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Camille Meeussen, Karen de Pauw, Pieter Sanczuk, Jörg Brunet, Sara A.O. Cousins, et al.. Initial oak regeneration responses to experimental warming along microclimatic and macroclimatic gradients. Plant Biology, In press, 10.1111/plb.13412. hal-03667630

HAL Id: hal-03667630 https://hal.science/hal-03667630

Submitted on 13 May 2022 $\,$

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Journal:	Plant Biology
Manuscript ID	Draft
Manuscript Type:	Research Paper
Date Submitted by the Author:	n/a
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Keyword:	Climate change, Edge influence, Forest structure, Temperate deciduous forests, Transplant experiment, Quercus



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4 gradients

- 5 Running title: Oak regeneration along climatic gradients.
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- 22 Keywords

- 23 Climate change, Edge influence, Forest structure, Temperate deciduous forests, Transplant
- 24 experiment, *Quercus*

to per period

25 Abstract

Quercus spp. are one of the most important tree genera in temperate deciduous forests from
 biodiversity, economic and cultural perspectives. However, natural regeneration of oaks,
 depending on specific environmental conditions, is still not sufficiently understood. Oak
 regeneration dynamics are impacted by climate change, and these impacts depend on local
 forest management, and light and temperature conditions.

- Here, we studied germination, survival and seedling performance (i.e. aboveground biomass,
 height, root collar diameter and specific leaf area) of four oak species (*Q. cerris, Q. ilex, Q. robur* and *Q. petraea*). Acorns were sown across a wide latitudinal gradient, from Italy to
 Sweden, and across several microclimatic gradients located within and beyond the species'
 natural ranges. Microclimatic gradients were applied in terms of forest structure, distance to
 the forest edge and experimental warming.
- We found strong interactions between species and latitude, as well as between microclimate
 and latitude or species. The species thus reacted differently to local and regional changes in
 light and temperature; complex in comparison to open forests seemed to enhance the
 temperate *Q. robur* and *Q. petraea* in southern regions, whereas the Mediterranean *Q. ilex*and *Q. cerris* performed better in simply structured forests with a reduced microclimatic
 buffering capacity. The experimental warming treatment only enhanced height and
 aboveground biomass of Mediterranean species.
- Our results showed that local gradients in light and temperature play a key role in the initial
 stages of oak regeneration, however one also needs to consider the species-specific responses
 to forest structure and the macroclimatic context.

48 Introduction

Oaks (*Quercus* spp.) are one of the largest tree genera worldwide with over 450 different species across the Northern hemisphere (Jones, 1974; Nixon, 2006; Gil-Pelegrín et al., 2017). They are one of the most important tree genera both in forests and agricultural landscapes across Europe (Eaton et al., 2016; Mitchell et al., 2019). Oaks are not only one of the most abundant tree species in many temperate deciduous forests, they also contribute to numerous ecosystem services such as wood production, are key species for biodiversity conservation and are of high cultural value (Eaton et al., 2016; Mitchell et al., 2019; Mölder et al., 2019).

Both the economic (Hanewinkel et al., 2013) as well as the ecological and cultural value associated 56 with oak forests are under pressure due to climate change and other forest disturbances, such as pests 57 and pathogens (Thomas et al., 2002; Mitchell et al., 2019). For instance, defoliation of Quercus robur 58 and *O. petraea* has been shown to significantly increase over time, predominantly as a result of 59 drought impacts (Sousa-Silva et al., 2018) sometimes followed by pathogen outbreaks such as the 60 processionary moth or vice versa (Tomlinson et al., 2015; Godefroid et al., 2019). The combined 61 impact of both abiotic (i.e. extreme weather conditions) and biotic (e.g. insect defoliators) stressors 62 have subsequently triggered increasing incidences of oak decline across Europe (Thomas et al., 2002). 63 Moreover, the long-term persistence of these keystone species remains uncertain as also natural 64 regeneration is impacted. Already more than 100 years ago, Watt (1919) wrote on the causes of failure 65 of natural regeneration of oak and since then alarming signals have been noticed across Europe (e.g. 66 Palmer et al., 2004; Petritan et al., 2013). Neither open nor shaded habitats such as closed forests are 67 optimal for oak regeneration (Bobiec et al., 2018). Besides issues with pathogens, predators, browsers 68 and insects, successful oak regeneration depends on a specific set of abiotic conditions (Annighöfer 69 et al., 2015; Kamler et al., 2016). Due to management changes many of the traditional semi-open 70 wooded landscapes have disappeared (Vera, 2000). Oak seedlings are generally more abundant in 71 large forest gaps, in coppiced and coppice-with-standards forests, or in forest transition zones near 72 agricultural lands (Bobiec et al., 2011, 2018; Demeter et al., 2021). Hence, for successful seedling 73

establishment specific microclimatic conditions are required (Von Lüpke, 1998; Mölder et al., 2019),

but we still lack information on how light, temperature and humidity affect the regeneration successof different oak species.

The macroclimate, defined as free-air conditions, largely affects tree growth and determines species 77 distributions based on temperature and precipitation patterns (Bonan, 2008; Elith and Leathwick, 78 2009). Seedlings' climate niches, however, are more limited than those of adult trees (Grubb, 1977; 79 Bell et al., 2014; Leuschner and Meier, 2018; Caron et al., 2021). Therefore, it is still unclear how 80 regeneration of important tree species such as oaks will respond to climate change and extreme 81 weather events. Moreover, specific abiotic conditions are likely determined by both the regional 82 macroclimate as well as the local microclimate (i.e. local climatic conditions shaped by the 83 surrounding vegetation and topography; Geiger et al., 2009). Local microclimatic gradients can, for 84 instance, occur near forest edges, where temperature decreases and humidity increases towards the 85 forest core (Schmidt et al., 2019; Meeussen et al., 2021). Likewise, forest management interventions 86 that lead to reductions in canopy cover such as thinning can create an increase in light and temperature 87 at the forest floor (Kovács et al., 2017; Zellweger et al., 2019; Meeussen et al., 2021). Via the 88 temperature buffering effect of the canopy, microclimatic conditions near the forest floor are 89 stabilized (De Frenne et al., 2013), which might facilitate regeneration of oaks and protect seedlings 90 against extreme temperatures and drought. To better understand natural oak regeneration, more 91 research is necessary to figure out how local and large-scale climatic gradients interact and determine 92 the regeneration success of different oak species in a warming world. Also, warming experiments in 93 the field can be very useful to test oak recruitment under future temperature scenarios in alternative 94 macroclimatic and microclimatic contexts. However, no single experiment to date performed such an 95 in-situ warming experiment of oaks in different forest types and along edge-to-core gradients across 96 Europe. 97

