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# Here and There modal logic with dual implication

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## Abstract

We define and study an extension of the logic of Here and There with dual implication and modal operators of necessity and possibility. We provide a complete axiomatisation. We prove as well other results such as the interdefinability of modal operators and the Hennessy-Milner property. We give an upper bound to the complexity of the satisfiability problem.

*Keywords:* modal logic, Here and There logic, dual implication, axiomatization and completeness, Hennessy-Milner property.

## 1 Introduction

In the last twenty years, research on extensions of the logic of *Here and There* [16,21,38] (HT) have been very active due to the advent of *Equilibrium Logic* [29,30], which is considered the best-known logical characterisation of the *Stable Models Semantics* [15] and *Answer Sets Semantics* [6] in *Logic Programming* (LP). Recently, combinations of intermediate and modal logics [3,9,11,12,39] have caught the attention of the LP community since they can support the definition of non-monotonic modal logics [9,3]. Extending intermediate logics [25] (IL) with modalities is not new, since several semantics and properties have been studied about this topic in both philosophy and formal logic [5,7,28,35,37] and computer science [2,4,13,24,32].

Also related to IL, several types of negation were considered: for instance, Nelson's Constructive Logic [27] was used by [30] in order to characterise the strong negation in LP. However, other dual operators of IL<sup>1</sup> have not been considered in the HT setting. More precisely, we focused on the dual implication proposed by C. Rauszer [33].

Rauszer proposed an extension of intuitionistic logic equipped with a new implication (denoted by  $\leftarrow$ ) in order to provide “a more elegant algebraic and

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<sup>1</sup> See the discussion presented in [40].

model-theoretic theory than in ordinary intuitionistic logic” [33]. Later on, this new implication was further studied: in [41] this new operator is added to the intuitionistic modal language providing several results such as matrix and Kripke semantics or embeddings into (extended) tense logics. A display calculus unifying intuitionistic and dual-intuitionistic logic was presented in [18] and refined in [19]. Recently, in [20], a cut-free sequent calculi in terms of *derivations* and *refutations* have been introduced<sup>2</sup>.

In this paper, we have considered the combination of propositional HT with dual implication and modal logic  $K$ . On it, we have defined the concept of *modal equilibrium model* and we study several interesting properties, which can serve as a starting point for future modal extensions. These properties are presented along this paper in the following way. In Section 2, we present syntax and two equivalent alternative semantics based on Kripke models. The former semantics (the “Here and There” semantics) is simulated by two valuation functions while the latter semantics possesses two accessibility relations to interpret implication, dual implication and modal operators. In Section 3 and Section 4, we present an axiomatisation of this logic and we prove its completeness with respect to the birelational semantics. In Section 5, we establish the complexity, in PSPACE, of the satisfiability problem in this logic. In Section 6 we define bisimulations for our *BHT*-modal extensions and we use them to prove the Hennessy-Milner property. In Section 7 we define the concept of modal equilibrium logic and shows that such definition is suitable for proving the theorem of *strong equivalence*.

## 2 Syntax and semantics

In this section, we present the syntax and the semantics of *BHT*.

### 2.1 Syntax

Let  $VAR$  be a countable set of propositional variables (denoted  $p, q$ , etc). The set  $FOR$  of all formulas (denoted  $\varphi, \psi$ , etc) is defined as follows:

$$\varphi, \psi ::= p \mid \perp \mid \top \mid (\varphi \vee \psi) \mid (\varphi \wedge \psi) \mid (\varphi \rightarrow \psi) \mid (\varphi \leftarrow \psi) \mid \Box\varphi \mid \Diamond\varphi \quad (1)$$

We follow the standard rules for omission of the parentheses. As in [33], two negations can be defined:  $\neg\varphi \stackrel{def}{=} \varphi \rightarrow \perp$  and  $\lrcorner\varphi \stackrel{def}{=} \top \leftarrow \varphi$ . Let  $|\varphi|$  denote the number of symbol occurrences in  $\varphi$ . A set  $\Sigma$  of formulas is *closed* iff it is closed under subformulas and for all formulas  $\varphi$ , if  $\varphi \in \Sigma$  then  $\neg\varphi \in \Sigma$  and  $\lrcorner\varphi \in \Sigma$ . The *modal degree* of a formula  $\varphi$  (in symbols  $deg(\varphi)$ ) is defined as follows:

$$deg(\varphi) \stackrel{def}{=} \begin{cases} 0 & \text{if } \varphi = p \text{ (} p \in VAR \text{) or } \varphi = \perp \text{ or } \varphi = \top \\ \max(deg(\psi), deg(\chi)) & \text{if } \varphi = \psi \odot \chi, \text{ with } \odot \in \{\vee, \wedge, \rightarrow, \leftarrow\} \\ 1 + deg(\psi) & \text{if } \varphi = \odot\psi, \text{ with } \odot \in \{\Box, \Diamond\} \end{cases}$$

<sup>2</sup> Derivation Calculi are used to reason about a syntactic derivability relation ( $\vdash$ ). usually associated with the ordinary implication ( $\rightarrow$ ). Conversely, Refutation Calculi [17] are thought for reasoning about a syntactic refutability relation ( $\dashv$ ) and it comes from the use of the dual implication ( $\leftarrow$ ).

A *theory* is a set of formulas. For all theories  $x, y$ , we define the theories  $\Box x \stackrel{\text{def}}{=} \{\varphi \mid \Box\varphi \in x\}$  and  $\Diamond y \stackrel{\text{def}}{=} \{\Diamond\varphi \mid \varphi \in y\}$ .

## 2.2 BHT semantics

Given a nonempty set  $W$  and  $H, T : VAR \rightarrow 2^W$ , we say that  $H$  is *included* in  $T$  (in symbols  $H \leq T$ ) iff for all  $p \in VAR$ ,  $H(p) \subseteq T(p)$ . A *BHT-frame* is a structure  $(W, R)$  where  $W$  is a nonempty set and  $R$  is a binary relation on  $W$ . A *BHT-model* is a structure  $\mathbf{M} = \langle W, R, H, T \rangle$  where  $(W, R)$  is a BHT-frame and  $H, T : VAR \rightarrow 2^W$  are such that  $H \leq T$ . Given a BHT-model  $\mathbf{M} = \langle W, R, H, T \rangle$ ,  $x \in W$ , and  $\alpha \in \{h, t\}$ , interpreting  $\perp$ ,  $\top$ ,  $\vee$  and  $\wedge$  as usual, the satisfaction of a formula  $\varphi$  at  $(x, \alpha)$  in  $\mathbf{M}$  (in symbols  $\mathbf{M}, (x, \alpha) \models \varphi$ ) is defined as follows:

- $\mathbf{M}, (x, h) \models p$  iff  $x \in H(p)$  and  $\mathbf{M}, (x, t) \models p$  iff  $x \in T(p)$ ,
- $\mathbf{M}, (x, \alpha) \models \varphi \rightarrow \psi$  iff for all  $\alpha' \in \{\alpha, t\}$ ,  $\mathbf{M}, (x, \alpha') \not\models \varphi$ , or  $\mathbf{M}, (x, \alpha') \models \psi$ ,
- $\mathbf{M}, (x, \alpha) \models \varphi \leftarrow \psi$  iff there exists  $\alpha' \in \{h, \alpha\}$  such that  $\mathbf{M}, (x, \alpha') \models \varphi$  and  $\mathbf{M}, (x, \alpha') \not\models \psi$ ,
- $\mathbf{M}, (x, \alpha) \models \Box\varphi$  iff for all  $y \in W$ , if  $xRy$  then  $\mathbf{M}, (y, \alpha) \models \varphi$ ,
- $\mathbf{M}, (x, \alpha) \models \Diamond\varphi$  iff there exists  $y \in W$  such that  $xRy$  and  $\mathbf{M}, (y, \alpha) \models \varphi$ .

As a result,  $\mathbf{M}, (x, \alpha) \models \neg\varphi$  iff for all  $\alpha' \in \{\alpha, t\}$ ,  $\mathbf{M}, (x, \alpha') \not\models \varphi$  and  $\mathbf{M}, (x, \alpha) \models \neg\varphi$  iff there exists  $\alpha' \in \{h, \alpha\}$  such that  $\mathbf{M}, (x, \alpha') \not\models \varphi$ . Notice that if  $H = T$  then the satisfaction relation is essentially the same as the satisfaction relation used in classical modal logic [10]. We say that the formulas  $\varphi$  and  $\psi$  are *BHT-equivalent* (in symbols  $\varphi \simeq \psi$ ) iff for all BHT models  $\mathbf{M} = \langle W, R, H, T \rangle$ , for all  $x \in W$  and for all  $\alpha \in \{h, t\}$ ,  $\mathbf{M}, (x, \alpha) \models \varphi$  iff  $\mathbf{M}, (x, \alpha) \models \psi$ . The satisfaction of a theory  $\Gamma$  at  $(x, \alpha)$  in  $\mathbf{M}$  (in symbols  $\mathbf{M}, (x, \alpha) \models \Gamma$ ) is defined as usual. Two theories  $\Gamma_1$  and  $\Gamma_2$  are *BHT-equivalent* (in symbols  $\Gamma_1 \simeq \Gamma_2$ ) iff for all BHT models  $\mathbf{M} = \langle W, R, H, T \rangle$ , for all  $x \in W$  and for all  $\alpha \in \{h, t\}$ ,  $\mathbf{M}, (x, \alpha) \models \Gamma_1$  iff  $\mathbf{M}, (x, \alpha) \models \Gamma_2$ .

**Lemma 2.1** *Let  $\varphi$  be a formula. For all BHT-models  $\mathbf{M} = \langle W, R, H, T \rangle$  and for all  $x \in W$ , if  $\mathbf{M}, (x, h) \models \varphi$  then  $\mathbf{M}, (x, t) \models \varphi$ .*

As a result, for arbitrary  $x \in W$  and  $\alpha \in \{h, t\}$ ,  $\mathbf{M}, (x, \alpha) \models \neg\varphi$  iff  $\mathbf{M}, (x, t) \not\models \varphi$  and  $\mathbf{M}, (x, \alpha) \models \neg\varphi$  iff  $\mathbf{M}, (x, h) \not\models \varphi$ . Hence,  $\mathbf{M}, (x, t) \models \varphi \vee \neg\varphi$  and  $\mathbf{M}, (x, h) \not\models \varphi \wedge \neg\varphi$ . Remark also that  $\mathbf{M}, (x, \alpha) \models \neg\neg\varphi$  iff  $\mathbf{M}, (x, t) \models \varphi$  and  $\mathbf{M}, (x, \alpha) \models \neg\neg\varphi$  iff  $\mathbf{M}, (x, h) \models \varphi$ . A formula  $\varphi$  is said to be *satisfiable* iff there exists a BHT model  $\mathbf{M} = \langle W, R, H, T \rangle$ , there exists  $x \in W$  and there exists  $\alpha \in \{h, t\}$  such that  $\mathbf{M}, (x, \alpha) \models \varphi$ . A formula  $\varphi$  is said to be *valid* iff for all BHT models  $\mathbf{M} = \langle W, R, H, T \rangle$ , for all  $x \in W$  and for all  $\alpha \in \{h, t\}$ ,  $\mathbf{M}, (x, \alpha) \models \varphi$ . In order to grasp the differences between  $\neg$  and  $\neg$ , let us notice that, although  $p \vee \neg p$  is not valid and  $p \wedge \neg p$  is satisfiable, we have  $\varphi \vee \neg\varphi$  is valid and  $\varphi \wedge \neg\varphi$  is not satisfiable for arbitrary formula  $\varphi$ . In other respect, by Lemma 2.1, one can readily conclude that  $\varphi$  is valid iff for all BHT models  $\mathbf{M} = \langle W, R, H, T \rangle$  and for all  $x \in W$ ,  $\mathbf{M}, (x, h) \models \varphi$  and  $\varphi$  is not satisfiable iff for all BHT models

$\mathbf{M} = \langle W, R, H, T \rangle$  and for all  $x \in W$ ,  $\mathbf{M}, (x, t) \not\models \varphi$ . It can be easily checked that if a formula  $\varphi$  is not satisfiable then  $\neg\varphi$  is valid and if  $\varphi$  is valid then  $\neg\varphi$  is not satisfiable. Finally, remark that for all formulas  $\varphi, \psi$ ,  $\varphi \rightarrow \psi$  is valid iff  $\varphi \leftarrow \psi$  is not satisfiable.

