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Marie Choël, Nicolas Visez, Xavier Secordel, Karine Deboudt

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ATMOSPHERIC PARTICULATE MATTER DEPOSITION ON BIRCH CATKINS AND POLLEN GRAINS BEFORE POLLINATION

Abstract

 Birch is the most allergenic tree species in northern and central Europe. Airborne birch pollen concentrations are observed to be on the rise for several decades. Health hazard due to birch pollen grains (BPGs) can worsen due to particulate air pollution. The prevalence of the intimate mixture of BPGs with atmospheric particulate matter (APM) at the single pollen grain level is still unraveled. In this study, APM transfer mechanisms to birch catkins and BPGs prior to pollination were investigated at an urban-industrial and a peri-urban site in northern France. The surface of catkins was heavily polluted with micrometer-sized particles. Conversely, BPGs were relatively 20 unpolluted with on average 0.1 particle.BPG⁻¹. Differences in the chemical composition of adhered particles were observed as a function of the sampling sites. In contrast, no significant difference was found in terms of surface concentrations of APM adhered to BPGs and catkins between the two sites. Comparison of the number of particles deposited per pollen grain according to whether they were harvested from catkins or collected while airborne suggests that particulate pollution of pollen occurs preferentially after pollen shedding, either by impaction or coagulation mechanisms with other suspended APM or by pollen settling on dust-contaminated surfaces followed by resuspension.

1. Introduction

 Birch is the tree from the Betulaceae family that is the main source of allergenic tree pollen in northern and central Europe (Biedermann et al. 2019). Birch pollen is produced in the flowers grouped in male catkins; each catkin can produce 7 to 37 mg of pollen (Erdtman 1943; S. C. Jochner et al. 2011; Thomas 2000). Considering that an adult tree has at least several hundred catkins, considerable quantities up to a few tens of grams of pollen are emitted by each birch tree in a short period of time (a few days) during pollination (Erdtman 1943; Proctor et al. 1996). Birch pollen in the atmosphere very frequently reach concentrations in the order of 1,000 to 10,000 BPGs per cubic meter of air (Ranta et al. 2008). Allergy to birch pollen is widespread with more than 100 million people worldwide sensitive to this pollen (Lavaud et al. 2013). Symptoms can start in the pollen season with an ambient pollen concentration as low as 30 BPG.m-3 (Caillaud et al. 2014), and between 0.1 to 0.5 mg BPGs can be inhaled per individual per week during pollination (Lavaud et al. 2013).

 Air pollution can affect different aspects of birch metabolism, including reproduction; the effects of ozone on birch have been most extensively studied (Darbah et al. 2008; Fry and Black 1973; Günthardt-Goerg et al. 1993; Karlsson et al. 2003; Matyssek et al. 1991, 1997; Mortensen and Skre 1990; Oksanen et al. 2005). For example, ozone was correlated with delayed birch reproductive phenology and 49 growth (S. Jochner et al. 2015; Karlsson et al. 2003). Severe industrial pollution (SO₂) and heavy metals) did not have significant observable effects on the reproduction of *Betula pubescens* in terms of number of reproductive trees, reproductive effort and catkin weight (Kozlov and Zvereva 2004). A similar conclusion was reached on the effects of industrial pollution on the amount of major allergens in *Betula* pollen (Helander et al. 1997). An increase in carbon dioxide concentration had a largely positive effect on the reproduction and regeneration of *Betula papyrifera*, whereas high ozone concentrations had an inverse effect on this species (Darbah et al. 2008). Increased concentrations of Bet v 1, the major birch pollen allergen, were observed in *Betula* pollen on trees located in urbanized areas compared to trees located at the urban fringe (Žiarovská et al. 2013). This increased load of allergens is to be placed in a context of increasing exposure to BPGs due to pollen concentrations observed to be on the rise for several decades in different places (Besancenot et al. 2019; Frei 1998; Frei and Gassner 2008; Hoebeke et al. 2017).