Knowledge on the preferred set of abiotic conditions might, however, not be enough to enhancenatural regeneration of oaks in a warming world. Many tree species might not be able to adapt or

migrate as fast as the shifting environmental conditions (Svenning and Skov, 2004; Lenoir et al., 100 2008), leading to time-lag dynamics and therefore putting pressure on future forest resilience, 101 biodiversity and ecosystem services (Iverson et al., 2004; Bertrand et al., 2011). Besides providing 102 103 management guidelines regarding the preservation of local microclimatic conditions, a potential solution could thus be to translocate species to climatically more suitable locations to help them track 104 the shifting isotherms (i.e. assisted migration, Leech et al., 2011). Both in-situ warming experiments 105 as well as translocation experiments beyond the actual range margins, which are both currently 106 lacking for many tree species (Saxe et al., 2001), can get us valuable insights on how species perform 107 outside their natural range and help conserve important species and forest functions threatened by 108 climate change (Gaston, 2003: Lee-Yaw et al., 2016). 109

Here we investigated the germination, survival and seedling performance (the latter quantified as 110 aboveground biomass, height, root collar diameter and specific leaf area) of four economically and 111 ecologically important oak species: *Q. cerris*, *Q. ilex*, *Q. petraea* and *Q. robur*. In total, 1024 acorns 112 were sown, distributed across five sites along a c. 2000 km broad latitudinal gradient from Italy to 113 Sweden. Along the latitudinal gradient with varying macroclimate, seedlings were subject to varying 114 microclimatic conditions determined by more local factors including forest management and distance 115 to the forest edge. We finally also experimentally manipulated microclimates by means of a warming 116 treatment to test how experimental warming affected the initial stages of natural regeneration of the 117 different species. We hypothesized to find different germination, survival and performance signals of 118 the four species along our macro- and microclimatic gradients; we expected that the southern species 119 Q. ilex and Q. cerris performed better in warmer environments (both micro- and macroclimates), 120 whereas the northern species Q. robur and Q. petraea benefitted from locally buffered (cooler) 121 microclimatic conditions, especially in the more southern regions, such as can be found in the forest 122 interior or in complex forests. 123

124

125 Material and Methods

126 Study species

We selected four native European oak species for the experiment: Q. robur, Q. petraea, Q. ilex subsp. 127 Ilex and *Q. cerris* (family Fagaceae). The sessile oak (*Q. petraea*) and the pedunculate oak (*Q. robur*) 128 are two very common and important deciduous tree species in the temperate part of the continent, and 129 even (co-)dominate many European temperate deciduous-mixed forests (Eaton et al., 2016). Their 130 ranges largely overlap (Figure 1a) and extend from the southern part of Sweden and Norway towards 131 South-Italy, Turkey, the Balkan and the northern part of Spain and Portugal in the South (Eaton et 132 al., 2016). However, Q. robur has a slightly larger distribution range and performs better in 133 134 continental climates. *Quercus petraea*, on the other hand, is more drought-tolerant, is often found on rocky soils and on slopes or hills, and prefers more Atlantic climates (Eaton et al., 2016). Turkey oak 135 (Q. cerris) and holm oak (Q. ilex) are native to southern Europe with distribution mainly in the 136 Mediterranean basin. Quercus ilex can be found in the western and central parts of the Mediterranean 137 basin whereas *Q. cerris* is mainly present in Italy and the Balkans (de Rigo and Caudullo, 2016; de 138 Rigo et al., 2016) (Figure 1a). Both species grow on a wide variety of soils and are relatively resistant 139 to drought (Barbero et al., 1992; Praciak, et al., 2013). Quercus ilex can also survive low winter 140 temperatures down to -20°C for shorter periods (Knopf, 2002). Quercus ilex is an evergreen and more 141 shade-tolerant species (de Rigo and Caudullo, 2016), whereas Q. robur, Q. petraea and Q. cerris are 142 deciduous and light-demanding tree species (Eaton et al., 2016, de Rigo et al., 2016). As seedlings 143 however, *O. cerris* and *O. petraea* are a bit more tolerant to shade in comparison to *O. robur* which 144 has a very low shade tolerance (Leuschner and Meier, 2018). 145

146 Study design

We included both macroclimatic and microclimatic gradients, as well as experimental warming replicated along each of the macroclimatic and microclimatic gradients, in our study. To be able to study the effect of macroclimatic variation on initial oak regeneration, we planted acorns of the four studied species along a 1,800 km wide latitudinal gradient (i.e. temperature difference of 8.2°C, 151 CHELSA, Karger et al., 2017, **Table S1**) crossing the temperate forest biome in Europe. Along this 152 south-north gradient, five experimental sites were selected in deciduous forests: Florence in Central 153 Italy, Amiens in Northern France, Ghent in Belgium, Halmstad in Southern Sweden and Stockholm 154 in Central Sweden (**Figure 1a**). The tree layer in most experimental sites was dominated by *Q. robur* 155 or *Q. petraea*, but with diverse species co-occurring in the different sites (**Table S1**).

In all five sites, four experimental locations were established: we included variation in forest 156 structure and distance to the forest edge to be able to study the effect of the local microclimate 157 (i.e. sub-canopy gradients in light, humidity and temperature) on the initial regeneration stages 158 of oak (Figure 1b). Two forest stands were selected with a different forest structure as 159 differences in vegetation structure will affect the forest microclimate (Frey et al., 2016; 160 Kovács et al., 2017; Meeussen et al., 2021). The first stand, hereafter referred to as 'complex' 161 forest', was always a vertically dense and multi-layered forest stand with a well-developed 162 shrub layer in the understorey (i.e. at least more than 15%) and a closed upper canopy layer 163 (canopy cover above 90%). A second stand type represented a 'simple forest' which was 164 composed only of a single tree layer, had a higher canopy openness (canopy cover below 165 70%) and no or few shrubs in the understorey (i.e. a maximum shrub cover of 45%). 166 Moreover, in each of these stands, two plots were established (n = 20 plots across the five 167 sites), forming a second microclimatic gradient determined by distance to the edge (Meeussen 168 et al., 2021). The centre of the first plot was located at a distance of roughly 2-5 m from the 169 edge whereas the second plot lied at least 50-100 m towards the core of the forest patch. The 170 studied edges were all bordered by a matrix of agricultural land and were approximately 171 south-oriented, as edge orientation due to the impact of direct radiation, is known to affect the 172 microclimate and edge width (Chen et al., 1995; Matlack, 1993). Further details on the study 173 design, forest structure and site selection are available in De Pauw et al. (2021) and in Table 174

175

S1.

