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## Searching for Carriers of the Diffuse Interstellar Bands Across Disciplines, using Natural Language Processing

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#### 5 Abstract

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The explosion of scientific publications overloads researchers with information. This is even more 6 dramatic for interdisciplinary studies, where several fields need to be explored. A tool to help 7 researchers overcome this is Natural Language Processing (NLP): a machine-learning (ML) tech-8 nique that allows scientists to automatically synthesize information from many articles. As a practical example, we have used NLP to conduct an interdisciplinary search for compounds that could 10 be carriers for Diffuse Interstellar Bands (DIBs), a long-standing open question in astrophysics. 11 We have trained a NLP model on a corpus of 1.5 million cross-domain articles in open access, and 12 fine-tuned this model with a corpus of astrophysical publications about DIBs. Our analysis points 13 us toward several molecules, studied primarily in biology, having transitions at the wavelengths 14 of several DIBs and composed of abundant interstellar atoms. Several of these molecules contain 15 chromophores, small molecular groups responsible for the molecule's colour, could be promising 16 candidate carriers. Identifying viable carriers demonstrates the value of using NLP to tackle open 17 scientific questions, in an interdisciplinary manner. 18

#### 19 Keywords

20 Machine-learning; natural language processing; astrophysics; interstellar medium; diffuse inter-21 stellar bands

#### 22 I INTRODUCTION

A prerequisite to interdisciplinarity is the ability of researchers in a given field to explore the literature of other fields and easily extract relevant information. In particular, finding similar

concepts in two different fields, adapting methods from one field to another, or re-purposing 25 data acquired in an unrelated field are all potentially fruitful approaches for scientists to make 26 discoveries. It is not unlikely that clues to various open questions in the global scientific lit-27 erature currently exist, but looking for them is like searching for a needle in the proverbial 28 haystack. However, as the scientific knowledge expands exponentially (Densen, 2011), human 29 ability to keep up with both sorting and navigating diminishes quickly. It is humanly impossi-30 ble to read all published articles, and keyword searches that do not include contextual semantic 31 meaning or conceptual reasoning are extremely limited. Fortunately, tremendous progress has 32 recently been made in the automatic analysis of written documents. Natural Language Process-33 ing (NLP) is a branch of linguistics that leverages the statistical properties of a given corpus with 34 machine learning (ML) methods to explore the semantic relationships between texts (Manning 35 and Schütze, 1999). It is being used to extract information, to draw parallels between problems 36 and to formulate new research directions. It is aimed at solving information overload. Recently, 37 ML techniques have been used to generate scientific hypotheses in many scientific domains, 38 such as the drug repositioning / discovery research (Hastings et al., 2012; Lamurias et al., 39 2019). Going beyond the boundary of disciplines, we pioneer the use of ML techniques for 40 hypothesis generation from cross-domain literature. It is a practical method, quickly becoming 41 popular, that will have an important role in interdisciplinary studies in the coming years. 42

The present article discusses the results of a collaboration between astrophysicists and com-43 putational linguists. NLP techniques have already been applied to astrophysics to outline re-44 search priorities (Thomas et al., 2022) and to thoroughly search the literature (Kerzendorf, 45 2019; Grezes et al., 2021). In these recent studies, NLP is used as an exploratory tool that can 46 be used to help refine a project. Here, we take a step further and use NLP as a primary research 47 tool, with the hope of making actual discoveries. We have applied it to the long-lasting question 48 of the origin of the Diffuse Interstellar Bands (DIBs). DIBs are ubiquitous spectral absorption 49 features observed at visible wavelengths, along the sightline to stars in the Milky Way (Hobbs 50 et al., 2009). They were discovered a century ago, but the chemical composition of their carriers 51 is still unknown. Thus, we have trained a NLP model on a cross-domain corpus, which includes 52 astrophysical publications about DIBs, then explored other fields, such as biochemistry, where 53 relevant molecules could have been studied. We start this article by presenting the astrophysical 54 context of our study, in Section II. In Section III, we review the NLP techniques used in this 55 study. We then discuss how we have applied NLP to address the origin of DIBs, in Section IV. 56 The astrophysical relevance of the molecules we have found is assessed in Section V and our 57 results are summarized in Section VI. 58

#### 59 II DIFFUSE INTERSTELLAR BANDS

The object of our study, the *Diffuse Interstellar Bands* (DIBs), are spectroscopic absorption features that are ubiquitously observed in the Milky Way, our own galaxy (Hobbs *et al.*, 2009; Jones, 2016b, for reviews). They appear as a forest of bands along the line of sight towards stars, in the visible electromagnetic spectrum (wavelengths,  $\lambda \simeq 0.4 - 0.8 \mu m$ ), sometimes extending up to the near-infrared domain (up to  $\lambda = 2 \mu m$ ). Figure 1 shows a synthetic DIB absorption spectrum. They originate from the *InterStellar Medium* (ISM).

#### 66 2.1 The Interstellar Medium

<sup>67</sup> Since DIBs are interstellar features, we first need to review the general properties of the ISM.

