

# Curiosity as a metacognitive feeling Louise Goupil, Joëlle Proust

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4	Curiosity as a metacognitive feeling	
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#### 22Abstract (228 words)

23Curious information-seeking is known to be a key driver of learning, but 24characterizing this important psychological phenomenon remains a challenge. In 25this article, we argue that this requires qualifying the relationships between 26metacognition and curiosity. The idea that curiosity is a metacognitive 27competence has been resisted: many researchers have assumed both that young 28children and non-human animals can be genuinely curious, and that 29metacognition requires conceptual and culturally situated resources that are 30unavailable to young children and non-human animals. We suggest that this 31resistance is unwarranted given accumulating evidence that metacognition can 32be deployed procedurally, and defend the view that curiosity is a metacognitive 33 feeling. Our metacognitive view singles out two monitoring steps as a triggering 34condition for curiosity: evaluating one's own informational needs, and 35predicting the likelihood that explorations of the proximate environment afford 36sizeable information gains. We review empirical evidence and computational 37models of curiosity, and show that they fit well with this metacognitive account, 38while on the contrary, they remain difficult to explain by a competing account 39according to which curiosity is a basic attitude of questioning. Finally, we 40propose a new way to construe the relationships between curiosity and the 41human-specific communicative practice of questioning, discuss the issue of how 42children may learn to express their curiosity through interactions with others, 43and conclude by briefly exploring the implications of our proposal for 44educational practices.

## 45MAIN TEXT (11499 words)

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## 481) Introduction

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50Curious information-seeking has long been identified as a key driver of learning 51(Baldwin & Moses, 1996; Berlyne, 1978; Bruner, 1961; Dember & Earl, 1957), 52and exciting empirical research has largely confirmed this assumption by 53gathering data in human adults, children and infants, as well as in non-human 54primates (Begus & Southgate, 2018; Gottlieb & Oudeyer, 2018; Gruber, 55Gelman, & Ranganath, 2014; Kang et al., 2009; Kidd & Hayden, 2015). The 56status of curiosity, however, remains hotly debated. There is at present no 57consensus about how to define and operationalize this important psychological 58phenomenon, nor about its emergence across ontogeny and phylogeny (Begus 59& Southgate, 2018; Carruthers, 2018; Kidd & Hayden, 2015).

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61This article proposes that defining curiosity requires clarifying its relationship 62with metacognition, broadly defined as the ability to evaluate the quality of 63one's own informational states, and the efficiency of one's own learning 64attempts, in order to regulate subsequent cognitive activities and behavior. Many 65researchers have resisted the idea that curiosity is fundamentally a metacognitive 66competence, because they have assumed both that non-human animals and 67young children can be genuinely curious - since they engage in information 68seeking even when this does not lead to immediately consumable rewards 69(Bazhydai & Westermann, 2021; Gottlieb & Oudeyer, 2018; Kang et al., 2009; 70Kidd & Hayden, 2015) - and that metacognition requires conceptual and 71culturally situated resources that are unavailable to young children and non-72human animals (Bazhydai & Harris, 2021; Begus & Southgate, 2018; 73Carruthers, 2018a,b; Perner, 2012).

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75Here, we argue that this resistance is not warranted: a large body of research 76suggests instead that metacognition does not reduce to its conceptual, meta-77representational forms, and that preverbal children and some nonhuman animals 78possess rudimentary metacognitive ressources (Goupil & Kouider, 2019; Proust, 792012, 2019; Shea et al., 2014). In our view, intrinsic curiosity must involve 80minimal forms of metacognitive monitoring and regulation allowing agents to 81identify and satisfy their informational needs, which we refer to as "core" or 82"procedural" metacognition. Our suggestion is thus that, from early on in 83development, and across animal taxa, engaging in curious information seeking 84is, fundamentally, a metacognitive competence.

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86First, we will present a basic definition of curiosity and a few important 87functional distinctions. We will then introduce two competing theoretical 88accounts that both attempt to characterize the semantic structure of curiosity, 89and its link with metacognition. According to a first account, curiosity is a basic 90affective attitude of questioning (BQA acount, Carruthers,  $2018_{a,b}$ ). According to 91our proposal, curiosity is a metacognitive feeling whose function is to motivate 92cognitive agents to adaptively fill their informational gaps (MF account). We 93then discuss relevant empirical and theoretical arguments, and conclude that a 94metacognitive account is to be preferred, both on theoretical and empirical 95grounds. Finally, we discuss the relationship between questioning and curiosity 96in cross-cultural contexts and over development, and briefly explore the 97implications of our proposal for educational practices.

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## 102) What is curiosity?

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## 1022.a.) Basic definition and functional distinctions

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104Curiosity is the mental property that is pretheoretically used to explain why 105people actively seek information. Why does Ann ask a question about a given 106event? Because she is curious: she wants to ascertain whether an event 107happened, and/or to learn more about where, when and why it happened. 108Curiosity then, is a mental state whose function is to trigger information search, 109and that is extinguished when information is obtained (Berlyne, 1962; Kidd & 110Hayden, 2015; Loewenstein, 1994). 112 Curiosity leads to exploring (Berlyne, 1966), but it is worth noting that not 113all explorations are driven by curiosity. Explorations are behaviors whereby 114agents search their environment. They are ubiquitous across the animal 115kingdom, and can be driven by different motives. Utility-driven (or 116instrumental) explorations have a non-cognitive goal, such as foraging for food 117or shelter. Curiosity-driven explorations, by contrast, occur when agents seek 118information for its own sake. In experimental contexts, curiosity is hypothesized 119to lead to exploratory behaviors when animals are satiated and safe (Kidd & 120Hayden, 2015; Oudeyer & Smith, 2016).

#### 121

122This distinction between utility-driven and curiosity-driven explorations is 123blurred whenever instrumental explorations incidentally lead to information 124gains. Imagine that you just moved in a foreign country. In the morning, you try 125to find a coffee shop. During this utility-driven exploration, you may notice 126events that surprise you (i.e., that differ from the expectations you formed on the 127basis of your prior knowledge). For example, a surprising traditional outfit could 128pique your curiosity, and lead you to want to know more about it (e.g. its name, 129its social meaning). Here, your desire for coffee incidentally triggered unrelated 130curiosity-driven information search. 132The two types of exploration have a distinctive selective history and hence, 133different primary functions (Millikan, 1989). It is likely that utility-based 134exploration was selected first, to allow organisms to decide whether to exploit 135identified resources, or to sacrifice current gains with the prospect of better 136future rewards. A further adaptation then allowed organisms to explore their 137environment to gain information in the absence of a current instrumental need, 138leading to curiosity-driven explorations. Their primary function is to enrich the 139model used for predicting future events, in order to reduce subjective uncertainty 140(Koechlin, 2014). In this view, curiosity is part of the complex and multifaceted 141set of abilities involved in computing the trade-off between exploring and 142exploiting (Schwartenbeck et al., 2019).

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144Our example above highlights surprise as a *precursor* to curiosity (Vogl, 145Pekrun, Murayama, & Loderer, 2019), and we discuss this possibility further in 146*Appendix 1*. Surprise is triggered when an unexpected event occurs, that is, an 147event that contradicts the agent's prior beliefs. The resulting prediction error can 148then enhance the agents' attention, leading them to revise their priors in an effort 149to minimize future prediction errors (Hohwy, 2012; Sim & Xu, 2019). Surprise 150and curiosity are often equated with one another (Kidd & Hayden, 2015; 151Schwartenbeck et al., 2019), but they are functionally distinct: surprise can lead 152to curiosity – as in our example above (see also Ligneul, Mermillod, & 153Morisseau, 2018) – but it can also trigger sequential utility-driven explorations: 154if an animal is surprised not to find food in a predicted location A, it may search 155for it in other locations out of sheer utility, rather than curiosity.

156In summary, surprise-based sequential explorations stem from experiencing 157local prediction errors, i.e., a divergence between internal predictions and 158observed outcomes (Egner, Monti, & Summerfield, 2010). Their primary 159function is to allow agents to notice unpredicted changes, and to locally adjust to 160them. Curiosity-based explorations stem from detecting an informational need, 161and are terminated once this informational need is satiated. They can be 162triggered by surprise, when surprise leads agents to recognize that they have an 163informational need, but can also be triggered by other mechanisms. Curiosity 164primarily depends on an organism's ability to become sensitive to its 165informational needs, and the aim of a theoretical account of curiosity should 166thus be to try and characterize how these informational needs are detected. This, 167in our view, requires clarifying the links between curiosity and metacognition.

#### 1692.b.) Curiosity and metacognition: two views.

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171Two main views have been proposed to qualify the relationship between 172curiosity and metacognition. A first view is that curiosity is is a *basic*, 173*prelinguistic affective attitude of questioning*, that is triggered automatically 174when a cognitive activity fails to generate the expected outcome. A second view 175is that curiosity is a *metacognitive feeling* that stems from evaluating one's own 176informational needs, and the likelihood that exploring will lead to information 177gains in a given context.