In three sites, i.e. Southern Sweden, Belgium and Central Italy, an experimental warming treatment 176 was installed (for feasibility reasons, this was not possible at the other two sites). We therefore 177 doubled the number of plots present at those three locations. This led to a total of 32 plots (i.e. five 178 179 sites with four plots per site, among which three sites had an additional set of four plots with experimental warming: 20 plots for control vs. 12 plots for warming treatment). The warming 180 treatment was applied both day and night during the growing seasons of 2019 (from installation in 181 May to the 30th of September) and 2020 (from the 1st week of February to the 1st week of September) 182 making use of two infrared heater arrays of 100 W per experimental plot (Elstein - Werk M Steinmetz 183 GmbH & Co KG, Northeim, Germany) located approximately 85 cm above the ground (see Nijs et 184 al., 1996; Kimball, 2005; Kimball et al., 2008; for a discussion on the advantages and drawbacks of 185 infrared heaters in climate change experiments). To quantify the amount of warming and the 186 temperature differences along microclimatic and macroclimatic gradients, soil temperature 187 measurements occurred hourly, from September 2019 until September 2020, with lascar temperature 188 loggers (EasyLog EL-USB-1, accuracy at -35 to +80 °C: \pm 0.5 °C). The loggers were buried in each 189 plot immediately next to the oaks in the soil in a protective plastic tube at a depth of 5 cm. A linear-190 mixed effect model with all design variables (i.e. site, forest structure, distance to the edge and 191 treatment) as fixed effects and with sensor ID as random effect, showed that the soil temperature in 192 the warming treatment was significantly elevated by 0.89 ± 0.16 °C parameter estimate and S.D., p < 1000193 0.001) compared to the control treatment. Moreover, on average, the air temperature in the 194 experimental locations was 0.62°C higher in simply structured forests in comparison to complex 195 forests, also near the edge the air temperature slightly increased although not significant but the soil 196 water content was significantly lower in edge plots compared to core plots (on average a decrease of 197 198 8%) (De Pauw et al., 2021).

Acorns were bought from the tree nursery Sylva-Van Hulle® (Belgium; www.sylva.be) and stratified in a cold environment (i.e. fridge ± 4 °C) until the day of sowing. Right before sowing, the acorns were hydrated in a bucket of water for two hours. Floating and damaged (e.g. visible weevil exit

holes) acorns were discarded. Subsequently, the acorns were weighed, placed in a container (7 cm by 202 7 cm and 15 cm deep) and covered by a thin layer of potting soil. To avoid an effect of soil conditions 203 on oak germination and growth, all acorns were sown in containers filled with the same potting soil, 204 205 consisting of 85% peat and 15% coconut fibre with a pH-KCl of 5-6.5. Per plot 32 containers were installed, divided across two rows (Figure S1). Each row thus contained four acorns of the four 206 selected oak species randomly distributed along the row. In total, 1024 acorns (32 plots \times 32 acorns 207 per plot) were planted for the experiment. The containers were placed in closed cages (meshes 6.4 208 mm by 6.4 mm) (see Figure S1) to protect the acorns against rodents. Acorns of Q. robur and Q. ilex 209 were sown in the spring of 2019, whereas acorns of Q. cerris and Q. petraea were sown one year 210 later in the spring of 2020 because of germination failure for *Q. petraea* in 2019 and because no 211 acorns of *Q. cerris* were commercially available in 2019 in many European countries. The oak species 212 differed in provenance, similar to their original distribution area. Ouercus cerris and O. ilex came 213 respectively from Hungary and Spain whereas acorns of *Q. petraea* came from England and finally, 214 those of *Q. robur* had a Belgian origin. Per species, we kept the provenance uniform as it was not our 215 intention to study the effect of tree provenance on germination and seedling performance. While we 216 are aware that provenance effects can exist in trees, including more provenances was simply not 217 feasible given our study extent. 218

219

220 Data collection

Twice a year, once during the growing season and once at the end of the summer, the following characteristics and traits were measured and determined to assess the germination, survival and performance status of the different species.

To quantify germination and survival, for each container (n = 1024), we determined if a seedling was present (no measurement periods in 2019 and 2 measurement periods in 2020 for *Q. cerris* and *Q. petraea* and 2 measurements in 2019 and 2 in 2020 for *Q. robur* and *Q. ilex*). If seedlings were present during the course of the experiment, the acorn was considered as germinated (germination = 1), regardless of the period and of future survival. For those acorns that germinated during the experiment, we subsequently also checked seedling survival at the end of the experiment (August/September 2020) (survival = 1).

Moreover, at each survey, we measured plant height (cm) of the germinated individuals using a 231 foldable ruler. The height was defined as the shortest distance between the upper boundary of the 232 main photosynthetic tissues (youngest fully expanded or expanding leaf) of the oak and the ground 233 level (Pérez-Harguindeguy et al., 2013) without stretching the plants axis. Besides the height, we also 234 measured the diameter at root collar (DRC, mm), as close to the ground as possible, using a digital 235 caliper. At the end of the experiment, we collected the largest healthy-looking leaf of each individual, 236 avoiding leaves with obvious symptoms of pathogen or herbivore attack. These leaves were dried for 237 48 hours at 40 °C and subsequently, their dry weight was determined. All leaves were also scanned 238 with a LI-3000C Portable Area Meter in combination with a LI-3050C Transparent Belt Conveyor 239 Accessory (LI-COR Environmental, Nebraska, USA) to measure their one-sided area. The ratio of 240 total leaf area to total leaf dry mass or specific leaf area (SLA, mm² mg⁻¹) was calculated as a response 241 variable. Finally, in August 2020 at the end of the experiment, we also cut the whole seedling directly 242 above the soil and dried it for 48 hours at 65 °C to determine the total aboveground biomass of each 243 individual (mg). 244

For four of our metrics (i.e. germination, survival, biomass and SLA) we thus only have one value per acorn in our dataset, whereas for biomass and plant height multiple repeated measurements of the different surveys were available.

248 Data analysis

The effect of macroclimatic and microclimatic gradients, including the warming treatment, on the initial regeneration stages of the four studied oak species was modelled in R (R core Team 2020). Due to the hierarchical structure of the data, we used (generalized) linear mixed-effect models and the '*glmer*' function from the package '*lme4*' (Bates et al., 2015). The response variables 'germination' and 'survival' were modelled with a binomial distribution while DRC, SLA, plant

height and biomass were modelled with a Gaussian distribution. To determine the optimal model 254 structure both for random as well as fixed effects, the protocol described by Zuur et al. (2009) was 255 followed. Firstly, the optimal random structure was determined (i.e. the beyond optimal model). The 256 257 selected random structure was a combination of (1) a single variable that combined the site, forest structure and distance to the edge of the experimental plot, and (2) individual ID (i.e. the specific 258 container/acorn individual) for the repeated measurements (i.e. DRC and plant height) both as random 259 intercepts. This random structure was used for all models as it reflected the optimal structure for all 260 but one model. For germination, the optimal structure was one without random effects, but for the 261 sake of simplicity and since AIC-values did differ only 6 units, we decided to select the same random 262 structure for all models. 263