 To our knowledge, the deposition rate of atmospheric particulate matter (APM) on birch has not been studied, whereas the deposition of APM has been the subject of a large number of studies on many other trees (Litschke and Kuttler 2008). Plant exposure to APM can result in the following direct damage: plant growth reduction, altered leaf surface structure, abrasion, radiative heating, reduction of photosynthesis (Grantz et al. 2003; Rai et al. 2010). Particles can also settle on pollen in the inflorescences before or during pollination (Visez et al. 2020). Particles deposited on PGs may affect their germination capacity and allergy risk (Visez et al. 2020).

 Under field conditions, no relationship could be established between APM concentrations and the germination of PGs from *Cichorium intybus* (Jaconis et al. 74 2017). Pollen viability in *Pinus nigra* was negatively correlated with SO₂, PM₁₀ and NO^x concentrations (Gottardini et al. 2004). However, laboratory exposures have identified certain types of particles with effects on the reproduction capacity of PGs: fullerene nanoparticles totally inhibit PG germination in *Camellia japonica* (Aoyagi and Ugwu 2011), silver nanoparticles decrease viability in *Actinidia deliciosa* (Speranza et al. 2013), graphene oxides nanoparticles affect germination in *Nicotiance tabacum* (Carniel et al. 2018), silver nanoparticles significantly reduce PG germination in *Peltophrum ptecocarpem* (Dutta Gupta et al. 2019), palladium nanoparticles strongly inhibit germination in *Actinidia deliciosa* (Speranza et al. 2010). These laboratory experiments also raise the crucial question of the dose of particles to be applied to pollen to represent a realistic *in vitro* environmental exposure. However, quantitative studies on the deposition of APM on PGs, which would make it possible to determine these doses, are particularly lacking or present positive artefacts related to the sampling method of PGs (Choël et al. 2020; Visez et al. 2020). A link between atmospheric concentrations of APM and plant reproduction has not yet been formally demonstrated, although the deleterious effect of gas pollution on pollen performance is widely accepted (Jaconis et al. 2017; Sénéchal et al. 2015; Wolters and Martens 1987).

 The lack of quantitative data on APM polluted PGs does not allow to understand the role of such surface pollution by particles in allergy and asthma (Visez et al. 2020). However, co-exposure to APM and bioaerosols is associated with increased inflammation, lung disease and exacerbation of symptoms (Mack et al. 2019). Laboratory exposure of birch-allergic basophils to organic extracts from urban

 aerosols suggests a role of particle-borne organic compounds in the aggravation of IgE-mediated allergic diseases (Schober et al. 2006). There is no doubt that APM worsens asthma, although the underlying mechanisms are not fully elucidated (Polosa 2001).

101 Recently, sampling of BPGs in low-polluted urban air (average PM10 = $26.3 \text{ µg} \cdot \text{m}^{-3}$) has enabled to estimate the average pollution of BPGs at 0.86 particles deposited per PG (Choël et al. 2022). Airborne sampling of BPGs does not allow to elucidate timing of pollution of BPGs surface by APM. This work contributes to a better understanding of APM transfer mechanisms to PGs. Male catkins were collected just before pollination on two birch trees, one located in a city with a strong industrial influence and the other one located in a peri-urban area. Catkins and PGs extracted from inflorescences were observed by scanning electron microscopy (SEM) for examination of particles adhering to their surfaces. Elemental mappings of particulate pollutants on catkins and BPGs were performed by X-ray detection (EDX). This study aims at exploring possible correlations between the particulate contamination of catkins and the pollution degree of PGs from which they were extracted from, depending on the environment in which the parent trees grow.

2. Materials and methods

Sampling locations

 Figure 1: The two sampling locations situated 4.71 km apart from each other in Dunkirk conurbation and local wind rose from July 2017 to April 2018. The urban- industrial (URB-IND) and peri-urban (PERI-URB) areas are delimited in blue and red, respectively.

