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Research Report

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Structural and Functional Correlates of Hallucinations and Illusions in Parkinson's Disease

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15 Abstract.

- Background: Visual illusions (VI) in Parkinson's disease (PD) are generally considered as an early feature of the psychosis
- spectrum leading to fully formed visual hallucinations (VH), although this sequential relationship has not been clearly demonstrated.
- **Objective:** We aimed to determine whether there are any overlapping, potentially graded patterns of structural and functional connectivity abnormalities in PD with VI and with VH. Such a finding would argue for a continuum between these entities,
- whereas distinct imaging features would suggest different neural underpinnings for the phenomena.
- Methods: In this case control study, we compared structural and resting state functional MRI brain patterns of PD patients with VH (PD-H, n = 20), with VI (PD-I, n = 19), and without VH or VI (PD-C, n = 23).
- **Results:** 1) PD-H had hypo-connectivity between the ILO and anterior cingulate precuneus and parahippocampal gyrus compared to PD-C and PD-I; 2) In contrast, PD-I had hyper-connectivity between the inferior frontal gyrus and the postcentral
- gyrus compared to PD-C and PD-H. Moreover, PD-1 had higher levels of functional connectivity between the amygdala,
- ²⁷ bippocampus, insula, and fronto-temporal regions compared to PD-H, together with divergent patterns toward the cingulate.
- 3) Both PD-I and PD-H had functional hypo-connectivity between the lingual gyrus and the parahippocampal region vs.
- ²⁹ PD-C, and no significant grey matter volume differences was observed between PD-I and PD-H.
- Conclusion: Distinct patterns of functional connectivity characterized VI and VH in PD, suggesting that these two perceptual
 experiences, while probably linked and driven by at least some similar mechanisms, could reflect differing neural dysfunction.
- 32 Keywords: Parkinson's disease, hallucinations, illusions, neuroimaging, visuo-perception

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33 INTRODUCTION

Visual hallucinations (VH), defined as a percep-34 tion without existing stimuli, are one of the most 35 frequent non-motor symptoms of Parkinson's disease 36 (PD), and typically present across a spectrum ranging 37 from minor hallucinations to complex hallucinations. 38 In contrast, visual illusions (VI), defined as a false 39 perception of an existing stimulus, are generally con-40 sidered part of the prodrome towards fully formed 41 visual hallucinations in PD, and are frequently clas-42 sified as minor hallucinations [1, 2]. However, this 43 sequential relationship has not been clearly demon-44 strated and longitudinal clinical, imaging and/or 45 pathological studies addressing this specific question 46 are lacking in PD [3, 4]. 47

In other conditions such as schizophrenia, it has 48 been shown that the perception of VI is not related 40 to the strength of VH [5]. Indeed, patients with 50 schizophrenia may even have a reduced susceptibil-51 ity to visual illusions compared to healthy controls 52 [6]. Thus, whilst one might intuitively regard visuo-53 perceptive phenomena as being symptomatically 54 related, they may arise from discrete and separable 55 neural substrates. 56

Previously, neuroimaging techniques have been 57 used to investigate structural, functional and 58 metabolic changes in PD patients with and without 59 VH [7-14], either including well-structured or minor 60 VH [7, 10], and have reported alterations in mul-61 tiple regions related to different functions such as 62 visuospatial processing, attention and memory [7]. 63 Indeed, morphometric studies identified atrophy in 64 lingual gyrus, cingulate, precuneus, superior frontal 65 gyrus and inferior frontal gyrus (SFG and IFG), 66 hippocampus, fusiform gyrus in PD patients with 67 VH [7-10, 15-17]. Functional studies have gener-68 ally highlighted decreased activity in posterior brain 69 regions (occipital, parietal, temporal) corresponding 70 to visual pathways, and increased activity of fronto-71 striatal circuits during visual stimuli processing, 72 suggesting an aberrant top-down visual processing 73 over the normal bottom-up processing, as one of the 74 factors predisposing to VH in PD [11, 18]. How-75 ever, these findings have not been consistent across all 76 studies, and no alterations in posterior activation dur-77 ing presentation of visual stimuli have been reported 78 in other studies, but instead a significant reduction in 79 the activation of the anterior cingulate gyrus, inferior, 80 middle and superior frontal gyri [19]. More recently, 81 the role of abnormal connectivity of the default mode 82 network (namely in regions such as middle frontal 83

gyrus, posterior cingulate and precuneus) and dorsal attention network in the pathophysiology of VH in PD has been suggested [12, 20]. However, those studies did not discriminate between VH and VI, which are not differentiated in the majority of studies, which could explain some of the reported discrepancies. Indeed, less work has been focused on VI in PD and very few studies so far have addressed the question of brain changes that could be specifically related to either VI or VH [4, 21–23].