For each response variable two models were constructed. In a first model, latitude (as a continuous 264 predictor for site), forest stand structure (a factor variable with two levels: complex vs. simple stands), 265 distance to the edge (a factor variable with two levels: forest edge vs. core) and species (a factor 266 variable with four levels: Q. ilex, Q. petraea, Q. robur or Q. cerris) were included as fixed effects. In 267 a second model, we used a subset of the data containing only information of the sites with an 268 experimental warming treatment (i.e. Central Italy, Belgium and Southern Sweden) and in this model, 269 we also added experimental warming (a factor variable with two levels: control vs. warming) to the 270 model as an additional fixed effect. Two-way interaction terms were included between all the fixed 271 effects. Moreover, the initial weight of the acorn was added as covariate to each model, as acorn size 272 is known to affect germination and growth (e.g. Tilki, 2010; Kormanik et al., 2011). Continuous 273 predictor variables like latitude and acorn weight were standardized to allow for a comparison of 274 model coefficients. The response variable biomass was log-transformed prior to the analyses as it 275 showed a strong right-skewed distribution. Subsequently, via a manual backward model selection 276 non-significant interactions and main effects were removed from the model. Finally, restricted 277 maximum likelihood was employed to assess the parameters and the model fit was determined as the 278

- variance explained by the fixed effects (i.e. marginal R^2) and the combination of random and fixed
- effects (i.e. conditional R^2) (Nakagawa and Schielzeth, 2013).

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281 Results

282 Germination and survival

Among the 1024 acorns we sown, 741 germinated (~ 72%) during the course of the experiment. Of those, 493 seedlings (~ 66%) were still alive at the end of the experiment (**Table 1**). Strong differences between species were found; almost 91% of the acorns of *Q. ilex* germinated whereas for *Q. cerris* we found a germination percentage of only 52%. Higher survival rates were noted for *Q. ilex* and *Q. cerris* (both ~ 85%) whereas for *Q. robur* and *Q. petraea* survival rates were ~ 41% and ~ 72%, respectively.

Germination rates of heavier acorns were higher, whereas we found no effect of acorn weight on 289 290 survival (Table 2). We found significant interaction effects between latitude and species for both germination and survival (Table 2). These interactions showed, firstly, that germination success 291 increased for *Q. cerris* and *Q. petraea* towards the north, whereas it decreased towards the north for 292 O. ilex and O. robur. Moreover, for survival, besides a latitude-species interaction, additional 293 interactions between both stand structure and species as well as between stand structure and latitude 294 295 were found (Figure 2, Table 2). These indicated that complex forests in comparison to open forests favoured the survival of seedlings in warmer regions. In simply structured stands survival strongly 296 increased towards colder regions for Q. cerris, Q. petraea and Q. robur whereas there was only a 297 weak increase for *Q. ilex*. In complex stands, however, the increase in survival for *Q. robur* towards 298 northern regions was weaker and we even found a decrease in survival of Q. ilex seedlings towards 299 northern regions (Figure 2, Table 2). We did not find an additional impact or interaction effect of 300 the warming treatment on the survival rate of the seedlings, and neither on the germination success 301 of the acorns. Acorn germination was however higher in the forest core (Figure S2, Table 2). 302

303 Seedling performance

In general, we found a higher biomass (log-transformed) for the Mediterranean species (*Q. ilex* and *Q. cerris*) in southern regions in comparison to northern regions, whereas for *Q. robur* and *Q. petraea* we found the opposite trend. Besides the latitude-species interaction, there was a positive significant interaction between stand structure and latitude on the biomass and there was a type-species
interaction; in general biomass was higher in simple forests, except for *Q. petraea*, but in southern
regions seedling biomass was higher in complex forest stands, whereas in northern regions seedling
biomass was higher in simple forest stands (Table 2, Figure 3).

For DRC and plant height, we again found strong and significant interaction terms between species and latitude: *Q. ilex* seedlings had a lower DRC and plant height in northern regions, whereas for the other oaks, DRC and plant height increased towards the north (**Table 2**). We also found interactions for DRC between species and microclimate, both in terms of stand structure and distance to the edge. The diameter was generally higher near the edge, except for *Q. cerris*, and generally higher in simple forest stands, except for *Q. petraea* (**Figure 4a, Table 2**).

Seedlings of *Q. ilex* had the lowest SLA. Though, again species reacted differently along the latitudinal gradient. For most species, we found an increase in SLA towards higher latitudes, except for *Q. petraea* seedlings which decreased their SLA towards the north. Moreover, for all species the SLA was lower in simply structured forests (**Figure 4b, Table 2**).

Simulating climate warming by warming the air temperature, did not affect SLA or DRC in our experiment. If treatment was included in the models for plant height and biomass, we did however find a significant interaction between warming and species. This interaction indicated that the height and biomass of *Q. robur* were reduced under warming whereas the seedlings of *Q. ilex* and *Q. cerris* grew taller and had more biomass in comparison to the control plots (**Table S2**).

326 Discussion

We found that macroclimate (i.e. latitude) and species identity had a strong interactive effect on the germination success, survival rate and performance level of oak seedlings. However, also microclimatic conditions and light availability had an important impact on the initial stages of oak regeneration with the direction of the effect often depending on the studied species. In general, experimental warming did not significantly alter oak recruitment, except for a positive effect on plant height and biomass for *Q. ilex* and *Q. cerris* and a negative effect on plant height and biomass for *Q. robur*.

334 Germination and survival

Seedling germination was higher in the forest interior in comparison to the edge and this was valid 335 across the whole latitudinal gradient as well as to all species we studied. Forest edges are 336 characterized by a different structure (i.e. higher stem density; Meeussen et al., 2020), altered 337 microclimatic conditions (i.e. warmer and drier; Chen et al., 1995; Davies-Colley et al., 2000; 338 Meeussen et al., 2021), higher nutrient depositions (De Schrijver et al., 2007; Wuyts et al., 2008) and 339 a different understorey plant, animal and soil microbiota community composition (e.g. De Smedt et 340 al., 2019; Govaert et al., 2020) which can all affect the regeneration and establishment of tree species 341 (Meiners et al., 2002). However, an improved germination rate in the forest core contrasts with studies 342 finding a higher germination rate at forest edges or in open forests and landscapes (Wales, 1972; 343 Meiners et al., 2002; Götmark, 2007; Bobiec et al., 2018). A reduced emergence in the forest interior 344 could be related to a stronger shading by canopy trees and therefore especially shade-intolerant 345 species could have a higher emergence near forest edges (Wales, 1972; Meiners et al., 2002). Oaks 346 are shade-intolerant but on the other hand they have large seeds rich in nutrients which give them a 347 kick start for growth. This is also shown by the significant positive effect of acorn weight on 348 germination rate and might thus explain the high germination rate in the forest interior as well. 349 Moreover, after already a few dry years, the spring and/or summer of 2019 and 2020 were very dry 350 as well in all regions (Barbosa et al., 2020) and since our forest edges were characterized by a lower 351

soil moisture content (De Pauw et al., 2021), these drought effects might cause a higher germinationin the forest interior.

