

# Novel $\beta$ -Cyclodextrin-Based Heptavalent Glycyrrhetinic Acid Conjugates: Synthesis, Characterization, and Anti-Influenza Activity

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1	Novel $\beta$ -cyclodextrin-based heptavalent glycyrrhetinic acid conjugates: Synthesis,
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3	
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#### 19 Abstract

20 In our continuing efforts toward the design of novel pentacyclic triterpene derivatives as 21 potential anti-influenza virus entry inhibitors, a series of homogeneous heptavalent glycyrrhetinic 22 acid derivatives based on  $\beta$ -cyclodextrin scaffold were designed and synthesized by click 23 chemistry. The structure was unambiguous characterized by NMR, IR, and MALDI-TOF-MS 24 measurements. Seven conjugates showed sufficient inhibitory activity against influenza virus 25 infection based on cytopathic effect reduction assay with IC<sub>50</sub> values in the micromolar range. The 26 interactions of conjugate 37, the most potent compound (IC<sub>50</sub> = 2.86  $\mu$ M, CC<sub>50</sub> > 100  $\mu$ M), with 27 influenza virus were investigated using hemagglutination inhibition assay. Moreover, surface 28 plasmon resonance assay further confirmed that compound 37 bound to influenza HA protein specifically with a dissociation constant of  $5.15 \times 10^{-7}$  M. Our results suggest the promising role of 29 30  $\beta$ -cyclodextrin as scaffold for preparing a variety of multivalent compounds as influenza entry 31 inhibitors. 32

**Keywords**: Glycyrrhetinic acid;  $\beta$ -cyclodextrin; influenza virus; multivalency; hemagglutinin

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#### 35 1. INTRODUCTION

36 Influenza A virus is a highly contagious respiratory pathogen that can cause seasonal 37 epidemics and irregular pandemics due to its rapid transmission and frequent genetic alteration 38 (Neumann et al., 2009; Lagacé-Wiens et al., 2010). The structure of the viruses consists of a lipid 39 envelope that is generated from the host cell, to which two dominant membrane proteins 40 hemagglutinin (HA) (80%, ~300 copies of trimer) and neuraminidase (NA) (17%, ~50 copies of tetramer) are anchored (Leser et al., 2005). Based on the antigenicity of HA and NA, they can be 41 classified into different subtypes. To date, 18 major antigenic variants of HA and 11 antigenic 42 43 variants of NA have been recognized, which are found in numerous combinations. In addition, newly mutated forms of the influenza virus appear every year. The current available options to 44 45 combat influenza A viral infections include the prophylactic, yearly reformulated vaccines and 46 two classes of anti-influenza drugs (the M2 ion-channel inhibitors and the NA inhibitors) (Das, 2012). Since the vaccines that are available now offer a very limited breadth of protection (van 47 48 Dongen et al., 2019), the antiviral drugs are still the first line of protection to treat acute influenza 49 infection. Unfortunately, all circulating influenza A viral strains appear to be resistant to the M2 50 ion-channel inhibitors. Recently, the continually emerging of resistant virus against NA inhibitors 51 has been also reported (Hurt et al., 2006; van der Vries et al., 2010; Hurt, 2014). For these reasons, 52 novel anti-influenza viral agents with new targets and mechanisms of action are urgently required 53 to overcome the rapid emergence of drug resistance (Heo, 2018; van Dongen et al., 2019).

54 In the first step of infection, the virion adheres to the host cell surface through the binding of 55 HA to sialic acid-terminated carbohydrates present on the cell membranes (Mammen et al., 1998; 56 Skehel et al., 2000). Therefore, HA plays a pivotal role in virus entry, making it a potential target 57 for antiviral intervention. HA is a homotrimeric integral membrane glycoprotein, which has ca. 58 300-400 copies on the viral surface (Mammen et al., 1998). The monovalent interaction between 59 HA and sialic acid is mediated essentially by hydrogen bonds, and the dissociation constant of 60 them shows a relative weak binding ( $K_D \sim 2 \text{ mM}$ ) (Sauter et al., 1989). Therefore, a multitude of HA is involved in order to increase the overall strength of the interaction between the virus and 61 62 the host cell. The binding between multiple pairs of HA trimer and sialic acid ligand increases substantially as characterized by a multivalent affinity constant of estimated 10<sup>13</sup> M<sup>-1</sup> (Mammen et 63 64 al., 1998). This process provides important clues for the design of efficient multivalent ligands to 65 mimic the binding of HA to sialic acid to block the viral protein-host receptor interactions (Lu et 66 al., 2019). Over the past few years, many efforts have been made for the design and synthesis of carbohydrate-based multivalent HA binders, including polymers, dendrimers, nanoparticles and so 67 on, serving as potent influenza A virus inhibitors (Chaudhary et al., 2019; Lu et al., 2019). 68

