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1 **Impact of initial states on the vapor hydration of iodine-bearing** 2 **borosilicate glass**

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14 **Abstract**

15 Under the context of long-term geological disposal of nuclear waste glass, the present work
16 investigates the effect of initial states on the glass chemical durability in the vapor phase and
17 during subsequent leaching. Glass with different finishing steps of polishing and thermal
18 annealing were prepared, vapor-hydrated, and aqueous-altered. Multiple characterizations of
19 mechanical properties and structural modifications were performed to understand the
20 reduction of vapor hydration rates with annealed glasses. Discussions on the degradation of
21 mechanical properties provide insights to understanding the variations of glass chemical
22 durability, which shows a feasible approach to link mechanical and chemical studies together.
23 By looking into the release of boron in the vapor phase, similarities between glass vapor
24 hydration and glass aqueous alteration at residual rate are noticed, which allow the proposition
25 of mechanisms of boron release. Characterizations of the hydrated-then-altered glass reveal
26 the transport-limiting property of the vapor hydrated layer.

27 **Keywords**

28 Borosilicate glass; Vapor hydration; Chemical durability; Mechanical properties

29 **1. Introduction**

30 Hydration of vitrified nuclear glass in the unsaturated vapor phase is considered to be a realistic
31 scenario within the context of nuclear glass long-term disposal. The humid repository
32 environment in the deep geological disposal facilities could make the vapor hydration of
33 nuclear glass an important aging process which would influence the subsequent release of
34 radionuclides confined in the vitrified glass matrix. Various studies have been carried out to
35 investigate the nuclear glass hydration in the unsaturated vapor phase by using the same
36 autoclaves in which relative humidity is controlled by saline solution that is separated from the
37 glass sample by a Teflon holder [1–7]. Different affecting parameters have been evaluated,
38 including temperature, relative humidity (RH), pH (that is controlled by the vapor composition),
39 and glass composition [1–6]. Recent studies draw attention to the effect of initial surface state
40 (roughness, glass stress state) on the glass vapor hydration [7,8]. In the studies of hydration
41 of SON68 glass (French inactive nuclear glass) in the vapor phase, Jegou et al. [8] found a

42 higher apparent activation energy than the one reported by Bouakkaz, revealing the impact of
43 glass initial states on vapor hydration [9]. The difference in activation energies implies also the
44 difference in the mechanisms of glass vapor hydration which have not been clearly established
45 yet.

46 In terms of elemental releases during glass vapor hydration, previous studies showed that the
47 vapor hydration of iodine-bearing borosilicate glass (VNaI glass) was accompanied by the
48 partial releases of B, Na, and I in the vapor phase, while the other network formers (Si and Al)
49 were totally retained [7]. The continuous release of boron in the vapor phase makes it possible
50 to trace the glass vapor hydration kinetics by following the boron release. In the studies of
51 borosilicate glass alteration in the aqueous phase, boron is typically used as a tracer of glass
52 dissolution because of its high mobility and low retention in the altered layer [10]. However, a
53 study on the mechanism controlling the residual rate of glass aqueous alteration proposed to
54 reconsider the role of boron as a tracer because the residual rate was found to be controlled
55 by the behavior of boron, which can, in turn, be retained in the alteration layer [11]. In the
56 borosilicate glass structure, boron could be found in either three-coordinated BO_3 or four-
57 coordinated BO_4 . As a cross-linking network former, the departure of boron is considered to
58 be responsible for both the creation of nanopores, and the formation of silanol groups which
59 may promote the re-polymerization of the remaining silicate network and thus affects
60 significantly the glass corrosion [12]. Considering the important role of boron during glass
61 aqueous alteration, its behavior may also influence the hydration of glass in the vapor phase.
62 During the alteration of borosilicate glass in the aqueous phase, the transformation from BO_4
63 to BO_3 via hydrolysis process and the preferential release of BO_3 have been revealed by both
64 solid sample characterizations and simulation works [13–17]. Though the preferential release
65 of three-coordinated BO_3 was also suggested in the study of glass vapor hydration [7], the
66 mechanism of boron release in the vapor phase is still unclear. On the other hand, previous
67 study showed a retention of about 90% iodine in the vapor-hydrated layer [7]. Considering the
68 fact that the vapor-hydrated glass will eventually end up being altered in an aqueous solution,
69 the stability of hydrated glass in contact with aqueous solution should be investigated to have
70 a comprehensive evaluation of the glass chemical durability.

71 In this study, the surfaces of monoliths of iodine-bearing borosilicate glass (VNaI) are prepared
72 with different finishing steps of polishing and thermal annealing in order to study the effect of
73 what we defined as the “initial state” on mechanical properties, hydration and alteration kinetics.
74 The so prepared samples are firstly hydrated in the vapor phase, then altered in deionized (DI)
75 water to evaluate their chemical durability. By comparing the behavior of samples in the vapor
76 phase, the impacts of initial states on the glass hydration kinetics is discussed, which bring
77 lights to the rate-limiting mechanisms of glass vapor hydration (especially the mechanisms of
78 boron release). Solid state characterizations are performed to obtain elemental profiles and
79 structural information of the hydrated and altered layers, which suggests a transport-limiting
80 property of the pre-hydrated layer.

81 **2. Materials and Methods**

82 **2.1. Sample preparation**

83 The borosilicate glass sample VNaI is provided by CEA (the samples used in the present study
84 are from the same batch used in a previous study [7], with compositions given in **Table A1**, in
85 Supplementary data). Glass monoliths with a dimension of 10mm×5mm×1mm were cut. Glass

86 monoliths were firstly polished to 3 μ m by using SiC papers from P800 grade to P4000 grade
 87 then with diamond suspension of 3 μ m. The polished glass samples were cleaned with acetone,
 88 deionized (DI) water, and ethanol in the ultrasonic bath. The samples polished to 3 μ m are
 89 denoted as P-3 type samples. To study the effect of the glass surface initial state on
 90 mechanical properties and chemical durability, some of the P-3 samples were further polished
 91 by CeO₂ slurry to optical quality (Chemical mechanical polishing (CMP) with CeO₂). After
 92 cleaning the glass by the same procedure described above, the sample was annealed at
 93 540 °C for 2h then cooled down slowly to room temperature. The prepared glass samples are
 94 denoted as P-3-C-A type samples. To investigate the effect of annealing on glass vapor
 95 hydration, a third type of glass surface initial state was prepared by annealing the P-3 samples
 96 with the above-mentioned annealing procedure (denoted P-3-A). **Table 1** summarizes the
 97 samples considered in this study and the corresponding preparation procedures. P-3, P-3-A,
 98 and P-C-3-A represent three initial surface states and define what is called later the pristine
 99 state (or pristine glass). The term 'hydrated glass' denotes the glass samples hydrated in the
 100 vapor phase, and 'altered glass' for samples altered in the aqueous phase.

101 **Table 1** Summary of glass samples, preparation process, and characterizations

Type	3 μ m	CeO ₂	Anneal	Name	Hydrated	Characterizations/Tests
P-3	Yes	No	No	PG-3-1	No	ToF-SIMS
				PG-3-2	No	AFM/Nano-indentation
				PG-3-3	No	Chemical durability (60 days)
				PG-3-4	No	Chemical durability (2 days)
				VNaI-22	Yes	ToF-SIMS/Chemical durability
				VNaI-24	Yes	---
P-3-C-A	Yes	Yes	Yes	PG-C-A-1	No	ToF-SIMS
				PG-C-A-2	No	AFM/Nano-indentation
				PG-C-A-3	No	Chemical durability (60 days)
				PG-C-A-4	No	Chemical durability (2 days)
				VNaI-31	Yes	TEM//Nano-indentation
				VNaI-32	Yes	---
P-3-A	Yes	No	Yes	VNaI-26	Yes	---
				PG-3-A	No	AFM/Nano-indentation
Powder	---	---	No	PWD-PG	No	NMR
				PWD-H	Yes	NMR

102 2.2. Chemical durability tests

103 Chemical durability of pristine glass samples was tested in both vapor phase and aqueous
 104 phase. In the vapor phase, hydration tests were performed at 90 °C in a stainless autoclave
 105 (same experimental set-up and method as in our previous study is described in [7]). 8 mL of
 106 NaCl saline solution (1.75 wt%) was used to control the relative humidity fixed to 99%. The
 107 hydration kinetics was followed by applying the physical and chemical methods as detailed in
 108 the previous study [7]. The physical method is based on the analysis of glass samples by FTIR
 109 Spectroscopy (Bruker-Tensor 27), through which the thickness of hydrated layer could be
 110 estimated (as detailed in Supplementary Note 1 in Supplementary data). The chemical method
 111 is based on the analysis of saline solution by an inductively coupled plasma mass spectrometry
 112 (Quadrupole ICP-MS Xseries 2, Thermoelectron).

113 In the aqueous phase, the chemical durability was tested by leaching the glass samples in
 114 deionized (DI) water at 50 °C in static mode. Alteration tests with two durations were carried
 115 out to determine the initial alteration rate and the elemental release behaviors during longer

116 term. The short-term alteration tests lasted for 2 days with pristine glass samples altered in 15
117 mL of DI water at 50 °C. Samplings of 0.6 mL of solution were performed after 2, 4, 8, 24 and
118 48 h of alteration. The longer-term alteration tests lasted for 60 days with pristine glass samples
119 altered in 27 mL of DI water at 50 °C. Samplings of 1 mL of solution were performed after 1, 7,
120 14, 28 and 60 days of alteration. The initial surface area to solution volume (SA/V) ratio is 3.2
121 m^{-1} and 8.5 m^{-1} for alteration tests of 60 days and 2 days, respectively. The ratios are chosen
122 to avoid important variations in SA/V ratios resulting from the samplings and to obtain sufficient
123 elemental concentrations in the leaching solution to facilitate ICP-MS analyses.