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## 1792.b.i Curiosity as a basic questioning attitude (BQA).

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181This view proposes that curiosity is akin to the process of questioning, and is 182one of its evolutionary and developmental preconditions. Building on a proposal 183by epistemologist Dennis Whitcomb (Whitcomb, 2010), Peter Carruthers (2018) 184observes that the content of a curious attitude (e.g., the question "*where are my* 185*keys?*") specifies the set of propositional attitudes that would count as an answer 186(e.g., "*the keys are in the drawer/in my bag/on the table*"). Extinguishing 187curiosity requires selecting a correct answer in this set of alternatives, leading to 188the formation of a novel belief (e.g., "*I believe the keys are in the drawer*"). In 189this view, curious states are desire-like attitudes, because they have strong 190motivational and affective dimensions, but they differ from first-order desires 191because the agent seeks knowledge rather than consumption.

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193A key aspect of this view is that curiosity does not presuppose metarepresenting 194one's own knowledge, or lack thereof. For instance, the questioning attitude 195"where are the keys?" requires that the agent has a concept of "keys", but not 196necessarily a concept of "knowing". As such, basic questioning attitudes (BQAs 197thereafter) can be available to nonhuman animals and pre-linguistic children. 198The defining feature of this affective state is that it has an interrogative content 199(expressed in words: "where is X?"): it is a kind of attitude. In contrast with 200metarepresentations, however, its content does not consist in embedded 201propositions such as "X wants to *know whether it rains*". Accordingly, it only 202requires mastering the first-order concepts that apply to the emotion-triggering 203situation (related, for example to predation, foraging, mating). Thus, note that in 204this view, curiosity does not require metacognition – equated by the author with 205the ability to form metarepresentations (Carruthers, 2016; Perner, 2012).

#### 206

207In summary, according to this account, only first-order concepts are needed to 208generate basic questioning attitudes, and to grasp and memorize answers to it. 209These affective attitudes of questioning are proposed to arise automatically 210when a cognitive activity (e.g., remembering) fails to generate the expected 211outcome (e.g., recovering a piece of information from memory).

### 212

## 2132bii. Curiosity as a metacognitive feeling (MF)

214On an alternative view, curiosity is a specific kind of metacognitive feeling that 215arises when agents evaluate that they have an informational need in a specific 216context. On this view, curiosity depends on two types of assessment, bearing 217respectively on the agents' own lack of knowledge and on the potential learning 218gains afforded by their proximate environment.

219

220This proposal is informed by dual-process accounts of metacognition (see the 221Appendix 2 for details, and a defense of these accounts against the 222metarepresentational views mentioned above). Although they differ in their 223details, dual-process accounts propose that metacognition does not boil down to 224the ability to form metarepresentations, and does not need to rely on concepts -225such as "knowing" or "being certain" (Koriat & Levy-Sadot, 1999; Proust, 2012; 226Shea et al., 2014). Instead, they propose that metacognition encompasses all of 227the mental processes whereby agents internally evaluate the reliability of their 228cognitive activities (deciding, remembering, learning...), and use such 229information (e.g., a confidence, fluency or error signals) to regulate subsequent 230cognitive activities. These evaluative processes give rise to metacognitive 231feelings, which in turn initiate exploratory or corrective epistemic behaviors. On 232this view, metacognition can thus be deployed non-conceptually – in the form of 233" subjective experiences with a distinct embodied phenomenal quality and a 234 formal object" of varying valence and intensity (Proust, 2015) - in order to 235evaluate informational needs or achievements. On the other hand, concept-based 236processes broaden the scope of metacognitive control by integrating 237considerations about tasks, individual competences, social environment etc. (A. 238Koriat & Levy-Sadot, 1999).

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240This article proposes that curiosity is a special type of metacognitive feeling. 241Many affective responses, such as the experience of pleasure in relaxing, or of 242painful exertion, primarily stem from monitoring bodily states. Metacognitive 243feelings, however, are affective responses that stem from monitoring the success 244or failure of one's own cognitive actions (identifying, discriminating, 245recognizing, remembering, learning, etc.). Their generic function is to predict 246feasibility or success in various types of cognitive tasks (in perception, memory, 247or reasoning...) based on different temporal segments of the cognitive activity 248(predictive, current, retrospective). As shown in Table 1, each type of 249metacognitive feeling motivates a specific kind of control: launching a cognitive 250task, revising it, accepting or rejecting its outcome. Curiosity belongs to the 251predictive kind of metacognitive feelings: one that is concerned with identifying 252informational needs and strategically planning explorations to satiate those 253needs.

Goal-related predictive	<b>Process-related</b>	<b>Result-related</b>
feelings	evaluative feelings	evaluative feelings
Feelings of curiosity	Feelings of error	Feeling of being
		right/wrong
Feelings of familiarity	Feelings of	Feeling that one learned
	incomprehension	("judgement of
		learning")
Feelings of knowing	Feelings of incoherence	Eureka feeling
Feelings of prospective	Feelings of	Feelings of retrospective
confidence	interest/boredom	confidence
Tip-of-the tongue	Feelings of confusion	

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<sup>255</sup>Table 1. Taxonomy of metacognitive feelings based on activity segments being assessed. This 256taxonomy manifests the similarity between feelings of curiosity, feelings of knowing (Koriat, 1995), 257feelings of familiarity (where a face, for example, motivates searching who that person is (Whittlesea, 258& Williams, 2001) and tip-of-the tongue-phenomena (Frazier et al, 2021). They all reflect a tension

259that motivates further search; their positive valence expresses the promise of closing an informational 260gap.

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# 2622.c. Divergences between the basic questioning attitudes and the 263metacognitive accounts.

264Do the two views really differ ? At first sight, characterizing curiosity as a basic 265affective attitude might seem congruent with proposing that curiosity is a 266metacognitive feeling, and both BQAs and MFs are claimed to be present in 267nonhumans and in prelinguistic children. The two views differ, however, on two 268important issues. Their first divergence bears on the *definition* of metacognition. 269The second divergence relates to *functional differences* between basic 270questioning attitudes and metacognitive feelings.

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2722.c.i) Divergence 1: Two ways of defining metacognition.

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274The BQA account is part of wider explanatory framework in which 275metacognition is defined as cognition about one's cognition, and equated with 276the ability to form metarepresentations (i.e., propositional attitudes about 277propositional attitudes such as "*I believe* that [*I remember* P]"). As a 278consequence, only conceptual forms of self-attribution of knowledge or 279uncertainty are worth the name of metacognition (Carruthers, 2016, 2018; 280Perner, 2012, but see Carruthers, 2020). On the MF view informed by dual-281processing accounts of metacognition, in contrast, feelings generated by the 282evaluation of cognitive actions also qualify as metacognitive. A detailed defense 283of the dual-processing view of metacognition is provided in *Appendix 2*. We 284argue that the notion of metacognitive feeling is a necessary intermediary 285explanatory notion to guide research on curiosity, because it can explain how 286curiosity can be deployed non-conceptually while still possessing subject-287specific and context-specific features. Hence, metacognitive feelings can explain 288the transition from first-order evaluations to concept-based metarepresentations. 289This is described in greater details in section 3).

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## 2912.c.ii) Divergence 2: Affective attitude versus metacognitive feelings.

292One might still argue that, aside from the definitional issue, BQA as presented in 293Carruthers (2018) has much in common with a view emphasizing the role of 294metacognitive feelings. Both accounts involve a prelinguistic, affective mental 295construct whose function is to drive intrinsic exploratory behavior in 296nonhumans, young and adult humans. There are three important functional 297differences between the two accounts, however, which lead to very different 298implications and predictions.

#### 299

300First, the two constructs *are based on different underlying mechanisms*. BQA 301draws on an analogy with semantic constructs such as beliefs and desires. Just as 302having a belief is the (non-metacognitive) causal precondition for making an 303assertion, having a desire-like attitude to know something is the (non304metacognitive) causal precondition for deploying information-seeking. As an 305attitude, BQA needs to have a (first-order) concept-based propositional content: 306"Why is this person wearing this strange outfit"?

307

308In contrast, dual-process theorists of curiosity do not endorse the view that 309curiosity needs to involve propositional contents. The basic ontology of MF 310rather includes predictions and evaluations – i.e., comparators, a calibration 311process, and the associative cues that can enhance or influence predictions. No 312restriction, then, is imposed on the representational input to curiosity. Curiosity 313can stem from a mere feeling of surprise triggered by an unexpected stimulus 314(Ligneul et al., 2018; Vogl et al., 2019), or by a feeling of familiarity 315(Whittlesea & Williams, 2001), a tip of the tongue phenomenon (Frazier, 316Schwartz, & Metcalfe, 2021), etc. Graded MFs primarily stem from consciously 317experiencing these evaluative processes and their outputs, rather than forming 318propositional attitudes involving first- and second-order concepts.

