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Arthur Desvals, Mariagrazia Fortino, Corentin Lefebvre, Johann Rogier, Clément Michelin, et al.. Synthesis and characterization of polymethine dyes carrying thiobarbituric and carboxylic acid moieties. *New Journal of Chemistry*, 2022, 10.1039/D2NJ00684G . hal-03653553v1

HAL Id: hal-03653553

<https://hal.science/hal-03653553v1>

Submitted on 27 Apr 2022 (v1), last revised 16 May 2022 (v2)

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Synthesis and characterization of polymethine dyes carrying thiobarbituric and a carboxylic acid moieties †

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Abstract

An efficient synthesis of polymethine dyes carrying thiobarbituric and a carboxylic acid moiety has been developed. Such compounds play a key role in many photometric detections and quantifications of enzyme activities. In such tests, the metabolite of the enzyme activities is transformed into a β -dicarbonyl derivative. In the present study, this compound was prepared from furfural through organic synthesis. Its *in situ* transformation with thiobarbituric acid derivatives yields the target compounds on a gram-scale (0.4 to 0.6 g). A combined experimental and theoretical study of the photophysical properties of the synthesized compounds was carried out. Absorption and emission spectroscopy measurements highlighted a slight solvatochromism effect. The luminescence was quenched by molecular oxygen, indicating the partial triplet multiplicity character of the lowest excited state. Density Functional Theory (DFT) calculations have been applied for the evaluation of favoured conformations for these new compounds and the study of their optical properties. Within the Franck Condon principle, vibrationally resolved electronic one-photon absorption spectrum has been simulated. This simulation shows the presence of a major band followed by a vibronic sideband, typical of organic chromophores in solution. The performed computational study revealed that the transition from the ground to the first excited electronic state has a π - π^* character. Finally, TD-DFT energy level diagram calculations highlighted the presence of triplet states very close to the first singlet excited one, suggesting probable access to the triplet-excited state.

† Electronic supplementary information (ESI) available:

INTRODUCTION

The chromophoric system of polymethine dyes contains linear arrangements of π -conjugated bonds with an odd number of sp^2 hybridized carbon atoms.¹ The chains are flanked with electron donor or acceptor groups, often heterocycles. Either the polyene chain is substituted with the same group or it carries two different electron active groups. In the latter case, push-pull compounds² can be obtained. Polymethine dyes have been applied in many domains,³ for example as sensors⁴ or for photopolymerisation.⁵ They interact with many biomacromolecules.⁶ Most frequently, polymethine dyes are obtained by condensation of unsaturated C-H acidic compounds with unsaturated aldehydes thus generating a more or less extended π -conjugated sp^2 -hybridized carbon chain^{1,2,7} similar to those of carotenes. Most frequently, amines are used as auxochromic groups. The resulting subfamily of polymethine dyes is called cyanines (Figure 1).¹ They possess an enamine and an iminium function. The positive charge is delocalized over the polymethine core chain. Less frequently, polymethine dyes possessing a negative charge at the lateral auxochromic groups have been reported. They are called oxonols and the negative charge is delocalized over the polymethine chain. In this case, often barbituric acid derivatives are used. It is interesting to note that despite the different lateral functionalities the spectroscopic properties are very similar for compounds of both families possessing the same polymethine chain length.⁸ Some commercial oxonols are used, for example, to assess the membrane potential of living cells.⁹

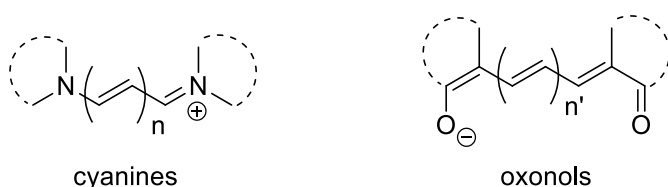
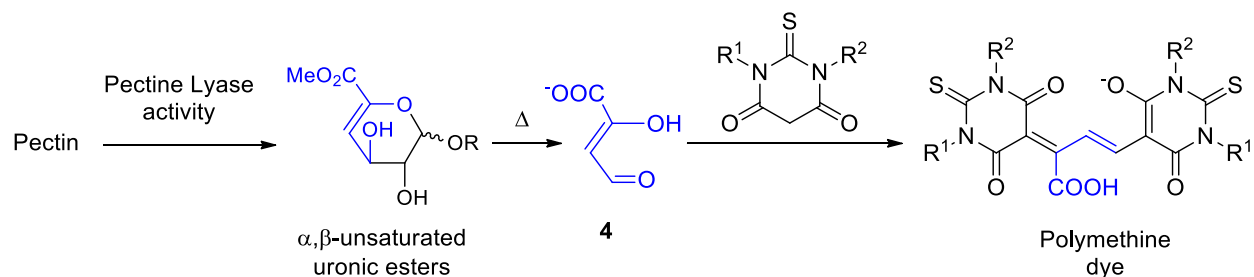


Figure 1. Two subfamilies of polymethine dyes: cyanines and oxonols.