<sup>68</sup> Broadly speaking, the ISM is constituted of all the matter filling the volume of a galaxy between

<sup>69</sup> the stars. This matter is essentially gaseous, but about half a percent of its mass is made of small



Figure 1: Synthetic absorption spectrum of the most prominent DIBs. The parameters of each feature (position, width and depth) come from the observational compilation by Jenniskens and Desert (1994).

<sup>70</sup> solid particles, the dust grains (Tielens, 2005; Draine, 2011, for textbooks). The elemental

 $_{71}$  composition of the ISM is 74 % hydrogen, 25 % helium, and the remaining 1 % contains all the

<sup>72</sup> heavier elements (Asplund et al., 2009). Figure 2 shows the abundances, in the Solar system,

<sup>73</sup> of the first elements in the periodic table. We see that besides hydrogen and helium, the two

<sup>74</sup> most abundant species are carbon and oxygen. This puts some constraint on the most abundant molecules that can be formed, and this will be important for our serendipitous search.



Figure 2: Elemental abundances in the Solar system, from the data in Asplund *et al.* (2009). Those are *number* abundances (*i.e.* number of atoms relative to hydrogen). The blue dots represent measures made in the Solar photosphere, through absorption spectroscopy, and the red dots correspond to the chemical composition of chondrite meteorites. Both are in good agreement, except for the lightest, most volatile elements, which do not remain trapped in meteorites. These abundances are representative of the ISM in our Solar neighborhood, and are even used as a reference when studying other galaxies.

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#### 76 2.1.1 The Phases of the ISM

The ISM is a highly heterogeneous medium (*e.g.* Chap. III.3 of Galliano, 2022). Half of the volume of our galaxy is filled with a permeating hot ionized gas with a very low density (temperature,  $T = 10^6$  K; density,  $n \simeq 3 \times 10^{-3}$  cm<sup>-3</sup>). This phase is heated by the shock waves

from supernova explosions. The rest of the volume covers a wide range of density, temperature 80 and atomic state. The coldest and densest interstellar regions are called molecular clouds. As 81 their name indicates, the elements in these clouds have combined to form molecules, majoritar-82 ily H<sub>2</sub> and CO. Their high density (up to  $n \simeq 10^6 \text{ cm}^{-3}$ ) allow them to be shielded from the 83 stellar radiation and to reach low temperatures ( $T \simeq 10$  K). Between these two extremes, there 84 are nine orders of magnitude in density and five in temperature, unlike anything we can find on 85 Earth. DIBs are found preferentially in the diffuse ISM, and disappear in dense regions (e.g. 86 Lan et al., 2015), although some specific DIBs are found in diffuse molecular clouds (density 87  $n \simeq 10^2 \text{ cm}^{-3}$ ; Thorburn *et al.*, 2003). 88

#### 89 2.1.2 Interstellar Molecules

As we will see in Section 2.2, DIB carriers are likely large molecules. Until now, more than 90 200 individual molecules have been identified in space (McGuire, 2018). The first one to be 91 discovered was CH, in the 1930s (Herzberg, 1988, for an historical review). Although this first 92 observation was performed in the visible domain, the majority of the subsequent detections were 93 achieved at radio wavelengths, through the various rotational lines<sup>1</sup> of the molecules. Several 94 new molecules containing more than six atoms are now discovered each year. These large 95 molecules all contain carbon atoms. They are thus called Complex Organic Molecules (COMs; 96 Herbst and van Dishoeck, 2009, for a review). Even branched molecules, which were believed 97 to be too brittle to survive the harsh interstellar environment, have been detected (Belloche 98 et al., 2014). 99

An important class of organic molecules is Polycyclic Aromatic Hydrocarbons (PAHs). They are 100 constituted of several aromatic cycles, which are hexagonal structures made of carbon atoms, 101 such as in benzene, with peripheral hydrogen atoms (Figure 3). This species was introduced, 102 in astrophysics, to provide an interpretation for the bright mid-infrared features (in the spectral 103 range  $\lambda = 3 - 20 \ \mu m$ ) observed ubiquitously in the ISM and in galaxies (Figure 4). The vibra-104 tional modes of the C-C and C-H bonds in PAHs, which have similar resonance frequencies 105 across the PAH family, indeed provide a good account for these mid-infrared bands (Tielens, 106 2008, for a review). This however forbids the identification of individual PAHs, as these broad 107 mid-infrared bands arise from the mixture of several molecules. Only a few individual PAHs 108 have unambiguously been detected, either because they have very peculiar features due to an 109 atypical structure, such as fullerenes (Figure 3; Cami et al., 2010), or, recently, through their 110 rotational lines (McGuire et al., 2021). 111

#### 112 2.1.3 Interstellar Dust Grains

When the number of atoms in a molecule becomes large, its resonance features become broader and a noticeable continuum arises (*e.g.* Chap. 1 of Galliano, 2022). This is because, with a large number of atoms, we are entering the solid-state realm. Large molecules are at the interface with dust grains, and there is probably a continuity between both in the ISM, although there is no well-defined limit between these two categories.