319

320A second difference consists in the semantic relations between curiosity and its 321triggering condition. On the basic questioning view, being curious about the 322name of the capital of New Zealand is an affective attitude toward a desired 323piece of information, conceptually represented through first-order concepts ("the 324capital of New Zealand is..."). Yet, BQA does not say much about how a 325specific trigger generates an adaptive exploration. It only proposes that a BQA is 326automatically generated when a cognitive action (e.g., a remembering attempt) 327fails. Instead of this all-or-none mechanism, MF proposes instead that curiosity, 328like any other (metacognitive) feeling, primarily derives from experiencing 329analog evaluative processes, and as such, has a *graded valence and intensity*. 330This graded nature allows organisms to select a specific cognitive focus, and to 331modulate the intensity of their exploring behaviors, as a function of their prior 332knowledge, and of the informational gain afforded by the specific context they 333are in.

#### 334

335A third difference relates to the issue of whether curiosity is a basic or a 336complex ability. BQA argues that curiosity is basic, which means that it is 337irreducible to further drives and semantic contents. By contrast, the 338metacognitive account proposes that curiosity stems from an open set of 339evaluative processes that assess subjective uncertainty, detect prediction errors, 340and motivate exploration as a function of its expected positive or negative value 341(Gottlieb, Oudeyer, Lopes, & Baranes, 2013; Litman, 2008). As we describe in 342greater details below, such combined sensitivity to context and prior knowledge 343is most clearly manifested in the U-shape of curiosity: curiosity requires a 344minimal amount of knowledge to arise, and it stops when the information need 345is satisfied (Kang et al., 2009).

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## 3483) Focusing on curiosity as a metacognitive feeling.

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350In the preceding section, we introduced two main theories of the semantic 351structure of curiosity. They diverge on three crucial issues : 1) the constitutive 352role of *first-order concepts* versus *evaluative processes*; 2) the *interrogative*, 353*all-or-none* versus the *evaluative*, *graded* structure of curiosity; 3) the *basic* 354versus *complex* (i.e., subject- and context-sensitive) nature of curiosity. In this 355section, the properties of curiosity are analysed along these three axes, on the 356basis of developmental, experimental and computational evidence. Curiosity is 357shown to include two key monitoring steps: 1) an evaluation of the internally 358available information, and 2) an evaluation of the potential information gains 359afforded by a specific context. The evidence that curiosity is supported by 360graded, subject- and context- sensitive evaluative processes is compatible with 361the idea that curiosity is a metacognitive feeling rather than a basic questioning 362attitude.

363

## 364First step: monitoring prior states of knowledge

365Berlyne long ago hypothesized that specific forms of curiosity are meant to 366reduce the *cognitive conflicts* associated with subjective uncertainty (Berlyne, 3671961). In a similar spirit, it was later proposed that curiosity is elicited by 368perceived *informational gaps* (Loewenstein, 1994). This theory predicts that 369agents will be curious when they encounter a situation in which several 370alternative interpretations are compatible with the current situation, given 371agents' prior beliefs. Agents then compare their confidence estimates for each 372alternative. Below a given confidence threshold, a drive to collect additional 373information arises (Golman & Loewenstein, 2018; Loewenstein, 1994).

374

375This model is consistent with the key finding that self-reported curiosity 376depends on agents' evaluations of their prior knowledge. "Feelings-of-knowing" 377and "tip-of-the-tongue" experiences (i.e., metacognitive feelings that occur 378when one is unable to recover an information from memory, but still feels that 379one knows it) are associated with greater attempts at recovering a memory, 380greater curiosity, and greater information seeking than "I don't know" or "I 381know" states (Litman, 2005; Loewenstein, 1994; Maril, Simons, Weaver, & 382Schacter, 2005; Metcalfe, Schwartz, & Bloom, 2017). In situations where 383information gain is guaranteed - because exploring leads to encountering stimuli 384that are easy to process - human adults typically seek information when they 385have low confidence (Desender, Boldt, & Yeung, 2018). For instance, in a 386recent study, when an algorithm was trained to classify high versus low 387confidence responses from electroencephalographic data, it could also 388accurately predict whether participants would engage in information seeking or 389not (Desender, Murphy, Boldt, Verguts, & Yeung, 2019).

390

391Low confidence already predicts information-seeking early on in development

392(Coughlin, Hembacher, Lyons, & Ghetti, 2014; Goupil & Kouider, 2019; 393Lapidow, Killeen, & Walker, 2021). For instance, in a perceptual task, 3- to 5-394year-old children preferentially seek additional information – instead of 395responding by themselves – in conditions in which they also report low 396confidence on a picture-based scale (Coughlin et al., 2014). In the language 397domain, 4-year-olds' curiosity about word meanings varies as a function of their 398confidence (Jimenez, 2018; Jimenez, Sun, & Saylor, 2018). In the memory 399domain, even 20-month-olds seek help when they forgot the location of a toy, to 400avoid recollection errors (Goupil, Romand-Monnier, & Kouider, 2016).

401

402In summary, from early on in development, empirical evidence indicates that 403information seeking is supported by metacognitive monitoring processes that 404allow agents to evaluate their informational needs. Evaluating prior knowledge 405is thus a key factor driving curiosity, but it is only sufficient to guide curiosity in 406environments where explorations tend to lead to information gain. In an 407uncertain world, however, explorations can be fruitless. Hence, curiosity should 408also depend on the expected information gain afforded by a specific context for 409a specific learner.

410

## 411Second step: trade-offs between prior knowledge and sources of knowledge.

412It has long been known that even infants orient their attention as a function of 413how familiar a stimulus is to them (Fantz, 1964; Hunter, Ross, & Ames, 1982),

414and more recent research has shown more specifically that infants tailor their 415attention as a function of how predictable and informative stimuli are (Kidd, 416Piantadosi, & Aslin, 2012; Poli, Serino, Mars, & Hunnius, 2020). Infants tend to 417look away from events that are either too easy to process (because they are 418highly predictable) or too difficult to process (because they are highly 419unpredictable). This attentional strategy characterizes infants' individual 420exploratory behavior toward visual as well as auditory stimuli (Kidd et al., 2012; 421Kidd, Piantadosi, & Aslin, 2014). Beyond stimulus predictability, a recent study 422found that the way infants pay attention to a visual display also depends on how 423much information can be gained from a specific observation, given all past 424observations of the display (Poli et al., 2020). Thus, from early on in 425development, attentional allocation depends on evaluations of potential 426information gains afforded by a specific context.

## 427

428Combined with the monitoring step described above, these evaluative 429mechanisms can allow learners to adjust their explorations as a function both of 430the informational need they identified, and of the potential gain their proximate 431environment affords. As mentioned above, where exploration is guaranteed to 432result in information gains because it leads to discovering stimuli that are easy to 433process, low-confidence is linearly associated with information-seeking 434(Coughlin et al., 2014; Desender et al., 2018). By contrast, contextual variations 435in the likelihood that exploring will provide information gains leads to a U- 436shape function: curiosity is maximal when participants have middle-range levels 437of confidence (Kang et al., 2009).

438

439This U-shape function can be accounted for by complexity theory (Dember & 440Earl, 1957; Kidd & Hayden, 2015), which proposes that curiosity varies with a 441system's ability to absorb new information. The best learning target is neither 442overly simple (already encoded into memory), nor "too disparate from existing 443representations already encoded into memory" (Kidd et al., 2012). Complexity 444theory matches Lev Vygostky's notion of a zone of proximal development. 445Instructional guidance, Vygostky observed, is most efficient when learning 446goals are neither already met, nor too distant from the student's existing 447acquisitions (Metcalfe, Schwartz, & Eich, 2020; Vygotsky, 1978).

448

449*Progress learning theory*. It has been objected to complexity theory that the 450notion of an "intermediate challenge" remains to be operationalized (Oudeyer, 451Gottlieb, & Lopes, 2016). Furthermore, there are many intermediate complexity 452stimuli that do not trigger explorations, especially in changing environments 453(Schmidhuber, 2010). A dynamic version of complexity theory, progress 454learning theory (PLT), initially developed in developmental robotics, claims that 455the brain, as a predictive machine, *"is intrinsically motivated to pursue activities* 456*in which predictions are improving"* (Luciw, Kompella, Kazerounian, & 457Schmidhuber, 2013; Oudeyer et al., 2016). Curiosity, on this view, is an intrisinc 458motivation whose function is to identify "niches of learning progress". 459Specialized predictive heuristics influence the intrinsic motivation to engage or 460disengage from an activity (Luciw et al., 2013). On the one hand, monitoring 461one's prediction error rates offers a way to assess task difficulty, which motivates 462exploring midly unfamiliar topics (in agreement with complexity theory). On the 463other hand, monitoring one's progress rate of learning (i.e. the temporal derivative 464of performance) dictates when to engage in, persist in, or disengage from 465learning when exploring would likely not lead to any information gain (Ten, 466Kaushik, Oudeyer, & Gottlieb, 2021). These two components map onto the two 467monitoring steps singled out in the MF model.