**Lemma 2.2** *The following formulas are valid:*

1) *Standard axioms of Intuitionistic Propositional Calculus (IPC):*

- $\varphi \rightarrow (\psi \rightarrow \varphi)$ ,
- $(\varphi \rightarrow (\psi \rightarrow \chi)) \rightarrow ((\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow \chi))$ ,
- $(\varphi \rightarrow \chi) \rightarrow ((\psi \rightarrow \chi) \rightarrow (\varphi \vee \psi \rightarrow \chi))$ ,
- $\varphi \rightarrow \varphi \vee \psi$ ,
- $\psi \rightarrow \varphi \vee \psi$ ,
- $\varphi \wedge \psi \rightarrow \varphi$ ,
- $\varphi \wedge \psi \rightarrow \psi$ ,
- $\varphi \rightarrow (\psi \rightarrow \varphi \wedge \psi)$ ,
- $\perp \rightarrow \varphi$ ,

2) *Hosoi formula [22]:*  $\varphi \vee (\varphi \rightarrow \psi) \vee \neg\psi$ ,

3) *Fisher Servi axioms:*

- $\Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$ ,
- $\Box(\varphi \rightarrow \psi) \rightarrow (\Diamond\varphi \rightarrow \Diamond\psi)$ ,
- $\Diamond(\varphi \vee \psi) \rightarrow \Diamond\varphi \vee \Diamond\psi$ ,
- $(\Diamond\varphi \rightarrow \Box\psi) \rightarrow \Box(\varphi \rightarrow \psi)$ ,
- $\neg\Diamond\perp$ ,

4) *Additional formulas:*

- $\varphi \rightarrow (\varphi \leftarrow \psi) \vee \psi$ ,
- $\varphi \vee \neg\varphi$ ,
- $\neg\varphi \vee \neg\neg\varphi$ ,
- $\neg\Diamond(\varphi \wedge \neg\varphi)$ ,
- $\neg\neg\Box\varphi \rightarrow \Box\neg\neg\varphi$  and  $\Diamond\neg\neg\varphi \rightarrow \neg\neg\Diamond\varphi$ ,
- $\neg\neg\Box\varphi \rightarrow \Box\neg\neg\varphi$  and  $\Diamond\neg\neg\varphi \rightarrow \neg\neg\Diamond\varphi$ .

**Lemma 2.3** *The following formulas are valid:*

1) *Negation-dual of standard axioms of Intuitionistic Logic:*

- $\neg((\varphi \leftarrow \psi) \leftarrow \varphi)$ ,
- $\neg(((\chi \leftarrow \varphi) \leftarrow (\psi \leftarrow \varphi)) \leftarrow ((\chi \leftarrow \psi) \leftarrow \varphi))$ ,
- $\neg(((\chi \leftarrow \psi \wedge \varphi) \leftarrow (\chi \leftarrow \psi)) \leftarrow (\chi \leftarrow \varphi))$ ,
- $\neg(\psi \wedge \varphi \leftarrow \varphi)$ ,
- $\neg(\psi \wedge \varphi \leftarrow \psi)$ ,
- $\neg(\varphi \leftarrow \psi \vee \varphi)$ ,
- $\neg(\psi \leftarrow \psi \vee \varphi)$ ,
- $\neg((\psi \vee \varphi \leftarrow \psi) \leftarrow \varphi)$ ,
- $\neg(\varphi \leftarrow \top)$ .

2) *Negation-dual of Hosoi formula:*  $\neg(\neg\psi \wedge (\psi \leftarrow \varphi) \wedge \varphi)$ ,

3) *Negation-dual of Fisher Servi axioms:*

- $\neg((\Diamond\psi \leftarrow \Diamond\varphi) \leftarrow \Diamond(\psi \leftarrow \varphi))$ ,
- $\neg((\Box\psi \leftarrow \Box\varphi) \leftarrow \Box(\psi \leftarrow \varphi))$ ,
- $\neg(\Box\psi \wedge \Box\varphi \leftarrow \Box(\psi \wedge \varphi))$ ,
- $\neg(\Diamond(\psi \leftarrow \varphi) \leftarrow (\Diamond\psi \leftarrow \Box\varphi))$ ,
- $\neg\neg\Box\top$ ,

4) *Negation-dual of additional formulas:*

- $\neg(\psi \wedge (\psi \rightarrow \varphi) \leftarrow \varphi)$ ,
- $\neg(\neg\varphi \wedge \psi)$ ,
- $\neg(\ulcorner\varphi \wedge \ulcorner\varphi)$ ,
- $\neg\neg\Box(\neg\varphi \vee \varphi)$ ,
- $\neg(\Diamond\ulcorner\varphi \leftarrow \ulcorner\Diamond\varphi)$  and  $\neg(\ulcorner\Box\varphi \leftarrow \Box\ulcorner\varphi)$ ,
- $\neg(\Diamond\neg\neg\varphi \leftarrow \neg\neg\Diamond\varphi)$  and  $\neg(\neg\neg\Box\varphi \leftarrow \Box\neg\neg\varphi)$ .

From now on, the set of all valid formulas is denoted  $BHT$ .

**Lemma 2.4** *In the following tables, the formulas on the left are BHT-equivalent to the corresponding formulas on the right.*

$\neg(\varphi \vee \psi)$	$\neg\varphi \wedge \neg\psi$
$\neg(\varphi \wedge \psi)$	$\neg\varphi \vee \neg\psi$
$\ulcorner(\varphi \vee \psi)$	$\ulcorner\varphi \wedge \ulcorner\psi$
$\ulcorner(\varphi \wedge \psi)$	$\ulcorner\varphi \vee \ulcorner\psi$
$\neg(\varphi \rightarrow \psi)$	$\neg\neg\varphi \wedge \neg\psi$
$\neg(\varphi \leftarrow \psi)$	$\neg\varphi \vee \ulcorner\psi \vee (\ulcorner\varphi \wedge \neg\neg\psi)$
$\ulcorner(\varphi \rightarrow \psi)$	$\neg\neg\varphi \wedge \ulcorner\psi \wedge (\ulcorner\varphi \vee \neg\psi)$
$\ulcorner(\varphi \leftarrow \psi)$	$\ulcorner\varphi \vee \ulcorner\psi$

$\neg\neg\varphi$	$\neg\varphi$
$\neg\neg\neg\varphi$	$\ulcorner\varphi$
$\neg\neg\neg\neg\varphi$	$\neg\varphi$
$\ulcorner\neg\neg\varphi$	$\neg\varphi$
$\ulcorner\neg\neg\neg\varphi$	$\ulcorner\varphi$
$\ulcorner\ulcorner\varphi$	$\neg\varphi$
$\ulcorner\ulcorner\ulcorner\varphi$	$\ulcorner\varphi$

$\neg\neg\varphi$	$\ulcorner\ulcorner\varphi$
$\ulcorner\neg\varphi$	$\neg\neg\varphi$

**Lemma 2.5** *Let  $\varphi$  be a formula. The least closed set of formulas containing  $\varphi$  contains at most  $5|\varphi|$  equivalence classes of formulas modulo  $\simeq$ .*

In most modal extensions of intuitionistic logic,  $\Box$  and  $\Diamond$  are non-interdefinable. Within the context of  $BHT$ , this is no longer the case.

**Lemma 2.6** *In the following table, the formulas on the left are BHT-equivalent to the corresponding formulas on the right.*

$\Box\varphi$	$\Diamond(\varphi \wedge \ulcorner\varphi) \vee \ulcorner\Diamond\ulcorner\varphi \leftarrow \Diamond\neg\varphi$
$\Box\varphi$	$(\neg\Diamond(\varphi \wedge \ulcorner\varphi) \vee \Diamond(\varphi \wedge \ulcorner\varphi) \vee \ulcorner\Diamond\ulcorner\varphi) \wedge \neg\Diamond\neg\varphi$
$\Diamond\varphi$	$\Box\ulcorner\varphi \rightarrow \neg\Box\neg\varphi \wedge \Box(\varphi \vee \neg\varphi)$
$\Diamond\varphi$	$\ulcorner\Box\ulcorner\varphi \vee (\neg\Box\neg\varphi \wedge \Box(\varphi \vee \neg\varphi) \wedge \ulcorner\Box(\varphi \vee \neg\varphi))$

### 2.3 Birelational semantics

A standard approach in the semantics of a modal intuitionistic logic is to consider structures based on a partial order and a binary relation [37]. A *birelational frame* is a structure  $(W, \leq, R)$  where  $W$  is a nonempty set,  $\leq$  is a partial order on  $W$  and  $R$  is a binary relation on  $W$ . A birelational frame  $(W, \leq, R)$  is *normal* iff it satisfies the following conditions for all  $x, y, z \in W$ :

- 1) if  $x \leq y$  and  $x \leq z$  then  $x = y$ , or  $x = z$ , or  $y = z$ ,
- 2) if  $x \leq z$  and  $y \leq z$  then  $x = y$ , or  $x = z$ , or  $y = z$ .

As a result, if  $(W, \leq, R)$  is normal then for all  $x \in W$ ,  $x$  is a maximal element with respect to  $\leq$ , or there exists exactly one  $y \in W$  such that  $x \leq y$  and  $x \neq y$ . In the former case let  $\widehat{x}$  denote  $x$ . In the latter case, let  $\widehat{x}$  denote this  $y$ . From this definition, it follows that for all  $x, y \in W$ ,  $x \leq y$  iff  $y = x$ , or  $y = \widehat{x}$ . Similarly, if  $(W, \leq, R)$  is normal then for all  $x \in W$ ,  $x$  is a minimal element with respect to  $\leq$ , or there exists exactly one  $y \in W$  such that  $y \leq x$  and  $x \neq y$ . In the former case, let  $\check{x}$  denote  $x$ . In the latter case, let  $\check{x}$  denote this  $y$ . From this definition it follows that for all  $x, y \in W$ ,  $y \leq x$  iff  $x = y$ , or  $\check{x} = y$ . Obviously, for all

$x \in W$ ,  $\widehat{x} = \widehat{x}$  and  $\widetilde{x} = \widetilde{x}$ . A normal birelational frame  $(W, \leq, R)$  is *Cartesian* iff it satisfies the following conditions for all  $x, y \in W$ :

- 1) if  $\widetilde{x}Ry$  then  $\widetilde{y} = y$  and  $\widehat{x}R\widehat{y}$ ,      2) if  $\widehat{x}Ry$  then  $\widehat{y} = y$  and  $\widetilde{x}R\widetilde{y}$ .

