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# Machine Learning for Stuttering Identification: Review, Challenges and Future Directions

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## Abstract

Stuttering is a speech disorder during which the flow of speech is interrupted by involuntary pauses and repetition of sounds. Stuttering identification is an interesting interdisciplinary domain research problem involving pathology, psychology, acoustics, and signal processing, making it hard and complicated to detect. Recent developments in the machine and deep learning have dramatically revolutionized the speech domain, however minimal attention has been given to stuttering identification. This work fills the gap by trying to bring researchers together from interdisciplinary fields. In this paper, we comprehensively review acoustic features, and statistical and deep learning-based stuttering/disfluency classification methods. We also present several challenges and possible future directions.

*Keywords:* Stuttering, speech disorder, modality, deep learning, challenges, future directions.

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## 1. Introduction

Speech disorders or speech impairments are communication disorders in which a person has difficulties in creating and forming the normal speech sounds required to communicate with others [1, 2]. These disorders can take the form of dysarthria, apraxia, stuttering, cluttering, lisping, and so on [2, 3, 1, 4, 5].

*Dysarthria* is defined as a speech disorder caused by muscle weakness (including face, lips, tongue, and throat) controlled by the nervous system. The *patients with dysarthria* produce slurred or mumbled sounds with aberrant speech patterns, such as flat intonation or very low or fast speech rate, which makes their speech very difficult to comprehend [2]. *Cluttering* is characterized by a patient's speech being too jerky, too rapid, or both. *Persons with cluttering* usually exclude/collapse most of the syllables, or aberrant rhythms or syllable stresses, and also contain excessive amounts of interjections such as *so*, *hmm*, *like*, *umm*, etc [4]. *Apraxia* is defined as a speech disorder when the neural path between the nervous system and the muscles responsible for speech

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production is obscured or lost. The *persons with apraxia* knows what they want to speak, but can not speak because the brain is unable to send an exact message to the speech muscles which can articulate the intended sounds, despite the fact speech muscle movements are working fine [2, 5].

*Lisping* speech disorder is defined as the incapability of producing sibilant consonants (*z* or *s*) correctly. The sibilant sounds are usually substituted by *th* sounds. For example, the persons with lisping speech disorder would pronounce the word *lisp* as *lithp* [2]. Stuttering speech impairment is different than other speech disorders because it can be cured if early intervention is being made to help the persons who stutter (PWS) develop normal fluency [1]. Of all these speech impairments, stuttering is the most common one<sup>1</sup>.

Stuttering - also called stammering/disfluency<sup>2</sup> - is a neuro-developmental speech disorder that commences when neural connections supporting language, speech, and emotional functions are quickly changing [2, 4, 6], and is characterized by core behaviors that usually take the form of involuntary stoppages, repetition, and prolongation of sounds, syllables, words or phrases. Stuttering can be described as an abnormally and persistent duration of stoppages in the normal forward flow of speech [1]. These speech abnormalities are generally accompanied by unusual behaviors like head nodding, lip tremors, quick eye blinks, unusual lip shapes, etc [7]. Fluency can be defined as the capacity to produce speech without any effort, at a normal rate [8]. A fluent speech requires linguistic knowledge of the spoken language and a mastery of the message content. Concerning physiological aspects, a precise respiratory, laryngeal and supraglottic control movement is necessary to maintain fluency [9]. When all these conditions are not met, speech disorder (stuttering) can emerge. They can take the form of silent or filled pauses, repetitions, interjections, revisions (content change or grammatical structure or pronunciation change), and incomplete phrases [10]. Generally, normal speech is made up of mostly the fluent and some disfluent parts. Notice that normal disfluencies are useful in speech production since they can be considered in time during which the speaker can correct or plan the upcoming discourse. In some cases, like stuttering, disfluencies do not help the speaker to organize his/her discourse. Indeed, contrary to persons without any fluency disorder, PWS know what they want to pronounce but are momentarily unable to produce it [11, 1].

Stuttering can broadly be classified into two types [1]:

- *Developmental Stuttering*: This stuttering is the most common one and it usually occurs in the learning phase of the language, *i.e. between two and seven*. Recent researches conclude that developmental stuttering is multifactorial trouble including neurological and genetic aspects [12, 13]. Indeed, fMRI studies show anomalies in neural activity before the speech, *i.e. during the planning stages of speech production* [14]. Furthermore, an atypical activation in the left inferior frontal gyrus and right auditory regions [15, 16] has been highlighted. Concerning the genetic aspects, Riaz *et al.* [17] observe an unusual allele on chromosom 12 by PWS. Drayna and Kang [13] identify 87 genes that could be involved in stuttering, including one called GNPTAB, which was significantly present in a lot of PWS.

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<sup>1</sup><https://www.healthline.com/health/speech-disorders>

<sup>2</sup>In this review, we will use the terms disfluency, stuttering, and stammering interchangeably

- *Neurogenic Stuttering*: This stuttering can occur after head trauma, brain stroke, or any kind of brain injury. This results in disfluent speech because of the incoordination of the different regions of the brain which are involved in speaking [18]. Even if neurogenic stuttering is rare, it can be observed in children and adults regardless of their age.

Globally, stuttering concerns 1% of the world's population and its incidence rate is between 5% and 17% [19]. The difference between the prevalence and incidence rates can be explained by the fact that developmental stuttering disappears in 80% of the cases before adulthood either without any intervention or thanks to speech therapy. Thus, about 70 million people suffer from this trouble which affects four times males than females [19]. As considered by the non-stuttering persons, the disfluency affects the flow of speech only, however for PWS, it is greater than that. Several studies show that PWS are ignored, teased, and/or bullied by normo-fluent people [20]. The PWS is usually rated less popular than their non-stuttering peers and less likely to be considered leaders [20]. According to the national stuttering association [21], 40% of the PWS have been repudiated school opportunities, promotions or job offers and it affects relationships. The data should be assessed in close conjunction with the fact that 85% of businessmen consider stuttering as a negative element during a job interview and prefer offering work that does not require a customer contact [22]. All these elements explain that PWS develop social anxieties and negative feelings (fear, shame, etc.) when they have to speak in public [23].

Stuttering appears to be complex and mysterious. Several factors that lead to stuttering include stress, delayed childhood development, and speech motor control abnormalities, as there is a strong correlation between stress, anxiety, and stuttering [1]. Indeed, disfluencies are more frequent in stress or anxiety conditions, in dual tasks including speech and another cognitive charge, and when they speak fast. Conversely, PWS produce fewer disfluencies when they sing in unison or speak with an altered auditory feedback [24]. In a recent study, Smith, and Weber [6], postulated the multifactorial dynamic pathways theory, where they asserted that stuttering occurs because of the failure of the central nervous system in generating the necessary patterns of motor commands for fluent speech. Thus, stuttering shows impairment in sensorimotor processes that are responsible for speech production, and its orientation throughout the life of PWS is strongly affected by linguistic and emotional aspects.

In conventional stuttering assessment, the speech-language pathologists (SLP) or speech therapists (ST) manually analyze either the PWS' speech or their recordings [25, 1]. The stuttering severity is usually measured by taking the ratio of disfluent words/duration to the total words/duration [1]. The most conventional speech therapy sessions involve helping the PWS observe and monitor their speech patterns to rectify them [1]. The speech therapeutic success rate recoveries have been reported to be 60-80% when dealt with in the early stage [26]. This convention of detecting stuttering severity and its improvement due to therapeutic sessions is very demanding and time-consuming and is also biased and prejudiced towards the subjective belief of SLPs. Due to the nature of stuttering, its therapeutic sessions are very intense course, that usually, extend to several months (several years in some cases), which necessitates PWS to see the SLP regularly [27]. Usually, the speech therapy sessions are private and are very expensive, thus making it unaffordable to some PWS. Thus, it is important to develop interactive automatic SD systems.

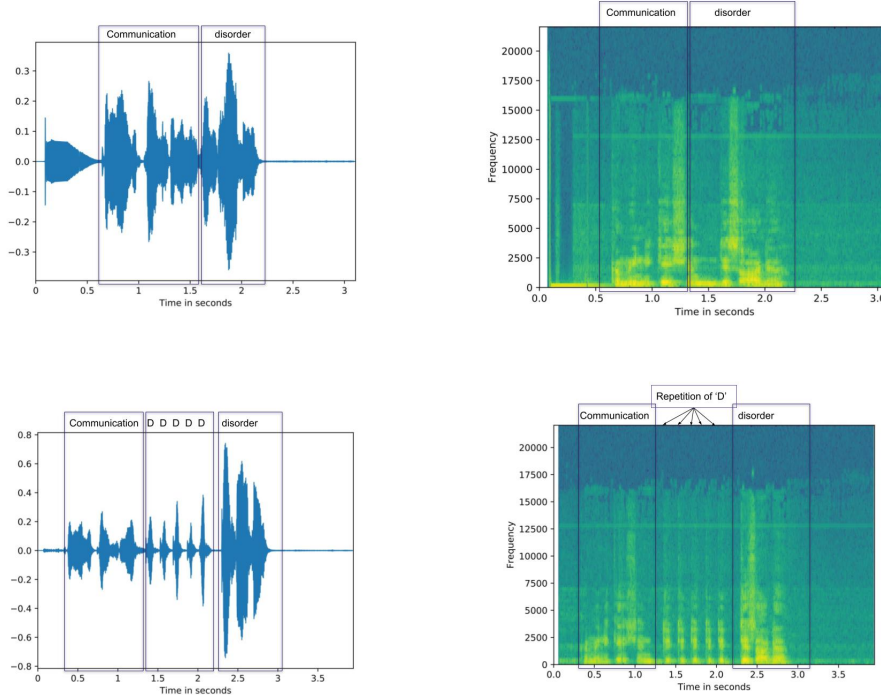


Figure 1: Speech waveforms and spectrograms of a speaker (male) saying *Communication disorder*. The left is waveforms (amplitude v/s time); the right is a time–frequency plot using a wavelet decomposition of these data. Top row is fluent speech; bottom row is stuttering (repetitions), occur at the “D” in “disorder.” Five repetitions can be clearly identified by arrows in the spectrogram. (bottom right)

The automatic speech recognition systems (ASR) are working well for fluent speech, but they fail to recognize the stuttered speech. So, it would not be feasible for a PWS to easily access virtual assistant tools like Alexa, Apple Siri, etc. [28]. The SD may help in adapting the virtual assistant tools for disfluent persons.

