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**Spatially selective photoactivation with computer generated holography  
and functional fluorescence imaging in freely behaving mice with a fiberscope**

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**Running title:** Patterned photoactivation in freely behaving mice

**Summary**

Correlating patterned neuronal activity to defined animal behaviors is a core goal in neuroscience. Optogenetics is one large step toward achieving this goal, yet optical methods to control neural activity in behaving rodents have thus far been limited to perturbing all light-sensitive neurons in a large volume. Here, we demonstrate an all-optical method for precise spatial control and recording of neuronal activity in anesthetized and awake freely behaving mice. Photoactivation patterns targeted to multiple neuronal somata, produced with computer-generated holography, were transmitted to the mouse brain using a micro-objective coupled fiber bundle. Fluorescence imaging through the same device, via epifluorescence, structured illumination, or scanless multipoint confocal microscopy, allowed imaging neurons and recording neuronal activity. The fiberscope was tested in mice co-expressing ChR2-tdTomato and GCaMP5-G

1 in cerebellar interneurons, delivering near-cellular resolution photoactivation in  
2 freely behaving mice.

3

4 **Highlights:**

- 5 - A novel fiberscope for optogenetics in freely behaving mice
- 6 - Photoactivation with near-cellular resolution using computer generated  
7 holography
- 8 - Functional fluorescence imaging with optical sectioning
- 9 - Combined ChR2 photoactivation and functional calcium recording in the same  
10 neurons

11

12

13 **INTRODUCTION**

14 Optogenetics enables light to control and monitor complex computational events  
15 in the brain through targeted perturbation of neuronal circuits and imaging of  
16 functional reporters (Akerboom et al., 2013; Deisseroth, 2011; Miesenboeck,  
17 2011). Neuron-specific expression and light sensitivity of optogenetics tools,  
18 including a growing list of new opsins (Klapoetke et al., 2014; Lin et al., 2013)  
19 permit efficient and selective control of neuronal networks with relatively simple  
20 optical methods (Aravanis et al., 2007; Huber et al., 2008; Kitamura et al., 2014).  
21 The fascinating prospect of optically orchestrating neuronal circuitry in awake  
22 freely behaving rodents calls for the development of more sophisticated  
23 illumination schemes. Ideally these methods should allow activation of targeted  
24 sets of individual neurons with variable (user-defined) shape, millisecond  
25 resolution and minimal invasiveness.

26

27 Reduction of illumination volume in order to stimulate a single neuron can be  
28 achieved by guiding excitation light through fiber-optic based microprobes  
29 (LeChasseur et al., 2011) or by scanning a laser beam coupled to a microscope  
30 objective (Packer et al., 2012; Prakash et al., 2012; Wilson et al., 2012) or a fiber  
31 bundle (Hayashi et al., 2012). This permits *in vivo* targeting with cellular  
32 (LeChasseur et al., 2011; Prakash et al., 2012) or near-cellular (Hayashi et al.,

1 2012; Wilson et al., 2012) resolution in anesthetized mice. Multineuronal  
2 excitation with these approaches, however, remains challenging. Targeting of  
3 multiple neurons with individual fiber-optic microprobes (LeChasseur et al.,  
4 2011) requires implanting multiple separate probes, thus limiting the maximum  
5 number of neurons that can be stimulated in a given volume. Scanning  
6 approaches (Hayashi et al., 2012; Prakash et al., 2012; Wilson et al., 2012) are  
7 more flexible but because they rely on sequential photoactivation of multiple  
8 targets they degrade temporal resolution. Furthermore, none of these methods  
9 have yet been demonstrated in freely moving rodents.

10  
11 Neuronal activation at multiple sites simultaneously can be achieved by  
12 implanting an array of fiber probes (Kim et al., 2013; Royer et al., 2010; Stark et  
13 al., 2012; Zorzos et al., 2012) or optical fibers with multiple light windows  
14 (Pisanello et al., 2014). However because the geometry of the probe array  
15 imposes a fixed distance between photoactivation sites, the stimulation patterns  
16 have limited flexibility, and, among the few demonstrations reported, they have  
17 yet to approach neuron resolution in freely behaving mice (Kim et al., 2013;  
18 Stark et al., 2012). Moreover, these methods remain to be combined with  
19 functional imaging.

20  
21 Simultaneous excitation of multiple neurons with single cell resolution can be  
22 achieved by shaping the excitation volume by intensity modulation using digital  
23 micromirror devices (DMD) (Dhawale et al., 2010), or by phase modulation  
24 techniques such as computer-generated holography (CGH) or generalized phase  
25 contrast using liquid crystal displays (Lutz et al., 2008; Papagiakoumou et al.,  
26 2010). Based on these approaches, efficient photoactivation of single or multiple  
27 neurons in brain slices (Lutz et al., 2008; Papagiakoumou et al., 2010) or in living  
28 mice (Dhawale et al., 2010) is possible. Neither these methods however have yet  
29 been extended to freely behaving rodents.

30  
31 Here, we present a fiberscope capable of spatially selective illumination in freely  
32 behaving mice, achieved by coupling an optical microscope for CGH to a fiber

1 bundle tipped with a micro-objective. Each individual fiber core in the bundle  
2 permits stable and efficient transmission of one pixel of the CGH intensity  
3 pattern into the brain. The fiber bundle is also coupled to a versatile imaging  
4 system that permits, through the use of a DMD, fluorescence imaging with  
5 different modalities comprising widefield epifluorescence, structured  
6 illumination and multipoint confocal imaging. This imaging system is used to  
7 locate neurons to design photoactivation patterns and to record neuronal  
8 activity following photoactivation.

9

10 Proof of principle experiments were conducted in cerebellar molecular layer  
11 interneurons co-expressing GCaMP5-G and ChR2-tdTomato in both anesthetized  
12 and freely behaving mice. By combining CGH with multipoint confocal  
13 microscopy we found conditions that minimize ChR2 photoactivation during  
14 imaging, allowing simultaneous photostimulation and functional imaging with  
15 near-cellular resolution.

16

17 Our new method permits the control and monitoring of circuit dynamics in freely  
18 behaving mice with unprecedented spatial precision, significantly extending the  
19 toolbox for investigation of neural microcircuits underlying signal processing  
20 and behavior.

21

## 22 **RESULTS**

23 The optical system comprises two distinct optical paths for holographic  
24 photoactivation and fluorescence imaging (Figure 1A and S1A). Holographic  
25 photoactivation was achieved with a liquid crystal spatial light modulator (LC-  
26 SLM), which phase-modulates a 473nm laser beam using CGH (Lutz et al., 2008;  
27 Zahid et al., 2010) to generate user specified patterns of various sizes and shapes  
28 (Figure 1B-C and Figure S1B-C). The lateral size and shape of the illumination  
29 pattern determine its axial extent, which is defined as the full-width at half  
30 maximum (FWHM) of the intensity profile along the optical axis. For circular  
31 shapes, the axial extent is about three times the spot diameter (Figure 1D-E).  
32 Therefore, to approach cellular resolution, photoactivation spots of 5 $\mu$ m

1 diameter and 18 $\mu$ m axial extent (Figure 1D) were used.

2

3 The fluorescence imaging system is fully described in SUPPLEMENTAL EXPERIMENTAL  
4 PROCEDURES. Briefly, a DMD, composed of a matrix of movable micromirrors, was  
5 illuminated by a 491nm laser beam (Figure 1A). The orientation of the  
6 micromirrors could be dynamically controlled to permit creation of different  
7 illumination patterns at the sample plane. Different imaging modalities could be  
8 achieved depending on the illumination pattern: epifluorescence, structured  
9 illumination (Bozinovic et al., 2008; Neil et al., 1997) or multipoint confocal  
10 microscopy (Figure 2). These modalities were used to record fluorescence  
11 signals of cerebellar interneurons from mice expressing GCAMP5 (Figure S2A).  
12 Conventional epifluorescence imaging (Figure 2B, left) was obtained by orienting  
13 all the micromirrors to the “on” position to project a uniform intensity pattern to  
14 the sample plane (Figure 2A, left pattern). Structured illumination microscopy  
15 (SIM) (Figure 2B, right) was achieved by controlling the orientation of the DMD  
16 micromirrors to illuminate the sample with a sequence of three shifted grids  
17 (Figure 2A, right pattern). Processing of the three corresponding images  
18 efficiently rejected out-of-focus light, which resulted in effective optical  
19 sectioning (see Figure S2B-C for the general principle of SIM) and better image  
20 contrast than with epifluorescence imaging. Axial resolution could be adjusted  
21 online according to experimental needs by simply changing the period of the  
22 illumination grid (Figure 2C). Finally, scanless multipoint confocal microscopy  
23 enabled fluorescence recordings from a chosen subset of points with high spatial  
24 resolution. This modality was obtained by adjusting the orientation of the DMD  
25 micromirrors to illuminate the sample with one or several small spots and then  
26 collecting the fluorescence from the corresponding regions of interest (ROIs) at  
27 the camera (Figure 2D-E). This is equivalent to a confocal microscope with a  
28 programmable array of pinholes allowing simultaneous fluorescence collection  
29 from multiple points. The corresponding point spread function (PSF), and  
30 therefore the lateral and axial resolutions achieved in this mode, depend on the  
31 size of the excitation spots and corresponding ROIs at the camera. By choosing  
32 excitations spots and ROIs of 4 $\mu$ m diameter, we obtained a PSF sufficiently small

1 to reach near cellular resolution, with lateral and axial resolutions of 3.1 and  
2 8.6 $\mu$ m respectively (FWHM) (Figure 2F-G).