Also, the latitude-species interaction found for germination, whereby *Q. ilex* and *Q. robur* germinate better in the south while *Q. cerris* and *Q. petraea* germinate better in the north is rather counterintuitive for *Q. robur* and *Q. cerris*. We speculate that these patterns are related to the fact that the latter two species were planted in 2020, whereas the first two oak species were planted in 2019. The trends thus, although not conclusive, might reflect annual variation in weather conditions rather than species-specific germination trends. Moreover, germination and growth during the first year, as discussed above, might be strongly impacted by the nutrients present in the acorn.

For survival, we found an increased probability to persist towards colder macroclimates, except for 361 *O. ilex* in complex forests. A lower survival rate in warm regions might be related to drought stress 362 and this especially for *Q. robur* and *Q. petraea* as our most southern region was approximately located 363 at the warm range margin of these species (Urli et al., 2014; Eaton et al., 2016). Moreover, the survival 364 rate of *Q. ilex*, a species with a high tolerance to drought (Lobo et al., 2018), was not lower in southern 365 regions and even increased towards the south in complex forest stands. In general, the survival in 366 southern regions was also higher in complex forests, whereas in the north we found higher survival 367 rates in simply structured forests. This might reflect the importance of the buffering effect of dense 368 forests in warm environments, creating less environmental stress due to more humid and cooler 369 conditions in complex forests (De Frenne et al., 2019; Zellweger et al., 2019; Meeussen et al., 2021), 370 and thus protecting young seedlings from withering (Aussenac, 2000; Badano et al., 2015). Whereas 371 in cold environments, simply structured forests provide a warmer and brighter environment, as was 372 also the case in our experiment (see also De Pauw et al., 2021). Finally, the overall high mortality 373 rates of Q. robur might be related to its susceptibility to oak powdery mildew (Marçais and Desprez-374 Loustau, 2014) which we also observed in our study. 375

376 Performance

Towards colder macroclimates, both plant height and diameter at root collar (DRC) increased, except 377 for Q. ilex which performed better in warmer macroclimates. We suggest that this species-latitude 378 interaction might be caused by the temperature and drought tolerance of the different oak seedlings 379 380 along the gradient. Under dry circumstances, temperate species are more likely to invest in their root biomass instead of aboveground biomass (i.e. expressed here as plant height and stem diameter) (Van 381 Hees, 1997). Indeed, along the latitudinal gradient, we also detected an interaction between species 382 identity and macroclimate for aboveground biomass; seedlings from the two Mediterranean oak 383 species had a higher biomass in southern regions. Though, along the macroclimatic gradient, also the 384 forest structure interactively affected the biomass: a simple forest structure led to a higher biomass 385 for oak seedlings. But this was especially the case for northern regions, as in the south we found a 386 higher biomass in complex stands in comparison to simple stands (except for *Q. petraea*). Also, DRC 387 was affected by the vegetation structure with a higher DRC in simple stands (except for *O. petraea*) 388 and near edges (except for *Q. cerris*). These trends are all probably related to enhanced microclimatic 389 growing conditions (i.e. trade of between water availability and warmth) and light availability in open 390 forest patches or near edges. Previous studies already found a higher growth, biomass and height near 391 forest edges or under increased light intensities (Meiners et al., 2002; Lhotka and Stringer, 2013; 392 Sevillano et al., 2016). Microclimatic conditions and light availability in simply structured forests or 393 near forest edges might also be more representative of those of traditional management practices 394 leading to semi-open woody landscapes, which are known to have enhanced Quercus reproduction 395 in the past over more shade-tolerant species (Watt, 1919; Bobiec et al., 2018). Moreover, the positive 396 effect of a low canopy cover might also favour the survival and growth of oaks affected by mildew, 397 which was the case for many seedlings in our experiment. The fungus tends to reduce the shade and 398 399 drought-tolerance of seedlings and therefore has the potential to reduce the regeneration capacity of oaks in forest environments (Lonsdale, 2015; Bobiec et al., 2018; Demeter et al., 2021). 400

Finally, SLA values were lower in simply structured forests and at low latitudes (except for *Q. petraea*for which the SLA slightly decreased towards the north). Also, significantly lower SLA values for *Q*.

ilex were expected since this species is adapted to dry Mediterranean climates and characterized by 403 small, thick and coriaceous leaves, allowing the tree to reduce its transpiration rate (de Rigo and 404 Caudullo, 2016). Traits such as SLA, besides resembling a different ecological strategy, are not only 405 influenced by global but also by local environmental factors (Wright et al., 2004; De Pauw et al., 406 2021, Govaert et al., 2021). So, higher SLA values in complex forests might be a reaction to lower 407 light intensity as plants growing in shade need to have larger leaves to capture sufficient light for their 408 photosynthesis (Sevillano et al., 2016; Buajan et al., 2017). Besides, a lower SLA value in southern 409 regions might be a mechanism to reduce water stress as a response to a higher vapor pressure deficit. 410

411 Experimental warming and transplant experiment beyond range limits

412 In warm and dry climates, the Mediterranean species *Q. ilex* and *Q. cerris* clearly performed better with a higher biomass and height, and higher survival rates. Moreover, even when being transplanted 413 beyond their coldest range limits, both species still performed well and their survival rates remained 414 high (especially for *Q. ilex*). *Quercus ilex* was able to maintain the highest biomass and DRC, even 415 in our Swedish plots. However, one must keep in mind that *Q. cerris* and *Q. petraea* were only 416 monitored for one growing season and were not exposed to winter conditions along the gradient. Frost 417 is known to affect seedling performance and survival, and might limit species distribution at the 418 northern range margin (Bianchi et al., 2019; Hofmann et al., 2014). Survival and performance results 419 of those latter two species could thus be strongly altered if we would have studied them during two 420 consecutive growing seasons. Besides frost, latitudinal patterns might also be caused by other species-421 specific drivers such as phenological differences, the light quality and quantity, drought or herbivory 422 patterns along the gradients (Ducousso et al., 1996; Collet et al., 1998; Sevillano et al., 2016; Moreira 423 et al., 2021). 424

