

Improving the environmental compatibility of enzymatic synthesis of sugar-based surfactants using green reaction media

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▶ To cite this version:

Marie Vuillemin, Eric Husson, Sylvain Laclef, Arash Jamali, Virginie Lambertyn, et al.. Improving the environmental compatibility of enzymatic synthesis of sugar-based surfactants using green reaction media. Process Biochemistry, 2022, 117, pp.30-41. 10.1016/j.procbio.2022.03.015. hal-03691944

HAL Id: hal-03691944

https://hal.science/hal-03691944

Submitted on 13 Jun 2022

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1 Improving the environmental compatibility of enzymatic

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3 media

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19 **Declaration of Interest statement**

20 The authors declare no competing financial interest.

21 Funding

- 22 This research was supported by the Interreg France-Wallonie-Vlaanderen through the
- ValBran project with the support of the European Regional Development Fund
- (www.valbran.eu).

ABSTRACT

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26 The enzymatic synthesis of sugar-based surfactants is often performed in non-conventional 27 media that do not meet longer satisfy the current environmental acceptability, especially 28 biodegradability and cytotoxicity. In this work, we propose an innovative sustainable route by 29 replacing the current reference organic solvent, 2-methyl-2-butanol (2M2B), by 2-30 methyltetrahydrofuran (MeTHF), an agrosolvent and 2-methyltetrahydrofuran-3-one 31 (MeTHF-3-one), a food-grade ingredient used as solvent. These two neoteric solvents were 32 thus evaluated as reaction media via lipase-catalyzed esterification of glucose by lauric acid 33 and revealed a novel matter of interest. The regioselectivity of the reaction was mainly 34 directed toward the primary alcohol of glucose maintaining the end-product obtained in 35 2M2B: D-glucose-6-O-laurate. The PLS-Surface Response Design evidenced enzymatic performances in ester production of 48% in MeTHF and 79% in MeTHF-3-one. The latter 36 37 solvent resulted not only in better yields compared to 2M2B, but also in an increased 38 enzymatic stability allowing better reuse of the catalyst. Demonstrated to be readily 39 biodegradable according to OECD standards for the first time, MeTHF-3-one was 40 substantiated as a green medium for efficient, selective and sustainable enzymatic synthesis of 41 sugar esters.

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KEYWORDS

- readily biodegradable solvent, 2-methyltetrahydrofuran, 2-methyltetrahydrofuran-3-one,
- 45 lipase, glucose laurate, PLS surface response design

INTRODUCTION

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47 The development of innovative and environmentally friendly strategies for biomass 48 transformation into market products falls within the scope of bioeconomy. Glycolipids 49 represent a significant part of the global market from biorefinery as biocompatible and bio-50 based molecules of interest exhibiting for some of them surfactant properties [1]. These non-51 ionic biosurfactants offer numerous applications as detergents and wetting agents in the 52 industry [2]. Moreover, they can exhibit antimicrobial, antiviral or antitumoral properties [3] 53 which make them suitable candidates for cosmetic, pharmaceutic, therapeutic formulations 54 and biocontrol for crop protection [4]. Sugar fatty acid esters can be obtained by the chemical 55 esterification route which often does not meet environmental safety criteria in regard of eco-56 cytotoxic organic solvents and hazardous chemical reagents use [5]. Sugar fatty acid esters 57 can also be produced microbiologically with some drawbacks such as stains selection 58 regulation or numerous purification steps [6]. As an alternative, enzymatic esterification 59 reactions have been widely studied with lipases (EC 3.1.1.3) as biocatalysts due to the 60 selectivity, the efficiency and the more sustainable reaction conditions in comparison to 61 chemical synthesis since the pioneered works of Klibanov of lipase-catalyzed sugar-esters 62 synthesis [7]. Enzymatic route offers regioselectivity, catalyst reusability, substrates 63 versatility while decreasing by-products [8]. However, solubility of both sugar and acyl donor 64 in the same medium still remains a constraint for the enzymatic catalysis limiting hydroxyl 65 groups reactivity [9]. In addition, rational solvent selection must be performed keeping in mind the need to maintain enzymatic activity and eco-cytotoxicity/biodegradability 66 67 considerations [10–12]. Enzymatic synthesis of sugar esters has long been performed in organic solvents such as dimethyl sulfoxide, dimethyl formamide and pyridine, in which both 68 69 sugars and fatty acids are soluble [13]. However, these organic solvents do not answer the 70 current environmental requirements and are incompatible with food, cosmetic and medical

applications. For these reasons, enzymatic synthesis of sugar esters is classically implemented in 2-methyl-2-butanol (2M2B). Ways of improvement include the use of room-temperature ionic liquids, DMSO or more recently deep eutectic solvents [14–16] but still raise toxicity questioning to hamper the development of carbohydrate biotransformation [17]. In this sustainability context, we propose an integrated alternative focusing on the replacement of these reaction media for enzymatic synthesis of sugar fatty acid esters from renewable bioresources. Coming from lignocellulosic biorefinery, glucose was selected as sugar substrate. The choice of the fatty acid from oil biorefinery, was based on the promising surfactant properties after grafting of lauryl chain on sugar moiety [18]. Moreover, by using a free fatty acid as substrate instead of classical reactive vinyl (or ethy/methyl) acyl donor, the production of volatile acetaldehyde or primary alcohols as side-products is thought to be overcome. Novozym435®, the commercial immobilized on acrylic resins lipase from Candida antarctica, is the most widely used enzyme for industrial applications and specifically for esters synthesis [19]. We selected this biocatalyst regarding its specificity towards the unbranched long chain fatty acids [20] and reusability. This present work aims to investigate the feasibility of lipase-catalyzed esterification of glucose by lauric acid in 2methyltetrahydrofuran (MeTHF), an agro-solvent known for its interesting properties in terms of safety, health, biodegradability, and environmental score [21,22] and 2methyltetrahydrofuran-3-one (MeTHF-3-one) a food-grade ingredient that is used in food industries to mimic flavors [23]. Optimal conditions were studied via a PLS-surface response design. Reaction performances and regioselectivity were compared to those obtained in the classically 2M2B used here as a reference. Finally, the stability of the lipase preparation in these two alternative solvents was evaluated as well as the structural integrity of the immobilization carrier. Biodegradability and eco-cytotoxicity considerations of these two alternative solvents are also reported to support the discussion.

