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# Transient obscuration event captured in NGC 3227

## III. Photoionization modeling of the X-ray obscuration event in 2019

J. Mao (毛俊捷)<sup>1,2</sup>, J. S. Kaastra<sup>2,3</sup>, M. Mehdipour<sup>4</sup>, G. A. Kriss<sup>4</sup>, Y. Wang (王倚君)<sup>5,6,2,3,7,8</sup>, S. Grafton-Waters<sup>9</sup>, G. Branduardi-Raymont<sup>9</sup>, C. Pinto<sup>10</sup>, H. Landt<sup>11</sup>, D. J. Walton<sup>12</sup>, E. Costantini<sup>2</sup>, L. Di Gesu<sup>13</sup>, S. Bianchi<sup>14</sup>, P.-O. Petrucci<sup>15</sup>, B. De Marco<sup>16</sup>, G. Ponti<sup>17,18</sup>, Y. Fukazawa<sup>1</sup>, J. Ebrero<sup>19</sup>, and E. Behar<sup>20</sup>

(Affiliations can be found after the references)

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### ABSTRACT

**Context.** A growing number of transient X-ray obscuration events in type I active galactic nuclei suggest that our line of sight to the central engine is not always free. Multiple X-ray obscuration events were reported in the nearby Seyfert 1.5 galaxy NGC 3227 from 2000 to 2016. In late 2019, another X-ray obscuration event was identified with *Swift*. Two coordinated target-of-opportunity observations with *XMM-Newton*, *NuSTAR*, and the *Hubble* Space Telescope (HST) Cosmic Origins Spectrograph (COS) were triggered in November and December 2019 to study this obscuration event.

**Aims.** We aim to constrain the physical properties of the absorbing material (i.e., the obscurer) that caused the X-ray obscuration event in late 2019. We also aim to compare the handful of obscuration events in NGC 3227 and other Seyfert galaxies.

**Methods.** For each observation, we analyzed the time-averaged X-ray spectra collected with *XMM-Newton* and *NuSTAR*. We performed photoionization modeling with the SPEX code, which allows us to constrain the intrinsic continuum simultaneously with various photoionized absorption and emission components.

**Results.** Similar to previous transient X-ray obscuration events in NGC 3227, the one caught in late 2019 is short-lived (less than five months). If the obscurer has only one photoionized component, the two X-ray observations in late 2019 cannot be explained by the same obscurer that responds to the varying ionizing continuum. Due to the unknown geometry of the obscurer, its number density and distance to the black hole cannot be well constrained. The inferred distance covers at least two orders of magnitude, from the broad-line region to the dusty torus. Unlike some other X-ray obscuration events in Seyfert galaxies, such as NGC 5548 and NGC 3783, no prominent blueshifted, broad absorption troughs were found in the 2019 HST/COS spectra of NGC 3227 when compared with archival UV spectra. This might be explained if the X-ray obscurer does not intercept our line of sight to (a significant portion of) the UV-emitting region. Understanding the variety of observational differences in the X-ray obscuration events observed so far is not straightforward. Future observations with high-quality data are needed to unveil the nature of the X-ray obscuration events.

**Key words.** X-rays: galaxies – galaxies: active – galaxies: Seyfert – galaxies: individual: NGC 3227 – techniques: spectroscopic

## 1. Introduction

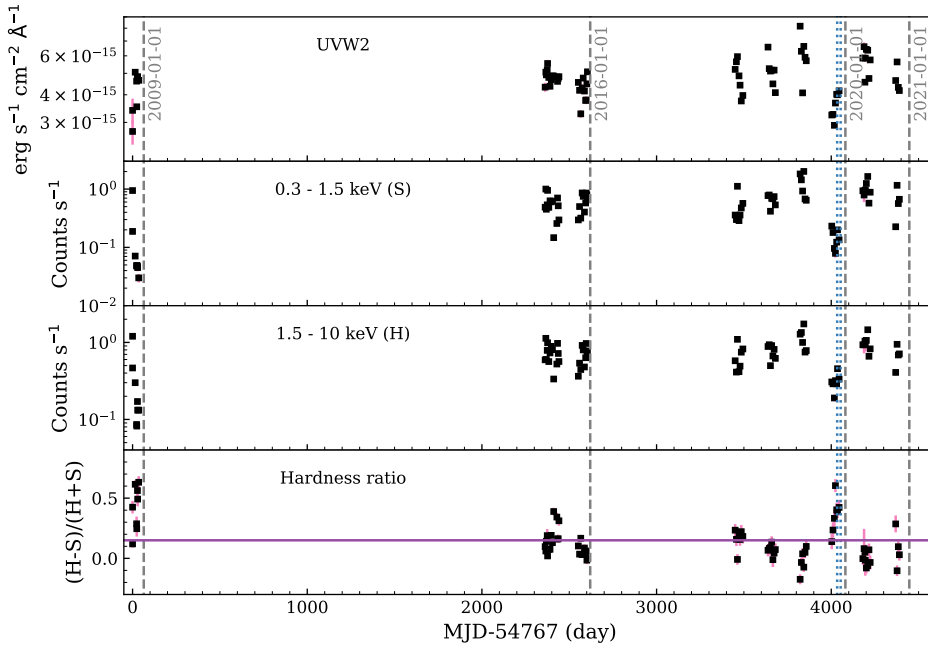
Active galactic nuclei (AGN) are the energetic power houses at the centers of active galaxies (Netzer 2015). Matter can flow towards the supermassive black hole via accretion. At the same time, matter can also flow outward from the black hole (Crenshaw et al. 2003; Laha et al. 2021), regulating the accretion process as well as providing feedback to the host galaxy. With detectable emission across the entire electromagnetic spectrum, AGN have many interesting properties and can be separated into many subclasses (Padovani et al. 2017). One of the main classifiers is the dusty torus (Antonucci 1993). Type I AGN are those whose line of sight towards the central engine is not blocked by the dusty torus.

A growing number of transient X-ray obscuration events are being reported in Type I AGN (e.g., Lamer et al. 2003; Risaliti et al. 2007, 2011; Markowitz et al. 2014; Kaastra et al. 2014; Ebrero et al. 2016; Mehdipour et al. 2017; Longinotti et al. 2019; Gallo et al. 2021; Miller et al. 2021; Serafinelli et al. 2021). These events indicate that, in at least some type I AGN, our line of sight to the central engine is not always free. During the transient X-ray obscuration events, one of the key features is the significant lowering of the soft X-ray continuum. Narrow emission lines in the soft X-ray band, previously hidden under the relatively high continuum level, might become observable.

Furthermore, such X-ray obscuration events might be accompanied by the emergence and variation of absorption features in the UV (e.g., Kaastra et al. 2014; Ebrero et al. 2016; Mehdipour et al. 2017; Longinotti et al. 2019; Kara et al. 2021; Saez et al. 2021) and near-infrared (NIR) spectra (Landt et al. 2019; Wildy et al. 2021).

NGC 3227 is a nearby ( $z = 0.003859$ , de Vaucouleurs et al. 1991) Seyfert 1.5 galaxy containing a supermassive black hole ( $M_{\text{BH}} = 5.96 \times 10^6 M_{\odot}$ , Bentz & Katz 2015). Multiple X-ray obscuration events were reported in NGC 3227 from 2003 to 2016 (Lamer et al. 2003; Markowitz et al. 2014; Beuchert et al. 2015; Turner et al. 2018). As described in Mehdipour et al. (2021, Paper I hereafter), a *Swift* (Gehrels et al. 2004) monitoring campaign was carried out in *XMM-Newton* Cycle 18 (PI: J. S. Kaastra) to catch transient X-ray obscuration events in a sample of type I AGN. In late 2019, an X-ray obscuration event in NGC 3227 was identified with the *Swift* monitoring. We triggered two joint target-of-opportunity observations with *XMM-Newton* (Jansen et al. 2001), *NuSTAR* (Harrison et al. 2013), and HST Cosmic Origins Spectrograph (COS, Green et al. 2012) on 2019-11-15 and 2019-12-05, respectively.

Taking advantage of the multi-wavelength data of NGC 3227 obtained in late 2019, we constructed the broadband spectral energy distribution of NGC 3227 in Paper I. In Wang et al. (2022, Paper II hereafter), we analyzed the archival *XMM-Newton* data



**Fig. 1.** Archival *Swift* data for NGC 3227 from 2008-10-28 to 2020-12-27. The top panel is the UVW2 flux. The two middle panels are the count rates in the hard (H: 1.5–10 keV) and soft (S: 0.3–1.5 keV) X-ray bands. Statistical uncertainties are in general too small to be visible in the plot. The bottom panel shows the X-ray hardness ratio  $(H - S)/(H + S)$ . The horizontal solid line in purple is the historical average hardness ratio before late 2020. Calendar dates are marked by the vertical dashed lines. The vertical dotted lines in blue mark the joint HST/COS, *XMM-Newton*, and *NuSTAR* observations in late 2019.

of NGC 3227 to characterize the warm absorber observed in the X-ray band in the absence of the obscuration event. In Paper II, we also describe transient obscuration events in December 2006 and in late 2016. The former was not identified in studies prior to Paper II, while the latter was reported by Turner et al. (2018). In the study presented here, we analyzed time-averaged X-ray spectra for each observation in November and December 2019 to study the physical properties of the absorbing material (namely, obscurer) that caused the X-ray obscuration event. Variability of the obscurer, as well as the continuum, within each observation will be studied by Grafton-Waters et al. (2022 Paper IV hereafter). Detailed analysis of the HST/COS spectra will be presented in a future paper by our team.

