (case of third powers), where the output  $[M, n]$  means that for the Kummer radical  $M = M(t)$ , then  $E_{-1}(t_0 + 9t) = \varepsilon_M^n$ . We may see that any excerpt for  $t$  large enough give no exceptions:

```

LISTS OF 3-CLASS GROUPS OF IMAGINARY QUADRATIC FIELDS
{p=3;B=10^5;L3=List;Lh=List;Lt0=List([0,4,5]);for(t=1,B,
for(e1=1,3,t0=Lt0[e1];mt=(t0+9*t)^2+4;ut=(t0+9*t)/2;vt=1/2;
C=core(mt,1);M=C[1];r=C[2];res=Mod(M,4);D=quaddisc(M);w=quadgen(D);
Y=quadunit(D);if(res!=1,Z=ut+r*vt*w);if(res==1,Z=ut-r*vt+2*r*vt*w);
z=1;n=0;while(Z!=z,z=z*Y;n=n+1);C3=List;K=bnfinit(x^2+3*M,1);
CK=K.cyc;d=#CK;for(j=1,d,C1=CK[d-j+1];val=valuation(C1,3);
if(val>0,listinsert(C3,3^val,1))) ;L=List([M,C3,n]);
listput(Lh,vector(3,c,L[c])));Vh=vecsort(vector(3*B,c,Lh[c]),1,8);

```

```

print(Vh);print("#Vh = ",#Vh);
for(k=1,#Vh,LC=Vh[k][2];if(LC==List([]),Ln=List([Vh[k][1],Vh[k][3]]));
listput(L3,vector(2,c,Ln[c])));V3=vecsort(L3,1,8);
print("exceptional powers : ",V3)}
[M,C3,n]=
[[2,[],15],[5,[],9],[10,[],3],[13,[],3],[17,[],3],[26,[],3],[29,[3],5],[37,[],3],
[41,[],3],[53,[],3],[58,[3],1],[61,[],3],[65,[],3],[74,[3],1],[82,[3],1],[85,[3],1],
[101,[],3],[106,[3],1],[109,[3],1],[113,[3],1],[122,[3],1],[137,[3],1],[145,[],3],
[149,[],3],[170,[],3],[173,[9],1],[181,[3],1],[197,[],3],[202,[3],1],[226,[],3],
[229,[3],3],[257,[3],1],[290,[],3],[293,[],3],[314,[3],1],[317,[],3],[353,[3],1],
[362,[],3],[365,[],3],[397,[3],1],[401,[3],1],[442,[],3],[445,[],3],[461,[9],1],
[485,[],3],[530,[],3],[533,[9],1],[577,[],3],[610,[3],1],[626,[3],1],[629,[],3],
[653,[3],1],[677,[],3],[730,[3],1],[733,[9],1],[754,[3],1],[773,[3],1],[785,[3],3],
[842,[3],1],[877,[3],1],[901,[],3],[962,[],3],[965,[9],1],[997,[3],1],[1009,[3],1],
(...)]
[809976600173,[27],1],[809983800085,[81],1],[809991000029,[27],1],[810009000029,[9],1]]
#Vh = 299963
exceptional powers:List([2,15],[5,9],[10,3],[13,3],[17,3],[26,3],[37,3],[41,3],[53,3],
[61,3],[65,3],[101,3],[145,3],[149,3],[170,3],[197,3],[226,3],[290,3],[293,3],[317,3],
[362,3],[365,3],[442,3],[445,3],[485,3],[530,3],[577,3],[629,3],[677,3],[901,3],[962,3],
[1093,3],[1226,3],[1370,3],[1601,3],[1853,3],[2117,3],[2305,3],[2605,3],[2813,3],
[3029,3],[3253,3],[4229,3],[5045,3],[6245,3],[6893,3],[8653,3]])

```

Then  $M_B = 810016200085$  and  $\log(810016200085)/\log(81 \cdot 10^{10}) \approx 1.0000007293$ ; then  $M_B^{\frac{1}{3}} \approx 9321.76$  give a good verification of the Heuristic 6.4. This also means that *all the integers  $M$  larger than 9029* leads to non-trivial 3-class groups, and they are very numerous !

