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# Local Certification of Graphs with Bounded Genus

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## Abstract

Naor, Parter, and Yogev [SODA 2020] recently designed a compiler for automatically translating standard centralized interactive protocols to *distributed* interactive protocols, as introduced by Kol, Oshman, and Saxena [PODC 2018]. In particular, by using this compiler, every linear-time algorithm for deciding the membership to some fixed graph class can be translated into a  $\text{dMAM}(O(\log n))$  protocol for this class, that is, a distributed interactive protocol with  $O(\log n)$ -bit proof size in  $n$ -node graphs, and three interactions between the (centralized) computationally-unbounded but non-trustable *prover* Merlin, and the (decentralized) randomized computationally-limited *verifier* Arthur. As a corollary, there is a  $\text{dMAM}(O(\log n))$  protocol for recognizing the class of planar graphs, as well as for recognizing the class of graphs with bounded genus.

We show that there exists a distributed interactive protocol for recognizing the class of graphs with bounded genus performing just a *single* interaction, from the prover to the verifier, yet preserving proof size of  $O(\log n)$  bits. This result also holds for the class of graphs with bounded *non-orientable genus*, that is, graphs that can be embedded on a *non-orientable* surface of bounded genus. The interactive protocols described in this paper are actually *proof-labeling schemes*, i.e., a subclass of interactive protocols, previously introduced by Korman, Kutten, and Peleg [PODC 2005]. In particular, these schemes do *not* require any randomization from the verifier, and the proofs may often be computed a priori, at low cost, by the nodes themselves. Our results thus extend the recent proof-labeling scheme for planar graphs by Feuilloley et al. [PODC 2020], to graphs of bounded genus, and to graphs of bounded non-orientable genus.

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44 **1 Introduction**45 **1.1 Context and Objective**

46 The paper considers the standard setting of distributed network computing, in which  
 47 processing elements are nodes of a network modeled as a simple connected graph  $G = (V, E)$ ,  
 48 and the nodes exchange information along the links of that network (see, e.g., [45]). As for  
 49 centralized computing, distributed algorithms often assume promises on their inputs, and  
 50 many algorithms are designed for specific families of graphs, including regular graphs, planar  
 51 graphs, graphs with bounded arboricity, bipartite graphs, graphs with bounded treewidth,  
 52 etc. Distributed *decision* refers to the problem of checking that the actual input graph  
 53 (i.e., the network itself) satisfies a given predicate. One major objective of the check up  
 54 is avoiding erroneous behaviors such as livelocks or deadlocks resulting from running an  
 55 algorithm dedicated to a specific graph family on a graph that does not belong to this family.  
 56 The decision rule typically specifies that, if the predicate is satisfied, then all nodes must  
 57 accept, and otherwise at least one node must reject. A single rejecting node can indeed  
 58 trigger an alarm (in, e.g., hardwired networks), or launch a recovery procedure (in, e.g.,  
 59 virtual networks such as overlay networks). The main goal of distributed decision is to design  
 60 efficient checking protocols, that is, protocols where every node exchange information with  
 61 nodes in its vicinity only, and where the nodes exchange a small volume of information  
 62 between neighbors.

63 **Proof-Labeling Schemes.**

64 Some graph predicate are trivial to check locally (e.g., regular graphs), but others do not  
 65 admit local decision algorithms. For instance, deciding whether the network is bipartite  
 66 may require long-distance communication for detecting the presence of an odd cycle. *Proof-*  
 67 *labeling schemes* [34] provide a remedy to this issue. These mechanisms have a flavor of  
 68 NP-computation, but in the distributed setting. That is, a non-trustable oracle provides  
 69 each node with a *certificate*, and the collection of certificates is supposed to be a *distributed*  
 70 *proof* that the graph satisfies the given predicate. The nodes check locally the correctness  
 71 of the proof. The specification of a proof-labeling scheme for a given predicate is that, if  
 72 the predicate is satisfied, then there must exist a certificate assignment leading all nodes  
 73 to accept, and, otherwise, for every certificate assignment, at least one node rejects. As  
 74 an example, for the case of the bipartiteness predicate, if the graph is bipartite, then an  
 75 oracle can color blue the nodes of one of the partition, and color red the nodes of the other  
 76 partition. It is then sufficient for each node to locally check that all its neighbors have the  
 77 same color, different from its own color, and to accept or reject accordingly. Indeed, if the  
 78 graph is not bipartite, then there is no way that a dishonest oracle can fool the nodes, and  
 79 make them all accept the graph.

80 Interestingly, the certificates provided to the nodes by the oracle can often be computed  
 81 by the nodes themselves, at low cost, during some pre-computation. For instance, a spanning  
 82 tree construction algorithm is usually simply asked to encode the tree  $T$  locally at each  
 83 node  $v$ , say by a pointer  $p(v)$  to the parent of  $v$  in the tree. However, it is possible to ask the  
 84 algorithm to also provide a distributed proof that  $T$  is a spanning tree. Such a proof may be  
 85 encoded distributedly by providing each node with a certificate containing, e.g., the ID of  
 86 the root of  $T$ , and the distance  $d(v)$  from  $v$  to the root (see, e.g., [2, 6, 32]). Indeed, every  
 87 node  $v$  but the root can simply check that  $d(p(v)) = d(v) - 1$  (to guarantee the absence  
 88 of cycles), and that it was given the same root-ID as all its neighbors in the network (for

89 guaranteeing the uniqueness of the tree).

## 90 **Distributed Interactive Protocols.**

91 The good news is that all (Turing-decidable) predicates on graphs admit a proof-labeling  
92 scheme [34]. The bad news is that there are simple graph properties (e.g., existence  
93 of a non-trivial automorphism [34], non 3-colorability [29], bounded diameter [10], etc.)  
94 which require certificates on  $\tilde{\Omega}(n)$  bits in  $n$ -node graphs. Such huge certificates do not  
95 fit with the requirement that checking algorithms must not only be local, but they must  
96 also consume little bandwidth. Randomized proof-labeling schemes [24] enable to limit the  
97 bandwidth consumption, but this is often to the cost of increasing the space-complexity of the  
98 nodes. However, motivated by cloud computing, which may provide large-scale distributed  
99 systems with the ability to interact with an external party, Kol, Oshman, and Saxena [33]  
100 introduced the notion of *distributed interactive protocols*. In such protocols, a centralized  
101 non-trustable oracle with unlimited computation power (a.k.a. Merlin) exchanges messages  
102 with a randomized distributed algorithm (a.k.a. Arthur). Specifically, Arthur and Merlin  
103 perform a sequence of exchanges during which every node queries the oracle by sending a  
104 random bit-string, and the oracle replies to each node by sending a bit-string called *proof*.  
105 Neither the random strings nor the proofs need to be the same for each node. After a certain  
106 number of rounds, every node exchange information with its neighbors in the network, and  
107 decides (i.e., it outputs accept or reject). It was proved that many predicate requiring large  
108 certificate whenever using proof-labeling schemes, including the existence of a non-trivial  
109 automorphism, have distributed interactive protocols with proofs on  $O(\log n)$  bits [33].

110 Naor, Parter, and Yogev [40] recently designed a compiler for automatically translating  
111 standard centralized interactive protocols to distributed interactive protocols. In particular,  
112 by using this compiler, every linear-time algorithm for deciding the membership to some  
113 fixed graph class can be translated into a  $\text{dMAM}(O(\log n))$  protocol, that is, a distributed  
114 interactive protocol with  $O(\log n)$ -bit proof size in  $n$ -node graphs, and three interactions  
115 between Merlin and Arthur: Merlin provides every node with a first part of the proof,  
116 on  $O(\log n)$  bits, then every node challenges Merlin with a random bit-string on  $O(\log n)$   
117 bits, and finally Merlin replies to every node with the second part of the proof, again on  
118  $O(\log n)$  bits. Every node then performs a single round of communication with its neighbors,  
119 exchanging  $O(\log n)$ -bit messages, and individually outputs accept or reject. As a corollary,  
120 there is a  $\text{dMAM}(O(\log n))$  protocol for many graph classes, including planar graphs, graphs  
121 with bounded genus, graphs with bounded treewidth, etc.

## 122 **The Limits of Distributed Interactive Protocols.**

123 Although the compiler in [40] is quite generic and powerful, it remains that the resulting  
124 interactive protocols are often based on many interactions between Merlin and Arthur. This  
125 raises the question of whether there exist protocols based on fewer interactions for the  
126 aforementioned classes of graphs, while keeping the proof size small (e.g., on  $O(\text{polylog } n)$   
127 bits). Note that, with this objective in mind, proof-labeling schemes are particularly desirable  
128 as they do not require actual interactions. Indeed, as mentioned before, the certificates may  
129 often be constructed a priori by the nodes themselves. Unfortunately, under the current  
130 knowledge, establishing lower bounds on the number of interactions between the prover Merlin  
131 and the distributed verifier Arthur, as well as lower bounds on the proof size, not to speak  
132 about tradeoffs between these two complexity measures, remains challenging. Therefore, it is  
133 not known whether  $\text{dMAM}(O(\log n))$  protocols are the best that can be achieved for graph

134 classes such as graphs with bounded genus, or graphs with bounded treewidth.

### 135 **Graphs with Bounded Genus.**

136 In this paper, we focus on the class of graphs with bounded genus, for several reasons. First,  
 137 this class is among the prominent representative of sparse graphs [42], and the design of fast  
 138 algorithms for sparse graphs is not only of the utmost interest for centralized, but also for  
 139 distributed computing (see, e.g., [3, 8, 12, 15, 26, 27, 28, 36, 37, 49]), as many real-world  
 140 physical or logical networks are sparse. Second, graphs of bounded genus, including planar  
 141 graphs, have attracted lots of attention recently in the distributed computing framework  
 142 (see, e.g., [4, 5, 25]), and it was shown that this large class of graphs enjoys distributed  
 143 exact or approximation algorithms that overcome several known lower bounds for general  
 144 graphs [35, 46, 48]. Last but not least, it appears that the graph classes for which proof-  
 145 labeling schemes require certificates of large size are not closed under node-deletion, which  
 146 yields the question of whether every graph family closed under node-deletion (in particular  
 147 graph families closed under taking minors) have proof-labeling schemes with certificates of  
 148 small size. This was recently shown to be true for planar graphs [21], but the question is  
 149 open beyond this class, putting aside simple classes such as bipartite graphs, forests, etc.

150 As for the class of planar graphs, and for the class of graphs with bounded genus, every  
 151 graph class  $\mathcal{G}$  that is closed under taking minors has a finite set of forbidden minors. As a  
 152 consequence, as established in [21], there is a simple proof-labeling scheme with  $O(\log n)$ -  
 153 bit certificates for  $\overline{\mathcal{G}}$ , i.e., for *not* being in  $\mathcal{G}$ . The scheme simply encodes a forbidden  
 154 minor present in  $G$  in a distributed manner for certifying that  $G \notin \mathcal{G}$ . Therefore, for every  
 155  $k \geq 0$ , there exists a simple proof-labeling scheme with  $O(\log n)$ -bit certificates for genus  
 156 or non-orientable genus *at least*  $k$ . The difficulty is to design a proof-labeling scheme with  
 157  $O(\log n)$ -bit certificates for genus or non-orientable genus *at most*  $k$ .

## 158 **1.2 Our Results**

### 159 **1.2.1 Compact Proof-Labeling Schemes for Graphs of Bounded Genus**

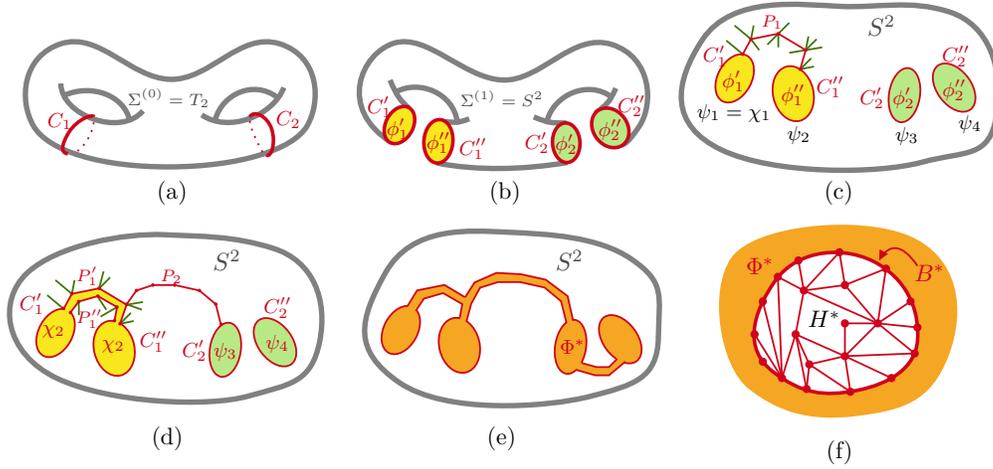
160 Recall that planar graphs are graphs embeddable on the 2-dimensional sphere  $S^2$  (without  
 161 edge-crossings). Graphs with genus 1 are embeddable on the torus  $\mathbb{T}_1$ , and, more generally,  
 162 graphs with genus  $k \geq 0$  are embeddable on the closed surface  $\mathbb{T}_k$  obtained from  $S^2$  by  
 163 adding  $k$  *handles*. We show that, for every  $k \geq 0$ , there exists a proof-labeling scheme for  
 164 the class of graphs with genus at most  $k$ , using certificates on  $O(\log n)$  bits. This extends  
 165 a recent proof-labeling scheme for planar graphs [21] to graphs with arbitrary genus  $k \geq 0$ .  
 166 Note that the certificate-size of our proof-labeling schemes is optimal, in the sense there are  
 167 no proof-labeling schemes using certificates on  $o(\log n)$  bits, even for planarity [21]<sup>1</sup>.

168 For every  $k \geq 1$ , our proof-labeling schemes also apply to the class of graphs with *non-*  
 169 *orientable genus* (a.k.a., Euler genus) at most  $k$ , that is, they also hold for graphs embeddable  
 170 on a *non-orientable* surface with genus  $k$ . Graphs with non-orientable  $k$  are indeed graphs  
 171 embeddable on the closed surface  $\mathbb{P}_k$  obtained from  $S^2$  by adding  $k$  *cross-caps*. **Some more**  
 172 **precise definitions and descriptions are given later, in Section 2.1.**

173 This paper therefore demonstrates that the ability of designing proof-labeling schemes  
 174 with small certificates for planar graphs is not a coincidental byproduct of planarity, but

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<sup>1</sup> The goal of this paper is not to optimize the size of the certificates as a function of the genus  $k$ , but it is not hard to see that our certificates have size  $O(2^k \log n)$ .



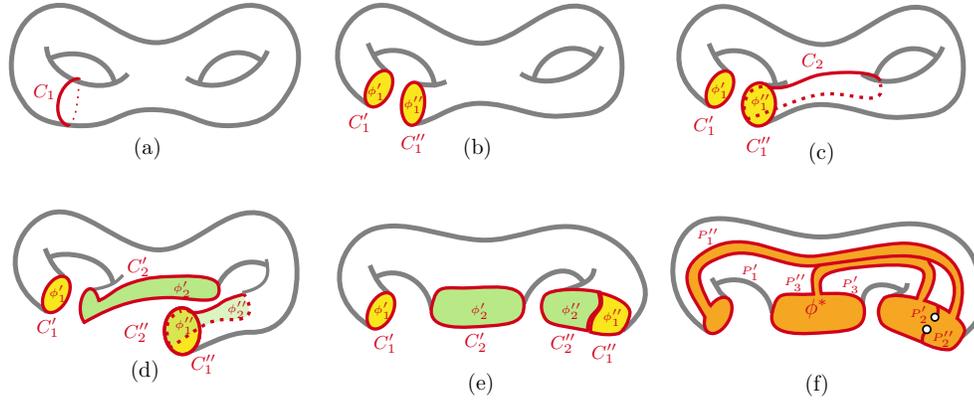
**Figure 1** An idealistic scenario where a graph  $G$  embedded on  $\mathbb{T}_2$  has disjoint non-separating cycles. In this case, we cut along two disjoint non-separating cycles  $C_1$  and  $C_2$ , get four supplementary faces, and merge these faces by cutting along disjoint paths, until we get only one face (which is orange in the drawing). Let us give more details, using the notations of the proof. The supplementary faces are  $\phi'_1, \phi''_1, \phi'_2$  and  $\phi''_2$ , and they are renamed  $\psi_1, \psi_2, \psi_3$  and  $\psi_4$  respectively, for convenience. These faces are merged step by step: a duplicated path is used to merge such a face  $\psi_i$  with the face  $\chi_{i-1}$  originating from the merge of the faces  $\psi_1$  to  $\psi_{i-1}$  (with  $\chi_1 = \psi_1$ ). Picture (d) illustrates the merge of  $\chi_2$  with  $\psi_3$ . In the end, we have a single merged face that we call  $\Phi^*$ . The latter face  $\Phi^*$  can be seen as the infinite face of the planar graph embedding obtained by these transformations.

175 this ability extends to much wider classes of sparse graphs closed under taking minors. This  
 176 provides hints that proof-labeling schemes with small certificates can also be designed for  
 177 very many (if not all) natural classes of sparse graphs closed under vertex-deletion.

## 1.2.2 Our Techniques

179 Our proof-labeling schemes are obtained thanks to a local encoding of a mechanism enabling  
 180 to “unfold” a graph  $G$  of genus or non-orientable genus  $k$  into a planar graph  $\widehat{G}$ , by a  
 181 series of vertex-duplications. Specifically, for graphs of genus  $k$ , i.e., embeddable on an  
 182 orientable surface  $\mathbb{T}_k$ , we construct a sequences  $G^{(0)}, \dots, G^{(k)}$  where  $G^{(0)} = G$ ,  $G^{(k)} = \widehat{G}$ ,  
 183 and, for every  $i = 0, \dots, k$ ,  $G^{(i)}$  has genus  $k - i$ . For  $i \geq 1$ , the graph  $G^{(i)}$  is obtained from  
 184  $G^{(i-1)}$  by identifying a non-separating cycle  $C_i$  in  $G^{(i-1)}$ , and duplicating the vertices and  
 185 cycles of  $C_i$  (see Figure 1(a-b)). (Recall that a non-separating cycle, is a cycle that can be  
 186 removed without making the graph disconnected.) This enables to “cut” a handle of the  
 187 surface  $\mathbb{T}_{k-i+1}$ , resulting in a closed surface  $\mathbb{T}_{k-i}$  with genus one less than  $\mathbb{T}_{k-i+1}$ , while  
 188 the embedding of  $G^{(i-1)}$  on  $\mathbb{T}_{k-i+1}$  induces an embedding of  $G^{(i)}$  on  $\mathbb{T}_{k-i}$ . The graph  $\widehat{G}$  is  
 189 planar, and has  $2k$  special faces  $\phi'_1, \phi''_1, \dots, \phi'_k, \phi''_k$ , where, for  $i = 0, \dots, k$ , the faces  $\phi'_i$  and  
 190  $\phi''_i$  results from the duplication of the face  $C_i$  (see Figure 1(c)).

191 The proof-labeling scheme needs to certify not only the planarity of  $\widehat{G}$ , but also the  
 192 existence of the faces  $\phi'_1, \phi''_1, \dots, \phi'_k, \phi''_k$ , and a proof that they are indeed faces, which is  
 193 non-trivial. Therefore, instead of keeping the  $2k$  faces as such, we connect them by a sequence  
 194 of paths  $P_1, \dots, P_{2k-1}$ . By duplicating each path  $P_i$  into  $P'_i$  and  $P''_i$ , the two faces  $\chi$  and  
 195  $\psi$  connected by a path  $P_i$  is transformed into a single face, while planarity is preserved.  
 196 Intuitively, the new face is the “union” of  $\chi$ ,  $\psi$ , and the “piece in between”  $P'_i$  and  $P''_i$  (see  
 197 Figure 1(d)). The whole process eventually results in a planar graph  $H$  with a single special



■ **Figure 2** A more complex unfolding a graph  $G$  embedded on  $\mathbb{T}_2$ . Faces created by duplications have not disjoint boundaries, and parts of previous duplicated paths are used to create new paths.

198 face  $\phi$  (see Figure 1(e-f)). In fact, the paths  $P_i$ ,  $i = 1, \dots, 2k - 1$  do not only serve the  
 199 objective of merging the  $2k$  faces  $\phi'_1, \phi''_1, \dots, \phi'_k, \phi''_k$  into a single face  $\phi$ , but also serve the  
 200 objective of keeping track of consistent orientations of the boundaries of these faces. The  
 201 purpose of these orientations is to provide the nodes with the ability to locally check that  
 202 the  $2k$  faces can indeed be paired for forming  $k$  handles.

203 The planarity of  $H$  and the existence of the special face  $\phi$  can be certified by a slight  
 204 adaptation of the proof-labeling scheme for planarity in [21]. It then remains to encode the  
 205 sequence of cycle and path-duplications locally, so that every node can roll back the entire  
 206 process, for identifying the cycles  $C_i$ ,  $i = 1, \dots, k$ , and the paths  $P_j$ ,  $j = 1, \dots, 2k - 1$ , and  
 207 for checking their correctness.

