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Walther Akemann, Sébastien Wolf, Vincent Villette, Benjamin Mathieu, Astou Tangara, et al.. Fast optical recording of neuronal activity by three-dimensional custom-access serial holography. Nature Methods, 2022, 19 (1), pp.100-110. 10.1038/s41592-021-01329-7 . hal-03606564

HAL Id: hal-03606564 https://hal.science/hal-03606564

Submitted on 11 Mar 2022

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Fast Optical Recording of Neuronal Activity by 3D Custom-Access Serial Holography

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1 Abstract

2 Optical recording of neuronal activity in 3D brain circuits at cellular and millisecond resolution 3 in-vivo is essential for probing information flow in the brain. While random-access multiphoton microscopy permits fast optical access to neuronal targets in 3D, the method is 4 5 challenged by motion artefacts when recording from behaving animals. Therefore, we 6 developed 3D custom-access serial holography (3D-CASH). Built upon a fast acousto-optic light 7 modulator, 3D-CASH performs serial sampling at 40 kHz from neurons at freely selectable 3D 8 locations. Motion artefacts are eliminated by targeting each neuron with a size-optimized 9 pattern of excitation light covering the cell body and its anticipated displacement field. Spike rates inferred from GCaMP6f recordings in visual cortex of awake mice tracked the phase of a 10 11 moving bar stimulus with higher spike correlation between intra- compared to inter-laminar 12 neuron pairs. 3D-CASH offers access to the millisecond correlation structure of in-vivo 13 neuronal activity in 3D microcircuits.

14

15 Introduction

Large scale *in-vivo* imaging of neuronal activity^{1,2} using multi-photon microscopy aims to 16 decipher neural encoding and processing in distributed brain circuits during animal behaviors. 17 18 However, the 3D structure of neuronal circuits operating on a time scale of milliseconds poses problem to conventional scanning microscopy as volumetric and millisecond acquisition are 19 difficult to reconcile³. Volumetric multi-plane imaging^{4–8} is limited to low sampling rates and 20 21 low axial sampling densities as voxel acquisition is ultimately limited by the laser pulse rate. 22 Spatial excitation multiplexing^{9–13} improves 3D sampling, but extensive multiplexing degrades 23 the signal-to-noise ratio, through accumulation of background fluorescence, and escalates 24 brain heating^{14,15}.

25 Random-access multiphoton (RAMP) acquisition is a way to speed up sampling from distributed objects beyond image scanning^{16,17}. RAMP targets a sequence of disjointed points 26 27 of interest (POIs) in a non-contiguous 3D scan raster and thereby truncates spatial sampling 28 for accelerated sampling in the time domain. 3D-RAMP has been implemented with acousto-29 optic deflectors (AODs), which control the 3D position of the excitation focus through tilt and defocus phase modulation of the scan beam¹⁸. RAMP recordings, however, were limited to in-30 vitro preparations^{17,19,20} and to anesthetized animals^{21–23}, as recordings in awake animals 31 suffered from brain motion-induced recording artefacts. To alleviate this problem, dedicated 32

AOD scan modes, such as patch scan^{21,22} and scan of 3D hyper-surfaces²⁴, were deployed to acquire sufficient spatial information for *post-hoc* movement correction, but at the expense of temporal resolution. A recent solution to this problem applied on-line motion correction by tracking reference objects and adjusting the scan coordinates of an AOD-scanner in real time²⁵.

38 Here, we demonstrate a robust solution to the problem of motion artefacts in 3D-39 RAMP without interleaved imaging of reference objects, therefore offering faster sampling 40 from neuronal populations. Previously, we demonstrated acousto-optic light modulation by a single pair of XY-AODs²⁶. Here, we implement acousto-optic pulse-to-pulse light patterning 41 42 and present a microscope for 3D-RAMP with holographic shaping of the two-photon 43 excitation volume at 40 kHz. Using 3D custom-access serial holography (3D-CASH), we show 44 that targeting single cells with an extended focus pattern imparts tolerance against tissue 45 motion, while boosting the fluorescence yield. We illustrate 3D-CASH in recordings at high data throughput (20000 neurons per second) and high sampling rate (> 200 Hz) of GCaMP6f-46 47 expressing cortical neurons in layers 2/3 and 5 of primary visual cortex in behaving mice on a 48 treadmill.