Rather unexpectedly, we only found a limited impact of our experimental warming treatment on the germination, survival and performance of our four studied oak species. More specifically, we found a negative effect on plant height and biomass for *Q. robur*, whereas this effect was positive for both *Q. cerris* and *Q. ilex. Quercus robur* is generally known as less shade tolerant than *Q. cerris* and *Q.*

petraea (Leuschner and Meier, 2018) and might thus better regenerate in open conditions, as it was 429 demonstrated in previous research (Demeter et al., 2021). Consistently, in the northern regions, we 430 found a strong increase in the survival rate of Q. robur in simple and relatively more open forests in 431 432 comparison with more complex and darker forests. This species thus requires sufficient light to grow but might also be more sensitive to heat and drought compared to the other tree species. The 433 Mediterranean species, on the other hand, are better adapted to heat and drought (such as via their 434 leaf characteristics or their provenance; de Rigo and Caudullo, 2016; Bert et al., 2020) and therefore 435 could benefit from the additional warmth, especially at locations beyond their northern edge. 436 Moreover, stronger effects might be expected if the degree of warming would be higher and for long-437 term warming experiments since the seedlings could also experience time-lags in response to the 438 warming treatment (Bertrand et al., 2011; De Pauw et al., 2021). Therefore, in our rather short-term 439 experiment, other drivers such as the nutrient available in the acorn and the microclimate, could have 440 had a stronger impact on the observed patterns than the warming treatment. Differences in 441 microclimate due to vegetation characteristics were on average approximately of the same magnitude 442 than the observed temperature increase under the warming treatment (De Pauw et al., 2021). 443

Even though we did not find a strong impact of the warming treatment, climate change still could strongly affect natural tree regeneration at a local scale as we found significant impacts of the macroclimate itself as well as more complex macroclimate-microclimate (i.e. via forest structure and edge distance) interactions. The latter stress the importance of local microclimate buffering and thoughtful forest management to limit the impact of rising temperatures in the understorey of forest interiors.

450 Potential management implications

451 Successful natural oak regeneration is complex and largely context dependent. Both species identity 452 and macroclimate determine the outcome, while microclimate conditions and management 453 interventions may locally alter these influences on initial oak regeneration. Moreover, conditions 454 enhancing or limiting acorn germination, differ from those for survival, growth or biomass 455 accumulation. And these conditions might differ in turn from the growth requirements in later 456 growing stages or those of adult trees (Morin et al., 2007; Annighöfer et al., 2015; Leuschner and 457 Meier, 2018). Therefore, successful regeneration requires constant as well as species and location 458 specific monitoring and forehanded management interventions to control the local climate and light 459 availability and herewith the initial regeneration success.

We show that light is key for the initial stages of regeneration and establishment of oaks (see also e.g. 460 Théry, 2001; Sevillano et al., 2016). Previous studies showed that neither fully exposed sites or light 461 limited sites led to a successful regeneration for oaks (Puerta-Piñero et al., 2007; Bobiec et al., 2018). 462 Simply structured forests, forest edges or natural and human-induced canopy gaps, might provide an 463 important regeneration niche for oak species thanks to warmer microclimate conditions and more 464 light availability in the understorey (Canham et al., 1990; Aussenac, 2000; Davies-Colley et al., 2000; 465 Meeussen et al., 2021). However, optimal light conditions for oak regeneration will depend on the 466 focal species and will require management interventions as the forest-floor microclimate will be 467 influenced by both the macroclimate and the local forest structure (Aussenac, 2000; De Frenne et al., 468 2019; Meeussen et al., 2021). The detected macroclimate-forest structure interactions, as found for 469 470 biomass and survival, showed that such trade-offs in microclimatic requirements exist along the studied latitudinal gradient. An increased light availability (and subsequently forest-floor 471 temperature) might benefit the initial regeneration stages and seedling performance in northern 472 regions whereas in southern regions managers might want to keep forest stands dense and closed to 473 improve moisture conditions and avoid a detrimental impact of drought on the growth and survival 474 of oak seedlings. 475

476 Acknowledgements

We thank Tom Augustijns, Kris Ceunen, Robbe De Beelde, Ove Eriksson, Ulf Johansson, Linnea
Glav Lundin, Xoaquin Moreira, Hans Rudolf Schaffner, Jonas Pieters, Sarah Vaneenooghe, Severine
Verschaete, Sam Van Bouchaute, Jonathan Van Loo and Luc Willems for providing support during
the fieldwork and analyses.

- 481 Funding information
- 482 This work was supported by the European Research Council [ERC Starting Grant FORMICA no.
- 483 757833, 2018] (http://www.formica.ugent.be) and the FWO Scientific research network FLEUR
- 484 (<u>www.fleur.ugent.be</u>).
- 485 Data availability statement
- 486 Upon acceptance data will be made available.
- 487 References
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794 Figure captions

Figure 1: (a) Distribution maps of the four species. The red and blue circles represent the different regions involved in the experiment. Red circles are the regions equipped with experimental warming and control plots. Blue circles are the regions equipped with control plots. Distribution maps are from: http://www.euforgen.org/species/ (b) Pictures of the microclimatic gradients (here in Stockholm): two forest edges and two forest core plots were selected of which each time one was located in a "simple" (or more open) forest (bottom) and one in a more "complex" (or dense) forest (top). In regions represented by a red dot, additional plots with experimental warming were established at the four locations.

Figure 2: Predicted probabilities of survival for the four studied oak species (species are shown in different colours) as a function of latitude ($^{\circ}N$) for two forest types (left: complex forests; right: simply structured forest). The dots represent the raw data points with 0 = no survival and 100 = survival. A small amount of jittering was added to the raw data points along the x and y-axis to improve clarity.

Figure 3: Predicted biomass (log-transformed) of the oaks (species shown in different colours) as a function of latitude
(°N) for the two forest types (left: complex forests; right: simply structured forests). The dots represent the raw data
points.

Figure 4: (a) Violin plots of the root collar diameter (mm) of the different oak species (shown in the subpanels) across
the microclimatic gradients formed by stand structure (complex *vs.* simple forest stands, visualized by dark and light
green colours, respectively) and the distance to the edge of the experimental plot (in the core *vs.* at the edge of the forest).
Boxes show the median and interquartile range. (b) Violin plot of the impact of stand structure, represented again by dark
and light green hues, on the specific leaf area (mm² mg⁻¹) for the oak species.

Tables

Table 1: Average seedling characteristics of the oak species at the end of the experiment (August 2020) across macroclimatic (i.e. latitude) and microclimatic (i.e. stand structure, distance to the edge, warming) gradients.

