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# Dual effect of $ZrO_2$ on phase separation and crystallization in $Li_2O-Al_2O_3-SiO_2-P_2O_5$ glasses

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**Abstract**

ZrO<sub>2</sub> is an effective nucleation agent for low-expansion lithium aluminum silicate (LAS) glass-ceramic (GC) with high Al<sub>2</sub>O<sub>3</sub> content. However, the effect of ZrO<sub>2</sub> is still not fully understood in LAS glasses with low contents of Al<sub>2</sub>O<sub>3</sub> and P<sub>2</sub>O<sub>5</sub>. In this work, the effect of ZrO<sub>2</sub> on the phase separation and crystallization of Li<sub>2</sub>O-Al<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub>-P<sub>2</sub>O<sub>5</sub> glasses were investigated. The results revealed that ZrO<sub>2</sub> significantly increased  $T_g$  and the crystallization temperature of Li<sub>2</sub>SiO<sub>3</sub> and Li<sub>2</sub>Si<sub>2</sub>O<sub>5</sub> crystals. Li<sub>3</sub>PO<sub>4</sub> crystals precipitated preferentially in the glass containing 3.6 mol% ZrO<sub>2</sub> wherein Zr was stable in the network and no precipitation of ZrO<sub>2</sub> nanocrystals was observed. Moreover, the separation of phosphate-rich phases in the as-quenched glasses increased with the addition of ZrO<sub>2</sub>. The findings of the study revealed a dual role of ZrO<sub>2</sub>. First, ZrO<sub>2</sub> acted as a glass network former rather than a nucleation agent, increasing glass viscosity and the nucleation barrier of Li<sub>2</sub>SiO<sub>3</sub> through its strong network connectivity. Secondly, as Zr preferentially combined with NBO to form Si-O-Zr linkages, a sufficient amount of charge-balancing Li<sup>+</sup> ions existed in the network, which promoted the separation of phosphate-rich phases. It indicated that the incorporation of ZrO<sub>2</sub> contributes to the activation of the nucleation role of P<sub>2</sub>O<sub>5</sub>, thus contribute to the formation of nanocrystals and fine microstructure of GCs.

**Keywords:** ZrO<sub>2</sub>, glass ceramic, nucleation, phase separation, crystallization

## 1. Introduction

Since the pioneering work of Stookey in the 1950s,<sup>1</sup> much attention has been directed toward the development of glass ceramics (GCs). These materials exhibit highly desirable properties<sup>2</sup> which result from the combination of the advantages of their amorphous matrix and those of the microcrystalline phase dispersed throughout. The properties of GCs strongly depend on the nature and composition of their crystalline phases. Lithium aluminum silicate (LAS) GCs have been extensively studied owing to their low thermal expansion coefficients, high mechanical strength, and transparency characteristics. LAS glasses are widely used in fireplaces, telescope mirrors, and dental restorative materials.<sup>3-5</sup> Based on the phase diagram of LAS glass, crystals with different characteristics can be formed in situ by adjusting the glass composition.<sup>6</sup> In particular, the addition of nucleation agents such as  $ZrO_2$  or  $P_2O_5$ ,<sup>7, 8</sup> which form abundant uniform nucleation sites, allow for controlled crystallization to be achieved.

The effects of nucleation agents on crystallization are significantly influenced by the composition of the original glass; e.g.,  $P_2O_5$  promotes crystallization in LAS glasses with low  $Al_2O_3$  contents, however crystallization is inhibited for high  $Al_2O_3$  content.<sup>9-11</sup>  $^{31}P$  solid-state nuclear magnetic resonance (NMR) analyses have indicated that this behavior is related to changes in the structural environment of phosphorus.<sup>12, 13</sup> In LAS glasses with low  $Al_2O_3$  content, phosphorus is mainly present as orthophosphate segregated in the glass network

and promotes phase separation and crystallization. With increasing  $\text{Al}_2\text{O}_3$  content, phosphorus combines with aluminum to form a POAl complex, and according to the aluminum avoidance principle (i.e., Loewenstein's Rule), phosphorus is evenly dispersed in the glass network, which inhibits crystallization.<sup>14, 15</sup> A similar dependence was observed for  $\text{ZrO}_2$ , which acts as an effective nucleation agent for low-expansion LAS GCs with high  $\text{Al}_2\text{O}_3$  content,<sup>16</sup> thereby decreasing the crystallization temperature and promoting the precipitation of the  $\beta$ -quartz solid solution (ss). It was reported that Zr-rich liquid-liquid phase-separation droplets are transformed into  $\text{ZrO}_2$  nanocrystals, serving as nucleation sites for the  $\beta$ -quartz ss.<sup>17, 18</sup> However,  $\text{ZrO}_2$  inhibits crystallization in LAS glasses with low  $\text{Al}_2\text{O}_3$  content. Khalkhali *et al.*<sup>19</sup> reported that  $\text{ZrO}_2$  significantly increases the crystallization temperature of the LAS glasses, the final GCs are coarse. Additionally,  $\text{ZrO}_2$  was found to completely inhibit crystallization in stoichiometric  $\text{Li}_2\text{O}-2\text{SiO}_2$  glass and induce significant surface crystallization.<sup>20</sup>

For LAS glasses co-doped with  $\text{ZrO}_2$  and  $\text{P}_2\text{O}_5$ , the effects of  $\text{ZrO}_2$  are even more complex. Apel *et al.*<sup>21</sup> reported that for a multi-component  $\text{Li}_2\text{Si}_2\text{O}_5$  glass (containing minor amounts of  $\text{Al}_2\text{O}_3$ ,  $\text{K}_2\text{O}$ , and  $\text{P}_2\text{O}_5$ ),  $\text{ZrO}_2$  did not precipitate as nanocrystal nuclei or form crystalline phases, but increased the glass viscosity and hindered the growth of  $\text{Li}_2\text{Si}_2\text{O}_5$  crystals. Krüger *et al.*<sup>22</sup> studied the nucleation kinetics of a similar  $\text{Li}_2\text{Si}_2\text{O}_5$  glass where it was assumed that  $\text{ZrO}_2$  accelerates the precipitation of amorphous orthophosphate phases which provides .

numerous heterogeneous nucleation sites. The effects of  $ZrO_2$  in multi-component  $Li_2Si_2O_5$ -based glasses is not completely understood, particularly for glasses co-doped with  $ZrO_2$  and  $P_2O_5$ .

Elucidating the intrinsic role of the nucleation agent will enable improved control of the crystallization of GCs and further enhancement of their properties. Herein, we examined the effects of  $ZrO_2$  on crystallization in multi-component  $Li_2O-Al_2O_3-SiO_2-P_2O_5$  glasses. Multi-stage thermal treatments were used to study the related phase-separation and crystallization behaviors. The crystalline phase and morphology of the heat-treated samples were analyzed by X-ray diffraction (XRD) and SEM, respectively. Because the effects of the nucleating agent strongly depend on the glass structure, Raman spectroscopy was used to investigate the origin of these effects from a structural perspective.

## 2. Experimental

### 2.1 Glass preparation

$SiO_2$  (99.98%),  $Li_2CO_3$  (99.90%),  $Al_2O_3$  (99.80%),  $NH_4H_2PO_4$  ( $\geq 99.0\%$ ), and  $ZrO_2$  (99.8%) were used as starting materials. A standard melting-quenching technique was used to prepare glasses with nominal compositions (Table 1) of  $xZrO_2-(100-x)$  ( $0.725SiO_2-0.05Al_2O_3-0.215Li_2O-0.01P_2O_5$ ) ( $x = 0, 1.8, 3.6$  mol%), labeled as P1Z0, P1Z2, and P1Z4, respectively, and a  $P_2O_5$ -free glass with a composition of  $73.5SiO_2-5Al_2O_3-21.5Li_2O$  (P0Z0; reference). For each composition, a 150 g batch was thoroughly mixed and melted at  $1590^\circ C$  for 3 h in a Pt-Rh.

(90/10 wt%) crucible. The melt was quenched by pouring onto a copper plate and then immediately pressed by a second plate to maximize the cooling rate.

Glass compositions (Table 1) were determined by X-ray fluorescence spectroscopy (XRF, PANalytical Axios, Netherlands), while  $\text{Li}_2\text{O}$  content was determined by atomic absorption spectrometry (AAS, CONTRAA-700, Analytik Jena, Germany). The relative errors of these two analytical methods were 0.05 and  $<0.01$  mol%, respectively.

