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### ▶ To cite this version:

Shang-Gui Cai, Abdellatif Ouahsine, Yannick Hoarau. Moving immersed boundary method for fluid–solid interaction. Physics of Fluids, 2022, 34 (5), pp.053307. 10.1063/5.0088302 . hal-03664341

## HAL Id: hal-03664341 https://hal.science/hal-03664341v1

Submitted on 10 May 2022

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1	Moving immersed boundary method for fluid-solid interaction
2	Shang-Gui Cai (蔡尚炅), $^{1,2}$ Abdellatif Ouahsine, $^1$ and Yannick Hoarau $^3$
3	<sup>1)</sup> Sorbonne Universités, Université de Technologie de Compiègne,
4	CNRS, UMR 7337 Roberval, Centre de Recherche Royallieu, CS 60319,
5	60203 Compiègne Cedex, France
6	<sup>2)</sup> Aix Marseille Univ, CNRS, Centrale Marseille, M2P2 UMR 7340, Marseille 13451,
7	France
8	<sup>3)</sup> Université de Strasbourg, CNRS, ICUBE UMR 7357 Strasbourg, 67000,
9	France
10	(*Electronic mail: shanggui.cai@univ-amu.fr)
11	(Dated: 2 April 2022)
12	A strongly coupled algorithm is presented for the incompressible fluid-rigid body interac-
13	tion using the moving immersed boundary method. The pressure and the boundary force
14	are treated as Lagrange multipliers to enforce the incompressibility and no-slip wall con-
15	straints. To compute the two unknowns from the velocity field, we adopt the fractional
16	step algorithm and successively apply the two constraints. A Poisson equation and a mov-
17	ing force equation are derived for the pressure and the boundary force respectively. As
18	both coefficient matrices are formulated to be symmetric and positive-definite, the result-
19	ing linear systems are solved efficiently with the conjugate gradient solver. The strongly-
20	coupled nonlinear fluid-solid system is achieved by a fixed point iteration. To improve the
21	computational efficiency, we only iterate the moving force equation with the rigid body
22	motion equation and the time-consuming pressure Poisson equation is solved once the
23	sub-iteration is finished. The proposed method is validated with various benchmark tests
24	and the results compare well with the literature.

#### 25 I. INTRODUCTION

One primary challenge of fluid-solid interaction (FSI) simulation is dealing of complicated and 26 time-dependent interface between two physical fields. Body-fitted mesh methods, such as finite 27 volume or finite element discretization of fluid equations in arbitrary Lagrangian-Eulerian for-28 mulation, need mesh-deforming or re-meshing regularly to accommodate the time-varying solid 29 boundaries. It is very costly for large scale problems and prone to mesh interpolation errors and 30 divergence due to severe mesh distortion. The Chimera/overset grid method<sup>1</sup> circumvents these 31 issues by employing multiple computational fluid domains covered by independent meshes. One 32 background mesh, usually a Cartesian mesh, is utilized to discretize the global domain, while the 33 others are body-fitted meshes surrounding the objects. The Chimera/overset grid method is ca-34 pable to capture the boundary layer well at moderate and high Reynolds number, comparable to 35 classical body-fitted mesh methods, since grids can be allocated efficiently when aligning to solid 36 boundaries. However constructing a body-fitted mesh of high quality for complex geometries is 37 still non-trivial. Simple discretization method like finite difference may require a coordinate trans-38 formation to work on a curvilinear mesh. In such circumstance finite volume or finite element 39 method is usually used and the resulting system is solved with sophisticated solvers, such as pre-40 conditioned Krylov subspace methods (e.g. conjugate gradient) or algebraic multigrid method. 41 Moreover since the fluid equations are computed on different domains, it is difficult to ensure 42 conservation. 43

Alternatively, the immersed boundary method (IBM)<sup>2-8</sup> adopts a surface mesh for the solid 44 boundary, thereby the fluid is only simulated on the background mesh. Using a simple fluid 45 mesh is advantageous not only for the ease of mesh generation but also for the accuracy mat-46 ter. The Cartesian mesh produces the best mesh quality and hence high order accuracy can be 47 achieved. The matrix assembly is also simplified and the matrix condition is well preserved. Most 48 importantly, it facilitates the use of many simple and efficient methods developed for Cartesian 49 mesh. The finite difference discretization can be constructed into high order accuracy easily and 50 highly efficient solvers, such as geometrical multigrid method and fast Poisson solver, can be used 51 straightforward. Parallelization of program is relatively simple to a good efficiency. However as 52 no underlying mesh will conform to the solid boundary, the imposition of boundary condition at 53 the interface can be tricky. Interpolation methods are often selected to overcome this problem, 54 either by locally reconstructing the velocity <sup>9,10</sup> or by adopting a source forcing function in the 55

<sup>56</sup> momentum equation <sup>5–8</sup>. In fact, the two approaches are essentially the same as they both rectify <sup>57</sup> the velocity in the vicinity of immersed boundary to produce solid effect<sup>11</sup>.