124 The chemical durability of the hydrated VNaI sample was also tested. To verify if there was
125 initial large release of elements at the beginning of the glass aqueous alteration, 4 cycles of
126 rinsing were performed with the hydrated sample. For the rinsing procedure, the hydrated
127 sample was firstly put in 5 mL of deionized water for 1 h at 50 °C; then the hydrated glass was
128 taken out of the first rinsing solution, put in 5 mL of fresh DI water, and altered at 50 °C for
129 another 3 h; the same process was repeated with increased alteration time steps (4 h then 16
130 h). After the rinsing process, the rinsed sample was altered in 27 mL of DI water at 50 °C.
131 Samplings of 1 mL of solution were performed after 1, 7, 14, 28 and 60 days of alteration.

132 The collected leaching solution was analyzed by ICP-MS for boron, silicon, and iodine
133 concentration. Boron and silicon were analyzed in HNO_3 with beryllium and scandium as an
134 internal standard. Iodine was analyzed in NH_4OH with indium as an internal standard. The
135 limits of quantification are 0.05 $\mu g \cdot L^{-1}$ for boron, 6.6 $\mu g \cdot L^{-1}$ for silicon, and 0.01 $\mu g \cdot L^{-1}$ for iodine
136 with relative uncertainties of 5 to 10%.

137 The kinetics of glass alteration can be indicated by the normalized mass loss of element i ($NL(i)$,
138 $g \cdot m^{-2}$):

$$139 \quad NL(i) = \frac{C_i}{X_i \times \frac{SA}{V}} \quad (1)$$

140 with C_i the concentration of element i in solution ($g \cdot m^{-3}$), X_i the weight fraction of element i in
141 pristine glass, and SA/V the ratio of the glass surface area to solution volume (m^{-1}).

142 From the normalized mass values, it is possible to calculate the equivalent thickness of the
143 altered layer:

$$144 \quad ET_i = \frac{NL_i}{\rho} \quad (2)$$

145 with ρ the density of pristine glass (provided in **Table A1**).

146 **2.3. Solid characterization techniques**

147 **2.3.1 Atomic force microscopy (AFM) and Instrumented Nano-indentation:**

148 The 3 types of pristine glass samples were investigated for surface roughness, hardness and
149 reduced elastic modulus characterization. The surface roughness of the surfaces was
150 measured by atomic force microscopy (AFM ICON, from Bruker using TAP300Al probes) using
151 tapping™ mode, which was calibrated with silicon atomic steps. For each type of surface
152 preparation, 4 different zones of $5 \times 5 \mu m^2$ were imaged. Topographical data were post treated

153 with Gwyddion software [18] and reported values of roughness (Rq) are mean values (and
 154 associated standard deviation values) computed over those 4 different zones. Nano-
 155 indentation tests were carried out with a nano-indenter testing device (TI-950 from Bruker, with
 156 nano-DMA head) equipped with a Berkovich indenter at ambient conditions (21-22 °C, 42-46%
 157 relative humidity). The partial unloading (PUL) mode with 20 partial unloading segments on
 158 the loading curve and maximum forces (P_{max}) of 1 mN and 9 mN was used for probing the
 159 evolution of mechanical properties as a function of depth at the nanometer scale (as shown in
 160 **Fig. A2** in Supplementary data). For each (pristine and hydrated) sample at 1 mN (9 mN)
 161 indentation maximum load, 150 (50) indents having 20 measurement steps were performed at
 162 a constant loading rate for statistic, and at two different randomly chosen areas on the sample.
 163 Before that the frame compliance and the contact area (A_c) of the indenter were corrected and
 164 calibrated respectively according to the well accepted method described in [19] and using a
 165 silica sample that was glued along with the other tested samples of this study with phenyl
 166 salicylate onto the stainless-steel plate of the nano-indenter. A_c was calibrated for a 5 to 80 nm
 167 contact depth.

168 The Oliver and Pharr method was applied to determine, from the load-displacement curves,
 169 the hardness (H) and the indentation modulus E_r [19]. In the Oliver and Pharr method, the
 170 contact depth (h_c) at maximum load is provided by Eq. (3) where h_{max} is the maximal
 171 penetration depth measured at maximum load, P_{max} , and the right term of the equation stands
 172 for the elastic deformation of the indented surface where ϵ is a geometrical constant ($\epsilon = 0.75$)
 173 related to the indenter geometry and S is the contact stiffness at unloading defined by Eq. (4):

$$174 \quad h_c = h_{max} - \epsilon \frac{P_{max}}{S} \quad (3)$$

$$175 \quad S = \frac{dP}{dh} = \frac{2}{\sqrt{\pi}} E_r \sqrt{A_c} \quad (4)$$

176 with h the displacement of indenter.

177 From h_{max} , P_{max} and S , and knowing $A_c(h_c)$ by calibration, the hardness (H) equals to the mean
 178 contact pressure at P_{max} and the indentation reduced modulus (E_r) may be computed by Eqs.
 179 (5) & (6) (for diamond indenter, $E = 1141$ GPa and Poisson's ratio $\nu = 0.07$):

$$180 \quad H = P_{max}/A_c \quad (5)$$

$$181 \quad 1/E_r = 1/E_{r, indenter} + 1/E_{r, sample} \quad (6)$$

182 Note that for partial unloading mode, a value of both H and E_r is computed from each of the 20
 183 partial unloading segments lying between 0 and P_{max} . Due to the large amount of data, post
 184 processed indentation measurements were processed using Jupyter notebook environment.

185 **2.3.2 MAS NMR spectroscopy**

186 In order to obtain the glass structural information in the pristine and hydrated glass, ^{11}B , ^{23}Na ,
 187 and ^{27}Al Solid State Nuclear Magnetic Resonance (NMR) analyses were performed. Glass
 188 powder was prepared by crushing and sieving particle sizes between 100 and 125 μm . The
 189 glass powder was hydrated during 150 days in a climatic chamber (WEISS WKL64) set at
 190 90 °C and RH at 95%. NMR analyses were carried out with a Bruker Avance III 500 MHz

191 spectrometer using a 2.5 mm Magic Angle Spinning (MAS) probe. The glass powder was
192 loaded into ZrO₂ rotor with Teflon caps. MAS frequency was set to 30 kHz and recycle delay
193 set to 10 s for ¹¹B and 1 s for ²³Na and ²⁷Al. MAS spectra were acquired with a radio frequency
194 field strength of 11 kHz and with a single pulse excitation of $\pi/10$ for ¹¹B spectra, $\pi/9$ for ²³Na
195 spectra, and $\pi/14$ for ²⁷Al spectra. The spectra were referenced against Al(NO₃)₃ and NaNO₃
196 aqueous solutions and against 1 mol L⁻¹ boric acid solution (19.6 ppm) for ²⁷Al and ²³Na and
197 ¹¹B, respectively. ¹¹B spectra were firstly processed to remove the probe background and the
198 contribution of satellite transitions to the central band of the spectrum [20,21]. The processed
199 spectra were decomposed by using DMFit2020 freeware [22]. The simplified decomposition
200 method proposed by Jolivet et al. was used to determine the *N₄* ratio (fraction of four-
201 coordinated boron over total boron) [21].

202 2.3.3 Time-of-Flight Secondary Ion Mass Spectroscopy

203 Glass samples were analyzed by Time-of-Flight Secondary Ion Mass Spectroscopy (ToF-SIMS)
204 for elemental profiling. The profiles of negative secondary ions were obtained by alternating
205 analysis and abrasion cycles. Primary ions of Bi₃⁺ were applied for abrasion cycles at 25 keV.
206 The analyzed area was 50×50 μm². At the end of the analyses, the depth of the crater was
207 measured by a mechanical profilometer. The sputtering rate was assumed to be constant over
208 time and calculated by dividing the depth of the crater by the total abrasion time. The elemental
209 profiles were then obtained by considering the constant sputtering rate. The analysis was
210 conducted with pristine P-3 and P-3-C-A samples, hydrated VNal-22 sample, and hydrated-
211 then-altered VNal-22 sample.

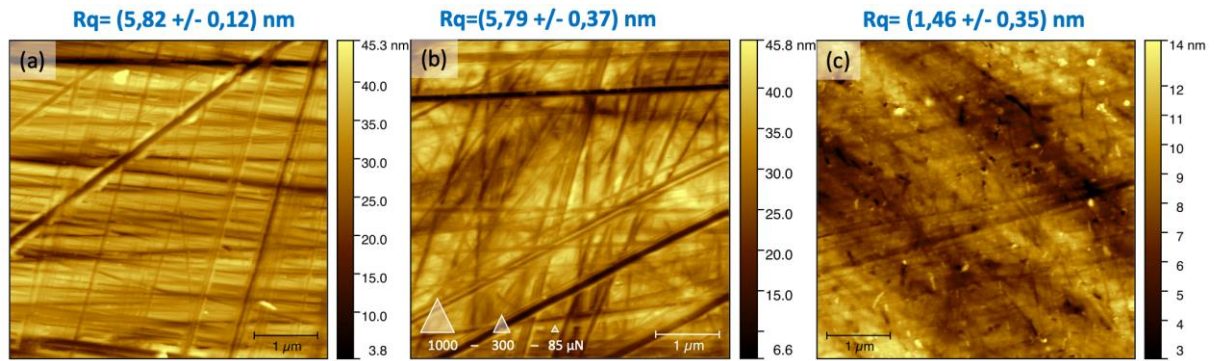
212 To investigate the passivating property of the altered layer on the hydrated-then-altered VNal-
213 22 sample, after the first profiling analysis with ToF-SIMS, the sample was immersed during
214 24 h at room temperature in an ¹⁸O labeled water doped with methylene blue (molecule with a
215 1 nm hydrodynamic diameter). Initial isotopic ratio of ¹⁸O/¹⁶O was 0.86. After 24 h' alteration,
216 the sample traced with ¹⁸O and methylene blue was dried by blowing air to remove water
217 adsorbed on the external surfaces, then plunged in liquid N₂ (77 K) for 10 min to freeze the
218 sample (minimize the pore water mobility). The frozen sample was mounted on a cooled
219 sample holder for ToF-SIMS profiling.