Oxonols are attracting huge interest since they are formed from metabolites by condensation with thiobarbituric acid.¹⁰ Therefore, the formation of these dyes indicates specific enzymatic activities,¹¹ as in the case of a pectin lyase activity summarized in Scheme 1.^{12,13} Pectins are mainly composed of polygalacturonates. Their depolymerisation plays an important role in fruit juice processing or wine making since the viscosity is reduced and processes such as filtration, extraction or clarification are thus facilitated. Mainly two kinds of pectolytic activities exist: (1) Polygalacturonase in combination with pectin esterase and (2) pectin lyase.^{12,14} In the first case galacturonic acid monomers are formed and in the second case the α,β -unsaturated uronic esters are formed which are transformed into the yellow formyl pyruvic acid derivative **4** ($\lambda_{\max} = 419$ nm). Photometric tests developed on this basis are sensitive. However, the direct detection and quantification of **4** is rather unspecific since several other compounds also absorb in this spectral domain.¹² The selective transformation of **4** into a dye absorbing at longer wavelength was therefore performed. Thiobarbituric acid or its derivatives revealed to be particularly efficient since they lead almost quantitatively to the polymethine dyes which absorb at $\lambda_{\max} \approx 550$ nm). Thus under the biochemical test conditions a polyene chain is formed that carries two identical auxochromic substituents at the ends and a carboxylic acid function. The above-mentioned activities are often concomitantly observed in enzyme preparations or microorganisms. Earlier studies revealed that this technique was not specific since also smaller amounts of galacturonic acid may be transformed into **4** and thus into the polymethine dyes.¹⁵ The test conditions were optimised so that the selective detection of a pectin lyase activity became possible by this method.¹² This test is now most frequently

applied for the detection of a pectin lyase activity. However, the formation of cyanine dyes for this purpose should be less efficient since under the test conditions, they are less stable. Due to the presence of iminium function, these compounds undergo nucleophilic intermolecular or intramolecular addition by the carboxylic function.¹⁶ Thus, the dye is decomposed. Due to the presence of three different acid functions in the dye – one carboxylic acid and two thiobarbituric acids-, it is important that deprotonation occurs at a thiobarbituric acid moiety in order to preserve the typical absorption properties of the oxonol polymethine dyes.



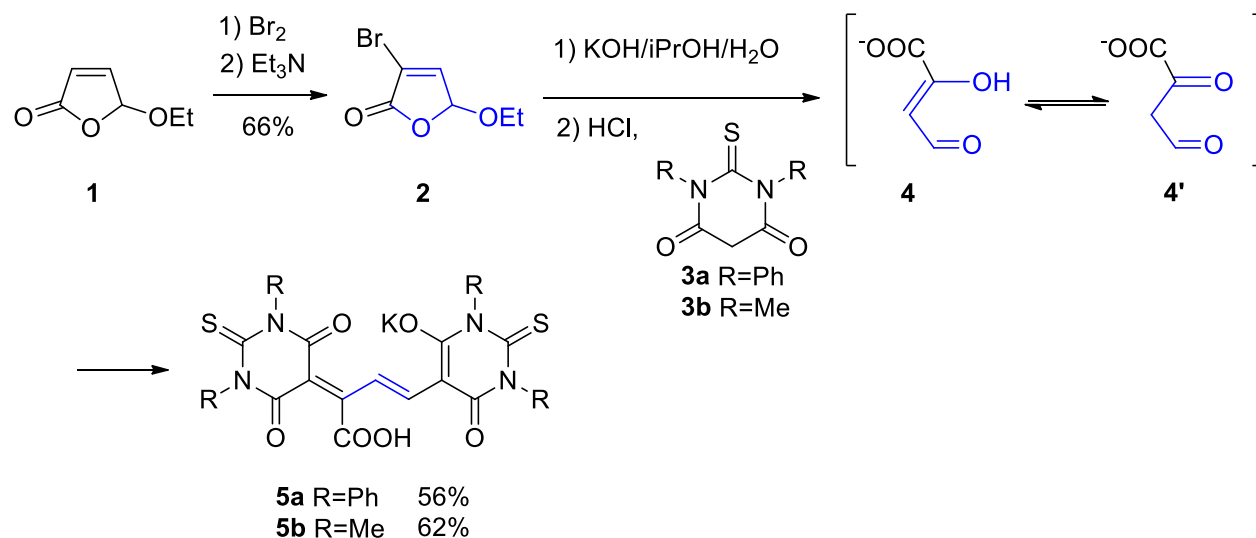
Scheme 1. Formation of a polymethine dye from the metabolite of the pectin lyase activity.

We became also interested in such dyes due to their potential physicochemical properties and further applications, for example in the fields of enzymology¹⁰, radical polymerization as photoimitator^{5,17} or as stimuli-responsive luminescent dyes. Therefore, the aim of this work was to devise a convenient chemical synthesis of such compounds in order to make them easily available on large scale and to determine various photophysical properties such as solvatochromism and acidity. To the best of our knowledge, only one of these compounds has been synthesized until now.¹⁸ Ethyl ethoxymethylene pyruvate has been used as precursor of the chromophore or the core structure.

RESULTS AND DISCUSSION

Synthesis

5-Ethoxy-2[5H]-furanone **1** was used as starting material. This compound is easily available by photooxygenation of furfural and consecutive acetalization with ethanol.^{19,20} In order to increase the oxidation state in the α position of the carboxylic function, α bromination was carried out (**2**) (Scheme 2).^{21,22} In this step, addition of bromine takes place. Subsequent addition of triethylamine to the reaction mixture yields the desired compound **2**. Hydrolysis under basic conditions yields the formylpyruvate **4'** that is in tautomeric equilibrium with **4**. The tautomer **4'** is a derivative of malondialdehyde, which generates the core structure of many tri and pentamethine dyes. The anion is yellow ($\lambda_{\max} = 419 \text{ nm}$).¹² The condensation with thiobarbituric acid derivatives **3a,b** was carried out under acidic conditions. The final products **5a,b** were isolated as mono potassium salts.



Scheme 2. Synthesis of two trimethine dyes carrying a carboxylic group.

