

Assessing an ecological trap using longterm condition data: case study on yellowfin tuna and Drifting Fish Aggregating Devices (DFADs)

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- 1 Assessing an ecological trap using long-
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- **3 yellowfin tuna and Drifting Fish**
- 4 Aggregating Devices (DFADs)

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12 Abstract

Ecological traps occurs when animals choose low-quality habitats, leading to a reduction in their reproduction and survival. In the marine environment, this phenomenon has received little attention so far. Habitat modifications are one of the major human-induced rapid environmental change which could provoke such traps. Floating objects are a component of the habitat of pelagic fish species, including tropical 19 tunas, which are known to associate with them. The deployment of 20 thousands of man-made floating objects (called drifting Fish Aggregating 21 Devices - DFADs) by industrial tuna fisheries worldwide over the past 22 three decades has raised concerns over the risk of DFADs forming 23 ecological traps. Relying on a three-decade time series of more than 24 25,000 length-weight samples collected in the western Indian Ocean 25 (from 1987 to 2019), we reject the hypothesis that the body condition of vellowfin tuna Thunnus albacares decreased concurrently with the 2627 increased number of DFADs. While body condition is not the only 28 variable that may indicate a decline in individual fitness, this result ruled 29 out one of the potential negative impacts of DFADs on tuna postulated by 30 a twenty-years-old hypothesis. We recommend that long-term monitoring 31 of biological and behavioral parameters of tunas be conducted to detect 32 any critical change.

33 Keywords

34 Indicator log, relative condition factor, *Thunnus albacares*, Indian Ocean,

35 industrial tuna fisheries, floating objects

36 Introduction

Floating objects, such as logs or branches, are natural components of the
oceanic habitat of pelagic species. A large variety of these species,
including tropical tunas, naturally associate with floating objects,
however the reasons for this associative behavior are often poorly

41 understood [1,2]. Fishers targeting tropical tunas have traditionally used 42 this behavior to find and capture associated fish [3]. In the early 1980s, 43 reliance on this strategy by industrial tropical tuna purse-seine fleets 44 began to increase as the deployment of drifting Fish Aggregating Devices 45 (DFADs) became a common practice [4-6]. DFADs are typically man-46made rafts and are now each equipped with satellite-linked buoys so that 47 fishers can track them in the open ocean. In the Indian Ocean (IO), 48 deployment and use of DFADs began in the 1990s and has steadily 49 increased since then. Unfortunately, data on the exact number of DFADs 50 in use are scarce, and nearly absent for the first two decades. A fourfold 51 increase in the number of DFADs was estimated between 2007 and 2013. 52 with a total of 10,300 active DFADs recorded in September 2013 [7]. 53 More recently, from 2012 to 2018, DFADs were demonstrated to 54 represent more than 85% of the total floating objects in the western IO 55 [8].

56 Soon after their wide-scale use began, it was hypothesized that DFADs may act as "ecological traps" for tropical tunas (see Figure 1) [9,10]. An 57 58 ecological trap occurs when individuals select poor-guality habitats, 59 being misled by cues that no longer correlate to habitat quality due to anthropogenic changes [11,12]. This decorrelation between habitat 60 61 quality and habitat selection cues ultimately leads to a reduction in the 62 fitness of individuals [11-13]. The hypothesis of DFADs acting as 63 ecological traps, as it was first formulated, relies on the assumption that tropical tunas and other associated species originally used floating 64 65 objects to select good-quality habitats. For tropical tunas, the ecological 66 trap scenario relies on the so-called "indicator-log" hypothesis, stating 67 that their associative behavior has been selected because natural floating 68 objects (NLOGs), which originate from rivers, are located in productive 69 areas [1,2,14]. The massive deployment of DFADs would modify the 70 density and spatial distribution of floating objects, with potentially large 71 numbers of artificial objects occurring in areas that are not optimal for 72 tunas, creating the risk of an ecological trap. DFADs are now present in a 73 large portion of tuna habitat, they are highly attractive and could 74 severely reduce fitness, which leads to their potential to represent most 75 severe traps [15]. Hence, there is an urgent need to assess the likelihood 76 of DFADs acting as ecological traps.