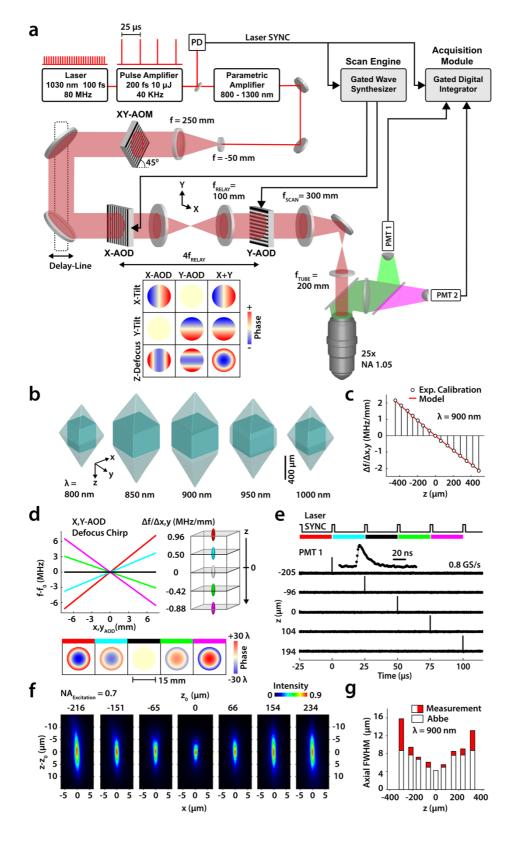
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50 Results

51 **Design of the 3DScope**

52 The 3DScope (Fig. 1 and Extended Data Fig. 1) combines a 40 kHz chirped pulse laser amplifier, 53 followed by a parametric wavelength converter (800-1300 nm), with two AODs (X-AOD and Y-AOD) of 15 mm aperture size and 23 µs cycle time. In each AOD, the laser beam is Bragg-54 55 diffracted from a 3D acousto-optic (AO) density grating formed by a propagating planar 56 acoustic wave in a birefringent crystal. AO wave generation is carried out in a time-locked 57 mode²⁶, in which frequency and amplitude-modulated (FM/AM) waves are generated under control of the laser clock and written anew for every laser pulse (Supplementary Fig. 1). This 58 59 synchronous mode abolishes the focus drift associated with chirped AO gratings in earlier AOD-based 3D microscopes in asynchronous mode^{20,22,27} and permits pulse-to-pulse linear 60 (tilt) and quadratic (defocus) phase modulation for 3D access in sample space (Fig. 1a), as well 61 62 as phase modulations of higher order (Supplementary Fig. 2).

- 63
- 64



66 Fig. 1 Principle of the 3DScope

67 The 3DScope is a mirror-less scanning microscope for multi-photon excitation with full-frame, micro-frame and 68 random address scan modes. (a) Schematic of the microscope: photo-multiplier tube (PMT), photodiode (PD), 69 focal length (f), acousto-optic modulator (AOM), acousto-optic deflector (AOD), numerical aperture (NA) and 70 laser emission synchronous timing signal (SYNC). Inset: Cartoon of spatial light modulation (x-tilt, y-tilt and z-

71 defocus) by an X-AOD/Y-AOD tandem in 4f-configuration. (b) Total addressable 3D volume (semi-transparent) 72 with embedded cuboid sub-volume of 400 µm height (solid color) modeled for a 25x objective. (c) Measured 73 (black circles) and modelled (red line) calibration at 900 nm of AO linear frequency chirps producing z defocus 74 up to \pm 500 μ m from the objective focal plane (z = 0). (d) Linear frequency chirps of -0.88 to +0.96 MHz/mm (left) 75 together with resulting defocus phase modulation (0.75, 1.44, 0, -1.72 and -0.82 m defocus, respectively; 76 bottom), same for both AODs, with each pulse targeting different depth (right). (e) Fluorescence measurement 77 of a color plastic slide (500 nm thick) placed at axial target distances between -205 and +194 μ m. For each target 78 distance the defocus series (d) is repeated with every pulse given a different defocus chirp. Inset: detector signal 79 at extended scale. (f) Maximum projection of the measured point-spread function (PSF) in the x-z plane for 80 frequency chirps, from left to right, of 1.2, 0.77, 0.39, 0, -0.39, -0.77, -1.2 MHz/mm. (g) Full width at half 81 maximum (FWHM) of the measured axial PSF (white and red bars combined) for axial targets at -400 to +400 µm 82 and expected FWHM from an ideal Abbe objective (white bar).