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One study has shown that the metabolic pattern observed in PD patients with kinetopsia (illusion of movement) was similar to the one observed in PD patients with VH, whereas the misidentification of objects had a different pattern that only partially overlapped with VH [4]. Recently, our own team demonstrated that PD patients with VH had generalized retinal and brain atrophy compared to those with VI, regardless of the disease duration [22]. This finding is in line with another study reporting decreased age-adjusted global grey matter volume in Parkinsonian patients with VH compared to those with VI [24]. Yet, these observations failed to resolve whether the brain changes underpinning PD-H simply represent progression from more subtle modifications in PD-I or if these phenomena arise from discrete mechanisms.

We hypothesized that the existence of overlapping but graded patterns of structural and functional abnormalities in PD with VI and VH would argue for a continuum between these entities, whereas distinct functional connectivity features would suggest different neural underpinnings for the phenomena. To test this hypothesis, we assessed group-wise local changes of voxel values throughout the entire brain, and we analyzed the resting state functional connectivity associated with VH and VI in PD, focusing on the following regions: lateral occipital cortex (midlevel visual region involved in shape and object identification) [25], lingual gyrus (supplementary visual cortex) [26], and occipital fusiform gyrus (responsible for high-level visual processing, and for memory multisensory integration and perception) [27], amygdala and insula (involved in emotional processing and emotional modulation of perception) [28], hippocampus (involved in encoding and retrieval of memories, conscious observation and anticipation, and mental imagery) [29], precuneus (involved visuo-spatial imagery, self-processing and consciousness) [30], and inferior/middle/superior frontal gyrus (IFG, MFG, SFG) (involved in retrieval of autobiographical memories and reality

monitoring) [31–34]. Those regions were selected
as they were previously reported to be impaired in
structural and functional MRI studies conducted in
PD patients with VH [7].

140 MATERIALS AND METHODS

141 Study design and settings

The participants were a subset drawn from our 142 previous study [22], that included eighty four PD 143 patients, either with VI (PD-I; n = 28), VH (PD-144 H; n=28), and without any VI or VH (PD-C; 145 n = 28) matched for age and sex, who were recruited 146 from the Parkinson expert center, Neurology Depart-147 ment, Clermont-Ferrand University Hospital, France, 148 between March 2018 and April 2019. Among these 149 patients, 68 underwent MRI (PD-I: n = 22, PD-H: 150 n = 23, PD-C: n = 23) and were included in the cur-151 rent study. We assessed the characteristics of VH and 152 VI, severity of PD, as well as dopaminergic treat-153 ment, general cognitive and specific visuo-perceptive 154 functions. Neuroimaging evaluated brain volumetry 155 and functional connectivity for all patients. The pro-156 tocol was approved by the South-West & Overseas 157 II ethical committee, France (clinicaltrials.gov num-158 ber NTC03454269). All patients gave their written 159 informed consent as per the Declaration of Helsinki. 160

161 Participants

We included PD patients who met the United King-162 dom Parkinson's Disease Society Brain Bank criteria 163 [35], and the presence of VI or VH was defined using 164 the scale for outcomes in PD psychiatric compli-165 cation (SCOPA-PC) [36], together with patient and 166 caregiver interviews. Inclusion criteria required that 167 VI or VH had to occur at least once a week within 168 the past 3 months. VI was defined by the false per-169 ception of an existing stimulus [37], while VH was 170 defined as a visual perception in the absence of an 171 external stimulus [24]. We only considered visual 172 misperception as VI and we distinguished them from 173 sense of presence and passage hallucinations. Among 174 68 PD patients (n = 23 PD-C; n = 22 PD-I; n = 3 PD-175 H), we excluded from our analysis six patients who 176 reported coexisting VH and VI (n=3 PD-H with)177 mild VI, and n=3 PD-I with mild VH), in order 178 to avoid any confounding effect on the brain struc-179 tural and functional characteristics related to VI or 180 VH (Supplementary Material Flow Diagram). We 181 excluded patients with neurological diseases other 182

than PD or psychiatric conditions characterized by VH, patients with cognitive impairment (Montreal Cognitive Assessment (MoCA) [38] score < 21/30) or with conditions incompatible with MRI scanning (e.g., claustrophobic, severe camptocormia, weight restrictions, congestive cardiac failure, severe dyskinesia or tremor). Treatment with antipsychotics represented a further exclusion, as well as modifications of anti-parkinsonian treatments within the month before inclusion.

Clinical and neuropsychological assessments

The characteristics and severity of visual hallucinations or illusions were assessed according to the Psycho-Sensory hAllucinations Scale (PSAS) [39]. The presence of other modalities such as sense of presence, passage hallucinations, auditory, olfactory and cenesthesis hallucinations was also noted. We assessed the duration and severity of PD (according to Movement Disorder Society -Unified Parkinson's Disease Rating Scale (MDS-UPDRS) [40] and Hoehn & Yahr stage [41], and noted the dopaminergic treatment doses (expressed as total Levodopa equivalent dose (LED)) [42], as well as other treatments users (antidepressants, benzodiazepine, cholinesterase inhibitors, antiepileptics).