220 3. Results

221 3.1. Characterization of pristine state samples

222 3.1.1 Roughness and mechanical properties of pristine state samples

223 The 3 types of pristine surface states were characterized by AFM for the initial surface
224 roughness (*R_q*) (**Fig. 1**), which gave 5.82 ± 0.12 nm, 5.79 ± 0.37 nm, and 1.46 ± 0.35 nm for
225 P-3, P-3-A, and P-3-C-A samples, respectively. The polishing process with CeO₂ slurry
226 reduced significantly the surface roughness, while the effect of annealing has no noticeable
227 effect on the surface roughness.



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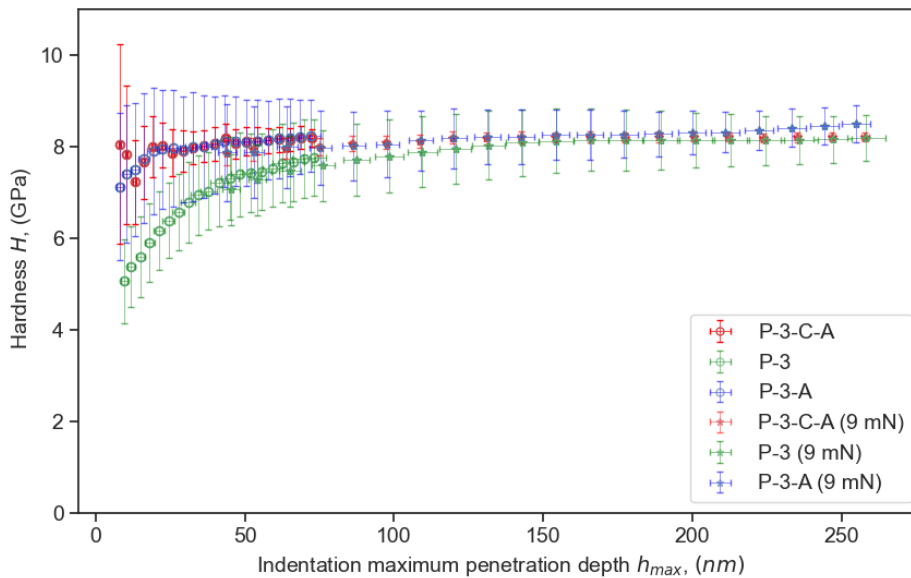
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Fig. 1. AFM topography of the VNaI glass surfaces as a function of surface preparation: (a) polished with a 3 μm sized diamond suspension (P-3); (b) same as (a) with a subsequent thermal annealing at T_g for 2 h (P-3-A); (c) chemically-mechanically polished with CeO_2 , and annealed at T_g for 2 h (P-3-C-A). On figure (b), triangles illustrate the equivalent size of the indentation imprint at h_{max} for loads equal to 1000, 300 and 85 μN . Roughness values were averaged over 4 different areas of 5x5 μm^2 for each sample.



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Fig. 2. Evolution of hardness as a function of penetration depth measured by instrumented Berkovich indentation test using a partial unloading set up (20 segments) with maximum loads of 1 mN and 9 mN made on P-3, P-3-A, and P-3-C-A samples. For the 1 mN maximum load data set two areas were tested with 150 indentations imprint each; for 9 mN 50 to 60 indentations were made. Data points represent the mean value of H from each unloading segment (at a constant load P) at the corresponding mean indentation depth both plotted along with the error bars (± 1 Standard deviation).

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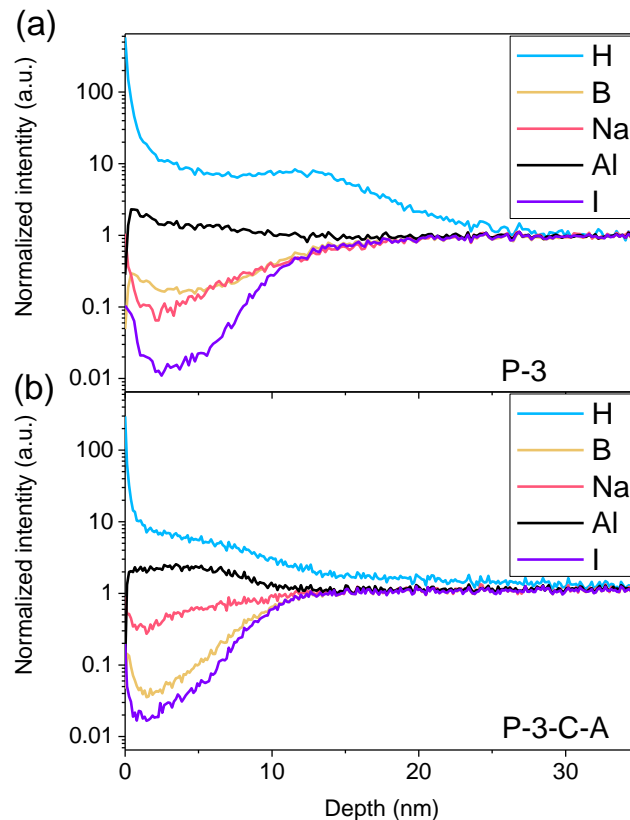
The hardness H and the reduced modulus E_r of the 3 types of pristine glass samples were determined by instrumented nano-indentation as a function of maximum penetration depth (h_{max}) into the surface. Regarding the elastic property ($E_{r,sample}$, shown in **Fig. A3** in Supplementary data), the surface preparation has no detectable effect on its value, without any differences being largely within the error bars (± 1 standard deviation) from 20 nm up to more than 250 nm for h_{max} . For depth values below 20 nm, a slight difference of few GPa is noticeable between the unannealed sample P-3 and the annealed ones (the shallower the depth, the larger the difference). For hardness (**Fig. 2**), the trend is different: P-3-C-A and P-3-

251 A exhibit the same behavior on the whole range of tested penetration depth, while P-3 presents
 252 a rather different one. From more than 250 nm down to 150 nm, H_{P-3} has the same and
 253 constant value ($H = 8.2 \pm 0.6$ GPa) as the ones measured for P-3-A and P-3-C-A samples. For
 254 smaller penetration depths and as penetration depth decreases, H_{P-3} deviates from the
 255 constant H values measured for the 2 other samples and decreases in a non-linear way down
 256 to 4.9 ± 0.9 GPa (40% decrease). It is also worth noting that the variability of both H and E_r
 257 measurements on P-3-C-A sample is much lower than the one of P-3 and P-3-A samples, it is
 258 certainly a direct effect of a lower surface roughness for P-3-C-A when compared to the two
 259 others.

260 3.1.2 Elemental profiles of pristine state samples

261 To characterize the surface of the pristine glass chemically disturbed by the preparation
 262 process, the pristine P-3 and P-3-C-A samples were analyzed by ToF-SIMS. **Fig. 3** gives the
 263 profiles of H, B, Na, Al, and I, normalized firstly to the intensity of Si in the disturbed zone then
 264 to the intensity ratio with Si in the pristine glass (PG) (Eq. (7)).

$$265 \text{ Normalized intensity of element } i = \frac{\text{Intensity of element } i / \text{Intensity of Si}}{\left(\text{Intensity of element } i / \text{Intensity of Si} \right)_{PG}} \quad (7)$$



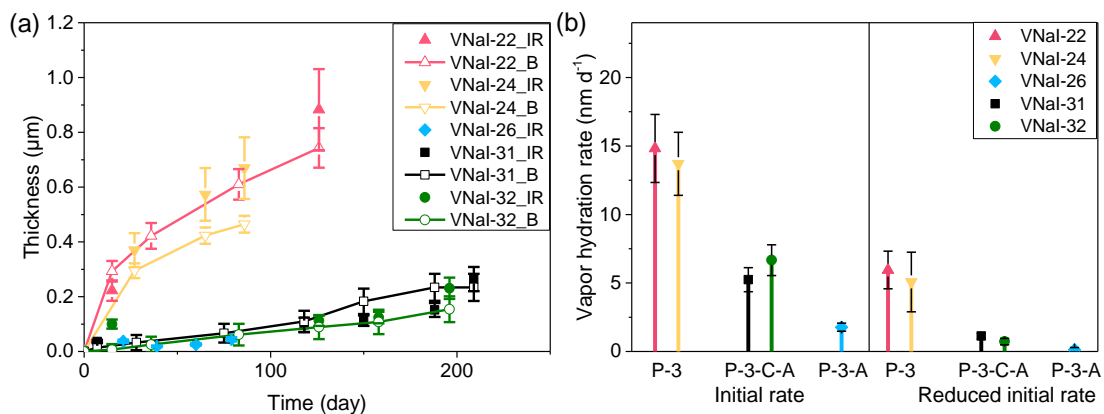
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267 **Fig. 3.** ToF-SIMS profiles of pristine (a) PG-3-1, the glass sample polished to 3 μm (type P-3)
 268 and (b) PG-C-A-1, the glass sample polished to 3 μm and with CeO_2 slurry then annealed
 269 (type P-3-C-A).

270 Si is chosen as the reference for normalization because of its high concentration in VNaI glass.
 271 For both samples, it can be seen from **Fig. 3** that the first 20-30 nm (estimation mainly based
 272 on the depth of H penetration, from a mechanical viewpoint) of the surface has been altered,
 273 which is characterized by a depletion in B, Na and I, and an enrichment in H. This pre-altered
 274 layer is usually referred to the Beilby layer formed during the sample polishing [23]. By looking
 275 at the profiles of different elements, the estimation of the Beilby layer (or the pre-altered layer)
 276 thickness could give different values. In the studies of glass vapor hydration, the commonly
 277 used altered layer thickness estimation method considers the thickness to be the depth at
 278 which the concentration of B is half of its concentration in the pristine glass [5,7]. The Beilby
 279 layer thickness based on boron profiles is about 11.4 nm for polished-only PG-3-1 sample and
 280 about 9.2 nm for polished-then-annealed PG-C-A-1 sample. Considering the 10% error for the
 281 estimated thickness by ToF-SIMS [24,25], the thicknesses of the Beilby layer are similar
 282 between the two analyzed samples. The profiles of H show a larger depth of water penetration
 283 in the polished-only sample than in the annealed sample. Besides, within the thicknesses of
 284 the Beilby layers (11.4 nm and 9.2 nm, respectively), it could be calculated that about 75% of
 285 B, 76% of Na, and 90% of I are lost from the outmost surface of P-3 sample (PG-3-1), while
 286 84% of B, 41% of Na, and 90% of I from the annealed P-3-C-A sample (PG-C-A-1). On the
 287 other hand, the recovery of Na can be observed after sample annealing by comparing the
 288 profiles of B and Na between P-3 and P-3-C-A samples.