3

#### 4 **Photoactivation and imaging in anesthetized mice**

5 To test photostimulation selectivity, we conducted photoactivation and calcium  
6 imaging *in vivo* in mice co-expressing GCaMP5-G (Akerboom et al., 2012) and  
7 ChR2-tdTomato in molecular layer interneurons (MLIs) in the most dorsal lobe  
8 (IV/V) of the cerebellar vermis (see, SUPPLEMENTAL EXPERIMENTAL PROCEDURES,  
9 Figure S2 and SUPPLEMENTAL RESULTS). Experiments were initially performed in  
10 anesthetized mice. Since ChR2 activation and GCaMP5-G excitation spectra  
11 overlap (Akerboom et al., 2012; Nagel et al., 2003), ChR2 photoactivation might  
12 be induced from the illumination light used for Ca<sup>2+</sup> imaging. Therefore, in order  
13 to achieve a stable baseline fluorescence, GCaMP5-G imaging was done at a  
14 relatively low power density ( $P_i \leq 0.5 \text{ mW/mm}^2$ ) in the scanless confocal  
15 configuration so that only the soma(ta) of interest were illuminated (Figure 3A).  
16 Photoactivation consisted of 24 light pulses (60ms pulse duration at 9Hz), and  
17 the GCaMP5-G fluorescence signal was measured after each pulse (Figure 3B,  
18 bottom). With this protocol, we could reliably elicit an increase in GCaMP5-G  
19 fluorescence signal (Figure 3B, top trace) by targeting a single soma with a 5 $\mu$ m  
20 diameter photoactivation spot (power density  $P = 25 \text{ mW/mm}^2$ ). In the absence of  
21 ChR2 expression, we found no change in GCaMP5-G fluorescence (mean  $\Delta F/F_p =$   
22 0.1%, where  $\Delta F/F_p$  is the calcium signal amplitude, see Figure 3B and  
23 SUPPLEMENTAL EXPERIMENTAL PROCEDURES; 95% confidence interval of the mean  
24 (CI95), [-0.7%; 0.9%];  $P = 75 \text{ mW/mm}^2$ ;  $n = 48$  cells from 3 mice) (Figure 3B,  
25 bottom trace). In ChR2/GCaMP5-G co-expressing neurons, the evoked signal rose  
26 linearly with the number of pulses, similar to previous observations at MLI soma  
27 with Fura-2 imaging in cerebellar slices (Franconville et al., 2011). Thus, each  
28 pulse drove a ChR2-mediated cation influx leading to an increase of GCaMP5-G  
29 fluorescence. After photoactivation, the signal decrease was approximated with a  
30 monoexponential decay ( $\tau = 0.96 \text{ s}$ ) (CI95, [0.80s; 1.14s];  $n = 7$  cells from 5 mice)  
31 (Figure 3B top trace), similar to (Akerboom et al., 2012). Photoactivation pulses  
32 as short as 5ms could also trigger functional responses ( $n = 4$  cells from 1 mouse)

1 (Figure S3), but the S/N ratio was too low to characterize the photoactivation  
2 resolution. Therefore, most experiments were performed with trains of 60ms  
3 pulses. This imaging/stimulation protocol reliably evoked calcium signals in  
4 cerebellar MLIs (120 cells from 9 mice,  $\Delta F/F_p > 20\%$ ) and was highly repeatable  
5 in single neurons (Figure 3C).

6

7 We next characterized photoactivation resolution by quantifying the somatic  
8 GCaMP5-G response when the photoactivation spot was displaced off the soma,  
9 expressed as the ratio  $R = (\Delta F/F_{p, \text{off cell}})/(\Delta F/F_{p, \text{on cell}})$  (Figure 4A). Resolution  
10 was first measured along the x-axis, orthogonal to the sagittal orientation of MLI  
11 dendrites (see inset of Figure 4A, left). In this way, processes or gap junctions  
12 connecting a stimulated MLI to other MLIs would not confound our  
13 measurement of lateral resolution (Kim et al., 2014; Rieubland et al., 2014).  
14 When the photoactivation spot was moved  $\sim 7\mu\text{m}$  from the center of the soma,  
15 the calcium signal was reduced by a factor of 2, and vanished when the spot was  
16  $20\mu\text{m}$  away ( $R = -9 \times 10^{-3}$ ; CI95  $[-7.1 \times 10^{-2}; 5.3 \times 10^{-2}]$ ;  $n=14$  cells from 7 mice)  
17 (Figure 4A, left). When the spot was moved along the sagittal orientation y  
18 (Figure 4A, right and Figure S4B) the lateral resolution significantly deteriorated  
19 ( $\sim 30\mu\text{m}$  HWHM).

20

21 Experimentally characterizing the axial selectivity of photoactivation requires  
22 decoupling the imaging plane from the photostimulation plane. To do this  
23 characterization, we measured the calcium signal from a chosen soma at a fixed  
24 plane ( $z_0$ ) while targeting different locations above the soma ( $z$ ) by displacing  
25 the fiberscope probe (and therefore the photoactivation plane) with a  
26 piezoelectric device. The photoactivation protocol was a single 1s duration pulse.  
27 We benefited from the fact that the calcium signal lasts for  $>1\text{s}$  after the  
28 photoactivation beam is turned off so that the fiberscope probe could be placed  
29 back at  $z_0$  after the photostimulation protocol and the calcium response from the  
30 soma still could be recorded. The response was reduced by a factor of 2 for  
31 photostimulation at  $z \approx 35\mu\text{m}$  (Figure 4A, middle). These results show that a near-  
32 cellular resolution can be obtained when targeting a single cell.

1

2 The measured lateral and axial photoactivation resolutions were compared with  
3 the expected resolutions in the ideal case where there is no scattering and where  
4 only the soma is labeled. The latter was obtained by calculating the convolution  
5 between the measured 3D intensity profile of the 5 $\mu$ m diameter spots used for  
6 photostimulation (Figure 1C) and a sphere of 7  $\mu$ m in diameter representing the  
7 soma (see SUPPLEMENTAL EXPERIMENTAL PROCEDURES for details). The results are  
8 plotted along with the experimental data (Figures 4A, right). We found expected  
9 lateral and axial resolutions of 5 $\mu$ m and 14 $\mu$ m (HWHM) respectively. Therefore,  
10 when the photostimulation spot was moved perpendicular to the plane  
11 containing the dendrites, the measured lateral resolution ( $\sim$ 7 $\mu$ m HWHM) was  
12 close to the expected resolution of  $\sim$ 5 $\mu$ m HWHM. This confirmed that the spot  
13 shape is well conserved in the photoactivation plane, and that scattering does  
14 not significantly degrade the resolution. However, when the photostimulation  
15 spot was moved along the dendritic plane, the experimental lateral resolution  
16 ( $\sim$ 30 $\mu$ m HWHM) significantly differed from the expected one ( $\sim$ 5 $\mu$ m HWHM).  
17 Similarly, when the spot was moved above the soma, in the plane containing the  
18 dendrites, the measured axial resolution ( $\sim$ 35 $\mu$ m HWHM) significantly differed  
19 from the expected one ( $\sim$ 14 $\mu$ m HWHM). Overall these findings suggest that the  
20 main source for resolution broadening comes from photoactivation of distal  
21 dendritic or axonal processes.

22

23 In order to confirm our ability to photostimulate a single neuron, we monitored  
24 the activity of 5 neurons simultaneously while targeting only one of these  
25 neurons for photoactivation (Figure 4B, left). A response was indeed observed  
26 only in the targeted cell (signal remaining in a non-targeted cell was  $R = 6 \times 10^{-3}$   
27 (CI95 [-1.4  $\times 10^{-2}$ ; 2.6  $\times 10^{-2}$ ]; n=27 neurons from 6 mice; see SUPPLEMENTAL  
28 EXPERIMENTAL PROCEDURES) (Figure 4B left).

29

30 Using CGH, multiple neurons can be targeted simultaneously for  
31 photostimulation, by placing one photoactivation spot on each soma. However, a  
32 limitation in photoactivation resolution arises when increasing the number of

1 photoactivation spots. Indeed, this results in an increased photoactivation power  
2 that might cause out-of-focus dendritic excitation. For this reason lateral  
3 selectivity was tested while several (5) neurons were photoactivated  
4 simultaneously. We first targeted the 5 neurons, eliciting a calcium signal in each  
5 soma (Figure 4B, center). We then displaced one of the five photoactivation spots  
6 by 20 $\mu$ m along the x-axis (perpendicular to the dendritic planes), which led to a  
7 considerable decrease in the corresponding neuronal response ( $R = 0.16$ ; CI95  
8 [0.096; 0.23];  $n = 26$  cells from 5 mice) (Figure 4B, right). This registration of  
9 calcium response to targeted neuron shows that cellular level resolution is  
10 maintained with our fiberscope even when simultaneously photoactivating a  
11 subpopulation of ChR2-expressing neurons. Notably, near-cellular resolution is  
12 maintained even when targeted cells were separated by  $\sim 20\mu$ m (Figure S4C).

13

#### 14 **Photoactivation and imaging in freely behaving mice**

15 We then tested the fiberscope in freely behaving mice. The fiberscope probe was  
16 fixed to the mouse skull with a custom-made head-plate and holder (1.1g mass  
17 including adhesives and screws, Figure 5A and Figure S5A), allowing  
18 fluorescence imaging in the awake mouse (Figure 5B-C and Figure S5B). Normal  
19 exploration, eating, gnawing, grooming, jumping and sleeping behaviors were  
20 observed during the experimental sessions (up to 8 hours). With awake freely-  
21 behaving mice, movement can occur between the fiberscopic probe and the  
22 observed region of the brain. We studied this movement in two different types of  
23 mice: the same mice co-expressing GCaMP5-G and ChR2-tdTomato in cerebellar  
24 MLIs as used above for the anesthetized experiments, and mice bred  
25 heterozygous for both *Pcp2-cre* (Barski et al., 2000) and *Rainbow* (Tabansky et  
26 al., 2013) expressing eYFP in a random subset of cerebellar Purkinje cells.  
27 Movements were measured on 2 different timescales. The position of the  
28 observed cerebellar region was first monitored at 19Hz during a 100s epoch  
29 (Figure 5D-E and Movie S1). On average, the movement amplitude was  
30  $\Delta x = 1.6\mu$ m and  $\Delta y = 1.2\mu$ m ( $n = 30$  acquisitions from 3 mice) (Figure 5E). Overall,  
31 the maximum movement in x or y during a 100s period was  $< 3\mu$ m. Therefore,  
32 similarly to other fiberscope implementations (Flusberg et al., 2008; Kerr and

1 Nimmerjahn, 2012), the observed region remained stable during experimental  
2 epochs. This allowed repetitive stimulation of the same neuron, with  
3 simultaneous recording of the evoked functional response, without needing to  
4 reposition the photoactivation and imaging spots. Over 200 minutes, we  
5 recorded a mean translation and rotation of the field of view of  $\Delta x=8\mu\text{m}$ ,  
6  $\Delta y=5\mu\text{m}$ ,  $\Delta\theta=2^\circ$  ( $n = 6$  mice) (Figure 5F-G, Figure S5C, Movie S2). Because our  
7 approach permits image acquisition, such lateral displacements can be easily  
8 corrected during prolonged experiments by acquiring new SIM images and  
9 refreshing the photostimulation and confocal imaging patterns on the SLM and  
10 DMD, respectively.

11

12 The same imaging/photoactivation protocol to photoactivate single somata used  
13 in anesthetized mice was repeated in freely behaving mice coexpressing  
14 GCaMP5-G and ChR2-tdTomato in cerebellar MLIs. Calcium signals could be  
15 similarly elicited in the targeted cells (Figure 6A), with a GCaMP5-G calcium  
16 transient decay time of  $\tau=0.81\text{s}$  (CI95 [0.67s; 0.94s];  $n=28$  cells from 3 mice). We  
17 tested a few illumination light intensities and found that a light dose between 50  
18 and  $75\text{mW}/\text{mm}^2$ , corresponding to  $<1.5\mu\text{W}$  per illuminated neuron, resulted in a  
19  $\Delta F/F > 10\%$  in most cells, i.e., 39 of 44 cells chosen randomly in the fields of view  
20 of 4 freely behaving mice were responsive ( $\Delta F/F_p > 10\%$ ) and 5 were  
21 nonresponsive ( $\Delta F/F_p < 10\%$ ). This working light dose is in the range used in  
22 previous experiments ( $1\text{-}250\mu\text{W}/\text{cell}$ ) (Dhawale et al., 2010; Lim et al., 2012;  
23 Madisen et al., 2012; Petreanu et al., 2007) and significantly lower than the  
24 reported photodamage threshold ( $>500\mu\text{W}/\text{cell}$ ) (Petreanu et al., 2007).

