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HOW DO THEY ADD UP? THE INTERACTION BETWEEN THE PLACEBO AND

TREATMENT EFFECT: A SYSTEMATIC REVIEW.

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BULLET-POINT SUMMARY

The total "drug" effect is equal to its "active" effect plus its placebo effect. This is

known as the additive model.

In 1994, Kleijnen et al. showed that placebo effect (non-specific effect) can interact

with the pharmacological effect of drug (specific effect) in 10 trials; thereby

questioning the validity of the additive model in randomized clinical trials.

• Since then, the implications of these findings have not changed the way we evaluate

treatments or drug-specific effects in clinical trials.

Our study found only 30 clinical studies studying the interaction between specific and

placebo effect. Among this scarce evidence, 60% of the studies included healthy

subjects.

• In over 50% of the studies, the studies conclude that there is interaction (rather than

additivity) between the two effects, though the type of interaction (antagonistic, reversal

or synergistic) varies.

The findings of this up-to-date review support the idea that the treatment effect is not a

straightforward sum of drug specific and placebo effects. This should be considered

when designing clinical trials and analysing efficacy and treatment response data.

ABSTRACT

Aim: The placebo effect and the specific effect are often thought to add up (additive model). Whether

additivity holds can dramatically influence the external validity of a trial. This assumption of additivity

was tested by Kleijnen et al. in 1994 but the data produced since then has not been synthetized. In this

review, we aimed to systematically review the literature to determine whether additivity held.

Methods: We searched Medline and PsychInfo up to 10/01/2019. Studies using the balanced placebo

design (BPD), testing two different strengths of placebos, were included. The presence of interaction

was evaluated by comparing each group in BPD with analysis of variance or covariance.

Results: 30 studies were included and the overall risk of bias was high: four found evidence of additivity and 16 studies found evidence of interaction (seven had evidence of positive additivity).

Conclusion: Evidence of additivity between placebo and specific features of treatments was rare in included studies. We suggest interventions for placebo-sensitive ailments should be tested in trials designed to take interactions seriously once an exploratory RCTs has proven their efficacy with sufficient internal validity.

Keywords: Clinical trials, Evidence-based practice, Placebo, Therapeutic alliance, Treatment outcome, Drug effect.

INTRODUCTION

The total treatment effect is assumed to be the sum of its specific effect and of "non-specific", or "placebo" effects [1-3]. This is known as the additive model [4]. However, it has been noted since at least the 1960s that the placebo and treatment effects can interact [5,6,13,14]. If they interact, the specific treatment and placebo effects combine in ways that can be greater than the sum of the parts (supra-additive or synergistic), less than the sum of the parts (sub-additive or antagonistic) [7] or even reverse (qualitative interaction) the overall treatment effect [8,9-12]. The difference between these models is illustrated in Figure 1.

Fig 1. Additive versus Interactive model

In 1994, Kleijnen et al.[15] reviewed the potential evidence for interaction in ten studies. They found that specific and non-specific effects can at times be synergistic or antagonistic, thereby rendering overly reductive the presumed additive model of randomized clinical trials (RCT) [4,15]. For example, Bergmann et al. [7] showed that the strength of the analgesic effect of naproxen depended on whether patients were correctly informed (and consent given) or not (p value interaction < 0.10). Their results are illustrated in Figure 2. However, not all attempts to identify interactions found evidence of interaction [16]. In a 2-by-2 factorial, randomized, placebo-controlled, double-blind trial, chronic pain patients attending an outpatient clinic were randomized to receive a single oral dose of 50mg tramadol or placebo, and they were further randomized to receive positive or neutral information, verbally expressed by the physician, regarding the expected analgesic effect of the drug. However, the tramadol did not outperform the placebo, making it impossible to detect interactions. Overall, the clinical trials Kleijnen et al.[15] identified had small populations and low quality. Also, a number of studies investigating additivity have been published since then which test the clinical pertinence of the placebo model as discussed by Fava et al [17]. A recent review by Coleshill et al. [18] tested how placebo analgesia interacted with active analgesic effects and identified seven studies suggesting that additivity didn't hold in placebo analgesia. The review only included seven studies and concluded that data was missing to conclude properly on the subject.

Fig 2. Bergmann et al. results as published in 1994

In this study we aim to update the findings from Kleijnen et al., by systematically reviewing the available, more recent literature to determine whether additivity model of specific and non-specific effects may be accepted as a general model.

METHODS

Our study protocol is available by contacting the study authors and is available in OSF Registries (https://osf.io/r5tzc). We followed PRISMA guidelines.

Eligibility criteria

This review included any randomised trials using balanced placebo design (BPD), whereby there are at least two 'intensities' of placebo effects (see Table 1). We included trials with any type of participants (clinical patients or healthy volunteers). To be comparable with Kleijnen et al.'s earlier (1994) review, we excluded trials of alcohol, tobacco, acupuncture, and homeopathy and we only included BPD trials (excluding pragmatic trials and other alternative designs). The BPD is a two-by-two factorial design and is described in Table 1 [19-22]. It allows researchers to study the effect of the patient's expectation and the effect of the drug itself. In these trials, some patients in the treatment group are told they receive the treatment, and others are told they receive placebo, which generates two different strengths of belief that the treatment will work. Likewise, some patients in the placebo group are told that they are receiving a placebo, while others are told they are receiving a treatment. If additivity holds, then, the effect of the specific elements of the treatment should not change as a result of what patients are told. That is (from Table 1) the difference C-A should be the same as D-B. Any statistically significant deviation from this means that additivity did not hold for that specific trial.

Table 1. Illustration of a Balanced Placebo Design

Information sources

We searched Medline and PsycInfo from 1964 (inception of Medline) to the 10th of January 2019.

Search

The search equation was: (("Placebo Effect"[MeSH]) OR placebos[MeSH Terms])) OR "active placebo response")) AND (((("expectancies") OR "expectancy") OR "expectation")))) NOT "alcohol") NOT "smoking") NOT "acupuncture") AND Clinical Trial[ptyp])) OR (drug/placebo interaction AND Clinical Trial[ptyp])) OR ("balanced placebo design" AND Clinical Trial[ptyp]).

We also searched the bibliographies of each eligible study, and searched for publications by the main authors of the trials included.

