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## **Exploring the potential of electrostatic precipitation as an alternative particulate matter filtration system in aircraft cabins**

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### ► **To cite this version:**

Bastien Pellegrin, Philippe Berne, Hervé Giraud, Arthur Roussey. Exploring the potential of electrostatic precipitation as an alternative particulate matter filtration system in aircraft cabins. *Indoor Air*, 2022, 32 (2), <10.1111/ina.12990>. <hal-03681328>

**HAL Id: hal-03681328**

**<https://hal.science/hal-03681328v1>**

Submitted on 30 May 2022

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1                    *This is the pre-peer reviewed version of the following article:*  
2                    *Pellegrin, B, Berne, P, Giraud, H, Roussey, A. Exploring the potential of electrostatic*  
3                    *precipitation as an alternative particulate matter filtration system in aircraft cabins.*  
4                    *Indoor Air. 2022; 32:e12990.,*  
5                    *which has been published in final form at <https://doi.org/10.1111/ina.12990>. This article*  
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8                    **Exploring the potential of electrostatic precipitation as an alternative particulate matter**  
9                    **filtration system in aircraft cabins**

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12                    <sup>a</sup> Corresponding Author: [bastien.pellegrin@cea.fr](mailto:bastien.pellegrin@cea.fr)

13                    **ABSTRACT**

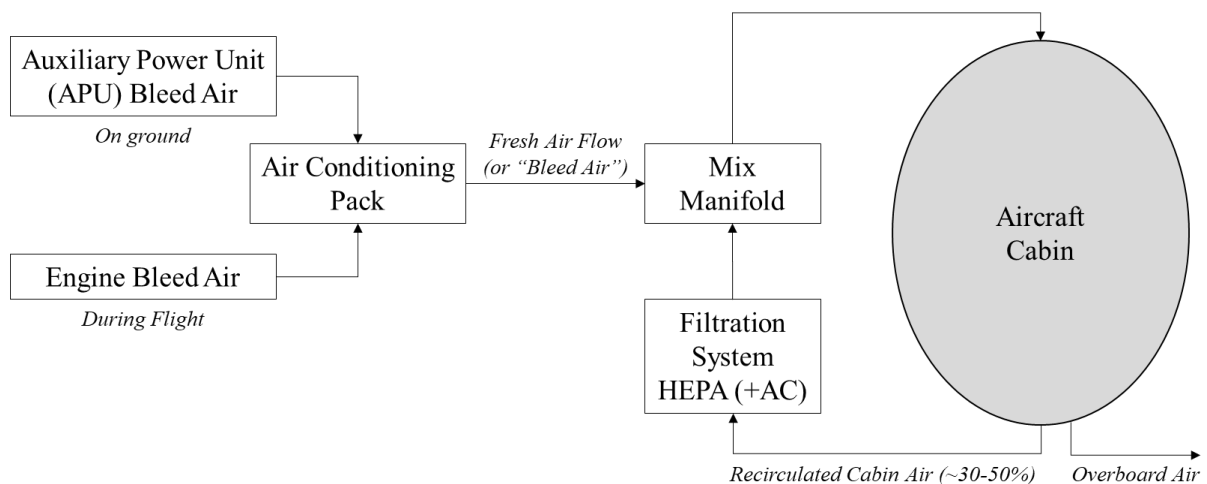
14                    On modern airliners, cabin air pressurization, heating and renewal is achieved using air  
15                    supplied from the gas turbine engines during flight. This air intake impairs the motors yield  
16                    and needs to be conditioned, leading to energy overconsumption. Recent advances in thermal  
17                    management enable aircraft manufacturers to reduce further the intake airflow needed to  
18                    maintain cabin temperature at high altitude. Nevertheless, for lower air renewal rates, an  
19                    appropriate air filtration system will be needed to maintain acceptable air quality in the cabin.  
20                    In this context, Clean Sky 2 Joint Undertaking (CS2JU) project EC2S (Environment Control  
21                    Secondary System) aims at developing an integrated filtration system to be implemented in  
22                    existing cabin air management systems (so called Environmental Control System – ECS). The  
23                    EC2S unit will include three filtration units addressing separately Volatile Organic Compounds  
24                    (VOCs), CO<sub>2</sub> and Particulate Matter (PM). Circulated air in the ECS is conventionally filtered  
25                    on pleated HEPA filters that generate substantial pressure drop. Since the EC2S VOCs and  
26                    CO<sub>2</sub> filtration units would generate additional pressure drop in the ECS system, electrostatic  
27                    precipitation is foreseen as a low flow resistance alternative for PM removal. This paper reports  
28                    the development and performance assessment of a two-stage electrostatic precipitator (ESP)  
29                    designed for aircraft recirculated air filtration. The ESP prototype presents single pass high  
30                    particle collection rates (i.e. over 90% for airborne particles with an aerodynamic diameter of  
31                    0.5 μm or larger), low pressure drop (i.e. 4 Pa at nominal flowrate) and a limited ozone  
32                    generation rate (i.e. below 8 mg.h<sup>-1</sup>).

33                    **Keywords:** *Aircraft air quality management, Electrostatic precipitation, Spectral collection*  
34                    *efficiency, Ozone generation*

41 **INTRODUCTION**

42 ***Cabin air management system***

43 Over the last few decades, significant efforts have been made by aircraft manufacturers to  
44 reduce the overall energetic consumption of aircraft engines. Besides providing thrust and  
45 electric power, an engine must also provide pressurized fresh air to the cabin. A substantial part  
46 of the outside air compressed by the engine is “bled” off the engine and passed through air  
47 conditioning packs to be cooled and supplied to the cabin. This “bleed-air” pressurizes and  
48 heats the cabin to overcome extreme external conditions (low temperature and pressure at high  
49 altitudes). As passengers and crew are confined in the relatively low volume of the aircraft  
50 cabin, a high flow of fresh air must be provided to the cabin. To reduce demand of bleed air on  
51 the engine for cabin thermalization and pressurization, aircraft manufacturers implemented an  
52 air recirculation system, which mixes bleed-air with air recirculated from the cabin with a  
53 recirculation fan (Figure 1). Nowadays, on most commercial airliner models, the air flowing in  
54 the cabin comprises between 30% and 50% of recirculated air [1]. Modern aircraft recirculation  
55 systems include a filtration unit which comprises a High Efficiency Particulate Air (HEPA)  
56 filter with stated single pass PM removal efficiencies up to above 99% [2]. Optionally the  
57 HEPA filters may be coupled with Activated Carbon (AC) layers to remove VOCs from  
58 recirculated air.



59

60 Figure 1. Simplified flow chart of a typical air supply on commercial aircraft, including a High Efficiency  
61 Particulate Air filter (HEPA) sometimes combined with an Activated Carbon (AC) filter in the recirculation  
62 loop of the ECS.

63 ***Cabin air pollution***

64 Cabin Air Quality (CAQ) is impacted by multiple pollution sources which may be internal or  
65 external depending on aircraft position and activity on ground (maintenance, taxiing and idling  
66 periods) or during flight phases.

67 On the ground, in the vicinity of airport aprons and runways, the aircraft main engines  
68 combustion appears to be a predominant emission source for PM (and gaseous air pollutants  
69 such as NO<sub>x</sub>, CO, VOCs or SO<sub>2</sub>) [3]. Based on onsite concentration measurements, several  
70 studies estimated the PM emissions directly attributed to aircrafts in different international

71 airports: depending on the airport capacity, results ranged from 3.5 up to 71.5 tons per year [4-  
72 6]. Yang et al. estimated that the aircraft engines emissions account for almost 50% of fine  
73 particles (PM<sub>2.5</sub>) concentration recorded at Beijing airport, the rest being mostly attributed to  
74 other diesel or gasoline vehicles, fuel related activities and aircraft landing or braking [6]. Some  
75 recent studies [7-10] specifically focused on the nano-sized fraction of PM, i.e. ultrafine  
76 particles (UFPs, with diameter below 100 nm). They reported the emission by the aircrafts  
77 engines of a characteristic non-volatile nano-aerosol composed of soot and other components  
78 including zinc, aluminum, and titanium. Those non-volatile UFPs were found to present a  
79 particle size distribution (PSD) with a mode at 20 nm, notably smaller than typical PSD that  
80 can be monitored in urban locations [10]. Additionally, the formation of a secondary, volatile  
81 nano-aerosol, with a mode below 10 nm (nucleation mode), originating from conversion  
82 processes of sulfur and various organic gases, was also reported [3]. Since the intake air is  
83 usually not filtered before entering the cabin, the presence of high levels of airborne particles  
84 on airport area (reaching up to 10<sup>7</sup> particles.cm<sup>-3</sup> within runways vicinity [11]) may drastically  
85 impair the CAQ when airplanes are operated at ground level.

86 After take-off, air from higher altitude is provided to the aircraft cabin, and is considered to be  
87 clean, with a very low PM and UFPs concentrations (~50 particles.cm<sup>-3</sup>) [12]. Similarly, at high  
88 altitudes, VOCs are present at even lower concentrations than in typical outdoor air (<10 ppb),  
89 as they are oxidized by highly reactive radicals [13]. Ozone is also present in the atmosphere,  
90 and its concentration depends on cruising altitudes. Typical concentrations are ~0.03 ppm at  
91 sea level, ~0.1 ppm at 40 000 ft (12.2 km) and ~5 ppm at 50 000 ft (15.5 km), but ozone  
92 concentrations depend also on aircraft routes and encountered weather [14]. For example,  
93 ozone-rich transients up to 0.5 ppm have been measured in the upper troposphere between 10  
94 and 12 km [15]. Therefore, after take-off, outdoor air can be considered as clean, only  
95 containing potentially high ozone concentration.