Therefore, automatic stuttering identification systems (ASIS) are the need for an hour which provides an objective and consistent measurement of the stuttered speech. Consequently, with the recent developments in natural language processing, machine learning, and deep learning, it became a reality to develop smart and interactive SD and therapy tools [29, 30, 31, 32]. In spite of the fact, that there are numerous applications of ASIS, very little attention has been given to this field.

We define an ASIS as a compilation of techniques and methodologies that takes audio speech signals as an input, pre-processes, and categorizes them to identify the stuttering embedded in them. When we take a broad view of ASIS, we can express it into several domains as shown in Fig. 2. It would be extremely useful to understand stuttering better in order to enhance the stuttering classification process. The stuttering problem is still an open problem and it has been approached through several techniques,

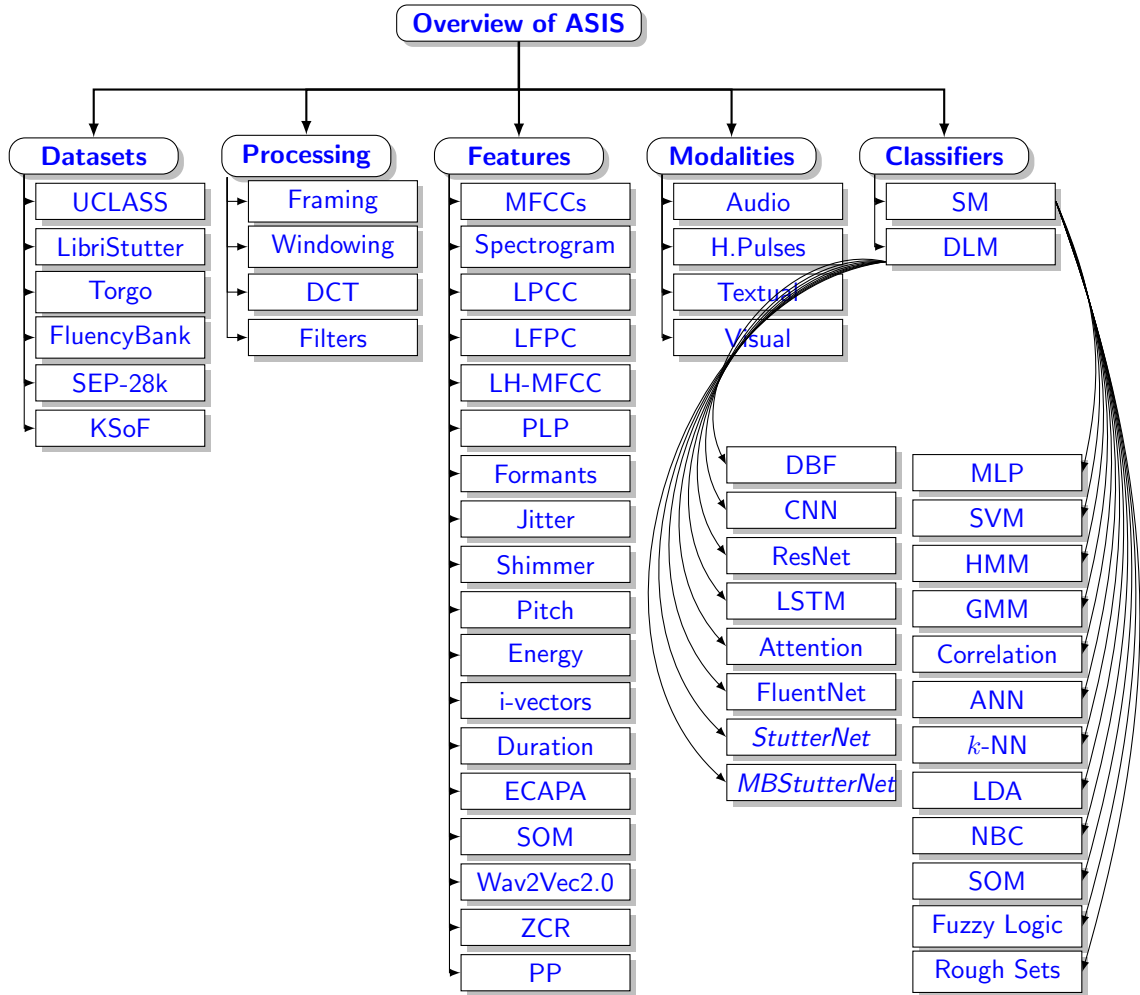


Figure 2: Overview of automatic stuttering identification systems

DLM: Deep learning models

SM: Statistical models

*MLP: Multi layer perceptron,*

*CNN: Convolutional neural network,*

*RNN: Recurrent neural network,*

*LSTM: Long short-term memory,*

*DBF: Deep belief neural network,*

*Multi-branch (MB) StutterNet,*

*SVM : Support vector machines*

*HMM: Hidden Markow models*

*GMM: Gaussian mixture models*

*LDA: Linear discriminant analysis*

*NBC: Naive Bayes classifier*

*SOM: Self organizing maps*

*Features*

*MFCCs: Mel-frequency cepstral coefficients*

*LPCC: Linear prediction cepstral coefficients*

*LFPC: Log frequency power coefficients*

*DCT: Discrete cosine transforms*

*PP: Phoneme probabilities*

Stutter Type	Definition	Example
Blocks	Involuntary pause before a word	I w <b>blockage/pause</b> ant to speak
Prolongations	Prolonged Sounds	<b>Sssssss</b> am is kind
Interjection	Insertion of sounds	uh, uhm
Sound Repetition	Phoneme repetition	He <b>w-w-w</b> -wants to write
Part-Word Repetition	Repetition of a Syllable	<b>Go-go-go</b> back
Word Repetition	Repetition of a Word	<b>Well, well</b> , I didn't get you
Phrase Repetition	Repetition of several successive words	<b>I have, I have</b> an iphone
Repetition–Prolongation	Repetition and Prolongation disfluencies occurring at the same time	<b>Gggo b-b-b</b> ack
Multiple	Multiple disfluencies in a word or phrase	<b>Tt-Tt-Tt</b> ariq <b>blockage/pause</b> is <b>kkkk</b> ind
False Start	Revision of a phrase or a word	<b>I had-</b> I lost my watch

Table 1: Various stuttering types

most of them fall in the supervised learning category [30, 33, 34, 31, 32, 35]. An ASIS system that consists of a classifier and a supervised learning loss function is trained on the data to recognize and identify stuttering types embedded in the audio speech signal. These supervised learning systems require stuttering embedded labeled data. To feed the data to the model, requires some preprocessing to extract useful features like Mel-frequency cepstral coefficients (MFCCs) which reduces the original data into its important characteristics that are essential for classification purposes. In speech, these can be grouped into spectral, voice, and prosodic features. The spectral ones are mostly used in the literature. In addition to these, features from other modalities such as linguistic (textual) can also be incorporated to improve classification performance.

Different researchers have used different acoustic features and classifiers for SD. As such, there is no systematic study that mentions the detailed analysis and challenges of various SD methods. In this paper, we give an up-to-date comprehensive survey by unifying all the stuttering methods. We also give a basic summary of various acoustic characteristics of stuttering, that can be exploited in SD. This paper will be a valuable resource for researchers, engineers, and practitioners. This paper discusses some stuttering datasets that can be referenced by various researchers. Despite the recent advancements by deep learning (DL) in speech recognition [36], emotion detection [37], speech synthesis[38], etc, it has not been much used in the stuttering domain. Due to the data scarcity in the stuttering domain, the DL has not been explored to a great extent in SD. We recently proposed *StutterNet* trained on a small dataset, that shows promising results in SD. In this paper, we also present several challenges faced by various SD methods and their possible solutions to show how we can efficiently use DL to boost SD from the speech utterance.

There are several modalities that are considered in SD, which include: speech [30], visual [39], text [40], bio-respiratory signals [41], and functional near-infrared spectroscopy [42, 43]. This paper focuses mainly on the speech modality. Most of the stuttering modalities are very expensive to collect besides speech modality because that is cheap and can be collected remotely by a simple mobile application or a web interface.

The remainder of the paper is organized as follows. Section 2 discusses the various acoustics properties of stuttered speech. This section describes how the stuttered speech impacts the various characteristics like formant transitions, pitch, VOT, etc. Section 3 presents stuttering datasets that have been used in the ASIS. Section 4.1 describes various acoustic features like MFCC, Linear Predictive Cepstral Coefficients (LPCC), etc, that

have been exploited in SD. This section also discusses all statistical machine learning methods, that have been used in various SD events. Section 4.2 describes, how deep learning can be used to model and detect various types of stuttering disfluencies. This section also provides some preliminary studies of DL in SD and discusses the use of self-supervised learning in SD. Section 5 discusses various challenges that the current ASIS systems are facing. Among them, a few are data scarcity, hand-engineered features, cross-domain, etc. This section also describes their possible solutions, that can be exploited to address the mentioned challenges, and, finally, the concluding remarks are provided in section 6.