Study selection and data collection process

The searches were carried out independently by two researchers (RB and RB) and results were then pooled if possible. The two same researchers then read the full-text of the selected studies and extracted the data into spread-sheets which were then compared. In the event of doubt or disagreement a third researcher (FG) was planned to provide resolution, but this was not required.

Data items

The following data was extracted: study design, treatment and placebo used, analysis of risk of bias, number of study participants, endpoints, results about interaction between the specific effect and the placebo effect, and the authors' conclusion about the existence of interactions.

Summary measures and Synthesis of results

We predicted there would be a high risk of bias on average because Kleijnen et al included ten studies at high risk of bias in 1994. We took this into consideration when planning to pool our results: statistical analysis of interaction was planned with only low and-or intermediate risk of bias studies. The initial strategy was to calculate the effect sizes of treatments and placebos for each intensity of placebo administration (in accordance with Cochrane methods[24]. We initially planned to pool our results but this was not possible due to lack of sufficient data in the included studies.

After the initial database search, and when analysing the data, we decided to present the results according to context (clinical context or healthy volunteers).

Risk of bias in individual studies

Analysis of bias risk was planned for each study. We used the Revised Cochrane risk-of-bias tool for randomized trials RoB 2.0 [23]. We took into account: randomization, effects of the intervention on unblinding, missing data, primary endpoint measurement and transcription of study results. See Appendix 1 for detailed risk analysis.

Interactive model

There are three possible types of interactions: synergistic, antagonistic or reversal (qualitative interaction). In case of antagonistic interaction, the total effect of a treatment is inferior to the sum total of the placebo effect and the specific effect of the treatment, whereas in the synergistic model, the total effect of a treatment is superior to the sum total of the placebo effect and the specific effect [6,10,18]. In case of reversal of effect (or qualitative interaction), the placebo effect will reverse the specific effect (like when pain is experienced when a topical analgesic is applied with nocebo information in the trial by Aslaksen et al) [8].

RESULTS

Study selection

Figure 3 is a flow-chart illustrating the selection process of studies for this review. Our search identified 1744 articles; only thirty studies were eligible for inclusion [7,8,13,16,20,25-49]. A considerable amount of these studies (40%) were pain studies.

Fig 3. Flow-chart illustrating the selection process

Study characteristics and Risk of bias within studies

Study characteristics are presented in Table 2, and a more detailed version is available Appendix 2.

Table 2: Study characteristics and results.

Results of individual studies

The 30 included studies were published between 1959 and 2017. Of these, 19 (63%) involved healthy volunteers [8,20,26-35,37-40,44,48,49], with six of these using painful stimulus [8,31-33,37,40]. Eleven other studies tested symptomatic patients [7,13,16,25,36,41,43,45-47]: six for pain management [7,16,25,36,41,45], two for psychological disorders [13,46], two for asthma symptoms [42-43] and, one for sexual disorders [47]. Pain, whether provoked for the study or not, was the outcome in 40% of studies included (12 out of 30).

The number of patients included varied from 13 to 835 (median 70.5).

The presence or not of interaction was evaluated in most included studies by comparing the variables in each group in BPD design with analysis of variance (ANOVA) or covariance (ANCOVA)[8,13,18,20,25-34,36,38,42-46,48]. When it was detailed, the level of significance chosen was 0.05 [8,20,25-28,30,32,33,38,39] (except for Bergmann et al. at 0.10)[7]. Linear regression models were also used to demonstrate interaction [8,24,35, 38-41].

Three studies did not sufficiently detail the statistical analysis [16, 37, 47].

Synthesis of results

Our review allowed us to include twenty-two new studies that were not included in Kleijnen et al.'s review. However, only eight [7,13,20,45-49] of the ten studies included by Kleijnen et al. could be reanalysed (the two others were unavailable [50,51]).

As illustrated in Table 2, 16 studies found interaction between treatment effect and placebo effect [7,13,27,28,30,31,33,38-42,44-46,48]: seven with a synergistic model [13,27,33,38-40,48], six with an antagonistic model [7,28,30,31,41,42,44-46]. Four studies provided evidence of additivity [8,25,36,37].

There was evidence of effect reversal in two studies. In the study by Alasken et al. [8], informed participants were told that the eutectic mixture of local anaesthetics (EMLA) cream would exacerbate pain, and so it did. Similarly, in the study by Flaten et al. [35], the calming effect of a beta blocker was reversed once participants were informed that a stimulant treatment would be applied.

The fourteen other studies found no significant interaction [8,16,20,25,26,29,32,34-37,43,47,49]. The lack of evidence for interactions in some studies was due to the fact that there was no effect at all [16,20,26,29,32,34,35,43,47]. In the other studies, the hypothesis was not tested [8,25,36,37,47].

Statistical meta-analysis of the interaction was not carried out due to lack of available data (means and standard deviation were missing).

Risk of bias across studies

Risk of bias was evaluated as low for seven studies [25,27,32,33,35,40,43], intermediate for four [8,31,37,41], and high for the rest [13,16,20,26,28-30,34,36,38,39,42,44-49].

Amendment to the initial protocol: analysis of results according to context

This was not planned a priori but the studies were set either in clinical context (symptomatic patients) or in laboratories (healthy volunteers). Among the 19 carried out in laboratories [8,20,26-35,37-40,44,48,49], ten (52%) showed an interaction. Among the 11 carried out in clinical context [7,13,16,25,36,41-43,45-47], six (54%) showed an interaction.

DISCUSSION

Summary of results

There was evidence of interaction in over half our sample. The trials included were of poor quality overall and 18 out of 30 included healthy volunteers making it difficult to judge the clinical pertinence of our results. Indeed, interaction can only exist if there is a specific AND a placebo effect. On the one hand, some situations are "sensitive" to the placebo effect [52] especially in cases of perceived disorders, such as pain[52], nausea[52], anxiety[52], coughing[53], and shortness of breath[54]. On the other hand, in situations where neither the drug nor the placebo is effective, no interaction (or additivity for that matter) can take place. To our knowledge, there is no direct proof showing that the placebo effect exists for hard outcomes, such as morbidity/mortality [52]. The high prevalence of studies on healthy volunteers may be the result of ethical limitations.

Comparison with other studies

Our work confirms and adds to the earlier systematic review by Kleijnen et al. [15], and a more recent literature review carried out in 2018 by Coleshill et al. [18] (which only included studies pertaining to pain). The evidence of effect reversal corroborates the initial experiments of Stewart Wolf in the 1950's [55] as well as those of more recent studies[56].