 The air quality in the port of Dunkirk is influenced by both industrial (metallurgical plants, oil refineries, chemical factories) and urban (>200,000 inhabitants) activities close to two busy motorways (Figure 1). However, the average concentrations of regulated pollutants (Table 1) remain below the WHO guidelines owing to a good dispersion of pollutants by prevailing southwestern winds (Figure 1). Catkins were collected at two separate sites shown on the map (Figure 1), one located in the city with a strong industrial influence (URB-IND site: 51°01'36.1"N 2°20'03.0"E), the air quality monitoring station being located 580 m north of this site, and the other in the peri-urban area (PERI-URB site: 51°00'27.1"N 2°23'39.1"E). Catkins were collected 130 during the pollination period, just before dehiscence, on the $11th$ and $16th$ April 2018 for the urban-industrial and peri-urban sites, respectively. Rainfall intensity prior and during this period was relatively low (Figure 2).

133 Table 1: Mean daily values of PM_{10} , NO_2 , SO_2 and O_3 from 1st January to 16th April 2018 measured at the air quality monitoring station located in Dunkirk (data from Atmo Hauts-de-France).

Figure 2: Daily precipitation just before the pollination period marked by a blue area.

Analysis of atmospheric particles adhered on catkins and pollen grains

 After sampling, catkins were allowed to dry in laboratory room temperature. Pollen grains were extracted from catkins by tapping catkins above a glass Petri dish. Before analysis, samples were stored at -80°C in a sealed glass Petri dish to avoid contamination by atmospheric particles. Pollen grains were sprinkled over carbon adhesive tape and catkin bracts were gently spread on carbon adhesive tape. A 10-nm layer of chromium was sputtered on samples (Q150T ES sample preparation system, Quorum Technologies).

 Individual analyses of particles adhered on pollen and bract surfaces were performed by Scanning Electron Microscopy with Energy-Dispersive X-ray spectrometry acquisition (SEM-EDX), using a JEOL 7100F FEG-SEM equipped with three 149 ultrathin-window (30 mm²) energy-dispersive X-ray detectors (Bruker XFlash $6/30$), 150 enabling the analysis of elements with atomic number higher than boron $(Z \ge 5)$. Spectral acquisitions were performed at 15 kV, 300 pA for 20 s. The particle elemental composition is calculated by normalization of elemental mass percent (PhiRhoZ quantification) without carbon and oxygen considered as major elements in pollen and bracts. The total number of X-ray counts associated with the detected elements (without C and O) gives their ratio compared to carbon and oxygen in the analyzed particles. For the same particle size, a very low total number of counts indicate a high contribution of carbon and oxygen.

 The particle equivalent diameters were deduced from their projected area considering particles as spherical. Considering the low particle density on the surface of PGs, manual analysis of particles larger than 60 nm was performed on several thousands of individual PGs. For catkin inspection, particles larger than 500 nm were automatically detected and analyzed on the bracts. The resulting databases (particle size, morphology and elemental composition) were then statistically analyzed by Hierarchical Clustering Analysis (HCA) with the FactoMineR software, gathering in clusters particles with similar elemental compositions. Chemical elements with an average mass contribution lower than 5% in all clusters are not presented in this study.

3. Results

Bract surface analysis

 Catkins were collected just before dehiscence and noticeably no BPG was observed on the surface of the bracts (Figure 3). APM deposited on the bract surfaces have average particle diameters consistent with an atmospheric origin: 1.56±0.96 µm and 1.60±1.18 µm for the urban-industrial (URB-IND) and peri-urban (PERI-URB) sites, respectively. Particle spatial distribution on the surface of catkins is very heterogeneous within the same catkin (Figure 3). Thus, the particle surface density was not calculated on bracts but for PGs only. The particles observed on the surface of bracts were grouped into 9 clusters using Hierarchical Clustering Analysis (clusters C1 to C9 in Figure 4). Clusters C1 to C8 correspond to groupings of particles of similar compositions, while cluster C9 corresponds to a mixture of various particles not attributable to any of the other clusters. Clusters C1 to C3 are common to the particles deposited on catkins from the two sites and correspond to iron oxides, more or less enriched in calcium for C1 and C3, and iron-enriched aluminosilicates in the case of cluster C2. These particles represent respectively 60% and 33% of the particles observed on the surface of bracts of catkins from the URB- IND and PERI-URB sites. While clusters C4 and C5 made up of calcium-rich particles are characteristic of the URB-IND site, particles from clusters C6 to C8 are specific to the PERI-URB site and predominate at this site representing 64% of the particles analyzed. The low number of X-ray counts associated with these particles from clusters C6 to C8 (Figure 4) and their low chemical contrast in SEM images 190 from the backscattered electrons indicate that they are carbonaceous particles 191 containing calcium, chlorine and potassium as minor elements.

