

# Personalized medicine for allergy treatment: Allergen immunotherapy still a unique and unmatched model

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### Personalized Medicine for allergy treatment: allergen immunotherapy still a unique and unmatched model

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Allergy

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In this revision authors made significant changes and improvements in the manuscript and they included some missing and most recent data to increase the quality of the paper. Im satisfied with the answers and replies to my questions.

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RESPONSE: We thank the Reviewer for his/her appreciation.

Best regards,

Enrico Heffler (on behalf of all my co-Authors)

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# Personalized Medicine for allergy treatment: allergen immunotherapy still a unique and unmatched model.

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### 99 Abstract

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The introduction of personalized medicine (PM) has been a milestone in the history of 100 5 6 101 medical therapy, because it has revolutionized the previous approach of treating the 7 8 102 disease with that of treating the patient. It is known today that diseases can occur in 9 10 103 different genetic variants, making specific treatments of proven efficacy necessary for a 11 given endotype. Allergic diseases are particularly suitable for PM, because they meet the 12 104 13 14 105 therapeutic success requirements, including a known molecular mechanism of the <sup>15</sup> 106 disease, a diagnostic tool for such disease, and a treatment blocking the mechanism. The 16 17 107 stakes of PM in allergic patients are molecular diagnostics, to detect specific IgE to single 18 allergen molecules and to distinguish the causative molecules from those merely cross-19 108 <sup>20</sup> reactive, pursuit of patient's treatable traits addressing genetic, phenotypic and 21 <sup>22</sup> 110 psychosocial features, and omics, such as proteomics, epi-genomics, metabolomics and 23 breathomics, to forecast patient's responsiveness to therapies, to detect biomarker and 24 111 25 <sub>26</sub> 112 mediators, and verify the disease control. This new approach has already improved the <sup>27</sup> 113 precision of allergy diagnosis and is likely to significantly increase, through the higher 29 1 1 4 performance achieved with the personalized treatment, the effectiveness of allergen 30 immunotherapy by enhancing its already known and unique characteristics of treatment 31 115 32 33<sup>2</sup>116 that acts on the causes.

Key words: allergen immunotherapy, personalized medicine, molecular diagnosis,

Short title: Allergen immunotherapy: a model of personalized medicine.

treatable traits, omics.

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### 124 Introduction

In its millennial history, medicine has had the primary aim of treating diseases. The 125 5 6 development in the 1800s of pharmaceutical therapy with synthetic drugs was a 126 7 8 breakthrough<sup>1</sup>, but the concept that a given drug was suitable for treating a given disease. 127 9 10 128 regardless of the characteristics of the individual patient, have survived for a long time. 11 The conceptual framework of genotypes, endotypes and phenotypes<sup>2</sup> has provided crucial 12 129 13 13 14 130 tools to understand that the characteristics of the individual also affect the response to <sup>15</sup> 131 drugs and paved the way to the new era of personalized (otherwise defined precision) 16 17 132 medicine. The new approach was first applied on oncology, concerning both diagnosis and 18 treatment<sup>3</sup>, but soon, with a significant boost from President Obama's announcement on 19 133 <sup>20</sup> 134 the great potential of personalized medicine<sup>4</sup>, many other fields of medicine gained its <sup>22</sup> 135 benefits. As far as allergic and immune-mediated diseases are concerned, the introduction 23 of biologics to treat severe asthma provided a significant advance in personalized 24 1 36 25 treatment, through their ability to work on asthma according on molecular phenotypes <sup>27</sup> 138 28 defined as high type 2 immunity asthma and low type 2 immunity asthma<sup>5</sup>. However, 29 139 allergen immunotherapy (AIT), a treatment for respiratory allergy first proposed in 1911 30 31 140 which in its long road has gone from empiricism to full scientific evidence<sup>6</sup> can actually be 32 33 141 32 proposed as "a medical model aiming to deliver customized healthcare, with medical <sup>34</sup> 142 decisions, practices, and/or products tailored to the individual patient"<sup>7</sup>. The primary 35 therapeutic goal of AIT include reducing the frequency and intensity of symptoms, the use 36 1 4 3 37 <sub>38</sub> 144 of rescue medications, and improving the allergy-related quality of life. There is very strong <sup>39</sup> 145 40 evidence to show that AIT achieves these goals in patients with allergic rhinitis<sup>8</sup>. <sup>41</sup> 146 Furthermore, in mite-induced asthma AIT is likely to enable a successful step-down in the 42 inhaled corticosteroid dose in patients graded as step 3 or 4 of GINA scale<sup>9</sup>. Here we will 43 147 44 45<sup>148</sup> analyze the factors underlying the characteristics of AIT as an optimal model for <sup>46</sup> -- 149 personalized medicine. 47

### <sup>48</sup>150 **The birth of AIT and its embryonic properties as a personalized treatment.**

49 50 151 As hinted above, AIT was introduced more than one hundred years ago, when the <sup>51</sup> 52 152 knowledge on allergic disease was very limited<sup>6</sup>. Still, the different role of AIT compared <sup>53</sup> 153 with the drug therapy available at the time was apparent, because drugs were aimed at 54 treating the symptoms of allergic rhinitis, while AIT was aimed at treating the specific 55 154 56 <sub>57</sub> 155 allergy of single patients, thus introducing a concept now acknowledged as personalized <sup>58</sup> 156 59 medicine. The first studies addressed "hay fever" induced by grass pollen. It is obvious 60 157 that the quality of the products in that time, which consisted of extracts obtained from the

3 allergenic source directly from the doctor, was hugely lower than the allergen extracts 158 4 used today, but the positive results obtained paved the way to an evolution finally resulting 159 5 6 in high quality products. Another definition that underlines how the AIT was yet, but 160 7 8 improperly, proposed as personalized for the patient is "Named Patient Product" (NPP), 161 9 10 162 i.e. specifically prepared for a given patient according to the results of allergy testing. 11 Nevertheless, NPPs were not a guarantee of clinical efficacy, because alongside products 12 163 13 13 14 164 with evidence of efficacy proven by placebo-controlled trials, others without such evidence <sup>15</sup> 165 were equally admitted to the prescription. Moreover, extracts containing several allergens, 16 namely all the allergens giving positive results to allergy testing, were frequently used, 17 166 18 especially in the U.S.A, but they were found to be ineffective in a pivotal double-blind, 19 167 <sup>20</sup> 21 168 placebo-controlled trial in 1997<sup>10</sup>. Nowadays, registered products, whose effectiveness <sup>22</sup> 169 has been plainly demonstrated by controlled trials on large patient populations, are 23 considered ideal for AIT<sup>11</sup>. However, good guality NPPs with demonstration of efficacy by 24 170 25 26<sup>-3</sup>171 placebo-controlled trials, are still available to treat respiratory allergy with expectancy of <sup>27</sup> 172 28 clinical success<sup>12</sup>.

#### 29 1 7 3 Modern AIT as a prototype of personalized medicine. 30

31 174 The traditional diagnosis by skin tests relay on extracts, whose composition in minor and 32 32 33 175 major allergens can play a crucial role in defining sensitizations, as in the AIT products for <sup>34</sup> 176 inducing clinical efficacy. Another critical factor is the extract potency, concerning both skin 35 testing and AIT. As to the latter, Larenas-Linneman et al. found a substantial variability in 36 177 37 <sub>38</sub> 178 allergen extract potency as measured and reported worldwide<sup>13,14</sup>. In particular, in Europe <sup>39</sup> 179 40 the potency of extracts for sublingual immunotherapy (SLIT) is based on comparison with <sup>41</sup> 180 in-house references, making it difficult to translate the dose to US extracts<sup>13</sup>. Moreover, 42 comparing SLIT maintenance solutions of grass pollen and Dermatophagoides 43 181 44 45<sup>182</sup> pteronyssinus from 4 leading European manufacturers to standardized concentrate 46 47 183 extracts of 3 US manufacturers measure in bioequivalent allergen units (BAU), the relative <sup>48</sup> 184 potency was around 10 times higher for US standardized extracts (to be diluted for SLIT) 49 than for European SLIT maintenance dosage. The authors argued that, based on the 50 185 <sup>51</sup> 52 186 efficacy demonstrations from controlled trials, SLIT efficacy is likely to depend on <sup>53</sup> 187 additional factors apart from the extract dose<sup>14</sup>. Today, the pillars of AIT as a personalized 54 therapy are represented by molecular diagnostics for achieving the highest possible 55 188 56 <sub>57</sub> 189 precision, by the use of treatable traits, and by "omics".

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Allergy

### 192 1) Molecular diagnostics and other in vitro tests

The diagnosis of allergy has been long based on skin prick test (SPT) with allergen 193 5 6 extracts and in vitro test measuring specific IgE antibodies to the suspected allergens, but 194 7 8 with both techniques the positive results indicated sensitization but not necessarily clinical 195 9 10 196 allergy. A great advance in diagnostic precision was achieved by molecular diagnosis, 11 which allows to detect specific IgEs (sIgEs) to each single molecule, distinguishing those 12 197 13 .5 14 198 really causative (genuine) from those simply cross-reactive. Such diagnostic approach was <sup>15</sup> 199 variously named, including "Component resolved diagnosis"<sup>15</sup>, molecular-based allergy 16 diagnostics<sup>16</sup>, and molecular allergy diagnostics<sup>17</sup>. The updated WAO-ARIA- GA<sup>2</sup>LEN 17 200 18 19 201 consensus document on molecular-based allergy proposed the unifying definition of <sup>20</sup> 202 "precision allergy molecular diagnostic applications" (PAMD@)<sup>18</sup>. This allergy diagnostics 22 203 approach is aimed at mapping the allergen sensitization of a patient at a molecular level, 23 24 204 using purified natural or recombinant allergenic molecules instead of allergen extracts. 25 205 205 Each allergen molecule is identified, classified according to the family to which it belongs, <sup>27</sup> 206 and scientifically named according to the species to which it belongs. For example, the 29 207 major allergen from cockroach is a lipocalin named Bla g 4 (after Blatella germanica<sup>19</sup>. 30 31 208 Since its introduction, PAMD@ has been gradually used as a laboratory tool, and <sup>3∠</sup> 33 209 32 presently more than 130 allergenic molecules commercially are accessible for in vitro <sup>34</sup> 210 slgE) testing<sup>20</sup>. Two platform to perform PAMD@ are available, which include a singleplex 35 (i.e. a single assay per sample) or a multiplex (i.e. multiple assays per sample) 36 211 37 <sub>38</sub> 212 measurement. With the former, the allergenic molecules thought to be responsible are <sup>39</sup> 213 selected by the physician<sup>21</sup>, while with the multiplex technique a large array of preselected <sup>41</sup> 214 allergens are tested. The commercially offered multiplex allergen arrays include the 42 Immuno-CAP Immuno-solid-phase Allergen Chip (ISAC) from Thermo Fisher, containing 43 215 44 44 45 216 103 molecules from 51 allergen sources<sup>22</sup>, and the new ImmunoCAP ISAC 112i, including <sup>46</sup> 217 112 components from 48 allergen sources, where some molecules from Hymenoptera 47 <sup>48</sup> 218 venom and from walnut and plain tree have been eliminated, while others from cashew, 49 50 219 hazelnut, dog, dust mites, and alpha-gal were added<sup>23</sup>. The EUROLINE Southern 51 5<sub>2</sub> 220 European Pollen Profile [ESEP) was introduced to test pollen molecules of clinical <sup>53</sup> 221 54 relevance in Southern Europe<sup>24</sup>, while the Microarray Diagnostics (MADx) Allergen <sup>55</sup> 222 Explorer (ALEX) include 126 molecules from different allergen sources<sup>25</sup>. It is apparent 56 57 223 that in order to properly interpret positive results for a high number of molecules, a 58 great experience of the examining physician is necessary. To facilitate the matter, 59 **22**4 <sup>60</sup> 225 expert systems were developed to support the data analysis by dedicated decision-making

3 information systems. For instance, the expert system named Allergenius ® is based on the 226 4 ImmunoCAP ISAC implemented with an advanced knowledge specification language 227 5 6 using more than 700 different rules to mimic the experts' opinions on a complex ISAC 228 7 8 result<sup>26</sup>. In a study comparing sera from patients assayed with ImmunoCAP ISAC and 229 9 10 2 3 0 ALEX a good correlation between the results from the two methods was found<sup>27</sup>.