208 Among many issues, a very delicate problem is that, as opposed to cycles and paths drawn  
 209 on a surface, which can be chosen to intersect at few points, these cycles and paths are in  
 210 graphs embedded on surfaces, and thus they may intersect a lot, by sharing vertices or even  
 211 edges. Figure 1 displays an idealistic scenario in which the cycles  $C_i$ 's are disjoint, the paths  
 212  $P_j$ 's are disjoint, and these cycles and paths are also disjoint. However, this does not need to  
 213 be the case, and the considered cycles and paths may mutually intersect in a very intricate  
 214 manner. For instance, Figure 2 displays a case in which  $C_2$  intersects with  $C_1''$ ,  $P_2'$  and  $P_2''$   
 215 are reduced to single vertices, and  $P_3''$  intersects with  $P_1'$ . It follows that the sequence of  
 216 duplications may actually be quite cumbersome in general, with some nodes duplicated many  
 217 times. As a consequence, keeping track of the boundaries of the faces is challenging, especially  
 218 under the constraint that all information must be distributed, and stored at each node using  
 219  $O(\log n)$  bits only. Also, one needs to preserve specific orientations of the boundaries of  
 220 the faces, for making sure that not only the two faces  $\phi'_i$  and  $\phi''_i$  corresponding to a same  
 221 cycle  $C_i$  can be identified, but also that they can be glued together appropriately in a way  
 222 resulting to a handle, and not be glued like, e.g., a Klein bottle.

223 The case of graphs embedded on a non-orientable closed surface causes other problems,  
 224 including the local encoding of the cross-caps, and the fact that decreasing the genus of a  
 225 non-orientable closed surface by removing a cross-cap may actually result in a closed surface  
 226 that is orientable. Indeed, eliminating cross-caps is based on *doubling* a non-orientable cycle  
 227 of the graph, and this operation may result in a graph embedded on a surface that is actually  
 228 orientable. (This phenomenon did not arise in the case of orientable surfaces, as removing a  
 229 handle from an orientable closed surface by cycle-duplication results in a graph embedded

230 on an orientable closed surface.) Thus, the proof-labeling scheme for bounded non-orientable  
231 genus has to encode not only the identification the cross-caps, but also of faces to be identified  
232 for forming handles.

233 For guaranteeing certificates on  $O(\log n)$  bits, our proof-labeling schemes distribute the  
234 information evenly to the certificates provided to the nodes, using the fact that graphs  
235 of bounded (orientable or non-orientable) genus have bounded *degeneracy*. This property  
236 enables to store certificates on  $O(\log n)$  bits at each node, even for nodes that have arbitrarily  
237 large degrees.

238 We complete this brief summary of our techniques with two remarks.

239 **On the cuts.** Modifying a graph of bounded genus by performing a sequence of cuts for  
240 eventually producing a planar graph has already been used in the literature — see, e.g.,  
241 [31], where a probabilistic embedding of bounded genus graphs into planar graphs is  
242 designed. However, using this techniques in the framework of distributed computing is,  
243 to our knowledge, new, and poses additional challenges. In particular, dealing with the  
244 intersections of the aforementioned paths and cycles is not much difficult in centralized  
245 computing (typically, few virtual nodes may be added, or the graph may even be  
246 triangulated, for avoiding intersections), but the techniques used in the centralized setting  
247 do not carry over easily to the decentralized setting. More generally, the fact that every  
248 step of the transformation of a graph of bounded (non-orientable) genus into a planar  
249 graph must be verifiable locally in a distributed manner imposes strong constraints, and  
250 restricts the set of techniques that can be used. As a consequence, we could not pick a  
251 transformation from the shelf for using it as a black box, but we had to come up with a  
252 specific one, bearing close similarities with existing ones, for carefully monitoring every  
253 step of it, and checking the ability to implement this step in a distributed manner using  
254 small certificates.

255 **On the general approach.** An approach conceptually simpler than the one used in this  
256 paper would have been to use induction, simply assuming the existence of a proof-labeling  
257 scheme with  $O(\log n)$ -bit certificates for graphs with (non-orientable) genus  $k \geq 0$ , and  
258 then constructing a proof-labeling scheme with  $O(\log n)$ -bit certificates for graphs with  
259 (non-orientable) genus  $k + 1$ . However, although we are not claiming that such a desirable  
260 and conceptually simpler approach is impossible to use, we strongly believe that it may  
261 simply be not the right approach, for at least two reasons. First, the proof-labeling scheme  
262 in [21] certifies planarity, but it does not provide a way to certify all the faces of a planar  
263 embedding. Indeed, it only provides a certification for a single specific face, namely the  
264 outer-face. Given an arbitrary cycle in the graph, certifying that this cycle corresponds  
265 to the boundary of a face in the planar embedding is not provided in [21], and the design  
266 of a compact proof-labeling scheme for this property appears to be non trivial. Note in  
267 particular that we do not assume that the graphs we manipulate have unique embeddings,  
268 thus a cycle might be a face in one embedding, but not in another embedding. Second,  
269 even if the previous problem could be solved, it would remain that the orientations of the  
270 pair of faces to be merged at each level of the induction are crucial. One needs to make  
271 sure that the nodes can locally check that the orientations provided by the non-trustable  
272 prover are correct, for distinguishing handles from cross-caps. The inductive design of a  
273 compact proof-labeling scheme for this property appears to be even more challenging.  
274 These two issues are serious obstacles to the development of an inductive construction,  
275 and, to overcome them, we adopted the approach consisting to “unwrap” the whole  
276 construction. This is less elegant and comprehensible than an inductive construction,  
277 but this allowed us to (1) identify a single face in the planar embedding, which can be

278 certified (e.g., using [21]), and (2) provide an orientation to the boundary of this face, for  
 279 enabling to certify that the orientation given by the non-trustable prover to each and  
 280 every pair of faces to be merged is indeed correct.

### 281 1.3 Related Work

282 Bounded-degree graphs form one of the most popular class of sparse graphs studied in  
 283 the context of design and analysis of distributed algorithms, as witnessed by the large  
 284 literature (see, e.g., [45]) dedicated to construct *locally checkable labelings* (e.g., vertex  
 285 colorings, maximal independent sets, etc.) initiated a quarter of a century ago by the seminal  
 286 work in [41]. Since then, other classes of sparse graphs have received a lot of attention,  
 287 including planar graphs, and graphs of bounded genus. In particular, there is a long history  
 288 of designing distributed approximation algorithms for these classes, exemplified by the case  
 289 of the minimum dominating set problem. One of the earliest result for this latter problem is  
 290 the design of a constant-factor approximation algorithm for planar graphs, performing in a  
 291 constant number of rounds [36]. This result is in striking contrast with the fact that even  
 292 a poly-logarithmic approximation requires at least  $\Omega(\sqrt{\log n / \log \log n})$  rounds in arbitrary  
 293  $n$ -node networks [35]. The paper [36] has paved the way for a series of works, either improving  
 294 on the complexity and the approximation ratio [15, 37, 49], or using weaker models [50], or  
 295 tackling more general problems [13, 14], or proving lower bounds [30, 15]. The minimum  
 296 dominating set problem has then been studied in more general classes such as graphs with  
 297 bounded arboricity [37], minor-closed graphs [12], and graphs with bounded expansion [3].  
 298 Specifically, for graphs with bounded genus, it has been shown that a constant approximation  
 299 can be obtained in time  $O(k)$  for graphs of genus  $k$  [4], and a  $(1 + \epsilon)$ -approximation algorithm  
 300 has recently been designed, performing in time  $O(\log^* n)$  [5].

301 Several other problems, such as maximal independent set, maximal matching, etc., have  
 302 been studied for the aforementioned graph classes, and we refer to [18] for an extended  
 303 bibliography. In addition to the aforementioned results, mostly dealing with local algorithms,  
 304 there are recent results in computational models taking into account limited link bandwidth,  
 305 for graphs that can be embedded on surfaces. For instance, it was shown that a combinatorial  
 306 planar embedding can be computed efficiently in the CONGEST model [26]. Such an  
 307 embedding can then be used to derive more efficient algorithms for minimum-weight spanning  
 308 tree, min-cut, and depth-first search tree constructions [27, 28]. Finally, it is worth mentioning  
 309 that, in addition to algorithms, distributed data structures have been designed for graphs  
 310 embedded on surfaces, including a recent optimal adjacency-labeling for planar graphs [8, 16],  
 311 and routing tables for graphs of bounded genus [25] as well as for graphs excluding a fixed  
 312 minor [1].

313 Proof-labeling schemes (PLS) were introduced in [34], and different variants were later  
 314 introduced. Stronger forms of PLS include *locally checkable proofs* (LCP) [29] in which  
 315 nodes forge their decisions on the certificates and on the whole states of their neighbors, and  
 316  $t$ -PLS [20] in which nodes perform communication at distance  $t \geq 1$  before deciding. Weaker  
 317 forms of PLS include *non-deterministic local decision* (NLD) [22] in which the certificates  
 318 must be independent from the identity-assignment to the nodes. PLS were also extended  
 319 by allowing the verifier to be randomized (see [24]). Such protocols were originally referred  
 320 to as *randomized PLS* (RPLS), but are nowadays referred to as distributed Merlin-Arthur  
 321 (dMA) protocols.

322 The same way NP is extended to the complexity classes forming the Polynomial Hierarchy,  
 323 by alternating quantifiers, PLS were extended to a hierarchy of distributed decision classes [7,  
 324 19], which can be viewed as resulting from a game between a prover and a *disprover*.

325 Recently, *distributed interactive proofs* were formalized [33], and the classes  $\text{dAM}[k](f(n))$   
 326 and  $\text{dMA}[k](f(n))$  were defined, where  $k \geq 1$  denotes the number of alternations between  
 327 the centralized Merlin and the decentralized Arthur, and  $f(n)$  denotes the size of the proof  
 328 —  $\text{dAM}[3](f(n))$  is also referred to as  $\text{dMAM}(f(n))$ . Distributed interactive protocols for  
 329 problems like the existence of a non-trivial automorphism (AUT), and non-isomorphism (ISO)  
 330 were designed and analyzed in [33]. The follow up paper [40] improved the complexity of  
 331 some of the protocols in [33], either in terms of the number of interactions between the prover  
 332 and the verifier, and/or in terms of the size of the certificates. A sophisticated generic way  
 333 for constructing distributed IP protocols based on sequential IP protocols is presented in [40].  
 334 One of the main outcome of this latter construction is a  $\text{dMAM}$  protocol using certificates  
 335 on  $O(\log n)$  bits for all graph classes whose membership can be decided in linear time. For  
 336 other recent results on distributed interactive proof, see [11, 23].

337 It is worth noticing that a very recent arXiv paper [17] provides an alternative proof of the  
 338 results of this paper, by certifying (i) the faces of the embedding using the Heffter-Edmonds-  
 339 Ringel rotation principle, and (ii) the genus of the embedding using Euler’s Formula.

## 340 1.4 Organization of the Paper

341 The next section provides the reader with basic notions regarding graphs embedded on closed  
 342 surfaces, and formally defines our problem. Section 3 describes how to “unfold” a graph  $G$   
 343 of genus  $k$ , for producing a planar graph  $H$  with a special face  $\phi$ . The section also describes  
 344 how, given a planar graph  $H$  with a special face  $\phi$ , one can check that  $(H, \phi)$  results from the  
 345 unfolding of a graph  $G$  with genus  $k$ . Then, Section 4 presents our first main result, that is, a  
 346 proof-labeling scheme for the class of graphs with bounded genus. In particular, it describes  
 347 how to encode the description of the pair  $(H, \phi)$  from Section 3, and, more importantly, how  
 348 to locally encode the whole unfolding process in a distributed manner, using certificates on  
 349  $O(\log n)$  bits, which allow the nodes to collectively check that their certificates form a proof  
 350 that  $G$  has genus  $k$ . Section 5 presents our second main result, by showing how to extend the  
 351 proof-labeling scheme of Section 4 to the class of graphs with bounded non-orientable genus.  
 352 Finally, Section 6 concludes the paper with a discussion about the obstacles to be overcome  
 353 for the design of a proof-labeling scheme for the class of graphs excluding a fixed minor.

## 354 2 Definitions, and Formal Statement of the Problem

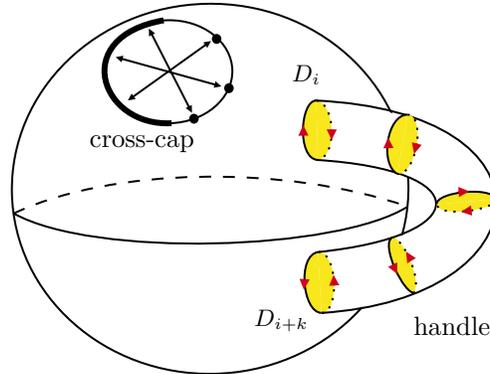
355 This section contains a brief introduction to graphs embedded on surfaces, and provides the  
 356 formal statement of our problem.

### 357 2.1 Closed Surfaces

358 Most of the notions mentioned in this section are standard, and we refer to, e.g., Massey et  
 359 al. [38] for more details.

#### 360 2.1.1 Definition

361 Recall that a *topological space* is a pair  $(X, T)$  where  $X$  is a set, and  $T$  is a topology on  $X$   
 362 (e.g.,  $T$  is a collection of subsets of  $X$ , whose elements are called *open sets*, **satisfying the**  
 363 **following properties : the set  $X$  and the empty set are open, any finite intersection of open**  
 364 **sets is an open set, and any arbitrary union of open sets is an open set**). A topological space  
 365 may be denoted by  $X$  if there is no ambiguity about the topology on  $X$ . **Also recall that**



■ **Figure 3** Handles and cross-cap.

366 a topological space  $X$  is *compact* if, from any set of open sets whose union is  $X$ , one can  
 367 extract a finite set of open sets whose union is finite. A function  $f : X \rightarrow Y$  between two  
 368 topological spaces is *continuous* if the inverse image of every open set in  $Y$  is open in  $X$ . A  
 369 *homeomorphism* is a bijection that is continuous, and whose inverse is also continuous. A  
 370 *topological path* in  $X$  is a continuous function  $P : [0, 1] \rightarrow X$ . The space  $X$  is *path-connected*  
 371 if for any pairs  $x, y$  of points of  $X$ , there exists a topological path  $P$  such that  $P(0) = x$  and  
 372  $P(1) = y$ .

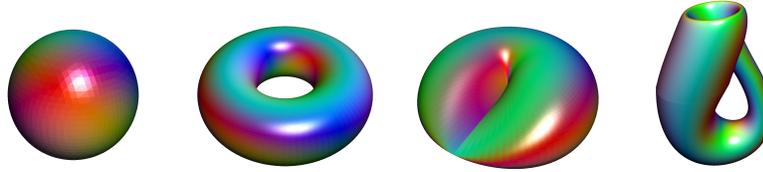
373 ► **Definition 1.** A closed surface  $\Sigma$  is a path-connected<sup>2</sup>, compact space that is locally  
 374 homeomorphic to a disk of  $\mathbb{R}^2$ , (i.e. for each  $x \in \Sigma$ , there exists an open set  $S_x$  containing  $x$   
 375 such that  $S_x$  is homeomorphic to an closed disk of  $\mathbb{R}^2$ , the topology  $T_{S_x}$  used for  $S_x$  being the  
 376 set  $T_{S_x} = \{S \cap S_x, S \in T\}$ ).

### 377 2.1.2 Construction

378 Some closed surfaces can be obtained by the following construction. Let  $S^2$  be the 2-  
 379 dimensional sphere. For  $k \geq 0$ , given  $2k$  disks  $D_1, D_2, \dots, D_{2k}$  on the surface of  $S^2$ , with  
 380 pairwise disjoint interiors, let us direct clockwise the boundaries of  $D_1, \dots, D_k$ , and let us  
 381 direct counterclockwise the boundaries of  $D_{k+1}, \dots, D_{2k}$ . Next, let us remove the interior of  
 382 each disk, and, for  $1 \leq i \leq k$ , let us identify (i.e., glue) the boundary of  $D_i$  with the boundary  
 383  $D_{i+k}$  in such a way that directions coincide (see Figure 3). The resulting topological space is  
 384 denoted by  $\mathbb{T}_k$ . In particular,  $\mathbb{T}_1$  is the torus, and  $\mathbb{T}_0 = S^2$ . For every  $i$ , identifying  $D_i$  and  
 385  $D_{i+k}$  results in a *handle*. It follows that  $\mathbb{T}_k$  contains  $k$  handles.

386 Another family of closed surfaces is constructed as follows. Let  $D_1, \dots, D_k$  be  $k \geq 1$  disks  
 387 with pairwise disjoint interiors. Let us again remove the interior of each disk. For every  
 388  $1 \leq i \leq k$ , and for every antipodal point  $v$  and  $v'$  of the boundary of  $D_i$ , let us identify (i.e.,  
 389 glue) the points  $v$  and  $v'$  (see Figure 3). The resulting topological space is denoted by  $\mathbb{P}_k$ .  
 390 In particular,  $\mathbb{P}_1$  is the projective plane, and  $\mathbb{P}_2$  is the Klein bottle ( $\mathbb{P}_0$  is not defined). For  
 391 every  $i$ , the operation performed on  $D_i$  results in a *cross-cap*. It follows that  $\mathbb{P}_k$  contains  $k$   
 392 cross-caps.

<sup>2</sup> Path-connected can actually be replaced by connected (i.e., cannot be partitioned in two open sets) here, because, under the hypothesis of local homeomorphy to a disk, the notions of path-connectivity and connectivity are equivalent.



■ **Figure 4** The sphere, torus, projective plane, and Klein Bottle.

393 The surfaces resulting from the above constructions can thus be *orientable* (e.g., the  
 394 sphere  $\mathbb{T}_0$  or the torus  $\mathbb{T}_1$ ) or not (e.g., the projective plane  $\mathbb{P}_1$  or the Klein Bottle  $\mathbb{P}_2$ ), as  
 395 displayed on Figure 4.

### 396 2.1.3 Orientability

397 For defining orientability of a closed surface  $\Sigma$ , we use the notion of *curve*, defined as a  
 398 continuous function  $C : S^1 \rightarrow \Sigma$ , where  $S^1$  denotes the unidimensional sphere (homeomorphic  
 399 to, e.g., the trigonometric circle). A curve is *simple* if it is injective. A simple curve  $C$  is  
 400 *orientable* if one can define the left side and the right side of the curve at every point of the  
 401 curve in a consistent manner. Specifically, a curve  $C$  is orientable if, for every  $x \in C$ , there  
 402 exists a neighborhood  $N_x$  of  $x$  such that  $N_x \setminus C$  has two connected components, one called  
 403 the left side  $L(N_x)$  of  $N_x$ , and the other the right side  $R(N_x)$  of  $N_x$ , such that, for every  
 404  $x, x' \in C$  and every  $y \in \Sigma$ ,

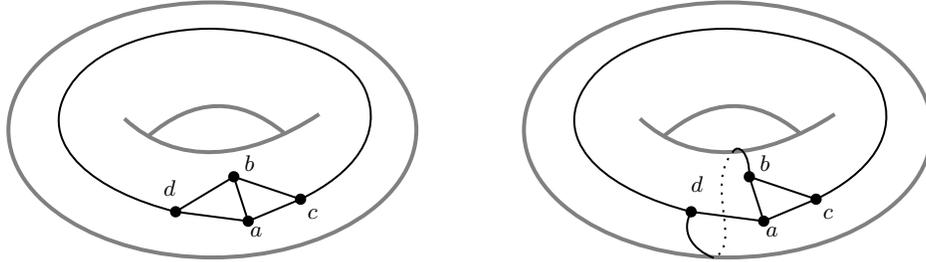
$$405 \quad (y \in N_x \cap N_{x'}) \wedge (y \in L(N_x)) \implies y \in L(N_{x'}).$$

406 A closed surface  $\Sigma$  is orientable if every simple curve of  $X$  is orientable. It is easy to check  
 407 that orientability is a topological invariant. That is, if  $\Sigma$  and  $\Sigma'$  are two homeomorphic  
 408 topological spaces, then  $\Sigma$  is orientable if and only if  $\Sigma'$  is orientable.

### 409 2.1.4 Genus of a Surface

410 An orientable closed surface  $\Sigma$  is of *genus*  $k$  if it is homeomorphic to a closed surface  $\mathbb{T}_k$   
 411 constructed as in Section 2.1.2. The Classification Theorem of orientable closed surfaces (see,  
 412 e.g., [9]) states that every orientable closed surface has a genus. That is, for every orientable  
 413 surface  $\Sigma$ , there exists a unique  $k \geq 0$  such that  $\Sigma$  is of genus  $k$ . The fact that every pair of  
 414 orientable closed surfaces with the same genus  $k$  are homeomorphic, justifies that a unique  
 415 notation can be adopted for these surfaces, and any orientable closed surface of genus  $k$  is  
 416 denoted by  $\mathbb{T}_k$ . Observe however that two closed surfaces that are homeomorphic are not  
 417 necessarily homotopic, i.e., they may not be continuously deformable into each other (for  
 418 instance, the torus is not homotopic to the trefoil knot, although both are homeomorphic).

419 The genus can also be defined for non-orientable closed surfaces. For  $k \geq 1$ , a non-  
 420 orientable closed surface is said to be of *genus*  $k$  if it is homeomorphic to a closed surface  $\mathbb{P}_k$   
 421 constructed as in Section 2.1.2. Again, the Classification Theorem of non-orientable closed  
 422 surfaces (see, e.g., [9]) states that every non-orientable closed surface has a genus. That  
 423 is, for every non-orientable closed surface  $\Sigma$ , there exists a unique  $k \geq 0$  such that  $\Sigma$  is of  
 424 genus  $k$ . As for orientable surfaces, every pair of non-orientable closed surfaces of genus  $k$   
 425 are homeomorphic, and a non-orientable closed surface of genus  $k$  is denoted by  $\mathbb{P}_k$ .



■ **Figure 5** Two embeddings of  $K_4$  on the torus  $\mathbb{T}_1$ . The one on the left is not a 2-cell embedding since the non-triangular face is not homeomorphic to a closed disk. This situation can occur because  $K_4$  is of genus 0, not 1 (see Lemma 3). The embedding on right is a 2-cell embedding.