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EXPERIMENTAL SECTION

Chemicals and enzyme

Novozym435® (*Candida antarctica* lipase (CaLB) immobilized on acrylic resin formed by poly(methyl-methacrylate) cross-linked with divinylbenzene, EC 3.1.1.3, 5000 U/g), molecular sieves (4 Å) and anhydrous D-(+)-glucose (99%) were purchased from Sigma-Aldrich (USA). Lauric acid (98%) was supplied by Alfa Aesar (Thermo Fisher Scientific, Heysham). 2M2B, >99% and MeTHF, >99% were purchased from Sigma-Aldrich (USA). MeTHF-3-one, >97% was supplied by Alfa Aesar (Thermo Fisher Scientific, Heysham). HPLC grade trifluoroacetic acid (99%) was purchased from Sigma-Aldrich (Germany), HPLC grade acetonitrile from Merck (Darmstadt), and petroleum ether from Fisher Scientific (UK). Dimethyl sulfoxide d6 (99.80%) and Dimethyl sulfoxide (>99%) were obtained from VWR.

Biodegradability test

The biodegradability of the three organic compounds: 2M2B, MeTHF and MeTHF-3-one was evaluated by Eurofins Ecotoxicologie France SAS (Maxéville, France) according to the OECD 301 F guideline. The three organic compounds tested met the validity criteria required for this test. The typical procedure consisted in determining the biodegradability of these organic compounds by microorganisms in an aquatic environment using a static test system. The tested mixture contained an inorganic medium, the organic compound as the only nominal source of carbon and energy with a theoretical oxygen demand of 100 mg.L⁻¹, and a mixed inoculation from an urban wastewater treatment plant (amount of suspended matter inferior to 30 mg.L⁻¹ in the final mixture). O₂ generated during microbial degradation was trapped and measured

by the OXYTOP system. The O₂ released was compared to the theoretical amount released and expressed as a percentage of degradation. The test standardly lasted 28 days, but could be extended if the biodegradation curve did not reach a plateau by day 28.

Fish Embryo Acute Toxicity Test

The acute or lethal toxicity of MeTHF and MeTHF-3-one on the embryonic stages of *Danio rerio* was evaluated by SGS SA (Rouen, France) according to OECD 236 guideline. The 96h LC₅₀ corresponds to the concentration (mg.L⁻¹) of the tested solvents leading to 50% mortality of *Danio rerio* eggs in 96 h. The two solvents tested have a respective 96h LC₅₀ greater than 500 mg.L⁻¹. The safety data sheets for 2M2B report a 48h LC₅₀ of 2430 mg.L⁻¹ evaluated on embryonic stages of *Leuciscus idus melanotus*.

Sugar solubility

Determination of the solubility of glucose in 2M2B, MeTHF and MeTHF-3-one was performed by gravimetric analyses. 60 mg of glucose was introduced into 1 mL of each solvent. The saturated dispersion was stirred for 24h at 50°C. After centrifugation (13,000 g, 15 min, MiniSpin®, Eppendorf Austria GmbH), the supernatants were collected and evaporated under vacuum. The resulting amount of glucose was weighed (using a precision analytical balance, Sartorius Practum 224-1s, Germany) and used for concentration determination. All measurements were performed in triplicate and the solubility values were expressed as mean values with a standard deviation (±) in g.L⁻¹.

Enzymatic esterification

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Enzymatic synthesis of glucose monoester was performed by esterification of glucose with lauric acid in 2M2B, 2-MeTHF or MeTHF-3-one catalyzed by Novozym435[®]. Glucose concentration was fixed at 0.17 M all along the study. Acyl donor and enzyme loadings were described in the "Experimental design" section. All reagents were stored under vacuum in a desiccator with saturated salt solutions (MgCl₂) for at least 24h. Substrates, enzyme and solvents water activity (a_w) was measured and controlled before use (0.30 ± 0.05) . Molecular sieves of 4 Å were activated at 300°C for 24h then added to the reaction medium (100 g.L⁻¹) according to literature data [14,24,25]. For the experimental design, reactions were performed in 1.5 mL Eppendorf tubes on a ThermoMixer C (Eppendorf, Hamburg, Germany) vortexed at 1000 rpm. For the other experiments, reactions were performed at a selected temperature at 350 rpm in 20 mL glass tubes with a Carousel 12 Plus Reaction StationTM (Radleys, United Kingdom) coupled to a Haake K35 thermoregulated bath (Thermo Fisher Scientific, Heysham) at 10°C to avoid evaporation. At the end of the reaction, the immobilized enzyme was removed by filtration. Control experiments were performed (one at 0°C, one without lauric acid, one without enzyme, and one with thermally inactivated enzyme). No product was detected in these control experiments.

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Quantitative Analysis by HPLC

Glucose esters were quantified by HPLC (LC 20 AD, Shimadzu, Marne-la-Vallée, France) equipped with a UV detector at 214 nm and a light-scattering low temperature evaporative detector (ELSD-LT II, Shimadzu). The reaction medium was diluted in dimethyl sulfoxide (DMSO) to both stop the reaction and solubilize all the

components. Samples were filtered through a 0.2 µm syringe filter and then injected for analysis. Separation was performed on a C18 amide Alltima HP (5µ 150mm x 2.1 mm) chromatography column (Grace Davison Discovery Sciences) with an Alltima HP Guard (7.5 x 2.1 mm), maintained at 30 °C. ELSD parameters were fixed at 40 °C, sensitivity gain of 8 and He pressure of 3.8 bars. The elution method consisted of a gradient at a flow rate of 0.2 mL.min⁻¹: phase A (water/acetonitrile/TFA 30:70:0.3) and phase B (acetonitrile/TFA 100:0.3). The gradient consisted of a decrease from 100% to 70% of phase A for 15 min. Then for 10 min the proportion of phase A was maintained at 100%. The results were recorded and processed by a chromatogram processing software (LC solution, Shimadzu). For analytical extraction and purification, the reaction media were filtered. The permeate was placed under vacuum to evaporate the solvent. The resulting product contained residues of lauric acid and glucose ester. It was washed 3 times in petroleum ether, then centrifuged for 20 min at 13,500 rpm. The lauric acid was solubilized in petroleum ether and the pellet contained the purified GE. The purified product was then characterized by LC-MS-MS and NMR analyses. The concentration of glucose ester was measured based on standard calibration with the purified product, from 1 to 5 g.L⁻¹. All HPLC analyses were performed in triplicate. The yield of glucose ester was determined following the equation 1: Glucose ester yield (%) = $\frac{glucose\ ester\ produced\ at\ the\ end\ of\ the\ reaction\ (M)}{initial\ glucose\ concentration\ (M)} x100$ (Eq.1)**Liquid Chromatography-Mass Spectrometry (LC-MS-MS)** Separations were performed using an ACQUITY UPLC H-Class system coupled on-

line with an ACQUITY PDA detector and a Synapt G2-Si QTOF high resolution

ionization (ESI) source and operated in the resolution mode (Resolution FWHM:

hybrid mass spectrometer (Waters, Manchester, UK), equipped with an electrospray

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196 25,000). The reaction medium was diluted in dimethyl sulfoxide (DMSO). The 197 samples were filtered through a 0.2 µm syringe filter and then 5 µL were injected. 198 Products were separated on a C18 amide Alltima HP (5µ 150mm x 2.1 mm) 199 chromatography column (Grace Davison Discovery Sciences) with an Alltima HP 200 Guard column (7.5 x 2.1 mm), maintained at 30 °C. The elution method was the same 201 as that used for the HPLC experiments. Acquisitions were performed in the continuum 202 mode. The scan range was 50-2000 at 0.2 s/scan. Data acquisition and processing were 203 performed using MassLynx software (V4.1, Waters).