96 In addition to outside air quality, internal pollution sources such as engine fluid leaks (fume  
97 events) or passengers and their activities may have an impact on CAQ. Malfunction of the air  
98 compressing system on the aircraft engine may lead to the dispersion of potentially harmful  
99 engine fluids (oil, anti-icing fluid...) in the cabin. This incidental situation, commonly referred  
100 to as fume event, is well known and documented [16-17]. It may lead to gas phase or mist  
101 pollution of the cabin with engine oil which comprises hazardous chemicals such as  
102 organophosphates (OPs) [18]. Besides fume events, passengers themselves (and their  
103 activities) represent the major pollution source during flight. Wang et al. estimated that nearly  
104 30% of VOC concentrations in aircraft cabins were due to services and humans [19]. Cao et  
105 al. recently showed a higher particle concentration in the cabin during boarding and deplaning  
106 of a retired aircraft. They even proposed an emission rate of PM<sub>10</sub> per passenger of ~15 μg.m<sup>-3</sup>.  
107 pax<sup>-1</sup> during boarding/deplaning, and ~5-6 μg.m<sup>-3</sup>.pax<sup>-1</sup> while sitting [20]. Finally, passengers  
108 are also a source of bioaerosol. As reviewed in reference [19], concentrations of airborne  
109 bacteria and fungi were however found to be generally lower than those common in indoor air.

110 Based on the above considerations, CAQ will highly depend on the aircraft mission and will  
111 be particularly impacted by its ground time: as fresh air is not directly filtered and enters the  
112 cabin before a first filtration (Figure 1), contaminated air will enter the cabin if the pollution  
113 sources are located outside the aircraft. Several studies were conducted on UFPs and PM  
114 concentrations in aircraft cabin and confirmed this trend [21-26]. Recorded UFPs  
115 concentrations were found to be low at high altitude (50-100 p.cm<sup>-3</sup>) [21,23] and higher during  
116 on-ground operations such as taxiing (10<sup>3</sup>-10<sup>4</sup> p.cm<sup>-3</sup>) [23].

## 117 *Cabin air filtration*

118 According to the Federal Aviation Administration (FAA Title 14) and the American National  
119 Standards Institute (ANSI/ASHRAE standard 161), air filters are mandatory to be mounted on  
120 all commercial aircrafts. Nowadays, modern airliners ventilation systems are equipped with  
121 HEPA filters as standard [27]. The HEPA cabin air filters definition in aerospace industry is  
122 based on a minimum removal efficiency of 99.97 % when tested using a DOP challenge (mean  
123 size 0.3  $\mu\text{m}$ , according to ASTM publication D 2986-95 – Boeing criteria) or 99.99 % when  
124 tested with a sodium chloride challenge (mean size 0.58  $\mu\text{m}$ , according to British Standard  
125 BS.3928 – Airbus criteria) [1,28]. Typical ECS HEPA filters dimensions are roughly about 60  
126 cm by 60 cm, with a 15-20 cm thickness and weight approximately 3-6 kg. This thickness  
127 accommodates the pleated structure of the filter medium, which substantially increases the  
128 available filter area within the 60 by 60 cm frame, reducing the pressure required to force the  
129 air through the filter. No regulation currently enforces the replacement frequency of used filters  
130 but various airliners' maintenance manuals mention a service life ranging from 3 000 to 6 000  
131 flight hours [27,28].

132 Very limited scientific information is available in the literature on performance assessment of  
133 HEPA filters dedicated to filtration of airplane cabin air. Xu et al. [27] reported a comparative  
134 study of two commercially available HEPA filters from Pall (Model CD00973F2) and  
135 Donaldson (Model KK2972941) made of glass fibrous media. Pristine filters, when challenged  
136 at their respective nominal flowrates (1970  $\text{m}^3\cdot\text{h}^{-1}$  and 1600  $\text{m}^3\cdot\text{h}^{-1}$ ), exhibited pressure drop  
137 ( $\Delta\text{P}$ ) of approximately 280 Pa for Pall filter and 100 Pa for Donaldson filter. The efficiency of  
138 Donaldson filter (assessed according to European norm EN 1822 protocol) was shown to be  
139 86 %, for a Most Penetrating Particle Size (MPPS) of 150 nm. For the Pall filter, a 99 %  
140 efficiency was observed for a MPPS of 55 nm. The MPPS value of the Pall filter is lower than  
141 values commonly reported in the literature for HEPA filters, which are usually in the range of  
142 120 nm to 300 nm [29], most probably due to the presence of electrostatic charges on the media.  
143 Xu et al. [27] additionally investigated the changes of filters characteristics along with filter  
144 usage. Due to fouling, for an equivalent of 6 000 flight hours, Donaldson filter efficiency  
145 increased by 10 % (reaching 97%) and  $\Delta\text{P}$  increased by 800 % (reaching 950 Pa). A recent  
146 study from Cao et al. [20] monitored the particle deposition in ECS of commercial airliners.  
147 Based on PM concentration monitored in various airports and the relationship established by  
148 Xu et al. [27] between dust loading and pressure drop, they calculated an estimated HEPA  
149 lifespan as low as 800 flight hours for aircraft operated in highly polluted airports in China  
150 (considering that the filter needs to be replaced when  $\Delta\text{P}$  reaches a level that is twice the initial  
151 resistance).

## 152 *ESP as an alternative to HEPA*

153 Electrostatic precipitation (ESP) is a process to remove particulate matter in a gas using  
154 electrostatic force. ESP acts by imparting charge on airborne particles, which, in the presence  
155 of an electric field, are then directed to and deposited on a metallic collection plate [30]. It has  
156 been widely used in industry since the beginning of the 20th century for fume filtration. ESP  
157 plays a prevailing role in high flowrate atmospheric waste treatment for major sectors such as  
158 metalworking and chemical industries, cement production plants and coal-fired power plants  
159 [31], applications which operate at temperatures too high for fiber-based filters.

160 In the field of aerosol filtration in indoor air, the prevailing technology is the mechanical  
161 filtration on fibrous media. Nevertheless, the energy consumption of fiber based air filtration  
162 systems mainly depends on the density of the fibrous filter: the denser the filter mesh, the higher  
163 the pressure drop is. To overcome higher pressure drop, fans need to operate at higher speeds,  
164 therefore consuming more energy [32]. Additionally, throughout its life, the fibrous filter  
165 collects particles that accumulate on fibers' surface, gradually blocking the pores. This particle  
166 accumulation leads to higher collection efficiency but simultaneously generates higher pressure  
167 drop over the lifespan of the filter. This pressure drop increase requires the filter to be replaced  
168 periodically to avoid energy overconsumption or damages on the air circuit. This is why  
169 selection of a fibrous filter needs to be a trade-off where the filter is dense enough to capture  
the required percentage of particles while being open enough to be economically viable.

171 In contrast, with airflow occurring tangentially to the collection surfaces, ESP is characterized  
172 by low-pressure drop and, subsequently, has lower power requirement than its fibrous  
173 counterparts. Generally speaking, ESP may be considered less efficient in removing particles  
174 from air stream than HEPA filters when very high collection is intended (>99.9%) [33].  
175 However, the usefulness of ESP technology in mitigating both biological and non-living  
176 aerosols has been demonstrated, even for ultrafine particles. Regarding biological matter, it is  
177 well documented that mechanical filtration may present a risk of contamination and  
178 proliferation because the surface of fibrous filters, where microorganisms and nutrients  
179 accumulate, can represent a suitable ecosystem for microorganisms growth [34,35]. For ESP,  
180 research has shown that electrical charging could impair the survival rates of bacterial cells and  
181 spores, thus limiting their proliferation [36,37]. Consequently, over the last decade, ESP have  
182 gained increasing attention for the removal of particulate matter (mainly dust particles and  
183 airborne microorganisms) in confined indoor spaces [38-40].

184 This study reports the sizing, design and performances assessment (single-pass collection  
185 efficiency, pressure drop and ozone emission rate) of an ESP prototype dedicated to aircraft  
186 cabin air filtration. The potential benefit of substituting HEPA filters for ESP is discussed.

## 187 **METHODS**

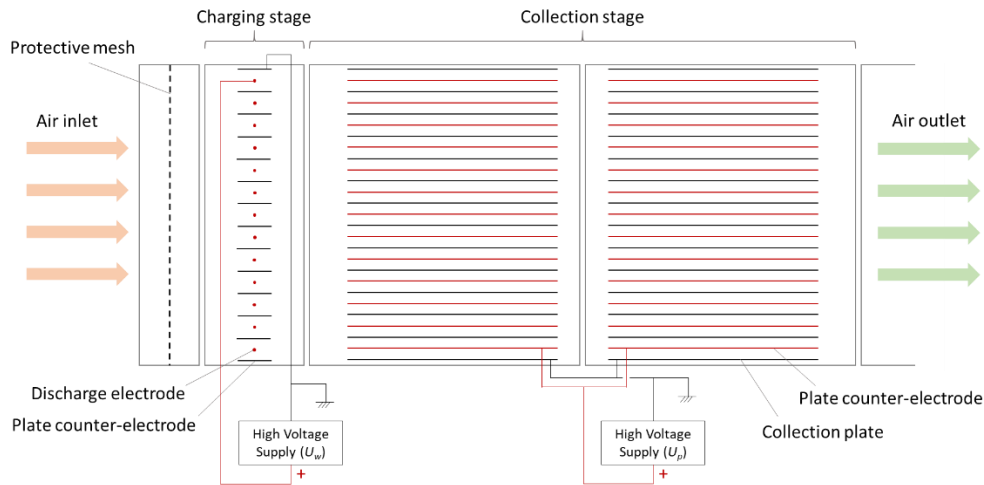
### 188 *ESP Prototype*

189 Figure 2 presents a simplified schematic of the developed ESP prototype. The device comprises  
190 two distinct sections: the charging stage and the collection stage.

191 The charging stage (where airborne particles acquire electric charges) operates using unipolar  
192 ions generated by corona discharge. Corona discharge is an operational condition in which a  
193 dense cloud of free electrons and unipolar ions is established around a discharge electrode. This  
194 complex phenomenon originates from a strong, non-uniform electric field, generated by high  
195 potential gradients on curved electrodes (such as wire, tip or sharp blade geometries). A simple  
196 geometry to enable corona discharge is the wire-plate geometry: a potential difference is  
197 applied between a low-radius conductive wire, which acts as a high-voltage induced corona  
198 electrode and two metal plate counter-electrodes. Since it is widely known that positive corona  
199 discharge emits much lower ozone concentrations than negative polarity corona [41], positive  
200 corona discharge configuration will be preferentially investigated.