69  $\beta$ -Cyclodextrin ( $\beta$ -CD) is a torus shaped cyclic oligosaccharide consisting of seven 70 ( $\alpha$ -1,4)-linked D-glucopyranose units and it is well-known for their ability to form inclusion 71 complexes with a broad variety of organic and inorganic compounds (Crini, 2014). It is widely 72 used in pharmaceutical formulations to enhance the solubility of poorly soluble drugs, increase 73 drugs permeability through biological membranes and improve the bioavailability of drugs 74 (Jansook et al., 2018). The unique steric accessibility and acidity of the three types of hydroxyls in 75  $\beta$ -CD have been taken into account to conceive efficient position-selective and face-selective chemical functionalization methodologies (Khan et al., 1998). It has been shown to serve as a 76 77 multivalent scaffold in the design of glycoconjugates (Martinez et al., 2013), glycoclusters 78 (Gomez-Garcia et al., 2005; Gomez-Garcia et al., 2012), glycodendrimers (Ortega-Caballero et al., 79 2001; Vargas-Berenguel et al., 2002) and star polymers (Zhang et al., 2012; Gonzalez-Gaitano et 80 al., 2017). In addition, target-specific multivalent drug delivery systems have shown significant 81 improvement of anti-tumor drugs delivery and hence antitumor efficacy and prevention of clinical 82 multi-drug resistance (Kim et al., 2017; Zhou et al., 2019).

83 In spite of the progress, the design of multivalent ligands with optimized biological properties 84 still remains a challenge considering the complexity of the multivalent ligand-receptor interactions 85 (Lepage et al., 2015). Glycyrrhizic acid (also known as glycyrrhizin, 1) (Fig. 1), an oleanane-type 86 pentacyclic triterpene saponin, is the primary bioactive constituent of the roots and rhizomes of 87 Glycyrrhiza glabra (licorice) which is employed as an herbal medicine in both Western and 88 Eastern countries (Fiore et al., 2005; Asl et al., 2008). Compound 1 and its aglycone, 89 glycyrrhetinic acid (GA, 2), have been reported to possess antitumor, anti-inflammatory and other 90 pharmacological activities (Yang et al., 2015; Hussain et al., 2018). In a notable example, 91 Lallemand al. have 3. et reported that compound with a 92 2-(3-(3,5-bis(trifluoromethyl)phenyl)ureido)ethyl group at C-30 of 2, shows strong antitumor 93 activity with IC<sub>50</sub> in signal-digit micromolarity in a panel of eight cancer cell lines (Lallemand et 94 al., 2011). Recently, attention to GAs has been greatly increased due to their broad spectrum of 95 antiviral activities, such as anti-hepatitis B virus (HBV) (Wang et al., 2012), anti-Epstein-Barr 96 virus (EBV) (Lin et al., 2008), anti-rotavirus (Hardy et al., 2012), anti-influenza virus (Stanetty et 97 al., 2012), anti-herpes simplex virus (HSV) (Zigolo et al., 2018), etc. 3-Thioglucuronide derivative 98 4 and several C-30 ester derivatives, such as 4-(trifluoromethyl) benzyl ester (5), 4-iodobenzyl 99 ester (6) and 4-nitrobenzyl ester (7), show promising antiviral activity (Stanetty et al., 2012; Wang 100 et al., 2012). In our previous study, a series of monovalent  $\beta$ -CD-GA conjugates have been synthesized and several conjugates show weak antiviral activity at high concentration (~50  $\mu$ M) 101 102 (Liang et al., 2019). As a natural step in our efforts towards the design of new pentacyclic 103 triterpene conjugates with antiviral activity (Yu et al., 2014; Xiao et al., 2016; Si et al., 2018), we 104 described herein the design and synthesis of a series of heptavalent GA functionalized  $\beta$ -CD 105 conjugates and evaluated their in vitro anti-influenza activity.



106

107 **Figure 1.** Chemical structures of glycyrrhizic acid (1), GA (2) and its derivatives (3-7).

#### 108 2. MATERIALS AND METHODS

109 2.1 Materials

110 GA was kindly supplied by Nanjing Zelang Medical Technology Co., Ltd. (China).  $\beta$ -CD, 111 *O*-(benzotriazol-1-yl)-*N*,*N*,*N*',*N*'-tetramethyluroniumtetrafluoroborate (TBTU), 112 *N*,*N*-Diisopropylethylamine (DIPEA), iodine, triphenylphosphine, sodium azide, 4-dimethylaminopyridine (DMAP), cupric sulfate were purchased from Shanghai Aladdin 113 114 Bio-ChemCo. Ltd. (China). Sodium ascorbate, sodium methoxide, 5-hexyn-1-amine and 4-ethynylaniline were supplied by Shanghai Energy Chemicals (China). Silica gel 60 (200-300 115 116 mesh) was provided by Qingdao Haiyang Chemical Co., Ltd. (China). Sodium carbonate, 117 *N*,*N*-dimethylformamide, tetrahydrofuran, methanol, pyridine, acetic anhydride as well as all other 118 chemicals and reagents used were of analytical grade and purchased from Sinopharm Chemical 119 Reagent Co., Ltd. (China) and used throughout this research without further purification.