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Nuclear magnetic resonance analyses (NMR)

206 The purified glucose ester was analyzed by NMR after solubilization in dimethyl 207 sulfoxide-d₆ (1.0% w/v) using a combination of 1D and 2D NMR techniques. The 208 experiments were performed on a spectrometer (Bruker Avance III 600 MHz 209 spectrometer) equipped with a 5 mm probe operating at 150.9128 MHz (¹³C channel) and 600.1700 MHz (¹H channel) at 298 K. The ¹H, ¹³C decoupled from ¹H, ¹H-¹³C 210 HSQC, ¹H-¹³C HMBC and ¹H-¹H COSY diffusion NMR experiments were performed 211 212 under standard conditions. NMR spectra were processed using Bruker's Topspin 3.6.2 213 windows processing software. The ¹H and ¹³C assignments for the glucose monoester, i.e. α/β -D-glucose-6-O-laurate, 214 were as follows: ${}^{1}H$ NMR (600 MHz, DMSO-d6), δ 4.897 (d, J = 4.8 Hz, 1H, H1), 215 216 3.132 (m, 1H, H2), 3.430 (t, J = 9.3 Hz, H3), 3.036 (t, J = 9.7 Hz, 1H, H4), 3.763 (td, J = 9.7 Hz, = 8.4 Hz, 2H, H5), 4.258 (dd, J = 11.9 Hz, 2.4 Hz, 1H, H6a), 3.991 (dd, J = 11.7 Hz,217 6.1 Hz 1H, H6b), 2.275 (td, 3.5 Hz, 2H, H8), 1.482 (m, 2H, H9), 1.246 (16H, lauric 218 acid chain), 0.861 (t, J = 6.9 Hz, 3H, H18) and 13 C NMR (150 MHz, DMSO-d6), δ 219 220 92.838 (C1), 72.659 (C2), 73.359 (C3), 71.079 (C4), 69.836 (C5), 64.448 (C6),

175.108 (C7), 34.607 (C8), 32.1204, 29.462, 29.387, 29.229, 29.187, 29.029, 28.917, 25.282, 22.795 (C lauric acid chain), 14.506 (C18). The ¹H and ¹³C NMR spectra can be found in SI (Figure S1). Furthermore, two chemical shifts for the H-6 indicated that as observed with the LC-MS-MS experiments, the esterification was performed on the α and β conformations of the D-glucose [26]. The structural elucidation of the product was in agreement with literature [25,27]. In order to demonstrate the ester bond formed, HMBC experiments were performed (Figure 1). Protons on the C6 of the glucose ring interacted with the carbonyl carbon indicating the formation of an ester bond. This resulted in the chemical shift of the C8 to the higher field [25]. Ultimately, the glucose ester produced was identified as α/β -D-glucose-6-O-laurate.

233 Experimental design

Optimization of factors affecting the enzymatic synthesis of glucose fatty acid ester (GE) was performed following an experimental design plan. Experiments were designed by Statgraphics Centurion XVIII® software (FranceSTAT, Neuilly sur Seine, France) and MODDE 12® software (Umetrics, Umea, Sweeden). Four factors (i.e. X1: reaction temperature, X2: lauric acid/glucose molar ratio, X3: reaction duration and X4: enzyme concentration) were selected and the measured response was the yield of glucose ester production. The levels of the factors were chosen by considering several limitations. Regarding temperature, Novozym435® exhibits significant thermal stability, specially under high temperatures, even up to 100 °C [28]. However, in the literature, this reaction is often performed between 30 and 60°C [14,24,25,29]. It has been shown that better yields of monoester were achieved at 40°C by minimizing the impact of the subsequent esterification reaction leading to a by-product diester [29].

Based on this knowledge and preliminary single-factor experiments (*i.e.* to obtain the optimum for one parameter at a time while keeping others constant), the upper level for

Among the three chosen solvents, the boiling point of MeTHF is the lowest (81°C).

249 temperature was 75°C and the lower level was set at 25°C, the room temperature.

- 250 The upper and lower levels for lauric acid/glucose molar ratio, enzyme concentration
- and experiment duration were first selected according to literature data [14,24,25,29–
- 252 31], then confirmed by preliminary single-factor experiments. For lauric acid/glucose
- 253 molar ratio and enzyme concentration, the lower levels were 2:1 and 12.5 g.L⁻¹,
- respectively, and the upper levels were 6:1 and 37.5 g.L⁻¹, respectively. For the
- duration of the experiments, the lower level was 24 h and the upper level was 72 h, to
- avoid the formation of diester as a byproduct [14,25,29–32]. The levels of the factors
- are shown in Table 1. After defining the factors and response of interest, an appropriate
- design type was selected. The design consisted of 2⁴ factorial design and 2 central
- points. The experimental design matrix was generated and presented in Table 2. All
- 260 experiments were performed in random order. Once the glucose ester yield data was
- 261 collected, it was checked for outliers and model quality, after which it could be used
- 262 for response surface optimization. This method uses a polynomial model including
- linear terms and all two factor interactions. The experimental design was subjected to
- 264 goodness of fit routine using a first- and second-order equation by MODDE 12®
- software, as shown in Equation 2 and Equation 3:

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$$Y(GE) = \beta_0 + \sum_{i=1}^4 \beta_i X_i + \sum_{i,j=1}^4 \beta_{ij} X_i X_j$$
 (Eq. 2)

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$$Y(GE) = \beta_0 + \sum_{i=1}^4 \beta_i X_i + \sum_{i=1}^4 \beta_{ii} X_i^2 + \sum_{i,j=1}^4 \beta_{ij} X_i X_j$$
 (Eq. 3)

- Where Y(GE) is the response variable for glucose ester yield, X_i are the independent
- factor variables, β_0 , β_i , β_{ii} and β_{ij} are the constant, linear, quadratic and two factor
- interaction coefficients, respectively. Each coefficient in the second-order equation was

calculated and the possible interaction effects of the factors were obtained. The method used to fit the models was PLS supported by NIPALS algorithm [33]. A Variable Importance to the Projection (VIP) was applied as part of the PLS calculations. The VIP is based on the weighted sum of square of the weights of the model factors, allowing hierarchical ordering of the independent variables [33,34]. The significance of the models was checked by Analysis of Variance (ANOVA). The goodness of fit was expressed by the coefficient of determination R^2 and R^2_{adj} . Model significance was determined by F-test (p < 0.05). Optimization (best Y(GE)) was determined by the PLS method. VIP charts and surface response plots were drawn using MODDE 12^{**} software.

