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► **To cite this version:**

Robert Frouin, Jing Tan, Mathieu Compiègne, Didier Ramon, Marshall Sutton, et al.. The NASA EPIC/DSCOVR Ocean PAR Product. *Frontiers in Remote Sensing*, 2022, 10.3389/frsen.2022.833340 . hal-03638217

**HAL Id: hal-03638217**

**<https://hal.science/hal-03638217>**

Submitted on 12 Apr 2022

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# The NASA EPIC/DSCOVr Ocean PAR Product

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## OPEN ACCESS

### Edited by:

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### Specialty section:

This article was submitted to  
Satellite Missions,  
a section of the journal  
Frontiers in Remote Sensing

**Received:** 11 December 2021

**Accepted:** 02 March 2022

**Published:** 12 April 2022

### Citation:

Frouin R, Tan J, Compiègne M,  
Ramon D, Sutton M, Murakami H,  
Antoine D, Send U, Sevadjian J and  
Vellucci V (2022) The NASA EPIC/  
DSCOVr Ocean PAR Product.  
Front. Remote Sens. 3:833340.  
doi: 10.3389/frsen.2022.833340

The EPIC/DSCOVr observations of the Earth's surface lit by the Sun made from the first Lagrange point several times during the day in spectral bands centered on 443, 551, and 680 nm are used to estimate daily mean photosynthetically available radiation (PAR) at the ice-free ocean surface. The PAR algorithm uses a budget approach, in which the solar irradiance reaching the surface is obtained by subtracting from the irradiance arriving at the top of the atmosphere (known), the irradiance reflected to space (estimated from the EPIC Level 1b radiance data), taking account of atmospheric transmission and surface albedo (modeled). Clear and cloudy regions within a pixel do not need to be distinguished, which dismisses the need for often-arbitrary assumptions about cloudiness distribution within a pixel and is therefore adapted to the relatively large EPIC pixels. A daily mean PAR is estimated on the source grid for each EPIC instantaneous daytime observation, assuming no cloudiness changes during the day, and the individual estimates are remapped and weight-averaged using the cosine of the Sun zenith angle. In the computations, wind speed, surface pressure, and water vapor amount are extracted from NCEP Reanalysis 2 data, aerosol optical thickness and Angström coefficient from MERRA-2 data, and ozone amount from EPIC Level 2 data. Areas contaminated by Sun glint are excluded using a threshold on Sun glint reflectance calculated using wind data. Ice masking is based on NSIDC near-real-time ice fraction data. The product is evaluated against *in situ* measurements at various locations and compared with estimates from sensors in polar and geostationary orbits (MODIS, AHI). Unlike with MODIS, the EPIC PAR product does not exhibit gaps at low and middle latitudes. Accuracy is satisfactory for long-term studies of aquatic photosynthesis, especially given the much larger uncertainties on the fraction of PAR absorbed by live algae and the quantum yield of carbon fixation. The EPIC daily mean PAR product is generated operationally on a Plate Carrée (equal-angle) grid with 18.4 km resolution at the equator and on an 18.4 km equal-area grid, i.e., it is fully compatible with the NASA Greenbelt OBPG ocean-color products. Data are available since the beginning of the DSCOVr mission (i.e., June 2015) from the NASA Langley ASDC website.

**Keywords:** photosynthetically available radiation, satellite remote sensing, Lagrange L1 orbit, EPIC sensor, DSCOVr mission, light absorption and scattering, ocean biogeochemistry

## 1 INTRODUCTION

The solar energy flux reaching the ocean surface in the spectral range 400–700 nm, referred to as photosynthetically available (or active) solar radiation (PAR), controls the rate of photosynthesis by phytoplankton and therefore the development of crustaceans, fish, and other consumers (e.g., Ryther, 1956; Platt et al., 1977; Kirk, 1994; Falkowski and Raven, 1997). It ultimately regulates the composition of marine ecosystems. Sunlight absorbed differentially by the upper ocean affects mixed-layer dynamics and oceanic currents (e.g., Nakamoto et al., 2000, 2001; Murtugudde et al., 2002; Sweeney et al., 2005; Ballabrera-Poy et al., 2007), with local and remote consequences on atmospheric temperature and circulation (e.g., Miller et al., 2003; Shell et al., 2003). Absorption by phytoplankton and other water constituents tend to reduce the planetary albedo, i.e., warm the planet (Frouin and Iacobellis, 2002). Knowing the spatiotemporal distribution of PAR over the oceans is critical to understanding biogeochemical cycles of carbon, nutrients, and oxygen and biological-physical interactions (a major uncertainty in coupled climate models) and, therefore, to addressing important global change issues such as the fate of anthropogenic atmospheric carbon dioxide and making accurate projections of future climate (e.g., Frouin et al., 2018a).

Regional and global maps of PAR at the ocean surface can be obtained from a variety of passive Earth-viewing satellite optical sensors. The sensors operating from geostationary altitude provide adequate temporal sampling to deal with cloud diurnal variability but have degraded spatial resolution at high latitudes, and they only cover part of the oceans, i.e., several sensors, optimally positioned are necessary to provide global coverage. Sensors in polar orbits provide the same spatial resolution at all latitudes but pass less frequently over the same target at middle and low latitudes. For ocean primary productivity computations, it is convenient to estimate both PAR and bio-optical variables (phytoplankton chlorophyll abundance, absorption coefficients) from the same sensor. Ocean-color sensors offer this capability, even though they are principally designed to retrieve water reflectance if they do not saturate over clouds. The same data preprocessing is required, i.e., PAR can be produced with little extra effort as part of the same processing line. In this way, the key variables in primary production modeling are provided together at the same resolution, facilitating studies of photosynthesis and ecosystem dynamics.

In this context, a simple yet efficient and fairly accurate algorithm has been developed to estimate the daily mean PAR at the ocean surface from Sea-viewing Wide Field-of-view Sensor (SeaWiFS) data (Frouin et al., 2003) and adapted for application to MODerate resolution Imaging Spectroradiometer (MODIS) data (Frouin et al., 2012), GLocal Imager (GLI) data (Frouin and Murakami, 2007), GOCI data (Frouin and McPherson, 2013; Kim et al., 2016), Medium Resolution Imaging Spectrometer (MERIS) data, Visible Infrared Imaging Radiometer Suite (VIIRS) data, Second-generation Global Imager (SGLI) data, and Advanced Himawari Imager (AHI) data with plans for an extension to future ocean color sensors. Daily mean PAR refers to the 24-h

averaged planar quantum energy flux from the Sun in the spectral range 400–700 nm. It is expressed in units of Einstein per meter squared per day, i.e.,  $\text{Em}^{-2}\text{d}^{-1}$ . The global daily mean PAR products from SeaWiFS, MODIS, VIIRS, and MERIS data have been routinely generated by the National Aeronautics and Space Administration (NASA) Ocean Biology Processing Group (OBPG) and made available to the user community from their website (<https://oceancolor.gsfc.nasa.gov>). Estimated uncertainty, based on comparisons against *in situ* measurements, expressed in relative root-mean-square (RMS) difference and bias, is typically 10–30% and 4–9%, respectively, depending on satellite sensor and atmospheric conditions (Frouin et al., 2003; Frouin et al., 2012; Laliberté et al., 2016; Ramon et al., 2016; Somayajula et al., 2018). Somayajula et al. (2018) compared satellite-based PAR algorithms used in primary production studies; they concluded that the best overall performance was obtained with the NASA OBPG algorithm. This uncertainty is reasonable for large-scale studies of aquatic photosynthesis (e.g., Frouin et al., 2012; Frouin et al., 2018a), but better accuracy is desirable. Note, in this respect, that primary productivity models depend not only on PAR but also on efficiency factors that are difficult to estimate with uncertainty comparable to (i.e., as low as) that of PAR.

The standard Level-2 and -3 PAR products generated by the NASA OBPG have been used extensively in the science community for a variety of applications. In primary productivity calculations, they have replaced PAR estimates obtained from a clear sky model corrected for cloudiness using fractional cloud coverage or deduced from satellite estimates of total solar irradiance, the treatment applied in Longhurst et al. (1995), Antoine et al. (1996), and Behrenfeld and Falkowski (1997) to obtain the first global maps of seasonal and/or annual oceanic primary productivity from space. Such treatment is limited, because the effect of clouds on PAR does not depend only on fractional coverage, but also on optical thickness, and the relation between total solar irradiance and PAR, rather constant under clear skies (Baker and Frouin, 1987), varies strongly with water vapor and cloud liquid water content (Frouin and Pinker, 1995). Studies using the NASA OBPG PAR products have addressed a variety of topics, including biosphere productivity during an El Niño transition (Behrenfeld et al., 2001), chlorophyll-a and carbon-based ocean productivity modeling (Behrenfeld et al., 2005; Platt et al., 2008), climate-driven trends in productivity (Behrenfeld et al., 2006; Kahru et al., 2009; Henson et al., 2010), phytoplankton class-specific productivity (Uitz et al., 2010), inter-comparison of productivity algorithms (Carr et al., 2006; Lee et al., 2015), and the relation between primary productivity, vertical mixing, and atmospheric input (Tang and Shi, 2012). They have also been used to check the stability of CERES measurements (Loeb et al., 2006).

The parameters governing PAR variability are essentially the Sun zenith angle and the cloud transmittance. Aerosol properties and surface albedo have a smaller impact. Since the Sun zenith angle can be computed precisely, estimating daily PAR from data collected by a single sensor aboard a Sun-synchronous satellite is chiefly limited, in terms of accuracy, by the lack of information

about diurnal variability of cloud properties, especially at low and middle latitudes. This variability may be large in some regions, as evidenced by the International Cloud Climatology Project (ISCCP) cloud analyses (Bergman and Salby, 1996; Rossow and Shiffer, 1999) and other studies (e.g., Wang and Zhao, 2017; Zhao et al., 2019; Yang et al., 2020). Consequently, the PAR products from individual polar-orbiting sensors exhibit biases, not only with respect to ground truth but also between themselves, as evidenced in inter-comparison and evaluation studies (Frouin et al., 2003, 2012; Tan and Frouin, 2019). Merging data from several sensors with different overpass times may significantly improve the quality of daily PAR estimates, as demonstrated with MODIS-Terra, SeaWiFS, and MODIS-Aqua, which cross the equator at approximately 10:30, 12:00, and 13:30 local time (Frouin et al., 2012). In generating a long-term PAR time series, however, one must deal with various sensor combinations, and there is a need, for ocean biogeochemistry studies related to climate change to reduce the individual biases against *in situ* measurements and make the PAR estimates consistent across individual sensors (Frouin et al., 2018a).

The Earth Polychromatic Imaging Camera (EPIC) onboard DSCOVER, operating from the first Sun-Earth Lagrange point (L1) one million miles from Earth (Marshak et al., 2018; [https://avdc.gsfc.nasa.gov/pub/DSCOVER/Web\\_EPIC/](https://avdc.gsfc.nasa.gov/pub/DSCOVER/Web_EPIC/)), provides a great opportunity to generate accurate PAR products and address issues associated with polar-orbiting sensors. By frequently observing the sunlit part of the Earth, EPIC inherently allows one to account properly for diurnal cloud variability, while maximizing spatial coverage. In other words, EPIC with respect to PAR can do the job of several geostationary sensors with the further advantage that spatial resolution at high latitudes is less of an issue (the L1 orbit is much farther from Earth than the geostationary orbit). The spectral bands centered on 443, 551, and 680 nm, the non-saturation of measured radiance over clouds, and the spatial resolution of 10 km at nadir are adequate for PAR calculations, especially using the NASA OBPG algorithm, which does not require knowing whether the pixel is clear or cloudy, i.e., is applicable to large pixels.