25

26 Single neuron photoactivation assays were conducted in freely behaving mice.  
27 The lateral selectivity of photoactivation (Figure 6B and Movie S3) was  
28 comparable to the one measured in anesthetized mice (Figure 4A, left). Indeed,  
29 in freely behaving animals, the remaining signal when the spot was displaced by  
30  $20\mu\text{m}$  along the x-axis (perpendicular to the dendritic plane) was  $R= 8.1 \times 10^{-2}$   
31 (CI95 [ $2.7 \times 10^{-2}$ ;  $1.3 \times 10^{-1}$ ];  $n=18$  cells from 5 mice), with a lateral resolution of  
32 approximately  $10\mu\text{m}$  (HWHM). To confirm overall stability of photoactivation

1 and imaging in the behaving mouse, we repeated our photoactivation protocol  
2 every 30s for 15 minutes without repositioning the photoactivation spot. In this  
3 case a calcium signal was evoked every time, confirming that there was not  
4 significant displacement of the field of view that might affect our ability to target  
5 a neuron (n=3 cells from 1 mouse) (Figure 6C and movie S4).

6

7 In 32 of 52 photoactivated neurons ( $\Delta F/F_p > 10\%$ ,  $P = 25\text{-}75\text{mW/mm}^2$ ) in the  
8 aforementioned 5 freely behaving mice, we also observed fluorescence  
9 transients (Figure 6C and Figure S6) in the time interval between two stimuli,  
10 i.e., not evoked by the photostimulation light. These transients were not  
11 observed in anesthetized mice. The small x-y movements observed (as discussed  
12 above) and the finding that the observed transients were always positive suggest  
13 that they were not due to movement artifact. Besides, it is unlikely that these  
14 transients were evoked by the imaging light since the photoactivation threshold  
15 is unchanged if not higher in awake than in anesthetized animals (range of  
16 photoactivation power densities used for all experiments:  $P_{\text{awake}} = [25\text{mW/mm}^2;$   
17  $75\text{mW/mm}^2]$ ,  $P_{\text{anesthetized}} = [8\text{mW/mm}^2; 60\text{mW/mm}^2]$ ) and imaging power  
18 densities were kept constant if not lower ( $P_{\text{i,awake}} = [0.28\text{mW/mm}^2;$   
19  $0.5\text{mW/mm}^2]$ ,  $P_{\text{i,anesthetized}} = 0.5\text{mW/mm}^2$ ). One plausible explanation for this  
20 spontaneous activity is increased activity of inhibitory neurons in the absence of  
21 anesthetics (Haider et al., 2013).

# 1 **DISCUSSION**

2

## 3 **Achievements**

4

5 We describe a new fiberscope that allows all-optical control and monitoring of  
6 neuronal activity in freely behaving rodents with near-cellular resolution. This is  
7 achieved using holographic targeted photoactivation of ChR2 and functional  
8 fluorescence imaging of GCaMP5-G.

9

10 Excitation patterns for targeted photoactivation were created by CGH and  
11 focused onto the input surface of a flexible fiber-bundle. The bundle and  
12 associated micro-objective transmitted and imaged the excitation patterns into  
13 the mouse brain. User-defined excitation patterns within a circular field of view  
14 of 240 $\mu$ m in diameter could be created (Figure S1). By using a 5 $\mu$ m spot centered  
15 on a single soma, photoactivation with near-cellular resolution was routinely  
16 possible in anesthetized and freely behaving mice. More precisely, in  
17 anesthetized animals, we measured lateral and axial resolutions to be  
18 approximately 7 and 35  $\mu$ m (HWHM), respectively.

19

20 CGH also allows simultaneous targeting of multiple neurons by placing  
21 photoactivation spots on the corresponding somata. For example, here, 5 cells  
22 were simultaneously targeted while keeping a near-cellular resolution (Figure  
23 4B). The maximum number of targeted cells depends on the maximum available  
24 laser power, in our case 17mW, at the objective. When this power is distributed  
25 across the full field of view, this corresponds to a power density ( $>230\text{mW}/\text{mm}^2$ )  
26 more than sufficient to obtain reliable photostimulation ( $50\text{-}75\text{mW}/\text{mm}^2$ ).  
27 Therefore, all neurons in the field of view could be photostimulated at once. We  
28 note however that photoactivation resolution decreases when increasing the  
29 number of targeted cells because out-of-focus light from an increased number of  
30 photoactivation spots will add up and can become sufficient to excite out-of-  
31 focus somata or – more importantly – cellular processes including dendrites.

32

1 Regarding time resolution ( $T_R$ ), our photoactivation protocol consisted of a 9Hz  
2 train of 24X60ms pulses or a 20Hz train of 60X5ms pulses. Alternative methods  
3 have used shorter photoactivation protocols (Andrasfalvy et al., 2010; Begue et  
4 al., 2013; Packer et al., 2012; Papagiakoumou et al., 2010; Prakash et al., 2012;  
5 Rickgauer and Tank, 2009; Wilson et al., 2012), as short as 1ms in the case of  
6 single photon (1P) (Wilson et al., 2012) or 2 photon (2P) CGH (Begue et al.,  
7 2013), for targeted photoactivation of ChR2 with cellular or near-cellular  
8 resolution in cell cultures, brain slices, and in anesthetized rodents. In these  
9 studies,  $T_R$  was defined as the time needed to generate a single action potential.  
10 Here, we used calcium imaging with GCaMP5-G as the response readout, with  $T_R$   
11 defined as the time necessary to evoke a measurable  $\Delta F/F$  (here,  $\Delta F/F > 20\%$ ),  
12 which corresponds to evoking several APs. With a more sensitive readout (such  
13 as GCaMP6), a shorter  $T_R$  is possible.

14

15 One major advantage of CGH with respect to laser scanning approaches is the  
16 shorter  $T_R$  needed for targeting multiple neurons. For scanning approaches,  $T_R$  is  
17 given by  $T_R = (t_R + t_s) \times N$  (Vaziri and Emiliani, 2012), where  $t_R$  is the residence  
18 time at a given position (also known as the dwell time),  $t_s$  the point to point  
19 scanning time and  $N$  the number of targeted cells. Increased efficiency in  
20 photostimulation might require scanning the laser beam within the cell soma ( $t_a$ )  
21 (Rickgauer and Tank, 2009), in this case  $N$  will correspond to the number of  
22 positions visited within each cell multiplied by the number of targeted cells. For  
23 CGH, the temporal resolution is given by  $T_R = t_R$  and is independent of the number  
24 of excited cells, demonstrated here by simultaneous photostimulation of 5 cells  
25 (Figure 4B) with the same  $T_R$  as for single cell stimulation. For sequential  
26 projection of different photostimulation patterns with CGH, the refresh rate  $R_e$  of  
27 the LC-SLM imposes an additional time delay of  $1/R_e = 5-17\text{ms}$  between two  
28 patterns, and  $T_R$  becomes equal to  $(t_R + 1/R_e)$  (Vaziri and Emiliani, 2012).

29

30 Previous functional imaging techniques for freely behaving rodents are reviewed  
31 in (Kerr and Nimmerjahn, 2012). In mice, epifluorescence imaging used  
32 fiberscopes (Ferezou et al., 2006; Flusberg et al., 2008; Murayama and Larkum,

1 2009) or fiberless head-mounted miniature microscopes (Ghosh et al., 2011; Ziv  
2 et al., 2013). However, these methods did not give optical sectioning. 2P imaging  
3 allows optical sectioning and has been performed in freely behaving rats with  
4 lateral resolution of 0.9 $\mu$ m (Sawinski et al., 2009) by using a head-mounted  
5 laser-scanning 2P microscope. The weight of the device, however, has not yet  
6 permitted extending this approach to freely behaving mice. Here, we describe a  
7 versatile system, capable of switching between epifluorescence, structured  
8 illumination, and multipoint confocal imaging, the latter two modalities giving  
9 optical sectioning. Structured illumination provides an improved contrast (by a  
10 factor 6.6) that was helpful in designing illumination patterns for  
11 photoactivation and confocal imaging. Confocal imaging provides a sharper PSF,  
12 allowing functional imaging from selected somata with lateral and axial  
13 resolutions of 3.1 and 8.6 $\mu$ m FWHM, respectively (Figure 2F-G, Figure S2D-E and  
14 SUPPLEMENTAL RESULTS).

15

16 Achieving an all-optical control and readout of neural activity requires  
17 combining functional imaging and photostimulation. This has been achieved in  
18 brain slices and in anesthetized animals by combining imaging of Ca<sup>2+</sup> sensitive  
19 probes or voltage-sensitive dye (VSD) with glutamate uncaging, either with 1P  
20 excitation (Anselmi et al., 2011; Vogt et al., 2011; Zahid et al., 2010) or 2P  
21 excitation (Bloodgood and Sabatini, 2007; Losonczy and Magee, 2006; Losonczy  
22 et al., 2008; Nikolenko et al., 2007; Noguchi et al., 2011), or by combining VSD  
23 imaging with 1P ChR2 photoactivation (Lim et al., 2012; Tsuda et al., 2013;  
24 Willadt et al., in press). All these approaches benefit from a reduced overlap  
25 between the absorption spectra of the functional reporter and the photosensitive  
26 actuator. By contrast, combination of Ca<sup>2+</sup> imaging with ChR2 photostimulation  
27 is a significant challenge due to the large overlap between absorption spectra of  
28 ChR2 and the most commonly used Ca<sup>2+</sup> reporters, which can result in  
29 illumination light inducing photostimulation. Due to the low efficiency of ChR2  
30 activation under 2P laser-scanning stimulation (Rickgauer and Tank, 2009), this  
31 effect can be reduced if Ca<sup>2+</sup> imaging is performed using 2P laser scanning  
32 microscopy as recently demonstrated by Wilson and colleagues in anesthetized

1 mice (Wilson et al., 2013). This approach requires the use of a 2P scanning head,  
2 which is suitable for use with rats but has not yet been demonstrated in freely  
3 moving, smaller, mice. Here we found conditions that allowed for the first time in  
4 a living rodent, combined use of 1P ChR2 photostimulation and 1P functional  
5 imaging of a genetically encoded calcium reporter (GECI, here GCaMP5G) at near  
6 cellular resolution. These conditions included performing imaging in confocal  
7 mode, by illuminating only the soma(ta) of interest with extremely low light  
8 power ( $\sim 6 \cdot 10^{-3} \mu\text{W}$  per soma), and collecting fluorescence signals with a sensitive  
9 sCMOS camera. Because an all-optical approach allows taking full advantage of  
10 contemporary optogenetic tools, we believe this novel fiberscope is a powerful  
11 tool to investigate neuronal networks. Moreover, the same strategy can be  
12 implemented in a conventional 1P optical microscope permitting extension of  
13 this approach to brain slices or *in vivo* applications at shallow depths.

