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Synthesis and characterization of phenolic resins based on pyrolysis bio-oil separated by fractional condensation and water extraction

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12 The combination of fractional condensation and water extraction methods is proposed 13 to produce phenol rich beech wood pyrolysis bio-oil fractions, which have great 14 potential to replace petroleum-based phenol in polymerization of novolac resin. The 15 polymerization of model phenol acetaldehyde (MPA) resins, mimic acetaldehyde 16 (mimic) resin which base on the composition of phenol compounds in bio-oil, bio-oil 17 acetaldehyde (BOA) resins were studied. MPA and mimic resins were used to 18 compare with the BOA resin to determine the feasibility of using bio-oil. Bisphenol A 19 type epoxy resin (DGEBA) was used, for the first time, as a formaldehyde-free cross-20 linker for bio-oil based novolac resins. The kinetic parameters of the curing reaction 21 with model-free methods were obtained using data from a differential scanning 22 calorimeter (DSC). The BOA resin showed curing activation energy that was close to 23 that of the phenol-acetaldehyde (PA) resin (95.5 and 94.9 kJ/mol by Kissinger 24 methods). The physicochemical and thermal properties of the novolac resins before 25 and after curing are compared, and the potential of treated bio-oil products to 26 effectively replace commercial phenols is demonstrated.

27

28 Keywords: Pyrolysis bio-oil novolac resin; Fractional condensation; Water extraction;

29 DGEBA epoxy resin; Curing kinetics.

30 Abbreviations:

- 31 ATR-FTIR: Attenuated total reflection Fourier-transform infrared spectroscopy
- 32 BOA: bio-oil acetaldehyde resin
- 33 BPF: bio-oil phenol formaldehyde resin
- 34 DCM: dichloromethane
- 35 DGEBA: Bisphenol A type epoxy resin
- 36 DPA: 2,6-dimethylphenol acetaldehyde resin
- 37 DSC: Differential scanning calorimeter
- 38 DTG: Derivative thermogravimetry
- 39 EGA: 4-ethylguaiacol acetaldehyde resin
- 40 GC-FID: Gas chromatography with flame ionization detector
- 41 GC–MS: Gas chromatograph-mass spectrometer instrument
- 42 GPC: Gel permeation chromatography
- 43 HMTA: Hexamethylenetetramine
- 44 HPLC: High-performance liquid chromatography
- 45 KOH: potassium hydroxide
- 46 M_w: average molecular weight
- 47 M_n: number average molecular weight
- 48 MCA: m-cresol acetaldehyde resin
- 49 Mimic: mimic phenol acetaldehyde resin
- 50 MPA: model phenol acetaldehyde resin
- 51 OCA: o-cresol acetaldehyde resin
- 52 OILS, OIL1, OIL2 and OIL3: pyrolysis oil products from the single condenser and
- 53 fractional condensation system (the first, second and third condenser, respectively)

- 54 OILSA, OIL1A, OIL2A, OILSWIA, OIL1WIA, and OIL2WIA: bio-oil acetaldehyde
- 55 resin using various bio-oil products
- 56 OILSWI, OIL1WI, and OIL2WI: water-insoluble fractions of bio-oil products
- 57 PA: phenol acetaldehyde resin
- 58 PF: phenol formaldehyde resin
- 59 PHMF: phenol–hydroxymethylfurfural resin
- 60 TPP: triphenylphosphine
- 61 TGA: Thermogravimetric analysis

62 **1. Introduction**

The pyrolysis of biomass like beech wood is one of the pivotal conversion pathways 63 64 used to obtain bio-oils from biomass in an eco-friendly and cost-effective way [1]. 65 Bio-oil is a mixture containing over 400 different chemical compounds, including 66 carboxylic acids, phenols, ketones, esters, alcohols, sugars, aldehydes, furans, amides, 67 alkenes, and alkanes, which lead to wide potential applications in various fields [2, 3]. 68 For instance, the main applications of bio-oils include their use as fuels, a source of 69 chemicals, binders, functional carbon materials, etc. [4]. Since the oxygen-containing 70 organics in bio-oil, including an abundance of simple phenols and oligomeric 71 polyphenols, are reactive towards polymerization, they can be applied as a substitute 72 for fossil phenols to produce such resins [1].

73 Since the discovery of phenol-formaldehyde (PF) resins, recognized as the 74 cornerstone of the plastics industry in the early twentieth century [5], the phenolic 75 resin has become an irreplaceable material that can be used in selective high 76 technology applications offering high reliability under severe circumstances [6]. It has 77 been widely used in electronics, adhesives, carbon foams, molding compounds, 78 thermal insulation materials, and composite materials among others, due to its low 79 cost, thermostability, and chemical durability [7]. However, traditional non-renewable 80 petroleum-based phenol and formaldehyde continue to have unfavorable effects on 81 the environment and human health. In recent years, it has become a trend to partially 82 or completely substitutes raw materials (phenol and formaldehyde) with sustainable 83 and environmentally friendly materials in the synthesis process [7, 8].

Under this premise, it is proposed to replace the phenol in commercial phenolic resin with the phenol fraction from bio-oils. The potential of pyrolysis products for use in phenolic resins is not a new concept, but the efficient and cost-effective reduction of

87 this to practice has been an elusive goal over many years [9]. According to the 88 complexity of the chemical family in bio-oil, a wide variety of phenolic compounds 89 will also complicate the polymerization reaction. Thus, there is still a need for a 90 process designed to extract a phenolic composition from such oils which is capable of 91 using as efficiently as petroleum-based phenols in the formation of phenol-92 formaldehyde resins and which is less expensive to produce [10]. Since pyrolysis oil 93 consists of diverse compound groups having a large range of dew points, fractional 94 condensation can be a simpler, lower cost, and more efficient approach to produce a 95 phenol-rich liquid products compared to untreated oil [11, 12]. The high-temperature 96 fraction mainly includes phenolic compounds and sugars. To separate the phenolic 97 compounds and sugars, the water extraction method has been proposed [13, 14]. 98 Sugars can be separated efficiently in the water-soluble fraction, whereas phenolics 99 remain in the water-insoluble fraction. In our previous work, the thermal stability of 100 water insoluble fractions from pyrolysis oils at room temperature is qualitatively 101 better than crude oil implying a good shelf life for the extract oils[15].