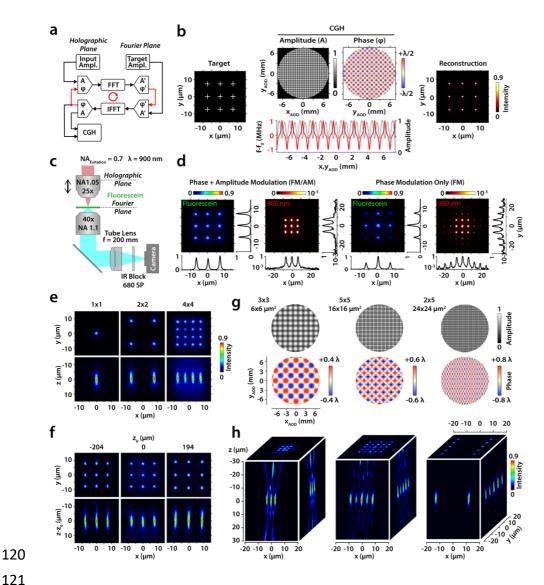
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84 The addressable volume is delimited by a bipyramidal boundary set by the AOD frequency 85 bandwidth and the laser wavelength (Fig. 1b), offering a 500 x 500 μ m² field of view under 25x 86 objective magnification (Supplementary Fig. 3a). The measured axial focus shift is a linear function of the acoustic wave frequency chirp in agreement with the theory (Fig. 1c). We 87 demonstrate pulse-to-pulse phase modulation by subjecting five consecutive laser pulses to 88 89 AO frequency ramps of different slopes (Fig. 1d), which resulted in each pulse being focused 90 to a different plane, about 100 μm apart (Fig. 1e). At zero chirp the point-spread function (PSF) 91 had an axial full width at half maximum (FWHM) of 4.2 \pm 0.8 μ m (Supplementary Fig. 3b) 92 consistent with a reduced excitation numerical aperture (NA_{ex} 0.7). In the presence of chirp, 93 the FWHM broadened with distance of the focus from the objective focal plane (Fig. 1f). 94 Within a distance of 200 μ m the FWHM remains below 8 μ m and is to 90 % explained by 95 spherical aberration of an ideal Abbe objective receiving wave fronts with quadratic curvature²⁸ (Fig. 1g). 96

97 Acousto-optic patterning of light by single pulse holography

98 Susceptibility to target-motion-induced recording artefacts is the major setback of *in-vivo* 99 RAMP recording. In addition, because of the low laser rate, the 3DScope is bound to low signal-100 to-noise ratios with diffraction-limited single focus excitation given that every molecule in the 101 focal volume can emit at most one photon per laser cycle. We reasoned that both issues may 102 be solved by extending the focal volume to sample a larger portion of each cell including its 103 excursion space. For this, we first explored the light patterning function of the 3DScope. We

104 derived biaxial phase and intensity holograms from the desired target pattern using a Gerchberg-Saxton algorithm²⁹ (Fig. 2a). By designing patterns of equidistant diffraction-105 106 limited foci we intended to multiplex the excitation volume, while limiting laser power dilution 107 (Fig. 2b). To confirm designed patterns, we imaged the two-photon fluorescence emission of 108 a thin fluorescein layer (Fig. 2c). We found good agreement between intended (Fig. 2b) and 109 measured patterns (Fig. 2d) in the FM/AM mode. In FM only mode, however, the intensity 110 became unequally distributed among spots, and side lobs appeared (Fig. 2d), in agreement with fast Fourier transform (FFT) reconstruction of the hologram (Supplementary Fig. 4). 111 112 Therefore, the FM/AM mode provides higher fidelity to the target pattern than the FM mode, 113 albeit at reduced power transmission. The size of single spots in a multi-spot array equals the 114 focus size in the absence of holographic modulation, independent of the number of spots (Fig. 115 2e) and axial broadening in the presence of defocus is also identical (Fig. 2f). Finally, we 116 devised holograms creating 3x3, 5x5 and 2x5 spot patterns (Fig. 2g, h) consistent with FFT 117 reconstruction (Supplementary Fig. 5). The freedom to program spot density and distances 118 and adapt these patterns on a pulse-to-pulse basis is the core element behind 3D-CASH. 119