## 2. Characteristics of Stuttered Speech

Most brain scan studies show that, during fluent speech and silent rest, there is no difference in cerebral activity between PWS and normal fluent speakers [44]. However, during stuttering, there is a dramatic change in cerebral activity. The right-hemisphere areas which are normally not active during normal speech become active, and the left-hemisphere areas, which are active during normal speech become less active [44, 5]. It has also been found that there is under-activity in the central auditory processing area. In 1997, a study by Kehoe *et al* [5], suggests that adult PWS have an inability to integrate somatic and auditory processing. A brain study by Foundas *et al* [45] found that PWS has rightward asymmetry in planum temporale (PT), i.e, their right PT is larger than their left PT, on the contrary, normal people’s PT is larger in the left side of their brains. Studies based on motor data have been carried out about stuttering. The PWS also shows over-activity in the speech motor control area [6, 5], in particular in the *left caudate nucleus* area. Conture *et al* [46, 47] observe inappropriate vocal folds abductions and adductions which lead to anarchic openings and closure of the glottis. Concerning the supraglottic level, Wingate *et al* [48] hypothesizes that stuttering is not a sound production problem but a coarticulation one. He theorizes that disfluencies occur during a fault line, which corresponds to the interval when muscular activity due to a sound that has been produced is going off and muscular movements for the following sound are going on. However in a recent study, Didirková and Hirsch [49] show, thanks to EMA data, that stuttering is not a coarticulation trouble. They found correct coarticulatory patterns in the fluent and stuttered utterances. Furthermore, another study based on articulatory observation, notes that stuttered disfluencies and non-pathological disfluencies do have common characteristics. However, stuttered disfluencies and non-pathological disfluencies produced by PWS present some particularities, mainly in terms of retention and anticipation, and the presence of spasmodic movements [50]. PWS tend to develop strategies allowing them to avoid sounds or words which can result in disfluency; such strategies consist in using paraphrases or synonyms instead of the problematic segment [4].

Concerning stuttering-like disfluencies, several types have been observed: repetitions, blocks, prolongations, interjections, etc, which are detailed in Table. 1. Some works try to link the locus of disfluencies and phonetic proprieties. Jayaram *et al* [51], Blomgren *et al* [52], and Didirkova *et al* [53] indicate that unvoiced consonants are more disfluent than their voiced counterparts. Furthermore, Blomgren *et al* [52] notices that disfluencies are more frequent at the beginning of an utterance or just after a silent pause.



Moreover, Didirkova [53] observes an important inter-individual variability concerning sounds and/or phonetic features which are the most disfluent.

Acoustic analysis has been carried out about stuttering, including speech rate, stop-gap duration, vowel(V)-consonant(C) transition duration, fricative duration, voice onset time (VOT), CV transition duration, vowel duration, formants, glottis constriction, a sharp increase in articulatory power and closure length elongation before the speech segmented is released [54].

Dehqa *et al* [55] studied the second formant (F2) transitions of fluent segments of Persian speaking PWS. They concluded that the F2 frequency extent transitions are greater in stuttering speakers than in normal fluent ones. The PWS takes longer to reach vowel steady state, but the overall F2 formant slopes are similar for both stuttering speakers and normal ones [55]. The PWS generally exhibit slower speaking rates when compared to normal speakers. Several other studies have investigated the CV formant transitions in stuttered speech. Yaruss and Conture [56] examined the F2 transitions of children who stutter on syllable repetitions and found no aberrant F2 transitions. However, Robb *et al* [57] analyzed the fluent speech segments of PWS and showed that F2 fluctuations are longer for voiced and voiceless stops than normal speakers. In a different study by Chang *et al* [58], where 3-5 year aged children were analyzed in the picture-naming task. The results showed that disfluent children produced smaller fluctuations of F2 transitions between the alveolar and bilabial place of articulations than did fluent children, and the overall degree of CV coarticulation is no different among stuttering and control groups. Subramanian *et al* [59] analyzed the F2 frequency fluctuations of voiceless stops and revealed that near the onsets of CV, the stuttering children exhibited smaller F2 changes than the normal speakers. Blomgren *et al* [60] found that PWS and normal speaker in /CVt/ token exhibit no differences in the F1 (average). The stutters show significantly lower F2 in /Cit/ tokens than the control groups. The formant spacing for /i/ is significantly lower in PWS than fluent persons [60]. Hirsch *et al* [61] conducted a study by analyzing the first two formants (vowel space) in CV sequences between the stuttered and normal groups. At a normal speaking rate, the stuttering group shows a reduction in the vowel space, contrary to the fast speaking rate, where, the latter shows no noticeable deviation.

VOT is the duration of time between the release of a stop consonant and the beginning of vocal fold vibrations [1]. Healey and Raming [62] showed that for voiceless stops, chronic stuttering exhibits longer VOT when compared with normal fluent persons. They showed that consonant and vowel duration were longer only in real-world phrases like *take the shape* in contrast with nonsense phrases like *ipi saw ipi* [62]. Hillman and Gilbert [63] also found that the PWS reveals longer VOT for voiceless stops than for fluent persons. Adams [64] found that not only voiceless stops exhibit longer VOT in PWS, but also, voiced stops display longer VOT than non-stuttering persons. No significant VOT differences have been found in control and PWS groups [65]. In another study by Jäncke [66], the PWS show strong variability in repeated production of VOT for voiceless stops, however, there is no significant difference between the two groups. In a study carried out by De Nil and Brutten [67], it shows that the stuttering children exhibit more variability in VOT than their counterparts. Celsete and de Oliveira martins-Reis [68] also found that the stuttering group shows higher VOT for voiceless stops. Brosch *et al* [69] examined the VOT in stuttering children. They found that the children with severe stuttering have higher values of VOT. Borden *et al* [70] examined the VOT

of fluent utterances from PWS. Their study showed that the fluent utterances of PWS exhibit no statistical differences in VOT and are within the limits of normal groups.

Fosnot and Jun [71] examined the prosodic characteristics of PWS and fluent children. They found that the variability in pitch is greater in the stuttered group, but slightly differs from the normal group. In another study, it has been shown that the normal group and PWS show the same patterns in f0 deviation [72]. The stuttering occurs less significantly in low-pitched conditions as compared to high-pitched condition [72]

### 3. Datasets for Stuttering Detection Research

Data is an indispensable component of any DL model. DL saves feature engineering costs by automatically generating relevant features, however, requires substantial amounts of annotated data. Most stuttering identification studies so far are based on in-house datasets [73, 74, 29, 34, 3] with limited speakers. In the stuttering domain, there is a lack of datasets and several stuttering datasets that have been collected so far are discussed below:

*UCLASS*. The most common concern in stuttering research is the lack of training data. University College Londons Archive of Stuttered Speech (UCLASS) public dataset (although very small) [73] is the most commonly used amongst the stuttering research community. The UCLASS comes in two releases from the UCL’s Department of Psychology and Language Sciences. This contains monologues, conversations, and readings with a total audio recording of 457. Some of these recordings contain transcriptions like orthographic, phonetic, and standard ones. Of these, orthographic are the ones that are best suitable for stutter labelling. The UCLASS<sup>3</sup> release 1 contains 139 monologue samples from 81 PWS, aged from five to 47 years. The male samples are 121 and the female samples are 18.

*LibriStutter*. The availability of a small amount of labelled stuttered speech led to synthetic LibriStutter’s creation [29]. The LibriStutter consists of 50 speakers (23 males and 27 females), is approximately 20 hours and includes synthetic stutters for repetitions, prolongations, and interjections. For each spoken word, Kourkounakis *et al* [29] used Google Cloud Speech-to-Text (GCSTT) API to generate timestamp correspondingly. Random stuttering was inserted within the four-second window of each speech signal.

*TORGO*. This was developed by a collaboration between departments of Speech Language Pathology Computer Science at the University of Toronto and the Holland-Bloorview Kids Rehab hospital [74]. This dataset comprises samples from seven persons, diagnosed with cerebralpalsy or amyotrophic lateral sclerosis including four males and three females aged between 16 to 50 years. In addition to this, it also contains samples from control speakers of the same age.

*FluencyBank*. This is a shared database for the study of fluency development which has been developed by Nan Bernstein Ratner (University of Maryland) and Brian MacWhinney (Carnegie Mellon University) [3]. The platform proposes audio and video files with transcriptions of adults and children who stutter. The FluencyBank is an interview data of 32 PWS.

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<sup>3</sup>[url:http://www.uclass.psychol.ucl.ac.uk/uclass1.htm](http://www.uclass.psychol.ucl.ac.uk/uclass1.htm)

*SEP-28k.* The public stuttering datasets are too small to build well generalizable ASIS. So to address this, Colin et al [34] recently curated a public version of stuttering events in podcasts (SEP-28k) dataset. This dataset contains total samples of 28,177. The SEP-28k dataset is the first publicly available annotated dataset with stuttering labels including (prolongations, repetitions, blocks, interjections, fluent(no disfluencies)) and non-disfluent labels including (natural pause, unintelligible, unsure, no speech, poor audio quality, and music)

*KSoF.* The Kassel State of Fluency (KSoF) is a German stuttered dataset collected recently and is comprised of 5597 three-second stuttered speech clips extracted from 214 recordings of 37 PWS with 28 males and 9 females [75]. This dataset contains a recording from three types of environments including telephonic conversation, read speech, and spontaneous speech. After collecting the stuttered recordings, the samples were downsampled to 16 kHz. This dataset also provides meta information in terms of gender, microphone type, and speaker identity. Like SEP-28k, the KSoF dataset also contains two types of labels: stuttering and non-stuttering labels. The stuttering labels contain core behaviors with blocks, prolongations, repetitions, interjections, and fluent segments, while non-stuttering labels include natural pause, unintelligible, unsure, no speech, poor audio quality, and music.