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By focusing on applications for personalized medicine, numerous studies have assessed 12 231 13 14<sup>3</sup>232 the benefits of attaining with PAMD@ a more appropriate prescription for AIT. Table 1 <sup>15</sup> 233 summarizes the observations from these studies <sup>28-37</sup>. A vast literature is available, as 16 recently reviewed<sup>38-39</sup>, also for the advantages of PAMD@ in the diagnosis of food allergy 17 234 18 <sub>19</sub> 235 compared to the conventional diagnosis, but this will not be treated here since the purpose <sup>20</sup> 236 of our analysis is to examine the progress achieved by PAMD@ in the appropriate 22 237 prescription of AIT. In fact, despite the numerous controlled AIT trials for food allergy<sup>40</sup>, 23 this therapy has not yet been approved. 24 2 38

25 25 26 239 As far as the identification of the really causative allergen in polysensitized patients is <sup>27</sup> 240 concerned, the first in vitro test was the RAST inhibition<sup>41</sup>, which then evolved into CAP 29 241 inhibition assay. The latter has been shown to be comparable to PAMD@ in the ability to 30 31 **242** identify the causative allergen<sup>35,42</sup> and to have a lower cost <sup>43</sup> in patients with multiple <sup>32</sup> 33 243 sensitization to Hymenoptera venom, in which a limited number of allergenic molecules <sup>34</sup> 244 are responsible, while such outcomes was not reported for multi-sensitization to 35 respiratory allergens, involving a much higher number of molecules. 36 2 4 5

37 <sub>38</sub>246 The basophil activation test (BAT), which measures basophil degranulation by flow <sup>39</sup> 247 40 cytometry, has the unique characteristic to detect in vitro the reactivity of basophils to <sup>41</sup> 248 allergens. Since these cells play a crucial role in allergic reactions, their in vitro detection 42 has a similar meaning to the in vivo provocation test with specific allergens, without 43 249 44 44 45 250 however the limit of false positive results from non-specific reactions, which represented a <sup>46</sup> 251 limit of in vivo tests. BAT was found to be very useful in the personalized diagnosis of 47 <sup>48</sup> 252 patients with allergic conditions including respiratory, food and Hymenoptera venom 49 50 253 allergy who show multiple allergen reactivity, distinguishing the allergens as causative or <sup>51</sup> 52 **2**54 not based on the response of the basophils<sup>44</sup>. As mentioned above, our review is focused <sup>53</sup> 255 on the effects of personalized diagnosis on the outcomes of AIT. As for CAP inhibition, the 54 concerned Hymenoptera venom allergy, demonstrating that BAT 55 256 first studies 56 <sub>57</sub> 257 accomplished with the recombinant allergens from Vespula spp Ves v 1, Ves v 2, Ves v 3 <sup>58</sup> 258 59 and Ves v 5 achieved a clear improvement in the specificity of diagnosis in patients with 60 2 5 9 anaphylactic reactions to wasp stings over IgE detection by Enzyme-Linked

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#### Allergy

ImmunoSorbent Test (ELISA) or ImmunoCAP<sup>45</sup> and that in patients with severe reactions 3 260 4 to stings, some of them with negative response to slgE test and intradermal tests, BAT 5 261 6 was positive in 81% of patients compared with to a rate 57% with intradermal testing, 262 7 8 14% with IgE test, 19% of patients being negative to either test except BAT<sup>46</sup>. A study 263 9 <sup>10</sup> 264 analyzed the correlation between the BAT changes during venom immunotherapy and 11 <sup>12</sup> 265 the treatment outcome. BAT was unchanged after one year, but in subsequent years a 13 fourfold reduction was detected in all patients who developed tolerance to stings, while 14266 15 <sub>16</sub> 267 no change in BAT occurred in patients with a persistently positive sting challenge<sup>47</sup>. 17 18 268 Such important outcome was found also in patients treated with subcutaneous <sup>19</sup> 269 immunotherapy (SCIT) for grass pollen allergy. In fact, basophil sensitivity showed a 20 21 270 447-fold decrease in the first year of treatment, remaining 100-fold lower than baseline 22 in the 3 year-treatment period and 10-fold lower in the follow-up year. Notably, a 23 271 24 basophil sensitivity after three weeks of SCIT predicted long-<sub>25</sub> 272 decline in <sup>26</sup> 27 **27**3 term improvement in symptom and medication scores during the three years of <sup>28</sup> 274 treatment<sup>48</sup>. Further studies are needed to clarify the role of BAT as a biomarker or a 29 30 275 predictive marker of AIT efficacy. 31

# <sup>33</sup><sub>34</sub>277 **2** *In vivo* tests

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<sup>47</sup> 285

<sup>35</sup> 278 Theoretically, inducing allergic symptoms by a challenge with the suspected allergen in the 36 37 279 target organs can be a valuable in vivo test. However, as stated in the International 38 Consensus Statement on allergic rhinitis, the contrasting findings from different studies 39 280 40 41 281 and the absence thus far of a standardized technique limit the diagnostic utility of nasal <sup>42</sup> 282 challenge, a pivotal role being currently acknowledged only in diagnosis of occupational 43 44 283 rhinitis and local allergic rhinitis<sup>49</sup>. If future studies will achieve standardization of the 45 <sub>46</sub> 284 method, the nasal challenge could be used, alike BAT, as a test toward precision medicine.

# 49 286 **3.Treatable traits** 50

The term "Treatable traits" was suggested by Agusti and coworkers to indicate a precision medicine methodology of diagnosis and treatment of chronic disorders of the airways based on finding genetic, phenotypic and psychosocial features which are associated with therapies able to improve respiratory health<sup>50</sup>. The field of application considered as most appropriate for the use of treatable traits is represented by chronic respiratory diseases and in particular by asthma and chronic obstructive pulmonary disease (COPD), which share various clinical aspects but whose drug therapy has significantly differentiated in

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3 recent years<sup>51</sup>. For example, using treatable traits in a patient with COPD but symptoms 294 4 compatible also with asthma and positivity of tests for respiratory allergy due to 295 5 6 asymptomatic sensitization would prevent the incorrect prescription of AIT. The role of 296 7 8 treatable traits in the management of chronic respiratory diseases has been outlined by 297 9 10 298 some important studies. The first investigated the role of extra-pulmonary comorbidities as 11 treatable traits in patients with difficult-to-control asthma. The proposed comorbidities were 12 299 13 13 14 300 allergic and nonallergic rhinitis, chronic rhinosinusitis, vocal cord dysfunction, dysfunctional <sup>15</sup> 301 breathing, gastroesophageal reflux, obesity, obstructive sleep apnoea, and anxiety/ 16 17 302 depression, of which the prevalence, impact and outcome of treatment were assessed, 18 <sub>19</sub> 303 also appraising the associations of single comorbidities and the potential of comorbidity <sup>20</sup> 304 clusters on asthma control. The authors concluded that extra-pulmonary comorbidities are 22 305 important treatable traits be evaluated in all asthmatic patients and especially in those with 23 24 306 difficult asthma. Such comorbidities may have a significant influence on asthma control, <sup>25</sup> 26 307 thus their presence deserves treatment regardless of original asthma status and asthma <sup>27</sup> 308 28 control<sup>52</sup>. The number of treatable traits involved in acute exacerbation differed according 29 309 to the respiratory disease, with a major role for C reacting protein in COPD, eosinophils 30 31 310 count and fractioned exhaled nitric oxide (FeNO) measurement in asthma, and bacterial <sup>32</sup> 33 311 infections for bronchiectasis<sup>53</sup>.

<sup>34</sup> 312 An important observation obtained through the review of the literature by Pavord and 35 Agusti concerned the identification of eosinophilic airway inflammation, as assessed by the 36 3 1 3 37 <sub>38</sub> 314 blood eosinophil count, as a treatable trait of particular importance in patients with airway <sup>39</sup> 315 40 disease (including asthma and COPD, being significantly associated with long-term <sup>41</sup> 316 outcomes<sup>54</sup>. The recent European Unbiased Biomarkers for the Prediction of Respiratory 42 Disease Outcomes (U-BIOPRED) project was aimed at identifying and quantifying, based 43 317 44 45 318 on the hypothesis that treatable traits are more common in severe asthma and may 46 47</sub>319 significantly differ according to asthma phenotypes, the treatable traits recognized in the <sup>48</sup> 320 severe and mild/moderate adult asthma cohorts and across previously identified 49 phenotypes<sup>55</sup>. 50 321

All studies available thus far support a chief role of treatable traits in evaluating patients with respiratory diseases, however the preferable method of application and the costeffectiveness of such a multidimensional intervention is still uncertain, making it necessary to conduct randomized controlled trials involving large populations of patients<sup>56</sup>. Concerning AIT, treatable traits of clinical interest may consist of the treatment outcome, beyond the disease to be treated, on comorbidities<sup>57</sup>. Another trait may concern the Page 15 of 68

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Allergy

3 possible synergistic effect of biologics and AIT. Precision medicine of allergic diseases is 328 4 nowadays highlighted by the consistent data of efficacy of biologics targeting cytokines ((IL 329 5 330 5) or their receptors (IL 5 rec. or IL4/IL13 rec.). Nonetheless, we should consider that the 8 331 eligibility of allergic patients to biologics or AIT is only partially overlapping, being the first 10 3 3 2 limited to severe asthma or CRSwNP whereas AIT has indication for allergic rhinitis and 11 mild/moderate asthma while severe asthma is a contraindication. Based on the 12333 13 14 334 characteristics of the two treatments, a synergistic effect in allergic asthma is conceivable, <sup>15</sup> 335 with biological achieving control of severe asthma but not changing its natural history while 16 17 3 36 AIT, once ceased the contraindication, can induce the long-term immunological changes. 18 <sub>19</sub> 337 Studies to investigate whether such synergy is achievable are warranted.

#### 22 339 4.Omics 23

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Following the definition of genomics in the 1960s as the complete nucleotide sequence of 24 3 4 0 25 26 341 an organism<sup>58</sup>, a large number of other "omics" were recently proposed, such as <sup>27</sup> 342 proteomics (the complete proteins of a cell in any organism), epi-genomics (the 29343 modification of nucleotides in an organism), metabolomics (the changes in gene activity in 30 31 344 response to metabolites<sup>59</sup>, breathomics (the multidimensional molecular analysis of 32 33 345 32 enxhaled breath<sup>60</sup>, but the list is very large and still expanding. In the field of allergy <sup>34</sup> 346 diseases, asthma is the most investigated disease. In 2011, the detection of protein 35 36 347 changes by proteomics in different asthma stages was supported to improve the 37 <sub>38</sub> 348 understanding of the molecular mechanisms of the disease and to find novel mediators <sup>39</sup> 349 40 and biomarkers<sup>61</sup>. Another omic of potential interest for personalized medicine is the <sup>41</sup> 350 exposome, definable as a systematic approach to obtain large data sets on to 42 43 351 environmental exposures of an individual during the whole life<sup>62</sup>.

44 44 45</sub>352 However, the available data on the state of omics technology in the management of <sup>46</sup> 353 asthma and allergic diseases were recently analyzed by Donovan et al. After pointing out 47 48 354 that omics-based investigation are used increasingly to distinguish subtypes of 49 allergic diseases and asthma subtypes, forecast patient responsiveness to specific 50 355 <sup>51</sup> 52 356 therapies, detect biomarkers and mediators, and verify the disease control, the authors <sup>53</sup> 357 concluded that omics testing in this field for are not yet a standard of care, and that key 54 factors need to be recognized before such technologies can be used successfully in 55 358 56 57 359 common clinical practice<sup>63</sup>. On the other hand, according to Fitzgerald et al., the promise <sup>58</sup> 360 of new technology must leave room to an humanomics perspective, i.e. the 60 361 acknowledgement of patient's behavioral aspects<sup>64</sup>.

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### 363 Impact of personalized medicine on AIT

6 The great advance provided by the introduction of personalized medicine in diagnosis of 364 7 8 allergy is likely to enable solving the long-standing problem of AIT related to the great 365 9 10 366 qualitative variability of AIT products and the consequent heterogeneity of the clinical 11 results. Actually, an updated way to correctly evaluate the effectiveness of AIT products 12 367 13 13 14 368 must avoid the mistakes of the past, when the results of a valid tool such as meta-analysis, <sup>15</sup> 369 despite the clear differences between different products, tended in the conclusions to 16 extend the positive outcome to AIT in general<sup>65</sup>. The latest generation of registered 17 370 18 19371 products for SLIT, which were based on trials including large number of patients with <sup>20</sup> 372 respiratory allergy to grass pollen and dust mites, meet the modern quality needs<sup>66-70</sup>. Still, 22 373 as mentioned above, the previous generation products include allergen extracts of efficacy 23 24 374 demonstrated by controlled trials, but also products missing such evidence. This implies <sup>25</sup> 26 375 new properly designed clinical trials to demonstrate efficacy. Nonetheless, in order to <sup>27</sup> 376 28 facilitate AIT product development it is advisable that the same product composition, 29377 possibly using different formulation (i.e. drops/tablets) should have a mutual program and 30 31 378 recognition and possibly a full development program. Also, the relevance of testing all <sup>32</sup> 33 379 allergens and the final cost of the studies for a limited effect size due to the huge placebo <sup>34</sup> 380 effect in AIT trial is worthy of attention. These facets could provide a flexibility of treatment 35 otherwise difficult to reach in clinical practice, when batch to batch reproducibility is 36 381 37 <sub>38</sub> 382 ensured in terms of quality product and standardization.