Limitations

The study had limitations. The included studies were small (only 9 had over 100 participants) and of poor quality. Publication bias was also possible. Although the placebo effect is a subject that has received considerable attention, this is not the case for interaction between the placebo effect and the specific effect. However, this difficulty had been anticipated in our research protocol. We had decided to restrict our initial research to facilitate systematic analysis of the bibliographies of the included studies and of the authors of several relevant publications. For example, we identified two studies which supported the interactive model but they were not included in our analysis because no test and no interpretation of the interaction were included in the publication [57,58]. However, negative studies may not have been published. Selective reporting was another potential problem. While several endpoints were often measured in the studies, interaction was analysed for only one endpoint.

Moreover, most (19/30; 63%) of the experimentations took place in a laboratory setting and involved healthy volunteers, a factor possibly limiting extrapolation of the results to routine clinical practice. Intensity of the placebo effect was probably higher in patients presenting with clinical symptoms such as pain [59]. However, an analysis of the data in two subgroups found that interaction presented similarly in both contexts. In the end, we were unable to undertake our planned meta-analysis, the data being too fragmented to pool. Indeed, for ¾ of the studies, we were unable to obtain the necessary data to carry out a meta-analysis according to Cochrane standards.

Consequences and implications

In spite of its limitations, our study shows that additivity cannot be the default assumption, at least in trials where placebo effects exist. The existence of interaction between pharmacological effect and placebo effect has consequences for medical practice, and clinical trials in particular; the effect of a treatment can no longer be considered independently of supposedly non-specific factors. BPD trials should be carried out to better evaluate these factors that could modify the interaction, statistical simulations could also be used to optimise the study design. Indeed, a recent expert consensus recommended a number of attitudes to be adopted in clinical practice to maximize treatment effects and minimize adverse effects [60]. In clinical practice, this reinforces the need for a biopsychosocial therapeutic model [60,61]. It becomes of utmost importance to understand how psychobiological factors affect therapeutic outcome to maintain or regain the trust of patients in medical science, as argued by Benedetti et al [62] As suggested by Berna et al. [33] and also Schenck et al. [40], a minimal placebo

intensity may be necessary to get a treatment response. On the contrary, minimizing the placebo effect with an un-empathetic approach [60], may decrease treatment response. At the most, there may be an inverted effect of the drug depending on the information given [8, 34].

The presence of interactions also implies that the external validity of trial results (in areas that are placebo-sensitive) cannot be assumed [63-65]. This extends beyond traditional worries about external validity. Indeed, instead of focusing on the potentially different responses to interventions in trial and target populations, our analysis revealed that the very difference between intervention and placebo cannot be assumed to be stable across trial and target populations. Our sample showed that, albeit in rare cases, the effect direction of the intervention-placebo difference can be reversed [66-68]. Pragmatic trials would overcome the worries related to external validity that our analysis illustrates. In France, for example, the Haute Autorité de Santé (French National Authority for Health) has mandated post-registration meta-analyse to test glifozins (SGLT2 inhibitors, anti-diabetic drugs) against older anti-diabetic drugs [69]. The former have been submitted to solid placebo-controlled trials but the latter did not. Comparing different treatment strategies, post-registration, as prescribed in clinical practice, would allow a better analysis of the treatment effect with an evaluation of the nocebo and placebo effects specific to each treatment strategy.

Moreover, interactions may explain the variability observed between RCTs and "real world evidence" [64,65,70]. For example, a recent systematic review involving 347 trials (89,183 patients) compared trials that used placebo run-in periods with trials that did not [71]. In these trials, patients who respond to placebo in the run-in period are excluded from the eventual trial. The authors found that the drug-placebo difference was smaller in trials that used placebo run-in periods. Whereas an additive model would predict that the drug-placebo difference was constant, our results offer a plausible explanation for the findings of this systematic review. Since some patients in routine practice will be placebo responders, trials that use placebo run-in periods are not representative, and, to estimate an intervention's real world effects, alternatives such as pragmatic trials or enabling technologies should be considered.

Finally, interaction between the treatment effect and the placebo effect challenges the concept of a "specific" effect of a treatment and of its "intrinsic" effect [72-77]. Any and every therapeutic intervention can be considered as "complex" [76,77].

Conclusion

The therapeutic effect of a treatment can be increased, decreased, or even reversed depending on the intensity of the placebo effect. Because placebo effects are likely to differ in trial and "real-world" contexts [70], interventions for placebo-sensitive ailments may have very different specific effects in trials than they do in actual practice. To overcome this problem,

interventions for placebo-sensitive ailments should be tested in pragmatic trials once an exploratory RCTs has proven their efficacy with sufficient internal validity.

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CONTRIBUTORS

RB: conceived the study, organised the research, verified the methodology, interpreted the results and wrote the initial draft.

JH: provided methodological guidance, helped interpret the results and critically reviewed the final draft of the manuscript.

RB: organised the database search, helped with the methodology and the critical review of the first draft of the manuscript.

FN: participated in the critical review of the manuscript and reread the final draft, helped interpret the results and critically reviewed the final draft of the manuscript.

BF: participated in the critical review of the manuscript and reread the final draft, helped interpret the results and critically reviewed the final draft of the manuscript.

G H-G: participated in the critical review of the manuscript and reread the final draft

WI: participated in the critical review of the manuscript and reread the final draft

FG: provided methodological guidance, participated in the critical review of the manuscript and reread the final draft, verified the methodology, interpreted the results.

NJ: participated in the critical review of the manuscript and reread the final draft.

CB: interpreted the results, translated the initial draft into English, responded to the reviewers critiques, managed the project and is the corresponding author.

All authors read and approved the final manuscript.

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TABLES

	Told placebo	Told treatment
Received placebo	Α	В
Received	С	D
treatment		

Table 1. Balanced Placebo Design

Table 2. Study characteristics and results. IM: intra-muscular – VAS: visual analog scale – EMLA: Eutectic Mixture of Local Anesthetics.