Interstellar dust grains are small solid particles with radii ranging from  $\simeq 3$  Å to 300 nm (*e.g.* 

<sup>119</sup> Draine, 2003, for a review). They account for about half of the mass of heavy elements in the

- 120 ISM, thus about half a percent of its total mass. Yet, these grains, which are predominantly
- silicate and carbonaceous compounds, have a very important role. In a quiescent galaxy, such

<sup>&</sup>lt;sup>1</sup>Rotational lines arise from transitions between different values of the quantum angular momentum of the molecule.



Figure 3: The PAH molecular family. On the left side, we show six different PAHs. Hydrogen atoms are represented in cyan, and carbon atoms, in red. On the right side, we show the buckminsterfullerene, which is a spherical molecule, composed of 60 carbon atoms. Credit: the left figure is adapted from Galliano (2022); the fullerene image is from Yassine Mrabet, licensed under CC BY 3.0.



Figure 4: Mid-infrared spectrum of the galaxy NGC 1097. The blue line, with error bars, is the observed spectrum of the central region of this object. We have highlighted the main spectral features: on top, we have indicated the main silicate and ice interstellar absorption bands; in green, we have shown the position of the brightest gas lines; in red, we have pointed towards the brightest PAH features and have noted which vibrational C–C or C–H mode they correspond to. Credit: figure adapted from Galliano *et al.* (2018).

as the Milky Way, they absorb about 25% of the stellar power, in the ultraviolet (UV) and visible range, and re-emit it thermally in the infrared (*e.g.* Bianchi *et al.*, 2018). In regions of massive star formation, this fraction can go up to 99%. These regions are thus totally opaque to visible photons and can be studied only through their infrared radiation, emitted by the dust. Grains are also the catalysts of several chemical reactions, including the formation of H<sub>2</sub>, the most abundant molecule in the Universe (*e.g.* Bron, 2014).

When looking at a star in the Milky Way, the dust present along the line of sight extincts its radiation. It means that a fraction of the stellar light is either absorbed by grains or scattered in another direction. Dust grains are so well-mixed with the gas in the ISM that the extinction amplitude (usually quoted in the visual band, V, at  $\lambda = 0.55 \ \mu$ m) is considered as a reliable tracer of interstellar matter.

### 133 2.1.4 The Lifecycle of a Galaxy

Finally, it is important to understand that the ISM is a dynamical environment, constantly evolving. It is indeed the fuel of star formation. Stars form by the gravitational collapse of molecular clouds. Once ignited, the most massive stars, which are also the brightest, blow their interstellar cocoon away and ionize their surroundings. At the end of their lifetime, stars eject in the ISM fresh heavy elements that they have formed in their core by nucleosynthesis. The more a galaxy is evolved, the more tenuous and rich in heavy elements its ISM is.

### 140 2.2 The Uncertain Nature of DIBs

The first DIBs were discovered exactly one century ago by Heger (1922), but the physical nature of their carriers largely remains a mystery, today. Their interstellar origin was demonstrated by Merrill (1934). Their intensity is indeed correlated with the dust extinction amplitude and independent of the intrinsic properties of the background stars. Over 500 DIBs have been detected so far, in the ISM (Fan *et al.*, 2019). DIBs are also detected in external galaxies, such as the Magellanic clouds (Galliano *et al.*, 2018, for a review).

### 147 2.2.1 Constraints on the Nature of the DIB Carriers

As absorption features, DIBs must originate from the transition of an atom or a molecule, be-148 tween two of its quantum energy levels. The intrinsic line width of DIBs, which is typically 149  $\simeq 1$  Å, excludes that they originate from free-flying atoms. They must come from molecules 150 with a few to  $\simeq 100$  atoms (MacIsaac *et al.*, 2022). Their strength and their ubiquity also tells 151 us that they have to be made with the most abundant atoms in the ISM, mainly H, O, C, N. 152 A last constraint is that, due to their presence in the diffuse ISM, a medium permeated with 153 UV photons, these molecules need to be rather compact, to be more resilient. The branched 154 molecules we have mentioned in Sect. 2.1.2 are only found in dense molecular clouds, well 155 protected from UV radiation. 156

### 157 2.2.2 Identification of Buckminsterfullerene

The only molecule to date, unambiguously identified as a DIB carrier is the *buckminsterfulerene*<sup>2</sup> (Campbell *et al.*, 2015; Walker *et al.*, 2015). The cation of this molecule,  $C_{60}^+$ , can account for two, possibly four DIBs, at near-infrared wavelengths. Notice that this molecule satisfies all the constraints we have listed in Sect. 2.2.1. The fact that this molecule had been detected beforehand, in a planetary nebula, *via* its mid-IR features (Cami *et al.*, 2010), makes

 $<sup>^{2}</sup>$ Fullerenes constitute a family of compact closed-mesh carbon compounds. Buckminsterfullerene is the variety with formula C<sub>60</sub>.

this identification trustworthy. It is possible that other COMs, and probably other PAHs, are DIB carriers. There are however variations of the relative strengths of DIBs, across sightlines (Herbig, 1995). This indicates that DIB carriers likely come from a diversity of molecules whose relative abundance varies with the environment.