77 By modifying the environment, and possibly the cues that tunas use to 78 find suitable areas (following the log-indicator hypothesis), DFADs could 79 (i) modify the large-scale movements of tunas and/or (ii) decrease the body condition of tunas, impacting their fitness. Although acoustic 80 81 tagging studies [16-25] have revealed that anchored FADs affect the fine-82 scale movement behavior of tunas (attracting and retaining tunas nearby 83 the FADs for periods spanning a few hours to several days), investigating 84 the effects of drifting FADs on large-scale movements is more 85 challenging. The fine-scale behavior of tunas results from an interplay 86 between environmental variables (abiotic factors, including the number 87 of floating objects), and biotic factors, including social interactions [26-88 29]. Currently available field data do not allow for the determination of 89 exactly which drivers play the biggest role in tuna movements at large-90 scales. Investigating the effects of DFADs on the large-scale movements

91 of tunas would theoretically be possible through a comparative approach
92 between movements prior to, and following, the wide spread use of
93 DFADs, assuming other environmental conditions have remained similar.
94 Unfortunately, such data does not exist.

95 Several studies have investigated the impacts of DFADs on tuna 96 condition and have suggested that tunas caught at DFADs were in poorer condition than those caught in free-swimming schools and that they are 97 more likely to have an empty stomach when associated [9,10,30,31]. 98 99 These results infer a negative biological consequence from the 100 association with DFADs. Robert et al. (2014) [32] found the same 101 difference between the condition of associated and non-associated tunas, 102 but in an area (the Mozambique Channel, Indian Ocean) that was rich in 103 natural floating objects and thus only marginally modified by the addition 104 of DFADs. This result led to two new interpretations of the body condition differences. First, the lower condition of tuna associated with 105 106 floating objects could be the cause of their association, rather than the 107 consequence. In other words, tuna would associate with floating objects 108 when they are in a lower condition, as it could be indicative of a good 109 quality area or in order to form larger schools and improve their foraging 110 efficiency (see the meeting point hypothesis) [2,33]. Secondly, it is 111 possible that the association with a floating object results in a poorer 112 condition, but that the evolutionary advantage of the associative behavior 113 would not be related to short-term trophic benefits. The absence of a 114direct, short-term benefit of associating with natural floating objects does 115 not dismiss the possibility that the associative behavior was selected 116 despite a short-term disadvantage. Tunas could recover faster after 117 associating because they are in a more productive area or in larger 118 schools. In general, ecological traps are often studied with a 'control-119impact' approach, which is not recommended [34]. This lead us to 120 consider the ecological trap hypothesis over a long period of time. In the 121 same way that tuna movements before and after the use of FADs should be compared, the conditions of tuna before and after the use of these 122 123 devices should be examined.

124 One way to assess fish condition is by using indices closely related with 125 the health of individuals, stocks and populations. Fish health underlies 126 the degree of general well-being and constitutes a significant component 127 of physiological condition [35]. Condition indices of several types are used when assessing fish health (or more specifically tropical tuna), 128 129 ranging from those based on morphometric information [36,37] to those [38,39]. Biochemical indices, which are 130 using biochemical data 131 considered better proxies of the individuals condition than those derived 132 from morphometrics, are much more costly and cumbersome to measure 133 [35]. For that reason, morphometric indices are often the best option when working on historical data or trying to assess long-term variations 134 135 of physiological condition. Among them, the Le Cren's relative condition 136 factor (K_n) , used in this study, was commonly used in studies on tunas 137 and other pelagic fish species [38-43].

138 The objective of this study was to test the hypothesis that the body139 condition of yellowfin tuna has decreased since the wide-spread use of

140 DFADs began in the Indian Ocean. We used length and weight 141 measurements to calculate Le Cren's K_n, and investigated the temporal 142 evolution of the body condition of yellowfin tuna (Thunnus albacares) 143 from 1987 to 2019 in the Indian Ocean. Using a Generalized Additive 144 Model, we tested the variations of K_n accounting for catch location, 145season and size class. We expected, after taking the effect of these other 146 variables into consideration, that the condition factor of vellowfin tuna 147 would decrease during the study period (Figure 1).