## 2.2 Graphs Embedded on Surfaces

In this section, we recall standard notions related to graph embeddings on surfaces, and we refer to Mohar and Thomassen [39] for more details. Throughout the paper, all considered graphs are supposed to be simple (no multiple edges, and no self-loops), and connected.

### 2.2.1 Topological Embeddings

Given a graph  $G = (V, E)$ , and a closed surface  $\Sigma$ , a *topological embedding* of  $G$  on  $\Sigma$  is given by (1) an injective mapping  $f : V \rightarrow \Sigma$ , and, (2) a topological path  $f_e : [0, 1] \rightarrow \Sigma$  defined for every edge  $e$  such that:

- if  $e = \{v, v'\} \in E$ , then  $f_e(\{0, 1\}) = \{f(v), f(v')\}$ , and
- if  $e, e' \in E$  and  $e \neq e'$ , then  $f_e([0, 1]) \cap f_{e'}([0, 1]) = \emptyset$ .

The second condition is often referred to as the *non-crossing* condition. See Figure 5 for two embeddings of the complete graph  $K_4$  on  $\mathbb{T}_1$ . Throughout the paper, we may identify a vertex  $v$  with its representation  $f(v)$ , and an edge  $e$  with its representation  $f_e$  (i.e., the image  $f_e([0, 1])$  of  $[0, 1]$  by  $f_e$ ), even referred to as  $f(e)$  in the following. The set  $\cup_{e \in E} f_e([0, 1])$  is called the *skeleton* of the embedding, and is denoted by  $\text{Sk}(G)$ . Each connected component of  $\Sigma \setminus \text{Sk}(G)$  is an open set of  $\Sigma$  (as complement of a closed set), called a *face* of the embedding. In fact, in this paper, we will abuse notation, and often refer to  $G$  instead of  $\text{Sk}(G)$  when referring to the embedding of  $G$  on  $\Sigma$ .

### 2.2.2 2-Cell Embeddings

We now recall a slightly more sophisticated, but significantly richer form of topological embedding, called *2-cell embedding*. A 2-cell embedding is a topological embedding such that every face is homeomorphic to an open disk of  $\mathbb{R}^2$ .

In a 2-cell embedding of a graph  $G$ , the border of a face can be described by giving a so-called *boundary (closed) walk*, that is, an ordered list  $(v_0, \dots, v_r)$  of non-necessarily distinct vertices of  $G$ , where, for  $i = 0, \dots, r - 1$ ,  $\{v_i, v_{i+1}\} \in E(G)$ , and  $\{v_r, v_0\} \in E(G)$ . The vertices and edges of a face are the images by the embedding of the vertices and edges of the boundary walk. The boundary walk is however not necessarily a simple cycle, as an edge may appear twice in the walk, once for each direction, and a vertex may even appear many times.

For instance, Figure 5 displays two embeddings of the complete graph  $K_4$  on the torus  $\mathbb{T}_1$ . The embedding on the left is not a 2-cell embedding. Indeed, this embedding results in three faces, including the two faces with boundary walk  $(a, b, c)$  and  $(a, b, d)$ . The third

458 face is however not homeomorphic to an open disk (there is a hole in it, resulting from the  
 459 hole in the torus). On the other hand, the embedding on the right in Figure 5 is a 2-cell  
 460 embedding. Indeed, there are two faces, including the face with boundary walk  $(a, b, c)$ . The  
 461 other face is also homeomorphic to an open disk. A boundary walk of this latter face is  
 462  $(d, a, b, d, c, a, d, b, c)$ . This can be seen by starting from  $d$ , traversing the edge  $\{d, a\}$ , and  
 463 adopting the “left-hand rule” when entering a vertex, leading from  $a$  to  $b$ , then back to  $d$ ,  
 464 next to  $c$ , etc. Notice that this boundary walk uses some edges twice. It follows that the  
 465 closure of a face is not necessarily homeomorphic to a closed disk, even in a 2-cell embedding.

466 We complete the section with an observation, which allows us to restrict our attention  
 467 to cycles in graphs instead of arbitrary curves in topological spaces. It also illustrates the  
 468 interest of 2-cell embeddings (the result does not necessarily hold for arbitrary embeddings,  
 469 as illustrated by the embedding on the left of Figure 5). In the following, *contractible* means  
 470 homotopic to a point.

471 ► **Lemma 2.** *For every graph  $G$ , and every closed surface  $\Sigma$ , any 2-cell embedding of  $G$  on*  
 472  *$\Sigma$  satisfies that every closed curve in  $\Sigma$  is either contractible, or homotopic to a closed cycle*  
 473 *of  $\text{Sk}(G)$ .*

474 The rough reason why the result holds is that, in a 2-cell embedding, any sub-path of a  
 475 path traversing a face can be replaced by a sub-path following the border of the face. (This  
 476 is not necessarily true for a general embedding).

### 477 2.2.3 Genus and non-orientable genus of a Graph

478 For any graph  $G$ , there exists  $k \geq 0$  such that  $G$  can be embedded on  $\mathbb{T}_k$ , as any embedding  
 479 of  $G$  in the plane with  $x$  pairs of crossing edges induces an embedding of  $G$  on  $\mathbb{T}_x$  without  
 480 crossings, by replacing each crossing with a handle. Also, if  $G$  can be embedded on  $\mathbb{T}_k$ , then  
 481  $G$  can be embedded on  $\mathbb{T}_{k'}$  for every  $k' \geq k$ . The *genus* of a graph  $G$  is the smallest  $k$  such  
 482 that there exists an embedding of  $G$  on  $\mathbb{T}_k$ . Similarly, the *non-orientable genus*, or *Euler*  
 483 *genus* of  $G$ , is defined as the smallest  $k$  such that there exists an embedding of  $G$  on  $\mathbb{P}_k$ .

484 The embeddings of graphs of genus  $k$  on  $\mathbb{T}_k$  have a remarkable property (see, e.g., [51]).

485 ► **Lemma 3.** *Every embedding of a graph  $G$  of genus  $k$  on  $\mathbb{T}_k$  is a 2-cell embedding.*

486 The same property does not necessarily hold for graphs with bounded non-orientable  
 487 genus. However, some weaker form of Lemma 3 can be established (see, e.g., [44]).

488 ► **Lemma 4.** *For every graph  $G$  of non-orientable genus  $k$ , there exists a 2-cell embedding*  
 489 *of  $G$  on  $\mathbb{P}_k$ .*

490 The next result is extremely helpful for computing the genus of a graph, and is often  
 491 referred to as the Euler-Poincaré formula [47].

492 ► **Lemma 5.** *Let  $G = (V, E)$ , and let  $\Sigma$  be a closed surface of genus  $k$ . Let us consider any*  
 493 *2-cell embedding of  $G$  on  $\Sigma$ , and let  $F$  be the set of faces of this embedding. If  $\Sigma$  is orientable*  
 494 *then  $|V| - |E| + |F| = 2 - 2k$ . If  $\Sigma$  is non orientable then  $|V| - |E| + |F| = 2 - k$ .*

495 Recall that, for  $d \geq 0$ , a graph  $G$  is *d-degenerate* if every subgraph of  $G$  has a node  
 496 of degree at most  $d$ . Degeneracy will play a crucial role later in the paper, for evenly  
 497 distributing the information to be stored in the certificates according to our proof-labeling  
 498 schemes. **Graphs with bounded genus have bounded degeneracy (see, e.g., [39] Theorem 8. 3.**  
 499 **1, this result is due to Heawood), as recalled below for further references.**

500 ► **Lemma 6.** *For every  $k \geq 0$ , every graph of genus at most  $k$  is  $d$ -degenerate with  $d =$   
501  $\max(5, \frac{5+\sqrt{1+48k}}{2})$ .  
502 *For every  $k \geq 1$ , every graph of non-orientable genus at most  $k$  is  $d$ -degenerate with  
503  $d = \max(5, \frac{5+\sqrt{1+24k}}{2})$ .**

## 504 2.3 Formal Statement of the Problem

505 Proof-Labeling Schemes (PLS) are distributed mechanisms for verifying graph properties.  
506 More precisely, let  $\mathcal{G}$  be a graph family. A PLS for  $\mathcal{G}$  is defined as a prover-verifier pair  
507  $(\mathbf{p}, \mathbf{v})$ , bounded to satisfy the following. Given any graph  $G = (V, E)$  whose  $n$  vertices are  
508 arbitrarily labeled by  $n$  distinct identifiers (ID) picked from a set  $\{1, \dots, n^k\}$ ,  $k \geq 1$ , of  
509 polynomial range, the prover  $\mathbf{p}$  is a non-trustable oracle that provides every vertex  $v \in V$   
510 with a *certificate*  $c(v)$ . The verifier  $\mathbf{v}$  is a distributed protocol performing a single round in  
511 parallel at all vertices, as follows. Every vertex collects the certificates of all its neighbors,  
512 and must output “accept” or “reject”, on the basis of its ID, its certificate, and the certificates  
513 of its neighbors. The pair  $(\mathbf{p}, \mathbf{v})$  is a correct PLS for  $\mathcal{G}$  if the following two conditions hold.

514 **Completeness:** For every  $G \in \mathcal{G}$ , and for every ID-assignment to the vertices of  $G$ , the  
515 (non-trustable) prover  $\mathbf{p}$  can assign certificates to the vertices such that the verifier  $\mathbf{v}$   
516 *accepts* at all vertices;

517 **Soundness:** For every  $G \notin \mathcal{G}$ , for every ID-assignment to the vertices of  $G$ , and for every  
518 certificate-assignment to the vertices by the non-trustable prover  $\mathbf{p}$ , the verifier  $\mathbf{v}$  *rejects*  
519 in at least one vertex.

520 The main *complexity measure* for a PLS is the size of the certificates assigned to the  
521 vertices by the prover. The objective of the paper is to design schemes with logarithmic-size  
522 certificates, for two classes of graphs: the class  $\mathcal{G}_k^+$ ,  $k \geq 0$ , of graphs embeddable on an  
523 orientable closed surface of genus at most  $k$  (i.e., the graphs of genus  $\leq k$ ), and the class  $\mathcal{G}_k^-$ ,  
524  $k \geq 1$ , of graphs embeddable on a non-orientable closed surface of genus at most  $k$  (i.e., the  
525 graphs of non-orientable genus  $\leq k$ ).

### 526 Remark.

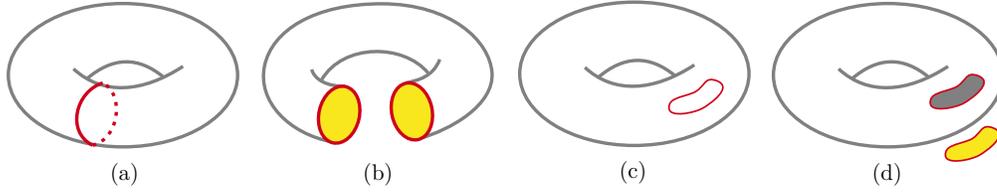
527 Throughout the rest of the paper, for  $G \in \mathcal{G}_k^+$  (resp.,  $G \in \mathcal{G}_k^-$ ) with genus  $k' < k$  (resp.,  
528 non-orientable genus  $k' < k$ ), our proof-labeling scheme certifies an embedding of  $G$  on  $\mathbb{T}_{k'}$   
529 (resp., on  $\mathbb{P}_{k'}$ ). Therefore, in the following,  $k$  is supposed to denote the exact genus of  $G$ .

## 530 3 Unfolding a Surface

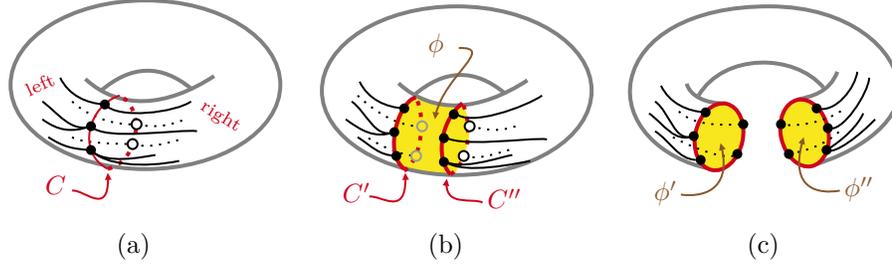
531 In this section, we describe how to “flat down” a surface, by reducing it to a disk whose  
532 boundary has a specific form. This operation is central for constructing the distributed  
533 certificates in our proof-labeling scheme. In fact, it provides a centralized certificate for  
534 bounded genus. The section is dedicated to orientable surfaces, and the case of non-orientable  
535 surfaces will be treated further in the text.

### 536 3.1 Separation and Duplication

537 Given a 2-cell embedding of a graph  $G$  on a closed surface  $\Sigma$ , a *non-separating cycle* of the  
538 embedding is a simple cycle  $C$  in  $G$  such that  $\Sigma \setminus C$  is connected. Figure 6 illustrates this  
539 notion: the cycle displayed on (a) is non-separating, as shown on (b); instead, the cycle



■ **Figure 6** Separating and non-separating cycles.



■ **Figure 7** Cycle-duplication and the associated surface.

540 displayed on (c) is separating, as shown on (d). The result hereafter is a classic result, whose  
 541 proof can be found in, e.g., [39, 43].

542 ► **Lemma 7.** *Let  $G$  be a graph embeddable on a closed orientable surface  $\Sigma$  with genus  $k \geq 1$ .  
 543 For any 2-cell embedding of  $G$  on  $\Sigma$ , there exists a non-separating cycle  $C$  in  $G$ .*

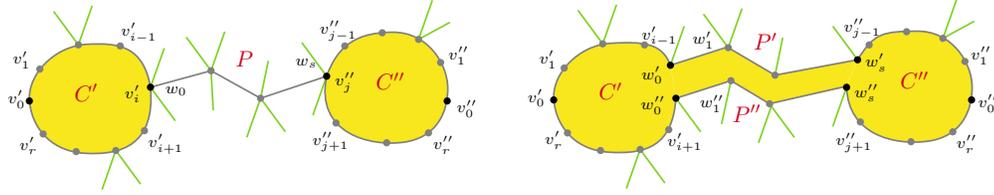
544 Note that the hypothesis that there is a 2-cell embedding is crucial: a tree can be  
 545 embedded on any surface, but has no cycle.

### 546 3.1.1 Cycle-Duplication

547 Let  $G$  be a graph embeddable on a closed orientable surface  $\Sigma$ . An orientable cycle is a  
 548 cycle of  $G$  whose embedding on  $\Sigma$  yields an orientable curve. Given a 2-cell embedding  $f$   
 549 of  $G$  on  $\Sigma$ , let  $C$  be a non-separating orientable cycle of  $G$  whose existence is guaranteed by  
 550 Lemma 7. By definition, the left and right sides of  $C$  can be defined on the neighborhood of  
 551  $C$ . We denote by  $G_C$  the graph obtained by the *duplication* of  $C$  in  $G$ . Specifically, let us  
 552 assume that  $C = (v_0, \dots, v_r)$ . Every vertex  $w \notin C$  remains in  $G_C$ , as well as every edge non  
 553 incident to a vertex of  $C$ . Every vertex  $v_i$  of  $C$  is replaced by a *left* vertex  $v'_i$  and a *right*  
 554 vertex  $v''_i$ . For every  $i = 0, \dots, r - 1$ ,  $\{v'_i, v'_{i+1}\}$  and  $\{v''_i, v''_{i+1}\}$  are edges of  $G_C$ , as well as  
 555  $\{v'_r, v'_0\}$  and  $\{v''_r, v''_0\}$ . Finally, for every  $i = 0, \dots, r$ , and every neighbor  $w \notin C$  of  $v_i$  in  $G$ , if  
 556  $f(\{v_i, w\})$  meets the left of  $C$ , then  $\{v'_i, w\}$  is an edge of  $G_C$ , otherwise  $\{v''_i, w\}$  is an edge of  
 557  $G_C$ . The embedding  $f$  of  $G$  on  $\Sigma$  directly induces an embedding of  $G_C$  on  $\Sigma$ . Figure 7(a-b)  
 558 illustrates the operation of duplication, and the resulting embedding on  $\Sigma$ .

559 The embedding of  $G_C$  on  $\Sigma$  is however not a 2-cell embedding, as it contains the face  $\phi$   
 560 between  $C'$  and  $C''$  on  $\Sigma$ , where  $C' = (v'_0, \dots, v'_r)$  and  $C'' = (v''_0, \dots, v''_r)$  (see Figure 7(b)).  
 561 Formally,  $\phi$  is the face with boundaries  $C'$  and  $C''$ , and, as such, it is not homeomorphic  
 562 to a disc. Let  $\Sigma_C$  be the closed surface<sup>3</sup> obtained from  $\Sigma$  by removing  $\phi$ , and by replacing

<sup>3</sup> Notice that  $X \setminus \phi$ ,  $\overline{\phi'} = \phi' \cup C'$  (where  $\overline{\phi'}$  denotes the adherence of  $\phi'$ ), and  $\overline{\phi''} = \phi'' \cup C''$  are compact sets. Thus  $\Sigma_C$  is compact as the union of these three sets.



■ **Figure 8** Path-duplication.

563  $\phi$  with two faces  $\phi'$  and  $\phi''$  with boundary walks  $C'$  and  $C''$ , respectively (see Figure 7(c)).  
 564 The embedding  $f$  of  $G$  on  $\Sigma$  induces a 2-cell embedding  $f_C$  of  $G_C$  on  $\Sigma_C$ . Also, since  $C$  is a  
 565 non-separating cycle of  $G$  in  $\Sigma$ , the surface  $\Sigma_C$  is path-connected, which ensures that  $G_C$  is  
 566 connected using Lemma 2.

567 Moreover, as  $\Sigma$  is orientable,  $\Sigma_C$  is also orientable. Indeed, every simple cycle of  $\Sigma_C$  not  
 568 intersecting  $\phi'$  nor  $\phi''$  is a cycle of  $\Sigma$ , and is therefore orientable. Furthermore, any simple  
 569 cycle of  $\Sigma_C$  intersecting  $\phi'$  and/or  $\phi''$  is homotopic to a cycle separated from both boundaries  
 570 of  $\phi'$  and  $\phi''$  by an open set, and thus is homotopic to a cycle of  $\Sigma$ . It follows that  $\Sigma_C$  is a  
 571 closed orientable surface, and thus, thanks to Lemma 5, the genus of  $\Sigma_C$  is  $k - 1$ .

### 572 3.1.2 Path-Duplication

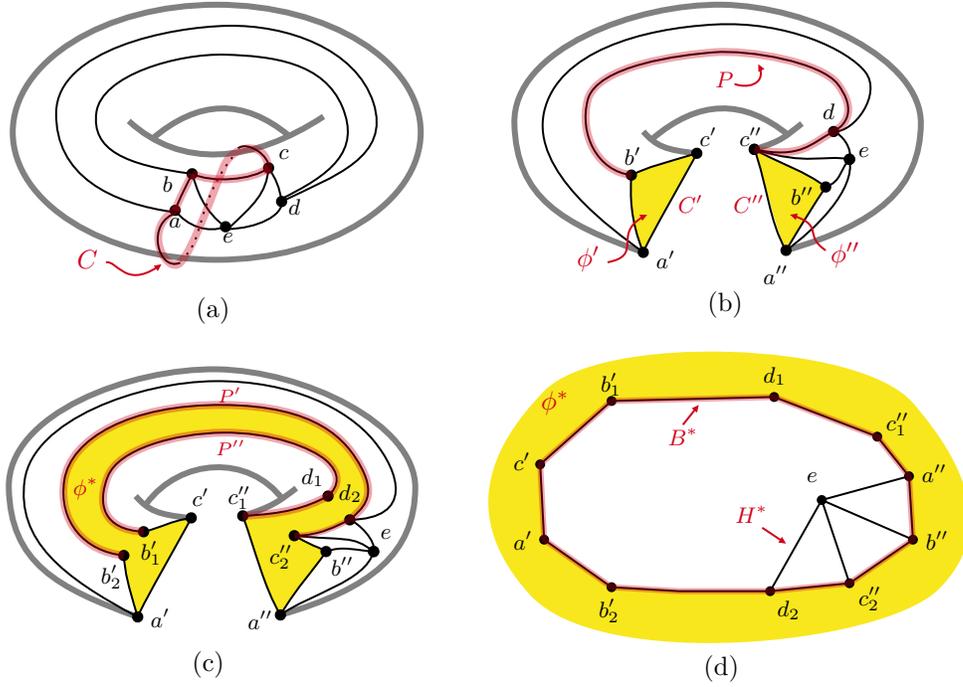
573 Again, let us consider a graph  $G$ , an orientable closed surface  $\Sigma$ , and a 2-cell embedding  $f$   
 574 of  $G$  on  $\Sigma$ . Let  $\chi, \psi$  be two distinct faces of the embedding, and let  $P = (w_0, \dots, w_s)$  be a  
 575 simple path (possibly reduced to a single vertex belonging to the two cycles) between  $\chi$  and  
 576  $\psi$  (see Figure 8). That is,  $P$  is such that  $w_0$  is on the boundary of  $\phi$ ,  $w_s$  is on the boundary  
 577 of  $\psi$ , and no intermediate vertex  $w_i$ ,  $0 < i < s$ , is on the boundary of  $\chi$  or  $\psi$ . The path  $P$   
 578 enables to define a graph  $G_P$  obtained by duplicating the path  $P$  in a way similar to the way  
 579 the cycle  $C$  was duplicated in the previous section. There is only one subtle difference, as the  
 580 left and right side of the path cannot be defined at its endpoints. Nevertheless, the left and  
 581 right sides of  $P$  can still be properly defined all along  $P$ , including its extremities, by virtually  
 582 “extending”  $P$  so that it ends up in the interiors of  $\chi$  and  $\psi$ . Thanks to this path-duplication,  
 583 the two faces  $\chi$  and  $\psi$  of  $G$  are replaced by a unique face of  $G_P$  as illustrated on Figure 8,  
 584 reducing the number of faces by one.