192

193 Figure 3. Typical SEM images (chemical contrast mode) of catkin bracts sampled at 194 URB-IND and PERI-URB sites.

 Figure 4: Relative contribution and elemental composition (total counts and relative mass % without C, N and O) of particles larger than 500 nm observed on catkin surfaces at URB-IND site (619 analyzed particles) and PERI-URB site (438 analyzed particles).

Surface analysis of pollen grains

 The level of particle deposition on PGs is identical between the two sampling sites (Table 2). Between 5 and 7% of the PGs were observed with at least one particle on 204 their surface. The average number of particles per pollen grain is 0.1 particle. PG^{-1} . However, this is a minimum value because only the visible surface of PGs was observed; a reasonable approximation would be to double this value considering firstly that the analysis is carried out on half of the surface and secondly that the particulate pollution is homogeneous between the visible surface and the non-visible surface.

 Particles deposited on PGs range in size from a few tens of nanometers to several micrometers. About 25% of the observed particles are smaller than 500 nm. As an example, Figure 6 shows particles observed on the surface of PGs with their corresponding EDX spectra. Orbicules, which are rather rare on *Betula* pollen, were not observed on the surface of the analyzed PGs (Ruggiero and Bedini 2018).

 Table 2. Counts of particles deposited on pollen grains (PGs) extracted from catkins harvested in a peri-urban (PERI-URB) and an urban-industrial (URB-IND) site.

 The particles deposited on the surface of BPGs were grouped into 4 clusters using 219 Hierarchical Clustering Analysis (clusters $C\alpha$ to $C\delta$ in Figure 7). Clusters $C\alpha$, $C\beta$ and 220 C δ are common to samples from both PERI-URB and URB-IND sites. Cluster C γ is 221 characteristic of the URB-IND site. Particles making up cluster C_Y were not detected on the bracts but it should be noted that they correspond only to a very small number of particles present on the surface of the BPGs (3 particles observed out of 42). Also, considering the total number of particles observed on PGs, there is no statistically significant difference in the relative contribution of clusters between PERI-URB and URB-IND sites.

227 The main elements detectable on the surface of BPGs without particulate pollution 228 (i.e., P, S, K, Na and Ca) are consistent with analyses reported in the literature for 229 BPGs (Cerceau-Larrival et al. 1991; Nilsson and Berggren 1991).

230

231 Figure 6. SEM images of a pollen grain from PERI-URB and URB-IND sites with a

232 Ca- and an Fe-containing particle attached, respectively (BSE: chemical contrast

233 mode, SE: topographic contrast mode), and EDX spectra of these particles (Cr* is

234 from the sample metal coating).

236

237 Figure 7. Relative contribution and elemental composition (relative mass % without 238 C, N and O) of particles larger than 500 nm observed on birch pollen grain (BPG) 239 surface at PERI-URB site (42 analyzed particles) and URB-IND site (40 analyzed 240 particles). The average elemental composition (n=12) of clean BPGs (relative mass % 241 without C, N and O) is also presented.