102 There are two types of phenolic resins, i.e., novolac and resol, which are synthesized 103 by acid or base-catalyzed reactions between phenols and aldehydes. Cheng et al. [16] 104 used the direct bio-oil obtained from Eastern white pine to partly replace phenol (0% 105 to 75%) in the synthesis of bio-oil-phenol-formaldehyde resol resins. When the 106 proportion of bio-oil is increased, the average molecular weight of the resin increases. 107 Novolac resin is almost unable to crosslink without a curing agent, which is allowed 108 to modify the novolac resin by melt blending with flexible thermoplastic polymers. In 109 order to improve the toughness of novolac resin, some curing agents were proposed. 110 Hexamethylenetetramine (HMTA), which provides a source of formaldehyde, was the 111 most traditional curing agent of novolacs, but it also has environmental concerns, as it 112 is susceptible to decomposition into ammonia and formal dehyde upon heating [5, 6, 8], 113 17, 18]. Therefore, HMTA can be replaced with an environment friendly curing 114 agents, such as epoxy, glyoxal, oxazolidine, solid resole, resorcinol, tannin, 115 organosolv lignin, and Kraft lignin [5, 19]. Among these curing agents, the cure 116 reaction of the biphenyl epoxy/phenol novolac resin system has been studied by many 117 scholars [20-22]. Novolac type phenolic resin was usually used as the curing agent of 118 epoxy resin by making use of the OH-epoxy reaction to produce low moisture 119 absorbing and void-free products for various electronic applications [23]. In addition, 120 Zhang et al. [24] used glucose to prepare a sustainable novolac-type phenol-121 hydroxymethylfurfural (PHMF) resin. Bisphenol A diglycidyl ether (DGEBA) was 122 used as a formaldehyde-free curing agent. By observing the kinetic parameters 123 obtained from differential scanning calorimetry (DSC), DGEBA resin proved to be an 124 effective cross-linker for curing PHMF by comparing it to HMTA [24].

125 In our previous work [15, 25], we used a drop tube reactor to produce beechwood bio-126 oil, which was then condensed in a three-stage condensation system (temperature set 127 as 110, 20 and -11 °C) to obtain three fractions of bio-oil. Based on both separation 128 and purification methods, many kinds of phenol rich bio-oil precursors can be chosen 129 by using: (1) the whole bio-oil to substitute petroleum-derived phenol, (2) the bio-oil 130 obtained from fractional condensation, or (3) the water-insoluble fractions of the biooil obtained from fractional condensation. In this study, the polymerization of phenol 131 132 models (phenol, o-cresol, 2,6-dimethylphenol, m-cresol, and 4-ethylguaiacol) were 133 studied to produce novolac model phenol acetaldehyde (MPA) resins. The mimic 134 phenolics based on the composition of phenolic compounds in bio-oil were studied to 135 produce novolac mimic acetaldehyde (mimic) resin. Bio-oil products obtained from 136 intermediate pyrolysis of biomass were added to synthesize bio-oil acetaldehyde

(BOA) resins. DGEBA was used for the first time as a formaldehyde-free cross-linker for bio-oil based novolac resins. The kinetic parameters of the curing reaction with model-free methods using data obtained from DSC were determined. The physicochemical and thermal properties of MPA, mimic, and BOA resins before and after curing were compared, and the potential of bio-oil products obtained from fractional condensation and water extraction to effectively replace commercial phenols was demonstrated.

144

2. Experimental section

145 2.1. Materials

Bio-oil was produced by the intermediate pyrolysis of beech wood (ETS Lignex 146 147 Company) in a drop tube reactor and a three-stage condensation system. The three 148 fractions of bio-oil, named OIL1, OIL2, and OIL3, were obtained at the condensation 149 temperature of 110, 20 and -11 °C, respectively. A single condensation condenser 150 (temperature set at -11 °C) was used to produce a pyrolysis oil product (OILS) under 151 the same conditions for comparison. The water-insoluble fractions (OILSWI, OIL1WI and OIL2WI) were collected by simply adding water (70 wt %) followed by 152 153 centrifugation at 4000 rpm for 20 min. Based on our previous study, since OIL3 154 mainly contains water and acids, and a very small amount of phenols [25], it did not 155 participate in the experiments of water extraction and resin synthesis. The selected 156 bio-oils for resin polymerization precursors are: OILS, OIL1, OIL2, OILSWI, 157 OIL1WI and OIL2WI.

158 Phenol (99%), o-cresol (99+%), m-cresol (\geq 98%), 4-ethylguaiacol (\geq 98%), 2,6-159 dimethylphenol (\geq 99%), acetaldehyde (99%, GC), HCl (37%), methanol (\geq 99.8%, 160 HPLC grade), ethanol (\geq 99.8%, HPLC grade), acetone (\geq 99%), potassium hydroxide 161 (KOH), pyridine (\geq 99.5%, GC), dichloromethane (DCM) (\geq 99.8%, HPLC grade),

acetic anhydride (\geq 99%), diglycidyl ether of bisphenol A (DGEBA) (\geq 99%), and triphenylphosphine (TPP) (\geq 95.0%) were purchased from Sigma Aldrich and used as received.