Photophysical investigations

The electronic absorption spectra of compounds **5a** and **5b** in acetonitrile are very similar (see Figure S1 in the ESI). As illustrated in Figure 2 for compound **5a**, the UV-vis spectra in acetonitrile show a quite narrow absorption band centred around 554 nm ($\epsilon = 8.8 \times 10^4 \text{ L}\cdot\text{mol}^{-1}\cdot\text{cm}^{-1}$) and 551 nm ($\epsilon = 8.1 \times 10^4 \text{ L}\cdot\text{mol}^{-1}\cdot\text{cm}^{-1}$) for compound **5a** and **5b**, respectively, with a hypsochromic shoulder at around 515 nm typical for such dyes.^{23,24} In agreement with calculations performed in this study (*see computational studies below*), and most of the literature²⁵, the shoulder arises from the coupling of vibrations of the polymethine chains with the S_0 - S_1 electronic transition. This is the most probable attribution even if in the literature sometimes, these two absorption bands are assigned to S_0 - S_1 and the more energetic S_0 - S_2 electronic transitions.²⁶ The vertical absorption is dominated by the HOMO - LUMO transition and corresponds to a π - π^* transition, which governs the optical properties of similar dyes.^{27,28} (*see computational studies below*). This localized character of the involved electronic transitions is in good agreement with the observed low solvatochromism observed in absorption for these two compounds with shifts of only 100 cm^{-1} for compound **5a** (Figure S2 and S4), and 160 cm^{-1} for compound **5b** (Figure S3), from dichloromethane to DMSO and acetonitrile to DMSO, respectively. It has to be mentioned that less solvents could be used for chromophore **5b** due to solubility issues in less dipolar solvents (so it was not possible to use dichloromethane). For protic solvents (water and methanol, which gave similar results) a small blue shift was observed compared to aprotic solvents, from 554 nm to 548 nm for example (200 cm^{-1} , see Figure S3), for **5a** and from DMSO to water.

After excitation at 545 nm, the emission is centred at 575 nm and 564 nm in acetonitrile (568 and 566 nm in THF) for **5a** and **5b**, respectively (see Figure 2 and Fig. S5, respectively). The excitation spectrum fits quite well with the absorption spectrum for **5a** and **5b**. For both compounds, the low Stokes shift value (450 cm^{-1} and 225 cm^{-1} in acetonitrile for example, for compound **5a** and **5b**, respectively) indicates that a moderate geometry change occurs from the lowest in energy Franck-Condon excited state (S_0 - S_1 electronic transition) to the emissive excited state.

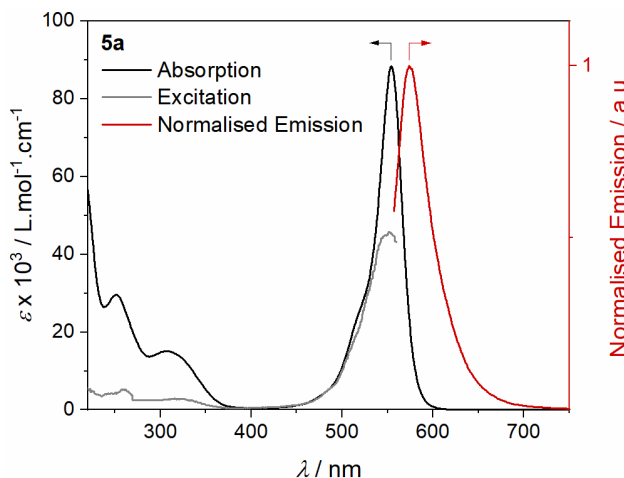


Figure 2: Absorption (black), excitation spectrum (grey) and emission (red) spectra of compound **5a** in acetonitrile (conc. $6.1 \times 10^{-6} \text{ mol}\cdot\text{L}^{-1}$)

This small Stokes shift and the related large overlap between the absorption and emission spectra can partially explain the low emission quantum yield (lower than 1% in water and acetonitrile, evaluated using quinine sulphate as reference). The solvatochromic effect on the emission spectra of compound **5a** was investigated as depicted in Figure 3 (See Figure S5 for compound **5b**). As the emission band is not largely affected by the nature of the (protic and aprotic) solvents, and more particularly their dipolar moment, this confirms that the absorption can be mainly attributed to a π - π^* transition related to the HOMO – LUMO gap (see calculations paragraph). Nevertheless, the small hypsochromic shift observed (around 330 cm^{-1} for compound **5a** with λ_{max} emission equal to 579 nm and 568 nm in dichloromethane and DMSO, respectively and concerning aprotic solvents used), is in good agreement with a decrease of the dipolar moment going from the fundamental state to the emissive excited-state and may confirm the charged character of the fundamental state. The results obtained with recording the emission intensity (around 560 nm) in the presence of different amounts of soluble oxygen showed that compound **5b** can act as photosensitizer (PS) since its emissive excited-state is sensitive to the presence of ground state dioxygen (see Figure S6). Since (triplet) ground state oxygen is an efficient dynamic or collisional quencher of triplet excited states, Stern-Volmer analysis can provide a measure of the efficiency of this quenching process in terms of the quenching constant K_{sv} .