In view of the above, the current NASA OBPG daily mean PAR algorithm has been modified/adapted for application to EPIC data. Algorithm uncertainties have been associated with EPIC PAR estimates on a pixel-by-pixel basis. A full processing line has been created and implemented to generate operationally daily mean EPIC PAR products at the NASA Center for Climate Simulation (NCCS). The data are archived at and distributed by the Langley Atmospheric Science Data Center (ASDC). In **Section 2**, the methodology to estimate daily mean PAR from EPIC data is presented and the various steps to obtain the surface flux values are detailed. The tasks include integrating atmospheric functions spectrally and temporally during the day (the number of observations in a day varies depending on geographic location), eliminating data contaminated by Sun glint, incorporating ancillary information such as ozone content, sea ice extent (for masking), and aerosol optical properties, and remapping the data to a common grid. In **Section 3**, a procedure is described to associate algorithm uncertainties

(i.e., bias and standard deviation) to each EPIC daily mean PAR estimate as a function of parameters readily available from applying the algorithm, i.e., daily mean clear sky PAR and cloud factor (characterizes the effect of clouds on daily mean PAR). In **Section 4**, EPIC PAR estimates are compared to *in situ* measurements routinely collected from long-term fixed buoys. Experimental performance is also compared to that of MODIS PAR estimates. In **Section 5**, examples of global daily mean PAR products are displayed and examined in view of corresponding MODIS and AHI products, and PAR time series at contrasted locations are presented to illustrate the capability of EPIC to describe PAR seasonal to interannual variability. In **Section 6**, finally, the EPIC PAR algorithm and its performance against field data and other satellite estimates are summarized, advantages and limitations of using observations from the L1 orbit are pointed out, the significance of the new ocean PAR product in complementing existing PAR time series for a wide range of research applications is emphasized, and a perspective for future work to estimate variables used more directly in primary productivity or water reflectance models, such as scalar PAR, spectral PAR, and average cosine of the light field just below the surface, as well as ultraviolet fluxes, is provided.

## 2 ALGORITHM DESCRIPTION

The algorithm estimates daily mean PAR reaching the ice-free ocean surface, as defined above. Following Frouin et al. (2003), a budget approach is used, in which the solar flux reaching the surface is obtained by subtracting from the flux arriving at the top of the atmosphere (known) the flux reflected to space (estimated from the EPIC measurements) accounting for atmospheric transmission and surface albedo (modeled). Clear and cloudy regions within a pixel do not need to be distinguished, which is appropriate to the relatively large (i.e., 10 km at nadir) EPIC pixels. This approach was shown to be valid by Dedieu et al. (1987) and Frouin and Chertock (1992).

Based on the previous work, the PAR model assumes that the effects of clouds and other atmospheric constituents are decoupled. The planetary atmosphere is therefore modeled as a clear sky layer that contains molecules and aerosols positioned above a cloud/surface layer, and surface PAR is expressed as the product of a clear-sky component and a transmittance that accounts for cloudiness and surface optical effects. Under solar incidence (zenith angle)  $\theta_s$ , the incoming spectral solar flux at the top of the atmosphere,  $E_{TOACOS}(\theta_s)$ , is reduced by a factor  $T_a(\theta_s)T_g(\theta_s)[1 - S_aA(\theta_s)]^{-1}$  by the time it enters the cloud/surface system, where  $T_a$  is the clear-sky transmittance (due to scattering by molecules and aerosols),  $T_g$  is the gaseous transmittance (essentially due to absorption by ozone),  $S_a$  is the spherical albedo of the clear atmosphere, and  $A$  is the cloud/surface system albedo. As the transmitted flux,  $E_{TOACOS}(\theta_s)T_a(\theta_s)T_g(\theta_s)[1 - S_aA(\theta_s)]^{-1}$ , passes through the cloud/surface system, it is further reduced by a factor  $1 - A$ . The instantaneous planar flux reaching the ocean surface at any wavelength in the PAR spectral range,  $E$ , is then given by:



$$E(\theta_s) = E_0(\theta_s)[1 - A(\theta_s)][1 - A_s(\theta_s)]^{-1}[1 - S_a A(\theta_s)]^{-1}, \quad (1)$$

where  $A_s$  is the albedo of the ocean (surface and water body) and  $E_0(\theta_s) = E_{TOA} \cos(\theta_s) T_g(\theta_s) T_a(\theta_s)$  is the solar flux that would reach the surface if the cloud layer and the surface were non-reflecting. In clear sky conditions,  $A$  reduces to  $A_s$  and  $E$  to  $E_{clear} = E_0(1 - S_a A_s)^{-1}$ . The term  $[1 - S_a A(\theta_s)]^{-1}$  in **Eq. 1** represents interactions between the cloud/surface layer and the clear atmosphere.

To compute  $E$ ,  $A$  is expressed as a function of the radiance measured by EPIC in bands centered on 443, 551, and 680 nm, and this for each observation collected during the day (i.e., between sunrise and sunset). These bands do not saturate over clouds, and they sample sufficiently the PAR spectral range. In this range, the scattering properties of molecules and aerosols vary smoothly with wavelength, gaseous absorption is relatively weak, and  $A$  is quite constant spectrally. The algorithm works on a pixel-by-pixel basis, i.e., a daily mean PAR estimate is obtained for each pixel of the satellite imagery. The various processing steps and procedures are detailed in the following.

First the bidirectional reflectance of the cloud/surface layer,  $\rho$ , is determined from the instantaneous EPIC Level 1b reflectance data at source resolution. This is only accomplished for pixels that are not contaminated by sea ice or Sun glint. For sea ice masking, fractional ice coverage at 25 km resolution from the National Snow and Ice Data Center (NSIDC) is used. If the fractional coverage is greater than 0.1, then the pixel is discarded. For Sun glint masking, the Fresnel signal at the wavy interface surface is calculated from the wind speed according to Cox and Munk (1954), and all pixels with a glint reflectance above 0.05 at 780 nm are eliminated. National Centers for Environmental Prediction (NCEP) Reanalysis-2 wind data at 1° and 6-h resolution is used after interpolation to the EPIC observation time. Both ancillary data sets are remapped to the source grid prior to masking. Since in the modeling the clear atmosphere is located above the cloud/surface layer,  $\rho$  is obtained from the top-of-atmosphere (TOA) reflectance,  $\rho_{TOA}$  by inverting the following equation (Tanré et al., 1979):

$$\rho_{TOA}(\theta_s, \theta_v, \phi) = T_g(\theta_s, \theta_v) \left[ \rho_a(\theta_s, \theta_v, \phi) + \rho T_a(\theta_s, \theta_v) (1 - S_a \rho)^{-1} \right], \quad (2)$$

where  $\rho_a$  is atmospheric reflectance and  $\theta_v$  and  $\phi$  are view zenith and relative azimuth angles, respectively. In **Eq. 2**  $T_g$  and  $T_a$  are transmittances along the Sun-to-surface and surface-to-sensor path. This expression is strictly valid for an isotropic target of reflectance  $\rho$ , which is not the case for the cloud/surface layer, but a good approximation for many geometries, except when  $\theta_s$  is large and clouds are optically thin, i.e., when the albedo of that layer,  $A$ , is relatively close to  $\rho$  (Zege, et al., 1991). At large  $\theta_s$ , however,  $E$  becomes small since modulated directly by  $\cos(\theta_s)$ , and when clouds are thin their impact on  $(1 - A)$  in **Eq. 1** is also small, which tends to minimize effects on daily mean surface fluxes. A better treatment would be to use the following equation (Tanré et al., 1979; Deschamps et al., 1983):

$$\rho_{TOA}(\theta_s, \theta_v, \phi) = T_g(\theta_s, \theta_v) \left\{ \rho_a + [\rho(\theta_s, \theta_v, \phi) - A(\theta_s)] e^{-\tau_a m} + A(\theta_s) T_a(\theta_s, \theta_v) [1 - S_a A(\theta_s)]^{-1} \right\}, \quad (3)$$

where  $\tau_a$  is the optical thickness of the clear atmosphere and  $m$  is airmass, i.e.,  $m = 1/\cos(\theta_s) + 1/\cos(\theta_v)$ . **Eq. 3** reduces to **Eq. 2** when  $\rho$  is isotropic. The retrieval of  $\rho$  would be accomplished through iteration. This would require a first estimate of  $A$ , which can be obtained as described below for isotropic  $\rho$ .

To compute the atmospheric functions  $T_g$ ,  $T_a$ , and  $\rho_a$ , the surface pressure and water vapor amount are extracted from NCEP Reanalysis 2 data, aerosol optical thickness at 550 nm, Angström coefficient, and single scattering albedo at 550 nm from MERRA-2 data at  $0.625^\circ \times 0.5^\circ$  (Gelaro et al., 2017), and ozone amount from EPIC Level 2 data at source resolution (Herman et al., 2018). Single scattering albedo is assumed constant over the PAR spectral range. For  $\rho_a$ , the quasi-single scattering approximation (Q-SSA) used in Frouin et al. (2003), which gives too high values at large zenith angles, is replaced by a parameterization that combines Q-SSA and exact single-scattering approximation (SSA):

$$\rho_a = f_{SSA}(m, \tau_{aer}) \rho_a(SSA) + f_{Q-SSA}(m, \tau_{aer}) \rho_a(Q-SSA), \quad (4)$$

where  $f_{SSA}$  and  $f_{Q-SSA}$  depend on  $m$  and aerosol optical thickness,  $\tau_{aer}$ , but are independent of the aerosol model. These functions were obtained from the simulations with the 6S code (Kotchenova et al., 2006, 2007) for atmospheres containing various mixtures of maritime and continental aerosols and angular geometries. **Figure 1** displays  $\rho_a$  at 443, 551, and 680 nm as a function of  $\theta_v$  for  $\theta_s = 70^\circ$ . Scattering angle, quasi constant with EPIC, is fixed at  $171.5^\circ$ . The aerosols are of maritime type and  $\tau_{aer}$  at 550 nm is 0.2 and 0.4 (top and bottom panels, respectively). The parameterization works well yielding  $\rho_a$  values very close to the 6S values except in the blue, where  $\tau_{aer}$  is large (0.4) and the molecular scattering is effective. At 443 nm the coupling between aerosol and molecule scattering is relatively important and the 6S  $\rho_a$  is substantially smaller than  $\rho_a(Q-SSA)$ , even at  $\theta_v < 65^\circ$ , which is partly explained by the fact that in Q-SSA the molecule and aerosol contributions to atmospheric reflectance correspond to the atmosphere containing only molecules or aerosols, i.e., the neglected aerosol-coupling term is negative (Deschamps et al., 1983). The 6S and SSA values are quite similar at that wavelength, a consequence of the SSA formulation that also assumes either molecules or aerosols, i.e., the probability of encountering one type of scatterer is enhanced (SSA values are expected to be lower in the presence of both molecules and aerosols). As wavelength increases, this effect is less prominent because the atmosphere is less thick optically contributing to larger differences between 6S and SSA values.

Once the reflectance of the cloud/surface layer,  $\rho$ , is determined, it is converted into albedo  $A$ . This is accomplished for each observation during daytime and the three spectral bands by applying a cloud bidirectional correction factor  $F$  (independent of wavelength) to  $\rho - A_s$  since  $A \approx NAc + A_s$  where  $Ac$  is cloud albedo and  $N$  is

fractional cloud cover (see Frouin and Chertock, 1992; Frouin et al., 2003), i.e.,

$$A = F(\rho - A_s) + A_s, \tag{5}$$

Analytical formulas developed by Zege et al. (1991) for optically thick scattering layers in the non-absorbing medium are used for  $F$ . The parameterization depends on the asymmetry coefficient of the cloud indicatrix,  $g$ , and the cloud optical thickness,  $\tau_c$ , which are fixed at 0.853 and 15, respectively. Sensitivity of  $F$  on  $g$  and  $\tau_c$  is an issue, especially when  $\tau_c$  is relatively small ( $\rho$  exhibits more directionality), but since  $E$  depends on  $(1 - A)$  the relative impact on  $E$  computed from Eq. 1 is reduced in such situations ( $A$  is also small). The  $g$  and  $\tau_c$  variables can be viewed as tuning parameters, e.g., to reduce biases in estimated fluxes. The surface albedo  $A_s$  is parameterized as a function of the Sun zenith angle, aerosol optical thickness at 500 nm, and wind speed following Jin et al. (2004). In the  $A_s$  calculation, the chlorophyll-a concentration is fixed at  $0.1 \text{ mgm}^{-3}$ , and the wind speed is from NCEP Reanalysis-2 data.