165 2.2. Synthesis of novolac resins

Polymerization tests of different phenolic precursors with acetaldehyde were carried out. Phenol models, including phenol, o-cresol, 2,6-dimethylphenol, m-cresol, and 4ethylguaiacol, were used alone and named as phenol acetaldehyde (PA), o-cresol acetaldehyde (OCA), 2,6-dimethylphenol acetaldehyde (DPA), m-cresol acetaldehyde (MCA), and 4-ethylguaiacol acetaldehyde (EGA) resin, respectively. A mimic phenolic resin, consisting of the above five model phenols was studied as a reference of bio-oil to produce a mimic phenol acetaldehyde resin (mimic resin).





Fig. 1. The overview procedure of the bio-oil phenol resin synthesis and analysis

The molar fraction of phenol models in mimic was based on the composition of these phenol compounds of the OIL1WI fraction, as shown in Table 1. Bio-oil products, including OILS, OIL1, OIL2, OILSWI, OIL1WI, and OIL2WI, were also used as the phenol precursors to synthesize BOA resins, which have different phenolic compounds concentration.

180 Fig. 1 shows the overview of the bio-oil phenol resin procedure. Table 1 shows the

181 molar fraction (mol.%) of the major phenolic compounds in the bio-oil products and

182 the total concentration of the phenolic compounds in each product which prepared for

- 183 the bio-oil synthesis calculations.
- 184

185 Table 1. Molar fraction (mol.%) determined by GC-FID for the detected phenolic compounds in oil and

186	the mimic composition (Average of triplicate runs with standard deviation $< 3\%$)
100	are minine composition (interage of anymetric family standard de station (2 %)

Dhanala in ail	mol. %						
Phenois in oil	OILS	OIL1	OIL2	OILSWI	OIL1WI	OIL2WI	mimic
phenol	1.03	1.20	1.21	3.45	2.47	2.26	19.73
o-cresol	0.35	1.20	1.23	1.40	3.26	1.32	26.04
m-cresol	0.63	0.94	0.60	3.23	1.91	1.33	15.26
2,6-dimethylphenol	0.65	1.10	0.63	2.41	1.86	2.39	14.87
2,4-dimethylphenol	1.25	3.59	1.04	4.02	5.06	4.96	-
phenol, 3-ethyl-5-methyl-	0.47	1.00	0.39	2.46	2.42	3.03	-
3-methylcatechol	0.34	2.62	0.31	1.89	2.57	1.23	-
catechol	0.87	2.88	0.47	1.61	3.14	0.68	-
4-methylcatechol	0.43	1.88	-	1.83	3.08	-	-
4-ethylcatechol	0.47	1.69	-	1.80	5.52	-	-
guaiacol	0.82	-	0.61	3.86	-	2.87	-
creosol	0.44	1.69	0.34	2.00	3.58	1.83	-
4-ethylguaiacol	0.43	1.59	-	1.80	3.02	-	24.12
syringol	0.63	3.06	0.51	2.70	4.71	1.97	-
isoeugenol	0.45	1.55	-	1.78	3.21	1.30	-
2,4-dimethoxyphenol	0.30	1.30	-	1.26	4.62	0.95	-
Phenol concentration /mmol. g ⁻¹	1.55	2.69	1.11	4.23	5.80	4.03	8.66

¹⁸⁷

All the resin synthesis experiments were started at atmospheric pressure. The resin schematic of the synthesis is shown in Fig. 2. The reaction was done in a 100 mL three-neck reactor with an oil bath preheated to a fixed temperature (80 °C) and

191 stirred with a magnetic stirrer. The reactor was equipped with a condenser and 192 nitrogen outlet in the middle neck, a nitrogen inlet, and a thermometer in two side 193 necks, respectively. After the phenol precursors melted, hydrochloric acid (HCl) was 194 added to obtain acidic conditions (1 mol.% HCl for phenol precursors for MPA and 195 mimic resins, 10 mol.% HCl for phenol precursors for BOA resins). An aldehyde to 196 phenol precursors molar ratio was 1:1.2, fixed for each experiment. Acetaldehyde was then added drop-wise through the addition funnel. The reaction mixture was heated 197 198 for 4 h with continuous stirring. After the reaction, the resin products were washed 199 with distilled water three times and vacuum dried at 125 °C for 24 h to remove the 200 unreacted monomer and acid. Each experiment was repeated three times, and the 201 average values of all the analysis were reported.



203

204

205 2.3. Characterizations

206 2.3.1. Determination of conversion of reactant and yield of resin

207 The resin products after drying were weighed to determine the yield, which was

208 calculated according to the following equation.

209
$$Yield (\%) = \frac{weight of final resin}{weight of reactant added} \times 100$$
(1)

210 The conversion rate of phenols in the polymerization reaction was calculated by Eq. 2.

211
$$Conversion (\%) = \frac{Mole \ of \ reactant \ converted}{Mole \ of \ reactant \ added} \times 100$$
 (2)

212 Two gas chromatography instruments were used to analyze the products: a gas 213 chromatograph-mass spectrometer instrument GC-MS (PERKIN ELMER Clarus 214 580/SQ8S) and NIST library to identify the phenol peaks and gas chromatography 215 with flame ionization detector GC-FID (Scion 456-GC Bruker instrument) to quantify 216 the components. The column was a VF-1701ms (Agilent) (60 m \times 0.25 mm \times 0.25 217 µm film thickness). The temperature setting procedure of the column and test method 218 was based on our previous study [25]. Pure reference phenolic compounds were used 219 for calibration. Standard solutions of each phenolic compound were prepared by dissolving them in acetone. Five-point straight line calibration curves (with $R^2 > 0.99$) 220 221 were established for these pure compounds using nonane as the internal standard.