#### 468

469A complementary Bayesian approach specifies how the exploration-exploitation 470trade-off varies as a function of the types of uncertainty encountered in a given 471context, and evaluations of prior knowledge (Friston et al., 2017; Schwartenbeck 472et al., 2019). When reliable information is available, exploration should target 473either events to-be-predicted ("hidden state exploration"), or the causal, 474parametric structure of the model itself ("model parameter exploration"). When 475it is not, they should proceed randomly.

476

477*Curiosity as a rational behavior:* A rational account of curiosity has also been 478defended in order to distinguish the subjective and objective forms of 479informational uncertainty at play in curiosity. It proposes that *the value of*  480knowledge depends on the causal structure of the environment, and that the 481 function of curiosity is to rationally seek information that is maximally useful 482 for the agent in a given context (Dubey & Griffiths, 2020). This can explain that 483people are more curious about novel facts in changing environments where past 484events are less likely to recur in the future. It can also explain why the 485relationship between uncertainty and curiosity varies as a function of how 486instrumental it is to gather information in a given situation: when a decision 487must be made, and accuracy is important, it is rational to seek information when 488uncertainty is maximal. By contrast, if information can be collected without 489direct instrumental pressure, it can be rational to orient to intermediate levels of 490uncertainty that afford sizeable informational gains at lower processing costs. 491On this view too, the feelings associated with novelty, learning rate, complexity 492and other factors might all influence curiosity. The function of curiosity, again, 493consists in tracking stimuli likely to maximize the overall value of knowledge 494acquisition in a given type of environment. Curiosity is thus the set of 495mechanisms that approximates the rational behavior of seeking stimuli allowing 496 agents to maximize their ability to make appropriate responses in the future.

497

498Although successfully tested through computational simulations, developmental 499robotics, and adult game decisions, these theories await further evidence from 500developmental studies. As reviewed above, we have evidence that information-501seeking relates to confidence (Coughlin et al., 2014; Goupil et al., 2016), and 502that attention allocation reflects the potential information gain afforded by a 503specific context (Kidd & Hayden, 2015; Poli et al., 2020) from early childhood. 504But when do children start integrating both kinds of evaluations to engage in 505curious-based explorations? This remains unknown. We examine this specific 506question in further details in *Appendix 1*.

#### 507

## 508*Valence and intensity*.

509Another key aspect of curiosity - compatible with the idea that it constitutes a 510metacognitive feeling - is that it varies in valence and intensity. As observed by 511Berlyne (1978) and Loewenstein (1994), experiencing a conflict or detecting an 512informational gap may generate an aversive feeling, which in turn motivates the 513exploratory behavior predicted to suppress the unpleasant current feeling. Yet, 514exploration is an approach behavior because it predicts a positive experience of 515interest and the resolution of one's own perceived ignorance. Consistent with 516this, neural systems typically involved in reward anticipation also show 517increased activity for information anticipation during curiosity or curiosity relief 518(Gruber et al., 2014; Kang et al., 2009; Lau, Ozono, Kuratomi, Komiya, & 519Murayama, 2020; Ligneul et al., 2018).

#### 520

521The hybrid, "interest-deprivation" model (Litman, 2009) thus hypothesizes that 522curiosity is a trade-off between opposed motivations: a *positive motivation* for 523learning – interest – and a *negative emotion* generated by uncertainty and the 524prospect of a possible learning failure. The respective weights of these affects 525reflect variations in learning goals. The trade-off might include additional 526motivations. The anticipated valence of the *information to be gained* has also 527been claimed to bias learning goals, and hence, curiosity about them. People 528seem to be less curious to know about predictably bad news than they are about 529good news (Gottlieb et al., 2013, but see FitzGibbon, Lau, & Murayama, 2020). 530This motivational articulation is compatible with the proposal that metacognitive 531feelings integrate predictive cues when monitoring a cognitive action from goal 532selection to action completion (see Table 1 above).

533

534In summary, the evidence discussed in this section shows that, in line with the 535MF view, metacognitive monitoring is a key triggering condition of curiosity. 536Empirical and computational work indeed shows that curiosity expresses a 537trade-off between various motivations, each endowed with their own valence 538and intensity. This recognition speaks in favor of taking curiosity to be a 539metacognitive feeling that reflect subjective and strategic evaluations of 540informational needs, rather than constituting a basic affective attitude that is 541triggered automatically in an all-or-none fashion.

542

543

## 5444) Curiosity and questioning

545

546This being said, granting that in the BQA view, curiosity is a basic form of 547questioning, the MF view needs to clarify the relations between curiosity and the 548specifically human questioning behavior. The two theoretical accounts make 549 radically different proposal regarding this aspect. In the BQA view, questioning 550and curiosity are essentially one and the same attitude. By contrast, in the MF 551view defended here, curiosity is a metacognitive feeling that can occur in the 552absence of any questioning ability. What additional functional steps, then, are 553involved when children start expressing their curiosity through questions? 554Before describing the relevant empirical data, the notion of questioning needs to 555be carefully examined. We will first analyze questioning as a communicational 556practice involving an inquisitive action, verbal or non-verbal, from a sender S to 557a receiver R, and clarify its semantic structure. We will then discuss the 558possibility that early, non-verbal forms of questioning express curiosity, and 559examine empirical evidence related to the question of whether and how 560questioning is scaffolded by interactions with caregivers.

561

## 5624.a.) The semantic structure of questioning.

563

564Questioning is often produced to reduce S's subjective uncertainty, or to test R's 565knowledge about a given subject matter, but it can also be used to provoke 566specific non-epistemic responses from R. Typically, in English, the function of 567the speech act "where is the salt?" is not to know where the salt is, but to request 568the salt: it expresses a desire rather than curiosity. In Quichuan as in most other 569languages, questions can be used to emphasize doubt to serve rhetorical 570purposes rather than request information (Nuckolls & Swanson, 2018). Thus, S 571may question R because *she wants to know something* from R (curiosity-driven, 572epistemic questions), because she wants to deny the relevance of a potential 573objection (pragmatic goal-driven, rhetorical questions), or more simply, because 574*she wants something* from R (utility-driven questions)<sup>1</sup>.

575

576Furthermore, articulating epistemic questions (verbally or nonverbally) requires 577mastering the semantic structure for interrogative contents (Roberts, 2012). A 578question not only has a *focus*, such as a new object in the environment; it also 579represents a *topic*: a range of options to be narrowed down concerning the focus 580(for example, how to name this object, or how to play with it?). Adequately 581answering a question, then, requires identifying its focus along with the set of 582relevant topical alternatives – or "contrastive topics" - that the questioner has in 583mind.

584

585

## 5864.b.) From curious explorations to verbal questioning.

587

<sup>1&</sup>lt;sup>1</sup>) Of note, this heterogeneity of question use is already visible during early childhood (Chouinard, 2007; Harris, 22020).

588Interestingly, these properties of questioning are already apparent in early forms 589of pointing. At the end of the first year, infants start producing pointing gestures 590that are either utility-driven or curiosity-driven (Begus & Southgate, 2012; 591Tomasello, Carpenter, & Liszkowski, 2007), and that have specific focus, as 592manifested by a deictic (i.e. focused on an object) gesture, as well as a topic. 593Imperative pointings communicate a focused desire to get access to an object: "I 594want that!". In the context of joint attention, declarative pointings communicate 595a focused desire to share with another person the perception of an object of 596interest: "look at that" (Lucca, 2020; Tomasello et al., 2007). Infants also use 597pointing to communicate a *focused curiosity* – for learning about an object. Just 598like preschool-aged children keep on asking questions until they receive an 599explanation (Frazier, Gelman, & Wellman, 2009) and adjust their questions to 600their informational goal (Ruggeri & Lombrozo, 2015), infants' tendency to point 601towards a novel object depends on whether they usually receive information 602upon producing that gesture or not (Begus & Southgate, 2012; Kovács, Tauzin, 603Téglás, Gergely, & Csibra, 2014). In addition, infants learn better the function 604and names of objects they have previously pointed towards (Begus, Gliga, & 605Southgate, 2014; Lucca & Wilbourn, 2016), which is also consistent with the 606idea they use these gestures to request information. We also know that 18-month 607children expect to receive information about object names in response to their 608pointing, not about their function (Lucca & Wilbourn, 2019). Granting that, in 609all these cases, inquisitive pointing expresses both a focus and a topic of 610uncertainty, these gestures appear to be the first developmental expression of a 611genuine questioning ability<sup>2</sup>.

612

613Does this depend on a capacity to metarepresent knowledge and ignorance? Or 614do toddlers' questions initially rely on non-conceptual forms of metacognition 615(i.e., on a metacognitive feeling of curiosity)? It has been proposed that 616questioning always presupposes an ability to conceptually represent the contrast 617between present ignorance and a specific knowledge goal (Bromberger, 1988). 618MF, however (as also does BQA), takes questioning *not* to presuppose a 619*conceptual understanding of knowledge*. Questions only involve concept-based 620metarepresentations if they focus on mental states ("what do you think about 621this"? "Are you sure to know where the toy is?"). Two lines of evidence are 622consistent with this idea.

