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DFT Simulation of the X-Ray Emission and Absorption Spectra for Different Lithium Compounds

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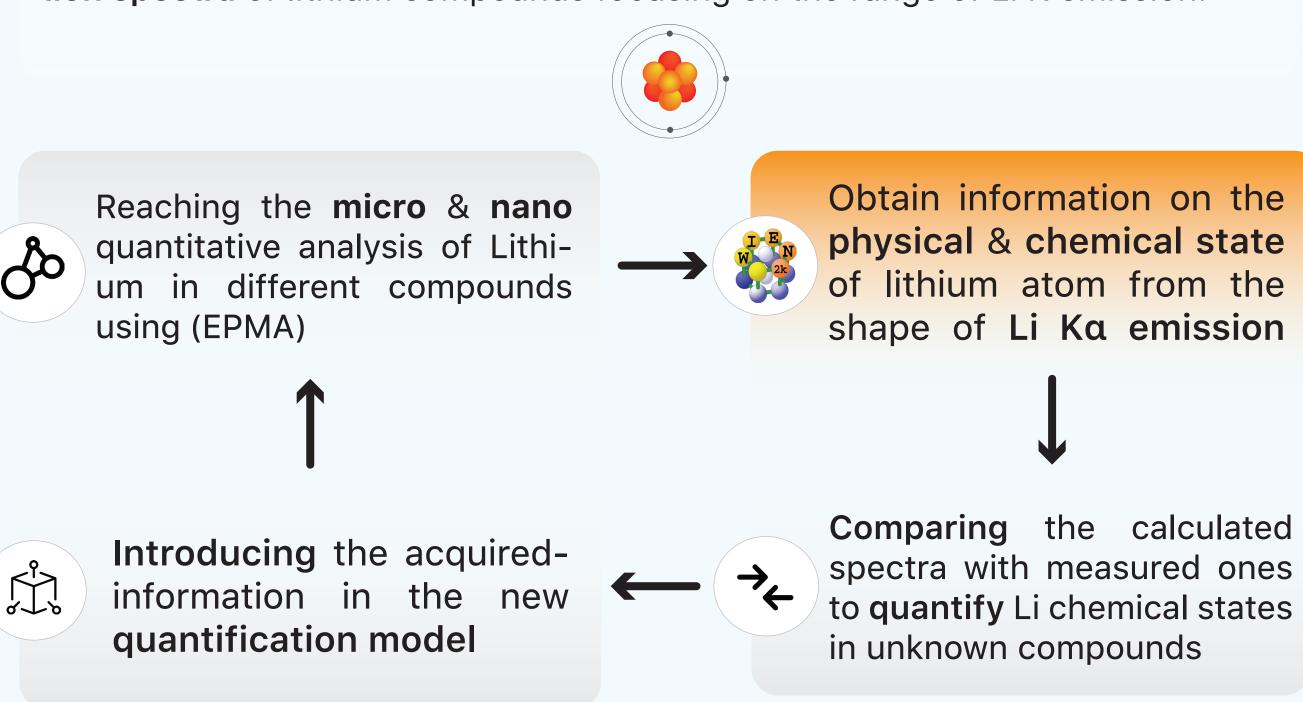
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Motivation

With the development of **X-ray spectroscopic** techniques more light elements with emissions in the soft x-ray range are detected. Our goal is to extend this to the Li element with an easy accessible spectroscopic technique using either **multilayers** [2] **or grating system** that can be implemented in EPMA or SEM.

Lithium has a single valence electron which participates in the formation of bonds with atoms of other elements leading to a dramatic change of emission probability from one compound to the other. In addition to the matrix effect resulting from the presence of other compounds in the sample making the anticipation and detection of **Li K alpha emission** difficult. This is why we proceed by **DFT simulation** to investigate the changes of **emission and absorption spectra** of lithium compounds focusing on the range of Li K emission.



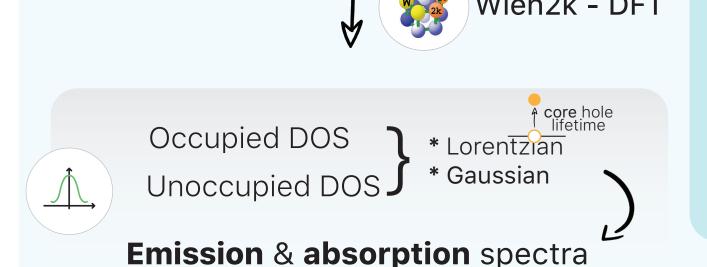
Methods

Spectra have been computed using density functional theory with all-electron linearized augmented plan wave (LAPW) in conjunction with the PBE-GGA functional adopting the Wien2k [1] package. Density of states, emission and absorption spectra have been determined.