Cognitive profile (including Mattis dementia rating scale [43], and the copy of the complex figure of Rey-Osterrieth [44]), sleep quality (Parkinson's disease sleep scale (PDSS-2) [45], probable REM Sleep Behavior Disorder (screened with REM Sleep Behavior Disorder Single-Question (RBD-1Q) [46], and excessive daytime sleepiness (Epworth scale) [47] were assessed. Subjective ophthalmological complaints (vision loss, visual field impairment, metamorphopsia, halos, diplopia, color vision complaint, epiphora, eye pains) were noted during a semi structured interview with an ophthalmologist. All clinical and neuropsychological assessments, as well as neuroimaging, were performed with participants on their regular antiparkinsonian treatment.

Imaging acquisition and analysis

Acquisition

MRI scans were performed at 3T on a General Electric (3T Discovery MR 750, GE Medical Systems, Milwaukee, Wis; 32 Ch head coil, gradients: 40/200, software: DV24R02) (n = 44 patients; 17 PD-C, 15 PD-H, 12 PD-I) and a Siemens scanner (3T Magnetom Vida, Siemens Healthcare, Erlangen, Germany; 64 Ch head coil, hypergradients XT gradients:

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Table 1

Demographical, clinical, and neuropsychological characteristics of parkinsonian patients with visual illusions, with visual hallucinations and controls

	PD-C $(n = 23)$	PD-I $(n = 19)$	PD-H $(n = 20)$	p
Age (v)	69 21 + 8 76	68 31 + 6 8	70.2 + 7.35	0.54
Sex (M/F)	14/9	10/9	12/8	0.85
Education level (v)	17.72 ± 3.28	17.9 ± 3.71	18.95 ± 3.81	0.75
Disease duration (v)	6.21 ± 5.06	9 ± 5.33	11.15 ± 6	0.004 ^b
Hoehn and Yahr (score $0-21$)	2.32 ± 1.00	2.5 ± 0.81	2.9 ± 0.88	0.15
MDS-UPDRS Total (score 0–260)	49.91 ± 31.01	55.94 ± 24.74	75.9 ± 31.6	0.01 ^b
MDS-UPDRS I (score 0–52)	8.47 ± 4.96	13.26 ± 6.02	18.25 ± 6.07	<0.001 ^{a,b,c}
MDS-UPDRS II (score 0–52)	10.73 ± 8.93	13.26 ± 5.09	17.8 ± 9.1	0.01 ^b
MDS-IPDRS III (score 0–132)	28.69 ± 18.07	27.89 ± 14.30	33.9 ± 18.48	0.43
MDS-UPDRS IV (score 0–24)	2 ± 2.77	2.94 ± 3.23	5.95 ± 5.26	0.03 ^b
SCOPA-PC (score 0–21)	0.30 ± 0.55	3.45 ± 1.87	4.78 ± 3.10	<0.001 ^{a,b}
PDSS (score 0–60)	10.78 ± 6.66	13.83 ± 6.34	13.65 ± 5.81	0.20
Epworth (score $0-24$)	6.86 ± 4.51	8.63 ± 6.09	8.3 ± 5.62	0.55
RBD (%)	6 (26%)	8 (42%)	14 (70%)	0.01 ^b
Ophthalmological complaints	9 (23%)	11 (57%)	6 (30%)	0.19
PSAS (score 0–23)	0	8.63 ± 2.61	10.3 ± 3.77	<0.001 ^{a,b}
Auditory hallucinations (%)	0 (0%)	0 (0%)	3 (15%)	0.056
Olfactory hallucinations (%)	0 (0%)	0 (0%)	5 (25%)	0.004 ^b
Cenesthesic hallucinations (%)	0 (0%)	0 (0%)	1 (5%)	0.62
Passage hallucinations (%)	0 (0%)	0 (0%)	2 (10%)	0.11
Presence sensation (%)	1 (4%)	3 (15%)	2 (10%)	0.45
LED total (mg/day)	953.49 ± 521.44	1061.36 ± 439.69	1012.79 ± 627.29	0.68
LED DA (mg/day)	143.46 ± 173.41	212.45 ± 230.09	266.17 ± 634.88	0.35
DA users (%)	15 (65%)	15 (78%)	11 (55%)	0.28
Rivastigmine users (%)	1 (4%)	0 (0%)	2 (10%)	0.50
Antiepileptic users (%)	2 (8%)	5 (26%)	3 (15%)	0.38
Antidepressant users (%)	1 (4%)	10 (52%)	8 (40%)	0.001 ^{a,b}
Benzodiazepine users (%)	0 (0%)	7 (36%)	6 (30%)	0.002 ^{a,b}
Opioid users (%)	0 (0%)	1 (5%)	0 (0%)	0.29
MoCA	25.88 ± 2.52	26.4 ± 2.74	25.53 ± 2.36	0.66
Mattis				
Total (score 0 to 144)	132.78 ± 9.98	132.78 ± 9.14	127.45 ± 9.14	0.04
Attention (score 0 to 37)	36.08 ± 0.90	35.61 ± 0.97	35.4 ± 1.81	0.36
Initiation (score 0 to 37)	32.65 ± 4.68	30.72 ± 4.46	29 ± 5.06	0.03 ^b
Construction (score 0 to 6)	5.69 ± 0.63	5.55 ± 0.61	5.7 ± 0.47	0.57
Conception (score 0 to 39)	36.26 ± 3.95	36.66 ± 2.95	36.4 ± 3.40	0.94
Memory (score 0 to 25)	22.08 ± 2.52	21.94 ± 2.41	20.95 ± 2.66	0.33
Rey-complex figure (score 0 to 36)	28.2 ± 10.4	27.5 ± 10.25	24.52 ± 10.18	0.20