For the source forcing approach, it is crucial to compute the boundary force accurately to 58 ensure a physical boundary condition. Uhlmann<sup>3</sup> developed an efficient direct forcing IBM for 59 simulating particle suspensions, where an explicit formulation is used for the boundary force. As 60 a result, the no-slip wall condition is not satisfied and large errors occur at the interface. Kempe 61 and Fröhlich<sup>4</sup> reduced the error through an additional forcing loop, which is solved with only a 62 few number of iterations without convergence. Therefore the no-slip wall condition will not be 63 fully verified. Full convergence however requires considerable computational efforts, thus it is 64 often relaxed in practice<sup>12</sup>. Actually, the boundary force can be viewed as a Lagrange multiplier 65 for the no-slip constraint. an implicit immersed boundary projection method (IBPM) is proposed 66 by Taira and Colonius<sup>13</sup> by integrating the boundary force and the pressure into a modified Pois-67 son equation. The IBPM is very accurate since it enforces the divergence-free and the no-slip 68 constraints simultaneously. In spite of the mathematical rigor and completeness, the IBPM is less 69 efficient and stable than the explicit IBMs, as an enlarged system is formulated and evolves in time 70 for moving bodies. Instead of solving the pressure and the boundary force in one single system, 71 the moving immersed boundary method (MIBM) was proposed by Cai et al.<sup>5–8</sup> to decouple the 72 pressure and the boundary force into two independent systems. The pressure Poisson coefficient 73 matrix is kept unchanged and hence preconditioners only need to be constructed in the initial step. 74 The boundary force is computed from a moving force equation after operator splitting. The size 75 of the corresponding matrix is considerably reduced as it is only proportional to the number of 76 Lagrangian markers defining the immersed boundary, which is much smaller than the fluid mesh 77 numbers. The MIBM is found very efficient and accurate for moving boundary problems. In this 78 work, we will extend the MIBM to fluid-solid interaction applications by incorporating the rigid 79 body dynamics. 80

Generally, two approaches can be used for the fluid-solid interaction time coupling: the monolithic (direct) approach and the partitioned (segregated) approach. For the monolithic method, all the unknowns from the fluid and solid equations are formulated into a single large system along with interface conditions. While the partitioned method formulates the two subproblems into different systems and solve them separately. The monolithic approach is advantageous from the stability point of view, but it requires considerable efforts on code modifications. The partitioned approach can be further classified into two subgroups, namely the explicit coupling (weakly or

loosely coupled) and the implicit coupling (strongly or tightly coupled). Weak coupling is very 88 efficient since it solves the two subproblems in a sequential manner, but it leaves the interface 89 conditions unfulfilled. As a result, spurious energy is generated at the interface, which leads to 90 unstable solutions, which is often called the added mass effect  $^{14,15}$ . The stability criterion mainly 91 depends on the density ratio between the solid and the fluid. Below a certain threshold the cal-92 culation diverges immediately for any chosen time step for incompressible fluids<sup>16</sup>. The strongly 93 coupled method iterates the two subproblems until the interface conditions are satisfied, thus it 94 is comparable to the monolithic method. In the present work, we will design a partitioned but 95 strongly coupled scheme with the moving immersed boundary method. 96

The organization of this paper is as follows. First we present the general fluid-solid interaction problem in the immersed boundary formulation. In the following part the MIBM and the strongly coupled partitioned scheme are presented in detail. Numerical simulations are then performed with the proposed method and validated with benchmark tests. Conclusions are drawn in the final section.

#### **102 II. METHODOLOGY**

Figure 1 illustrates a general description of a rigid body motion in an incompressible fluid, where the fluid and the rigid body occupy the physical domains  $\Omega_f$  and  $\Omega_s$  respectively. The fluidsolid interaction takes place at the their common boundary  $\partial \Omega_i = \Omega_f \cap \Omega_s$ . The whole system is subjected to the gravitational acceleration **g**.



FIG. 1: Schematic representation of the fluid-solid interaction problem.

<sup>107</sup> The fluid subproblem is usually described in Eulerian coordinates, which is governed by the

<sup>108</sup> incompressible viscous Navier-Stokes equations

$$\frac{\partial \mathbf{v}_f}{\partial t} + \nabla \cdot (\mathbf{v}_f \otimes \mathbf{v}_f) = \nabla \cdot \boldsymbol{\sigma}_f + \mathbf{g}, \tag{1a}$$

$$110 \\ 111$$

$$\nabla \cdot \mathbf{v}_f = 0, \tag{1b}$$

where  $\mathbf{v}_f$  represents the fluid velocity vector and the fluid stress tensor  $\boldsymbol{\sigma}_f$  (divided by  $\rho_f$ ) is given by

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$$\boldsymbol{\sigma}_{f} = -\frac{p}{\rho_{f}} \mathbf{I} + \boldsymbol{\nu} \left( \nabla \mathbf{v}_{f} + (\nabla \mathbf{v}_{f})^{\mathrm{T}} \right), \qquad (1c)$$

where *p* designates the fluid pressure,  $\rho_f$  the fluid density, *v* the fluid kinematic viscosity. Appropriate initial and boundary conditions are assumed to the fluid Navier-Stokes equations to ensure that the problem is well posed.