## 2.2 Heat treatment schedule

Differential scanning calorimetry (DSC) was used to determine the required heat treatment temperatures. As-quenched glasses were broken into small pieces prior to heat treatment and a heating rate of  $10^\circ\text{C}/\text{min}$  was applied. All samples were quenched to  $25^\circ\text{C}$  after heat treatment. The heat treatment conditions were listed in Table 2.

**Schedule I. Nucleation.** To investigate the effect of  $\text{ZrO}_2$  on phase separation and nucleation, the samples were preheated within the typical range of nucleation temperatures,  $\sim 60^\circ\text{C}$  above the glass transition temperature ( $T_g$ ). P1Z0 was heated at  $540^\circ\text{C}$  for 2 and 4 h, P1Z2 was heated at  $560^\circ\text{C}$  for 2 and 4 h, and P1Z4 was heated at  $580^\circ\text{C}$  for 2 and 4 h.

**Schedule II. Crystal growth.** To study the effect of  $\text{ZrO}_2$  on crystallization temperature, crystallization sequence, and crystallinity, P1Z0 was consecutively heated to 560, 620, 680, 700, 720, 750, 770, and  $820^\circ\text{C}$ , while .

P1Z4 was similarly heated to 630, 680, 720, 750, 790, 820, 870, and 900 ° C. Samples were held at each temperature for 10 min to monitor the crystalline phase evolution, prior to the subsequent temperature step.

**Schedule III. Primary phase evolution.** To study the possible effect of ZrO<sub>2</sub> on the primary crystalline phase. P1Z0 was heated at 575 ° C for 10, 30, and 60 min, while P1Z4 was heated at 650 ° C for 10, 30, and 60 min.

### 2.3 Characterization

Glass granules (40 mg each) were subjected to DSC measurements (STA 449 F1, Netzsch, Germany) in the temperature range of 40-1000 ° C at a heating rate of 10 ° C/min in a flow of Ar. The obtained DSC curves were used to determine  $T_g$ , onset of crystallization ( $T_c$ ), and peak of crystallization ( $T_p$ ) (Table 3). The error in the determination of  $T_g$ ,  $T_c$ , and  $T_p$  was estimated as  $\pm 2$  ° C.

Amorphous and crystalline phases were analyzed by XRD (PANalytical X' Pert Pro, Netherlands) using a Cu  $K_\alpha$  radiation source at 40 kV and 40 mA. Measurements were performed within the  $2\theta$  range of 10-80° at a scan rate of 2° /min and a step size of 0.013° /s. The crystallinities ( $X_c$ ) of Schedule-I samples were calculated from the positions and relative intensities of their XRD peaks as follows:<sup>23</sup>

$$X_c = \frac{\sum I_{ci}}{\sum I_{ci} + KI_a} \times 100\%, \quad (1)$$

where  $I_a$  and  $I_{ci}$  are the integrated intensities of amorphous and crystalline phase peaks, respectively, while  $K$  is a constant that is related to the measurement conditions and glass composition ( $K = 0.963$  for  $\text{Li}_2\text{Si}_2\text{O}_5$  GCs).

Field-emission scanning electron microscopy (SEM; Hitachi S-4800, 5 kV, Japan) was used to observe phase separation before crystallization. The GC fracture surface was etched with 2 vol% aqueous HF for 25 s, cleaned by ultrasonication in deionized water for 10 min, and then sputter coated with platinum for 30 s to avoid the charging effect during SEM analysis.

Structural analysis was performed using a confocal laser Raman microscope (DXR, Thermo Electron, USA) equipped with a 532 nm laser operating at 100 mW. The spectra of quenched glasses with cleaned surfaces were acquired at 25 ° C in the wavenumber region of 100-1400  $\text{cm}^{-1}$ . The signals at 850-1250  $\text{cm}^{-1}$  were deconvoluted using Gaussian functions. Prior to deconvolution, spectra were normalized and the temperature and frequency dependency of the scattering intensity was corrected using a correction factor.<sup>24</sup>

### 3. Results

#### 3.1 Thermal properties

The DSC curves of as-quenched and preheated glasses are shown in Figure 1. In the former case, the increase in  $T_g$  with increasing  $\text{ZrO}_2$  content was attributed to the increase in network connectivity.<sup>25</sup> For P1Z0 exothermic peaks were

observed at 636 and 744 ° C which were shifted to higher temperatures for P1Z2. Specifically, the peak at 636 ° C shifted to 696 ° C and a small peak appeared near 655 ° C. The curve of P1Z4 showed only a small peak near 694 ° C, indicating that ZrO<sub>2</sub> inhibited glass crystallization for this composition. It is unclear if peak observed at ~655 ° C for P1Z2 represented glass crystallization, as it was less sharp than typical crystallization peaks. Similarly, the origin of the peak observed near 694 ° C for P1Z4 was also uncertain.

Since preheating promoted crystallization, the exothermic peaks of preheated samples were broader and located at lower temperatures compared to the as-quenched samples. For P1Z2, after 2 h of preheating at 560 ° C, the peak near 655 ° C significantly decreased and was not present after 4 h of preheating. In the case of P1Z4, preheating significantly reduced the intensity of the peak at approximately 694 ° C and resulted in the appearance of an exothermic peak near 850 ° C. Given that DSC is sensitive to the thermal history of samples and since fully crystallized samples do not exhibit crystallization peaks, the changes observed occurred during preheating.

### 3.2 Phase-separation behavior

The XRD patterns and Raman spectra of as-quenched and preheated glasses are shown in Figure 2. Excluding the P1Z0 sample preheated at 540 ° C for 4 h, all XRD patterns featured only amorphous phase peaks, indicating the absence of crystalline phases (Figure 2A). Essentially, P1Z2 and P1Z4 did not crystallize

during preheating and the small peak near 655 ° C in the DSC curve of P1Z2 is not attributable to crystallization. The peaks of P1Z0, preheated at 540 ° C for 4 h, were assigned to crystalline lithium metasilicate ( $\text{Li}_2\text{SiO}_3$ ; PDF No. 29-0829) and this is further confirmed by the observation of peaks at 608 and 981  $\text{cm}^{-1}$  in the corresponding Raman spectrum (Figure 2B).<sup>26</sup>

The major preheating-induced change in the Raman spectra is observed near 950  $\text{cm}^{-1}$  (black dashed line in Figure 2B). With increasing preheating duration, the bands of P1Z0 and P1Z2 (initially at 958  $\text{cm}^{-1}$ ) shifted to 950  $\text{cm}^{-1}$  and became sharper. In the case of P1Z4, after 4 h of preheating a sharp band at 950  $\text{cm}^{-1}$  became more visible and was assigned to the characteristic  $[\text{PO}_4]$  group vibration<sup>27</sup>. Thus, preheating mainly resulted in structural changes in the phosphorus environment, which was predicted to be more ordered from the accumulation of orthophosphate units, as indicated by the peak sharpening of the 950  $\text{cm}^{-1}$  peak.<sup>10</sup> Further discussion of the Raman spectra of the as-quenched glasses is presented in section 3.5.

### 3.3 Morphology

The SEM micrographs of as-quenched and preheated samples are shown in Figure 3. Prior to analyzing sample morphology, the ability of HF to easily erode phosphate-rich and lesser polymerized phases was confirmed. The HF-eroded areas were found to be rich in phosphorus and lithium since phosphorus is easily separated from the network and forms isolated orthophosphate groups which

attract  $\text{Li}^+$  ions.<sup>28, 29</sup> All as-quenched glasses exhibited nanoscale granular or vermicular phase-separation structures with sizes of  $<20$  nm. P1Z0 exhibited an interconnected morphology with closely clustered particles and poorly developed eroded ravines (Figure 3A). Compared to P1Z0, P1Z2 exhibited a partially interconnected morphology, with more pronounced eroded ravines and some isolated phase-separation droplets (Figure 3B); however, some traces of connectivity between droplets remained present. Conversely, P1Z4 featured a prominent phase-separation morphology with isolated droplets and clearly eroded ravines (Figure 3C). The addition of  $\text{ZrO}_2$  affects the phase-separation morphology and results in an increased amount of separated phosphate-rich phases in as-quenched glasses.