289 3.2. Chemical durability of glass in the vapor phase and in the aqueous phase

290 **Fig. 4a** shows the variations of vapor hydrated layer thickness estimated by FTIR
 291 measurements and by the analysis of boron concentration in saline solution. As a general
 292 observation, a good repeatability could be found between samples of the same initial surface
 293 state. Vapor hydration rate can be estimated through the increase of hydrated layer thickness.
 294 An initial hydration rate is calculated by considering the increase of hydrated layer thickness
 295 from the beginning of the tests ($t = 0$ day) to the first FTIR measurement. A reduced initial
 296 hydration rate is calculated between the first FTIR measurement and the end of the experiment.
 297 **Fig. 4b** gives the vapor hydration rates for the tested samples. For both initial and reduced
 298 rates, the polished-only P-3 samples showed significantly larger hydration rate than the
 299 annealed P-3-C-A and P-3-A samples.



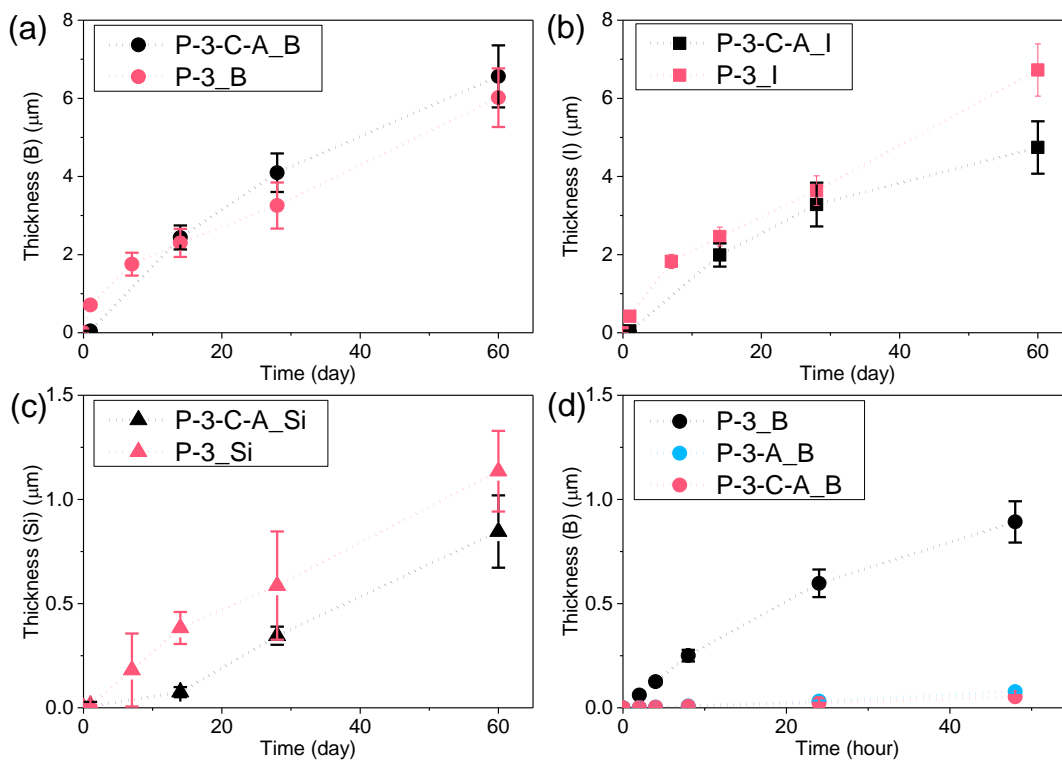
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301 **Fig. 4.** Vapor hydration kinetics of the 3 types of glass samples: (a) variation of hydrated
 302 layer thickness; (b) Initial rate and reduced initial rate. Initial rate is calculated by considering
 303 the increase of hydrated layer thickness from the beginning of the tests ($t = 0$ day) to the first

304 IR measurement. The reduced initial hydration rate is calculated between the first IR
 305 measurement and the end of the experiment.

306

307 **Fig. 5a, b & c** shows the evolution of the alteration layer thickness estimated based on B, I,
 308 and Si release from the longer-term (60-day) alteration tests. Similar variations of thickness
 309 could be found between P-3 and P-3-C-A samples despite of their difference in initial states.
 310 However, for the short-term (2-day) alteration tests (**Fig. 5d**), greater quantity of boron was
 311 released from the non-annealed P-3 sample. **Table 2** summarizes the vapor hydration rates
 312 (based on FTIR measurements) and the aqueous alteration rates (based on boron release)
 313 for the tested samples. The aqueous alteration rates are calculated by dividing the NL_B at 60 days
 314 and at 2 days by the total alteration times, respectively.

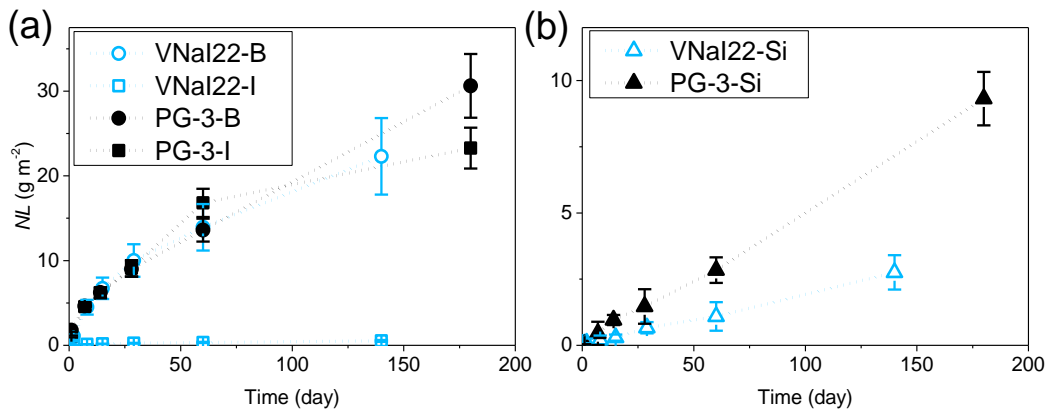


315

316 **Fig. 5.** Data from alteration of pristine glass in DI water at 50 °C. Variation of alteration layer
 317 thickness during 60 days of alteration of PG-3-3 (type P-3) and PG-C-A-3 (type P-3-C-A),
 318 with thickness estimated based on the release of (a) boron, (b) Iodine, and (c) Silicon. (d)
 319 Variation of alteration layer thickness during 2 days of alteration of PG-3-4 (type P-3), PG-3-
 320 A (type P-3-A), and PG-C-A-4 (type P-3-C-A), with thickness estimated based on boron
 321 release. The initial SA/V ratio is 3.2 m⁻¹ and 8.5 m⁻¹ for the longer-term alteration during 60
 322 days and for the 2-days shorter6-term alteration, respectively.

323 After 126 days of hydration in the vapor phase, the hydrated VNal-22 sample was cut into two
 324 pieces. One piece of the hydrated sample was used for the characterization of vapor hydrated
 325 layer. The other piece was rinsed and altered in DI water for 140 days. **Fig. 6** shows the release
 326 of B, I, and Si from the hydrated glass. Comparing the release of elements from the pristine
 327 glass and from the hydrated VNal-22, important reduction in iodine and silicon release is
 328 noticed from the hydrated sample, while the release of boron from the hydrated sample is not

329 affected. The restricted release of iodine and silicon from the hydrated sample indicates a
 330 passivating property of the pre-hydrated layer.



331
 332 **Fig. 6.** Data from alteration of hydrated VNal-22 in DI water at 50 °C. Normalized mass loss
 333 of (a) boron and iodine, and (b) silicon from the alteration of pristine glass (PG-3-3, type P-3)
 334 and from hydrated VNal-22.

335 **Table 2** Summary of vapor hydration rates and aqueous alteration rates.

Sample type	Sample name	Initial vapor hydration rate (nm d ⁻¹)	Reduced initial vapor hydration rate (nm d ⁻¹)	Short-term aqueous alteration rate (nm d ⁻¹)	Longer-term aqueous alteration rate (nm d ⁻¹)
P-3	VNal-22	14.8 ± 2.5	5.9 ± 1.4	446 ± 50	109 ± 13
	VNal-24	13.7 ± 2.3	5.1 ± 2.2		
P-3-C-A	VNal-31	5.2 ± 0.9	1.1 ± 0.2	26 ± 2	100 ± 12
	VNal-32	6.7 ± 1.1	0.7 ± 0.2		
P-3-A	VNal-26	1.8 ± 0.3	0.1 ± 0.2	39 ± 3	Non analyzed

336 Vapor hydration rates are calculated by considering the variation of physical thickness (based
 337 on FTIR measurements). Aqueous alteration rates are calculated based on boron release.