Enzyme stability in the solvents

Enzyme stability was determined by measuring glucose ester yields after prior incubation of the enzyme preparation in 2M2B, MeTHF and MeTHF-3-one. The enzyme was incubated in the solvents for 3, 6, 9, 12 or 15 days at 75°C. After centrifugation (10,000 rpm, MiniSpin®, Eppendorf Austria GmbH) the solvent (supernatant) was removed and the enzyme preparation was dried with a SpeedVac Concentrator (SPD121P, Thermo Savant, Waltham, MA, USA) for 45 min. Finally, the enzyme preparation was stored under vacuum (at a controlled a_w of 0.37 ± 0.01) until use. Then, the glucose esterification reactions were performed under the optimal conditions determined by the experimental design (at 75°C for 72 h, with a lauric acid/glucose molar ratio of 6:1 and an enzyme concentration of 12.5 g.L-1). The reaction medium was analyzed by HPLC to determine the yield of ester production, as described previously.

Scanning electron microscopy

After incubation in the three distinct organic solvents (2M2B, MeTHF and MeTHF-3-one) for up to 15 days at 75°C, the morphological properties of the Novozym435® carriers were observed with a high-resolution environmental scanning electron microscope (ESEM, FEI Quanta 200 FEG, OR, USA). A small amount of each sample was placed on double-sided carbon tape adhered to aluminum SEM stubs. The specimens were examined and the secondary electron images were acquired under low-vacuum mode at a pressure of 0.5 Torr using a pressure limiting apertures (PLA).

RESULTS AND DISCUSSION

The potential of an agro-solvent and a food grade ingredient used as solvents was investigated to challenge the 2M2B classically used for enzymatic synthesis of glycolipids. The rational selection was based on physicochemical and environmental considerations: biodegradability and cytotoxicity. Indeed, MeTHF constitutes a biobased solvent derived from biomass and MeTHF-3-one listed as generally recognized as safe (GRAS). The scheme of lipase-catalyzed esterification of glucose, main platform molecule from lignocellulosic biorefinery, with lauric acid leading to one product with interesting surfactant properties [18] is presented in Figure 2. The challenge was to develop a system in which the substrate and the acyl donor would be available for the reaction despite opposite polarity while directing and maintaining the enzymatic activity towards ester synthesis.

Environmental considerations and substrate availability

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Biodegradability and ecotoxicity of the three solvents were first compared according to OECD guideline. Concerning the fish embryo acute toxicity test, MeTHF and MeTHF-3-one both exhibited a respective 96 h LC₅₀ superior to 500 mg.L⁻¹. Likewise, the Material Safety Data Sheets of 2M2B reported a 48h LC₅₀ of 2430 mg.L⁻¹. These data, evaluated on embryonic stages of soft water fishes according to the OECD 236 guideline, suggested a similar ecotoxicity between these three organic compounds. Then, the biodegradability kinetics for each solvent were presented in Figure 3A. The biodegradability rates after 28 days are provided in SI (Table S1). MeTHF and 2M2B exhibited similar biodegradation kinetic profiles with 5 and 6 % of biodegradability level after 28 days, respectively. In opposite, the biodegradation kinetic of MeTHF-3one showed a biodegradation level of 69 % at 28 days within a 10-day window in the 28-day period. This behavior allowed MeTHF-3-one to be classified as "readily biodegradable" in agreement with the OECD standards [35]. Based on these environmental considerations, we therefore confirmed the suitability of selecting the MeTHF and MeTHF-3-one in this study to improve the sustainability of glycolipids synthesis by enzymatic route. All enzymatic reaction systems implemented in this study included reactants, enzyme and organic solvents with controlled initial water activity (a_w) for maintaining a sufficient hydration state of lipase for enzyme stability while favoring the synthesis activity [36]. Among the other numerous factors influencing performances of enzymatic esterification of glucose in organic solvent, the availability of the polar substrate and the acyl donor in the solvent constitute a key factor [7,9,25]. Lauric acid was soluble in all the three solvents with a solubility value much higher than 500 g.L⁻¹ confirming the full availability of the acyl donor in the reaction systems. The solubility

values of glucose were also determined and presented in Figure 3B as a function of the log P of each solvent where P constitutes the solvent partition coefficient between 1-octanol and water. As log P of MeTHF-3-one was not available in literature, ACD/log P calculator procedure [37] was used for establishing a relative comparison between the three solvents. The use of MeTHF-3-one with a log P of -0.65 led to a glucose solubility of 5.05 g.L⁻¹ *versus* 1.15 and 0.85 g.L⁻¹ in 2M2B (log P = 1.04) or MeTHF (log P = 0.85), respectively. Although the solubility of glucose in a solvent cannot be exclusively related to log P, the results are consistent with an increase of solubility with a decrease of log P. The selected alternative solvents meet thus the sustainability criteria while ensuring practical availability of both substrates, totally for acyl donors and partially for the sugar as well as in the reference organic solvent.

Feasibility of glucose ester synthesis in the neoteric solvents

Lipase-catalyzed esterification in organic solvent has been widely reported in the literature since 1990's [38–41]. 2M2B has often been presented as a reference solvent for this type of reaction. It seems to receive a renewed interest in recent studies despite physicochemical properties far away from durability criteria [42,43]. Based on literature data, we firstly set the following experimental conditions as a basis for comparison with 2M2B: equimolar ratio of lauric acid/glucose, 100 g.L⁻¹ of molecular sieve, 25 g.L⁻¹ of Novozym435[®], 50 °C, 350 rpm. After 72 h, the enzymatic reactions were stopped and the identification of the products was performed by LC-MS. After each reaction, NMR analysis of the recycled solvents was done. These results are presented in SI (see SI, Figure S2).