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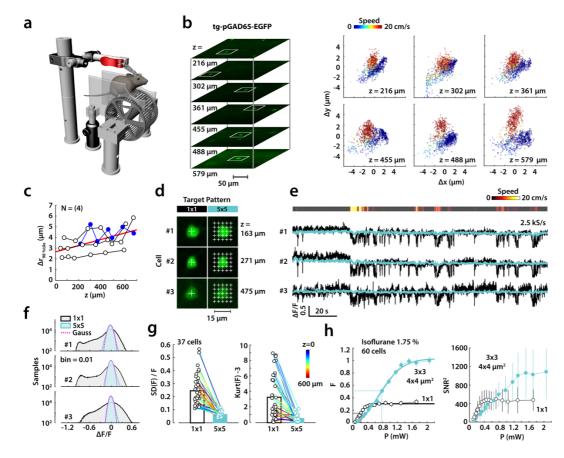
122 Fig. 2 Acousto-optic patterning of light

123 The 3DScope integrates spatial light modulation for holographic patterning of excitation light. (a) Computer-124 generated holograms (CGHs) are derived from a desired target intensity pattern by iterative phase retrieval 125 through forward (FFT) and inverse (IFFT) fast Fourier transformation of the light fields (amplitude A and phase 126 φ) in the holographic and Fourier planes. The iteration loop indicated in red. **b**) Example of a 3x3 (15x15 μ m²) 127 target pattern (left), the CGH derived from the target (middle, top), the FM/AM acousto-optic modulation, 128 identical for X- and Y-AODs, with f₀, the carrier frequency (middle, bottom) and reconstruction of the CGH by 129 2D-Fourier transform (right). (c) Experimental configuration for experimental reconstruction of the CGHs. (d) 130 From left to right: Two-photon fluorescence image of a 10-20 µm thin aqueous fluorescein solution with 131 holographic illumination (900 nm) using the CGH in (b) together with saturated infrared intensity image, after 132 removal of the IR block, for FM/AM (left) and FM only (right). Intensity projections are shown next to each image. 133 (e) Measured fluorescein fluorescence without hologram (1x1) and with (2x2)- and (4x4)-hologram. (f) Measured 134 fluorescein fluorescence with (3x3)-hologram and with (left and right) and without (middle) defocus. (g) CGHs 135 for the 3x3 ($6x6 \mu m^2$), 5x5 ($16x16 \mu m^2$) and 2x5 ($24x24 \mu m^2$) patterns. (h) Images obtained from the experimental reconstruction of CGHs in (g). All images represent maximum projections with respect to the perpendiculardimension.

138

139 Imparting motion tolerance to *in-vivo* 3D-RAMP recording

140 We then asked whether grid spot illumination can aid to stabilize RAMP point recordings 141 against *in-vivo* brain tissue motion in awake mice (Fig. 3a). We first quantified from time lapse 142 movies the lateral movements of cell bodies in head-fixed mice expressing EGFP under the 143 GAD65 promoter (Fig. 3b). We found complex patterns of movement, with considerable cell 144 displacements also in the absence of locomotion (Fig. 3b). In-plane motion was limited to a 145 displacement space of 2 to 6 μ m diameter, depending on the depth below pia (Fig. 3b,c), akin 146 for axial motion (Supplementary Fig. 6). To test whether covering this space by a large enough 147 grid pattern could counteract motion artefacts in RAMP recordings, we recorded EGFP 148 fluorescence from cell body-centered POIs in the RAMP mode at 2.5 kHz while targeting each POI with a single spot focus and a 5x5 (15x15 μ m²) grid focus in pulse-to-pulse alternation (Fig. 149 150 3d). In the single-spot mode, the RAMP signal exhibited large-amplitude fluctuations giving 151 rise to skewed noise distributions (Fig. 3e,f), while under grid-spot illumination this noise was 152 diminished to low residual excess kurtosis (0.57 \pm 0.43, mean \pm standard deviation (SD) 153 throughout, unless stated otherwise; 37 cells; Fig. 3f,g) approaching photonic shot noise. 154 Patterned illumination therefore proves efficient in preventing motion-induced noise in in-155 vivo RAMP recordings. Next, we asked whether holographic illumination also entails gain in 156 signal-to-noise ratio. In anesthetized mice, to minimize tissue movement, the fluorescence 157 signal as a function of excitation power followed the gain curve of a two-photon absorption 158 process, reaching half-saturation at 0.21 and 0.78 mW for a 1x1 and a 3x3 (4x4 µm) focal 159 pattern, respectively, and with the 3x3 pattern yielding 3.4 times higher fluorescence (Fig. 3h). 160 From the signal-to-noise ratio (SNR) we obtain a lower estimate of the number of detected 161 photons per laser pulse (equal to SNR², Fig. 3h) reaching 1000 photons at saturation. EGFP 162 photobleaching occurred with $4.3 \cdot 10^{-6}$ quantum yield (115 \pm 142 s time constant at 2 kHz, 55 163 \pm 22 % amplitude, 20 cells) at 25 % saturation, in agreement with reported data of GFP under 164 low pulse rate excitation³⁰.