120 Thin-layer chromatography (TLC) was conducted on a pre-coated silica gel 60  $F_{254}$  plate (E. Merck, Darmstadt, Germany) and eluted with a mixture of methanol/dichloromethane (15:1~10:1) 121 122 for per-O-acetyl- $\beta$ -CD-GA conjugates or methanol for the deacetylated  $\beta$ -CD-GA conjugates. For 123 spot detection, the plates were immersed in a yellow solution of Ce(NH<sub>4</sub>)<sub>2</sub>(NO<sub>3</sub>)<sub>6</sub> (0.5 124 g)/(NH<sub>4</sub>)<sub>6</sub>Mo<sub>7</sub>O<sub>24</sub>·4H<sub>2</sub>O (24.0 g)/6% H<sub>2</sub>SO<sub>4</sub> (500 mL). The plate was heated by hot gun in order to 125 visualize the spots. FT-IR spectra were obtained using a Nicolet Nexus 470 FTIR spectrometer 126 (Thermo Electron Scientific Instruments LLC, USA). MALDI-TOF mass spectra were obtained on an AB Sciex TOF/TOF<sup>TM</sup> 72115 spectrometer using methanol or chloroform as solvents and 127 128  $\alpha$ -cyano-4-hydroxy-cinnamic acid (HCCA) as matrix. NMR spectra were recorded on a Bruker 129 DRX 400 or DRX 600 spectrometer at ambient temperature.

Madin-Darby canine kidney (MDCK) cells were donated by Crown Bioscience Inc. (USA).
Dulbecco's Modified Eagle Medium (DMEM) was purchased from Gibco BRL, Inc. (USA).
CellTiter-Glo<sup>®</sup> reagent was purchased from Promega Corp Inc. (USA). Recombinant influenza
HA was purchased from Sino Biological Inc. (China). Deionized double-distilled water was used

throughout the biological study. Surface plasmon resonance (SPR) assay was analyzed using the

135 Biacore 8k system (GE Healthcare, Uppsala, Sweden).

136 2.2 Synthesis of heptavalent  $\beta$ -CD-GA conjugates

137 Heptakis (2,3-di-O-acetyl-6-deoxy-6-azide-)- $\beta$ -CD 11 was prepared in a three-step procedure as previously reported (Gadelle et al., 1991). The GA intermediate 12 was synthesized based on 138 139 the method of Schwarz et al. with minor modifications (Schwarz et al., 2014). The terminal 140 alkynyl substituted amines 13-16 were prepared as previously described (Murelli et al., 2009; 141 Zhang et al., 2010; Tran et al., 2013; Sanhueza et al., 2017). Alkynyl-functionalized GA 142 intermediates 17-20 and 30-32 were synthesized as previously described (Liang et al., 2019). 143 Heptavalent GA functionalized  $\beta$ -CD conjugates were synthesized through a two-step process 144 involving the copper-catalyzed alkyne-azide cycloaddition reaction (CuAAC) under microwaves 145 (step 1) and de-O-acetylation reaction under Zemblén conditions (step 2).

146 2.2.1 General procedure A for the click reaction (step 1)

147 To a solution of heptakis (2,3-di-O-acetyl-6-deoxy-6-azide-)-β-CD 11 (189.8 mg, 0.10 mmol) and alkynyl-functionalized GA derivatives (0.84 mmol) in 50% of THF and H<sub>2</sub>O (5 mL) was 148 149 added CuSO<sub>4</sub> (15.7 mg, 0.10 mmol) and sodium ascorbate (30.7 mg, 0.15 mmol). The resulting solution was heated in a microwave reactor at 100°C until the azide was completely consumed 150 151 (typically about 1 h) as determined by TLC. Then the reaction mixture was extracted with  $CH_2Cl_2$ 152 (10 mL  $\times$  3). The CH<sub>2</sub>Cl<sub>2</sub> fraction was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, evaporated, and purified by 153 silica gel column chromatography using CH<sub>2</sub>Cl<sub>2</sub>/CH<sub>3</sub>OH (15:1~10:1 v/v) as eluent to give the 154 acetylated intermediates as a white foam.

155 2.2.2 General procedure B for the deacetylation reaction (step 2)

The multivalent GA functionalized per-*O*-acetylated  $\beta$ -CD conjugates were dissolved in dry methanol (5 mL per 100 mg of compound), and a solution of sodium methoxide (30% in methanol, 0.1 eq per mol of acetate) was added. The solution was stirred (180 rpm) at room temperature for 4~6 h in a N<sub>2</sub> atmosphere. After completion (TLC), the reaction mixture was neutralized with Amberlite IR-120 (H<sup>+</sup>) ion exchange resin, then filtered and concentrated. The crude product was purified by RP column chromatography using CH<sub>3</sub>OH as eluent to give the final products in 73-95% yields.

163 The <sup>1</sup>H and <sup>13</sup>C NMR and ESI-HRMS or MALDI-TOF MS data of the synthesized new 164 compounds **21-28** and **33-38** are available in supporting information (Supplementary Data, 165 S6-S14).

166 2.3 FT-IR analysis

167 FT-IR spectra of the heptavalent  $\beta$ -CD-GA conjugates as well as their parent compounds **11** 168 and **17** were obtained using a Nicolet Nexus 470 FTIR spectrometer (Thermo Electron Scientific 169 Instruments LLC, USA) over a scanning range 500-4,000 cm<sup>-1</sup>. In addition, 1.0 mg of different 170 samples was mixed with KBr pellets.