Mattis, Mattis Dementia Rating Scale; MoCA, Montreal Cognitive Assessment (Score 0 to 30); PD-C, control patients with Parkinson's disease; PD-H, patients with Parkinson's disease and visual hallucinations; PD-I, patients with Parkinson's disease and visual illusions; PDSS, Parkinson Disease Sleep Scale; PSAS, Psychosensory Hallucinations Scale; RBD, REM sleep behavior disorder; SCOPA-PC, scale for outcomes in Parkinson's disease-psychiatric complication; Results are expressed as means \pm standard deviation, and number of patients (%). The comparisons between 3 groups were performed using chi-squared or Fisher's exact tests for categorical variables and the analysis of variance (ANOVA) or Kruskal-Wallis test for quantitative parameters. When appropriate (omnibus *p*-value less than 0.05), a *post-hoc* test was applied for multiple comparisons (^a*p* < 0.05 between PD-I and PD-C; ^b*p* < 0.05 between PD-H and PD-C; ^c*p* < 0.05 between PD-I and PD-H).

60/200, software: XVA 11-A) (n = 24 patients; 6 PD-232 C, 8 PD-H, 10 PD-I), due to change of scanner at 233 our site during the study. The precise technical char-234 acteristics of each sequence have been harmonized 235 between the two scanners in order to achieve the 236 most homogeneous imaging data possible. A partic-237 ular effort has been made on the definition of TR, 238 TE, flip angle (α), bandwidth, acceleration, tempo-239 ral and spatial resolution, and field of view. This step 240

was carried out using a NIST test object associated with a quality control procedure to quantify various geometric and signal parameters [48]. MRI scanners, scans protocol and parameters were qualified after images quality analysis by the CATI platform (Centre d'Acquisition et de Traitement d'Images, Multicenter Neuroimaging Platform, Paris, France) to confirm the acquisition reproducibility. Acquisition parameters are detailed in the Supplementary Material.

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249 Volumetric brain analysis

Pre-processing (Supplementary Material) and 250 analysis were performed using Statistical Parametric 251 Mapping software (SPM12, Welcome Trust Centre 252 for neuroimaging, London, UK, http://www.fil.ion. 253 ucl.ac.uk/spm/) implemented in Matlab 2018a. A 254 voxel-wise one-way ANOVA was performed to 255 compare grey matter intensity across groups, with 256 PD duration (years since symptoms onset), scanner 257 manufacturer (Siemens or GE) and total intracra-258 nial volume as regressors of non-interest. Age and 259 sex were not included as covariates since groups 260 were matched for these variables. Statistical anal-261 vsis combined a p uncorrected < 0.001 threshold 262 at the voxel level and an extent threshold of 100 263 adjacent voxels. Clusters which survived a mul-264 tiple comparison correction (Family Wise Error 265 rate) $p_{FWE} < 0.05$ at the cluster level were explicitly 266 labelled in the tables. Neuroanatomical regions of sig-267 nificance were visualized and identified using xiview 268 toolbox (https://www.alivelearn.net/xjview). 269

Resting state fMRI analysis

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Preprocessing (Supplementary Material) and seed-271 based connectivity analysis were performed using 272 Conn functional connectivity toolbox (19.b) (http:// 273 www.nitrc.org/projects/conn, RRID:SCR_009550) 274 [49], which streamlines functions from SPM12. 275 A seed-based connectivity analysis calculates the 276 functional connectivity between a selected region of 277 interest (ROI), the seed, with all the voxels in the 278 brain. The analysis estimates the Fisher-transformed 279 bivariate correlation coefficient between the seed 280 BOLD signal and all the voxels BOLD signal 281 across time. The following ROIs were selected (FSL 282 Harvard-Oxford cortical/subcortical atlas, maximum 283 probability threshold 25%, 1 mm, https://identifiers. 284 org/neurovault.collection:262): putamen, caudate, 285 lateral occipital cortex, lingual gyrus and occipital 286 fusiform gyrus, amygdala and insula, hippocampus, 287 precuneus and inferior/middle/superior frontal gyrus 288 (IFG, MFG, SFG) [31-34]. 289

Then, we compared seed-to-voxel connectivity 290 maps across participant groups using a general-291 ized linear model to perform multivariate regressions 292 looking at contrasts between participant groups and 293 controlling for age, duration of disease, benzo-294 diazepine and antidepressant treatments and MRI 295 scanner type. We performed parametric statistical 296 testing, for comparisons between the three groups 297 using two-sample t-tests for between two group dif-298 ferences and one-way ANOVA. We calculated the 299

uncorrected *p*-value and false-discovery rate, with statistical significance specified at p < 0.05.