### 167 2.2.3 The Significance of DIB Identification

DIBs are the last large class of interstellar spectral features that are still unidentified. The fact 168 that, a hundred years after their discovery, less than one percent of these features has been 169 identified, shows that this is an arduous challenge. This is however an important question, as 170 spectroscopy is historically what transformed astronomy into astrophysics (Hearnshaw, 2014). 171 This is because spectroscopy allows us to identify atoms and molecules from a distance, mea-172 sure their charge state, temperature, density and abundance, that we could learn so much about 173 the Universe. Identifying the carriers of the DIBs would therefore be a major breakthrough in 174 our understanding of the ISM. It could help us unlock the complexity of interstellar chemistry 175 and provide a wealth diagnostics of the physical conditions where these bands are observed. 176

Astrophysicists have been stuck by this problem, because its answer lies in the wide diversity of potential molecules. Only a handful of these molecules can be measured in the laboratory or computed theoretically. Teams working on these identifications have limited resources. Yet, since we have seen that DIB carriers are likely large organic molecules, it is possible that some of these molecules have been studied in other fields, such as biochemistry or biology. We have thus performed a serendipitous, interdisciplinary search for these molecules, using the technique of natural language processing.

### 184 III NATURAL LANGUAGE PROCESSING

NLP is a machine-learning technique applied to written documents so we will first review some
 relevant principles of machine-learning.

### 187 **3.1 What is machine learning**

Machine-Learning (ML) is a methodology that paves the way to artificial intelligence. It is a 188 type of computer program, where the main algorithm is not directly coded by a computer sci-189 entist, but inferred from a large data set. This methodology enables us to extrapolate patterns 190 from a large quantity of data to unseen data (Goodfellow et al., 2016, for a text book). Gener-191 ally, modern ML algorithms are built on layered Neural Network (NN). A NN can be seen as 192 an empirical decision tree where the different decisions are controlled by parameters. These 193 parameters are represented as matrices that act on the encoded input data to make predictions 194 about the data. These parameters are determined during a training process by correcting errors 195 between the predictions and desired outputs. Once trained, the NN can be used on other unseen 196 input data to predict new outputs. 197

### 198 **3.2 Word-embeddings**

To apply ML to texts, we need a way to numerically represent words and their meaning. This is achieved with *word-embeddings*. Word-embeddings are techniques to transform contextual representations of words into high-dimensional real-valued vectors (Bengio *et al.*, 2003; Mikolov *et al.*, 2013). In recent years, development of word-embeddings models has taken big steps forward from shallow NN architectures, such as the Word2Vec (Mikolov *et al.*, 2013), Glove (Pennington *et al.*, 2014), and FastText (Bojanowski *et al.*, 2017) models, to complex and data-hungry models, such as ELMo (Peters *et al.*, 2018) and BERT (Devlin *et al.*, 2019).

#### 206 3.2.1 Concepts

Word-embeddings are underpinned by the distributional hypothesis: that words with similar dis-207 tributions have similar meanings or "a word is characterized by the company it keeps" (Firth, 208 1957). Techniques leveraging this hypothesis numerically represent semantic properties of 209 words and capture meaningful syntactic and semantic regularities in a given vector space. Regu-210 larities are often observed as constant vector offsets between pairs of words sharing a particular 211 relationship. For example, as demonstrated with the Word2Vec model in Mikolov et al. (2013), 212 the male/female relationship is learned through such techniques, and with the induced vector 213 representations,  $\overline{kinq} - \overline{man} + \overline{woman}$  results in a vector very close to  $\overline{queen}$ . Such characteris-214 tics make word-embedding models an efficient and effective tool for identifying contextual syn-215 onyms, ranking keywords, and computing similarities between millions of documents (Botev 216 et al., 2017). 217

#### 218 3.2.2 The Word2Vec Model

In order to efficiently identify relevant publications in the cross-domain literature, we opt to use the Word2Vec Continuous Bag-of-Words (Word2Vec-CBOW) model, which is implemented

<sup>220</sup> the Word2Vec Continuous Bag-of-Words (Word2Vec-CBOW) model, which is implemented <sup>221</sup> as part of the gensim library (Řehůřek and Sojka, 2010). The architecture of the Word2Vec

model is a simple feedforward NN with a hidden projection layer. In the CBOW model, vectors

<sup>223</sup> of words that appear in a fixed window around the target word are averaged into the projection layer as demonstrated in Figure 5.





Figure 5: The architecture of the Word2Vec-CBOW model, where w(t) (on the right) represents the word in training by its co-occurred words, w(t-2), w(t-1), ... (on the left), in the chosen window around it (Mikolov *et al.*, 2013).