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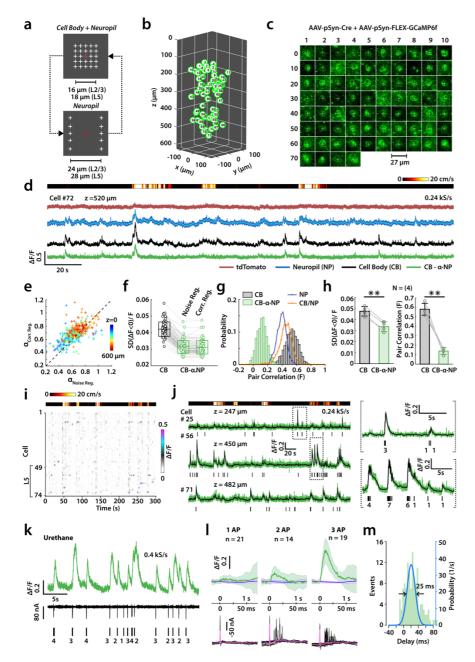
168 Fig. 3 Holographic illumination for stable RAMP recording in awake mice

169 (a) Head-fixation for mice implanted with a 5 mm cranial window over primary visual cortex walking on a 170 treadmill. (b) Left: Optical sections from a two-photon fluorescence z-stack with selected cell bodies marked as 171 white rectangles in a pGAD65-EGFP transgenic mouse. Right: x,y-Positions of selected cells given as deviation 172 from the barycenter from time lapse movies recorded at 6 frames/s. Every data point is color-coded for 173 simultaneously recorded walking speed. (c) Summary of cellular displacements (98 percentile of radial deviation 174 from the barycenter; N = 4 animals) versus z-depth below pia. Linear fit to the data (slope 0.3 %) in red. Data of 175 example (b) in blue. (d) Fluorescence images of three cell bodies overlaid with a 1x1 and a 5x5 target pattern. (e) 176 Bottom: RAMP fluorescence traces obtained from cells in (d) during 180 s with pulse-to-pulse alternation 177 between 1x1 (black) and 5x5 (cyan) pattern excitation at 2.5 kHz sampling rate (2.5 kS/s). Top: Speed of the 178 animal. (f) Histograms of the fluorescence traces in (e) including Gaussian fit to data obtained under 5x5 179 excitation. (g) Analysis of data like in (f) including 37 cells. Left: Relative noise defined as standard deviation (SD) 180 of the time series data divided by the median of the fluorescence (F). Right: Excess kurtosis (Kurt). (h) 181 Measurement of fluorescence yield under 1x1 and 3x3 (4x4 μ m²) excitation measured under isoflurane 182 anesthesia (60 cells). Left: Normalized mean fluorescence during 2 s recordings versus mean excitation power 183 (P; measured under the objective) with fits to the gain curve of two-photon absorption (solid lines). Right: 184 Squared signal-to-noise ratio (SNR) of the same data. Error bars represent SD.

