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# Investigating the effect of diagenesis on ESR dating of Middle Stone Age tooth samples from the open-air site of Lovedale, Free State, South Africa

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## ABSTRACT

Teeth are usually targeted for dating archaeological sites because they are less prone to dissolution, in comparison with bones. However, despite this apparent resistance, teeth do undergo diagenesis, which needs to be accounted for in order to obtain accurate ages. In particular, the uptake of trace elements such as uranium in dental tissues needs to be considered for dose rate determination when dated using electron spin resonance (ESR). Characterising the mineralogy and structural integrity of samples prior to dating may thus provide important information related to their state of preservation, especially in the case of teeth whose U content can significantly affect the dose rate.

In this study, we dated five teeth of small-sized bovids using combined ESR/U-series dating. They were collected at the Middle Stone Age site of Lovedale, located in the central interior of South Africa. Micromorphology provided sedimentary context to the samples, which were recovered from a layer of gravel rich in faunal remains. Using cathodoluminescence, laser-induced fluorescence, Fourier transform infrared spectroscopy and Raman micro-spectroscopy we assessed the degree of preservation of the enamel. Results reveal that carbonate hydroxyapatite underwent post-depositional alteration, based on its molecular structure and elemental composition. Although the teeth all originate from the same layer and were sampled in the same 1-m square and at a similar elevation, U-content in the enamel differs highly from one tooth to the other, with values ranging from 1.7 to 29.6 ppm. These values are correlated with equivalent doses ( $D_e$ ) from 228 to 923 Gy and are consistent with variations in crystallinity determined with vibrational spectroscopy. We also investigated the possible saturation of the ESR signal, by repeating measurements with microwave power values from 1 to 20 mW.

Despite such diversity in U-content, the ages calculated assuming an early uptake of U all fall within the same range, from  $63 \pm 8$  ka to  $68 \pm 15$  ka and may only represent a minimum estimate.

## 1. Introduction

Since the pioneering work of Ikeya and Miki (1980), fossil tooth

enamel has been extensively used for electron spin resonance (ESR) to date prehistoric occupations. Compared to bones, teeth are made up of much larger crystals arranged in structures that are more resistant to

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chemical weathering (Weiner, 2010). Due to their apparent good preservation in archaeological settings, they are a choice material for geochemical analyses (Lee-Thorp, 2008) and dating (Ikeya, 1993). However, diagenetic alteration processes have been reported in carbonate hydroxyapatite [ $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ ], the mineral component of teeth (e.g., Dauphin et al., 2018), which may affect both equivalent dose and dose rate. To control the quality of samples and ensure that they preserve unaltered signals, sample characterisation has proven to be useful in the field of stable isotopes geochemistry (e.g., Lee-Thorp and Sponheimer, 2003; Thomas et al., 2011; Rey et al., 2021). Indeed, changes in carbonate content and crystallinity, processes such as dissolution and recrystallisation, the presence of secondary phases, and the uptake of trace elements may affect the composition of bones and teeth (e.g., Hedges and Millard, 1995; Hedges, 2002; Trueman et al., 2004; Lebon et al., 2010; Dal Sasso et al., 2018a), and potentially the age determination. To date, the effect of such processes in relation to trapped-charge dating has been poorly investigated (Michel et al., 1995; Rink and Schwarcz, 1995) as opposed to the field of stable isotopes and trace elements analysis (e.g., Kohn et al., 1999; Sponheimer and Lee-Thorp, 1999; Schoeninger et al., 2003; Zazzo, 2014; Kamenov et al., 2018). In addition, it is well known that the uptake of uranium in dental tissues may significantly influence the dose rate (leading to the combination of ESR with U-series; Grün et al., 1988), and that its distribution is heterogeneous (Eggins et al., 2003; Grün et al., 2008; Duval et al., 2011). It thus appears crucial to evaluate the effect of diagenesis on the sample and the impact on age determination, and to develop pre-screening methods to select the best-preserved samples. In this study, several characterisation techniques were used to track fossil tooth diagenesis, including Fourier transform infrared spectroscopy (FTIR), Raman micro-spectroscopy, scanning electron microscopy coupled with cathodoluminescence (SEM-CL), and laser-induced fluorescence (LIF).

FTIR spectroscopy probes the vibrational modes of molecules, providing information about the chemical composition and structure of the material (Diem, 2015). FTIR in transmission mode can be used to assess the degree of atomic order (or 'crystallinity') of carbonate hydroxyapatite crystals, which is related to their formation path (Termine and Posner, 1966). This process can be monitored by measuring the infrared splitting factor (IRSF) of the  $\nu_4$  absorption of phosphates, with higher values indicating increased crystallinity (Weiner and Bar-Yosef, 1990). However, it has been shown that two phenomena contribute to infrared absorptions in opposite ways. Pristine carbonate hydroxyapatite crystals are poorly ordered, which translates into broad infrared absorptions. At the same time, pristine crystals are small, causing peak narrowing. To decouple these two effects, Asscher et al. (2011b) developed a method whereby the IRSF is plotted against the full width at half maximum (FWHM) of the  $\nu_3$  absorption of phosphate over a number of grindings of the same KBr pellet, each time ground with increasing strength to reduce particle size. By doing so, it is possible to define trendlines (or 'grinding curves') that are characteristic for different types of carbonate hydroxyapatite (bone, enamel, dentine, cement) in different species. Upon ripening, pristine carbonate hydroxyapatite crystals become larger and more ordered at the atomic level, and this produces an offset in the grinding curves that can be used to identify diagenesis in faunal material (Asscher et al., 2011a).

Raman micro-spectroscopy, like FTIR, probes molecular vibrations (Diem, 2015). This technique has been applied to the study of bone diagenesis to detect collagen and carbonate content, the occurrence of secondary phases and the degree of crystallinity (e.g., Thomas et al., 2007; Lebon et al., 2011; Dal Sasso et al., 2018a). Broadening of the  $\nu_1$  absorption of carbonate hydroxyapatite is due to a decrease in

atomic order (Penel et al., 1998; Pucéat et al., 2004).

CL is the emission of light resulting from the excitation of electrons using an electron beam in a scanning electron microscope (SEM). Electrons in the valence band can be temporarily upgraded to an excited state following the irradiation by high-energy electrons. These electrons may be trapped in lattice defects during the relaxation to the ground

state, which are called 'luminescence centres'. When emptying the traps (relaxation to the ground state), light is emitted in the visible, NIR and UV range and the wavelength is characteristic of a chemical species (Boggs and Krinsley, 2006). SEM-CL can provide information regarding the incorporation of foreign ions in the enamel structure and their distribution. For instance, it has been shown that rare earth elements (REE) and Mn are incorporated in bones and teeth during burial (e.g., Williams et al., 1997; Kohn et al., 1999); these are luminescence activators that can be detected using SEM-CL (S'egalen et al., 2008).