We note that some  $M$ 's (as 29, 74, 82, 85, ...) are in the list of exceptions despite a non-trivial 3-class group; this is equivalent to the fact that, even if  $E_{-1}(t_0 + 9t) \in \langle \varepsilon_M^3 \rangle$ , either the 3-regulator  $\mathcal{R}_K$  of  $K$  is non-trivial or its 3-class group is non-trivial.

**7.1.2. Unlimited lists of non-trivial 3-class groups.** To finish, let's give the case where the F.O.P. algorithm *always* gives a non-trivial 3-class group in  $\mathbb{Q}(\sqrt{-3M})$ ; we use together the 4 parametrizations given by Theorem 7.1 (outputs  $[M, [3 \text{ class group}]]$ ):

```

NON TRIVIAL 3-CLASS GROUPS OF IMAGINARY QUADRATIC FIELDS
{p=3;B=10^4;Lh=List;Lm=List([List([-1,3]),List([-1,4]),List([1,2]),List([1,5]))]);
for(t=1,B,for(e1=1,4,s=Lm[e1][1];t0=Lm[e1][2];M=core(81*(t0+7*t)^2-s);C3=List;
K=bnfinit(x^2+3*M);CK=K.cyc;d=#CK;for(j=1,d,C1=CK[d-j+1];
val=valuation(C1,3);if(val>0,listinsert(C3,3^val,1));L=List([M,C3]);
listput(Lh,vector(2,c,L[c])));Vh=vecsort(vector(4*B,c,Lh[c]),1,8);
print(Vh);print("#Vh = ",#Vh)}
[M,C3]=
[[58,[3]], [74,[3]], [106,[3]], [359,[3]], [386,[3]], [401,[3]], [410,[3]], [494,[3]], [515,[3]],
[610,[3]], [674,[3]], [842,[3]], [877,[3]], [1009,[3]], [1157,[3]], [1367,[3]], [1430,[3]],
[1901,[9,3]], [1934,[9]], [2153,[3]], [2255,[3]], [2678,[9]], [2822,[3]], [2986,[3]], [3014,[3]],
[5266,[3]], [5513,[3]], [6626,[9]], [6707,[3]], [6722,[3]], [6890,[3]], [7310,[3,3]], [7858,[27]],
[7919,[3]], [8101,[3]], [8465,[9]], [8555,[9]], [8738,[3]], [8761,[9]], [9410,[3]], [9634,[9,3]],
[9998,[3,3]], [11183,[3]], [11237,[3]], [11447,[3]], [11509,[27]], [11537,[3]], [11663,[3,3]],
[11965,[3]], [13427,[3]], [16895,[3]], [16913,[3,3]], [17266,[9]], [18530,[3]], [20066,[3]],
(...)]
[396877320323,[3]], [396922680323,[9]], [396934020730,[3]], [396945361297,[3]]]
#Vh = 40000

```

**7.2. Imaginary cyclic fields with non-trivial  $p$ -class group,  $p > 3$ .** Let  $\chi$  be the even character of order 2 defining  $K := \mathbb{Q}(\sqrt{M})$ , let  $p \geq 3$  and let  $L := K(\zeta_p)$  be the field obtained by adjunction of a primitive  $p$ th root of unity; we may assume that  $K \cap \mathbb{Q}(\zeta_p) = \mathbb{Q}$ , otherwise  $M = p$  in the case  $p \equiv 1 \pmod{4}$ , case for which there is no known examples of  $p$ -primary fundamental unit. Let  $\omega$  be the  $p$ -adic Teichmüller character (so that for all  $\tau \in \text{Gal}(L/\mathbb{Q})$ ,  $\zeta_p^\tau = \zeta_p^{\omega(\tau)}$ ).

Then, for any list of quadratic fields  $\mathbb{Q}(\sqrt{M})$  obtained by the previous F.O.P. algorithm giving  $p$ -primary units  $E$ , the  $\omega\chi^{-1}$ -component of the  $p$ -class group of  $L$  is non-trivial as soon as  $E \notin \langle \varepsilon_M^p \rangle$  and gives an odd component of the whole  $p$ -class group of  $L$ .