#### 171 2.4 NMR study

<sup>1</sup>H and <sup>13</sup>C NMR spectra of GA derivatives **17-20** and **29-32** were acquired on a Bruker DRX 400 MHz spectrometer. <sup>1</sup>H NMR, <sup>13</sup>C NMR, <sup>1</sup>H-<sup>1</sup>H COSY NMR and <sup>1</sup>H-<sup>13</sup>C HSQC NMR spectra of  $\beta$ -CD-GA conjugates **21-28** and **33-38** were acquired on a Bruker DRX 600 MHz spectrometer. Sample solutions were prepared with CDCl<sub>3</sub> or CDCl<sub>3</sub>/CD<sub>3</sub>OD (2:1) as solvent. CDCl<sub>3</sub> or CD<sub>3</sub>OD were also employed as reference: proton ( $\delta$  7.26 ppm) and carbon ( $\delta$  77.00 ppm) for CDCl<sub>3</sub>, proton ( $\delta$  3.31 ppm) and carbon ( $\delta$  49.00 ppm) for CD<sub>3</sub>OD. Detection temperature was set at 25 °C.

179 2.5 Cell culture and viruses

MDCK cells were donated by Crown Bioscience Inc. (USA) and grown in DMEM supplemented with 10% (v/v) fetal bovine serum (FBS) (PAA Laboratories, Linz Austria) at 37 °C under 5% CO<sub>2</sub>. The A/WSN/33 (H1N1) influenza virus used in this study was artificially generated from the 12-plasmid influenza virus rescue system.

184 2.6 Cytotoxicity assay

Cell viability assays were performed using CellTiter-Glo<sup>®</sup> reagent following the 185 manufacturer's instructions. Briefly, the cells  $(1 \times 10^4 \text{ per well})$  were seeded into 96-well tissue 186 culture plates and incubated for 16 h at 37 °C with 5 % CO<sub>2</sub> to allow the cells to adhere to the 187 188 surface of the wells. The culture medium was then replaced with fresh medium containing 189 compound or paclitaxel (PTX) at 10 and 25  $\mu$ M in triplicate, and the negative control wells 190 contained the equivalent volume of medium with 1% DMSO, after which they were incubated for 48 h at 37 °C with 5% CO<sub>2</sub>. CellTiter-Glo<sup>®</sup> reagent was added, and the plates were read using a 191 Tecan Infinite M2000 PRO<sup>TM</sup> plate reader. 192

#### 193 2.7 Cytopathic effect (CPE) reduction assay

MDCK cells  $(1 \times 10^4 \text{ per well})$  were seeded into 96-well plates, incubated at 37 °C with 5 % CO<sub>2</sub> for 24 h and infected with influenza virus (MOI = 0.1). Cells were suspended in DMEM supplemented with 1% FBS, containing different concentrations test compound or Oseltamivir (OSV) and 2 µg/mL TPCK-treated trypsin, and a final DMSO concentration of 1% was added in each well. After 48 h of incubation at 37 °C with 5 % CO<sub>2</sub>, CellTiter-Glo<sup>®</sup> reagent (Promega Corp., Madison, WI, USA) was added, and the cells were assessed with the CellTiter-Glo<sup>®</sup> assay according to the manufacturer's instructions.

201 2.8 Hemagglutination inhibition (HI) assay

HI assay was performed as described previously (Yu et al., 2014). Briefly, compound from a 203 2-fold serial dilution in saline was mixed with an equal volume of influenza virus (2 HA units) in 204 the V-bottomed 96-well microplates. Subsequently, 50  $\mu$ L of freshly prepared chicken red blood 205 cells (cRBC) (1% v/v in saline) was added to each well. The mixture was incubated at room 206 temperature for 30 min before observing cRBC aggregation on the plate.

#### 207 2.9 SPR assay

208 Interactions between influenza HA and the compounds were analyzed using the Biacore 8k 209 system (GE Healthcare, Uppsala, Sweden) at 25 °C. Recombinant influenza HA (Sino Biological 210 Inc., Beijing, China) was immobilized on a sensor chip (CM5) using EDC and NHS for activation. 211 Final HA immobilized levels were typically ~9,000 RU. Subsequently, compounds were injected 212 as analytes at various concentrations, and PBS-T (10 mM phosphate buffer with 5% DMSO, 0.05% 213 Tween, pH 7.4) was used as the running buffer. For binding studies, analytes were applied at 214 corresponding concentrations in running buffer at a flow rate of 30  $\mu$ L/min with a contact time of 215 60 s and a dissociation time of 180 s. Chip platforms were washed with running buffer and 50% 216 DMSO. Data were analyzed with the Biacore insight evaluation software (version 1.05) by curve

217 fitting using a binding model of 1:1.