185

186 Removing neuropil activity from GCaMP6f CASH recordings

187 Grid illumination of neurons expressing a neuronal activity indicator will yield a mixed signal 188 of single-cell and multicellular activity, with the latter originating from the surrounding 189 neuropil covered by the grid and from out-of-focus excitation. To extract the single-cell signal, 190 we record a neuropil reference signal from an excitation pattern composed of two lines of foci 191 outside of the grid target (Fig. 4a). The two patterns are then toggled from pulse to pulse such 192 that two laser pulses are deployed to each cell before moving on to the next cell. We tested 193 this protocol in layer 2/3 (L2/3) and layer 5 (L5) neurons of primary visual cortex (V1) 194 expressing GCaMP6f and tdTomato in presence of Cre recombinase. From an imaging stack 195 we selected CaMP6f-positive neurons (Fig. 4b,c), with some of them co-expressing tdTomato, 196 and recorded GCaMP6f and tdTomato fluorescence signals in 3D-CASH mode. GCaMP6f 197 photobleaching was negligible during 300 s recording trials, which were equivalent to an exposure to 72,000 laser pulses, except for a fast initial bleaching transient of 9.5.10⁻⁵ 198 quantum yield (44 \pm 15 s time constant at 0.24 kHz, 10 \pm 5 % amplitude, 84 cells) appearing 199 200 only in the very first trial. The fluorescence signals of the cell body (CB) and neuropil (NP) were 201 strongly correlated, while the tdTomato signal remained flat (Fig. 4d). To estimate the true 202 cellular signal, we subtracted the neuropil signal from the CB signal using a global weight 203 factor (α). Noise regression yielded similar α values as correlation regression^{31,32}, which 204 increased with depth (Fig. 4e and Supplementary Fig. 7). Both regressions descended to the 205 same level of residual baseline noise in this example $(3.1 \pm 0.4 \%; 74 \text{ cells}; \text{Fig. 4f})$ and in pooled 206 data (3.3 \pm 0.5 %; 4 animals; Fig. 4h). After neuropil correction, pairwise correlations of the 207 fluorescence signals were reduced six-fold to a residual value of 0.13 in one example (Fig. 4g) 208 and 0.15 ± 0.08 in pooled data (Fig. 4h) suggesting that holographic illumination does not impart higher neuropil contamination than standard imaging techniques^{32,33}. Furthermore, 209 210 simultaneous CASH and image acquisition revealed consistent GCaMP6f signaling (0.95 \pm 0.1 211 median trace correlation; 19 cells; Extended Data Fig. 2). The GCaMP6f signal rises in many 212 cells during locomotion (Fig. 4i), consistent with increased spike rates in V1 neurons during locomotion in the dark³⁴; and overlay of the fluorescence traces with MLspike³⁵-inferred 213 214 spikes (Fig. 4j) showed an increased spike rate during locomotion (Supplementary Fig. 8). As a 215 control for possible locomotion artefacts, MLspike detected only one false event in 216 simultaneously recorded tdTomato traces (10 cells; 50 min recording time).



218 Fig. 4 Neuropil correction of GCaMP6f-RAMP recordings

219 (a) CASH target patterns for sampling a cellular signal with a neuropil component. Target center marked as red 220 cross. (b) 3D coordinates of 74 cells selected from a full-frame z-stack obtained from a mouse expressing 221 GCaMP6f in cortical neurons in layers L2/3 and 5. (c) Fluorescence images of cells in (b). (d) Example recordings 222 of, from top to down, motion speed, tdTomato fluorescence (red), GCaMP6f neuropil fluorescence (NP, blue), 223 cellular GCaMP6f signal (CB, black) and the corrected GCaMP6f signal after global noise regression (CB- α NP, 224 green). (e) Regression parameter obtained from correlation versus noise regression of 300 s recordings (5 225 repetitions) with identity line (red dashes). (f) Baseline noise for raw and corrected data in 300 s recordings with 226 box width representing SD. (g) Pearson pairwise correlation. (h) Mean baseline noise (left) and and mean pair 227 correlation (right) of paired (gray lines) raw (black) and corrected data (green). Paired t-test (two-sided) p = 0.003 228 (**; N = 4 experiments; 4 animals). Error bars represent SD. (i) False-color representation of fluorescence traces 229 after noise regression for all cells, shown in (b) and (c) with walking speed (top). (j) Left: Fluorescence traces 230 (green), overlaid with MLspike-deconvoluted traces (black) and inferred spikes (black bars; below). Right: 231 Extended views with numbers of spikes (below). (k) Simultaneous CASH (green) and electrode recording (loose 232 patch; black) of a spontaneously active cell (L2/3; $z=225 \mu m$) under urethane anesthesia with burst spike counts 233 (bottom). (I) Top row: Spike triggered average GCaMP6f responses (green; ± SD) for single spikes (1 AP; 21 234 events), spike doublets (2 AP; two spikes within a 30 ms window; 14 events) and triple spikes (3 AP; 30 ms 235 window; 19 events) together with bootstrap noise (blue/magenta; ± SD). Bottom row: Electrode currents (black) 236 with the first spike at time zero and current average (magenta). (m) Histogram of latency (green) of MLspike-237 inferred relative to electrode-detected spikes within a 0.2 s time window and Gaussian density (blue; 25 ms 238 FWHM; 23 ms mean).