623

624The first shows that young children answer a question more reliably if it is not 625framed as a verbal report about what they know (Kim et al., 2016). Experiments 626such as the classical appearance-reality task demonstrate that 3-year-olds fail to 627track their own belief changes conceptually<sup>3</sup> (Gopnik & Astington, 1988). Such

<sup>3&</sup>lt;sup>22</sup>) Research on social referencing (Bazhydai, Westermann, & Parise, 2020; Goupil et al., 2016; Hembacher, 4deMayo, & Frank, 2020) and object directed babbling (Donnellan, Bannard, McGillion, Slocombe, & Matthews, 52020; Goldstein, Schwade, Briesch, & Syal, 2010) suggest other potential candidates, although more research is 6required to confirm whether these behaviors reflect both contrastive focus and topics, which would confirm that 7they reflect genuine questioning.

 $<sup>8^{33}</sup>$ ) In a typical version of this task, having predicted that a Smarties box contained candies, participants find out 9that it actually contains pencils. When asked what they earlier thought was in the box, most children report that 10"they had always known" that it contained pencils.

628concept-based metacognitive failures can also be observed in the partial 629knowledge paradigm (Rohwer, Kloo, & Perner, 2012). This paradigm collects 630answers to a knowledge question in three conditions: children either have had 631full visual access to one of two objects being hidden in a box, partial visual 632access (they saw two objects, one of which would be hidden out of sight), or no 633access at all. When asked in the partial knowledge condition whether they know 634what is in the box, young children typically fail to verbally report their 635ignorance. This type of response is intriguing, because non-verbal measures 636 independently suggest that young children do experience metacognitive feelings 637of doubt (Balcomb & Gerken, 2008; Coughlin et al., 2014; Goupil et al., 2016). 638A study inspired by a dual-process view of metacognition used the partial 639knowledge paradigm to explore a possible dissociation between verbal report 640and metacognitive feelings in this setting (Kim et al., 2016). Three and 4-year 6410ld children where either asked to verbally report whether they knew which toy 642was in a box, or to decide to inform another person about it. In the partial 643knowledge condition, children were much more reliable in choosing to inform 644than in verbally reporting what they knew<sup>4</sup>. This difference is consistent with a 645dual-process view of questioning: reliably answering a question does not depend 646on metarepresenting what one knows or does not know. It rather depends on 647 experiencing metacognitive feelings. Metarepresenting oneself as knowing, on

<sup>11&</sup>lt;sup>4</sup>) No difference between reporting knowledge and decision to inform was found in the full and absent 12knowledge condition, in line with previous findings that even 3-year-olds are able to verbally report their 13ignorance in the absent knowledge condition (Rohwer, Kloo, & Perner, 2012).

648this view, depends on concept-based metacognition, whose dependence on 649social norms and values is well-established (Frazier, Schwartz, & Metcalfe, 6502021; Proust & Fortier, 2018).

651

652The second type of evidence comes from another study focusing on older 653children and adults' answers to ambiguous questions – where the referent of the 654question needs to be inferred from what the questioner allegedly knows or 655ignores about a situation given their perceptual access (Aguirre, Brun, Reboul, 656& Mascaro, 2022). It demonstrates that when interpreting epistemic questions, 657both adults and children readily consider what the questioner can or cannot see, 658but not necessarily what they know that they do not know. Thus, even adults do 659not necessarily interpret questions in a "Socratic ignorance" mode (assessing 660what the questioner knows that he does not know, Bromberger, 1988). 661Furthermore, children's ability to interpret questions that have an ambiguous 662focus was found to *not* correlate with their competence in passing second-order 663false-belief tasks (Aguirre et al., 2022).

664

665Taken together, these findings suggest that – at a basic level - questioning does 666not require metarepresenting knowledge and ignorance. It merely depends on a 667prior detection of an informational gap and a learning affordance, associated 668with the subjective experience of curiosity, and on representing, however 669vaguely, a domain of alternative options; this requires metacognitive 670monitoring, but it does not require a concept-based metarepresentation of one's 6710wn knowledge. Neither does the basic ability to respond to it (verbally or 6720therwise).

673

## 6744.c. Conversational scaffolding of questioning.

675The preceding section raises an important research issue: how do children 676transition from feeling curious, to expressing non-verbal questions, and finally 677putting questions into words? Much remains to be known about the various 678cognitive and social factors involved (Lucca, 2020), but most authors take 679questioning to be shaped by parental responsivity and glossing, and exposure to 680specific sociocultural communicational practices (Butler, Ronfard, & Corriveau, 6812020; Chouinard, 2007; Salomo & Liszkowski, 2013). Three types of parental 682interventions might be particularly relevant.

683

684First, scaffolding infants' attention to their subjective uncertainty about a given 685*focus* might enhance children's dispositions to be curious about their 686environment, and indicate to them how they may communicate this to others. As 687shown in Chouinard's monograph, the gestural expression of curiosity or doubt 688by an infant is often glossed by the parent as a specific question (Chouinard, 6892007). Caregivers also tend to ask more wh- and yes/no questions in response to 690pointing compared to other behaviors (Wu & Gros-Louis, 2015). This suggests 691that parental glossing can train infants to ask questions verbally by 692systematically mapping metacognitive feelings to specific inquisitive behaviors, 693words and linguistic expressions, supporting a form of cross-situational learning 694applied to mental states rather than external physical objects.

695

696Second, for a given focus, caregiver's glossing builds up classes of *contrastive* 697*topics* (e.g., do you want to know how this is called? do you want to know how 698this works?). Affective intonation may be especially efficient in establishing 699relevant information in complement of syntactic and semantic cues (Roberts, 7002012). Compare, for example, utterances of "Did *John* eat the cake?", i.e., [did 701he – rather than Mary – eat the cake?], with "Did John eat *the cake*?" i.e., [did 702John eat the cake rather than the banana?]).

703

704Third, caregivers' ability to provide satisfactory answers can train toddlers' 705cognitive *habit* of questioning (Butler et al., 2020), and inform children about 706who – amongst the person that surround them – is knowledgeable (Harris, 7072020). What is conveyed, beyond a specific interactive episode, is a 708conversational practice, whose specific goals are shaped by cultural constraints 709(see next section). Through questioning and evaluating the informative gain 710brought by the answers they receive, children can learn to satisfy their curiosity 711in a context-sensitive fashion: their natural tendency to be curious can be 712reinforced or discouraged. For instance, children ask more follow-up questions 713when they receive explanations in response to an initial question (Frazier et al., 7142009), and children whose parents provide more explanations are also more 715likely to come up with their own explanation when they find that an answer was 716unsatisfactory (Kurkul & Corriveau, 2018, also see below). Earlier in 717development, correlations have been found between caregiver responsivity and 718infant gesture use (Ger, Altınok, Liszkowski, & Küntay, 2018).

#### 719

720On top of supporting the transition from non-verbal to verbal questioning, 721conversational scaffolding may also have a deeper consequence. Non-verbal 722questioning behaviors could be an important way through which children 723transition from relying on metacognitive feelings to using metarepresentations. 724This is because parents' responses to these communicative acts provide *discrete* 725conceptual inputs (e.g., mentalistic words) that children can systematically map 726onto analog metacognitive feelings. Linguistic input may thus support the 727process through which a non-verbal questioning ability fueled by analog 728metacognitive feelings is enriched by concept-based, metarepresentational 729abilities. This hypothesis remains to be tested however.

730

## 7314.d.) Questioning as a communicational practice shaped by culture.

732

733There is ample evidence that culture shapes metacognition (Kim, Proust, &
734Shahaeian, 2018) and questioning (Callanan, Solis, Castañeda, & Jipson, 2020;
735Gauvain & Munroe, 2020). Diversity begins with how situations are encoded

736and reasoned about. Different attention patterns are transmitted by caregivers to 737children: they are predominantly holistic in Asia (relation-centered) and analytic 738(object-centered) in Western societies (Nisbett, Choi, Peng, & Norenzayan, 7392001). Similarly, although curiosity is a universal metacognitive feeling, 740children should be curious about different things across culture, and be more or 741less willing to ask questions of a certain kind, depending on the 742communicational pragmatics of their social group. Although social norms 743regulate everywhere the public expression of curiosity, implicit or explicit rules 744concerning acceptable questioning foci differ across culture. In many rural 745traditional societies, such as Malagasy (Ochs Keenan, 1976), Pacific islands -746including Samoa – (Ochs & Schieffelin, 1982/2001; Robbins & Rumsey, 2008), 747Mopan Mayas of central America (Danziger, 2010) or Mexican Mayas (Le 748Guen, 2018), others' whereabouts, beliefs or intentions are unsuitable 749conversational topics. In these social groups, children progressively learn to use 750indirect ways for obtaining information about what others think or do (Le Guen, 7512018). In Western families, in contrast, infants' vocalizations and toddlers' 752actions and utterances are considered as intentional and directly and verbally 753glossed as such (Ochs & Schieffelin, 1982/2001; Chouinard, 2007).