224

<sup>225</sup> In order to obtain a model trained on the cross-domain literature, we compile a generic corpus

of 1.5 million open access English articles across all domains from the CORE service (Knoth

and Zdrahal, 2012). Due to the resource limitation, in this pilot study, we focus on the abstracts,

<sup>228</sup> which are usually concisely written and already contains essential information of the articles.

<sup>229</sup> We then train a generic Word2Vec-CBOW model with 100 dimensions on the entire corpus ten

times. In the following section, we explain how this model is used in our analysis.

### **3.3** Extracting physical quantities from scientific documents

As the main objective of this study is to discover possible carriers of DIBs in the literature 232 outside of the astrophysics domain, recognition of physical quantities is an essential step in the 233 corpus processing. A physical quantity in the corpus is defined as a numeric value that appears 234 with a physical unit, such as "1500 Å" However, recognizing physical quantities from existing 235 documents is not a trivial task. For example, MWC 349A, is a member of the double star system, 236 MWC 349 (Gvaramadze, V. V. and Menten, K. M., 2012), and should not be recognized as a 237 physical quantity of 349 Å. Furthermore, there are numerous ways to denote one physical 238 quantity. For example, 1500 Å and 1.5  $\mu m$  are equivalent and should be recognized as such by 239 the model. 240

To identify all relevant wavelengths mentioned in the generic corpus, we implement a quantity recognition algorithm which includes three major components:

1. A regular expression (RegEx) algorithm that identifies all numerical values, such as  $28.4 \times 10^3$ , 28, 400 and  $(28.4 \pm 0.1) \times 10^3$ .

245 2. A unit-parsing algorithm that is based on the open source library Pint (version 0.19.1)<sup>3</sup>.

A unit-disambiguation algorithm that assigns probabilities to ambiguous units, such as angstrom (Å) and ampere (A), by comparing the unit predicted by the generic Word2Vec model.

<sup>249</sup> We detail the unit-disambiguation algorithm in the following.

<sup>250</sup> With the RegEx and unit-parsing algorithms listed above, we mask the identified physical quan-

tities by numbers and units in the articles of the generic corpus. Physical quantities with am-

biguous units are masked by all candidate units, for example, 1500 Å is masked as "NUM–
 Angstrom–Ampere".

Using this model, we compute a context vector, which is the average vector from a window of 6 words around the physical quantity excluding itself, for each physical quantity with an ambiguous unit. For each candidate unit assigned to the ambiguous unit, we then calculate the cosine similarity, which quantifies how parallel are two vectors, or in our case, how contextuallycorrelated are two words, between the calculated context vector to other units of the same dimension as the candidate unit. The highest cosine similarity is then assigned to the candidate unit as its score to represent the ambiguous unit.

### 261 IV TACKLING DIBS WITH NLP

We now discuss how we apply this NLP model to the question of DIBs. We start with the curation of the astrophysical literature corpus.

### **4.1** Compiling the DIB corpus

To improve accuracy of the semantic relationships between words directly associated with DIBs and common words used in other domains, a specialized corpus for DIBs is required. Our generic corpus of 1.5 million articles aims to cover cross-domain literature so will likely under-represent DIB articles making it inadequate for this purpose. To overcome this underrepresentation, we compile a corpus specialized in DIBs to enhance the representations of DIB words in the word-embeddings model.

<sup>&</sup>lt;sup>3</sup>https://pint.readthedocs.io/en/stable/



Figure 6: Indication of identified known DIBs (blue bands) and identified physical quantities from the generic corpus that overlap with DIBs (red crosses).

We first search for DIBs-related papers on NASA's Astrophysics Data System<sup>4</sup> and look for 271 their relevant papers with Iris.ai's Explore Tool<sup>5</sup>. We then examine all articles appearing in the 272 search and hand pick the articles that are directly DIBs-related. The final selected DIB corpus 273 then includes 939 articles. 274

Using our physical quantity recognition algorithm, we identify 284 wavelengths associated with 275 DIBs taken from the catalog of Jenniskens and Desert  $(1994)^6$ . These wavelengths, along with 276 the full-width-half-maximum (FWHM), are marked as blue bands in Figure 6. The DIB corpus, 277 with the disambiguated units, is then used to fine-tune the generic Word2Vec-CBOW model 278 on this corpus for 10 times. This DIBs-enhanced Word2Vec-CBOW model is used for our 279

following analysis. 280

#### 4.2 Using the unit quantity recognition 281

We then apply the physical quantity recognition algorithm on the generic corpus. Out of the 282 1.5 million articles, we identify  $\sim 2000$  physical quantities from  $\sim 20,000$  articles, that overlap 283 with DIBs and mark their locations as red crosses in Figure 6. Some of these physical quantities 284 describe molecular sizes, which are unrelated to DIBs, but others describe the wavelength of 285 the energy transitions and are thus of direct interest to us. 286

In order to systematically filter the identified physical quantities down to the most relevant to 287 DIBs, we use three filters to select candidate articles. 288