#### 218 3. RESULTS AND DISCUSSION

219 3.1 Synthesis of heptavalent  $\beta$ -CD-GA conjugates

220 As shown in Scheme 1, the synthetic strategy was based on coupling of alkynyl-functionalized 221 Gas with heptaazide substituted  $\beta$ -CD via click chemistry. At first, oligo (ethylene glycols) (OEGs) 222 were chosen as linkers to enhance and optimize the properties of conjugates. Various 223 heterobifunctional OEGs with amine and alkynyl terminals 13-16 were synthesized as described 224 previously (Murelli et al., 2009; Zhang et al., 2010; Tran et al., 2013; Sanhueza et al., 2017). The 225 coupling of OEGs 13-16 with compound 12, which obtained from commercially available GA 2 226 by activation of the carboxylic acid at C-30, was performed to provide the alkynyl-functionalized GAs 17-20. Then 17-20 underwent a "click chemistry" reaction with heptakis 227 228  $(2,3-di-O-acetyl-6-deoxy-6-azide)-\beta$ -CD 11, which was prepared from  $\beta$ -CD 8 in three steps using 229 the conventional method as previously described (Gadelle et al., 1991; Ashton et al., 1996; 230 Karginov et al., 2006) in the presence of copper sulfate and sodium ascorbate as reducing agent to 231 give 21-24 with yields ranging from 54% to 88%. At last, the acetyl groups of multivalent conjugates 21-24 were removed under Zemblén conditions, followed by neutralization with H<sup>+</sup> 232 233 resin to afford 25-28 in 73-86% yields.



Scheme 1. Synthesis of heptavalent GA functionalized β-CD conjugates (21-28). Reagents and conditions: (a) I<sub>2</sub>, Ph<sub>3</sub>P, DMF, 80°C, 44%; (b) NaN<sub>3</sub>, DMF, 60°C, 90% (c) Py, Ac<sub>2</sub>O, DMAP, 92%; (d) TBTU, DIPEA, THF, 90%; (e) Na<sub>2</sub>CO<sub>3</sub>, DMF, 60°C, 46-82%; (f) Sodium ascorbate, CuSO<sub>4</sub>, THF-H<sub>2</sub>O (1:1, v/v), 54-88%; (g) MeOH, MeONa, 73-86%.

To highlight the effect of the linkers on the anti-influenza virus activity, a parallel experiment was carried out to conjugate GA with  $\beta$ -CD moiety through an alkyl chain or a more rigidness chain, such as benzene ring or piperazine ring, rather than an OEG linker (Scheme 2). In a similar pathway, coupling of compounds **29**, **30** and **32** with heptaazide  $\beta$ -CD intermediate **11** was performed via click reaction, followed by de-*O*-acetylation under Zemplén conditions to give the corresponding conjugates **34**, **36** and **38**, respectively, as the final products.



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Scheme 2. Synthesis of heptavalent GA functionalized  $\beta$ -CD conjugates (**33-38**). Reagents and conditions: (a) 5-hexyn-1-amine, Na<sub>2</sub>CO<sub>3</sub>, DMF, 60°C, 90%; (b) 4-ethynylaniline, Na<sub>2</sub>CO<sub>3</sub>, DMF, 60°C, 30%; (c) 2-(piperazin-1-yl)ethan-1-amine, Na<sub>2</sub>CO<sub>3</sub>, DMF, 60°C, 45%; (d) propargyl bromide, Na<sub>2</sub>CO<sub>3</sub>, DMF, 60°C, 76%; (e) **11**, sodium ascorbate, CuSO<sub>4</sub>, THF-H<sub>2</sub>O (1:1, v/v), 42-59%; (f) MeOH, MeONa, 90-95%.

251 3.2 Structure characterization of heptavalent  $\beta$ -CD-GA conjugates

252 The structures and symmetrical substitution of the heptavalent  $\beta$ -CD-GA conjugates **21-28** as 253 well as their precursors **33–38** were characterized by IR, NMR and MALDI-TOFMS 254 spectroscopy.

255 3.2.1 FT-IR analysis

The FTIR spectra of the all synthesized heptavalent  $\beta$ -CD-GA conjugates were recorded over 256 the range of 400-4,000 cm<sup>-1</sup> in a Nicolet Nexus 470 FT-IR thermo-scientific spectrophotometer. 257 258 The spectrum of  $\beta$ -CD intermediate 11 contained the characteristic absorption band for the spectrum with OH stretching at 3,386 cm<sup>-1</sup>, CH stretching around 2,924 cm<sup>-1</sup> and CO stretching 259 around 1,030 cm<sup>-1</sup> (Supplementary data Fig. S1). For GA (2), several vibration characteristics of 260 the triterpenic moiety were present. The characteristic band attributed to the stretching vibration of 261 the OH group at C3 was found at 3,443 cm<sup>-1</sup>. And the bands at 2,930 and 2,870 cm<sup>-1</sup> were 262 263 assigned to the stretching vibration of the aliphatic CH (Supplementary data Fig. S2). The spectra of these heptavalent  $\beta$ -CD-GA conjugates were very similar. In a typical example, Fig. 2 shows 264 the IR spectra of the two intermediates 11, 17 and their conjugate 21. It was noteworthy that the 265 strong absorption band located at 2,107cm<sup>-1</sup> in Fig. 2A and the weak absorption band located at 266  $2,120 \text{ cm}^{-1}$  in Fig. 2B, which should be assigned to the stretching vibration of azide and terminal 267 alkyne, respectively, were diminished in the spectrum of 21 (Fig. 2C). In addition, a few 268 269 representative absorption bands ascribable to 1,2,3-triazole units were shown around 1,529, 1,455 and 1,047 cm<sup>-1</sup> (Rao et al., 1964). 270



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Figure 2. FTIR spectra of compounds 11 (A, black), 17 (B, red) and their conjugate 21 (C, blue).
Spectra were acquired between 500 and 4,000 cm<sup>-1</sup>.