## 2. Observation

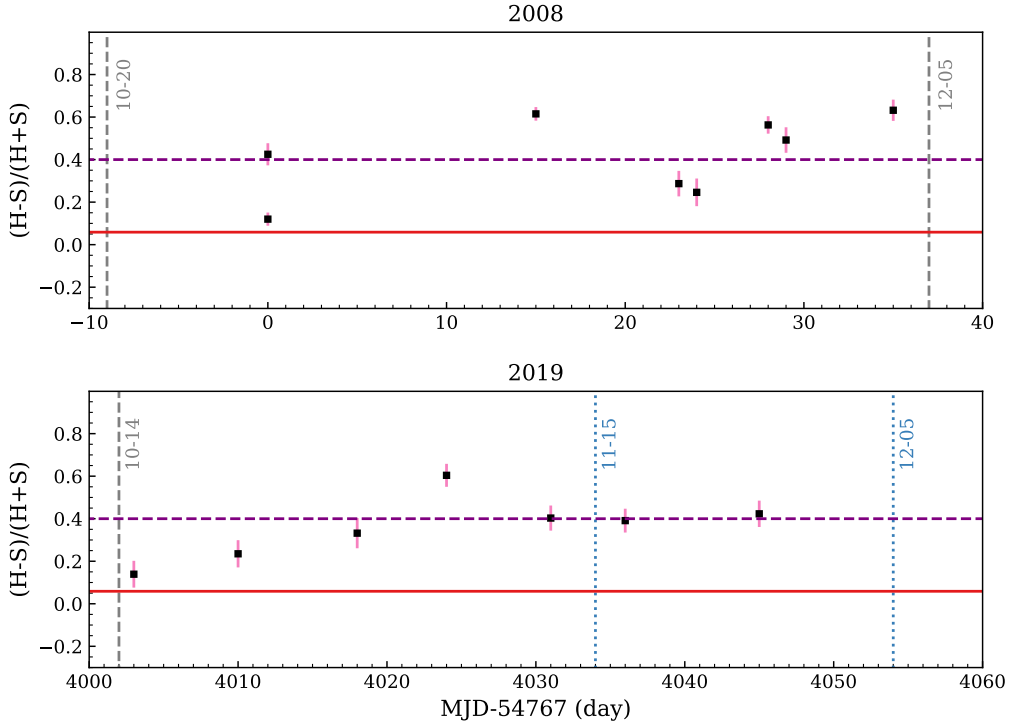
In Fig. 1, we show the archival *Swift* data for NGC 3227 from 2008-10-28 to 2020-12-27. During X-ray obscuration events, the significantly lowered soft X-ray flux leads to an elevated X-ray hardness ratio. The X-ray hardness ratio of NGC 3227 increased by  $\sim 0.5$  in  $\sim 2$  weeks around early November 2019 (Fig. 2, bottom panel). It remained at a high-level until 2019-12-05. After that, the target was out of the *Swift* visibility window until April 2020, where the hardness ratio was negative. Accordingly, the duration of the X-ray obscuration event in 2019 was less than five months. We note that the timescales of both the elevation and duration can be even shorter for NGC 3227. Around November 2008, the *Swift* X-ray hardness ratio increased by  $\sim 0.3$  within a day and  $\sim 0.5$  in  $\sim 2$  weeks (Fig. 2, upper panel). On 2016-12-09, the duration of the X-ray obscuration was  $\sim 20$  ks (Paper II).

The relatively large *Swift* X-ray hardness ratio in late 2019 triggered two coordinated multi-wavelength observations with HST/COS, *XMM-Newton*, and *NuSTAR* of NGC 3227. Table 1 lists the *XMM-Newton* and *NuSTAR* data used in the present work. The data reduction was the same as described in Sect. 2 of Paper I. In Table 1, we also list four HST observations, one in 2000, one in 2010, and two in late 2019. With these UV grating spectra, we aim to briefly investigate the X-ray and UV connection of the obscuring event (Sect. 5).

For each observation, the two first-order spectra of Reflection Grating Spectrometer (RGS, den Herder et al. 2001) were fitted simultaneously over the 6–37 Å wavelength range. The EPIC/pn spectrum in the 1.8–10 keV energy range was used. The *NuSTAR* spectra from the two detector modules (FPMA and FPMB) are combined and fitted over the 5–78 keV energy range. To correct for the cross calibration of different instruments, the following scaling parameters were used. These scaling parameters were obtained by matching the flux level in common energy ranges less affected by emission and absorption features: 8–10 Å to match RGS and EPIC/pn and 7–10 keV to match EPIC/pn and *NuSTAR*. For 2019-11-15, the scaling parameters were 1.0 (RGS1), 1.0 (RGS2), 1.038 (EPIC/pn), and 1.027 (*NuSTAR*), respectively. For 2019-12-05, the scaling parameters were 1.00 (RGS1), 1.041 (RGS2), 1.062 (EPIC/pn), and 1.073 (*NuSTAR*), respectively. HST/COS spectra are not included in our spectral analysis.

## 3. X-ray spectral analysis

We used SPEX v3.05.00 (Kaastra et al. 2018) and *C*-statistics for the X-ray spectral analysis (Kaastra 2017). One of the key features of the photoionization modeling with the SPEX code is that the intrinsic continuum can be constrained simultaneously with the absorption (and obscuration) effects. Paper I described the baseline model, which we briefly summarize here. The intrinsic broadband spectral energy distribution (SED) of NGC 3227 consists of a disk blackbody component (*dbb*) that dominates the optical to UV band, a warm Comptonized disk component (*comt*) for the soft X-ray excess, a power-law component (*pow*), and a neutral reflection component (*refl*) for the hard X-ray band. The intrinsic continuum is absorbed by the obscurer, warm absorber, and the Galactic absorption. Both the obscurer and warm absorber are assumed to be photoionized and are modeled with *pion* (Mehdipour et al. 2016b) components. The Galactic absorption (by neutral gas) was modeled with a *hot* component with its temperature and hydrogen column density frozen to 0.5 eV and  $N_{\text{H}} = 2.07 \times 10^{20} \text{ cm}^{-2}$  (Murphy et al. 1996), respectively. The protosolar abundances of Lodders et al. (2009) are used for all the plasma models.



**Fig. 2.** *Swift* X-ray hardness ratio  $(H - S)/(H + S)$  for NGC 3227 in 2008 (*top*) and 2019 (*bottom*). The horizontal solid line in purple is the historical average hardness ratio before late 2020. The horizontal dashed line in purple, corresponding to  $(H - S)/(H + S) = 0.4$ , is shown merely to guide the eye. Calendar dates are marked by the vertical dashed lines. The vertical dotted lines in blue mark the joint HST/COS, *XMM-Newton*, and *NuSTAR* observations in late 2019.

**Table 1.** Observation log.

Observatory	Obs. ID	Date	Duration
HST/COS	LDYC02	2019-12-05	3.7 ks
<i>XMM-Newton</i>	0844341401	2019-12-05	52 ks
<i>NuSTAR</i>	80502609004	2019-12-05	28 ks
HST/COS	LDYC01	2019-11-15	3.7 ks
<i>XMM-Newton</i>	0844341301	2019-11-15	105 ks
<i>NuSTAR</i>	80502609002	2019-11-17	29 ks
HST/COS	LB9N01	2010-05-10	4.4 ks
HST/STIS	O5KP01	2000-02-08	3.9 ks

In NGC 5548 (Kaastra et al. 2014; Whewell et al. 2015; Mao et al. 2018), NGC 3783 (Mehdipour et al. 2017; Mao et al. 2019), and Mrk 335 (Longinotti et al. 2019; Parker et al. 2019), because of the presence of the obscurer, emission features of the warm emitter (Turner et al. 1996) stand out above the reduced soft X-ray continuum. However, the physical origin of the warm emitter is not clearly understood. We note that the warm emitter is not included in Paper I, which used the EPIC/pn spectra for the soft X-ray band data. Narrow emission lines in the soft X-ray band are not resolved by EPIC/pn but its large effective area is useful when building the broadband SED model. The RGS spectra are used in the present work to resolve emission line features from the warm emitter. Accordingly, we included an emission *pion* component (Mao et al. 2018) for the warm emitter. Due to the relatively low signal-to-noise ratio of the line features, we reduced the free parameters of the emission *pion* component. The emission covering factor  $C_{\text{em}} = \Omega/4\pi$  depends on the solid angle ( $\Omega$ ) subtended by the warm emitter with respect to the central engine. We assume a fiducial value of  $C_{\text{em}} = 0.01$ , which

is within the range of  $10^{-4}$ – $10^{-1}$  (e.g., Mao et al. 2018, 2019; Grafton-Waters et al. 2020). Default values of  $v_{\text{out}} = 0 \text{ km s}^{-1}$  and  $v_{\text{mic}} = 100 \text{ km s}^{-1}$  were used. Note that in SPEX v3.05, the microscopic turbulent velocity  $v_{\text{mic}} = \sqrt{2}\sigma_{\text{turb}}$ , where  $\sigma_{\text{turb}}$  is the root-mean square RMS of the line of sight velocity. The best-fit parameters ( $N_{\text{H}}$ ,  $\log \xi$ , and broadening velocity due  $v_{\text{mac}}$  to macroscopic motion around the black hole) of the warm emitter derived from the first observation were kept frozen for the second, which has a shorter exposure (Table 1) and an overall lower flux level (Fig. 2 of Paper I). That is to say, the warm emitter is assumed to be identical in the two late 2019 observations.