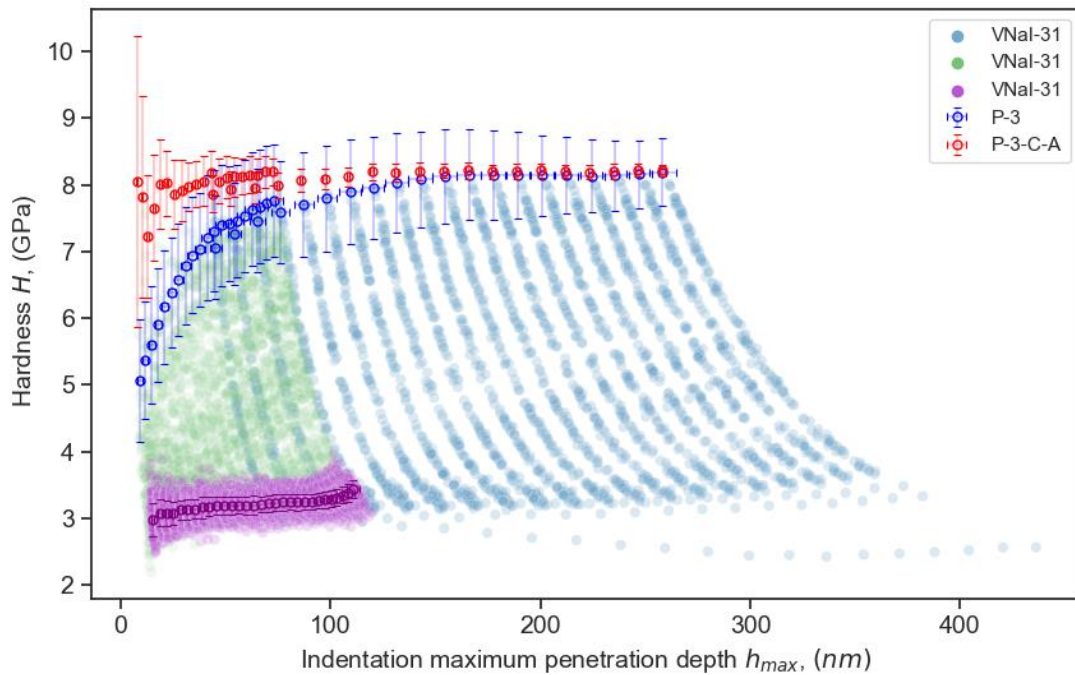
338 3.3. Characterization of hydrated and hydrated-then altered samples

339 3.3.1 Degradation of mechanical properties of the hydrated layer

340 After 209 days of hydration in the vapor phase, FTIR spectrum taken on the hydrated VNal-31
 341 gave a hydrated layer thickness of 264 ± 44 nm (FTIR spectra of hydrated and pristine glass
 342 samples are given in Fig. A1 in Supplementary Data). The hydrated VNal-31 was cut then
 343 characterized for hardness and reduced elastic modulus by performing nano-indentation tests
 344 at different penetration depths. The hydrated glass samples of type P-3 and P-3-A were not
 345 characterized by nano-indentation tests because of the important surface roughness, which
 346 would introduce a tremendously large uncertainty on the measurements.

347 Partial unloading (PUL) instrumented nano-indentation tests allow for exploring the evolution
 348 of mechanical properties (H and $E_{r, sample}$) as a function of indentation depth. Results are
 349 reported in **Fig. 7** and in **Fig. A3** in Supplementary data. For the data sets (P-3-C-A & VNal-
 350 31), matrices of 10x15 indentations with a maximum load of 1 mN were made at two different
 351 and randomly chosen areas. 10x10 indentations matrices were also carried on at a third spot
 352 location this time with a maximum load of 9 mN. H and $E_{r, sample}$ values computed from each

353 PUL segment of each of the 400 curves (8000 data points in total) are reported in **Fig. 7** and
 354 **Fig. A3**, respectively. On **Fig. 7** was also added previous measurements obtained for P-3-C-
 355 A and P-3 pristine surface states.



356

357 **Fig. 7.** Evolution of the sample's hardness (H) as a function of penetration depth (h_{max}), at
 358 each partial unloading segment (20 segments, $P_{max}=1$ and 9 mN) performed on P-3-C-A and
 359 VNaI-31 samples. While only the mean value and error bars (± 1 standard deviation) are
 360 reported for P-3-C-A and P-3 pristine state samples, which sets up an upper limit to the
 361 possible values of H for the hydrated glass. For the homogeneous area of the VNaI-31
 362 sample (purple points), all the measured values from the data set are reported along with the
 363 mean value and error bars computed for each segment (same maximum load). Scattered
 364 data points reported in green and in blue stand for different tested areas of VNaI-31 with a
 365 maximum load P_{max} equal to 1 mN and 9 mN respectively.

366 The first remark is the large distribution of the data points for each considered depth, which
 367 may be understood in terms of heterogeneity of the surface in response to indentation.
 368 Nevertheless, this large distribution lies between an upper limit set by the properties of the
 369 pristine glass (especially mean values of H_{P-3} at low penetration depth and a lower limit. The
 370 latter is defined from the measurements made at low loads on a quite homogeneous area of
 371 the hydrated surface and is illustrated in **Fig.7** by the purple data points for which H does not
 372 depend on the indentation depth. This zone is considered to provide the mechanical properties
 373 of the hydrated zone of the glass sample ($H_{hyd} = 3.2$ GPa and $E_{r,hyd} = 40.0$ GPa).

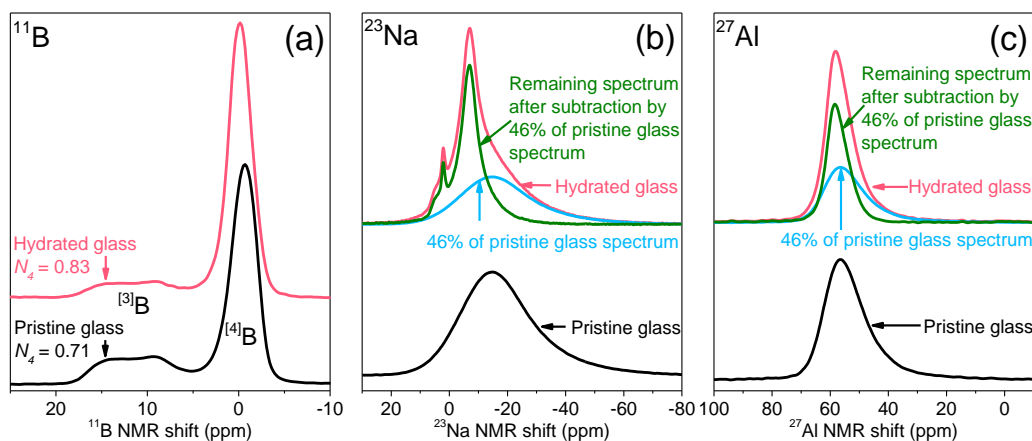
374 Following the increase in penetration depth, higher H and $E_{r,sample}$ values are found. Thus, the
 375 large scattering of the data points between the upper and lower limit values of H and $E_{r,sample}$
 376 reflects the heterogeneity of the hydrated surface in terms of thickness of the hydrated layer.
 377 Nonetheless, only few measurements asymptotically reach the hardness of the pristine glass
 378 at larger penetration depth (200-300 nm). Regarding $E_{r,sample}$, the same trend is observed (**Fig.**
 379 **A3**), yet not as clear as for H . This is not unexpected as the hydrated sample may be crudely
 380 described as a soft and compliant thin hydrated layer on top of a harder and stiffer substrate.
 381 As plasticity is more confined around the indenter than elasticity is, E_r is expected to be more

382 affected (at a shallower indentation depth) by a substrate effect than hardness values. In other
 383 words, for very large penetration depth, when compared to the hydrated layer thickness, values
 384 of the pristine glass are expected for H and $E_{r,sample}$. The plots of H - $E_{r,sample}$ provides another
 385 way of looking at the data (see **Fig. A5** in Supplementary data), which confirms this behaviour

386 The inhomogeneity of the surface hydrated layer reported through mechanical properties
 387 characterization is compatible with surface observations from TEM-EDX analysis performed
 388 on a thin foil extracted from the hydrated surface (**Fig. A6 and A7** in Supplementary data). The
 389 later revealed also the inhomogeneity of the hydrated surface of the VNaI-31 sample (**Fig. A6**).
 390 Besides, no-significant change in chemical composition was observed (**Fig. A7**).

391 3.3.2 ^{11}B -NMR of pristine and hydrated glass

392 MAS NMR spectroscopy was used to characterize the pristine and hydrated glass powder (with
 393 initial particle size between 100 and 125 μm). As seen in **Fig. 8**.



394
 395 **Fig. 8.** MAS NMR spectra of pristine and vapor hydrated VNaI glass: (a) ^{11}B spectra of
 396 pristine and hydrated glass; (b) ^{23}Na , and (c) ^{27}Al spectra normalized to the surface area, with
 397 hydrated glass spectra subtracted by a fraction of the pristine glass spectra.

398 The ^{27}Al MAS NMR spectrum of the pristine VNaI shows a broad asymmetric resonance due
 399 to both distributions of electric field gradient (EFG) and of isotropic chemical shifts. The ^{27}Al
 400 MAS NMR spectrum can be fitted by a single line ('Czsimple' model of DMFit freeware) at 62
 401 ppm (δ_{iso} , isotropic chemical shift), $C_Q = 4.9$ MHz (mean quadrupolar constant) and $\text{FWHM}(\text{CS})$
 402 = 11 ppm (full width at half maximum of the Gaussian distribution of isotropic shifts). The
 403 spectrum of the hydrated glass shows a slightly narrower resonance, which is due to the
 404 presence of neighboring water [26]. Similar reduction of linewidths of ^{27}Al MAS NMR spectra
 405 was also observed for glass samples altered in the aqueous phase [26,27]. Spectral
 406 decomposition gives $\delta_{\text{iso}} = 62$ ppm, $C_Q = 4.1$ MHz and $\text{FWHM}(\text{CS}) = 7$ ppm. Hence, for both
 407 pristine and hydrated glass samples, Al only occurs as AlO_4 and no evidence of the presence
 408 of AlO_6 is found (expected at ~ 0 ppm) after alteration.

409 The ^{23}Na MAS NMR spectrum of the pristine VNaI also shows a broad featureless asymmetric
 410 resonance. The ^{23}Na MAS NMR spectrum can be fitted by a single line ('Czsimple' model) at
 411 $\delta_{\text{iso}} = -5$ ppm with $C_Q = 3.0$ MHz and $\text{FWHM}(\text{CS}) = 21$ ppm. Here again, the ^{23}Na MAS NMR
 412 spectrum of the hydrated glass sample exhibits a more symmetrical line shape with reduced

413 linewidth due to the presence of neighboring water. A new narrow peak is observed at about
414 2.1 ppm, which may indicate the formation of sodium-containing secondary (possibly
415 crystalline) phases.