Regardless of the solvent, LC-MS-MS analyses revealed at least the presence of three distinct compounds after 72 h of reaction. In all cases, glucose laurate mono-ester

371 $(C_{18}H_{34}O_7)$ is evidenced by $R_T = 2.88 - 2.98$ min, $[M + Na]^+$ m/z 385.220 and [MM+Na]⁺ m/z 785.445 with the presence of both anomers [27] (Figure S3). The two 372 373 other signals were identified as unreacted substrates: the residual glucose ($R_T = 1.89$ min, $[M + H]^+$ m/z 181.073, $[M + Na]^+$ m/z 203.055) and the residual lauric acid ($R_T =$ 374 375 7.41 min, $[M-H]^-$ m/z = 199.171). Total and Reconstructed Ion Currents (TIC and RIC 376 respectively, m/z 385.220) of the reaction media in 2M2B are presented in SI (Figure 377 S4). The sodium adduct led to two fragments ions at m/z 345.220 and 327.217, issued 378 respectively from subsequent losses of NaOH and H₂O. Furthermore, the daughter ion 379 at m/z 327.217 was consecutively fragmented by MS-MS, leading to a pyran fragment 380 ion at m/z 127.041 (C₆H₇O₃) due to the loss of a lauric acid molecule (C₁₂H₂₄O₂). The 381 MS-MS spectrum of the ion at m/z 327.217 is presented in SI (Figure S5) and a 382 proposed fragmentation pattern of glucose laurate mono-ester sodium adduct is 383 presented in SI (Figure S6). These data demonstrated the glucose laurate monoester 384 formation in the three distinct solvents. In 2M2B and MeTHF, a minor compound can 385 be detected ($R_T = 14.21 \text{ min}$, $[M+Na]^+ \text{ m/z} 567.407$, $[M+NH_4]^+ \text{ m/z} 562.446$, $[MM+NH_4]^+ \text{ m/z} 562.446$ 386 Na] m/z 1111.821) suggesting the formation of traces of glucose dilaurate. Similar 387 results were recently observed by Martinez-Garcia et al. (2021) reporting up to 4% of 388 diester synthesized in 2M2B [43]. In MeTHF-3-one, the selectivity of the reaction 389 seems exclusively orientated toward monoester synthesis. Indeed, only traces of diester 390 product were detected. Total ion current (TIC) of the reaction of glucose with lauric 391 acid in MeTHF-3-one showed however two additional impurity at 5.08 and 5.32 min 392 (Figure S7). Mass spectra (molecular mass region) of these side products are presented 393 in SI (Figure S8). These products are hardly detectable on NMR spectra. The structural 394 characterization of these compounds as well as a proposed mechanism of formation in 395 the reaction system have been described in SI (Figure S9). Briefly, these two

compounds were obtained from lipase-catalyzed esterification of a derivative resulting from the ring opening of MeTHF-3-one, in the presence of lauric acid (Table S2 for details on the control experiments performed). Finally, the monoester compound was extracted and purified from the three distinct reaction media and then analyzed by NMR for structural elucidation (Figure 1). Complementary NMR data was presented in SI (Figure S1). NMR results confirmed the obtention of D-glucose-6-O-laurate in large amounts whatever the three distinct organic solvents used, showing also that the anomeric carbon of glucose remained not esterified. MeTHF and MeTHF-3-one were thus demonstrated for the first time as suitable alternative to 2M2B for lipase-catalyzed glucose esterification while preserving the regioselectivity *i.e.* the glucose-6-monolaurate, the product of interest. We confirmed also that log P would be useful for predicting glucose solubility but failed to predict enzyme catalysis feasibility in our reaction systems as suggested in literature [44].

Reaction optimization through model fitting and statistical analysis

The effects of temperature, lauric acid/glucose molar ratio, reaction duration and enzyme concentration were investigated and optimized in 2M2B, MeTHF and MeTHF-3-one using a design of experiments using PLS methodology to ensure a robust comparison. Table 2 shows the experimental design matrix of the three factors and glucose ester yields in 2M2B, MeTHF and MeTHF-3-one generated by PLS statistical tool. The results were fitted using Equation 2 and Equation 3. The factor coefficients are presented in Table 3. Analysis of variance (ANOVA) was performed and VIP charts were used to obtain the linear, quadratic and interaction effects on the response. The models F-values were between 33.496 and 41.445 and the p-values of "Prob>F" were always < 0.0001 (Table 3), which means that the models were

421 considered highly significant at the 95% confidence level (p-value of "Prob>F" < 0.05 422 and F-value > 4). In other words, the models adequately predicted the glucose ester 423 yields from the 4 factors. Furthermore, the coefficients of correlation (R2) ranged from 424 0.96 to 0.97. In general, quadratic models are considered as models with high degrees 425 of correlation when their R² values are higher than 0.90 [34,45,46]. The R²Adj values 426 (0.93 to 0.94) were another proof of the significance of the models. The precision of 427 the models and their reproducibility were assessed using the RSD% (Table 3), whose 428 values went from 2.93 to 4.69% (less than 10%). 429 The effect of the factors on the glucose ester yield Y(GE) was studied using VIP charts 430 (Figure 4 - D, E, F). For all solvents, the factors with the higher significant effects 431 were temperature and lauric acid/glucose molar ratio (Figure 4 - D, E, F). However, 432 for 2M2B and MeTHF-3-one, the quadratic terms did not have a significant influence 433 over the reaction yields, whereas they all did for MeTHF at the 95% confidence level 434 (Figure 4E). For all solvents, the interaction of temperature and lauric acid/glucose 435 molar ratio was statistically significant according to the model, with a p-value < 0.05. 436 The other interactions were not significant. Finally, the success of the predictions of 437 the models were evaluated by comparing the experimental values of Y(GE) and the ones predicted by the model (Figure 4 - A, B, C). The experimental values were 438 439 closely regrouped around the line of best fit, which means that the model was highly 440 efficient to predict the experimental values. All statistical analyses proved the model 441 was reliable and efficient. The predicted higher glucose ester yields were 38.1% in 442 2M2B, 35.3% in MeTHF and 56.7% in MeTHF-3-one (Figure 5). The obtained 443 experimental glucose ester yields for these optimal conditions were in perfect 444 agreement with those predicted (39.1 \pm 2.0% for 2M2B, 37.0 \pm 11.7% for MeTHF and 445 $57.4 \pm 2.4\%$ for MeTHF-3-one). One can note that the lowest enzyme concentration

was the optimal one as already reported [47–50]. This may be due to the precipitation of produced ester in the enzyme microenvironment preventing the access of the substrate to the active site at higher enzyme concentration [51–53]. In the three solvents, the highest substrate molar ratio was the optimal one likely to an equilibrium shift toward synthesis with higher content of the fatty acid [53]. Most certainly thanks to a decrease in viscosity, increasing the encounters between enzymes and substrates [53], the highest tested temperature of 75°C provide the best yields afforded by Novozym435[®] stability up to 80 to 100 ° C [28,54]. The optimal conditions for obtaining the higher glucose ester yields were the same in all solvents, namely 75 °C lauric acid/glucose molar ratio of 6:1, during 72 h with an enzyme concentration of 12.5 g.L⁻¹ and will be used for the following experiments. The highest glucose ester yields were similar in 2M2B and MeTHF, but significantly higher in MeTHF-3-one. The excellent yields obtained in MeTHF-3-one seemed to be due to the solubility of glucose which was 5 fold higher than in the other two solvents ($5.05 \pm 0.35 \text{ g.L}^{-1}$ in MeTHF-3-one *versus* 1.15 ± 0.07 g.L⁻¹ and 0.85 ± 0.21 g.L⁻¹ in 2M2B and MeTHF, respectively). Based on these results, MeTHF can be proposed as a biobased alternative to 2M2B preserving the performances. However, MeTHF-3-one, with its food grade label, was demonstrated as a better substitute to 2M2B by significantly improving the reaction efficiency in mild conditions.