LIF uses a laser beam to excite electrons and produce electronic transitions. While relaxing to the ground state, fluorescence is emitted in the UV and visible ranges. As for CL, the wavelength of the emission is related to a specific chemical species. Only a few studies addressed the application of the technique to palaeontological specimens (Croft et al., 2004; Kaye et al., 2015) despite providing sensitive, non-destructive qualitative analyses using a remote and portable instrument. The fluorescence in minerals is sensitive to activator elements, impurity ions, defects, chemical composition, and crystal lattice (Gaft et al., 1998, 2001), and allows detecting trace elements in minerals (Bozlee et al., 2005; MacRae and Wilson, 2008).

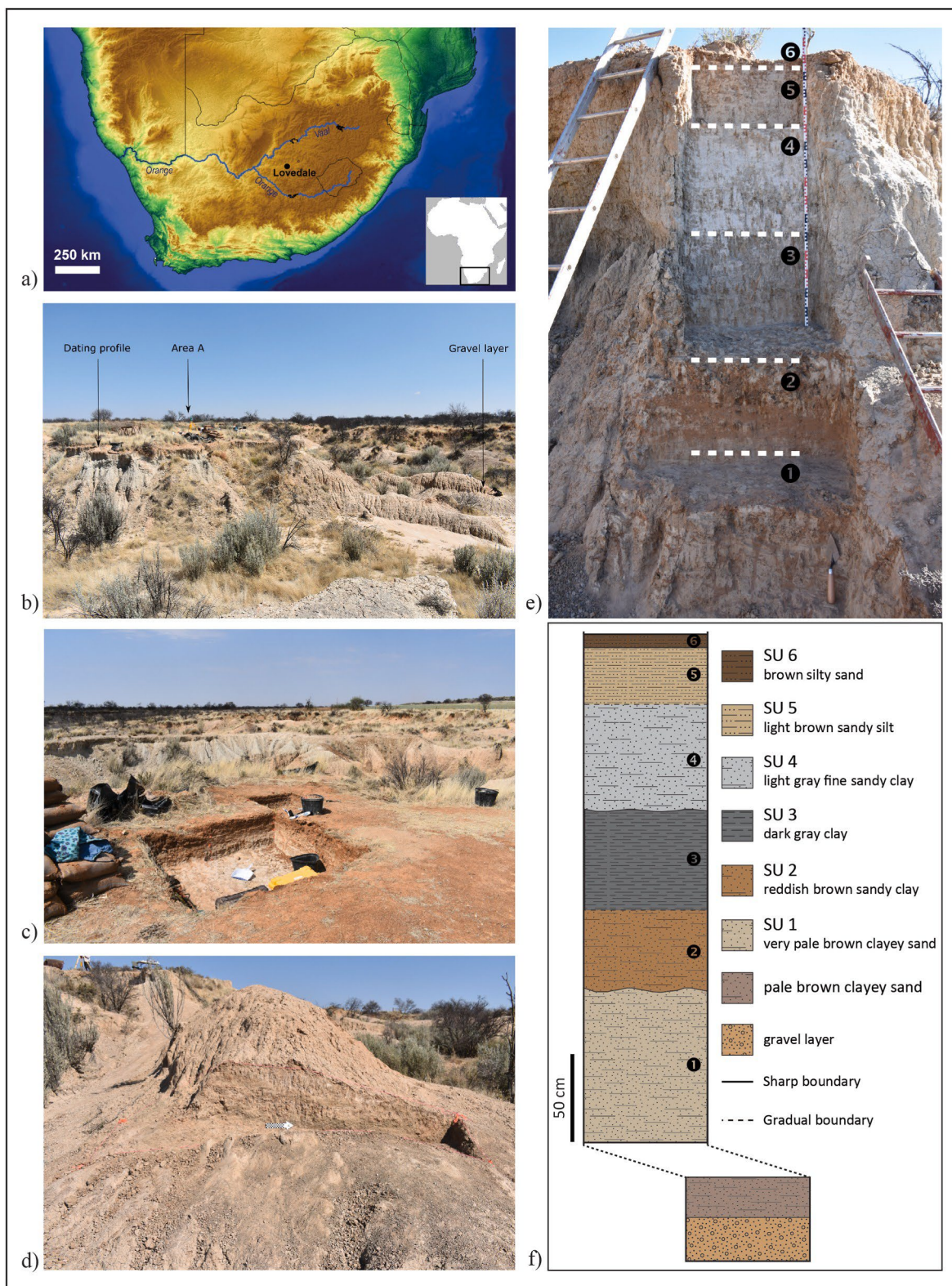
We present here the ESR/U-series age results obtained for the base of the sequence of the Middle Stone Age (MSA) site of Lovedale, located in the central interior of South Africa, taking into consideration the effect of diagenetic alterations on the age results, and discussing the potential of integrating characterisation techniques to the dating process to ensure accurate age determinations.

## 2. Site description

Lovedale is an open-air site located on the left bank of the Modder River, in the Petrusburg District of western Free State, ~45 km SW of Florisbad (28°54.2'35"S; 25°41'7.69"E; 1220 m a.s.l.) (Fig. 1a). The Modder is a meandering river that flows westwards through the Free State 'panfield', a region characterised by many seasonally dry lakes (pans), which are interpreted as the remnant of an ancient paleo-drainage disrupted by tectonic activity (Grobler et al., 1988; Marshall and Harmse, 1992; Barker, 2011). River incision of the valley fill created a succession of alluvial terraces of different ages including a variety of channel and overbank deposits (Tooth et al., 2013). This region is located in the Highveld and it features an open, semi-arid grassland that belongs to the Grassland Biome, and which receives 450–530 mm of annual precipitation concentrated in the spring and summer (October to March) (Mucina and Rutherford, 2006). Rainfall often occurs in the form of thunderstorms, which promote surface run-off and thus erosion. This process, combined with river incision, results in the formation of erosional gullies ('dongas') in river terraces and the exposure of locales rich in lithic artefacts and/or fossils (Churchill et al., 2000; Brink et al., 2016; Bousman et al., in press). Lovedale is located in a relatively small donga, close to the top of an isolated crest that preserves an almost complete stratigraphic sequence of the river terrace (Fig. 1b).