#### 585 Remark.

586 Cycle-duplication and path-duplication are typically used conjointly. A basic example, used  
 587 for the torus  $\mathbb{T}_1$  in the next section, consists of, first, duplicating a cycle  $C$ , then connecting  
 588 the two faces resulting from this duplication by a path  $P$ , and, finally, duplicating  $P$   
 589 for merging these two faces into one single face. Further, for the general case  $\mathbb{T}_k$ ,  $k \geq 1$ ,  $k$  cycles  
 590  $C_1, \dots, C_k$  are duplicated, and  $2k - 1$  paths  $P_1, \dots, P_{2k-1}$  are duplicated for connecting the  
 591  $2k$  faces  $\phi'_1, \phi''_1, \dots, \phi'_k, \phi''_k$  resulting from the  $k$  cycle-duplications, ending up in a unique  
 592 face  $\phi^*$ .

### 593 3.2 Unfolding the Torus

594 As a warm up, we consider the case of a graph embedded on the torus  $\mathbb{T}_1$ , and show how to  
 595 “unfold” this embedding.



■ **Figure 9** Unfolding  $K_5$  embedded on the torus  $\mathbb{T}_1$ . The duplication of the non-separating cycle  $C = (a, b, c, a)$  creates the faces  $\phi'$  and  $\phi''$ . Then the duplication of the path  $P = (c'', d, b')$  merges  $\phi'$  and  $\phi''$  into a face  $\phi^*$ . The resulting graph is planar, and  $\phi^*$  can be seen as the infinite face of its embedding.

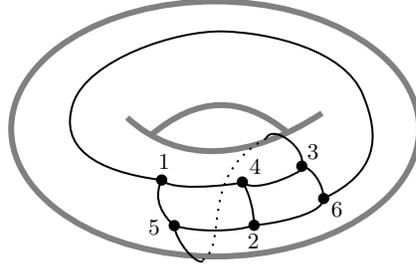
596 **3.2.1 Making a Graph of Genus 1 Planar**

597 Let  $G$  be a graph, and let  $f$  be a 2-cell embedding of  $G$  on  $X = \mathbb{T}_1$  — see Figure 9(a) for an  
 598 embedding of  $K_5$  on  $\mathbb{T}_1$ , as an illustrative example. Let  $C = (v_0, \dots, v_r)$  be a non-separating  
 599 orientable cycle of  $G$ , e.g., the cycle  $(a, b, c)$  on Figure 9(a). Let  $C' = (v'_0, \dots, v'_r)$  and  $C'' =$   
 600  $(v''_0, \dots, v''_r)$  be the two cycles resulting from the duplication of  $C$ , e.g., the cycles  $(a', b', c')$   
 601 and  $(a'', b'', c'')$  on Figure 9(b). The graph  $G_C$  with two new faces  $\phi'$  and  $\phi''$  is connected.  
 602 In particular, there exists a simple path  $P = (w_0, \dots, w_s)$  in  $G_C$  from a vertex  $v'_i \in C'$  to a  
 603 vertex  $v''_j \in C''$ , such that every intermediate vertex  $w_k$ ,  $0 < k < s$ , is not in  $C' \cup C''$ , e.g.,  
 604 the path  $(c'', d, b')$  on Figure 9(b). Note that it may be the case that  $i \neq j$ . On Figure 9(b),  
 605 the path  $(b'', e, d, b')$  satisfies  $i = j$ , but Figure 10 illustrates an embedding of  $K_{3,3}$  on  $\mathbb{T}_1$  for  
 606 which  $i = j$  cannot occur (simply because every vertex of  $K_{3,3}$  has degree 3, and thus it has  
 607 a single edge not in the cycle). Duplicating  $P$  enables to obtain a graph  $G_{C,P}$  with a special  
 608 face  $\phi^*$ , whose boundary contains all duplicated vertices and only them (see Figure 9(c)).  
 609 The details of the vertex-duplications, and of the edge-connections are detailed hereafter.

610 **Connections in path-duplication.**

611 Let  $P' = (w'_0, \dots, w'_s)$  and  $P'' = (w''_0, \dots, w''_s)$  be the two paths obtained by duplicating  $P$ .  
 612 In particular, the vertices  $w_0 = v'_i$  and  $w_s = v''_j$  are both duplicated in  $w'_0, w''_0$ , and  $w'_s, w''_s$ ,  
 613 respectively. The edges

614  $\{v'_{i-1}, v'_i\}, \{v'_i, v'_{i+1}\}, \{v''_{j-1}, v''_j\},$  and  $\{v''_j, v''_{j+1}\}$



■ **Figure 10**  $K_{3,3}$  embedded on the torus  $\mathbb{T}_1$ .

615 are replaced by the edges connecting  $v'_{i-1}, v'_{i+1}, v''_{j-1}, v''_{j+1}$  to  $w'_0, w''_0, w'_s, w''_s$ . For defining  
 616 these edges, observe that the path  $P$  in  $\mathbb{T}_1$  induces a path  $Q = (v_i, w_1, \dots, w_{s-1}, v_j)$  in  $G$   
 617 connecting the vertices  $v_i$  and  $v_j$  of  $C$ , such that, in the embedding on  $\mathbb{T}_1$ , the edge  $\{v_i, w_1\}$   
 618 meets  $C$  on one side while the edge  $\{w_{s-1}, v_j\}$  meets  $C$  on the other side (see Figure 11(a-b)).

619 Figure 11(b) Let us assume, w.l.o.g., that the edges of  $C \cup Q$  around  $v_i$  are in the order

$$620 \quad \{v_i, v_{i-1}\}, \{v_i, v_{i+1}\}, \{v_i, w_1\}$$

621 when visited counter-clockwise in  $\mathbb{T}_1$ . It follows that the edges of  $C \cup Q$  around  $v_j$  are in the  
 622 order

$$623 \quad \{v_j, v_{j-1}\}, \{v_j, v_{j+1}\}, \{v_j, w_{s-1}\}$$

624 when visited clockwise in  $\mathbb{T}_1$  (see Figure 11(b)). These orders are transferred in  $G_C$ , that is,  
 625 the edges of  $C' \cup P$  around  $v'_i$  are in counter-clockwise order

$$626 \quad \{v'_i, v'_{i-1}\}, \{v'_i, v'_{i+1}\}, \{v'_i, w_1\},$$

627 while the edges of  $C'' \cup P$  around  $v''_j$  are in clockwise order

$$628 \quad \{v''_j, v''_{j-1}\}, \{v''_j, v''_{j+1}\}, \{v''_j, w_{s-1}\},$$

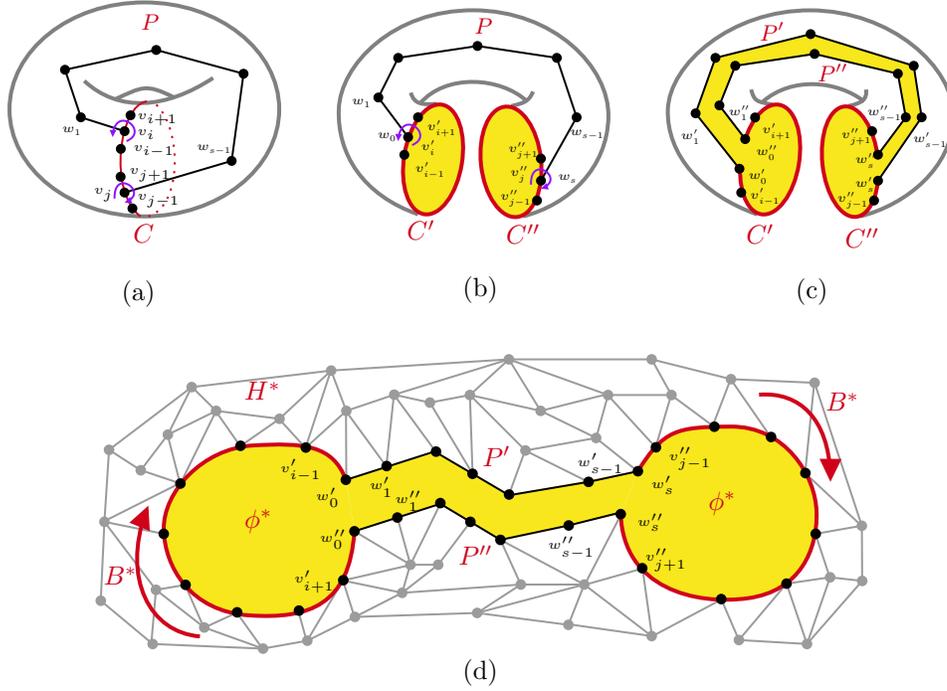
629 as illustrated on Figure 11(b). This guarantees that  $v'_{i-1}$  and  $v''_{j-1}$  are in the same side of  
 630 the path  $P$ . More generally, the relative positions of  $v'_{i-1}, v'_{i+1}, v''_{j-1}$ , and  $v''_{j+1}$  w.r.t.  $P$  are  
 631 as follows. Vertices  $v'_{i-1}$  and  $v''_{j-1}$  are on the same side of  $P$ , while vertices  $v'_{i+1}$  and  $v''_{j+1}$   
 632 are on the other side of  $P$  (see again Figure 11(b)). As a consequence, it can be assumed  
 633 that, in the graph  $G_{C,P}$  resulting from the duplications of both  $C$  and  $P$ , the vertices  $v'_{i-1}$   
 634 and  $v''_{j-1}$  are connected to the end points of  $P'$ , while  $v'_{i+1}$  and  $v''_{j+1}$  are connected to the  
 635 end points of  $P''$ . It follows that

$$636 \quad \{w'_0, v'_{i-1}\}, \{w'_s, v''_{j-1}\}, \{w''_0, v'_{i+1}\}, \text{ and } \{w''_s, v''_{j+1}\}$$

637 are edges of  $G_{C,P}$  (see Figure 11(c)).

### 638 **Unfolding.**

639 The embedding  $f$  of  $G$  on  $X = \mathbb{T}_1$  directly induces an embedding of  $H^* = G_{C,P}$  on  $\Sigma_C$ , as  
 640 illustrated on Figure 11(d). As observed before, the genus of  $\Sigma_C$  is one less than the genus  
 641 of  $\Sigma$ . Since  $X = \mathbb{T}_1$ , it follows that the embedding  $f$  of  $G$  on  $\mathbb{T}_1$  actually induces a planar



■ **Figure 11** Setting up the connections in path-duplication. A key point, is that  $v_i$  is surrounded by the triple  $(v_{i-1}, v_{i+1}, w_1)$  counter-clockwise while  $v_j$  is surrounded by  $(v_{j-1}, v_{j+1}, w_s)$  clockwise. This allows to know where  $P'$  and  $P''$  are respectively branched on cycles  $C'$  and  $C''$ .

642 embedding  $f^*$  of  $H^*$ . The faces of this embedding are merely the faces of  $G$ , plus another,  
 643 special face  $\phi^*$  whose boundary walk is

$$644 \quad B^* = (w'_0, w'_1, \dots, w'_s, v'_{j-1}, v'_{j-2}, \dots, v''_0, v''_r, \dots, v''_{j+1},$$

$$645 \quad \quad \quad w''_s, w''_{s-1}, \dots, w''_0, v'_{i+1}, v'_{i+2}, \dots, v'_r, v'_0, \dots, v'_{i-1}),$$

647 as displayed on Figure 11(d). For instance, on Figure 9(d),  $B^* =$   
 648  $(b'_1, d_1, c''_1, a'', b'', c''_2, d_2, b'_2, a', c')$ . The face  $\phi^*$  can be pointed out as special, as on  
 649 Figure 11(d), or can be made the external face of the embedding of  $H^*$ , as on Figure 9(d).  
 650 Our interest for  $H^*$ ,  $f^*$ ,  $\phi^*$ , and  $B^*$  as far as the design of a proof-labeling scheme is  
 651 concerned, resides in the fact that, as shown hereafter, they form a (centralized) certificate  
 652 for genus 1.

### 653 3.2.2 Certifying Genus 1

654 Let us first define the notion of *splitting*.

- 655 ► **Definition 8.** A splitting of a graph  $G$  into a graph  $H$  is a pair  $\sigma = (\alpha, \beta)$  of functions,  
 656 where  $\alpha : V(G) \rightarrow 2^{V(H)}$ , and  $\beta : E(G) \rightarrow 2^{E(H)}$ , such that:
- 657 1. the set  $\{\alpha(v) : v \in V(G)\}$  forms a partition of  $V(H)$ ;
  - 658 2. for every  $e = \{u, v\} \in E(G)$ ,  $\beta(e)$  is a matching between  $\alpha(u)$  and  $\alpha(v)$ .

659 Note that  $\sigma(G)$  may not be connected, even if  $G$  is connected. For every  $v \in V(G)$ , the  
 660 vertices  $\alpha(v)$  in  $H$  are the *avatars* of  $v$  in  $H$ . The *degree* of a splitting  $\sigma = (\alpha, \beta)$  of  $G$  into  $H$   
 661 is  $\max_{v \in V(G)} |\alpha(v)|$ , and  $H$  is said to be a  $d$ -splitting of  $G$  whenever  $d = \max_{v \in V(G)} |\alpha(v)|$ .

662 A vertex  $v \in V(G)$  is split in  $H$  if  $|\alpha(v)| \geq 2$ , otherwise it is not split in  $H$ . If a vertex  $v$  is  
 663 not split, we abuse notation by writing  $\alpha(v) = v$ , i.e., by referring to  $v$  as a vertex of  $G$  and  
 664 as a vertex of  $H$ . For any subgraph  $G'$  of  $G$ , we denote by  $\sigma(G')$  the subgraph  $H'$  of  $H$  with  
 665 vertex-set  $V(H') = \{\alpha(v) : v \in V(G')\}$ , and with edge-set  $E(H') = \cup_{e \in E(G')} \beta(e)$ . With a  
 666 slight abuse of notation, for a splitting  $\sigma = (\alpha, \beta)$  of  $G$  into  $H$ , we often refer to  $\sigma(v)$  instead  
 667 of  $\alpha(v)$  for  $v \in V(G)$ , and to  $\sigma(e)$  instead of  $\beta(e)$  for  $e \in E(G)$ .

668 Let  $H$  be a splitting of a graph  $G$  for which there exists a 2-splitting  $U$  of  $G$  such that  $H$   
 669 is a 2-splitting of  $U$ . Let  $f$  be a planar embedding of  $H$ , and let  $\phi$  be a face of  $H$  embedded  
 670 on  $\mathbb{T}_0$ . Let  $B = (u_0, \dots, u_N)$  be a boundary walk of  $\phi$ . Let  $\sigma_{G,U}$  and  $\sigma_{U,H}$  be the splitting of  
 671  $G$  into  $U$ , and the splitting of  $U$  into  $H$ , respectively. Let  $\sigma_{G,H} = \sigma_{U,H} \circ \sigma_{G,U}$ . We say that  
 672  $(G, H, B, U)$  is *globally consistent* if there exist vertices  $v'_0, \dots, v'_r, v''_0, \dots, v''_r, w'_0, \dots, w'_s,$   
 673  $w''_0, \dots, w''_s$  of  $H$  such that

$$674 \quad B = (w'_0, \dots, w'_s, v''_{j-1}, \dots, v''_0, v''_r, \dots, v''_{j+1}, w''_s, \dots, w''_0, v'_{i+1}, \dots, v'_r, v'_0, \dots, v'_{i-1})$$

675 where

- 676 ■ for every vertex  $u \notin \{v'_k, v''_k : 0 \leq k \leq r\} \cup \{w'_k, w''_k : 0 \leq k \leq s\}$  of  $H$ ,  $\sigma_{G,H}(u) = u$ ;
- 677 ■ for every  $k \in \{1, \dots, s-1\}$ ,  $\sigma_{U,H}^{-1}(\{w'_k, w''_k\}) = w_k \in V(U)$ , and  $\sigma_{G,U}(w_k) = w_k$ ;
- 678 ■ for every  $k \in \{0, \dots, r\} \setminus \{i, j\}$ ,  $\sigma_{G,U}^{-1}(\{v'_k, v''_k\}) = v_k \in V(U)$ , and  $\sigma_{U,H}(v_k) = v_k$ ;
- 679 ■  $\sigma_{U,H}^{-1}(\{w'_0, w''_0\}) = v'_i \in V(U)$ ,  $\sigma_{U,H}^{-1}(\{w'_s, w''_s\}) = v''_j \in V(U)$ ,  $\sigma_{G,U}^{-1}(\{v'_i, v''_i\}) = v_i \in V(G)$ ,
- 680 and  $\sigma_{G,U}^{-1}(\{v'_j, v''_j\}) = v_j \in V(G)$  (note that this applies to both cases  $i = j$  and  $i \neq j$ ).

681 **Remark.**

682 The way the vertices of  $B$  are listed provides  $B$  with a reference direction, say clockwise.  
 683 This reference direction is crucial for checking that the two faces of  $U$  with respective  
 684 boundary walks  $v'_i, v'_{i+1}, \dots, v'_r, v'_0, \dots, v'_{i-1}$  and  $v''_j, v''_{j-1}, \dots, v''_0, v''_r, \dots, v''_{j+1}$  can be merged  
 685 for forming a handle. Global consistency specifies that, for these two faces to be merged,  
 686 their directions inherited from the reference direction of  $B$  must both be clockwise (cf.,  
 687 Figure 11(d)). Indeed, while one face is traversed clockwise with increasing indices, the other  
 688 is traversed clockwise with decreasing indices. This matches the specification of handles (cf.  
 689 Figure 3).

690 By the construction in Section 3.2.1, for every graph  $G$  of genus 1,  $(G, H^*, B^*, U^*)$  is  
 691 globally consistent, where  $H^* = G_{C,P}$ ,  $U^* = G_C$ , and  $B^*$  is the boundary walk of  $\phi^*$   
 692 displayed in Eq. (1). The following result is specific to the torus, but it illustrates the basis  
 693 for the design of our proof-labeling schemes.

694 ► **Lemma 9.** *Let  $H$  be a splitting of a graph  $G$ , and assume that there exists a planar*  
 695 *embedding  $f$  of  $H$  with a face  $\phi$  and a boundary walk  $B$  of  $\phi$ . Let  $U$  be a 2-splitting of*  
 696  *$G$  such that  $H$  is a 2-splitting of  $U$ . If  $(G, H, B, U)$  is globally consistent, then  $G$  can be*  
 697 *embedded on the torus  $\mathbb{T}_1$ .*

698 **Proof.** Using the specifications of the splits, the two sub-paths  $(w'_0, \dots, w'_s)$  and  $(w''_0, \dots, w''_s)$   
 699 of  $B$  can be identified by merging each pair of vertices  $w'_k$  and  $w''_k$ ,  $k \in \{1, \dots, s-1\}$ ,  
 700 into a single vertex  $w_k = \sigma_{U,H}^{-1}(\{w'_k, w''_k\})$  of  $U$ , by merging the vertices  $w'_0$  and  $w''_0$  into  
 701 a single vertex  $v'_i$  of  $U$ , and by merging the vertices  $w'_s$  and  $w''_s$  into a single vertex  $v''_j$   
 702 of  $U$ . The resulting sequence  $v'_i, w_1, \dots, w_{s-1}, v''_j$  forms a path in  $U$  connecting two faces  
 703  $\phi'$  and  $\phi''$ , replacing the face  $\phi$  of the planar embedding  $f$  of  $H$ , with respective boundary  
 704 walks  $(v'_0, v'_1, \dots, v'_r)$  and  $(v''_r, v''_{r-1}, \dots, v''_0)$ , where the vertices are ordered clockwise. These  
 705 transformations preserve the planarity of the embedding, that is,  $U$  is planar. Next, the two

706 cycles  $(v'_0, \dots, v'_r)$  and  $(v''_0, \dots, v''_r)$  can be identified, by merging each pair of nodes  $v'_k$  and  
 707  $v''_k$  into a single node  $v_k = \sigma_{G,U}^{-1}(\{v'_k, v''_k\})$  of  $G$ . As a result, the two faces  $\phi'$  and  $\phi''$  are  
 708 replaced by a handle, providing an embedding of  $G$  on  $\mathbb{T}_1$ . ◀

709 The outcome of Lemma 9 is that  $(H^*, f^*, \phi^*, B^*)$  is essentially a certificate that  $G$  can  
 710 be embedded on  $\mathbb{T}_1$  (up to also providing the “intermediate” splitting  $U^*$  resulting from  
 711 cycle-duplication). In the next section, we show how to generalize this construction for  
 712 deriving a certificate that a graph  $G$  can be embedded on  $\mathbb{T}_k$ ,  $k > 1$ .

713 The process described in the previous section for genus 1 can be generalized to larger  
 714 genus  $k \geq 1$ , as follows. Again, let  $G$  be a graph, and let  $f$  be a 2-cell embedding of  $G$  on  $\mathbb{T}_k$ .

### 715 3.2.3 The Face-Duplication Phase

716 Let  $\Sigma^{(0)} = \mathbb{T}_k$ . As for the torus, let  $C_1$  be a non-separating orientable cycle of  $G^{(0)} = G$ ,  
 717 and let us consider the embedding of  $G^{(1)} = G_{C_1}^{(0)}$  induced by  $f$ , on the surface  $\Sigma^{(1)} = \Sigma_{C_1}^{(0)}$   
 718 of genus  $k - 1$ . This operation can be repeated. Indeed, by Lemma 7, there exists a  
 719 non separating cycle  $C_2$  of  $G^{(1)}$ . The graph  $G^{(2)} = G_{C_2}^{(1)}$  can be embedded on the surface  
 720  $\Sigma^{(2)} = \Sigma_{C_2}^{(1)}$  with one face more than the number of faces of the embedding of  $G^{(1)}$  on  $\Sigma^{(1)}$ ,  
 721 and thus two more faces than the number of faces of the embedding of  $G$  on  $\mathbb{T}_k$ . By Lemma 5,  
 722  $\Sigma^{(2)}$  has thus genus  $k - 2$ . See Figure 1(a-b).