Species	Acorns germinated (n = 256 per species sown in total)	Seedlings surviving at the end of the experiment	Aboveground biomass (mg) (Mean ± sd)	Plant height (cm) (Mean ± sd)	Diameter at root collar (mm) (Mean ± sd)	Specific leaf area (mm ² mg ⁻¹) (Mean ± sd)
Q. cerris*	134	115	466.22 ± 276.23	18.6 ± 6.9	2.40 ± 0.60	23.95 ± 5.73
Q. ilex	234	199	1056.02 ± 964.23	23.1 ± 9.4	2.66 ± 0.91	13.99 ± 3.86
Q. petraea*	170	123	227.23 ± 163.55	13.6 ± 5.5	1.93 ± 0.49	22.96 ± 6.15
Q. robur	203	83	358.73 ± 491.01	20.6 ± 8.4	2.21 ± 0.82	25.08 ± 4.75

*Quercus cerris and Q. petraea were monitored for only one growing season, whereas Q. robur and Q. ilex were monitored during two growing seasons.

Table 2: The impact of macroclimate (i.e. latitude), microclimate (i.e. forest structure and distance to the edge), species and their interaction effects, as well as the impact of acorn weight, on the germination, survival and characteristics of oak seedlings. Complex forests, forest cores, and *Q. ilex* were used as the reference forest structure, reference distance to the edge and reference species for the model intercept parameter. The direction of the effect size is depicted by an arrow (i.e. relative to zero for continuous variable and relative to the intercept parameter, or reference, for factor variables like forest structure, distance to the edge and species) and the significance of the effect size is indicated with asterisks (* = p < 0.05, ** = p < 0.01, *** = p < 0.001). Results of models with significant experimental warming effects can be found in the appendix **Table S1**.

	Germination	Survival	Aboveground biomass (mg, log- transformed)	Plant height (cm)	Diameter at root collar (cm)	Specific leaf area (mm ² mg ⁻¹)
Latitude (°N)	\downarrow	\downarrow	↓***	↓***	\downarrow	↑
Forest structure (simple compared to complex)		Ļ	<u>↑</u> **		Ţ	↓**
Edge-to-core gradient (edge plot compared to interior)	↓*				Ţ	
Q. cerris	↓***	\downarrow	↓***	↓***	↓***	^***

Q. petraea	↓***	↓***	↓***	↓***	↓***	↑** *
Q. robur	↓***	↓***	↓***	\downarrow	↓***	↑** *
Acorn weight (mg)	^*		^***	↑** *	^** *	
Lat : Simple		^*	^*		1	
structure						
Lat : Q. cerris	^*	^***	1	↑** *	^*	1
Lat : <i>Q. petraea</i>	^* *	^***	↑ ***	^***	↑ ***	\downarrow^*
Lat : <i>Q. robur</i>	1	^**	^**	↑** *	^***	\downarrow
Simple structure :		1	\downarrow		1	
Q. cerris						
Simple structure: Q.		1	↓*		↓*	
petraea						
Simple structure: Q.		^*	1		\downarrow	
robur						
Edge plot : <i>Q</i> .		0			↓*	
cerris						
Edge plot: <i>Q</i> .					\downarrow	
petraea						
Edge plot: Q. robur		(\uparrow	
Marginal R ²	0.23	0.50	0.48	0.19	0.26	0.55
Conditional R ²	0.30	0.64	0.56	0.42	0.53	0.66

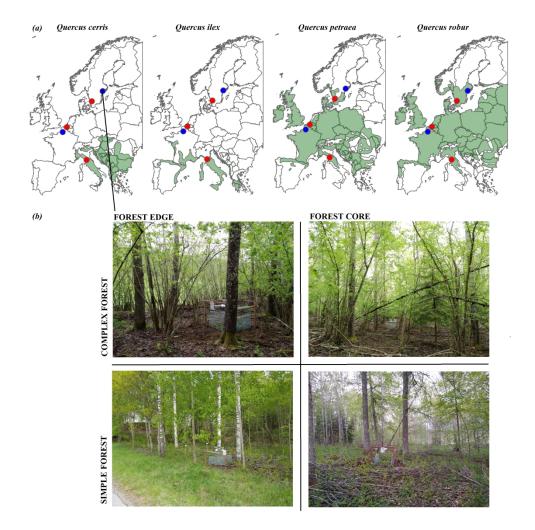


Figure 1: (a) Distribution maps of the four species. The red and blue circles represent the different regions involved in the experiment. Red circles are the regions equipped with experimental warming and control plots. Blue circles are the regions equipped with control plots. Distribution maps are from: http://www.euforgen.org/species/ (b) Pictures of the microclimatic gradients (here in Stockholm): two forest edges and two forest core plots were selected of which each time one was located in a "simple" (or more open) forest (bottom) and one in a more "complex" (or dense) forest (top). In regions represented by a red dot, additional plots with experimental warming were established at the four locations.

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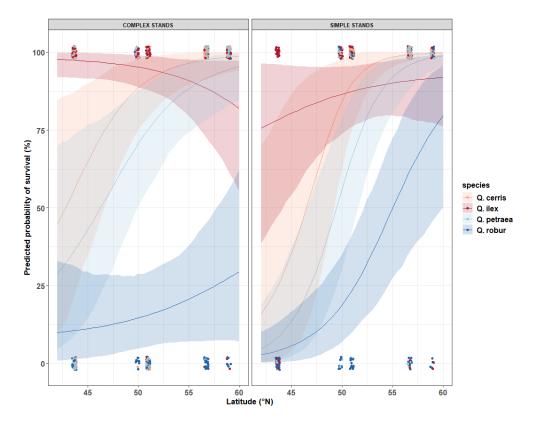


Figure 2: Predicted probabilities of survival for the four studied oak species (species are shown in different colours) as a function of latitude (°N) for two forest types (left: complex forests; right: simply structured forest). The dots represent the raw data points with 0 = no survival and 100 = survival. A small amount of jittering was added to the raw data points along the x and y-axis to improve clarity.

711x558mm (38 x 38 DPI)

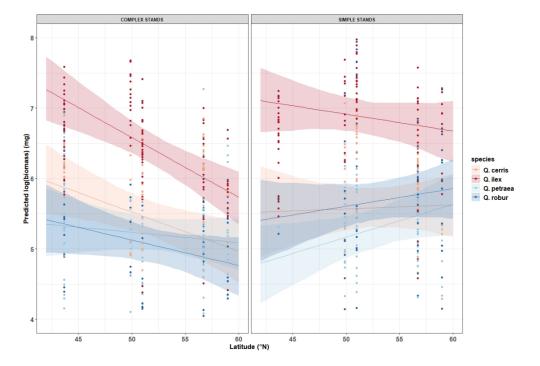


Figure 3: Predicted biomass (log-transformed) of the oaks (species shown in different colours) as a function of latitude (°N) for the two forest types (left: complex forests; right: simply structured forests). The dots represent the raw data points.