With increased preheating time, the eroded ravines became more prominent in all cases, and isolated droplet phase-separation structures were observed after 4 h of preheating. As reported by Glatz *et al.*,<sup>30</sup> the separation of phosphate-rich phases is correlated to the nucleation of  $\text{Li}_2\text{SiO}_3$  in LAS glasses with low  $\text{Al}_2\text{O}_3$  content. Black erosion pits appeared between particles after 2 h of preheating (Figure 3D) and increased in size to  $\sim 30$  nm after 4 h of preheating (Figure 3G). The erosion pits were associated with the  $\text{Li}_2\text{SiO}_3$  crystals observed in Figure 2A, which were easily eroded by HF because of their low degree of polymerization.<sup>22</sup> More eroded areas were observed as the preheating time was increased (Figures 3H and 3I) and the corresponding XRD patterns and Raman spectra did not indicate nucleation and crystallization of  $\text{Li}_2\text{SiO}_3$  (Figure 2).

Furthermore, since HF mainly eroded phosphate-rich phases, it was concluded that preheating increased the content of these phases in P1Z2 and P1Z4. This behavior is consistent with the results of Raman spectroscopy (Figure 2B) which suggests that for P1Z2 and P1Z4, the preheating treatment induced changes in only the phosphorus environment. Therefore, the small peak near 655 ° C in the DSC curve of P1Z2 (Figure 2B) was attributed to increased phase separation.

### 3.4 Crystallization and primary phase evolution

Based on the significant difference between the DSC curves of P1Z0 and P1Z4, the effect of ZrO<sub>2</sub> on crystallization was mainly monitored for these compositions. During the heat treatment of P1Z0, both Li<sub>2</sub>SiO<sub>3</sub> (PDF No. 29-0829) and Li<sub>2</sub>Si<sub>2</sub>O<sub>5</sub> (PDF No. 40-0376) crystals precipitated at 620 ° C and gradually grew until 680 ° C (Figure 4A). Upon further heating, Li<sub>2</sub>Si<sub>2</sub>O<sub>5</sub> crystal content rapidly increased, and at 750 ° C, Li<sub>2</sub>SiO<sub>3</sub> crystals disappeared. Petalite (LiAlSi<sub>4</sub>O<sub>10</sub>; PDF No. 83-1470) crystals appeared at 700 ° C, became slightly more apparent with heating to 750 ° C, and then disappeared at 770 ° C. Another aluminum-containing crystal phase, β-quartz ss (Li<sub>x</sub>Al<sub>x</sub>Si<sub>3-x</sub>O<sub>6</sub>; PDF No. 31-0707), rapidly became more abundant above 720 ° C, developed into the main crystalline phase of the GC, and then transformed into β-spodumene ss (Li<sub>x</sub>Al<sub>x</sub>Si<sub>3-x</sub>O<sub>6</sub>; PDF No. 35-0794) at 820 ° C.<sup>31</sup>

The XRD pattern of P1Z4 heated at 680 ° C for 10 min exhibited peaks of Li<sub>3</sub>PO<sub>4</sub> crystals at 33.9 and 36.5° (Figure 4B) that vanished as the crystallinity

increased. For P1Z4,  $\text{Li}_2\text{SiO}_3$ ,  $\text{Li}_2\text{Si}_2\text{O}_5$ ,  $\text{LiAlSi}_4\text{O}_{10}$ , and  $\beta$ -quartz ss, crystals precipitated at relatively higher temperatures. However,  $\text{LiAlSi}_4\text{O}_{10}$  crystals did not disappear, and the transformation of  $\beta$ -quartz ss into  $\beta$ -spodumene ss was not observed in the investigated temperature range. Moreover, cristobalite ( $\text{SiO}_2$ ; PDF No. 27-0605) crystals appeared at 720 ° C and increased until 750 ° C, then it decreased rapidly with the growth of  $\text{Li}_2\text{Si}_2\text{O}_5$ . As it has been reported that cristobalite precipitated during the transformation from  $\text{Li}_2\text{SiO}_3$  into  $\text{Li}_2\text{Si}_2\text{O}_5$ .<sup>9, 32</sup>

The XRD patterns in Figure 5A reveal the first crystal phases to precipitate in P1Z0 and P1Z4 glasses. In P1Z0, precipitation preferentially resulted in  $\text{Li}_2\text{SiO}_3$  and  $\text{Li}_2\text{Si}_2\text{O}_5$  crystals, as reported by Huang *et al.*,<sup>32</sup> while  $\text{Li}_3\text{PO}_4$  crystals preferentially precipitated in P1Z4. The Raman spectrum of P1Z0 heated at 575 ° C for 60 min (Figure 5B) exhibited bands of  $\text{Li}_2\text{Si}_2\text{O}_5$  crystals<sup>33</sup> (at 414 and 1106  $\text{cm}^{-1}$ ) and peaks corresponding to the Si-O-Si vibration in crystalline  $\text{Li}_2\text{SiO}_3$  (at 609 and 981  $\text{cm}^{-1}$ ).<sup>26</sup> The spectra of P1Z4 heated at 650 ° C for 30 and 60 min exhibited a sharp signal at 951  $\text{cm}^{-1}$  attributed to  $\text{Li}_3\text{PO}_4$  crystals with higher crystallinity.<sup>34</sup> This band was also observed for P1Z0, although no  $\text{Li}_3\text{PO}_4$  peaks were observed in the corresponding XRD pattern, which may be owing to low crystallinity.

### 3.5 Glass structure

Figure 6 presents the Raman spectra of as-quenched glasses. The region between 400 and 600  $\text{cm}^{-1}$  corresponds to the bending vibrations of the bridging oxygen (BO) bonds of  $\text{SiO}_4$  tetrahedra.<sup>35</sup> The band near 490  $\text{cm}^{-1}$  indicated the presence of four-membered rings, while the long tail at lower frequencies was attributed to the stretching vibration of oxygen bonds in high-membered rings.<sup>36</sup> The frequency changes in this region (marked with black arrows) are associated with silicate network deformation and ring statistic modification due to the presence of Zr atoms.<sup>37</sup> The band near 601  $\text{cm}^{-1}$  was attributed to the rocking motion of bonds to BO in structural units containing non-bridging oxygen (NBO), which is characteristic of defect bonds in the silicate network.<sup>38</sup> With increasing  $\text{ZrO}_2$  content, the intensity of this band (and hence the NBO content) decreased while the intensity of the band at 800  $\text{cm}^{-1}$  remained nearly unchanged. For an enhanced illustration of the structural changes caused by  $\text{ZrO}_2$ , the high-frequency, unresolved group of bands over the 850-1250  $\text{cm}^{-1}$  range was fitted using 4-6 Gaussian peaks (Figure 7) and the extracted parameters are listed in Table 4.

Peaks within the region of 850-1250  $\text{cm}^{-1}$  conventionally correspond to the stretching vibrations of the Si-O bonds within  $\text{SiO}_4$  tetrahedra. These are often described as  $Q^n$  units (where  $n$  is the number of BO atoms per tetrahedron), and provide important information on the glass structure.<sup>39</sup> For P1Z0, the peaks at 958, 1068, and 1152  $\text{cm}^{-1}$  were assigned to the symmetric stretching vibrations of the  $Q^2$ ,  $Q^3$ , and  $Q^4$  units, respectively.<sup>40</sup> The peak at 1004  $\text{cm}^{-1}$  was assigned .

as a  $T_2$  vibrational mode of  $SiO_4$  tetrahedra.<sup>41</sup> The peak at  $957\text{ cm}^{-1}$  was attributed to the characteristic vibration of  $PO_4$  tetrahedra, representing the structural environment of phosphorus in glass.<sup>27</sup> The peak near  $990\text{ cm}^{-1}$  observed for P1Z2 and P1Z4 was assigned to the Si-O-Zr vibration in borosilicate glass by comparison with the Raman spectrum of zektzerite ( $NaLiZrSi_6O_{15}$ ), in which  $Zr^{4+}$  ions are six-fold coordinated.<sup>42</sup> This band was assigned to one Si atom linked to three Si and one Zr (i.e., a  $ZrO_6$  octahedra) neighboring atoms by BO atoms with  $Li^+$  and  $Na^+$  as charge-balancing cations. Therefore, the peak near  $990\text{ cm}^{-1}$  was denoted as  $Q^3(Zr)$ , while the peak at  $1068\text{ cm}^{-1}$  was denoted as  $Q^3(Li)$ . Zr can also connect with  $Q^2$  units to form  $Q^2(Zr, Li)$  units. In contrast Si, Zr has a larger cationic radius ( $0.59\text{ \AA}$  for  $Zr^{4+}$  vs.  $0.26\text{ \AA}$  for  $Si^{4+}$ ) and atomic weight,<sup>43</sup> resulting in an elongation of the average T-O ( $T = Si, Zr$ ) bond length. This accounts for the lower frequency of  $Q^3(Zr)$  units compared to  $Q^3(Li)$  units and the decrease in the frequency of  $Q^2$  units.