**Lemma 2.7** *Let  $(W, \leq, R)$  be a Cartesian birelational frame. For all  $x, y \in W$ , if  $xRy$  then  $\widehat{x}R\widehat{y}$  and  $\widetilde{x}R\widetilde{y}$ .*

A *birelational model* is a structure  $\langle W, \leq, R, V \rangle$  where  $(W, \leq, R)$  is a birelational frame and  $V : VAR \rightarrow 2^W$  is such that for all  $x, y \in W$ , if  $x \leq y$  then for all  $p \in VAR$ , if  $x \in V(p)$  then  $y \in V(p)$ . Given a birelational model  $\mathbf{M} = \langle W, \leq, R, V \rangle$  and  $x \in W$ , interpreting  $\perp, \top, \vee$  and  $\wedge$  as usual, the satisfaction of a formula  $\varphi$  at  $x$  in  $\mathbf{M}$  (in symbols  $\mathbf{M}, x \models \varphi$ ) is defined as follows:

- (i)  $\mathbf{M}, x \models p$  iff  $x \in V(p)$ ,
- (ii)  $\mathbf{M}, x \models \varphi \rightarrow \psi$  iff for all  $y \in W$  if  $x \leq y$  then  $\mathbf{M}, y \not\models \varphi$ , or  $\mathbf{M}, y \models \psi$ ,
- (iii)  $\mathbf{M}, x \models \varphi \leftarrow \psi$  iff there exists  $y \in W$  such that  $y \leq x$ ,  $\mathbf{M}, y \models \varphi$  and  $\mathbf{M}, y \not\models \psi$ ,
- (iv)  $\mathbf{M}, x \models \Box \varphi$  iff for all  $y, z \in W$ , if  $x \leq y$  and  $yRz$  then  $\mathbf{M}, z \models \varphi$ ,
- (v)  $\mathbf{M}, y \models \Diamond \varphi$  iff there exists  $y \in W$  such that  $xRy$  and  $\mathbf{M}, y \models \varphi$ .

Remark that the clause concerning  $\Box$  imitates the clause for the quantifier  $\forall$  in first-order intuitionistic logic. Nevertheless, it can be proved that in a Cartesian model  $\mathbf{M} = \langle W, \leq, R, V \rangle$ , replacing the clause concerning  $\Box$  by the clause

$$\mathbf{M}, x \models' \Box \varphi \text{ iff for all } y \in W, \text{ if } xRy \text{ then } \mathbf{M}, y \models' \varphi$$

would define a satisfaction relation equivalent to the relation  $\models$  defined above.

#### 2.4 Equivalence between the two semantics

In this section, we prove that a formula is satisfiable (respectively, valid) in the *BHT* semantics iff it is satisfiable (respectively, valid) in the birelational semantics. Let  $\mathbf{M} = \langle W, R, H, T \rangle$  be a *BHT* model. We define the birelational model  $\mathbf{M}' = \langle W', \leq', R', V' \rangle$  as follows:

- 1)  $W' = W \times \{h, t\}$ ,
- 2)  $(x, \alpha) \leq' (y, \beta)$  iff  $x = y$  and  $\alpha = h$ , or  $\beta = t$ ,
- 3)  $(x, \alpha)R'(y, \beta)$  iff  $xRy$  and  $\alpha = \beta$ ,
- 4)  $V'(p) = \{(x, h) : x \in H(p)\} \cup \{(x, t) : x \in T(p)\}$ .

The reader can easily check that  $\mathbf{M}'$  satisfies the conditions to be normal. Moreover, the reader can check that for all  $(x, \alpha) \in W'$ ,  $\overline{(x, \alpha)} = (x, h)$ , and  $\overline{(x, \alpha)} = (x, t)$ . Let us prove that  $\mathbf{M}'$  is Cartesian. Let us consider  $(x, \alpha)$  and  $(y, \beta)$  in  $W'$  satisfying  $\overline{(x, \alpha)R'(y, \beta)}$ . By definition,  $(x, h)R'(y, \beta)$ , so  $xRy$  and  $\beta = h$ . Again, by definition  $\overline{(y, \beta)} = (y, \beta)$ . Assume that not  $\overline{(x, \alpha)R'(y, \beta)}$ , By definition not  $(x, t)R'(y, t)$ . By definition we conclude not  $xRy$ : a contradiction. Therefore  $\overline{(x, \alpha)R'(y, \beta)}$ . Let us consider now  $(x, \alpha)$  and  $(y, \beta)$  in  $W'$  satisfying  $\overline{(x, \alpha)R'(y, \beta)}$ . By definition,  $(x, t)R'(y, \beta)$ , so  $xRy$  and  $\beta = t$ . Again, by definition  $\overline{(y, \beta)} = (y, \beta)$ . Assume that not  $\overline{(x, \alpha)R'(y, \beta)}$ , By defi-



dition not  $\underline{(x, h)}R'\underline{(y, h)}$ . By definition we conclude not  $xRy$ : a contradiction. Therefore  $(x, \alpha)R'(y, \beta)$ . Finally, we can prove the following correspondence between  $\mathbf{M}$  and  $\mathbf{M}'$ .

**Lemma 2.8** *Let  $\varphi$  be a formula. For all  $x \in W$  and for all  $\alpha \in \{h, t\}$ ,  $\mathbf{M}, (x, \alpha) \models \varphi$  iff  $\mathbf{M}', (x, \alpha) \models \varphi$ .*

**Proof.** By induction on  $\varphi$ . In the case of a propositional variable  $p$ , if  $\mathbf{M}, (x, h) \models p$  then  $x \in H(p)$  so, by definition,  $(x, h) \in V(p)$ , so  $\mathbf{M}', (x, h) \models p$ . If  $\mathbf{M}, (x, t) \models p$  then  $x \in T(p)$  so, by definition,  $(x, t) \in V(p)$ , so  $\mathbf{M}', (x, t) \models p$ . The converse direction is proved in a similar way. Also, the cases of conjunction and disjunction are proved by using the induction hypothesis. We consider the operators  $\rightarrow$  and  $\Box$  below:

- Case  $\varphi \rightarrow \psi$ : from left to right, assume by contradiction that  $\mathbf{M}', (x, \alpha) \not\models \varphi \rightarrow \psi$ . Therefore, there exists  $(y, \beta) \in W'$  such that  $(x, \alpha) \leq' (y, \beta)$  and  $\mathbf{M}', (y, \beta) \models \varphi$  and  $\mathbf{M}', (y, \beta) \not\models \psi$ . By induction hypothesis we get that  $\mathbf{M}, (y, \beta) \not\models \varphi \rightarrow \psi$ . By definition  $x = y$  and either  $\alpha = h$  or  $\beta = t$ . If  $\alpha = h$  then there exists  $\beta \in \{h, t\}$ ,  $\mathbf{M}, (x, \beta) \not\models \varphi \rightarrow \psi$ , so  $\mathbf{M}, (x, \alpha) \not\models \varphi \rightarrow \psi$ : a contradiction. If  $\beta = t$  then for all  $\alpha \in \{h, t\}$ ,  $\mathbf{M}, (x, \alpha) \not\models \varphi \rightarrow \psi$ : a contradiction. For the converse direction, let us consider  $\mathbf{M}, (x, \alpha) \not\models \varphi \rightarrow \psi$ . Therefore there exists some  $\beta \in \{\alpha, t\}$ ,  $\mathbf{M}, (x, \beta) \models \varphi$  and  $\mathbf{M}, (x, \beta) \not\models \psi$ . By induction  $\mathbf{M}', (x, \beta) \models \varphi$  and  $\mathbf{M}', (x, \beta) \not\models \psi$ . Therefore  $\mathbf{M}', (x, \beta) \not\models \varphi \rightarrow \psi$ . If  $\beta = \alpha$  we get  $\mathbf{M}', (x, \alpha) \not\models \varphi \rightarrow \psi$ : a contradiction. If  $\beta = t$  then  $(x, \alpha) \leq' (x, \beta)$  and  $\mathbf{M}', (x, \beta) \not\models \varphi \rightarrow \psi$ : a contradiction.
- Case  $\Box\psi$ : from left to right, assume by contradiction that  $\mathbf{M}', (x, \alpha) \not\models \Box\psi$ . This means that there exists  $(x', \beta)$  and  $(y, \gamma)$  in  $W'$  such that  $(x, \alpha) \leq' (x', \beta)R'(y, \gamma)$  and  $\mathbf{M}', (y, \gamma) \not\models \psi$ . By induction  $\mathbf{M}, (x, \gamma) \not\models \psi$ . By definition  $x'Ry$  and  $\gamma = \beta$  (so  $\mathbf{M}, (x', \beta) \not\models \Box\psi$ ). Again, by definition  $x = x'$  and either  $\beta = t$  or  $\alpha = h$ . Any of the cases leads to  $\mathbf{M}, (x, \alpha) \not\models \Box\psi$ . Conversely, assume by contradiction that  $\mathbf{M}, (x, \alpha) \not\models \Box\psi$ . Therefore  $\mathbf{M}, (y, \alpha) \not\models \psi$  for some  $xRy$ . By induction  $\mathbf{M}', (y, \alpha) \not\models \psi$ . By definition  $(x, \alpha)R'(y, \alpha)$  and  $(x, \alpha) \leq' (x, \alpha)$ , so  $\mathbf{M}', (x, \alpha) \not\models \Box\psi$ .

□

Let  $\mathbf{M} = \langle W, \leq, R, V \rangle$  be a Cartesian birelational model. We define the *BHT* model  $\mathbf{M}' = \langle W', R', H', T' \rangle$  as follows:

- 1)  $W' = \{(\check{x}, \hat{x}) \mid x \in W\}$ ,
- 2)  $(\check{x}, \hat{x})R'(\check{y}, \hat{y})$  iff  $\check{x}R\check{y}$  and  $\hat{x}R\hat{y}$ ,
- 3)  $H'(p) = \{(\check{x}, \hat{x}) : \check{x} \in V(p)\}$ ,
- 4)  $T'(p) = \{(\check{x}, \hat{x}) : \hat{x} \in V(p)\}$ .

Take  $(\check{x}, \hat{x}) \in H'(p)$ . By definition  $\check{x} \in V(p)$ . Since  $\check{x} \leq \hat{x}$  then, by definition,  $\hat{x} \in V(p)$ . Finally, by definition,  $(\check{x}, \hat{x}) \in T'(p)$ . Thus,  $H' \leq T'$ . Moreover, the following result relates birelational and *BHT* semantics.

**Lemma 2.9** *Let  $\varphi$  be a formula. For all  $x \in W$ ,*

- 1)  $\mathbf{M}, \check{x} \models \varphi$  iff  $\mathbf{M}', ((\check{x}, \hat{x}), h) \models \varphi$ ,

2)  $\mathbf{M}, \widehat{x} \models \varphi$  iff  $\mathbf{M}', ((\check{x}, \widehat{x}), t) \models \varphi$ .