<sup>119</sup> Contrarily the solid subproblem is often treated in a Lagrangian framework. The motion of a <sup>120</sup> rigid body is governed by the Newton-Euler equations, which can be expressed as

$$m_s \frac{d\mathbf{v}_s}{dt} = \rho_f \int_{\partial \Omega_i} \boldsymbol{\sigma}_f \cdot \mathbf{n} ds + m_s (1 - \frac{\rho_f}{\rho_s}) \mathbf{g}, \qquad (2a)$$

$$I_s \frac{d\boldsymbol{\omega}_s}{dt} = \boldsymbol{\rho}_f \int_{\partial \Omega_i} \mathbf{r} \times \left(\boldsymbol{\sigma}_f \cdot \mathbf{n}\right) ds, \tag{2b}$$

where  $m_s$ ,  $\rho_s$ ,  $I_s$  represent the solid mass, density and the moment of inertia respectively.  $\mathbf{v}_s$ ,  $\omega_s$ designate the translational velocity and the angular velocity of the solid.  $\mathbf{r} = \mathbf{x}_s - \mathbf{x}_c$  is the position vector of any surface point with respect to the solid mass center  $\mathbf{x}_c$ .  $\mathbf{n}$  represents the outwardpointing normal vector to the surface  $\partial \Omega_i$ . The position of the rigid body can be obtained by integrating the kinematic equations

$$\frac{d\mathbf{x}_c}{dt} = \mathbf{v}_s,\tag{2c}$$

$$\frac{d\theta_c}{dt} = \omega_s, \tag{2d}$$

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where  $\theta_c$  designates the rotation angle around the solid mass center.

On the fluid-solid interface  $\partial \Omega_i$  the continuity of velocity

$$\mathbf{v}_f = \mathbf{v}_s + \boldsymbol{\omega}_s \times \mathbf{r},\tag{3}$$

needs to be satisfied in order to take the fluid-solid interaction into account.

The immersed boundary method approximates the above fluid-solid interaction problem by replacing the solid domain with the surrounding fluid. To account for the presence of the immersed solid, a boundary force **f** is introduced and added into the fluid momentum equation. Therefore the fluid is simply simulated in a fixed domain  $\overline{\Omega} = \Omega_f(t) \cup \Omega_s(t)$  irrespective to the movement of the immersed solid. The fluid-solid interaction problem in the immersed boundary formulation becomes

$$\frac{\partial \mathbf{v}_f}{\partial t} + \nabla \cdot (\mathbf{v}_f \otimes \mathbf{v}_f) = -\frac{1}{\rho_f} \nabla p + \nu \nabla^2 \mathbf{v}_f + \mathbf{f} \quad \text{in } \overline{\Omega},$$
(4a)

$$abla \cdot \mathbf{v}_f = 0 \quad ext{in } \ \overline{\Omega},$$

(4b)

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$$m_s \frac{d\mathbf{v}_s}{dt} = -\rho_f \int_{\Omega_s} \mathbf{f} dV + m_s (1 - \frac{\rho_f}{\rho_s}) \mathbf{g}, \qquad (4c)$$

$$I_{s}\frac{d\boldsymbol{\omega}_{s}}{dt} = -\boldsymbol{\rho}_{f}\int_{\Omega_{s}}\mathbf{r}\times\mathbf{f}dV, \qquad (4d)$$

$$\mathbf{v}_f = \mathbf{v}_s + \boldsymbol{\omega}_s \times \mathbf{r} \quad \text{on } \partial \Omega_i, \tag{4e}$$

$$\mathbf{f}(\mathbf{x},t) = \int_{\Gamma_s} \mathbf{F}(s,t) \delta(\mathbf{x} - \mathbf{X}(s,t)) ds, \tag{4f}$$

where  $\mathbf{F}(s,t)$  represents the boundary force defined on the Lagrangian position  $\mathbf{X}(s,t)$  and  $\mathbf{f}(\mathbf{x},t)$ on the Eulerian frame respectively.  $\delta$  designates the Dirac delta function. Note that the effect of gravity in the fluid momentum equation is from now on incorporated into the pressure.

<sup>152</sup> The fluid governing equations (4) are discretized in time as

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$$\frac{\mathbf{v}_{f}^{n+1} - \mathbf{v}_{f}^{n}}{\Delta t} + \frac{3}{2}\mathcal{N}(\mathbf{v}_{f}^{n}) - \frac{1}{2}\mathcal{N}(\mathbf{v}_{f}^{n-1}) = -\frac{1}{\rho_{f}}\mathscr{G}p^{n+1} + \frac{\nu}{2}\mathscr{L}(\mathbf{v}_{f}^{n+1} + \mathbf{v}_{f}^{n}) + \mathscr{S}\mathbf{F}^{n+1}, \quad (5a)$$

154 
$$\mathscr{D}\mathbf{v}_f^{n+1} = 0,$$
 (5b)

$$\mathscr{T}\mathbf{v}_{f}^{n+1} = \mathbf{v}_{s}^{n+1} + \boldsymbol{\omega}_{s}^{n+1} \times \mathbf{r}^{n+1} \quad \text{on } \partial \Omega_{i}^{n+1}, \tag{5c}$$

where second order semi-implicit time stepping schemes are utilized for the velocity, namely the explicit Adams-Bashforth scheme for the convection and the implicit Crank-Nicholson for the diffusion. Euler implicit scheme is applied to the two Lagrange multipliers, that is, the pressure and the boundary force.  $\mathcal{N}, \mathcal{L}, \mathcal{D}, \mathcal{G}$  represent the convection, Laplacian, divergence, and gradient operators respectively. Since the fluid grid is not coincident with the solid node,  $\mathcal{T}$  and  $\mathcal{S}$  are the interpolation and spreading operators that are responsible for the field exchange between two grids (see Figure 2). The discrete delta function is employed for the construction of  $\mathcal{T}$  and  $\mathcal{S}$ 

$$\delta_h(\mathbf{x} - \mathbf{X}) = \frac{1}{h^2} \phi(\frac{x - X}{h}) \phi(\frac{y - Y}{h}).$$
(6)