274 3.2.2 NMR and MALDI-TOF MS analysis

Except for those signals of the linkers, the heptavalent conjugates were similar in their NMR spectra, and Fig. 3 represents the HSQC spectrum of conjugate **23** as an example. The low-field regions of the conjugate were relatively well resolved and fully assigned. In the aromatic region, the signal at  $\delta$  7.76 ppm was assigned to triazole-CH according to the <sup>1</sup>H-<sup>13</sup>C correlation spectra, indicating that it was indeed connected by triazole linker. The assignment of NH proton at  $\delta$  6.31 ppm was confirmed as there was no correlation for NH by HSQC spectral analysis. The low-field 281 peak at  $\delta$  5.64 ppm, referring to 1H, was assigned to H<sub>12</sub>, and one carbon appearing at  $\delta$  128.35 282 ppm should be assigned to  $C_{12}$  of GA. With a  $C_7$ -symmetry in the molecule, compound 23 showed 283 only one set of CD-H<sub>1</sub> signal appearing at 5.49 ppm and one CD-C<sub>1</sub> signal appearing at 96.29 ppm. 284 Similar observation was also made for other proton and carbon signals of  $\beta$ -CD unit, and the 285 chemical shift data are summarized in Table 1. As C<sub>b</sub> was connected with nitrogen rather than 286 oxygen to which other carbons were bonded in tetraethylene glycol linker, the chemical shift of C<sub>b</sub> in <sup>13</sup>C NMR was at the highest field (NHCH<sub>2</sub> vs OCH<sub>2</sub>). In addition, as C<sub>a</sub> was connected with 287 triazole, the chemical shift of H<sub>a</sub> in <sup>1</sup>H NMR was at the lowest field among the eight -OCH<sub>2</sub>-288 289 groups. The MALDI-TOF MS of compound 23 showed a sodium adduct ion  $[M + Na]^+$  at m/z290 6709.6 (Calcd. For C<sub>357</sub>H<sub>546</sub>N<sub>28</sub>NaO<sub>91</sub>, 6709.4), which also confirmed that it was a heptavalent 291 conjugate.





Figure 3. The 600 MHz HSQC spectrum of 23 recorded in  $CDCl_3$  at 298 K, with the 1D <sup>1</sup>H and

- $^{13}$ C NMR spectra along the top and the side, respectively.
- 295 **Table 1.**

296 Chemical shifts of <sup>1</sup>H and <sup>13</sup>C NMR of  $\beta$ -CD unit in conjugate 23.

<sup>1</sup> H NM	IR (ppm)	<sup>13</sup> C NMR (ppm)		
CD-H <sub>1</sub>	5.64	CD-C <sub>1</sub>	96.29	
CD-H <sub>2</sub>	4.73	CD-C <sub>2</sub>	69.81	
CD-H <sub>3</sub>	5.34	CD-C <sub>3</sub>	70.67	
CD-H <sub>4</sub>	3.53	CD-C <sub>4</sub>	76.51	

CD-H <sub>5</sub>	4.47	CD-C <sub>5</sub>	69.64
CD-H <sub>6</sub>	4.85, 4.75	CD-C <sub>6</sub>	49.97

297 3.3 In vitro cytotoxicity of heptavalent  $\beta$ -CD-GA conjugates

298 It has been reported that GA 2 and some of its derivatives are cytotoxic in cancer cells (Satomi 299 et al., 2005; Lallemand et al., 2011). In this study, the cytotoxicity of heptavalent  $\beta$ -CD-GA conjugates 21-28 and 33-38 was first determined in MDCK cells using the CellTiter-Glo® 300 301 luminescent cell viability assay. Decrease in viability of MDCK cells was observed in a 302 dose-dependent manner upon treatment of PTX (Supplementary data Fig. S3). Treatment of cells 303 with 2 for 48 h resulted in decreased viability to 82.8% for the 25  $\mu$ M concentration and no viability for the 10  $\mu$ M concentration, indicating that 2 had weak cytotoxicity to cells and agreed 304 with previously reported results that 2 shows weak cytotoxicity to eight cancer cell lines with  $IC_{50}$ 305 values between 70.48-136.40  $\mu$ M (Gao et al., 2014). For all the tested conjugates, except that 25 306 307 and 28 showed weak cytotoxicity to uninfected MDCK cells with the reduced viability to 85.6% 308 and 87.9%, respectively, at high concentration of 25  $\mu$ M, no significant cytotoxicity for the other 309 conjugates was observed at concentrations of 10  $\mu$ M and 25  $\mu$ M.