**Proof.** By induction on  $\varphi$ . For the case of a propositional variable  $p$  we get that if  $\mathbf{M}, \check{x} \models p$  then  $\check{x} \in V(p)$  and, by definition,  $(\check{x}, \widehat{x}) \in H'(p)$ . Therefore,  $\mathbf{M}', ((\check{x}, \widehat{x}), h) \models p$ . The converse direction follows a similar reasoning. If  $\mathbf{M}, \widehat{x} \models p$  then  $\widehat{x} \in V(p)$  and, by definition,  $(\check{x}, \widehat{x}) \in T'(p)$ . Therefore,  $\mathbf{M}', ((\check{x}, \widehat{x}), t) \models p$ . The converse direction follows a similar reasoning. The cases of conjunction and disjunction are proved by induction. We present the proof for the  $\rightarrow$  and  $\Box$  connectives below:

- Case  $\varphi \rightarrow \psi$ : from  $\mathbf{M}, \check{x} \models \varphi \rightarrow \psi$  then for all  $x' \in \{\check{x}, \widehat{x}\}$ , either  $\mathbf{M}, x' \not\models \varphi$  or  $\mathbf{M}, x' \models \psi$ . From the induction hypothesis we get that for all  $\alpha \in \{h, t\}$ ,  $\mathbf{M}', ((\check{x}, \widehat{x}), \alpha) \not\models \varphi$  or  $\mathbf{M}', ((\check{x}, \widehat{x}), \alpha) \models \psi$ , so  $\mathbf{M}', ((\check{x}, \widehat{x}), \alpha) \models \varphi \rightarrow \psi$ . The converse direction and the second part of the theorem are proved in a similar way.
- Case  $\Box\psi$ : in the first case, assume by contradiction that  $\mathbf{M}', ((\check{x}, \widehat{x}), h) \not\models \Box\psi$ . Therefore,  $\mathbf{M}', ((\check{y}, \widehat{y}), h) \not\models \psi$  for some  $(\check{y}, \widehat{y}) \in W'$  satisfying  $(\check{x}, \widehat{x})R'(\check{y}, \widehat{y})$ . By induction hypothesis  $\mathbf{M}, \check{y} \not\models \psi$ . By definition,  $\check{x}R\check{y}$ . Therefore,  $\mathbf{M}, \check{x} \not\models \Box\psi$ : a contradiction. Conversely, assume by contradiction that  $\mathbf{M}, \check{x} \not\models \Box\psi$ . Therefore there exists  $y \in W$  such that  $\check{x} \leq x'Ry$  and  $\mathbf{M}, y \not\models \psi$ . If  $x' = \check{x}$ , we use the first condition of being Cartesian to conclude that  $\check{y} = y$  (so  $\check{x}R\check{y}$ ) and  $\widehat{x}R\widehat{y}$ . By definition  $(\check{x}, \widehat{x})R'(\check{y}, \widehat{y})$ . By induction  $\mathbf{M}', ((\check{y}, \widehat{y}), h) \not\models \psi$ . Therefore  $\mathbf{M}', ((\check{x}, \widehat{x}), h) \not\models \Box\psi$ . If  $x' = \widehat{x}$ , we use the second condition of being Cartesian to conclude that  $\widehat{y} = y$  (so  $\widehat{x}R\widehat{y}$ ) and  $\check{x}R\check{y}$ . By definition  $(\check{x}, \widehat{x})R'(\check{y}, \widehat{y})$ . By induction  $\mathbf{M}', ((\check{y}, \widehat{y}), t) \not\models \psi$ . Therefore  $\mathbf{M}', ((\check{x}, \widehat{x}), t) \not\models \Box\psi$ . By Lemma 2.1,  $\mathbf{M}', ((\check{x}, \widehat{x}), h) \not\models \Box\psi$ . The proof of the second second item is similar.  $\square$

**Proposition 2.10** *For any modal formula  $\varphi$ ,  $\varphi$  is satisfiable (respectively, valid) in the class of all BHT-frames iff  $\varphi$  is satisfiable (respectively, valid) in the class of all Cartesian birelational frames.*

### 3 Axiomatisation

The axiomatic system of *BHT* consists of the formulas considered in Lemmas 2.2 and 2.3 plus the following inference rules:

$$\begin{array}{lll}
 MP \frac{\varphi \quad \varphi \rightarrow \psi}{\psi}, & MR_{\rightarrow} \frac{\chi \wedge \psi \rightarrow \varphi}{\chi \rightarrow (\psi \rightarrow \varphi)}, & MR_{\Box} \frac{\varphi \rightarrow \psi \vee \chi}{\Box\varphi \rightarrow \Box\psi \vee \Box\chi}, \\
 Nec \frac{\varphi}{\Box\varphi}, & MR_{\leftarrow} \frac{\varphi \rightarrow \psi \vee \chi}{(\varphi \leftarrow \psi) \rightarrow \chi}, & MR_{\Diamond} \frac{\chi \wedge \psi \rightarrow \varphi}{\Box\chi \wedge \Box\psi \rightarrow \Box\varphi}.
 \end{array}$$

The notion of *BHT-derivability* is defined as usual.

**Lemma 3.1**  $\top$  is derivable in *BHT*.

**Proof.** Notice that  $\top$  is valid in *IPC* (in fact it is equivalent to  $\neg\perp$ ). Since  $IPC \subseteq BHT$  we conclude that  $\top$  is derivable in *BHT*.  $\square$

**Proposition 3.2 (Soundness)** *Let  $\varphi$  be a formula. If  $\varphi$  is BHT-derivable then  $\varphi$  is valid in the class of all BHT-model.*

**Proof.** It suffices to check that all axioms are valid and the inference rules preserve validity.  $\square$

Let  $x, y$  be theories. We say that  $x$  derives  $y$  (in symbols  $x \vdash y$ ) iff there exists  $m, n \geq 0$ , there exists formulas  $\varphi_1, \dots, \varphi_m \in x$  and there exists formulas  $\psi_1, \dots, \psi_n \in y$  such that  $\varphi_1 \wedge \dots \wedge \varphi_m \rightarrow \psi_1 \vee \dots \vee \psi_n$  is BHT-derivable.

## 4 Completeness

We base our proof of completeness on the canonical model construction.

### 4.1 Tableaux

A *tableau* is a couple of theories. We say that a tableau  $(x, y)$  is *consistent* iff  $x \not\vdash y$ . The tableau  $(x, y)$  is said to be *maximal* iff for all formulas  $\varphi$ ,  $\varphi \in x$ , or  $\varphi \in y$ . We say that a tableau  $(x, y)$  is *disjoint* iff  $x \cap y = \emptyset$ . The tableau  $(x, y)$  is said to be *saturated* iff  $\perp \in y$ ,  $\top \in x$  and for all formulas  $\varphi, \psi$ ,

- (i) if  $\varphi \vee \psi \in x$  then  $\varphi \in x$ , or  $\psi \in x$ ,
- (ii) if  $\varphi \vee \psi \in y$  then  $\varphi \in y$  and  $\psi \in y$ ,
- (iii) if  $\varphi \wedge \psi \in x$  then  $\varphi \in x$  and  $\psi \in x$ ,
- (iv) if  $\varphi \wedge \psi \in y$  then  $\varphi \in y$ , or  $\psi \in y$ ,
- (v) if  $\varphi \rightarrow \psi \in x$  then  $\varphi \in y$ , or  $\psi \in x$ ,
- (vi) if  $\varphi \leftarrow \psi \in y$  then  $\varphi \in y$ , or  $\psi \in x$ .

**Lemma 4.1** *Every consistent tableau is disjoint.*

Thus, if  $(x, y), (x', y')$  are maximal consistent tableaux then  $x \subseteq x'$  iff  $y \supseteq y'$ .

**Lemma 4.2 (Lindenbaum Lemma)** *Let  $(x, y)$  be a tableau. If  $(x, y)$  is consistent then there exists a maximal consistent tableau  $(x', y')$  such that  $x \subseteq x'$  and  $y \subseteq y'$ .*

**Lemma 4.3** *If  $(x, y)$  is a maximal consistent tableau then  $x$  contains the set of all BHT-derivable formulas and  $x$  is closed under the rule MP. Moreover,  $x$  and  $y$  constitute a partition of the set of all formulas.*

**Lemma 4.4** *Every maximal consistent tableau is saturated.*

**Proof.** Let  $(x, y)$  be a maximal consistent tableau. We demonstrate  $(x, y)$  is saturated, which amounts to prove that conditions (i)-(vi) of the definition of saturated tableaux are satisfied. We only present the proof for conditions (v) and (vi).

Suppose  $\varphi \rightarrow \psi \in x$ ,  $\varphi \notin y$  and  $\psi \notin x$ . Since  $(x, y)$  is maximal consistent, therefore  $\varphi \rightarrow \psi \notin y$  and  $\psi \in y$ . Moreover,  $\varphi \wedge (\varphi \rightarrow \psi) \leftarrow \psi$  is in  $y$ . Since  $\psi \in y$ , therefore  $\varphi \wedge (\varphi \rightarrow \psi) \in y$  (otherwise, we would obtain  $x \vdash y$  which contradicts the maximal consistency of  $(x, y)$ ). Hence,  $\varphi \in y$ , or  $\varphi \rightarrow \psi \in y$ . Since  $\varphi \notin y$ , therefore  $\varphi \rightarrow \psi \in y$ : a contradiction.

Suppose  $\varphi \leftarrow \psi \in y$ ,  $\varphi \notin y$  and  $\psi \notin x$ . Since  $(x, y)$  is maximal consistent, therefore  $\varphi \leftarrow \psi \notin x$  and  $\varphi \in x$ . Moreover,  $\varphi \rightarrow (\varphi \leftarrow \psi) \vee \psi$  is in  $x$ . Since  $\varphi \in x$ , therefore  $(\varphi \leftarrow \psi) \vee \psi \in x$  (otherwise, we would obtain  $x \vdash y$  which contradicts

the maximal consistency of  $(x, y)$ ). Hence,  $\varphi \leftarrow \psi \in x$ , or  $\psi \in x$ . Since  $\psi \notin x$ , therefore  $\varphi \leftarrow \psi \in x$ : a contradiction.  $\square$

**Lemma 4.5 (Hosoi Lemma)** *Let  $(x, y)$ ,  $(x', y')$  and  $(x'', y'')$  be maximal consistent tableaux. if  $x \subseteq x'$  and  $x \subseteq x''$  then  $x = x'$ , or  $x = x''$ , or  $x' = x''$ .*

**Proof.** Suppose  $x \subseteq x'$ ,  $x \subseteq x''$ ,  $x \neq x'$ ,  $x \neq x''$  and  $x' \neq x''$ . Without loss of generality, suppose  $x' \not\subseteq x''$ . Let  $\varphi$  be a formula such that  $\varphi \in x'$  and  $\varphi \notin x''$ . Since  $x \subseteq x'$ , therefore  $\neg\varphi \notin x$  (otherwise, we would obtain  $\varphi \wedge \neg\varphi \in x'$  which contradicts the maximal consistency of  $(x', y')$ ). Since  $x \subseteq x''$  and  $x \neq x''$ , therefore let  $\psi$  be a formula such that  $\psi \notin x$  and  $\psi \in x''$ . Since  $(x, y)$  is maximal consistent, therefore  $\psi \vee (\psi \rightarrow \varphi) \vee \neg\varphi$  (Hosoi axiom) is in  $x$ . Hence,  $\psi \in x$ , or  $\psi \rightarrow \varphi \in x$ , or  $\neg\varphi \in x$ . Since  $\neg\varphi \notin x$  and  $\psi \notin x$ , therefore  $\psi \rightarrow \varphi \in x$ . Since  $x \subseteq x''$ , therefore  $\psi \rightarrow \varphi \in x''$ . Since  $\psi \in x''$ , therefore  $\varphi \in x''$ : a contradiction.  $\square$

**Lemma 4.6 (Negation-dual of Hosoi Lemma)** *Let  $(x, y)$ ,  $(x', y')$  and  $(x'', y'')$  be maximal consistent tableaux. if  $x \supseteq x'$  and  $x \supseteq x''$  then  $x = x'$ , or  $x = x''$ , or  $x' = x''$ .*