Figure 8 shows the effects of  $ZrO_2$  content on the relative areas of Raman bands, revealing that increasing  $ZrO_2$  content resulted in a decrease in the combined area of  $Q^3(Li)+Q^4$  and an increase in the area of  $Q^3(Zr)$ . The increase in the number of  $Q^3(Zr)$  units reinforced the network as some  $Li^+$  ions acted as charge-balancing cations of the  $ZrO_6$  octahedra instead of engaging in network depolymerization through the formation of NBO in  $Q^3(Li)$  units. This effect of  $ZrO_2$  on glass structure compensated for the decrease in the amount of  $Q^4$  units, thereby increasing the degree of polymerization of the glass network.

With .

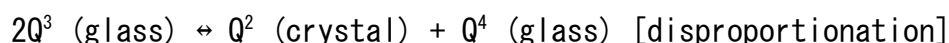
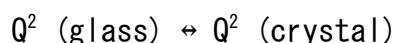
increasing  $ZrO_2$  content, the area of  $PO_4$  peaks slightly increased, while the peak position remained largely unchanged. The areal changes of the  $Q^2$  and  $T_2$  units are likely due to variation in the relative number of other units.

## 4. Discussion

### 4.1 Effect of $ZrO_2$ on crystallization behavior

DSC results indicate that glass crystallization was hindered by high  $ZrO_2$  content, as reported previously.<sup>19, 21</sup> The DSC crystallization peak area is proportional to the remaining amount of residual glass, which depends on both the number of nuclei and the crystal growth rate.<sup>44</sup> In the DSC curve of as-quenched P1Z0, the area of the first crystallization peak decreased after addition of 1.8 mol%  $ZrO_2$ . While,  $Li_2SiO_3$  and  $Li_2Si_2O_5$  crystals precipitated preferentially in P1Z0, indicating the weakening of nucleation and crystal growth of  $Li_2SiO_3$  and  $Li_2Si_2O_5$  with the addition of  $ZrO_2$ . SEM and XRD data show that nucleation of  $Li_2SiO_3$  only occurred in P1Z0 during preheating, and no crystal nuclei were observed in P1Z2 and P1Z4 even after 4 h of preheating in the common nucleation temperature range. As shown in Figure 4, the crystallization temperature of  $Li_2SiO_3$  and  $Li_2Si_2O_5$  increased by 100 ° C. The addition of  $ZrO_2$  increases the polymerization degree of glasses, resulting in higher viscosity, thus, it hinders atomic diffusion and the increases. kinetic barrier of crystallization<sup>21, 22</sup> Additionally, the change of glass structure upon  $ZrO_2$  incorporation also

contributed to this inhibitory effect. Indeed, the nucleation of  $\text{Li}_2\text{SiO}_3$  is known to be sensitive to kinetic parameters.<sup>45</sup> Considering the nucleation process,  $\text{Li}_2\text{SiO}_3$  is formed from both  $\text{Q}^2$  and  $\text{Q}^3$  units:<sup>12</sup>



Based on the structural analysis, Zr can connect with  $\text{Q}^2(\text{Li})$  units to form  $\text{Q}^2(\text{Zr}, \text{Li})$  units and thus reduce the number of  $\text{Q}^2(\text{Li})$  units available to directly forming  $\text{Li}_2\text{SiO}_3$ . Moreover, the Si-O-Zr bond is stronger than Si-O-Li bond, and the disproportionation reaction is suppressed because the P1Z4 network features more  $\text{Q}^3(\text{Zr})$  units and fewer  $\text{Q}^3(\text{Li})$  units. Consequently, the barrier towards nucleation of  $\text{Li}_2\text{SiO}_3$  increases and a higher nucleation temperature is required. The crystallization of  $\text{Li}_2\text{SiO}_3$  was reported to be closely related to that of  $\text{Li}_2\text{Si}_2\text{O}_5$ <sup>9</sup> which explains the suppression of glass nucleation and the increase in the crystallization temperatures of  $\text{Li}_2\text{SiO}_3$  and  $\text{Li}_2\text{Si}_2\text{O}_5$  with increasing  $\text{ZrO}_2$  content.

The effects of heat treatment on the crystallinity of P1Z0 and P1Z4 are shown in Figure 9. The average grain size of  $\text{Li}_2\text{SiO}_3$  crystals precipitated in P1Z0 and P1Z4 was calculated using the Scherrer equation. During the early stage of crystallization, the crystallinity of P1Z4 was lower than that of P1Z0, while the grain size of  $\text{Li}_2\text{SiO}_3$  crystals in P1Z4 (~53 nm) exceeded that observed for P1Z0 (~21 nm). Thus, fewer  $\text{Li}_2\text{SiO}_3$  crystals precipitated in P1Z4, which agrees .

with the proposed inhibitory effect of  $ZrO_2$  on glass nucleation. Moreover, the highest crystallinity of P1Z4 was 18 % lower than that of P1Z0. Generally, when  $ZrO_2$  is used as a nucleation agent in low-expansion LAS GCs, heterogeneous Zr-rich phase-separation droplets are preferentially formed during heat treatment which then gradually transform into  $ZrO_2$  nanocrystals, providing heterogeneous nucleation sites.<sup>18</sup> Herein, no  $ZrO_2$  precipitation was observed during the crystallization of P1Z4. Based on the lower crystallinity of P1Z4 and the presence of numerous residual glass phases therein, the  $ZrO_2$  content did not reach saturation. Notably, P1Z4 contains a large amount of  $Li_2O$  which resulted in a lower degree of polymerization wherein  $ZrO_2$  is more soluble.<sup>46</sup> Cormier *et al.*<sup>47</sup> suggested that the nucleation effect of Zr was caused by its distribution in the aluminosilicate matrix where direct connections between  $ZrO_x$  polyhedra are required for  $ZrO_2$  to function as a nucleation agent. The linkages between these  $ZrO_x$  polyhedra increase the short-range order of the glass structure, leading to the separation of Zr-rich phases and the precipitation of  $ZrO_2$  nuclei. However, the distribution of Zr is more regular in aluminosilicate glass networks with low degrees of polymerization.<sup>48</sup> Recently, Cicconi *et al.*<sup>49</sup> reported the occurrence of the sub-nano Zr local clusters in a Zr-rich  $SiO_2$ - $Li_2O$ - $P_2O_5$ - $ZrO_2$  glass having a  $SiO_2/Li_2O$  molar ratio  $< 2$ , while the local environment of  $Zr^{4+}$  is not dramatically changed during the different heat treatments, either when higher temperatures or longer times. This means that the connections between  $ZrO_x$  polyhedra are unlikely to form in P1Z4, or even

if Zr-rich clusters are formed, the absence of Zr-bearing crystals upon crystallisation of P1Z4 indicates that these aggregations do not evolve above the critical nucleus size. Consequently,  $ZrO_2$  does not act as a nucleation agent in P1Z4.