Archaeological excavations led by M. Toffolo in 2019 and 2021 uncovered a MSA human occupation (Fig. 1c) dated to ~77–56 ka by optically stimulated luminescence (OSL) (Wroth et al., accepted). The large number of projectile points recovered during excavation and surface collection, together with cores and waste products of lithic reduction, indicates that the site was a hunting preparation station. The combination of sediment micromorphology and FTIR spectroscopy showed that the stratigraphic sequence was deposited in 7 sedimentary units (SU) by low-energy water typical of overbank environments (Fig. 1e and f). A thin layer of aeolian sand (SU7) capping the sequence occurs discontinuously in the donga. All of the

SUs underwent different degrees and types of post-depositional processes, including erosion, bioturbation, and pedogenesis. Phytolith analysis revealed that temperate climate conditions dominated throughout the sequence, except for early Marine Isotope Stage (MIS) 4, which was characterised by a dry spell (Wroth et al., accepted). About 10 m to the north of the main excavation trench, at the same elevation of basal SU1 but not in direct stratigraphic relation, a thin bed of fluvial gravel (Unit 9, Fig. 1d), consistent with a point-bar deposit, produced faunal remains of herbivores belonging to the Florisian Land Mammal Age (Brink, 1987, 2016), now called Late Naivashan (Van Couvering and Delson, 2020). FTIR analysis showed that many of the bones are diagenetically altered, as suggested by the cemented gravel found on their outer surface, whereas tooth enamel appears to be better preserved based on the degree of atomic order of carbonate hydroxyapatite (Wroth et al., accepted). The teeth analysed in this study were collected from this context in 2019.



**Fig. 1.** Location of Lovedale in southern Africa (a), general view of the site and different studied areas (b), the excavated Area A (c), the gravel layer (d), the dating profile (e), and a schematic depiction of the sedimentary units (f). The map is modified from Toffolo et al. (2017).

### 3. Materials and methods

Five fragmentary teeth of small-sized bovids (LOV1180, 1197, 1200, 1201, and 1205), for which identification to species level was not possible, were collected from the gravel layer. They all come from the same  $1 \times 1$  m square (SOM Figs. S1 and S2). They were collected with embedding sediment for the calculation of the beta dose rate. Two

modern teeth were prepared for reference for the characterisation study: one from a lamb ('LAM', *Ovis aries*) and one from a springbok ('SPR', *Antidorcas marsupialis*). The latter is the closest to the extinct Florisian species of small-sized bovid, *Antidorcas bondi* (Brink, 1987, 2016; Brink and Lee-Thorp, 1992). Samples were prepared according to the procedure of Richard et al. (2015), and more detailed information on the experimental protocol for ESR and U-series analyses is given in the SOM. In order to determine the effect of the microwave power value used for the stimulation, we also investigated the possible saturation of the ESR signal, by repeating measurements on sample LOV1180 with values of the microwave of 1, 2, 5, 10, 15, and 20 mW. Ages were calculated with  $1\sigma$  error range using the DATA programme (Grün, 2009) that takes into

account an alpha efficiency of  $0.13 \pm 0.02$  (Grün and Katzenberger-Apel, 1994) and Monte-Carlo beta attenuation factors from Brennan et al. (1997). A water content (weight %) of  $15 \pm 5\%$  for the sediment, of 0% for the enamel, and of  $7 \pm 5\%$  for the dentine were assumed for the age calculation. The dose rate from the dental tissues was calculated assuming equilibrium in the U-series decay chain.

Two intact blocks of sediment (LOV21-1 and LOV21-2) were collected for micromorphological analysis from the exposed profile with clear interbedding of coarse-grained and fine-grained layers and where bones and teeth had been recovered in the 2019 field season. The description of the sediment is based on established protocols (Macphail and Goldberg, 2017; Stoops et al., 2018; Stoops, 2021).

With regard to characterisation analyses, details on the experimental protocols are given in the SOM. FTIR analyses were conducted on all samples, including the two modern analogues, lamb ('LAM') and springbok ('SPR') teeth, to obtain reference spectra. The IRSF of the  $\nu_4$  absorption, which is the sum of the peaks at 604 and 567  $\text{cm}^{-1}$  divided by the height of the valley between them (Weiner and Bar-Yosef, 1990),

was plotted against the FWHM of the  $\nu_3$  absorption at 1035  $\text{cm}^{-1}$ , following the grinding curve method of Asscher et al. (2011a; 2011b) (Fig. 2). Raman analyses were conducted on 10 different points for each sample. We focused our attention on the most intense peak in the spectrum (961  $\text{cm}^{-1}$ ), corresponding to the symmetric stretching vibration of the  $\text{PO}_4^{3-}$  group. SEM-CL was employed to study several enamel aliquots of LOV1180 that were exposed to increasing amounts of

radiation (0, 40, 90, 240, and 610 Gy). The data was collected in Spectrum Imaging (SI) mode, where simultaneous SEM image and spectra were collected for a defined region of interest (ROI); that way both the spectral information and electron image could be correlated. LIF measurements were performed on  $\sim 2$  mg of powdered enamel from the five samples from Lovedale: both natural and irradiated aliquots (highest dose) were analysed. For comparison, a fossil enamel with low

U-content ( $<10$  ppb, powder form) from the Middle Palaeolithic site of Hohle Fels (HF2976) and the modern lamb enamel ('LAM') were measured, the latter both in fragment and powder form, in order to have a reference material free of uranium.

### 4. Results and discussion

#### 4.1. Micromorphology

Three microfacies were described for the two samples: coarse gravel, fine gravel, and silty sand (see SOM for detailed description and Fig. S3). The coarse gravel facies is found only at the bottom of the sequence, but the fine gravel and silty sand are interbedded throughout the upper section of both samples. The sediments around Unit 9 appear to have been deposited via water with varying intensity. The coarse gravel is likely related to relatively fast-moving water at the edge of the riverbed, resulting in large grains (up to 4 mm) of very rounded bedrock and other material and very little fine sediment except in small pockets and rounded aggregates. This is the microfacies where faunal material was found. The finer gravel was likely deposited by slightly lower energy water flow, with a mix of rounded and sub-angular grains and more silt-sized fine fraction. Finally, the silty sand is the result of low-energy water movement, such as an overbank deposit.

The thin beds or lenses of fine sand that grade into silt at the top of this layer may have formed as puddles or pools of standing water dried out, forming a crust that dried and cracked on top. Some of these crusts can also be seen macroscopically in the section. The interbedded nature of these packets of fine gravel and silty sand indicates different flow regimes of the river, and the occurrence of intact beds and lenses confirms that teeth were not displaced after deposition. There is also evidence for post-depositional groundwater movement based on the presence of iron and manganese staining, impregnations, coatings around void spaces, and rare small nodules found throughout the samples, which was likely facilitated by the porous nature of gravel and promoted the diagenetic alteration of faunal material.