Spectra are calculated for the dipole allowed transitions, generating matrix elements, which are multiplied with a radial transition probability and the partial densities of states.

All calculations have been performed with Lorentzian broadening of 0.03eV to account for the core-hole lifetime, and a gaussian broadening of 1eV to take into consideration the spectrometer function.

Unoccupied and occupied electronic structures of the different Lithium compounds have been studied by density of states calculations using the wien2k.



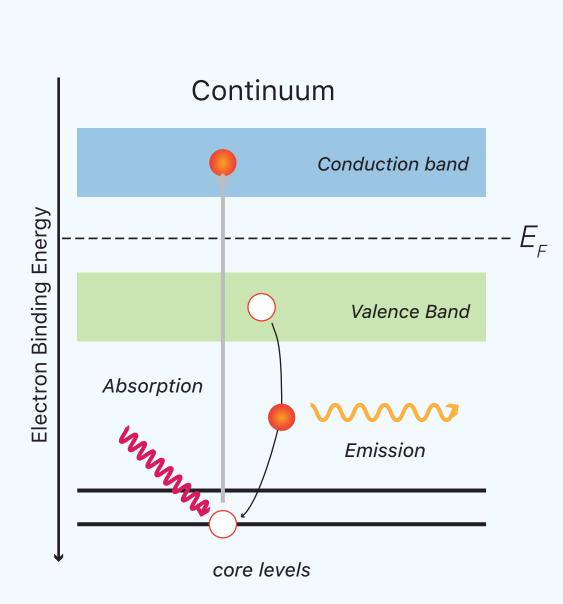


Figure: Illustration of the X-ray emission and absorption

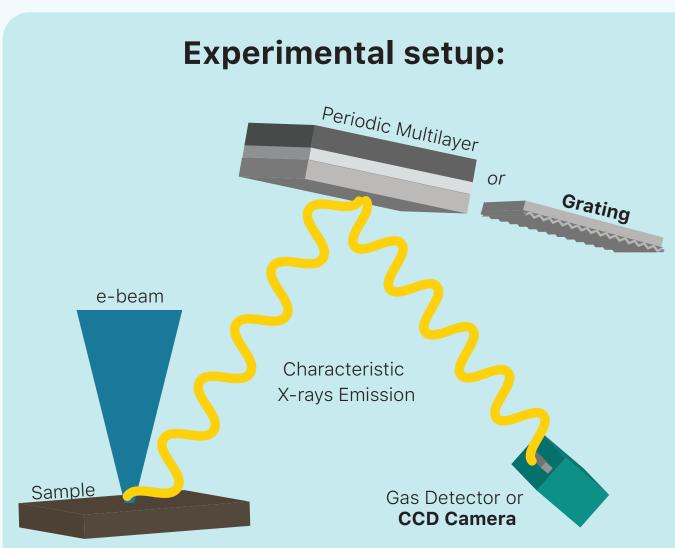
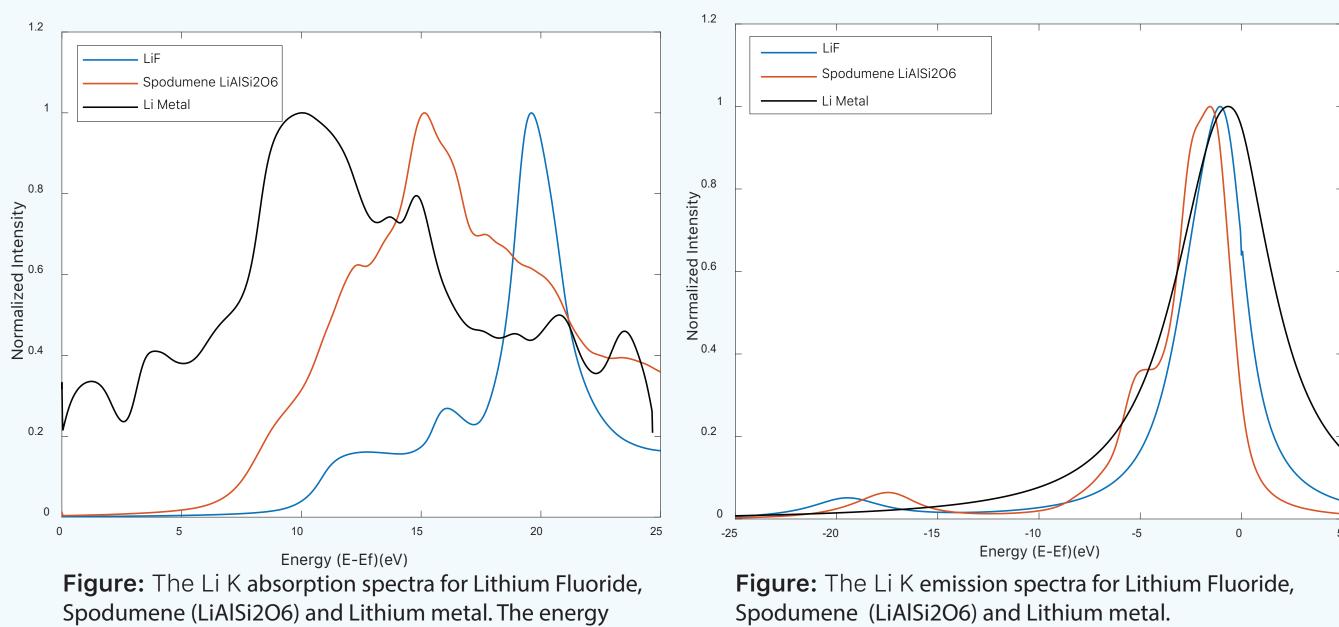