#### 4.2 Effect of $ZrO_2$ on phase-separation behavior

The separation of phosphate-rich phases or the formation of disordered  $Li_3PO_4$  phases was reported to occur prior to the crystallization of  $Li_2SiO_3$ , and highly crystalline  $Li_3PO_4$  was only formed at relatively higher temperatures.<sup>12, 32</sup> XRD and Raman spectroscopy revealed the preferential crystallization of  $Li_3PO_4$  in P1Z4 (Figure 5). The relatively higher content of phosphate-rich regions in P1Z4 facilitated the crystallization of  $Li_3PO_4$ , as the composition prerequisites were fulfilled. Combining the results of SEM analysis and Raman fitting, the results indicate that  $ZrO_2$  can promote phase separation by facilitating the separation of phosphate-rich phases. While it is known that  $ZrO_2$  promotes the phase separation of Zr-rich droplets in low-expansion LAS GCs,<sup>17, 18</sup> there is no direct evidence that  $ZrO_2$  promotes phase separation in  $Li_2O-Al_2O_3-SiO_2-P_2O_5-ZrO_2$  glasses. The SEM results of as-quenched samples (Figure 3A-C) indicates that phase separation occurs spontaneously in the original glass composition. As the  $ZrO_2$  content increased, phosphate-rich phases increased and gradually connected, which caused silicon-rich regions to appear as isolated droplets (Figure 3B-C). The kinetics of phosphate-rich phase separation is controlled by atomic

diffusion, thus, the temperature and time of heat treatment significantly affect the evolution of phase separation. With the extension of heat treatment time, the separated phosphate-rich phases increase, which is consistent with that observed in Figure 3D-I. Notably, the development of phase separation of samples containing  $ZrO_2$  is more obvious, which indicates that  $ZrO_2$  increases the immiscibility of glass.

The connection between Zr and the glass network has been found in several glass systems (e.g., borosilicate and aluminosilicate).<sup>35, 42</sup> In these glass networks,  $Zr^{4+}$  ions are nearly six-fold coordinated, and  $ZrO_6$  octahedra share corners with  $SiO_4$  or  $AlO_4$  tetrahedra,<sup>50, 51</sup> as shown in Figure 10A. The origin of the promotional effect of  $ZrO_2$  on the formation of phosphate-rich phases is illustrated by a structural scheme inspired by the models proposed by Quintas<sup>42</sup> and Greaves<sup>52</sup> (Figure 10B). As Zr enters the glass network, the solubility of phosphorus in the highly polymerized glass network decreases<sup>28</sup> and six-coordinated Zr is expected to preferentially bind to NBO.<sup>48</sup> The formation of Si-O-Zr linkages transforms a large number of  $Li^+$  ions, that are combined with NBO as modifying ions, into charge-balancing ions. Thus, the mobility of  $Li^+$  ions are increased. The yellow arrows in Figure 10B show that phosphorus attracts the charge-balancing ions of  $ZrO_6$  polyhedra, inducing the aggregation of  $Li^+$  ions in the network. As  $P^{5+}$  provides a stronger ionic field than  $Zr^{4+}$ , the former ion has a stronger ability to attract charge-balancing ions, while the coordination number of Zr may increase without sufficient charge-balancing.

ions. The isolated  $\text{PO}_4^{3-}$  units mainly occur in depolymerized regions. The dotted lines in Figure 10B indicate the separation between the depolymerized and polymerized regions, indicating the separation of phosphate-rich phases. Lin *et al.*<sup>53</sup> reported that in a binary lithium silicate glass,  $\text{ZrO}_2$  promotes the separation of a depolymerized lithium-rich phase and a silicon-rich phase. Considering the affinity between phosphorus and lithium, the formation of separated phosphate-rich phases was expected in this study.

Phase separation is closely related to glass nucleation and the crystallization processes. Pre-crystallization phase separation during heat treatment has been observed for many glasses containing nucleation agents.<sup>30, 54, 55</sup> For example, when  $\text{ZrO}_2$  and  $\text{P}_2\text{O}_5$  are used as nucleation agents, they easily aggregate in the glass matrix to form a short-range-ordered structure which promotes phase separation. The phase boundary between the phase-separation region and matrix features a lower interfacial free energy, while the sharp concentration gradient at the interface facilitates atomic diffusion. In this study,  $\text{ZrO}_2$  did not act as a nucleation agent but significantly promoted the development of phase separation during preheating. In the DSC results, the crystallization peaks of preheated samples shifted to relatively lower temperatures and the curve of P1Z4 exhibited an additional exothermic peak near  $800^\circ\text{C}$ , which indicated enhanced glass crystallization. Therefore, in the case of  $\text{ZrO}_2$  and  $\text{P}_2\text{O}_5$  co-doped LAS GC,  $\text{ZrO}_2$  exhibited a dual effect. First, no phase separation of Zr-rich droplets or precipitation of  $\text{ZrO}_2$  crystals occurred.

Zr .

was connected to the network, mainly affecting nucleation kinetics, increasing glass viscosity, and inhibiting crystallization by forming strong network connections. Secondly,  $ZrO_2$  promoted the separation of phosphorus-rich phase from the original glass phase and thus promoted crystallization. Although these two effects seem contradictory, both originate from the structural role of  $ZrO_2$  in glass, with the inhibitory effect on nucleation kinetics having the dominant role at high  $ZrO_2$  content. The dual effect of  $ZrO_2$  found in this work provided new insights into the roles of  $ZrO_2$  and  $P_2O_5$  in low- $Al_2O_3$ -content LAS GCs. The positive role of  $ZrO_2$  on the crystallization was realized by the promoting effect on the separation of phosphorus-rich phase, rather than the nucleation of  $ZrO_2$  itself. It indicated that appropriate  $ZrO_2/P_2O_5$  ratio can minimize the inhibition of crystal growth and maximize the nucleation of  $P_2O_5$ , thus contribute to the formation of nanocrystals and fine microstructure of GCs.

## 5. Conclusion

The effect of  $ZrO_2$  on the phase separation and crystallization in LAS glasses with low  $Al_2O_3$  and  $P_2O_5$  contents was studied. Thermal analysis showed that with increasing  $ZrO_2$  content, the crystallization peaks shifted to relatively higher temperatures. For the glass containing 3.6 mol%  $ZrO_2$  (P1Z4), the DSC curve was largely flat and a small crystallization peak was observed. The SEM and XRD results revealed that  $ZrO_2$  hindered nucleation and reduced crystallinity. Higher  $ZrO_2$  content favored the separation of phosphate-rich phases in as-quenched

glasses and the development of phase separation during preheating. The crystallization of  $\text{Li}_3\text{PO}_4$  was observed at a relatively lower temperature in P1Z4, heated at  $650^\circ\text{C}$  for 30 min, owing to its abundant phosphate-rich phases. Raman spectrum fitting showed that Zr was mainly connected to the glass network through Si-O-Zr linkages, which significantly enhanced network connectivity. Structurally, we found that  $\text{ZrO}_2$  had a dual effect. First,  $\text{ZrO}_2$  inhibited crystallization by forming connections to the glass network that increased the viscosity and nucleation barrier of  $\text{Li}_2\text{SiO}_3$ , rather than resulting in the precipitation of  $\text{ZrO}_2$  nanocrystals. Secondly, as Zr preferentially bonded to NBO, a sufficient amount of charge-balancing  $\text{Li}^+$  ions existed in the network, which promoted the separation of phosphate-rich phases from the glass phase. Although this phase separation could improve glass crystallization, the dominant role of  $\text{ZrO}_2$  was in the inhibition of glass crystallization. Thus, this study provides new insights into the roles of  $\text{ZrO}_2$  and  $\text{P}_2\text{O}_5$  in low- $\text{Al}_2\text{O}_3$ -content LAS GCs.

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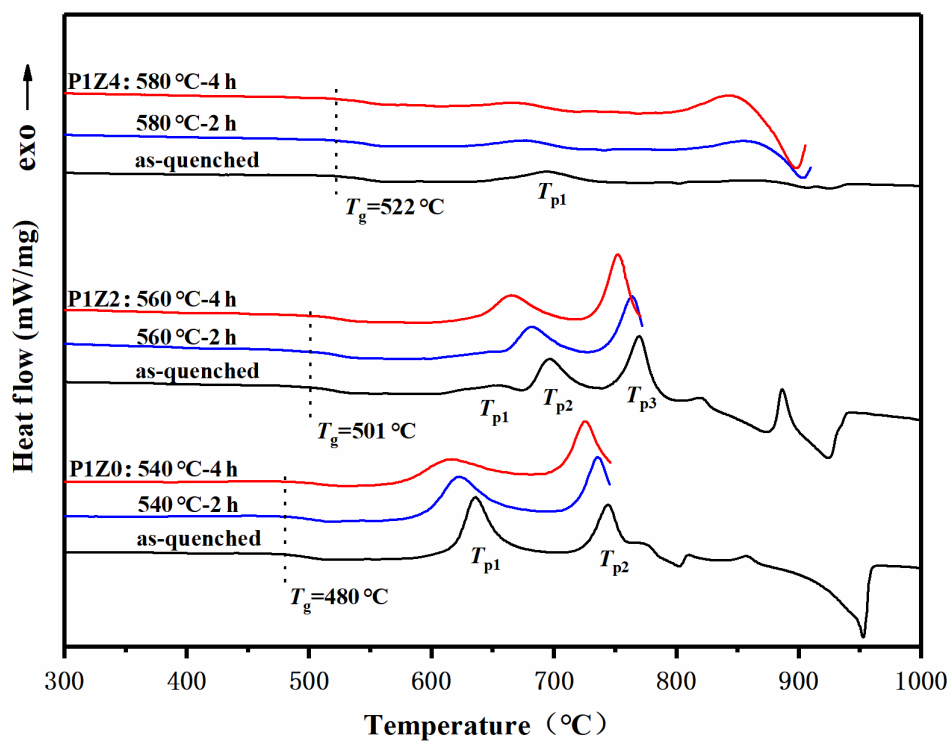


Figure 1. DSC curves of as-quenched and preheated samples according to Schedule

I.