Statistical analysis of clinical data

Statistical analyses were performed using Stata software version 15 (StataCorp, College Station, US). For continuous data, the assumption of normality was assessed with the Shapiro-Wilk test. The comparison between groups for categorical data were performed using Chi-squared test and when appropriate Fisher's exact test, whereas the comparisons for continuous variables were carried out using ANOVA and Kruskal-Wallis test when assumptions required for ANOVA were not met. The homoscedasticity was analyzed using the Bartlett test. When appropriate (omnibus p-value < 0.05), post-hoc tests for two by to multiple comparisons were applied, respectively Tukey-Kramer after ANOVA, Dunn's test after Kruskal-Wallis and Marascuilo procedure after Chisquared and Fisher exact tests. All statistical tests were two-sided and a p-value < 0.05 was considered statistically significant.

RESULTS

Clinical characteristics

Sixty-two PD patients were analyzed for clinical and brain volumetric data (n = 23 PD-C, n = 19PD-I, n = 20 PD-H), and 58 patients analyzed for resting state functional data (n = 21 PD-C, n = 19 PD-I, n = 18 PD-H) after exclusion of corrupted files (Supplementary Material Flow Diagram). Demographic and clinical characteristics of patients together with group effects are shown in Supplementary Table 1).

MRI analyses

Volumetry

Whole-brain voxel-based morphometry analyses revealed no grey matter volume difference between PD-I and PD-H or between PD-I and PD-C groups. Decreased volume was observed in PD-H versus PD-C in the bilateral supramarginal, middle and superior temporal gyrus, and middle occipital gyrus (p < 0.001uncorrected) but did not survive the multiple comparisons correction ($p_{FWE} < 0.05$) (Supplementary Table 1 and Supplementary Figure 1).

Seed-based functional connectivity at rest

Overlapping features between PD-I and PD-H. PD-I and PD-H both had functional hypoconnectivity between left lingual gyrus and left 300

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		P _{FDR} -	$K_{\rm E}$	Р	BETA	Т	Х	Y	Ζ	
		CORR		UNCORR			(MM)	(MM)	(MM)	
PD-I>PD-H										
R AMYGDALA	< 0.001	< 0.001	408	< 0.001	0.19	6.54	66	-38	-02	R MTG
	0.003	0.003	268	< 0.001	0.19	5.05	8	70	-6	R Frontal pole
	0.01	0.006	223	< 0.001	0.18	5.08	-58	24	20	L IFG
L AMYGDALA	0.01	0.02	205	< 0.001	0.20	5.45	-58	12	24	L IFG
R HIPPOCAMPUS	< 0.001	< 0.001	615	< 0.001	0.19	5.38	-60	8	18	L IFG (triang.)
	0.001	0.001	394	< 0.001	0.17	5.28	-14	20	14	L caudate
L INSULA	0.001	0.002	358	< 0.001	0.19	5.07	26	-44	-14	R temporal occipital fusiform
										cortex
R SFG	< 0.001	< 0.001	518	< 0.001	0.34	5.29	52	18	22	R IFG
L IFG (TRIANG.)	0.01	0.02	239	< 0.001	0.18	5.25	-66	-6	18	L post central gyrus
	0.08	0.04	145	0.005	0.12	4.53	14	-46	-4	R lingual gyrus
	0.05	0.03	165	0.03	0.19	5.36	24	-28	-4	R hippocampus
L CAUDATE	0.01	0.03	215	0.001	0.16	5.30	68	-34	-10	R MTG
PD-I>PD-C										
R SFG	< 0.001	< 0.001	475	< 0.001	-0.08	4.23	-2	-54	30	Posterior cingulate gyrus, precuneus
	0.05	0.04	184	0.003	+0.20	5.57	+12	-22	+42	Posterior cingulate gyrus, r
R LINGUAL GYRUS	0.10	0.04	135	0.006	- 0.11	5.65	-40	+4	-38	L temporal pole, L temporal fusiform gyrus
L LINGUAL GYRUS	0.06	0.03	160	0.003	-0.07	-5.64	-36	-16	-30	L parahippocampal gyrus,
L IFG (TRIANG) PD-H > PD-C	0.03	0.03	181	0.002	0.12	5.35	-66	-10	34	L postcentral gyrus
L INFERIOR LATERAL OCCIPITAL CORTEX	0.009	0.01	258	< 0.001	-0.13	5.05	-16	38	10	Anterior cingulate gyrus, L paracingulate
R LINGUAL	0.01	0.01	245	< 0.001	-0.14	5.55	36	2	-44	R parahippocampal gyrus, R temporal fusiform gyrus
	0.005	0.01	281	< 0.001	-0.16	6.58	-42	4	36	L parahippocampal gyrus, L temporal fusiform gyrus
L LINGUAL	< 0.001	< 0.001	462	< 0.001	-0.17	5.85	-40	-4	2	L insular cortex
	0.01	0.009	250	< 0.001	-0.22	5.97	-30	-8	-42	L temporal fusiform gyrus
	0.006	0.004	268	< 0.001	-0.20	5.30	36	6	-46	R temporal fusiform gyrus