14

#### 15 **Current limitations and technical outlook**

16

17 Our current fiberscope uses 1P excitation for photoactivation and imaging and  
18 therefore required using a brain region with a relatively sparse pattern of  
19 labeled cells in order to approach cellular resolution. The synthesis of opsins  
20 localized to the soma and/or axon hillock (Greenberg et al., 2011; Wu et al.,  
21 2013) would reduce the contribution coming from out-of-focus dendritic or  
22 axonal processes, thus permitting increasing the effective resolution of  
23 photostimulation. The implementation of a 2P fiberscope allowing for 2P-CGH  
24 and 2P scanning imaging (Gobel et al., 2004) would permit a true cellular  
25 resolution both for photostimulation and for imaging. It will also permit reducing  
26 ChR2 photostimulation during  $\text{Ca}^{2+}$  imaging as suggested by Wilson and  
27 colleagues (Wilson et al., 2013).

28

29 As for any 1P fluorescence microscope, the imaging depth of our fiberscope is  
30 limited to a few tens of microns ( $\sim 60 \mu\text{m}$ - $100 \mu\text{m}$  with custom designed objective  
31 (Ziv et al., 2013)). Implementing a 2P fiberscope would allow increasing the  
32 working distance to  $>200 \mu\text{m}$  (Sawinski et al., 2009). Access to deeper structures

1 could also be obtained by inserting the micro-objective or a smaller GRIN-lens  
2 based objective into the brain (Dombeck et al., 2010; Flusberg et al., 2008; Ghosh  
3 et al., 2011). To further reduce the diameter of the implanted optical probe, our  
4 fiberscope could be implemented with low-diameter beveled bundles (Vincent et  
5 al., 2006). However, since no micro-objective would be used in this case, this  
6 would degrade lateral and axial resolution and requires that  
7 imaged/photoactivated cells be located close to the bundle surface (where they  
8 could be potentially damaged by the bundle).

9

10 New strategies for transmission of light patterns through optical fibers use  
11 single-core multimode fibers and looks extremely promising as approaches for  
12 in-depth patterned photostimulation. They are based on digital phase  
13 conjugation, and require calculating the appropriate wavefront that brings light  
14 into defined patterns at the output of the fiber (Bianchi et al., 2013; Cizmar and  
15 Dholakia, 2012; Papadopoulos et al., 2013). However, one drawback of these  
16 techniques is that they are very sensitive to fiber bending. In fact, although  
17 recent improvements have been made in this regard (Farahi et al., 2013),  
18 whether these methods can be used in freely behaving animals remains to be  
19 shown.

20

21 In order to investigate long-term neuronal network dynamics, our technique can  
22 be adapted to chronic experimentation, providing that the micro-objective is  
23 implanted at the brain surface or inside the brain, e.g. in (Ziv et al., 2013).

24

25 Although our fiberscope in its current implementation allowed photoactivation  
26 and imaging within one unique plane, access to different planes could be  
27 obtained by using a micro-objective of variable focal length to image the output  
28 of the fiber bundle inside the brain, e.g., by inserting a micromotor to displace  
29 one of the micro-objective lenses (Flusberg et al., 2008).

30

31 Another potential technical advancement would be the development of a non-  
32 ferromagnetic objective, which would permit the use of the fiberscope with fMRI.

1 Opto-fMRI is indeed a promising field (Lee et al., 2010; Schulz et al., 2012) and  
2 our fiberscope would dramatically improve resolution for photoactivation and  
3 calcium imaging compared to current state-of-the-art.

4  
5 As optogenetic tools improve, the performance of our system will improve  
6 likewise. For example, since our fiberscope is compatible with multiple light  
7 sources both in the stimulation and in the imaging pathways, actuators and  
8 reporters with reduced spectral overlap could be used, such as red GECI  
9 (Akerboom et al., 2013; Zhao et al., 2011) and standard ChR2, or green GECI and  
10 red or blue shifted opsins (Klapoetke et al., 2014; Lin et al., 2013). Imaging  
11 power density, which we kept low in order not to photoactivate ChR2, could  
12 therefore be increased, enabling higher signal to noise ratios (allowing for  
13 shorter photostimulation protocols) and/or higher imaging speed. Alternatively,  
14 simultaneous stimulation and inhibition, or simultaneous multicolor imaging  
15 could be performed using two opsins or calcium indicators with separate  
16 activation/absorption spectra. ChR2 patterned photostimulation combined with  
17 VSD imaging to probe the response from large neuronal populations is also a  
18 very promising approach (Lim et al., 2012), particularly in view of recent  
19 progress in the development of voltage sensitive genetically encoded probes  
20 (Gong et al., 2014; St-Pierre et al., 2014). Our system will permit extending these  
21 investigations to awake freely behaving rodents.

22  
23 An advantage of CGH is that it is not limited to binary patterns, as used here, but  
24 can also produce graded patterns, with variable intensity levels (Conti et al.).  
25 This feature is particularly suited when patterned photostimulation is combined  
26 with a P2A based bi-cistronic labeling strategy (Prakash et al., 2012). In this case,  
27 the fluorescence intensity from the reporter (YFP, EYFP, mCherry, etc...) used to  
28 visualize ChR2 positive cells is proportional to the density of channels. This  
29 property can be used for scaling the holographic stimulation so that more light is  
30 directed onto dim cells compared to highly fluorescent cells, thus achieving more  
31 uniform excitation conditions.

32

## 1 **Applications**

2 The understanding of neuronal network function has gained immensely from  
3 advances in fluorescence imaging methods, which in particular have enabled  
4 simultaneous recording from multiple neurons. However, such studies would  
5 benefit greatly if one had the ability to manipulate in real time the activity of  
6 defined sets of neurons, in freely behaving animals. For example, in the  
7 cerebellum, targeted photoactivation of stellate cells would help analyzing MLI  
8 network activity and its relationship to Purkinje cells activity (Ozden et al., 2012;  
9 Sullivan et al., 2005).

10

11 Similarly, in neocortex layer 1, studies investigating local interneuronal  
12 networks that play a major role cortical integration of sensory and motor  
13 information (Jiang et al., 2013; Pfeffer et al., 2013) would be aided with targeted  
14 photoactivation of interneurons and simultaneous fluorescence imaging.

15

16 In the hippocampus and parahippocampal region, selective excitation or  
17 inhibition of place cells or grid cells could be most useful in elucidating place and  
18 grid codes during orientation and navigation (Moser et al., 2014; Ziv et al., 2013).  
19 Introduced into the brainstem, the fiberscope could be used to characterize  
20 respiratory central pattern generator properties, similarly to what holographic  
21 photostimulation has allowed ex vivo (Kam et al., 2013).

22

23 In general, this light sculpting fiberscope represents an extremely powerful tool  
24 for precise photostimulation and functional recording of brain activity and will  
25 help elucidating how neural circuit dynamics regulate animal behavior.

26

## 27 **EXPERIMENTAL PROCEDURE**

28

### 29 *In vivo* optical setup (Figure 1A)

30 The *in vivo* optical setup includes a homemade microscope combining  
31 photoactivation with CGH and fast fluorescence imaging. The microscope was  
32 coupled to the sample with a 2-meter long fiber bundle attached to an imaging

1 micro-objective (Ultra Mini O probe, Maunakea Technologies, Paris, France).  
2 Illumination patterns (for photoactivation and imaging) were projected at the  
3 focal plane of the microscope objective, where the proximal end of the imaging  
4 bundle was positioned, transmitted through the bundle and imaged onto the  
5 sample with the micro-objective. Similarly, fluorescence from the sample was  
6 imaged onto the distal end of the fiber bundle, transmitted through the bundle,  
7 and reimaged on a sCMOS camera (Orca Flash 4.0, Hamamatsu) using the  
8 microscope objective and a tube lens. The fiber bundle had 30,000 individual  
9 fiber cores distributed in a 600 $\mu$ m diameter imaging area. Each fiber core  
10 transmits one intensity pixel of the illumination patterns (for photoactivation or  
11 imaging) and fluorescence images. The distance between centers of adjacent fiber  
12 cores (3.3  $\mu$ m at the bundle, 1.3 $\mu$ m at the sample) limits the spatial resolution of  
13 the techniques. The micro-objective had an external diameter of 2.6mm, a  
14 magnification of 2.5 (yielding a field-of-view of 240 $\mu$ m diameter), a working  
15 distance of 60 $\mu$ m, and a numerical aperture of 0.8.

16

#### 17 Animal Care

18 All experiments followed European Union and institutional guidelines for the  
19 care and use of laboratory animals (Council directive 86/609 EEC) and were  
20 approved by the Paris Descartes Ethics Committee for Animal Research with the  
21 registered number CEEA34.EV.118.12.

22

#### 23 Stereotactic injections of viral vectors

24 The following adeno-associated virus vectors were injected in the most dorsal  
25 lobe (IV/V) of the cerebellar vermis: AAV2/1.hSynap.Flex.GCaMP5G(GCaMP3-  
26 T302L.R303P.D380Y).WPRE.SV40 and AAV2/1.CAGGS.flex.ChR2.tdTomato.SV40  
27 (University of Pennsylvania School of Medicine, Vector Core).

28

#### 29 *In vivo* experiments, freely behaving mice

30 *Head-plate fixation.* A custom-made head-plate (Figure S5A) was fixed 29 to 86  
31 days post injection for GCaMP5-G/ChR2 mice, or at P62-P68 for Rainbow mice.  
32 Mice were anesthetized with isoflurane saturated with O<sub>2</sub>, initially at 5%,

1 adjusted to the minimal amount preventing pain reflexes (3-5%). Mice were  
2 placed in a restraining frame (SG4N, Narishige), on a heating pad, with eye cream  
3 and opaque paper on the eyes. Buprenorphine (20µg/kg) was injected  
4 intraperitoneally. After shaving, the scalp was incised and the periosteum  
5 removed. The skull (parietal and interparietal plates) was cleaned and gently  
6 scrubbed with a delicate bone scraper and 3% H<sub>2</sub>O<sub>2</sub> was applied with a cotton  
7 bud. Dental primer and adhesive (Optibond FL, Kerr) were applied. For GCaMP5-  
8 G/ChR2 mice, an epifluorescence stereo-microscope was used to detect the pre-  
9 injected fluorescent lobule through the skull and the head-plate was positioned  
10 on the skull lateral to the earlier injection site. For Rainbow mice, the head-plate  
11 was positioned on the skull above the cerebellar vermis, lobe IV/V. The head-  
12 plate was cemented with photopolymerizable Tetric Evoflow material (Ivoclar  
13 Vivadent). The skin was then closed with surgical glue (Vetbond), and an  
14 additional dose of buprenorphine (20µg/kg) was injected intraperitoneally.

15

16 *Surgery and micro-objective fixation.* Experiments were conducted 2 to 4 days  
17 after head-plate fixation. Mice were anesthetized with isoflurane saturated with  
18 O<sub>2</sub> and placed in a restraining frame (see above). Buprenorphine (20µg/kg) was  
19 injected intraperitoneally. A 2.7mm diameter craniotomy was performed using a  
20 trephine and a 26G needle to score the final second tablet. Meninges were kept  
21 intact in 6 mice (and accidentally slightly opened in 2 other mice) and kept  
22 moistened with HEPES buffered ACSF. The micro-objective was then placed just  
23 touching the brain surface. Agar in ACSF (2%) was used to fill any small spaces  
24 between the head-plate and objective. Two M2 screws held the micro-objective  
25 to its holder. The holder was then fixed to the head-plate with Tetric Evoflow  
26 photopolymerizable cement, before being filled with agar in ACSF (2%). An  
27 additional dose of buprenorphine (20µg/kg) was injected intraperitoneally.