<sup>39</sup> 383 40 Today, to achieve the highest quality any manufacturer of allergen products must follow <sup>41</sup> 384 stringent scientific rules. The first is to ensure the presence in the allergen extracts of all 42 relevant allergens. As discussed above, PAMD@ provides the detections of all allergen 43 385 44 44 45 386 molecules, distinguishing those simply cross-reactive from genuine causative ones. <sup>46</sup> 387 Similarly, the development of an AIT product requires during the manufacturing process 47 48 388 the identification of all component through mass-spectrometry based analysis, followed by 49 50 389 allergen extraction, purification and formulation, as well as yield cost, robustness and <sup>51</sup> 52 390 scaling up. The subsequent step is the product characterization, which results in <sup>53</sup> 391 development and implementation of analytical methods for identity, purity, consistency and 54 stability, which makes clinical development possible in its various phases. These phases 55 392 56 <sub>57</sub> 393 (I, II, III) are aimed at establishing safety, dosing and efficacy. For optimal quality level, <sup>58</sup> 394 59 well-controlled source materials are also essential, which consist, for example for grass 60 3 9 5 pollen, in the harvesting and processing of pollens from cultured grasses, while for house

dust mites the development of a synthetic cultured medium free from proteins of animal origin is needed<sup>71</sup>. As mentioned above, the use of omics is of marked importance in the comprehensive characterization of allergen extracts, including transcriptome for *de novo* sequencing, proteome for MS analysis, and allergome for IgE reactivity<sup>72</sup>.

10 400 In this context, the evaluation of the Real-World Evidences (RWE), should be properly 11 12 401 reappraised, since patients in clinical practice often do not meet the same criteria of the 13 14 402 subjects enrolled in the double-blind placebo-controlled trials (DBPCRT) leading to <sup>15</sup> 403 registration by Regulatory Authorities<sup>73</sup>. In fact, distinction is made between the final 16 17 404 outcome of DBPCT efficacy and the one in RWE. Nonetheless, a correct evaluation of 18 <sub>19</sub> 405 these RWE has to be methodologically performed. To this purpose an ongoing European <sup>20</sup> 21</sub>406 Academy of Allergy and Clinical Immunology (EAACI) initiative is designed to ascertain the 22 407 validity of the existing RWE concerning AIT, applying the recent tools proposed by 23 24 408 Respiratory Effectiveness Group (REG)/EAACI from one side (Relevant) and Grading of 25 26 409 Recommendations, Assessment, Development and Evaluation (GRADE) on the other side <sup>27</sup> 410 <sup>74</sup>. Through this initiative we will be able define the real validity of the existing AIT RWEs. 29 4 1 1 This action has been also stated and promoted by the recent Real-Life Research 30 31 412 Manifesto, where it is pointed out the need to consider RWE in the complex scenario of <sup>32</sup> 33</sub>413 scientific clinical research<sup>75</sup>. This document supported the use of tools, such as the ones <sup>34</sup> 414 mentioned previously, to analyze RWE properly. Finally, the Manifesto also underlined the 35 major differences existing between retrospective and prospective RWE: where prospective 36 4 1 5 37 <sub>38</sub> 416 approach, whose typical example is a Disease or intervention's Registry, is the most <sup>39</sup> 417 40 credible, as also stated by Sherman et al<sup>76</sup>. In fact, it should be underlined that several AIT <sup>41</sup> 418 RWE reports were retrospectively collected, combining different methodologies, thus 42 decreasing the validity of the final outcomes/conclusions. However, recent, well conducted 43 4 19 44 45 420 real-life studies, derived from a well-designed and set up database and including very <sup>46</sup> 421 large patient populations, were of relevant importance, because they contributed to 47 48 422 increase the knowledge on the effectiveness of AIT in patients seen in daily clinical 49 50 423 practice, possibly not meeting the strict criteria to be included in a trial<sup>77,78</sup>.

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# 53 425 Final message: AIT as personalized treatment

All the above is in line with the current view that collecting Big Data can lead to precision medicine<sup>79,80</sup>. We previously pointed out AIT as a prototype of precision medicine<sup>7</sup> and now we would propose AIT as one of the best example of Personalized and Participatory Medicine, aimed to satisfy the needs and preferences of patients and to strengthen the

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430 cooperation patient/doctor in jointly choosing the best therapeutic option. AIT is the only medical treatment that has the capacity to change the natural history of allergic diseases <sup>81</sup> 431 and responds perfectly to the three major needs to be met in personalized medicine, which 432 433 are the identification of the molecular mechanism of the disease, the availability of 10 4 3 4 diagnostic tools to recognize this mechanism and the availability of a treatment capable of blocking the mechanism<sup>82</sup>. In Figure 1 we summarized all the possible aspects of the 12 4 3 5 14 14 14 updated journey to reach the best prescription of AIT, through a detailed and modern <sup>15</sup> 437 diagnosis (including PAMD@) and definition of patient's eligibility to AIT. As to diagnosis, it must be kept in mind that the medical history collected by a skilled doctor is the basis for 17 4 38 <sub>19</sub> 439 deciding the subsequent investigations in accordance with the individual characteristics. <sup>20</sup> 21</sub>440 The current and future tools to reach a AIT personalized prescription are summarized in 22 441 Figure 2 . Their variable characteristics suggest that personalized care is valuable in this 23 24 4 4 2 setting and may be also be preventive (by focusing on quality of life), predictive (by 25 26<sup>2</sup>443 allowing treatment to be adjusted as a function of the individual's response) and <sup>27</sup> 444 28 participative (empowering the patient). In particular, SLIT can be considered a precision 29 4 4 5 medicine treatment (especially with high quality NPP) that enable the physician to identify 30 31 446 the optimal treatment for each patient. This tailor-made approach to diagnosis, decision-32 33 447 32 making, product choice and treatment schedules may enhance effectiveness, minimize <sup>34</sup> 448 adverse events, improve patient's quality of live and reduce the socio-economic impact. A 35 number of SLIT drops updosing regimens and maintenance treatment were shown to be 36 4 4 9 37 <sub>38</sub> 450 safe and effective. This means that SLIT liquid allergen extract formulations are extremely <sup>39</sup> 451 40 flexible in terms of frequency of dosing and number of allergen doses delivered. Such <sup>41</sup> 452 parameters can be fine-tuned to suit the patient's day-to-day treatment response and 42 intercurrent events. Depending on the sensitization profile and the clinical signs, the 43 453 44 45<sup>454</sup> specialist can develop a specific, tailor-made SLIT liquid-based approach that, due to its <sup>46</sup> 455 ductility in clinical practice, may be an added value. In addition, SLIT liquid formulation 47 48 4 5 6 makes it possible to adapt the dose and regimen during the updosing and/or the 49 50 457 maintenance phase to target optimal effectiveness. 51

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The clinician should then evaluate:

- The route of administration: presently SCIT and SLIT for aeroallergens, considering evidences and patient's needs/preference
- The AIT product to be chosen as recommended by WAO<sup>83</sup> and EAACI<sup>84</sup> among the products supported by evidences.
- SCIT: different schedules upon the products (i.e. depot or aqueous)
- SLIT: preference for drops or tablet, both considering evidences and patient's needs/preference
- Dosages and schedules: continuous or pre-seasonal; long or short term.
- Most of the above can be influenced by costs and/or reimbursements.

Personalized medicine in AIT has the potential to improve various outcomes which have so far produced limited results. This is true for preventive ability, which until now has been shown only for the development of asthma in patients with allergic rhinitis<sup>85</sup>, the identification of biomarkers predictive of treatment efficacy (still not known)<sup>57</sup> and costeffectiveness compared to drug therapy, clearly demonstrated on the basis of the persistence over time of the control of symptoms after AIT withdrawal<sup>86</sup>, but further improvable by greater diagnostic precision. Further issues could concern the expansion of e-health systems<sup>7</sup> and the implementation of telemedicine<sup>87</sup>.

This journey is leading to the most appropriate choice of the AIT treatment thanks to the multifaceted variety of AIT products empowering the professionality of the clinician in choosing, through a shared decision that considers the patient's needs, a proper AIT product for each patient according to eligibility and acceptability.

44 471 It is so conceivable to conclude that AIT is still a unique and unmatched model of Personalized Medicine for allergy treatment, able to encompass the prediction of a <sub>46</sub> 472 47 48</sub>473 successful treatment and the potential prevention or progression of an allergic disease, <sup>49</sup> 474 and possibly to face the challenge of providing such advances to at affordable costs. As well, the characteristics of Personalized Medicine for allergy are likely to enable to face 51 475 52 53<sup>2</sup>476 challenges such as increasing prevalence, growing complexity and heterogeneity, impact <sup>54</sup>477 on patients, problem of access to care, therapeutic wandering, and successful 55 56 478 management of uncontrolled patients<sup>88</sup>. 57

<sub>58</sub> 479

# <sup>59</sup><sub>60</sub>480 **Disclosure of Interests**

481 M. Al-Ahamad has nothing to disclose.

- <sup>3</sup> 482 I. Ansotegui declares he has received fees from Abbott, Astra Zeneca, Faes Farma,
  <sup>4</sup> 483 Hikma, Menarini, MSD, Mundipharma, Roxall, Sanofi, Stallergenes Greer, UCB.
- <sup>6</sup><sub>7</sub> 484 S. Arasi has nothing to disclose.

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- <sup>8</sup> 485 C. Bachert declares he has received personal fees from Sanofi, personal fees from GSK,
  <sup>10</sup> 486 personal fees from Novartis, personal fees from Astra-Zeneca.
- J. Bousquet declares he has received lecture fees and/or participation at expert board meetings from Chiesi, Cipla, Hikma, Menarini, Mundipharma, Mylan, Novartis, Sanofi-Aventis, Takeda, Teva, Uriach (Advisory Board, consultant, meeting lectures fees), Kyomed (shares).
- <sup>18</sup> 19 491 A. Bozek has nothing to disclose.
- <sup>20</sup><sub>21</sub>492 D. Caimmi has nothing to disclose.
- <sup>22</sup> 493 M. Calderon has nothing to disclose.
- 24 494 T. Casale has nothing to disclose.
- $^{25}_{26}$  495 A. Custovic has nothing to disclose.
- <sup>27</sup> 496 GW. Canonica declares he has received lecture fees and/or participation at expert board
  <sup>29</sup> 497 meetings BI, ALK, Stallergenes Greer (Grant/ research support), (Menarini, GSK, Sanofi,
  <sup>30</sup> Teva, Hal, AZ, Novartis (honoraria or consultation fees).
- <sup>32</sup><sub>33</sub> 499 F. De Blay declares he has receaved grants from Stallergenes Greer, Chiesi, ALK,
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- P. Demoly declares he had received personal fees for grants/lecture from Astra Zeneca,
  Mylan, Sanofi, ASIT Biotech, ALK, Stallergènes Greer, Thermofisher Scientific, Menarini,
  Chiesi, Bausch&Lomb, Yslab.
- P. Devillier declares he has received has received consultancy fees, honoraria for
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  Chiesi, Boehringer-Ingelheim, GlaxoSmithKline.
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- 53 509 A. Fiocchi has nothing to disclose.
- <sup>54</sup><sub>55</sub> 510 A. Fox has nothing to disclose.

<sup>57</sup><sub>58</sub>511 P. Gevaert declares he has received lecture fees and/or participation at expert board
 <sup>59</sup>512 meetings from Ablynx, ALK, Argenx, Astra-Zeneca, Genentech, HAL-Allergy, Novartis,
 <sup>60</sup>513 Roche, Regeneron, Sanofi, and Stallergenes Greer.