We note that the photoionizing continuum of the warm emitter is likely different from that of the obscurer and warm absorber. The obscurer is directly exposed to the broadband SED of NGC 3227. For the warm absorber components, the one with the highest ionization parameter is closest to the central engine (Paper II); thus it is exposed to the filtered broadband SED of NGC 3227 with the obscurer as the filter. This warm absorber component in turn further filters the photoionizing continuum received by outer and lowly ionized warm absorber components. Hence, all the warm absorber components are deionized by the obscurer. For the warm emitter, we used the intrinsic continuum derived from the observation taken on 2016-12-05 as its photoionizing continuum, because distant and/or low-density photoionized plasmas observed as soft X-ray narrow emission lines are likely in a quasi-steady state with their ionization balance (Nicastrò et al. 1999; Kaastra et al. 2012; Silva et al. 2016).

In Paper I, the intrinsic continuum derived from the observation taken on 2016-12-05 was also used for the reflection component. Here, we performed fits to test alternative photoionization continua. In the first column of Table 2 (Model M1), for the observed spectrum in 2019-11-15, we couple the ionizing continuum of the reflection component to that

**Table 2.** Best-fit parameters of NGC 3227 observed on 2019-11-15.

Model	M1	M2	M3
Statistics			
$C_{\text{stat}}$	3492.9	3465.0	3267.5
$C_{\text{expt}}$	$3182 \pm 81$	$3182 \pm 81$	$3181 \pm 81$
d.o.f.	3096	3096	3093
Disk blackbody			
Norm ( $\text{cm}^{-2}$ )	$4.9 \times 10^{26}$ (f)	$4.9 \times 10^{26}$ (f)	$4.9 \times 10^{26}$ (f)
$T$ (eV)	10.2 (f)	10.2 (f)	10.2 (f)
Comptonisation			
Norm ( $\text{ph s}^{-1} \text{keV}^{-1}$ )	$(2.0 \pm 0.1) \times 10^{53}$	$(2.1 \pm 0.1) \times 10^{53}$	$(4.9 \pm 0.8) \times 10^{53}$
$T_{\text{seed}}$ (eV)	10.2 (c)	10.2 (c)	10.2 (c)
$T_{\text{c}}$ (keV)	0.06 (f)	0.06 (f)	0.06 (f)
$\tau$	30 (f)	30 (f)	30 (f)
Power-law			
Norm ( $\text{ph s}^{-1} \text{keV}^{-1}$ )	$(2.99 \pm 0.06) \times 10^{50}$	$(2.85 \pm 0.06) \times 10^{50}$	$(3.73 \pm 0.10) \times 10^{50}$
$\Gamma$	$1.74 \pm 0.01$	$1.72 \pm 0.01$	$1.83 \pm 0.01$
Reflection			
Norm ( $\text{ph s}^{-1} \text{keV}^{-1}$ )	$2.99 \times 10^{50}$ (c)	$3.87 \times 10^{50}$ (f)	$3.73 \times 10^{50}$ (c)
$\Gamma$	1.74 (c)	1.83 (f)	1.83 (c)
scale	$0.44 \pm 0.03$	$0.42 \pm 0.02$	$0.47 \pm 0.03$
Obscurer			
$N_{\text{H}}$ ( $10^{22} \text{cm}^{-2}$ )	$4.0 \pm 0.1$	$3.8 \pm 0.1$	$9.2 \pm 0.6, 1.0 \pm 0.2$
$\log \xi$ ( $\text{erg s}^{-1} \text{cm}$ )	$0.5 \pm 0.3$	$0.5 \pm 0.2$	$<2.0, -0.7^{+0.3}_{-0.4}$
$f_{\text{cov}}^{\text{X}}$	$0.610 \pm 0.008$	$0.595 \pm 0.008$	$0.44 \pm 0.02, 0.60 \pm 0.02$
Warm emitter			
$N_{\text{H}}$ ( $10^{21} \text{cm}^{-2}$ )	$9.6 \pm 1.6$	$9.8 \pm 1.6$	$11.7 \pm 1.7$
$\log \xi$ ( $\text{erg s}^{-1} \text{cm}$ )	$1.37 \pm 0.12$	$1.38 \pm 0.12$	$1.51 \pm 0.09$
$C_{\text{em}}$ ( $\Omega/4\pi$ )	0.01 (f)	0.01 (f)	0.01 (f)
$v_{\text{mac}}$ ( $\text{km s}^{-1}$ )	$950^{+320}_{-240}$	$960^{+300}_{-240}$	$1070^{+330}_{-260}$

**Notes.** The  $C$ -statistics refer to the final best-fit, where all obscuration, absorption, emission and extinction effects are taken into account. Expected  $C$ -statistics are calculated as described in [Kaastra \(2017\)](#). All quoted errors refer to the statistical uncertainties at the 68.3% confidence level. Frozen parameters are indicated with (f), which are mainly frozen to values given in [Paper I](#). Coupled parameters are indicated with (c). Both Models M1 and M2 use one photoionized component for the obscurer, while Model M3 uses two photoionized components. The continuum of the reflection component is coupled to that of the power-law component observed on 2019-11-15 for both Models M1 and M3 and 2016-12-05 for Model M2.

of the present power law. In the second column (Model M2), we fix the ionizing continuum of the reflection component to that of the power law observed on 2016-12-05, as in [Paper I](#). While the  $C$ -statistics of two models differ by  $\sim 28$ , their best-fit parameters are consistent with each other within the  $3\sigma$  confidence level. For the observed spectrum in 2019-12-05, while most of the best-fit parameters are comparable between Models M1 and M2, the scaling factor of the reflection component in the two models does not agree within the  $3\sigma$  confidence level (Table 3). This scaling parameter (“scale” in Tables 2 and 3) is identical to that of the pexrav model in XSPEC. Furthermore, we tested a third model (Model M3) for 2019-12-05, where the ionizing continuum of the reflection component is fixed to that derived from the 2019-11-15 spectrum. In this case, the best-fit continuum parameters are more consistent with those of Model M2, with their  $C$ -statistics differing by  $\sim 28$ . In these exercises, the choice of the photoionization continuum of the reflection component has no significant impact on the best-fit parameters of the obscurer, which is the main focus of this work.

Throughout this work, the ionization parameter ([Tarter et al. 1969](#); [Krolik et al. 1981](#)) is defined as  $\xi = L/(n_{\text{H}}r^2)$ , where  $L$  is the 1–1000 Ryd ionizing luminosity,  $n_{\text{H}}$  the hydrogen number density, and  $r$  the distance to the black hole. Between the two

observations in 2019 fitted with Model M1, we found that while the 1–1000 Ryd ionizing flux was lower in December (smaller by a factor of  $\sim 2$ ), the ionization parameters ( $\xi$ ) were larger (larger by a factor of  $\geq 100$ ). If  $n_{\text{H}}r^2$  of the obscurer remains the same in December, one would expect the ionization parameter to be smaller by a factor of  $\sim 2$  according to the definition of the ionization parameter. The best-fit ionization parameter does not support a constant  $n_{\text{H}}r^2$ . That is to say, unless the number density and/or distance of the obscurer decreases significantly within one month, the observed data cannot be explained by the same obscurer. The hydrogen column density is also larger by a factor of  $\sim 2$  in December. Therefore, we might be seeing two different obscurers in the two observations in 2019. A detailed variability study of the obscurer and the intrinsic continuum is beyond the scope of this work. We refer readers to [Paper IV \(Grafton-Waters et al. 2022\)](#).