## 4. Automatic Stuttering Identification

### 4.1. Statistical Approaches

Stuttering identification is an interdisciplinary research problem in which a myriad number of research works (in terms of acoustic feature extraction and classification methods) are currently going on with a focus on developing automatic tools for its detection and identification. Most of the existing work detects and identifies stuttering either by language models [76, 77] or by ASR systems [78, 79], which first converts the audio signals into their corresponding textual form, and then by the application of language models, detects or identifies stuttering. This section provides in detail a comprehensive review of the various acoustic-based feature extraction and machine learning stuttering identification techniques, that have been used in the literature.

*Acoustic Features:.* In the case of developing any speech recognition or stuttering identification system, representative feature extraction and selection is extremely an important task that affects the system performance. The first common step in the speech processing domain is feature extraction. With the help of various signal processing techniques, we aim to extract the features that compactly represent the speech signal and approximate the human auditory system’s response [80].

The various feature extraction methods that have been explored in the stuttering recognition systems are autocorrelation function and envelope parameters [81], duration, energy peaks, spectral of word based and part word based [82, 83, 84], age, sex, type of disfluency, frequency of disfluency, duration, physical concomitant, rate of speech, historical, attitudinal and behavioral scores, family history [40], duration and frequency of disfluent portions, speaking rate [25], frequency, 1<sup>st</sup> to 3<sup>rd</sup> formant frequencies and their amplitudes [85, 84], spectral measure (fast Fourier transform (FFT) 512) [86, 87], mel

frequency cepstral coefficients (MFCC) [88, 84, 89, 90], Linear Predictive Cepstral Coefficients (LPCCs) [84, 89], pitch, shimmer [91], zero crossing rate (ZCR) [84], short time average magnitude, spectral spread [84], linear predictive coefficients (LPC), weighted linear prediction cepstral coefficients (WLPCC) [89], maximum autocorrelation value (MACV) [84], linear prediction-Hilbert transform based MFCC (LH-MFCC) [92], noise to harmonic ratio, shimmer harmonic to noise ratio, harmonicity, amplitude perturbation quotient, formants and its variants (min, max, mean, median, mode, std), spectrum centroid [91], Kohonen’s self-organizing Maps [87], i-vectors [93], perceptual linear predictive (PLP) [90], respiratory biosignals [41], and sample entropy feature [94]. With the recent developments in convolutional neural networks, the feature representation of stuttered speech is moving towards spectrogram representations from conventional MFCCs. One can easily discern the fluent and stuttered part of speech by analyzing the spectrograms as shown in Figure 1. Kourkounakis *et al* [29] exploited the use of spectrograms (as a gray scale image) as a sole feature extractor for stutter recognition and thus makes it suitable for the convolutional neural networks.

Different speech parameterization methods have their benefits and drawbacks. Mahesha and Vinod [95] compared LPC, LPCC, and MFCC for syllable repetition, word repetition, and prolongation and showed that LPCC-based multi-class SVM (92% acc.) outperforms LPCC (75% acc) and MFCC(88% acc) based SVM stutter recognition models. Hariharan *et al* [89] discussed the effect of LPC, LPCC, and WLPCC features for stuttering (repetition and prolongation only) recognition events. They also discussed the effect of frame length and percentage of frame overlapping on stuttering recognition models. The authors conclude that the WLPCC feature-based stuttering recognition models outperforms LPC and LPCC. Fook *et al* [96] compared and analyzed the effect of LPC, LPCC, WLPCC, and PLP features on the repetition and prolongation type of disfluencies and it has been shown that the MFCC feature-based stuttering recognition models surpass the LPC, LPCC and WLPCC based ones. Arjun *et al* [97] used LPC and MFCCs as input features and concluded that MFCCs performs better than LPCs. Ai *et al* [98] performs a comparative study of LPCC and MFCC features in repetition and propagating stuttering and reports that LPCCs based ASIS outperforms MFCCs based ASIS slightly in varying frame length and frame overlapping. The optimal results of 94.51% and 92.55% accuracy on 21 LPCC & 25 MFCC coefficients respectively have been reported [98]. This can be due to the possibility of LPCCs’ potential in capturing the salient cues from stuttering utterance [98]. The use of spectrograms showed state-of-the-art performance in recognizing the stuttering events [29]. The work by Kourkounakis *et al* [29] didn’t focus on the blocks and multiple stuttering types if present in a speech segment.

Table 2: Summary of several ASIS Systems in chronological order. (B: Block, F: Fluent, R: Repetition, P: Prolongation, In: Interjection, RAV: Respiratory air volume RAF: Respiratory air flow, MS: Modulation spectrum, SpR: Speech rhythm, *a*: Audio modality, *b*: Audio and textual modality, *c*: Audio, textual and visual modality, *d*: Bio-respiratory signals )

Author and Year	Datasets	Features	Stutter Type	Model
Howell and Sackin [81] (95)	6 Speakers	EP, ACF-SC	P, R	ANN <sup><i>a</i></sup>
Howell <i>et al</i> [82, 83] (97)	12 Speakers	Energy peaks,	NA	ANN <sup><i>b</i></sup>

			Duration	
Nöth <i>et al</i> [25] (00)	Northwind and Sun 37 Stutters, 16 Non-Stutters	Disfluent Frequency, Speaking rate, Duration	NA	HMMs <sup>a</sup>
Geetha <i>et al</i> [40] (00)	51 Stutters	Gender, Age Duration, Speech Rate	NA	ANNs <sup>a</sup>
Czyzewski <i>et al</i> [85] (03)	6-Normal, 6-SG Samples	Formants(1 <sup>st</sup> to 3 <sup>rd</sup> ), Amplitude	P, R, SG	Rough Sets ANNs <sup>a</sup>
Suszyński <i>et al</i> [99] (03)	NA	FFT	P	Fuzzy Logic <sup>a</sup>
Szczurowska <i>et al</i> [86] (06)	8 PWS	FFT 512 Spectral Measure	B	MLP SOM <sup>a</sup>
Wiśniewski <i>et al</i> [100] (07)	30 samples	MFCCs	NA	HMMs <sup>a</sup>
Tan <i>et al</i> [101] (07)	UTM Skudai 10 Speakers (7M, 3F)	MFCCs	NA	HMMs <sup>a</sup>
Ravikumar <i>et al</i> [102] (08)	10 PWS	MFCCs, DTW for Score Matching	SR	Perceptron <sup>a</sup>
Świetlicka <i>et al</i> [87] (09)	8 PWS (Aged 10-23) 4 Fluent (2M, 2F)	FFT 512 Spectral Measure	NA	Kohonen based ML <sup>a</sup> Kohonen based RBF
Chee <i>et al</i> [103] (09)	UCLASS	MFCCs	R, P	<i>k</i> -NN, LDA <sup>a</sup>
Chee <i>et al</i> [104] (2009)	UCLASS	LPCCs	R, P	<i>k</i> -NN, LDA <sup>a</sup>
Ravikumar <i>et al</i> [105] (09)	15 PWS	MFCCs, DTW for score matching	SR	SVM <sup>a</sup>
Yildirim and Narayanan <i>et al</i> [39] (09)	10 CWS(Aged 4-6)	Duration, Pitch, Energy, Gestural, Linguistic	R, FS, FP, RP, RP	NBC <sup>c</sup>
Pálffy and Pospíchal <i>et al</i> [106] (11)	UCLASS	MFCCs	R	SVM(Linear Kernel) <sup>a</sup> SVM(RBF Kernel)
Mahesha and Vinod <i>et al</i> [95] (13)	UCLASS	LPCC, MFCC	P, WR, SR	SVM <sup>a</sup>
Świetlicka <i>et al</i> [107] (13)	19 PWS	FFT(512)	B, P, SR	Hierarchical ANN <sup>a</sup>
Que <i>et al</i> [108] (15)	TORGO	MFCCs, LPCCs	R	DBN <sup>a</sup>
Mahesha and Vinod <i>et al</i> [92] (17)	UCLASS	LH-MFCC	P, R, I	GMMs <sup>a</sup>
Esmaili <i>et al</i> [90] (17)	UCLASS	PLP	P	Correlation <sup>a</sup>
Esmaili <i>et al</i> [90] (17)	UCLASS	WPT with entropy	P, R	SVM <sup>a</sup>
Esmaili <i>et al</i> [90] (17)	Persian	WPT with entropy	P, R	SVM <sup>a</sup>
Ghonem <i>et al</i> [93] (17)	UCLASS	I-Vectors	P, R, RP	<i>k</i> -NN, LDA <sup>a</sup>
Santoso <i>et al</i> [109] (19)	UADB, PASD	MS, SpR	NA	BiLSTM <sup>a</sup>
Santoso <i>et al</i> [110] (19)	UADB, PASD	MS, SpR	NA	BiLSTM + Attention <sup>a</sup>
Villegas <i>et al</i> [41] (2019)	69 Participants	Heart Rate, RAV, RAF	B	MLP <sup>d</sup>
Kourkounakis <i>et al</i> [29] (20)	UCLASS	Spectrograms	WR, SR, PR, I, P, Rv	ResNet + BiLSTM <sup>a</sup>
Kourkounakis <i>et al</i> [33] (20)	UCLASS, LibriStutter	Spectrograms	WR, SR, PR, I, P, Rv	FluentNet <sup>a</sup>
Sheikh <i>et al</i> [30] (21)	UCLASS 128 PWS	MFCCs	B, P, R, F	StutterNet <sup>a</sup>
Sheikh <i>et al</i> [31] (22)	SEP-28k	MFCCs	R, P, B, In, F	MB <i>StutterNet</i>
Sheikh <i>et al</i> [31] (22)	SEP-28k	MFCCs	R, P, B, In, F	MB <i>StutterNet</i>
Sheikh <i>et al</i> [35] (22)	SEP-28k	Speech embedddings	R, P, B, In, F	<i>k</i> -NN, NBC, ANNs
Bayerl <i>et al</i> [32] (22)	FluencyBank, KSoF	Speech embedddings	R, P, B, In, F	SVM

*Machine Learning Classifiers:* Stuttering detection systems process and classify underlying stuttering embedded speech segments. Including traditional classifiers, many statistical machine learning techniques have been explored in the automatic detection of stuttering. However, the studies are empirical, so no generally accepted technique can be used. Table 2 lists chronologically the summary of stuttering classifiers including datasets, features, modality, and stuttering type.