148 Material and Methods

149 Biological data

150 A total of 25,914 vellowfin tuna (Thunnus albacares) were sampled from 151 1987 to 2019 in the Indian Ocean Tuna canning factory (IOT) in Victoria, 152 Seychelles [44]. All the sampled fish were caught by purse seine vessels 153 in the western Indian Ocean (details of the sample sizes are provided in 154 Tables S1 & S2). The total weight (W) of the individuals and their fork 155 length (FL) were measured. For each sampled tuna, the fishing vessel 156 and the fishing trip were recorded, but not the specific fishing set from 157 which it was caught, which meant that the exact fishing date and location 158 were unknown (see statistical analyses section for details on how the 159 uncertainty on location and date was managed). The year (Y) and guarter 160 (Q) of the catch of each tuna were estimated from the middle of interval 161 covered by the fishing trip dates. The guarters were defined to be 162 synchronous with the general movement of the fleet, fishing seasons and areas [8,45]: Q1, December to February; Q2, March to May; Q3, June to
August; and Q4, September to November. The fishing mode (M) - either
fishing on floating object-associated school (FOB) or on free school (FSC)
- was also defined, when available (6,615 yellowfin tuna). The total range
of FL was divided in three intervals, defining size classes (SC): small
(<75cm), medium (75-120cm) and large (>120cm).

169 Relative condition factor

170 We aimed to investigate changes in the condition factor of yellowfin tuna 171 throughout the study period and how variations related to a range of 172 explanatory variables. Firstly, to calculate the theoretical weight of 173 individuals (W_{th}), FL and W measures were used to estimate the parameters of the length-weight allometric relationship, using the 174 175 theoretical power-law equation of $W_{th} = a FL^{b}$. Details on the fit of this 176 power-law are presented in Supplementary Material 1. Secondly, for 177 each individual fish (i), the relative condition factor [42] was calculated 178 as follows:

$$179 \quad K_n(i) = \frac{W(i)}{W_{th}(i)}$$

180 where $W_{th}(i)$ is the theoretical weight of individual (*i*) calculated from 181 length-weight allometric relationship coefficients according to FL(i) and 182 W(i) is the measured total weight. By definition, K_n measures the 183 deviation of an individual from the weight of a mean individual of the 184 same length. For the remainder of the text, the relative condition factor 185 of one individual is denoted as $K_n(i)$, while the mean relative condition 186 factor calculated for a group of individuals is denoted as K_n .

187 Statistical analysis

188 In order to determine if K_n decreased with the concurrent increase in 189 DFAD numbers during the study period, a Generalized Additive Model 190 (GAM) was performed, with a Gaussian link function to account for other 191 explanatory variables. Explanatory variables were chosen to assess the 192 effect of the fishing year (Y), season (fishing quarter, Q), size of the 193 individuals (size class, SC), and fishing location (longitude, Lon; latitude, 194 Lat). Longitude and latitude were included in the model as a smoothed 195 term, and other variables were considered as factors.

Because K_n is the ratio of two correlated random variables (Pearson's correlation coefficient between W and W_{th}, Pearson's $\rho = 0.99$), it did not follow a normal distribution and displayed overdispersion [46]. For this reason, and because it did not change the interpretation of the GAM results (see Figure S2), we transformed the K_n using a Geary-Hinkley transformation before performing the GAM [47]. The transformed K_n(i), noted T(K_n(i)) was obtained as follows:

203
$$T(K_n(i)) = \frac{\overline{W_{th}} \times K_n(i) - \overline{W}}{\sqrt{\sigma_{th}^2 K_n(i)^2 - 2\rho\sigma \sigma_{th} K_n(i) + \sigma^2}}$$

where $K_n(i)$ is the relative condition factor of individual *i*; \overline{W} is the mean measured weight, and σ its standard deviation; $\overline{W_{th}}$ is the mean theoretical weight, and σ_{th} its standard deviation. Geary (1930) [47] demonstrated that $T(K_n)$ is normally distributed with mean zero and 208 standard deviation unity. Generalized Additive Models were performed 209 on $T(K_n)$. As the fishing mode was only available for a limited portion of 210 the dataset it was not considered in the GAM. Moreover, a 211 complementary analysis showed that fishing mode, size class and their 212 interaction with other explanatory variables did not impact the main 213 results of the study (see Figures S3 & S4).