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Reaction kinetics

The reaction kinetics in the three solvents were then compared (Figure 6A). The kinetics in MeTHF and 2M2B were very similar and reached a plateau at 48h while the plateau in MeTHF-3-one was not reached at 72h. Initial rates (Figure 6B, V_i) in 2M2B or MeTHF were 16.3 ± 2.9 mmol.h⁻¹ and 15.8 ± 0.5 mmol.h⁻¹, respectively and

significantly higher in MeTHF-3-one ($26.0 \pm 2.8 \text{ mmol.h}^{-1}$). The esterification yield at 72 h reached 79.4 \pm 0.4 % for MeTHF-3-one *versus* 47.6 \pm 0.8 % and 48.3 \pm 0.8 % for 2M2B and MeTHF, respectively. These differences in initial rate and yield can be correlated to the greater solubility of glucose in MeTHF-3-one. Under our experimental conditions, MeTHF-3-one provided promising alternative with respect to enzymatic performances (eg. 1.7-yield increase) and regioselectivity towards monoesterification on the primary hydroxyl of glucose. Moreover, the yields obtained in MeTHF-3-one were competitive compared to the rates obtained previously and more recently in 2M2B [41,43].

Enzyme stability

Among the many advantages of immobilized enzymes, recycling and reuse of the biocatalyst enhances both the sustainability and the economic viability of the strategy [52,55–59]. In this context, enzyme stability can be studied to assess the impact of incubation in an organic solvent on the structural integrity of enzyme carriers and activity. Such an approach provides valuable information on the possible reusability of biocatalysts [56]. Stability studies on Novozym435® in 2M2B have already shown that the biocatalyst could be reused several times [56,60,61]. To our knowledge, no data on this enzymatic preparation in MeTHF or MeTHF-3-one have been reported in the literature. Novozym435® was first incubated in the three distinct solvents at 75°C and then used for esterification under the optimal conditions. The glucose laurate yields reached after varying incubation times of the enzyme in each solvent are shown in Figure 7. Likewise, SEM observations of the surface morphology of fresh Novozym435® versus Novozym435® after incubation in the solvents for 3 and 15 days (75°C) are presented in Figure 7. Whatever the solvents, an average of 63 % of enzyme

activity was preserved after 3 days of incubation. The results also show that 15-days of incubation did not lead to a total deactivation of the enzyme preparation. However, the decrease in activity throughout the days of incubation was greater in MeTHF (from 48.3 ± 0.8 % to 3.6 ± 0.1 after 15 days of incubation) than in 2M2B (from 47.6 ± 0.8 to 10.5 ± 0.6 % after 15 days of incubation) or MeTHF-3-one (from 79.4 ± 0.4 to 16.9 ± 0.4 0.1 % after 15 days of incubation). The loss of activity after incubation in organic solvent could be due to the interaction of solvents with the surface of enzyme carriers, as previously reported in the literature [62]. It may be emphasized that the enzymatic performance in MeTHF-3-one remained superior to that obtained in the reference organic solvent 2M2B regardless of the prior incubation duration. The stability of the enzyme preparation would therefore be improved in MeTHF-3-one compared to 2M2B or MeTHF. Regarding the morphological and textural properties of the enzyme carriers, no change was observed between the fresh enzyme preparation and those observed after 3 days of incubation regardless of the solvent (Figure 7). Indeed, carriers kept a spherical shape with a smooth surface before and after 3 days of incubation in each solvent. After 15 days of incubation, SEM observation of the enzyme carriers showed that MeTHF did not induce drastic structural changes (Figure 7). In opposite, prolonged incubation in 2M2B resulted in structural changes illustrated by the appearance of irregularities on the surface of the enzyme carriers. In the case of MeTHF-3-one, 15-days incubation resulted in an obvious change in the integrity of the carrier surface (Figure 7). This irregular deposit could be attributed to the adsorption of MeTHF-3-one onto the surface of the enzyme carrier. This therefore provides additional strong arguments for the substitution of 2M2B by MeTHF-3-one. The obvious adsorption of MeTHF-3-one on the surface of the enzyme carriers would

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- 520 minimize the deleterious effect on the lipase microenvironment and activity unlike
- other organic solvents used for this type of reaction [63].

523	CONCLUSIONS
524	The substitution of 2M2B by the agro-solvent MeTHF or the food-grade ingredient
525	MeTHF-3-one used as solvent allowed the development of a novel sustainable route
526	for lipase-catalyzed synthesis of glucose laurate. These two neoteric solvents did not
527	affect the regioselectivity classically observed in 2M2B toward the primary alcohol of
528	glucose. The complete statistical assessment confirmed that the established model was
529	adequate and accurately predicted the glucose ester yields within the range of reaction
530	conditions. Enzymatic performances achieved in MeTHF were similar to those
531	obtained in 2M2B while a significant improvement was evidenced in MeTHF-3-one.
532	This latter, demonstrated as readily biodegradable, also increased the enzymatic
533	stability of Novozym435® leading to consider its reusability. These results open a
534	promising field in the design of sustainable enzymatic syntheses for biosurfactants
535	production from renewable resources.
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537	Supporting Information
538	Additional experimental details, results, figures and tables associated with (i) solvents
539	environmental data, (ii) LC-MS-MS and NMR analyses of reaction media and purified end-
540	product (PDF).
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Abbreviations

2-methyl-2-butanol (2M2B), 2-methyltetrahydrofuran (MeTHF), 2-methyltetrahydrofuran-3-

one (MeTHF-3-one), generally recognized as safe (GRAS).