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240 To estimate the goodness of spike inference, we applied spike deconvolution to simulated 241 data. The combined error rate of spike detection decreased with increasing sampling rate, 242 attaining 25 % or 10 % for SNR 2 or 4, respectively, at 200 Hz, provided neuropil correction 243 was included (Extended Data Fig. 3). To test these predictions, we recorded the membrane 244 activity through a cell-attached electrode simultaneously with CASH under urethane 245 anesthesia (Fig. 4k, Extended Data Fig. 4). Event sorting revealed the spike-triggered average 246 waveform of the GCaMP6f response to 1 to 3 action potential (APs) events within a 30 ms 247 summation window (Fig. 4I). These events were detected by MLspike with probabilities of 0.46 (1 AP), 0.73 (2 AP) and 1 (3 AP), respectively (104 events, 1 cell, Extended Data Fig. 4e), with 248 249 latencies of detected versus true spikes centering at 23 ms with a 25 ms distribution width 250 (Fig. 4m). Receiver operating analysis with a probability discriminant (Extended Data Fig. 4e,f) 251 revealed Δ F/F amplitude responses of 0.076 \pm 0.01 (1 AP), 0.24 \pm 0.02 (2 AP) and 0.46 \pm 0.02

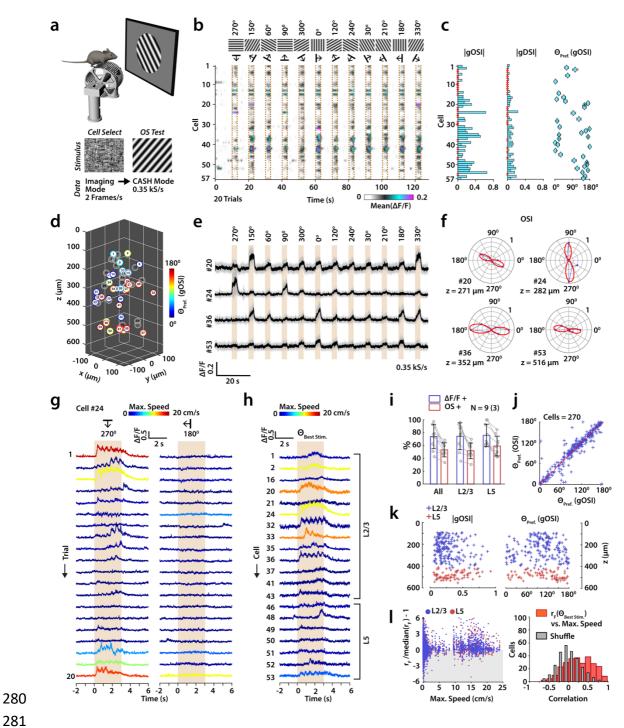
252 (3 AP), implying 1.64 \pm 0.01 Hill non-linearity (Extended Data Fig. 4g) in agreement with the 253 literature³⁶.

254

255 Tuning of V1 neurons to oriented visual contrast

256 Providing large volumetric depth (> 400 μ m), 3D-CASH affords access to the columnar extent 257 of cortical neuronal activity. We simultaneously recorded GCaMP6f activity of neurons in 258 layers 2/3 and 5 of V1 cortex in response to moving contrast gratings in awake mice. For 259 selection of active cells, we manually chose cells from a stack recorded during presentation of 260 visual noise (Fig. 5a). Single-trial responses to contrast gratings displayed gain-modulation by 261 motor activity³⁷ (Extended Data Fig. 5 and 6). To discount the effect of locomotion on 262 measured tuning properties, we averaged the responses over 20 stimulus repetitions (Fig. 5b). 263 In this experiment, 53 of 57 selected cells responded significantly (p < 0.002, bootstrap test) 264 to the stimulus and among these 32 cells displayed significant orientation tuning ($p \le 0.03$; 265 Fig. 5c-f). The global tuning index (gOSI) ranged from 0.1 to 0.7 and was more pronounced 266 than directional tuning (Fig. 5c). Projection of tuning angle on cell position indicates an 267 absence of orientation clusters (Fig. 5d and Supplementary Fig. 9). Single-trial responses 268 showed large variability including oscillatory, ramp-like and failure responses (Fig. 5g,h). In 269 pooled data (3 animals), about 70 % of selected cells were responsive and 50 % orientation-270 tuned (Fig. 5i). The tuning angle obtained from the tuning curve (OSI, orientation sensitivity 271 index; Fig. 5f) was consistent with the angle obtained from the vector sum (Fig. 5j). Across 270 272 tuned cells, tuning angles were homogeneously distributed between 0° and 180°, 273 independent of depth (Fig. 5k) and of layer, and visual responses were positively correlated to 274 locomotion (Fig. 5I). In one experiment, we recorded visual responses in the same cells (L2/3, 275 36 cells) in the awake and anesthetized state (Extended Data Fig. 7). Under isoflurane, layer 276 2/3 cells showed higher response probability with 3-fold larger mean response and lower 277 inter-trial variance compared to the awake condition (Extended Data Fig. 7d-g), as well as 278 higher spontaneous spike rates (Extended Data Fig. 8).