<sup>165</sup> In this work, we select the three-point kernel proposed in Ref. 17 aimed for staggered grid, whose

one-dimensional form is 

φ

$$(r) = \begin{cases} \frac{1}{3} \left( 1 + \sqrt{-3r^2 + 1} \right), & |r| < 0.5, \\ \frac{1}{6} \left( 5 - 3|r| - \sqrt{-3(1 - |r|)^2 + 1} \right), & 0.5 \le |r| < 1.5, \\ 0, & \text{otherwise.} \end{cases}$$
(7)



FIG. 2: Illustration of interpolation and spreading procedures with a discrete delta function.

#### With respect to the solid equation, we choose a simple Euler implicit scheme

 $\Delta t$ 

$$m_s \frac{\mathbf{v}_s^{n+1} - \mathbf{v}_s^n}{\Delta t} = -\rho_f \int_{\Omega_s} \mathbf{f}^{n+1} dV + m_s (1 - \frac{\rho_f}{\rho_s}) \mathbf{g},\tag{8a}$$

$$I_s \frac{\boldsymbol{\omega}_s^{n+1} - \boldsymbol{\omega}_s^n}{\Delta t} = -\rho_f \int_{\Omega_s} \mathbf{r} \times \mathbf{f}^{n+1} dV, \tag{8b}$$

$$\frac{\mathbf{x}_c^{n+1} - \mathbf{x}_c^n}{1} = \mathbf{v}_s^{n+1},$$

$$\frac{\mathbf{x}_c - \mathbf{x}_c}{\Delta t} = \mathbf{v}_s^{n+1},$$

$$\frac{\boldsymbol{\theta}_c^{n+1} - \boldsymbol{\theta}_c^n}{\Delta t} = \boldsymbol{\omega}_s^{n+1}.$$
(8c)
(8d)

The total amount of force and torque are not changed during the transfer between Lagrangian and Eulerian locations, owing to the partition of unity of the discrete delta function. The solid equations can be solved as follows 

$$m_s \frac{\mathbf{v}_s^{n+1} - \mathbf{v}_s^n}{\Delta t} = -\rho_f \sum_{l=1}^{n_b} \mathbf{F}^{n+1} \Delta V_l + m_s (1 - \frac{\rho_f}{\rho_s}) \mathbf{g}, \tag{9a}$$

$$I_s \frac{\boldsymbol{\omega}_s^{n+1} - \boldsymbol{\omega}_s^n}{\Delta t} = -\rho_f \sum_{l=1}^{n_b} \mathbf{r} \times \mathbf{F}^{n+1} \Delta V_l, \tag{9b}$$

where  $\Delta V_l$  represents the area/volume associated with the Lagrangian marker. Therefore, provided 180 the boundary force, the solid equations can be easily solved. For the fluid part, we employ an 181 operator splitting scheme as 182

(1) Prediction step for  $\hat{\mathbf{v}}_{f}^{n+1}$ 183

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$$\frac{\hat{\mathbf{v}}_{f}^{n+1} - \mathbf{v}_{f}^{n}}{\Delta t} + \frac{3}{2}\mathcal{N}(\mathbf{v}_{f}^{n}) - \frac{1}{2}\mathcal{N}(\mathbf{v}_{f}^{n-1}) = -\frac{1}{\rho_{f}}\mathscr{G}p^{n} + \frac{\mathbf{v}}{2}\mathscr{L}(\hat{\mathbf{v}}_{f}^{n+1} + \mathbf{v}_{f}^{n}).$$
(10)

(2) Immersed boundary forcing step for imposing the no-slip wall condition at the interface 185

$$\frac{\tilde{\mathbf{v}}_{f}^{n+1} - \tilde{\mathbf{v}}_{f}^{n+1}}{\Delta t} = \mathscr{S}\mathbf{F}^{n+1},\tag{11a}$$

$$\mathscr{T}\tilde{\mathbf{v}}_{f}^{n+1} = \mathbf{v}_{s}^{n+1} + \boldsymbol{\omega}_{s}^{n+1} \times \mathbf{r}^{n+1} \quad \text{on } \partial \Omega_{i}^{n+1}.$$
(11b)

Applying the no-slip constraint, we obtain 189

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$$\mathscr{TSF}^{n+1} = \frac{\mathbf{v}_s^{n+1} + \boldsymbol{\omega}_s^{n+1} \times \mathbf{r}^{n+1} - \mathscr{T}\hat{\mathbf{v}}_f^{n+1}}{\Delta t}, \qquad (12a)$$

$$\tilde{\mathbf{v}}_{f}^{n+1} = \hat{\mathbf{v}}_{f}^{n+1} + \Delta t \mathscr{S} \mathbf{F}^{n+1}.$$
(12b)