2 <sup>3</sup> 514 4	M. Gomez has nothing to disclose.
5 6 515	E. Heffler declares he has received lecture fees and/or participation at expert board
7	
8 516 9 517	meetings from AstraZeneca, GSK, Sanofi, Novartis, Boehringer Ingelheim, Valeas,
10 517	Circassia, Nestlè Purina.
<sup>11</sup> 518 12	N. Ilina has nothing to disclose.
13 519 14	C. Irani has nothing to disclose.
<sub>15</sub> 520	L. Klimek has nothing to disclose.
<sup>16</sup> 17521	P. Kuna declares he has received honorarium as speaker from Allergopharma, ALK.
<sup>18</sup> 522 19	Bencard and Stallergenes Greer.
20 523	R. O'Hehir C. has nothing to disclose.
<sup>21</sup> 22 524	Incorvaia declares he has received fees for consultancies from Bayer and Stallergenes
<sup>23</sup> 525 24	Greer.
<sup>25</sup> 526	M. Jutel declares he has received personal fees from ALK-Abello, Allergopharma,
26 27 <b>527</b>	Stallergenes Greer, Anergis, Allergy Therapeutics , Circassia, Leti, Biomay, HAL.
<sup>28</sup> 29 528	O. Kurbacheva has nothing to disclose.
<sup>30</sup> 529 31	P. Matricardi declares he has received fees from Thermo Fisher Scientific, Hycor, Omron,
32 530	TPS, Stallergenes Greer, Euroimmun.
<sup>33</sup> 34 531	M. Morais de Almeida has nothing to disclose.
<sup>35</sup> 532 36	R. Mosges declares he has received fees from Allergopharma, ALK-Abelló, Glaxo, HAL
37 533 38	Allergy, Leti, Lofarma, Novartis, Parexel International, Stallergenes Greer.
39 534	N. Novak has nothing to disclose.
<sup>40</sup> 41 535	Y. Okamoto has nothing to disclose.
<sup>42</sup> 536 43	P. Panzner has nothing to disclose.
44 537	N. Papadopoulos declares he has received fees from Novartis, NUTRICIA, HAL, Menarini,
45 46 538	SANOFI, MEDA, AstraZeneca, GSK, MSD, ASIT BIOTECH, Boehringer Ingelheim.
<sup>47</sup> 48539	H. Park has nothing to disclose.
<sup>49</sup> 540 50	G. Passalacqua has nothing to disclose.
51 541	R. Pawankar has nothing to disclose.
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<sup>54</sup> 543 55	Allergopharma, Stallergenes Greer, HAL Allergy Holding B.V./HAL Allergie GmbH, from
56 544	Bencard Allergie GmbH/Allergy Therapeutics, Lofarma.
57 58 545	P. Schmid-Grendelmeier has nothing to disclose.
<sup>59</sup> 60 546	H. Tortajada has nothing to disclose.
547	C. Vidal has nothing to disclose.

1 2	
<sup>3</sup> 548	C Virchow declares he has received fees from Allergopharma, ALK, LETI, Stallergenes
4 5 549	Greer.
6 7 550	U. Wahn declares he has received honoraria for consultancy as well as lecture fees from
8 9 551	Allergopharma, Stallergenes Greer, ALK, Roxall, Sanofi-Aventis, Novartis, Berlin-Chemie
10 552	M. Worm declares she as received of honoraria or consultation fees by ALK-Abelló
11 12 553	Arzneimittel GmbH, Mylan Germany GmbH, Leo Pharma GmbH, Sanofi-Aventis
<sup>13</sup> 14 554	Deutschland GmbH, Regeneron Pharmaceuticals, DBV Technologies S.A, Stallergenes
<sup>15</sup> 555 16	Greer, HAL Allergie, Allergopharma, Bencard Allergie, Aimmune Therapeutics UK Limited,
17 556	Actelion Pharmaceuticals Deutschland G, Novartis AG, Biotest AG, AbbVie Deutschland &
18 19 557	Co. KG, Lilly Deutschland
<sup>20</sup> 558 21	P. ZiegImayer has nothing to disclose.
22 559	C. Bos, E. Karagiannis and S. Scurati are employee of Stallergenes Greer
23 24 560	
<sup>25</sup> 561 <sup>26</sup> 562	
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# $\frac{3}{4}$ 565 Table 1 Studies that have assessed the benefits of attaining with PAMD a more

# 5 566 appropriate prescription for AIT

 Author, year (ref)	Allergens tested	Population	Results
Mitterman, 2010 (28)	Bee and wasp venom.	43 patients (pts)	Recombinant
			nonglycosylated allergen
			molecules (Api m 1, rApi
			m 2, rVes v 5) allowed to
			define the sensitizing
			venom in the 14 patients
			with positive test both
			bee and wasp venom
			extracts.
Castra 2012 (20)	Dellana	111 ptp	
Sastre, 2012 (29)	Pollens	141 pts	Agreement in AIT
			indication before and
			after ISAC(®) results in
	<b>C</b>		62 (46%) patients;
			(kappa = 0.1057 ±
		$\mathcal{O}^{\vee}$	0.0413).
Moreno, 2014 (30)	Pollens	1263 pts	Based on history and
			SPT, 73% of patients
		$\mathbf{O}$	would have been
	, N	4.	prescribed AIT with a mix
			of grass and olive
			pollens, while only in
		2	56.8% of patients the
0			double positivity was
			confirmed by molecular
			allergy diagnosis (MAD)
			alleruv diadhosis (IVIAD)
Ň			
28			with Ole e 1 and Phl p
RAY			with Ole e 1 and Phl p molecules.
Popescu, 2014 (31)	Grass pollen	Not defined (review	with Ole e 1 and Phl p
Popescu, 2014 (31)	Grass pollen	Not defined (review of published data)	with Ole e 1 and Phl p molecules.
Popescu, 2014 (31)	Grass pollen		with Ole e 1 and Phl p molecules. MAD biomarkers
Popescu, 2014 (31)	Grass pollen		with Ole e 1 and PhI p molecules. MAD biomarkers (including tolerogenic
Popescu, 2014 (31)	Grass pollen		with Ole e 1 and Phl p molecules. MAD biomarkers (including tolerogenic dendtitic, regulatory T
Popescu, 2014 (31)	Grass pollen		with Ole e 1 and Phl p molecules. MAD biomarkers (including tolerogenic dendtitic, regulatory T cells, IgE, IgA and IgG

			information for allergists
Vidal, 2014 (32)	Pollens, dust mites,	62 Spanish	SPTs are insufficient to
	moulds, animal epithelia	allergists	establish the clinical
			relevance of each
			allergen. Serum specific
			IgE adds value to SPT
			but MAD is strongly
			recommended,
			particularly in pollen-
			allergic patients.
Saltabayeva, 2017 (33)	Pollens	95 pts (real-life	A lower number of A
<b>,</b> , , , , ,		study)	treatments (n = 119) wa
			needed according
		ר	MAD compared to 27
		202	AIT treatments based o
			conventional diagnosi
			with large reduction
			the total costs for a
			year AIT treatment
Del-Rio Camacho, 2018	Pollens	70 children	MAD modified A
(34)			prescription in 54.3%
( ),		Ζ.	cases, and increasir
			the indication of single
			allergen therapy fro
		2	18% to 51% reversir
			the decision to prescrib
			AIT in 9.3% of cases
Savi, 2016 (35)	Hymenoptera venoms	40 pts.	By MAD data VIT wou
			have been prescribed
0.5			7 pts for Polistes spp,
$\mathbf{O}$			6 for Vespula spp, and
			41 for both venoms. Wi
			the data from CA
			inhibition, it would have
			been a prescription to 1
			patients for Polistes,
			28 for Vespula, and to 1
			20 101 vespula, allu lu

1				
2 3				for both venoms. A good
4 5				concordance between
6				the results of MAD and
7 8				CAP-inhibition was found
9 10				only when the value in
11				kU/I of Ves v 5 were
12 13				about twice those of Pol
14				d 5, and vice versa.
15 16	Martinez-Canavate	Pollens	281 children	MAD results changed the
17 18	Burgos, 2018 (36)			specialist's composition
19				of the prescribed AIT in
20 21				52.87% of cases.
22 23	Peveri, 2019 (37).	Various aeroallergens	272 pts.	In 50% of cases a
24				change in the AIT
25 26			X	prescription for
27				respiratory allergy was
28 29				found, resulting in a
30 31			$\mathbf{G}^{\mathbf{v}}$	saving of financial
32			Ň	resources.
<sup>33</sup> 56 34	7	6		
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    <sup>49</sup>/<sub>49</sub> of immunotherapy can be categorised by level of benefit -the centenary
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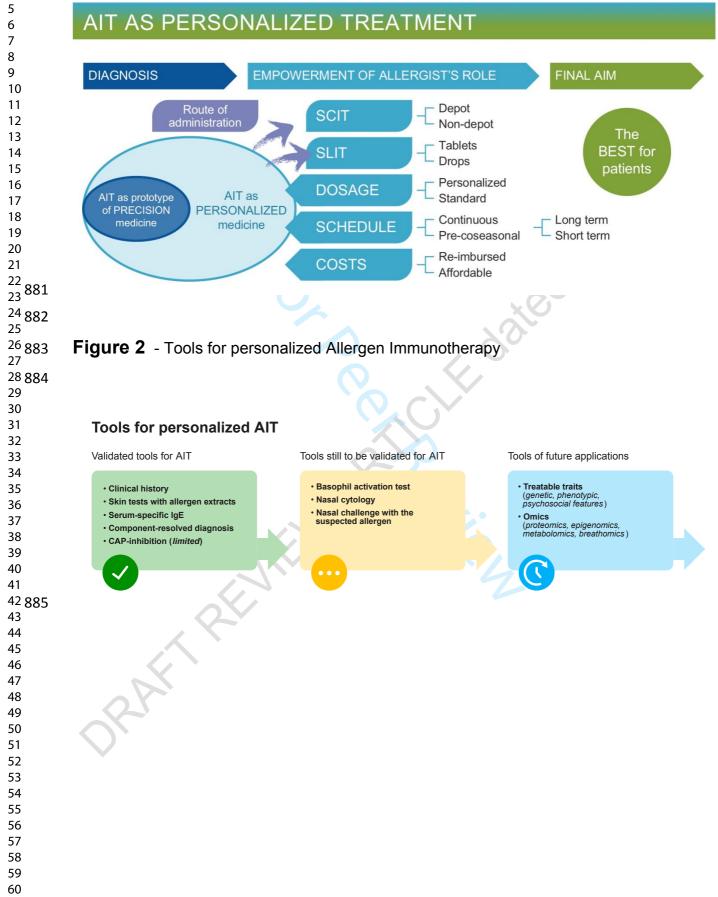
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Figure 1 - Allergen Immunotherapy as personalized treatment



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2 3	4	Derecalized Medicine for ellergy treatments ellergen immunethereny
4 5	1	Personalized Medicine for allergy treatment: allergen immunotherapy
6	2	still a unique and unmatched model.
7 8	3	
9 10	4	Incorvaia Cristoforo <sup>1</sup> , Al-Ahmad Mona <sup>2,3</sup> , Ansotegui Ignacio <sup>4</sup> , Arasi Stefania <sup>5</sup> , Bachert
11	5	Claus <sup>6,7</sup> , Bos Catherine <sup>8</sup> , Bousquet Jean <sup>9</sup> , Bozek Andrzéj <sup>10</sup> , Caimmi Davide <sup>11</sup> , Calderón
12 13	6	Moises <sup>12</sup> , Casale Thomas <sup>13</sup> , Custovic Adnan <sup>14</sup> , De Blay Frédéric <sup>15</sup> , Demoly Pascal <sup>11,16</sup> ,
14 15	7	Devillier Philippe <sup>17</sup> , Didier Alain <sup>18</sup> , Fiocchi Alessandro <sup>5</sup> , Fox Adam <sup>19</sup> , Gevaert Philippe <sup>6</sup> ,
16	8	Gomez Maximiliano <sup>20</sup> , Heffler Enrico <sup>21,22</sup> , Ilina Natalia <sup>23</sup> ,Irani Carla <sup>24</sup> , Jutel Marek <sup>25</sup> ,
17 18	9	Karagiannis Efstrathios <sup>8</sup> , Klimek Ludger <sup>26</sup> , Kuna Piotr <sup>27</sup> , O'Hehir Robin <sup>28</sup> , Kurbacheva
19 20	10	Oxana <sup>29</sup> , Matricardi Paolo Maria <sup>30</sup> , Morais de Almeida Mario <sup>31,32</sup> , Mosges Ralph <sup>33,34</sup> ,
21 22	11	Novak Natalija <sup>35</sup> ,Okamoto Yoshitaka <sup>36</sup> , Panzner Petr <sup>37</sup> , Papadopoulos Nikolaos <sup>38,39</sup> , Park
23	12	Hae-Sim <sup>40</sup> , Passalacqua Giovanni <sup>41</sup> , Pawankar Ruby <sup>42</sup> , Pfaar Oliver <sup>43</sup> , Schmid-
24 25	13	Grendelmeier Peter <sup>44</sup> , Scurati Silvia <sup>8</sup> , Tortajada-Girbés Miguel <sup>45,46</sup> , Vidal Carmen <sup>47</sup> ,
26 27	14	Virchow Christian <sup>48</sup> , Wahn Ulrich <sup>30</sup> , Worm Margitta <sup>30</sup> , Zieglmayer Petra <sup>49</sup> , Canonica
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### 99 Abstract

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The introduction of personalized medicine (PM) has been a milestone in the history of 100 5 6 101 medical therapy, because it has revolutionized the previous approach of treating the 7 8 102 disease with that of treating the patient. It is known today that diseases can occur in 9 10 103 different genetic variants, making specific treatments of proven efficacy necessary for a 11 given endotype. Allergic diseases are particularly suitable for PM, because they meet the 12 104 13 14 105 therapeutic success requirements, including a known molecular mechanism of the <sup>15</sup> 106 disease, a diagnostic tool for such disease, and a treatment blocking the mechanism. The 16 17 107 stakes of PM in allergic patients are molecular diagnostics, to detect specific IgE to single 18 allergen molecules and to distinguish the causative molecules from those merely cross-<sub>19</sub> 108 <sup>20</sup> reactive, pursuit of patient's treatable traits addressing genetic, phenotypic and 21 <sup>22</sup> 110 psychosocial features, and omics, such as proteomics, epi-genomics, metabolomics and 23 breathomics, to forecast patient's responsiveness to therapies, to detect biomarker and 24 111 25 26<sup>-3</sup>112 mediators, and verify the disease control. This new approach has already improved the <sup>27</sup> 113 precision of allergy diagnosis and is likely to significantly increase, through the higher 29 1 1 4 performance achieved with the personalized treatment, the effectiveness of allergen 30 immunotherapy by enhancing its already known and unique characteristics of treatment 31 115 32 33<sup>2</sup>116 that acts on the causes.