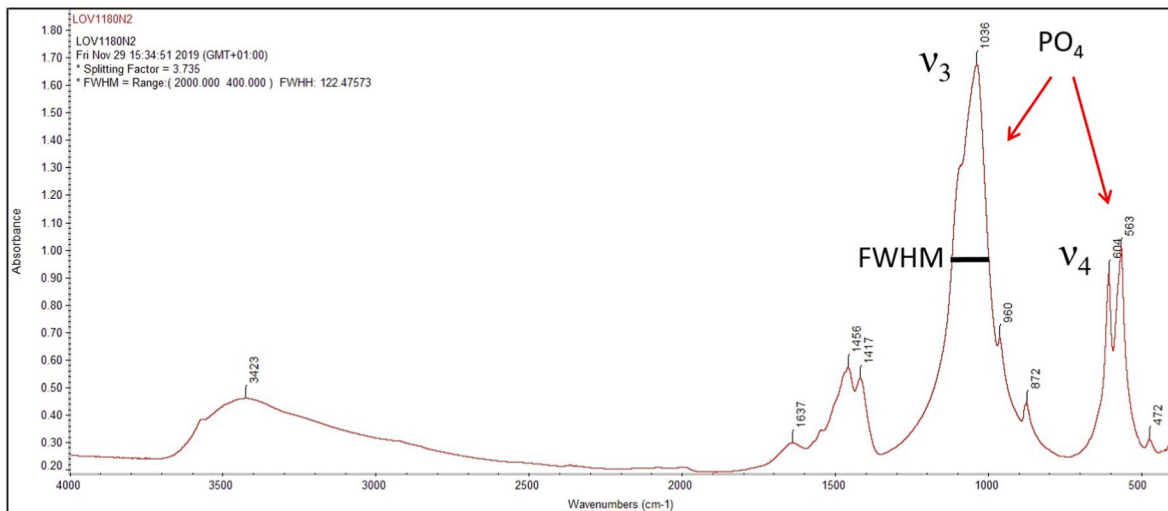


Fig. 2. FTIR spectrum of a natural enamel aliquot from Lovedale (LOV1180).

#### 4.2. Electron spin resonance/U-series dating

Microwave saturation tests were conducted using values of 1, 2, 5, 10, 15, and 20 mW on LOV1180. ESR intensity increases as a function of the microwave power and the saturation curve suggests a saturation for values higher than 2 mW (SOM Figs. S4 and S5). However, the  $D_e$  calculated for each value of the microwave power used for the measurements all fall within the same range:  $268 \pm 57$  Gy (1 mW),  $274 \pm 61$  Gy (2 mW),  $283 \pm 71$  Gy (5 mW),  $273 \pm 63$  Gy (10 mW),  $279 \pm 63$  Gy (15 mW), and  $283 \pm 70$  Gy (20 mW) (SOM Fig. S6). Despite a high error on the  $D_e$  (likely due to the extrapolation from only five experimental points and to  $r^2$  ranging from 0.986 (5 mW) to 0.989 (1 mW)), these data suggest that the value of the microwave power does not significantly affect the  $D_e$ .

All equivalent doses used for the age calculation were obtained using a value of 1 mW for the microwave power. They are presented in Table 1, together with the dose rate and age results. The  $D_e$  range from  $268 \pm 57$  (LOV1180) to  $923 \pm 88$  Gy (LOV1200). The fitting parameters and examples of dose response curves are provided in SOM (Table S1 and Fig. S7). Surprisingly, the  $D_e$  values are highly variable from one sample to the other. They can reach  $449 \pm 61$  Gy (LOV1197) and  $923 \pm 88$  Gy (LOV1200), although the teeth were collected in the same  $1 \times 1$  m square in the gravel layer. Interestingly, the U-content in the enamel is abnormally high for these two samples (SOM Table S2), of  $9.68 \pm 0.08$  (LOV1197) and  $29.56 \pm 0.26$  (LOV 1200), providing internal dose rates of  $3866 \pm 394$  and  $11060 \pm 1080$   $\mu\text{Gy}\cdot\text{a}^{-1}$ , respectively. On the contrary, the environmental dose rate is homogeneous, ranging from 1176 to 1266  $\mu\text{Gy}\cdot\text{a}^{-1}$ . The gamma dose rate measured *in situ* is  $848 \pm 20$   $\mu\text{Gy}\cdot\text{a}^{-1}$  and the beta dose rate calculated using U, Th, and K content (see results in SOM Table S3) ranges from  $166 \pm 18$  to  $268 \pm 28$   $\mu\text{Gy}\cdot\text{a}^{-1}$ . The dose rate from the dental tissues thus constitutes the main contribution to the dose rate.

U-content in the enamel is rarely higher than 2 ppm in Pleistocene open-air sites in the Modder River basin (e.g., Grün et al., 1996; Brink et al., 2016). The U-content is always higher in the dentine due to difference in composition. Here, the U-content is high and homogeneous from one dentine to the other, and ranges from  $95.44 \pm 0.79$  to  $143.07 \pm 1.17$  ppm (SOM Table S2). To check if contamination from the dentine could have led to overestimation of the U-content in the enamel and the  $D_e$ , a dentine aliquot of LOV1200 (14.4 mg,  $\sim 105$  ppm of U) was measured using ESR spectrometry with the same experimental settings as for the enamel ( $\sim 30$  ppm of U). After subtracting the background and normalising by the aliquot mass, results indicate that the ESR intensity for the dentine aliquot represents 5% of the intensity of the natural enamel aliquot (see Fig. S8 for a comparison of the natural signal of the enamel and the dentin aliquots). This suggests that even if a small amount of dentine contaminated the enamel, the contribution to the ESR intensity and the  $D_e$  would be negligible. Moreover, for the five teeth, the U-content is positively correlated with the  $D_e$  values (Fig. 3) and all the ESR ages (calculated assuming early uptake, EU, see below) fall within the same range, thus excluding the possibility of contamination from the dentine, which would have led to biased U-content in the enamel. Isotopic ratios were measured to model the U uptake and to

derive the dose rate (SOM Table S2).  $^{234}\text{U}/^{238}\text{U}$  are homogeneous within the samples and are all higher than two.  $^{230}\text{Th}/^{234}\text{U}$  range from  $0.4926 \pm 0.0010$  to  $0.7579 \pm 0.0065$  and the two samples with the higher U-content, of  $\sim 9.7$  (LOV1197) and  $\sim 29.6$  ppm (LOV1200), also have the highest  $^{230}\text{Th}/^{234}\text{U}$  ratios.  $^{230}\text{Th}/^{232}\text{Th}$  range from  $99.9 \pm 0.2$  to  $1627.8 \pm 13.6$  in the enamel and from  $1495.8 \pm 6.2$  to  $14325.0 \pm 150.3$  in the dentine, indicating that the contribution of detrital Th is negligible. Moreover,  $^{232}\text{Th}/^{238}\text{U}$  content ratios are low, with values ranging from 0.0003 to 0.0366, which remain far from clay (or bulk earth) signature ca. 3–4 (Turekian and Wedepohl, 1961).