201 The collection stage relies on the migration of the charged particles under the influence of an  
202 electric field and their deposition at the surface of collection electrodes. For this purpose, an

203 electric field is created by applying a potential difference between the collection electrode and  
 204 a counter-electrode. The selected geometry for the collection stage is a parallel plates  
 205 configuration.



206  
207 Figure 2. Schematic of the ESP prototype developed in this study.

208 Final assembly comprises the charging stage and two consecutive collection stages, with an  
 209 outer section of 355x355 mm<sup>2</sup> and a total length of 640 mm. For the charging stage, the corona  
 210 discharge is performed using thirteen Ø 80 µm stainless steel wires located at a distance of 10  
 211 mm from their plate counter-electrodes. The wires are positively charged, while the counter-  
 212 electrodes are grounded. The collection stages comprise two sections of 200 mm long copper-  
 213 coated glass fiber plates, which are alternatively grounded and positively charged. The main  
 214 specifications of the ESP prototype are summarized in Figure 3 below.

		<i>Charging stage</i>	<i>Collection stage</i>
<b>Casing</b>	<i>Nominal section</i>	305x305 mm <sup>2</sup>	305x305 mm <sup>2</sup>
	<i>Length</i>	100 mm	2x270 mm
	<i>Material</i>	Insulated aluminum	Insulated aluminum
<b>Corona wire</b>	<i>Number</i>	13	-
	<i>Length</i>	290 mm	-
	<i>Diameter</i>	0.08 mm	-
	<i>Material</i>	Stainless Steel	-
<b>Plates</b>	<i>Number</i>	14	2x27
	<i>Length</i>	290 mm	290 mm
	<i>Width</i>	40 mm	200 mm
	<i>Material</i>	Copper-coated glass fiber	Copper-coated glass fiber
<b>HV supply</b>		0-5 kV DC	0-10 kV DC



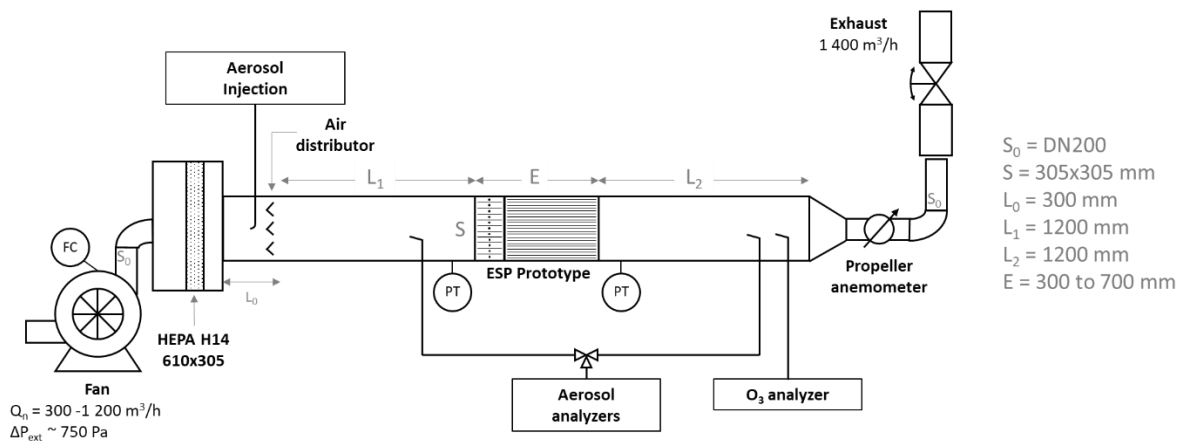
215  
216 Figure 3. ESP prototype main specifications and illustration.

### 217 **Experimental apparatus**

218 Assessment of the single pass particle collection efficiency, pressure drop and ozone emission  
 219 rate was conducted on a dedicated test rig. This experimental setup was inspired from test  
 220 equipment for measuring spectral efficiency and air flow resistance of air filters for general  
 221 ventilation in buildings (as described in EN ISO 16890-2:2016) and of high efficiency air filters  
 222 (as described in EN 1822-1:2019). The detailed dimensions and constituting elements of the  
 223 test unit are schematically presented in Figure 4. It comprises a square section (305x305 mm<sup>2</sup>)

224 duct in which the ESP prototype is integrated. The air flow in the duct is controlled by a fan-  
 225 driven power ventilator equipped with a frequency converter to adjust speed (airflow rate is  
 226 monitored with a KIMO AMI 300 propeller anemometer). Unless otherwise specified, the air  
 227 flowrate in the duct ( $Q$ ) is fixed at  $540 \text{ m}^3\cdot\text{h}^{-1}$  (corresponding to an airflow velocity of  
 228  $1.61 \text{ m}\cdot\text{s}^{-1}$ ) to match the nominal feed flow of the ESP prototype. This air flowrate was  
 229 calculated to match the air renewal rate needed for half a cabin of a regional airliner (100  
 230 passengers capacity) with a 70% recirculated air ECS design.

231 The air blower forces the air through an H14 HEPA filter and a concentrated challenge aerosol  
 232 (generation means are described hereinafter) is mixed with the clean airstream. The airstream  
 233 charged with the challenge aerosol then passes through the ESP prototype and is collected  
 234 through the laboratory exhaust system.



235

236

Figure 4. Experimental setup for ESP prototype performance assessment.

237 The experimental test rig is equipped to monitor in real time:

- 238 - The aerosol concentration and size distribution upstream and downstream of the ESP  
 239 prototype (aerosol characterization means are described hereinafter),
- 240 - The ozone concentration downstream of the ESP prototype, using an ENVEA o342e  
 241 ozone analyzer,
- 242 - The ESP prototype pressure drop, using a KIMO AMI 300 manometer.

243 All the experiments were conducted at  $20 \pm 2 \text{ }^\circ\text{C}$  and  $45 \pm 10\%$  relative humidity.

### 244 *Challenge aerosols*

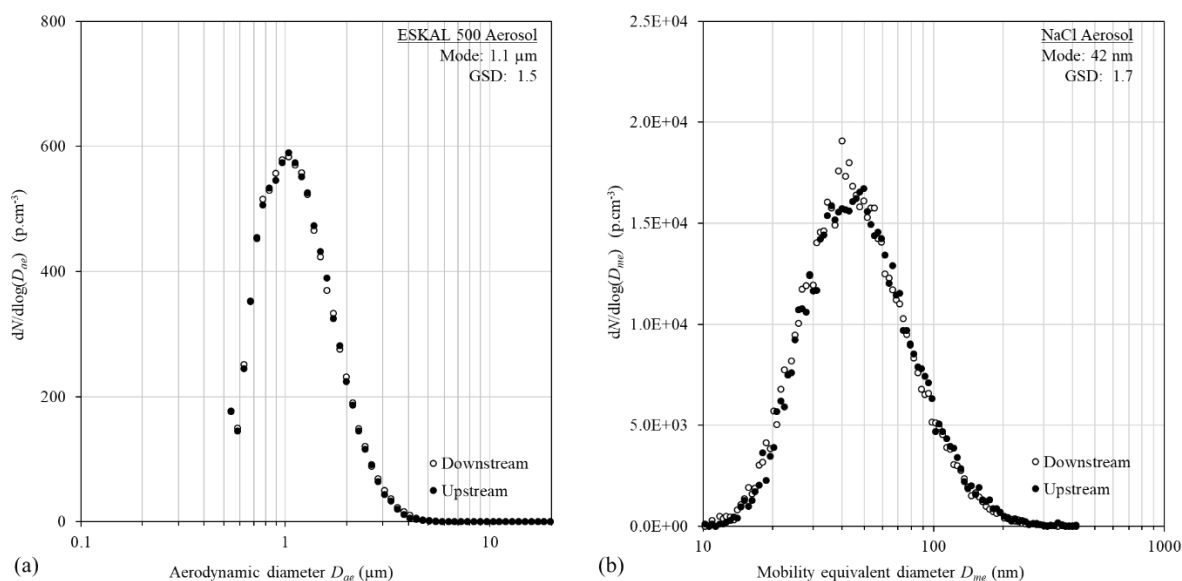
245 To produce challenge aerosols with different particle size distributions (PSD), two types of  
 246 aerosol generators were implemented. After generation, the challenge aerosols were  
 247 systematically brought to a neutral charge distribution state using an in-house developed  
 248 neutralizer that produces positive and negative ions by soft X-ray irradiation. Neutralization  
 249 step effectiveness was confirmed by measuring the neutralized aerosols net charge with a high-  
 250 capacity Faraday cup aerosol electrometer (PALAS Charme).

251 Generation of sub-micron and micron sized aerosol was performed using a rotating brush RBG  
 252 1000 aerosol generator (PALAS). This equipment produces an aerosol by mechanical  
 253 solicitation of a powder. The powder to be aerosolized is placed in a container and inserted into



254 the dispersion head. The powder is then conveyed onto a rotating brush at a precisely controlled  
 255 feed rate. A compressed air stream tears the particles out of the brush, generating an aerosol at  
 256 adjustable flowrate. The powder selected for this study is a calibrated calcium carbonate.  
 257 According to manufacturer specifications, ESKAL 500 (KSL staubtechnik gmbh) is an  
 258 inorganic mineral powder obtained from natural calcium carbonate ( $\text{CaCO}_3$ ) sieved to achieve  
 259 a narrow particle size distribution (fine and coarse particles are almost eliminated), with a mass  
 260 based median particle size at approximately  $5 \mu\text{m}$ . When used in the RBG 1000 aerosol  
 261 generator and after dilution in the clean air stream of the duct, this powder produces a  
 262 polydisperse aerosol with a number-based PSD (presented in Figure 5-a) ranging from below  
 263 500 nm, up to  $4 \mu\text{m}$  (with a mode at approximately  $1 \mu\text{m}$ ).

264 Generation of nano-sized aerosol was performed using a constant output atomizer, model 3076  
 265 (TSI). Water vapor is systematically removed from the atomized aerosols using a diffusion  
 266 dryer (model 6062, TSI). The aerosol precursor for this study is an aqueous sodium chloride  
 267 (NaCl) solution at a concentration of  $1 \text{ g}\cdot\text{L}^{-1}$ . The PSD (recorded by Scanning Mobility Particle  
 268 Size spectrometer – SMPS) of the aerosol generated from this solution is presented in Figure  
 269 5-b. NaCl aerosol number based PSD ranges from below 20 nm, up to 200 nm (with a mode  
 270 between 40 nm and 45 nm).