 Table 2

 Seed-based functional connectivity in parkinsonian patients with visual hallucinations, with illusions and controls

IFG, inferior frontal gyrus; ITG, inferior temporal gyrus; K_E , cluster size; L, left, PD-C, Parkinson's disease without illusions or hallucinations; MTG, middle temporal gyrus; PD-H, Parkinson's disease with visual hallucinations; PD-I, Parkinson's disease with visual illusions; pFDR, *p* corrected for multiple comparisons using the false discovery rate; pFWE, *p* corrected for multiple comparisons using the family wise error; puncorr, p uncorrected; R, right; SFG, superior frontal gyrus; STG, superior temporal gyrus; Triang, triangularis; Beta values, represent Fisher-transformed correlation coefficient values: for the PD-I > PD-H contrast, positive beta values suggest a stronger connectivity between the seed and these regions in PD-I compared to PD-H, while negative beta values suggest a lower connectivity between the seed and these regions in PD-I compared to PD-C, while negative beta values suggest a lower connectivity between the seed and these regions in PD-I compared to PD-C, while negative beta values suggest a lower connectivity between the seed and these regions in PD-I compared to PD-C, while negative beta values suggest a stronger connectivity between the seed and these regions in PD-I compared to PD-C, while negative beta values suggest a lower connectivity between the seed and these regions in PD-I compared to PD-C, while negative beta values suggest a stronger connectivity between the seed and these regions in PD-H compared to PD-C, while negative beta values suggest a stronger connectivity between the seed and these regions in PD-H compared to PD-C, while negative beta values suggest a stronger connectivity between the seed and these regions in PD-H compared to PD-C, while negative beta values suggest a lower connectivity between the seed and these regions in PD-H compared to PD-C, while negative beta values suggest a lower connectivity between the seed and these regions in PD-H compared to PD-C, while negative beta values suggest a lower connectivity between the seed and these regions in PD

parahippocampal region compared to PD-C, with no difference between PD-I and PD-H (Table 2, Fig. 1). *Distinct features between PD-I and PD-H.* PD-I had hyper-connectivity compared to PD-H between bilateral amygdala and bilateral IFG, between right

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hippocampus and left IFG and caudate, and between left insula and right temporal occipital fusiform cortex. PD-I also had hyper-connectivity between right SFG and right IFG compared to PD-H (Table 2, Fig. 1). PD-I had functional hyper-connectivity between left IFG and left postcentral gyrus both compared to PD-H and PD-C.

PD-I had hyper-connectivity between SFG and IFG compared to PD-H. Moreover, we observed in PD-I hypo-connectivity between SFG and posterior cingulate compared to PD-C, whereas PD-H had

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Fig. 1. Seed-based resting state functional connectivity in PD-I, PD-H, and PD-C. For each seed (orange text), differences of functional connectivity between groups are represented; yellow clusters are regions presenting hyper-connectivity with the seed and blue clusters are regions presenting hypo-connectivity with the seed. PD-I and PD-H present a common functional hypo-connectivity pattern between lingual gyrus and parahippocampal region compared to PD-C. Yet, distinct functional patterns are also revealed between VH and VI in PD. PD-I have hyper-connectivity between inferior frontal gyrus (IFG) and postcentral gyrus compared to PD-C and PD-H, and hyper-connectivity between insula and occipital fusiform cortex, and from amygdala, hippocampus towards IFG, compared to PD-H. PD-I, Parkinson's disease patients with visual hallucinations; PD-C, Parkinson's disease patients with visual hallucinations or illusions.

functional hyper-connectivity between ILO and anterior cingulate and paracingulate.

No other between group differences were reported for other seeds.

Average effect size for each group within clusters with significant between group differences of seedto-voxel connectivity is represented in Fig. 2.

369 DISCUSSION

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In this study, we compared the volumetric and seed-based functional connectivity characteristics respectively associated with VH and VI in PD. We found no significant structural differences between PD-I and PD-H. However, PD-I and PD-H showed distinct connectivity patterns, although partially overlapping; suggesting that whilst these symptoms share some partly common neural mechanisms, they are also underpinned by specific functional brain differences.

Volumetric characteristics associated with VH and VI in PD

Using voxel-based morphometry (VBM), we observed no volumetric difference between PD-I and PD-H, nor between PD-I compared to PD-C, but found grey matter atrophy in PD-H compared to

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Fig. 2. Average effect sizes in each group within clusters with significant seed-to-voxel differences between PD-I and PD-H. PD-I and PD-H present a common functional hypo-connectivity pattern between lingual gyrus and parahippocampal region compared to PD-. Yet, distinct functional patterns are also revealed between VH and VI in Parkinson's disease. PD-I have hyper-connectivity between insula and occipital fusiform cortex, and from amygdala and hippocampus to IFG compared to PD-H. PD-I, Parkinson's disease patients with visual illusions; PD-H, Parkinson's disease patients with visual hallucinations; PD-C, Parkinson's disease patients without visual hallucinations.