Given  $\mathcal{M} = \mathcal{T}\mathcal{S}$  the moving force coefficient matrix, then we obtain a compact form of the 193 moving force equation 194

$$\mathscr{M}\mathbf{F}^{n+1} = \mathbf{F}^e,\tag{13}$$

with 196

$$\mathbf{F}^{e} = \frac{\mathbf{v}_{s}^{n+1} + \boldsymbol{\omega}_{s}^{n+1} \times \mathbf{r}^{n+1} - \mathscr{T}\tilde{\mathbf{v}}_{f}^{n+1}}{\Delta t},$$
(14)

where  $\mathbf{F}^{e}$  is the explicit force used by Kempe and Fröhlich<sup>4</sup>. Given the solid velocity and position 198 at time level n+1, the moving force equation can be solved with various linear system solvers. In 190 the present work the moving force coefficient matrix  $\mathcal{M}$  is found to be symmetric and positive-200 definite. Hence the moving force equation can be converged to the machine precision very quickly 201 by using the conjugate gradient method, even without preconditioning. Moreover, the size of the 202 moving force coefficient matrix is proportional to the number of nodes on the immersed boundary, 203 which is in general much smaller than the size of the fluid matrix. Since the moving force coef-204 ficient matrix does not involve the fluid matrix, its update is computationally inexpensive in the 205 case of moving boundaries. 206

<sup>207</sup> (3) Projection step for obtaining a divergence free velocity  $\mathbf{v}_f^{n+1}$ 

$$\frac{\mathbf{v}_f^{n+1} - \tilde{\mathbf{v}}_f^{n+1}}{\Delta t} = -\mathscr{G}\phi^{n+1},\tag{15a}$$

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$$\mathscr{D}\mathbf{v}_f^{n+1} = 0. \tag{15b}$$

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Applying the divergence operator to (15a) along with the divergence free condition (15b), the projection step is actually performed as

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$$\mathscr{L}\phi^{n+1} = \frac{1}{\Delta t}\mathscr{D}\tilde{\mathbf{v}}_f^{n+1},\tag{16a}$$

$$\mathbf{v}_f^{n+1} = \tilde{\mathbf{v}}_f^{n+1} - \Delta t \mathscr{G} \phi^{n+1}.$$
(16b)

<sup>216</sup> The final pressure is advanced by

$$p^{n+1} = p^n + \phi^{n+1} - \frac{v}{2} \mathscr{D} \hat{\mathbf{v}}_f^{n+1}.$$
 (17)

Now we will present a novel coupling approach for the interaction of the two subproblems. 218 Mathematically speaking, the FSI problem of equation (4) is accomplished by the Lagrange multi-219 plier method to joint two subdomains on a common boundary. The unknowns of the entire system 220 are  $(\mathbf{v}_f^{n+1}, p^{n+1})$  for the fluid,  $(\mathbf{x}_s^{n+1}, \dot{\mathbf{x}}_s^{n+1})$  for the solid, and  $\mathbf{F}^{n+1}$  for the interface condition. 221 The conventional strongly coupled method iterates those variables at each time step in order to 222 match the interface condition. Even if it leads to accurate and stable solutions, solving implicit 223 coupling usually exhibits a prohibitive computational cost. This becomes more unaffordable in 224 ALE formulation, since the mesh and the associated matrices have to be updated regularly. 225

Fernández et al.<sup>18</sup> proposed an efficient coupling method by taking advantage of the projection method. The prediction (ALE-advection-viscous) step is ruled out from the FSI loop to reduce computational cost, so that the mesh and the associated matrices are computed once at each time step. Finally only the projection step is coupled implicitly to ensure stability. It has been shown that this semi-implicit scheme is stable for a reasonable range of the discretization parameters, compared to the explicit coupling approach.

We extend this idea to the moving immersed boundary method. However the projection step usually is the most time-consuming part in the projection method. In spite of various methods (e.g. Aitken relaxation) are available to accelerate the coupling procedure, the computational cost still remains high. We also notice that in equation (9) the solid is coupled to the fluid by the boundary force but not the pressure, and in equation (12) the boundary force is determined by the solid velocity and position. Therefore, we can move out the time-consuming projection step from the FSI iteration, and replace it by the immersed boundary forcing step. Following Ref. 18, the prediction step is not included in the FSI iteration either, as it is performed on a stationary combined domain in MIBM and the boundary force is not incorporated in this step for the solid effects. The moving force equation can be considered as an implicit equation for the no-slip boundary condition for the fluid at the interface. Therefore the implicit coupling of the immersed boundary forcing step with the solid equations features a strongly coupled FSI method.