242 **4. Discussion**

 Atmospheric particles are known to settle on plants with an average deposition 244 velocity of 1 cm.s⁻¹ (Litschke and Kuttler, 2008). Surface analyses of catkins show that male inflorescences of birch are actually contaminated with atmospheric particles. The sampling at the urban-industrial site (URB-IND) is characterized by a significant fraction of particles from cluster C1 with iron as dominant element; these Fe-bearing particles most probably come from steelwork emissions from large metallurgical plants located in Dunkirk (Alleman et al. 2010; Cazier et al. 2011). The sampling at the URB-IND site is also marked by calcium-rich particles (cluster C5) which are emitted by cement factories and steelworks which use CaCO₃ in desulphurization processes (Cazier et al. 2011). The clusters characteristic of carbonaceous particles observed in the peri-urban sample (clusters C6 to C8) have in common predominant calcium, potassium and chlorine. It is not possible to clearly determine the sources of these particles from these elements alone. At most, we can suggest a combined influence of industrial and urban activities. The low presence of marine aerosols (typically sodium-rich particles) may be due to their washout by rainfall during the few days prior to collection (Figure 2), as these particles are highly water-soluble.

 BPGs collected from catkins harvested just before dehiscence show low particulate pollution in the order of 0.1 particle per PG (Table 2). The pollution level of PGs did not differ significantly according to the location of the tree (i.e. in urban-industrial or peri-urban areas). Moreover, the particles analyzed on the surface of PGs have relatively similar EDX spectra for the two sampling areas. Only a specific pollution by particles containing barium was observed in the samples from the URB-IND industrial site (cluster C, Figure 7), although the relative contribution of these particles is not significantly different between the two sites studied. Barium is a 268 characteristic element of automobile transport, but barite $(BaSO₄)$ is also used in some industrial processes (Monaci and Bargagli 1997).

 Adhesion of particles on PGs can take place under different circumstances: when pollen is still on the parent plant, during pollen atmospheric transport or during pollen settling and resuspension cycles (Visez et al. 2020). The relative contribution of these three mechanisms on the deposition of APM on PGs was previously completely unknown (Visez et al. 2020). By comparing the surface concentration of APM on BPG from this work and the one from the work of Choël et al. (2022), the deposition of APM on BPGs appears to take place mainly during the windborne transport of PGs. More precisely, a number of particles more than 8 times higher was observed on BPGs collected directly from the atmosphere (BPGs carried an average of 0.86 particles) compared to BPGs collected from catkins (0.1 particle per PG) (Choël et al. 2022). The study by Choël et al (2022) was carried out under conditions 281 of similar air particulate load: $26.3 \mu g.m^{-3}$ for PM10. The relative significance of contamination by coagulation processes between windborne PGs and APM by deposition-resuspension still remains unknown.

 To our knowledge, no other quantitative study has investigated PM pollution of PGs directly in inflorescences. Several reports of particulate pollution of the surface of PGs have been made for several plant species and under different contamination conditions but without specifying the number of particles per PG (Visez et al. 2020). In this work, PGs were extracted from catkins following a protocol used in previous qualitative studies on the pollution of PGs in inflorescences (Azzazy 2016; Guedes et al. 2009; Namjoyan et al. 2020; Sedghy et al. 2016). This protocol may induce a positive artifact when handling catkins in the laboratory. Catkins are indeed covered in particles and shaken (in some earlier works catkins were sometimes crushed) in order to extract PGs. Some particles may detach from the surface of catkins during shaking and be redeposited on simultaneously released PGs. Moreover, as is common in this type of work, catkins were collected before dehiscence so as to collect a maximum of PGs. However, this practice could minimize particulate pollution of PGs which are in the case of birch still enclosed in the bracts at this stage of maturation. In real conditions, exposure of PGs to particulate air pollution occurs during dehiscence when bracts split open exposing enclosed PGs to air pollutants hence offering less protection to PGs. We suggest a future study involving pollen sampling with a PM10 impactor located in the immediate vicinity of the parent tree and under prevailing winds. The presence of PGs transported from other more distant sources cannot be prevented using such sampling scheme, but most of them would come from the nearest source where concentrations much higher than ambient concentrations are expected.