**Theorem 7.2.** *As  $t$  grows from 1 up to  $B$ , each first occurrence of a square-free integer  $M \geq 2$  in the factorization  $m(t) := p^4 t^2 - 4s =: Mr^2$ , the degree  $p-1$  cyclic imaginary subfield of  $\mathbb{Q}(\sqrt{M}, \zeta_p)$ , distinct from  $\mathbb{Q}(\zeta_p)$ , has a class number divisible by  $p$ , except possibly when the unit  $E_s(p^2 t) := \frac{1}{2}[p^2 t + r\sqrt{M}]$  is a  $p$ -th power in  $\langle \varepsilon_M \rangle$ .*

7.2.1. *Lists of 5-class groups of cyclic imaginary quartic fields.* The following program for  $p = 5$  verifies the claim with the above parametrized family testing if  $E_s(p^2t)$  is a  $p$ -power in  $\langle \varepsilon_M \rangle$ . For  $p = 5$ , the mirror field  $F_{5,M}$  is defined by the polynomial

$$P = x^4 + 5 * M * x^2 + 5 * M^2,$$

still giving a particular faster program than the forthcoming one, valuable for any  $p \geq 3$ :

```
LISTS OF 5-CLASS GROUPS OF QUARTIC FIELDS
{p=5;B=100;s=-1;Lp=List;Lh=List;p2=p^2;p4=p^4;for(t=1,B,
mt=p4*t^2-4*s;ut=p2*t/2;vt=1/2;C=core(mt,1);M=C[1];r=C[2];
res=Mod(M,4);D=quaddisc(M);w=quadgen(D);Y=quadunit(D);
if(res!=1,Z=ut+r*vt*w);if(res==1,Z=ut-r*vt+2*r*vt*w);z=1;n=0;
while(Z!=z,z=z*Y;n=n+1);P=x^4+5*M*x^2+5*M^2;K=bnfinit(P,1);
CK=K.cyc;C5=List;d=#CK;for(i=1,d,C1=CK[d-i+1];
val=valuation(C1,p);if(val>0,listinsert(C5,p^val,1));L=List([M,C5]);
listput(Lh,vector(2,c,L[c]));Vh=vecsort(vector(B,c,Lh[c]),1,8);
print(Vh);print("#Vh = ",#Vh);
for(k=1,#Vh,if(Vh[k][2]==List([]),listput(Lp,Vh[k]));Vp=vecsort(Lp,1,8);
print("exceptions:",Vp)}
s=-1
[M,C5]=
[[89,[5]],[509,[5,5]],[626,[25,5]],[629,[5,5]],[761,[5]],[2501,[5]],[3554,[25]],
[5626,[5,5]],[5629,[5]],[10001,[5]],[15626,[5,5]],[15629,[25]],[22501,[5]],
[30626,[5,5]],[30629,[5]],[40001,[5]],[50626,[25,5]],[50629,[5]],[62501,[25,25]],
[75626,[5]],[75629,[5]],[90001,[5,5]],[105626,[125,25]],[105629,[5,5]],
(...)]
[5175629,[125]],[5405629,[5]],[5640629,[5]],[5880629,[5]],[6125629,[5]]
#Vh = 100
exceptions:List([])

s=1
[M,C5]=
[[39,[5]],[51,[5]],[69,[5]],[114,[5]],[326,[5]],[434,[25]],[574,[5,5]],[674,[5]],[791,[5]],
[1086,[5]],[1111,[5,5]],[1406,[5]],[1761,[5]],[1914,[5,5]],[3981,[5]],[4171,[5,5]],
[5621,[5]],[8789,[5,5]],[10421,[5]],[11289,[5,5]],[13611,[5]],[14189,[5]],[15621,[25]],
[18906,[5]],[20069,[5,5]],[20501,[5,5]],[22499,[25,25]],
(...)]
[4730621,[25,5]],[5405621,[5]],[5640621,[25]],[5880621,[5,5,5]],[6125621,[5]]]
#Vh = 100
exceptions:List([])
```

Taking  $\mathbf{B} = 200$  with  $s = -1$  leads to the exceptional case [29, [ ]]. For  $s = 1$  one gets the exceptional case [21, [ ]].