723 This process can actually be iterated  $k$  times, resulting in a sequence of  $k + 1$  graphs  
 724  $G^{(0)}, \dots, G^{(k)}$  where  $G^{(0)} = G$ , and a sequence of  $k + 1$  closed surfaces  $\Sigma^{(0)}, \dots, \Sigma^{(k)}$  where  
 725  $\Sigma^{(0)} = \mathbb{T}_k$ . Each graph  $G^{(i)}$  is embedded on the closed surface  $\Sigma^{(i)}$  of genus  $k - i$ , as follows.  
 726 The embedding of  $G^{(0)}$  on  $\Sigma^{(0)}$  is the embedding of  $G$  on  $\Sigma$ , and, for every  $i = 0, \dots, k - 1$ , the  
 727 embedding of  $G^{(i+1)}$  on  $\Sigma^{(i+1)}$  is induced by the embedding of  $G^{(i)}$  on  $\Sigma^{(i)}$ , after duplication  
 728 of a non-separating cycle  $C_{i+1}$  of  $G^{(i)}$  into two cycles  $C'_{i+1}$  and  $C''_{i+1}$ .

729 The closed surface  $\Sigma^{(k)}$  is of genus 0, i.e.  $\Sigma^{(k)}$  is homeomorphic to the sphere  $\mathbb{T}_0 = S^2$   
 730 (see Figure 1(b)). The graph  $G^{(k)}$  is therefore planar, for it contains  $k$  more faces than  
 731 the number of faces in  $G$ , as two new faces  $\phi'_i$  and  $\phi''_i$  are created at each iteration  $i$ , in  
 732 replacement to one face  $\phi_i$ , for every  $i = 1, \dots, k$ .

### 733 3.2.4 The Face-Reduction Phase

734 The objective is now to replace the  $2k$  faces  $\phi'_i, \phi''_i$ ,  $i = 0, \dots, k - 1$ , by a single face. For this  
 735 purpose, let us relabel these faces as  $\psi_1, \dots, \psi_{2k}$  (see Figure 1(c)) so that, for  $i = 1, \dots, k$ ,

$$736 \quad \phi'_i = \psi_{2i-1}, \text{ and } \phi''_i = \psi_{2i}.$$

737 Let  $\chi_1 = \psi_1$ . There exists a simple path  $P_1$  between the two faces  $\chi_1$  and  $\psi_2$ . Duplicating  
 738  $P_1$  preserves the fact that the graph  $G^{(k+1)} = G_{P_1}^{(k)}$  can be embedded on the sphere  $\mathbb{T}_0$ . By  
 739 this duplication, the two faces  $\chi_1$  and  $\psi_2$  are merged into a single face  $\chi_2$ . Now, there is a  
 740 simple path  $P_2$  between the two faces  $\chi_2$  and  $\psi_3$  (see Figure 1(d)). Again, duplicating  $P_2$   
 741 preserves the fact that the graph  $G^{(k+2)} = G_{P_2}^{(k+1)}$  can be embedded on the sphere  $\mathbb{T}_0$ , in  
 742 which the two faces  $\chi_2$  and  $\psi_3$  are now merged into a single face  $\chi_3$ . By iterating this process,  
 743 a finite sequence of graphs  $G^{(k)}, \dots, G^{(3k-1)}$  is constructed, where, for  $i = 0, \dots, 2k - 1$ ,  
 744 the graph  $G^{(k+i)}$  is coming with its embedding on  $\mathbb{T}_0$ , and with a set of special faces  
 745  $\chi_{i+1}, \psi_{i+2}, \dots, \psi_{2k}$ . A path  $P_{i+1}$  between  $\chi_{i+1}$  and  $\psi_{i+2}$  is duplicated for merging these  
 746 two faces into a single face  $\chi_{i+2}$ , while preserving the fact that  $G^{(k+i+1)} = G_{P_{i+1}}^{(k+i)}$  can be  
 747 embedded on the sphere  $\mathbb{T}_0$ .

748 Eventually, the process results in a single face  $\phi^* = \chi_{2k}$  of  $H^* = G^{(3k-1)}$  (see Figure 1(e)).  
 749 This face contains all duplicated vertices. The embedding  $f$  of  $G$  on  $\mathbb{T}_k$  induces a planar  
 750 embedding of  $H^*$  whose external face is  $\phi^*$  (see Figure 1(f)).

### 751 3.2.5 Certifying Genus at Most $k$

752 Conversely, for a graph  $G$  of genus  $k$ , an embedding of  $G$  on  $\mathbb{T}_k$  can be induced from the  
 753 embedding  $f^*$  of  $H^*$  on  $\mathbb{T}_0$ , and from the boundary walk  $B^*$  of  $\phi^*$ . The latter is indeed  
 754 entirely determined by the successive cycle- and path-duplications performed during the  
 755 whole process. It contains all duplicated vertices, resulting from the cycles  $C'_1, \dots, C'_k$  and  
 756  $C''_1, \dots, C''_k$ , and from the paths  $P'_1, \dots, P'_{2k-1}$  and  $P''_1, \dots, P''_{2k-1}$ . Note that the duplication  
 757 process for a vertex may be complex. A vertex may indeed be duplicated once, and then  
 758 one of its copies may be duplicated again, and so on, depending on which cycle or path  
 759 is duplicated at every step of the process. This phenomenon actually already occurred in  
 760 the basic case of the torus  $\mathbb{T}_1$  where the duplications of  $v_i$  and  $v_j$  were more complex than  
 761 those of the other vertices, and were also differing depending on whether  $i = j$  or not (see  
 762 Section 3.2). Figure 2 illustrates a case in which two cycles  $C_i$  and  $C_j$  share vertices and  
 763 edges in  $\mathbb{T}_2$ , causing a series of duplication more complex than the basic case illustrated on  
 764 Figure 1. In particular, a same vertex of  $H^*$  may appear several times on the boundary walk  
 765  $B^*$ , and a same edge of  $H^*$  may be traversed twice, once in each direction.

766 Let  $H$  be a splitting of a graph  $G$ , let  $f$  be a planar embedding of  $H$ , and let  $\phi$  be a  
 767 face of  $H$  embedded on  $\mathbb{T}_0$ . Let  $B = (u_0, \dots, u_N)$  be a boundary walk of  $\phi$ , and let  $\vec{B}$  be  
 768 an arbitrary reference direction given to  $B$ , say clockwise. Let  $\mathcal{U} = (U_0, \dots, U_{3k-1})$  be a  
 769 sequence of graphs such that  $U_0 = G$ ,  $U_{3k-1} = H$ , and, for every  $i \in \{0, \dots, 3k-2\}$ ,  $U_{i+1}$  is  
 770 a 2-splitting of  $U_i$ . The splitting of  $U_i$  into  $U_{i+1}$  is denoted by  $\sigma_i = (\alpha_i, \beta_i)$ . The following  
 771 extends the notion of global consistency defined in the case of the torus  $\mathbb{T}_1$ . We say that  
 772  $(G, H, \vec{B}, \mathcal{U})$  is *globally consistent* if the following two conditions hold.

- 773 **1. Path-duplication checking.** Let  $\chi_{2k} = \phi$ , with directed boundary walk  $\vec{B}(\chi_{2k}) =$   
 774  $\vec{B}$ . For every  $i = 0, \dots, 2k-1$ , there exist faces  $\chi_{i+1}, \psi_{i+2}, \dots, \psi_{2k}^{(i)}$  of  $U_{k+i}$ , with  
 775 respective directed boundary walks  $\vec{B}(\chi_{i+1}), \vec{B}(\psi_{i+2}^{(i)}), \dots, \vec{B}(\psi_{2k}^{(i)})$ , and there exist vertices  
 776  $u_1^{(i)}, \dots, u_t^{(i)}, v_1^{(i)}, \dots, v_r^{(i)}, w_0^{(i)}, \dots, w_s^{(i)}$ , and  $w_0''^{(i)}, \dots, w_s''^{(i)}$  of  $U_{k+i}$  such that
  - 777  $\vec{B}(\chi_{i+1}) = (w_0^{(i)}, \dots, w_s^{(i)}, v_1^{(i)}, \dots, v_r^{(i)}, w_s''^{(i)}, \dots, w_0''^{(i)}, u_1^{(i)}, \dots, u_t^{(i)})$ ;
  - 778  $\vec{B}(\psi_{i+1}^{(i)}) = (x, u_1^{(i)}, \dots, u_t^{(i)}, x)$  where  $x = \sigma_{k+i-1}^{-1}(\{w_0^{(i)}, w_0''^{(i)}\})$ ;
  - 779  $\vec{B}(\psi_{i+1}^{(i-1)}) = (y, v_1^{(i)}, \dots, v_r^{(i)}, y)$  where  $y = \sigma_{k+i-1}^{-1}(\{w_s^{(i)}, w_s''^{(i)}\})$ ;
  - 780  $\vec{B}(\psi_j^{(i-1)}) = \vec{B}(\psi_j^{(i)})$ .
- 781 **2. Cycle duplication checking.** Let  $\phi_1^{(k)} = \chi_1$ , and, for  $i = 2, \dots, k$ , let  $\phi_i^{(k)} =$   
 782  $\psi_{2i-1}^{(0)}$ . For  $i = 1, \dots, k$ , let  $\phi_i''^{(k)} = \psi_{2i}^{(0)}$ . For every  $i = 1, \dots, k$ , there ex-  
 783 ists faces  $\phi_1^{(i)}, \phi_1''^{(i)}, \dots, \phi_i^{(i)}, \phi_i''^{(i)}$  of  $U_i$  with respective directed boundary walks  
 784  $\vec{B}(\phi_1^{(i)}), \vec{B}(\phi_1''^{(i)}), \dots, \vec{B}(\phi_i^{(i)}), \vec{B}(\phi_i''^{(i)})$  such that
  - 785  $\vec{B}(\phi_i^{(i)}) = (v_0', v_1', \dots, v_r', v_0')$  and  $\vec{B}(\phi_i''^{(i)}) = (v_0'', v_r'', v_{r-1}'', \dots, v_1'', v_0'')$  for some  $r \geq 2$ ,
  - 786 with  $|\sigma_{i-1}^{-1}(\{v_j', v_j''\})| = 1$  for every  $j = 0, \dots, r$ ;
  - 787  $\vec{B}(\phi_j^{(i-1)}) = \vec{B}(\phi_j^{(i)})$ , and  $\vec{B}(\phi_j''^{(i-1)}) = \vec{B}(\phi_j''^{(i)})$ .

790 By the construction performed in Sections 3.2.3 and 3.2.4, for every graph  $G$  of genus  $k$ ,  
 791  $(G, H^*, \vec{B}^*, \mathcal{U}^*)$  is globally consistent, where  $\mathcal{U}^* = (G^{(0)}, \dots, G^{(3k-1)})$ . The following result  
 792 generalizes Lemma 9 to graphs of genus larger than 1.

793 ► **Lemma 10.** *Let  $H$  be a splitting of a graph  $G$ , and assume that there exists a planar*  
 794 *embedding  $f$  of  $H$  with a face  $\phi$  and a boundary walk  $B$  of  $\phi$ . Let  $\mathcal{U} = (U_0, \dots, U_{3k-1})$  be*  
 795 *a series of graphs such that  $U_0 = G$ ,  $U_{3k-1} = H$ , and, for every  $i \in \{0, \dots, 3k-2\}$ ,  $U_{i+1}$*   
 796 *is a 2-splitting of  $U_i$ . If  $(G, H, \vec{B}, \mathcal{U})$  is globally consistent, then  $G$  can be embedded on the*  
 797 *torus  $\mathbb{T}_k$ .*

798 **Proof.** Condition 1 in the definition of global consistency enables to recover a collection  
 799  $\psi_1, \dots, \psi_{2k}$  of faces of  $U_k$ . These faces are inductively constructed, starting from the face  $\phi$   
 800 of the planar embedding  $f$  of  $U_{3k-1} = H$ . At each iteration  $i$  of the induction,  $U_{k+i-1}$  has  
 801 faces  $\chi_i, \psi_{i+1}^{(i-1)}, \dots, \psi_{2k}^{(i-1)}$  obtained from the faces  $\chi_{i+1}, \psi_{i+2}^{(i)}, \dots, \psi_{2k}^{(i)}$  of  $U_{k+i}$  by separating  
 802 the face  $\chi_{i+1}$  into two faces  $\chi_i$  and  $\psi_{i+1}^{(i-1)}$  connected by a path, while preserving the other  
 803 faces  $\psi_{i+2}^{(i)}, \dots, \psi_{2k}^{(i)}$ . This operation preserves planarity, and thus, in particular,  $U_k$  is planar.

804 The directions of the boundary walks of the faces  $\psi_1, \dots, \psi_{2k}$  are inherited from the  
 805 original direction given to the boundary walk  $B$ . Condition 2 enables to iteratively merge  
 806 face  $\psi_{2i}$  with face  $\psi_{2i-1}$ ,  $i = 1, \dots, k$ , by identifying the vertices of their boundary walks  
 807 while respecting the direction of these walks, which guarantees that handles are created (and  
 808 not a Klein-bottle-like construction). The process eventually results in the graph  $U_0$  with  $k$   
 809 handles, providing an embedding of  $U_0 = G$  on  $\mathbb{T}_k$ . ◀

810 Thanks to Lemma 10, the overall outcome of this section is that the tuple

$$811 \quad c = (H^*, f^*, \phi^*, B^*, \mathcal{U}^*)$$

812 constructed in Sections 3.2.3 and 3.2.4 is a certificate that  $G$  can be embedded on  $\mathbb{T}_k$ . This  
 813 certificate  $c$  and its corresponding verification algorithm are however centralized. In the next  
 814 section, we show how to distribute both the certificate  $c$ , and the verification protocol.

## 815 **4 Proof-Labeling Scheme for Bounded Genus Graphs**

816 In this section, we establish our first main result.

817 ► **Theorem 11.** *Let  $k \geq 0$ , and let  $\mathcal{G}_k^+$  be the class of graphs embeddable on an orientable*  
 818 *closed surface of genus at most  $k$ . There is a proof-labeling scheme for  $\mathcal{G}_k^+$  using certificates*  
 819 *on  $O(\log n)$  bits in  $n$ -node graphs.*

820 The proof essentially consists of showing how to distribute the centralized certificate

$$821 \quad (H, f, \phi, B, \mathcal{U})$$

822 used in Lemma 10 for a graph  $G$ , by storing  $O(\log n)$  bits at each vertex of  $G$ , while allowing  
 823 the vertices to locally verify the correctness of the distributed certificates, that is, in particular,  
 824 verifying that  $(G, H, B, \mathcal{U})$  is globally consistent. The rest of the section is entirely dedicated  
 825 to the proof of Theorem 11. We start by defining the core of the certificates assigned to the  
 826 nodes, called *histories*. Then, we show how to distribute the histories so that every node  
 827 stores at most  $O(\log n)$  bits, and we describe the additional information to be stored in the  
 828 certificates for enabling the liveness and completeness properties of the verification scheme  
 829 to hold. Recall that the nodes of  $G$  are given arbitrary distinct IDs picked from a set of  
 830 polynomial range. The ID of node  $v \in V(G)$  is denoted by  $\text{id}(v)$ . Note that  $\text{id}(v)$  can be  
 831 stored on  $O(\log n)$  bits.

## 4.1 Histories

The description of the certificates is for positive instances, that is, for graphs  $G \in \mathcal{G}_k^+$ . For such an instance  $G$ , the prover performs the construction of Section 3.2.2, resulting in the series of 2-splitting graphs  $G^{(0)} = G, G^{(1)}, \dots, G^{(2k-2)}, G^{(2k-2)} = H^*$ , a planar embedding  $f$  of  $H^*$ , and the identification of a special face  $\phi^*$  in this embedding, with boundary walk  $B^*$ . The successive duplications experienced by a vertex  $v$  of the actual graph  $G$  during the face-duplication and face-reduction phases resulting in  $H^*$  can be encoded as a rooted binary tree unfolding these duplications, called *history*.

For every vertex  $v$  of  $G$ , the history of  $v$  is denoted by  $\mathbf{h}(v)$ . The history of  $v$  is a rooted binary tree of depth  $3k - 1$  (all leaves are at distance  $3k - 1$  from the root). For  $\ell = 0, \dots, 3k - 1$ , the level  $\ell$  of  $\mathbf{h}(v)$  consists of the at most  $2^\ell$  nodes at distance  $\ell$  from the root. The internal nodes of  $\mathbf{h}(v)$  with two children are called *binary* nodes, and the internal nodes with one child are called *unary*.

- For  $\ell = 0, \dots, k - 1$ , the edges connecting nodes of level  $\ell$  to nodes of level  $\ell + 1$  are corresponding to the duplication of the cycle  $C_{\ell+1}$  in  $G^{(\ell)}$  (cf. Section 3.2.3), and,

- for  $\ell = 0, \dots, 2k - 1$ , the edges connecting nodes of level  $k + \ell$  to nodes of level  $k + \ell + 1$  are corresponding to the duplication of the path  $P_{\ell+1}$  in  $G^{(k+\ell)}$  (cf. Section 3.2.4).

The nodes of  $\mathbf{h}(v)$  are provided with additional information, as follows.

### 4.1.1 Vertices and Adjacencies in the Splitting Graphs

For every  $\ell = 1, \dots, 3k - 1$ , every node  $x$  at level  $\ell$  in  $\mathbf{h}(v)$  is provided with the vertex  $u$  of  $G^{(\ell)}$  it corresponds to, after the duplications of  $v$  corresponding to the path from the root to  $x$ . In particular, each leaf of  $\mathbf{h}(v)$  is provided with the single vertex of  $H^* = G^{(3k-1)}$  it corresponds to. Specifically, each internal node  $x$  of  $\mathbf{h}(v)$  is provided with the set  $S_x$  of vertices of  $H^*$  marked at the leaves of the subtree of  $\mathbf{h}(v)$  rooted at  $x$ . For a leaf  $x$ ,  $S_x = \{u\}$ , where  $u$  is the avatar of  $v$  in  $H^*$  corresponding to the path from the root to the leaf  $x$ . Note that, for two distinct nodes at level  $\ell$  in  $\mathbf{h}(v)$ , we have  $S_x \cap S_y = \emptyset$ .

The  $3k - 1$  splittings successively performed starting from  $G$  are 2-splittings, from which it follows that every vertex of  $G$  is split a constant number of times for a fixed  $k$ . The  $\nu \geq 1$  avatars of  $v \in V(G)$  in  $H^*$  are labeled  $(\text{id}(v), 1), \dots, (\text{id}(v), \nu)$ . It follows that the  $\nu$  leaves of  $\mathbf{h}(v)$  are respectively labeled  $(\text{id}(v), 1), \dots, (\text{id}(v), \nu)$ . For every node  $x$  of  $\mathbf{h}(v)$ , each set  $S_x$  is a subset of  $\{(\text{id}(v), 1), \dots, (\text{id}(v), \nu)\}$ , and thus these sets  $S_x$  can be stored on  $O(\log n)$  bits.

Every node  $x$  of  $\mathbf{h}(v)$  at level  $\ell \in \{0, \dots, 3k - 1\}$ , which, as explained above, corresponds to a vertex of  $G^{(\ell)}$ , is also provided with the set  $N_x$  of the neighbors of  $S_x$  in  $G^{(\ell)}$ . The set  $N_x$  has the form  $N_x = \{X_1, \dots, X_d\}$  for some  $d \geq 1$ , where, for  $i = 1, \dots, d$ ,  $X_i$  is a vertex of  $G^{(\ell)}$  corresponding to a set of avatars in  $H^*$  of some neighbor  $w$  of  $v$  in  $G$ .

Since some vertices  $v \in V(G)$  may have arbitrarily large degree (up to  $n - 1$ ), the sets  $N_x$  may not be storable using  $O(\log n)$  bits. As a consequence, some histories may not be on  $O(\log n)$  bits, and may actually be much bigger. Nevertheless, a simple trick using the fact that graphs with bounded genus have bounded degeneracy (cf. Lemma 6) allows us to reassign locally the set  $N_x$  in the histories so that every node of  $G$  stores  $O(\log n)$  bits only.

### 4.1.2 Footprints

Every node  $x$  of  $\mathbf{h}(v)$  at level  $\ell \in \{0, \dots, 3k - 1\}$  is provided with a (possibly empty) set  $F_x$  of ordered triples of the form  $(X, Y, Z)$  where  $X \in N_x$ ,  $Y = S_x$ , and  $Z \in N_x$ , called *footprints*. Intuitively, each footprint encodes edges  $\{X, Y\}$  and  $\{Y, Z\}$  of  $G^{(\ell)}$  occurring in:

- a boundary walk of one of the faces  $\phi'_i$  or  $\phi''_i$ ,  $i = 1, \dots, \ell$ , if  $\ell \leq k$ , or

877 ■ a boundary walk of one of the faces  $\chi_{\ell-k}, \psi_{\ell-k+1}, \dots, \psi_{2k}$ , otherwise.

878 Note that these two edges are actually directed, from  $X$  to  $Y$ , and from  $Y$  to  $Z$ , reflecting  
879 that the boundary walk is traveled in a specific direction, inherited from some a priori  
880 direction, say clockwise, given to the boundary walk  $B^*$  of the face  $\phi^* = \chi_{2k}$  (hence the  
881 terminology “footprints”).