214 As specified above, the exact geographic coordinates were not available for most of the sampled fish. Hence, a bootstrap process was applied: a 215216 dataset was generated by sampling one set of coordinates from all the 217 fishing sets of the trip for each individual and a GAM was then 218 performed. This operation was repeated 1,000 times and for every model 219 built, we selected the most parsimonious explanatory variables based on 220 the Akaike information criterion (AIC), using a stepwise selection 221 procedure and a threshold of 2. All the iterated GAM coefficients of the 222 explanatory variables considered as factors (Y, Q and SC) were averaged 223 and their standard deviation calculated. The spatial prediction of every 224 GAM was calculated and an average spatial prediction was calculated. 225 Finally, spatial autocorrelation in the residuals of each model were 226 assessed considering Moran's I and the Gaussian distribution of the 227 residuals was assessed on a quantile-quantile plot.

We performed all analyses using R software v.4.0.3 [48] and packages mgcv 1.8.33 to fit general additive models [49] and spdep 1.1_5 to test for spatial autocorrelation [50].

231 **Results**

232 Mean relative condition factors (K_n)

The mean relative condition factor value (K_n) was 1.01 ± 0.088 and mean annual K_n values varied between 0.93 ± 0.064 (in 1987) and 1.07 ± 0.079 (in 2012). The relative condition factor displayed annual variations, with low K_n values in 1987-1990 and around 2006, and the highest K_n values observed around 2012 (Figure 2). The mean annual K_n displayed similar variations per size class as when all the sampled fish were considered together (Figure 2). No clear trend in K_n variations were observed.

No clear difference was observed between the relative condition factor of tuna caught in free schools (FSC) and FOB-associated schools (FOB, Figure 3). The mean K_n by fishing mode displayed a similar pattern as the mean annual K_n obtained when all sampled fish were considered together.

245 Yearly variations of K_n

246 The most parsimonious model, selected using the AIC, included year (Y), 247 quarter (Q), size class (SC) and the smoothed term for longitude and latitude. The selected model explained 29.2% of the deviance. The 248249residuals displayed no spatial autocorrelation and their distribution was 250 not significantly different from a Gaussian distribution (Figure S5). The 251 GAM performed on the transformed relative condition factor $(T(K_n))$ 252 showed that strongest $T(K_n)$ variations were significantly correlated with 253 fishing year (Figure 4; Figure S6 & S7). The annual GAM coefficients

displayed a non-monotonous trend which was non-decreasing in time, with 1987 being the year with the lowest coefficient (-0.475 \pm 0.007) while 2012 was the year with the highest coefficient (0.673 \pm 0.006; Figure 4). The observed patterns were similar to those displayed when considering only the mean annual K_n (Figures 2&4).

259 **Discussion**

260 The main hypothesis tested in this study was that the introduction of 261 DFADs would have negatively impacted the condition of tunas, following roughly three decades of DFAD deployment in the ocean (Figure 1). The 262 relative condition factor (K_n) values obtained here did not display any 263 264 clear temporal trend over the study period (Figures 2 & 4), which goes 265 against the tested hypothesis. Hence, the present study concludes that under the conditions encountered by yellowfin tuna in the Indian Ocean 266 267 during the last three decades, the addition of DFADs to the pelagic 268 environment has not led to the creation of an ecological trap for this 269 species.

However, several precautions must be considered when interpreting this result. Firstly, as stated above, the study is valid only for the conditions experienced by yellowfin tuna in the western Indian Ocean in the last three decades. Furthermore, the habitat change induced by DFADs could also impact other biological processes, such as natural mortality, growth rate [9,51], or reproduction [52,53], that could not be assessed through our biological index. For example, if the growth rate of tunas is 277 negatively altered by DFADs [see 9], a tuna of a given size in the 1980s
278 could be younger than a tuna of the same size in the 2010-2020s, with
279 the two individuals having a similar physiological condition.