Next, the daily mean PAR,  $\langle E_{PAR} \rangle$ , is computed by integrating over the PAR spectral range, i.e., 400 to 700 nm, and the length of the day:

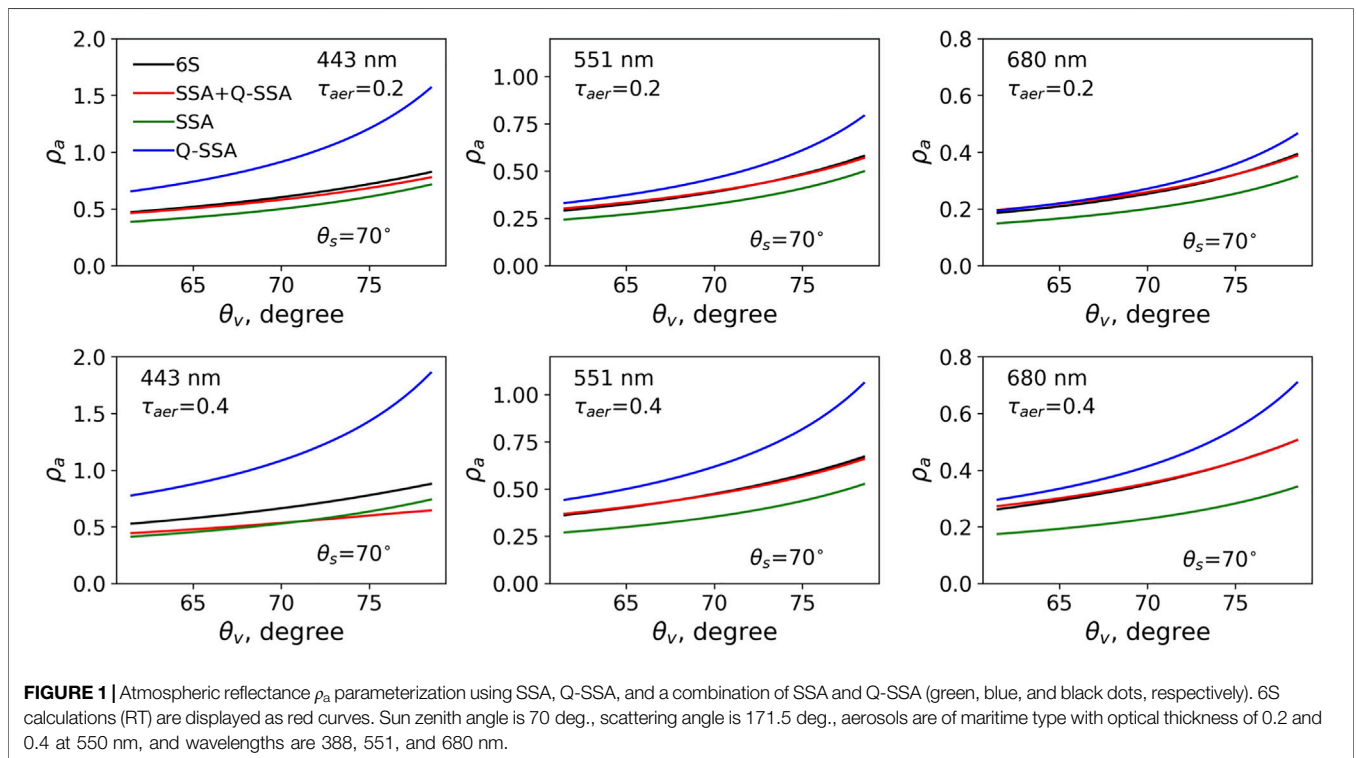
$$\langle E_{PAR} \rangle = \left(\frac{1}{24}\right) \int_t [\cos(\theta_s(t))G(t)]dt$$

with  $G = \int_{\lambda} [E_{TOA}T_g T_a (1 - A)(1 - A_s)^{-1} (1 - S_a A)^{-1}]d\lambda,$  (6)

where  $E_{TOA}$  is the extraterrestrial spectral solar flux per unit of wavelength corrected for Earth-Sun distance variation during the year and time  $t$  is expressed in hour. The integral is calculated for each EPIC observation during the day. In other words, if after masking a surface target (pixel) is observed  $n$  times during the day, the algorithm generates  $n$  daily mean PAR values. The dependence of  $A$  and  $A_s$  on  $\theta_s$  is accounted for in the time integration, but assuming that the characteristics of the atmosphere and surface are unchanged during the day. This is a crude assumption, especially for cloudiness, but diurnal variability of the atmosphere is considered implicitly in the final step of the algorithm (see below).

In the final step, the individual daily mean estimates obtained on the source grid (number varies from 1 to 13 depending on geographical location, the time during the year, and data availability) are first remapped to an 18.4 km equal-area grid and weight-averaged using the cosine of the Sun zenith angle and then remapped to a Plate Carrée (equal-angle) grid with 18.4 km resolution at the equator. The remapping algorithm is exactly the one used by NASA OBPG to generate a Level 3 binned ocean color products (<https://oceancolor.gsfc.nasa.gov/docs/format/l3bins>). Triangular-based linear interpolation is used to fill missing pixels at the edges.

The weighting procedure to obtain the final  $\langle E_{PAR} \rangle$  estimates is accurate, except when only one or two EPIC observations per day is available. Such situations are infrequent; they are due to instrument problems and mission activities (e.g., sensor calibration, spacecraft maneuvers). The accuracy of the weighting procedure was checked from radiative transfer calculations with hourly MERRA-2 data for



cloud and aerosol properties and ozone and water vapor contents. In the calculations,  $E_{PAR}$  was expressed as  $E_{PAR} = E_{clearPAR}(1 - NA_c)$ , where  $A_c$  is obtained from  $\tau_c$  according to Fitzpatrick et al. (2004) and  $E_{clearPAR}$  is simulated with the 6S code assuming a chlorophyll-a concentration of  $0.5 \text{ mgm}^{-3}$  and a wind speed of  $5 \text{ ms}^{-1}$ . Two dates, i.e., January 1 and July 1, 2018, and ocean locations evenly distributed in the spatial domain within  $60^\circ\text{S}$  to  $40^\circ\text{N}$  and  $180^\circ\text{W}$  to  $180^\circ\text{E}$ , i.e., every  $5^\circ$  along latitude, and every  $6.25^\circ$  along longitude, were selected. **Table 1** displays the comparison statistics of  $\cos(\theta_s)$ -weighted  $\langle E_{PAR} \rangle$  obtained from 1, 2, 3, 6, 9, and 12 individual  $\langle E_{PAR} \rangle$  estimates during the day versus the  $\langle E_{PAR} \rangle$  value obtained by trapezoidal integration of all the hourly  $E_{PAR}$  values at the time of the MERRA-2 observations (referred to as actual or theoretical  $\langle E_{PAR} \rangle$ ). The individual estimates correspond to hourly observations randomly distributed between sunrise and sunset. In estimating  $\langle E_{PAR} \rangle$  for each observation, the aerosol and cloud properties and gaseous absorber amounts are assumed unchanged during the day, but the dependence of  $A_c$  and  $A_s$  on  $\theta_s$  are accounted for in the time integration, as in the EPIC daily mean PAR algorithm. The  $\cos(\theta_s)$ -weighted  $\langle E_{PAR} \rangle$  values agree well with the theoretical ones when 3 and more observations per day are used, with biases less than  $0.10$  ( $0.4\%$ )  $\text{Em}^{-2}\text{d}^{-1}$  in magnitude and root-mean-square difference (RMSD) less than  $3.83$  ( $14.2\%$ )  $\text{Em}^{-2}\text{d}^{-1}$ . RMSD is reduced to  $1.34$  ( $4.5\%$ ) and  $1.07$  ( $3\%$ )  $\text{Em}^{-2}\text{d}^{-1}$  with 9 and 12 observations per day. The biases are small because of the random sampling of times during the day and the large number of locations considered in the data ensemble. RMSD is noticeably larger when 1 or 2  $\langle E_{PAR} \rangle$  estimates are used, i.e.,  $7.00$  ( $25.9\%$ ) and  $4.86$  ( $18.0\%$ )  $\text{Em}^{-2}\text{d}^{-1}$ , respectively. In such situations, the alternative procedure to obtain  $\langle E_{PAR} \rangle$ , i.e., trapezoidal integration of hourly  $E_{PAR}$  values, would also give inaccurate results.

A way to reduce the sampling biases in such situations, not yet implemented in the algorithm, is to use MERRA-2 hourly cloud products for the very day of the EPIC observations, as proposed by Tan et al. (2020). If  $\tau_{cMERRA}$  and  $N_{MERRA}$  denote the MERRA-2 cloud optical thickness and fractional coverage,  $A$  is replaced by  $A'$  in **Eq. 5** as follows:

$$A' = (A - A_s)[N_{MERRA}(t)A_c(\tau_{cMERRA}(t))] / \times [N_{MERRA}(t_i)A_c(\tau_{cMERRA}(t_i))] + A_s, \quad (7)$$

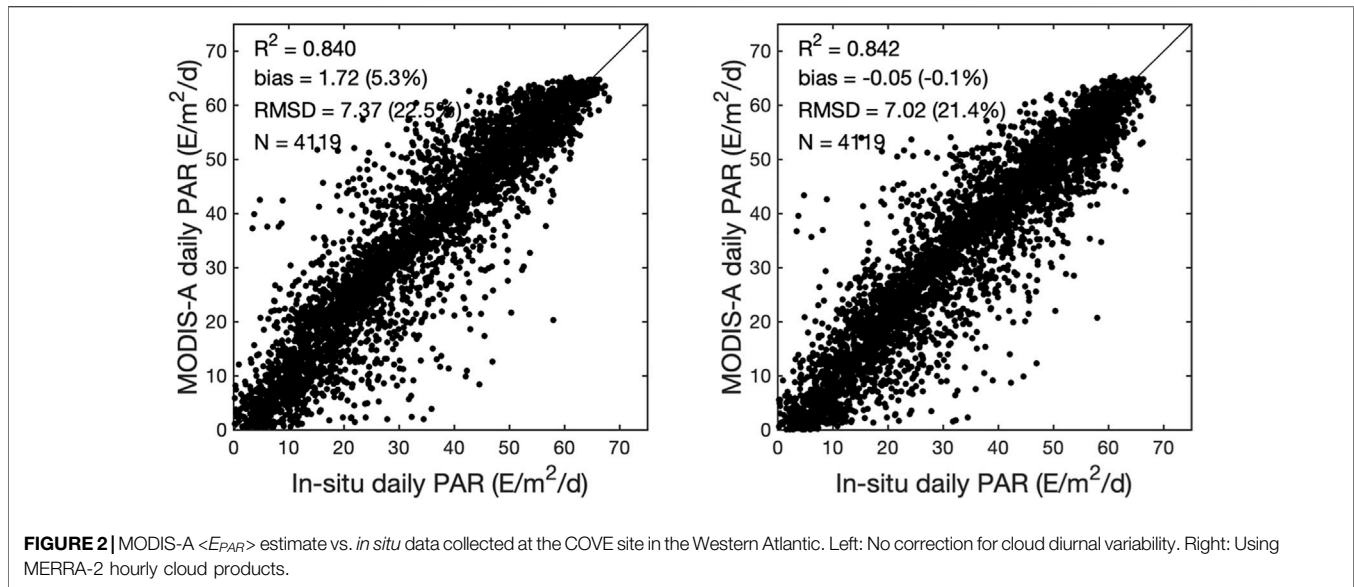
where  $t_i$  is the time of satellite observation and  $A_c$  is computed from  $\tau_{cMERRA}$  at time  $t$  and  $t_i$ . Basically, the quantity  $A - A_s$  is adjusted by the ratio of  $NA_c$  computed from MERRA-2 products at times  $t$  and  $t_i$ . In **Eq. 6 A**, determined at  $t_i$ , is corrected for variations with  $\theta_s$ , but assuming that cloud properties are unchanged. **Figure 2** illustrates the potential improvement expected using **Eq. 6**. It displays scatter plots of OBPB MODIS-A  $\langle E_{PAR} \rangle$  estimates at source resolution (about  $1 \text{ km}$ ) versus *in situ* measurements at the Chesapeake Bay CERES Ocean Validation Experiment (COVE) site ( $36.9^\circ\text{N}$ ,  $75.7^\circ\text{W}$ ) in the Western Atlantic during 2003–2014 (see Tan et al., 2020, for details about the *in situ* data collected at that site). Bias is reduced from  $1.72$  ( $5.3\%$ ) to  $-0.05$  ( $-0.1\%$ )  $\text{Em}^{-2}\text{d}^{-1}$ , i.e., practically eliminated on average, but RMSD is marginally improved, i.e.,  $7.02$  ( $21.4\%$ ) instead of  $7.37$  ( $22.5\%$ )  $\text{Em}^{-2}\text{d}^{-1}$ , when using the hourly MERRA-2 cloud products.