Apparent U-series ages (assuming no U or Th mobilisation) are older than 69 ka for all samples and are slightly older than the ESR ages (calculated assuming an early uptake), ranging from  $68 \pm 15$  to  $63 \pm 4$  ka (Table 1 and Fig. 3). For this reason, the ESR/U-series model proposed by Grün et al. (1988) to assess the U-uptake history of the dental tissues cannot be applied here, because U leaching may have occurred, the equivalent doses may be underestimated or some contributions of the dose rate may be overestimated. Considering that optically stimulated luminescence (OSL) dates of quartz samples are  $\sim 77$ –56 ka for the upper levels (Wroth et al., accepted) and that the ESR age calculation is limited to the use of the early uptake model, the ages presented here may be considered as minimum estimates.

#### 4.3. Vibrational spectroscopy

The FWHM and IRSF of carbonate hydroxyapatite in all samples are presented in SOM Table S4 and the grinding curves in Fig. 4. The modern lamb and springbok produced overlapping trendlines, indicating that they exhibit similar degrees of atomic order, although one should keep in mind that there might be differences in FTIR signature between juvenile and adult sheep. Archaeological samples LOV1180, 1201, and 1205 overlap with the springbok curve, and therefore, are well preserved. On the contrary, the trendlines of samples LOV1197 and 1200 show significant offsets, which can be interpreted as the result of diagenesis (Asscher et al., 2011a). Interestingly, there is a direct correlation with the high U-content of these latter two samples,  $\sim 9.7$  ppm and  $\sim 29.6$  ppm, respectively. For the first time, a correlation between the degree of atomic order and the U-content is observed in enamel samples, supporting the use of FTIR grinding curves as a rapid, pre-screening method prior to ESR dating. Dissolution and reprecipitation processes in enamel carbonate hydroxyapatite are responsible for the increase in IRSF and can result in the incorporation or leaching of uranium, depending on variations in groundwater chemistry over time. Therefore, archaeological samples of enamel that exhibit high IRSF values compared to an unaltered reference standard should not be prioritised for ESR/U-series dating, given the uncertainty related to the degree of uranium leaching.

The mean values of the FWHM and shift of the  $961\text{ cm}^{-1}$  Raman peak for the archaeological and modern samples are listed in SOM Table S5. Modern samples show the highest FWHM values, a feature that is indicative of poor atomic order (Dal Sasso et al., 2018b). The lamb tooth produced significantly different results, suggesting that Raman may be able to probe differences in atomic order that are not visible in FTIR,

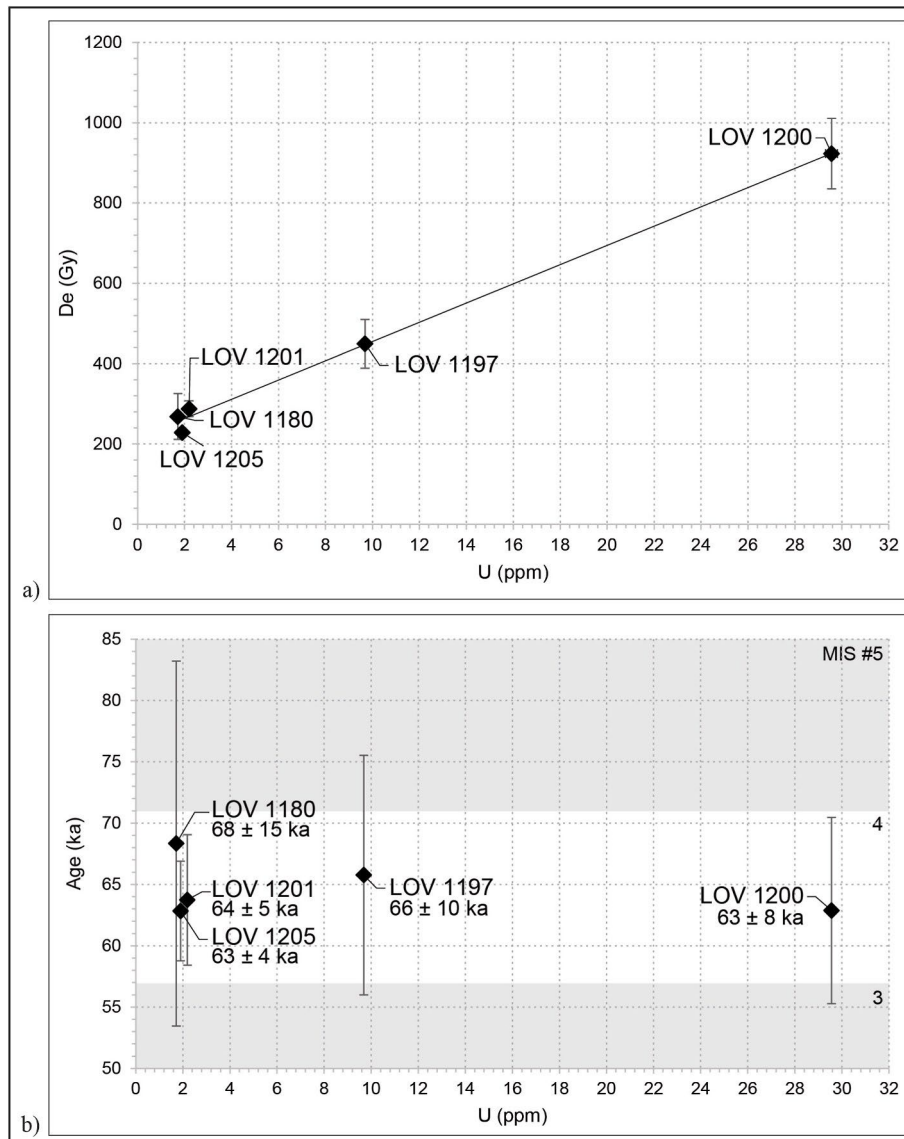
**Table 1**

ESR data: equivalent dose and dose rate obtained for the teeth samples. Ages were calculated assuming an early uptake of U (EU, Bischoff and Rosenbauer, 1981).