For both 2019 spectra, we also attempted to add another *pion* component for the obscurer. The best-fit results are listed as Model M3 in Table 2 and Model M4 in Table 3. When using two *pion* components instead of one, the  $C$ -statistics can be significantly improved. Between the two obscuring components, the hydrogen column densities ( $N_{\text{H}}$ ) differed by nearly an order of magnitude. The one with relatively large  $N_{\text{H}}$  is the additional



**Table 3.** Similar to Table 2 but for 2019-12-05.

Model	M1	M2	M3	M4
Statistics				
$C_{\text{stat}}$	3600.1	3580.5	3608.3	3497.5
$C_{\text{expt}}$	$3383 \pm 81$	$3384 \pm 81$	$3384 \pm 81$	$3388 \pm 81$
d.o.f.	3054	3054	3054	3051
Disk blackbody				
Norm ( $\text{cm}^{-2}$ )	$4.0 \times 10^{26}$ (f)	$4.0 \times 10^{26}$ (f)	$4.0 \times 10^{26}$ (f)	$4.0 \times 10^{26}$ (f)
$T$ (eV)	10.2 (f)	10.2 (f)	10.2 (f)	10.2 (f)
Comptonisation				
Norm ( $\text{ph s}^{-1} \text{keV}^{-1}$ )	$1.1^{+1.3}_{-1.1} \times 10^{52}$	$4.8^{+1.3}_{-2.4} \times 10^{52}$	$3.1^{+2.5}_{-1.2} \times 10^{52}$	$18.3^{+7.1}_{-5.6} \times 10^{52}$
$T_{\text{seed}}$ (eV)	10.2 (c)	10.2 (c)	10.2 (c)	10.2 (c)
$T_c$ (keV)	0.06 (f)	0.06 (f)	0.06 (f)	0.06 (f)
$\tau$	30 (f)	30 (f)	30 (f)	30 (f)
Power-law				
Norm ( $\text{ph s}^{-1} \text{keV}^{-1}$ )	$(9.0 \pm 0.4) \times 10^{49}$	$(6.7 \pm 0.4) \times 10^{49}$	$(7.3 \pm 0.5) \times 10^{49}$	$(22.4 \pm 3.7) \times 10^{49}$
$\Gamma$	$1.82 \pm 0.03$	$1.69 \pm 0.03$	$1.70 \pm 0.03$	$2.02 \pm 0.04$
Reflection				
Norm ( $\text{ph s}^{-1} \text{keV}^{-1}$ )	$9.0 \times 10^{49}$ (c)	$38.7 \times 10^{49}$ (f)	$29.9 \times 10^{49}$ (f)	$22.4 \times 10^{49}$ (c)
$\Gamma$	1.82 (c)	1.83 (f)	1.74 (f)	2.02 (c)
scale	$1.41 \pm 0.14$	$0.30 \pm 0.02$	$0.29 \pm 0.02$	$0.83 \pm 0.11$
Obscurer				
$N_{\text{H}}$ ( $10^{22} \text{cm}^{-2}$ )	$10.5 \pm 0.1$	$7.0^{+2.7}_{-0.8}$	$9.4^{+1.2}_{-2.6}$	$56^{+8}_{-6}, 4.1^{+1.3}_{-0.6}$
$\log \xi$ ( $\text{erg s}^{-1} \text{cm}$ )	$2.6 \pm 0.2$	$2.0 \pm 0.6$	$2.5^{+0.1}_{-0.8}$	$0.5^{+1.2}_{-1.0}, -0.5^{+2.4}_{-3.8}$
$f_{\text{cov}}^{\text{X}}$	$0.72 \pm 0.02$	$0.64 \pm 0.04$	$0.67 \pm 0.04$	$0.54 \pm 0.05, 0.75 \pm 0.02$

**Notes.** Models M1, M2, and M3 use one photoionized component for the obscurer, while Model M4 uses two photoionized components. The continuum of the reflection component is coupled to that of the power-law component observed on 2019-12-05 for Model M1 and M4, 2016-12-05 for Model M2 (as in Paper I), and 2019-11-15 for Model M3.

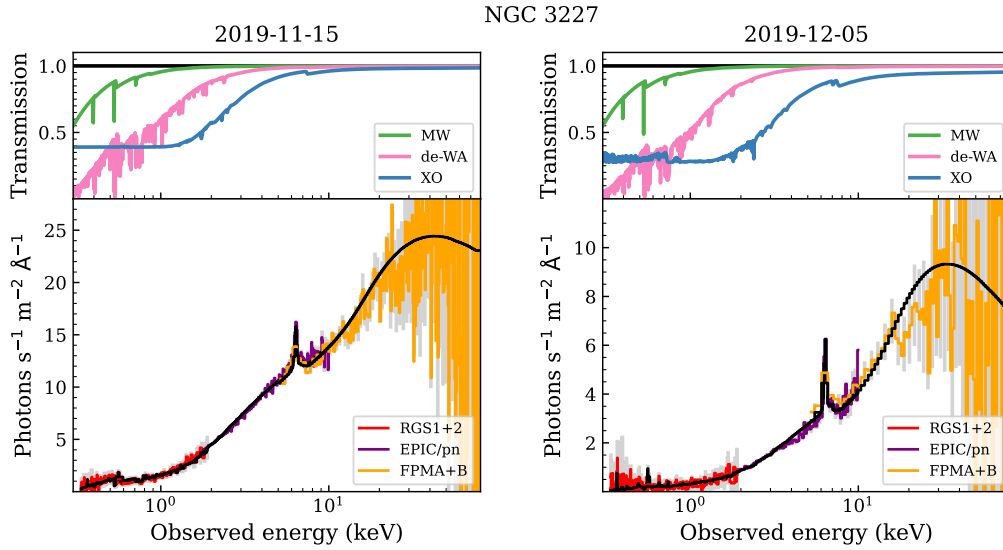
component. For 2019-11-15, the ionization parameter of the leading  $N_{\text{H}}$  component cannot be well constrained. For 2019-12-05, the ionization parameters of both components were poorly constrained. Moreover, the hydrogen column density of the additional photoionized component ( $\log \xi \sim 0.5$ ) is much higher than the other component. The significantly increased normalization of the continuum components also contributes to the increased  $N_{\text{H}}$  for the additional component. Without discrete observable absorption lines of the obscurer, adding a second *pion* component introduces degeneracy among the parameters ( $N_{\text{H}}$ ,  $\log \xi$ , and the normalizations of the continuum components). These parameters can therefore have relatively large uncertainties.

As discussed below, we are not able to pinpoint the location of the X-ray obscurer. We are also puzzled by the lack of UV absorption features of the X-ray obscurer. Using two photoionized components instead of one for the X-ray obscurer does not mitigate these issues. For simplicity, we only show the best-fit model to the observed data for Model M1 in Fig. 3. In the same figure, the transmissions of the obscurer, de-ionized warm absorber, and Galactic absorption can also be found. Figure 4 shows the best-fit model to the observed RGS data in the soft X-ray band. We note that the flux level of the 2019-12-05 spectrum is rather low and the emission lines of the warm emitter are barely observed.

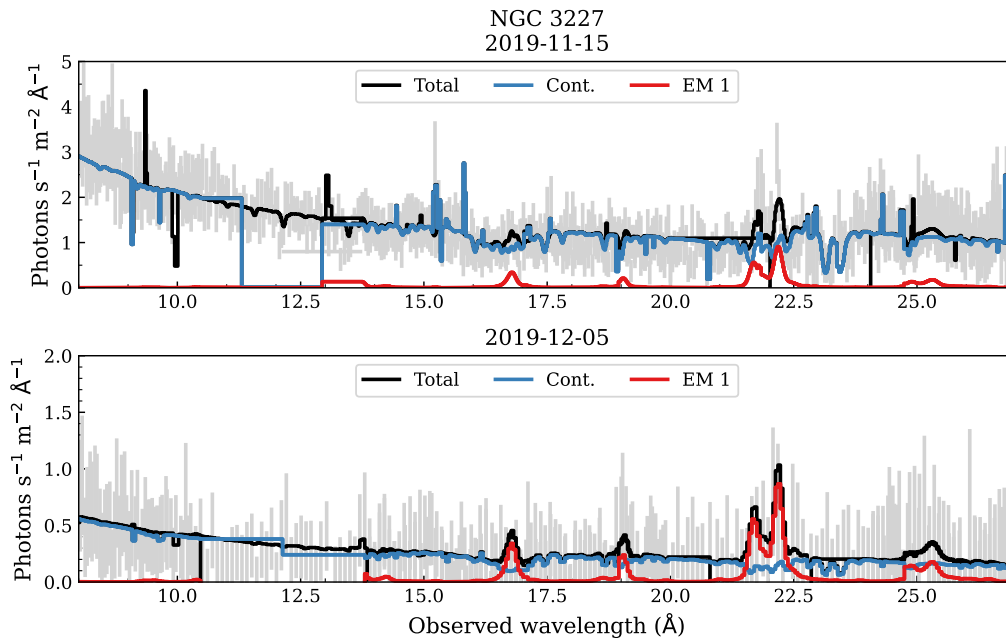
We caution that the transmission plots (upper panels of Fig. 3) are derived from plasma models in a rather fine energy grid. Si and S absorption lines around  $\sim 1.5\text{--}3 \text{keV}$  are not resolved with the current instruments. In the left panel of Fig. 5, we show the spectral region around  $\sim 7.0 \text{\AA}$  (or  $\sim 1.77 \text{keV}$ ) for EPIC/pn. Si VI to Si XII absorption lines from the obscurer dominates this energy range, probing a wide range in ioniza-

tion parameter (e.g., Mao et al. 2017). Three sets of models with different ionization parameters for the X-ray obscurer are shown for comparison. Based on Model M1 in Table 2, we re-fitted the observed 2019-11-15 data set with different ionization parameters (frozen). Although Si lines are not resolved with EPIC/pn, models with different ionization parameters can lead to different C-statistics, e.g.,  $\Delta C \sim 9$  between  $\log \xi = -1.0$  and  $\log \xi = 0.5$ , in this narrow wavelength range of  $6.7\text{--}7.3 \text{\AA}$ . This explains the relatively small  $1\sigma$  ( $\Delta C = 1$ ) statistical uncertainties for the obscurer in Tables 2 and 3. In Fig. 5, we also show simulated XRISM/Resolve (XRISM Science Team 2020) and Athena/X-IFU (Barret et al. 2018) spectra. The Si absorption lines can be better resolved with XRISM/Resolve, which has a relatively small effective area though. Athena/X-IFU is the most ideal instrument to put tight constraints on the ionization parameter of the X-ray obscurer.