Table 2 a: Trials including patients

Trials	Population	Information modifying the power of the placebo	Treatment group	Endpoints	Interaction	Risk of
		effect			found? (which	bias
					model?)	
Faasse et al.	87 patients	Oral information provided on the treatment brand	Ibuprofen	Pain	Yes (antagonistic)	Unclear
$(2016)^{41}$	with	administered: minimized or maximized situations				
	chronic					
	headaches					
Bergmann et al.	49 cancer	Oral information provided or not on the study	Naproxen	Pain	Yes (antagonistic)	High
$(1994)^7$	patients	procedure: neutral or maximized situations				
Wise et al. (2009) ⁴²	601 poorly	Oral information provided on the treatment	Montelukast	Peak expiratory	Yes (antagonistic)	High
	controlled	administered, its brand and its color: neutral or		flow, Spirometry,		
	asthmatics	maximized situations		and 4 self-		
				assessment		
				asthma scales.		
Levine et al.	96 patients	Hidden administration of treatments, manually or	Naloxone	Pain	Yes (antagonistic)	High
$(1984)^{45}$	having	by a machine: minimized, neutral or maximized				
	undergone	situations				
	dental					
	extraction					

Uhlenhuth et al.	52	Neutral or positive attitude concerning the	Meprobamate or	Improvement	Yes (synergistic)	High
$(1959)^{13}$	psychiatric	treatments administered: neutral or maximized	Phenobarbital	perceived by		
	patients	situations		patients,		
	suffering			assessment by a		
	from			psychiatrist and a		
	anxiety			scale grouping		
				together 45		
				symptoms		
Uhlenhuth et al.	138 patients	Neutral or positive attitude concerning the	Meprobamate in	Modifications on	Yes (antagonistic)	High
$(1966)^{46}$	referred to	treatments administered : neutral or maximized	neutral or	different scales		
	psychiatric	situations	maximized			
	clinic		situation			
Kam-Hansen et al.	66 chronic	Oral information on the treatment administered :	Razatriptan	Pain	No (additive)	Low
$(2014)^{25}$	migraine	minimized, neutral or maximized situations				
	patients					
Kemeny et al.	55 poorly	Oral information provided on the treatment	Salmeterol	Concentration of	No(no effect)	Low
$(2007)^{43}$	controlled	administered: neutral or maximized situations		methacholine		
	asthmatics			needed to induce a		
				20% FEV1		
				decrease		
Mathews et al.	48 couples	Frequency of administration and number of	Testosterone	Improvement of	No (no effect)	High
$(1983)^{47}$	presenting	therapists: weekly, monthly and at least one		symptoms		
		therapist.		evaluated by an		

	with sexual disorders			outside investigator and the couples themselves		
De Craen et al. (2001) ¹⁶	112 chronic pain patients	Written information on the treatment administered : neutral or maximized situations	Tramadol	Pain	No (no effect)	High
Brandwhaite et al. (1981) ³⁶	835 women with chronic headaches	Oral information provided on the "brand" of treatment administered : minimized or maximized situations	Aspirin	Pain	No (additive)	High

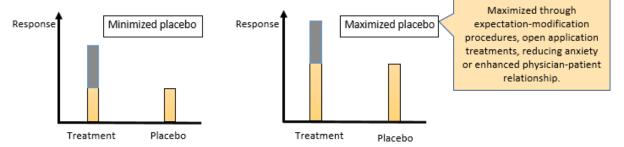
Table 2 b: Trials including healthy volunteers.

Trials			Population	Information modifying the	Treatment group	Endpoints	Interaction	Risk ok bias
				power of the placebo effect			found? (which	
							model?)	
Schenk	et	al.	34 healthy	Oral information provided on	Lidocaine	Pain after painful thermal stimulus	Yes (synergistic)	Low
$(2013)^{40}$			volunteers	the treatment administered:				
				minimized or maximized				
				situation				
Hammam	i et	al.	480 healthy	Oral information on the	Hydroxyzine.	Drowsiness and dry mouth	Yes (synergistic)	Low
$(2016)^{27}$			volunteers	treatment administered :				
				minimized, neutral or				
				maximized situations				
Berna	et	al.	100 healthy	Oral information that an	Diclofenac	Pain after painful thermal stimulus	Yes (synergistic)	Low
$(2017)^{33}$			volunteers	analgesic yielding a dry				
				mouth would be administered				
				(in fact, it was atropine):				
				minimized or maximized				
				situations				

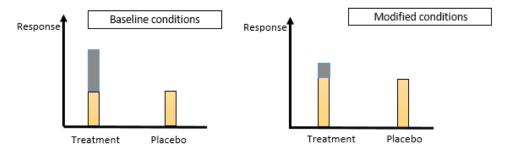
Lund et al. (2014) ³¹	46 healthy	Oral information on the	Lidocaine	Self-assessed pain duration and its	Yes	Unclear
	volunteers	treatment administered :		maximal intensity after painful	(antagonistic)	
		minimized or maximized		stimulus by IM injection		
		situations				
Kirsch et al.	100 healthy	Oral information on the	Caffeine	Level of alertness and stress,	Yes (synergistic)	High
$(1993)^{38}$	volunteers	treatment administered:		systolic and diastolic tension and		
		minimized or maximized		cardiac rhythm		
		situation				
Penick et al.	14 healthy	Oral information on the	Epinephrine	Level of perceived stress, glucose	Yes (synergistic)	High
$(1965)^{39}$	volunteers	treatment administered:		and FFA (free fatty acid)		
		minimized or maximized		concentration and cardiac rhythm		
		situations				
Van Der Molen et	13 healthy	Oral information provided on	Lactate	Anxiety, pCO ₂ and respiratory rate	Yes (synergistic)	High
al. (1988) ⁴⁸	volunteers	the treatment administered:				
		minimized (relaxing				
		information) and maximized				
		(stressful information)				
		situations				
Rose et al. (2001) ⁴⁴	53 healthy	Oral and written information	Melatonin	12-question assessment sleeping	Yes	High
	volunteers	on the treatment		scale	(antagonistic)	
		administered: minimized or				
		maximized situations				