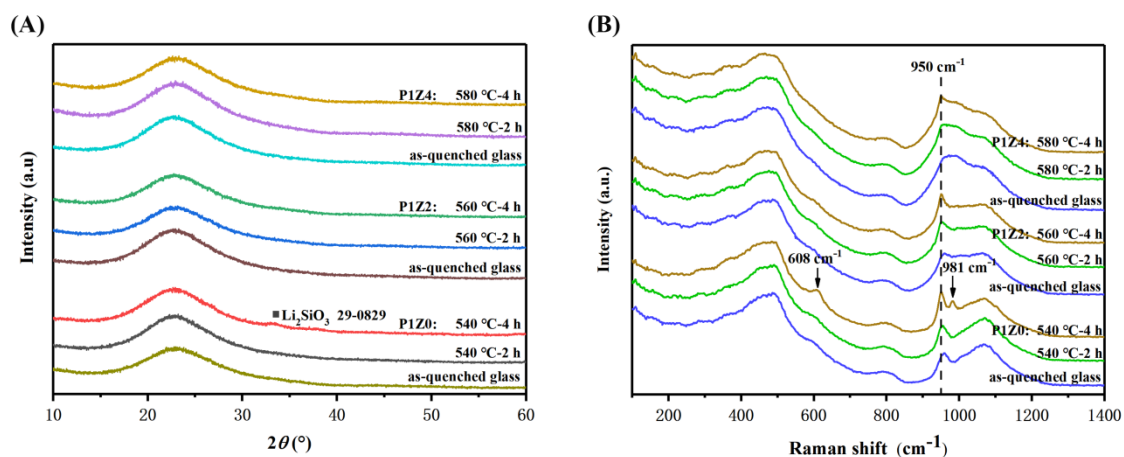
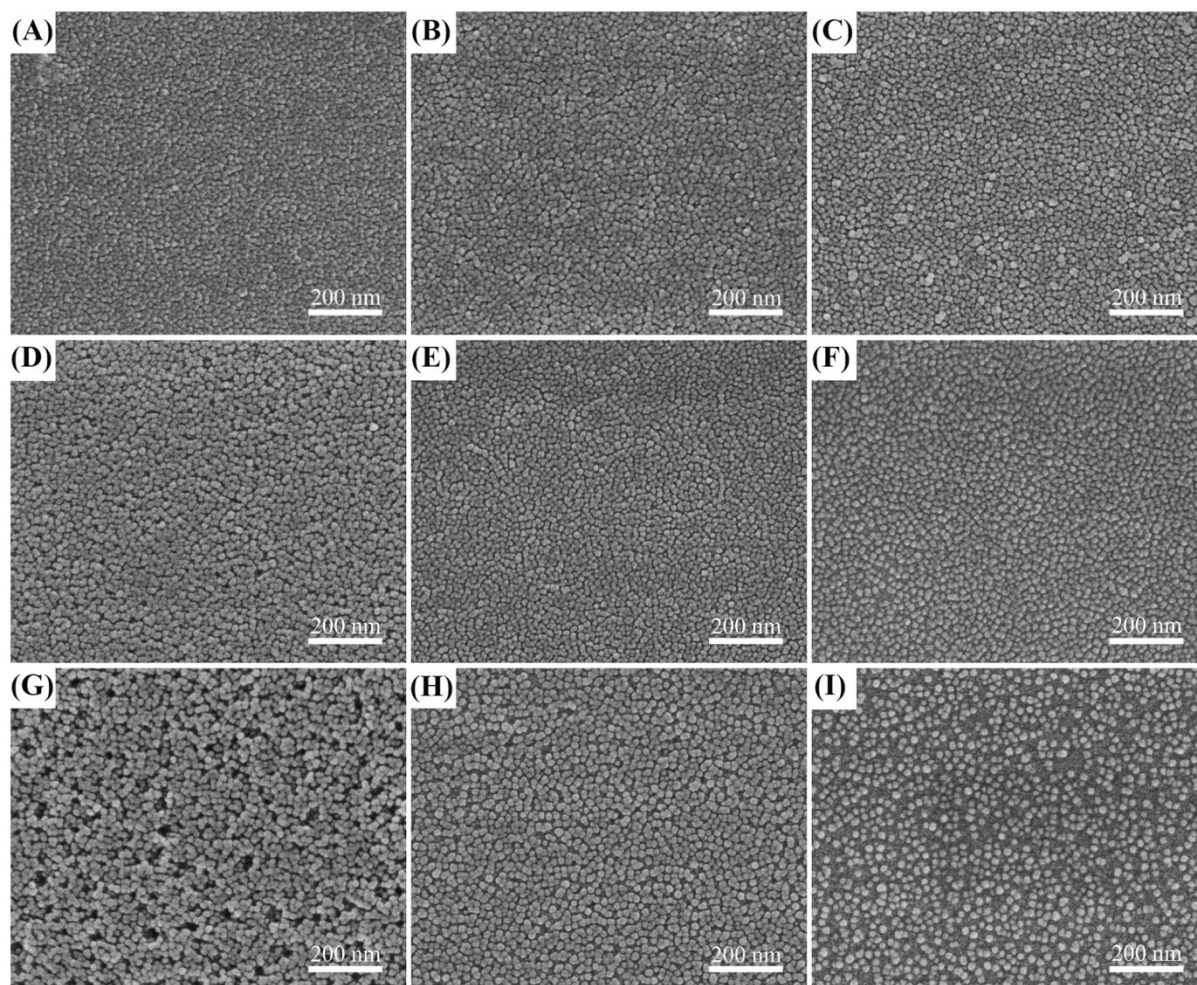
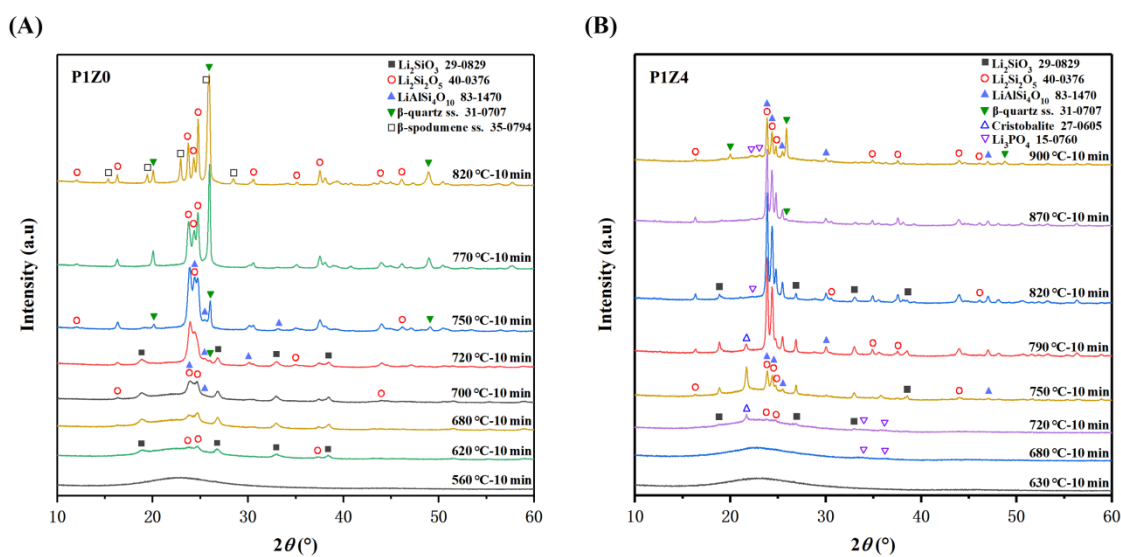


Figure 2. (A) XRD patterns and (B) Raman spectra of as-quenched and preheated samples.