Figure: Wavelength dispersive spectroscopy (WDS) technique implemented inside the EPMA to acquire emission spectra

The emitted X-rays can be detected using the wavelength dispersive spectroscopy (WDS) as represented in the figure above, where periodic multilayers or grating are used as dispersive elements.

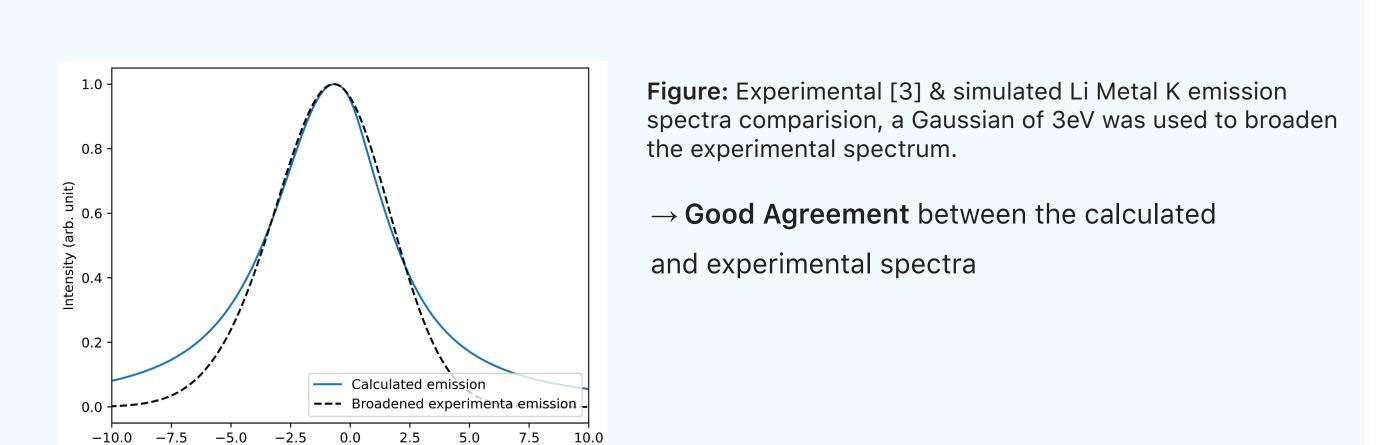
Results

Absorption & Emission Spectra:

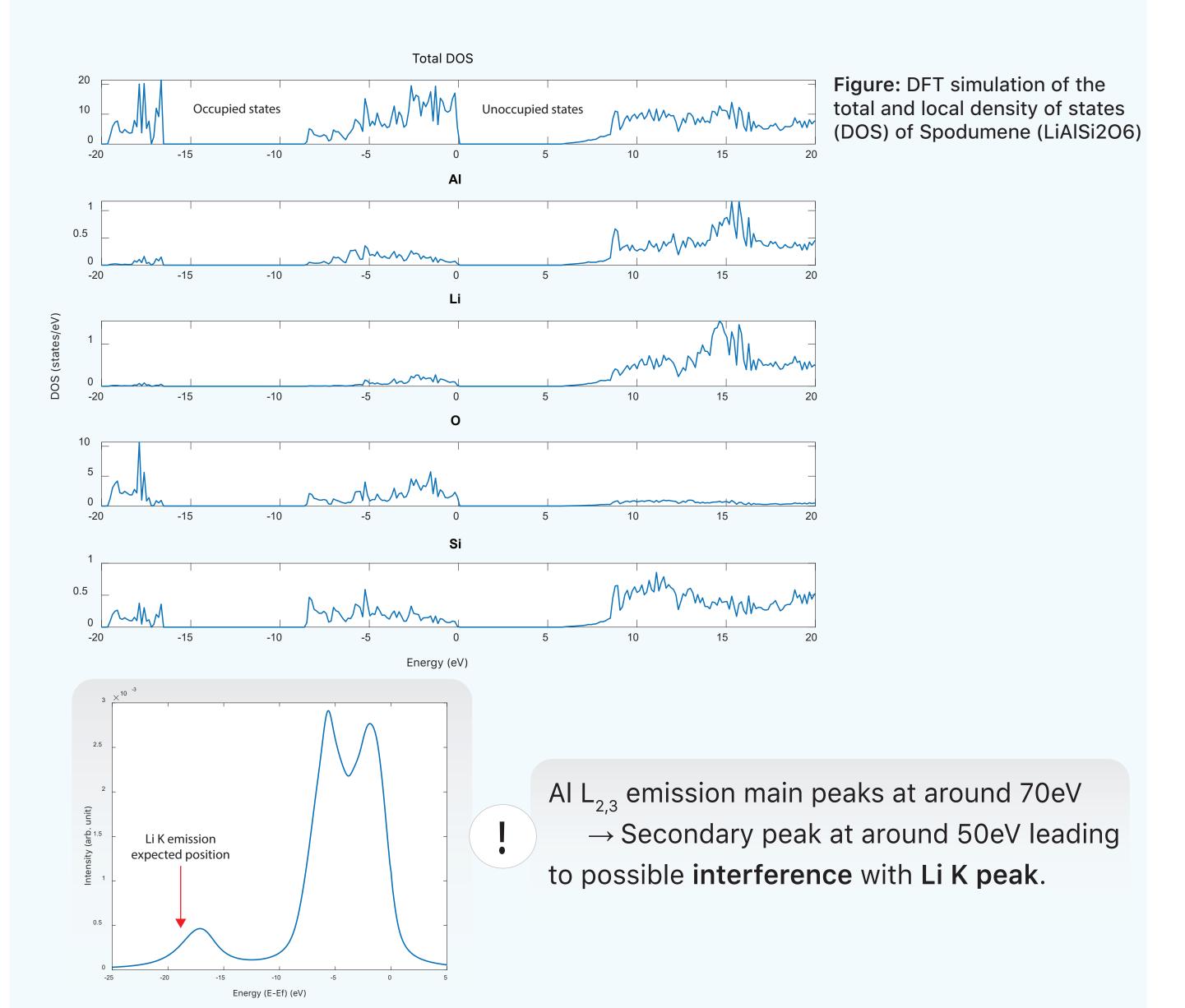


position is relative to Fermi energy of each compound.

→ Different **number of peaks** and **shoulders**



Density of States:



Conclusion:

Lithium presents only one emission band corresponding to a transition between Li 2p valences states and 1s core level. The calculations show that the **shape and position** of the **emission and absorption** bands are **highly sensitive** to the **chemical state** of lithium atom.

References:

[1] P. Blaha, K.Schwarz, F. Tran, R. Laskowski, G.K.H. Madsen and L.D. Marks, J. Chem. Phys. 152, 074101 (2020) [2] V. **Polkonikov** et al., "Periodic Multilayer for X-ray Spectroscopy in the Li K Range," Applied Sciences, vol. 11, no. 14, p. 6385, Jul. 2021, doi: 10.3390/app11146385.
[3] O. Aita and T. Sagawa, "Soft X-Ray Emission Spectra of Light Elements. I. Li, Be, B, Al and Si," J. Phys. Soc. Jpn., vol. 27, no. 1, pp. 164–175, Jul. 1969, doi: 10.1143/JPSJ.27.164.