### 3 UNCERTAINTY ASSIGNMENT

Associating uncertainty to each  $\langle E_{PAR} \rangle$  estimate is necessary to use properly the information provided by the estimate. It allows one to verify that variability and trends are actual to merge different datasets optimally, and to constrain adequately model predictions (Frouin et al., 2018a; IOCCG, 2019). Comparisons with contemporaneous and collocated *in situ* measurements at a few sites, the current approach to quantify experimental performance may not be sufficient to describe uncertainty in the wide range of conditions expected to be encountered. Representing uncertainty on a pixel-by-pixel basis requires modeling the measurement, identifying all possible error sources (e.g., noise in the input variables, imperfect or incomplete mathematical model), and determining the combined uncertainty (JGCM-100, 2008; Povey and Grainger, 2015; IOCCG, 2019). Algorithm uncertainty is due to model approximations and parameter errors (e.g., using plane-parallel atmosphere, decoupling effects of clouds and clear atmosphere, neglecting diurnal variability of clouds, fixing cloud optical thickness to a constant in bidirectional correction) if the input variables (EPIC TOA reflectance at wavelengths in the PAR spectral range) are known perfectly. A complete pixel-by-pixel uncertainty budget should include, not only algorithm uncertainty, but also uncertainty due to measurement noise,

**TABLE 1** | Statistics of comparing  $\cos(\theta_s)$ -weighted  $\langle E_{PAR} \rangle$  with actual (theoretical)  $\langle E_{PAR} \rangle$  using different number of observations at randomly distributed hourly times during the day on January 1 and July 1, 2018, at ocean locations within  $60^\circ\text{S}$  to  $40^\circ\text{N}$  and  $180^\circ\text{W}$  to  $180^\circ\text{E}$ . The number of data points ( $N$ ) is slightly different when six and more hourly observations per day are used, because such number of observations may not be available for some locations during some days of the year.

No. of observations	$R^2$	Bias ( $\text{Em}^{-2}\text{d}^{-1}$ )	RMSD ( $\text{Em}^{-2}\text{d}^{-1}$ )	N
1	0.859	-0.07 (-0.3%)	7.00 (25.9%)	1,874
2	0.929	0.04 (0.1%)	4.86 (18.0%)	1,874
3	0.955	-0.10 (-0.4%)	3.83 (14.2%)	1,874
6	0.987	0.06 (0.2%)	2.04 (7.4%)	1,851
9	0.993	-0.02 (-0.1%)	1.34 (4.5%)	1,682
12	0.996	-0.06 (-0.2%)	1.07 (3.0%)	1,095

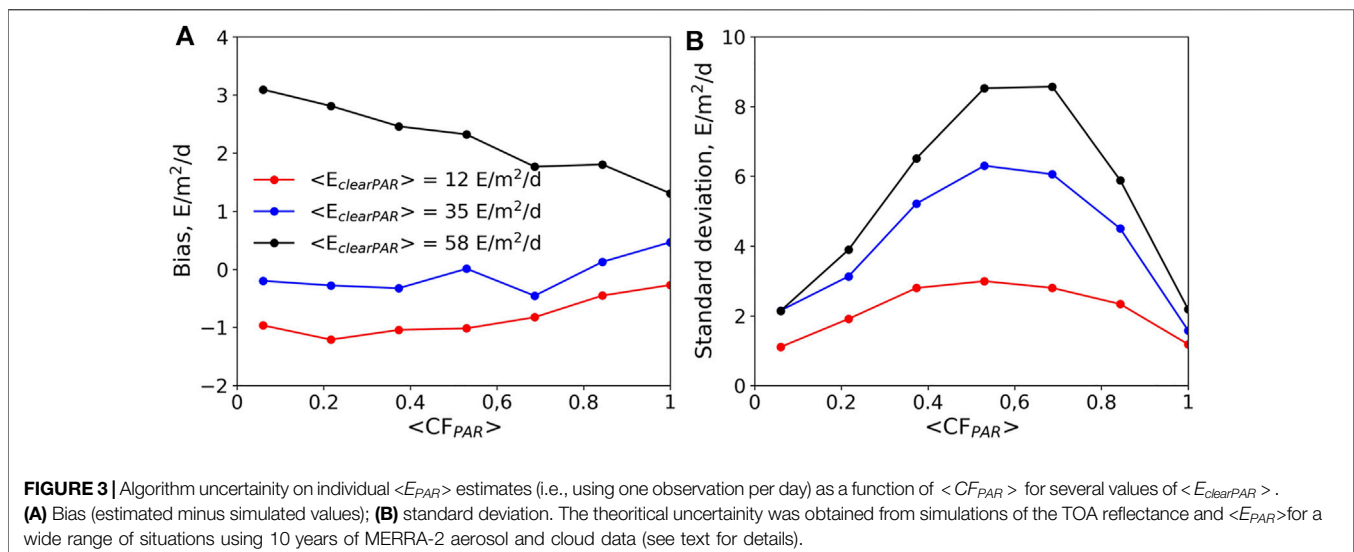


radiometric calibration, and preprocessing to Level 1b, a component that is difficult to determine accurately.

The procedure described in Frouin et al. (2018a, b) is used to estimate and provide, for each pixel of the daily mean PAR product, the algorithm uncertainty component of the total uncertainty budget, which is expected to dominate. The bias and standard deviation portions are calculated as a function of clear sky daily mean PAR,  $\langle E_{clearPAR} \rangle$ , and cloud factor,  $\langle CF_{PAR} \rangle = \langle E_{PAR} \rangle / \langle E_{clearPAR} \rangle$  (characterizes the effect of clouds on PAR and varies from 0 to 1), by simulating for many situations the satellite measurements and corresponding  $\langle E_{PAR} \rangle$  and comparing the latter to the  $\langle E_{PAR} \rangle$  estimated from the TOA reflectance. The simulations were performed with the Atmospheric Radiative Transfer Database for Earth and Climate Observation (ARTDECO) code (Dubuisson et al., 2016; <https://www.icare.univ-lille.fr/artdeco/>) using as input

several years (2003 to 2012) of MERRA-2 hourly data (aerosol and cloud properties). The large number of data points allows one to sample a wide range of geometric configurations for the satellite data and atmospheric variability, in particular many situations of daytime nebulosity for all latitudes. At this stage, the resulting look-up-tables (LUTs) only depend on  $\langle E_{clearPAR} \rangle$  and  $\langle CF_{PAR} \rangle$ , but other parameters, e.g., angular geometry and latitude, will be considered in the future. Since the final  $\langle E_{PAR} \rangle$  is a  $\cos(\theta_s)$ -weighted average of individual  $\langle E_{PAR} \rangle$  estimates during the day, the uncertainty on the final  $\langle E_{PAR} \rangle$  is obtained by weighting the individual uncertainties in the same way.

**Figure 3** displays the resulting uncertainty (bias and standard deviation) on individual  $\langle E_{PAR} \rangle$  estimates as a function of  $\langle CF_{PAR} \rangle$  for several  $\langle E_{clearPAR} \rangle$  levels, i.e., 12, 35, and 58  $\text{E m}^{-2} \text{d}^{-1}$ . The bias between estimated and simulated





**TABLE 2** | Characteristics of the *in situ* above surface downward solar irradiance datasets used in the evaluation of the EPIC  $\langle E_{PAR} \rangle$  estimates.

Sites	Geographic location	Platform type	Sensor type	Time period	Measurement frequency (min)
BOUSSOLE	43.3667°N, 7.9°E	Moored buoy	PAR (400-700 nm)	5/29/2015–7/28/2019	15
CCE-1	33.462°N, 122.526°W	Moored buoy	Multispectral (412 nm, 443 nm, 490 nm, 510 nm, 555 nm, 620 nm, 669 nm)	10/18/2018–6/9/2020	30
CCE-2	34.309°N, 120.804°W	Moored buoy	Multispectral (412 nm, 443 nm, 490 nm, 510 nm, 555 nm, 620 nm, 669 nm)	8/15/2017–9/8/2020	30

$\langle E_{PAR} \rangle$  values (**Figure 3A**) is small (mostly within  $1 \text{ Em}^{-2}\text{d}^{-1}$  in magnitude) and does not vary much with  $\langle CF_{PAR} \rangle$  at low and moderate  $\langle E_{clearPAR} \rangle$  values (blue and red curves) but reaches  $3 \text{ Em}^{-2}\text{d}^{-1}$  at high  $\langle E_{clearPAR} \rangle$  values when  $\langle CF_{PAR} \rangle$  is small (black curve). The standard deviation (**Figure 3B**) is peaked toward intermediate cloud factors (the risk or probability that cloudiness at the time of satellite measurement may not be representative of the conditions at other times during the day is larger), increasing from about  $2.2$  to  $8.7 \text{ Em}^{-2}\text{d}^{-1}$  when  $\langle E_{clearPAR} \rangle$  increases from  $12$  to  $58 \text{ Em}^{-2}\text{d}^{-1}$  and  $\langle CF_{PAR} \rangle = 0.5$ , i.e., about 15% relatively.

As mentioned above, a complete per-pixel uncertainty budget must include errors in the Level 1b data, which may require estimating the sensitivity of  $\langle E_{PAR} \rangle$  to input reflectance and the covariance in the various spectral bands (JGCM-100, 2008). Noise in the input data is difficult to estimate, especially spectral correlations, but this can be attempted by analyzing the Level 1b imagery using structure functions or variograms (e.g., Curran and Dungan, 1989; Wald, 1989) and considering information gleaned from inflight calibration studies. The approach, therefore, would be to establish the uncertainty LUTs using noisy TOA reflectance simulations. Note, however, that in the case of MERIS comparisons with match-up data at the COVE site have revealed that experimental uncertainties are similar to algorithm uncertainties obtained from modeled data without noise (Frouin et al., 2018b). Similar results are expected for EPIC  $\langle E_{PAR} \rangle$  uncertainties.

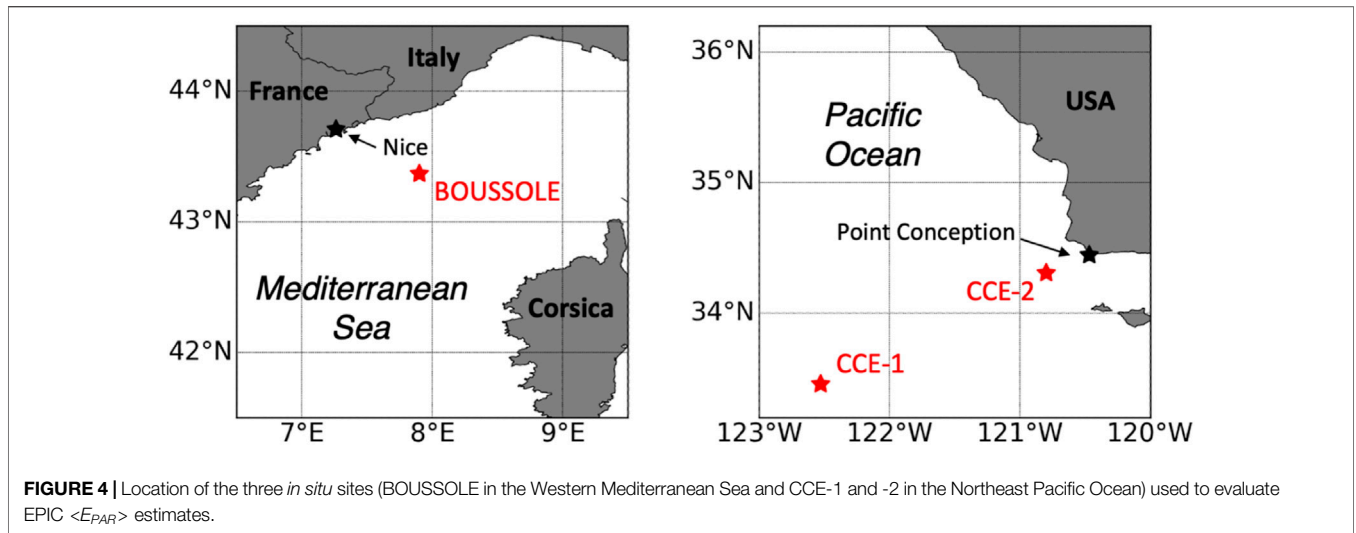
## 4 EVALUATION AGAINST *IN SITU* MEASUREMENTS

### 4.1 Datasets

The EPIC  $\langle E_{PAR} \rangle$  product has been evaluated against *in situ* measurements at three mid-latitude oceanic sites (**Figure 4**), where long-term  $E$  measurements are routinely acquired from moored buoys i.e., BOUée pour l'acquiSition d'une Série Optique à Long termE (BOUSSOLE) and California Current Ecosystem (CCE) buoys 1 and 2 (hereafter denoted CCE-1 and CCE-2). **Table 2** lists the main characteristics of the data sets. Although situations for which Sun zenith angles stay large during the day (such as in polar regions) were not sampled, the atmospheric conditions at the three sites exhibited large variability in cloud and aerosol properties making the datasets appropriate for statistically quantifying uncertainties in the  $\langle E_{PAR} \rangle$  estimates.