### Algorithm 1: Novel implicit coupling scheme

1 Given:  $\mathbf{v}_f^n$ ,  $p^n$ ,  $\mathbf{x}_s^n$ ,  $\dot{\mathbf{x}}_s^n$ ; 2 (Fluid) Predict the velocity  $\tilde{\mathbf{v}}_{f}^{n+1}$  using (10); **3** Initialize values:  $(\cdot)^{k=0,n+1} = (\cdot)^n$ , where  $(\cdot)$  includes  $\mathbf{x}_s, \dot{\mathbf{x}}_s, \mathbf{F}$ ; 4 for k = 0 to  $k_{\text{max}}$  do (Fluid) Construct or update the interpolation operator matrix  $\mathscr{T}(\mathbf{x}_{s}^{k,n+1})$  and the moving force 5 coefficient matrix  $\mathcal{M}(\mathbf{x}_{s}^{k,n+1})$ ; (Fluid) Interpolate the fluid velocity  $\mathscr{T}(\mathbf{x}_{s}^{k,n+1})\tilde{\mathbf{v}}_{f}^{n+1}$ ; 6 (Interface) Solve the moving force equation (12a) for  $\mathbf{F}^{k+1,n+1}$  with  $\dot{\mathbf{x}}_{s}^{k,n+1}$ ; 7 (Solid) Compute the solid equations for  $(\mathbf{x}_{s}^{k+1,n+1}, \dot{\mathbf{x}}_{s}^{k+1,n+1})$  using (9); 8 if  $||(\cdot)^{k+1,n+1} - (\cdot)^{k,n+1}||/||(\cdot)^{k+1,n+1}|| < \text{tolerance then}$ 9  $(\cdot)^{n+1} = (\cdot)^{k+1,n+1};$ 10 break; 11 else 12 k = k + 1;13 end 14 15 end 16 (Fluid) Correct the fluid velocity to  $\hat{\mathbf{v}}_{f}^{n+1}$  with  $\mathbf{F}^{n+1}$  using (12b); 17 (Fluid) Solve the pressure Poisson equation and compute the final velocity  $\mathbf{v}_f^{n+1}$  and the pressure  $p^{n+1}$  using (16a), (16b), (17).

Note that the moving force equation is non-linear, because the interpolation, spreading op-

erators and the boundary force are functions of solid position  $\mathbf{x}_s^{n+1}$ , namely  $\mathcal{M}^{n+1}\mathbf{F}^{n+1} = \mathcal{T}(\mathbf{x}_s^{n+1})\mathcal{T}(\mathbf{x}_s^{n+1})\mathbf{F}(\mathbf{x}_s^{n+1})$ . We can linearize this equation by treating the moving force coefficient matrix explicitly  $\mathcal{M}(\mathbf{x}_s^n)$ , but this will decrease the overall accuracy due to the time lag, as indicated in Ref. 19. In order to preserve a high accuracy, fully implicit implementation of the moving force equation is considered in the Algorithm 1.

#### 250 III. NUMERICAL RESULTS

#### 251 A. Freely falling cylinder in a confined channel

We first investigate the motion of a circular cylinder falling freely between two parallel walls in a quiescent fluid. The width and height of the computational domain are chosen to be 2 cm and 6 cm. The circular cylinder with a diameter of D = 0.25 cm is released initially from the position (1 cm, 4 cm) and falls down because of gravity. The density of the cylinder and the surrounding fluid are  $\rho_s = 1.25$  g/cm<sup>3</sup> and  $\rho_f = 1.0$  g/cm<sup>3</sup> respectively. The fluid dynamic viscosity  $\mu$  is set to 0.1 g/(cm·s).

The calculations are carried out on two different uniform meshes, i.e. h = 1/48 cm and h =258 1/96 cm, to check the mesh sensitivity. The immersed cylinder surface is discretized evenly with 259 a mesh size approximated to the size of surrounding fluid cells, due to the inherent limitation 260 of the discrete delta function. Therefore the resulting Lagrangian marker numbers are 38 and 76 261 respectively. The time step is chosen to be  $\Delta t = 0.001$  s and the resulting maximum CFL number is 262 reported to be 0.46. The vorticity around the falling cylinder is shown in Figure 3 at different times 263 t = 0.2 s, 0.4 s, 0.6 s, 0.8 s. The cylinder quickly reaches a uniform falling velocity until it hits the 264 bottom of the channel. We plot the flow quantities as a function of time in Figure 4, including the 265 longitude position  $y_c$  of the cylinder center, the vertical velocity  $v_c$ , the Reynolds number Re and 266 the translational kinetic energy  $E_T$ . Here Re and  $E_T$  are defined as  $Re = (\rho_s D \sqrt{u_c^2 + v_c^2})/\mu$  and 267  $E_T = 0.5m_s(u_c^2 + v_c^2)$  respectively, where  $u_c$  is the horizontal velocity component. For comparison, 268 the results of Ref. 20 are included in Figure 4, taken from h = 1/96 cm. Good agreements have 269 been obtained. 270



FIG. 3: Vorticity fields at different times t = 0.2 s, 0.4 s, 0.6 s, 0.8 s for the freely falling cylinder in a confined channel problem. The contour levels are set from -15 (blue) to 15 (red) with an increment of 1.

#### 271 B. Freely falling and rising cylinder in an open domain

Next we consider an object freely falling and rising in an open domain as another test. This phenomenon happens frequently in nature and a large amount of work can be found in the literature. Here we compare to the numerical results of Namkoong et al.<sup>21</sup> and Lacis et al.<sup>19</sup>, using the body-fitted ALE formulation and the immersed boundary projection method respectively.