In ASIS, typically classification algorithms are used. A classification algorithm approximates the input  $X$  and maps it to output  $Y$  by a learning procedure, which is then used to infer the class of the new instance. The learning classifier requires annotated data for training which discerns the samples into their corresponding labels/classes. Once the training is finished, the performance of the classifier is evaluated on the remaining test data.

The traditional classifiers that explore stuttering identification include support vector machines (SVM), hidden Markov models (HMM), perceptrons, multi-layer perceptrons (MLP), Gaussian mixture models (GMM), k-nearest neighbor ( $k$ -NN), naive Bayes classifier (NBC), rough sets, Kohonen maps (self-organizing maps (SOM)), linear discriminant analysis (LDA), artificial neural networks (ANN)

*Hidden Markov Models.* HMMs lie at the heart of all contemporary speech recognition systems and have been successfully extended to disfluency classification systems. A simple and effective framework is provided by HMMs for modeling temporal sequences. Wiśniewski *et al* [100] used Euclidean distance as a codebook based on 20 MFCCs with HMMs. They reported an average recognition rate of 70% for two stuttering classes including blocks and prolongation with deleted silence and 60 frames of window length. Tan *et al* [101] used 12 MFCC features with HMMs. The average recognition rate is 93% [101]. This tool recognizes only normal and stutter utterances and is not classifying different types of disfluencies. In 2000, Nöth *et al* [25] used speech recognition system to evaluate the stuttering severity. This system can perform statistical counting and classification of three different types of disfluencies including repetition, pauses, and phoneme duration. Frequency of disfluent segments, speaking rate, and disfluent durations are the measurable factors used to evaluate the stuttering severity during therapy sessions [104]

*Support Vector Machines.* SVMs gained substantial attention, and have been widely used in the area of speech domain. SVM is a linear classifier that separates the data samples into their corresponding classes by creating a line or hyperplane. Mahesha and Vinod [95] used multiclass SVM to classify three stuttering disfluencies including prolongations, word repetitions, and syllable repetitions. In this study, the different acoustic features including 12 LPC, LPCC, and MFCCs are used. 75% average accuracy is obtained for LPC based SVM, whereas LPCC based SVM is 92% and for MFCCs based SVM is 88% [95]. Ravikumar *et al* [105] used SVM to classify one disfluency type which is syllable repetitions. The features used are MFCCs and DTW for score matching. Average accuracy of 94.35% is obtained on syllable repetitions. Pálffy and Pospíchal [106] used SVM with two different kernel functions including linear and radial basis function (RBF). In this case study, they used 16 audio samples from UCLASS [73] with eight males and eight females. 22 MFCC acoustic features with hamming window (25 ms) with an overlap of 10 ms are used in this case study [106]. 96.4% is the best recognition rate that has been reported with SVM when RBF is used as a kernel function [106]. With linear

kernel-based SVM, recognition rate is 98% [106]. Esmaili *et al* [90] used PLP features with a hamming window of 30 ms and an overlap of 20 ms to detect the prolongation type of stuttering based on a correlation similarity measure between successive frames. 99% and 97.1% is the best accuracy that has been reported on UCLASS and Persian datasets respectively [90]. In the same study they also evaluated the WPT+entropy feature-based SVM on UCLASS and Persian stuttering datasets with 99% and 93.5% accuracies respectively [90].

*Artificial Neural Networks (ANNs)*. They consist of several connected computing neurons that loosely model the biological neurons [111]. Like the synapses in biological neurons, each neuron can transmit a signal to other neurons via connections. A neuron receives a signal, processes it, and can transmit a signal to other connected neurons. The connections have weights associated with them which adjusts the learning procedure [111]. ANNs are trained by processing examples that map an input to its corresponding result by forming probability-weighted associations between the two. The training is conducted with the help of backpropagation by optimizing the loss function by computing the error difference between the predicted output and its corresponding ground truth. Continuous weight adaptations will cause the ANNs to produce a similar output as the ground truth. After an adequate number of weight adjustments, the training can be terminated once the optimization criteria are reached [111]. ANNs are essential tools both in speech and speaker recognition. In recent times, ANNs play important roles in identifying and classifying the stuttering speech. Howell *et al* [81] used two ANNs for repetition and prolongation recognition. The neural net is trained with 20 ACF, 19 vocoder coefficients of 10 ms frame length, and also with 20 frames of envelope coefficients. The networks are trained in just two minutes of speech. The best accuracies of 82% and 77% are obtained for prolongations and repetitions when envelope parameters are used as input features to ANNs [81]. ACF-SC-based ANNs give the best accuracy of 79% and 71% for prolongations and repetitions respectively [81]. P. Howell *et al* [82, 83] designed a two-stage recognizer for the detection of two types of disfluencies including prolongation and repetitions. The speech is segmented into linguistic units and then classified into its constituent category. The network is trained with the input features including duration and energy peaks on a dataset of 12 speakers [82, 83]. The average accuracy on prolongations and repetitions obtained in this case study is 78.01% [82, 83]. Geetha *et al* [40] studied ANNs on 51 speakers to differentiate between stuttering children and normal disfluent children based on the features including disfluent type, rate of speech, disfluency duration, gender, age, family history, and behavioral score. They reported a classification accuracy of 92% [40]. Szczurowska *et al* [86] used Kohonen based MLP to differentiate between non-fluent and fluent utterances. 76.67% accuracy has been reported on blocks and stopped consonant repetition disfluency types [86]. The Kohonen or self-organizing maps (SOM) are used first to reduce the feature dimensions of FFT 512 (with 21 digital 1/3-octave filters and a frame length of 23 ms) input features, which later act as an input to the MLP classifier. The model is trained on eight PWS [86]. Ravikumar *et al* [102] proposed an automatic method by training a perceptron classifier for syllable repetition type of disfluency on 10 PWS with 12 MFCCs and DTW as the feature extraction methods. The best accuracy obtained for syllable repetition is 83% [102]. In 2003, Czyzewski *et al* [85] addressed the stuttering problem with the help of stop-gaps detection, identification of syllable repetitions, detecting vowel prolongations.

They applied ANNs and rough sets to recognize the stuttering utterances on the dataset of six fluent and six stop-gap-based speech samples [85]. They reported that the average prediction accuracy of ANNs is 73.25% and rough-sets yielded average accuracies of 96.67%, 90.00%, 91.67% on prolongations, repetitions, and stop-gaps respectively [85]. Suszyński *et al* [99] proposed a fuzzy logic-based model for the detection and duration of prolongation type of disfluency. They used a sound blaster card with a sampling frequency of 22 kHz. 21 1/3 octave frequency bands with *A* filter and FFT features are used with the hamming window of 20 ms. The features representing the prolongations are described by the use of fuzzy sets. Only the disfluent fricatives and nasals are considered in this study [99]. Świetlicka *et al* [87] proposed an automatic recognition of prolongation type of stuttering by proposing Kohonen-based MLP and RBF. From a dataset of eight PWS and four fluent speakers, 118 (59 disfluent, 59 fluent), 118 total speech samples are recorded for the analysis. 21 1/3 octave filters with frequencies ranging from 100 Hz to 10000 Hz are used to parametrize the speech samples [87]. The parametrized speech samples are used as input features to the Kohonen network that is expected to model the speech perception process. Thus, Kohonen is used to reduce the input dimensionality to extract salient features. These salient features are then fed to the MLP and RBF classifiers that are expected to model the cerebral processes, responsible for speech classification and recognition [87]. The method yielded a classification accuracy of 92% for Kohonen-based MLP and 91% for Kohonen-based RBF [87].

Villegas *et al* [41] introduced a respiratory bio-signals based stuttering classification method. They used respiratory patterns (air volume) and pulse rate as input features to MLP. The dataset, developed at the Pontifical Catholic University of Peru consists of 68 Latin American Spanish-speaking participants with 27 PWS (aged 18-27 with a mean of  $24.4 \pm 5$  years), 33 normal (aged 21-30 with a mean of  $24.3 \pm 2.3$  years). The stuttering type studied in this research work is blocks with an accuracy of 82.6% [41].

In 2013, Mahesha and Vinod [92] introduced a new Linear prediction-Hilbert transform-based MFCC (LH-MFCC) human perception feature extraction technique to capture the temporal, instantaneous amplitude, and frequency characteristics of speech. The study compares the MFCC and LH-MFCC features for three types of disfluencies including prolongation, repetition, and interjection in combination with 64 Gaussian mixture model (GMM) components and reported a gain of 1.79% in average accuracy [92] with LH-MFCCs. The proposed LH-MFCC improves discriminatory ability in all classification experiments [92].

*k-Nearest Neighbor and Linear Discriminant Analysis.* *k*-NN, proposed by Thomas Cover is a non-parametric model that can be used for both classification and regression. In *k*-NN classification, the output is described by the class membership and a sample is classified by the contribution of its neighbors. The sample is assigned to the class which is most common among its *k* ( $k \geq 0$ ) neighbors. This method relies on the distance metric for classification [112]

LDA also called normal discriminant analysis (NDA), or discriminant function analysis is a technique used in statistics and machine learning, to find a linear combination of features that separates two or more classes of samples [112].