**Proof.** Suppose  $x \supseteq x'$ ,  $x \supseteq x''$ ,  $x \neq x'$ ,  $x \neq x''$  and  $x' \neq x''$ . Without loss of generality, suppose  $x' \not\subseteq x''$ . Let  $\varphi$  be a formula such that  $\varphi \notin x'$  and  $\varphi \in x''$ . Since  $x \supseteq x'$ , therefore  $\neg\varphi \in x$  (otherwise, we would obtain  $\varphi \vee \neg\varphi \notin x'$  which contradicts the maximal consistency of  $(x', y')$ ). Since  $x \supseteq x''$  and  $x \neq x''$ , therefore let  $\psi$  be a formula such that  $\psi \in x$  and  $\psi \notin x''$ . Since  $(x, y)$  is maximal consistent, therefore  $\neg(\neg\varphi \wedge (\varphi \leftarrow \psi) \wedge \psi)$  (dual of Hosoi axiom) is in  $x$  and  $\neg\varphi \wedge (\varphi \leftarrow \psi) \wedge \psi$  is not in  $x$ . Hence,  $\neg\varphi \notin x$ , or  $\varphi \leftarrow \psi \notin x$ , or  $\psi \notin x$ . Since  $\neg\varphi \in x$  and  $\psi \in x$ , therefore  $\varphi \leftarrow \psi \notin x$ . Since  $x \supseteq x''$ , therefore  $\varphi \leftarrow \psi \notin x''$ . Since  $\psi \notin x''$ , therefore  $\varphi \notin x''$ : a contradiction.  $\square$

## 4.2 Canonical model

The canonical model  $\mathbf{M}_c$  is defined as the structure  $\mathbf{M}_c = \langle W_c, \leq_c, R_c, V_c \rangle$  where:

- $W_c$  is the set of all maximal consistent tableaux,
- $\leq_c$  is defined by  $(x, y) \leq_c (x', y')$  iff  $x \subseteq x'$  and  $y \supseteq y'$ ,
- $R_c$  is defined by  $(x, y) R_c (x', y')$  iff  $\Box x \subseteq x'$  and  $x \supseteq \Diamond x'$ ,
- $V_c : VAR \rightarrow 2^{W_c}$  is defined by  $(x, y) \in V_c(p)$  iff  $p \in x$ ,

**Lemma 4.7**  $\mathbf{M}_c$  is normal.

**Lemma 4.8**  $\mathbf{M}_c$  is Cartesian.

**Proof.** Suppose  $\mathbf{M}_c$  is not Cartesian. Let  $(x, y), (x', y') \in W_c$  be such that  $\overline{(x, y) R_c (x', y')}$  and  $\overline{(x', y') \neq (x', y')}$ , or  $\overline{(x, y) R_c (x', y')}$  and not  $\overline{(x, y) R_c (x', y')}$ , or  $\overline{(x, y) R_c (x', y')}$  and  $\overline{(x', y') \neq (x', y')}$ , or  $\overline{(x, y) R_c (x', y')}$  and not  $\overline{(x, y) R_c (x', y')}$ . Let  $x^\downarrow, x^\uparrow, y^\downarrow, y^\uparrow, x'^\downarrow, x'^\uparrow, y'^\downarrow$  and  $y'^\uparrow$  be theories such that  $\overline{(x, y)} = (x^\downarrow, y^\downarrow)$ ,  $\overline{(x, y)} = (x^\uparrow, y^\uparrow)$ ,  $\overline{(x', y')} = (x'^\downarrow, y'^\downarrow)$  and  $\overline{(x', y')} = (x'^\uparrow, y'^\uparrow)$ .

Suppose  $\overline{(x, y) R_c (x', y')}$  and  $\overline{(x', y') \neq (x', y')}$ . Let  $\varphi$  be a formula such

that  $\varphi \in x'$  and  $\varphi \notin x'^{\downarrow}$ . Since  $\varphi \vee \neg\varphi$  is derivable, therefore  $\neg\varphi \in x'^{\downarrow}$ . Hence,  $\neg\varphi \in x'$ . Since  $\varphi \in x'$ , therefore  $\varphi \wedge \neg\varphi \in x'$ . Since  $\overline{(x, y)R_c(x', y')}$ , therefore  $\diamond(\varphi \wedge \neg\varphi) \in x^{\downarrow}$ . Thus,  $\neg\diamond(\varphi \wedge \neg\varphi) \in x^{\downarrow}$ . Consequently,  $\neg\diamond(\varphi \wedge \neg\varphi) \notin x^{\downarrow}$  (otherwise we would obtain  $\neg\diamond(\varphi \wedge \neg\varphi) \wedge \neg\neg\diamond(\varphi \wedge \neg\varphi) \in x^{\downarrow}$  which contradicts the maximal consistency of  $(x^{\downarrow}, y^{\downarrow})$ ). Hence,  $\neg\diamond(\varphi \wedge \neg\varphi)$  is not derivable: a contradiction.

Suppose  $\overline{(x, y)R_c(x', y')}$  and not  $\overline{(x, y)R_c(x', y')}$ . Let  $\varphi$  be a formula such that  $\Box\varphi \in x^{\uparrow}$  and  $\varphi \notin x'^{\uparrow}$ , or  $\diamond\varphi \notin x^{\uparrow}$  and  $\varphi \in x'^{\uparrow}$ . In the former case,  $\neg\neg\Box\varphi \in x^{\downarrow}$ . Since  $\neg\neg\Box\varphi \rightarrow \Box\neg\neg\varphi$  is derivable, therefore  $\Box\neg\neg\varphi \in x^{\downarrow}$ . Since  $\overline{(x, y)R_c(x', y')}$ , therefore  $\neg\neg\varphi \in x'$ . Hence,  $\varphi \in x'^{\uparrow}$ : a contradiction. In the latter case,  $\neg\neg\varphi \in x'$ . Since  $\overline{(x, y)R_c(x', y')}$ , therefore  $\diamond\neg\neg\varphi \in x^{\downarrow}$ . Since  $\diamond\neg\neg\varphi \rightarrow \neg\neg\diamond\varphi$  is derivable, therefore  $\neg\neg\diamond\varphi \in x^{\downarrow}$ . Thus,  $\diamond\varphi \in x^{\uparrow}$ : a contradiction.

The cases when  $\overline{(x, y)R_c(x', y')}$  and  $\overline{(x', y') \neq (x', y')}$ , or  $\overline{(x, y)R_c(x', y')}$  and not  $\overline{(x, y)R_c(x', y')}$  are addressed in a similar way.  $\square$

### 4.3 Truth Lemma

We now prepare ourselves for the prof of the Truth Lemma.

**Lemma 4.9** *Let  $\varphi, \psi$  be formulas. Let  $(x, y)$  be a maximal consistent tableau. If  $\varphi \rightarrow \psi \in y$  then there exists a maximal consistent tableau  $(x', y')$  such that  $x \subseteq x'$ ,  $\varphi \in x'$  and  $\psi \in y'$ .*

**Proof.** Suppose  $\varphi \rightarrow \psi \in y$ . Let  $x' = x \cup \{\varphi\}$  and  $y' = \{\psi\}$ . Suppose the tableau  $(x', y')$  is not consistent. Let  $n \geq 0$  and  $\chi_1, \dots, \chi_n \in x'$  be such that  $\chi_1 \wedge \dots \wedge \chi_n \rightarrow \psi$  is *BHT*-derivable. There are 2 cases: there exists a positive integer  $i \leq n$  such that  $\chi_i = \varphi$ , or such integer does not exist. In the former case, since  $\chi_1 \wedge \dots \wedge \chi_n \rightarrow \psi$  is *BHT*-derivable, therefore  $\chi \wedge \varphi \rightarrow \psi$  is *BHT*-derivable where  $\chi$  is the conjunction of the formulas in  $\chi_1, \dots, \chi_n$  which are not equal to  $\varphi$ . Hence, by *MR* $_{\rightarrow}$ ,  $\chi \rightarrow (\varphi \rightarrow \psi)$  is *BHT*-derivable: a contradiction with the consistency of  $(x, y)$ . In the latter case, since  $\chi_1 \wedge \dots \wedge \chi_n \rightarrow \psi$  is *BHT*-derivable, therefore  $\chi_1 \wedge \dots \wedge \chi_n \rightarrow (\varphi \rightarrow \psi)$  is *BHT*-derivable: a contradiction with the consistency of  $(x, y)$ . Consequently, the tableau  $(x', y')$  is consistent. By Lindenbaum Lemma, let  $(x'', y'')$  be a maximal consistent tableau such that  $x' \subseteq x''$  and  $y' \subseteq y''$ . Obviously,  $\varphi \in x''$  and  $\psi \in y''$ . Moreover,  $x \subseteq x''$ .  $\square$

**Lemma 4.10** *Let  $\varphi, \psi$  be formulas. Let  $(x, y)$  be a maximal consistent tableau. If  $\varphi \leftarrow \psi \in x$  then there exists a maximal consistent tableau  $(x', y')$  such that  $x \supseteq x'$ ,  $\varphi \in x'$  and  $\psi \in y'$ .*

**Proof.** Suppose  $\varphi \leftarrow \psi \in x$ . Let  $x' = \{\varphi\}$  and  $y' = y \cup \{\psi\}$ . Suppose the tableau  $(x', y')$  is not consistent. Let  $n \geq 0$  and  $\chi_1, \dots, \chi_n \in y'$  be such that  $\varphi \rightarrow \chi_1 \vee \dots \vee \chi_n$  is *BHT*-derivable. There are 2 cases: there exists a positive integer  $i \leq n$  such that  $\chi_i = \psi$ , or such integer does not exist. In the former case, since  $\varphi \rightarrow \chi_1 \vee \dots \vee \chi_n$  is *BHT*-derivable, therefore  $\varphi \rightarrow \chi \vee \psi$  is *BHT*-derivable where  $\chi$  is the disjunction of the formulas in  $\chi_1, \dots, \chi_n$  which are not equal to  $\psi$ . Hence, by *MR* $_{\leftarrow}$ ,  $(\varphi \leftarrow \psi) \rightarrow \chi$  is *BHT*-derivable: a contradiction

with the consistency of  $(x, y)$ . In the latter case, since  $\varphi \rightarrow \chi_1 \vee \dots \vee \chi_n$  is *BHT*-derivable, therefore  $(\varphi \leftarrow \psi) \rightarrow \chi$  is *BHT*-derivable: a contradiction with the consistency of  $(x, y)$ . Consequently, the tableau  $(x', y')$  is consistent. By Lindenbaum Lemma, let  $(x'', y'')$  be a maximal consistent tableau such that  $x' \subseteq x''$  and  $y' \subseteq y''$ . Obviously,  $\varphi \in x''$  and  $\psi \in y''$ . Moreover,  $x \supseteq x''$ .  $\square$

**Lemma 4.11** *Let  $\varphi$  be a formula. Let  $(x, y)$  be a maximal consistent tableau. If  $\Box\varphi \in y$  then there exists a maximal consistent tableau  $(x', y')$  such that  $\Box x \subseteq x'$ ,  $x \supseteq \Diamond x'$  and  $\varphi \in y'$ .*