With the above being said, we emphasize that the current data sets can rule out a highly ionized X-ray obscurer. Based on Model M1 for both observations, we fixed the ionization parameter of the obscurer to different values spanning six decades and re-fit the observed spectra. The changes of C-statistics ( $\Delta C$ ) are shown in Fig. 6, where  $\Delta C$  is rapidly increasing when  $\log \xi \gtrsim 1.5$  for 2019-11-15 and  $\log \xi \gtrsim 3.0$  for 2019-12-05. On one hand, this is due to the lack of absorption lines (e.g., Si X, S XV, Fe XXVI) in the observed spectra. On the other hand, a large fraction of soft X-ray photons would leak through a highly ionized obscurer. Taking into account the absorption effect of the de-ionized warm absorber, this would lead to a rather different continuum shape in the soft X-ray band than the observed one. A lowly ionized X-ray obscurer is also statistically unacceptable, especially for the 2019-11-15 data set. Due to the relatively short



**Fig. 3.** Best-fit model (Model M1 in Tables 2 and 3) to the *XMM-Newton* (EPIC/pn and RGS) and *NuSTAR* spectra of NGC 3227 in late 2019. The top panels are the transmission of the X-ray obscurer (XO), de-ionized warm absorber (de-WA), and the Galactic absorption (MW). Data (colored curves with  $1\sigma$  uncertainties in gray) and model (black curves) of each instrument are rebinned for clarity.



**Fig. 4.** Best-fit model (Model M1 in Tables 2 and 3) to the RGS spectra of NGC 3227 on 2019-11-15 (*top*) and 2019-12-05 (*bottom*). The warm emitter component is shown in red and is identical for both observations, while the continuum is shown in blue. The black curves are the total emission.

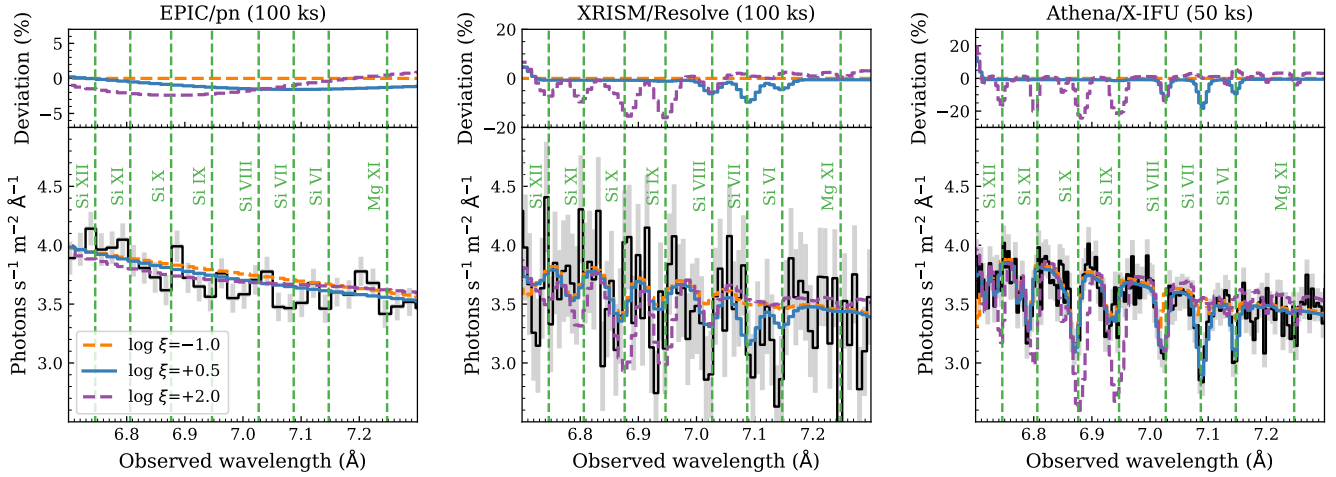
exposure (Table 1) and low flux (e.g., Fig. 3), the 2019-12-05 data set is less sensitive ( $\Delta C \lesssim 20$ ) to a wide range of ionization parameters.

#### 4. C IV emission line profiles

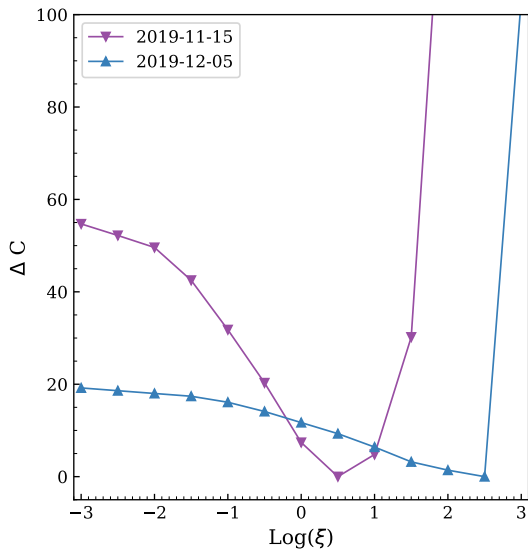
For the long-lasting X-ray obscuration event in NGC 5548 (Kaastra et al. 2014), fingerprints of the obscurer were found as blueshifted broad absorption troughs in the UV (Kriss et al. 2019a) and NIR (Landt et al. 2019; Wildy et al. 2021) collected in 2011–2016. As the obscurer is closer to the central engine than the warm absorber, the shielding effect provided by the obscurer can also give rise to new narrow absorption lines of

weakly ionized species of the warm absorber, as well as variation of the existing narrow UV absorption lines of the warm absorber (Arav et al. 2015). Blueshifted broad absorption troughs in the UV spectra were also found for the short-lived X-ray obscuration events in December 2016 for NGC 3783 (Mehdipour et al. 2017). We note that X-ray obscuration events in NGC 3783 are also recurrent (Kaastra et al. 2018).

For NGC 3227, if the obscurer observed in the X-ray band intercepts our line of sight to (a significant portion of) the UV-emitting region, we would expect to see absorption features in the UV band. As shown in Fig. 7, the ionic column densities of for example, H I, C IV, N V, and Si IV for the X-ray obscurer are all well above  $10^{14} \text{ cm}^{-2}$  for a wide range of the ionization



**Fig. 5.** X-ray spectra around  $\sim 7.0$  Å (or  $\sim 1.77$  keV) for EPIC/pn (100 ks, *left*), XRISM/Resolve (100 ks, *middle*), and Athena/X-IFU (50 ks, *right*). Data are shown in black with  $1\sigma$  uncertainties shown in gray. Absorption lines from Si VI to Si XII and Mg XI are highlighted with vertical dashed lines in green. Three sets of models with different ionization parameters for the X-ray obscurer are shown:  $\log \xi = -1.0$  in orange and dashed lines,  $\log \xi = 0.5$  in blue and solid lines,  $\log \xi = 2.0$  in purple and dashed lines, respectively. Deviation (in percentage) among the three models is shown in the *upper panels*.



**Fig. 6.** Change in C-statistics ( $\Delta C$ ) for models with different ionization parameters for the X-ray obscurer. These are obtained by varying the ionization parameter of the obscurer (frozen to different values) of Models M1 in Tables 2 and 3 and re-fitting the observed 2019 spectra.

parameter ( $-3 \lesssim \log \xi \lesssim 3$ ). These column densities are large enough to produce blueshifted absorption troughs in the HST/COS spectra for NGC 3227, similar to those in NGC 5548 (Kaastra et al. 2014) and NGC 3783 (Mehdipour et al. 2017; Kriss et al. 2019b). Although a highly ionized X-ray obscurer can result in low ionic column densities, it is not consistent with the observed X-ray data (Sect. 3).

Figure 8 compares the two 2019 HST/COS spectra with the 2010-05-10 HST/COS and 2000-02-08 HST/STIS spectra. No prominent blueshifted broad absorption troughs were found in any of these data. We did not find the emergence of new narrow absorption features either. No significant variations among the known narrow absorption lines were found, although we caution that the strongest ones were saturated. The apparent variable feature at  $\sim +1800$  km  $s^{-1}$  in the C IV emission-line profile is Si I  $\lambda 1568$  absorption intrinsic to NGC 3227; its actual variability is

not significant. It appears enhanced in Fig. 8 due to the continuum subtraction and scaling applied to individual spectra so that the blue wings of C IV are comparable.