28

29 *Awake mice experiments.* A cage (40x25x20cm<sup>3</sup>) containing 2-3 food pellets was  
30 placed on a rotating tray. Mice were put in the cage at least one hour before  
31 anesthesia in order to habituate them to this environment. During the  
32 experiment, no constraints were applied to the mice, and they could move freely

1 in the cage. Initially, the tray was manually rotated to avoid coiling of the fiber  
2 bundle and importing any torque. In our last experiments, however, we found  
3 that the mice did not significantly torque the fiber, so we could let them behave  
4 freely without rotating the cage. A CCD camera with red LED illumination was  
5 used to film the mouse during the experiment.

6

7 **Author contributions:**

8 V.S. developed methods for surgery and fixation of micro-objective on mice brain  
9 and performed AAV injections. C.V. designed and built the optical setup and  
10 developed the acquisition software. V.S. and C.V performed experiments and  
11 analysed data. J.B. designed the protocol for viral expression and performed the  
12 experiments in Rainbow PV-cre mice with C.V. V.dS. and V.E designed and wrote  
13 the software Wave front designer. C.V., and V.E. conceived the project and wrote  
14 the manuscript. V.S. and J.B. contributed to the writing. V.E. supervised the  
15 project.

16

17

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7  
8

1 **Figures**

2

3 **Figure 1: Optical setup of fiberscope and characterization of computer-**  
4 **generated holography (CGH).**

5 A. Fiberscope is composed of two illumination paths: one for photoactivation  
6 with CGH (blue path) including a liquid-crystal spatial light modulator (LC-SLM),  
7 and a second for fluorescence imaging (dark green path) including a digital  
8 micromirror device (DMD). Backward fluorescence (light green path) was  
9 detected on a sCMOS camera. Paths were coupled to sample using a fiber bundle  
10 attached to a micro-objective (MO). L: lens (focal lengths are given in Figure  
11 S1A); BS: beamsplitter; O: microscope objective; F: emission filter.

12 B-E. Characterization of CGH. B. “Happy Face” illumination pattern generated  
13 with CGH and recorded with fiberscope from layer of (fluorescent) rhodamine,  
14 showing full field-of-view (diameter 240 $\mu$ m) that was accessible for  
15 photoactivation. C. Sections of photoactivation beam along the (x-y) plane (top)  
16 and (y-z) plane (bottom) for a 5 $\mu$ m diameter spot. D. Axial profile of beam shown  
17 in (B). Axial resolution, defined as the FWHM of this axial profile, was 18 $\mu$ m, in  
18 agreement with theoretical predictions (Figure S4A). E. Axial resolution ( $\Delta z_{FWHM}$ )  
19 of photoactivation beam as a function of spot diameter,  $D$ . Circles: experimental  
20 data. Solid line: linear fit of equation  $\Delta z_{FWHM}=3D$ .

21 See EXPERIMENTAL PROCEDURES and SUPPLEMENTAL EXPERIMENTAL PROCEDURES for  
22 details.

23

24 **Figure 2: Characterization of fluorescence imaging with intensity**  
25 **modulation.**

26 A. Implementation of epifluorescence and structured illumination microscopy  
27 (SIM). Optical layout corresponds to the imaging pathway of fiberscope setup  
28 (Figure 1A). Illumination mask displayed by the DMD is a uniform pattern for  
29 epifluorescence imaging (left), and a monodimensional grid pattern for SIM  
30 (right).

1 B-C. Characterization of epifluorescence and SIM imaging. B. Fluorescence  
2 images recorded in anesthetized mouse expressing GCAMP5-G in cerebellar  
3 interneurons following stereotaxic injection of Cre recombinase-dependent  
4 adeno-associated viruses (Figure S2A) with conventional epifluorescence  
5 imaging (left) and SIM (right). SIM allowed better background rejection,  
6 corresponding to a contrast increase by a factor 6.6 (n=10 cells). SIM was  
7 performed with a 43 $\mu$ m grid period; image processing included wavelet  
8 prefiltering. Exposure time was 40ms for epifluorescence and 3x40ms for SIM. C.  
9 Left. SIM intensity measured with fiberscope from a fluorescence plane as a  
10 function of axial position z (z=0 at imaging plane of micro-objective), for grid  
11 period 43 $\mu$ m, with (blue triangles) or without (black squares) wavelet  
12 prefiltering. Axial resolution, defined as FWHM of this optical sectioning curve,  
13 was 33  $\mu$ m or 34  $\mu$ m respectively. Right. Axial resolution of SIM as a function of  
14 grid period. Circles: experimental data. Solid line: linear fit.

15 See SUPPLEMENTAL EXPERIMENTAL PROCEDURES and Figure S2B-C for additional  
16 details on SIM.

17 D. Implementation of scanless multipoint confocal microscope for fluorescence  
18 recording from a few somata (in this case, 3). Illumination mask displayed by  
19 DMD acts as 3 virtual illumination pinholes, matching positions of 3 neurons in  
20 sample. On sCMOS camera, 3 corresponding ROIs were drawn (green circles),  
21 acting as virtual detection pinholes. For each neuron, fluorescence signal was  
22 integrated in corresponding ROI.

23 E. Left: Average of 600 raw images registered at camera in multipoint confocal  
24 mode, for simultaneous functional imaging of 3 somata. Right: GCaMP5-G  
25 calcium signal ( $\Delta F/F$  trace) measured from 4.2 $\mu$ m ROI circled in green, and  
26 placed on soma. See Figure S6 for details. F. (x-z) profile of the confocal PSF. G.  
27 Lateral (left) and axial (right) profiles of the PSF: lateral and axial resolutions are  
28 3.1 $\mu$ m and 8.6 $\mu$ m respectively (FWHM).

29

1 **Figure 3: Combined photoactivation of ChR2 and imaging of GCaMP5-G in**  
2 **anesthetized mouse.**

3 A. Protocol for functional imaging in mice co-expressing GCaMP5-G and ChR2.  
4  $\Delta F/F$  traces measured from a  $4\mu\text{m}$  region of interest (ROI) placed on a cell body,  
5 for various configurations and power densities ( $P_i$ ) of the imaging illumination  
6 beam. Curve 1: widefield uniform illumination,  $P_i = 0.5\text{mW}/\text{mm}^2$ ; curves 2-6:  
7 illumination of the  $4\mu\text{m}$  ROI only (scanless confocal configuration),  $P_i =$   
8  $3\text{mW}/\text{mm}^2$ ;  $2\text{mW}/\text{mm}^2$ ;  $1.5\text{mW}/\text{mm}^2$ ;  $1\text{mW}/\text{mm}^2$ ;  $0.5\text{mW}/\text{mm}^2$  respectively.  
9 The green horizontal bar represents illumination with imaging laser beam. In  
10 this experiment, the photoactivation laser was off. In widefield configuration a  
11 dramatic increase of the fluorescence signal was observed after imaging onset.  
12 This was interpreted as a result of widefield photoactivation with imaging light.  
13 Keeping the same imaging power density and limiting illumination to one soma  
14 with a  $4\mu\text{m}$  diameter spot (scanless confocal configuration) gave a stable  
15 baseline (curve 6). We interpreted this as unaffected neuron baseline activity.  
16 For  $P_i \geq 1\text{mW}/\text{mm}^2$ , a small increase of the baseline was observed following  
17 imaging onset (curves 2-5). Therefore, functional imaging was performed in the  
18 confocal configuration with  $P_i \leq 0.5\text{mW}/\text{mm}^2$ . Widefield  $\Delta F/F$  trace is single trial.  
19 Other  $\Delta F/F$  traces were obtained by averaging 2 trials (curve 2, 3, 5), 3 trials  
20 (curve 4) or 5 trials (curve 6).

21 B-C. Photoactivation of ChR2 in anesthetized mice.

22 B. Bottom. Photoactivation protocol (blue line,  $P_h$ ) was a 9Hz train of light pulses  
23 (24 pulses of 60ms duration) of  $5\mu\text{m}$  diameter CGH spots. Imaging was  
24 performed in the multipoint confocal configuration, under continuous  
25 illumination (green line,  $I_m$ ) with a power density  $P_i = 0.5\text{mW}/\text{mm}^2$ . Exposure  
26 time was 40 ms. Acquisition rate was 18-20Hz before and after photoactivation,  
27 and lowered to 9Hz during photoactivation because imaging was only performed  
28 between the photoactivation pulses (black line,  $A_{cq}$ , represents successive  
29 camera exposures). Top trace. Single cell somatic calcium signal triggered by  
30 photoactivation between  $t=0$  and  $t=t_p$ . Red, fit with a piecewise-defined function  
31 (linear rising phase and monoexponential decay;  $\tau = 0.96\text{s}$ ). Calcium signal  
32 amplitude ( $\Delta F/F_p$ ) was defined as fit function amplitude at  $t_p$ . Here,  $\Delta F/F_p =$   
25

1 107%. Power density of the photoactivation beam:  $P=25\text{mW}/\text{mm}^2$ . Bottom trace.  
2 The same experiment was repeated in a control mouse expressing GCaMP5-G  
3 only.  $P=75\text{mW}/\text{mm}^2$ .

4 C. Repeating the photoactivation protocol reliably triggered calcium signals over  
5 12 trials in same neuron.  $P=25\text{mW}/\text{mm}^2$ . Trace was low-pass filtered with a  
6 moving 5-point average filter.

7 Signal traces shown in B-C are single trial.

8

9

10 **Figure 4: Characterization of photoactivation lateral and axial selectivity in**  
11 **anesthetized mice.**

12 Unless stated otherwise, photoactivation and imaging protocols are the same as  
13 in Figure 3.

14 A. Left. Inset: geometry of a MLI (schematized in green) with axes  $x$ ,  $y$  and  $z$  used  
15 to characterized photoactivation selectivity.  $x$ -axis represents horizontal axis  
16 perpendicular to sagittal plane containing dendrites.  $y$ - and  $z$ -axes represent  
17 horizontal and vertical axes in sagittal plane containing dendrites. Main figure:  
18 Ratio ( $R$ ) of  $\Delta F/F_p$  obtained for a photoactivation spot placed off-cell versus on-  
19 cell, as a function of distance between spot and soma center along the  $x$  axis. For  
20 each neuron, first and last measurements were performed with photoactivation  
21 spot on-cell.  $\Delta F/F_p$  were normalized to first measurement. Grey squares: data  
22 from  $n=14$  cells from 7 mice. Blue squares: mean of all data. Error bars represent  
23 95% confidence intervals of mean (CI95).  $P=8\text{-}50\text{mW}/\text{mm}^2$  depending on  
24 neuron.

25 Middle. Ratio  $R$  obtained when photoactivation spot was displaced above the cell  
26 along  $z$  axis in  $10\mu\text{m}$  steps. For each cell, the last measurement was performed  
27 with a photoactivation spot on cell, and calcium signal amplitudes  $\Delta F/F_p$  were  
28 normalized to this measurement. Grey circles: data from  $n=3$  cells from 1 mouse.  
29 Photoactivation protocol consisted in a unique pulse of 1s duration. Red circles:  
30 mean of all data. Error bars represent CI95.  $P=50\text{mW}/\text{mm}^2$ .