271  
 272 Figure 5. Number-based PSD of (a) ESKAL 500 aerosol (obtained by APS) and (b) NaCl aerosol (obtained by  
 273 SMPS).

### 274 *Aerosol characterization and collection efficiency calculation*

275 ESKAL 500 aerosols were monitored using an Aerodynamic Particle Sizer (APS model 3321,  
 276 TSI). APS relies on particles time-of-flight measurement (using light scattering intensity  
 277 measurement of two partially overlapping laser beams), providing aerosol concentration and  
 278 PSD for particle aerodynamic size ranging from  $0.5 \mu\text{m}$  to  $20 \mu\text{m}$ , with a concentration scale  
 279 from  $0.001 \text{ p}\cdot\text{cm}^{-3}$  up to  $1000 \text{ p}\cdot\text{cm}^{-3}$ . APS operates at 1 Hz sampling rate.

280 For nano-sized (NaCl) aerosol monitoring, Scanning Mobility Particle Sizer spectrometer (TSI  
 281 SMPS 3080) was implemented. The SMPS is an electrostatic classifier that comprises a  
 282 Differential Mobility Analyzer (DMA, TSI 3081) and a Condensation Particle Counter (CPC,

283 TSI 3785). The DMA separates airborne particles based on their electrical mobility and the  
 284 CPC optically counts the individual particles (after enlarging them by nucleation growth of  
 285 droplets in a supersaturated atmosphere). The SMPS provides concentration values and number  
 286 based PSD for particle size ranging from 5 nm up to 1 000 nm, for concentration values as high  
 287 as  $10^7$  p.cm<sup>-3</sup> [42].

288 The single-pass particle collection efficiency is obtained by alternatively measuring the particle  
 289 concentration and PSD upstream and downstream (hereunder respectively referred to as US  
 290 and DS) of the ESP prototype. More specifically, for ESKAL 500 aerosol challenge,  
 291 measurement is performed and averaged for 30 s US of the ESP prototype, followed by 30 s  
 292 DS and another 30 s US to compensate for any concentration change in the test rig. The single-  
 293 pass particle collection efficiency  $\eta(D_p)$  for particles with diameter  $D_p$  corresponding to each  
 294 channel of the spectrometer (SMPS or APS) is then calculated as:

$$295 \quad \eta(dD_p) = \left( 1 - \frac{\bar{N}_{DS}(D_p)}{\frac{\bar{N}_{US1}(D_p) + \bar{N}_{US2}(D_p)}{2}} \right) \cdot 100 \quad (1)$$

296 With:  $\bar{N}_{US}(D_p)$  and  $\bar{N}_{DS}(D_p)$  the average particle number concentrations for particles with  
 297 diameter  $D_p$ , respectively measured upstream and downstream of the ESP prototype.

### 298 *Ozone emission rate calculation*

299 Ozone (O<sub>3</sub>) concentration change was monitored in the air duct, downstream to the ESP  
 300 prototype using an ozone analyzer from ENVEA, model o342e. For each set of operational  
 301 parameters investigated, sampling was performed at 0.1 Hz frequency until reaching steady O<sub>3</sub>  
 302 concentration for 10 min ([O<sub>3</sub>]<sub>s</sub>). The ozone emission rate ( $E$ , in mg.h<sup>-1</sup>) was then calculated  
 303 as:

$$304 \quad E = \frac{([O_3]_s - [O_3]_0) \cdot Q \cdot M \cdot P}{R \cdot T} \cdot 10^{-3} \quad (2)$$

305 With: [O<sub>3</sub>]<sub>0</sub> the initial ozone O<sub>3</sub> concentration in the air duct (in ppbv),  $M$  the molar mass of O<sub>3</sub>  
 306 (47.998 g.mol<sup>-1</sup>),  $P$  the atmospheric pressure (in Pa),  $T$  the temperature in the air duct (in K)  
 307 and  $R$  the universal gas constant (8.314 462 618 J.K<sup>-1</sup>.mol<sup>-1</sup>).

## 308 **RESULTS AND DISCUSSION**

309 Key prototype operating variables were investigated, such as the corona current intensity ( $I_w$ )  
 310 at the charging stage, the plate/plate voltage ( $U_p$ ) at the collection stage and the filtration air  
 311 flowrate ( $Q$ ). The following sections report the results of this parametric study on ESP  
 312 prototype performances.

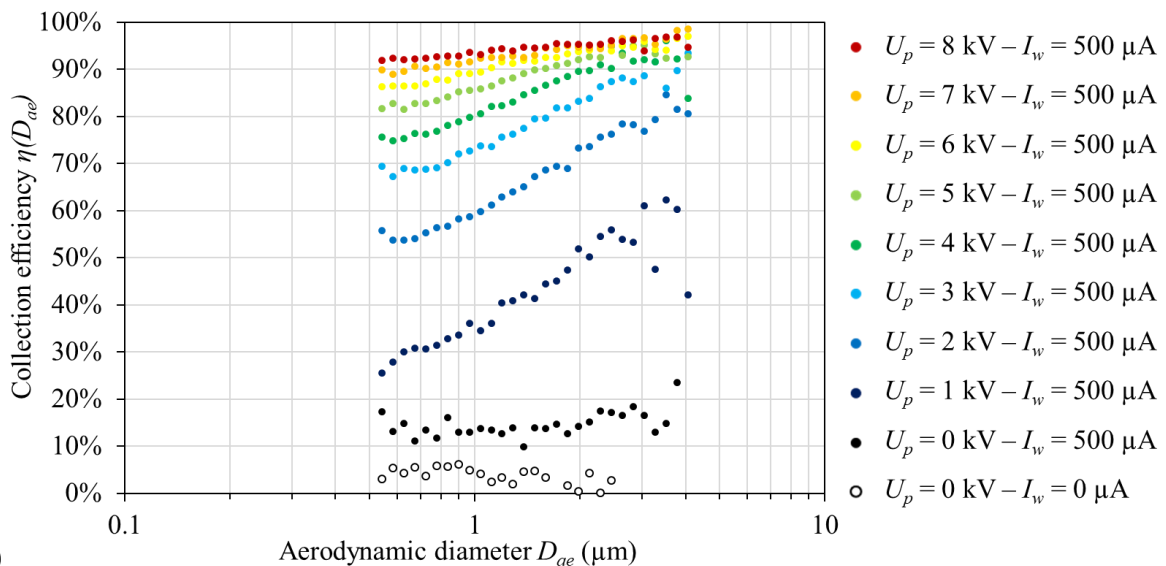
313 To remain in operating conditions that ensure the absence of spark discharge, upper limits were  
 314 respectively set to 500  $\mu$ A and 8 kV for corona current intensity ( $I_w$ ) and plate/plate voltage  
 315 ( $U_p$ ). Maximal value of 500  $\mu$ A for the charging stage  $I_w$  was experimentally obtained for a  
 316 wire/plate voltage ( $U_w$ ) of 4.9 kV and the positive corona onset voltage was measured at a  $U_w$   
 317 value of approximately 4.0 kV. The current-voltage and power consumption-voltage  
 318 characteristic curves are reported in supplementary materials (Figure S1).

319 **Single-pass collection efficiency assessment**

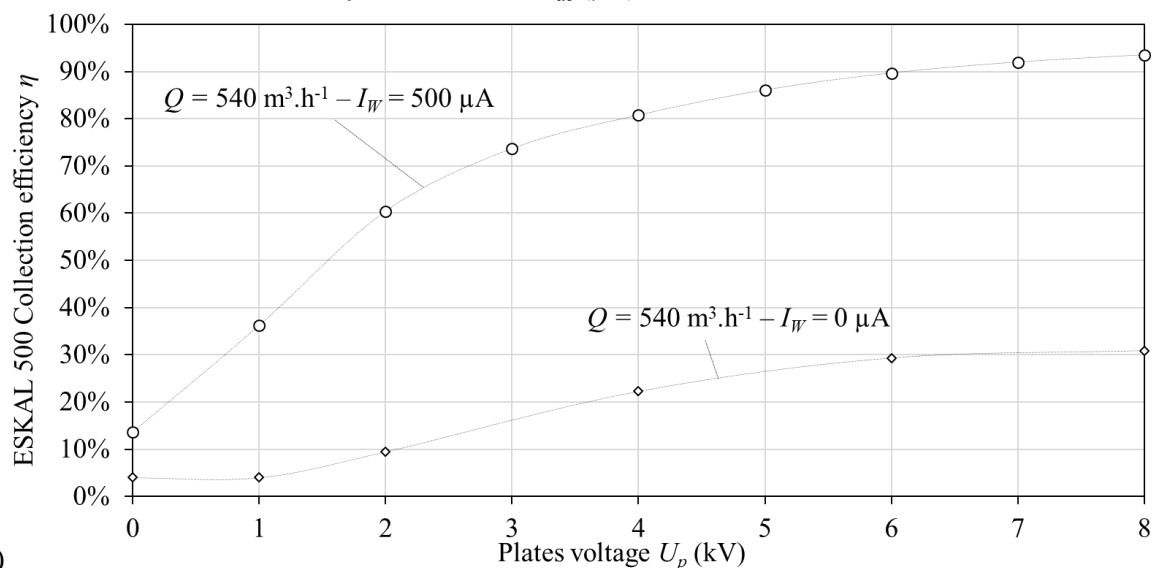
320 *Effect of the applied voltage  $U_p$  at collection stage*

321 To investigate the influence of  $U_p$  on collection efficiency, a series of experiments was  
 322 conducted. The corona current intensity  $I_w$  was set to its maximum value of  $500 \mu\text{A}$ , so that the  
 323 aerosol challenge particles acquire the highest electrical charge achievable. The airflow  $Q$  was  
 324 maintained at  $540 \text{ m}^3 \cdot \text{h}^{-1}$ , corresponding to a filtration velocity of  $1.61 \text{ m} \cdot \text{s}^{-1}$ .