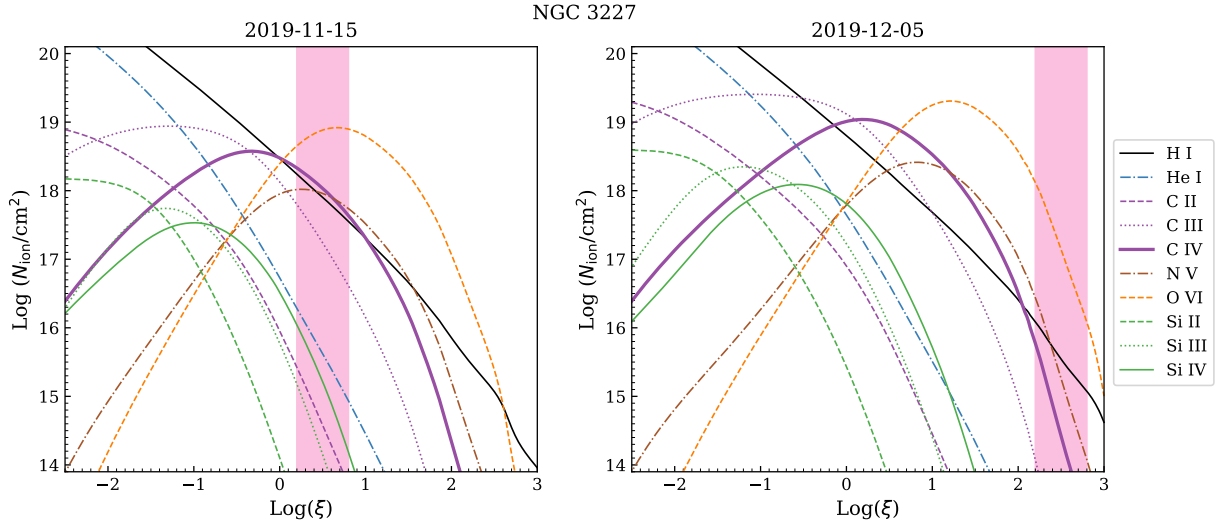
Multiple X-ray obscuration events were found in NGC 3227 in 2000–2001 (Lamer et al. 2003; Markowitz et al. 2014), 2002 (Markowitz et al. 2014), 2006 (Paper II), 2008 (Beuchert et al. 2015), 2016 (Turner et al. 2018, and Paper II), and 2019 (present work). We first check whether NGC 3227 was obscured in the X-ray band on 2000-02-08 and 2010-05-10. If so, it might explain the similarity between the scaled C IV emission line profiles.

Unfortunately, no X-ray observations were available in the entire year of 2010. On the other hand, there was a weekly monitoring campaign of NGC 3227 with RXTE in early 2000 (Markowitz et al. 2014). According to the authors, between November 2000 and February 2001, the general indicator of hard X-ray spectral shape (“apparent photon index” introduced by the authors) varied significantly, similar to the two secure obscuration events identified (their Fig. A.12). Moreover, the maximum hardness ratio of  $F_{7-10\text{keV}}/F_{2-4\text{keV}}$  was  $6\sigma$  above the average. Nonetheless, this period was not considered as an obscuration event because it failed to meet one of the criteria of secure events defined by Markowitz et al. (2014), where the hardness ratio was required to increase for at least two consecutive snapshots in a row.

We cannot rule out the possibility that a short-lived (less than the one-week cadence of RXTE observations in early 2000) obscuration event occurred on 2000-02-08. Markowitz et al. (2014) identified a secure short ( $\sim 2.1$ – $6.6$  day) obscuration event with RXTE in October 2002. On 2016-12-09, another short-lived ( $\lesssim 4$  d) obscuration event was identified (Turner et al. 2018, and Paper II) with deep *XMM-Newton* observations. We note that *XMM-Newton* provides data in the soft X-ray band below 2 keV, which is outside the band pass of RXTE but the soft X-ray band is where the obscuration effect is most prominent (e.g., Fig. 2 of Paper I).

Considering the variation of the known obscurer (on both shorter and longer timescales) in other Seyfert galaxies (Di Gesu et al. 2015; Mehdipour et al. 2016a; Cappi et al. 2016; De Marco et al. 2020), even if X-ray obscuration events occurred on 2000-02-08 and 2010-05-10, it is still difficult to reconcile the similar





**Fig. 7.** Ionic column densities of the obscurer in NGC 3227 observed on 2019-11-15 (*left*) and 2019-12-05 (*right*). The shaded areas mark the 1 $\sigma$  range of the ionization parameters of the obscurer (Model M1 in Tables 2 and 3).

**Table 4.** Distance of the obscurers to the black hole (Eq. (2)) with  $M_{\text{BH}} = 5.96 \times 10^6 M_{\odot}$  (Bentz & Katz 2015). M14 and B15 are short for Markowitz et al. (2014) and Beuchert et al. (2015), respectively.

Event	2000–2001	2002	2006-12-03	2008	2016-12-09a	2016-12-09b	2019-11-15	2019-12-05
Ref.	M14	M14	Paper II	B15	Paper II	Paper II	Paper III	Paper III
$L_{\text{ion}}$ (erg s $^{-1}$ )	$10^{43}$	$10^{43}$	$19.1 \times 10^{42}$	$8.9 \times 10^{42}$	$23.5 \times 10^{42}$	$23.5 \times 10^{42}$	$11.7 \times 10^{42}$	$5.8 \times 10^{42}$
$t_{\text{cross}}$ (day)	77–94	13.3	0.23–372	$\geq 35$	0.45–3.53	$\sim 0.23$	1.2–50	$\geq 0.58$ –127
$N_{\text{H}}$ ( $10^{22}$ cm $^{-2}$ )	19–26	13.3	1.98	11.2	8.27, 1.25	1.33	3.5	8.3
$\log \xi$	0.0, –0.3	0.0	1.55	1.1	2.81, 1.02	1.89	0.4	2.0
$f = 0.1$ (stream line)								
$r$ (ld)	144–156, 189–205	42–66	12–222	48–73	2.9–6.7, 32–73	$\sim 11$	36–162	2.0–17
$n_e$ ( $10^8$ cm $^{-3}$ )	0.6–0.7, 0.7–0.8	3.4–8.5	0.02–6.0	0.2–0.5	1.2–6.5, 0.6–3.2	$\sim 3.9$	0.2–4.3	0.07–5.3
Radial size ( $10^2 R_S$ )	18–21, 15–18	0.9–2.2	0.2–69	14–32	0.7–3.8, 0.2–1.1	$\sim 0.2$	0.5–11	1.1–84
$f = 1$ (spherical cloud)								
$r$ (ld)	57–62, 75–82	17–26	4.6–88	19–29	1.2–2.7, 13–29	$\sim 4.3$	14–65	0.8–7.0
$n_e$ ( $10^9$ cm $^{-3}$ )	0.4–0.5, 0.4–0.5	2.1–5.3	0.01–3.8	0.1–0.3	0.8–4.1, 0.4–2.0	$\sim 2.5$	0.1–2.7	0.04–3.4
Radial size ( $R_S$ )	281–329, 245–287	14–35	3.0–1092	221–511	12–60, 3.5–18	$\sim 3.1$	8.4–172	18–1328
$f = 10$ (flatbread)								
$r$ (ld)	23–25, 30–33	6.7–11	1.8–35	7.6–12	0.5–1.1, 5.1–12	$\sim 1.7$	5.7–26	0.3–2.8
$n_e$ ( $10^9$ cm $^{-3}$ )	2.4–2.9, 2.8–3.3	13–34	0.06–24	0.8–1.8	5.0–26, 2.5–13	$\sim 15$	0.8–17	0.3–21
Radial size ( $R_S$ )	44–52, 39–45	2.2–5.6	0.5–173	35–81	1.8–9.5, 0.6–2.9	$\sim 0.5$	1.3–27	2.8–210
$f = 3000$ (flatbread)								
$r$ (ld)	2.3–2.5, 3.1–3.3	0.7–1.1	0.2–3.6	0.8–1.2	0.05–0.1, 0.5–1.2	$\sim 0.2$	0.6–2.6	0.03–0.3
$n_e$ ( $10^{11}$ cm $^{-3}$ )	2.3–2.8, 2.7–3.2	13–32	0.06–23	0.8–1.7	4.8–25, 2.4–12	$\sim 15$	0.8–16	0.3–20
Radial size ( $R_S$ )	0.46–0.54, 0.40–0.47	0.02–0.06	0.005–1.8	0.36–0.85	0.02–0.10, 0.01–0.03	$\sim 0.01$	0.01–0.3	0.03–2.2

**Notes.** Distances are calculated for three representative geometries: a stream line ( $f = 0.1$ ), a spherical cloud ( $f = 1$ ), and a flatbread ( $f = 10$ ). When multiple obscuration events occur on the same day, we use lower case letters (a and b here) to differentiate them from single events. We caution that the ionization parameter of 2019-12-05 (L), marked with †, is poorly constrained (Table 3).

UV absorption features in all four HST spectra. Therefore, we explore possible explanations of the lack of new UV absorption features assuming that X-ray obscuration events were absent on 2000-02-08 and 2010-05-10.