Mitchell (1996) ³⁰	et	al.	40 healthy volunteers	Oral information on the treatment administered : minimized or maximized situations	D-amphetamine.	Different scales of drug response (ARCI, DEQ, POMS)	Yes (antagonistic)	High
Hammami (2010) ²⁸	et	al.	180 healthy volunteers	Oral information on the treatment administered : maximized or minimized situations	Caffeine.	Subjective self-assessed (energy, fatigue, nausea) and objective parameters (systolic blood pressure).	Yes (antagonistic)	High
Butcher (2012) ³²	et	al.	20 healthy volunteers	Oral information on the treatment administered : minimized or maximized situations	Ibuprofen	Pain after painful electric stimulus	No (no effect)	Low
Flaten (2004) ³⁵	et	al.	94 healthy volunteers	Oral information on the treatment administered : minimized, neutral or maximized situation	Carisoprodol Or Caffeine	Eyeblink reflex, self-assessment of level of wakefulness and calm, skin conductance, cardiac rhythm, arterial tension	No (no effect)	Low
Alasken (2015) ⁸	et	al.	142 healthy volunteers	Oral information that analgesic or hyperalgesic cream was going to be administered : minimized or maximized situations	EMLA cream.	Endpoints evaluated after painful stimulus, including pain, stress and Blood Pressure.	No (additive)	Unclear
Atlas et al.	(201	2) ³⁷	14 healthy volunteers	Oral information on the treatment administered:	Remifentanil	Pain after painful thermal stimulus	No (additive)	Unclear

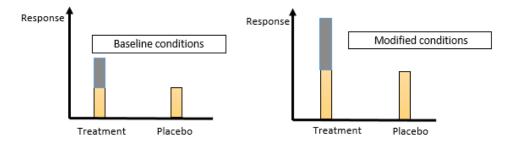
		minimized or maximized situation				
Ross et al. (1962) ¹⁹	80 healthy volunteers	Hidden administration of treatments to minimize their effect : minimized or neutral situations	D-amphetamine	Mood swings ("Clyde Mood Scale") and level of performance (tapping task and H-Bar test)	No (no effect)	High
Walach et al (2009)	75 healthy volunteers	Oral information on the treatment administered	Caffeine	Objective parameters (SAT, DAT, CF, reaction time) and subjective parameters.	No (no effect)	High
<i>Bjorkedal et al.</i> (2011) ²⁹	20 healthy volunteers	Oral information that a powerful painkiller was administered (in fact, caffeine) : minimized or maximized situations	Caffeine	Wakefulness, stress, pain, expectations and laser evoked potentials.	No (no effect)	High
Flaten et al. (1999) ³⁴	66 healthy volunteers	Oral information on the treatment administered : minimized, neutral or maximized situations	Carisoprodol	Eyeblink reflex, skin conductance, self-assessment of level of stress and drowsiness	No (no effect)	High
Lyerly et al. (1964) ⁴⁹	90 veterans and 90 young employees	Oral information provided on the treatment administered: minimized, neutral or maximized situations	Amphetamine and chloral hydrate	Mood swings ("Clyde Mood Scale") and level of performance (tapping task and H-Bar test).	No (no effect)	High



A. Additive model: the specific effect of treatment is the same no matter the placebo's intensity.



B. Antagonistic interactive model: the specific effect of treatment is different according to the placebo's intensity. Here it is lower compared to the baseline situation.



C. Synergistic interactive model: the specific effect of treatment is different according to the placebo's intensity. Here it is lower compared to the baseline situation.



Fig 1. Additive versus Interactive model

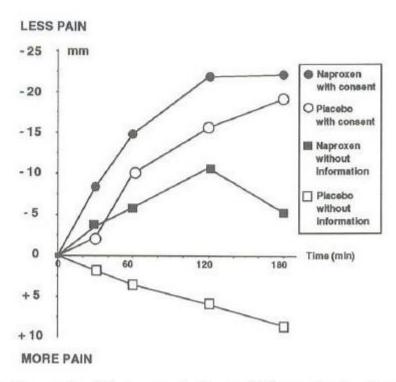


Fig 1. Illustrating the results of Bergmann et al. on a 100mm pain visual analogue scale after naproxen and after placebo in patients with (n=18) and without (n=25) information concerning the crossover placebo-controlled study. Zero is the pain at baseline.

Fig 2. Bergmann et al. results as published in 1994

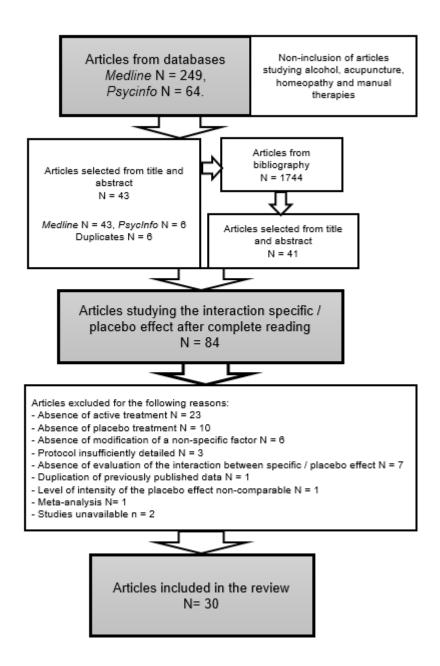
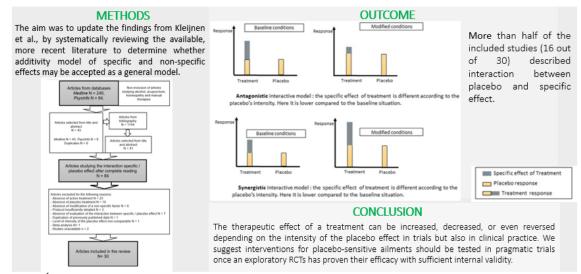


Fig 3. Flow-chart illustrating the selection process

Graphical abstract

HOW DO THEY ADD UP? THE INTERACTION BETWEEN THE PLACEBO AND TREATMENT EFFECT: A SYSTEMATIC REVIEW.



Boussageon, et al. Br. J. Clin. Pharmacol.



APPENDIX 1: RISK OF BIAS ANALYSIS

The following table is an example of how risk of bias was evaluated in this study using the RoB2 tool for the analysis, here, of *Hammami et al. (2010)*. Please see lower for the detailed analysis of all the included trials.