1 Right. Ratio  $R$  of  $\Delta F/F_P$  measured when photoactivation spot placed off-cell  
2 versus on-cell, as a function of the distance between the spot and soma center in  
3 three directions:  $x$  (blue squares),  $y$  (black triangles) and  $z$  (red circles). Results  
4 for  $x$  and  $z$  axes are similar to shown in left and middle panels. Results for  $y$  axis  
5 are also shown in Figure S4B, and represent mean of data from  $n=4$  cells from 1  
6 mouse ( $P=40-60\text{mW}/\text{mm}^2$  depending on neuron). Solid lines represent expected  
7 resolution in absence of scattering and assuming that only soma was labeled, in  
8 lateral ( $x, y$ , blue line) and axial ( $z$ , red line) directions. This expected resolution  
9 was calculated as the convolution between the measured 3D intensity profile of  
10 the  $5\mu\text{m}$  diameter spots used for photostimulation (Figure 1C) and a sphere of  
11 diameter  $7\mu\text{m}$  representing the soma membrane (see SUPPLEMENTAL EXPERIMENTAL  
12 PROCEDURES for details).

13 B. Simultaneous targeting of multiple cells. Top. SIM images. Blue disks indicate  
14 positions of photoactivation spots (but are larger than actual spot size for figure  
15 readability). Grid period:  $43\mu\text{m}$ . Exposure time:  $3\times 40\text{ms}$ .  $x$  and  $y$  axes are as  
16 defined in inset of figure A, left. Bottom. Corresponding  $\Delta F/F$  traces registered  
17 simultaneously in 5 cells, numbered 1-5 and located in different parasagittal  
18 planes. Left: only cell #1 was targeted. Center: 5 cells were simultaneously  
19 targeted. Right: Photoactivation spot that targeted cell #1 displaced  $20\mu\text{m}$  in  
20 coronal plane, as indicated by the white arrow.  $P=30\text{mW}/\text{mm}^2$ .

21

22

23 **Figure 5: Fluorescence imaging and characterization of movements**  
24 **between the fiberoptic probe and the brain in freely behaving mice.**

25 A. Picture of unrestrained (freely behaving) mouse in its cage with fiberoptic  
26 probe fixed to skull.

27 B SIM image recorded in freely behaving mouse co-expressing GCaMP5-G and  
28 ChR2 in MLIs, and showing MLI somata. Inset: single MLI with portion of  
29 dendrite (arrow). Grid period:  $43\mu\text{m}$ . Exposure time:  $3\times 200\text{ms}$ .

30 C. SIM image recorded in freely behaving Rainbow mouse expressing eYFP in

1 Purkinje cells, showing Purkinje cell dendritic tree segments. Grid period: 43 $\mu$ m.  
2 Exposure time: 3x200ms.

3 D-G. Quantification of movement between the fiberscopic probe and the brain.  
4 Lateral translation (x, blue squares and y, red triangles) and rotation ( $\theta$  green  
5 disks) are measured on different timescales: during 100s (D-E) and 200min (F-  
6 G).

7 D. Position of observed region of the brain along x and y monitored at 19Hz  
8 during 100s in 3 Rainbow mice (labeled #R1, #R2, #R3). Behavior of mouse  
9 indicated as background color; blue: body displacement; yellow: head  
10 movement; green: eating; grey: still. Lateral translations are multiple of the pixel  
11 size (0.47 $\mu$ m).

12 E. For each mouse, experiment shown in D was repeated 10 times, and maximum  
13 displacements  $\Delta x$  (blue squares) and  $\Delta y$  (red triangles) for each acquisition as  
14 indicated. Please note that several acquisitions gave the same amount of  
15 displacement. Averages of maximum movements along x and y over all 10  
16 acquisitions are given by black squares and black triangles respectively, for each  
17 mouse. Error bars correspond to CI95.

18 F-G Translation and rotation of observed brain region monitored during 200min  
19 in 6 mice. Figure F shows positions of observed brain region as a function of time  
20 for 2 mice co-expressing GCaMP5 and ChR2 in MLIs. Data for all mice shown in  
21 Figure S5C. The maximum translation ( $\Delta x$ , blue squares;  $\Delta y$ , red triangles; left  
22 ordinate) and rotation ( $\Delta\theta$ , green circles; right ordinate) for each mouse are  
23 plotted on Figure G. Average displacements over mice are given by black squares  
24 ( $\Delta x$ ), triangles ( $\Delta y$ ), and circles ( $\Delta\theta$ ). Error bars correspond to CI95.

25

26 **Figure 6: Photoactivation with near-cellular resolution in freely behaving**  
27 **mice.**

28 Single cell photoactivation and imaging with same protocol as Figure 2 in mice  
29 co-expressing ChR2 and GCaMP5-G in MLIs. Signal traces shown are single trial.

30 A. Single cell somatic calcium signal triggered by photoactivation (blue line)

1 (P=50mW/mm<sup>2</sup>). Black trace, experimental data. Red line, fit with a piecewise-  
2 defined function (linear rising phase and monoexponential decay),  
3 corresponding to a calcium signal amplitude  $\Delta F/F_P = 129\%$ .

4 B. Ratio R of  $\Delta F/F_P$  obtained for a photoactivation spot placed off-cell versus on-  
5 cell, as a function of distance between spot and soma center along the x-axis (as  
6 defined in inset of figure 4A, left). For each cell, last measurement was performed  
7 with a photoactivation spot on cell, and calcium signal amplitudes  $\Delta F/F_P$  were  
8 normalized to this measurement. Grey squares: data from n=18 cells from 5  
9 mice. Blue squares: mean of all data. Error bars represent CI95. P=25-  
10 75mW/mm<sup>2</sup>.

11 C. (Top) Same photoactivation protocol (blue lines) as in A repeated every 30s  
12 for 15min (P=50mW/mm<sup>2</sup>, P<sub>i</sub>=0.28mW/mm<sup>2</sup>). Trace was low-pass filtered with  
13 a moving 5-point average filter. (Bottom) Blowup of top trace showing  
14 spontaneous activity that occurred between evoked transients. Decay time of  
15 spontaneous calcium transients is similar to that of photoactivation-triggered  
16 transients.