PD-C in the middle occipital gyrus, and parieto-386 temporal gyrus, including supramarginal gyrus (un-387 corrected statistical threshold), indicating a greater 388 degree of neurodegeneration in PD-H. Several other 389 VBM studies have assessed volumetric characteris-390 tics associated with VH in PD, and to date, all [8-10, 391 50–52] but one [17] have reported grey matter vol-392 ume differences between PD-H and PD-C, in brain 393 regions involved in visuospatial-perception, attention 394 control and memory [7], either using an uncorrected 395 statistical threshold [7, 8, 52], or with correction for 396 multiple comparisons [9, 10, 50, 51]. Structural dif-397 ferences between parkinsonian patients with minor 398 VH (defined by the authors as sense of presence, 399 passage hallucination or illusions) and those with 400

well-structured VH were previously investigated [4], suggesting partly distinct features between complex VH and other manifestations described as minor VH. However, in that study, visual illusions were not distinguished from minor VH. Thus far, only two studies have specifically investigated the morphometric characteristics of PD-I compared to PD-H [22, 24], and reported a decrease in total gray matter volume [22, 24] and left accumbens [22] on brain MRI associated with the presence of VH compared to the presence of VI in PD, independent of age [24], or disease duration [22]. In those studies, including one conducted by our group on the same population, a different tool was used to perform whole brain structural analyses (FreeSurfer), which could explain the

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apparently conflicting results compared to the cur-416 rent study [53, 54]. Indeed, in the current study we 417 performed VBM (which utilizes group-wise compar-418 isons that objectively localize focal changes in voxel 419 values throughout the entire brain volume), whereas 420 Freesurfer enables automatic parcellation of the brain 421 into multiple anatomic regions and quantifies tissue 422 volume in these predefined regions on an individual 423 case basis. Thus, even if more widespread brain atro-424 phy has been suggested in PD-H compared to PD-I 425 [22], as of yet, no data suggests the existence of dis-426 tinct structural changes associated respectively with 427 VH and VI. Hereby, we are currently unable to distin-428 guish between VH and VI according to morphometric 429 characteristics. 430

Functional connectivity signatures of VH and VI in PD

While we found no VBM difference between PD-I 433 and PD-H in this study, we identified partly overlap-434 ping and distinct resting state functional connectivity 435 patterns associated with the presence of VH and 436 VI in PD, independent of disease duration. These 437 connectivity changes observed in PD-H and PD-I 438 could reflect differential deposits of alpha-synuclein, 439 as it has been reported that patients with synucle-440 inopathies frequently experience hallucinations and 441 illusionary perceptions, related to dysfunction of both 442 associative visual areas and changes of limbic areas 443 or the ventral striatum [55]. 444

445 Common functional connectivity patterns to PD-I 446 and PD-H from lingual gyrus

Both PD-I and PD-H presented functional hypo-447 connectivity between the lingual gyrus and the 448 parahippocampal region, in line with occipital func-449 tional hypo-connectivity generally reported in PD 450 with VH [7, 11, 56]. Hippocampal functional con-451 nectivity with the visual cortices has been previously 452 reported to be lower in parkinsonian patients with VH 453 [57], and as suggested by the authors, this could dis-454 rupt visuospatial memory and constitute a common 455 functional impairment to VH and VI in PD. 456

457 Contrasting functional patterns in PD-I
 458 compared to PD-H: hyper-connectivity from
 459 IFG, amygdala, hippocampus, and insula

460 Some contrasting functional differences were re-461 vealed between PD-I and PD-H. PD-I compared to PD-H and PD-C had hyper-connectivity between the inferior frontal gyrus (involved in retrieval of episodic memories) [31] and postcentral gyrus. PD-I also had increased connectivity compared to PD-H from the amygdala and hippocampus to the IFG, and from the insula to the occipital fusiform cortex, as well as between SFG and IFG.

Hyper-connectivity between IFG and amygdala. encoding positive and negative emotional memories [58, 59], suggests that emotional memory could influence and modify reality monitoring of an existing visual stimulus in PD with VI. Supporting this hypothesis, pronounced functional connectivity between the amygdala, hippocampus, and right IFG has been reported during autobiographical retrieval in the healthy population [31, 60]. Our findings suggest that VI in PD could be associated with a strong influence of anxiety and fears, and prior experiences on interpretation of visual stimuli, as well as hyperacute reality monitoring, consistently with hyper-connectivity between the insula (involved in the detection of salient stimuli) [61] and the occipital cortex.

Contrasting functional connectivity towards cingulate regions in PD-I and PD-H

Divergent patterns of connectivity between towards the cingulate were also observed in PD-I to PD-C: PD-H had hyper-connectivity from ILO with the anterior cingulate and the anterior paracingulate region compared to PD-C, whilst PD-I had hypoconnectivity from SFG with the posterior cingulate compared to PD-C.