222

223 2.3.2. HPLC

224 A high-performance liquid chromatography (HPLC) instrument Agilent 1100 was 225 used to quantify the phenolic oligomers in the MPA resins. Gradient conditions were 226 used: 50/50 methanol/water and then 100% methanol in 20 min, back to 50/50 in 0.5 227 min and for 5 min, a temperature of 30 °C, a flow rate of 1 ml/min, and a Kinetex 228 C18 250 mm \times 4.6 mm \times 5 µm column. The detector was set at 280 nm. For 229 identification of the oligomers, Liquid chromatography-mass spectrometry analysis 230 was done with ISQ Single Quadrupole Mass Spectrometer from Thermo Scientific. 231 Electrospray ionization (ESI) was used in negative mode.

232

233 2.3.3. ATR-FTIR

Attenuated total reflection Fourier-transform infrared (ATR-FTIR) spectroscopy was used to confirm the appearance of the organic functional group present in the resin samples. A SHIMADZU IRTracer-100 spectrometer with a resolution of 4 cm⁻¹ was used for analyzing the prepared samples. The spectrum was developed in reflectance mode with a wavenumber range of 450–4000 cm⁻¹.

239

240 2.3.4. Hydroxyl number

241 The hydroxyl number of the MPA, mimic, and BOA resins was measured by the 242 titration method [26], the number of hydroxyl groups determines the crosslinking 243 ability of the resin, which is also a very important characteristic of the novolac resin. 244 In a 250 mL round-bottom flask equipped with a condenser and a magnetic stirring 245 bar, 0.54 g of resin sample was mixed with 10 mL of acetylation mixture (1 V acetic anhydride and 10 V pyridine). The mixture was heated at 95 to 100 °C for 60 min. 2 246 mL of distilled water was then added. After 5 min, the funnel and neck of the flask 247 248 were washed with 70 mL of cold water, which was then and collected into the flask. A 249 blank was run under same condition without adding a resin sample. The resin sample 250 and blank solution were titrated with a 0.5 mol/L potassium hydroxide ethanol 251 solution using a pH meter to follow the pH value of the solution until the pH = 13. 252 The hydroxyl number (OHN) was calculated by using Eq. 3.

253
$$OHN = \frac{(V_b - V_S) \times C_{KOH}}{m_s}$$
(3)

254

 $V_s = Consummated volume (ml) of 0.5 mol/L potassium hydroxide solution in the main test$

 V_{b} = Consummated volume (ml) of 0.5 mol/L potassium hydroxide solution in the blank test

257 C_{KOH} = The molar concentration of 0.5 mol/L potassium hydroxide solution

 $258 \quad m_s = \text{Sample weight (g)}$

260 2.3.5. Gel permeation chromatography (GPC)

261 Molecular weight distribution was monitored by gel permeation-chromatography by 262 a Waters Breeze gel permeation chromatography (Waters, Milford, MA, 1525 binary 263 HPLC pump, RI detector at 270 nm, Waters Styrange HR1 column at 40 °C) with 264 DCM as the eluent at a flow rate of 1 mL/min and polystyrene as the calibration 265 standard. To improve the solubility of resins in the DCM solvent, all of the resin samples were subjected to acetylation by the dissolution of 0.2 g of resin in 10 mL of 266 267 a mixture (1: 1 v/v) of pyridine and acetic acid followed by magnetic stirring at room 268 temperature for 24 h. The acetylated products were obtained by precipitation in an 269 ice-cooled 1.0 wt% HCl solution and then filtered, rinsed thoroughly with distilled 270 water until pH = 7, and vacuum-dried at room temperature for 12 h [16].

271

272 2.3.6. Differential scanning calorimetry

The thermal behavior and stability of the resins was conducted on a differential scanning calorimetry (DSC) TA Q1000 instrument using about 5 mg of sample in a sealed hermetic pan and nitrogen purge gas at 50 mL/min. Samples were cycled between 25 and 180 $^{\circ}$ C at a heating/cooling rate of 5 $^{\circ}$ C/min. After that, the glass transition temperature (Tg) was measured.

278

279 2.3.7. Thermogravimetric analysis

Thermogravimetric analysis (TGA) was used to monitor the thermal degradation of the uncured and cured resin. By using a TA Q600 TGA instrument, all the samples (5–10 mg) were analyzed with a heating rate of 10 °C/min from room temperature to 900 °C and a 5 min plateau at 105 °C.

285 2.4. Curing treatment

286 The curing kinetics of the model phenol, mimic, and OIL1WIA resins were also 287 studied using DSC. Firstly, the vacuum dried resin samples were dissolved in acetone 288 and uniformly mixed with a certain percentage of the curing agent DGEBA and 2%289 TPP at room temperature for 12 h to evaporate all the solvent. Then, a sample of 4–5 290 mg of the mixture was placed in an aluminum hermetic crucible and heated in a 291 nitrogen environment from 25 °C to 250 °C at various heating rates to obtain the 292 heating cycles. The onset temperature of curing and curing energy was determined 293 from the heating cycle. Through optimization of the amount of DGEBA, 40% of 294 DGEBA was selected to obtain a higher T_g (97.2 °C) of the resin as well as a curing 295 reaction with a lower onset and a lower peak temperature.

The resin was thermally cured in the oven after mixing it with DGEBA. The curing temperature program was set at 120 °C for 30 min, 150 °C for 30 min, and 180 °C for 1 h [24].

299

300 3. Results and discussion

301 3.1. Model phenol resins

302 3.1.1. Resin synthesis and chemical characterization

Model phenols (phenol, o-cresol, 2,6-dimethylphenol, m-cresol, and 4-ethylguaiacol) and mimic were selected as phenol precursors for phenolic resin synthesis, and their properties were compared. After resinification and purification, the obtained PA resin has a dark amber color. The color of the model phenol resins like OCA and MCA is close to PA, whereas the resins like DPA, EGA, and mimic are darker than PA. The conversion of phenol models after 1, 2, 3, and 4 h are shown in Fig. 3.a. It is clear that the reaction of phenol, o-cresol, and m-cresol was very fast and largely converted in the first hour. The final conversion was more than 88%. However, 2,6dimethylphenol and 4-ethylguaiacol had a relatively slower reaction, and the final conversions were 86.70% and 18.80%, respectively. Table 2 shows the yield of the model phenol resins. The yields of PA, OCA, and MCA are similar, whereas the yield of DPA and EGA showed significantly lower values than the other resins. The yield of EGA is only 15.5% due to its unreactive alkyl and methoxy groups.