17

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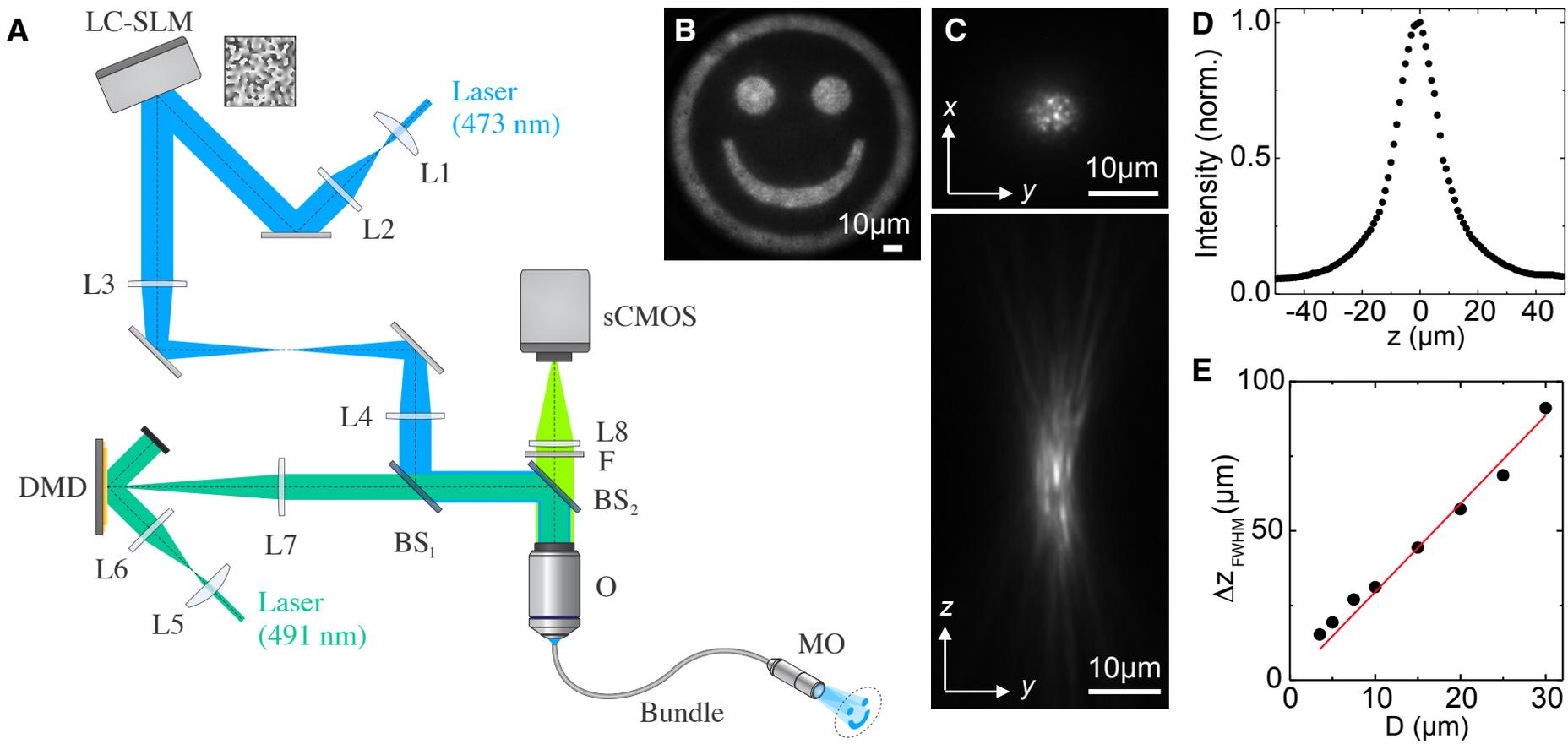
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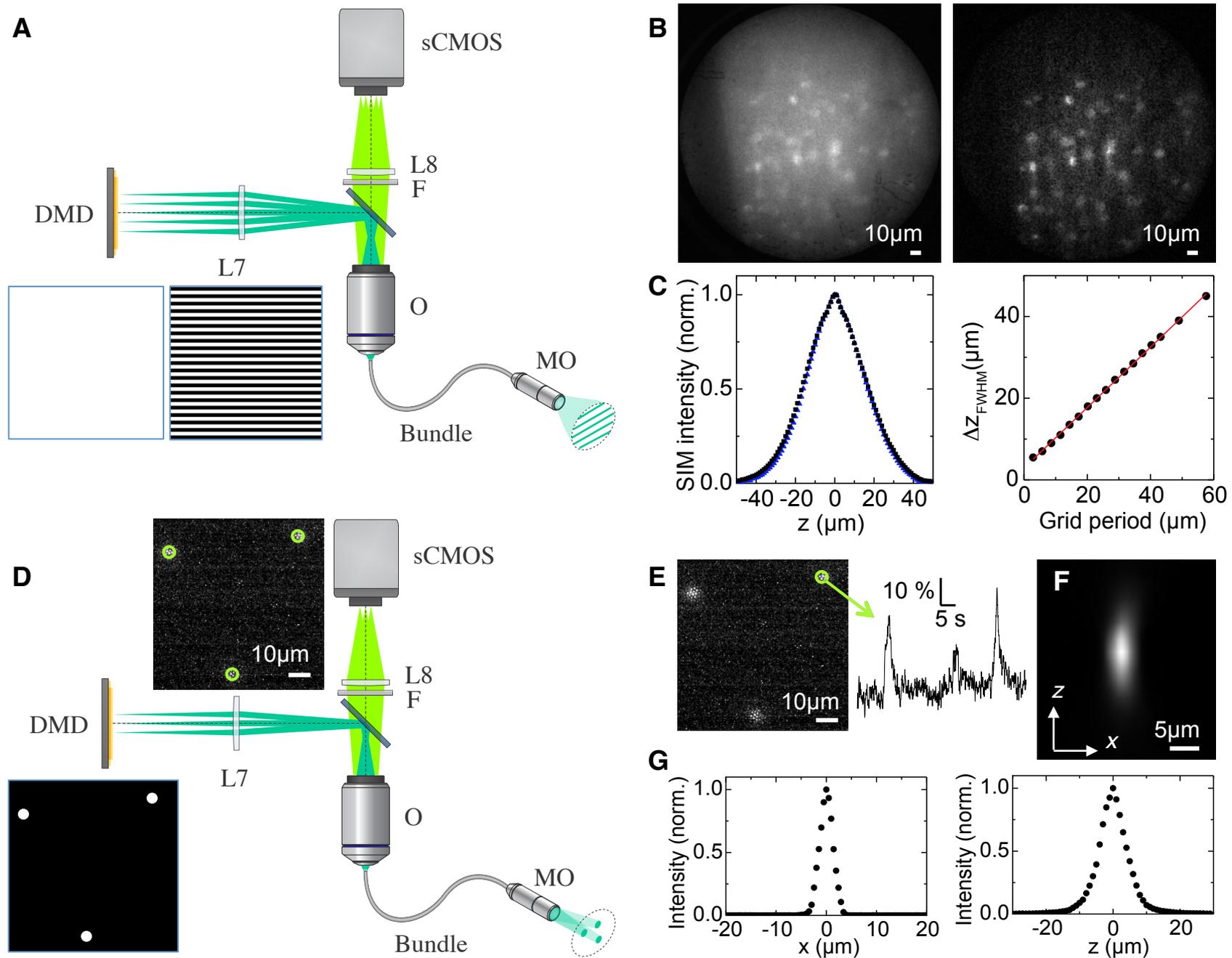
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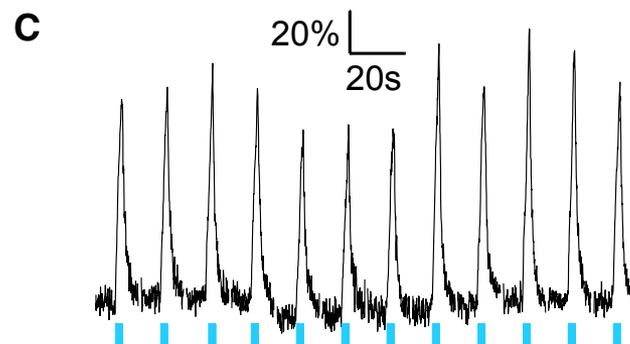
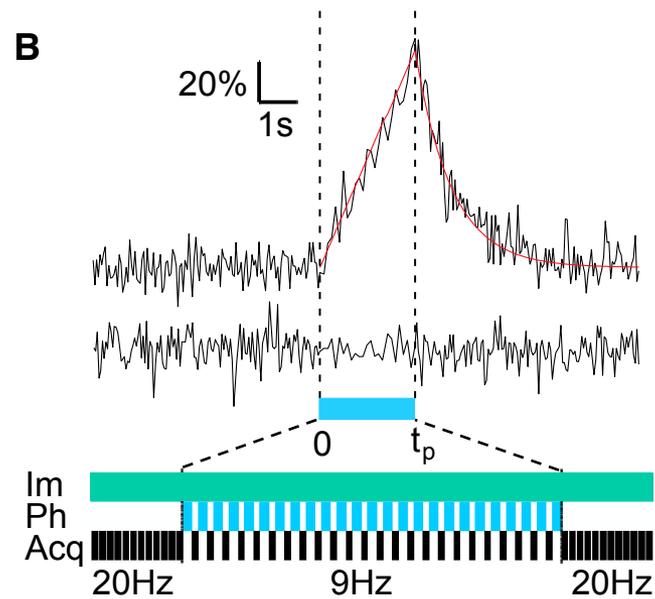
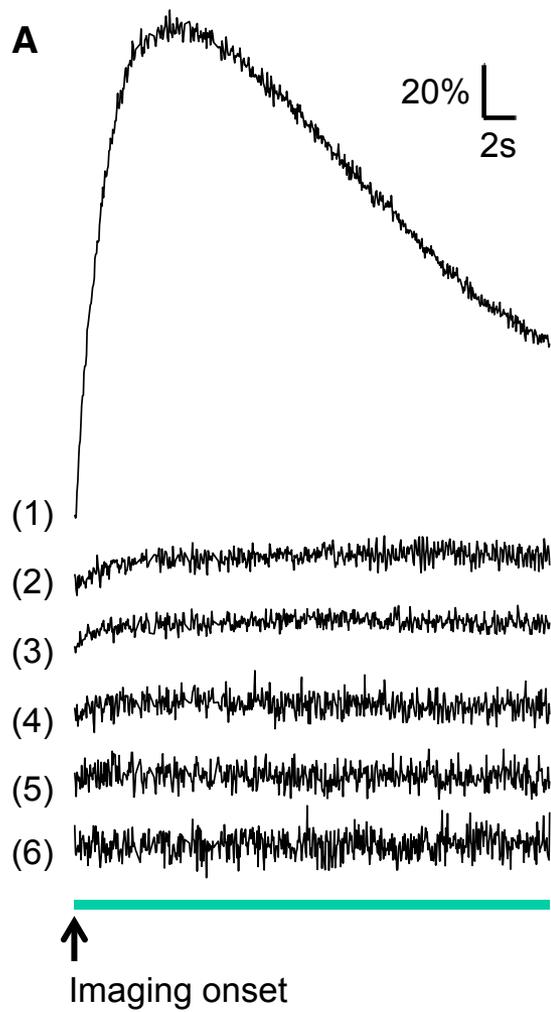
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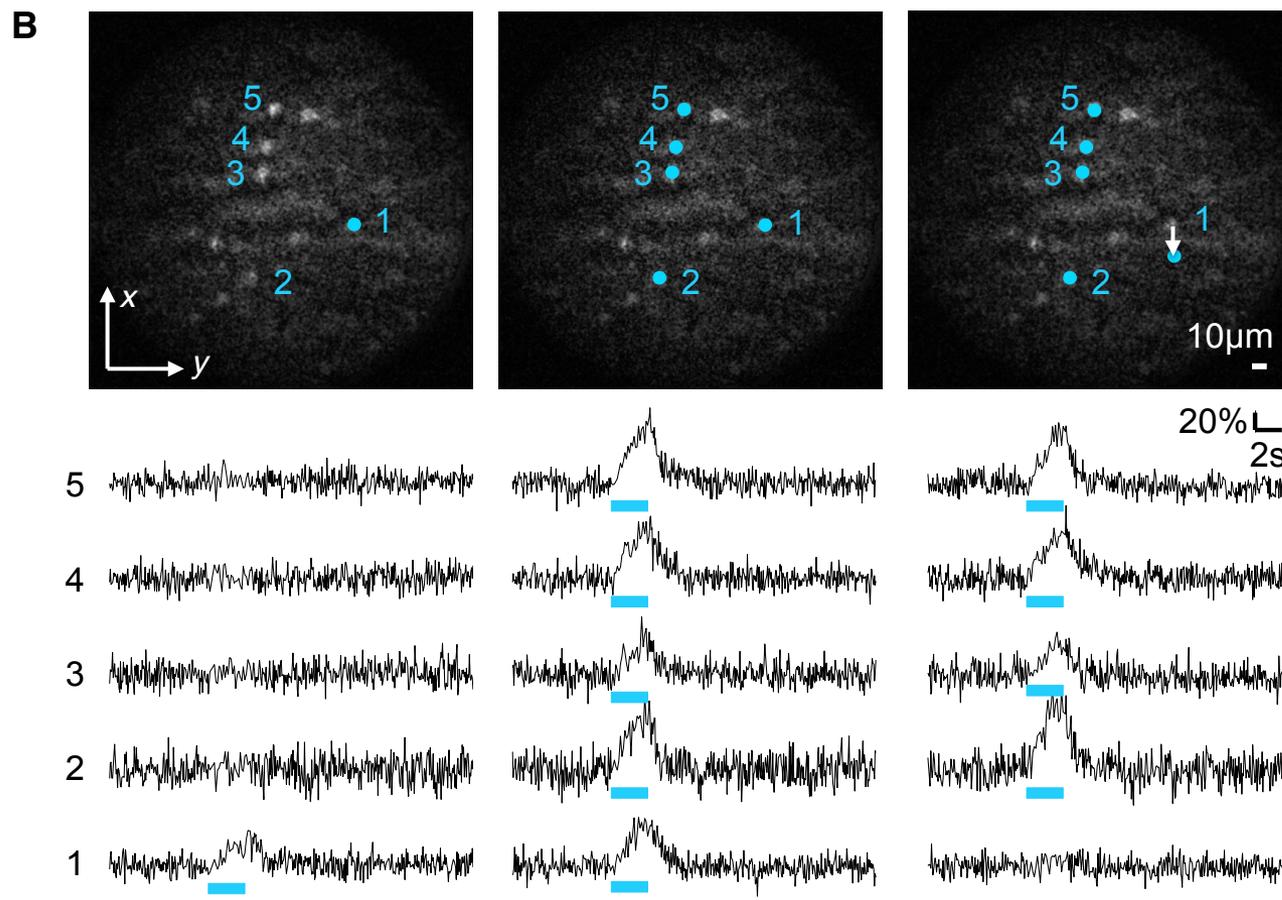
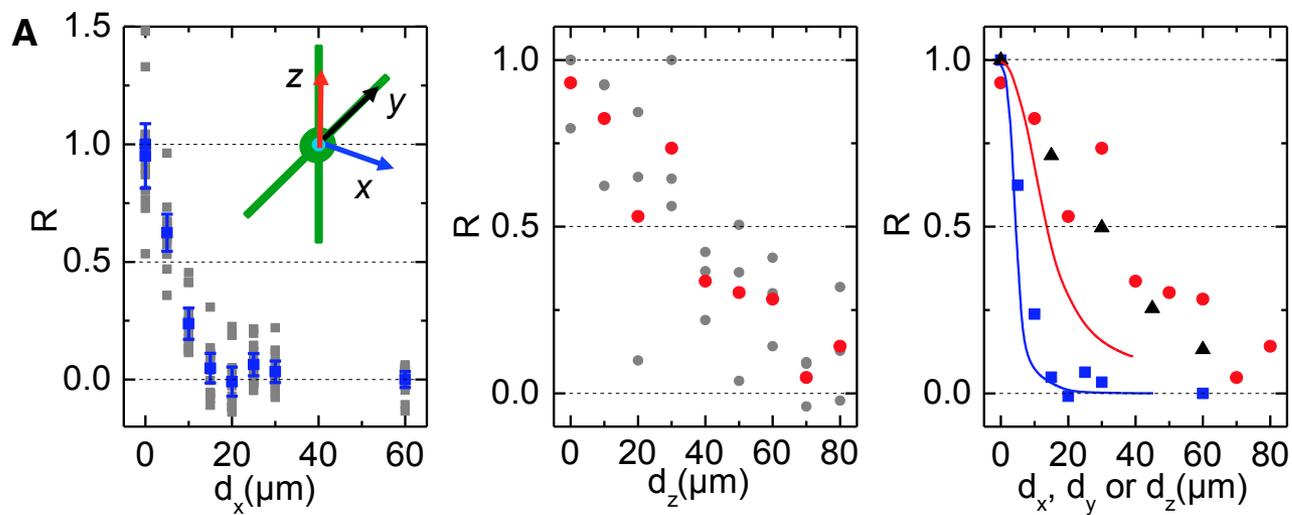
**Figure 1**