280 Data used in this study were not uniformly distributed across size classes and years (Figure S6). Such uneven size class distribution, which was 281 282 primarily a result of sampling effort, could influence the results. However, Wang et al. (2002) [54] found no relationship between the size 283 and the condition factor of yellowfin and bigeye tunas, and Diaha et al. 284285 (2015) [55] found no correlation between the condition factor and the 286 maturity stage. We also performed a GAM on data of each size class 287 independently, and the main result of the study remained unchanged: no 288 decreasing trend of physiological condition was observed concurrently 289 with increasing DFAD deployments (Figure S3). Therefore, we are 290 confident that the methodology used here did not suffer from any bias 291 induced through the uneven sampling.

292 Our study is based on the assumption that, since the late 1980s, the 293 number of DFADs increased in the western IO. While assessing a time-294 series of the exact density of DFADs is cumbersome, this assumption is 295 undeniably true [8,45,56-58]. Data on the number of DFADs are scarce but the trend, although lacking in precision, was most likely increasing, 296 297 reaching highest density levels very recently. In the early 1990s, less 298 than 50% of purse seine sets were performed on FOB-associated schools 299 [58]. Then, two steep increases were observed, around 1996 and again 300 around 2008, and FOB-associated sets reached 75% of all the purse-seine

301 sets in the IO in 2010 [58]. Maufroy et al. (2017) [57] demonstrated that 302 between 2007 and 2013, the French fleet in the IO multiplied the number 303 of tracking buoys used by more than 5. Combining these results with the 304 percentage of DFADs that are French, estimated in Katara et al. (2018) 305 [59], suggests that the number of DFADs used by both the French and 306 Spanish fleets (the two main fleets deploying DFADs in this ocean) 307 increased during that period, but not so drastically. Moreover, the 308 authors recognize that the percentage estimation may be relatively 309 unreliable prior to 2014. From 2014 to 2018, data on DFAD deployment 310 suggest a strong increase of DFAD use [59,60]. Hence, if the exact trend 311 is unknown, studies suggest that the number of DFADs in the Indian 312 Ocean continued to increase throughout the study period [see also 8,45]. 313 The conditions leading to an ecological trap might also have only been 314 reached in recent years, without being detectable in our study. This 315 highlights the need to keep developing long-term monitoring programs, 316 collecting data on DFAD densities [61] and standardized physiological 317 indicators, to study the impact of DFADs on tuna physiology.

Aside from the increase of DFAD density, other long-term phenomena 318 319 could also impact the physiology of tropical tuna. Since the 1980s, 320 climate change has already impacted tuna habitat, by inducing changes 321 in sea surface temperature or oxygen concentration, for example [62,63]. 322 These impacts may have concealed the impacts of the increase in DFAD 323 density. Climate change impacts are complex and may differ from one 324 tropical tuna species to another [62,64]. Increasing water temperatures 325 can impact fish metabolism, by increasing inefficiencies in mitochondrial 326 energy transduction, meaning that fish would need to eat more to 327 achieve a particular rate of growth or energy production (Little et al., 328 2020). Climate change is expected to provoke a poleward relocation of 329 tropical tuna habitats [65-67], and shifts in oxygen concentration may 330 provoke vertical habitat compressions [68]. Erauskin-Extramiana et al. 331 (2019) [62] projected that yellowfin tuna will become more abundant 332 under a climate change scenario (the authors used the RCP8.5 and 333 expect the same projected changes of abundance under other scenarios 334 until 2050). Hence, climate change impact on the physiological condition 335 of yellowfin tuna is complex and may have concealed a potential negative 336 impact of increasing DFAD density.

337 results tropical tuna condition Most on were obtained using 338 morphometric indicators [9,30,36,37]. These indicators should however 339 be used with caution as they do not always reflect the energy compounds in the tissues [35,39,69]. Hence, while these morphometric indicators 340 341 can be informative [41,43], and are often the only available metrics (as was the case in this study), further efforts are needed to validate them 342 against proper benchmarks [35]. Such validation could be achieved 343 through the development of experimental studies to assess variations in 344 morphometric indicators, such as Le Cren's K_n, during fasting. However, 345 346 in our study, a morphometric indicator (K_n) was the only available 347 indicator to test our hypothesis on historical data, as no long-term time-348 series of biochemical indicators exist for tuna.