Two density ratios are considered in this study, i.e.  $\rho_s/\rho_f = 1.01$  for the falling case and 276  $ho_s/
ho_f=0.99$  for the rising simulation. A large computational domain is taken as [-5D,5D] imes277 [-70D, 70D] with free-slip boundary conditions applied at all the exterior boundaries, where D =278 0.5 cm is the cylinder diameter. A uniform mesh is employed to cover the computational domain, 279 and the mesh resolution is kept to 0.04D in order to compare with Lacis et al.<sup>19</sup>. Initially the 280 cylinder is located at  $\pm 65D$ , depending on the situation (65D for the falling case, -65D for the 281 rising case). The Reynolds number  $Re = V_t D/v_f$  here is 156, where  $V_t$  is the terminal velocity. 282 Note that the Reynolds number depends on the Galileo number  $G = (|\rho_s/\rho_f - 1|gD^3)^{1/2}/v_f$  (the 283 gravity force divided by the viscous force, G = 138) and the density ratio  $\rho_s / \rho_f$ . 284



FIG. 4: Time evolution of longitude position  $y_c$ , velocity  $v_c$  of the cylinder center, the Reynolds number *Re* and the translational kinetic energy  $E_T$  for the freely falling cylinder in a confined channel problem. " $\circ$ ", result of Ref. 20; "—", present result with h = 1/96 cm; "- - -", present result with h = 1/48 cm.

The instantaneous vorticity fields are presented in Figure 5 for the falling cylinder case. Initially symmetric vortex pair forms behind the cylinder in the beginning of falling. After that the numerical error accumulates and breaks the symmetry. At around  $tV_t/D = 40$ , the flow becomes unsteady and periodic vortex shedding occurs. The time histories of the velocity of the cylinder are plotted in Figure 6. Table I shows the Strouhal number  $St = fD/V_t$  (*f* is the shedding frequency) and the coefficients of drag and lift. Present results are compared to those of Namkoong et al.<sup>21</sup> and Lacis et al.<sup>19</sup>. Good agreements have been obtained.

<sup>292</sup> To illustrate the efficiency of the proposed coupling method, different strong FSI coupling



FIG. 5: Snapshots of vorticity fields for a freely falling cylinder in an open domain . The contour level is set from -6 (blue) to 6 (red) with an increment of 0.4.



FIG. 6: Time histories of the vertical and horizontal velocity for the freely rising cylinder  $\rho_s/\rho_f = 0.99$ .

methods are compared in Figure 7. The time consumption of the traditional strong FSI coupling method, where the pressure Poisson equation is involved in the sub-iteration, almost scales linearly with the number of sub-iterations, which is due to the fact that the solution of the pressure Poisson equation is the most dominating part. It can be seen that the proposed method reduces up to 75%

$ ho_s/ ho_f$	Methods	$C_D$	$ C_L _{\max}$	St
1.01	Present	1.35	0.10	0.189
	Lacis et al. <sup>19</sup>	1.29	0.14	0.17185
	Namkoong et al. <sup>21</sup>	1.23	0.15	0.1684
0.99	Present	1.35	0.10	0.189
	Lacis et al. <sup>19</sup>	1.29	0.14	0.17188
	Namkoong et al. <sup>21</sup>	-	-	0.1687

 TABLE I: The drag, lift coefficients and the Strouhal number for the freely falling and rising circular cylinder in an open domain.



FIG. 7: Comparison of different strong FSI coupling strategies. (a) The number of sub-iterations in the strong FSI coupling; (b) Time consumption ratio of various strong coupling methods compared to the weak coupling method. "o", strong coupling with pressure Poisson equation in the sub-iteration; "+", the proposed strong coupling.

<sup>297</sup> of the FSI coupling time within nearly the same number of sub-iteration.

#### <sup>298</sup> C. Elliptical particle sedimentation in a confined channel

In this example, we consider the sedimentation of an elliptical particle in a narrow channel, to demonstrate the ability of current FSI algorithm for non-circular object. This example was studied previously by Xia et al.<sup>22</sup> for the boundary effects on the sedimentation mode. Five distinct
modes of sedimentation have been found ranging from oscillating, tumbling along the wall, vertical sedimentation, horizontal sedimentation to an inclined mode. In their work, a multi-block
lattice Boltzmann method is used and compared to the traditional ALE formulation.



FIG. 8: Computational domain of the elliptical particle sedimentation problem.



FIG. 9: Vorticity fields at different times: (a) t = 0.1 s; (b) t = 0.3 s; (c) t = 0.5 s; (d) t = 1.0 s; (e) t = 1.5 s; (f) t = 2.0 s. The contour levels are set from -15 to 15.



FIG. 10: Particle trajectory and orientation of the elliptical particle. "—", present results; "o", results of Xia et al.<sup>22</sup>.

To compare with Xia et al.<sup>22</sup>, the computational domain is selected to be  $[0,L] \times [0,7L]$  with L = 0.4 cm, as shown in Figure 8. The aspect ratio of the ellipse is  $\alpha = a/b = 2$ , where *a* and *b* are the major and minor axes respectively. The blockage ratio is defined as  $\beta = L/a = 4$ . The density ratio is  $\rho_s/\rho_f = 1.1$ . The kinematic viscosity of fluid is set to v = 0.01 cm<sup>2</sup>/s. The particle starts falling in a quiescent fluid from the centroid at (0.5*L*, 6*L*) with an initial angle of  $\pi/4$  to break the symmetry.