882 Note that a same vertex of  $G^{(\ell)}$  may appear several times in the boundary walk of a face,  
883 and a same edge may appear twice, once in every direction. Therefore, a same node  $x$  of  $h(v)$   
884 may be provided with several footprints, whose collection form the set  $F_x$ , which may be of  
885 non-constant size. On the other hand, for a fixed  $k$ , a constant number of boundary walks  
886 are under concern in total, from which it follows that even if a node  $x$  at level  $\ell$  of  $h(v)$  must  
887 store a non-constant number of footprints in  $F_x$ , each of  $x$ 's incident edges in  $G^{(\ell)}$  appears  
888 in at most two footprints of  $F_x$ . We use this fact, together with the bounded degeneracy of  
889 the graphs of bounded genus, for reassigning locally the sets  $F_x$  in the histories so that every  
890 node of  $G$  stores  $O(\log n)$  bits only.

### 891 4.1.3 Types

892 Last, but not least, for every node  $x$  of  $h(v)$ , each of the two (directed) edges  $(X, Y)$  and  
893  $(Y, Z)$  in every footprint  $(X, Y, Z)$  in  $F_x$  also comes with a *type* in

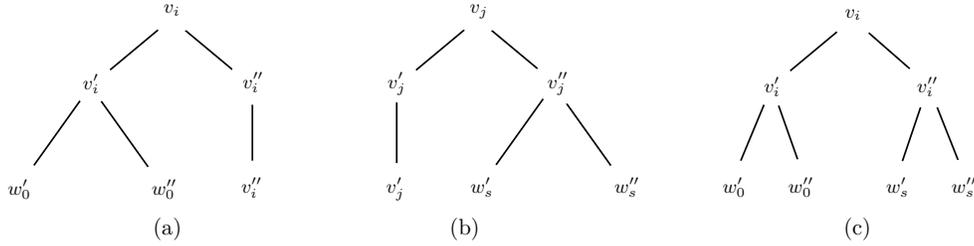
$$894 \quad \mathsf{T}_k = \{C'_1, \dots, C'_k, C''_1, \dots, C''_k, P'_1, \dots, P'_{2k-1}, P''_1, \dots, P''_{2k-1}\},$$

895 which reflects when this edge was created during the cycle- and path-duplications.

#### 896 Example.

897 Figure 12 provides examples of histories for some vertices of  $G$  in the case displayed on  
898 Figure 11. Figure 12(a-b) display the histories of  $v_i$  and  $v_j$  whenever  $i \neq j$ , while Figure 12(c)  
899 displays the histories of  $v_i = v_j$  whenever  $i = j$ . In this latter case, the leaves  $w'_0, w''_0, w'_s, w''_s$   
900 may be labeled as  $(\text{id}(v), 1), (\text{id}(v), 2), (\text{id}(v), 3), (\text{id}(v), 4)$ , respectively. Then  $v'_i$  is labeled  
901  $S_{v'_i} = \{(\text{id}(v), 1), (\text{id}(v), 2)\}$ , while  $v''_i$  is labeled  $S_{v''_i} = \{(\text{id}(v), 3), (\text{id}(v), 4)\}$ , and root is  
902 labeled  $S_{v_i} = \{(\text{id}(v), 1), (\text{id}(v), 2), (\text{id}(v), 3), (\text{id}(v), 4)\}$ . The neighborhoods  $N_x$  of these  
903 nodes  $x$  of  $h(v_i)$  are depending on the graphs  $G^{(0)} = G, G^{(1)}$ , and  $G^{(2)} = H^*$ . Assuming that  
904  $B^*$  is directed clockwise, as displayed on Figure 11(d), the leaf  $w'_0$  is provided with footprint  
905  $(v'_{i-1}, w'_0, w'_1)$  while the leaf  $w''_0$  is provided with footprint  $(w''_1, w''_0, v'_{i+1})$ . Similarly,  $w'_s$  and  
906  $w''_s$  are respectively provided with footprint  $(w'_{s-1}, w'_s, v''_{i-1})$  and  $(v''_{i+1}, w''_s, w''_{s-1})$ , where the  
907 various nodes in these footprints are encoded depending on their labels in  $H^*$ , which depend  
908 on the IDs given to the neighbors of  $v_i$  in  $G$ . The footprint at  $v'_i$  is  $(v'_{i-1}, v'_i, v'_{i+1})$ , while  
909 the footprint at  $v''_i$  is  $(v''_{i+1}, v''_i, v''_{i-1})$ . In both case, the directions of the edges are inherited  
910 from the initial clockwise direction of the boundary walk  $B^*$ . The directed edges  $(v'_{i-1}, v'_i)$   
911 and  $(v'_i, v'_{i+1})$  receives type  $C'_1$ , while the directed edges  $(v''_{i+1}, v''_i)$  and  $(v''_i, v''_{i-1})$  receives  
912 type  $C''_2$ . The four edges  $(v'_{i-1}, w'_0), (w''_0, v'_{i+1}), (v''_{i+1}, w''_s)$ , and  $(w'_s, v''_{i-1})$  are respectively  
913 inheriting the types  $C'_1, C'_1, C''_1$ , and  $C''_1$  of the four edges  $(v'_{i-1}, v'_i), (v'_i, v'_{i+1}), (v''_{i+1}, v''_i)$ , and  
914  $(v''_i, v''_{i-1})$ . The directed edges  $(w'_0, w'_1)$  and  $(w'_{s-1}, w'_s)$  receive type  $P'_1$ , while the directed  
915 edges  $(w''_1, w''_0)$  and  $(w''_s, w''_{s-1})$  receive type  $P''_1$ . Observe that the footprints are constructed  
916 upward the histories, while the types are assigned downward those trees.

917 We now detail how the footprints are constructed in general, and how the types are  
918 assigned to the edges of the footprints.



■ **Figure 12** Examples of histories.

#### 919 4.1.4 Construction of the Footprints

920 Let us give an arbitrary orientation, say clockwise, to the boundary walk  $B^*$  of the special  
 921 face  $\phi^*$  of  $H^*$ . This orientation induces footprints  $(\text{pred}(u), u, \text{succ}(u)) \in F_x$  given to every  
 922 leaf  $x$  of every history  $h(v)$ ,  $v \in V(G)$ . The vertex  $\text{pred}(u) \in V(H^*)$  is the predecessor  
 923 of the avatar  $u \in V(H^*)$  of  $v$  in  $H^*$ , and  $\text{succ}(u) \in V(H^*)$  is its successor. Note that  
 924 some leaves  $x$  have  $F_x = \emptyset$ , whenever the corresponding node  $u$  in  $H^*$  does not belong to  
 925 the boundary walk  $B^*$ . On the other hand, as a same node can be visited several times  
 926 when traveling along the boundary walk  $B^*$ , some leaves may be given several footprints  
 927  $(\text{pred}_1(u), u, \text{succ}_1(u)), \dots, (\text{pred}_d(u), u, \text{succ}_d(u))$  in  $F_x$ , for some  $d \geq 1$ . The footprints  
 928 provided to the internal nodes of the histories of the vertices of  $G$  are given in a way  
 929 consistent with the orientation of  $B^*$ . More specifically, the footprints are constructed  
 930 upward the histories, as follows.

931 Hereafter, the symbol “ $\xrightarrow{\ell}$ ” stands for the operation performed when going from level  
 932  $\ell - 1$  to level  $\ell$ , or vice-versa, from level  $\ell$  to level  $\ell - 1$ . For instance, for three sets  $S, S', S''$   
 933 of vertices from  $H^*$ , the relation

$$934 \quad S \xrightarrow{\ell} S', S''$$

935 states that the vertices  $S'$  and  $S''$  of  $G^{(\ell)}$  are the results of a cycle- or path-duplication  
 936 experienced by the vertex  $S$  occurring from  $G^{(\ell-1)}$  to  $G^{(\ell)}$ , i.e., the vertex  $S = S' \cup S''$  of  
 937  $G^{(\ell-1)}$  is split into two avatars,  $S'$  and  $S''$ , in  $G^{(\ell)}$ . If  $\ell \leq k$ , the split was caused by a  
 938 cycle-duplication, otherwise it was caused by a path-duplication. Similarly, for two footprints  
 939  $F'$  and  $F''$  at two nodes at level  $\ell$ , children of a same binary node, the relation

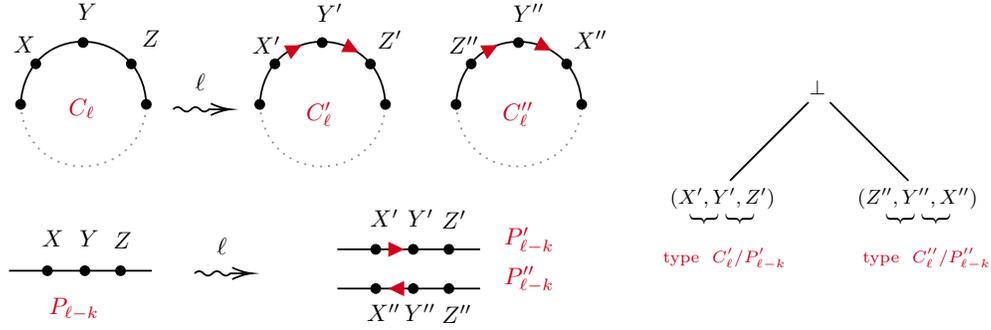
$$940 \quad F', F'' \xrightarrow{\ell} F$$

941 states that, when going upward a history, the two footprints  $F'$  and  $F''$  of level  $\ell$  generate  
 942 the footprint  $F$  at level  $\ell - 1$ .

943 Three rules, called *Elementary*, *Extremity*, and *Vacancy*, are applied for the construction  
 944 of the footprints. Their role is to “role back” the boundary walk  $B^*$  of the special face  $\phi^*$  in  
 945 the planar embedding of  $H^*$ . Each edge of the boundary walk  $B^*$  is indeed resulting from  
 946 some duplication, of either a cycle or a path. The footprints encode the histories of all edges  
 947 of the boundary walk  $B^*$  in all graphs  $G^{(\ell)}$ ,  $0 \leq \ell \leq 3k - 1$ , including when the edges were  
 948 created (referred to as the *types* of the edges), and what were their successive extremities  
 949 when those extremities are duplicated.

950 **Elementary rule.** Assuming  $X \xrightarrow{\ell} X', X''$ ,  $Y \xrightarrow{\ell} Y', Y''$ , and  $Z \xrightarrow{\ell} Z', Z''$ , the elementary  
 951 rule matches two footprints of two children  $Y'$  and  $Y''$ , and produces none at the parent  $Y$ :

$$952 \quad (X', Y', Z'), (Z'', Y'', X'') \xrightarrow{\ell} \perp.$$



■ **Figure 13** Footprint construction, and type assignment: Elementary rule.

953 The Elementary rule applies to the case of cycle duplication, as well as to the case of  
 954 path-duplication, but to the internal nodes of the path only (see Figure 13). When two  
 955 cycles are merged (as the opposite to cycle duplication), their faces are glued together, and  
 956 disappear. Similarly, when two paths are merged (as the opposite of path-duplication),  
 957 the resulting path is of no use, and it can be discarded. Note that the two footprints  
 958  $(X', Y', Z')$  and  $(Z'', Y'', X'')$  are ordered in opposite directions. This matches the  
 959 requirement for correctly glueing the borders of two faces in order to produce a handle  
 960 (see Figures 3 and 7). This also matches the way the two copies of a path  $P_i$  are traversed  
 961 when traveling along the boundary walk  $B^*$  in clockwise direction (cf. Eq. (1) and  
 962 Figure 8).

963 **Extremity rule.** This rule applies only for levels  $\ell > k$ . It has two variants, defined below.

964 *Single extremity rule.* Assuming  $X' \xrightarrow{\ell} X'$ ,  $X'' \xrightarrow{\ell} X''$ ,  $Y \xrightarrow{\ell} Y, Y''$ , and  $Z \xrightarrow{\ell} Z', Z''$ ,  
 965 the single extremity rule matches two footprints of two children  $Y'$  and  $Y''$ , and  
 966 produces one footprint at the parent  $Y$ :

$$967 \quad (X', Y', Z'), (Z'', Y'', X'') \xrightarrow{\ell} (X', Y, X'').$$

968 *Double extremity rule.* Assuming  $X' \xrightarrow{\ell} X'$ ,  $X'' \xrightarrow{\ell} X''$ ,  $Y \xrightarrow{\ell} Y', Y''$ ,  $Z' \xrightarrow{\ell} Z'$ , and  
 969  $Z'' \xrightarrow{\ell} Z''$ , the double extremity rule matches two footprints of two children  $Y'$  and  
 970  $Y''$ , and produces two footprints at the parent  $Y$ :

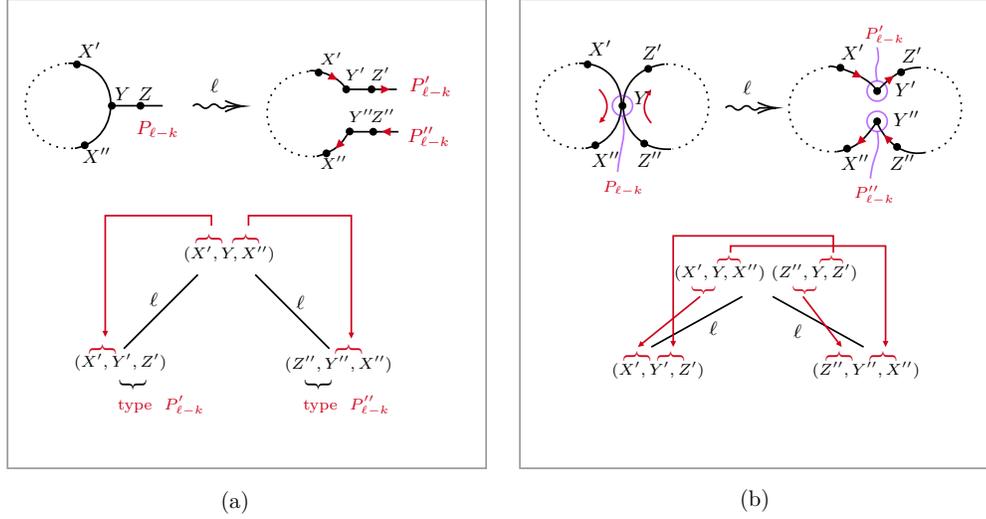
$$971 \quad (X', Y', Z'), (Z'', Y'', X'') \xrightarrow{\ell} \{(X', Y, X''), (Z'', Y, Z')\}.$$

972 The Extremity rule refers to path duplication only (i.e., to levels  $\ell > k$ ), as displayed  
 973 on Figure 14. It is dedicated to the extremities of the path considered at this phase  
 974 (see Figure 8). The Single extremity rule (cf. Figure 14(a)) handles the standard case  
 975 in which the path is not trivial (i.e., reduced to a single vertex), whereas the Double  
 976 extremity rule (cf. Figure 14(b)) handles the case in which the path connecting two  
 977 faces is reduced to a single vertex  $Y$  (i.e., the two corresponding cycles share at least  
 978 one vertex  $Y$ ). Then only the vertex  $Y$  is split during the path duplication, while its  
 979 four neighbors  $X', X'', Z'$ , and  $Z''$  remain intact.

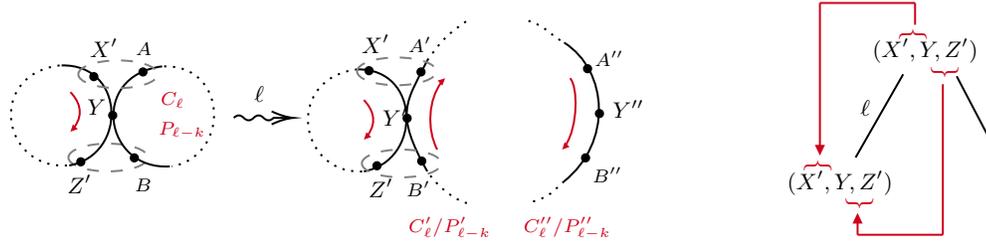
980 **Vacancy rule.** The vacancy rule simply forwards a footprint upward:

$$981 \quad (X', Y, Z') \xrightarrow{\ell} (X, Y, Z)$$

982 with  $X \xrightarrow{\ell} X', X''$  (resp.,  $Y \xrightarrow{\ell} Y', Y''$ , and  $Z \xrightarrow{\ell} Z', Z''$ ), unless  $X \xrightarrow{\ell} X$  (resp.,  $Y \xrightarrow{\ell} Y$ ,  
 983 and  $Z \xrightarrow{\ell} Z$ ), in which case  $X = X'$  (resp.,  $Y = Y'$ , and  $Z = Z'$ ).



■ **Figure 14** Footprint construction, and type assignment: Extremity rule.



■ **Figure 15** Footprint construction, and type assignment: Vacancy rule.

984 The Vacancy rule handles the case where one of the twin nodes carries a footprint  
 985  $(X', Y', Z')$  (resp.,  $(X'', Y'', Z'')$ ), which is copied to the parent node, after updating the  
 986 vertices in case the latter experienced duplications (see Figure 15).

#### 987 4.1.5 Assigning Types to Footprints

988 The types in  $\mathbb{T}_k$  are assigned to the edges of the footprints, downwards the histories, as  
 989 follows.

- 990 ■ If the footprints  $(X', Y', Z')$  and  $(Z'', Y'', X'')$  are matched by application of the Element-  
 991 ary rule at level  $\ell$ , then the two (directed) edges  $(X', Y')$  and  $(Y', Z')$  (resp.,  $(Z'', Y'')$   
 992 and  $(Y'', X'')$ ) of  $G^{(\ell)}$  are given type  $C'_\ell$  (resp.,  $C''_\ell$ ) if  $\ell \leq k$ , and  $P'_{\ell-k}$  (resp.  $P''_{\ell-k}$ )  
 993 otherwise. See Figure 13.
- 994 ■ If the footprints  $(X', Y', Z')$  and  $(Z'', Y'', X'')$  are matched by application of the Single  
 995 extremity rule at level  $\ell$ , then the two edges  $(X', Y)$  and  $(Y, X'')$  adopt the types of the  
 996 edges  $(X', Y')$  and  $(Y'', X'')$ , respectively, while the two edges  $(Y', Z')$  and  $(Z'', Y'')$  are  
 997 given type  $P'_{k-\ell}$  and  $P''_{k-\ell}$ , respectively. See Figure 14(a).
- 998 ■ If the footprints  $(X', Y', Z')$  and  $(Z'', Y'', X'')$  are matched by application of the Double  
 999 extremity rule at level  $\ell$ , then the four edges  $(X', Y')$ ,  $(Y', Z')$ ,  $(Z'', Y'')$ , and  $(Y'', X'')$   
 1000 adopt the types of the edges  $(X', Y)$ ,  $(Y, Z')$ ,  $(Z'', Y)$ , and  $(Y, X'')$ , respectively. See  
 1001 Figure 14(b)
- 1002 ■ If the footprint  $(X', Y', Z')$  is forwarded upward as  $(X, Y, Z)$  by application of the

1003 Vacancy rule, then  $(X', Y')$ , and  $(Y', Z')$  adopt the types of the edges  $(X, Y)$ , and  $(Y, Z)$ ,  
 1004 respectively. See Figure 15.

1005 We have now all the ingredients to state what will be proved as sufficient to certify that  
 1006 a graph  $G$  has genus at most  $k$ .

## 1007 4.2 Assignment of the Histories to the Certificates

1008 As it was mentioned in Section 4.1, the history  $h(v)$  of a node  $v$  of the actual graph  $G$  may  
 1009 not be on  $O(\log n)$  bits. The reason for that is that, even if  $G$  has a bounded genus  $k$ , the  
 1010 node  $v$  may have an arbitrarily large degree. As a consequence, the sum of the degrees of  
 1011 its  $v$ 's avatars in each of the graphs  $G^{(0)}, \dots, G^{(3k-1)}$  may be arbitrarily large. This has  
 1012 direct consequences not only on the memory requirement for storing the neighborhood  $N_x$   
 1013 of each node  $x \in h(v)$ , but also on the number of footprints to be stored in  $F_x$ . In both  
 1014 cases, this memory requirement may exceed  $O(\log n)$  bits. On the other hand, every graph  
 1015  $G$  of bounded genus is sparse, which implies that the average degree of  $G$ , and of all its  
 1016 splitting graphs  $G^{(0)}, \dots, G^{(3k-1)}$  is constant. Therefore, the average memory requirement  
 1017 per vertex  $v$  for storing all the histories  $h(v)$ ,  $v \in V(G)$ , is constant. Yet, it remains that  
 1018 some vertices  $v \in V(G)$  may have large histories, exceeding  $O(\log n)$  bits.

1019 The simple trick under this circumstances (cf., e.g., [21]) is to consider the space-complexity  
 1020 of the histories not per node of  $G$ , but per edge. Indeed, the space-complexity of the  
 1021 information related to each edge  $e$  of  $G$ , as stored in the histories, is constant, for *every*  
 1022 edge  $e$ . For instance, at a node  $x$  of level  $\ell$  in some historie  $h(v)$ , instead of storing  $N_x$  at  $v$ ,  
 1023 one could virtually store every edge  $\{S_x, S_y\}$ ,  $S_y \in N_x$ , on the edge  $\{v, w\}$  of  $G$ , where  $w$  is  
 1024 the neighbor of  $v$  in  $G$  with avatar  $S_y$  in  $G^{(\ell)}$ .