## 5. Discussion

We argue that large ionic column densities inferred from the X-ray analysis (Fig. 7) do not necessarily produce observable absorption features in HST/COS. This might be explained if the X-ray obscurer does not intercept our line of sight to (a significant portion of) the UV-emitting region. There are two possible scenarios: (1) the obscurer is launched in the vicinity of the central engine and has not yet reached the UV-emitting region; or (2) the obscurer is above the UV-emitting region but is rather compact in size, meaning that it does not cover a significant portion

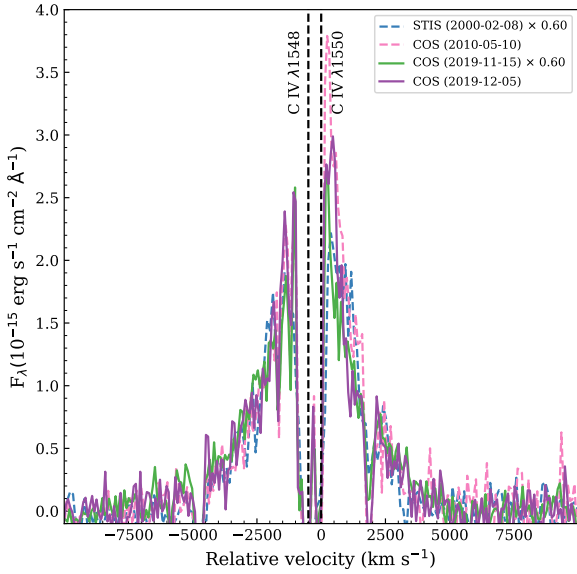
of the UV-emitting region. For the former, we estimated the distance of the obscurer and compared it to the UV-emitting region (Sect. 5.2). For the latter, we showed this is plausible considering the size of the X-ray- and UV-emitting region and the X-ray covering factor (Sect. 5.3).

### 5.1. Effective UV-emitting region radius

We first estimate the effective radius of the UV-emitting region ( $R_{2500\text{\AA}}$ ) following Eq. (S7) of Burke et al. (2021)

$$R_{2500\text{\AA}} = 10^{14.95 \pm 0.05} \text{ cm} \left( \frac{L_{5100\text{\AA}}}{10^{44} \text{ erg s}^{-1}} \right)^{0.53 \pm 0.04}, \quad (1)$$

where  $L_{5100\text{\AA}}$  is the optical continuum luminosity. For NGC 3227, with  $L_{5100\text{\AA}} \sim 3 \times 10^{42} \text{ erg s}^{-1}$  (De Rosa et al. 2015),



**Fig. 8.** C IV line profile in NGC 3227 observed with HST/COS on 2000-02-08 (blue), 2010-05-10 (pink), 2019-11-15 (green), and 2019-12-05 (purple). Local continua are subtracted here. Both the 2000-02-08 and 2019-11-15 line profiles are scaled by 0.6. The vertical dashed lines mark the C IV  $\lambda\lambda 1548, 1550$  doublet.

we have  $R_{2500\text{\AA}} \sim 1.4 \times 10^{14}$  cm or  $\sim 0.05$  ld. This is equivalent to  $\sim 80 R_S$ , where the Schwarzschild radius  $R_S = 2GM_{\text{BH}}/c^2 = 1.76 \times 10^{12}$  cm.

## 5.2. Distance estimation of the X-ray obscurer

We estimate the distance of the obscurer to the central engine and compare it to the distance of the broad-line region (BLR) and torus given in the literature. The distance estimation is based on the following assumptions. The obscurer has a uniform density and ionization parameter  $\xi = L_{\text{ion}}/n_{\text{H}} r^2$  (Tarter et al. 1969; Krolik et al. 1981), where  $L_{\text{ion}}$  is the 1–1000 Ryd ionizing luminosity,  $n_{\text{H}}$  is the hydrogen number density of the obscurer,  $r$  is the distance to the central engine. The length scale of the obscurer along the line of sight is  $N_{\text{H}}/n_{\text{H}}$ . We assume that the length scale of the obscurer across the line of sight is simply  $f N_{\text{H}}/n_{\text{H}}$ , where  $f$  is the ratio of the azimuthal to radial length scale. The obscurer might be viewed as a stream line ( $f < 1$ ), a spherical cloud ( $f = 1$ ), or a flatbread ( $f > 1$ ). The velocity of the obscurer across our line of sight is  $v_{\text{cross}} = f N_{\text{H}}/(n_{\text{H}} t_{\text{cross}})$ , where  $t_{\text{cross}}$  is the crossing time. We further assume  $v_{\text{cross}} = \sqrt{G} M_{\text{BH}}/r$ , where  $G$  is the gravitational constant and  $M_{\text{BH}}$  the black hole mass. We note that the radial velocity can be much larger than the crossing (or azimuthal) velocity. We have

$$r \approx \left( \frac{15.4}{\text{ld}} \right) M_{\text{BH},7}^{1/5} \left( \frac{L_{\text{ion},42}}{f N_{\text{H},22} \xi} \frac{t_{\text{cross}}}{\text{day}} \right)^{2/5}, \quad (2)$$

where  $M_{\text{BH},7} = M_{\text{BH}}/10^7 M_{\odot}$ ,  $L_{\text{ion},42} = L_{\text{ion}}/10^{42}$  erg s $^{-1}$ , and  $N_{\text{H},22} = N_{\text{H}}/10^{22}$  cm $^{-2}$ . With  $f = 1$ , we obtain the distance estimation equations used by Lamer et al. (2003) and Beuchert et al. (2015).

Table 4 lists the distance estimation of the obscurer to the black hole for  $f = 0.1, 1, 10,$  and  $3000$ , including all the previously identified X-ray obscuration events (Lamer et al. 2003; Markowitz et al. 2014; Beuchert et al. 2015; Turner et al. 2018, and Paper II). Originally, Markowitz et al. (2014, M14) used a black hole mass of  $7.59 \times 10^6 M_{\odot}$  (Denney et al. 2010) and

Beuchert et al. (2015, B15) used the average value of various black hole mass measurements of  $1.75 \times 10^7 M_{\odot}$ . Following Paper I, we adopt the black hole mass of  $5.96 \times 10^6 M_{\odot}$  from Bentz & Katz (2015). The distance to the black hole for the X-ray obscuration events identified by Markowitz et al. (2014) and Beuchert et al. (2015) is re-calculated for comparison purposes.

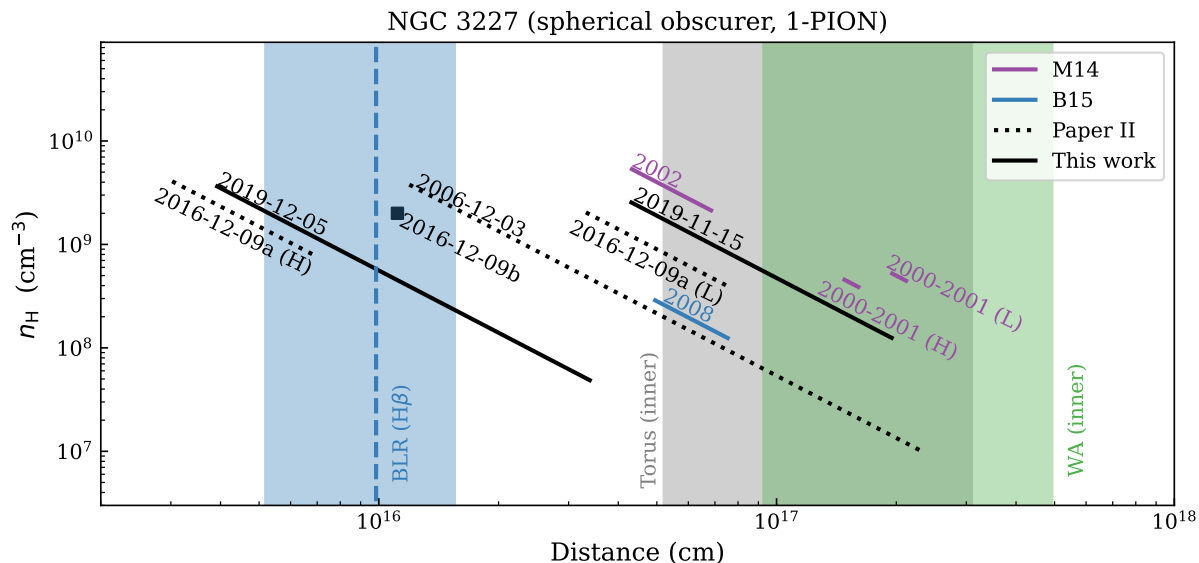
We also compare the distance of the obscurer to that of the BLR, the dusty torus, and the innermost warm absorber from the literature. Denney et al. (2009) measured a  $3.8 \pm 0.8$  ld distance of the broad H $\beta$  line via reverberation mapping. This value is consistent with values tabulated in Markowitz et al. (2014), which range from  $\sim 2$  ld (He I  $\lambda 5876$ ) to  $\sim 6$  ld (Pa $\beta$  and Pa $\delta$ ). In Paper II, the estimated inner radius of the dusty torus is  $\sim 107$  ld following Nenkova et al. (2008). We caution that the inner torus radius estimated by Nenkova et al. (2008) is valid for small dust grains. For large dust grains, one would expect a smaller distance value by a factor of  $\sim 4$ – $5$  (for NGC 5548, Landt et al. 2019). The reverberation measurement of the inner radius of the dusty torus is  $\sim 20$  ld (Suganuma et al. 2006). In Paper II, the innermost warm absorber component has a distance of  $\sim 36$ – $190$  ld.