- 1. Physical quantities in the range  $0.1 1 \ \mu m$  in the generic corpus are often found to de-289 scribe diameters or distances. As a consequence, we discard quantities that are identified 290 within this range. 291
- 2. Known laser wavelengths are irrelevant for identifying DIB carriers so we discard any 292 identified physical quantities that co-occur with the token "laser" or "light" within a win-293 dow of 3 words. 294
- 3. Using the DIBs-enhanced Word2Vec-CBOW model in the sentence window as the con-295 text where a physical quantity is identified, we compute a cosine similarity between the 296 context vector and the physical-quantity vector. Articles of low (< 0.5) cosine similarities 297
- are filtered out to remove false positives given by the unit-recognition algorithm. 298
- After applying filters, we screen through all candidate articles and identify twelve papers of 299 high relevance, which are discussed in the following section.
- 300

<sup>&</sup>lt;sup>4</sup>https://ui.adsabs.harvard.edu/

<sup>&</sup>lt;sup>5</sup>https://the.iris.ai/

<sup>&</sup>lt;sup>6</sup>https://leonid.arc.nasa.gov/DIBcatalog.html

### 301 V ASSESSMENT OF THE RESULTS

302 Our model points us toward twelve articles presenting spectroscopic measurements of molecules

<sup>303</sup> having transitions corresponding to some DIBs. These findings are summarized in Table 1.

<sup>304</sup> They now need to be scrutinized.

Article	Transitions	Closest DIB	Molecule
Wakakuwa <i>et al.</i> (2010)	425 nm	$425.90\pm0.01~\mathrm{nm}$	11-cis retinal
Butterfly eye pigment	453 nm	$450.18\pm0.02~\mathrm{nm}$	chromophore
	563 nm	$563.50\pm0.01~\mathrm{nm}$	within opsin
	620 nm	$619.90\pm0.01~\mathrm{nm}$	(H, C, O)
	640 nm	$640.05\pm0.01~\mathrm{nm}$	
Dove <i>et al.</i> (1995)	560 nm	$560.09\pm0.01~\mathrm{nm}$	Chromophores
Coral pigment	580 nm	$580.66\pm0.01~\mathrm{nm}$	within
	590 nm	$590.06\pm0.01~\mathrm{nm}$	pocilloporin
Davies <i>et al.</i> (2009)	$441.9\pm1.0~\mathrm{nm}$	$442.82\pm0.17~\mathrm{nm}$	Chromophores
Elephant shark eye pigment	$493.7\pm2.6~\mathrm{nm}$	$494.74\pm0.01~\mathrm{nm}$	within
	$496.3\pm0.1~\mathrm{nm}$	$496.39\pm0.01~\mathrm{nm}$	proteins
	$498.3\pm0.3~\mathrm{nm}$	$498.21\pm0.01~\mathrm{nm}$	(H, C, N, O)
	$498.7\pm0.3~\mathrm{nm}$	$498.74\pm0.01~\mathrm{nm}$	
	$504.1 \pm 1.0$ nm	$505.48\pm0.01~\mathrm{nm}$	
	$509.5\pm0.5~\mathrm{nm}$	$509.21\pm0.01~\mathrm{nm}$	
	$510.1\pm0.2~\mathrm{nm}$	$510.10\pm0.01~\mathrm{nm}$	
	$520.9\pm2.0~\mathrm{nm}$	$521.79\pm0.01~\mathrm{nm}$	
	$534.2\pm1.0~\mathrm{nm}$	$534.25\pm0.01~\mathrm{nm}$	
	$547.8\pm2.2$ nm	$548.08\pm0.01~\mathrm{nm}$	
Spady <i>et al.</i> (2006)	423 nm	$425.90\pm0.01~\mathrm{nm}$	Retinal
Cichlid eye pigment	456 nm	$450.18\pm0.15~\mathrm{nm}$	chromophores
	472 nm	$472.68\pm0.02~\mathrm{nm}$	within
	518 nm	$517.81\pm0.01~\mathrm{nm}$	opsin
	528 nm	$529.8\pm0.01~\mathrm{nm}$	
	561 nm	$560.98\pm0.01~\mathrm{nm}$	
Wolfbeis et al. (2001)	488 nm	$488.00\pm0.01~\mathrm{nm}$	Valinomycin
Valinomycin			(H, C, N, O)
Filosa (2001)	695 nm	$694.46\pm0.01~\mathrm{nm}$	Amide II
Blood proteins			$(\mathrm{H},\mathrm{C},\mathrm{N},\mathrm{O})$
Davies <i>et al.</i> (2009)	$501.0 \pm 0.1 \text{ nm}$	$500.36\pm0.01~\rm{nm}$	Chromophores
Agnathan eye pigments	$535.5 \pm 3.3 \text{ nm}$	$535.88 \pm 0.01 \text{ nm}$	within
	$544.1 \pm 5.0 \text{ nm}$	$543.35\pm0.01~\mathrm{nm}$	opsin
	$554.3 \pm 2.0 \text{ nm}$	$554.51\pm0.01~\mathrm{nm}$	
	$562.8\pm0.4~\mathrm{nm}$	$563.50\pm0.01~\mathrm{nm}$	
Schoot Uiterkamp <i>et al.</i> (1976)	653 nm	$653.65\pm0.01~\mathrm{nm}$	Tyrosinase
Mushroom absorption	755 nm	$755.94\pm0.01~\mathrm{nm}$	(H, C, O, Cu)
Davies <i>et al.</i> (2007)	439 nm	$436.39 \pm 1.10 \text{ nm}$	Chromophores
Lamprey eye pigment	492 nm	$494.74 \pm 0.01 \text{ nm}$	
	497 nm	$496.91 \pm 0.01 \text{ nm}$	
Maréchal et al. (2007)	445 nm	$442.82\pm1.69~\mathrm{nm}$	Heme intermediate