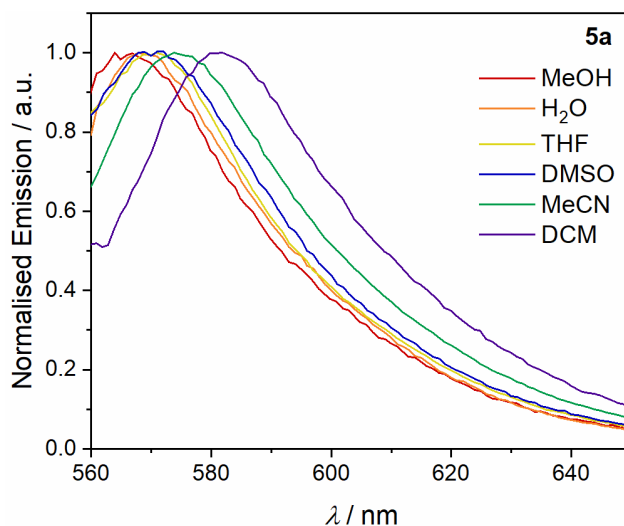


Figure 3: Emission spectra of compound **5a** in several solvents.

The dependence of emission intensity with quencher concentration ($^3\text{O}_2$), is given by the following equation:

$$I_0/I = 1 + K_{SV} [\text{O}_2] = 1 + k_q \tau_0 [\text{O}_2] = 1 + k_q \tau_0 K_{H\text{solV}} P_{\text{O}_2} \quad (1)$$

I_s are emission intensities, K_{SV} is the Stern-Volmer quenching constant, k_q is the bimolecular rate constant for quenching of the excited state, and K_H is the Henry constant of O_2 (gas) in a given solvent. The subscript « 0 » denotes the values of the quantity in the absence of the quencher. Plots of I_0/I vs oxygen concentration will be linear with slope equal to K_{SV} if there is a single class of luminophore that are all equally accessible to the quencher. In this regard, the potential of **5b** to act as a triplet oxygen sensitizer was assessed by determining the emission intensity in degassed, air equilibrated and oxygen-saturated acetonitrile solutions following excitation into the low energy absorption bands at 530 nm excitation in DMSO (see Figure 4). With a solubility of dioxygen of around 2.4 mmol/L in DMSO²⁹, the K_{SV} quenching constant can be estimated to 30 M^{-1} , which is quite compatible with potential applications (see for example ref 30).

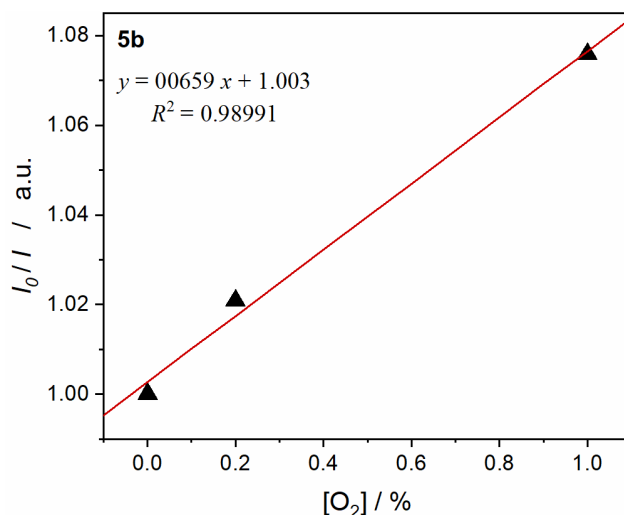


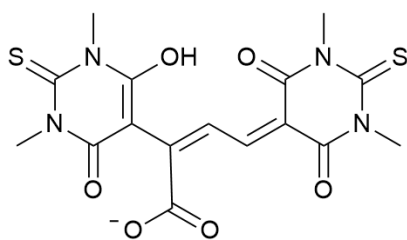
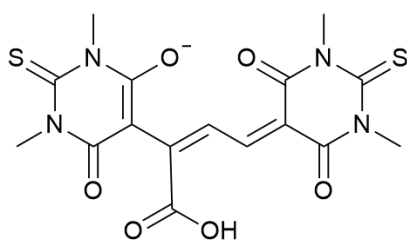
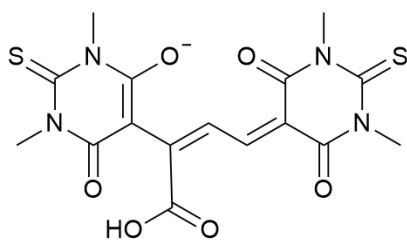
Figure 4: Stern-Volmer plot for compound **5b** in DMSO. Experimental points in black triangles and best fit in red.

The same study performed with compound **5a** (carrying four N-Ph groups, under the same conditions of temperature and solvent), showed no influence of ground state ³O₂ on the emission properties (see Figure S7). This is in agreement with a probably lower triplet character of its emissive excited state.

Computational studies

Three possible tautomeric structures for **5b** polymethine dye, considered mainly favoured for their significant mesomeric effect and for the presence of stabilizing hydrogen bonds, have been theoretically investigated at density functional theory (DFT) level, see Scheme 3.

Tautomer **A** presents a deprotonated function on the carboxylic moiety linked to the C=C chain, while both **B** and **C**, have a deprotonated function on the thiobarbituric moiety but differ by the orientation of the carboxylic function on the C=C chain. Thus, **B** and **C** are conformers.

**A****B****C**

Scheme 3. A representation of the three investigated tautomeric structures for **5b** compound.

In Figure 5, the optimized structures for tautomers **A** and **B / C**, calculated at B3LYP-D3/6-31+G(d,p) level of theory and taking into account acetonitrile solvent effects by using implicit solvation model, are reported (see Supporting Information for the optimized Cartesian coordinates of the tautomer structures). For tautomer **A**, a hydrogen bond between the alcoholic function and the carbonyl group belonging to the two rings has been computed with a distance of 2.05 Å, whereas for tautomer **C** a hydrogen bond with a distance of 1.46 Å has been calculated between the carboxylic function on the C=C chain and the carbonyl group on the ring.