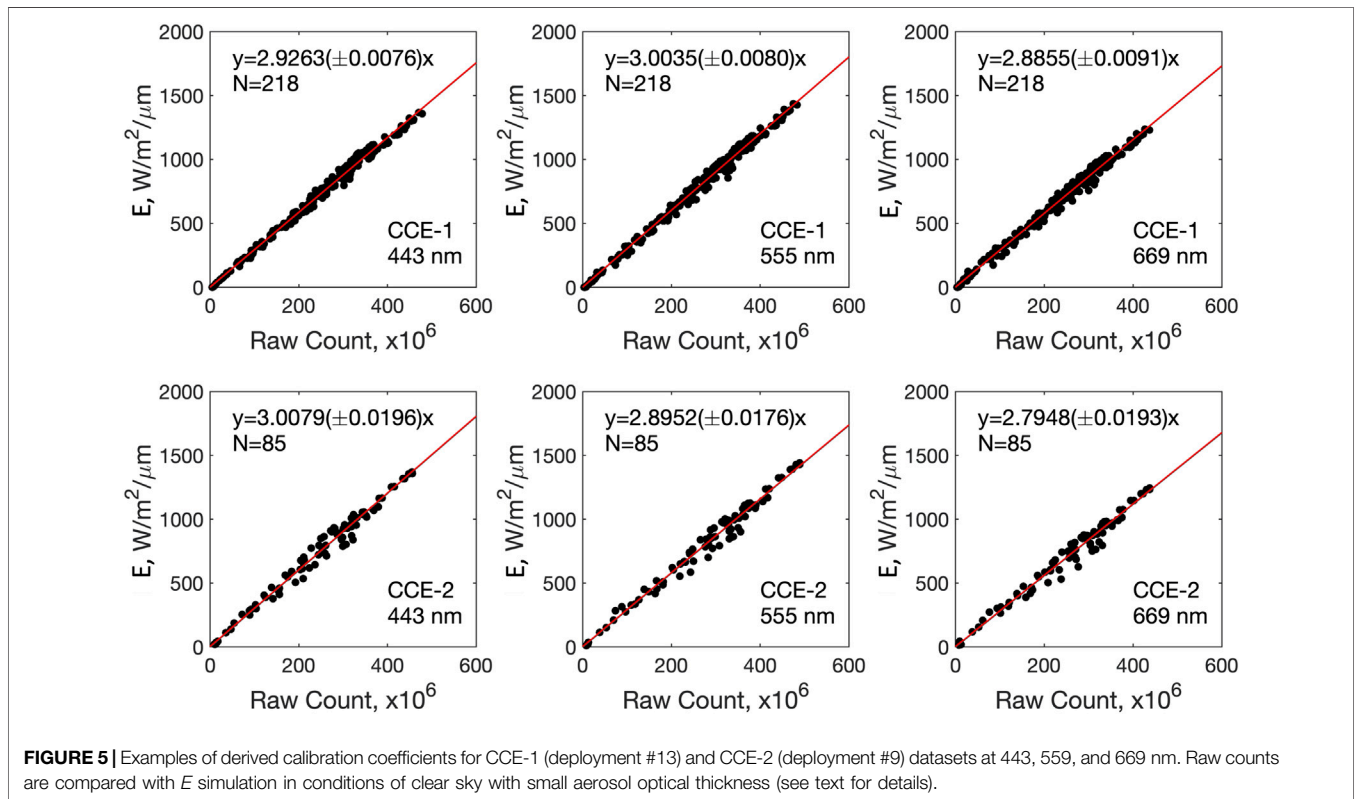
The BOUSSOLE above-surface downward solar irradiance dataset (<http://www.obs-vlfr.fr/Boussole/html/project/boussole.php>; Antoine et al., 2008) consists of high frequency  $E_{PAR}$  measurements (every 15 min) collected from the long-term mooring located at  $43.37^\circ\text{N}$  and  $7.90^\circ\text{E}$  in the Western Mediterranean Sea about 60 km off the coast (between Nice and Corsica, France). The  $E_{PAR}$  measurements were made by Satlantic cosine radiometers installed on top of the immersed part of the buoy during May 29, 2015–July 28, 2019 (i.e., corresponding to the EPIC operational phase). Four deployments were made during this period, but there was a large data gap between December 11, 2017 and February 16, 2019. Before conversion into geophysical quantities, the raw data were calibrated using coefficients provided by the manufacturer and checked for outliers. The  $E_{PAR}$  measurements were corrected for tilt effects according to Antoine et al. (2008). To obtain daily mean values, i.e.,  $\langle E_{PAR} \rangle$ , instantaneous  $E_{PAR}$  with tilt angles less than  $20^\circ$  was integrated over time from sunrise to sunset.

The CCE-1 and -2 datasets were collected at two surface moorings in the California Current (<http://mooring.ucsd.edu/cce/>). Multiple deployments are available and for this study we used the CCE-1 deployments from October 23, 2017 to June 9, 2020, and the CCE-2 deployments from August 15, 2017 to May 7, 2019. CCE-1 is located at  $33.46^\circ\text{N}$ ,  $122.53^\circ\text{W}$  in the core of California Current, approximately 220 km off Point Conception, California. The CCE-2 mooring is operated at  $34.31^\circ\text{N}$  and  $120.80^\circ\text{W}$  and closer to the shore, approximately 35 km off Point Conception. For both mooring locations, the  $E$  measurements were made every 30 min at 412 nm, 443 nm, 490 nm, 510 nm, 555 nm, 620 nm, and 669 nm by Sea-Bird OCR-507 sensors. The raw data were calibrated to actual  $E$  using radiative transfer (RT) simulations. First, clear sky days were identified by carefully examining the shape of raw data as a function of time each day. Only those following strictly the cosine function  $E_{PAR} = a \cos(\theta_s) e^{-\beta/\cos(\theta_s)}$  with limited error (Tan et al., 2020, **Section 4.1**) were selected. Second, the theoretical  $E$  for these clear days were simulated using the 6S code with aerosol properties, water vapor, and ozone, wind speed, and chlorophyll-a concentration from NASA OBPG MODerate resolution Imaging Spectroradiometer (MODIS) and Visible Infrared Imaging Radiometer Suite (VIIRS) Level 2 products. Only days with  $\tau_{aer}$  less than 0.1 at 550 nm were retained and  $\theta_s$  was limited to  $60^\circ$ . For those  $\tau_{aer}$  and  $\theta_s$  conditions the aerosol transmittance, about  $1 - 0.16\tau_{aer}/\cos(\theta_s)$  according to Tanré et al. (1979), is above 0.97, i.e., aerosols affect minimally  $E$ . Third, linear relations



$y = ax$  were determined by comparing the simulated  $E$  and raw data yielding the calibration coefficients to be applied to the raw counts. This was done for each deployment since instruments were switched for different deployments and it is expected that the calibration coefficients are different. The raw data corresponding to tilt larger than  $10^\circ$  were not used in this process and dark subtraction was performed before running the linear regression.

**Figure 5** displays calibration results at wavelengths 443, 555, and 669 nm for selected deployments. Uncertainty of the calibration gain  $a$  (expressed in  $10^{-6}/\text{Count}$ ) associated with the least-squares fit varies from  $\pm 0.25\%$  to  $\pm 0.68\%$  depending on wavelength. Finally, the calibrated spectral  $E$  data were first integrated over wavelength and then over time during the day to generate  $\langle E_{PAR} \rangle$ .



## 4.2 Calibration and Adjustment

The calibrated datasets need to be checked and eventual biases removed before evaluating the EPIC  $\langle E_{PAR} \rangle$  estimates. This is important because laboratory calibration errors and other errors (e.g., due to exposure to the marine environment and data processing) may significantly affect the quality of the *in situ* measurement. An independent check-of-calibration can be performed, as indicated above, by comparing the calibrated measurements to RT simulations in clear sky conditions that allow accurate computations of the atmospheric transmittance. Since the CCE-1 and -2 datasets were already calibrated using such RT simulations, as described in the previous sub-section, no further bias adjustment is necessary for those datasets.

The BOUSSOLE dataset, however, was checked against 6S simulations. The same procedure as described for CCE-1 and -2 datasets, including the selection of clear sky days with small aerosol content and  $\theta_s$  less than  $60^\circ$ , was used. Only five suitable clear sky days were identified during deployments #3 (June 29, 2016—May 24, 2017) and #5 (February 16, 2019—July 28, 2019); the processing, therefore, was limited to those deployments. Corrections were then applied to the *in situ* data based on the best linear fits ( $y = ax$ ) obtained by regressing, for each deployment separately, instantaneous  $E$  simulations against corresponding *in situ* measurements.

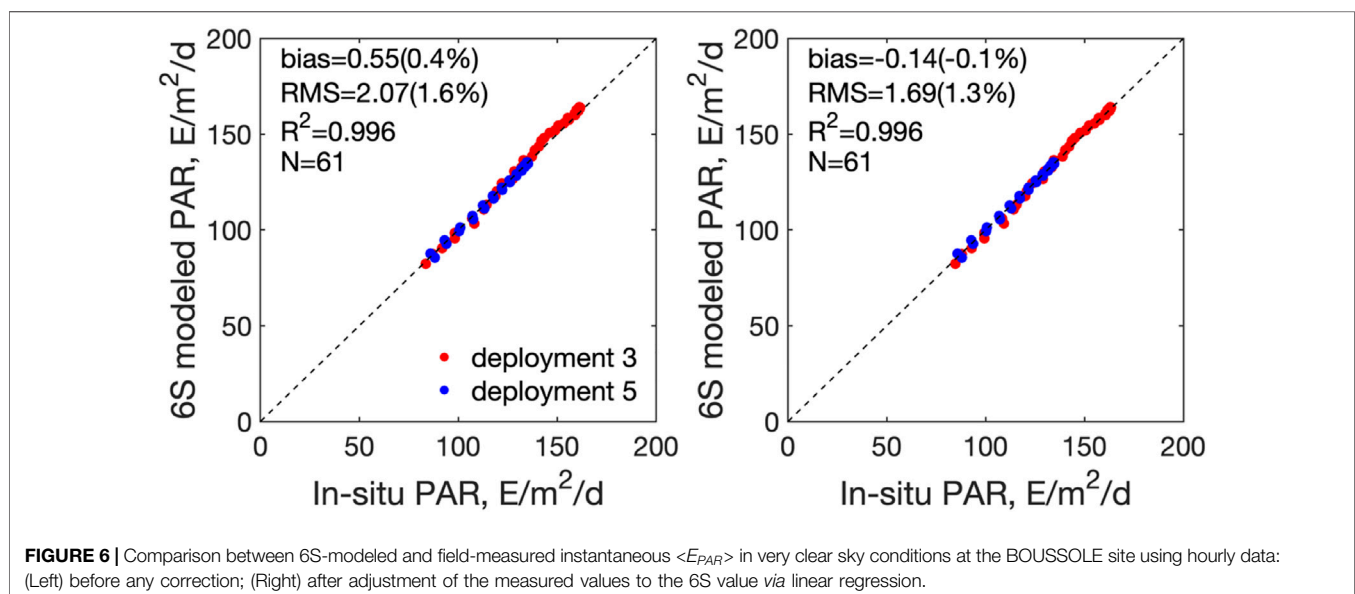
**Figure 6** displays scatter plots of 6S-simulated versus measured  $E$  for the two BOUSSOLE deployments before and after correction. The overall bias before correction (**Figure 6**, left) decreased from  $0.55 \text{ Em}^{-2} \text{ d}^{-1}$  (0.4%) to  $-0.14 \text{ Em}^{-2} \text{ d}^{-1}$  (-0.1%) after correction (**Figure 6**, right) and RMSD from  $2.07 \text{ Em}^{-2} \text{ d}^{-1}$  (1.6%) to  $1.69 \text{ Em}^{-2} \text{ d}^{-1}$  (1.3%). The small bias and RMSD in the BOUSSOLE data before correction indicate that the BOUSSOLE data are well-calibrated for those deployments and suggests that one may use with confidence the data from other deployments, even without adjustment. Examination of those deployments, however, revealed abnormal  $E$  values. Therefore, only data from deployments 3 and 5 were used, and for consistency with the

CCE-1 and -2 datasets the small bias adjustment was still applied to the data.