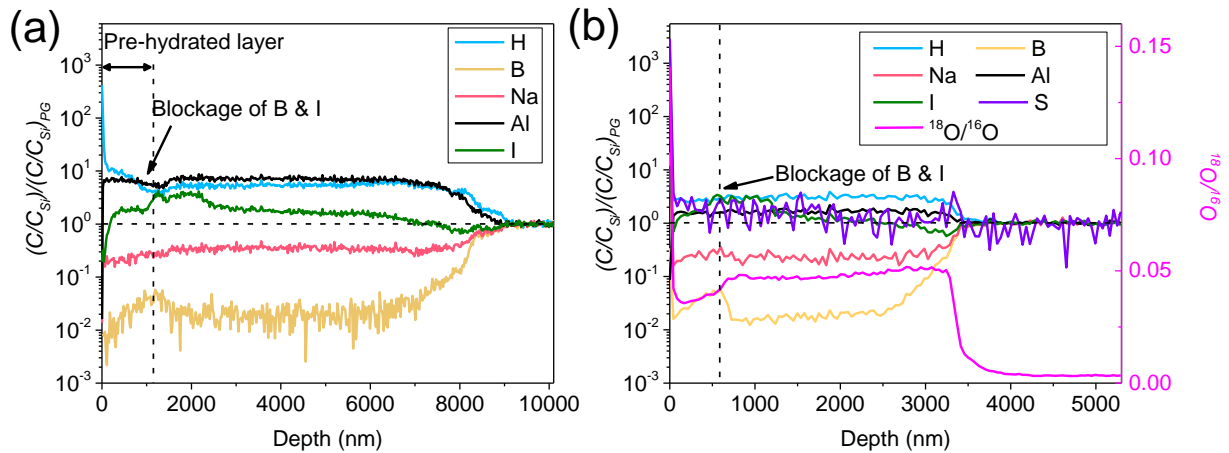
416 ^{11}B MAS NMR spectra show narrow and broad resonances for BO_4 (^{14}B) and BO_3 (^{13}B),
417 respectively [20,28,29]. The fraction of BO_4 over total boron (N_4 ratio) can be determined rather
418 easily through the area under the MAS peaks. Therefore, the simplified peak decomposition
419 approach proposed by Jolivet et al. was applied [21]. The N_4 ratio is determined to be 0.71 for
420 pristine glass and 0.83 for hydrated glass powder. Though an increase of N_4 ratio from 0.71 to
421 0.83 is found, it should be noted that the pristine glass could still be present beneath the
422 hydrated layer that covers the external part of the glass particles. As a result, the N_4 ratio of
423 the hydrated layer could be higher than 0.83. The experimental spectra of the partially hydrated
424 glass sample could be considered as the sum of the pristine one and the totally hydrated one.
425 However, the NMR spectra of a totally hydrated sample is unknown in our study. In this case,
426 only a maximum contribution from the pristine glass could be estimated by subtracting the
427 spectrum of the partially hydrated sample by the spectrum of pristine sample while maintaining
428 the positive intensity for the subtracted spectrum. The NMR spectra were firstly normalized to
429 the surface area. With the ^{23}Na NMR spectra, a maximum fraction of 46% is found. Interestingly,
430 the same fraction is obtained with the ^{27}Al spectra. Taking into account the 46% of the pristine
431 glass in the partially hydrated glass sample, the N_4 ratio for the totally hydrated layer is
432 calculated to be 0.93, which indicates the predominance of four-coordinated boron in the
433 hydrated layer.

434 3.3.3 Elemental profiles of hydrated and hydrated-then-altered P-3 samples

435 The hydrated VNaI-22 and the hydrated-then-altered VNaI-22 were characterized by ToF-
436 SIMS for elemental profiling in the degraded layers. The profile of hydrated VNaI-22 has been
437 presented in the previous study, showing a hydrated layer of 830 nm with 9% of boron and 92%
438 of iodine retained therein [7]. To further investigate the passivating properties of the hydrated
439 layer, the hydrated-then-altered glass was immersed during 24 h at room temperature in an
440 ^{18}O labeled water doped with methylene blue. The molecules of methylene blue have a
441 hydrodynamic diameter of 1 nm and can be traced by the profile of sulfur. The penetration of
442 methylene blue could imply the presence of pores or channels with diameters larger than 1nm.
443 The change of isotopic ratio of $^{18}\text{O}/^{16}\text{O}$ could indicate the fraction of pores accessible to water
444 molecules.

445 **Fig. 9a** shows the ToF-SIMS profiles of hydrated-then-altered VNaI-22 glass. The glass
446 sample was firstly hydrated in the vapor phase during 126 days then altered in DI water for
447 140 days. The elemental profiles in the hydrated-then-altered layer show depletion of boron
448 and sodium and retention of iodine, which are similar to the profiles obtained on the hydrated
449 VNaI-22. Moreover, the great retention of iodine in the hydrated-then-altered layer is not
450 surprising because iodine was merely released during the alteration tests (**Fig. 6**). At about
451 1300 nm, a local maximum concentration of boron and iodine is observed indicating a possible
452 accumulation of boron and iodine at this depth. Based on the profile of boron, the thickness of
453 the hydrated-then-altered layer is estimated to be 8.3 μm . The NL_B obtained during the
454 alteration of the hydrated VNaI-22 indicates that an altered layer of 8.7 μm has been developed
455 after 140 days of alteration in DI water. However, it should be noted that the surface of the

456 hydrated-then-altered glass shows a non-negligible roughness, which could induce great
457 uncertainties in estimating the thickness of the degraded layer.



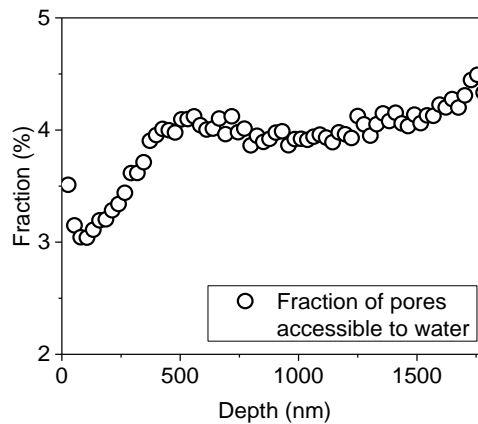
458

459 **Fig. 9.** ToF-SIMS profiles of hydrated-then-altered VNaI glass (a) before and (b) after tracing
460 with ^{18}O and methylene blue.

461 **Fig. 9b** gives the ToF-SIMS profiles obtained from the hydrated-then-altered glass sample
462 after tracing with ^{18}O and methylene blue. The blocking barrier of boron and iodine release
463 (implied by the local maximum concentration of the two elements) is located at about 550 nm.
464 The thickness of the hydrated-then-altered layer is about 3.3 μm . The difference in thickness
465 of the hydrated layer (or the depth of the blocking barrier) and of the hydrated-then-altered
466 layer could be due to the inhomogeneity of the degraded surface layer as the elemental profiles
467 are taken at different positions on the glass sample. The profile of methylene blue in the glass
468 sample is marked by the profile of sulfur. It can be seen that the methylene blue molecules did
469 not penetrate into the hydrated layer (or the hydrated-then-altered layer), which indicates that
470 pore sizes of the hydrated layer should be smaller than 1 nm.

471 By applying the method proposed by Gin et al., the fraction of pores accessible to water
472 molecules can be calculated based on the elemental and isotopic profiles obtained on the
473 hydrated-then-altered layer traced with ^{18}O [25]. By supposing that the pores (assumed to be
474 spherical) in the hydrated-then-altered layer were created by the release of soluble elements
475 (B, Na, and I for the current VNaI sample), the total volume of pores could be calculated based
476 on the elemental profiles. The ionic volumes considered in the calculation are given in **Table**
477 **A2** in Supplementary data. The co-existence of three-coordinated BO_3 and four-coordinated
478 BO_4 has been considered when calculating the total pore volume, with the N_4 ratio (equals to
479 0.71) determined by NMR analysis of pristine VNaI glass powder. However, the modification
480 of N_4 ratio in the altered layer was not considered. With the calculated total pore volume in the
481 hydrated-then-altered layer, a hypothetical isotopic ratio of $^{18}O/^{16}O$ could be determined by
482 assuming that all the pores are filled with the ^{18}O labelled water. On the other hand, the ToF-
483 SIMS analysis provided experimental profile of $^{18}O/^{16}O$ in the hydrated-then-altered layer. The
484 isotopic profile was firstly corrected by fixing the isotopic ratio in the pristine glass to the natural
485 abundance of ^{18}O (0.002). The fraction between the corrected isotopic ratio and the
486 hypothetical isotopic ratio could then be considered as ratio of pores that are accessible to
487 water molecules during 24 h of alteration. **Fig. 10** shows the low fraction of accessible pores,
488 about 3-4%, in the first 1800 nm of the hydrated-then-altered layer. It should be noted that the

489 calculation of the accessible pores fraction considered only the oxygen exchange among water
490 molecules. In the altered layer, oxygen of water molecules may exchange continuously with
491 oxygen atoms from silanols and structural (bridging) oxygen. Nevertheless, the low exchange
492 ratio of oxygen is attributed to the self-reorganization of the altered layer, explaining its
493 passivating property [25]. For the small value of fraction of accessible pores observed in this
494 study, especially within the first 500 nm (corresponding to the vapor-hydrated layer), it suggests
495 also the blocking properties of the hydrated layer in limiting the transport of boron and iodine
496 ions with water molecules.



497

498 **Fig. 10.** Fraction of pores accessible to water molecules in the hydrated-then-altered layer.