**Proof.** Suppose  $\Box\varphi \in y$ . Let  $x' = \Box x$  and  $y' = \{\chi : \Diamond\chi \in y\} \cup \{\varphi\}$ . Suppose the tableau  $(x', y')$  is not consistent. Let  $m, n \geq 0$ ,  $\psi_1, \dots, \psi_m \in x'$  and  $\chi_1, \dots, \chi_n \in y'$  be such that  $\psi_1 \wedge \dots \wedge \psi_m \rightarrow \chi_1 \vee \dots \vee \chi_n$  is *BHT*-derivable. There are 2 cases: there exists a positive integer  $i \leq n$  such that  $\chi_i = \varphi$ , or such integer does not exist. In the former case, since  $\psi_1 \wedge \dots \wedge \psi_m \rightarrow \chi_1 \vee \dots \vee \chi_n$  is *BHT*-derivable, therefore  $\psi \rightarrow \chi \vee \varphi$  is *BHT*-derivable where  $\psi$  is the conjunction of the formulas in  $\psi_1, \dots, \psi_m$  and  $\chi$  is the disjunction of the formulas in  $\chi_1, \dots, \chi_n$  which are not equal to  $\varphi$ . Hence, by  $MR_{\Box}$ ,  $\Box\psi \rightarrow \Diamond\chi \vee \Box\varphi$  is *BHT*-derivable: a contradiction with the consistency of  $(x, y)$ . In the latter case, since  $\psi_1 \wedge \dots \wedge \psi_m \rightarrow \chi_1 \vee \dots \vee \chi_n$  is *BHT*-derivable, therefore  $\psi \rightarrow \chi \vee \varphi$  is *BHT*-derivable. Hence,  $\Box\psi \rightarrow \Diamond\chi \vee \Box\varphi$  is *BHT*-derivable: a contradiction with the consistency of  $(x, y)$ . Consequently, the tableau  $(x', y')$  is consistent. By Lindenbaum Lemma, let  $(x'', y'')$  be a maximal consistent tableau such that  $x' \subseteq x''$  and  $y' \subseteq y''$ . Obviously,  $\Box x \subseteq x''$  and  $x \supseteq \Diamond x''$ . Moreover,  $\varphi \in y''$ .  $\square$

**Lemma 4.12** *Let  $\varphi$  be a formula. Let  $(x, y)$  be a maximal consistent tableau. If  $\Diamond\varphi \in x$  then there exists a maximal consistent tableau  $(x', y')$  such that  $\Box x \subseteq x'$ ,  $x \supseteq \Diamond x'$  and  $\varphi \in x'$ .*

**Proof.** Suppose  $\Diamond\varphi \in x$ . Let  $x' = \Box x \cup \{\varphi\}$  and  $y' = \{\chi : \Diamond\chi \in y\}$ . Suppose the tableau  $(x', y')$  is not consistent. Let  $m, n \geq 0$ ,  $\psi_1, \dots, \psi_m \in x'$  and  $\chi_1, \dots, \chi_n \in y'$  be such that  $\psi_1 \wedge \dots \wedge \psi_m \rightarrow \chi_1 \vee \dots \vee \chi_n$  is *BHT*-derivable. There are 2 cases: there exists a positive integer  $i \leq m$  such that  $\psi_i = \varphi$ , or such integer does not exist. In the former case, since  $\psi_1 \wedge \dots \wedge \psi_m \rightarrow \chi_1 \vee \dots \vee \chi_n$  is *BHT*-derivable, therefore  $\psi \wedge \varphi \rightarrow \chi$  is *BHT*-derivable where  $\psi$  is the conjunction of the formulas in  $\psi_1, \dots, \psi_m$  which are not equal to  $\varphi$  and  $\chi$  is the disjunction of the formulas in  $\chi_1, \dots, \chi_n$ . Hence, by  $MR_{\Diamond}$ ,  $\Box\psi \wedge \Diamond\varphi \rightarrow \Diamond\chi$  is *BHT*-derivable: a contradiction with the consistency of  $(x, y)$ . In the latter case, since  $\psi_1 \wedge \dots \wedge \psi_m \rightarrow \chi_1 \vee \dots \vee \chi_n$  is *BHT*-derivable, therefore  $\psi \wedge \varphi \rightarrow \chi$  is *BHT*-derivable. Hence,  $\Box\psi \wedge \Diamond\varphi \rightarrow \Diamond\chi$  is *BHT*-derivable: a contradiction with the consistency of  $(x, y)$ . Consequently, the tableau  $(x', y')$  is consistent. By Lindenbaum Lemma, let  $(x'', y'')$  be a maximal consistent tableau such that  $x' \subseteq x''$  and  $y' \subseteq y''$ . Obviously,  $\Box x \subseteq x''$  and  $x \supseteq \Diamond x''$ . Moreover,  $\varphi \in x''$ .  $\square$

**Lemma 4.13 (Truth Lemma)** *For all formulas  $\varphi$  and for all  $(x, y) \in W_c$ ,  $\varphi \in x$  iff  $\mathbf{M}_c, (x, y) \models \varphi$  and  $\varphi \in y$  iff  $\mathbf{M}_c, (x, y) \not\models \varphi$ .*

**Proposition 4.14 (Completeness)** *Let  $\varphi$  be a formula. If  $\varphi$  is valid in the class of all *BHT*-frames then  $\varphi$  is *BHT*-derivable.*

**Proof.** Suppose  $\varphi$  is not *BHT*-derivable. Hence, the tableau  $(\emptyset, \{\varphi\})$  is consistent. By Lindenbaum Lemma, let  $(x, y)$  be a maximal consistent tableau such that  $\varphi \in y$ . By the Truth Lemma,  $\mathbf{M}_c, (x, y) \not\models \varphi$ . By Proposition 2.10,  $\varphi$  is not valid in the class of all *BHT*-frames.  $\square$

## 5 Decidability/complexity

There is a classical method for building finite models, namely filtration, and this method could easily be adapted to the *BHT* setting. Nevertheless, it will fail to give us a tight upper bound for the complexity of the satisfiability problem in *BHT*. The truth is that, as shown in this section, see below Proposition 5.7, the satisfiability problem is in PSPACE. Our argument is based on a translation into modal logic. In order to define a translation from the *BHT* to modal logic *K*, we need for all formulas  $\varphi$ , two new variables:  $a_\varphi$  and  $b_\varphi$ . Let  $\mathbf{h}()$  and  $\mathbf{t}()$  be translations that are structure-preserving for  $\perp, \top, \vee, \wedge, \Box$  and  $\Diamond$  such that

- $\mathbf{h}(p) = a_p,$
- $\mathbf{h}(\varphi \rightarrow \psi) = (\mathbf{h}(\varphi) \rightarrow \mathbf{h}(\psi)) \wedge (b_\varphi \rightarrow b_\psi),$
- $\mathbf{h}(\varphi \leftarrow \psi) = \mathbf{h}(\varphi) \wedge \neg \mathbf{h}(\psi),$
- $\mathbf{t}(p) = b_p,$
- $\mathbf{t}(\varphi \rightarrow \psi) = \mathbf{t}(\varphi) \rightarrow \mathbf{t}(\psi),$
- $\mathbf{t}(\varphi \leftarrow \psi) = (\mathbf{t}(\varphi) \wedge \neg \mathbf{t}(\psi)) \vee (a_\varphi \wedge \neg a_\psi).$

**Lemma 5.1** *For all formulas  $\varphi$ ,  $|\mathbf{h}(\varphi)| \leq 11|\varphi|$  and  $|\mathbf{t}(\varphi)| \leq 11|\varphi|$ .*

For all formulas  $\varphi$ , let  $\mu(\varphi)$  be the conjunction of the following formulas:

- $a_p \rightarrow b_p$  for each  $\varphi$ 's variable  $p$ ,
- $a_\psi \leftrightarrow \mathbf{h}(\psi)$  for each  $\varphi$ 's subformula  $\psi$ ,
- $b_\psi \leftrightarrow \mathbf{t}(\psi)$  for each  $\varphi$ 's subformula  $\psi$ .

**Lemma 5.2** *For all formulas  $\varphi$ ,  $|\mu(\varphi)| = \mathcal{O}(|\varphi|^2)$ .*

For all formulas  $\varphi$ , let  $\nu(\varphi) = \mu(\varphi) \wedge \Box \mu(\varphi) \wedge \dots \wedge \Box^{deg(\varphi)} \mu(\varphi)$ .

**Lemma 5.3** *For all formulas  $\varphi$ ,  $|\nu(\varphi)| = \mathcal{O}(|\varphi|^3)$ .*

Given a *BHT*-model  $\mathbf{M} = \langle W, R, H, T \rangle$ , we define its associated model  $\mathcal{M} = \langle W, R, V \rangle$  as follows:

- 1)  $V(a_\psi) = \{x \in W : \mathbf{M}, (x, h) \models \psi\}$  for each  $\varphi$ 's subformula  $\psi$ ,
- 2)  $V(b_\psi) = \{x \in W : \mathbf{M}, (x, t) \models \psi\}$  for each  $\varphi$ 's subformula  $\psi$ .

This associated model  $\mathcal{M}$  is considered as a model of modal logic *K*. Obviously, for all  $\varphi$ 's variables  $p$ ,  $V(a_p) \subseteq V(b_p)$ . Moreover,

**Lemma 5.4** *For all  $\varphi$ 's subformulas  $\psi$  and for all  $x \in W$ ,*

- 1)  $\mathbf{M}, (x, h) \models \psi$  iff  $\mathcal{M}, x \models \mathbf{h}(\psi)$  iff  $x \in V(a_\psi)$ ,
- 2)  $\mathbf{M}, (x, t) \models \psi$  iff  $\mathcal{M}, x \models \mathbf{t}(\psi)$  iff  $x \in V(b_\psi)$ .

Thus, for all  $x \in W$ ,  $\mathcal{M}, x \models \mu(\varphi)$ . Consider now a generated model  $\mathcal{M} = \langle W, R, V \rangle$  of modal logic *K* of depth at most  $deg(\varphi)$  such that for all  $x \in W$ ,

$\mathcal{M}, x \models \mu(\varphi)$ . We define the corresponding *BHT*-model  $\mathbf{M} = \langle W, R, H, T \rangle$  as follows:

- 1)  $H(p) = V(a_p)$ ,
- 2)  $T(p) = V(b_p)$ .

Obviously,  $\mathbf{M}$  is a *BHT*-model. Moreover,

**Lemma 5.5** *For all  $\varphi$ 's subformulas  $\psi$  and for all  $x \in W$ ,*

- 1)  $\mathcal{M}, x \models \mathbf{h}(\psi)$  iff  $\mathbf{M}, (x, h) \models \psi$ ,
- 2)  $\mathcal{M}, x \models \mathbf{t}(\psi)$  iff  $\mathbf{M}, (x, t) \models \psi$ .

**Proposition 5.6** *For all formulas  $\varphi$ ,*

- $\neg\varphi$  is satisfiable in a *BHT*-model iff  $\mathbf{h}(\varphi) \wedge \nu(\varphi)$  is satisfiable in a model of modal logic  $K$ ,
- $\neg\neg\varphi$  is satisfiable in a *BHT*-model iff  $\mathbf{t}(\varphi) \wedge \nu(\varphi)$  is satisfiable in a model of modal logic  $K$ .

**Proposition 5.7** *The satisfiability problem in *BHT* is in PSPACE.*

## 6 Bisimulations

Bisimulations are binary relations that relate elements of models carrying the same modal information. We now adapt the definition of bisimulations to the *BHT* setting.