Domain 1: Risk of bias arising from the randomization process

Signalling questions	Description	Response options
1.1 Was the allocation sequence random?	The randomization schedule was generated by one of	<u>Y</u>
1.2 Was the allocation sequence concealed until participants were enrolled and assigned to interventions?	the authors (MMH) using a program available on-line http://www.randomization.com . Group assignment was concealed before randomization from participants and study coordinators who enrolled them.	<u>Y</u>
1.3 Did baseline differences between intervention groups suggest a problem with the randomization process?	.Baseline characteristics of study groups are shown in Table 1.	<u>N</u>
Risk-of-bias judgement		Low

Domain 2: Risk of bias due to deviations from the intended interventions (effect of assignment to intervention)

Signalling questions	Description	Response options
2.1. Were participants aware of their assigned intervention during the trial?	None (participants) indicated that they have guessed the actual study aims.	<u>PN</u>
2.2. Were carers and people delivering the interventions aware of participants' assigned intervention during the trial?	Study coordinators guessed that 52%, 51%, 41%, and 44% of participants who received, caffeine described as caffeine, caffeine described as placebo, placebo described as placebo, and placebo described as caffeine, respectively, received caffeine; indicating the success of blinding.	<u>PN</u>
2.3. If Y/PY/NI to 2.1 or 2.2: Were there deviations from the intended intervention that arose because of the experimental context?		NA

2.4. If Y/PY to 2.3: Were these deviations from intended intervention balanced	NA
between groups?	
2.5 If N/PN/NI to 2.4: Were these deviations likely to have affected the outcome?	NA
2.6 Was an appropriate analysis used to estimate the effect of assignment to	<u>PY</u>
intervention?	
2.7 If N/PN/NI to 2.6: Was there potential for a substantial impact (on the result) of the	NA
failure to analyse participants in the group to which they were randomized?	
Risk-of-bias judgement	Low

Domain 3: Missing outcome data

Signalling questions	Description	Response options
3.1 Were data for this outcome available for all, or nearly all, participants randomized?	180 were equally randomized to caffeine or placebo cross-over arms. We excluded from analysis participants who later withdrew from the study (3 randomized to placebo, 2 to caffeine) or did not adequately abstain from caffeine (baseline caffeine levels in the study periods differed by $\geq 1 \mu g/ml$ (2 randomized to placebo and 5 to caffeine). A flow chart is presented in Figure 2.	<u>Y</u>
3.2 If N/PN/NI to 3.1: Is there evidence that result was not biased by missing outcome		NA
data?		
3.3 If N/PN to 3.2: Could missingness in the outcome depend on its true value?		NA
3.4 If Y/PY/NI to 3.3: Do the proportions of missing outcome data differ between intervention groups?		NA
3.5 If Y/PY/NI to 3.3: Is it likely that missingness in the outcome depended on its true value?		NA
Risk-of-bias judgement		Low

Domain 4: Risk of bias in measurement of the outcome

Signalling questions	Description	Response
		options
4.1 Was the method of measuring the outcome inappropriate?		<u>PN</u>

4.2 Could measurement or ascertainment of the outcome have differed between	<u>N</u>
intervention groups ?	
4.3 If N/PN/NI to 4.1 and 4.2: Were outcome assessors aware of the intervention	<u>N</u>
received by study participants ?	
4.4 If Y/PY/NI to 4.3: Could assessment of the outcome have been influenced by	NA
knowledge of intervention received?	
4.5 If Y/PY/NI to 4.4: Is it likely that assessment of the outcome was influenced by	NA
knowledge of intervention received?	
Risk-of-bias judgement	Low

Domain 5: Risk of bias in selection of the reported result

Signalling questions	Description	Response options
5.1 Was the trial analysed in accordance with a pre-specified plan that was finalized	Trial Registration: ClinicalTrials.gov identification number -	<u>Y</u>
before unblinded outcome data were available for analysis?	NCT00426010.	
	.There were no changes to study outcomes after study	
	commencement.	
Is the numerical result being assessed likely to have been selected, on the basis of the		
results, from		
5.2 multiple outcome measurements (e.g. scales, definitions, time points) within	Measure of fatigue, energy, nausea, systolic blood pressure and	<u>Y</u>
the outcome domain?	conclusion according to results of energy and fatigue only, while no	
	tests found significant difference.	
5.3 multiple analyses of the data?		<u>PN</u>
Risk-of-bias judgement		High

Overall risk of bias

Risk-of-bias judgement	High

TABLE OF RISK OF BIAS EVALUATION FOR INCLUDED TRIALS ACCORDING TO ROB2

Included Trials (year of						
publication)	1	2	3	4	5	Overall risk of bias

1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	Γ.	1.	Τ.		Γ.	Ι
Kam-Hansen et al. (2014) ²⁵	Low	Low	Low	Low	Low	Low
Walach et al. (2009) ²⁶	Low	Low	Low	Low	High	High
Hammami et al. (2016) ²⁷	Low	Low	Low	Low	Low	Low
De Craen et al. (2001) ¹⁶	Some concerns	High	Low	Some concerns	High	High
Hammami et al. (2010) ²⁸	Low	Low	Low	Low	High	High
Bjorkedal et al. (2011) ²⁹	Low	Low	Low	Low	High	High
Mitchell et al. (1996) ³⁰	Low	High	Low	Low	Some concerns	High
Aslasken et al. (2015) ⁸	Some concerns	Some concerns	Low	Low	Low	Some concerns
Lund et al. (2014) ³¹	Low	Low	Low	Low	Some concerns	Some concerns
Butcher et al. (2012) ³²	Low	Low	Low	Low	Low	Low
Berna et al. (2017) ³³	Low	Low	Low	Low	Low	Low
Flaten et al. (1999) ³⁴	Low	Low	Low	Low	High	High
Flaten et al. (2004) ³⁵	Low	Low	Low	Low	Low	Low
Brandwhaite et al. (1981) ³⁶	Low	Low	Low	Low	Some concerns	Low
Atlas et al. (2012) ³⁷	Some concerns	Some concerns	Low	Some concerns	Some concerns	Some concerns
Kirsch et al. (1993) ³⁸	Low	Some concerns	Low	Low	High	High
Penick et al. (1965) ³⁹	High	High	Low	High	Low	High
Schenk et al. (2014) ⁴⁰	Low	Low	Low	Low	Low	Low
Faasse et al. (2016) ⁴¹	Low	Some concerns	Low	Some concerns	Some concerns	Some concerns
Wise et al. (2009) ⁴²	Low	Low	Low	Low	High	High
Kemeny et al. (2007) ⁴³	Low	Low	Low	Low	Low	Low
Rose et al. (2001) ⁴⁴	Low	Low	Some concerns	Some concerns	High	High
Ross et al. (1962) ¹⁹	Some concerns	High				
Levine et al. (1984) ⁴⁵	Low	Some concerns	Some concerns	Some concerns	Low	High
Uhlenhuth et al. (1959) ¹³	Low	Low	Some concerns	Some concerns	Low	High
Uhlenhuth et al. (1966) ⁴⁶	Low	Some concerns	Some concerns	Low	High	High
Mathews et al. (1983) ⁴⁷	Some concerns	High	Some concerns	Some concerns	Low	High
Van Der Molen et al. (1988) ⁴⁸	Some concerns	Some concerns	Low	Some concerns	High	High
Lyerly et al. (1964) ⁴⁹	Some concerns	Some concerns	Low	Some concerns	High	High
Bergmann et al. (1994) ⁷	Low	Low	Some concerns	Low	Low	Some concerns