499 **4. Discussions**

500 **4.1 Impacts of polishing and annealing on glass initial states**

501 Characterizations of the pristine glass samples of different initial states (P-3, P-3-A, and P-3-
502 C-A) showed the existence of an outmost layer that is physically and chemically modified by
503 the polishing process and by the subsequent thermal treatment. This modified layer is often
504 referred as the Beilby layer [30,31]. For oxide glasses, this layer results from both the
505 mechanical action of the slurries on the glass surface (densification, microcracks) and the
506 mechanochemical interaction of the slurry with the glass surface which lead to a gentler
507 material's removal. The glass composition, the nature of the slurry as well as the supporting
508 medium (often a liquid) will affect the relative importance of the two above-mentioned
509 mechanisms and the properties of the resulting Beilby layer. Beilby layer is reported to be
510 composed of an outmost hydrated layer followed by a densified layer at deeper depth,
511 thicknesses of which will depend on the polishing parameters such as stress applied, liquid
512 used for polishing, and nature of the particles (diamond, alumina, CeO₂...). Névot and Croce
513 reported a hydrated layer of 1.1 nm and a densified sublayer of several tens of nanometres'
514 thick having a maximum density at a depth of 5.1 nm for chemical mechanical polishing (CMP)
515 conditions with CeO₂ [32]. Using TEM, Toyolo et al. reported that the thickness of the modified
516 layer, depending on polishing conditions, could be up to 150 nm for polished PECVD SiO₂ film
517 deposited onto Si [33]. Further TEM investigations revealed the presence of 2 sub zones in
518 this hydrated layer: the first one, which was chemically altered, extends 3-4 nm below the
519 polished surface, while the second one whose thickness varies between 15 and 20 nm was
520 attributed to a plastically densified region. This structure of layers agrees well with values
521 previously reported using small angle X rays by Nevot and Bensch [32,34]. Therefore, as a
522 rule of thumbs, the main difference between CMP polished glass surface with CeO₂ (P-3-C-A

523 sample) and diamond polished surfaces (P-3 samples) will be for the later: a larger thickness
524 of the pre-hydrated layer, the presence of a larger and deeper densified layer along with the
525 possible existence of sub surface damages (micro and sub microcracks).

526 The next point to be discussed is the effect of a high temperature (at transition temperature,
527 T_g) thermal treatment on the Beilby layer. First, it is well established that indentation-induced
528 densification is known to be totally cured by a sub- T_g ($0.9 \cdot T_g$, 2h) thermal treatment leading
529 to no difference in dissolution rate at a previously densified site once thermally cured [35–37].
530 Moreover, thermal treatment at T_g allows for both the dehydration and the dihydroxylation of
531 oxides glasses [38–40].

532 Considering the thermal cure of annealed samples, the decrease of hardness values with
533 decreasing penetration depth observed for P-3 sample only is related to the presence of a
534 modified and hydrated layer at the outmost surface. Furthermore, for soda lime and borosilicate
535 glasses, it was shown that the effect of the hydrated layer on the apparent elastic modulus
536 ceased at a penetration depth equal to the thickness of the hydrated layer [41]. From **Fig. A3**,
537 P-3 behavior departs slightly from the one of P-3-A samples within a penetration depth of about
538 20 nm, which would set an approximate value of this layer thickness. The later value agrees
539 well with the P-3 ToF-SIMS measurements reported in **Fig. 3a**, especially when remembering
540 that water content is known for altering the mechanical properties of glass [42,43] and
541 considering that hydrogen concentration is at a maximum value down to a depth of 15 nm
542 followed by a smooth decrease reaching the bulk glass value at a depth of approximately 25
543 nm.

544 **4.2 Influence of initial states on glass vapor hydration**

545 Glass samples (P-3-C-A) showed a net decrease in surface roughness after polishing with
546 CeO_2 slurry, while no effect of annealing was observed in modifying the surface roughness (P-
547 3 and P-3-A samples). When comparing the chemical durability in the unsaturated vapor phase
548 and in the aqueous phase (with short-term alteration tests), a major difference was observed
549 between the annealed and the unannealed glass samples. The two types of the annealed
550 samples (P-3-C-A, P-3-A) showed no noticeable difference in hydration kinetics, although a
551 smaller surface roughness was found on the P-3-C-A glass. These observations suggest thus
552 minor effect of surface roughness on the kinetics of glass vapor hydration.

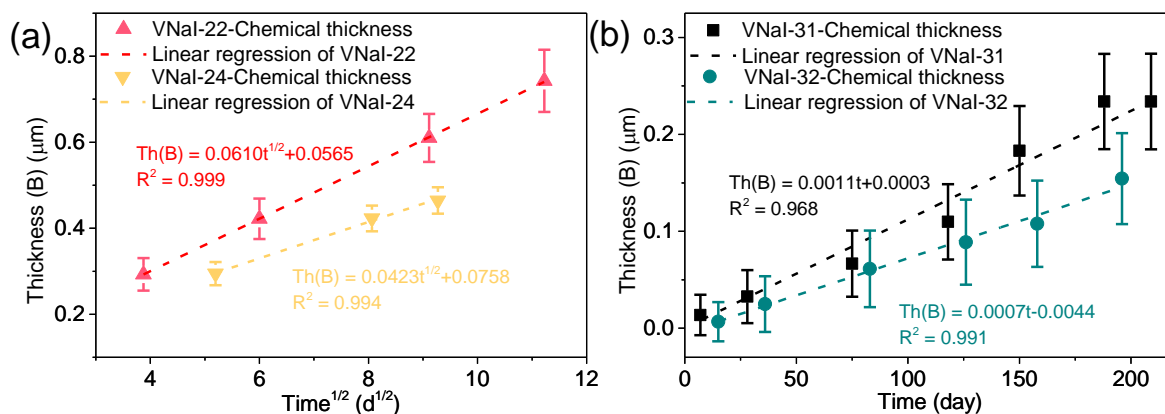
553 As discussed above (Section 4.1), the polished P-3 glass may present a larger and deeper
554 densified layer along with the possible existence of subsurface damages (micro and sub
555 microcracks). The plastically densified layer is considered to be formed due to the compressive
556 stress created by contacting with the abrasive particles [44,45]. Molecular dynamics simulation
557 works concerning the chemical mechanical polishing of silicon showed the generation of huge
558 hydrostatic pressure in the local area [46]. Such densification behavior induced by hydrostatic
559 stresses was found to be comparable with the behavior of glass under indentation [47].
560 Consequently, we may consider that the densified layer formed during polishing process is
561 similar to the densified zone created by indentation. Besides, locally enhanced dissolution
562 rates were found at indentation sites on the glass surfaces (silica and floated window glasses)
563 [37]. Therefore, the densified layer formed during glass polishing may contribute to the
564 enhanced short-term alteration rate (**Fig. 5d**). As mentioned above, the indentation-induced
565 densification can be totally cured by the thermal annealing, which is also compatible with the
566 reduction of alteration rates as shown in the short-term alteration data obtained with annealed
567 glass (**Fig. 5d**).

568 Underlying the macroscopic densification are the modification in glass structural network. For
569 silicate glasses densified under high pressure or indentation, it has been found the decrease
570 of Si-O-Si angles between silicon tetrahedrons and the increase in Q_2 species (Si-O-
571 stretching in a structural unit with n non-bridging O atoms) at the expense of Q_3 species [48]
572 (Taniguchi 2004). Hence, the decrease in inter-tetrahedral angle could increase effectively the
573 chemical activity of Si-O-Si bonds, resulting in a lower resistance to hydrolysis [49]. These
574 structural changes can be identified by Raman spectroscopy, where the changes in mean
575 angle between silicon tetrahedrons could be characterized by a shift of Raman spectra towards
576 higher frequency at round 500 cm^{-1} [48,50–52]. However, the structural modifications on the
577 annealed samples in this study were not successfully characterized with Raman spectrometry
578 (**Fig. A8** in Supplementary data), which might be due to the relatively thin densified layer in
579 front of the spatial resolution of micro-Raman probe. Besides, the presence of boron in the
580 glass network leads to the mixture of B-O-B, B-O-Si, and Si-O-Si bonds, which makes it more
581 complex for interpreting Raman spectra.

582 Moreover, the role of boron should not be neglected because the hydration of glass in the
583 vapor phase is always accompanied by boron release. Studies showed that the thermal
584 annealing of the glass may lead to the increase of BO_4 and decrease of non-ring BO_3 in the
585 glass matrix [13,53]. The current work confirms the preferential release of three-coordinated
586 BO_3 in the vapor phase by performing ^{11}B NMR analysis. Recent simulation work on
587 borosilicate glass-water interface showed that BO_3 units are more accessible to water attacks
588 than BO_4 units, which is surrounded by a higher amount of sodium [17]. As a result, the lower
589 release of boron from the annealed glass may be induced by the increase in the fraction of
590 tetrahedral BO_4 whose release may require the prior hydrolysis of the B-O-Si bond.

591 **4.3 Insights into the mechanism of boron release**

592 Considering the possible hydrolysis process during glass vapor hydration, especially the
593 hydrolysis of B-O-Si bonds, linear regressions between chemical thickness (thickness of
594 hydrated layer estimated through boron release) and time (or square root of time) were
595 performed. As shown in **Fig. 11**, the increases of hydrated layer thickness for P-3 samples
596 (VNal-22 and VNal-24) are proportional to the square root of time, which suggests a diffusion-
597 controlled process of boron release [10,54]. By applying Fick's second law, the apparent
598 diffusion coefficients for boron were calculated to be $(2.5 \pm 1.2) \times 10^{-20}\text{ m}^2\text{ s}^{-1}$ for P-3 glass
599 samples. For the P-3-C-A samples (VNal-31 and VNal-32), linear increases of hydrated layers
600 can be observed, which implies a hydrolysis-dominating process. As a matter of fact, the
601 constant rate of elemental release has been attributed to the contribution of hydrolysis reaction
602 in the studies of glass aqueous alteration [55]. It is therefore possible that the polishing process
603 has lowered the resistance for the hydrolysis of B-O-Si liaisons in the P-3 glass network, while
604 cured subsequently by thermal annealing in the P-3-A and P-3-C-A glasses. Consequently,
605 the hydrolysis process became a rate-limiting step for the hydration of annealed glass in the
606 vapor phase. The fact that a higher initial aqueous alteration rate is observed with the non-
607 annealed glass samples is compatible with the hypothesis of decrease of B-O-Si hydrolysis
608 barrier, because the hydrolysis process is considered to be a pre-dominant mechanism in
609 controlling the glass initial alteration rate [56,57]. To summarize, the linear regressions
610 performed on the P-3 and P-3-C-A samples suggest the co-presence of diffusion and
611 hydrolysis processes in controlling the release of boron during glass vapor hydration.
612 Noteworthy, between these two processes, the pre-dominant one should depend strongly on
613 the surrounding environment of boron, which consequently controls the kinetics of its release.