325 The ESP prototype was challenged with ESKAL 500 aerosol and the influence of  $U_p$  on  
 326 collection efficiency was assessed for values ranging from 0 kV to 8kV. The spectral collection  
 327 efficiencies as a function of particle size, obtained in the particle size range of ESKAL 500  
 328 aerosol are reported in Figure 6a. The overall ESKAL 500 collection efficiencies (calculated  
 329 on the overall number based concentrations) are also shown in Figure 6b. The applied  $U_p$  has  
 330 a major influence on collection efficiency, which increased from 14% up to 94% when  $U_p$   
 331 increased from 0 kV to 8 kV.



332 a)



333 b)

334 Figure 6. ESP prototype spectral (a) and overall (b) collection efficiencies, for various  $U_p$  values  
 335 ( $Q = 540 \text{ m}^3 \cdot \text{h}^{-1}$ ,  $I_w = 500 \mu\text{A}$ , ESKAL 500 challenge).

336 Within particle size range of 500 nm to 4  $\mu\text{m}$ , regardless of the  $U_p$  value applied, the spectral  
337 efficiencies decrease when the particle size diminishes. This observation is in good agreement  
338 with Deutsch-Anderson equation [45] that stipulates that collection efficiency in ESP is driven  
339 by particles electrical mobility, which, for micron-sized particles with fixed charging state,  
340 decreases with particle size [45]. The spectral efficiencies demonstrate that PM<sub>2.5</sub> (coarse  
341 particles, with an aerodynamic diameter of 2.5  $\mu\text{m}$  and higher) are efficiently filtered (above  
342 90%) for  $U_p$  values as low as 4 kV whereas finer particles require  $U_p$  to be set above 7 kV to  
343 be as efficiently collected.

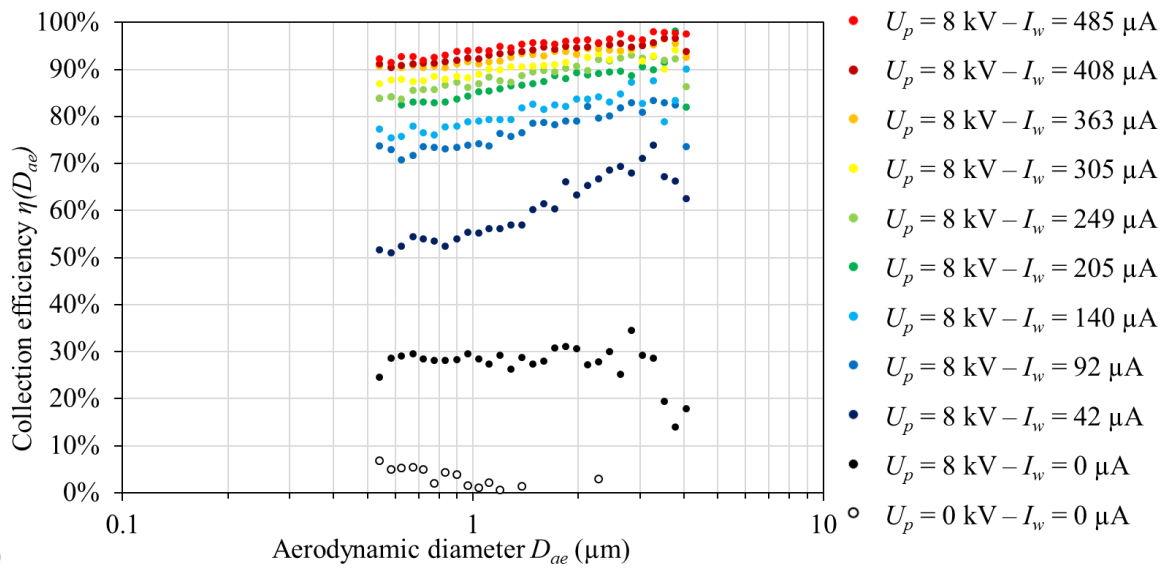
#### 344 *Effect of corona current $I_w$ at charging stage*

345 To study the effect of corona intensity on particle collection efficiency,  $U_p$  was fixed to its  
346 maximum value of 8 kV, so that the electric field intensity is maximized at the collection stage,  
347 and  $Q$  was maintained at 540  $\text{m}^3 \cdot \text{h}^{-1}$ .

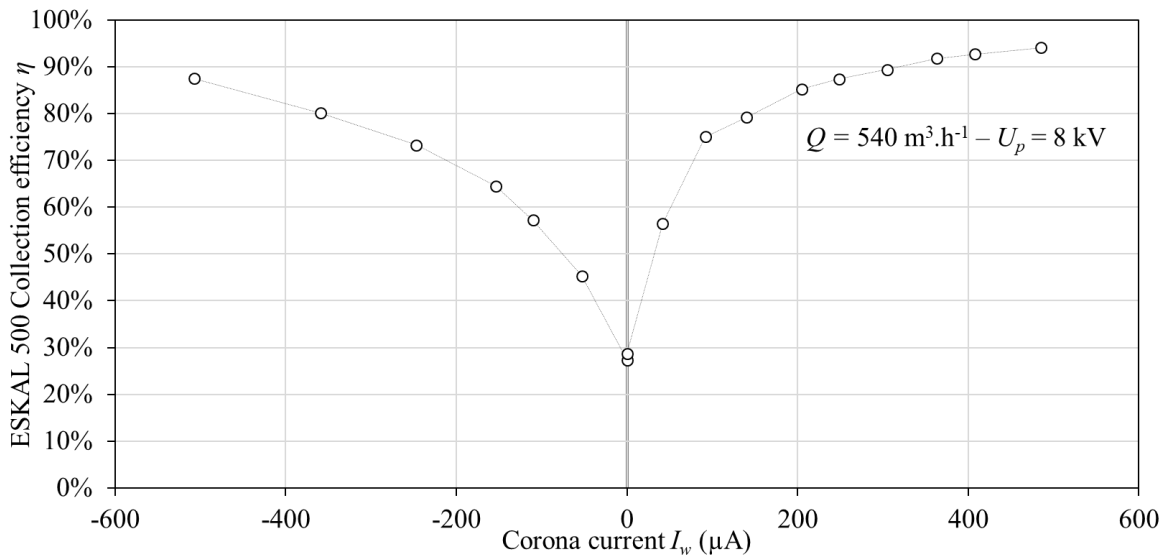
348 The ESP prototype was here challenged with both ESKAL 500 and NaCl aerosols, to assess its  
349 efficiency on a broad range of particle size (micron/sub-micron sized and UFPs). The spectral  
350 collection efficiencies as a function of particle size corresponding to ESKAL 500 aerosol are  
351 reported in Figure 7a for positive  $I_w$  values (spectral collection efficiencies obtained with  
352 negative  $I_w$  values are available in supplementary materials, Figure S2). The overall ESKAL  
353 500 collection efficiencies (calculated on the overall number based concentrations) are also  
354 shown in Figure 7b. Similarly, spectral and overall collection efficiencies corresponding to  
355 NaCl aerosol challenge are respectively reported in Figure 8a and Figure 8b.

356 For both challenge aerosols, increasing  $I_w$  drastically improves the collection efficiency. When  
357  $I_w$  was increased from 0  $\mu\text{A}$  to approximately 500  $\mu\text{A}$ , ESKAL 500 and NaCl overall collection  
358 efficiencies respectively increased from 29% to 94% and from 45% to 88%. This observation  
359 is in agreement with theory since  $I_w$  increase generates higher unipolar ion concentrations ( $N_i$ ),  
360 leading to higher charging efficiencies, which is reflected by higher particle collection at fixed  
361  $U_p$ . Additionally, one can notice that the ESKAL 500 collection efficiency is substantially  
362 higher for positive  $I_w$  as compared to equivalent negative  $I_w$ .

363 Analysis of spectral efficiencies obtained for NaCl aerosol challenge shows that, regardless of  
364 the  $I_w$  applied, the collection efficiency reaches a maximum for particle electrical-mobility  
365 diameter between 40 and 80 nm. For smaller particles ( $20 \text{ nm} < D_{me} < 40 \text{ nm}$ ) and for bigger  
366 particles ( $80 \text{ nm} < D_{me} < 200 \text{ nm}$ ), the efficiency slightly drops. A most penetrating particle  
367 size (MPPS) in the range of 120 nm to 200 nm classically results from the Deutsch-Anderson  
368 equation, whereas the efficiency decrease observed for particles below a few tens of  
369 nanometers may be attributed to partial charging phenomenon. Indeed Lin et al. [45] suggested  
370 a modified Deutsch-Anderson equation accounting for the probability of nanoparticles to hold  
371 one elementary charge. This partial charging phenomenon implies that, below 100 nm, an  
372 increasing particles fraction remains at neutral state making its collection by electrostatic effect  
373 impossible.



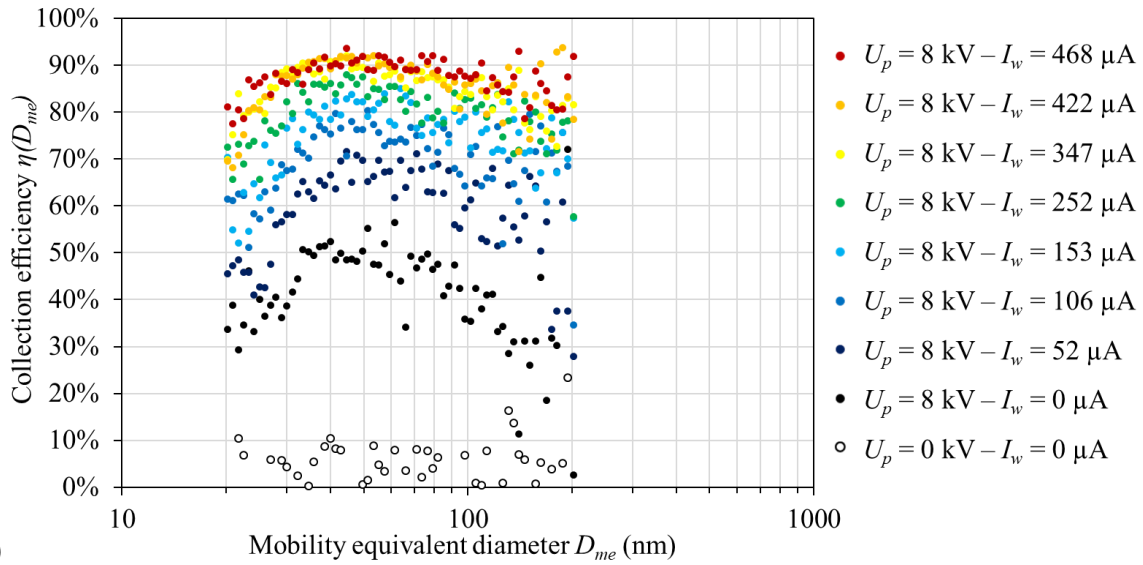
374 a)