Key words: allergen immunotherapy, personalized medicine, molecular diagnosis,

Short title: Allergen immunotherapy: a model of personalized medicine.

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treatable traits, omics.

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#### 124 Introduction

In its millennial history, medicine has had the primary aim of treating diseases. The 125 5 6 development in the 1800s of pharmaceutical therapy with synthetic drugs was a 126 7 8 breakthrough<sup>1</sup>, but the concept that a given drug was suitable for treating a given disease. 127 9 10 128 regardless of the characteristics of the individual patient, have survived for a long time. 11 The conceptual framework of genotypes, endotypes and phenotypes<sup>2</sup> has provided crucial 12 129 13 13 14 130 tools to understand that the characteristics of the individual also affect the response to <sup>15</sup> 131 drugs and paved the way to the new era of personalized (otherwise defined precision) 16 17 132 medicine. The new approach was first applied on oncology, concerning both diagnosis and 18 treatment<sup>3</sup>, but soon, with a significant boost from President Obama's announcement on 19 133 <sup>20</sup> 134 the great potential of personalized medicine<sup>4</sup>, many other fields of medicine gained its <sup>22</sup> 135 benefits. As far as allergic and immune-mediated diseases are concerned, the introduction 23 of biologics to treat severe asthma provided a significant advance in personalized 24 1 3 6 25 treatment, through their ability to work on asthma according on molecular phenotypes <sup>27</sup> 138 28 defined as high type 2 immunity asthma and low type 2 immunity asthma<sup>5</sup>. However, 29 139 allergen immunotherapy (AIT), a treatment for respiratory allergy first proposed in 1911 30 31 140 which in its long road has gone from empiricism to full scientific evidence<sup>6</sup> can actually be 32 33 141 32 proposed as "a medical model aiming to deliver customized healthcare, with medical <sup>34</sup> 142 decisions, practices, and/or products tailored to the individual patient"<sup>7</sup>. The primary 35 therapeutic goal of AIT include reducing the frequency and intensity of symptoms, the use 36 1 4 3 37 <sub>38</sub> 144 of rescue medications, and improving the allergy-related quality of life. There is very strong <sup>39</sup> 145 40 evidence to show that AIT achieves these goals in patients with allergic rhinitis<sup>8</sup>. <sup>41</sup> 146 Furthermore, in mite-induced asthma AIT is likely to enable a successful step-down in the 42 inhaled corticosteroid dose in patients graded as step 3 or 4 of GINA scale<sup>9</sup>. Here we will 43 147 44 45<sup>148</sup> analyze the factors underlying the characteristics of AIT as an optimal model for <sup>46</sup> personalized medicine. 47

#### <sup>48</sup>150 **The birth of AIT and its embryonic properties as a personalized treatment.**

49 50 151 As hinted above, AIT was introduced more than one hundred years ago, when the <sup>51</sup> 52 152 knowledge on allergic disease was very limited<sup>6</sup>. Still, the different role of AIT compared <sup>53</sup> 153 with the drug therapy available at the time was apparent, because drugs were aimed at 54 treating the symptoms of allergic rhinitis, while AIT was aimed at treating the specific 55 154 56 <sub>57</sub> 155 allergy of single patients, thus introducing a concept now acknowledged as personalized <sup>58</sup> 156 59 medicine. The first studies addressed "hay fever" induced by grass pollen. It is obvious 60 157 that the quality of the products in that time, which consisted of extracts obtained from the

3 allergenic source directly from the doctor, was hugely lower than the allergen extracts 158 4 used today, but the positive results obtained paved the way to an evolution finally resulting 159 5 6 in high quality products. Another definition that underlines how the AIT was yet, but 160 7 8 improperly, proposed as personalized for the patient is "Named Patient Product" (NPP), 161 9 10 162 i.e. specifically prepared for a given patient according to the results of allergy testing. 11 Nevertheless, NPPs were not a guarantee of clinical efficacy, because alongside products 12 163 13 13 14 164 with evidence of efficacy proven by placebo-controlled trials, others without such evidence <sup>15</sup> 165 were equally admitted to the prescription. Moreover, extracts containing several allergens, 16 namely all the allergens giving positive results to allergy testing, were frequently used, 17 166 18 especially in the U.S.A, but they were found to be ineffective in a pivotal double-blind, <sub>19</sub> 167 <sup>20</sup> 21 168 placebo-controlled trial in 1997<sup>10</sup>. Nowadays, registered products, whose effectiveness <sup>22</sup> 169 has been plainly demonstrated by controlled trials on large patient populations, are 23 considered ideal for AIT<sup>11</sup>. However, good guality NPPs with demonstration of efficacy by 24 170 25 26<sup>-3</sup>171 placebo-controlled trials, are still available to treat respiratory allergy with expectancy of <sup>27</sup> 172 28 clinical success<sup>12</sup>.

#### 29 1 7 3 Modern AIT as a prototype of personalized medicine. 30

31 174 The traditional diagnosis by skin tests relay on extracts, whose composition in minor and 32 32 33 175 major allergens can play a crucial role in defining sensitizations, as in the AIT products for <sup>34</sup> 176 inducing clinical efficacy. Another critical factor is the extract potency, concerning both skin 35 testing and AIT. As to the latter, Larenas-Linneman et al. found a substantial variability in 36 177 37 <sub>38</sub> 178 allergen extract potency as measured and reported worldwide<sup>13,14</sup>. In particular, in Europe <sup>39</sup> 179 40 the potency of extracts for sublingual immunotherapy (SLIT) is based on comparison with <sup>41</sup> 180 in-house references, making it difficult to translate the dose to US extracts<sup>13</sup>. Moreover, 42 comparing SLIT maintenance solutions of grass pollen and Dermatophagoides 43 181 44 45<sup>182</sup> pteronyssinus from 4 leading European manufacturers to standardized concentrate 46 47 183 extracts of 3 US manufacturers measure in bioequivalent allergen units (BAU), the relative <sup>48</sup> 184 potency was around 10 times higher for US standardized extracts (to be diluted for SLIT) 49 than for European SLIT maintenance dosage. The authors argued that, based on the 50 185 <sup>51</sup> 52 186 efficacy demonstrations from controlled trials, SLIT efficacy is likely to depend on <sup>53</sup> 187 additional factors apart from the extract dose<sup>14</sup>. Today, the pillars of AIT as a personalized 54 therapy are represented by molecular diagnostics for achieving the highest possible 55 188 56 <sub>57</sub> 189 precision, by the use of treatable traits, and by "omics".

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Allergy

#### 192 1) Molecular diagnostics and other in vitro tests

The diagnosis of allergy has been long based on skin prick test (SPT) with allergen 193 5 6 extracts and in vitro test measuring specific IgE antibodies to the suspected allergens, but 194 7 8 with both techniques the positive results indicated sensitization but not necessarily clinical 195 9 10 196 allergy. A great advance in diagnostic precision was achieved by molecular diagnosis, 11 which allows to detect specific IgEs (sIgEs) to each single molecule, distinguishing those 12 197 13 .5 14 198 really causative (genuine) from those simply cross-reactive. Such diagnostic approach was <sup>15</sup> 199 variously named, including "Component resolved diagnosis"<sup>15</sup>, molecular-based allergy 16 diagnostics<sup>16</sup>, and molecular allergy diagnostics<sup>17</sup>. The updated WAO-ARIA- GA<sup>2</sup>LEN 17 200 18 19 201 consensus document on molecular-based allergy proposed the unifying definition of <sup>20</sup> 202 "precision allergy molecular diagnostic applications" (PAMD@)<sup>18</sup>. This allergy diagnostics 22 203 approach is aimed at mapping the allergen sensitization of a patient at a molecular level, 23 24 204 using purified natural or recombinant allergenic molecules instead of allergen extracts. 25 205 205 Each allergen molecule is identified, classified according to the family to which it belongs, <sup>27</sup> 206 and scientifically named according to the species to which it belongs. For example, the 29 207 major allergen from cockroach is a lipocalin named Bla g 4 (after Blatella germanica<sup>19</sup>. 30 31 208 Since its introduction, PAMD@ has been gradually used as a laboratory tool, and <sup>3∠</sup> 33 209 32 presently more than 130 allergenic molecules commercially are accessible for in vitro <sup>34</sup> 210 slgE) testing<sup>20</sup>. Two platform to perform PAMD@ are available, which include a singleplex 35 (i.e. a single assay per sample) or a multiplex (i.e. multiple assays per sample) 36 211 37 <sub>38</sub> 212 measurement. With the former, the allergenic molecules thought to be responsible are <sup>39</sup> 213 selected by the physician<sup>21</sup>, while with the multiplex technique a large array of preselected <sup>41</sup> 214 allergens are tested. The commercially offered multiplex allergen arrays include the 42 Immuno-CAP Immuno-solid-phase Allergen Chip (ISAC) from Thermo Fisher, containing 43 215 44 44 45 216 103 molecules from 51 allergen sources<sup>22</sup>, and the new ImmunoCAP ISAC 112i, including <sup>46</sup> 217 112 components from 48 allergen sources, where some molecules from Hymenoptera 47 <sup>48</sup> 218 venom and from walnut and plain tree have been eliminated, while others from cashew, 49 50 219 hazelnut, dog, dust mites, and alpha-gal were added<sup>23</sup>. The EUROLINE Southern 51 5<sub>2</sub> 220 European Pollen Profile [ESEP) was introduced to test pollen molecules of clinical <sup>53</sup> 221 54 relevance in Southern Europe<sup>24</sup>, while the Microarray Diagnostics (MADx) Allergen <sup>55</sup> 222 Explorer (ALEX) include 126 molecules from different allergen sources<sup>25</sup>. It is apparent 56 57 223 that in order to properly interpret positive results for a high number of molecules, a 58 great experience of the examining physician is necessary. To facilitate the matter, 59 **22**4 <sup>60</sup> 225 expert systems were developed to support the data analysis by dedicated decision-making

3 information systems. For instance, the expert system named Allergenius ® is based on the 226 4 ImmunoCAP ISAC implemented with an advanced knowledge specification language 227 5 6 using more than 700 different rules to mimic the experts' opinions on a complex ISAC 228 7 8 result<sup>26</sup>. In a study comparing sera from patients assayed with ImmunoCAP ISAC and 229 9 10 2 3 0 ALEX a good correlation between the results from the two methods was found<sup>27</sup>.