### 6.1 Bisimulations for *BHT*

Let  $\mathbf{M}_1 = \langle W_1, R_1, H_1, T_1 \rangle$  and  $\mathbf{M}_2 = \langle W_2, R_2, H_2, T_2 \rangle$  be *BHT*-models. Let  $D_1 = W_1 \times \{h, t\}$  and  $D_2 = W_2 \times \{h, t\}$ . A binary relation  $\mathcal{Z}$  between  $D_1$  and  $D_2$  is a *bisimulation* iff the following conditions are satisfied:

- 1) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  then  $\mathbf{M}_1, (x_1, \alpha_1) \models p$  iff  $\mathbf{M}_2, (x_2, \alpha_2) \models p$  for all  $p \in VAR$ ,
- 2) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  then  $(x_1, t) \mathcal{Z} (x_2, t)$ ,
- 3) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  then  $(x_1, h) \mathcal{Z} (x_2, h)$ ,
- 4) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  and  $x_1 R_1 y_1$  then there exists  $y_2 \in W_2$  such that  $x_2 R_2 y_2$  and  $(y_1, \alpha_1) \mathcal{Z} (y_2, \alpha_2)$ , or  $(y_1, t) \mathcal{Z} (y_2, \alpha_2)$ ,
- 5) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  and  $x_2 R_2 y_2$  then there exists  $y_1 \in W_1$  such that  $x_1 R_1 y_1$  and  $(y_1, \alpha_1) \mathcal{Z} (y_2, \alpha_2)$ , or  $(y_1, \alpha_1) \mathcal{Z} (y_2, t)$ ,
- 6) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  and  $x_2 R_2 y_2$  then there exists  $y_1 \in W_1$  such that  $x_1 R_1 y_1$  and  $(y_1, \alpha_1) \mathcal{Z} (y_2, \alpha_2)$ , or  $(y_1, t) \mathcal{Z} (y_2, \alpha_2)$ ,
- 7) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  and  $x_1 R_1 y_1$  then there exists  $y_2 \in W_2$  such that  $x_2 R_2 y_2$  and  $(y_1, \alpha_1) \mathcal{Z} (y_2, \alpha_2)$ , or  $(y_1, \alpha_1) \mathcal{Z} (y_2, t)$ .

**Lemma 6.1 (Bisimulation Lemma)** *Let  $\varphi$  be a formula. For all  $(x_1, \alpha_1) \in D_1$  and for all  $(x_2, \alpha_2) \in D_2$ , if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  then  $\mathbf{M}_1, (x_1, \alpha_1) \models \varphi$  iff  $\mathbf{M}_2, (x_2, \alpha_2) \models \varphi$ .*

Obviously, the union of two bisimulations is also a bisimulation.



## 6.2 Hennessy-Milner property

In this section we show that *BHT* possesses the Hennessy-Milner property. Our proof follows the line of reasoning suggested in [24]. Let  $\mathbf{M}_1 = \langle W_1, R_1, H_1, T_1 \rangle$  and  $\mathbf{M}_2 = \langle W_2, R_2, H_2, T_2 \rangle$  be finite *BHT* models. Let  $D_1 = W_1 \times \{h, t\}$  and  $D_2 = W_2 \times \{h, t\}$ . We define the binary relation  $\leftrightarrow$  between  $D_1$  and  $D_2$  as follows:  $(x_1, \alpha_1) \leftrightarrow (x_2, \alpha_2)$  iff for all formulas  $\varphi$ ,  $\mathbf{M}_1, (x_1, \alpha_1) \models \varphi$  iff  $\mathbf{M}_2, (x_2, \alpha_2) \models \varphi$ .

**Lemma 6.2 (Hennessy-Milner property)** *The binary relation  $\leftrightarrow$  is a bisimulation between  $\mathbf{M}_1$  and  $\mathbf{M}_2$ .*

**Proof.** Suppose  $\leftrightarrow$  is not a bisimulation. Hence, one of the conditions 1)-7) does not hold for some  $(x_1, \alpha_1) \in D_1$  and some  $(x_2, \alpha_2) \in D_2$ .

Suppose Condition 1) is not satisfied. Hence, there exists a variable  $p$  such that, without loss of generality,  $\mathbf{M}_1, (x_1, \alpha_1) \models p$  and  $\mathbf{M}_2, (x_2, \alpha_2) \not\models p$ . Thus,  $(x_1, \alpha_1) \not\leftrightarrow (x_2, \alpha_2)$ : a contradiction.

Suppose Condition 2) is not satisfied. Hence,  $(x_1, \alpha_1) \leftrightarrow (x_2, \alpha_2)$  but  $(x_1, t) \not\leftrightarrow (x_2, t)$ . Let  $\varphi$  be a formula such that  $\mathbf{M}_1, (x_1, t) \models \varphi$  and  $\mathbf{M}_2, (x_2, t) \not\models \varphi$ , or  $\mathbf{M}_1, (x_1, t) \not\models \varphi$  and  $\mathbf{M}_2, (x_2, t) \models \varphi$ . Thus,  $\mathbf{M}_1, (x_1, \alpha_1) \models \neg\neg\varphi$  and  $\mathbf{M}_2, (x_2, \alpha_2) \not\models \neg\neg\varphi$ , or  $\mathbf{M}_1, (x_1, \alpha_1) \not\models \neg\neg\varphi$  and  $\mathbf{M}_2, (x_2, \alpha_2) \models \neg\neg\varphi$ . Consequently,  $(x_1, \alpha_1) \not\leftrightarrow (x_2, \alpha_2)$ : a contradiction.

Suppose Condition 3) is not satisfied. Hence,  $(x_1, \alpha_1) \leftrightarrow (x_2, \alpha_2)$  but  $(x_1, h) \not\leftrightarrow (x_2, h)$ . Let  $\varphi$  be a formula such that  $\mathbf{M}_1, (x_1, h) \models \varphi$  and  $\mathbf{M}_2, (x_2, h) \not\models \varphi$ , or  $\mathbf{M}_1, (x_1, h) \not\models \varphi$  and  $\mathbf{M}_2, (x_2, h) \models \varphi$ . Thus,  $\mathbf{M}_1, (x_1, \alpha_1) \models \neg\neg\varphi$  and  $\mathbf{M}_2, (x_2, \alpha_2) \not\models \neg\neg\varphi$ , or  $\mathbf{M}_1, (x_1, \alpha_1) \not\models \neg\neg\varphi$  and  $\mathbf{M}_2, (x_2, \alpha_2) \models \neg\neg\varphi$ . Consequently,  $(x_1, \alpha_1) \not\leftrightarrow (x_2, \alpha_2)$ : a contradiction.

Suppose Condition 4) is not satisfied: Then  $(x_1, \alpha_1) \leftrightarrow (x_2, \alpha_2)$  and there exists  $x_1 \in W_1$  such that  $x_1 R_1 y_1$  and for all  $y_2 \in W_2$ , if  $x_2 R_2 y_2$  then  $(y_1, \alpha_1) \not\leftrightarrow (y_2, \alpha_2)$  and  $(y_1, t) \not\leftrightarrow (y_2, \alpha_2)$ . Let  $R_2(x_2) \stackrel{def}{=} \{(y_2, \alpha_2) \in D_2 \mid x_2 R_2 y_2\}$  and  $R_1(x_1) \stackrel{def}{=} \{(y_1, \alpha_1) \mid x_1 R_1 y_1\}$ . Let  $I \subseteq R_2(x_2)$ ,  $J \subseteq R_2(x_2)$ ,  $(y_2, \alpha_2) \in R_2(x_2)$  and for all  $(y_2, \alpha_2) \in R_2(x_2)$ , let  $\varphi(y_2, \alpha_2)$  and  $\psi(y_2, \alpha_2)$  be formulas such that

- 1)  $\mathbf{M}_1, (y_1, \alpha_1) \models \varphi(y_2, \alpha_2)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \not\models \varphi(y_2, \alpha_2)$  if  $(y_2, \alpha_2) \in I$ ;
- 2)  $\mathbf{M}_1, (y_1, \alpha_1) \not\models \varphi(y_2, \alpha_2)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \models \varphi(y_2, \alpha_2)$  if  $(y_2, \alpha_2) \in \bar{I}$ ;
- 3)  $\mathbf{M}_1, (y_1, t) \models \psi(y_2, \alpha_2)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \not\models \psi(y_2, \alpha_2)$  if  $(y_2, \alpha_2) \in J$ ;
- 4)  $\mathbf{M}_1, (y_1, t) \not\models \psi(y_2, \alpha_2)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \models \psi(y_2, \alpha_2)$  if  $(y_2, \alpha_2) \in \bar{J}$ .

Let us define  $\chi(y_2, \alpha_2)$  as the following formula:

$$\chi(y_2, \alpha_2) = \begin{cases} \varphi(y_2, \alpha_2) & \text{if } y_2 \in I; \\ \varphi(y_2, \alpha_2) \rightarrow \psi(y_2, \alpha_2) & \text{if } y_2 \in \bar{I} \cap J; \\ \neg\psi(y_2, \alpha_2) & \text{if } y_2 \in \bar{I} \cap \bar{J}. \end{cases}$$

It follows that  $\mathbf{M}_1, (y_1, \alpha_1) \models \chi(y_2, \alpha_2)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \not\models \chi(y_2, \alpha_2)$ , for all  $(y_2, \alpha_2) \in R_2(x_2)$ . Therefore  $\mathbf{M}_1, (x_1, \alpha_1) \models \diamond \bigwedge_{(y_2, \alpha_2) \in R_2(x_2)} \chi(y_2, \alpha_2)$  while  $\mathbf{M}_2, (x_2, \alpha_2) \not\models \diamond \bigwedge_{(y_2, \alpha_2) \in R_2(x_2)} \chi(y_2, \alpha_2)$ : a contradiction.

Suppose Condition 5) is not satisfied. Then  $(x_1, \alpha_1) \leftrightarrow (x_2, \alpha_2)$ ,  $x_2 R_2 y_2$  and

for all  $y_1 \in W_1$ , if  $x_1 R_1 y_1$  then  $(y_1, \alpha_1) \not\rightsquigarrow (y_2, \alpha_2)$  and  $(y_1, \alpha_1) \not\rightsquigarrow (y_2, t)$ . Let  $R_2(x_2) \stackrel{def}{=} \{(y_2, \alpha_2) \in D_2 \mid x_2 R_2 y_2\}$  and  $R_1(x_1) \stackrel{def}{=} \{(y_1, \alpha_1) \mid x_1 R_1 y_1\}$ . Let  $I \subseteq R_1(x_1)$ ,  $J \subseteq R_1(x_1)$  and for all  $(y_1, \alpha_1) \in R_1(x_1)$ , let  $\psi(y_1, \alpha_1)$  and  $\varphi(y_1, \alpha_1)$  be formulas such that:

- 1)  $\mathbf{M}_1, (y_1, \alpha_1) \models \varphi(y_1, \alpha_1)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \not\models \varphi(y_1, \alpha_1)$  if  $(y_1, \alpha_1) \in I$ ;
- 2)  $\mathbf{M}_1, (y_1, \alpha_1) \not\models \varphi(y_1, \alpha_1)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \models \varphi(y_1, \alpha_1)$  if  $(y_1, \alpha_1) \in \bar{I}$ ;
- 3)  $\mathbf{M}_1, (y_1, \alpha_1) \models \psi(y_1, \alpha_1)$  and  $\mathbf{M}_2, (y_2, t) \not\models \psi(y_1, \alpha_1)$  if  $(y_1, \alpha_1) \in J$ ;
- 4)  $\mathbf{M}_1, (y_1, \alpha_1) \not\models \psi(y_1, \alpha_1)$  and  $\mathbf{M}_2, (y_2, t) \models \psi(y_1, \alpha_1)$  if  $(y_1, \alpha_1) \in \bar{J}$ .