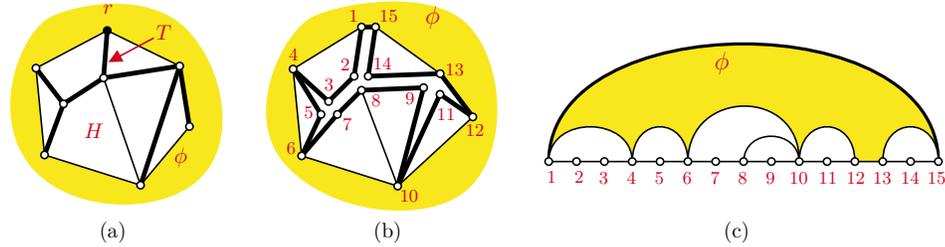
1025 Let us define a *line* proof-labeling scheme as a proof-labeling scheme in which certificates  
 1026 are not only assigned to the vertices of  $G$ , but also to the edges of  $G$  (i.e., to vertices of the  
 1027 line-graph of  $G$ ). In a line proof-labeling scheme, the vertices forge their decisions not only  
 1028 on their certificates and on the certificates assigned to their adjacent vertices, but also on the  
 1029 certificates assigned to their incident edges. Our interest for the concept of line proof-labeling  
 1030 scheme is expressed in the following result, after having recalled that, thanks to Lemma 6,  
 1031 every graph of genus at most  $k$  is  $d$ -degenerate for some constant  $d$  depending on  $k$ .

1032 **► Lemma 12.** *Let  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that  $f(n) \in \Omega(\log(n))$ . Let  $d \geq 1$ , and let  $\mathcal{G}$  be a graph  
 1033 family such that every graph in  $\mathcal{G}$  is  $d$ -degenerate. If  $\mathcal{G}$  has a line proof-labeling scheme with  
 1034 certificate size  $O(f(n))$  bits, then  $\mathcal{G}$  has a proof-labeling scheme with certificate size  $O(f(n))$   
 1035 bits.*

1036 **Proof.** Let  $(\mathbf{p}, \mathbf{v})$  be line proof-labeling scheme for  $\mathcal{G}$ . For  $G \in \mathcal{G}$ , the prover  $\mathbf{p}$  assigns  
 1037 certificate  $\mathbf{p}(v)$  to every node  $v \in V(G)$ , and certificate  $\mathbf{p}(e)$  to every edge  $e \in E(G)$ . Since  
 1038  $G$  is  $d$ -degenerate, there exists a node  $v$  of  $G$  with degree  $d_v \leq d$ . Let  $c(v)$  be the certificate  
 1039 of  $v$  defined as

$$1040 \quad c(v) = \left( \mathbf{p}(v), \{(\text{id}(u_1), \mathbf{p}(e_1)), \dots, (\text{id}(u_{d_v}), \mathbf{p}(e_{d_v}))\} \right),$$

1041 where  $u_1, \dots, u_{d_v}$  are the  $d_v$  neighbors of  $v$  in  $G$ , and, for every  $i = 1, \dots, d_v$ ,  $e_i = \{v, u_i\}$ .  
 1042 Since the IDs can be stored on  $O(\log n)$  bits, and since  $f(n) \in \Omega(\log n)$ , we get that  $c(v)$  can  
 1043 be stored on  $O(f(n))$  bits. This construction can then be repeated on the graph  $G' = G - v$ ,  
 1044 which still has degeneracy at most  $d$ . By iterating this construction, all nodes are exhausted,  
 1045 and assigned certificates on  $O(f(n))$  bits, containing all the information originally contained



■ **Figure 16** Illustration of the PLS for planarity in [21].

1046 in the node- and edge-certificates assigned by  $\mathbf{p}$ . We complete the proof by observing that,  
 1047 for every edge  $e = \{u, v\}$  of  $G$ , the certificate  $\mathbf{p}(e)$  assigned by  $\mathbf{p}$  to  $e$  can be found either in  
 1048  $c(u)$  or in  $c(v)$ . This suffices for simulating the behavior of  $\mathbf{v}$ , and thus for the design of a  
 1049 standard proof-labeling scheme for  $\mathcal{G}$ . ◀

### 1050 4.3 Certifying Planarity

1051 In this section, we show how to certify that  $H$  is a planar embedding with a special face  $\phi$   
 1052 with boundary walk  $B$ . For this purpose, we just need to slightly adapt a recent proof-labeling  
 1053 scheme for planarity [21].

1054 ► **Lemma 13.** *There exists a proof-labeling scheme for certifying that a given graph  $H$  has a*  
 1055 *planar embedding  $f$ , including a face  $\phi$  with boundary walk  $B$ .*

1056 **Proof.** Let  $H$  be a planar graph with a planar embedding  $f$ . The scheme for planarity  
 1057 in [21] constructs the certificates as follows (cf. Figure 16). Let  $T$  be an arbitrary spanning  
 1058 tree of  $H$ , and let us root  $T$  at a vertex  $r \in V(H)$  on the outer face  $\phi$ , as displayed on  
 1059 Figure 16(a). The tree  $T$  is “flattened” into a cycle  $C$  in a splitting  $H'$  of  $H$  by replacing  
 1060 every vertex  $v \in V(H)$  by as many vertices as the number of times  $v$  is visited by a DFS  
 1061 traversal of  $T$  starting from  $r$  (see Figure 16(b)). The scheme in [21] certifies the cycle  $C$ ,  
 1062 viewed as a path  $P$  whose two extremities are avatars of  $r$ , with respective DFS numbers 1  
 1063 and  $2n - 1$ , plus an edge connecting these two avatars (see Figure 16(c)). A property of  
 1064 this construction taken from [21] is that the vertices of  $H$  on the outer face  $\phi$  are those  
 1065 which have at least one avatar in  $H'$  such that no co-tree edges “jumps over it” when the  
 1066 vertices are displayed as on Figure 16(c). For instance, the avatars 1, 4, 6, 10, 12, 13, 15 have  
 1067 no co-tree edges jumping over them, and indeed these avatars are the ones of the vertices on  
 1068 the boundary of the outer face  $\phi$ . The scheme of [21] is precisely based on a local encoding  
 1069 of the “lower edge” jumping over every avatars in  $H'$ . It follows that this scheme suffices for  
 1070 certifying not only the planarity of  $H$ , but also that  $\phi$  is a face of  $H$  with boundary  $B$ . ◀

### 1071 4.4 Local Consistency

1072 Let  $H$  be a splitting of a graph  $G$ , let  $f$  be a planar embedding of  $H$ , and let  $\phi$  be a face of  
 1073  $H$  with boundary walk  $B$  directed, say, clockwise. The directed boundary walk  $B$  is denoted  
 1074 by  $\vec{B}$ . Let  $\mathbf{h}(G) = \{\mathbf{h}(v), v \in V(G)\}$  be a collection of histories for the vertices of  $G$ , of depth  
 1075  $3k - 1$ , for some  $k \geq 1$ . We say that  $(G, H, \vec{B}, \mathbf{h}(G))$  is *locally consistent* if the following  
 1076 holds.

- 1077 1. There exists a sequence of graphs  $U_0, \dots, U_{3k-1}$  with  $U_0 = G$ ,  $U_{3k-1} = H$ , and, for every  
 1078  $0 \leq \ell < 3k - 1$ ,  $U_{\ell+1}$  is a degree-2 splitting of  $U_\ell$ , such that, for every  $v \in V(G)$ , and for  
 1079 every  $\ell = 0, \dots, 3k - 1$ , every node  $x$  at level  $\ell$  of  $\mathbf{h}(v)$  satisfies that  $S_x$  is a vertex of  $U_\ell$ ,  
 1080 the neighborhood of  $S_x$  defined in  $N_x$  is consistent with the neighborhood of  $S_x$  in  $U_\ell$ ,  
 1081 and the footprints in  $F_x$  contains edges of  $U_\ell$ . Moreover, if  $x$  has two children  $x'$  and  $x''$   
 1082 in  $\mathbf{h}(v)$ , then there are exactly two footprints, one in  $E_{x'}$  and one in  $E_{x''}$ , for which the  
 1083 Elementary rule or the Extremity rule was applied, all the other footprints in  $E_{x'}$  and  
 1084  $E_{x''}$  being subject to the Vacancy rule. Furthermore, if  $x$  has a unique child  $x'$ , then all  
 1085 footprints in  $E_{x'}$  are subject to the Vacancy rule. Finally, the typing is consistent with  
 1086 the specified typing rules.
- 1087 2. The collection of footprints at the leaves of the histories in  $\mathbf{h}(G)$  can be ordered as  
 1088  $(x_0, y_0, z_0), \dots, (x_N, y_N, z_N)$  such that,  $y_i = z_{i-1} = x_{i+1}$  for every  $i = 0, \dots, N$ , and  
 1089  $\vec{B} = (y_0, \dots, y_N)$ .
- 1090 3. For every  $\ell = 1, \dots, 2k - 1$ , the following must be satisfied:
- 1091 a. the collection of footprints at the nodes at level  $k + \ell$  whose both edges  
 1092 have type  $P'_\ell$  (resp., type  $P''_\ell$ ) in the histories in  $\mathbf{h}(G)$  can be ordered as  
 1093  $(X'_0, Y'_0, Z'_0), \dots, (X'_{s_\ell}, Y'_{s_\ell}, Z'_{s_\ell})$  (resp.,  $(Z''_0, Y''_0, X''_0), \dots, (Z''_{s_\ell}, Y''_{s_\ell}, X''_{s_\ell})$ ), for some  $s_\ell \geq$   
 1094  $0$ , such that:
- 1095 i. for every  $i = 0, \dots, s_\ell$ ,  $Y_i \xrightarrow{k+\ell} \{Y'_i, Y''_i\}$ ;
- 1096 ii. for every  $i = 1, \dots, s_\ell$ ,  $Y'_i = Z'_{i-1}$  and  $Y''_i = Z''_{i-1}$ ;
- 1097 iii. for every  $i = 0, \dots, s_\ell - 1$ ,  $Y'_i = X'_{i+1}$  and  $Y''_i = X''_{i+1}$ ;
- 1098 b. the collection of footprints at the nodes at level  $k + \ell$  whose both edges have type  
 1099  $C'_{\lceil \frac{\ell+1}{2} \rceil}$  if  $\ell + 1$  is odd, or type  $C''_{\frac{\ell+1}{2}}$  if  $\ell + 1$  is even, can be ordered as  $(X_0, Y_0, Z_0), \dots,$   
 1100  $(X_{r_\ell}, Y_{r_\ell}, Z_{r_\ell})$ , for some  $r_\ell \geq 0$  such that, for every  $i = 1, \dots, r_\ell$ ,  $Y_i = Z_{i-1} = X_{i+1}$ ;
- 1101 c. the collection of footprints at the nodes at level  $k + \ell$  whose both edges have same  
 1102 type  $P'_1, P''_1, \dots, P'_{\ell-1}, P''_{\ell-1}, C'_1, C''_1, \dots, C'_{\lceil \ell/2 \rceil}, C''_{\lceil \ell/2 \rceil}$ , or  $C'_{(\ell+1)/2}$  if  $\ell + 1$  is even, can  
 1103 be ordered as  $(X_0, Y_0, Z_0), \dots, (X_{t_\ell}, Y_{t_\ell}, Z_{t_\ell})$ , for some  $t_\ell \geq 0$ , such that for every  
 1104  $i = 1, \dots, t_\ell$ ,  $Y'_i = Z'_{i-1}$  and  $Y''_i = Z''_{i-1}$ ;
- 1105 4. For every  $\ell = 1, \dots, k$ , the collection of footprints at the nodes at level  $\ell$  whose both edges  
 1106 have type  $C'_\ell$  (resp., type  $C''_\ell$ ) in the histories in  $\mathbf{h}(G)$  can be ordered as  $(X'_0, Y'_0, Z'_0), \dots,$   
 1107  $(X'_{r_\ell}, Y'_{r_\ell}, Z'_{r_\ell})$  (resp.,  $(Z''_0, Y''_0, X''_0), \dots, (Z''_{r_\ell}, Y''_{r_\ell}, X''_{r_\ell})$ ), for some  $r_\ell \geq 0$ , such that:
- 1108 a. for every  $i = 0, \dots, r_\ell$ ,  $Y_i \xrightarrow{\ell} \{Y'_i, Y''_i\}$ ;
- 1109 b. for every  $i = 1, \dots, r_\ell$ ,  $Y_i = Z_{i-1} = X_{i+1}$ ;

1110 By construction,  $(G, H^*, \vec{B}^*, \mathbf{h}^*(G))$  produced by encoding the unfolding of the embedding  
 1111 of  $G$  on  $\mathbb{T}_k$ , described in Section 3.2.2, is locally consistent. The following result shows that  
 1112 the local notion of historical consistency based on the histories fits with the global notion of  
 1113 historical consistency used in Section 3.2.2.

1114 ► **Lemma 14.** *Let  $H$  be a splitting of a graph  $G$ , let  $f$  be a planar embedding of  $H$ , let  $\phi$  be*  
 1115 *a face of  $H$  with boundary walk  $\vec{B}$  directed clockwise. Let  $\mathbf{h}(G)$  be a history of all the vertices*  
 1116 *in  $G$ . If  $(G, H, \vec{B}, \mathbf{h}(G))$  is locally consistent, then  $(G, H, \vec{B}, \mathcal{U})$  is globally consistent, where*  
 1117  *$\mathcal{U} = U_0, \dots, U_{3k-1}$  is a sequence of graphs enabling Condition 1 of the historical consistency*  
 1118 *of  $(G, H, \vec{B}, \mathbf{h}(G))$  to hold.*

1119 **Proof.** Thanks to Condition 1, for every  $0 \leq \ell < 3k - 1$ ,  $U_{\ell+1}$  is a degree-2 splitting of  $U_\ell$ .  
 1120 Moreover, by the consistence of the footprints and the typing in the histories, the splitting  
 1121 of from  $U_\ell$  to  $U_{\ell+1}$  is locally consistent at each node of  $U_i$  with the duplication of a cycle  
 1122 whenever  $\ell \leq k$ , and with the duplication of a path otherwise.

1123 Condition 2 in the definition of local consistency guarantees that the footprints at the  
 1124 leaves of the histories are correctly set, that is, they collectively encode the boundary walk  $B$ .

1125 Condition 3 guarantees that, for  $\ell = 1, \dots, 2k - 1$ , starting from  $\chi_{2k} = B$ , one can  
 1126 iteratively decompose the boundary walk of the face  $\chi_{\ell+1}$  of  $U_{k+\ell+1}$  into a boundary walk  
 1127 of a face  $\psi_{\ell+1}$  of  $U_{k+\ell}$ , a boundary walk of a face  $\chi_\ell$  of  $U_{k+\ell}$ , and the duplication of a  
 1128 path in  $U_{k+\ell}$  connecting  $\chi_\ell$  to  $\psi_{\ell+1}$ . It follows that  $2k$  faces  $\psi_1, \dots, \psi_{2k}$  of  $U_\ell$  have been  
 1129 identified. Since, the merging of the  $2k - 1$  paths successively identified in the graphs  $U_{k+\ell}$ ,  
 1130  $\ell = 1, \dots, 2k - 1$  preserves planarity, the graph  $U_k$  is planar.

1131 Moreover, each of the boundary walks of the faces  $\psi_1, \dots, \psi_{2k}$  is oriented in a direction  
 1132 inherited from the clockwise orientation of  $B$ , as guaranteed by the Elementary, Extremity,  
 1133 and Vacancy rules satisfied by the footprints, whose validity are themselves guaranteed by  
 1134 Condition 1. Condition 4 guarantees that the  $2k$  faces  $\psi_1, \dots, \psi_{2k}$  of  $U_k$  can be reordered as  
 1135  $k$  pairs  $(\phi'_i, \phi''_i)$ ,  $i \in \{1, \dots, k\}$  that can be successively merged for creating handles. More  
 1136 specifically, for  $i = k, k - 1, \dots, 1$ , Condition 4 guarantees that the boundary walks of  $\phi'_i$  and  
 1137  $\phi''_i$  are directed such that, by identifying the vertices of  $U_i$  that are split of vertices in  $U_{i-1}$ ,  
 1138 a handle is created, resulting in  $U_i$  embedded in  $\mathbb{T}_{k-i}$ . ◀

## 1139 4.5 Existence and Unicity of the Paths and Cycles

1140 Our proof-labeling scheme relies on a collection of paths and cycles in the graphs  
 1141  $G^{(0)}, \dots, G^{(3k-1)}$ . The footprints and types encode these paths and cycles locally. One  
 1142 needs to guarantee the existence and unicity of each path and cycle, in each graph  $G^{(i)}$ ,  
 1143  $i = 0, \dots, 3k - 1$ . The next lemma, which is standard, achieve this task.

1144 ▶ **Lemma 15.** *Let  $G$  be a graph, and let  $P$  (resp.,  $C$ ) be a (non-necessary simple) directed path  
 1145 (resp., cycle) in  $G$ . Assume each vertex  $v$  of  $P$  (resp.,  $C$ ) is given a triple  $(\text{pred}(v), v, \text{succ}(v))$ ,  
 1146 where  $\text{pred}(v)$  and  $\text{succ}(v)$  are the predecessor and successor of  $v$  in  $P$  (resp.,  $C$ ). If  $v$  is an  
 1147 extremity of  $P$ , then  $\text{pred}(v) = \perp$  or  $\text{succ}(v) = \perp$ , or both  $\text{pred}(v) = \perp$  and  $\text{succ}(v) = \perp$  in  
 1148 case  $P$  is reduced to  $v$ . There exists a proof-labeling scheme with certificates on  $O(\log n)$  bits  
 1149 that guarantees the existence and unicity of  $P$ .*

1150 **Proof.** Let  $P$  be a directed path in  $G$ . The proof-labeling scheme uses a spanning tree  $T$  of  
 1151  $G$  rooted at the starting vertex  $v_0$  of  $P$ . Every vertex  $v$  is given the ID of its parent  $p(v)$   
 1152 in  $T$  ( $v_0$  has  $p(v_0) = \perp$ ). The tree  $T$  is certified by providing a certificate to every node  $v$   
 1153 containing a pair  $(\text{id}(v_0), d(v))$ , where  $d(v)$  is the distance from  $v$  to  $v_0$  in  $T$ . Every vertex  $v$   
 1154 checks that it is given the same root-ID as its neighbors in  $G$ , and that  $d(p(v)) = d(v) - 1$ .  
 1155 Every node that is given one or many triples  $(\text{pred}(v), v, \text{succ}(v))$  checks that, for each of  
 1156 them,  $\text{pred}(\text{succ}(v)) = v$  and  $\text{succ}(\text{pred}(v)) = v$ . (Of course, every such vertex  $v$  also checks  
 1157 consistence of the triples given to it, including the fact that  $\text{pred}(v) \neq \text{succ}(v)$  unless they  
 1158 are both equal to  $\perp$ , that it is not given the same successor in two different triples, etc.). If  
 1159 one of the tests is not passed at a vertex, this vertex rejects, otherwise it accepts. The case  
 1160 of a cycle  $C$  is treated the same, where the spanning tree  $T$  is rooted at any vertex of  $C$ . It  
 1161 is easy to check that this standard proof-labeling scheme satisfies both completeness and  
 1162 soundness. ◀

## 1163 4.6 Verification Procedure

1164 We now have all ingredients for describing our proof-labeling scheme for  $\mathcal{G}_k^+$ ,  $k \geq 0$ . First, we  
 1165 describe the certificates assigned to the vertices of a graph  $G$  of genus  $k$ . The main part of the  
 1166 certificate of  $v$  is the history  $h(v)$ , as constructed in Section 4.1. As mentioned in Section 4.2,

1167 a history may require more than just  $O(\log n)$  bits. However, Lemma 12 has shown how to  
 1168 resolve this issue, so that histories can be spread out among the vertices in a way guaranteeing  
 1169 that every vertex stores  $O(\log n)$  bits, and, in a single round of communication with its  
 1170 neighbors, every node  $v$  can recover its entire history. More importantly even, although a  
 1171 vertex  $v$  may not be able to recover the whole history of each of its neighbors in a single  
 1172 round, yet it can recover from each neighbor  $w$  the part of  $h(w)$  corresponding to every edge  
 1173 between an avatar of  $v$  and an avatar of  $w$ , which is sufficient to check the consistency of the  
 1174 neighborhoods, footprints, etc., in all graphs  $G^{(0)}, \dots, G^{(3k-1)}$  used in the construction. In  
 1175 addition, the certificate of every vertex is provided with the information enabling to check  
 1176 planarity of  $H = G^{(3k-1)}$  (cf. Lemma 13), and to guarantee the existence and unicity of all  
 1177 the directed cycles  $C'_i, C''_i, i = 1, \dots, k$ , and all directed paths  $P'_j, P''_j, j = 1, \dots, 2k - 1$  (cf.  
 1178 Lemma 15). The vertices can then check local consistency, as specified in Section 4.4. Since  
 1179  $G$  has genus  $k$ , it follows that, whenever the prover assigns the certificates appropriately, all  
 1180 vertices pass all tests, and therefore all vertices accept. Completeness is therefore satisfied by  
 1181 the scheme.

1182 Soundness is guaranteed by Lemmas 10 and 14. Indeed, the latter lemma shows that if  
 1183 the vertices are given certificates that are consistent, and in particular for which the histories  
 1184 are locally consistent, then global consistency is also guaranteed. And the former lemma  
 1185 says that if global consistency is satisfied then the graph can be embedded on  $\mathbb{T}_k$ . Therefore,  
 1186 if a graph  $G$  cannot be embedded on  $\mathbb{T}_k$ , then global consistency cannot be satisfied, which  
 1187 means that the local consistency of the histories cannot be satisfied either, and therefore,  
 1188 at least one vertex of  $G$  fails to pass all tests, and rejects. This completes the proof of  
 1189 Theorem 11.