7.2.2. *General program giving the  $p$ -class group of degree  $p - 1$  imaginary fields.* The following general program computes the defining polynomial  $P$  of the algebraic number field  $F_{p,M} := \mathbb{Q}((\zeta_p - \zeta_p^{-1})\sqrt{M})$ ; it tests if the unit  $E_s(p^2t)$  is the  $p$ th power in  $\langle \varepsilon_M \rangle$ , giving the list of exceptions. One has to choose  $p, \mathbf{B}, s$ :

```
LISTS OF p-CLASS GROUPS OF DEGREE p-1 IMAGINARY FIELDS I
{p=5;B=500;s=-1;Lp=List;Lh=List;Zeta=exp(2*I*Pi/p);p2=p^2;p4=p^4;
for(t=1,B,mt=p4*t^2-4*s;ut=p2*t/2;vt=1/2;C=core(mt,1);M=C[1];r=C[2];
res=Mod(M,4);D=quaddisc(M);w=quadgen(D);Y=quadunit(D);
if(res!=1,Z=ut+r*vt*w);if(res==1,Z=ut-r*vt+2*r*vt*w);z=1;n=0;
while(Z!=z,z=z*Y;n=n+1);P=1;for(i=1,(p-1)/2,A=(Zeta^i+Zeta^-i-2)*M;
P=(x^2-A)*P);P=round(P);k=bnfinit(P,1);Ck=k.cyc;Cp=List;d=#Ck;
for(i=1,d,C1=Ck[d-i+1];val=valuation(C1,p);if(val>0,listinsert(Cp,p^val,1));
L=List([M,Cp]);listput(Lh,vector(2,c,L[c]));Vh=vecsort(vector(B,c,Lh[c]),1,8);
print(Vh);print("#Vh = ",#Vh);
for(k=1,#Vh,if(Vh[k][2]==List([]),listput(Lp,Vh[k]));Vp=vecsort(Lp,1,8);
print("exceptions:",Vp)}
s=-1
[M,Cp]=
[[29,[ ]],[89,[5]],[509,[5,5]],[626,[25,5]],[629,[5,5]],[761,[5]],[2501,[5]],[3554,[25]],
[5626,[5,5]],[5629,[5]],[10001,[5]],[15626,[5,5]],[15629,[25]],[19109,[5]],[22061,[5,5]],
[22501,[5]],[30626,[5,5]],[30629,[5]],[40001,[5]],[42341,[5]],[50626,[25,5]],
[50629,[5]],[62501,[25,25]],[70429,[25]],[75626,[5]],[75629,[5]],[82234,[5]],
[90001,[5,5]],[105626,[125,25]],[105629,[5,5]],[122501,[5]],[140626,[5]],[140629,[5,5]],
(...)]
[147015629,[5]],[148230629,[5]],[149450629,[5]],[150675629,[5,5,5]],
[151905629,[5]],[153140629,[5]],[154380629,[5]],[155625629,[5,5]]]
#Vh = 500
```

```

exceptions:List([[29,[]]])
s=1
[M,Cp]=
[21,[]],[39,[5]],[51,[5]],[69,[5]],[114,[5]],[326,[5]],[434,[25]],[514,[5]],[574,[5,5]],
[581,[5,5]],[674,[5]],[791,[5]],[874,[5]],[1086,[5]],[1111,[5,5]],[1191,[5]],[1351,[25]],
[1406,[5]],[1641,[5]],[1761,[5]],[1851,[5]],[1914,[5,5]],[2399,[5]],[2599,[25]],
[3251,[25]],[3981,[5]],[4171,[5,5]],[5474,[5]],[5621,[5]],[5774,[5]],[8294,[25,5]],
[8789,[5,5]],[10421,[5]],[11289,[5,5]],[13611,[5]],[14189,[5]],[15621,[25]],
(...)
[141015621,[5,5]],[142205621,[5,5]],[143400621,[25,5]],[144600621,[25,5]],
[145805621,[25]],[149450621,[5]],[150675621,[5,5]],[151905621,[5]],
[153140621,[5]],[155625621,[625,5]]
#Vh = 500
exceptions:List([[21,List([])]])