The mimic of OIL1WI was a mixture made by these five phenol models, and the 316 317 conversion is shown in Fig. 3.b. Compared to separate reaction, phenol models in the 318 mimic (such as phenol, o-cresol, and m-cresol), had a relative low reaction rate in the 319 first hour, whereas the final conversion of them was promoted. Moreover, the 320 conversion of DPA and EGA increased, which indicated that the possibility of the 321 mutual promotion mechanism of phenolics, the cross-linking reaction of more reactive 322 phenols and less reactive phenols occurred. At the same time, it can be further 323 speculated that the polymerization reaction between the small molecules and various 324 phenols in the bio-oil will accelerate the overall reaction.

Resin name	Phenol monomer	Molar mass (g/mol)	Yield (wt %)	Hydroxyl number (mmol/g)	Theoretical hydroxyl number*
РА	phenol	94.11	82.21	8.56	8.33
OCA	o-cresol	108.14	82.17	7.67	7.45
MCA	m-cresol	108.14	83.39	7.78	7.45
DPA	2,6-dimethylphenol	122.16	76.06	6.18	6.75
EGA	4-ethylguaiacol	152.19	15.50	4.41	5.61

325	Table 2. The	phenol monomer	used, yi	ield and hy	ydroxy	l number o	of the M	IPA resins
-----	--------------	----------------	----------	-------------	--------	------------	----------	------------

 ^{*} Calculated from 1000/ (molecular mass of phenolic monomer + 26). 26 results from the addition of 1
 molecule of acetaldehyde and the elimination of 1 molecule of water per molecule of phenolic
 monomer.



Fig. 3. The conversion of a. individual phenol models during the 4 h reaction with acetaldehydeb. the phenolics in the mimic resin during the 4 h reaction with acetaldehyde

332 The FTIR spectra is shown in Fig. 4 illustrated the changes in the functional groups in the resins. The stretching modes at 2964, 1600, 1512, and 1450 cm⁻¹ of the resins 333 334 are attributed to the methyl group (-CH₃), aromatic C=C, and methine (-CH-), 335 respectively, which indicated the condensation reaction between the aldehyde in 336 acetaldehyde and the phenol to form -CH(CH₃)- linkages. All the peaks appearing 337 here illustrated the successful synthesis of the MPA resins. The absorptions at 1050 cm⁻¹ are due to C–O–C stretching, which revealed the methoxy of guaiacols, and EGA 338 339 showed a strong absorption here. The wide absorbance band at around 3300 cm^{-1} was due to O-H stretching, and the peaks located in the range of 1210 cm⁻¹ were assigned 340 341 to the C-O stretching of aromatics. Both of these peaks were assigned to the stretching 342 vibration of active phenolic hydroxy in the resin structure, and they are clear and 343 strong in all the MPA resins, whereas the peak of EGA is weaker than the others.



345

Fig. 4. FTIR graph of model phenol resins

The hydroxyl number of the resins can be more accurately quantified by titration method is shown in Table 2. And the rank of hydroxyl number of MPA resins was: PA > MCA > OCA > DPA > EGA. These results confirmed the results observed in the FTIR spectra.

The oligomers in the resin samples were separated and identified by LC-MS [27]. As

351 shown in Fig. 5, the monomer, dimer, trimer, tetramer, and pentamer were separated

thoroughly and clearly were present in all the MPA resins. (2.92%, 41.99%, 24.47%,

353 18.51% and 12.11% for PA resin, respectively). However, the methoxy and alkyl

354 groups in the model phenols reduced the solubility of the oligomers in the resins. As a

355 result, their retention time was delayed compared with PA.



Fig. 5. Liquid chromatograms of model phenol resins.

357

356

358 3.1.2. Thermal Characterization of MPA resins

The DSC, TGA, and DTG specific results, including the glass transition temperature (T_g), the initial decomposition temperature (5% weight loss- T_{d5}), the temperature of maximum decomposition rate (tallest peak of the thermogram derivative- T_{max}), and the char residue formed at 800 °C (R_{800}), were determined and are presented in Table 3.

The T_g values of the novolac resins are in the range of 44 to 68 °C. A big difference between the MPA resins was observed; in particular, PA has the largest T_g value (67.7 °C). The low degree of polymerization decided the relatively low T_g value of EGA.

The thermal stability of the non-volatile content of the resins was also evaluated by TGA. As shown in Table 3, the 5% weight loss of resin made by o-cresol, 2,6dimethylphenol, and 4-ethylguaiacol was higher than that made by phenol. Furthermore, the tallest peak of the thermogram derivative also reflected the reaction degree of the resins. The feedstock that has more substituents on the aromatic ring is difficult to react such as 4-ethylguaiacol and 2,6-dimethylphenol, and their T_{max} is

374 relatively lower (220.0 °C and 279.5 °C, respectively).