#### 310 3.4 Structure-activity relationships (SAR) of heptavalent $\beta$ -CD-GA conjugates

Concerning the fact that the use of multivalent sialopeptides as potential inhibitors of influenza infections has been proved to be a useful approach (Mammen et al., 1998; Marra et al., 2008), our interest was aimed at evaluating the inhibitory effect of the heptavalent GA conjugates **21-28** and **33-38** by microscopic examination of the viral CPE at 2 days post infection (Noah et al., 2007). Oseltamivir (OSV) and DMSO were used as positive and negative controls, respectively.

316 The primarily screening results are shown in Fig. 4. A careful analysis and comparison of the 317 data allowed us to reveal some interesting SAR trends. Regarding the OEG linkers between the 318 triazole ring substituted GA and  $\beta$ -CD scaffold, we found that the anti-influenza activity of 319 conjugates 21-24 was decreased in the order as follows: 21>22>>23>24. Similar results were also 320 observed for conjugates 25-28, indicating that a length of four atoms was found to be optimal for 321 the inhibitory activity (21 and 25). Especially for conjugate 28, the inserting of two more ethylene 322 glycols spacer in 26 resulted in the loss of activity under 25  $\mu$ M, suggesting the importance of the 323 length of the linker between GA and  $\beta$ -CD for their anti-influenza activity. Concerning the nature 324 of the linker's heteroatom, the replacement of the oxygen of ethylene glycol in conjugates 21 and 325 25 by methylene (33 and 34) showed slightly weaker or similar antiviral activity. Interesting, the 326 increasing of the rigidity of the linker by further replacement of the four methylene with aromatic benzene at positions at 1,4 (**35** and **36**) still retained reasonable anti-influenza activity. Surprisingly, the inserting of a piperazine space in the alkyl liner caused a substantial increase in activity of conjugates **37** and **38** (relative to **33** and **34**, respectively), which were proved to be the most two potent multivalent conjugates.



332



Figure 4. The CPE-based primarily screening of GA (2),  $\beta$ -CD (8) and their heptavalent  $\beta$ -CD-GA conjugates 21-28 and 33-38. MDCK cells were utilized as the host cells to test A/WSN/33 virus infection; OSV and DMSO acted as positive and negative control, respectively. Error bars indicate standard deviations of triplicate experiments.

After the preliminary screening at two concentrations of 10  $\mu$ M and 25  $\mu$ M, 11 conjugates (21-22, 25-27 and 33-38) with the inhibition rate over 50% at concentration of 25  $\mu$ M were selected to perform in dose response assays, and the concentrations required to inhibit viral replication by 50% (IC<sub>50</sub>) are summarized in Table 2. In addition, no significant toxicity was observed for the 10 conjugates at concentrations up to 100  $\mu$ M. Based on these data, we investigated in detail the potential of a representative heptavalent conjugate 37.

343 **Table 2.** 

344 In vitro anti-influenza A virus activity of heptavalent conjugates 21-22, 25-27 and 33-38<sup>a</sup>

Compd	$IC_{50}, \mu M^b$	CC <sub>50</sub> , µM	SI	Compd	$IC_{50}, \mu M$	CC <sub>50</sub> , µM	SI
2	NA <sup>c</sup>	85±6.8	-	33	12.1±0.31	>100	>8.3
8	NA	$ND^d$	-	34	9.03±0.50	>100	>11.1
21	6.64±0.31	>100	>15.1	35	$20.7{\pm}1.02$	>100	>4.8
22	$7.70 \pm 0.74$	>100	>13.0	36	$11.0\pm0.87$	>100	>9.1
25	6.96±0.39	>100	>14.4	37	$2.86 \pm 0.22$	>100	>35.0
26	8.14±0.11	>100	>12.3	38	4.89±0.15	>100	>20.4

<sup>*a*</sup>Using influenza A/WSN/33 (H1N1) strain. <sup>*b*</sup>The concentration required for a test compound to reduce the virus-induced CPE by 50% relative to the virus control was expressed as  $IC_{50}$ . <sup>*c*</sup>No activity. <sup>*d*</sup>Not detectable.

The CPE produced by influenza A/WSN/33 virus in MDCK cells and its abrogation by treatment with **37** were further confirmed by direct observation under a microscope. No cytotoxicity was observed for **37** when incubated with the MDCK cells for 48 h (Supplementary data Fig. S4A *vs.* Fig. S4B), while **37** significantly reduced the CPE induced by influenza A/WSN/33 virus infection (Supplementary Fig. S4C *vs.* S4D).

353 *3.5 HI assay* 

With the confirmation of the antiviral activity of the heptavalent conjugates, we were 354 interested in identifying the molecular target of them. It is well known that the viral envelop 355 356 glycoprotein HA plays a critical role in the initial step of influenza virus entry into host cells. 357 Therefore, HI assay was designed to detect whether the heptavalent conjugates could interfere with the interaction between HA and its sialic acid receptors. Two fold dilutions of the heptavalent 358 359 conjugate 37 (from 10  $\mu$ M) or anti-HA antibody (from 0.50 ng/mL) treated virus were incubated with cRBC, and agglutination was observed (Fig. 5). In addition, 37 completely inhibited 360 361 influenza A/WSN/33 (H1N1) virus induced agglutination of cRBC in a dose-dependent manner at concentrations of 1.3  $\mu$ M or more. Similar results were observed for anti-HA antibody at 362 363 concentrations of 0.063 ng/mL or more. These results suggested that the heptavalent conjugates 37 364 shared the same target of anti-HA antibody, thus interfering with virus-receptor interaction.