Let us consider the formula  $\chi(y_1, \alpha_1)$  defined as

$$\chi(y_1, \alpha_1) = \begin{cases} \varphi(y_1, \alpha_1) & \text{if } (y_1, \alpha_1) \in \bar{I}; \\ \varphi(y_1, \alpha_1) \rightarrow \psi(y_1, \alpha_1) & \text{if } (y_1, \alpha_1) \in I \cap \bar{J}; \\ \neg\psi(y_1, \alpha_1) & \text{if } (y_1, \alpha_1) \in I \cap J. \end{cases}$$

It follows that  $\mathbf{M}_1, (y_1, \alpha_1) \not\models \chi(y_1, \alpha_1)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \models \chi(y_1, \alpha_1)$ , for all  $(y_1, \alpha_1) \in R_1(x_1)$ . Therefore  $\mathbf{M}_2, (x_2, \alpha_2) \models \bigwedge_{(y_1, \alpha_1) \in R_1(x_1)} \chi(y_1, \alpha_1)$  while

$\mathbf{M}_1, (x_1, \alpha_1) \not\models \bigwedge_{(y_1, \alpha_1) \in R_1(x_1)} \chi(y_1, \alpha_1)$ : a contradiction. The proof for Condition 6) is similar to the proof for Condition 5) but using

$$\chi(y_1, \alpha_1) \stackrel{def}{=} \begin{cases} \varphi(y_1, \alpha_1) & \text{if } (y_1, \alpha_1) \in I; \\ \varphi(y_1, \alpha_1) \rightarrow \psi(y_1, \alpha_1) & \text{if } (y_1, \alpha_1) \in \bar{I} \cap J; \\ \neg\psi(y_1, \alpha_1) & \text{if } (y_1, \alpha_1) \in \bar{I} \cap \bar{J}. \end{cases}$$

The proof for Condition 7) is similar to the proof for Condition 4) but using

$$\chi(y_2, \alpha_2) = \begin{cases} \varphi(y_2, \alpha_2) & \text{if } (y_2, \alpha_2) \in \bar{I}; \\ \varphi(y_2, \alpha_2) \rightarrow \psi(y_2, \alpha_2) & \text{if } (y_2, \alpha_2) \in I \cap \bar{J}; \\ \neg\psi(y_2, \alpha_2) & \text{if } (y_2, \alpha_2) \in I \cap J. \end{cases} \quad (2)$$

□

Remark how the formulas defining  $\chi(y_1, \alpha_1)$  and  $\chi(y_2, \alpha_2)$  above are related to the Hosoi Axiom.

## 7 Strong equivalence property

Pearce's Equilibrium logic [29] is the best-known logical characterization of the stable models semantics [15] and of Answer Sets [6]. It is defined in terms of the monotonic logic of Here and There [30] (HT) plus a minimisation criterion among the given models. This simple definition led to several modal extensions of *Answer Set Programming* [9,11]. All these extensions have their roots in the corresponding modal extensions of HT-logic defined as the combination of propositional HT and any modal logic [14]) that play an important role in the proof of several interesting properties of the resulting formalisms such as

*strong equivalence* [8,11,23]. In this section, we define the concept of modal equilibrium model and prove the associated theorem of *strong equivalence*. A *BHT*-model  $\mathbf{M} = \langle W, R, H, T \rangle$ , is said to be *total* iff  $H = T$ . Given a *BHT*-model  $\mathbf{M} = \langle W, R, H, T \rangle$ ,  $x \in W$  and  $k \in \mathbb{N}$ , we say that  $H$  is strictly included in  $T$  with respect to  $x$  and  $k$  (in symbols  $H <_x^k T$ ) iff there exists  $y \in W$  such that  $xR^{\leq k}y$  and  $H(y) \neq T(y)$ . A total *BHT*-model  $M = \langle W, R, T, T \rangle$  is a *Modal Equilibrium Model* of a formula  $\varphi$  iff there exists  $x \in W$  such that

- 1)  $\mathbf{M}, (x, h) \models \varphi$ ;
- 2) For all  $\mathbf{M}' = \langle W, R, H, T \rangle$ , if  $H <_x^{deg(\varphi)} T$  then  $\mathbf{M}', (x, h) \not\models \varphi$ .

The notion of modal equilibrium model of a theory is defined in a similar way. When dealing with non-monotonicity the relation of equivalence between theories depends on the context where they are considered. We say that two theories  $\Gamma_1$  and  $\Gamma_2$  are *strongly equivalent* (in symbols  $\Gamma_1 \equiv_s \Gamma_2$ ) iff for all theories  $\Gamma$ , the equilibrium models of  $\Gamma_1 \cup \Gamma$  and  $\Gamma_2 \cup \Gamma$  coincide [23].

**Proposition 7.1** *For all theories  $\Gamma_1$  and  $\Gamma_2$ ,  $\Gamma_1 \equiv_s \Gamma_2$  iff  $\Gamma_1$  and  $\Gamma_2$  are BHT-equivalent.*

**Proof.** Suppose  $\Gamma_1$  and  $\Gamma_2$  are *BHT*-equivalent. Let  $\Gamma$  be an arbitrary theory. Thus  $\Gamma_1 \cup \Gamma$  and  $\Gamma_2 \cup \Gamma$  are *BHT*-equivalent. Therefore  $\Gamma_1 \cup \Gamma$  and  $\Gamma_2 \cup \Gamma$  have the same equilibrium models. Reciprocally, suppose that  $\Gamma_1$  and  $\Gamma_2$  are not *BHT*-equivalent.

- **First case:**  $\Gamma_1$  and  $\Gamma_2$  are not *K*-equivalent. Without loss of generality, there exists a total *BHT*-model  $\mathbf{M} = \langle W, R, T, T \rangle$  and  $x \in W$  such that  $\mathbf{M}, (x, h) \models \Gamma_1$  but  $\mathbf{M}, (x, h) \not\models \Gamma_2$ . Let  $\Gamma_0 \stackrel{def}{=} \{\Box^k (p \vee \neg p) \mid k \geq 0 \text{ and } p \in VAR\}$ . It can be checked that  $\mathbf{M}$  is an equilibrium model of  $\Gamma_1 \cup \Gamma_0$  but not of  $\Gamma_2 \cup \Gamma_0$ .
- **Second case:**  $\Gamma_1$  and  $\Gamma_2$  are *K*-equivalent. Without loss of generality, there exists a *BHT*-model  $\mathbf{M} = \langle W, R, H, T \rangle$  ( $\widehat{\mathbf{M}} = \langle W, R, T, T \rangle$  denote its corresponding total model) such that
  - (1) for all  $y \in W$ ,  $\mathbf{M}, (y, t) \models \Gamma_1$  iff  $\mathbf{M}, (y, t) \models \Gamma_2$ ;
  - (2) there exists  $x \in W$  such that  $\mathbf{M}, (x, h) \models \Gamma_1$  and  $\mathbf{M}, (x, h) \not\models \Gamma_2$ .

Therefore there exists  $\varphi \in \Gamma_2$  such that  $\mathbf{M}, (x, h) \not\models \varphi$ . Let  $\Gamma \stackrel{def}{=} \{\varphi \rightarrow \Box^k (p \vee \neg p) \mid k \geq 0 \text{ and } p \in VAR\}$ . It follows that  $\mathbf{M}, (x, h) \models \Gamma_1 \cup \Gamma$ , since  $\mathbf{M}, (x, h) \not\models \varphi$  and  $\mathbf{M}, (x, t) \models \Box^k (p \vee \neg p)$ , for all  $k \geq 0$  and for all  $p \in VAR$ . Therefore  $\widehat{\mathbf{M}}$  is not an equilibrium model of  $\Gamma_1 \cup \Gamma$ . Since  $\Gamma_1 \equiv_s \Gamma_2$ ,  $\widehat{\mathbf{M}}$  is not an equilibrium model of  $\Gamma_2 \cup \Gamma$ . Since  $\widehat{\mathbf{M}}, (x, h) \models \Gamma_2 \cup \Gamma$ , therefore there exists a *BHT*-model  $\mathbf{M}' = \langle W, R, H', T \rangle$  such that  $H' <_x^{deg(\Gamma_2 \cup \Gamma)} T$  and  $\mathbf{M}', (x, h) \models \Gamma_2 \cup \Gamma$ . However, from  $\mathbf{M}', (x, h) \models \Gamma_2$  and  $\mathbf{M}', (x, h) \models \Gamma$  we conclude that  $\mathbf{M}', (x, h) \models \Gamma_0$ , thus  $H' = T$  and this is a contradiction.  $\square$

The theorem played a important role in the area of Answer Set Programming [6] since it allows, under ASP semantics, to exchange two logic programs (or theories) regardless the context in which they are considered. This theorem also

justifies the use of *BHT* as a monotonic basis supporting non-monotonicity.

## 8 Conclusions

In this paper we have studied a combination of the modal logic of Here and There equipped with the dual implication [33]. For this new logic we have presented two alternative (and equivalent) semantics as well as several results concerning axiomatisation, bisimulation, Hennessy-Milner property, decidability and complexity. Finally we have considered the property of strong equivalence from Answer Set Programming [6,30] in our setting.

The reader might have noticed that the dual implication is not used in the proof of the strong equivalence theorem. This fact gives us the idea that this new operator would allow us to characterise, in terms of strong equivalence, new kinds of minimal models like the ones introduced in [1].

Another area of potential application of this logic could be *Inductive Logic Programming* [36,31] (ILP). Among other techniques used to infer rules from facts, called *Inverse Entailment* [26] (IE) reverse the ordinary semantical consequence ( $\models$ ). This technique was revisited under the perspective of ASP in [34]. Thanks to the dual implication we can define an inverse entailment relation ( $\models$ ) in a very natural way allowing us to use this logic for ILP in modal contexts.

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## Appendix

**Proof of Lemma 2.1.** By induction on  $\varphi$ .

**Proof of Lemma 2.5.** By induction on  $\varphi$ .

**Proof of Proposition 2.10.** By Lemmas 2.8 and 2.9.

**Proof of Lemma 4.2.** This is a standard result [10].

**Proof of Lemma 4.3.** This is a standard result [10].

**Proof of Lemma 4.7.** By Lemmas 4.5 and 4.6.

**Proof of Lemma 4.13.** By induction on  $\varphi$ . While considering the cases for formulas  $\psi \rightarrow \chi$ ,  $\psi \leftarrow \chi$ ,  $\Box\psi$  and  $\Diamond\chi$ , one has to respectively use Lemmas 4.9, 4.10, 4.11 and 4.12.

**Proof of Lemma 5.1.** By induction on  $\varphi$ .

**Proof of Lemma 5.2.** By Lemma 5.1.

**Proof of Lemma 5.3.** By Lemma 5.2.

**Proof of Lemma 5.4.** By induction on  $\psi$ .

**Proof of Lemma 5.5.** By induction on  $\psi$ .

**Proof of Proposition 5.6.** By Lemmas 5.4 and 5.5.

**Proof of Proposition 5.7.** By Lemmas 5.1 and 5.3, Proposition 5.6 and the fact that the satisfiability problem in modal logic  $K$  is in PSPACE.

**Proof of Lemma 6.1.** By induction on  $\varphi$ .