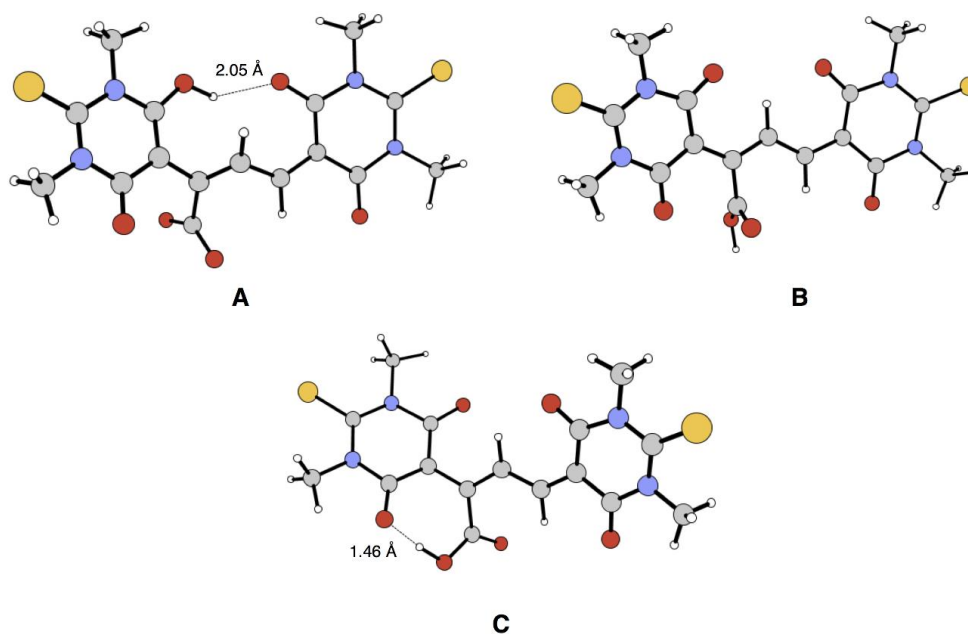


Figure 5. Optimized structures for tautomers **A** and **B / C**, calculated at B3LYP-D3/6-31+G(d,p) level of theory. Main computed hydrogen bond distances are also reported.

The computed energy values and the obtained stability order highlighted in Table 1 show that the structure **B** is the more stable one, followed by **C** with an energy difference of 2.20 kcal/mol. In agreement with experimental observation, this result indicates that deprotonation on thiobarbituric moiety is preferred. Deprotonation of the carboxylic acid function is less favourable. For example, UV spectra of our compounds **5a** and **5b** are almost identical to those obtained from thiobarbituric methine compounds, which do not carry a carboxylic function.^{11,31}

Table 1. Energy values for the investigated tautomers, computed at B3LYP-D3/6-31+G(d,p) level of theory.

Tautomer	E (Hartree)	Relative energy (kcal/mol)
A	-2085.560229	17.62
B	-2085.588303	0
C	-2085.584790	2.20

Starting from these first computational results, further deeper theoretical investigations have been performed on the more favoured tautomer **B**, in order to better analyse its optical properties and, specifically, the features of the transition from the ground state S_0 to the first excited one S_1 , having the highest oscillator strength value (see Supporting Information for the oscillator strength values computed for the first three singlet excited states). In Figure 6, the vibrationally resolved electronic (vibronic) one-photon absorption spectrum simulated for tautomer **B** is reported. The vibronic spectrum has been computed within the Franck-Condon principle, at TI VG|FC level of theory (see Computational Details), neglecting temperature effects and implicitly taking into account for the acetonitrile solvent effects. The energy for the transition 0-0 has been predicted to be 18693 cm^{-1} ($\sim 534 \text{ nm}$). The simulated absorption

spectrum presents the typical shape found for organic chromophores in solution with a main peak located at around 530 nm and a minor peak, representing a vibronic sideband, located at 500 nm.

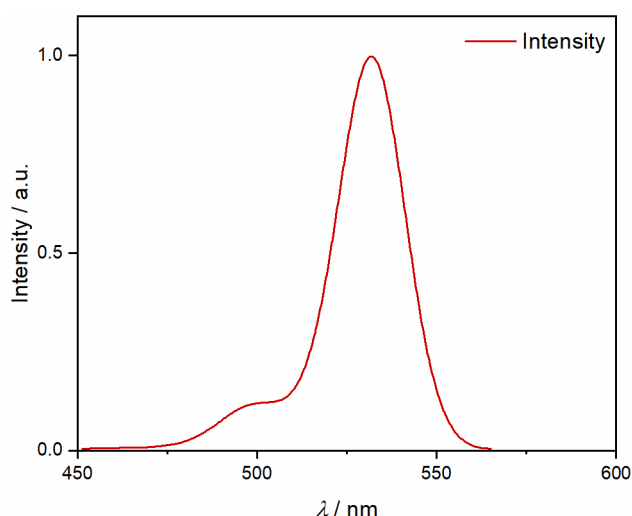


Figure 6. Simulated vibrationally resolved absorption spectrum for tautomer **B**, calculated at TI VG|FC level and using B3LYP-D3 as density functional and 6-31+G(d,p) as basis set. The acetonitrile solvent effects have been taken into account by using PCM implicit solvation method. A Gaussian distribution of 350 cm^{-1} has been used to simulate the broadening.