| Sample   | D <sub>e</sub> (Gy) | Dose rate (μGy·a <sup>-1</sup> )   |                           |              |             |              | Total   | Age (ka) |
|----------|---------------------|------------------------------------|---------------------------|--------------|-------------|--------------|---------|----------|
|          |                     | sediment (γ) <sup>a</sup> + cosmic | sediment (β) <sup>b</sup> | enamel (α+β) | dentine (β) |              |         |          |
| LOV 1180 | 268 ± 57            | 963 ± 24                           | 237 ± 24                  | 602 ± 72     | 2125 ± 156  | 3927 ± 175   | 68 ± 15 |          |
| LOV 1197 | 449 ± 61            | 963 ± 24                           | 213 ± 23                  | 3866 ± 394   | 1793 ± 151  | 6835 ± 423   | 66 ± 10 |          |
| LOV 1200 | 923 ± 88            | 963 ± 24                           | 303 ± 29                  | 11060 ± 1080 | 2358 ± 192  | 14684 ± 1098 | 63 ± 8  |          |
| LOV 1201 | 288 ± 19            | 963 ± 24                           | 166 ± 18                  | 816 ± 96     | 2567 ± 195  | 4512 ± 219   | 64 ± 5  |          |
| LOV 1205 | 228 ± 11            | 963 ± 24                           | 268 ± 28                  | 667 ± 80     | 1732 ± 131  | 3630 ± 158   | 63 ± 4  |          |

<sup>a</sup> The gamma dose rate of 848 ± 20 μGy·a<sup>-1</sup> was measured *in situ*. For a depth of 4.6 ± 1 m, the cosmic dose rate is 115 ± 13 μGy·a<sup>-1</sup>.

<sup>b</sup> The beta dose rate was derived from U, Th, and K content measured using HPGe-gamma spectrometry. Results are presented in SOM Table S3.



**Fig. 3.** Graphic representation of U-content in the enamel as a function of D<sub>e</sub> (a) and ESR-EU ages (b).

although we should state once again that juvenile and adult sheep teeth might behave differently. All archaeological samples exhibit lower FWHM values, whereas the shift of the peak at 961 cm<sup>-1</sup> does not vary significantly (Fig. 5). The sample with the highest U-content (LOV 1200, ~29.6 ppm) shows the highest value for the shift, but this trend does not appear for LOV1197 (~9.7 ppm), which is more similar to the springbok compared to other archaeological samples that

contain less uranium. Contrary to FTIR results, the relationship between the degree of crystallinity and the U-content in the enamels does not clearly appear when using the FWHM and the shift of the peak at 961 cm<sup>-1</sup>; nonetheless, it allows observing an increased crystallinity in the fossil samples.

#### 4.4. Luminescence characterisation techniques

The SEM-CL results of the different aliquots of LOV1180 are presented in SOM Figs. S9, S10, S11 in the form of true colour maps and as spectra correlated to the SEM image. A synthesis of the results obtained from the 90 Gy aliquot is shown in Fig. 6.

The CL true colour maps in Fig. 6a and SOM Fig. S9 show a dominant purple-blue emission in all five samples. The spectra collected for each sample show a peak at ~450 nm (see Fig. 6f and SOM Fig. S10). This emission was observed in all hundreds of spectra acquired for each sample in all the regions that were measured, and it is considered an intrinsic luminescence (Blanc et al., 2000; S'egalen et al., 2008) related to the natural lattice defects of the carbonate hydroxyapatite crystal. The minor emission in the orange-red is caused by the presence of Mn<sup>2+</sup> substituting for Ca<sup>2+</sup>. The blue-purple colour of many pixels in the true colour map implies that the intrinsic emission band is the most intense band in the spectra, hence the dominant emission band of the samples. A closer look at the maps reveals rare pixels with a brown colour.

Several spectra that can be correlated with these pixels are presented in Fig. 6 and SOM Fig. S11. These spectra show emission bands at various wavelengths: 480, 570, 600, 650, 700, and 720 nm. The 600 nm emission is related to the presence of Mn<sup>2+</sup> in the Ca<sup>2+</sup> sites of apatite and is known as a luminescence activator in this compound. Other peaks can be assigned to rare earth elements (REEs) such as Dy<sup>3+</sup> (480 nm, 570 nm), Sm<sup>3+</sup> (650 nm), Eu<sup>3+</sup> (620 nm, 700 nm), and Sm<sup>2+</sup> (720 nm). REEs can activate various luminescence bands with sharp emission peaks observed in the spectra in the range of 300–1000 nm (Blanc et al., 2000; S'egalen et al., 2008). These spectra were observed in all five samples with no correlation to the intensity of radiation. These elements were incorporated in the enamel as a consequence of the diagenesis (e.g., Trueman and Tuross, 2002: Fig. 7; Trueman et al., 2004) and can be used as tracers to assess the degree of preservation of the enamel.

No differences between irradiated and natural powder aliquots were observed with LIF, as is the case with SEM-CL results (SOM Fig. S12). The modern lamb enamel was analysed in both powder (P) and fragment

(F) forms that have different characteristic spectra, probably due to an inhomogeneous distribution of luminescence centres (SOM Fig. S13). This sample is characterised by six bands at ~310, 330, 390, 422, 442, and 472 nm (SOM Fig. S13). Generally, bands in the blue can be assigned to the intrinsic emissions of carbonate hydroxyapatite caused by lattice defects, whereas other bands are consistent with the occurrence of trace elements such as Mn<sup>2+</sup> substituting for Ca<sup>2+</sup> (Goetze et al., 2001). Furthermore, REEs (mainly Eu<sup>2+</sup>, Ce<sup>3+</sup>, Dy<sup>3+</sup>, Nd<sup>3+</sup>, and Sm<sup>3+</sup>) can also easily substitute for Ca<sup>2+</sup> in the crystal lattice, and the charge compensation occurs by intrinsic electron defects, depletion of the constituent ions, and coupled substitution of the constituent ions by REE<sup>3+</sup> (OH<sup>-</sup>, CO<sub>3</sub>OH<sup>3-</sup>, etc.) (Gaft et al., 1996, 2001; Habermann et al., 2000). Comparing our results with those reported in the literature, the modern enamel might be characterised by luminescence bands caused by intrinsic defects (310, 330 nm), Ce<sup>3+</sup> substitution in Ca(I) and Ca(II) sites (390, 422, 442 nm), and Eu<sup>2+</sup> substitution (472 nm) detected as a weak shoulder on the Ce<sup>3+</sup> luminescence tail in the same luminescence spectral range of Ce<sup>3+</sup> and Eu<sup>2+</sup> (Gaft et al., 2001). However, due to the

complex crystallographic structure of hydroxyapatite, there might be other potential luminescence activators. Beside substitution in Ca(I), Ca(II), and P sites, Roman-Lopez et al. (2014) observed broad bands in natural bones and collagen around 440 and 490 nm that may be assigned to the tetrahedral anion (PO<sub>4</sub>)<sup>3-</sup> or to structural defects.