#### 305 5.1 The Results

Article	Transitions	Closest DIB	Molecule
Nitric-oxide synthase			(H, C, N, O, Fe)
Rémigy et al. (2003)	420 nm	$425.90\pm0.01~\mathrm{nm}$	Heme-type
Bacterium cytochrome	525.2 nm	$525.18\pm0.01~\mathrm{nm}$	molecule
	545.4 nm	$545.06\pm0.83~\mathrm{nm}$	(H, C, O, N, Fe)
Fasick <i>et al.</i> (1998)	488 nm	$488.00\pm0.12~\mathrm{nm}$	11-cis retinal
Dolphin eye pigment	545 nm	$545.06\pm0.83~\mathrm{nm}$	chromophore

Table 1: Summary of the results. The first column lists the found articles, with their topics. The second column shows the transition wavelengths reported in each article. We quote the uncertainty when it is reported, otherwise we assume it is the last significant digit, that is 1 nm in most cases. The third column gives the closest DIB reported by Hobbs *et al.* (2009). This database contains 380 bands in the  $\lambda = 380 - 810$  nm range. We do not discuss bands reported by the interdisciplinary articles outside this spectral range. Values in grey correspond to the case where the DIB centroid is not consistent with the article measurement. The last column gives the studied molecule, with its constituting elements between parentheses, when they are provided.

<sup>306</sup> The twelve interdisciplinary articles listed in Table 1 are all biochemistry studies. They all

<sup>307</sup> report experimental spectroscopic measurements of organic molecules. Most of these molecules

are constituted of abundant interstellar atoms, mainly, H, C, N and O. We can divide them into

<sup>309</sup> the two following categories.

#### 310 5.1.1 Chromophores

A majority of the papers in Table 1 deal with animal retinal eye pigments (Fasick et al., 1998; 311 Spady et al., 2006; Davies et al., 2007, 2009; Wakakuwa et al., 2010). These studies indeed 312 measure the absorption bands of organic molecules in the visible range. Their potential rel-313 evance to DIBs is thus obvious. All these molecules are proteins (such as opsin) containing 314 chromophores. Chromophores are molecular groupings, often part of a larger molecule, that 315 are responsible for the color of an organism. Their particular optical properties are due to the 316 presence of chemical double bonds. It is thus reasonable to assume that they are responsible for 317 the reported bands. A recurring chromophore in these studies is 11-cis retinal (Figure 7). Not 318 all these papers discuss the actual chromophores present in their molecules, probably because 319 they are not always known.

 $H_{3}C \xrightarrow{CH_{3}} H_{3}C \xrightarrow{CH_{3}} H_{3}C \xrightarrow{H_{3}} H_{3}C \xrightarrow{H$ 

Figure 7: Molecular structure of 11-cis retinal, heme b and valinomycin.

#### 321 5.1.2 Heme and other molecules

Apart from chromophores, our model points us toward several other organic molecules. In particular, two studies deal with molecules related to heme (Figure 7; Rémigy *et al.*, 2003; Maréchal *et al.*, 2007). Heme is the molecule that allows hemoglobin to transport oxygen in the blood stream. Another potentially interesting molecule for interstellar chemistry is valinomycin (Figure 7; Wolfbeis *et al.*, 2001). We will discuss the likeliness of the existence of these molecules in the ISM in Sect. 5.2.2.

### 328 5.2 Discussion

We now attempt at assessing these results. We start by discussing the numerical values of the spectral band centroid. We then evaluate the likeliness of these molecules as carriers of some DIBs.

### 332 5.2.1 Accuracy of the reported transitions

The second column of Table 1 lists the central wavelengths of the bands reported by the articles found by our model. Unfortunately, only a few of these papers quote measurement errors on the centroid. This adds some uncertainty to our assessment. The third column of Table 1 gives the closest DIB from the measured band, using the Hobbs *et al.* (2009) compilation. Out of the 43 centroids, only 8 (19%) do not have a DIB within  $\pm 1\sigma$  (grey values).