Figure 9 compares the distance of the spherical obscuring cloud to that of the BLR and the dusty torus. As we can infer from Table 4, as the azimuthal to radial size ratio ( $f$ ) increases, the obscurer gets closer to the black hole ( $r \propto f^{-2/5}$ ) and its number density increases while its radial size decreases. For  $f \sim 1$ , the number density of the obscurer ( $\sim 10^{8-9}$  cm $^{-3}$ ) is lower than the typical number density of the BLR clouds ( $\sim 10^{9-13}$  cm $^{-3}$ , Peterson 2006) but similar to the number density of torus materials ( $\sim 10^8$  cm $^{-3}$ , Landt et al. 2015).

In our spectral analysis (Sect. 3), we assume that all the warm absorber components are de-ionized by the obscurer. The same assumption is adopted in Papers I and II for NGC 3227, as well as Kaastra et al. (2014) for NGC 5548 and Mehdipour et al. (2017) for NGC 3783. An obscurer with  $r \lesssim 107$  ld (the inner edge of the dusty torus) is consistent with our spectral analysis. This expectation can be met with  $f \gtrsim 1$  as shown in Table 4. Nonetheless, we caution that it does not firmly rule out a geometry with  $f \lesssim 1$ . In particular, for  $f \ll 1$ , our assumption of a uniform density and ionization parameter would likely fail due to stratification (e.g., Fukumura et al. 2014; Matthews et al. 2020; Ganguly et al. 2021).

In Sect. 3, we also fit the observed spectra with two *pion* components. For the 2019-11-15 observation, the highly ionized component of the obscurer only has an upper limit on the ionization parameter ( $\log \xi \lesssim 2$ ). For the 2019-12-05 observation, the weakly ionized component has very large uncertainties on the ionization parameter ( $\log \xi = -0.5_{-3.8}^{+4.5}$ ). Thus, we cannot well constrain the distance of the obscuring components via Eq. (2).

In summary, for an X-ray obscurer with one photoionized component, the effective UV-emitting region radius ( $R_{2500\text{\AA}} \sim 0.05$  ld, Sect. 5.1) is closer to the black hole than the obscurer unless the azimuthal to radial length scale of the obscurer is  $\gg 10^3$ . Moreover,  $R_{2500\text{\AA}} \sim 80 R_S$  means that a spherical X-ray obscurer with a radius of  $\gtrsim 80 R_S$  is expected to intercept our line of sight to the UV-emitting line region, whether the X-ray obscurer is close to the BLR or the torus. Such deductions do not apply to other geometries with  $f \neq 1$ . In Table 4, for  $f = 1$ , in only two of the eight X-ray obscuration events (late 2000 to early 2001 and 2008), the radius (equal to the radial size) of the X-ray obscurer is larger than  $80 R_S$ . Unfortunately, we do not have UV grating spectroscopic observations in coordination with these X-ray observations.



**Fig. 9.** Distance and number density ( $n_{\text{H}}$ ) of obscurers in NGC 3227, assuming a spherical geometry ( $f = 1$  in Table 4) for the obscurer. As the azimuthal to radial size ratio ( $f$ ) increases, the estimated distance decreases while the density increases. M14 and B15 denotes obscuration events reported by Markowitz et al. (2014) and Beuchert et al. (2015), respectively. Shaded area in blue mark the distance of the BLR from M14 (2–6 ld), as well as the  $\text{H}\beta$  distance from Denney et al. (2009). Shaded area in grey mark the distance range of the inner radius of the dusty torus. The upper limit ( $\sim 107$  ld) is obtained for small dust grains (Eq. (8) of Paper II). The lower limit ( $\sim 20$  ld, Suganuma et al. 2006) is the reverberation measurement. Shaded area in green mark the distance of the inner most warm absorber (Paper II). When multiple obscuration events occur on the same day, we use lower case letters (a and b) to differentiate them. When the obscurer requires two components with different ionization parameters, upper case letters H and L refer to the high- and low-ionization component, respectively.

### 5.3. UV covering factor of the X-ray obscurer

As we cannot rule out the possibility that the obscurer is above the UV-emitting region, here we explore possible interpretations of the observed data in this case. The weakness or absence of the blueshifted broad absorption troughs in the HST/COS spectra can be explained if the obscurer observed in the X-ray band does not intercept our line of sight to (a significant portion of) the UV-emitting region. We note that the X-ray covering factor of the obscurer is  $\leq 0.7$  for NGC 3227 in 2019. The UV covering factor is expected to be even smaller. Assuming a fiducial X-ray-emitting central engine of the order of  $10 R_{\text{S}}$ , the UV-emitting region with  $R_{2500\text{\AA}} \sim 80 R_{\text{S}}$  would be a factor of 64 larger. The X-ray obscurer would then cover  $\leq 1\%$  of the UV-emitting region. Given the quality of our HST/COS spectra, it would be hard to detect broad absorption features of a few hundred  $\text{km s}^{-1}$  in width. In NGC 5548, the X-ray covering factor between 2012 and 2016 is  $\geq 0.7$  (Mehdipour et al. 2016b). The relatively large inclination angle ( $\sim 60^\circ$ , Li et al. 2013; Fischer et al. 2013) for NGC 3227 might also play a role. For comparison, the inclination angles for both NGC 3783 and NGC 5548 are  $\sim 40^\circ$  (Li et al. 2013; Fischer et al. 2013; Pancoast et al. 2014).

## 6. Summary

Multiple X-ray obscuration events were reported in the nearby Seyfert 1.5 galaxy NGC 3227 from 2000 to 2016. Another X-ray obscuration event was found in late 2019. Our photoionization modeling of the two X-ray observations (in mid-November and early December) requires distinct parameters of the obscurer, which cannot be explained by the same obscurer (whether it has one or two photoionized components) responding to the variable ionizing continuum.

In the UV band, previous X-ray obscuration events found in for example NGC 5548 and NGC 3783 are accompanied

with blueshifted broad absorption troughs in the simultaneous UV grating spectra. However, no prominent blueshifted broad absorption troughs were found in NGC 3227 when comparing the new HST/COS spectra obtained in 2019 with archival UV spectra obtained in 2000 and 2010.

We discuss two possible explanations for the lack of X-ray and UV association in NGC 3227: namely either (1) the obscurer is launched in the vicinity of the central engine and has not yet reached the UV-emitting region; or (2) the obscurer is above the UV-emitting region but is rather compact in size so that the X-ray obscurer does not cover a significant portion of the UV-emitting region. For the former, due to the unknown geometry of the obscurer, we cannot well constrain its distance to the central engine and compare it to that of the UV-emitting region. For the latter, we argue that this might be the case based on the size of the X-ray- and UV-emitting region and the X-ray covering factor.

Understanding the variety of observational differences in the X-ray obscuration events in NGC 3227 and other targets like NGC 5548 and NGC 3783 is not straightforward. Future multi-wavelength spectroscopic observations are needed to establish a general understanding of the nature of the X-ray obscuration events in type I AGN.

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- 1 Department of physics, Hiroshima University, 1-3-1 Kagamiyama, Higashi-Hiroshima, Hiroshima 739-8526, Japan  
e-mail: mao@astro.hiroshima-u.ac.jp
- 2 SRON Netherlands Institute for Space Research, Niels Bohrweg 4, 2333 CA Leiden, The Netherlands
- 3 Leiden Observatory, Leiden University, PO Box 9513, 2300 Leiden, The Netherlands
- 4 Space Telescope Science Institute, 3700 San Martin Drive, Baltimore, MD 21218, USA
- 5 CAS Key Laboratory for Research in Galaxies and Cosmology, Department of Astronomy, University of Science and Technology of China, Hefei 230026, PR China
- 6 School of Astronomy and Space Science, University of Science and Technology of China, Hefei 230026, PR China
- 7 Department of Astronomy, Nanjing University, Nanjing 210093, PR China
- 8 Key Laboratory of Modern Astronomy and Astrophysics (Nanjing University), Ministry of Education, Nanjing 210093, PR China
- 9 Mullard Space Science Laboratory, University College London, Holmbury St. Mary, Dorking, Surrey RH5 6NT, UK
- 10 INAF-IASF Palermo, Via U. La Malfa 153, 90146 Palermo, Italy
- 11 Centre for Extragalactic Astronomy, Department of Physics, Durham University, South Road, Durham DH1 3LE, UK
- 12 Institute of Astronomy, University of Cambridge, Madingley Road, Cambridge CB3 0HA, UK
- 13 Italian Space Agency (ASI), Via del Politecnico snc, 00133 Roma, Italy
- 14 Dipartimento di Matematica e Fisica, Università degli Studi Roma Tre, via della Vasca Navale 84, 00146 Roma, Italy
- 15 Univ. Grenoble Alpes, CNRS, IPAG, 38000 Grenoble, France
- 16 Departament de Física, EEBE, Universitat Politècnica de Catalunya, Av. Eduard Maristany 16, 08019 Barcelona, Spain
- 17 INAF-Osservatorio Astronomico di Brera, Via E. Bianchi 46, 23807 Merate (LC), Italy
- 18 Max Planck Institute für Extraterrestrische Physik, 85748 Garching, Germany
- 19 Telespazio UK for the European Space Agency (ESA), European Space Astronomy Centre (ESAC), Camino Bajo del Castillo, s/n, 28692 Villanueva de la Cañada, Madrid, Spain
- 20 Department of Physics, Technion-Israel Institute of Technology, 32000 Haifa, Israel