## 1190 **5 Proof-Labeling Scheme for Bounded Non-orientable genus Graphs**

1191 This section is entirely dedicated to the proof of our second main result.

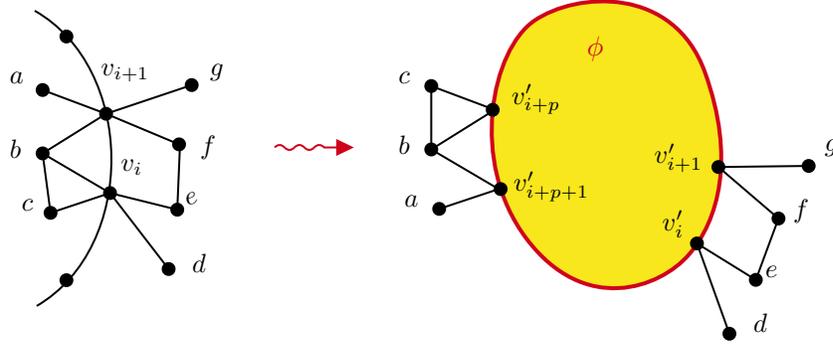
1192 **► Theorem 16.** *Let  $k \geq 0$ , and let  $\mathcal{G}_k^-$  be the class of graphs with non-orientable genus at*  
 1193 *most  $k$ , i.e., embeddable on a non-orientable closed surface of genus at most  $k$ . There is a*  
 1194 *proof-labeling scheme for  $\mathcal{G}_k^-$  using certificates on  $O(\log n)$  bits in  $n$ -node graphs.*

1195 The proof-labeling scheme for  $\mathcal{G}_k^-$  is based on the same ingredients as the one for  
 1196  $\mathcal{G}^+$  in Theorem 11 (e.g., Lemma 4 is used in replacement of Lemma 3, etc.). However,  
 1197 new ingredients must be introduced for handling the cross-caps from which non-orientable  
 1198 surfaces result. The proof will thus mainly consist in describing these new ingredients, and  
 1199 in explaining their interactions with the ingredients used for establishing Theorem 11. We  
 1200 start by defining the notion of *doubling* performed on cycles.

### 1201 **5.1 Doubling of a Non-Orientable Cycle**

1202 Let us assume that we are given an embedding of a graph  $G$  on a non-orientable closed  
 1203 surface  $\Sigma$  of genus  $k$ , and let  $D = (v_0, v_1, \dots, v_{p-1}, v_p = v_0)$  be a non-orientable cycle of  $G$ .  
 1204 Note that a non-orientable cycle is non-separating. The graph  $G_D$  is obtained by *doubling*  $D$ ,  
 1205 i.e., by multiplying its length by 2. This doubling of  $D$ , and the canonical embedding of  $G_D$   
 1206 on a closed surface  $\Sigma_D$ , are obtained as follows (see Figure 17 for an illustration).

- 1207 **■** Each vertex  $v_i, 0 \leq i < p$ , is split into two vertices  $v'_i$  and  $v'_{p+i}$  in such a way that  
 1208  $D' = (v'_0, v'_1, v'_2, \dots, v'_{2p-1}, v'_{2p} = v'_0)$  is a cycle of  $G_D$ , which forms a boundary walk of a  
 1209 face  $\phi$  of  $X_D$ .



■ **Figure 17** Doubling a non-orientable cycle.

- 1210 ■ The neighbors of each vertex  $v_i$  in  $G \setminus D$ ,  $0 \leq i < p$ , are shared between  $v'_i$  and  $v'_{i+p}$  in  $G_D$ ,  
 1211 as follows. The left and right sides of  $D$  can be defined locally, i.e., in the neighborhood  
 1212 of each (embedded) edge  $\{v_i, v_{i+1}\}$  of  $D$ . The edges incident to  $v'_i$  and  $v'_{i+1}$  in  $G_D$  (and,  
 1213 by symmetry, the edges incident to  $v'_{i+p}$  and  $v'_{i+p+1}$ ) correspond to the edges incident to  
 1214  $v_i$  and  $v_{i+1}$  on the same side of  $D$  in  $G$  according to the local definition of left and right  
 1215 sides in the neighborhood of  $\{v_i, v_{i+1}\}$ .  
 1216 ■ The vertices  $v'_i$  and  $v'_{i+p}$  have no other neighbors.

1217 We now show how to unfold  $\mathbb{P}_k$ , as we did for unfolding  $\mathbb{T}_k$  in the oriented case.

## 1218 5.2 Unfolding $\mathbb{P}_k$ for $k \geq 1$

1219 Let  $G$  be a graph with a 2-cell embedding  $f$  on  $\mathbb{P}_k$ . The unfolding of  $G$  has three phases,  
 1220 and only the first one, called *doubling phase* is new. The second phase is a face-duplication  
 1221 phase, and the third phase is a face-reduction phase, identical to those described in the case  
 1222 of orientable surfaces. The doubling phase is as follows. Let  $\Sigma^{(0)} = \mathbb{P}_k$ , and let  $D_1$  be a  
 1223 non-orientable cycle of  $G^{(0)} = G$ . Let us consider the embedding of  $G^{(1)} = G_{D_1}^{(0)}$  induced  
 1224 by  $f$ , on the surface  $\Sigma^{(1)} = \Sigma_{D_1}^{(0)}$ . There are two cases, both using Lemma 5:

- 1225 ■ If  $\Sigma^{(1)}$  is non-orientable, then  $\Sigma^{(1)}$  is homeomorphic to  $\mathbb{P}_{k-1}$ ;  
 1226 ■ Otherwise,  $\Sigma^{(1)}$  is homeomorphic to  $\mathbb{T}_{\frac{k-1}{2}}$ .

1227 In the first case, a doubling operation is repeated on  $G^{(1)}$ , using a non-orientable cycle  $D_2$  of  
 1228  $G^{(1)}$ . Doubling operations are performed iteratively until an embedding on an orientable  
 1229 surface is reached. Formally, there exists a sequence of  $m+1$  graphs  $G^{(0)}, \dots, G^{(m)}$ ,  $m \leq k$ ,  
 1230 respectively embedded on closed surfaces  $\Sigma^{(0)}, \dots, \Sigma^{(m)}$ , such that, for  $0 \leq i < m$ , there exists  
 1231 a non-orientable cycle  $D_{i+1}$  of  $G^{(i)}$  such that  $G^{(i+1)} = (G^{(i)})_{D_{i+1}}$ , and  $\Sigma^{(i+1)} = (\Sigma^{(i)})_{D_{i+1}}$   
 1232 (up to homeomorphism). Necessarily, for  $0 \leq i < m$ ,  $\Sigma^{(i)} = \mathbb{P}_{k-i}$  (up to homeomorphism),  
 1233 and  $\Sigma^{(m)} = \mathbb{T}_{(k-m)/2}$ , thanks to Lemma 5. When  $\Sigma^{(m)}$  is reached,  $G^{(m)}$  contains  $m$  special  
 1234 faces, whose boundary walks are resulting from the successive doubling of  $D_1, \dots, D_m$ ,  
 1235 respectively. The doubling phase is then completed.

1236 The face duplication phase starts, initialized with the embedding of  $G^{(m)}$  on  $\Sigma^{(m)}$ . Let  
 1237  $k' = \frac{k-m}{2}$ . The duplication phase is performed, as in Section 3.2.3. Specifically, there exists  
 1238 a sequence of  $k'+1$  graphs  $G^{(m)}, \dots, G^{(m)+k'}$ , respectively embedded on closed surfaces  
 1239  $\Sigma^{(m)}, \dots, \Sigma^{(m)+k'}$ , such that, for  $0 \leq i < k'$ , there exists a non-separating cycle  $C_{i+1}$  of  
 1240  $G^{(m+i)}$  such that  $G^{(m+i+1)} = G_{C_{i+1}}^{(m+i)}$ , and  $\Sigma^{(m+i+1)} = \Sigma_{C_{i+1}}^{(m+i)}$ . Necessarily, for  $0 \leq i \leq k'$ ,  
 1241  $\Sigma^{(m+i)} = \mathbb{T}_{k'-i}$  up to homeomorphism, thanks to Lemma 5. In particular,  $\Sigma^{(m+k')} = \mathbb{T}_0$ .

1242 When  $\Sigma^{(m+k')}$  is reached,  $G^{(m+k')}$  contains  $2k' + m$  special faces, whose boundary walks are  
 1243 resulting from the successive doubling of the cycles  $D_1, \dots, D_m$ , and from the duplications  
 1244 of the cycles  $C_1, \dots, C_{k'}$ . At this point, the face-duplication phase is completed.

1245 The face-reduction phase starts, as in Section 3.2.4, in order to merge the  $2k' + m = k$   
 1246 special faces of  $G^{(m+k')}$  into a single face. Let us denote the  $2k' + m = k$  special faces of  
 1247  $G^{(m+k')}$  by  $\psi_1, \dots, \psi_k$ . Let  $\psi_1 = \chi_1$ . There exists a sequence of paths  $P_1, \dots, P_{k-1}$  such  
 1248 that, for  $1 \leq i \leq k-1$ , the duplication of  $P_i$  merges  $\chi_i$  and  $\psi_{i+1}$  in a single face  $\chi_{i+1}$ . A  
 1249 sequence of planar graphs  $G^{(m+k')}, \dots, G^{(m+k'+k-1)}$  results from these merges, where, for  
 1250  $0 \leq i < k-1$ ,  $P_{i+1}$  is a path of  $G^{(m+k'+i)}$ , and  $G^{(m+k'+i+1)} = G_{P_{i+1}}^{(m+k'+i)}$ . For  $1 \leq i \leq k-1$ ,  
 1251  $G^{(m+k'+i)}$  has  $k-i$  special faces  $\chi_{i+1}, \psi_{i+2}, \dots, \psi_k$ . In particular,  $G^{(m+k'+k-1)}$  has a unique  
 1252 special face  $\chi_{k-1}$ .

1253 To summarize, as in Section 3.2.2, the embedding  $f$  of  $G$  in  $\mathbb{P}_k$  induces a planar embedding  
 1254 of  $H^* = G^{(m+k'+k-1)}$  whose external face is  $\phi^* = \chi_{k-1}$ . The boundary of face  $\phi^*$  contains  
 1255 all the vertices obtained by splittings resulting from doublings or duplications.

### 1256 5.3 Certifying Non-Orientable Genus at Most $k$

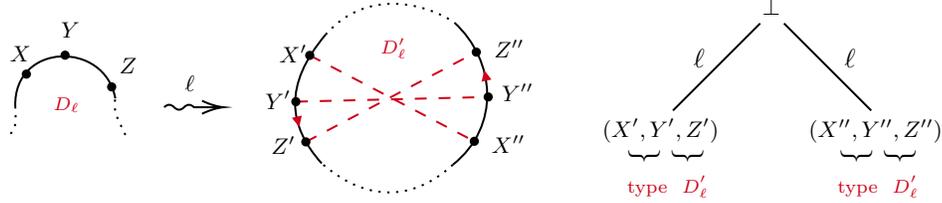
1257 Conversely, for a graph  $G$  of non-orientable genus  $k$ , an embedding of  $G$  in  $\mathbb{P}_k$  can be induced  
 1258 from the embedding  $f^*$  of  $H^*$  on  $\mathbb{T}_0$ , and from the boundary walk  $B^*$  of  $\phi^*$ . The latter is  
 1259 indeed entirely determined by the successive cycle-duplications, path-duplications, and cycle  
 1260 doublings performed during the whole process. It contains all duplicated vertices resulting  
 1261 from the cycles  $D'_1, \dots, D'_m$ , the cycles  $C'_1, \dots, C'_{k'}$  and  $C''_1, \dots, C''_{k'}$ , and from the paths  
 1262  $P'_1, \dots, P'_{k-1}$  and  $P''_1, \dots, P''_{k-1}$ .

1263 Now, let  $H$  be a splitting of a graph  $G$ , let  $f$  be a planar embedding of  $H$ , and let  $\phi$   
 1264 be a face of  $H$  embedded on  $\mathbb{T}_0$ . Let  $B = (u_0, \dots, u_N)$  be a boundary walk of  $\phi$ , and let  
 1265  $\vec{B}$  be an arbitrary direction given to  $B$ , say clockwise. Let  $\mathcal{U} = (U_0, \dots, U_{m+k'+k-1})$ , with  
 1266  $m + 2k' = k$  and  $m \geq 1$ , be a sequence of graphs such that  $U_0 = G$ ,  $U_{m+k'+k-1} = H$ , and,  
 1267 for every  $i \in \{0, \dots, m+k'+k-1\}$ ,  $U_{i+1}$  is a 2-splitting of  $U_i$ . The splitting of  $U_i$  into  
 1268  $U_{i+1}$  is denoted by  $\sigma_i = (\alpha_i, \beta_i)$ . The definition of global consistency of  $(G, H, \vec{B}, \mathcal{U})$ , in the  
 1269 case of orientable surfaces, can trivially be adapted to the case of non-orientable surfaces  
 1270 by revisiting conditions 1 and 2, of Section 3.2.5, in such a way that the indices correspond  
 1271 to the unfolding of  $\mathbb{P}_k$ . We thus say that  $(G, H, \vec{B}, \mathcal{U})$  is *globally consistent* for  $\mathbb{P}_k$  if the  
 1272 (revisited) conditions 1 and 2 in Section 3.2.5 hold, plus the following additional condition  
 1273 corresponding to the doubling phase:

- 1274 ■ **Cycle doubling checking.** For every  $i = 1, \dots, \ell$ , there exist faces  $\phi_1^{(i)}, \phi_2^{(i)}, \dots, \phi_i^{(i)}$   
 1275 of  $U_i$  with respective directed boundary walks  $\vec{B}(\phi_1^{(i)}), \vec{B}(\phi_2^{(i)}), \dots, \vec{B}(\phi_i^{(i)})$  such that
  - 1276 ■  $\vec{B}(\phi_i^{(i)}) = (v'_0, v'_1, \dots, v'_{2p-1}, v'_{2p} = v'_0)$  with, for  $0 \leq j < p$ ,  $\sigma_{i-1}^{-1}(\{v'_j, v'_{j+p}\}) \in$   
 1277  $V(U_{i-1})$ ;
  - 1278 ■ for  $j = 1, \dots, i-1$ ,  $\sigma_{i-1}(\vec{B}(\phi_j^{(i-1)})) = \vec{B}(\phi_j^{(i)})$ .

1279 By the construction of Section 5.2, for every graph  $G$  of non-orientable genus  $k$ ,  
 1280  $(G, H^*, \vec{B}^*, \mathcal{U}^*)$  is globally consistent for  $\mathbb{P}_k$ , where  $\mathcal{U}^* = (G^{(0)}, \dots, G^{(m+k'+k-1)})$ . The  
 1281 following lemma is the analog to Lemma 10 for non-orientable surface. Its proof is essentially  
 1282 the same as the proof of Lemma 10, in which an argument should be added, for handling  
 1283 cycle doublings, that is, for identifying opposite vertices of the cycle  $D'_i$  in order to create a  
 1284 cross-cap. The details are omitted.

1285 ► **Lemma 17.** *Let  $H$  be a splitting of a graph  $G$ , and assume that there exists a planar*  
 1286 *embedding  $f$  of  $H$  with a face  $\phi$  and a boundary walk  $B$  of  $\phi$ . Let  $m, k'$  be integers such that*



■ **Figure 18** The cross-cap rule.

1287  $1 \leq m \leq k$  and  $m + 2k' = k$ , and let  $\mathcal{U} = (U_0, \dots, U_{m+k'+k-1})$  be a series of graphs such that  
 1288  $U_0 = G$ ,  $U_{m+k'+k-1} = H$ , and, for every  $i \in \{0, \dots, m+k'+k-2\}$ ,  $U_{i+1}$  is a 2-splitting of  
 1289  $U_i$ . If  $(G, H, \vec{B}, \mathcal{U})$  is globally consistent for  $\mathbb{P}_k$ , then  $G$  can be embedded on  $\mathbb{P}_k$ .

1290 Thanks to Lemma 17, the overall outcome of this section is that the tuple  $c =$   
 1291  $(H^*, f^*, \phi^*, B^*, \mathcal{U}^*)$  constructed in Section 5.2 is indeed a certificate that  $G$  can be em-  
 1292 bedded on  $\mathbb{P}_k$ .

## 1293 5.4 From Centralized Certificate to Local Certificate

The method to distribute the centralized certificates uses the same approach and the same tools as those used in Section 4 in the orientable case. Only the differences are pointed out in this section. In the non-orientable case, the set of types is

$$S_k = \{D'_1, \dots, D'_\ell, C'_1, \dots, C'_{k'}, C''_1, \dots, C''_{k'}, P'_1, \dots, P'_{k-1}, P''_1, \dots, P''_{k-1}\}.$$

1294 The footprints and their construction are identical to the orientable case, except that a  
 1295 cross-cap rule is introduced (see Figure 18).

1296 **Cross-cap rule.** Assuming  $X \xrightarrow{\ell} X', X''$ ,  $Y \xrightarrow{\ell} Y', Y''$ , and  $Z \xrightarrow{\ell} Z', Z''$ , the cross-cap rule  
 1297 matches two footprints of two children  $Y'$  and  $Y''$ , and produces none at the parent  $Y$ :

$$1298 (X', Y', Z'), (X'', Y'', Z'') \xrightarrow{\ell} \perp.$$

1299 The cross-cap rule applies to the case of identifying opposite vertices of the boundary of  
 1300 a face, in the reverse operation of doubling. The corresponding face disappears, and their  
 1301 boundaries can be discarded.

1302 The assignments of types to footprints is performed in the same as in Section 4, and the  
 1303 same distributed algorithm is used for checking the planarity of  $H$ . An important difference  
 1304 with the orientable case appears in the definition of the local consistency of distributed  
 1305 certificates (previously defined in Section 4.4). Again, an additional condition is introduced,  
 1306 for reflecting the creation of cross-caps.

1307 ■ For every  $\ell = 1, \dots, m$ , the collection of footprints at the nodes at level  $\ell$  whose  
 1308 both edges have type  $D'_\ell$  in the histories in  $\mathbf{h}(G)$  can be ordered as  $(X'_0, Y'_0, Z'_0), \dots,$   
 1309  $(X'_{2r_\ell-1}, Y'_{2r_\ell-1}, Z'_{2r_\ell-1})$ , for some  $r_\ell \geq 1$ , such that:

- 1310 1. for every  $i = 0, \dots, r_\ell - 1$ ,  $Y_i \xrightarrow{\ell} \{Y'_i, Y''_{i+r_\ell}\}$ ;
- 1311 2. for every  $i = 0, \dots, 2r_\ell - 1$ ,  $Y_i = Z_{i-1} = X_{i+1}$  (where indices are taken modulo  $2r_\ell$ );

1312 The following lemma is the analog of Lemma 14, but for non-orientable surfaces. Its proof is  
 1313 identical to the proof of Lemma 14, with an additional argument, stating that the conditions  
 1314 added for handling non-orientable surfaces enable opposite vertices of the face surrounded by  
 1315  $D'_\ell$  in  $U_\ell$ ,  $1 \leq \ell \leq 2k - 1$ , to be identified for creating a cross-cap in  $U_{\ell-1}$ .

1316 ► **Lemma 18.** *Let  $H$  be a splitting of a graph  $G$ , let  $f$  be a planar embedding of  $H$ , let  $\phi$*   
 1317 *be a face of  $H$  with boundary walk  $\vec{B}$  directed clockwise. Let  $h(G)$  be a history of all the*  
 1318 *vertices in  $G$ . If  $(G, H, \vec{B}, h(G))$  is locally consistent, then  $(G, H, \vec{B}, \mathcal{U})$  is globally consistent,*  
 1319 *where  $\mathcal{U} = U_0, \dots, U_{m+k'+k-1}$  is a sequence of graphs enabling the global consistency of*  
 1320  *$(G, H, \vec{B}, h(G))$  to hold.*

## 1321 5.5 Verification Procedure

1322 The verification procedure is similar to the one described in Section 4.6, and is therefore  
 1323 omitted.

## 1324 6 Conclusion

1325 In this paper, we have designed proof-labeling schemes for the class of graphs of bounded  
 1326 genus, as well as for the class of graphs with bounded non-orientable genus. All our schemes  
 1327 use certificates on  $O(\log n)$  bits, which is optimal, as it is known that even certifying  
 1328 the class of planar graphs requires proof-labeling schemes with certificates on  $\Omega(\log n)$   
 1329 bits [21]. The existence of “compact” proof-labeling schemes (i.e., schemes using certificates  
 1330 of polylogarithmic size) for other classes of sparse graphs is still not known. In particular,  
 1331 proving or disproving the existence of such a scheme for  $H$ -minor-free graphs appears to  
 1332 be a challenging problem. Indeed, Robertson and Seymour’s decomposition theorem states  
 1333 that every  $H$ -minor-free graph can be expressed as a tree structure of “pieces”, where each  
 1334 piece is a graph that can be embedded in a surface on which  $H$  cannot be embedded, plus  
 1335 a bounded number of so-called *apex* vertices, and a bounded number of so-called *vortex*  
 1336 subgraphs. The decomposition theorem provides a powerful tool for the design of (centralized  
 1337 or distributed) algorithms. However, this theorem is not a characterization, that is, there are  
 1338 graphs that are not  $H$ -minor-free, and yet can be expressed as a tree structure satisfying the  
 1339 required properties (surfaces of bounded genus, bounded number of apices, bounded number  
 1340 of vortices, etc.). It follows that, although Robertson and Seymour’s decomposition theorem  
 1341 should most probably play a crucial role for designing a compact proof-labeling scheme for  
 1342  $H$ -minor-free graphs (if such a scheme exists), this development may require identifying  
 1343 additional properties satisfied by these graphs.

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