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By focusing on applications for personalized medicine, numerous studies have assessed 12 231 13 14<sup>3</sup>232 the benefits of attaining with PAMD@ a more appropriate prescription for AIT. Table 1 <sup>15</sup> 233 summarizes the observations from these studies <sup>28-37</sup>. A vast literature is available, as 16 recently reviewed<sup>38-39</sup>, also for the advantages of PAMD@ in the diagnosis of food allergy 17 234 18 <sub>19</sub> 235 compared to the conventional diagnosis, but this will not be treated here since the purpose <sup>20</sup> 236 of our analysis is to examine the progress achieved by PAMD@ in the appropriate 22 237 prescription of AIT. In fact, despite the numerous controlled AIT trials for food allergy<sup>40</sup>, 23 this therapy has not yet been approved. 24 2 38

25 25 26 239 As far as the identification of the really causative allergen in polysensitized patients is <sup>27</sup> 240 concerned, the first in vitro test was the RAST inhibition<sup>41</sup>, which then evolved into CAP 29 241 inhibition assay. The latter has been shown to be comparable to PAMD@ in the ability to 30 31 **242** identify the causative allergen<sup>35,42</sup> and to have a lower cost <sup>43</sup> in patients with multiple <sup>32</sup> 33 243 sensitization to Hymenoptera venom, in which a limited number of allergenic molecules <sup>34</sup> 244 are responsible, while such outcomes was not reported for multi-sensitization to 35 respiratory allergens, involving a much higher number of molecules. 36 2 4 5

37 <sub>38</sub>246 The basophil activation test (BAT), which measures basophil degranulation by flow <sup>39</sup> 247 40 cytometry, has the unique characteristic to detect in vitro the reactivity of basophils to <sup>41</sup> 248 allergens. Since these cells play a crucial role in allergic reactions, their in vitro detection 42 has a similar meaning to the in vivo provocation test with specific allergens, without 43 249 44 44 45 250 however the limit of false positive results from non-specific reactions, which represented a <sup>46</sup> 251 limit of in vivo tests. BAT was found to be very useful in the personalized diagnosis of 47 <sup>48</sup> 252 patients with allergic conditions including respiratory, food and Hymenoptera venom 49 50 253 allergy who show multiple allergen reactivity, distinguishing the allergens as causative or <sup>51</sup> 52 **2**54 not based on the response of the basophils<sup>44</sup>. As mentioned above, our review is focused <sup>53</sup> 255 on the effects of personalized diagnosis on the outcomes of AIT. As for CAP inhibition, the 54 concerned Hymenoptera venom allergy, demonstrating that BAT 55 256 first studies 56 <sub>57</sub> 257 accomplished with the recombinant allergens from Vespula spp Ves v 1, Ves v 2, Ves v 3 <sup>58</sup> 258 59 and Ves v 5 achieved a clear improvement in the specificity of diagnosis in patients with 60 2 5 9 anaphylactic reactions to wasp stings over IgE detection by Enzyme-Linked

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ImmunoSorbent Test (ELISA) or ImmunoCAP<sup>45</sup> and that in patients with severe reactions 3 260 4 to stings, some of them with negative response to slgE test and intradermal tests, BAT 5 261 6 was positive in 81% of patients compared with to a rate 57% with intradermal testing, 262 7 8 14% with IgE test, 19% of patients being negative to either test except BAT<sup>46</sup>. A study 263 9 <sup>10</sup> 264 analyzed the correlation between the BAT changes during venom immunotherapy and 11 <sup>12</sup> 265 the treatment outcome. BAT was unchanged after one year, but in subsequent years a 13 fourfold reduction was detected in all patients who developed tolerance to stings, while 14266 15 <sub>16</sub> 267 no change in BAT occurred in patients with a persistently positive sting challenge<sup>47</sup>. 17 18 268 Such important outcome was found also in patients treated with subcutaneous <sup>19</sup> 269 immunotherapy (SCIT) for grass pollen allergy. In fact, basophil sensitivity showed a 20 21 270 447-fold decrease in the first year of treatment, remaining 100-fold lower than baseline 22 in the 3 year-treatment period and 10-fold lower in the follow-up year. Notably, a 23 271 24 basophil sensitivity after three weeks of SCIT predicted long-<sub>25</sub> 272 decline in <sup>26</sup> 27 **27**3 term improvement in symptom and medication scores during the three years of <sup>28</sup> 274 treatment<sup>48</sup>. Further studies are needed to clarify the role of BAT as a biomarker or a 29 30 275 predictive marker of AIT efficacy. 31

## <sup>33</sup><sub>34</sub>277 **2** *In vivo* tests

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<sup>35</sup> 278 Theoretically, inducing allergic symptoms by a challenge with the suspected allergen in the 36 37 279 target organs can be a valuable in vivo test. However, as stated in the International 38 Consensus Statement on allergic rhinitis, the contrasting findings from different studies 39 280 40 41 281 and the absence thus far of a standardized technique limit the diagnostic utility of nasal <sup>42</sup> 282 challenge, a pivotal role being currently acknowledged only in diagnosis of occupational 43 44 283 rhinitis and local allergic rhinitis<sup>49</sup>. If future studies will achieve standardization of the 45 <sub>46</sub> 284 method, the nasal challenge could be used, alike BAT, as a test toward precision medicine.

## 49 286 **3.Treatable traits** 50

The term "Treatable traits" was suggested by Agusti and coworkers to indicate a precision medicine methodology of diagnosis and treatment of chronic disorders of the airways based on finding genetic, phenotypic and psychosocial features which are associated with therapies able to improve respiratory health<sup>50</sup>. The field of application considered as most appropriate for the use of treatable traits is represented by chronic respiratory diseases and in particular by asthma and chronic obstructive pulmonary disease (COPD), which share various clinical aspects but whose drug therapy has significantly differentiated in

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3 recent years<sup>51</sup>. For example, using treatable traits in a patient with COPD but symptoms 294 4 compatible also with asthma and positivity of tests for respiratory allergy due to 295 5 6 asymptomatic sensitization would prevent the incorrect prescription of AIT. The role of 296 7 8 treatable traits in the management of chronic respiratory diseases has been outlined by 297 9 10 298 some important studies. The first investigated the role of extra-pulmonary comorbidities as 11 treatable traits in patients with difficult-to-control asthma. The proposed comorbidities were 12 299 13 13 14 300 allergic and nonallergic rhinitis, chronic rhinosinusitis, vocal cord dysfunction, dysfunctional <sup>15</sup> 301 breathing, gastroesophageal reflux, obesity, obstructive sleep apnoea, and anxiety/ 16 17 302 depression, of which the prevalence, impact and outcome of treatment were assessed, 18 <sub>19</sub> 303 also appraising the associations of single comorbidities and the potential of comorbidity <sup>20</sup> 304 clusters on asthma control. The authors concluded that extra-pulmonary comorbidities are 22 305 important treatable traits be evaluated in all asthmatic patients and especially in those with 23 24 306 difficult asthma. Such comorbidities may have a significant influence on asthma control, <sup>25</sup> 26 307 thus their presence deserves treatment regardless of original asthma status and asthma <sup>27</sup> 308 28 control<sup>52</sup>. The number of treatable traits involved in acute exacerbation differed according 29 309 to the respiratory disease, with a major role for C reacting protein in COPD, eosinophils 30 31 310 count and fractioned exhaled nitric oxide (FeNO) measurement in asthma, and bacterial <sup>32</sup> 33 311 infections for bronchiectasis<sup>53</sup>.

<sup>34</sup> 312 An important observation obtained through the review of the literature by Pavord and 35 Agusti concerned the identification of eosinophilic airway inflammation, as assessed by the 36 3 1 3 37 <sub>38</sub> 314 blood eosinophil count, as a treatable trait of particular importance in patients with airway <sup>39</sup> 315 40 disease (including asthma and COPD, being significantly associated with long-term <sup>41</sup> 316 outcomes<sup>54</sup>. The recent European Unbiased Biomarkers for the Prediction of Respiratory 42 Disease Outcomes (U-BIOPRED) project was aimed at identifying and quantifying, based 43 317 44 45 318 on the hypothesis that treatable traits are more common in severe asthma and may 46 47</sub>319 significantly differ according to asthma phenotypes, the treatable traits recognized in the <sup>48</sup> 320 severe and mild/moderate adult asthma cohorts and across previously identified 49 phenotypes<sup>55</sup>. 50 321

All studies available thus far support a chief role of treatable traits in evaluating patients with respiratory diseases, however the preferable method of application and the costeffectiveness of such a multidimensional intervention is still uncertain, making it necessary to conduct randomized controlled trials involving large populations of patients<sup>56</sup>. Concerning AIT, treatable traits of clinical interest may consist of the treatment outcome, beyond the disease to be treated, on comorbidities<sup>57</sup>. Another trait may concern the Page 47 of 68

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3 possible synergistic effect of biologics and AIT. Precision medicine of allergic diseases is 328 4 nowadays highlighted by the consistent data of efficacy of biologics targeting cytokines ((IL 329 5 6 330 5) or their receptors (IL 5 rec. or IL4/IL13 rec.). Nonetheless, we should consider that the 7 8 331 eligibility of allergic patients to biologics or AIT is only partially overlapping, being the first 9 10 3 3 2 limited to severe asthma or CRSwNP whereas AIT has indication for allergic rhinitis and 11 mild/moderate asthma while severe asthma is a contraindication. Based on the 12333 13 14 334 characteristics of the two treatments, a synergistic effect in allergic asthma is conceivable, <sup>15</sup> 335 with biological achieving control of severe asthma but not changing its natural history while 16 17 3 36 AIT, once ceased the contraindication, can induce the long-term immunological changes. 18 <sub>19</sub> 337 Studies to investigate whether such synergy is achievable are warranted.

## <sup>22</sup> 339 **4.Omics**

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Following the definition of genomics in the 1960s as the complete nucleotide sequence of 24 3 4 0 25 26 341 an organism<sup>58</sup>, a large number of other "omics" were recently proposed, such as <sup>27</sup> 342 proteomics (the complete proteins of a cell in any organism), epi-genomics (the 29343 modification of nucleotides in an organism), metabolomics (the changes in gene activity in 30 31 344 response to metabolites<sup>59</sup>, breathomics (the multidimensional molecular analysis of 32 33 345 32 enxhaled breath<sup>60</sup>, but the list is very large and still expanding. In the field of allergy <sup>34</sup> 346 diseases, asthma is the most investigated disease. In 2011, the detection of protein 35 36 3 47 changes by proteomics in different asthma stages was supported to improve the 37 <sub>38</sub> 348 understanding of the molecular mechanisms of the disease and to find novel mediators <sup>39</sup> 349 40 and biomarkers<sup>61</sup>. Another omic of potential interest for personalized medicine is the <sup>41</sup> 350 exposome, definable as a systematic approach to obtain large data sets on to 42 43 351 environmental exposures of an individual during the whole life<sup>62</sup>.

44 44 45</sub>352 However, the available data on the state of omics technology in the management of <sup>46</sup> 353 asthma and allergic diseases were recently analyzed by Donovan et al. After pointing out 47 48 354 that omics-based investigation are used increasingly to distinguish subtypes of 49 allergic diseases and asthma subtypes, forecast patient responsiveness to specific 50 355 <sup>51</sup> 52 356 therapies, detect biomarkers and mediators, and verify the disease control, the authors <sup>53</sup> 357 concluded that omics testing in this field for are not yet a standard of care, and that key 54 factors need to be recognized before such technologies can be used successfully in 55 358 56 <sub>57</sub> 359 common clinical practice<sup>63</sup>. On the other hand, according to Fitzgerald et al., the promise <sup>58</sup> 360 of new technology must leave room to an humanomics perspective, i.e. the 60 361 acknowledgement of patient's behavioral aspects<sup>64</sup>.

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#### 363 Impact of personalized medicine on AIT

6 The great advance provided by the introduction of personalized medicine in diagnosis of 364 7 8 allergy is likely to enable solving the long-standing problem of AIT related to the great 365 9 10 366 qualitative variability of AIT products and the consequent heterogeneity of the clinical 11 results. Actually, an updated way to correctly evaluate the effectiveness of AIT products 12 367 13 13 14 368 must avoid the mistakes of the past, when the results of a valid tool such as meta-analysis, <sup>15</sup> 369 despite the clear differences between different products, tended in the conclusions to 16 extend the positive outcome to AIT in general<sup>65</sup>. The latest generation of registered 17 370 18 19371 products for SLIT, which were based on trials including large number of patients with <sup>20</sup> 372 respiratory allergy to grass pollen and dust mites, meet the modern quality needs<sup>66-70</sup>. Still, 22 373 as mentioned above, the previous generation products include allergen extracts of efficacy 23 24 374 demonstrated by controlled trials, but also products missing such evidence. This implies 25 26 375 new properly designed clinical trials to demonstrate efficacy. Nonetheless, in order to <sup>27</sup> 376 28 facilitate AIT product development it is advisable that the same product composition, 29377 possibly using different formulation (i.e. drops/tablets) should have a mutual program and 30 31 378 recognition and possibly a full development program. Also, the relevance of testing all <sup>32</sup> 33 379 allergens and the final cost of the studies for a limited effect size due to the huge placebo <sup>34</sup> 380 effect in AIT trial is worthy of attention. These facets could provide a flexibility of treatment 35 otherwise difficult to reach in clinical practice, when batch to batch reproducibility is 36 381 37 <sub>38</sub> 382 ensured in terms of quality product and standardization.