614

615 **Fig. 11.** Linear fitting of chemical thickness: (a) chemical thickness of VNaI-22 and VNaI-24
616 versus square root of time; (b) chemical thickness of VNaI-31 and VNaI-32 versus time.

617 Considering the fact that both diffusion and hydrolysis are mechanisms that have been well
618 established to explain the release of elements in the aqueous phase [11,12,25,54,58–62], the
619 proposition of the mechanisms of boron release during glass vapor hydration could be inspired
620 from these existing studies. Recent study on glass aqueous alteration in the silica saturated
621 condition also revealed the important role of boron in controlling the residual rate of glass
622 dissolution [11]. By looking into the experimental observations obtained from the experiments
623 of glass aqueous alteration in the silica-saturated condition and of glass hydration in the
624 unsaturated vapor condition, similarities in boron behaviour could be found. At residual
625 alteration rate, boron (about 12%) and alkali elements (about 25-30%) were found to be
626 retained in the altered gel layer [11,54]. Similar boron and alkali retention ratios were found
627 during the glass vapor hydration [7]. In the silica saturated condition, the release of Si from the
628 glass is largely limited while the release of boron continues [63]. In the unsaturated vapor
629 condition, only the release of boron in the vapor phase was detected. In a study of water
630 adsorption in the unsaturated vapor condition, Asay et al. suggested the presence of three
631 types of water layer structures at relative humidity (RH) higher than 60%: (1) the ice-like layer
632 covering the glass surface, preferably formed by interacting with the silanol groups; (2) a
633 transitional layer that links the ice-like layer and the outmost liquid water layer; (3) the outmost
634 liquid water layer with totally liquid-like configured water layer [64,65]. At 99%RH (the tested
635 experimental condition), tens of monolayers of water molecules could be adsorbed at the
636 surface, including monolayers of liquid-like water [64]. Due to the limited quantity of liquid-like
637 water on the glass surface, the fast increase or even the saturation of boron and silica in the
638 liquid-like water should be expected. Previous study showed that boron could be easily
639 evaporated in the vapor condition [7]. Following the fast increase of boron concentration in the
640 liquid-like water layer, the evaporation of boron could be a rate-limiting factor controlling the
641 rate of glass vapor hydration. Considering the similarity of glass hydration in the vapor phase
642 and glass alteration at residual rate and the previous discussions on the effect annealing, we
643 propose the following mechanisms of glass vapor hydration involving the release of boron:

644 a) Adsorption of water on the glass surface;

645 b) Diffusion of water in the glass network and release of Na by inter-diffusion;

- 646 c) Proton (occurred after the inter-diffusion and loss of Na⁺) catalyses the hydrolysis of B-O-
647 Si bond (which is less resistant than Si-O-Si bond [58]);
- 648 d) Diffusion of BO_{3, aq} towards the glass surface and accumulation in the liquid-like adsorbed
649 water layer;
- 650 e) Evaporation of boron from the liquid-like water layer.

651 **4.4 Transport-limiting property of vapor hydrated layer**

652 It could be implied from the ToF-SIMS profiles of the hydrated glass that the pores in the
653 hydrated layer are mainly formed by the departure of boron and sodium. The release of boron
654 through hydrolysis and diffusion processes results in the formation of silanol groups. The
655 depolymerization of the silicate structure was found in the previous study through Raman
656 analysis [7]. Besides, the degradation of the physical properties in hardness and elastic moduli
657 is coherent with the depolymerization of the glass network. Though the preferential release of
658 boron leads to the increase in the fraction of four-coordinated BO₄ in the hydrated glass, it is
659 not contradictory to the apparent network depolymerization because of the small concentration
660 of boron left in the hydrated layer.

661 Alteration of the pre-hydrated VNal glass in DI water shows reduced release of iodine and
662 silicon, which suggests the role of hydrated layer as a blocking barrier. ToF-SIMS
663 characterizations of the hydrated-then-altered layer confirm the accumulation and blockage of
664 boron and iodine at the interface between the pre-hydrated layer and the newly developed
665 altered layer during glass aqueous leaching. During the leaching of the pre-hydrated VNal
666 glass, the release of boron was not influenced by the presence of the pre-hydrated layer.
667 Besides, the release of boron from the pristine glass and from the hydrated glass are both
668 proportional to the square-root of time, suggesting a diffusion-controlled process.
669 Consequently, the pre-hydrated layer could be considered as a transport-limiting barrier for the
670 release of elements during the subsequent leaching: with the ingress of water molecules
671 through the hydrated layer into the pristine glass, the B-O-Si bonds are hydrolyzed to allow the
672 detachment of boron from the glass network; then the release of the detached boron into the
673 leaching solution is limited by the process of diffusion through the pre-hydrated layer. In terms
674 of iodine retention in the hydrated layer during glass leaching test, aside from the transport-
675 limiting effect, it would be necessary to investigate the structural and chemical environment of
676 iodine, as well as sodium and aluminum which are usually found in the surrounding
677 environment of iodine [66,67].

678 **4.5 Degradation of glass mechanical properties: thickness of the hydrated layer**

679 The decrease of hardness with penetration depth of P-3 sample is well described by the
680 Korsunsky [68] model (**Fig. A9** in Supplementary data) which has proven itself to be well suited
681 for describing the substrate effect on thin film mechanical properties measurements (H , E_r ,
682 *sample*) by instrumented nano indentation. This confirms, if needed, the fact that a thicker softer
683 and certainly more compliant modified layer exists at the outmost surface of P-3 sample as
684 reported in a previous study [69]. Unfortunately, this model with the data in our possession
685 does not allow for the computation of a layer thickness without a proper calibration, which can
686 be done from the thickness (≈ 20 nm) of the hydrated layer provided by the ToF-SIMS
687 technique.

688 Regarding the VNaI-31 sample for indentation depths ranging from 10 nm to 400 nm, the whole
689 range of possible H and $E_{r, sample}$ values (from fully hydrated layer to bulk glass) are covered on
690 the VNaI-31 sample surface (**Fig. 7**). This means that the thickness of the altered layer that
691 has developed at the surface of the sample is highly heterogeneous in thickness. Although the
692 Korsunsky [68] model stands for a homogeneous thin film on a homogeneous substrate
693 geometry, a rough estimation of a possible range of hydrated layer thicknesses is possible.
694 The procedure is explained, and results are presented in Supplementary data (Note 5). As
695 mentioned above, the Korsunsky model is calibrated with P-3 sample, then the model is
696 applied to VNaI-31 data, the film thickness (t) becoming in this case the adjusting parameter.
697 From the $H(h_{max})$ values coming from 16 indentation curves randomly selected in the data set,
698 values of t ranging from 30 to 800 nm are obtained (**Fig. A10** in Supplementary data). The
699 majority of the values lies between 100 and 200 nm ($\approx 50\%$), the rest is distributed as follow:
700 20-50 nm (25%), 200-500 (19%), 500-800(8%). The same method was applied to the VNaI-31
701 homogeneous area, possible values of t were found to range between 600 and 800 nm. It is
702 interesting to note that P-3 $H-h_{max}$ curve (**Fig. 7**) fits almost perfectly the outmost H_{sample} values
703 of VNaI sample, the two having similar hydrated layer thicknesses (20-30 nm range), although
704 their hardness value is different 4.8 GPa for P-3 and 3.2 GPa for VNaI-31. Those values of t
705 compare well with other techniques used in this study in terms of: heterogeneity of the hydrated
706 layer that has developed on VNaI-31 sample; thicknesses of the hydrated layer for P-3 sample
707 but also for VNaI-31 sample for which the 264 nm obtained by FTIR compares relatively well
708 with the values reported above.

709 **5. Conclusion**

710 By studying the mechanical properties and boron release behavior between glass samples
711 possessing different initial states, we propose a mechanism of boron release involving the
712 hydrolysis of B-O-Si bonds followed by the diffusion of three-coordinated boron to the
713 glass/vapor interface. The preferential release of three-coordinated boron is confirmed by ^{11}B
714 NMR analysis. The reduction of glass vapor hydration rate observed for the annealed glass is
715 supposed to be caused by the increase in both the fraction of four-coordinated BO_4 and the
716 energy barrier for B-O-Si hydrolysis. As a result, the surrounding environment of boron in the
717 glass matrix could strongly influence the kinetics of glass vapor hydration. Glass structural
718 modifications indicated by the variations in hardness and elastic modulus bring lights into the
719 understanding of the glass chemical durability, which provides a feasible approach to link the
720 mechanical studies and chemical studies together.

721 Characterizations of the hydrated glass indicate degradation of the mechanical properties by
722 glass hydration. In the subsequent leaching tests with the hydrated glass sample, the hydrated
723 layer is found to be passivating. The transport-limiting property of the hydrated-layer is verified
724 by the characterizations of the hydrated-then-altered layer. With the tested samples, the initial
725 surface state (including the surface roughness and the surface chemical composition changes
726 induced by annealing) shows negligible effect on the glass vapor hydration. Similarities
727 between glass vapor hydration and glass alteration at residual rate are discussed, which
728 provide insights into further studies to understand the mechanism of glass vapor hydration.

729 **CRedit authorship contribution statement**

730 **Haohan Zhang**: Conceptualization, Formal analysis, Investigation, Methodology, Writing –
731 original draft, Writing – review & editing. **Jean-Pierre Guin**: Formal analysis, Investigation,
732 Methodology, Funding acquisition, Writing – original draft, Writing – review & editing. **Tomo**

733 **Suzuki-Muresan**: Conceptualization, Supervision, Funding acquisition, Writing – review &
734 editing. **Michael Paris**: Methodology, Formal analysis, Validation, Writing – review & editing.
735 **Stéphane Gin**: Conceptualization, Supervision, Resources, Funding acquisition, Writing –
736 review & editing. **Abdesselam Abdelouas**: Conceptualization, Supervision, Funding
737 acquisition, Writing – review & editing.

738 Declaration of Competing Interest

739 The authors declare that they have no known competing financial interests or personal
740 relationships that could have appeared to influence the work reported in this paper.

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