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752	

753 FIGURE LEGENDS 754 755 Figure 1. Heteronuclear multiple bond correlation (HMBC) of the purified glucose ester. The horizontal and vertical axis indicate ¹H proton and ¹³C chemical shift (ppm) respectively. The 756 757 key interactions are represented on the molecule 758 759 Figure 2. Reaction scheme of lipase-catalyzed synthesis of glucose laurate monoester 760 (temperature between 25 and 75°C). 761 762 Figure 3. (A) Results from biodegradation test expressed in % for 2M2B (black crosses), 763 MeTHF (white squares) and MeTHF-3-one (black circles) for 28 days according to the OECD 301 F guideline. (B) glucose solubility (g.L⁻¹) at 50 °C in the three distinct organic solvents: 764 765 2M2B (black cross), MeTHF (white square) and MeTHF-3-one (black circle) in function of 766 their log P calculated by ACD/Chemsketch. 767 768 Figure 4. Correlation graphs between the predicted and observed experimental glucose 769 ester yield values in (A) 2M2B, (B) MeTHF, (C) MeTHF-3-one and effect of the 770 factors by Variable Importance to Projection (VIP) charts on glucose ester yields: (D) 771 in 2M2B, (E) in MeTHF and (F) in MeTHF-3-one. Any factor beyond the significance 772 level of 0.8 (dotted lines) is statistically important (p < 0.05) 773 774 Figure 5. Response surface plot of glucose ester yield in (A) 2M2B, (B) MeTHF and 775 (C) MeTHF-3-one as a function of temperature (25 to 75°C) and lauric acid/glucose 776 molar ratio (2 to 6) with enzyme and duration set in agreement with optimized factors

777	(12.5 g.L ⁻¹ and 72h, respectively). The glucose ester yield (%) was determined by
778	HPLC according to Eq. 1.
779	
780	Figure 6. (A) Conversion yield (%) of glucose and lauric acid into glucose monoester after
781	incubation at 75°C, for a molar ratio lauric acid/glucose of 6:1, an enzyme concentration of
782	12.5 g.L ⁻¹ . ■, • and ▲ represent the glucose ester yields in 2M2B, MeTHF-3-one and
783	MeTHF, respectively. (B) Initial rates (mmol.h ⁻¹) of the reaction in 2M2B, MeTHF-3-one and
784	MeTHF. The glucose ester yield (%) was determined by HPLC according to Eq. 1.
785	
786	Figure 7. Glucose laurate yield after enzyme incubation in the three distinct solvents
787	(the reactions were carried out at the optimal conditions (i.e. for 72h at 75°C with an
788	enzyme concentration of 12.5 g.L ⁻¹ and a lauric acid/glucose molar ratio of 6:1)) and
789	corresponding SEM micrographs of Novozym 435 before incubation, after incubation
790	for 3 days and 15 days in 2M2B (A), MeTHF (B) and MeTHF-3-one (C). The glucose
791	ester yield (%) was determined by HPLC according to equation 1.
792	
793	TABLE LEGENDS
794	
795	Table 1. Experimental range of levels and coding of factors used to evaluate the glucose
796	monoester yields.
797	
798	Table 2. Experimental design matrix of the three factors and glucose ester yields in 2M2B,
799	MeTHF and MeTHF-3-one.
800	
801	Table 3. Regression coefficients and ANOVA of quadratic model.

802 TABLES

Table 1.

Factors	Coding	Range o	Range of levels		
		Low	Middle	High	
Temperature (°C)	X1	25	50	75	
Lauric acid/glucose molar ratio	X2	2	4	6	
Reaction duration (h)	X3	24	48	72	
Enzyme concentration	X4	12.5	25	37.5	

806 **Table 2.**

Т	Lauric	Reaction	Enzyme	Cl	Cl	CI.
Temperature	acid/glucose	duration	concentration	Glucose ester	Glucose ester	Glucose ester
(°C)	molar ratio	(h)	(g/L)	yield ^a (%)in	yield ^a (%) in	yield ^a (%) in
X1	X2	X3	X4	2M2B	MeTHF	MeTHF-3-one
25	2	24	12.5	1.0 ± 0.2^{b}	0.9 ± 0.1	2.4 ± 0.1
50	4	48	25	$9.8\pm1.5^{\rm c}$	$2.9 \pm 0.2^{\rm c}$	15.2 ± 8.0^{c}
25	2	72	12.5	2.8 ± 0.1	0.5 ± 0.4	3.3 ± 0.4
75	6	72	37.5	34.0 ± 4.6	31.0 ± 3.3	52.9 ± 11.6
50	4	48	25	$7.7\pm1.0^{\rm c}$	$3.7\pm0.1^{\rm c}$	$11.9\pm2.2^{\rm c}$
25	6	24	37.5	1.5 ± 0.4	1.9 ± 0.4	5.0 ± 0.3
25	6	72	12.5	5.5 ± 0.9	2.7 ± 0.2	6.0 ± 0.3
75	2	24	12.5	$10.\pm4.5$	9.8 ± 4.4	21.2 ± 0.2
75	2	72	12.5	22.9 ± 4.7	12.0 ± 2.5	28.6 ± 2.6
75	2	24	37.5	18.9 ± 1.4	8.8 ± 0.0	19.0 ± 2.8
75	6	24	37.5	25.7 ± 0.9	25.6 ± 4.3	28.4 ± 2.9
75	6	72	12.5	39.1 ± 2.0	37.0 ± 11.7	57.4 ± 2.4
75	2	72	37.5	17.0 ± 4.4	13.8 ± 1.4	25.8 ± 3.5
25	2	24	37.5	1.0 ± 0.1	0.7 ± 0.0	2.2 ± 0.1
75	6	24	12.5	25.2 ± 2.4	33.7 ± 8.3	41.4 ± 8.1
25	6	24	12.5	1.9 ± 0.5	2.3 ± 0.5	4.4 ± 0.8
25	6	72	37.5	3.8 ± 0.3	1.3 ± 0.4	5.5 ± 1.6
25	2	72	37.5	2.1 ± 0.5	0.7 ± 0.2	3.0 ± 1.1

807 a Eq. 1

 b Mean \pm SD of duplicate

809 ° Central point

Table 3.

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v		٠,
$^{\circ}$		

Coefficients	For glucose ester	For glucose ester	For glucose ester yield	
Coefficients	yield in 2M2B	yield in MeTHF	in MeTHF-3-one	
Constant β_0	7.088	22.738	20.475	
Linear				
eta_1	-0.121	-0.335	-0.135	
eta_2	-3.744	-5.200	-5.453	
eta_3	-0.161	-0.408	-0.518	
eta_4	-0.077	-0.506	-0.285	
Quadratic				
eta_{11}	0.002	0.003	0.002	
eta_{22}	0.280	0.499	0.349	
eta_{33}	0.002	0.003	0.002	
eta_{44}	0.007	0.013	0.009	
Interaction				
eta_{12}	0.062	0.094	0.094	
eta_{13}	0.003	0.002	0.005	
eta_{14}	0.000	-0.002	-0.004	
eta_{23}	0.018	0.002	0.035	
eta_{24}	-0.023	-0.036	-0.030	
eta_{34}	-0.005	0.001	0.001	
Model F-value	41.445	40.938	33.496	
p-value Prob >F	< 0.0001	< 0.0001	< 0.0001	
R ²	0.97	0.97	0.96	
$R^2_{adjusted}$	0.94	0.94	0.93	
RSD (%)	2.93	2.98	4.69	

FIGURES

Figure 1.