<sup>39</sup> 383 40 Today, to achieve the highest quality any manufacturer of allergen products must follow <sup>41</sup> 384 stringent scientific rules. The first is to ensure the presence in the allergen extracts of all 42 relevant allergens. As discussed above, PAMD@ provides the detections of all allergen 43 385 44 44 45</sub> 386 molecules, distinguishing those simply cross-reactive from genuine causative ones. <sup>46</sup> 387 Similarly, the development of an AIT product requires during the manufacturing process 47 48 388 the identification of all component through mass-spectrometry based analysis, followed by 49 50 389 allergen extraction, purification and formulation, as well as yield cost, robustness and <sup>51</sup> 52 390 scaling up. The subsequent step is the product characterization, which results in <sup>53</sup> 391 development and implementation of analytical methods for identity, purity, consistency and 54 stability, which makes clinical development possible in its various phases. These phases 55 392 56 <sub>57</sub> 393 (I, II, III) are aimed at establishing safety, dosing and efficacy. For optimal quality level, <sup>58</sup> 394 59 well-controlled source materials are also essential, which consist, for example for grass 60 3 9 5 pollen, in the harvesting and processing of pollens from cultured grasses, while for house

 $^{3}_{4}$  396 dust mites the development of a synthetic cultured medium free from proteins of animal origin is needed<sup>71</sup>. As mentioned above, the use of omics is of marked importance in the comprehensive characterization of allergen extracts, including transcriptome for *de novo* sequencing, proteome for MS analysis, and allergome for IgE reactivity<sup>72</sup>.

10 400 In this context, the evaluation of the Real-World Evidences (RWE), should be properly 11 12 401 reappraised, since patients in clinical practice often do not meet the same criteria of the 13 14 402 subjects enrolled in the double-blind placebo-controlled trials (DBPCRT) leading to <sup>15</sup> 403 registration by Regulatory Authorities<sup>73</sup>. In fact, distinction is made between the final 16 17 404 outcome of DBPCT efficacy and the one in RWE. Nonetheless, a correct evaluation of 18 <sub>19</sub> 405 these RWE has to be methodologically performed. To this purpose an ongoing European <sup>20</sup> 21</sub>406 Academy of Allergy and Clinical Immunology (EAACI) initiative is designed to ascertain the 22 407 validity of the existing RWE concerning AIT, applying the recent tools proposed by 23 24 408 Respiratory Effectiveness Group (REG)/EAACI from one side (Relevant) and Grading of 25 26 409 Recommendations, Assessment, Development and Evaluation (GRADE) on the other side <sup>27</sup> 410 <sup>74</sup>. Through this initiative we will be able define the real validity of the existing AIT RWEs. 29 4 1 1 This action has been also stated and promoted by the recent Real-Life Research 30 31 412 Manifesto, where it is pointed out the need to consider RWE in the complex scenario of <sup>32</sup> 33</sub>413 scientific clinical research<sup>75</sup>. This document supported the use of tools, such as the ones <sup>34</sup> 414 mentioned previously, to analyze RWE properly. Finally, the Manifesto also underlined the 35 major differences existing between retrospective and prospective RWE: where prospective 36 4 1 5 37 <sub>38</sub> 416 approach, whose typical example is a Disease or intervention's Registry, is the most <sup>39</sup> 417 40 credible, as also stated by Sherman et al<sup>76</sup>. In fact, it should be underlined that several AIT <sup>41</sup> 418 RWE reports were retrospectively collected, combining different methodologies, thus 42 decreasing the validity of the final outcomes/conclusions. However, recent, well conducted 43 4 19 44 45 420 real-life studies, derived from a well-designed and set up database and including very <sup>46</sup> 421 large patient populations, were of relevant importance, because they contributed to 47 48 422 increase the knowledge on the effectiveness of AIT in patients seen in daily clinical 49 50 423 practice, possibly not meeting the strict criteria to be included in a trial<sup>77,78</sup>.

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## 53 425 Final message: AIT as personalized treatment

All the above is in line with the current view that collecting Big Data can lead to precision medicine<sup>79,80</sup>. We previously pointed out AIT as a prototype of precision medicine<sup>7</sup> and now we would propose AIT as one of the best example of Personalized and Participatory Medicine, aimed to satisfy the needs and preferences of patients and to strengthen the

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cooperation patient/doctor in jointly choosing the best therapeutic option. AIT is the only medical treatment that has the capacity to change the natural history of allergic diseases <sup>81</sup> 431 and responds perfectly to the three major needs to be met in personalized medicine, which 432 433 are the identification of the molecular mechanism of the disease, the availability of 10 4 3 4 diagnostic tools to recognize this mechanism and the availability of a treatment capable of blocking the mechanism<sup>82</sup>. In Figure 1 we summarized all the possible aspects of the 12 4 3 5 14 14 14 updated journey to reach the best prescription of AIT, through a detailed and modern <sup>15</sup> 437 diagnosis (including PAMD@) and definition of patient's eligibility to AIT. As to diagnosis, it must be kept in mind that the medical history collected by a skilled doctor is the basis for 17 4 38 <sub>19</sub> 439 deciding the subsequent investigations in accordance with the individual characteristics. <sup>20</sup> 21</sub>440 The current and future tools to reach a AIT personalized prescription are summarized in 22 441 Figure 2 . Their variable characteristics suggest that personalized care is valuable in this 23 24 4 4 2 setting and may be also be preventive (by focusing on quality of life), predictive (by 25 26<sup>2</sup>443 allowing treatment to be adjusted as a function of the individual's response) and <sup>27</sup> 444 28 participative (empowering the patient). In particular, SLIT can be considered a precision 29 4 4 5 medicine treatment (especially with high quality NPP) that enable the physician to identify 30 31 446 the optimal treatment for each patient. This tailor-made approach to diagnosis, decision-32 33 447 32 making, product choice and treatment schedules may enhance effectiveness, minimize <sup>34</sup> 448 adverse events, improve patient's quality of live and reduce the socio-economic impact. A 35 number of SLIT drops updosing regimens and maintenance treatment were shown to be 36 4 4 9 37 <sub>38</sub> 450 safe and effective. This means that SLIT liquid allergen extract formulations are extremely <sup>39</sup> 451 40 flexible in terms of frequency of dosing and number of allergen doses delivered. Such <sup>41</sup> 452 parameters can be fine-tuned to suit the patient's day-to-day treatment response and 42 intercurrent events. Depending on the sensitization profile and the clinical signs, the 43 453 44 45<sup>454</sup> specialist can develop a specific, tailor-made SLIT liquid-based approach that, due to its <sup>46</sup> 455 ductility in clinical practice, may be an added value. In addition, SLIT liquid formulation 47 <sup>48</sup> 456 makes it possible to adapt the dose and regimen during the updosing and/or the 49 50 457 maintenance phase to target optimal effectiveness. 51

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The clinician should then evaluate:

- The route of administration: presently SCIT and SLIT for aeroallergens, considering evidences and patient's needs/preference
- The AIT product to be chosen as recommended by WAO<sup>83</sup> and EAACI<sup>84</sup> among the products supported by evidences.
- SCIT: different schedules upon the products (i.e. depot or aqueous)
- SLIT: preference for drops or tablet, both considering evidences and patient's needs/preference
- Dosages and schedules: continuous or pre-seasonal; long or short term.
- Most of the above can be influenced by costs and/or reimbursements.

Personalized medicine in AIT has the potential to improve various outcomes which have so far produced limited results. This is true for preventive ability, which until now has been shown only for the development of asthma in patients with allergic rhinitis<sup>85</sup>, the identification of biomarkers predictive of treatment efficacy (still not known)<sup>57</sup> and costeffectiveness compared to drug therapy, clearly demonstrated on the basis of the persistence over time of the control of symptoms after AIT withdrawal<sup>86</sup>, but further improvable by greater diagnostic precision. Further issues could concern the expansion of e-health systems<sup>7</sup> and the implementation of telemedicine<sup>87</sup>.

This journey is leading to the most appropriate choice of the AIT treatment thanks to the multifaceted variety of AIT products empowering the professionality of the clinician in choosing, through a shared decision that considers the patient's needs, a proper AIT product for each patient according to eligibility and acceptability.

44 471 It is so conceivable to conclude that AIT is still a unique and unmatched model of Personalized Medicine for allergy treatment, able to encompass the prediction of a <sub>46</sub> 472 47 48</sub>473 successful treatment and the potential prevention or progression of an allergic disease, <sup>49</sup> 474 and possibly to face the challenge of providing such advances to at affordable costs. As well, the characteristics of Personalized Medicine for allergy are likely to enable to face 51 475 <sub>53</sub> 476 challenges such as increasing prevalence, growing complexity and heterogeneity, impact <sup>54</sup>477 on patients, problem of access to care, therapeutic wandering, and successful 55 56 478 management of uncontrolled patients<sup>88</sup>. 57

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## <sup>59</sup><sub>60</sub>480 **Disclosure of Interests**

481 M. Al-Ahamad has nothing to disclose.

- <sup>3</sup> 482 I. Ansotegui declares he has received fees from Abbott, Astra Zeneca, Faes Farma,
  <sup>4</sup> 483 Hikma, Menarini, MSD, Mundipharma, Roxall, Sanofi, Stallergenes Greer, UCB.
- <sup>6</sup><sub>7</sub> 484 S. Arasi has nothing to disclose.

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- <sup>8</sup> 485 C. Bachert declares he has received personal fees from Sanofi, personal fees from GSK,
  <sup>10</sup> 486 personal fees from Novartis, personal fees from Astra-Zeneca.
- J. Bousquet declares he has received lecture fees and/or participation at expert board meetings from Chiesi, Cipla, Hikma, Menarini, Mundipharma, Mylan, Novartis, Sanofi-Aventis, Takeda, Teva, Uriach (Advisory Board, consultant, meeting lectures fees), Kyomed (shares).
- <sup>18</sup> 19 491 A. Bozek has nothing to disclose.
- <sup>20</sup><sub>21</sub>492 D. Caimmi has nothing to disclose.
- <sup>22</sup> 493 M. Calderon has nothing to disclose.
- 24 494 T. Casale has nothing to disclose.
- $^{25}_{26}$  495 A. Custovic has nothing to disclose.
- <sup>27</sup> 496 GW. Canonica declares he has received lecture fees and/or participation at expert board
  <sup>29</sup> 497 meetings BI, ALK, Stallergenes Greer (Grant/ research support), (Menarini, GSK, Sanofi,
  <sup>30</sup> Teva, Hal, AZ, Novartis (honoraria or consultation fees).
- <sup>32</sup><sub>33</sub> 499 F. De Blay declares he has receaved grants from Stallergenes Greer, Chiesi, ALK,
  <sup>34</sup><sub>35</sub> 500 Mundipharma, Novartis.
- P. Demoly declares he had received personal fees for grants/lecture from Astra Zeneca,
  Mylan, Sanofi, ASIT Biotech, ALK, Stallergènes Greer, Thermofisher Scientific, Menarini,
  Chiesi, Bausch&Lomb, Yslab.
- P. Devillier declares he has received has received consultancy fees, honoraria for
  lectures, and/or research funding from ALKAbelló, Stallergenes Greer, AstraZeneca,
  Chiesi, Boehringer-Ingelheim, GlaxoSmithKline.
- <sup>49</sup><sub>50</sub> 507 A. Didier declares he has received personal fees for consultancy services for ALK and
  <sup>51</sup><sub>50</sub> 508 grants for participation in clinical research projects with ALK.
- 53 509 A. Fiocchi has nothing to disclose.
- <sup>54</sup><sub>55</sub>510 A. Fox has nothing to disclose.

<sup>57</sup><sub>58</sub>511 P. Gevaert declares he has received lecture fees and/or participation at expert board
 <sup>59</sup>512 meetings from Ablynx, ALK, Argenx, Astra-Zeneca, Genentech, HAL-Allergy, Novartis,
 <sup>60</sup>513 Roche, Regeneron, Sanofi, and Stallergenes Greer.

2 <sup>3</sup> 514 4	M. Gomez has nothing to disclose.
5 6 515	E. Heffler declares he has received lecture fees and/or participation at expert board
7	
8 516 9 517	meetings from AstraZeneca, GSK, Sanofi, Novartis, Boehringer Ingelheim, Valeas,
10 517	Circassia, Nestlè Purina.
<sup>11</sup> 518 12	N. Ilina has nothing to disclose.
13 519 14	C. Irani has nothing to disclose.
<sub>15</sub> 520	L. Klimek has nothing to disclose.
<sup>16</sup> 17521	P. Kuna declares he has received honorarium as speaker from Allergopharma, ALK.
<sup>18</sup> 522 19	Bencard and Stallergenes Greer.
20 523	R. O'Hehir C. has nothing to disclose.
<sup>21</sup> 22 524	Incorvaia declares he has received fees for consultancies from Bayer and Stallergenes
<sup>23</sup> 525 24	Greer.
<sup>25</sup> 526	M. Jutel declares he has received personal fees from ALK-Abello, Allergopharma,
26 27 <b>527</b>	Stallergenes Greer, Anergis, Allergy Therapeutics , Circassia, Leti, Biomay, HAL.
<sup>28</sup> 29 528	O. Kurbacheva has nothing to disclose.
<sup>30</sup> 529 31	P. Matricardi declares he has received fees from Thermo Fisher Scientific, Hycor, Omron,
32 530	TPS, Stallergenes Greer, Euroimmun.
<sup>33</sup> 34 531	M. Morais de Almeida has nothing to disclose.
<sup>35</sup> 532 36	R. Mosges declares he has received fees from Allergopharma, ALK-Abelló, Glaxo, HAL
37 533 38	Allergy, Leti, Lofarma, Novartis, Parexel International, Stallergenes Greer.
39 534	N. Novak has nothing to disclose.
<sup>40</sup> 41 535	Y. Okamoto has nothing to disclose.
<sup>42</sup> 536 43	P. Panzner has nothing to disclose.
44 537	N. Papadopoulos declares he has received fees from Novartis, NUTRICIA, HAL, Menarini,
45 46 538	SANOFI, MEDA, AstraZeneca, GSK, MSD, ASIT BIOTECH, Boehringer Ingelheim.
<sup>47</sup> 48539	H. Park has nothing to disclose.
<sup>49</sup> 540 50	G. Passalacqua has nothing to disclose.
51 541	R. Pawankar has nothing to disclose.
<sup>52</sup> 53 542	O.Pfaar declares he has received grants and personal fees from ALK-Abelló,
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57 58 545	P. Schmid-Grendelmeier has nothing to disclose.
<sup>59</sup> 60 546	H. Tortajada has nothing to disclose.
547	C. Vidal has nothing to disclose.