The first question one can ask is how probable is it to draw a centroid wavelength at  $\pm 1\sigma$ 338 of a DIB. The compilation of Hobbs et al. (2009) contains 380 DIBs in the wavelength range 339  $\lambda = 320 - 810$  nm. It gives a probability of 0.78 DIB per nm. Assuming that DIBs are randomly, 340 uniformly distributed with this density, the Poissonian probability to find a DIB within  $\pm 1\sigma$ 341  $(\pm 1 \text{ nm in our case})$  is 33 %. Yet, our list matches 81 % of them. Moreover, several studies 342 list a large number of bands. The most spectacular is the paper by Davies et al. (2009), listing 343 11 bands with their uncertainties. It happens that all of them coincide with a DIB within  $\pm 1\sigma$ . 344 Using the uncertainties quoted by Davies et al. (2009), the probability to randomly obtain such 345 a result is 0.2%. These results are thus non trivial. 346

We have mentioned in Sect. II that DIBs were a few Angstrom wide. The bands reported in these 347 articles are however wider (up to several tens of nm). One can thus wonder if they are relevant to 348 our problem. The answer to this question is not straightforward. Molecular band width depends 349 on the molecular size as a well as on the temperature. Concerning the first effect, our candidate 350 molecules contain a few tens to a hundred atoms, which is the expected size of DIB carriers 351 (MacIsaac et al., 2022). The second effect, the temperature, might explain the broadness of the 352 features. All these molecules are indeed measured at room temperature ( $T \simeq 300$  K), whereas 353 these molecules in the ISM would be close to  $T \simeq 20 \ K$ . Low-temperature measurements 354 should be made to confirm this, but we can reasonably assume that these molecules should have 355 narrower bands in interstellar conditions. 356

#### 357 5.2.2 Insights for astrochemistry

We have seen that most of the molecules our model points us toward are constituted of abundant interstellar atoms. This is a first requirement that our model has successfully accounted for. It is likely the result of the NLP training, associating DIBs with these elements and with organic molecules.

Our highest-score molecules, chromophores, have been proposed as DIB carriers (*e.g.* Johnson, Adams and Oka, 2019), and this is probably why our NLP model has selected them.

Chromophores are probably too brittle molecules to survive in the diffuse ISM. For instance, the long chain of 11-cis retinal (Figure 7) will likely be photolyzed by UV photons. However, chromophores could form moieties in larger molecules or, possibly, in nanometer-size dust grains (Jones, 2014, 2016b). This would allow them to be present in the ISM and carry some of the DIBs. We note this is also the case in the biochemistry studies. Several of the found papers mention that the chromophores are covalently bonded with their proteins.

Heme (Figure 7) has also been discussed has a possible interstellar molecule (Jones, 2016a).

Together with porphyrin, which is also considered as a potential DIB carrier (Johnson, 1994), these molecules could result from the reaction of nitrogen atoms with hydrogenated amorphous

carbon grains. The structure of valinomycin (Figure 7) is not unlike heme and porphyrins. The problem of valinomycin is that it is probably not going to be very stable in the ISM because of

<sup>374</sup> problem of valinomycin is that it is probably not going to be very stable in the IS <sup>375</sup> all its oxygen, which is going to make it very reactive with atomic C, N, H and S.

### 376 VI SUMMARY AND CONCLUSION

We have trained a Natural Language Processing (NLP) model on a corpus of astrophysical 377 publications dealing with the open question of the origin of Diffuse Interstellar Bands (DIBs). 378 We have then used this model to explore an interdisciplinary corpus of scientific literature, 379 with the hope to find relevant molecules having transitions matching some DIBs. We have 380 implemented a careful parsing of physical quantities and their units, in order to identify mea-381 sured wavelengths in the visible electromagnetic spectrum. Our model points us toward twelve 382 biochemistry studies presenting spectroscopic measurements of molecules having transitions 383 consistent with some DIBs. More than half of these molecules contain chromophores. Several 384 other studies deal with molecules linked to heme and valinomycin. 385

Our main objectives, the feasibility to use NLP to address open scientific questions, is reached. 386 We have shown that NLP is able to surface candidate DIBs molecules from an interdisciplinary 387 corpus of scientific literature. This confirms that NLP-methodologies can generate plausible 388 and non-trivial hypotheses for future investigation. First, the association between the reported 389 transitions and the DIBs would be unlikely if they were purely random. Second, our NLP model 390 has pointed us toward molecules relevant to the InterStellar Medium (ISM). These molecules 391 are constituted of abundant interstellar atoms. In addition, several of these molecules have 392 been proposed in the past to be DIB carriers. Their presence in the ISM has not been proven, 393 yet, but it is conjectured that they could form moieties in interstellar grains. In light of our 394 study, NLP thus appears as a practical tool for interdisciplinarity. In the future, extending our 395 analysis to the full text, and expanding the generic corpus to thoroughly-cover cross-domain 396 literature, can potentially give us a more complete result. From an astrophysical point of view, 397 our work adds credibility to the possibility that some DIBs could be carried by chromophores 398 and to the role of heme and porphyrins in interstellar chemistry. These findings need now to be 399 further investigated in the laboratory, in interstellar conditions, at low temperature. As a further 400 outlook, our methodology should be applicable to other open scientific questions which require 401 interdisciplinary knowledge. 402

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