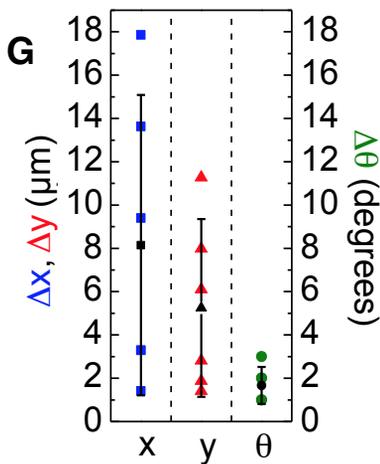
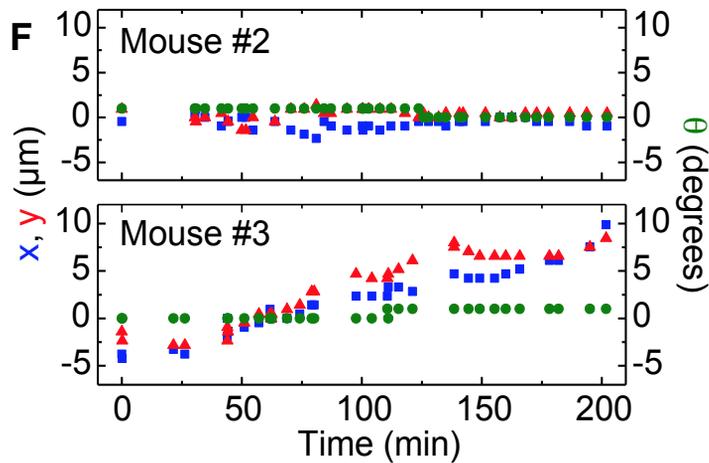
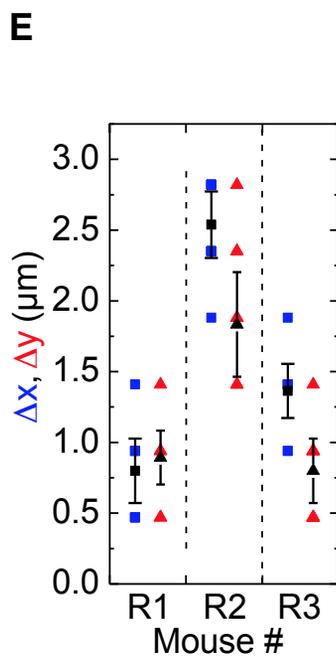
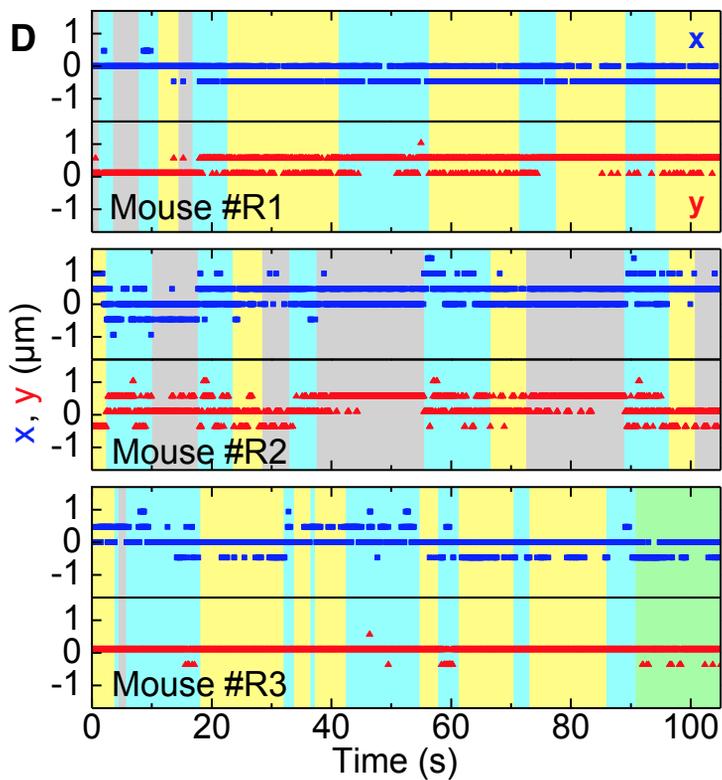
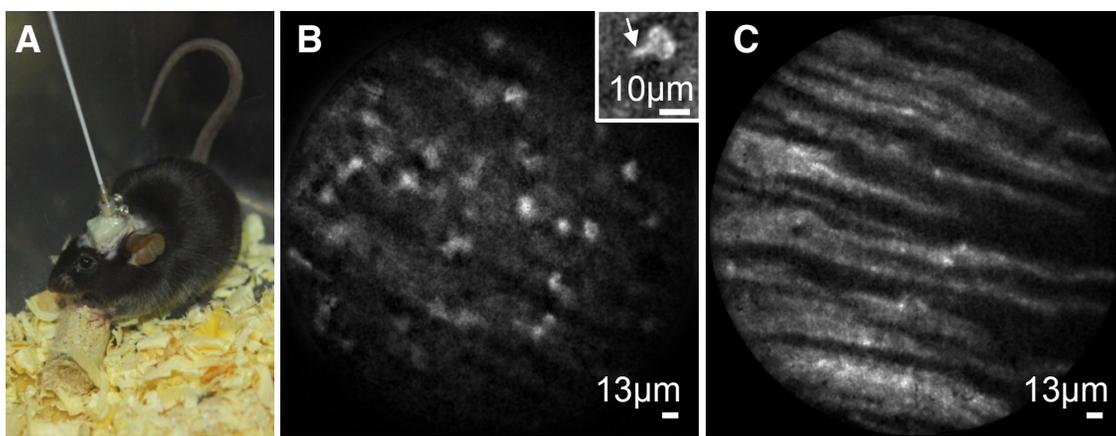
**Figure 2**



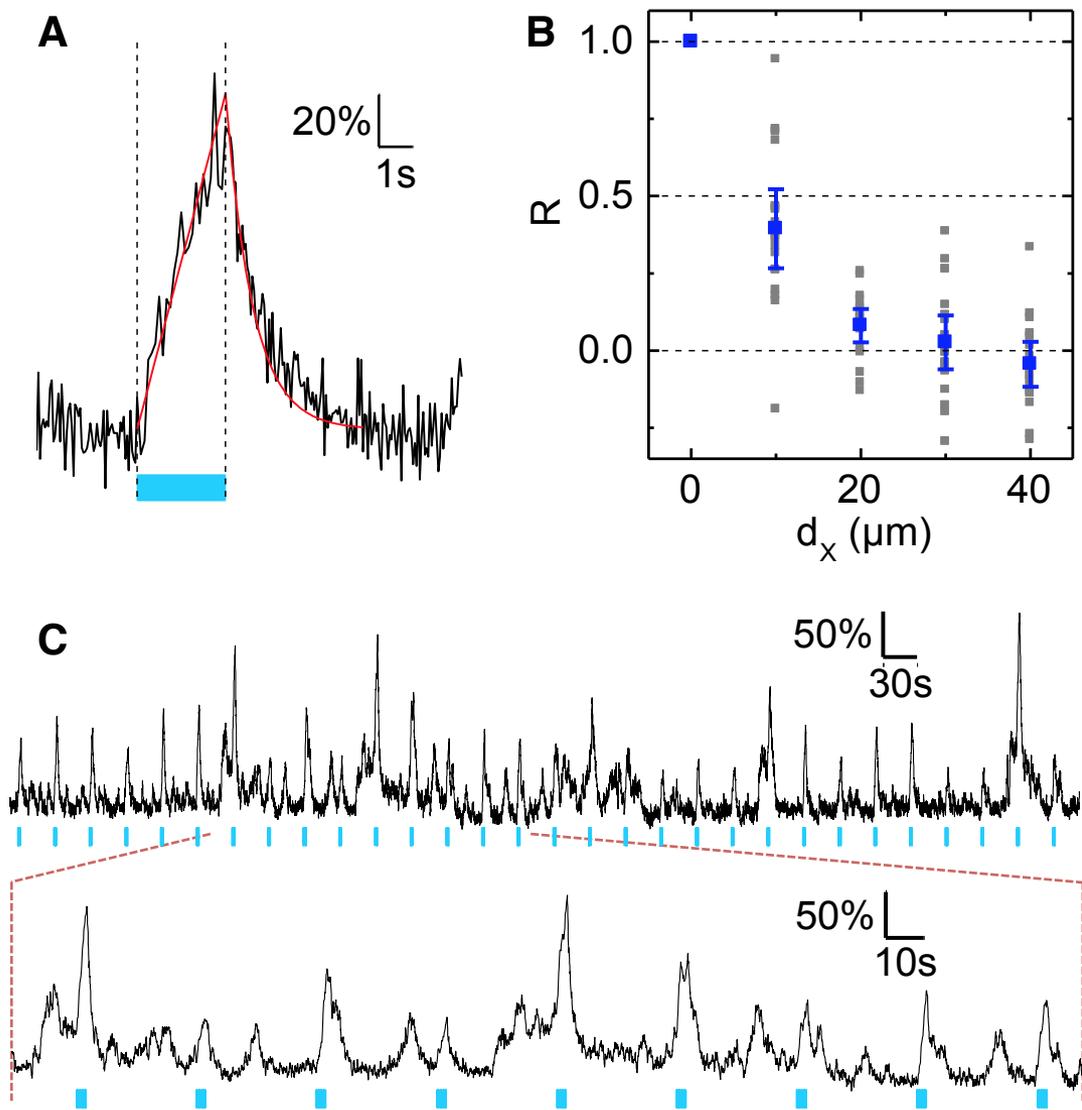
**Figure 3**



**Figure 4**



**Figure 5**



**Figure 6**