 We propose three mechanisms by which atmospheric particles can be deposited on BPG prior to atmospheric transport (Figure 8): (a) wet deposition, (b) dry deposition, and (c) electrostatic interaction during pollen blow-off. The surface of catkins was coated with particles with the impossibility of distinguishing between wet and dry deposition. At most, it could be hypothesized that PGs were probably protected from rain to prevent abortive germination within the catkin (Grote et al. 2003). The influence of the electrostatic environment of a PG and its electrical charge on its transport and interactions with other atmospheric particles is far from being understood and relatively little studied (Harrison and Carslaw 2003). The deposition mechanism by electrostatic adhesion during dehiscence (Figure 8c) is

 speculative, but is based on observed interactions in the atmosphere between positively charged combustion particles and globally negatively charged bioaerosols (Roos and Dutertre-Laduree 1990). Plants are indeed on overall negatively charged which could enhance the capture of some positively charged APM (Bowker and Crenshaw 2007a, 2007b; Niklas 1985; Vaknin et al. 2000). On the other hand, the electric charge of PGs just after shedding is slightly positively shifted for some plant species (Bowker and Crenshaw 2007a). Pollen sampling close to plants using an impactor, as previously suggested, could make it possible to provide insight into the effects of electrostatic interactions. Furthermore, it cannot be ruled out that the sampling method used in the laboratory could affect electrostatic interactions that 326 could facilitate adhesion of metallic particles such as clusters $C\beta$ or $C\gamma$ containing iron or barium respectively (Figure 7).

 Figure 8. Schematic representation of the mechanisms of transfer of atmospheric particles to BPGs in catkins or during pollen blow-off: (a) wet deposition (b) dry transfer by impaction or sedimentation (c) electrostatic interactions during PG release from catkin.

 The effects of particulate pollution of PGs on asthma and allergy are still unknown. Although the deposition rate observed is low (0.1 particle per PG) the chemical nature of particles could play a greater role than the total mass of deposited particulate matter. The correlation between pollen concentrations and daily clinic visits for pollinosis is even more significant with higher concentrations of some 338 chemical constituents of PM2.5 such as non-sea salts Ca^{2+} or SO_4^{2-} (Phosri et al.

 2017). Several studies showed an enhancing or aggravating effect of particulate air pollution on allergy and asthma. For example, epithelial cells exposed to lead- contaminated BPGs showed an increase in IL-5 gene expression (Bellanger et al. 2012). Furthermore, particles on the surface of PGs with particularly fine sizes (60 nm minimum) were observed here for the first time. Health effects of nanoparticles in combination to allergens remain largely unknown.

 As regards potential effects on germination, several examples of negative effects of particles containing various metals on the germination or viability of pollen of different species are reported in laboratory studies (Dutta Gupta et al. 2019; Speranza et al. 2007, 2010, 2013; Wang et al. 2014). More nuanced conclusions on the effect of industrial pollution on the germination capacity of PGs have been drawn from field works (Helander et al. 1997; Kozlov and Zvereva 2004). Laboratory studies seeking to evaluate the effects of APM on in vitro germination should use realistic amounts of particles deposited on pollen as close as possible to those observed in the atmosphere (0.86 particles per PG) (Choël et al. 2022). Finally, to understand the overall effects of APM on birch reproduction, the deposition of particles on female catkins should be further investigated.

5. Conclusion

 PGs collected directly from catkins on trees growing in an urban-industrial or peri- urban environment are very little polluted (0.1 particle per PG) despite a significant contamination of the surface of catkins by particles, some of which are of industrial origin. In comparison, the particulate pollution of airborne BPGs suggests that they are polluted mostly during transport (0.86 particles per PG). The extent of particulate contamination might be correlated with the residence time of pollen in the atmosphere. The significance of particulate pollution of the pollen surface on allergic sensitization or on exacerbation of symptoms is not unraveled simply because individual exposure to polluted pollen is not known. At a time when automatic real-time counting and identification of pollen in the atmosphere is now operational, a next step in these instrumental developments would be to assess in real-time the pollution degree of single PGs.

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