754

755Similar socio-cognitive constraints shape acceptable ways of expressing and 756answering questions. Communicative practices centered on nonverbal behaviors 757such as gaze direction, gestures, subtle facial and postural changes, are favored 758in many traditional cultures for asking or responding (such as the Mayan and 759Indian families studied in Rogoff et al., 1993). In contrast, middle-class US and 760Turkish children are more likely to use speech, as do their respective caregivers 761(Rogoff et al., 1993). Questioning and responding would also crucially vary with 762more general constrains related to which partner – the informant or the child – 763typically takes the responsibility for learning in a specific cultural setting 764(Rogoff, 2003).

765

766In spite of the pragmatic differences discussed above, the overall frequency, and 767broad topical categories (e.g., activity, location...) of children's questioning does 768not appear to greatly vary with culture or socio-economic status (Callanan et al., 7692020; Gauvain, Munroe, & Beebe, 2013). For instance, a study reported that 3-770to 5-year-old Garifuna children from Belize, Newars children from Nepal, 771Logoli children from Kenya and Samoan children ask as many information-772seeking questions as Western children from the US (Gauvain & Munroe, 2020; 773Gauvain et al., 2013). This quantitative approach, however, revealed a 774difference in the type of questions children asked. Children from these small-775scale traditional societies produced fewer explanatory questions than American 776children (Gauvain et al., 2013). There is no evidence that such cross-cultural 777differences in explanatory questioning behavior correlate with advantages in 778reasoning or understanding facts about the world. Instead of interpreting 779deviations from Western norms as deficits (which poses obvious problems, see

780Rogoff et al., 2017), such differences can be construed as reflections of wider 781 diversities in cultural norms and practices. Accordingly, Gauvain and Munroe 782(2020) interpret this difference as a conjunction of two main factors. One is the 783cultural value attached to the relations between children and adults: respect, a 784social complement of age-based social distance, requires refraining from 785 requesting explanations from adults (an implicit rule that *all* Logoli children 786 followed several decades ago, when evidence was collected). In Western 787societies, adults are eager to prepare their children to formal school education. 788Parents' tutoring is seen as the best way to promote children's learning (Ochs & 789Schieffelin, 1982/2001). Educated Western parents are prone to ask pedagogical 790questions with known answers (Yu, Bonawitz, & Shafto, 2019). In contrast, 791children from traditional societies are expected to cooperate with adults in 792carrying out community tasks, but are left more autonomy in epistemic matters 793than young Westerners. They readily learn by observing adults or older children 794what they need to know about the causal structure of both physical and social 795properties (Gaskins & Paradise, 2010).

796

797The frequency of explanatory questions also differs among Western children as 798a function of their parents' socio-economical status (SES) (Kurkul & Corriveau, 7992018; Tizard, Hughes, Carmichael, & Pinkerton, 1983). For instance, a recent 800study found that, even though the ratio of explanatory and factual questions 801remains constant across groups, middle-SES children ask twice the number of 802explanatory questions than low-SES children. Middle-SES caregivers are also 803more likely to provide explanations, while low-SES families use circular 804responses more frequently, e.g., "because that's the way it is" (Kurkul & 805Corriveau, 2018), which may discourage further explanatory questioning.

#### 806

807While the type of questions used by parents may not impact children's reasoning 808when they are given exploratory autonomy, it may not be the case within 809Western societies, where formal instruction prevails over observational learning, 810and where children's learning opportunities largely depends on their educational 811environment, including caregivers' availability and skills, as well as institutional 812resources. A lack of practice in explanatory questioning might thus partially 813explain low-SES children's disadvantage at school (Jones, Swaboda, & Ruggeri, 8142020), where teachers typically expect that children should master this 815communicative practice (Gauvain & Munroe, 2020). Relatedly, educational 816studies have demonstrated that the type of questioning used at school predicts 817school achievement. College students who seek teachers' help by asking 818explanatory questions have higher achievements than those who do not: asking 819 for solutions – requesting expedient help – correlates instead with low 820achievement (Karabenick, 2004; A. M. Ryan, Patrick, & Shim, 2005). Much 821remains to be understood about the factors that may facilitate – or impair – 822questioning in the classroom, as a function of children's socio-demographic and 823cultural origin, and with the aim to better foster their learning in educational

824settings that may enforce communicational practices that may – or may not – 825match their familial practices.

826

827In summary, although children across the world have the same disposition to be 828curious, the social norms governing inquisitive communication and adult-829children interactions deeply influence how children ask questions, in agreement 830with the idea that questioning depends on learned cultural practices adapted to 831local demands regarding communication and teaching.

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833

## 834**5)** Conclusion

835In this paper, we argued that curiosity is a metacognitive feeling whose function 836is to motivate cognitive agents to adaptively fill their informational gaps. In 837conformity with current theorizing, as well as empirical and computational work 838about curiosity, the metacognitive view defended in this article singles out two 839monitoring steps: detecting a knowledge gap and identifying the probability of 840reducing this gap in a given context. When agents have experienced that 841curiosity leads to intrinsic rewards – the pleasure of learning –, they tend to be 842more curious, hence, explore and learn more (Oudeyer et al., 2016). 843Unfavorable learning environments, however, would symmetrically reduce 844curiosity, exploration and learning. Early forms of curiosity and inquisitive 845behaviors, then, can be analyzed as a form of self-regulatory metacognition: 846monitoring their subjective uncertainty leads infants to strategically question 847knowledgeable others, which turns out to increase their learning rate. Epistemic 848questions, focusing on object properties or on explanatory relations, allow 849learners to reduce the distance to their epistemic goals (i.e. satisfy their curiosity 850toward a given focus), within the limits of culturally prevalent socio-cognitive 851constraints.

#### 852

853Given the documented link between efficient information-seeking and academic 854achievement, gaining better insights into the mechanisms that support curiosity 855and questioning during early childhood is crucial (Selmeczy, Ghetti, Zheng, 856Porter, & Trzesniewski, 2021; von Stumm, Hell, & Chamorro-Premuzic, 2011, 857Yu et al., 2018). Distinguishing experience-based (metacognitive feelings) from 858concept-based (metarepresentational) forms of curiosity can lead to diversified 859pedagogical practices, which together can enhance learners' motivation.

## 860

861On the one hand, feelings of curiosity can be elicited and nurtured early on 862during spontaneous interactions with caregivers, for instance during play, 863through simple observation and reinforcement mechanisms. Adaptive 864questioning practices can similarly enhance childrens' curiosity, and reinforce 865their motivation to explore. If questioning is not conducted in accordance with 866the notion of proximal development, however, it tends to fail to induce it 867(Frazier et al., 2021). 869Formal tutoring and explicit training, on the other hand, mainly rely on concept-870based, controlled forms of curiosity. Some educational practices can be 871incompatible with curiosity, for instance when they emphasize teacher's 872authority, extrinsic motivation (for grades, rather than for knowledge) and social 873competition (R. M. Ryan & Deci, 2000). Emphasis on teacher-guided instruction 874over autonomous exploration, and on conformity over questioning and 875creativity, has resulted in Western teenagers being less avid learners (Hattie, & Sandoval. 2020). 8762011; Kuhn. Modrek, Conversely. explicitly 877metarepresenting what is known versus what is yet to be discovered has been 878found to enhance students' curiosity and their ability both to remember what 879they learned and to reason about it (Bjork, 2018). A question-and-answer 880method has been shown to be more effective than a text to achieve these goals 881(Iordanou, Kuhn, Matos, Shi, & Hemberger, 2019; Kuhn et al., 2020). Finally, a 882technique consisting in addressing questions to oneself, called "self-883explanation", first used to train school children to understand what they read 884(McNamara & Magliano, 2009), has been successfully generalized to other 885educational fields (Rittle-Johnson, Loehr, & Durkin, 2017).

886

887This diagnosis from educational studies is compatible with the experimental and 888theoretical work discussed in this paper, and emphasizes the importance of 889understanding what curiosity is, how it relates to questioning, and how its early 890development influences life-long motivations to learn.

## 897APPENDIX 1: When and how does curiosity develop?

898Young children already seek information as a function of what they already 899know, and how confident they are in what they know. This is specifically 900manifest in their selection of objects to play with. They engage in exploratory 901play as a function of how well they understand a specific situation, and as a 902function of how much information gain they can expect from it (Gweon, Pelton, 903Konopka, & Schulz, 2014; Lapidow et al., 2021; Schulz & Bonawitz, 2007; 904Siegel, Magid, Pelz, Tenenbaum, & Schulz, 2021). For instance, when they 905observe confounding evidence about how to activate a pop-up toy, five-year-old 906children prefer to keep on playing with this "old" toy rather than playing with a 907totally novel toy (Schulz & Bonawitz, 2007). Children also explore a novel toy 908more thoroughly when a teacher provided partial information, as opposed to full 909information, about its function (Gweon et al., 2014). In the language domain, 3-9108 year-old children preferentially seek information about novel words when they 911are introduced to them in an ambiguous context that lead to referential 912ambiguity (e.g., in the presence of two potential referents) (Zettersten & 913Saffran, 2020).