Table 3. Glass transition temperature (T_g) , initial decomposition temperature (T_{d5}) , the temperature of

376 maximum decomposition rate (T_{max}), and char residue formed at 800 °C (R_{800}) of the MPA resins

Resin name	Phenol monomer	T_g (°C)	T_{d5} (°C)	T_{max} (°C)	$R_{800}(\%)$
РА	phenol	67.7	189.9	351.8	9.56
OCA	o-cresol	65.0	198.4	352.6	10.81
MCA	m-cresol	51.3	187.3	333.9	4.33
DPA	2,6-dimethylphenol	51.4	197.9	279.5	5.09
EGA	4-ethylguaiacol	44.5	202.5	220.0	9.59

377

378 3.2. Bio-oil acetaldehyde resin

379 3.2.1. Resin synthesis and characterization

Bio-oil fractions were selected as phenol precursors for bio-oil phenolic resin synthesis. A series of comparisons between traditional PA resin, mimic resin, and BOA resin was carried out. After purification, the obtained mimic resin has a deep amber color, darker than PA. All the BOA resins have a deep black color.

384 Fig. 6 shows the yield and yield by phenol for different resins based on phenol 385 models, mimic, and bio-oil fractions. The yield of resin reflected the degree of the 386 polymerization reaction. The difference between "yield" and "yield (phenol)" is based 387 on the calculation method of the reactant: the mass of bio-oil for "yield" and the mass 388 of phenols in bio-oil for "yield (phenol)". The relationship between "yield" and "yield 389 (phenol)" indirectly indicated the cross-polymerization of chemical compounds other 390 than phenols. It is shown that the "yield (phenol)" of BOA resins is higher than that of 391 "yield". This extra part of yield indicates that the cross-polymerization of compounds

392 such as aldehydes and ketones in the bio-oil took place. Correspondingly, using 393 fractional condensation, the bio-oil showed a higher yield (phenol) compared to the 394 bio-oil water-insoluble fractions as the phenol source to product phenol resin. The 395 water extraction step efficiently removed small reactive compounds, promoting a 396 larger proportion and a higher concentration of phenol compounds in the water-397 insoluble fraction. Furthermore, compared with OILSWIA and OIL2WIA, the two 398 yields of the OIL1WIA resin are the closest, indicating that the resin properties of 399 OIL1WIA resin are closer to those of PA resin. The chemical compounds in the 400 OIL1WI and OIL1WIA resins were identified by GC/MS analysis, as shown in Fig. 7. 401 Phenolics were the major components identified in the OILWI. After the resinification, 402 most of these identified phenolics have reacted. Indeed, only a few phenolics were 403 detected in the OIL1WIA resin, such as phenol, 2,4-dimethyl-, phenol, 2,4,6trimethyl-, phenol, 3-ethyl-5-methyl-, phenol, 2,6-dimethoxy- and some newly 404 405 synthesized esters.



Fig. 6. The yield of PA, mimic, and BOA resins







Fig. 8. FTIR graph of the PA, mimic, and OIL1WIA resin and bio-oil

409 Fig. 8 shows the FTIR spectra comparing the changes in the functional groups of the 410 PA, mimic and OIL1WIA resins. It is obvious that the stretching at 2964, 1600, 1512, 411 and 1450 cm⁻¹, indicating the form of the -CH(CH₃)- linkages, increased in the 412 OIL1WIA resin compared with the bio-oil (OIL1WI). The mimic, and OIL1WIA resin have strong absorption at 1050 cm⁻¹ due to the C–O–C stretching of guaiacols. 413 The signals at 871, 825, as well as 760 cm⁻¹ were belonged to the out-of-plane 414 415 bending of aromatic C–H bonds [28], and it was stronger in the PA resin than in the mimic and OIL1WIA resins. The band at 1702 cm⁻¹ was correlated with the aldehyde 416 417 group in the bio-oil according to the incomplete separation of the families with the C=O group. The peaks at around 3300 cm^{-1} and 1210 cm^{-1} were assigned to the O-H 418 419 and C-O of the phenolic hydroxy group in the resins. They became stronger in the PA 420 resin than in the mimic resin as well as in the OIL1WIA resin. According to the 421 titration method, the hydroxyl number of the PA and mimic resins is higher than the 422 OIL1WIA resin, as shown in Fig. 9. Furthermore, using the water extraction fraction 423 of the bio-oil as the phenol precursor conserved more hydroxyl groups than the 424 untreated oil product, and OIL1WI is more suitable to produce the phenol resin 425 among the bio-oil products to achieve characteristics approaching that of the PA resin. 426 It also determined the higher crosslinking ability of the OIL1WIA resin compared 427 with other bio-oil based resin.

The molecular weight distribution of all resins was obtained by the GPC analysis, as shown in Table 4. The GPC profiles of the OIL1WIA resin (Fig. 10) displayed a broader molecular weight distribution than that of the PA and mimic resin and had higher weight average molecular weight (M_w) and number average molecular weight (M_n) values ($M_w = 3494$ g/mol, $M_n = 1136$ g/mol, polydispersity = 3.08). The reaction of phenols and aldehydes generally occurs in the ortho-para position of phenolic 434 hydroxyl groups, and the reactivity of phenol models decreased with the increase in the substituents [29]. Since some of the ortho and para positions of the phenolic 435 436 hydroxyl groups were occupied by the methoxy group, the polymerization of 4-437 ethylguaiacol, for example, became more complicated due to steric hindrance. Unlike 438 a real bio-oil which contains many small molecules and other more reactive phenols 439 such as catechol, the composition of the mimic only contains five kinds of phenols, 440 leading to a gap in characteristics. Hence, the phenolics in the mimic resin have fewer 441 reactive positions per molecule than the phenol, leading to similar values of M_w and M_n for the PA and mimic resin, while the molecular weight distribution of mimic 442 443 resin was slightly lower than the PA resin. Presumably, there are more unreacted 444 active sites in the mimic resin.