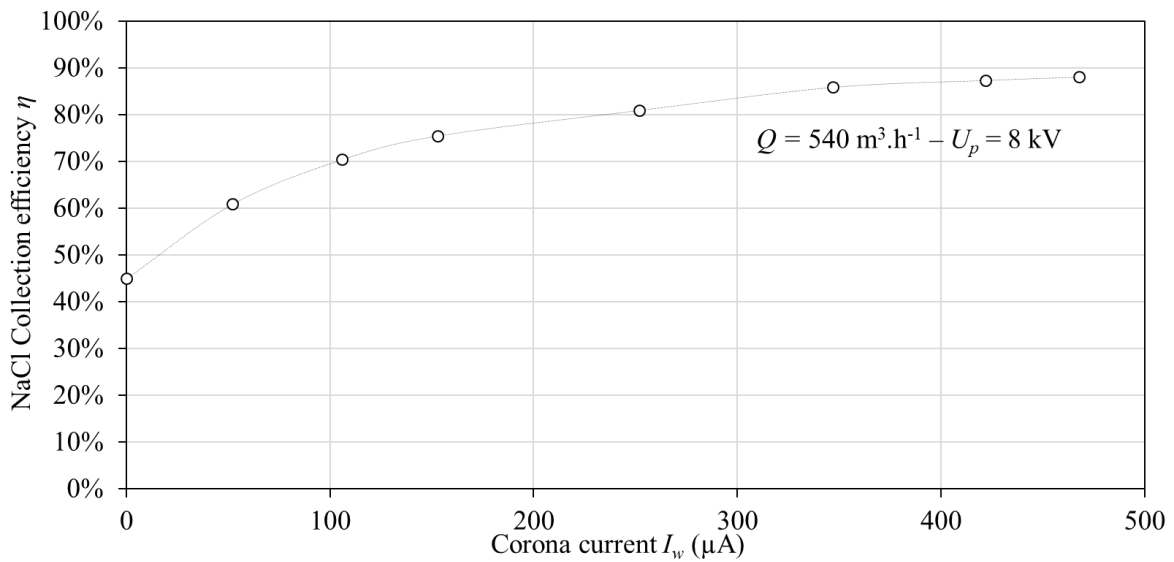
375 b)

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Figure 7. ESP prototype spectral (a) and overall (b) collection efficiencies, for various  $I_w$  values ( $Q = 540 \text{ m}^3 \cdot \text{h}^{-1}$ ,  $U_p = 8 \text{ kV}$ , ESKAL 500 challenge).



378 a)



379 b)

380 Figure 8. ESP prototype spectral (a) and overall (b) collection efficiencies, for various  $I_w$  values  
 381 ( $Q = 540 \text{ m}^3 \cdot \text{h}^{-1}$ ,  $U_p = 8 \text{ kV}$ , NaCl challenge).

382 *Effect of the filtration air flowrate  $Q$*

383 To study the effect of the air velocity on single pass particle collection efficiency,  $U_p$  and  $I_w$   
 384 were fixed at their maximum values (respectively 8 kV and 500  $\mu\text{A}$ ).

385 The ESP prototype was challenged with ESKAL 500 aerosol and the influence of  $Q$  on  
 386 collection efficiency was assessed for values ranging from  $120 \text{ m}^3 \cdot \text{h}^{-1}$  to  $540 \text{ m}^3 \cdot \text{h}^{-1}$ . Spectral  
 387 efficiencies are presented in Figure 9a and overall efficiencies are reported in Figure 9b. As  
 388 one can expect, lowering the air flowrate improves the overall system efficiency. When  $Q$   
 389 decreased from  $540 \text{ m}^3 \cdot \text{h}^{-1}$  down to  $120 \text{ m}^3 \cdot \text{h}^{-1}$ , the single-pass collection efficiency of ESKAL  
 390 500 increased from 94% up to over 99.8%. Two distinct phenomena may explain this efficiency  
 391 improvement: lowering the air velocity in the prototype firstly increases the particles residence  
 392 time in the charging stage and secondly decreases the tangential component of particles  
 393 velocity, enabling for more efficient displacement of the particles towards the collection plates.

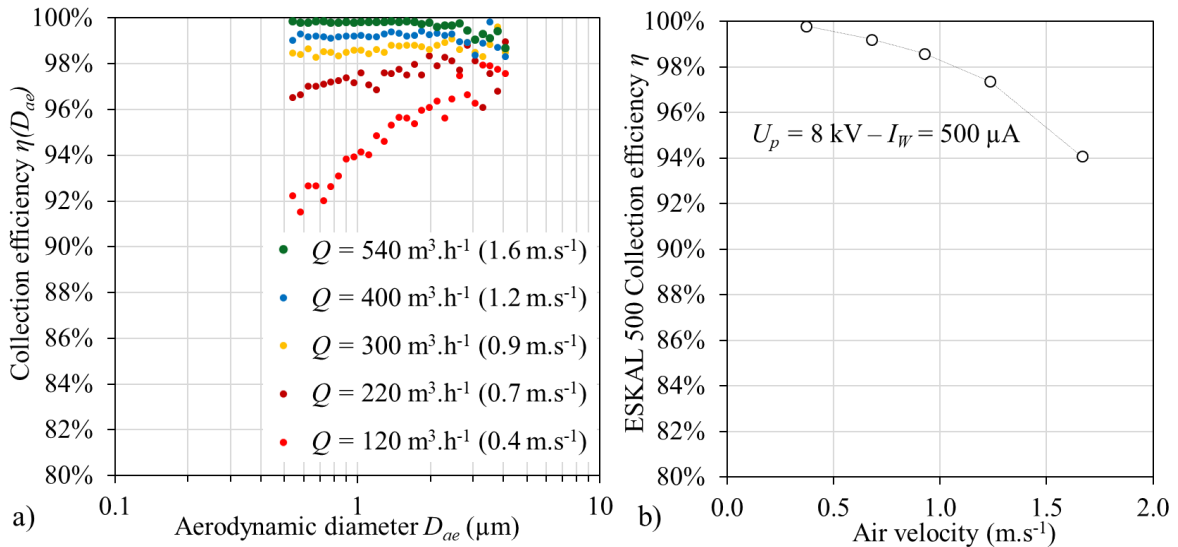
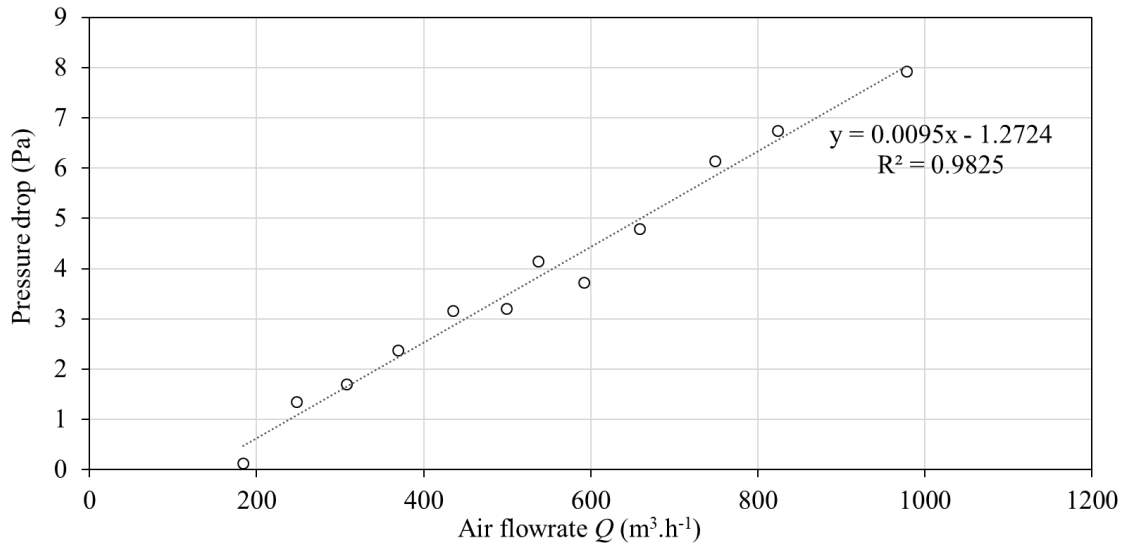


Figure 9. ESP prototype spectral (a) and overall (b) collection efficiencies, for various  $Q$  values ( $U_p = 8 \text{ kV}$ ,  $I_w = 500 \mu\text{A}$ , ESKAL 500 challenge).

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### 397 **Pressure drop assessment**

398 One of the main benefits of ESP over mechanical filtration using non-woven fibrous media  
 399 filters is its very low theoretical pressure drop, which is beneficial in terms of power  
 400 consumption. Experimental measurement of the ESP prototype pressure drop was conducted  
 401 for various air flowrates, as presented in Figure 10. The system pressure drop increases linearly  
 402 with the air flow and is approximately equal to 4 Pa for the nominal flow rate ( $540 \text{ m}^3 \cdot \text{h}^{-1}$ ). ESP  
 403 pressure drop is therefore 25 to 75 times lower than typical fibrous media filters, which usually  
 404 exhibit initial pressure drops in the range of 100-300 Pa. Additionally, the ESP system, unlike  
 405 the fibrous media, does not suffer from pressure drop increase due to fouling and clogging  
 406 during operation. Indeed, this clogging phenomenon may be considered as the main drawback  
 407 of fibrous media and can cause pressure drop to be multiplied by up to 10 over the filter  
 408 lifespan: as reported by Xu et al. [27], the pressure drop of a Donaldson KK2972941 airplane  
 409 cabin filter was measured at 100 Pa before use and reached 950 Pa after dust loading  
 410 representative of 6000 flight hours. Nevertheless, additional study will be needed to evaluate  
 411 the long-term ESP prototype behavior. Indeed, as collected particles accumulate on plates  
 412 surface, the pressure drop may not significantly change but the efficiency could potentially be  
 413 impaired due to particles re-entrainment phenomenon [41] (liberation of a fraction of collected  
 414 particles in the inter-electrode spacing).