For a deeper study of the nature of the electronic transition, we performed a Quantum Mechanical (QM) analysis of the frontier molecular orbitals. This study reveals that the S_0 - S_1 transition involves the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO), shown in Figure 7 (see Figure S7 and S8 of Supporting information for computed HOMOs and LUMOs of tautomers **A** and **C**). The HOMO and LUMO are mainly delocalized on the rings and along the C=C chain, showing the π - π^* character of the transition in agreement with the experimental finding.

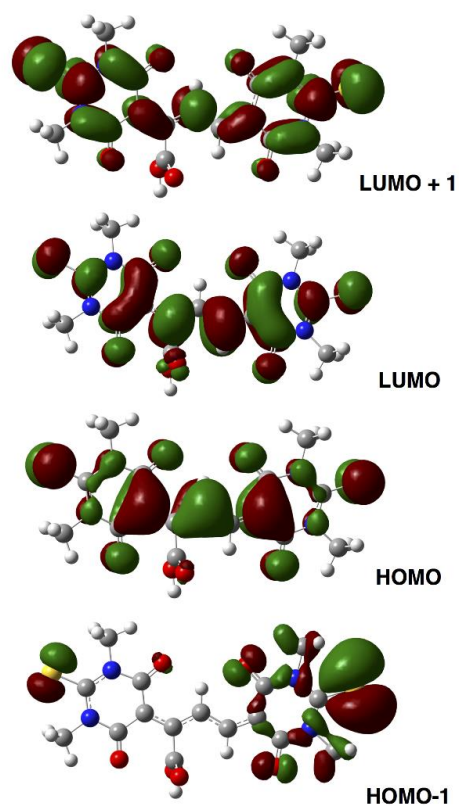


Figure 7. Frontiers molecular orbitals (HOMO-1, HOMO, LUMO, and LUMO+1) computed for tautomer **B** at B3LYP-D3/6-31+G(d,p) level of theory.

Finally, as last step of the theoretical investigation, the energy level diagram has been computed at B3LYP-D3/6-31+G(d,p) level of theory and reported in Figure 8. The performed energy level diagram analysis highlights the presence of a triplet state (T_4) located at 2.568 eV, very close to first singlet excited state (S_1), and located at 2.579 eV, with an energy difference of 0.011 eV. This finding may suggest a possible access to the triplet electronic excited state.

It is worth emphasizing that, slight discrepancies found in terms of energy level positions and experimental band position are due to the fact that vertical excitation energy can be intrinsically overestimated by TD-DFT method with respect to the experiments or high-level post-HF calculations. Indeed, TD-DFT accuracy is strongly dependent on the nature of the considered state, the used functional and a proper account of vibrational structure.³²

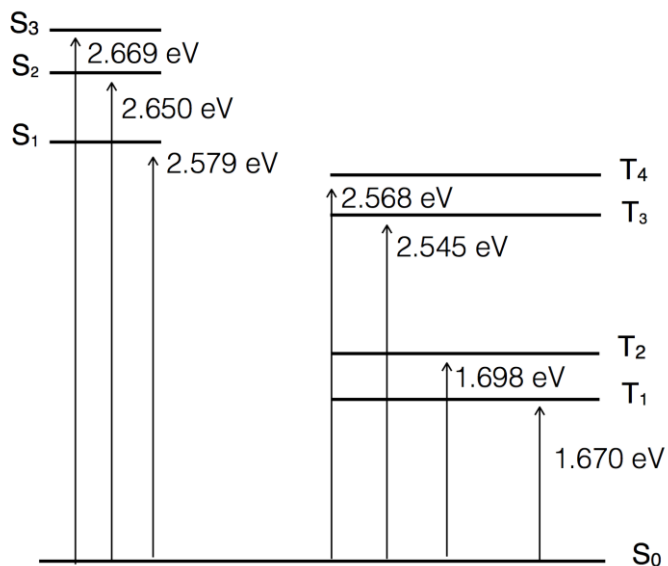


Figure 8. Energy level diagram computed at B3LYP-D3/6-31+G(d,p) level of theory for tautomer **B**.

CONCLUSIONS

Trimethine dyes carrying a carboxylic function are formed by condensation of thiobarbituric acid derivatives with formylpyruvate, a consecutive product of a metabolite of several enzymatic activities such as pectin lyase. We have developed a convenient organic synthesis to prepare such compounds. The electronic excitation results from a π - π^* transition with a low solvatochromism. The low Stokes shift indicates rigid structures during the electronic transitions. The emission occurs from an excited state with a triplet state character. The corresponding intersystem crossing from the S₁ state to the triplet-excited state is favoured by the presence of the thiocarbonyl function. The trimethine dyes are acidic and the deprotonation occurs at the thiobarbituric moieties while deprotonation of the carboxylic function is unfavourable. This is important to preserve the typical absorption properties of the anionic oxonol polymethine dye. Therefore, the absorption spectra are very similar to those of related thiobarbituric trimethine dyes without a carboxylic function.