### 914

915But when does curiosity emerge during human development, and perhaps most 916importantly, how? In the main text, we allude to the proposal that surprise-based 917explorations constitute a precursor for the development of curiosity-based 918explorations (Perez & Feigenson, 2020; Vogl et al., 2019). If surprise is a 919precursor of curiosity, then when in development do children transition from 920surprise-based to curiosity-based explorations?

#### 921

922We know that unpredictability enhances infant's attention (Kidd et al., 2012; 923Meyer, Schaik, Poli, & Hunnius, 2022; Poli et al., 2020) and learning (Stahl & 924Feigenson, 2015), and that attentional engagement during exploration predicts 925how well infants learn novel object properties (Begus, Southgate, & Gliga, 9262015). When 11-month-old infants observe events that violate their expectations 927about object properties (e.g., a toy car that flies instead of obeying the laws of 928gravity), they spend more time looking at these objects, and learn their novel 929properties better (Stahl & Feigenson, 2015). Furthermore, when given the 930opportunity to explore these objects, infants tend to try and reproduce the 931surprising event, which can be seen as an attempt to test hypotheses about the 932surprising object. 934A rich interpretation of this type of behavior is that unexpected events pique 935infants' curiosity, leading them to subsequently engage in selective explorations 936to seek explanations. A leaner explanation that does not involve genuine 937curiosity, however, is equally possible: surprise may automatically enhance 938infants' attention towards objects that have unexpected properties given their 939prior knowledge. Observing surprising events may lead infants to revise their 940belief about the object's properties, and they may try to repeat the surprising 941event because they find it particularly rewarding and/or arousing. That is, maybe 942all infants do initially is generate expectations, revise their beliefs when 943expectations turn out to be false, and orient their attention selectively as a 944function of stimulus predictability. Thus, on the basis of this type of evidence, 945we cannot conclude on whether infants are curious per se (i.e., *want to know* 946whether the toy car flies or not), or whether they are merely surprised<sup>5</sup>.

947

948When exactly - and how - infants start engaging in curiosity-driven explorations 949during development is thus an important open question. One possibility is that 950surprise sets the stage for the development of curiosity (Vogl et al., 2019): 951infants may progressively notice that when they engage in utility- and surprise-

 $<sup>14^5</sup>$ ) Both interpretations can also explain recent results showing that infants no longer explore surprising objects (a 15toy car that appears to go through a wall) if an explanation is provided to them (there is a tunnel in the wall) 16(Perez & Feigenson, 2020). It may be that providing an explanation abolishes the appeal of the object: what is 17the point in exploring the car if you know that it won't display surprising properties? Thus, infants may not 18genuinely "want information" about the car when there is no explanation, the car could simply be more salient 19for them because it is likely to do unexpected – and thus potentially arousing – things in the future.

952driven explorations, they incidentally gain new knowledge (as in the clothing 953example described above), and as a consequence, start exploring directly for this 954purpose. A recent study supports this idea, as it found that infants' surprise 955responses (i.e., longer looks) to unexpected events at 11 and 17-month-old was 956correlated with curious traits measured via parental reports at age 3 (including 957questions such as "my child devotes considerable effort trying to figure out 958things that are confusing or unclear") (Perez & Feigenson, 2021). These are 959correlational findings, but they are at least compatible with the idea that surprise 960is a developmental precursor to curiosity. Of note, a recent study found that, 961while for adults curiosity mainly reflects the informational gain they expect in a 962given context (a complex evaluation involving the two monitoring steps we 963outlined above), 5- to 9-year-old children's curiosity correlates not only with 964 expected learning, but also with higher objective uncertainty and lower 965surprise (Liquin, Callaway, & Lombrozo, 2021). It may thus be that younger 966children's curiosity mainly depends on fluence-based elicitors, i.e., feelings such 967as surprise, while adults' curiosity takes better advantage of computing learning 9680pportunities. Alternatively, reliably assessing expected learning in a given case 969may change across development, and rely on various predictors integrating 970knowledge and utility, exploration and exploitation.

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972

## 973APPENDIX 2. How should metacognition be defined?

975This appendix addresses the definitional debate between a single-process and a 976dual-process view of metacognition, whose main tenets were presented in 977section 2 in the main text. Arguments for each view will be summarized and 978discussed as follows. First, the reasons advanced in favour of a monistic, 979concept-based definition of metacognition will be articulated as three main 980objections against a dual view. After a critical discussion of these objections, 981the arguments for defending a dual-process definition of metacognition will be 982presented.

983

984 ARGUMENTS FOR A SINGLE-PROCESS VIEW OF METACOGNITION985

986 1. *The first-order objection*.

987A main reason for endorsing an exclusively conceptual approach to 988metacognition is the semantic analysis of the term "metacognition", where 989"metacognition" means "cognition about cognition", which implies that 990metacognition is to be equated with an ability to hold metarepresentations of 991one's own attitudes (Carruthers, 2016,  $2018_{a,b}$ ; Perner, 2012; but see Carruthers, 9922021). Endorsing this definition leads to take subjective experiences of 993uncertainty as being merely "first-order" (Carruthers, 2016), because they are 994not explicitly *about* one's own mental states (Carruthers, 2016). Appropriately 995second-order metacognitive representations need to involve metarepresentations, 996i.e. explicit representations of one's own mental states and properties.

997

2. *The ubiquity objection* (Carruthers, 2016; Nagel, 2014; Perner, 2012).

999Under the admission of nonconceptual, affective forms of control as 1000metacognitive, one should consider as metacognitive the multiple forms of 1001forward models that subpersonally control and monitor our cognitive activity, 1002including those that make sensorimotor control or multisensory conflict 1003resolution possible. Granting that subpersonal forms of information (probability 1004distributions) are used as input to metacognitive predictions, the objection goes, 1005should not the multiple forms of forward models that subpersonally control and 1006monitor our cognitive and motor activity also qualify as metacognitive 1007(Carruthers 2016, 2018<sub>a,b</sub>)? For example, why should not the comparators that 1008regulate conflicts across sensory channels, such as the visual-auditory conflict 1009involved in the McGurk effect, be categorized as metacognitive (Nagel, 2014)?

#### 1010

### 1011 3. *The irrelevance objection* (Carruthers, 2016).

1012This objection states that metacognitive monitoring is not involved in 1013nonhumans' and infants' decisions to seek additional information instead of 1014committing to a choice. Activities such as seeking information, trying – or 1015declining – to remember, to discriminate, do not need to involve any form of 1016procedural evaluation. Non-human animals or human infants may instead 1017decline a task or seek information simply because they lack a specific memory 1018of the stimulus. Hence, decisions concerning memory depend on memory alone, 1019not on meta-memory:

"For that one is disposed to act in one way if one has a memory, and in
another if one does not is just what it is to have or lack a memory. This
just describes the normal first-order causal role of memory in the
cognitive and decision-making processes of creatures that possess
memory-states." (Carruthers, 2016, p.74).

1025

1026In addition, decisions to perform or to decline a memory task are argued to be 1027sensitive to the likelihood of reward, not to the likelihood of cognitive success 1028(i.e., epistemic uncertainty) (Carruthers, 2016,  $2018_{a,b}$ ). A more economical 1029account of infants' or nonhumans' opt-out or information seeking responses, so 1030the argument goes, is a simple working memory decision based on reward 1031probability that exclusively depends on the underlying first-order state.

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1033 II. RESPONSES TO THE OBJECTIONS.

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1035. Are feelings of confidence first-order?

1036Adressing this objection requires clarifying what one means by "uncertainty". 1037On the one hand, uncertainty is *an objective property of the sensory input*. The 1038variability of revelant stimuli in the external world, for example, is an objective 1039source of uncertainty. The assessment through which the brain represents 1040probability distributions of *specific inputs* is clearly first-order. Granting that 1041there is noise in each informational channel, part of the objective uncertainty 1042originates in the perceptual system itself (Pouget, Drugowitsch, & Kepecs, 10432016). This kind of uncertainty, as an objective characteristic of the sensory 1044input, does not yet qualify as a metacognitive signal.

### 1045

1046On the other hand, uncertainty can also become a *subjective property of the* 1047*cognitive system*: the brain compares the variance in the vehicle to stored values 1048(concerning for example onset of neural activity, convergence or disparity of 1049predictions) ; on this basis, it predicts (or estimates) the probability that a 1050perceptual or memorial task will be (or has been) correctly performed. In the 1051context of perceptual decision-making for instance, empirical evidence and 1052computational frameworks based on evidence-accumulation principles suggest 1053that decisions are made when perceptual evidence reaches a given threshold, and 1054that associated confidence relates to the distance between the decision threshold 1055and the maximum amount of evidence accumulated post-decisionally (Pleskac 1056& Busemeyer, 2010) or dynamically around (Pereira et al., 2021) or up until 1057(Kobe Desender, Donner, & Verguts, 2021) the time of the decision. The output 1058of such comparators is a metacognitive feeling of confidence or doubt. Some 1059researchers propose to call it "confidence" in contrast with "uncertainty" (Pouget 1060et al., 2016). Its function is to predict the feasibility, or to monitor the desirable 1061correction of a cognitive action, in order to select and motivate the congruent 1062behavior (A Koriat, 2000).