```

In this interval, all the 5-class groups obtained are non-trivial, except for  $s = -1$  and  $M = 29$ , then for  $s = 1$  and  $M = 21$ . From Remark 1.5, we compute:

$$\log(155625629)/\log(5^4 \cdot 25 \cdot 10^4) \approx 0.99978777.$$

Theorem 6.4 gives possible exceptions up to  $M_{\mathbf{B}}^{\frac{1}{5}} = 155625629^{\frac{1}{5}} \approx 43.49268545$ .

One observes the spectacular decrease of counterexamples and the unique exception with  $s = -1$ , obtained for  $t = 151$ ,  $p^2t = 25 \cdot 151 = 3775$ ,  $m_{-1}(3775) = 701^2 \times 29$ ; whence the PARI data:

$Y = \text{Mod}(1/2 * x + 5/2, x^2 - 29)$ ,  $Z = \text{Mod}(2646275/2 * x + 14250627/2, x^2 - 29)$   
(for  $\varepsilon_{29}$  and  $E_{-1}(3775)$ , respectively). One obtains easily the relation  $E_{-1}(3775) = \varepsilon_{29}^{10}$ .  
The case  $s = 1$ ,  $M = 21$  is analogous.

Consider the case  $p = 7$ ,  $s \in \{-1, 1\}$ ; exceptionally, we give the complete lists:

```

p=7 B=100 s=-1
[M,Cp]=
[[37,[7]],[2402,[7]],[2405,[7]],[4706,[7]],[9605,[7]],[10357,[7]],
[11621,[49,7]],[21610,[7,7]],[21613,[7,7]],[38417,[7]],[60026,[7,7]],
[60029,[7]],[86437,[7,7]],[98345,[7]],[117653,[7]],[146077,[7]],
[153665,[7,7]],[177578,[7,7]],[194482,[7,7]],[194485,[49,7]],
[240101,[7]],[290522,[49]],[345745,[49]],[357365,[7]],[405770,[7,7]],
[405773,[49,7]],[470597,[7,7]],[540226,[7]],[540229,[7,7]],
[614657,[7,7]],[693890,[7,7]],[693893,[7]],[760733,[7,7,7]],
[866762,[7,7]],[866765,[7,7]],[960401,[7,7]],[1058842,[7]],
[1058845,[7,7]],[1162085,[49,7]],[1270130,[49,7,7]],[1270133,[7]],
[1382977,[7,7]],[1500626,[49]],[1500629,[7]],[1623077,[7]],
[1750330,[7]],[1882385,[7]],[2019242,[49]],[2019245,[7,7]],
[2160901,[7]],[2307362,[343]],[2307365,[7,7]],[2614690,[7,7]],
[2614693,[7]],[2775557,[7]],[2941226,[7]],[2941229,[49]],
[3111697,[7]],[3286970,[7]],[3286973,[7,7]],[3467045,[7]],
[3651922,[7]],[3841601,[7]],[4036082,[7]],[4036085,[49]],
[4235365,[7]],[4439453,[49]],[4648337,[49,7]],[4862026,[7,7]],
[4862029,[7]],[5080517,[7,7]],[5303810,[7]],[5303813,[7]],
[5531905,[7]],[5764802,[7,7]],[5764805,[7]],[6002501,[7]],
[6245005,[7,7]],[6744413,[49,7]],[7263029,[7]],[7800853,[7]],
[8357885,[7]],[9529573,[49,7,7]],[10144229,[7]],[10778093,[7,7]],
[11431165,[49]],[12103445,[49,7]],[12794933,[7]],[13505629,[7]],
[14235533,[7]],[14984645,[7]],[15752965,[7]],[16540493,[7]],
[17347229,[7]],[18173173,[7,7,7]],[19882685,[7]],[20766253,[7]],
[21669029,[7,7]],[22591013,[7]],[23532205,[7,7]]
#Vh = 100
exceptions:List([])