The characteristic spectra obtained from the archaeological 9

teeth are presented in Fig. 7. All samples present a broad band at around 460 nm or 485 nm, as opposed to the modern enamel spectra, which exhibit multiple peaks. The contribution of the UO<sup>2+</sup> activator centre is determined based on the presence of multiple narrow peaks between 450 and 600 nm (Gaft et al., 1996; Reeder et al., 2000; Smith et al., 2015; Toffolo et al., 2019). These peaks are visible in the aragonite sample used for comparison. Sharp peaks are not visible in the archaeological samples, and in the case of very small amounts of uranyl ions incorporated in the tooth, the broad bands at 460 and 485 nm cover the uranyl signal. However, the band at 485 nm is present in the spectra of the two samples LOV1197 and LOV1200, which contain 9.7 and 29.6 ppm of U, respectively, and exhibit high IRSF values. This shift to higher wavelengths may be due to the presence of uranyl in the crystal lattice in such high concentrations (>2 ppm).

These results show the potential of LIF in detecting the presence of large amounts of uranium in enamel carbonate hydroxyapatite as a result of diagenetic processes. The latter may include uranium leaching, and for this reason LIF may be used to select for ESR/U-series dating only the archaeological samples that do not contain significant amounts of uranyl. However, the analyses were performed on small powdered aliquots, which may reduce the quality of the luminescence acquisitions. For this reason, future analyses will be focused on acquiring spectra from tooth fragments.



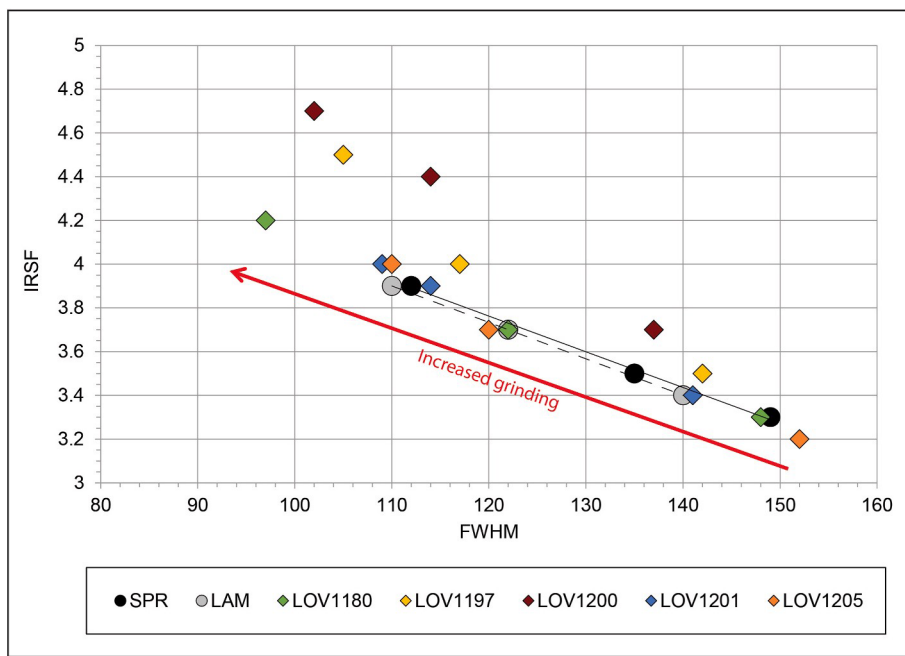


Fig. 4. Grinding curves constructed from the infrared splitting factor (IRSF) and the full width at half maximum (FWHM) calculated for the modern (SPR = springbok, solid line; LAM = lamb, dashed line) and fossil (LOV) samples from this study.

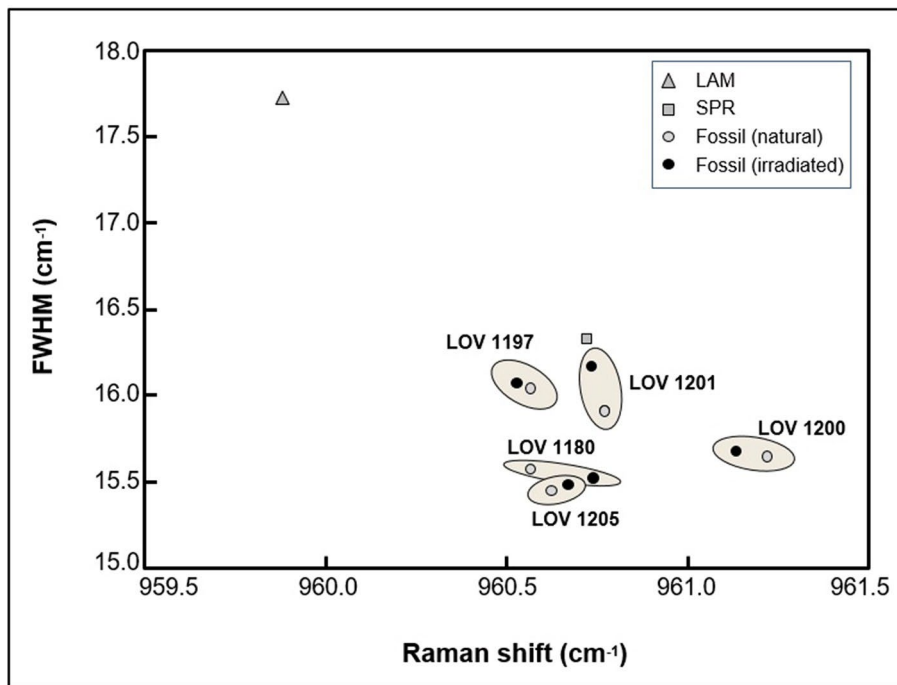
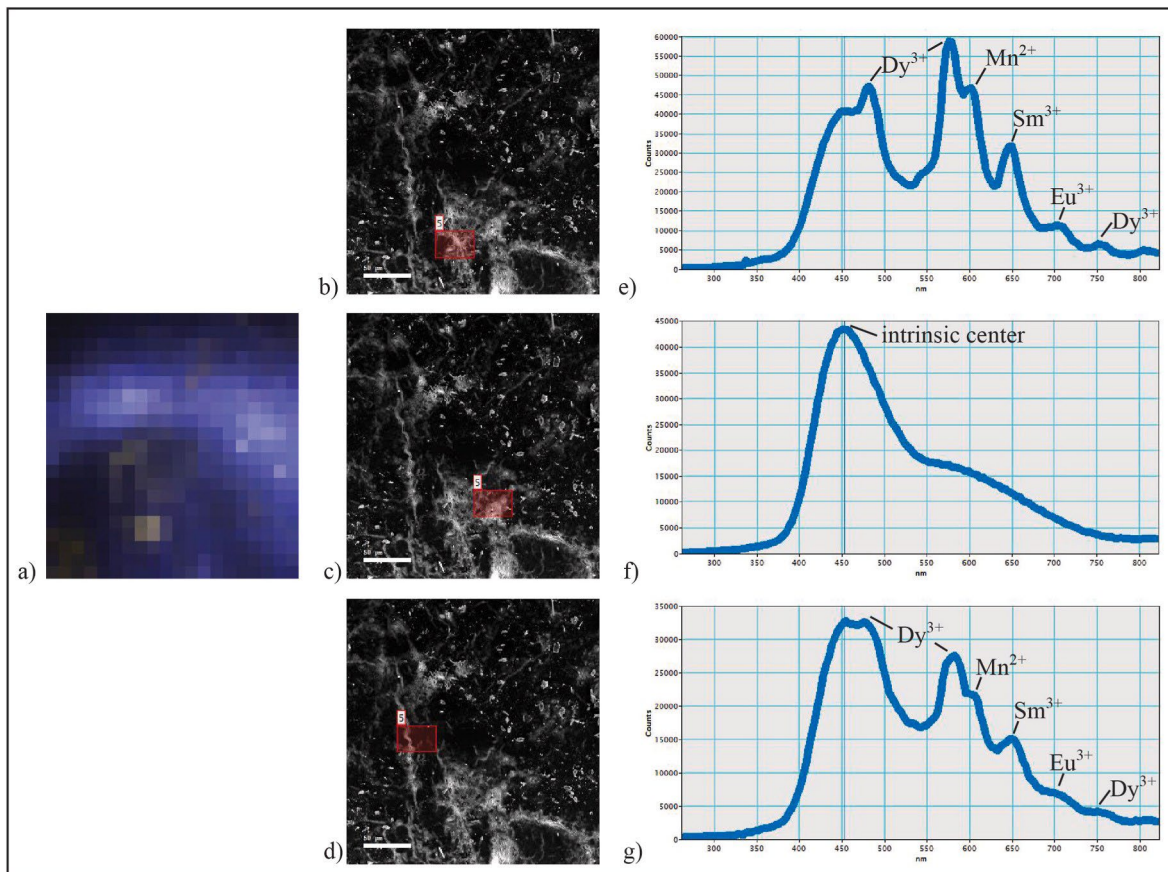