### 1063

1064It should be observed that sensitivity to one's own subjective uncertainty does 1065not merely result in retrospective feelings of confidence or doubt. It applies to 1066the variety of metacognitive feelings and predictive functions summarized in 1067Table 1 above. In summary, the information used to appraise the likelihood of 1068one's own success in a given cognitive task (remembering, learning, etc.) 1069consists in sets of probability distributions concerning predicted outcomes. 1070While these predictions are performed through nonconscious Bayesian 1071computations, they generate a conscious feeling with a given valence, intensity, 1072and motivational strength, such as the feeling of retrospective confidence 1073attached to a cognitive decision.

1074

1075Based on these considerations, one can conclude that the evaluative states 1076involved in metacognition do not track "first-order" signals, i.e. objective 1077uncertainty, to the extent that such evaluations predict epistemic feasibility or 1078correction from the agent's viewpoint in an occurrent context. They are based on 1079heuristics formed by the agent across prior encounters with the task, and reflect 1080a subjective state rather than an objective property of sensory inputs.

1081

## 10822. The ubiquity objection: are all predictive models metacognitive?

1083Addressing this objection requires focusing more closely on the function of 1084metacognition. While there are nonconscious processes for revising one's own 1085behavior – such as keeping one's balance (Klaus et al., 2020) or revising one's 1086hand trajectory (Pélisson, Prablanc, Goodale, & Jeannerod, 1986) –, 1087metacognition has the function of evaluating one's own informational states in 1088order to control them. In other words, metacognition is specialized in the 1089informational issues related to cognitive activities such as deciding, 1090remembering or problem solving. To be sure: metacognitive evaluations do not 1091predict the likely consequences of actions (e.g. grasping, catching...) but of 1092cognitive actions (e.g., remembering, deciding). Hence metacognition does not 1093adjudicate between sensory inputs, nor does it control and monitor sensorimotor 1094activity. Its specific role consists in detecting and *incorporating relevant* 1095knowledge into one's present or future decisions and cognitive actions.

1096

1097But why is this function not involved in resolving conflicts between 1098sensorimotor channels, might the objector insist? A plausible response is that 1099sensorimotor control is the evolutionary basis of cognitive control. In both cases, 1100the dorsomedial frontal cortex (DMFC) stores forward models in order to 1101predict sensorimotor and cognitive sucess. Forward models automatically 1102compare expected and observed dynamics of an action, in particular its predicted 1103timing. The neural structures implementing these forward models seem to have 1104been later recruited for the control of thought, i.e., metacognitive control and 1105monitoring (Egger, Remington, Chang, & Jazayeri, 2019; Ritz, Frömer, & 1106Shenhav, 2020). The forward models for cognitive control, however, do not use 1107sensorimotor cues to automatically correct behavior; nor do they result in 1108automatic compromises between sensory sources; they rather attempt to assess 1109informational quality with an eye to its predicted value (rewards and risks 1110incurred) with respect to commitments to specific alternatives, in ways that are 1111subject-specific, and relate to the agents' current goals and motivations.

## 1112

11133. The irrelevance objection: are metacognitive feelings necessary to explain 1114children and non-human animal's information seeking?

1115This objection fails to account for two central features of young children's use 1116of opt-out, or information-seeking options (the discussion of comparative 1117evidence is left aside here as it has been addressed elsewhere, e.g., see Beran, 11182019; Proust, 2019).

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1120First, adults and 3-yo children's subjective reports of confidence are related to 1121opt-out and information seeking decisions (Coughlin et al., 2014; Desender et 1122al., 2018). Assuming that functionally equivalent behaviours in slightly younger 1123children should involve completely different mechanisms is not parsimonious.

1124

1125Second, children not only opt-out / seek advice when stimuli are ambiguous or 1126when the task is difficult (i.e., as a function of objective uncertainty). They do so 1127as a function of the quality of their memory or decisions (Balcomb & Gerken, 11282008; Geurten & Bastin, 2018; Goupil et al., 2016), a subjective form of 1129uncertainty. When 20-month-old children are provided with the opportunity to 1130ask their caregiver for advice instead of pointing themselves towards the 1131location of a hidden toy, they indeed predominantly use this option as 1132memorization delay increases (Goupil et al., 2016). But in addition, and 1133crucially, for equivalent memorization delays (i.e., similar objective task 1134complexity), toddlers provided with the possibility to ask their caregiver for help 1135(test group) make less errors than toddlers who were not shown that their 1136caregiver could help (control group). If lack of memory – or, in Carruthers' 1137words, "a basic questioning attitude" - drove these behaviors - rather than 1138strategic adjustments relying on metacognitive feelings - one should reasonably

1139expect that children in the control group would also avoid responding whenever 1140they "have a lack of memory". But they don't: children in the control group tend 1141to respond by themselves, or occasionally to look away; furthermore, when they 1142(very rarely) turn towards their caregivers spontaneously, this behavior is totally 1143unrelated to their task performance and/or to difficulty. Thus, the failure of the 1144cognitive activity of remembering does not automatically trigger help seeking in 1145this age group.

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## 1148III. ARGUMENTS FOR A DUAL DEFINITION OF METACOGNITION

1149Our responses to the objections given above are consistent with the general 1150arguments offered in favor of dual process theories. According to this family of 1151theories, an impulsive evaluative "System 1" generates a quick response, based 1152on independent parallel frugal heuristics. A deliberately controlled "System 2" 1153handles its evaluations serially, takes time to operate, consumes more working 1154memory resources than System 1, and heavily relies on conceptual ressources. 1155While the representations in System 2 are characteristically conscious and 1156volitional, System 1 representations are typically unconscious and influence 1157behavior automatically (Shea et al, 2014, Reder, 1996, but see Koriat, 2000).

1158

1159More specific arguments in favor of metacognitive duality range from 1160comparative and developmental evidence, to behavioural and neural 1161dissociations in human cognitive decision-making.

Comparative evidence indicates that nonhumans (rodents, primates)
 are able to reliably evaluate their perception or their memory in a
 predictive or a retrospective way (Beran, 2019; Couchman, Beran,
 Coutinho, Boomer, & David Smith, 2013; Kepecs & Mainen, 2012;
 Proust, 2019).

1167 2. A hierarchy among human brain systems reflects the evolution of
1168 control mechanisms towards enhanced exploratory flexibility
1169 (Koechlin, Summerfield, E, & C, 2007; Rouault & Koechlin, 2018).

In human ontogeny, children display behavioral markers suggesting
that they experience feelings of curiosity and confidence before they
can conceptually represent themselves as having these mental states
(Bazhydai & Westermann, 2021; Goupil & Kouider, 2019).

4. In human adults. dissociations have been documented 1174 in metacognitive monitoring according to task demands, between 1175 feeling-based and concept-based incompatible evaluations (Koriat & 1176 Ackerman, 2010; Nussinson & Koriat, 2008). Neural signatures 1177 likewise distinguish deliberate, conscious error monitoring from 1178 automatic, unconscious error monitoring (Nieuwenhuis, Ridderinkhof, 1179 Blom, Band, & Kok, 2001; Yeung & Summerfield, 2012). 1180

1181 5. Clinical research also suggests dissociations between core
1182 metacognitive regulatory processes and the metacognitive sensitivity

expressed in subjective reports (Charles et al., 2017; Metcalfe, van 1183 Snellenberg, DeRosse, Balsam, & Malhotra, 2012; Nicholson, 1184 Williams, Lind, Grainger, & Carruthers, 2020). For instance, patients 1185 with schizophrenia show altered error-monitoring when performing 1186 decisions on the basis of supraliminal stimuli, but preserved error 1187 monitoring for subliminal stimuli (Charles et al., 2017). Children and 1188 adolescents with autism spectrum disorder show impairments in 1189 1190 mindreading tasks (that tap into metarepresentational abilities), but not in post-decisional gambling tasks (that require them to evaluate the 1191 likelihood that their decision was correct, a core metacognitive ability) 1192 (Nicholson et al., 2020, but see Koren, Seidman, Goldsmith, & 1193 Harvey, 2006). 1194

In collective cognitive decision-making, individual epistemic feelings
need to be collected for optimal reliability in deliberation, but sharing
one's confidence with others requires complex interpersonal
calibration processes that are constantly adjusted and re-negociated
through conversational alignment (Bang et al., 2017; Fusaroli et al.,
2012).

1201

1202Collectively, these lines of evidence show that there is an important functional 1203distinction between system-1/core/procedural versus 1204system-2/deliberate/conceptual forms of metacognition (Goupil & Kouider, 12052019; Koriat & Levy-Sadot, 1999; Proust, 2012; Shea et al., 2014).

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