Chee *et al* [103] presented an MFCC feature based *k*-NN and LDA classification models for repetition and prolongation types of disfluencies. The proposed models reports the best average accuracies of 90.91% for *k*-NN (with  $k=1$ ) and 90.91% for LDA [103]



on UCLASS [73] dataset. In 2009, Chee *et al* [104] studied the effectiveness of LPCC features in prolongation and repetition detection with  $k$ -NN and LDA classifiers. The work achieved an average accuracy of 87.5% and the best average accuracy of 89.77% for LDA and  $k$ -NN respectively on the UCLASS [73] dataset. In 2017, Ghonem *et al* [93] introduced an I-vector (commonly used in speaker verification) feature based stuttering classification with  $k$ -NN and LDA methods. The technique reported an average accuracy of 52.9% among normal, repetition, prolongation and repetition-prolongation<sup>4</sup> stuttering events [93]. This is the first technique so far that has taken two disfluencies (occurring at same time) into consideration.

In 2009, Yildirim and Narayanan *et al* [39] proposed the first multi-modal disfluency boundaries detection model in spontaneous speech based on audio and visual modalities. The dataset used in this study was collected using the Wizard of Oz (WoZ) tool. Audio recordings of high quality were collected using a desktop microphone at 44.1 kHz. Two Sony TRV330 digital cameras, one focused from the front and the other capturing the child and the computer screen from the side were also used [39]. Three different classifiers including  $k$ -NN, NBC, and logistic model trees have been utilized to evaluate the effectiveness of multi-modal features on the collected dataset [39]. The stuttering types included in this case study are repetition, repair, a false start, and filled pauses [39]. In this work, the combination of three different modality-based features including prosodic (duration, pitch, and energy), lexical (hidden event posteriors), and gestural (optical flow) features were studied at feature level and decision level integration [39]. The work achieved the best accuracy for NBC among the three classifiers [39] and reports an accuracy of 80.5% and 82.1% at feature level integration and decision level feature integration respectively [39].

In 2005, Oue *et al* [108] introduced deep belief network for the automatic detection of repetitions, non-speech disfluencies. 45 MFCC and 14 LPCC features from TORGO dataset [74] have been used in this case study for the detection of disfluencies [74]. The experimental results obtained showed that MFCCs and LPCCs produce similar detection accuracies of approximately 86% for repetitions and 84% for non-speech disfluencies [108].

The majority of statistical machine learning ASIS systems detailed above mostly focused only on either *prolongation* or *repetition* types of disfluencies with the most widely used features as MFCCs. Among the statistical techniques mentioned above, SVMs are the most widely used classifier in stuttering detection and identification.

#### 4.2. Deep Learning Approaches

The majority of the state-of-the-art deep learning techniques combine several non-linear hidden layers as it can reach hundreds of layers as well, while traditional ANNs consist of only one or two hidden layers. With the advancement in deep learning technology, the improvement in the speech domain surpasses the traditional machine learning algorithms, and hence the research in the speech domain shifts towards the deep learning-based framework, and SD is no exception. The salient advantage of these deep networks is automatic feature selection and extraction which avoids the cumbersome and tedious work of manual feature engineering step. The goal of these deep architecture classifiers is to approximate a mapping function  $f$  with  $\mathbf{y} = f(\mathbf{X}; \theta)$  from input samples  $\mathbf{X}$  to target

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<sup>4</sup>repetition and prolongation disfluencies appearing at the same time

labels  $\mathbf{y}$  by adjusting its parameters  $\theta$ . The most common deep learning architectures used in the ASIS research domain are convolutional neural networks and recurrent neural networks.

*Recurrent Neural Networks (RNNs)*. RNNs belong to a family of deep neural architectures where connections between neurons/nodes form a directed graph along a temporal sequence, thus allowing it to show temporal dynamic behavior. RNNs consist of an internal state (memory) that is used to process variable-length input sequences. This structure makes RNNs good for modeling sequential tasks like time series, connected handwriting, video, or speech recognition [111]. The other networks process inputs which are independent of each other, but in RNNs, inputs are related to each other.

Long short-term memory networks (LSTMs) introduced by Hochreiter and Schmidhuber *et al* [113], is a special type of RNN, capable of capturing the long-term dependencies in the temporal sequence.

In 2019, Santoso *et al* [110] proposed modulation spectrum feature-based BiLSTM (Bidirectional LSTM) to detect the causes of errors in speech recognition systems. The method is tested on the Japanese dataset of 20 speakers with 10 males and 10 females [110]. The experiment used a 640-dimensional modulation spectrum feature vector with a block length of 320 ms [110]. The method achieved an F1-score of 0.381 for successfully detecting the stuttering events in the speech [110]. The proposed model used the overall utterance for the stuttering error detection, however, recognition errors arise only from a small part of the full utterance. In order to address this issue, Santoso *et al* [109] introduced attention based BiLSTM classifier for stuttering event detection. The best F1-score of 0.691 is attained by taking the block length of 32 ms [109].

*Convolutional Neural Networks (CNN)*. CNNs are a special type of neural nets that work with grid-structured data like images, audio spectrograms, video frames, etc. A CNN consists of several layers in the pipeline: convolution, pooling, and fully-connected layers. With the help of several feature maps, CNNs are successful in capturing the spatial and temporal dependencies from the input data.

The convolution layer, a core component of the CNNs, is comprised of a set of learnable parametric kernels (filters) that transforms an input image into several small receptive fields [111]. In the forward pass, a dot product is performed between the entries of an input image and filter resulting in an activation map of that filter [111]. This dot product is also known as convolution operation, defined by the following equation:

$$feature\ map = y[i, j] = input \otimes kernel = \sum \sum X[i - m, j - n].h[m, n] \quad (1)$$

where  $i, j$  indices related to image and  $m, n$  are concerned with the kernel,  $X$  represents the audio spectrogram or image matrix which is to be convolved with the filter  $h$ .

Due to parameter sharing of the convolutional operation, divergent feature or activation maps can be extracted, thus making the CNNs translation invariance architectures [111]. Pooling, a down-sampling dimensionality reduction layer partitions the input matrix into a set of translational invariant non-overlapping combinations of features. There are many methods to implement pooling operation, the most common among which is *average* pooling, which computes the average value from each sub-region of the feature maps [111]. Fully connected (FC) layers, a global operation unlike convolution and pooling, usually used at the end of the network, connects every neuron in one layer to every

neuron in another layer [111]. The FC layer takes the non-linear combination of selected features, which is later used for downstream tasks like classification [111].

As discussed in Section 4.1 that most of the existing stuttering identification work either depends on language models or ASR systems. This procedure of stuttering identification seems a subsidiary computational step and could also be a potential source of error. To address this, Kourkounakis *et al* [29] proposed a CNN-based model to learn stutter-related features. They formulated SD as a binary classification problem, where they used the same architecture for identifying different types of stuttering. They used residual-based CNN and BiLSTM (for temporal aspect) to capture the disfluency-specific features from the spectrograms [29], that are the sole input features used in this study. The model is trained with batch norm and ReLU activation function [29]. Each BiLSTM layer is followed by a dropout rate of 0.2 and 0.4 respectively [29]. The proposed model reported an average accuracy of 91.15% and an average miss rate of 10.03% (surpasses the state-of-the-art by almost 27%) on six different types of stuttering: revision, prolongation, interjection, phrase repetition, word repetition, and sound repetition [29]. Kourkounakis *et al* [33] proposed a FluentNet as shown in Figure 4. that combines squeeze-and-excitation residual network (SE-ResNet) with BiLSTM networks, where SE-ResNet (eight blocks) is used to learn the stutter-specific spectral frame-level representations. Each audio speech is first segmented into four-second audio clips, then acoustic features (spectrograms) are extracted, which are fed to SE-ResNet to capture stutter-specific spectral features, followed by a global attention-based two-layered BiLSTM (512 units) network, that helps in capturing effective temporal relationships [33]. The model is trained using a root mean square propagation (RMSProp) optimizer on a binary cross entropy loss function with a dropout of 0.2 and a learning rate of  $10^{-4}$ .

In order to tackle the issue of stuttered speech data scarcity, they developed a synthetic stuttered speech dataset (LibriStutter) from a fluent LibriSpeech dataset [33]. The proposed FluentNet model reports an average accuracy of 91.75% and 86.7% on UCLASS and LibriStutter datasets respectively. Six different disfluency types are considered in this experimental study including phoneme repetition, word repetition, phrase repetition, interjection, prolongation, and revisions [33].

The stuttering identification methods discussed above consider only a small subset of disfluent speakers in their experimental studies, so it can not be said with certainty that the discussed models, which performed very well on small speakers can also generalize to a large set of stuttered speakers. In order to evaluate this, Sheikh *et al.* recently proposed a *StutterNet* [30], a time delay neural network based SD method shown in Figure 3. They addressed this problem by formulating it as a multi-class classification problem. Only the core behaviors (blocks, repetition, and prolongation) and fluent segments of the speech were considered in this case study. 128 speakers from the UCLASS dataset were used in this case study, thus making it the first experimental study to be evaluated on a large set of disfluent speakers. Each audio sample is initially divided into four-second audio segments, then acoustic features (MFCCs) are extracted, which are then fed to the *StutterNet*. The features are generated after every 10 ms on a 20 ms window for each 4-sec audio sample. On this larger set of disfluent speakers, we compared this study with the ResNet+BiLSTM [29] based ASIS system and reported an overall average accuracy of 50.79% and Mathews correlation coefficient (MCC) of 0.23, in comparison to the ResNet+BiLSTM-based system comprising 46.10% overall average accuracy and 0.21 MCC. The comparative results are shown in Table 3.