```

```

p=7 B=100 s=1
[M,Cp]=
[[6,[7]],[741,[7,7]],[817,[7,7]],[1067,[7,7]],[1517,[49]],[2302,[49]],
[2397,[49]],[3477,[7]],[3603,[49,7]],[5402,[2401,7]],[5645,[7,7]],
[8070,[49]],[8441,[7,7]],[10421,[7]],[10842,[7,7]],[12155,[7]],
[13702,[7]],[15006,[49]],[21605,[7,7]],[27165,[7]],[35003,[7]],
[38415,[7]],[42803,[7]],[43637,[7]],[45085,[49]],[55319,[7]],
[56090,[7,7]],[63269,[7]],[64923,[7]],[68295,[7]],[70013,[7]],
[79383,[7]],[86435,[7]],[101442,[7]],[106711,[7]],[117645,[49,7]],
[144210,[49]],[153663,[7,7]],[163418,[7]],[194477,[7]],[216690,[7,7]],
[228245,[7]],[240099,[49,7]],[252255,[7,7]],[264710,[7]],
[290517,[49,7]],[308395,[7]],[345743,[7]],[437582,[7,7]],
[448453,[49,7]],[470595,[7]],[511797,[7]],[540221,[7]],

```

```
[640533, [7, 7]], [693885, [7]], [735306, [49, 7]], [777923, [7]],
[821742, [7]], [866757, [7]], [928653, [49]], [1058837, [49, 7]],
[1162083, [7]], [1197565, [343]], [1215506, [7, 7]], [1500621, [7, 7]],
[1882383, [49, 7]], [1927469, [7]], [2019237, [7]], [2160899, [7]],
[2407669, [7]], [2458623, [49]], [2614685, [7, 7]], [2941221, [7, 7]],
[3111695, [7]], [3651917, [7]], [3841599, [7, 7]], [4439445, [7]],
[4648335, [7]], [4862021, [49, 49, 7]], [5080515, [7]], [5303805, [7]],
[5531903, [7]], [6002499, [7]], [6244997, [7]], [6744405, [7, 7]],
[7263021, [7, 7]], [7800845, [7]], [8934117, [7]], [9529565, [7]],
[10144221, [7]], [11431157, [7]], [13505621, [7]], [14984637, [7]],
[16540485, [7]], [18173165, [7]], [19018317, [7]], [19882677, [7]],
[20766245, [7]], [22591005, [7]], [23532197, [7]]]
#Vh = 100
exceptions:List([])
```

Of course,  $\mathbf{B} = 100$  is insufficient to give smaller Kummer radicals, but it is only a question of execution time and memory due to the instruction `bnfinit(P, 1)` for  $P$  of degree  $p - 1$ . It is clear that the same program for the F.O.P. algorithm, without computation of the  $p$ -class group, gives unlimited lists of degree  $p - 1$  imaginary cyclic fields with non-trivial  $p$ -class group, as soon as  $M > M_{\mathbf{B}}^{\text{pow}}$  (cf. Theorem 6.4):

```
LISTS OF p-CLASS GROUPS OF DEGREE p-1 IMAGINARY FIELDS II
{p=7;B=10^5;s=1;LM=List;p4=p^4;for(t=1,B,mt=p4*t^2-4*s;
M=core(mt);L=List([M]);listput(LM,vector(1,c,L[c])));
VM=vecsort(vector(B-(1+s),c,LM[c]),1,8);
print(s);print(VM)}
s=-1
[M]=
[37], [53], [74], [149], [554], [1373], [2237], [2402], [2405], [3026], [3242], [4706], [5882],
[7373], [9605], [10357], [11621], [18229],
(...)
[24006638717653], [24007599060029], [24008559421613], [24009519802405]]
s=1
[M]=
[5], [6], [101], [145], [206], [215], [570], [629], [663], [731], [741], [817], [887], [894], [1067],
[1207], [1389], [1517], [1893], [2085], [2162],
(...)
[24004718090517], [24005678394477], [24006638717645], [24008559421605]
```

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