1 2	
<sup>3</sup> 548	C Virchow declares he has received fees from Allergopharma, ALK, LETI, Stallergenes
4 5 549	Greer.
6 7 550	U. Wahn declares he has received honoraria for consultancy as well as lecture fees from
8 9 551	Allergopharma, Stallergenes Greer, ALK, Roxall, Sanofi-Aventis, Novartis, Berlin-Chemie
10 552	M. Worm declares she as received of honoraria or consultation fees by ALK-Abelló
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<sup>20</sup> 558 21	P. ZiegImayer has nothing to disclose.
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## $\frac{3}{4}$ 565 Table 1 Studies that have assessed the benefits of attaining with PAMD a more

### 5 566 appropriate prescription for AIT

 Author, year (ref)	Allergens tested	Population	Results
Mitterman, 2010 (28)	Bee and wasp venom.	43 patients (pts)	Recombinant
			nonglycosylated allergen
			molecules (Api m 1, rApi
			m 2, rVes v 5) allowed to
			define the sensitizing
			venom in the 14 patients
			with positive test both
			bee and wasp venom
			extracts.
Castra 2012 (20)	Dellana	111 ptp	
Sastre, 2012 (29)	Pollens	141 pts	Agreement in AIT
			indication before and
			after ISAC(®) results in
	<b>C</b>		62 (46%) patients;
			(kappa = 0.1057 ±
		$\mathcal{O}^{\vee}$	0.0413).
Moreno, 2014 (30)	Pollens	1263 pts	Based on history and
			SPT, 73% of patients
		$\mathbf{O}$	would have been
	, N	4.	prescribed AIT with a mix
			of grass and olive
			pollens, while only in
		2	56.8% of patients the
0			double positivity was
			confirmed by molecular
			allergy diagnosis (MAD)
			alleruv diadhosis (IVIAD)
Ň			
28			with Ole e 1 and Phl p
RAY			with Ole e 1 and Phl p molecules.
Popescu, 2014 (31)	Grass pollen	Not defined (review	with Ole e 1 and Phl p
Popescu, 2014 (31)	Grass pollen	Not defined (review of published data)	with Ole e 1 and Phl p molecules.
Popescu, 2014 (31)	Grass pollen		with Ole e 1 and Phl p molecules. MAD biomarkers
Popescu, 2014 (31)	Grass pollen		with Ole e 1 and PhI p molecules. MAD biomarkers (including tolerogenic
Popescu, 2014 (31)	Grass pollen		with Ole e 1 and Phl p molecules. MAD biomarkers (including tolerogenic dendtitic, regulatory T
Popescu, 2014 (31)	Grass pollen		with Ole e 1 and Phl p molecules. MAD biomarkers (including tolerogenic dendtitic, regulatory T cells, IgE, IgA and IgG

			information for allergists
Vidal, 2014 (32)	Pollens, dust mites,	62 Spanish	SPTs are insufficient to
	moulds, animal epithelia	allergists	establish the clinical
			relevance of each
			allergen. Serum specific
			IgE adds value to SPT
			but MAD is strongly
			recommended,
			particularly in pollen-
			allergic patients.
Saltabayeva, 2017 (33)	Pollens	95 pts (real-life	A lower number of A
<b>,</b> , , , , ,		study)	treatments (n = 119) wa
			needed according
		ר	MAD compared to 27
		202	AIT treatments based o
			conventional diagnosi
			with large reduction
			the total costs for a
			year AIT treatment
Del-Rio Camacho, 2018	Pollens	70 children	MAD modified A
(34)			prescription in 54.3%
( ),		Ζ.	cases, and increasir
			the indication of single
			allergen therapy fro
		2	18% to 51% reversir
			the decision to prescrib
			AIT in 9.3% of cases
Savi, 2016 (35)	Hymenoptera venoms	40 pts.	By MAD data VIT wou
			have been prescribed
0.5			7 pts for Polistes spp,
$\mathbf{O}$			6 for Vespula spp, and
			41 for both venoms. Wi
			the data from CA
			inhibition, it would have
			been a prescription to 1
			patients for Polistes,
			28 for Vespula, and to 1
			20 101 vespula, allu lu

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2 3				for both venoms. A good
4 5				concordance between
6				the results of MAD and
7 8				CAP-inhibition was found
9 10				only when the value in
11				kU/I of Ves v 5 were
12 13				about twice those of Pol
14				d 5, and vice versa.
15 16	Martinez-Canavate	Pollens	281 children	MAD results changed the
17 18	Burgos, 2018 (36)			specialist's composition
19				of the prescribed AIT in
20 21				52.87% of cases.
22 23	Peveri, 2019 (37).	Various aeroallergens	272 pts.	In 50% of cases a
24				change in the AIT
25 26			X	prescription for
27				respiratory allergy was
28 29				found, resulting in a
30 31			$\mathbf{G}^{\mathbf{v}}$	saving of financial
32			Ň	resources.
<sup>33</sup> 56 34	7	6		
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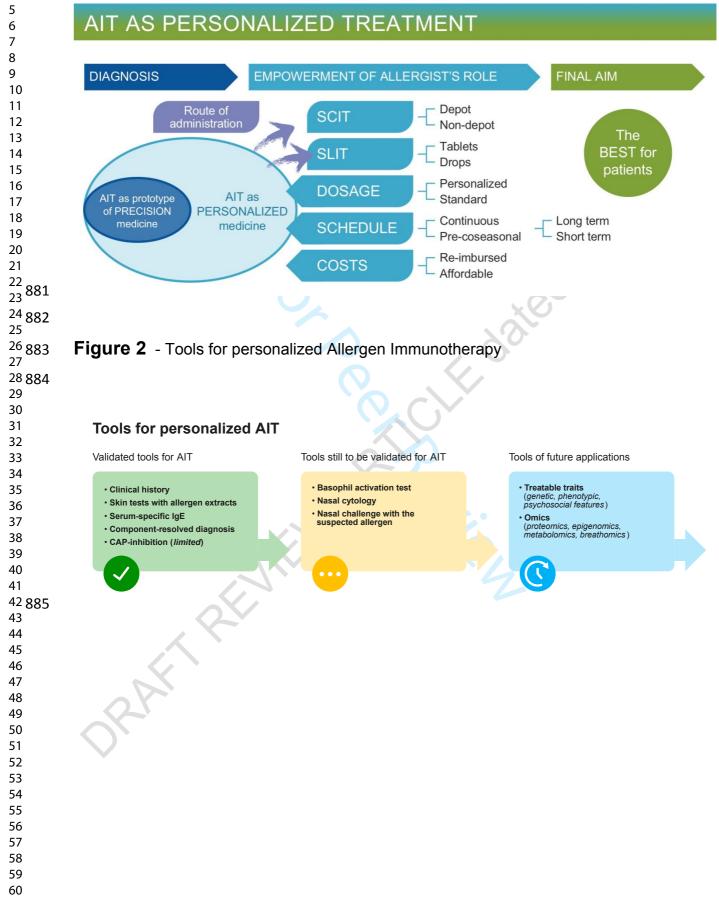
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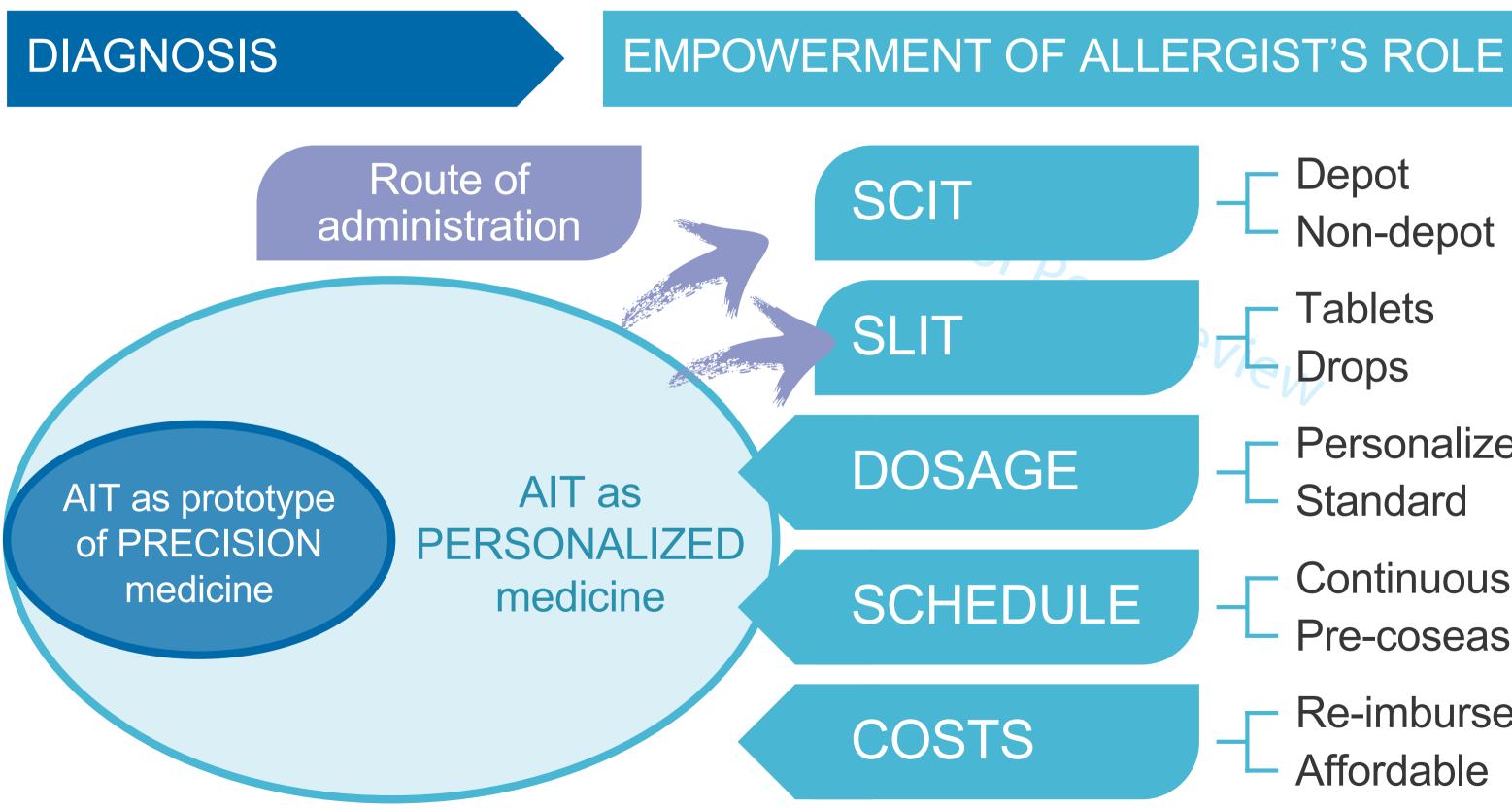


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Figure 1 - Allergen Immunotherapy as personalized treatment









# FINAL AIM

- Depot Non-depot
- Tablets
- Drops
- Personalized
- Standard
- Continuous
- Pre-coseasonal
- **Re-imbursed** Affordable

# The **BEST** for patients

Long term Short term

## **Tools for personalized AIT**

2 Validated tools for AIT

Clinical history

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- Skin tests with allergen extracts
- Serum-specific IgE
- Component-resolved diagnosis
- CAP-inhibition (*limited*)

Tools still to be validated for AIT

- Basophil activation test
- Nasal cytology
- Nasal challenge with the suspected allergen

Tools of future applications

• Treatable traits (genetic, phenotypic, psychosocial features)

• Omics (proteomics, epigenomics, metabolomics, breathomics)