349 Ecological traps are likely to become more common as human-induced environmental changes increase, and they have important implications 350 351 for the management of animal populations [15,34]. The hypothesis that 352 DFADs could act as ecological traps was developed over 20 years ago 353 [10]. It suggests that, by modifying the density and spatial distribution of 354 FOBs, the massive deployment of DFADs could retain or transport 355 individuals to areas that are ecologically unsuitable for them [6,10]. By 356 demonstrating the absence of any decreasing trend in yellowfin tuna 357 condition concurrent to the observed increasing DFAD density in the 358 Indian Ocean during the past three decades, this study rejects the 359 ecological trap hypothesis as it was originally formulated. However, as 360 explained above, DFADs can impact the biology of tuna in a variety of 361 complex ways, and this impact can be intertwined with the effects of 362 other factors such as climate change. Therefore, it is necessary to 363 establish long-term monitoring programs to track (i) habitat changes, (ii) temporal variations of behavioral features in tuna, such as large-scale 364 movements and schooling behavior, and (iii), temporal variations of 365 366 physiological parameters in tuna.

367 Data availability statement

368 Data used in this study is available in Guillou *et al.* (2021; https://doi.org/
369 10.17882/73500) [44].

- 370 The scripts used for the study are available on GitHub:
- 371 https://github.com/adupaix/Historical_YFT_condition
- 372 https://doi.org/10.5281/zenodo.6123417).

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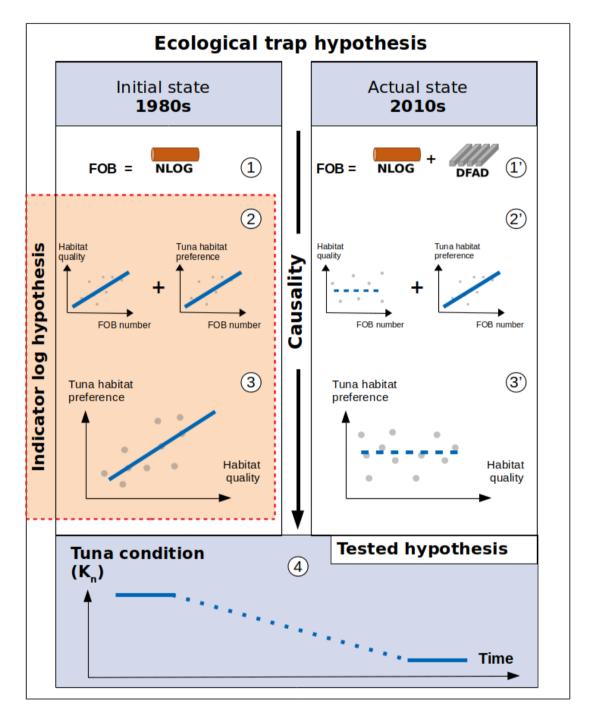
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380 Figures



381 Figure 1: Schematic representation of the ecological trap
382 hypothesis applied to Fish Aggregating Devices and tropical tuna.
383 FOB: Floating object of any kind; DFAD: Fish Aggregating Device; NLOG:

384 Natural floating object. Under this hypothesis, before DFAD introduction, 385 when only NLOGs were present (1), floating objects were distributed in productive areas (2), hence tunas, which associate with floating objects, 386 387 preferred high quality habitats (3). Since DFAD introduction (1'), the 388 distribution of floating objects has been modified and is no longer 389 correlated with habitat quality (2'). Hence, tunas, which still associate 390 with floating objects, do not select high guality habitat anymore (3'). As a 391 consequence of this habitat modification, the physiological condition of 392 tunas would have decreased since the 1980s (4). Preference is defined 393 here as "the likelihood of a resource being chosen if offered as an option with other available options" (Johnson 1980; Robertson and Hutto 2006). 394