**Figure 3.** Micrographs of (A-C) as-quenched [A: P1Z0; B: P1Z2, C: P1Z4] and (D-I) preheated [D: P1Z0 (540 ° C for 2 h); E: P1Z2 (560 ° C for 2 h); F: P1Z4 (580 ° C for 2 h); G: P1Z0 (540 ° C for 4 h); H: P1Z2 (560 ° C for 4 h); I: P1Z4 (580 ° C for 4 h)] samples etched with 2 wt% HF.



**Figure 4.** XRD patterns of (A) P1Z0 heat-treated from 560 to 820 ° C for 10 min and (B) P1Z4 heated-treated from 630 to 900 ° C for 10 min according to Schedule II.

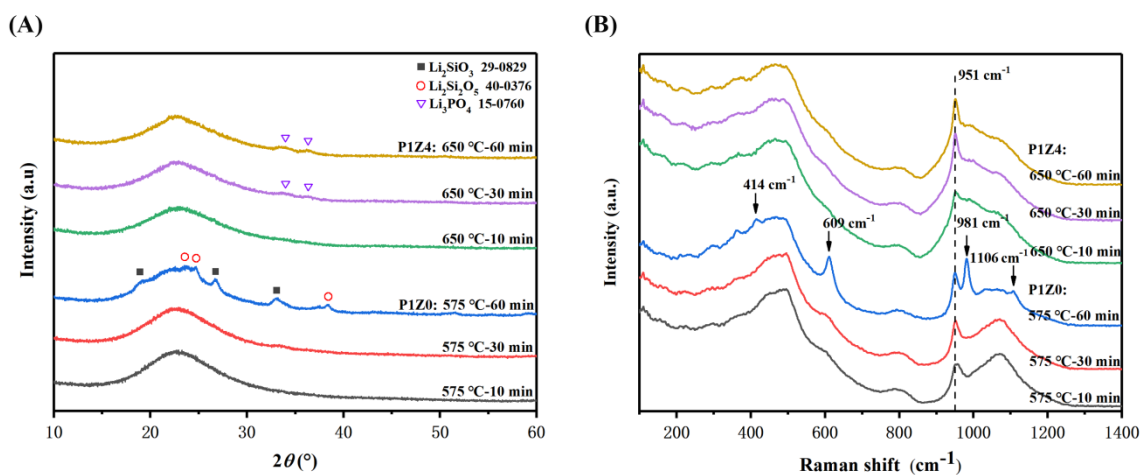


Figure 5. (A) XRD patterns and (B) Raman spectra of P1Z0 and P1Z4 heat-treated according to Schedule III.

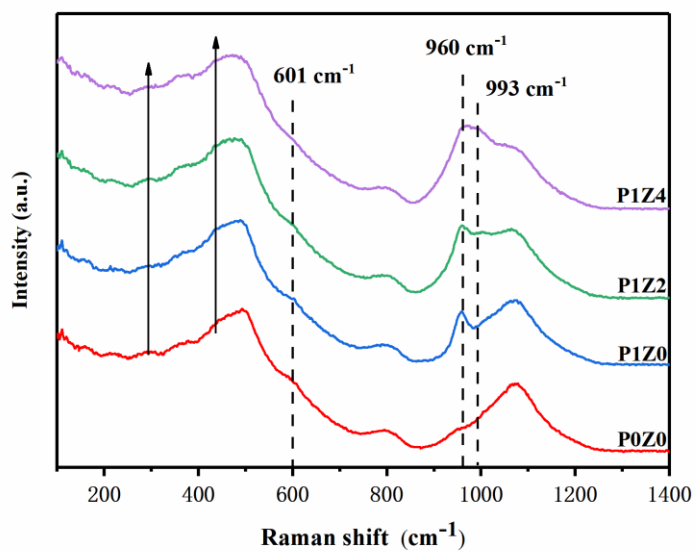


Figure 6. Raman spectra of as-quenched samples.

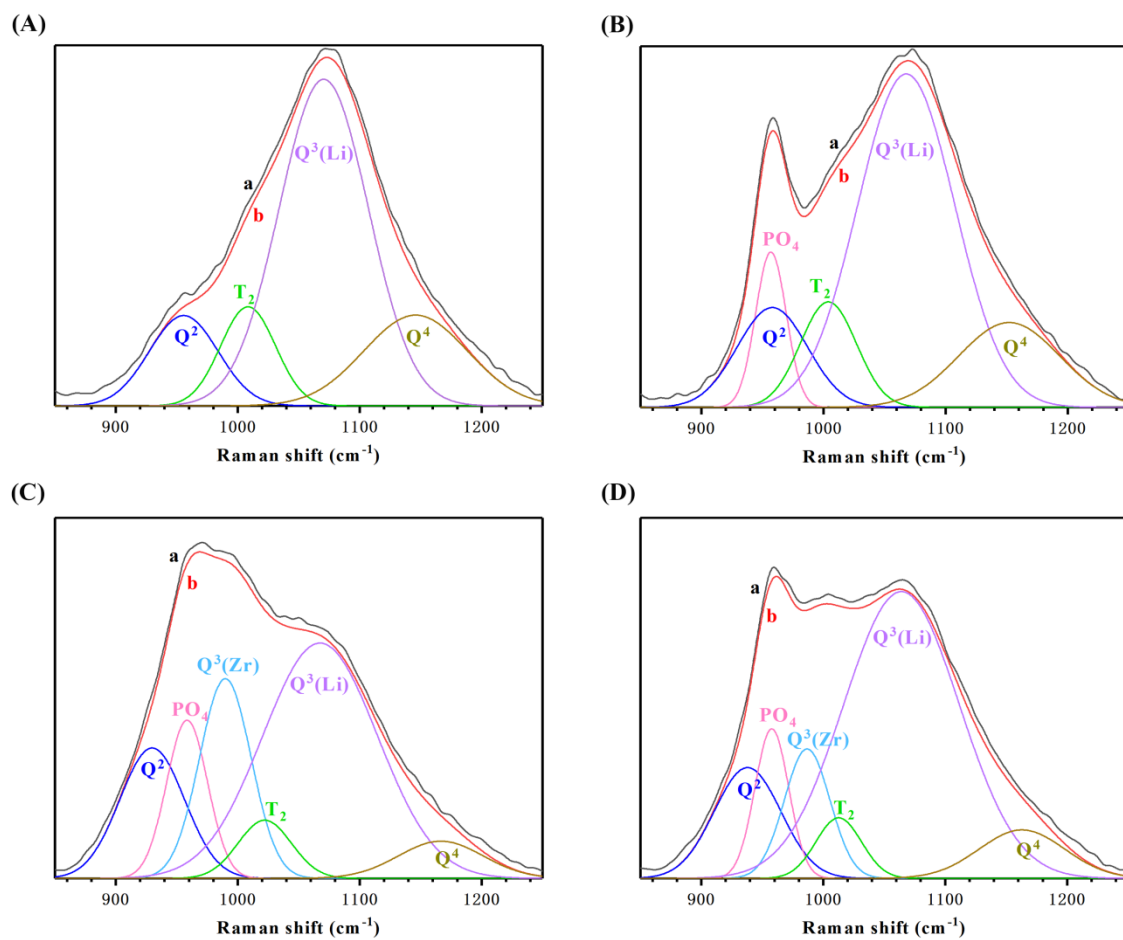


Figure 7. Deconvoluted Raman spectra of (A) P0Z0, (B) P1Z0, (C) P1Z2, and (D) P1Z4. Experimental spectra (a) are slightly shifted towards the top of the Gaussian fitting (b).