EXPERIMENTAL

General. NMR spectra were recorded on Bruker spectrometers operating at 500 MHz for ¹H and 126 MHz for ¹³C. For ¹H NMR spectra, chemical shifts are reported in parts per million (ppm) with reference to residual protonated solvent ($\delta = 7.26$ ppm for CHCl₃, $\delta = 2.50$ ppm for DMSO-*d*₆) as internal standard. For ¹³C NMR spectra, chemical shifts are reported in parts per million (ppm) with reference to the deuterated solvent ($\delta = 77.16$ ppm for CDCl₃, $\delta = 39.52$ ppm for DMSO-*d*₆) as an internal standard. Splitting patterns for ¹H signals are designated as s (singlet), d (doublet), t (triplet), q (quartet), m (multiplet) or br. (broad), and coupling constants (*J*) are reported in Hz. UV/Vis and emission spectra were recorded on a Cary 5000 UV-2401PC spectrophotometer and a Varian Cary Eclipse spectrofluorometer, respectively. Infrared (IR) spectra were recorded for solid samples on a Nicolet AVATAR 320 FT-IR, maximum absorbances (ν) are given in cm⁻¹. High-resolution mass spectrometry (HR-

MS) data were recorded using a spectrometer equipped with an electrospray ionisation source (ESI-MS) in positive mode associated with a TOF analyzer. Elemental analyses were performed with a Flash EA 1112 Series Thermo Electron analyzer at 950 °C. Inductively coupled plasma mass spectrometry (ICP-MS) measurements were recorded with a Thermo Scientific iCAP 6000 Series ICP Emission Spectrometer using a potassium standard. Photochemical reactions were performed using a medium pressure mercury vapor lamp set to 400 W immersed in a 2 L quartz reactor. N,N'-dimethylthiourea (99 %), N,N'-diphenylthiourea (98 %) and Furfural (98 %) were purchased from Merck. N,N'-dimethylthiobarbituric acid,³³ N,N'-diphenylthiobarbituric acid,³⁴ 5-Hydroxyfuran-2(5H)-one and Ethoxyfuranone were prepared according to the literature, and their spectroscopic data are in agreement with those previously reported. Organic extracts were dried over magnesium sulfate (VWR Chemicals, 98%) before evaporation under reduced pressure. Flash chromatography was performed on silica gel columns (40–63 μm). Analytical thin-layer chromatography was carried out with Kieselgel 60F254 plates from Merck.

3-bromo-5-ethoxyfuran-2(5H)-one (2). In a two-necks round bottom flask was added Ethoxyfuranone (3.06 g, 24 mmol, 1.0 eq.) and CH_2Cl_2 (20 mL). The mixture was vigorously stirred and Br_2 (3.8 g, 24 mmol, 1.0 eq.) was added dropwise at room temperature. When the solution took a yellow color, triethylamine (4 mL, 29 mmol, 1.2 eq.) was added. The mixture was stirred during 18 h at room temperature. The solution was poured into a separatory funnel. The organic layer was washed with H_2O , brine, dried over MgSO_4 , filtered, and concentrated under reduced pressure. The residue was purified by column chromatography (petroleum ether/diethylether = 9:1) to afford compound **2** as a clear liquid (3.9 g, 66 %). $R_f = 0.32$ (petroleum ether/diethylether = 9:1, KMnO_4 revelator). Spectroscopic data are in agreement with those previously reported.^{19,21} ^1H NMR (500 MHz, CDCl_3 , ppm): δ 7.27 (d, $J = 1.4$ Hz, 1H; CH), 5.87 (d, $J = 1.4$ Hz, 1H; CH), 3.94 (dq, $J = 11.9$ Hz, $J = 7$ Hz, 1H; CH_2), 3.77 (dq, $J = 11.9$ Hz, $J = 7.1$ Hz, 1H; CH_2), 1.28 (dd, $J = 7.1$ Hz, $J = 7$ Hz, 3H; CH_3). $^{13}\text{C}\{^1\text{H}\}$ NMR (126 MHz, CDCl_3 , ppm): δ 166.2 (Cq), 147.1 (CH), 118.3 (Cq), 102.5 (CH), 66.4 (CH_2), 15.1 (CH_3).

Compound 5a. In a round bottom flask was added 3-bromo-5-ethoxyfuran-2(5H)-one (414 mg, 2 mmol, 1.0 eq.) and isopropanol (20 mL). The solution was stirred at reflux and KOH (2.4 mL of a 1 M solution, 2.4 mmol, 1.2 eq.) was added. The solution turned yellow/orange, after 5 min. HCl (2.8 mL of a 1M solution, 2.8 mmol, 1.4 eq.) was added. After the addition, the solution lost the yellow color. N,N'-diphenylthiobarbituric acid (890 mg, 3 mmol, 1.5 eq.) was added and the solution immediately turned to a strong purple colour. The mixture was cooled to room temperature and was stirred during 3 h. The solvent was removed in vacuo and the residue was directly adsorbed onto silica to be purified by column chromatography (ethyl acetate to ethyl acetate/MeOH = 9:1 to 8:2) to yield compound **5a** as a purple powder (562 mg, 56 %). ^1H NMR (500 MHz, $\text{DMSO}-d_6$, ppm): δ 9.11 (d, $J = 14.7$ Hz, 1H; =CH), 8.05 (d, $J = 14.7$ Hz, 1H; =CH), 7.40-7.63 (m, 8H; Ar-H), 7.33-7.29 (m, 4H; Ar-H), 7.23-7.18 (m, 8H; Ar-H). $^{13}\text{C}\{^1\text{H}\}$ NMR (126 MHz, $\text{DMSO}-d_6$, ppm): δ 179.8 (C=S), 178.9 (C=S), 169.0 (COOH), 161.9 (=C-OH or C=O), 160.4 (2 C=O or =C-OH), 159.9 (C=O or =C-OH), 154.4 (=CH), 141.0 (Ar-Cq), 140.9, (Ar-Cq), 140.7 (Ar-Cq), 129.3 (Ar-CH), 128.6 (Ar-CH), 127.4 (Ar-CH), 114.6 (=CH), 103.7 (Cq), 97.6 (Cq). IR: ν (cm^{-1}) 3559, 3432, 3204, 1641, 1537, 1488, 1354, 1306, 1195, 1152. HRMS (ESI): m/z $[\text{M}+\text{H}]^+$ calcd for $[\text{C}_{36}\text{H}_{25}\text{N}_4\text{O}_6\text{S}_2]^+$, 673.1215; found, 673.1216. Elemental analysis: calcd for $[\text{C}_{36}\text{H}_{23}\text{N}_4\text{O}_6\text{S}_2\text{K}] \frac{7}{2} \text{H}_2\text{O}$: N, 7.13; C, 55.92; H, 3.90; S, 7.84; found: N, 7.24; C, 55.87; H, 3.91; S, 8.29. ICP-MS (K emission at 766 nm): calcd for a 1×10^{-4} mol/L solution of **5a** in nitric acid diluted in water; concentration of K found: 2.98×10^{-6} mol/L (the sample could not be solubilized entirely thus the analysis is qualitative).