The cingulate cortex has a crucial role in the emergence of VH in PD [62], and it was recently reported that access to consciousness in PD with VH was associated with hypoactivation in the cingulate, suggesting impaired involvement of attentional processing [63]. In particular, the anterior cingulate has a role in focusing attention on behaviorally relevant stimuli [64], whereas the posterior cingulate cortex has a central role in supporting internally-directed cognition, such as autobiographical memories retrieval and conscious awareness [65], and could be differentially involved in VI and VH.

The lateral occipital cortex is a well-known processing center for object recognition [66], and has been reported to activate in fMRI studies in response to pictures of objects, independently of image feature or familiarity [67]. Interestingly, previous neuroimaging studies using regional cerebral

blood flow concluded that the inferior lateral temporal 512 cortex (particularly fusiform gyrus), was the region 513 most likely responsible for the complex visual hallu-514 cinations reported in Charles Bonnet Syndrome [68]. 515 As proposed by the authors, visual loss due to eve 516 pathology in Charles Bonnet Syndrome patients, pro-517 duces a state of sensory deprivation that releases the 518 visual cortex from regulation by external stimuli that 519 normally has an inhibitory effect on the endogenous 520 activation of the visual cortex. Such a cortical release 521 phenomenon could also occur in PD-H patients sec-522 ondary to retinal impairment [22], and result in 523 visual hallucinations. Furthermore, reduced activity 524 of the superior frontal gyrus has been demonstrated in 525 healthy individuals who are prone to psychosis [33], 526 and in patients with schizophrenia [69]. Contrasting 527 connectivity between cingulate, SFG and ILO could 528 represent distinct neural mechanisms leading to VH 520 or to VI in PD. 530

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Visual and non-visual hallucinations

A significant proportion of PD patients with visual 532 hallucinations also had olfactory hallucinations, and 533 one might argue that it may have play a role in the spe-534 cific pattern of functional alterations observed in the 535 PD-H group. Yet, olfactory, tactile and gustatory hal-536 lucinations usually coexist with VH in PD [70] and in 537 other conditions such as schizophrenia [71, 72], and 538 probably involve the alteration of common pathways 539 responsible for reality monitoring. Indeed, a previous 540 longitudinal study showed that whilst visual halluci-541 nations in isolation are classic in early PD, nonvisual 542 hallucinations emerge over time, and the combination 543 of visual with nonvisual hallucinations predominates 544 in later stages of PD [73]. Moreover, the presence 545 of visual/auditory hallucinations and sex has been 546 reported recently to be the main variables predicting 547 the presence of olfactory hallucinations [74], with-548 out any association with olfactory impairment [74, 549 75]. Thus, hallucinations in PD may occur in one or 550 multiple sensory modalities, and a common brain net-551 work responsible for hallucinations independent of 552 the sensory modality has been suggested by previous 553 studies [76]. In line with this hypothesis, a previous 554 study compared PD patients with one versus multi-555 ple hallucinatory modalities, and did not reveal any 556 difference regarding demographic, clinical and med-557 ication parameters [72]. Interestingly, we found that 558 other sensory modalities of hallucinations are only 559 reported in PD patients with visual hallucinations and 560 not in PD patients with illusions, thus appearing to 561

be part of a common clinical spectrum related to the functional alterations reported in our results.

Limitations

Our study has several limitations that should be considered when interpreting the results. First, our study is ancillary, and analyses were conducted in a sample whose size was calculated for a previous analysis focusing on retinal thickness. Thus, the sample size may have been too small to identify VBM differences between PD-I and PD-C. However, 62 parkinsonian patients were included in our functional data analysis, which is higher than the majority of previous functional neuroimaging studies on parkinsonian patients with VH [7]. Another limitation of this study is the difficulty to distinguish VI from minor hallucinations such as passage hallucinations and sensation of presence. Presence hallucinations could be identified, during patients and caregiver interview, as they are closer to a delusional idea or a social hallucination and defined by the belief that a person is present behind oneself generally, but without associated visual perception. Though, distinguishing VI from passage hallucination can be challenging since it depends on the patient's ability to determine whether there was or not a real visual external stimulation. More precise clinical criteria need to be developed in the future in order to better classify these minor manifestations and illusions. It should also be acknowledged that VI and VH are dynamic phenomena that were not occurring during the scans, even if patients had frequent and recent VI and VH at the moment of inclusion in this study. Thus, functional resting state analysis shows brain patterns at rest that could predispose to the occurrence of VI or VH in PD but does not allow to capture neural activation patterns occurring during an actual hallucinatory/illusory event.

CONCLUSION

We show both overlapping and distinct functional signatures related to VI versus VH in PD patients. This advances our current comprehension of illusions and hallucinations in PD, which have to date been limited to considering these phenomena as representing a clinical spectrum from simple to complex hallucinations. Understanding the plurality of the structural and functional characteristics underlying VI and VH in PD may enlighten how these two forms of perceptual experiences overlap and are distinct in 562 563

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- clinical prognosis. Hence longitudinal studies focus-
- 612 ing on structural and functional alterations in PD with
- 613 VI and VH should provide greater insight into the
- natural history of these phenomena.

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618 CONFLICT OF INTEREST

619 The authors have no conflict of interest to report.

620 SUPPLEMENTARY MATERIAL

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