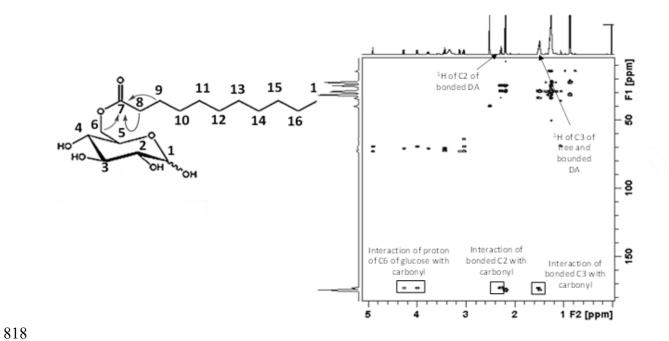


Figure 2.

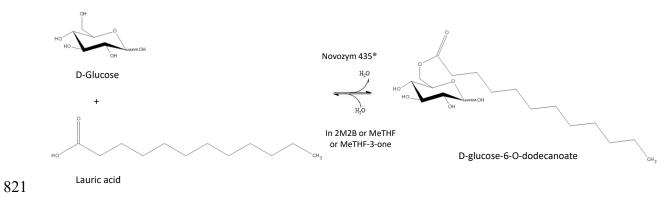


Figure 3.



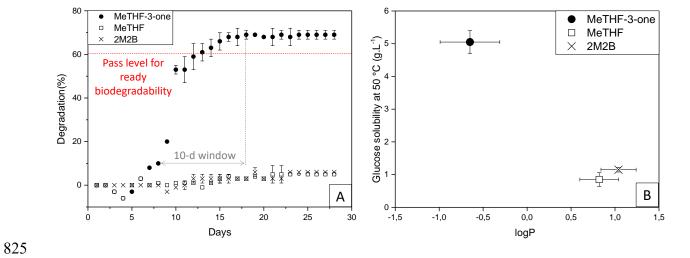


Figure 4.

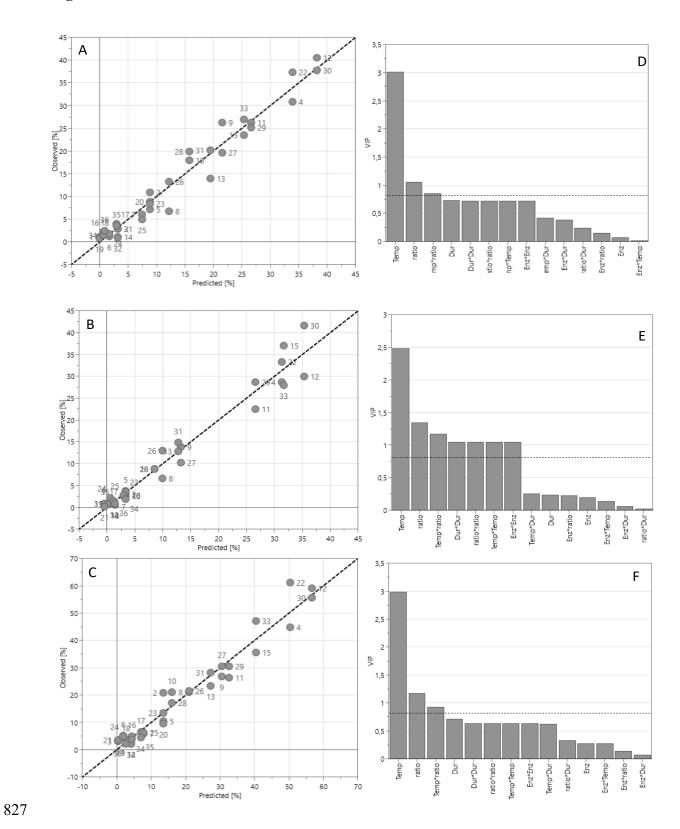
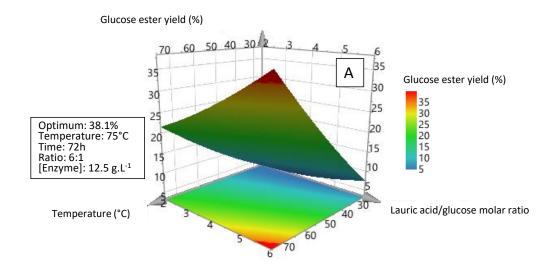
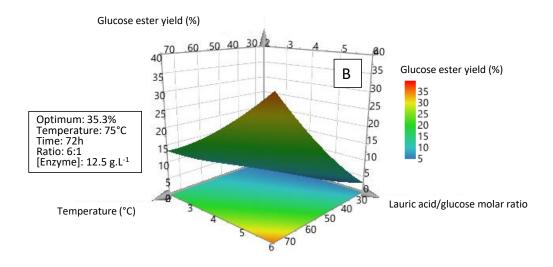


Figure 5 (in color)





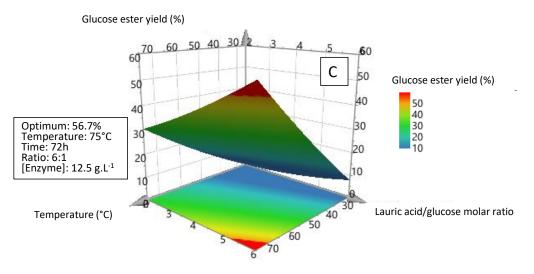


Figure 6 (in color).

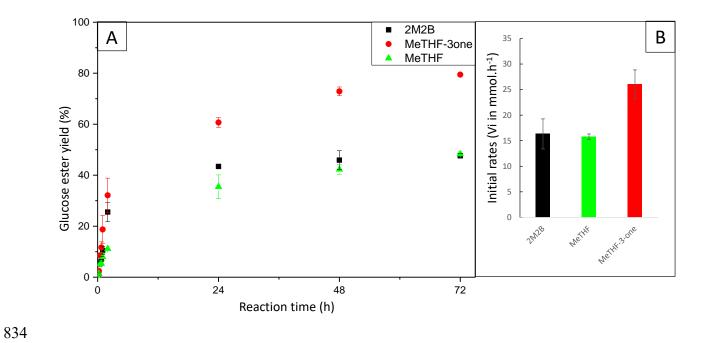


Figure 7.