Table 3: Results in accuracies and MCC(B: Block, F: Fluent, R: Repetition, P: Prolongation, In: Interjection)

Method	Accuracy					Tot. Acc.	MCC.
	R	P	B	F	In		
<b>Dataset: UCLASS</b>							
Resnet+BiLSTM [29]	20.39	<b>23.17</b>	<b>53.33</b>	55.00	NA	46.10	0.20
<i>StutterNet (Baseline)</i>	<b>27.88</b>	17.13	42.43	66.63	NA	49.26	0.21
<i>StutterNet (Optimized)</i>	23.98	12.96	47.14	<b>69.69</b>	NA	<b>50.79</b>	<b>0.23</b>
<b>Dataset: SEP-28k</b>							
<i>StutterNet</i> [30]	21.99	27.78	1.98	88.18	49.99	60.33	NA
MB <i>StutterNet</i> [31]	28.70	37.89	9.58	74.43	57.65	57.04	NA
Sheikh <i>et al</i> [35]	<b>46.79</b>	<b>40.79</b>	<b>23.86</b>	84.32	<b>69.54</b>	<b>68.35</b>	NA

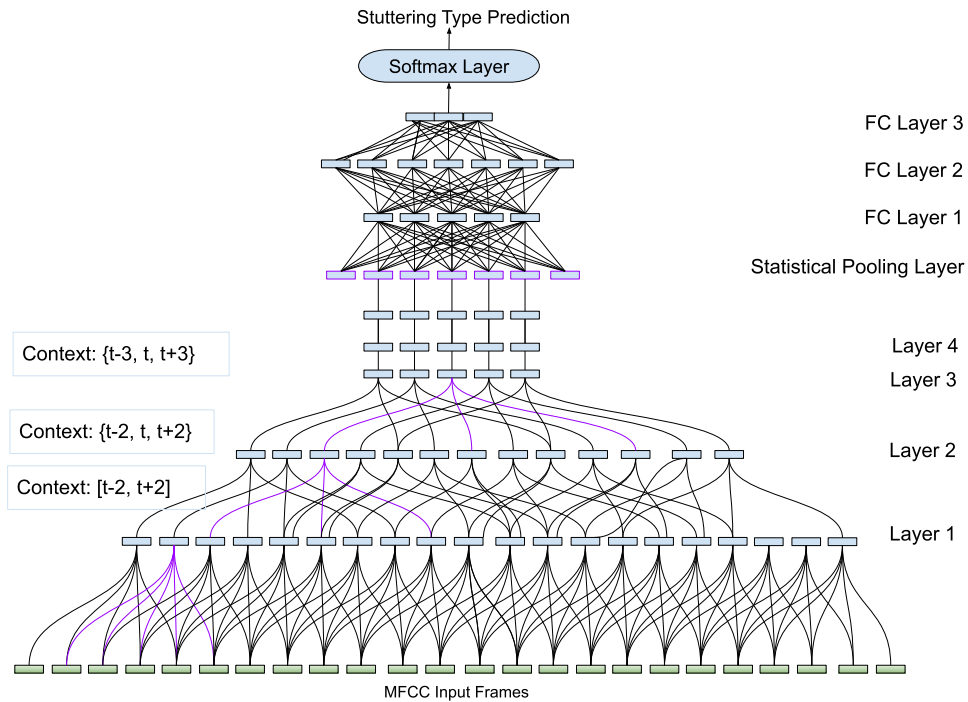


Figure 3: StutterNet (reproduced with permission taken from authors) [30].

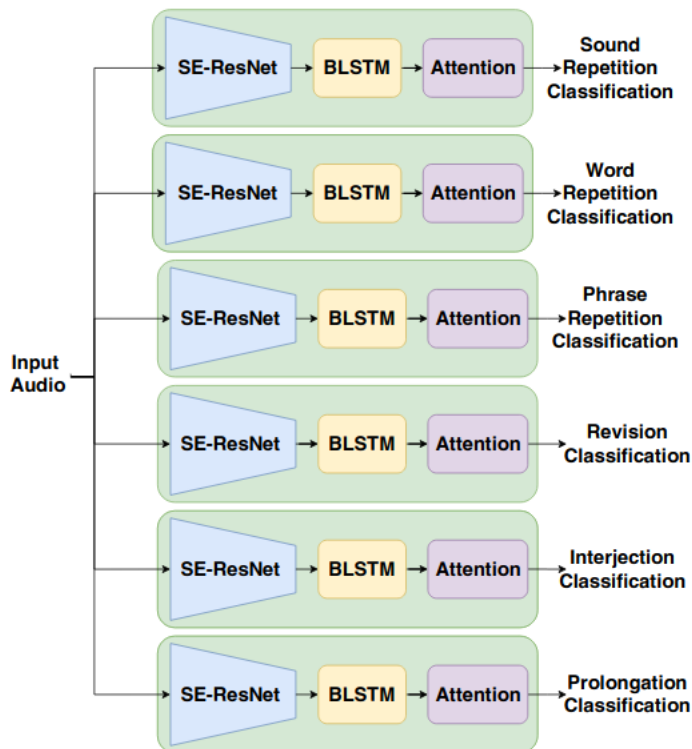


Figure 4: FluentNet model for stuttering classification (reproduced with permission taken from authors) [33].

Among the DL-based ASIS systems described above in detail, for a small set of disfluent speakers, the FluentNet classifier proposed by Kourkounakis *et al* [33] and the spectrogram feature representations of stuttered speech are the most effective, that gives promising classification results on disfluency identification. However, for a large set of stuttered speakers, *StutterNet* is the most effective one.

In a recent study by Lea *et al* [34], they curated a large stuttering dataset named *SEP-28k* and employed the ConvLSTM model to detect various types of stuttering. In addition to 40 MFCC input features, the model also takes the pitch and articulatory features as an input and reports a weighted accuracy of 83.6, F1 of 83.6 on the *FluencyBank*. On *SEP-28k*, they reported F1-scores of 55.9, 68.5, 63.2, 60.4, and 71.3 in the block, prolongation, sound repetition, and word repetition respectively. They also evaluated their proposed model on 41 dim phoneme probabilities extracted from pre-trained time-depth separable CNN on LibriSpeech and reports an F1 score of 74.8 on *FluencyBank*. The model was trained with a mini-batch size of 256 and a cross entropy loss function. In another study by Melanie *et al*. [114], where they mix the SEP-28k,

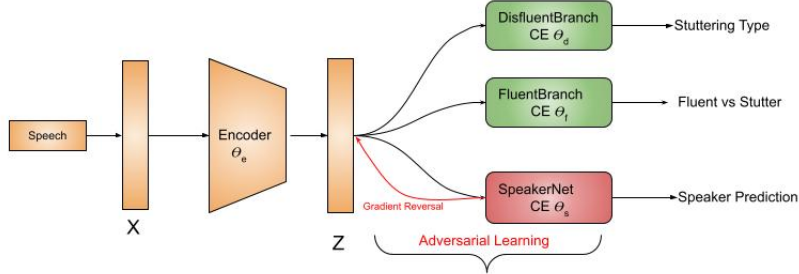


Figure 5: Stuttering detection using adversarial multi-task learning with red curve indicating gradient reversal, CE: Cross entropy,  $\theta$ 's are the parameters of each module (reproduced with permission taken from authors) [31].

UCLASS, and FluencyBank datasets and used a phoneme feature-based BiLSTM model for SD. SD systems are further impacted by source diversity, whether it be in the form of a speaker, gender, meta-data information (such as podcast ids), accent, linguistic content, or overall acoustic settings. Exploiting this fact, Sheikh *et al.* [31] used podcast ids and proposed multitasking and adversarial-based robust SD via a multi-branched training scheme as shown in Fig. 5. The model tries to learn stutter representations from a stutter speech utterance that are podcast invariant but at the same time are stutter discriminative. They found that the blocks are the hardest to detect in the SEP-28k dataset. In addition including fluent speech of PWS in training greatly affects its recognition performance [31, 35]. The application of advanced DL architectures for SD is constrained by the limited size and high cost of the voice pathology datasets. To this, Bayerl *et al.* [32] used the Wav2Vec2.0 model pre-trained in a self-supervised fashion as a feature extractor to demonstrate its effectiveness in SD. They fine-tuned the Wav2Vec2.0 model on FluencyBank (English) [34] and KSoF (German) [75] datasets and used SVM as a downstream binary classifier and reported an average F1 score of 53.8% and 46.67% on the KSoF and FluencyBank datasets respectively. Similar research was conducted by Sheikh *et al.* [35], where they investigated the impact of speaker embeddings extracted from a pre-trained emphasized channel attention, propagation, and aggregation-time-delay neural network (ECAPA-TDNN) in SD in addition to speech embeddings from Wav2Vec2.0. They showed that the middle layers from Wav2Vec2.0 are good at capturing stuttering-related information. They also showed that fusing information from Wav2Vec2.0 and ECAPA-TDNN pre-trained can further improve the detection accuracy of stuttering types. In addition, they concatenated the information from several layers of Wav2Vec2.0 and reported the state-of-the-results (68.35% overall accuracy) in SD on the SEP-28k dataset.

## 5. Challenges & Future Directions

This section describes various challenges faced by ASIS systems and their possible solutions, which can be explored in the field of stuttering research. Although there have been several developments in the automatic identification of stuttering, there are still

several impediments that need to be addressed for robust and effective identification of stuttering.

### 5.1. Dataset Collection

One of the most common barriers that need to be addressed is the issue of scarcity of data on stuttering identification research. There are only a few natural stuttered datasets as discussed in [Section 3](#). Usually, medical data collection is expensive and very demanding, and stuttering is no exception. Thus, having ample speaker and sentence variation adds more complexity to the stuttering domain. In order to make a fine analysis across several speakers, it is appropriate to have the same content (same list of sentences). Unfortunately, in practice, when a PWS is asked to read a list of sentences, the disfluency effects are greatly reduced. For this reason, more spontaneous speech is used in the hope to induce disfluencies. Moreover, depending on the speaker, the presence of disfluency in a recording is more or less important for several reasons: emotional state, speaking in public or alone, spontaneous or read speech, etc. This makes the collection of a corpus even more difficult and its size from one speaker to another can be variable if one aims at having a comparable number of examples of disfluencies. Moreover, it is extremely difficult, if not impossible, to collect a corpus that contains the same number of examples of each type of disfluency. It is even more challenging to achieve high variability in gender, language, and dialect. It should be noted that the recording of spontaneous speech must be well controlled to comply with the legislation. Due to the sensitivity of medical data and privacy concerns, it can not be applied at a large scale. Currently, we are not dealing with anonymization, as the voice could identify the speaker, but a minimum effort in this direction is required.