## 4.3 Match-Up Comparison

EPIC  $\langle E_{PAR} \rangle$  estimates remapped at 18.4 km spatial resolution (equal-area grid) were matched with *in situ* measurements at the three evaluation sites. The EPIC pixel with center closest to the site was selected. One may wonder whether, due to the size of the EPIC  $\langle E_{PAR} \rangle$  pixel, satellite estimates are mismatched with the local *in situ* measurements. Comparisons of MODIS-Aqua and -Terra  $\langle E_{PAR} \rangle$  estimates at 4.6, 9.2, and 18.4 km resolution during June 13, 2015 (beginning of the EPIC dataset), to June 23, 2021, indicated practically no biases at the BOUSSOLE and CCE-1 sites and a slight overestimation by about 0.5–0.7% at 18.4 km resolution with respect to 9.2 and 4.6 km resolution, respectively, at the CCE-2 site. RMSD was 3.9–4.7% between estimates at 9.2 and 18.4 km resolution depending on site and sensor, increasing to 5.6–6.8% between estimates at 4.6 and 18.4 km resolution. In other words, the relatively large spatial resolution of the EPIC pixels is expected to minimally affect statistical performance in terms of bias at the three sites, but RMSD might be significantly underestimated.

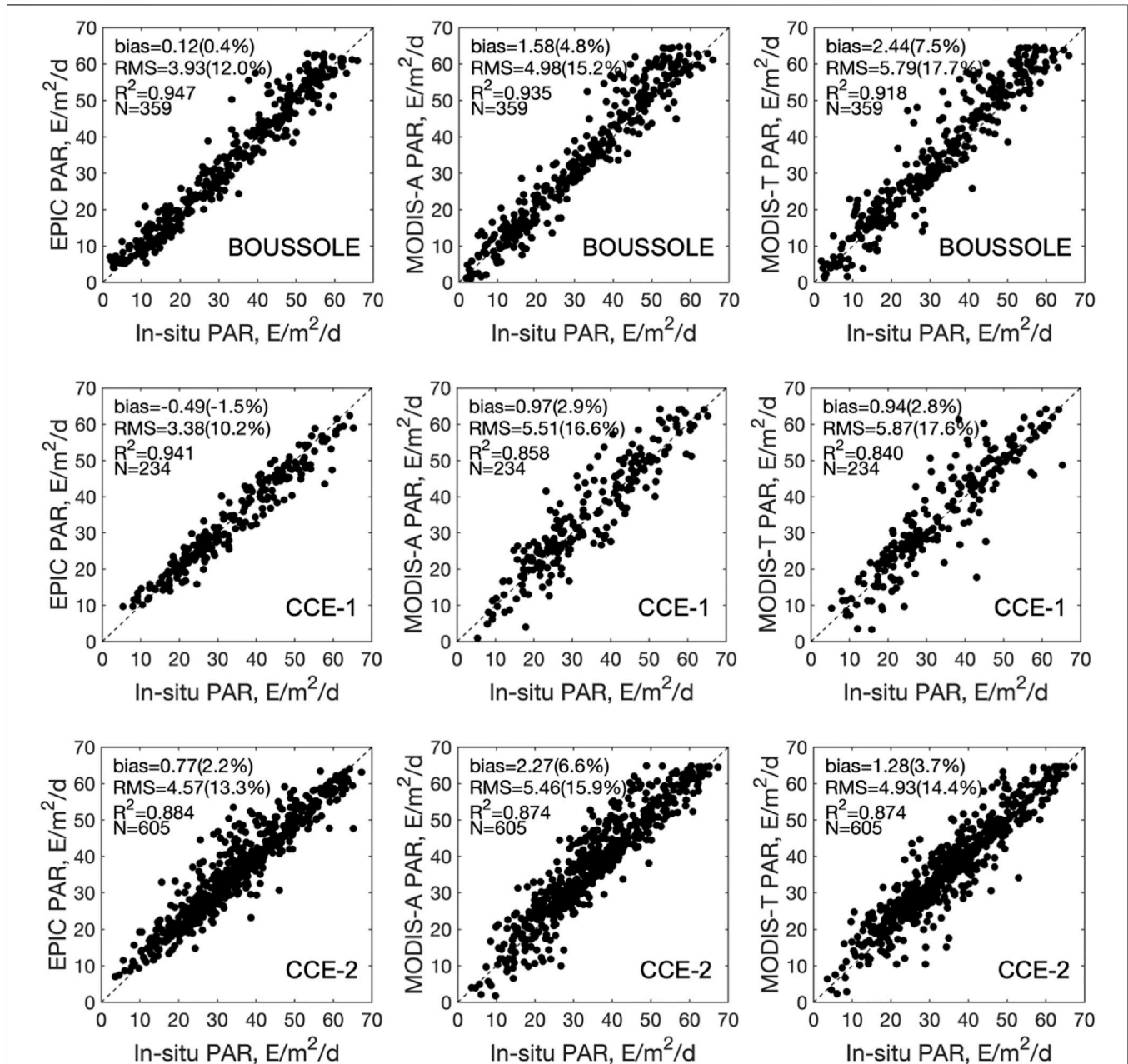
**Figure 7** displays for each site scatter plots of EPIC, MODIS-Aqua, and MODIS-Terra PAR estimates versus *in situ* measurements. In the comparisons, MODIS values at 9.2 km resolution were averaged to the 18.4 km resolution. The satellite estimates agree with the measurements, but statistical performance is better using EPIC, with bias and RMSD of  $0.12 \text{ Em}^{-2} \text{ d}^{-1}$  (0.4%) and  $3.93 \text{ Em}^{-2} \text{ d}^{-1}$  (12.0%) for BOUSSOLE,  $-0.5 \text{ Em}^{-2} \text{ d}^{-1}$  (-1.5%) and  $3.4 \text{ Em}^{-2} \text{ d}^{-1}$  (10.2%) for CCE-1, and  $0.8 \text{ Em}^{-2} \text{ d}^{-1}$  (2.2%) and  $4.6 \text{ Em}^{-2} \text{ d}^{-1}$  (13.3%) for CCE-2. The MODIS-Aqua and -Terra estimates are more biased and exhibit more scatter, reflecting the points made above about using one instead of multiple observations during the day. In particular, the positive bias obtained with MODIS data is likely due to a higher probability of having clear skies at the time of



satellite overpass, i.e., late morning or early afternoon, yielding higher than actual daily mean values. Such overestimation was documented in many studies (Section 1) and recently reported by Tan et al. (2020), who compared Medium Resolution Imaging Spectrometer (MERIS)  $\langle E_{PAR} \rangle$  estimates against *in situ* measurements when the satellite observation was made under clear skies. In many instances, the MERIS-derived values were too high, resulting in an overall positive bias, which was explained by the presence of clouds at other times during the day.

Algorithm uncertainty was calculated for each  $\langle E_{PAR} \rangle$  estimate of the match-up data set, as described in Section 3,

but is not displayed in Figure 7. The resulting average bias and RMSD uncertainty (includes bias and standard deviation components) are respectively 0.49 and  $3.63 \text{ Em}^{-2}\text{d}^{-1}$  at BOUSSOLE, 0.70 and  $4.73 \text{ Em}^{-2}\text{d}^{-1}$  at CCE-1, and 0.77 and  $4.28 \text{ Em}^{-2}\text{d}^{-1}$  at CCE-2. These values resemble those obtained experimentally, i.e., 0.12 and  $3.93 \text{ Em}^{-2}\text{d}^{-1}$  at BOUSSOLE,  $-0.49$  and  $3.38 \text{ Em}^{-2}\text{d}^{-1}$  at CCE-1, and 0.57 and  $4.77 \text{ Em}^{-2}\text{d}^{-1}$  at CCE-2, see Figure 7, suggesting that the procedure to associate uncertainty is adequate and that it might not be necessary to include EPIC imagery noise in the theoretical uncertainty budget.



**FIGURE 7** | Comparison of EPIC, MODIS-T,  $\langle E_{PAR} \rangle$  estimates against *in situ* data collected at the three evaluation sites (BOUSSOLE, CCE-1, and CCE-2). Left columns are for EPIC, middle columns for MODIS-A, and right columns for MODIS-T.