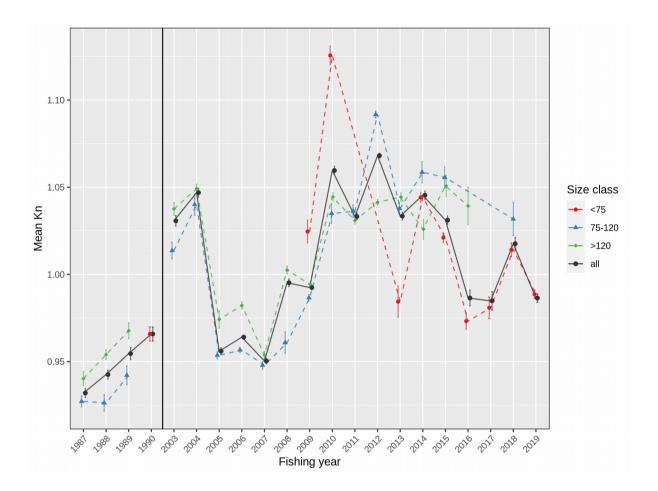
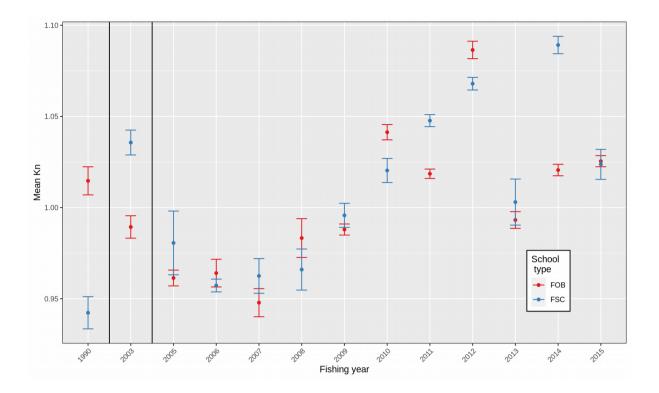


Figure 2: Mean relative condition factor per year. The K_n is represented for all individuals (all, black circles), for small individuals (<75, red circles), medium-size individuals (75-120, blue triangles) and large individuals (>120, green diamonds). Values are represented only when more than 50 individuals of the given class were measured. Error bars represent the standard error of the mean.



401 Figure 3: Mean relative condition factor per school type and per
402 year. (A): Mean K_n values per school type: FOB-associated schools (FOB)
403 in red and free schools (FSC) in blue. Error bars represent the standard
404 error; (B) Difference between the K_n of FOB and the K_n of FSC. A positive
405 value means that FOB-associated tunas are in better physiological than
406 FSC tunas.

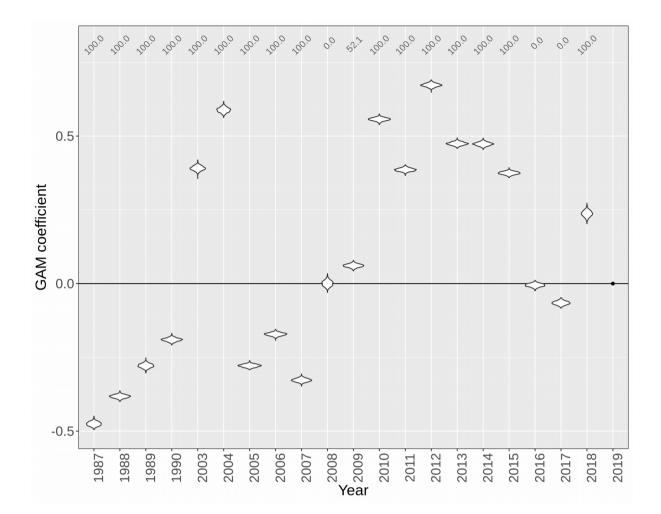


Figure 4: Coefficients of the fishing year in the Generalized 407 408 Additive Model. Each coefficient represents the mean deviation of T(K_n) 409 from the values for a year of reference (2019, represented by a black 410 dot). The shape of the points represents the distribution of the values 411 obtained with the bootstrap process. Numbers in grey in the upper part 412 of the panels represent the percentage of the models generated in the 413 bootstrap for which a given category was significantly different from the category of reference. 414