In order to identify stuttering using deep learning models, the data must be properly labelled. Different background noises can corrupt the stuttered speech data. Likewise, the noise of recording equipment can also degrade the speech signal. Noise injection techniques [\[115\]](#) can be exploited to learn reliable stutter-specific features from the noisy corrupted data. DL models like denoising auto encoders, imputation auto encoders [\[116\]](#) can also be utilized to learn robust stutter-specific features from corrupted data. Training and testing data distribution mismatch is a significant challenge for ASIS systems to be robust to noise.

Since stuttering datasets are scarce, we can attempt to solve this problem by enlarging the training data size and its diversity by generative models. Deep generative models such as generative adversarial networks can be utilized for data augmentation [\[117\]](#) to generate more stuttered speech samples with the aim of improving the stuttering identification systems.

### 5.2. Data Annotation Issue

It is no doubt that DL has led to enormous advancement in the speech domain, nonetheless, it demands a large amount of labelled data, and also, the dataset bias has plagued current ASIS methods. Annotating the stuttered speech requires expert speech pathologists/therapists, thus is expensive and laborious. Unsupervised learning [\[111\]](#) can be used to exploit unlabelled data from different distributions, and these learned representations can, later on, be used in various (limited data, e.g., stuttering) downstream classification tasks. Unsupervised learning such as contrastive predictive coding [\[118\]](#)

enables to capture the underlying innate structure/pattern(s) in the data distribution. In the context of the stuttered speech, it can capitalize on the unlabelled data to create understandings and learn good stutter-specific feature representations, which later on, can be used to enhance the performance of ASIS systems in a supervised fashion. Semi-supervised learning [119] can also be exploited to solve this problem by employing unlabelled data, in conjunction with the annotated data to develop better classification models. Due to the unavailability of the annotated and limited size of stuttering data, it becomes extremely difficult for the deep models to generalize. Self-supervision, where the main idea is to find a proxy or pretext task for the deep models to learn without any explicit annotations, but rather, the data’s innate patterns provides the labels [120], is a compelling approach to address this paucity of stuttered data by capturing the innate compositions of the disfluency data. By using the Wav2Vec2.0 and ECAPA-TDNN models as feature extractors, Sheikh *et al* [35] and Bayerl *et al* [32] recently demonstrated the usefulness of leveraging features extracted from self-supervised pre-trained models that are trained on large datasets and reported the state-of-the art results in SD as shown in Table 3.

### 5.3. Data Imbalance

Stuttering datasets also suffer from data imbalance problems, i.e., the number of samples available for different disfluent categories is not uniform. It is mentioned that in stuttering, the repetitions are the most frequent ones followed by prolongations, and blocks [1]. However, in the UCLASS dataset, the block type is present in the majority followed by repetitions and prolongations. The model trained on this type of imbalanced dataset is biased towards the majority class. In order to address this, several techniques can be exploited, including resampling [121], reweighting [122] and metric learning [123]. Self-supervision as proposed recently by Yang *et al.* [124] can also be used to address the problem of labeling bias effect in learning on imbalanced disfluent data.

### 5.4. Lack of Appropriate Acoustic Representation

Another issue in the stuttering speech domain is the need for hand-engineered features, which approximate the human auditory system. MFCCs are the principal set of hand-engineered acoustic features that have been used mainly for stuttering identification tasks. The main drawback of this approach is that being manual is cumbersome and requires human knowledge. Over the past few years in the speech domain, the use of hand-engineered acoustic features is gradually changing and representation learning is acquiring recognition as an effective alternative to learn and capture task-specific features directly from raw speech signals, thus circumvents the hand-engineered feature extraction module from the pre-processing pipeline [116]. Liu *et al* [125] recently proposed a learnable MFCCs for speaker verification. Sailor and Patil [126] showed that an unsupervised deep auditory model can learn human auditory processing relevant features like filterbanks from raw speech. In addition, Millet and Zeghidour [127] used raw signals to learn the filterbanks for dysarthria detection. This could be exploited to learn and capture the stuttered-specific features directly from the raw speech signal, which later on can be used for downstream tasks like classification, prediction, etc.



### 5.5. Domain Adaptation

Most of the existing ASIS techniques proposed so far are evaluated on a dataset of specific languages consisting of limited speakers. The existing ASIS techniques depend on a probabilistic model to capture domain-specific factors so that any alteration in the input speech domain could have a significant impact (in terms of language or speakers) at the time of inference. It is yet to be explored that, how well an ASIS technique performs in a cross-domain or cross-language environment. There could be two possible scenarios for the cross-language issue: the first is when the model is trained with a specific-language data, but tested in other languages; the other scenario could be, during training, a disfluent person registered in one language, but evaluated in a different language at the test time. Learning stutter-specific features that are invariant to variabilities in language, speakers, recording conditions, etc., could improve the performance of ASIS systems. Domain adaptation techniques have been successfully applied in various speech tasks such as emotion recognition [128], speaker verification [129], and ASR [130]. However, in stuttering domain research, it has not been exploited yet. Several domain adaptation techniques such as [131, 132] could be exploited to learn domain-invariant stutter representations. These domain-invariant stutter-specific representations can, later on, be used to improve the performance of various ASIS systems.

One more issue with the ASIS systems is the generalization of trained models. Several techniques such as early stopping, regularization, and dropout have been used to improve generalization [133]. The main drawback of these techniques is that they are limited by the identification/recognition task. This problem can be solved by the multi-task learning strategy, (i.e., if the model is forced to learn some auxiliary tasks in parallel, in addition to its main task). Language and gender classifications are two auxiliary tasks, that can be learned together with the stutter identification task on the same input feature space to improve generalization. Recently, Sheikh *et al* [31] used speaker recognition as a secondary task in learning robust stuttering representations by applying adversarial learning paradigm.

### 5.6. Multimodal Learning

In stuttering identification, DL has been successfully applied to single modalities like text and audio. Inspired by the human brain, where the perceptions are carried out through the integration of information from several sensory inputs including vision, hearing, smell, etc., Ngiam *et al* [134] proposed a multi-modal (audio visual) learning and showed how to train deep models that learn effective shared representations across the modalities. The stuttering itself exhibits an audio-visual problem. Cues are present both in the visual (e.g. head nodding, lip tremors, quick eye blinks, and unusual lip shapes) as well as in the audio modality [1]. This multimodal learning paradigm could be helpful in learning robust stutter-specific hidden representations across the cross-modality platform, and could also help in building robust ASIS systems. Self-supervised learning can also be exploited to capture acoustic stutter-specific representations based on guided video frames. As proposed by Shukla *et al* [120], this framework could be helpful in learning stutter-specific features from audio signals guided by visual frames or vice-versa. Altinkaya and Smeulders [135] recently presented the first audio-visual stuttered dataset which consists of 25 speakers (14 male, 11 female). They trained ResNet-based RNN (gated recurrent unit) on the audio-visual modality for the detection of block stuttering type.

### 5.7. Attention Approach

The nature of stuttering is that it either usually happens on specific words or part of words, or part of sounds, syllables, or phrases and thus, is contained only in certain frames. The attention networks have been successfully applied in speech emotion detection [136], speaker verification [137], speech recognition [138]. Attention networks [139, 138] which imitates cognitive attention can be exploited to force the neural networks to focus on the particular stutter-embedded frames that may improve the detection performance of ASIS systems.

### 5.8. Multi-Stuttering Identification

Most of the ASIS studies focus on utterances, which consist of only one type of stuttering. However, the speech utterance can contain a mix of stuttering such as *d-d-d—dog dog is big*, which consists of syllable repetition, prolongation and word repetition types of disfluencies [140]. There is a lack of studies in detecting multiple stuttering types if present in an utterance, and to the best of our knowledge, Ghonem *et al* [93] is the only study, that has been carried out to detect multiple stuttering types (*repetition-prolongation*) in an utterance.

## 6. Conclusion

Stuttering is a speech disorder during which the flow of speech is interrupted by involuntary blocks, prolongations, and repetitions. The conventional assessment of stuttering is to count manually the occurrences of stuttering types and indicate them as a proportion to the total number of words in a speech passage. The main drawback of this manual counting is that they are time-consuming and subjective which makes it inconsistent and prone to error across different judges/STs. Approximately 70 million people suffer from the stuttering problem worldwide which constitutes 1% of the world’s population. Among them, the stuttering is significant in males which is approximately four-fifth.

Stuttering identification is a complex interdisciplinary problem that involves speech processing, signal processing, neuroscience, psychology, pathology, and machine learning. The recent advancements in the machine and DL have significantly transformed the speech domain. However, in SD, it has not been explored eminently. This work tries to fill the gap by trying to bring researchers together from interdisciplinary fields. In the past two decades, a lot of research work has been performed on the automatic identification of stuttering. This paper gives an up-to-date comprehensive review of the various datasets, acoustic features, and ASIS classification methods, that have been used by various researchers for the identification and recognition of stuttering disfluencies. In this paper, We also discussed several challenges with possible solutions that need to be addressed for future work. These ASIS systems demand the training data among which the most common dataset, that has been used in the stuttering research is UCLASS [73].

Due to the challenges discussed in the Section 5, ASIS systems are not yet available for real-time stutter identification, unlike ASR, which are easily accessible on portable mobile devices. To achieve this goal, ASIS systems demand more powerful models so that the stuttering identification rate increases in cross-language and cross-speaker platforms with no labelled or very few annotated data.

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