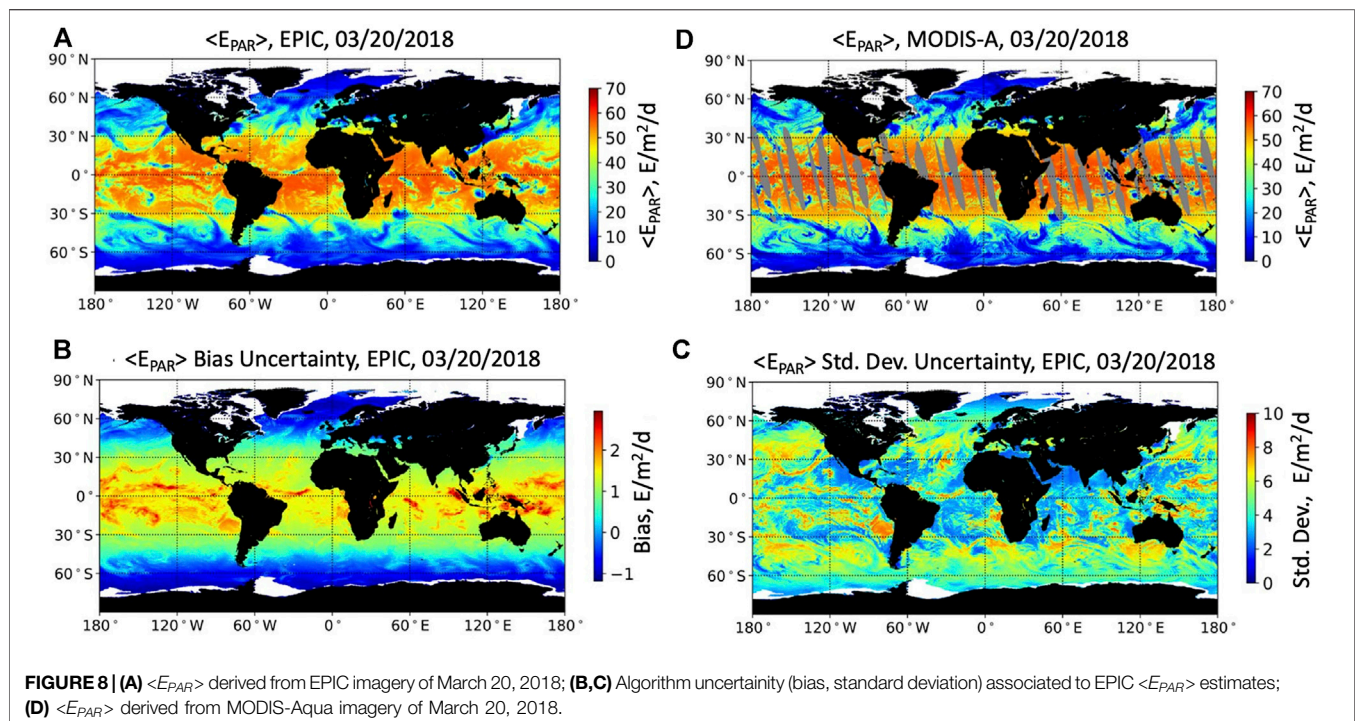
## 5 APPLICATION TO SATELLITE IMAGERY

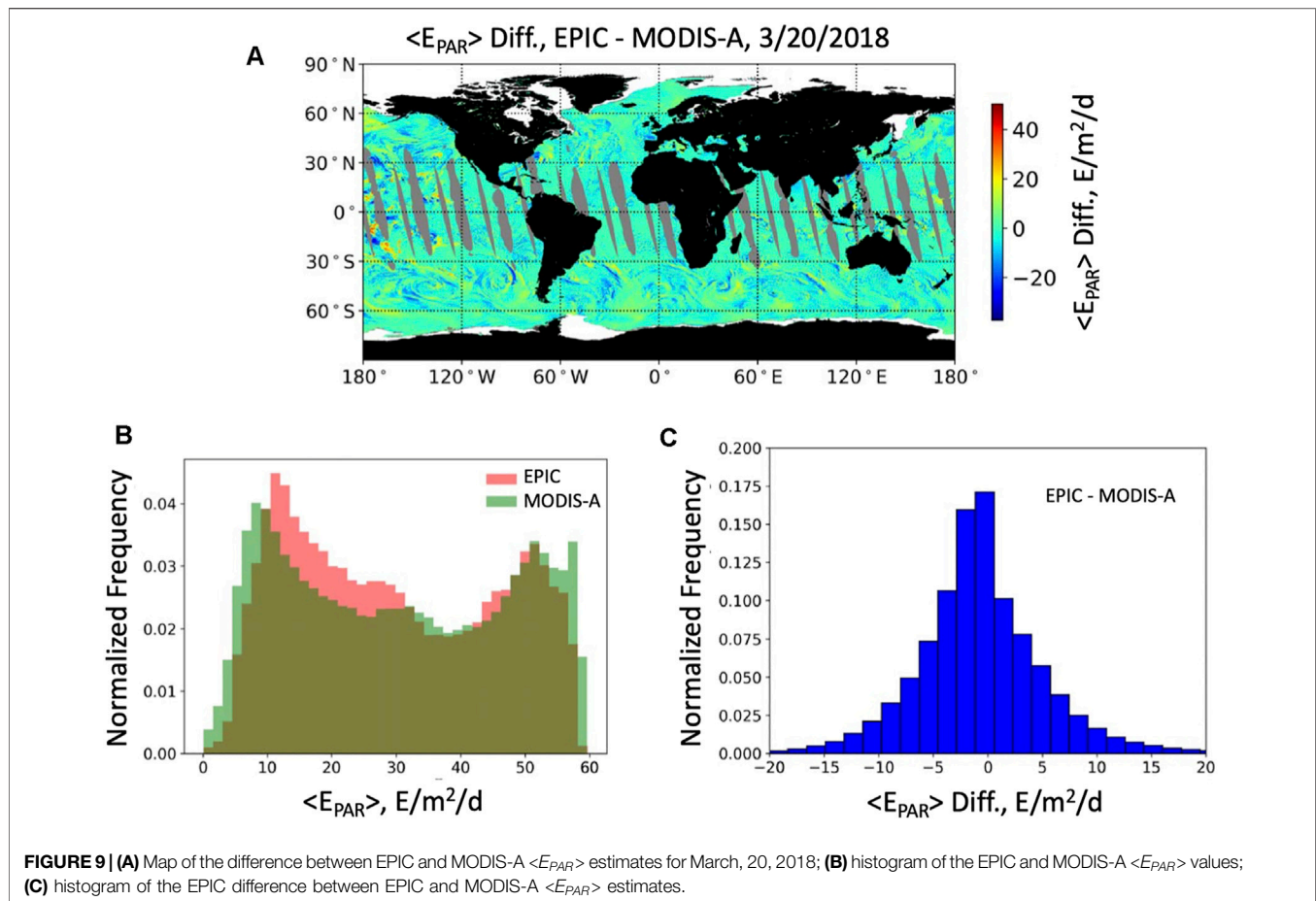
Figures 8A–C displays an example of an EPIC  $\langle E_{PAR} \rangle$  product with associated uncertainties. The date is March 20, 2018 (equinox); the land is in black and the sea ice is in white. The values range from a few  $\text{Em}^{-2}\text{d}^{-1}$  at high latitudes to about  $58 \text{Em}^{-2}\text{d}^{-1}$  at equatorial and tropical latitudes with atmospheric disturbances modulating the  $\langle E_{PAR} \rangle$  field, especially at middle latitudes (Figure 8A). The bias uncertainty tends to be positive when  $\langle E_{PAR} \rangle$  values are high (overestimation by up to  $2 \text{Em}^{-2}\text{d}^{-1}$ ) and slightly negative when  $\langle E_{PAR} \rangle$  values are low (underestimation by up to  $1 \text{Em}^{-2}\text{d}^{-1}$ ). The overestimation is relatively larger when  $\langle E_{clearPAR} \rangle$  is high and cloud factor is low, which occurs at low and middle latitudes (Figure 8B). The standard deviation uncertainty is more variable spatially, ranging from 2 to  $8 \text{Em}^{-2}\text{d}^{-1}$ , with highest values obtained at moderate cloud factors and high  $\langle E_{clearPAR} \rangle$  values, as expected from Figure 3, for example off the coast of Chile and Peru at about  $25^\circ\text{S}$  and  $80^\circ\text{W}$  where cloud factor is about 0.5 (Figure 8C).

Compared with the MODIS-Aqua  $\langle E_{PAR} \rangle$  product (Figure 8D), the EPIC product (Figure 8A) is less noisy due to multiple observations during the day and does not have any spatial gaps at low/middle latitudes (gray color). The difference map between the two products (Figure 9A) shows good agreement, with higher differences generally encountered in middle to high latitude regions affected by storm activity. The MODIS-Aqua values cover a slightly larger range, which is expected because more extreme values are likely to be encountered with only one observation per day (Figure 9B). The frequency of values between 15 and  $30 \text{Em}^{-2}\text{d}^{-1}$  is higher for EPIC reflecting the lower probability of having very low values

when several observations during the day are used in estimating  $\langle E_{PAR} \rangle$  in cloudy conditions. The difference histogram indicates that the EPIC  $\langle E_{PAR} \rangle$  values are slightly lower than the MODIS-Aqua values, by  $0.7 \text{Em}^{-2}\text{d}^{-1}$  on average (Figure 9C). This may result from MODIS-Aqua observing at about 1:30:pm local time, i.e., when cloudiness is usually reduced (e.g., Bergman and Salby, 1996).

The EPIC  $\langle E_{PAR} \rangle$  imagery of March 20, 2018, was also compared with corresponding imagery from AHI onboard Hiwamari-8 (operated by the Japanese Meteorological Agency) over the oceans and seas surrounding East and Southeast Asia and Australia (Figure 10). The AHI  $\langle E_{PAR} \rangle$  product, available at 5 km resolution on the equal latitude-longitude grid from the Japanese Aerospace Exploration Agency (JAXA) was generated from geostationary observations acquired every 10 min using an adapted version of the algorithm described in Frouin and Murakami (2007). It was remapped to the equal-angle grid (18.4 km at the equator) for comparison to the EPIC  $\langle E_{PAR} \rangle$  product. The spatial features are very similar in both products (Figure 10A,B), and differences do not exhibit a distinct pattern, although there is some evidence that larger differences often occur near the edges of low-pressure systems (Figure 10C). This may be due to the different temporal resolution of the two products with AHI capturing more accurately the daily variability of moving disturbances. The range of  $\langle E_{PAR} \rangle$  values is practically the same for EPIC and AHI, but the AHI histogram exhibits a small number of higher values between 5 and  $25 \text{Em}^{-2}\text{d}^{-1}$  and lower values above  $55 \text{Em}^{-2}\text{d}^{-1}$  (Figure 10D). Again, this is plausibly attributed to using more observations during the day in the AHI  $\langle E_{PAR} \rangle$  estimation. The  $\langle E_{PAR} \rangle$  differences are generally small





(i.e., mostly between  $-5$  and  $5 \text{ Em}^{-2}\text{d}^{-1}$ ) but may be as large in magnitude as  $20 \text{ Em}^{-2}\text{d}^{-1}$ , resulting in an overall bias (higher EPIC values) of  $1.34 \text{ Em}^{-2}\text{d}^{-1}$  or 3.6% (Figure 10E). Of course, this bias is only valid for the date and area considered in the comparison—it is expected to differ depending on region and period, yet it corresponds to a wide range of atmospheric conditions.

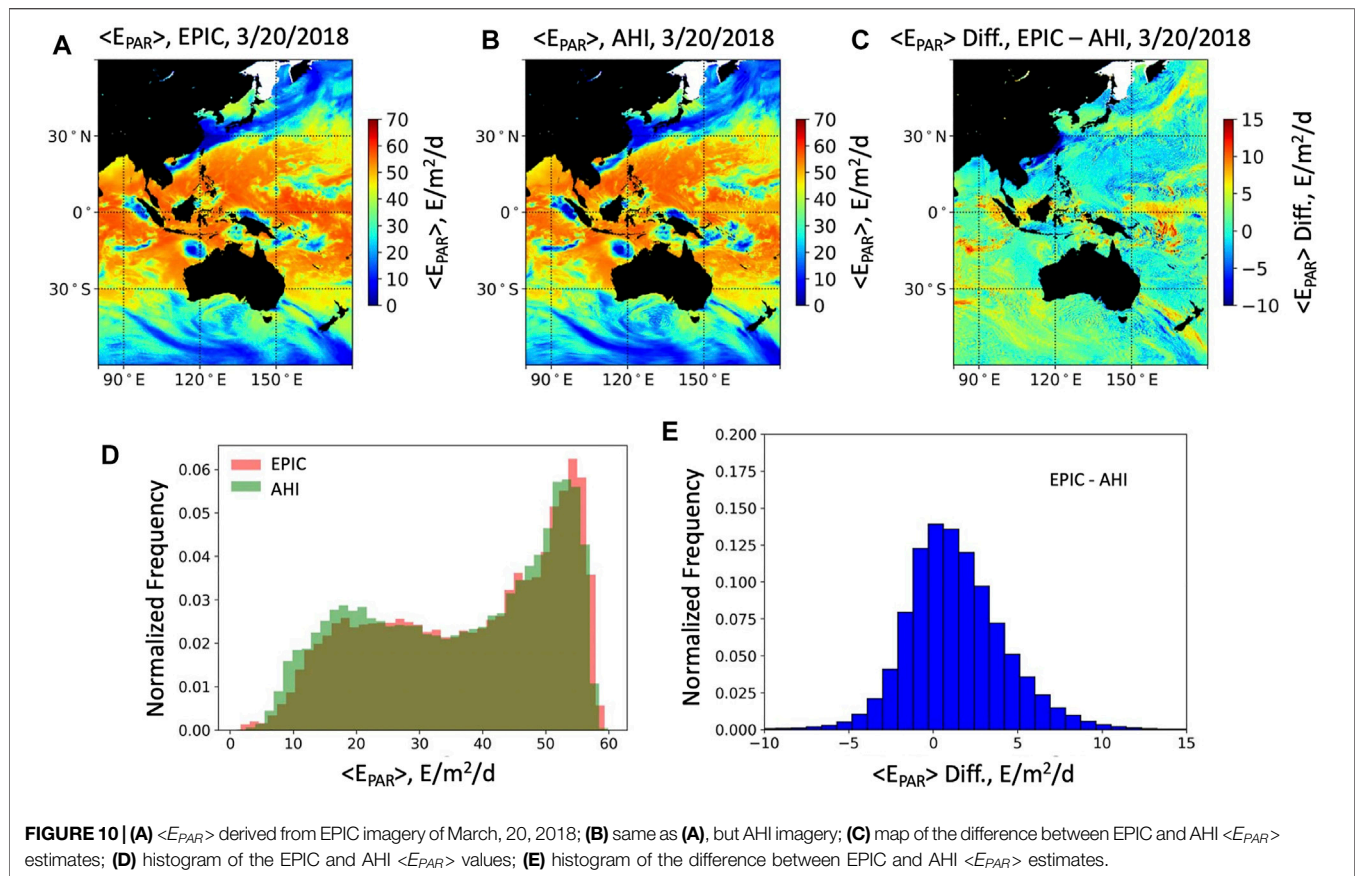
Figure 11 displays the time series of EPIC and MODIS daily and monthly mean  $E_{PAR}$  during the entire DSCOVR mission until August 2021 at  $50^\circ\text{N}$  and  $30^\circ\text{W}$  (North Atlantic) and  $0^\circ$  and  $30^\circ\text{W}$  (Equatorial Atlantic). The MODIS values are averages of MODIS-Aqua and -Terra estimates. The seasonal cycle and day-to-day variability are large at  $50^\circ\text{N}$ , while short-term variability dominates at the Equator. Seasonal  $E_{PAR}$  changes are depicted in the same way by EPIC and MODIS, but the MODIS values tend to be slightly higher, as expected. These examples of time series, and the good performance against *in situ* measurements (Figure 7), illustrate the potential of the EPIC  $\langle E_{PAR} \rangle$  product in ocean biogeochemistry studies.