Figure 5. Effect of the heptavalent compound 37 (A) and anti-HA antibody (B) on HA by HI assay. The chicken red blood cells (cRBC) (1% v/v in saline) were incubated with influenza A/WSN/33 at 2 × HA with various concentrations of 37 (two-fold serial dilution from 10  $\mu$ M) or anti-HA antibody (two-fold serial dilution from 0.50 ng/mL).

370 3.6 Determination of the  $K_D$  for the interaction between the heptavalent conjugate 37 with HA

371 protein

To determine the binding affinity of conjugate 37 for the HA protein, SPR experiments were 372 performed on a Biacore 8K instrument. The SPR response was reported in the resonance unites 373 (RU). Sensor grams were analyzed with a 1:1 (Langmuir) binding mode. As shown in Fig. 6, the 374 binding of recombinant influenza HA to GA (2) exhibited typical weak affinity binding with a 375 calculated equilibrium dissociation constant ( $K_D$ ) value of 2.45 × 10<sup>-4</sup> M, while  $\beta$ -CD (8) did not 376 bind to HA protein at the same concentration. However, the multivalent conjugate 37 showed 377 378 strong binding affinity to HA protein within the concentration range 0.16-5.00  $\mu$ M. The calculated  $K_D$  value for conjugate 37 was  $5.15 \times 10^{-7}$  M, which seemed to be too large by about 470-fold of 379 magnitude to that of 2 (Fig. 6A vs. Fig. 6C). In addition, kinetic analysis revealed that changes in 380 multivalent conjugate and HA protein not only affected the association rate constant ( $K_a$ ), but also 381 382 affected the dissociation rate constant  $(K_d)$  (Supplementary data Table S1).



383

Figure 6. SPR inhibition assay sensor grams showing response to influenza HA protein in the presence of varying concentration of GA (2),  $\beta$ -CD (8) and their heptavalent conjugates 37. The binding kinetics was analyzed by BIA evaluation 1.0.5 software with 1:1 (Langmuir) binding mode.

Compared with our previous study in which multiple pentacyclic triterpenes attached directly on CD scaffold via 1,2,3-triazolyl group (Xiao et al., 2016), the insertion of a suitable linker between them will helpful for the binding of multiple pentacyclic triterpenes with their target. To test the feasibility of the strategy, various types of linkers including flexible linear alkyl/ether chains combinations between 4 and 19 atoms, rigid aromatic space chain as well as semi-rigid charged piperzine chain have been successfully introduced in monovalent  $\beta$ -CD-GA conjugates in our recently study (Liang et al., 2019), which allowed us to further design and synthesis of the 395 second-generation multivalent pentacyclic triterpene- $\beta$ -CD conjugates. This well-established 396 method will provide an alternative approach to the construction of novel multivalent derivatives 397 bearing different linkers based on natural or chemically modified CDs scaffolds. Combined with 398 our previous studies, we found that the pentacyclic triterpenes pharmacophore, the CD scaffold 399 and the linkers between the two parts were all affected their anti-influenza activity.

#### 400 4. CONCLUSIONS

401 In this work, a series of multiple GA functionalized  $\beta$ -CD conjugates with different linkers 402 were synthesized and characterized. Except that two conjugates (25 and 28) showed weak 403 cytotoxicity to MDCK cells at concentration of 25  $\mu$ M, all other conjugates showed no significant 404 cytotoxicity based on an alamarBlue assay. When presented multivalently, seven  $\beta$ -CD-GA 405 conjugates (21, 22, 25, 26, 34, 37 and 38) showed strong anti-influenza properties with IC<sub>50</sub> values 406 at a micromolar level (IC<sub>50</sub>: 2.86-9.03  $\mu$ M). By using of HI and SPR assays, conjugate **37** showed 407 specific binding to HA protein with  $K_D$  value at 515 nM, which was about 470-fold potent to its 408 parent compound GA (2). Taken together, the results reported here for multivalent  $\beta$ -CD-GA 409 conjugates demonstrated that multivalent displays of anti-influenza entry agent afford 410 macromolecules with a remarkable activity and a strong binding to HA protein relative to their 411 parent compound alone and multivalency based on  $\beta$ -CD scaffold is a promising alternative to the 412 current available treatments for the management of viral infection by blocking virus entry into 413 host cells.

#### 414 DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

#### 417 AUTHOR CONTRIBUTIONS

SL and XM: design, synthesis and characterization of the multivalent conjugates;
ML and YC: contribution in anti-influenza viral assay, HI assay, cytotoxicity assay;
QG: SPR assay; YZ: discussion of all the experiments and assist in writing the
manuscript; and LZ, DZ and SX: designed the synthesis and biochemical
experiments, supervised the project, and wrote the manuscript.

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