Appendix 2

Trials	Hypothesis tested	Population	Modification of the power	Treatments	Endpoints
			of the placebo effect		
Kam-Hansen et al.	Additive model and	66 chronic	Oral information on the	Treatment group (Razatriptan) and	Relief 2h after onset of migraine
(2014) ²⁵	interactive model	migraine	treatment administered	placebo in minimized, neutral or	symptoms and number of
		patients		maximized situation.	subjects without pain at 2.5h
Walach et al.	Placebo effect	75 healthy	Oral information on the	Treatment group (Caffeine) and	Objective parameters (SAT, DAT,
(2009) ²⁶	depending on a non-	volunteers	treatment administered	placebo in maximized or neutral	CF, reaction time) and subjective
	local correlation with			situation.	parameters (calm, mood and
	response to treatment				alertness)
Hammami et al.	Interactive model	480 healthy	Oral information on the	Treatment group (hydroxyzine) and	Drowsiness and dry mouth, self-
(2016) ²⁷		volunteers	treatment administered	placebo in minimized, neutral or	assessed by the participants
				maximized situation.	during the 7h following treatment
De Craen et al.	Interactive model	112 chronic	Written information on the	Treatment group (Tramadol) and	Primary endpoint : pain reduction
(2001) ¹⁶		pain	treatment administered	placebo in maximized or neutral	on self-assessing VAS
		patients		situation.	
Hammami et al.	Interactive model and	180 healthy	Oral information on the	Treatment group (caffeine) and	Subjective self-assessed (energy,
$(2010)^{28}$	pharmacokinetic	volunteers	treatment administered	placebo in maximized or minimized	fatigue, nausea) and objective
	modification of the			situation.	parameters (systolic blood
	placebo effect				pressure).
Bjorkedal et al.	Interactive model:	20 healthy	Oral information that a	Treatment (caffeine) and placebo	Wakefulness, stress, pain,
(2011) ²⁹	variation of treatment	volunteers	powerful painkiller was	groups in maximized or minimized	expectations and laser evoked
	activity according to		administered (in fact,	situations.	potentials.
	adverse effects.		caffeine)		
Mitchell et al.	Interactive model	40 healthy	Oral information on the	Treatment group (d-amphetamine)	Different scales of drug response
(1996) ³⁰		volunteers	treatment administered	and placebo in maximized or	(ARCI, DEQ, POMS)
				minimized situation.	
Alasken et al.	Interactive model:	142 healthy	Oral information that	Treatment group (Emla cream) and	Endpoints evaluated after painful
(2015) ⁸	inversion of treatment	volunteers	analgesic or hyperalgesic	placebo in minimized or maximized	stimulus, including pain, stress
				situation.	and Blood Pressure.

	effects by means of information		cream was going to be administered		
Lund et al. (2014) ³¹	Interactive model, being of more import with powerful placebo effect	46 healthy volunteers	Oral information on the treatment administered	Treatment group (lidocaine) and placebo in minimized or maximized situation	Self-assessed pain duration and its maximal intensity after painful stimulus by IM injection
Butcher et al. (2012) ³²	Variation of the placebo effect according to gender.	20 healthy volunteers	Oral information on the treatment administered	Treatment group (Ibuprofen) and placebo in minimized or maximized situation	Sel-assessed pain after painful electric stimulus
Berna et al. (2017) ³³	Interactive model: activity variation according to adverse effects	100 healthy volunteers	Oral information that an analgesic yielding a dry mouth would be administered (in fact, it was atropine)	Treatment group (Diclofenac) and placebo in minimized or maximized situation	Analgesia evaluated by VAS after painful thermal stimulus
Flaten et al. (1999) ³⁴	Interactive model	66 healthy volunteers	Oral information on the treatment administered	Treatment group (Carisoprodol) and placebo in minimized, neutral or maximized situation	Eyeblink reflex, skin conductance, self-assessment of level of stress and drowsiness
Flaten et al. (2004) ³⁵	Interactive model	94 healthy volunteers	Oral information on the treatment administered	Treatment group (Carisoprodol), caffeine and placebo in minimized, neutral or maximized situation	Eyeblink reflex, self-assessment of level of wakefulness and calm, skin conductance, cardiac rhythm, arterial tension
Brandwhaite et al. (1981) ³⁶	Interactive model	835 women presenting with chronic headaches	Oral information provided on the "brand" of treatment administered	Treatment group (Aspirin) and placebo in minimized or maximized situation	Pain self-assessment 30 minutes and 1 hour after headaches
Atlas et al. (2012) ³⁷	Interactive model	14 healthy volunteers	Oral information on the treatment administered	Treatment group (Remifentanil) and placebo in minimized or maximized situation	Self-assessed pain after painful thermal stimulus
Kirsch et al. (1993) ³⁸	Interactive model	100 healthy volunteers	Oral information on the treatment administered	Treatment group (Caffeine) and placebo in minimized or maximized situation	Level of alertness and stress, systolic and diastolic tension and cardiac rhythm at 15, 30 and then 45 minutes after ingestion