842x575mm (38 x 38 DPI)

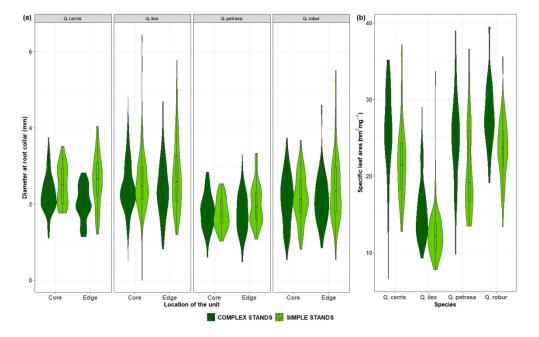


Figure 4: (a) Violin plots of the root collar diameter (mm) of the different oak species (shown in the subpanels) across the microclimatic gradients formed by stand structure (complex vs. simple forest stands, visualized by dark and light green colours, respectively) and the distance to the edge of the experimental plot (in the core vs. at the edge of the forest). Boxes show the median and interquartile range. (b) Violin plot of the impact of stand structure, represented again by dark and light green hues, on the specific leaf area (mm2 mg-1) for the oak species.

920x575mm (38 x 38 DPI)

- 1 Supplementary information for the manuscript: Initial oak regeneration responses to
- 2 experimental warming along microclimatic and macroclimatic gradients

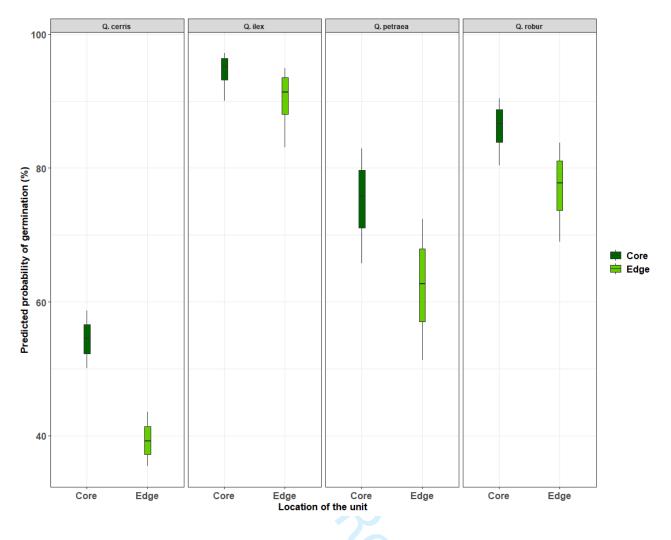


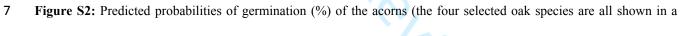
3 4

Figure S1: Left: overview of an experimental plot (without warming treatment) with two rows of 16 oak seedling

5 containers protected by a cage. Right: row with acorns right before planting (top) and a germinating *Q. ilex* (bottom).

6





8 different subpanel) in function of the distance to the edge of the plot (at the edge or in the core of the forest).

Manuscript submitted to editorial office

- 9 Table S1: Overview and characteristics of the 20 experimental locations. Mean annual temperature (MAT) and mean annual precipitation (MAP) were derived from CHELSA
- 10 (version 1.2, average climatic conditions over the period 1979-2013 at a spatial resolution of 30 arc sec, equivalent to approximately a 0.5 km2 resolution at 50 °N) (Karger et al.,

11 2017).

Regions	MAT	MAP	Structure	Edge or core	Tree cover	Shrub cover	Dominant tree species
	(°C)	(mm/year)		position	(%)	(%)	
Central Italy	14.9	761	Simple	Edge	75	30	Quercus pubescens, Quercus cerris, Farxinus ornus
				Core	60	43	
			Complex	Edge	68	35	Quercus pubescens, Quercus cerris, Fraxinus ornus
				Core	70	40	
Northern France	10.8	693	Simple	Edge		60	Prunus avium, Fagus sylvatica, Populus alba, Fraxinus excelsior
				Core	70	30	
			Complex	Edge	65	50	Prunus avium, Fagus sylvatica, Populus alba, Acer pseudoplatanus
				Core	75	27	
Belgium	10.5	809	Simple	Edge	35	15	Quercus robur, Castanea sativa, Fraxinus excelsior, Ulmus glabra
				Core	60	10	101
			Complex	Edge	90	30	Quercus robur, Acer pseudoplatanus, Fraxinus excelsior, Fagus sylvatica
				Core	95	80	
Southern	7.2	1010	Simple	Edge	98	8	Quercus petraea, Fagus sylvatica, Betula pendula
Sweden				Core	75	5	
			Complex	Edge	98	15	Fagus sylvatica, Quercus petraea
				Core	92	50	
Central Sweden	6.7	547	Simple	Edge	60	/	Quercus robur, Betula pendula
(Stockholm)				Core	60	/	
			Complex	Edge	65	80	Populus tremula, Betula pendula
				Core	70	80	

13 Table S2: The impact of macroclimate (i.e. latitude °N), microclimate (i.e. forest structure and distance to the edge), 14 experimental warming, species and their interaction effects, as well as the impact of acorn weight, on the biomass and 15 natural height of oak seedlings. Complex forests, forests cores, the control treatment and Q. ilex were used as the reference 16 forest structure, reference distance to the edge, reference treatment and reference species. For these models only a subset 17 of the data with regions Italy, Belgium and Southern Sweden was used, as in France and Stockholm no experimental 18 warming was present. The direction of the effect is given and the significance of the effect is indicated with asterisks (* = p < 0.05, ** = p < 0.01, *** = p < 0.001. Non-significant interactions and terms were removed from the model. For 19 20 germination, survival, root collar diameter and specific leaf area index we could not find an impact of the warming 21 treatment and therefore results aren't shown.

	Aboveground biomass (mg,	Plant height (cm)	
	log- transformed)		
Latitude (°N)		\downarrow	
Edge-to-core gradient	1	1	
(edge plot compared to			
interior)			
Q. cerris (compared to	↓***	↓***	
Q.ilex)			
Q. petraea (compared to	↓***	↓***	
Q.ilex)			
Q. robur (compared to	↓***	1	
Q.ilex)			
Experimental warming	1	^*	
Acorn weight (mg)	^***	<u>↑</u> ***	
Lat : <i>Q. cerris</i>	1	^** *	
Lat : <i>Q. petraea</i>	↑*	^***	
Lat : <i>Q. robur</i>	1	↑*	
Edge plot : <i>Q. cerris</i>	↓***	\downarrow *	
Edge plot : <i>Q. petraea</i>	Ļ	\downarrow *	
Edge plot : <i>Q. robur</i>	Ļ	\downarrow	
Q. cerris : warming	1	1	
<i>Q. petraea</i> : warming	Ļ	\downarrow	
<i>Q. robur</i> : warming	↓*	\downarrow^{**}	
Marginal <i>R</i> ²	0.48	0.20	
Conditional R ²	0.61	0.42	

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