Compound 5b. In a round bottom flask was added 3-bromo-5-ethoxyfuran-2(5H)-one (406 mg, 1.96 mmol, 1.0 eq.) and isopropanol (5.1 mL). The solution was stirred at reflux and KOH (2.35 mL of a 1 M solution, 2.35 mmol, 1.2 eq.) was added. The solution turned yellow/orange, after 5 min HCl (2.75 mL of a 1M solution, 2.75 mmol, 1.4 eq.) was added. After the addition, the solution lost the yellow color. N,N'-dimethylthiobarbituric acid (608 mg, 3.53 mmol, 1.8 eq.) was added and the solution immediately turned to a strong purple colour. The mixture was cooled to room temperature and was stirred during 3 h. The mixture was filtrated and washed using CH₂Cl₂. The powder was put into a round bottom flask and CH₂Cl₂ (10 ml) was added, the mixture is stirred at reflux for 30 min. The mixture was filtrated and dried to yield compound **5b** (467 mg, 62 %) as a purple powder. ¹H NMR (500 MHz, DMSO-*d*₆, ppm): δ 9.27 (d, *J* = 14.7 Hz, 1H; =CH), 8.10 (d, *J* = 14.7 Hz, 1H; =CH), 3.6 (s, 12H; CH₃). ¹³C{¹H} NMR (126 MHz, DMSO-*d*₆, ppm): δ 178.8 (C=S), 177.9 (C=S), 169.2 (COOH), 162.1 (=COH or =C=O), 161.5 (C=O or =COH), 160.0 (C=O or =COH), 159.7 (C=O or =COH), 155.1 (=CH), 115.2 (=CH), 103.2 (Cq), 97.1 (Cq), 35.2 (CH₃), 35.4 (2CH₃), 35.0 (CH₃). IR: ν (cm⁻¹) 3428, 3399, 3376, 2946, 1743, 1634, 1588, 1549, 1497, 1463, 1428, 1407, 1355, 1330, 1230, 1192, 1168, 1117, 1078. HRMS (ESI) *m/z* [M+H]⁺ calcd for [C₁₆H₁₇N₄O₆S₂]⁺, 425.0590; found, 425.0590. Elemental analysis: calcd for [C₁₆H₁₅N₄O₆S₂K] ½ H₂O: N, 11.88; C, 40.75; H, 3.42; S, 13.60; found: N, 11.79; C, 41.00; H, 3.44; S, 13.37. ICP-MS (K emission at 766 nm): calcd for a 1.3x10⁻⁴mol/L solution of **5b** in nitric acid diluted in water; concentration of K found: 1.34x10⁻⁴mol/L.

COMPUTATIONAL DETAILS

All computations have been performed at Density Functional Theory (DFT) level of theory, and its time dependent extension (TD-DFT), by using Gaussian09 suite of quantum chemical program.³⁵ The Becke's three-parameter hybrid functional (B3) with the Lee, Yang, and Parr (LYP) expression for the nonlocal correlation, B3LYP,³⁶ has been used, since it accurately describes organic molecule electronic structures and energetic properties.³⁷ The dispersion energy contributions have been included through the procedure proposed by Grimme,³⁸ with the so-called D3 set of parameters. In all computations, 6-31+G(d,p) has been used as basis set for all atoms. The effect of acetonitrile as solvent has been taken into account by using the integral equation formalism for the polarizable continuum model (PCM).³⁹

For the vibrationally resolved electronic one-photon absorption spectrum, the time independent framework (TI, which is a sum over states approach) has been used in conjunction with the Vertical Gradient (VG) approximation for the evaluation of the PES of the initial and final electronic states. Within the VG approximation, the PES of the initial state has been computed about its own minimum, whereas for the final state only the energy gradient has been computed by using the geometry of the initial state. This procedure has been chosen since no huge geometry modifications are expected during the electronic transition. Regarding the description of the electronic transition dipole moment, the Franck-Condon (FC) approximation has been used, assuming a constant dependence of the transition dipole moment on the nuclear coordinates. In the text, TI VG|FC refer to the used procedure for the vibronic simulation. A more extensive presentation of the computational strategies available for the simulation of vibronic spectra can be found in ref 40.

CONFLICTS OF INTEREST

There are no conflicts to declare.

ACKNOWLEDGMENTS

We thank Lucas Fortier for help with synthetic work. This research studies were supported by the Agence Nationale de Recherche (ANR, Project PICPOSS), the Communauté Urbaine du Grand Reims and the Université de Reims Champagne-Ardenne. A. P. and M. F. thank high performance computer facilities of Università di Modena and Reggio Emilia.

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GRAPHICAL ABSTRACT

Polymethine dyes are prepared using a convenient synthesis and characterized by physicochemical and computational methods.