## 6 CONCLUSION

An algorithm was developed to estimate daily mean PAR at the ice-free ocean surface,  $E_{PAR}$ , from EPIC observations in spectral

bands centered on 443, 551, and 680 nm. The algorithm, based on Frouin et al. (2003), uses a budget approach that does not require distinguishing whether a pixel is clear or cloudy, which is appropriate for the coarse EPIC pixels. Algorithm uncertainties (bias and standard deviation) were associated to each  $\langle E_{PAR} \rangle$  estimate using LUTs established from RT simulations. A preliminary evaluation showed good agreement with  $\langle E_{PAR} \rangle$  estimates from other satellite sensors (polar-orbiting MODIS and geostationary AHI) and *in situ* measurements at ocean moorings. Match-up data analysis indicated that the EPIC-derived  $\langle E_{PAR} \rangle$  was less biased than the MODIS  $\langle E_{PAR} \rangle$ , and the EPIC  $\langle E_{PAR} \rangle$  imagery was less noisy, which was explained by using multiple observations during the day with EPIC instead of only one observation with MODIS. The uncertainty of the EPIC  $\langle E_{PAR} \rangle$  product, with biases of  $-1.5$  to  $2.2\%$  and RMSDs of  $10.0$ – $13.3\%$  depending on the site is lower than the uncertainty of other parameters coming into play in primary production modeling (e.g., phytoplankton absorption and quantum yield). The  $\langle E_{PAR} \rangle$  2015–2021 time series at selected oceanic locations demonstrated the algorithm ability to capture monthly to interannual variability for investigating the ocean response to temporal changes in available light over a wide range of scales.

The EPIC  $\langle E_{PAR} \rangle$  product is generated routinely by the NASA Center for Climate Simulation (NCCS) and distributed by



the Langley ASDC. The data (with documentation) are archived since the beginning of the DSCOVR mission, i.e., June 13, 2015, at [https://asdc.larc.nasa.gov/project/DSCOVR/DSCOVR\\_EPIC\\_L3\\_PAR\\_01](https://asdc.larc.nasa.gov/project/DSCOVR/DSCOVR_EPIC_L3_PAR_01). They are available on equidistant cylindrical Plate Carrée grid with 18.4 km resolution at the equator and in 18.4 km equal-area sinusoidal projection, i.e., on spatial grids that are compatible with MODIS and VIIRS Level 3 NASA OBPg ocean-color products.

The current algorithm can be improved in several ways, i.e., by calculating atmospheric reflectance more accurately at large zenith angles (LUTs may be used instead of approximate analytical representation), by relaxing the Lambertian assumption in the retrieval of the cloud/surface layer reflectance, by improving the parameterization of cloud bidirectional effects, and by including from reanalysis data information about cloud variability, which would provide better accuracy when only a few EPIC observations are available to estimate the daily means. Uncertainty may also be specified as a function of angular geometry and latitude, even region, instead of using an average estimate for all latitudes over several years of MERRA-2 data, and they can be fitted by a generalized additive model with proper auxiliary variables.

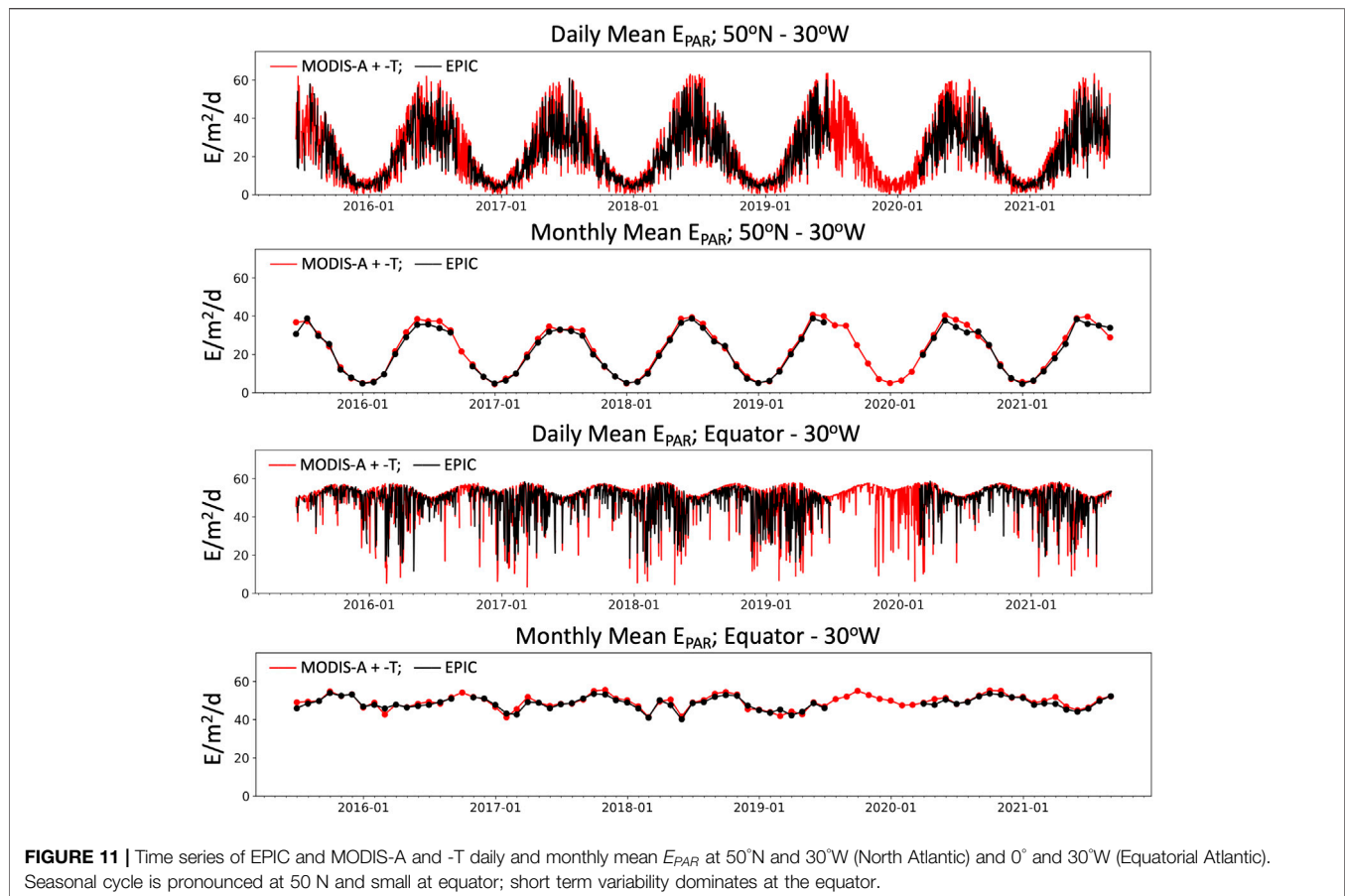
Other  $\langle E_{PAR} \rangle$  datasets exist (e.g., OBPg MODIS and VIIRS products), but the EPIC time series is uniquely valuable for several reasons. First, the daily mean PAR estimates, which exploit the multiple EPIC observations from sunrise to sunset (i.e., consider diurnal cloud variability), are more accurate than those from sensors in polar orbit

(i.e., typically use one observation per day). Second, coverage is global on a daily time scale at low and middle latitudes, which is currently not achieved with instruments onboard polar orbiters (due to Sun glint, limited swath). Sensors operating from geostationary orbit have limited coverage and reduced spatial resolution at high latitudes, a smaller problem with EPIC. Third, and particularly important, comparisons between daily  $\langle E_{PAR} \rangle$  estimates from EPIC and concurrent polar-orbiting sensors (e.g., MODIS and VIIRS) would determine biases associated with estimates from these sensors, allowing for a consistent  $\langle E_{PAR} \rangle$  time series across sensors, not only during the overlap period, but before and after, i.e., for a long-term (over several decades) science quality  $\langle E_{PAR} \rangle$  record.

The EPIC  $\langle E_{PAR} \rangle$  product is useful to a wide range of research applications, such as primary production and carbon export modeling, ecosystem dynamics and mixed-layer physics, photochemical transformations of dissolved organic matter, and control of stable soluble iron in marine waters. It complements existing  $\langle E_{PAR} \rangle$  datasets and, as mentioned above, may bring about consistency across sensors, allowing a better description of biological phenomena that could lead to new information about temporal variability of biological processes.

The methodology can be easily extended to estimating ultraviolet (UV) surface irradiance using the EPIC spectral bands centered on 317, 325, 340, and 388 nm, especially since ozone content, a key variable governing atmospheric transmittance in the UV, is a standard EPIC product. Furthermore, planar and scalar fluxes





below the surface, as well as average cosine for total light (a measure of the angular structure of the light field), variables more directly relevant to addressing science questions pertaining to biogeochemical cycling of carbon, nutrients, and oxygen can also be estimated without major difficulty from the above-surface quantities. Approaches have been identified and procedures devised (Frouin et al., 2018a); they are based on LUTs of clear sky and overcast situations and the derived cloud factor,  $\langle CF \rangle$  ( $\langle CF_{PAR} \rangle$  for the PAR spectral range), from the EPIC observations (Frouin et al., 2018a). Following Mobley and Boss (2012), the LUTs may only depend on a reduced set of parameters, the most important ones being the location and date, which control the day length and mean Sun zenith angle, then the influence of the clouds which is between null (clear sky) and maximum (100% cloud cover), and finally the wind speed. In other words, the observed  $\langle CF \rangle$  may be used as a proxy for the actual cloud factor in linearly interpolating between clear sky and overcast LUTs as a function of  $\langle CF \rangle$ . The prospects are promising for ocean biogeochemistry applications.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

## AUTHOR CONTRIBUTIONS

All the authors participated in the conception and organization of the study, the interpretation of the results, and the writing of the findings. RF and JT arranged the contents of the sections and wrote the first version of the manuscript. RF developed the algorithm to estimate ocean surface PAR. MC and DR created LUTs to associate uncertainties. JT coded the algorithms and performed the statistical analyses. MS implemented the processing line at the NASA Center for Climate Simulation and organized data archival at the Atmospheric Science Data Center. HM provided information about the AHI PAR product. DA, US, JS, and VV were involved in the field data collection and processing at the mooring sites. Everyone critically revised the manuscript.

## FUNDING

The work effort to accomplish the study was funded by the National Aeronautics and Space Administration (NASA) under DSCOVR Grant 80NSSC19K0764 (Program Manager: R. S. Eckman) and by the Japan Aerospace Exploration Agency (JAXA) under GCOM-C Contract JX-PSPC-515384. The CCE moorings are funded by National Oceanic and Atmospheric Administration (NOAA) GOMO and OAP under Grant OAR4320278 Award# 304593.



The BOUSSOLE data used in this work were collected when the project was funded by the European Space Agency, the Centre National d'Études Spatiales (CNES) and JAXA.

## ACKNOWLEDGMENTS

The authors gratefully acknowledge the various teams that produced and quality-controlled the EPIC data used in the

study, the NASA OBPG for generating and making available the MODIS and VIIRS products, and JAXA for providing the AHI imagery *via* the P-Trees System. They also thank everyone involved in servicing the field instruments and collecting, processing, and archiving the BOUSSOLE, CCE-1 and CCE-2, and COVE solar irradiance data, and the MERRA-2 Science Team for the reanalysis data. The CCE-1 and CCE-2 moorings are part of the global OceanSITES program.

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