Penick et al. (1965) ³⁹	Interactive model	14 healthy volunteers	Oral information on the treatment administered	Treatment group (Epinephrine) and placebo in minimized or maximized situation Endpoints: I	Level of perceived stress, glucose and FFA (<i>free fatty acid</i>) concentration and cardiac rhythm
Schenk et al. (2013) ⁴⁰	Interactive model	34 healthy volunteers	Oral information provided on the treatment administered	Treatment group (Lidocaine) and placebo in minimized or maximized situation	Self-assessment of pain on VAS after painful thermal stimulus
Faasse et al. (2016) ⁴¹	Additive model	87 patients presenting with chronic headaches	Oral information provided on the treatment brand administered	Treatment group (Ibuprofen) and placebo in minimized or maximized situation	Home self-assessment of pain following headache episodes and reported adverse effects
Wise et al. (2009) ⁴²	Interactive model	601 poorly controlled asthmatics	Oral information provided on the treatment administered, its brand and its color	Treatment group (Montelukast) and placebo in neutral or maximized situation	Improvement at 4 weeks of peak expiratory flow, improvement of pulmonary functions evaluated by spirometry, and asthma control evaluated by 4 self-assessment scales
Kemeny et al. (2007) ⁴³	Variation of the placebo effect and its determinants	55 poorly controlled asthmatics	Oral information provided on the treatment administered	Treatment group (Salmeterol) and placebo in maximized or neutral situation	Concentration of methacholine needed to induce a 20% FEV1 decrease
Rose et al. (2001) ⁴⁴	Interactive model	53 healthy volunteers	Oral and written information on the treatment administered	Treatment group (Melatonin) and placebo in minimized or maximized situation	Subjective sleep evaluated by a 12-question assessment scale
Ross et al. (1962) ¹⁹	Interactive model	80 healthy volunteers	Hidden administration of treatments to minimize their effect	Treatment group (D-amphetamine) and placebo in the same neutral or minimized situations	Mood swings ("Clyde Mood Scale") and level of performance (tapping task and H-Bar test)
<i>Levine et al.</i> (1984) ⁴⁵	Placebo effect independent of the means of administration	96 patients having undergone dental extraction	Hidden administration of treatments, manually or by a machine.	Treatment group (Naloxone) and placebo in minimized, neutral or maximized situation	Self-assessment of pain 50 min after treatment administration

<i>Uhlenhuth et al.</i> (1959) ¹³	Interactive model	52 psychiatric patients suffering from anxieties	Neutral or positive attitude concerning the treatments administered	Treatment group (Meprobamate or Phenobarbital) and placebo in neutral or maximized situation	Improvement perceived by patients, assessment by a psychiatrist and a scale grouping together 45 symptoms
Uhlenhuth et al. (1966) ⁴⁶	Interactive model	138 patients referred to psychiatric clinic	Neutral or positive attitude concerning the treatments administered	Treatment group (Meprobamate) in neutral or maximized situation and placebo in the same situations	Modifications on different scales
Mathews et al. (1983) ⁴⁷	Interactive model	48 couples presenting with sexual disorders	Frequency of administration and number of therapists	Treatment group (Testosterone) and placebo with weekly or monthly administration and at least one therapist	Improvement of symptoms evaluated by an outside investigator and the couples themselves
Van Der Molen et al. (1988) ⁴⁸	Hyperventilation in the event of lactate injection or stressful information	13 healthy volunteers	Oral information provided on the treatment administered	Treatment group (lactate) and placebo in minimized (relaxing information) and maximized (stressful information) situations	Anxiety, pCo ² and respiratory rate
Lyerly et al. (1964) ⁴⁹	Interactive model	90 veterans and 90 young employees	Oral information provided on the treatment administered	Treatment group (Amphetamine and chloral hydrate) versus placebo in minimized, neutral or maximized situation	Mood swings ("Clyde Mood Scale") and level of performance (tapping task and H-Bar test).
Bergmann et al. (1994) ⁷	Interactive model	49 cancer patients	Oral information provided or not on the study procedure	Treatment group (500 mg of naproxen) and placebo in neutral or maximized situation	Self-assessment of pain on VAS up to 3 h after administration

Detailed Study characteristics. A minimized situation corresponds to less placebo effect power compared to a neutral or maximized situation. IM: intra-muscular – VAS: visual analog scale – EMLA: Eutectic Mixture of Local Anesthetics.

	Effect of the	Effect of the			
Studies	treatment	information	Interaction	Model	Risk of bias
Hammami et al. (2016) ²⁷	Yes	Yes	Yes	Synergistic	Low
Berna et al. (2017) ³³	No	No	Yes	Synergistic	Low
Schenk et al. (2013) ⁴⁰	Yes	No	Yes	Synergistic	Low
Lund et al. (2014) ³¹	Yes	Yes	Yes	Antagonistic	Unclear
Faasse et al. (2016) ⁴¹	Yes	Yes	Yes	Antagonistic	Unclear
Hammami et al. (2010) ²⁸	Yes	Yes	Yes	Antagonistic	High
Wise et al. (2009) ⁴²	Yes	Yes	Yes	Antagonistic	High
Rose et al. (2001) ⁴⁴	No	Yes	Yes	Antagonistic	High
Bergmann et al. (1994) ⁷	Yes	Yes	Yes	Antagonistic	High
Van Der Molen et al. 1988) ⁴⁸	Yes	Yes	Yes	Synergistic	High
Kirsch et al. (1993) ³⁸	Yes	Yes	Yes	Synergistic	High
Penick et al. (1965) ³⁹		No	Yes	Synergistic	High
Uhlenhuth et al. (1959) ¹³		Yes	Yes	Synergistic	High
Uhlenhuth et al. (1966) ⁴⁶		Yes	Yes	Antagonistic	High
Levine et al. (1984) ⁴⁵	No	Yes	Yes	Antagonistic	High
Mitchell et al. (1996) ³⁰	Yes	Yes	Yes	Antagonistic	High
Kam-Hansen et al. (2014) ²⁵	Yes	Yes	No	Additive	Low
Butcher et al. (2012) ³²	No	No	No	No effect	Low
Flaten et al. (2004) ³⁵	Yes	No	No	No effect	Low
Kemeny et al. (2007) ⁴³	Yes	No	No	No effect	Low
Alasken et al. (2015) ⁸	Yes	Yes	No	Additive	Unclear
Atlas et al. (2012) ³⁷	Yes	Yes	No	Additive	Unclear
Brandwhaite et al. (1981) ³⁶	Yes	Yes	No	Additive	High
De craen et al. (2001) ¹⁶	No	No	No	No effect	High
Flaten et al. (1999) ³⁴	No	Yes	No	No effect	High
Bjorkedal et al. (2011) ²⁹	No	No	No	No effect	High
Ross et al. (1962) ¹⁹	No	No	No	No effect	High
Lyerly et al. (1964) ⁴⁹	No	No	No	No effect	High
Walach et al. (2009) ²⁶	No	No	No	No effect	High
Mathews et al. (1983) ⁴⁷	No	No	No	No effect	High

Interaction and risk of bias. (··: missing data)