Fig. 5. FWHM and the frequency of the peak at 961 cm<sup>-1</sup> obtained using Raman micro-spectroscopy on the modern (SPR = springbok; LAM = lamb) and fossil (LOV) samples.



**Fig. 6.** SEM-CL results obtained on the LOV1180 aliquot irradiated at 90 Gy. a: colour map; b–d: SEM images; e–g: spectra showing the CL emission correlated to the SEM image.

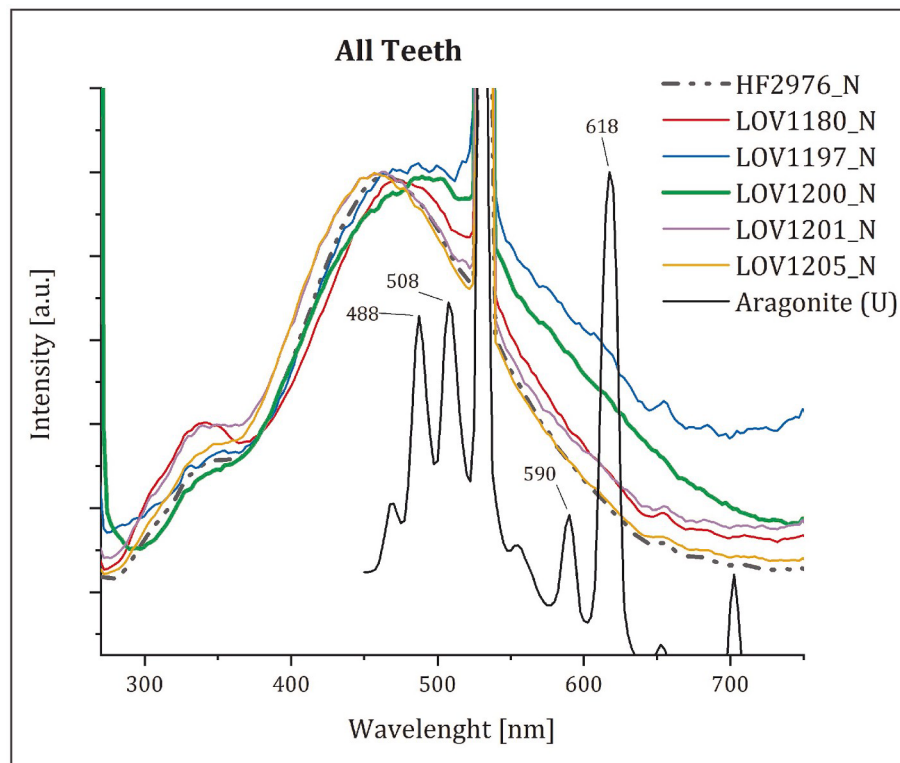
## 5. Conclusions

This research, combining structural characterisation and ESR/U-series dating of modern and archaeological teeth, showed that the degree of diagenesis of the latter varies considerably, even though they were all collected within the same 1 m square and were not displaced after deposition. The range of uranium contents and crystallinity may be due to differential exposure to groundwater movement, as suggested by micromorphological analyses. It thus appears crucial to characterise the samples individually, as well as the sedimentary context, to be able to combine mineralogy with the age results and shed more light into the effects of diagenetic processes on ESR dating.

ESR and U-series data showed that the high U-content (>2 ppm) in some of the enamels is positively correlated with high  $D_e$  and does not seem to affect the age calculation using the EU model. Indeed, ages all fall within the same range, providing a weighted mean age of  $64 \pm 3$  ka for the gravel layer. Moreover, the power of the microwave used for the ESR measurements of LOV1180, ranging from 1 to 20 mW, does not significantly affect the  $D_e$  values. Since the U-uptake modelling was limited to the use of the early uptake model, which provides a maximum dose rate from the dental tissue, the ESR ages presented here may be considered as minimum estimates, in agreement with the available OSL ages for the upper part of the sequence that range from ~77 to ~56 ka. Lovedale is thus one of the only two sites that date to the early MIS 4 in the Free State, and the only one in open air (Pienaar et al., 2008).

It is crucial to focus on well-preserved and in-situ teeth samples to apply ESR dating. Characterisation studies prior to dating may give

useful insights into the preservation of dateable materials such as fossil teeth and will certainly help building reliable chronologies for the human evolution timeline.



**Fig. 7.** Characteristic LIF spectra collected from the fossil teeth (N = natural aliquot), including the Lovedale samples and a low U-content enamel from Hohle Fels (HF, dashed line). A spectrum of aragonite containing U was obtained by the authors and used as reference (Toffolo et al., 2019).

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Appendix A. Supplementary data

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