<sup>311</sup> No-slip boundary conditions are applied at four boundaries. A uniform mesh is employed with <sup>312</sup> a gird resolution of 0.0027 cm. The time step is chosen such that the CFL condition is satisfied. <sup>313</sup> Figure 9 shows the vorticity fields at different times at t = 0.1 s, 0.3 s, 0.5 s, 1.0 s, 1.5 s, 2.0 <sup>314</sup> s. The trajectory and orientations are compared to the results of Xia et al.<sup>22</sup> in Figure 10. Good <sup>315</sup> agreements have been obtained.

#### **J16** D. Flow around a rotating NACA0012 airfoil

The rigid objects simulated in this section so far have been the circular or elliptical particles. In this example, we consider the incompressible viscous flow over a NACA0012 airfoil to demonstrate the capacity of current MIBM for handling sharp geometries. The shape of the NACA0012
 airfoil is given by

$$Y = \pm 0.6 \cdot (0.2969\sqrt{X} - 0.1260X - 0.3516X^2 + 0.2843X^3 - 0.1015X^4), \tag{18}$$

where  $X \in (0, 1.009)$  cm. The characteristic length, i.e. the airfoil length, is 1.009 cm. Following Glowinski et al.<sup>23</sup> and Wan and Turek<sup>20</sup>, we select a computational domain of [-4 cm, 16 cm] × [-2 cm, 2cm] with the airfoil centered at (0.42 cm,0), as shown in Figure 11.



FIG. 11: Computational domain of the flow past a rotating NACA0012 airfoil. The dashed lines represent the initial position of the airfoil.

The airfoil is fixed at its mass center and is free to rotate due to hydrodynamic forces. The density of fluid is taken as  $\rho_f = 1.0 \text{ g/cm}^3$  and the density of solid is  $\rho_s = 1.1 \text{ g/cm}^3$  in this simulation. The viscosity of the fluid is  $v_f = 0.01 \text{ cm}^2/\text{s}$ . Initial angular velocity and incident angle of the airfoil are set to zero. The boundary conditions of flow are given as  $\mathbf{u} = (0,0)$  at y=-2 cm, 2 cm and  $\mathbf{u} = (1,0) \text{ cm/s}$  at x = -4 cm, 16 cm. Those boundary conditions are used in Glowinski et al.<sup>23</sup> and are adopted here in order to compare the results of two methods. The Reynolds number is around 101 based on airfoil length and the maximum inflow speed.

This flow is quite challenging as the leading edge of the airfoil has very small radius of curvature. To resolve the flow near the leading edge, a good resolution of the Cartesian mesh is required. Two sets of grids are chosen here to test the grid sensitivity, namely h = 1/96 cm and h = 1/64 cm. The same time step is used in both cases ( $\Delta t = 0.002$  s). The resulting CFL numbers are 0.40 and 0.25 respectively.



FIG. 12: Instantaneous vorticity (a) and velocity (b) of the flow over a rotating NACA0012 airfoil.

The flow fields are shown in Figure 12. The airfoil keeps a stable position with its broadside 337 perpendicular to the in-flow direction in the beginning and finally reaches a periodic motion of 338 oscillation. The time histories of the rotational angle and the angular velocity are plotted in Figure 339 13. The results are pretty smooth. For comparison, we also include the results of Glowinski 340 et al.<sup>23</sup> in Figure 13 obtained by the distributed Lagrange multiplier method. Presents results 341 match well those of Glowinski et al.<sup>23</sup>. We observe that the direction of oscillation depends on 342 the numerical errors. The oscillating direction changes over different calculation parameters. An 343 opposite oscillating case can be found in Wan and Turek<sup>20</sup>. Present direction of oscillation is in 344 accord with Glowinski et al.<sup>23</sup>. 345





FIG. 13: Time histories of the angle (a) and the angular velocity (b) of the rotating NACA0012 airfoil. h = 1/96 cm, solid line; h = 1/64 cm, dashed line. The measures are in rad and rad/s respectively. The results of Glowinski et al.<sup>23</sup> are marked with circles.

#### 346 IV. CONCLUSIONS

A revised algorithm for the fluid-solid interaction was presented in this paper based on the 347 moving immersed boundary method. Operator splitting is successively employed to achieve com-348 putational efficiency and modularity. First the pressure-velocity coupling is handled by a second 349 order fractional step method, breaking the Navier-Stokes equations into a Helmholtz-type equation 350 for the velocity and a Poisson equation for the pressure; Followed by the immersed boundary force 351 splitting, a moving force equation is derived to account for the solid effect; At last a partitioned 352 approach separates the fluid field from the solid field. By doing this, all the variables are decoupled 353 and computed effectively with their own favored solvers. To achieve a more stable FSI coupling, 354 a strongly coupled scheme is employed through a fixed point iteration. For better performance, 355 we have removed the time-consuming pressure Poisson solver out from the FSI iteration and the 356 computational time has been considerably reduced. Various cases have been tested, ranging from 357 circular to non-circular objects with large displacements and rotations. The numerical results are 358 compared to the benchmark, which demonstrates a good accuracy of the proposed method. 359

#### 360 ACKNOWLEDGMENTS

This work was part of the PhD study of the first author, where the financial support of the China Scholarship Council is acknowledged. The computation was performed on the platform PILCAM2 at the Université de Technologie de Compiègne and the HPC at the Université de Strasbourg.

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