Fig. 9. The hydroxyl number of the resins

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Resin	M _n (g/mol)	M _w (g/mol)	Polydispersity
PA	831	1204	1.45
mimic	731	1147	1.57
OIL1WIA	1136	3494	3.08

446 Table 4. GPC of the PA, mimic and OIL1WIA resin

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452 The thermal analysis results from DSC, TGA, and DTG (T_g , T_{d5} , T_{max} , and R_{800}) are 453 shown in Table 5. For clear observation and comparison, the figures of the PA, mimic, 454 and OIL1WIA resins are shown in Fig. 11. 455 It is clear that water extraction upgrading of the bio-oil can effectively improve the Tg 456 value of the BOA resins (DSC). The T_g value evolves according to the following 457 sequence: PA > mimic > OIL1WIA resin. Moreover, the T_g of the PA and mimic 458 resin is clear and sharp, whereas the bio-oil resin has a relatively lower glass transition 459 temperature and the changes are moderate. The curve of the OIL1WIA resin is not as 460 stable as the other two resins, while it has a slight rise when the temperature increases. The bio-oil contains some unreacted small compounds and phenolics, which increases 461 462 the heterogeneity, and some plasticization reactions occurred simultaneously, making 463 the OIL1WIA resin a very complex structure according to its molecular distribution 464 mentioned above [30].

465

466 Table 5. Glass transition temperature (T_g) , initial decomposition temperature (T_{d5}) , temperature of 467 maximum decomposition rate (T_{max}) , and char residue formed at 800 °C (R_{800}) of the resins

Resin name	Phenol monomer	T_g (°C)	<i>T</i> _{d5} (°C)	T_{max} (°C)	$R_{800}(\%)$
РА	Phenol	67.7	189.9	351.8	9.56
mimic	Model phenols	63.6	181.6	338.7	5.62
OILSA	OILS	42.7	172.9	254.9	30.29
OIL1A	OIL1	43.3	200.0	291.7	32.24
OIL2A	OIL2	43.5	175.4	232.1	26.95
OILSWIA	OILSWI	58.9	199.7	332.1	29.35
OIL1WIA	OIL1WI	57.0	209.8	345.9	31.41
OIL2WIA	OIL2WI	57.3	205.4	336.4	30.90

468



470 Fig. 11. A. DSC, B.TG and DTG profiles of the uncured PA, mimic, and OIL1WIA resin 471 The thermal stability of the non-volatile contents of the resins was also evaluated by 472 TGA. As shown in Table 5, the 5% weight loss temperature of the resin made by the 473 bio-oil water-insoluble fractions is higher than that of the bio-oils due to their higher 474 reactivity demonstrated after separation. The mimic resin, which contains a large 475 percentage of 4-ethylguaiacol and 2,6-dimethylphenol, has a relatively small T_{max} 476 compared to the PA resin due to its incomplete polymerization. The GPC result also 477 confirms that the molecular weight of the mimic resin is lower than that of the PA 478 resin. In addition, using the water extraction bio-oil fractions improved the thermal 479 characteristic of the resin: the OIL1WIA resin had the highest T $_{max}$ (345.9), which is 480 the closest to the PA resin among all the BOA resins. As shown in Table 5, the 481 residual carbon content at 800 °C for the BOA resins was higher than for the MPA 482 resins (comp. Table 3). This was likely caused by the cross-linking of numerous side 483 chains from the bio-oil molecules [30]. In summary, the OIL1WIA resin with the 484 feedstock of bio-oil displayed a comparable thermal resistance to that of the pure PA 485 resin.

486 3.3. Resin curing kinetics

The kinetic study of the resin curing was realized based on the DSC thermograms
obtained at different heating rates (5, 10, 15, and 20 K/min) from 25 to 250 °C. The
PA, mimic, and OIL1WIA resins were cured with 40 wt% DGEBA.

490 As shown in Table 6, all the temperatures of the curing reactions are between 100 and

491 160 °C. The mimic and BOA resins have a relatively lower initial onset temperature 492 compared to PA, but the ranking of the peak temperature is: mimic<PA<OIL1WIA 493 resin. The use of a catalyst, such as triphenylphosphine (TPP), promoted this phenol-494 epoxy reaction, which is an adverse reaction. Furthermore, the chain-wise 495 polymerization mechanisms of the novolac/DGEBA curing system using TPP as a 496 catalyst included three main reaction steps: initiation, propagation, and branching [22]. 497 The reaction mechanisms above are more adapted to the PA resin, while the reactions 498 of DGEBA with the mimic as well as the OIL1WIA resin are more complex due to 499 the existence of small compounds [20].

Resin type		Heating rate (K/min)				Activation energy (kJ/mol)		
		5	10	15	20	Kissinger	Flynn– Wall– Ozawa	n
DA	Onset temp (°C)	107.7	117.9	124.4	126.1	04.0	96.8	0.05
PA	Peak temp (°C)	134.8	144.0	150.5	154.4	94.9		0.95
Mimio	Onset temp (°C)	100.8	106.3	113.5	114.3	80.0	82.4	0.04
winne	Peak temp (°C)	118.8	130.2	136.0	140.3	80.0		0.94
OIL1WIA	Onset temp (°C)	100.9	110.8	119.9	120.5	05.5	07.4	0.05
	Peak temp (°C)	138.4	147.5	154.8	157.8	93.3	97.4	0.93

500	Table 6. The kinetic	parameters of the PA, mimic, and	d OIL1WIA resin curin	g with 40% DGEBA
		•		

502 The non-isothermal DSC analysis is widely applied to study the curing behavior and 503 kinetics for resin and polymer synthesis [20, 22, 24]. The results obtained from the

504 DSC measurements are then used to evaluate the kinetic parameters according to the 505 model-free methods of Kissinger, Flynn–Wall–Ozawa (FWO), and Crane equations, 506 which are illustrated by the following equations (4), (5), and (6) shown below [<u>16</u>, <u>24</u>, 507 <u>31</u>].