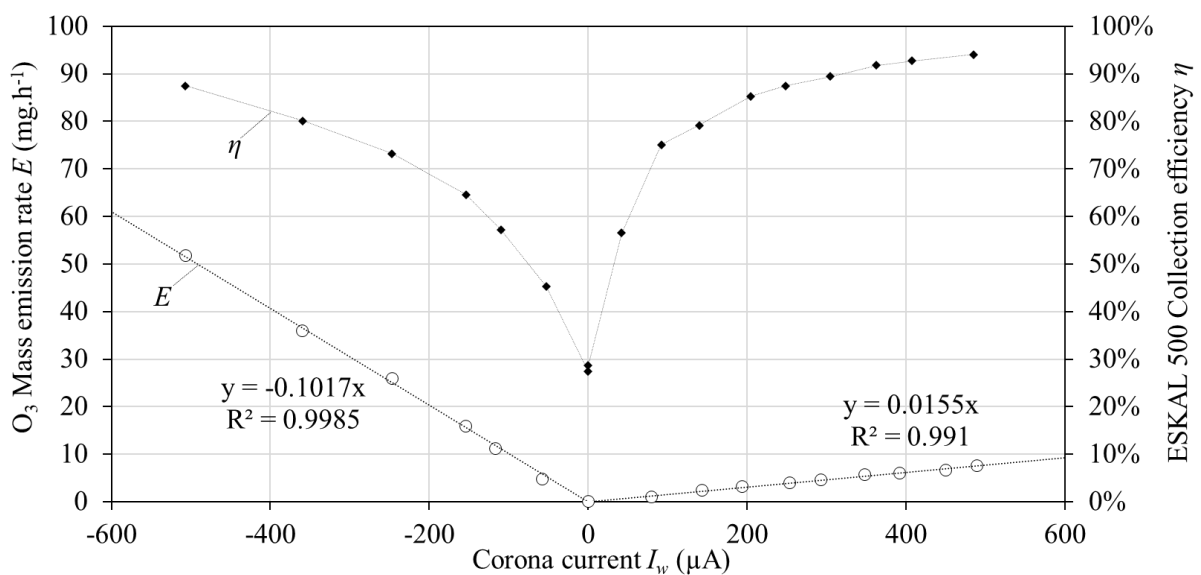
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Figure 10. ESP prototype pressure drop as a function of air flowrate  $Q$ .

417 **Ozone emission assessment**

418 The ozone generation by corona discharge is a well-recognized phenomenon. Since  
419 tropospheric  $O_3$  is considered as an air pollutant [46,47], any device using corona discharge  
420 and being used for indoor applications should be designed to ensure that the ozone level does  
421 not exceed acceptable limits. For this matter, the ozone emission characteristics of the ESP  
422 prototype have been studied for various corona current intensities  $I_w$  at charging stage.

423 Results, expressed in  $O_3$  mass emission rate ( $E$ , in mg.h<sup>-1</sup>) are reported in Figure 11, together  
424 with the associated ESKAL 500 collection efficiency.  $E$  was found to be directly proportional  
425 to  $I_w$  ranging from -500  $\mu$ A to +500  $\mu$ A. As previously documented [41],  $E$  appears to be higher  
426 (about 6.6 times) when negative  $I_w$  is applied, as compared to positive  $I_w$ . The linear relationship  
427 between  $O_3$  mass emission rate and positive corona current (in  $\mu$ A) is given by the following  
428 relationship:  $E = 0.0155 * I_w$ .

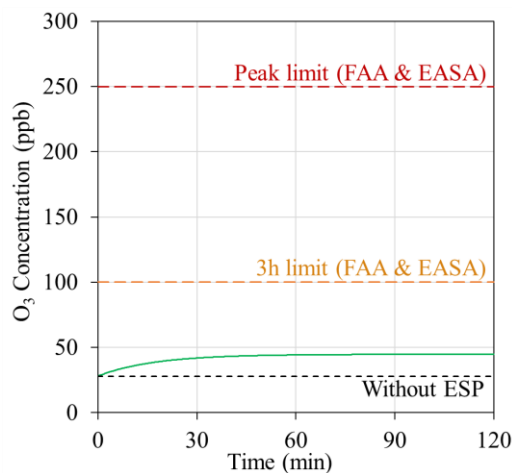


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Figure 11. ESP prototype  $O_3$  mass emission rate  $E$  and collection efficiency  $\eta$  (with ESKAL 500 challenge), as a function of corona current  $I_w$ .



<i>O<sub>3</sub> mass balance parameters</i>		
Aircraft cabin volume	136	m <sup>3</sup>
Recirculation flow $Q_r$	1080	m <sup>3</sup> .h <sup>-1</sup>
Bleed air flow $Q_f$	460	m <sup>3</sup> .h <sup>-1</sup>
Air recycling rate	70	%
Initial [O <sub>3</sub> ] in the cabin*	28	ppbv
Outside [O <sub>3</sub> ]*	28	ppbv
Number of ESP devices	2	-
$E$ of one ESP device	8	mg.h <sup>-1</sup>
Final [O <sub>3</sub> ] (Steady state)	45.4	ppbv



432

\* Maximum recorded mean concentration, according to EASA [48].

433

Figure 12. Simulated O<sub>3</sub> concentration change in an aircraft cabin due to ESP operation during flight.

434

For optimum collection efficiency, the ESP would thus approximately generate 8 mg.h<sup>-1</sup> of  
 435 ozone. Considering this value, and using a simplified mass balance model (presented in  
 436 supplementary materials), calculation can be performed to estimate the O<sub>3</sub> concentration  
 437 change in an airplane cabin over time. Parameters and results of this calculation are presented  
 438 in Figure 12. It is worth mentioning that this calculated O<sub>3</sub> concentration increase can be  
 439 considered as the worst case scenario since it does not take into consideration the influence of  
 440 natural O<sub>3</sub> decay. Calculation results estimate that the implementation and full-time operation  
 441 of two ESP prototypes would lead to less than 20 ppbv O<sub>3</sub> increase in the overall aircraft cabin  
 442 air (in approximately 60 min) of a regional airliner.

443

## CONCLUSION

444

An ESP prototype sized for aircraft cabin air PM filtration has been successfully designed and  
 445 its performances in-depth experimentally assessed. The ESP prototype design was made for a  
 446 half-system, treating half of the total recirculated air flow of a standard regional aircraft cabin  
 447 (i.e. 540 m<sup>3</sup>.h<sup>-1</sup>). The particulate matter collection efficiency as a function of operation  
 448 parameters (corona current  $I_w$ , plate/plate voltage  $U_p$  and air flowrate  $Q$ ) was assessed for  
 449 nano-, submicron- and micron-sized airborne particles. For nominal operation parameters, a  
 450 collection efficiency over 90% was demonstrated for airborne particles with an aerodynamic  
 451 diameter of 0.5 μm or larger. For ultrafine particles (UFP), collection efficiency was assessed  
 452 at 88% with a NaCl aerosol challenge. The particle charging technology based on corona  
 453 discharge inherently produces ozone but the optimized design of the ESP prototype was found  
 454 to limit this unwanted production down to 8 mg.h<sup>-1</sup>, which would induce, even in the worst  
 455 case scenario, very restrained concentration increase (<20 ppbv) in the aircraft cabin. Lastly,  
 456 for nominal operation flowrate, the ESP prototype exhibited a pressure drop below 4 Pa, which  
 457 is 25 to 75 times lower than conventional pristine media filters.

458

## ACKNOWLEDGMENTS

459

This project has received funding from the Clean Sky 2 Joint Undertaking (CS2JU REG-IAD)  
 460 under grant agreement No. 831963. The JU receives support from the European Union's  
 461 Horizon 2020 research and innovation program and the Clean Sky 2 JU members other than  
 462 the Union.