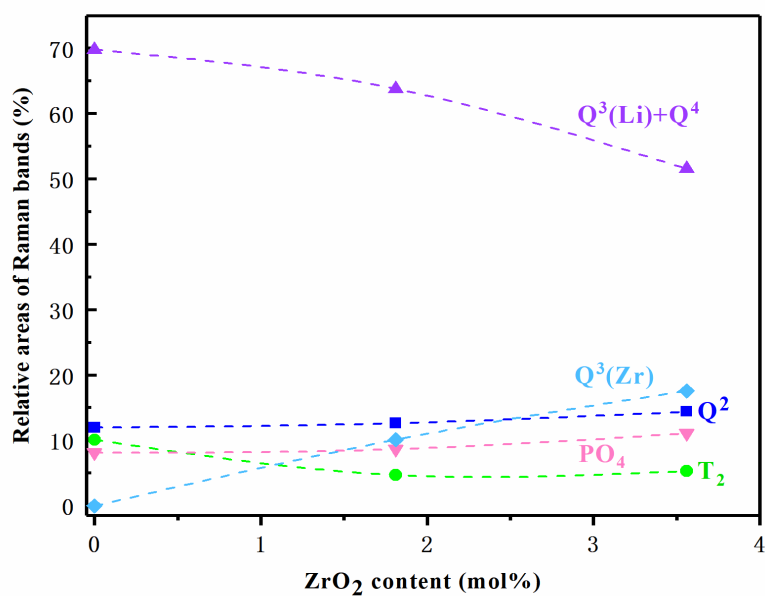
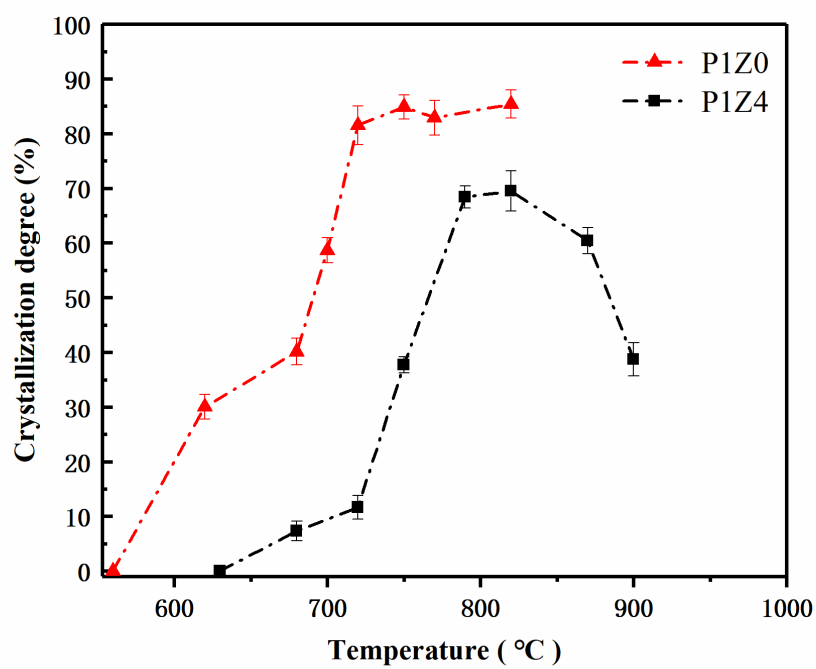
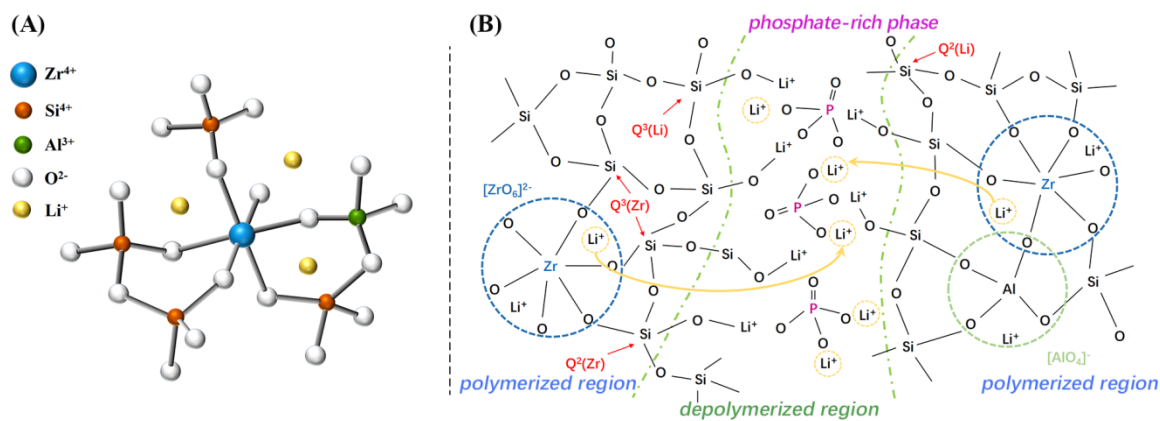


Figure 8. Effects of ZrO<sub>2</sub> content on the normalized relative areas of fitted Raman bands.



**Figure 9.** Crystallinities (%) of P1Z0 and P1Z4 heat-treated according to Schedule II.



**Figure 10.** (A) Stereoscopic structural diagram of the connections between ZrO<sub>6</sub> octahedra and SiO<sub>4</sub> or AlO<sub>4</sub> tetrahedra with local charge compensation by Li<sup>+</sup> ions.

(B) Schematic bidimensional representation of the structure of a ZrO<sub>2</sub>-containing glass.

## Tables

Table 1. Nominal (in parentheses) and actual glass compositions, mol%.

Glass	SiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	P <sub>2</sub> O <sub>5</sub>	Li <sub>2</sub> O	ZrO <sub>2</sub>
P1Z0	72.5 (72.65)	5.1 (5.00)	0.91 (0.85)	20.1 (21.50)	0 (0)
P1Z2	71.1 (71.33)	4.9 (4.91)	0.85 (0.83)	19.9 (21.13)	1.7 (1.8)
P1Z4	70.3 (70.02)	4.9 (4.82)	0.80 (0.82)	19.5 (20.74)	3.6 (3.6)
P0Z0	73.2 (73.50)	4.8 (5.00)	0.09 (0)	20.5 (21.50)	0 (0)

**Table 2.** Heat treatment conditions.

	Schedule	Temperature (°C)	Time (min)
	I	540	240, 480
P1Z0	II	560, 620, 680, 700, 720, 750, 770, 820	10
	III	575	10, 30, 60
P1Z2	I	560	240, 480
	I	580	240, 480
P1Z4	II	630, 680, 720, 750, 790, 820, 870, 900	10
	III	650	10, 30, 60

**Table 3.** Characteristic temperatures ( $\pm 2$  ° C) determined by DSC, ° C.

Glass	$T_g$	$T_{c1}$	$T_{p1}$	$T_{c2}$	$T_{p2}$	$T_{c3}$	$T_{p3}$
P1Z0*	480	594	636	714	744	-	-
P1Z2*	501	-	655	674	696	740	769
P1Z4	522	-	694	-	-	-	-

\* 1, 2 and 3 in the subscripts of  $T_{cx}$  and  $T_{px}$  refer to the order of peaks.

**Table 4.** Raman shifts ( $\text{cm}^{-1}$ ), relative areas (%), and full-widths at half maximum (FWHM,  $\text{cm}^{-1}$ ) of peaks obtained after the deconvolution of Raman spectra.

	$Q^2$	$T^2$	$Q^3$	$Q^4$	$PO_4$	$Q^3(\text{Zr})$
Raman shift						
P0Z0	956	1009	1071	1146	-	-
P1Z0	958	1004	1068	1152	957	-
P1Z2	938	1013	1064	1163	958	988
P1Z4	930	1022	1067	1167	957	989
Relative area						
P0Z0	12.41	10.88	58.11	18.67	-	-
P1Z0	11.98	10.11	55.29	14.51	8.13	-
P1Z2	12.64	4.73	56.75	7.08	8.67	10.12
P1Z4	14.42	5.34	46.21	5.38	11.12	17.61
FWHM						
P0Z0	66.5	53.1	86.1	100.3	-	-
P1Z0	67.9	54.1	93.8	97.6	29.6	-
P1Z2	64.4	44.1	111.7	82.9	32.8	44.1
P1Z4	62.5	51.7	110.9	82.2	39.7	49.8