508 Kissinger equation
$$ln\left(\frac{\beta}{T_P^2}\right) = ln\left(\frac{AR}{E_a}\right) - \frac{E_a}{RT_P}$$
 (4)

509 Ozawa equation
$$lo g \beta = -\frac{0.4567E_a}{RT_P} + C$$
 (5)

510 Crane equation $\frac{dln\beta}{d(1/T_P)} = -\frac{E_a}{nR}$ (6)

where β is the heating rate (K/min), T_p is the temperature of the maximum exothermic 511 512 peak (K), E_a is the activation energy (J/mol), R is the gas constant (8.314 J/mol/K), A 513 is the pre-exponential factor (min^{-1}) , C is a constant, and n is the order of the cure reaction. E_a and n can be calculated by plotting $\ln(\beta/T_p^2)$ against $1/T_p$, log β versus 514 $1/T_{p}$, and ln β versus $1/T_{p}$, respectively. Table 6 and Fig. 12 show the values of E_a, n, 515 and the correlation coefficient ($R^2 > 0.99$). The curing reaction for all of the resins 516 517 was approximately first order (n = 0.94 - 0.95), which is following previous studies 518 [16, 31]. The mimic resin has the lowest value of E_a due to the lowest M_n and M_w , 519 based on the GPC result, which means it contains a lot of oligomers that facilitate crosslinking and curing with the epoxy groups. Additionally, the Ea value of the 520 521 OIL1WIA resin is slightly larger than that of PA; this might be because the bio-oil 522 contains oligomers with a wide range of molecular weights, which have less 523 unoccupied reactive sites, and it is difficult for the hydroxyl group to participate in the 524 curing reaction [16].





acetaldehyde novolac resin can be a reasonable method to obtain a resin with a similarcuring condition.

533

534 3.3.2 Characterization of the cured novolac resins

535 FTIR spectra were also acquired to confirm the chemical structural characterization 536 of the cured resin, as shown in Fig. 13. The pure DGEBA and the mixture of the 537 OIL1WIA resin and DGEBA were used to study the curing reaction of the OIL1WIA 538 resin. It is clear that the absorption band at 855 and 911 cm⁻¹ corresponding to the 539 characteristic peak of the oxirane ring was absent in the uncured and cured resins. In 540 contrast, a strong and sharp peak was observed for pure DGEBA, which decreases when it is mixed with the OIL1WIA resin [32, 33]. After the curing procedure, the peak disappeared due to the reaction, indicating that almost all the epoxy groups were consumed in the curing process [34]. The increased absorption at 1030 cm⁻¹ was due to the C–O–C stretching, which also confirmed the presence of the ether groups in the cured resin.

- 546 Also, both the wide peak around 3363 cm^{-1} (O-H stretching) and the peaks located in
- 547 the range 1210 cm^{-1} (C-O stretching) indicate the reduction of the hydroxyl group.
- 548 All the changes confirmed the curing mechanism: the reaction of phenol hydroxyl and
- 549 the epoxy groups, as mentioned above.



550 Fig. 13. FTIR spectra of the uncured OIL1WIA resin, cured OIL1WIA resin, the mixture of the

OIL1WIA resin and DGEBA, and DGEBA

552 Thermal stability is very important as a performance criterion of the cured resin and 553 will ultimately affect its application value. The thermal stability of resins cured with 554 40% DGEBA was studied (Table 7). Compared to the thermal stability of the novolac 555 resin, a significant improvement was obtained after curing. At the same DGEBA 556 content, the cured PA, mimic, and OIL1WIA resin showed the initial and highest 557 thermal decomposition temperature and char yield at 800 °C after curing. However, 558 the trend of the initial thermal decomposition temperature of cured resins had some 559 differences compared with the uncured one in that the $T_{d (5\%)}$ of the PA and mimic 560 resin is higher than the OIL1WIA resin. Through curing, all resins are more stable, 561 and the increase in the residue also explains the occurrence of cross-linking reactions. 562 Table 7. Initial decomposition temperature (T_{d5}), the temperature of maximum decomposition rate

563

 (T_{max}) , and char residue formed at 800 °C (R₈₀₀) of the cured resins

Docin	<i>T</i> _{d5} (°	C)	T_{max} (°C)	$R_{_{800}}(\%)$		
Kesin	uncured	cured	uncured	cured	uncured	cured	
РА	189.9	323.5	351.8	422.0	9.6	12.0	
mimic	181.6	294.0	338.7	414.5	5.6	11.5	
OIL1WIA	209.8	275.3	345.9	389.6	31.4	34.4	

564

565 **4. Conclusion**

566 The polymerization of acetaldehyde with phenol models, mimic, and bio-oil products 567 from fractional condensation (OIL1 and OIL2) and water extraction (OIL1WI and 568 OIL2WI) were all successful, as confirmed by FTIR spectra, HPLC, GPC, and GC 569 analysis. The improved thermal stability of the DGEBA cured OIL1WIA resin 570 demonstrated the potential feasibility of using pyrolysis oil upgraded by water571 extraction to replace commercial phenol for producing a bio-based green material.

The relationship between "yield" and "yield (phenol)" indirectly indicated that additional cross-polymerization occurred when bio-oil is used as a phenol precursor. Among all the BOA resins, the "yield" and "yield (phenol)" of pyrolysis bio-oil from the high-temperature condenser obtained by fractional condensation and water extraction (OIL1WIA) are closer and its yield is closer to that of PA than the other resins thanks to its high content of phenolic compounds. OIL1WIA resin has thermal properties close to the PA resin.

579 DSC and FTIR analysis put in evidence that the more environmentally friendly and 580 effective curing agent, bisphenol A type epoxy (DGEBA), was used efficiently as 581 formaldehyde-free cross-linker for bio-oil based phenol resins. The curing of the 582 OILWIA resin and DGEBA started earlier than the PA resin (100.9 and 107.7 °C), 583 whereas the peak temperature has an opposite tendency at around 134.8 and 138.4 °C. 584 The curing activation energy (E_a) of PA and OIL1WIA resin are close.

585

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