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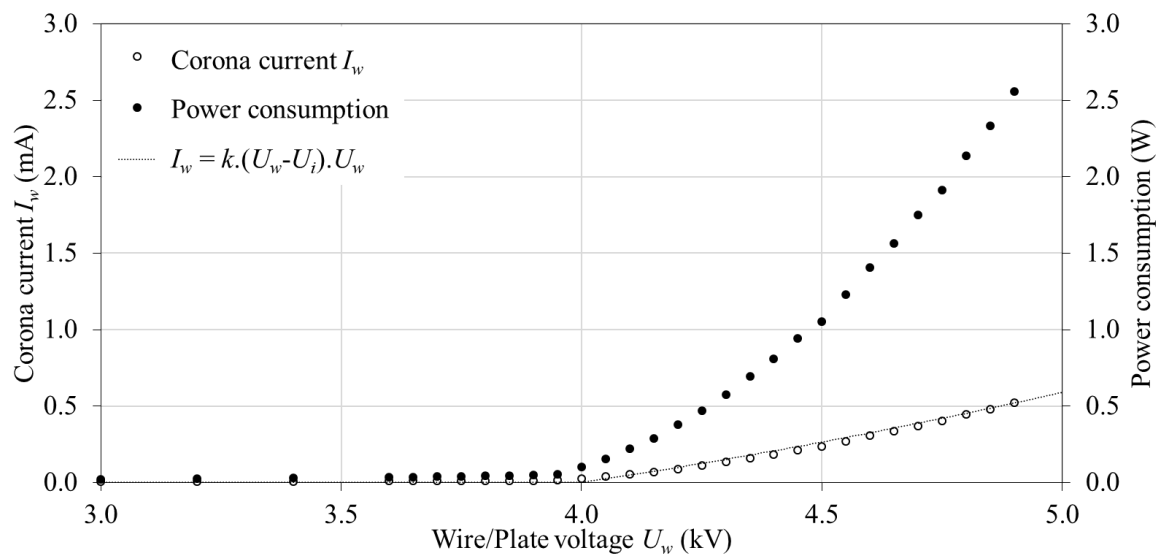
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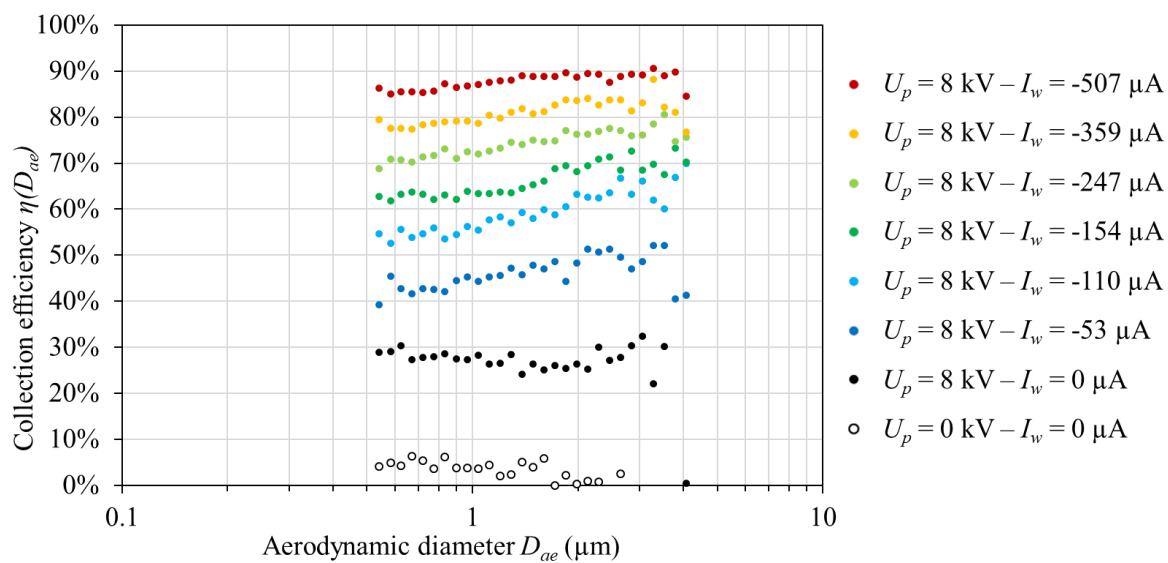
594 SUPPLEMENTARY MATERIALS



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Figure S1. Current-voltage and power consumption-voltage characteristic curves.



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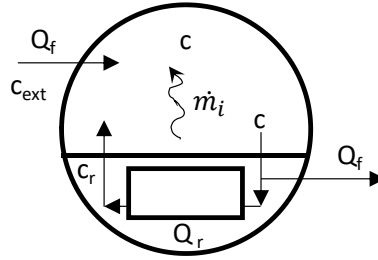
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Figure S2. ESP prototype spectral collection efficiencies, for various  $I_w$  negative values ( $Q = 540 \text{ m}^3 \cdot \text{h}^{-1}$ ,  $U_p = 8 \text{ kV}$ , ESKAL 500 challenge).

600

601 **Details of the simplified mass balance model to simulate [O<sub>3</sub>] and PM in an airplane cabin**

602 The model considers a medium size regional airliner cabin volume  $V$  of 136 m<sup>3</sup>,  
 603 accommodating for 100 passengers. Fresh air is supplied to the cabin with a flowrate  $Q_f$ , while  
 604 the air inside the cabin is recirculated and filtered with a total flowrate  $Q_r$  according to the  
 605 Figure S3 below. The cabin is assumed to be pressurized at the atmospheric pressure and  
 606 thermalized at 20 °C.



607  
 608 Figure S3. Simplified diagram of the air supply system.

609 The air in the cabin is assumed to be well mixed, so that the pollutant concentration (PM or  
 610 O<sub>3</sub>) is essentially uniform and equal to a single value  $c$ . This assumption is quite common (see  
 611 for instance the model for PM in [20]) and allows to ignore the complex air flow pattern in the  
 612 cabin. With this assumption, the pollutant mass balance in the cabin reads:

613 
$$V \frac{dc}{dt} = Q_f c_{ext} + \dot{m}_i + Q_r c_r - (Q_f + Q_r) c \quad (S.1)$$

- 614 Where:
- 615 -  $c_{ext}$  is the outside pollutant concentration
  - 616 -  $\dot{m}_i$  is the internal pollutant source
  - 617 -  $c_r$  is the concentration at the outlet of the filters.

618 For consistency, in this equation flowrates are expressed in m<sup>3</sup>.s<sup>-1</sup>, concentrations in kg.m<sup>-3</sup> and  
 619 sources in kg.s<sup>-1</sup>. All are evaluated under cabin temperature and pressure conditions.

620 **Simulation of ESP operation on [O<sub>3</sub>] in the airplane cabin**

621 O<sub>3</sub> emission by the ESP prototype operation is assimilated here to the O<sub>3</sub> internal pollution  
 622 source  $\dot{m}_i$ . For this simulation, to be able to discriminate the impact of ESP operation on [O<sub>3</sub>]  
 623 in the cabin, the outside O<sub>3</sub> concentration ( $c_{ext}$ ) is set as a constant, and the higher O<sub>3</sub>  
 624 concentrations that may be found at high altitudes are considered to be regulated by the  
 625 presence of an airplane ozone scrubber located on the bleed airflow path. Other potential  
 626 contamination sources and the O<sub>3</sub> natural decay inside the cabin are neglected. Since the O<sub>3</sub>  
 627 produced by the ESP is accounted for as internal pollution source (in other words:  $c_r = c$ ), the  
 628 mass balance equation can be simplified as follows:

629 
$$V \frac{dc}{dt} = Q_f (c_{ext} - c) + \dot{m}_i \quad (S.2)$$

630 The solution of this simplified equation is then calculated as:

631 
$$c = c_{ext} + \frac{\dot{m}_i}{Q_f} \left( 1 - \exp\left(-\frac{Q_f t}{V}\right) \right) \quad (S.3)$$

632 Selected parameters and resulting influence of ESP prototype operation on [O<sub>3</sub>] in an airplane  
633 cabin (calculated from equation S.3) are reported on Figure 12.

#### 634 *Simulation of ESP operation on PM in the airplane cabin*

635 The same model can be used to evaluate the impact of filter efficiency on PM concentration in  
636 the cabin over time. Since PM concentration on the tarmac may reach very high concentrations,  
637 the worst situations for PM pollution are the ground operations such as taxiing. Here the  
638 internal PM pollution source  $\dot{m}_i$  can be neglected and  $c_r$  is defined through the PM filter single-  
639 pass efficiency. The mass balance equation may then be expressed as:

$$640 \quad V \frac{dc}{dt} = Q_f c_{ext} - c(Q_r \eta + Q_f) \quad (S.4)$$

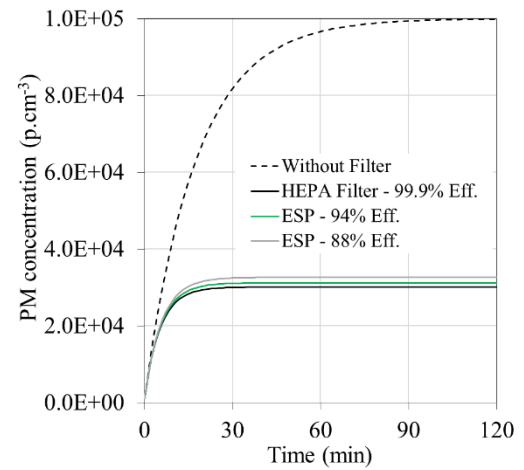
641 Considering an initial PM concentration in the airplane cabin  $c_i$ , the solution of this simplified  
642 equation can then be calculated as:

$$643 \quad c(t) = c_i \cdot \exp\left(-\frac{(Q_r \eta + Q_f)t}{V}\right) + \frac{Q_f c_{ext}}{(Q_r \eta + Q_f)} \left(1 - \exp\left(-\frac{(Q_r \eta + Q_f)t}{V}\right)\right) \quad (S.5)$$

644 Using (S.5), the PM concentration change as a function of time can be calculated for various  
645 filter efficiencies. Figure S4 presents the PM concentration change in an airplane cabin during  
646 a phase (such as landing and taxiing) where the initial concentration in the plane (fixed at  
647 100 p.cm<sup>-3</sup>) is substantially lower than the outside concentration (fixed at 100 000 p.cm<sup>-3</sup>). In  
648 this situation, the cabin PM concentration rapidly increases. Without filtering system, PM  
649 concentration in the cabin would tend to increase until equalizing the outside concentration.  
650 With the implementation of a filter, the increase would be contained at a level that depends on  
651 the filter efficiency. A 99.9% efficiency HEPA filter would limit the concentration in the cabin  
652 at 30 081 p.cm<sup>-3</sup>, while the implementation of the ESP prototype developed in this study (94%  
653 PM<sub>10</sub> efficiency and 88% UFP efficiency) would maintain concentration in the range of 31 182  
654 to 32 615 p.cm<sup>-3</sup>. Those steady state concentrations are reached within approximately 30 min.  
655 In this situation, replacing an HEPA filter by the ESP prototype would lead to a concentration  
656 increase in the airplane cabin by at most 3.7% (PM<sub>10</sub>) to 8.4% (UFP).



<i>PM mass balance parameters</i>				
Aircraft cabin volume	136			m <sup>3</sup>
Recirculation flow $Q_r$	1080			m <sup>3</sup> .h <sup>-1</sup>
Bleed air flow $Q_f$	460			m <sup>3</sup> .h <sup>-1</sup>
Air recycling rate	70			%
Initial [PM] in the cabin, $c_i$	10 <sup>2</sup>			p.cm <sup>-3</sup>
Outside [PM], $c_{ext}$	10 <sup>5</sup>			p.cm <sup>-3</sup>
PM filter efficiency	99.9	94	88	%
Final [PM] (Steady state)	30 081	31 182	32 615	p.cm <sup>-3</sup>



657 Figure S4. Simulated PM concentration change in an aircraft cabin for different filter efficiencies during  
658 on-ground operation.

659 It is worth mentioning that the described scenario points out the limitations of the ECS design  
660 implemented on nowadays airliner, with the absence of bleed air filtration before being mixed  
661 with the recirculated air.