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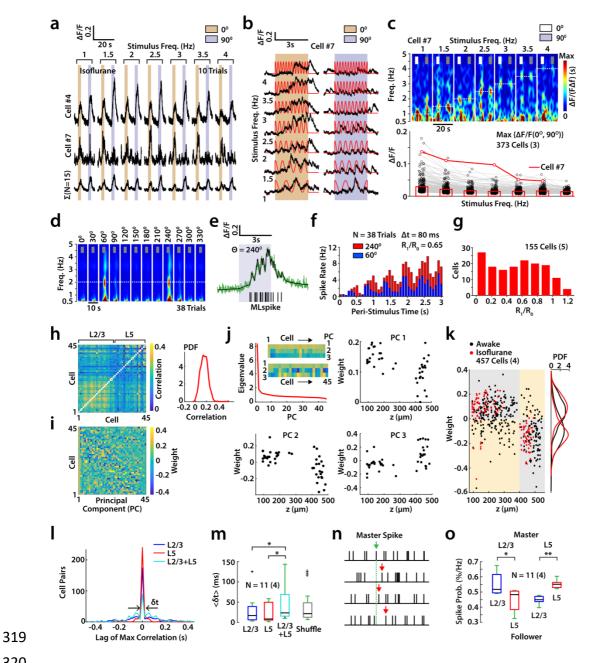
282 Fig. 5 Orientation tuning of neurons in V1 cortex

283 (a) Awake mouse on a treadmill with visual stimuli presented in the left visual field. The stimulus is a filtered 284 noise movie (imaging mode) or moving contrast gratings (CASH mode; OS, Orientation Sensitivity). (b) Trial-285 averaged fluorescence traces of 57 neurons (20 trials; 350 Hz sampling) with grating stimuli of varying orientation 286 (top). (c) Magnitude of global orientation selectivity index (gOSI), global direction selectivity index (gDSI) and 287 gOSI tuning angle, evaluated for neurons exhibiting significant response and orientation tuning. Neurons that 288 failed statistical testing marked as red crosses. (d) 3D coordinates of recorded neurons with color coding for 289 tuning angle. Unresponsive neurons in gray. Z-axis aligned to the pia mater (z = 0). (e) Trial-averaged fluorescence 290 traces (black) with SD (gray) of four example neurons. (f) Orientation selectivity index (OSI) calculated from the 291 fluorescence responses in (e). (g) Single-trial fluorescence responses of cell #24 to the preferred orientation 292 (270°) and orthogonal orientation (180°) with traces color-coded for the maximum speed of locomotion. (h) 293 Montage of single-trial fluorescence responses of 20 cells selected from 36 tuned cells by choosing for each cell 294 the stimulus trial of maximum integral response, using the same color code as in (g). Putative laminar cell position 295 in layer 2/3 (L2/3) or layer 5 (L5) indicated by brackets. (i) Fractions of visual responsive (blue) and orientation-296 tuned cells (red). Paired data from 9 experiments (514 cells in total; 3 animals) connected by gray lines. Error 297 bars represent SD. (j) Correlation diagram of gOSI- and OSI-derived tuning angles. (k) Amplitude of orientation 298 tuning (|gOSI|) and gOSI-derived tuning angle for the whole dataset (270 cells; 3 animals) versus z-position and 299 assignment to cortical layers 2/3 (blue) and 5 (red). (I) Left: Single-trial fluorescence amplitude (r_F) in response 300 to the best stimulus normalized by the median of responses across all trials as function of maximum speed. Right: 301 Histogram of correlation coefficient between single-trial response amplitude to the best stimulus and the 302 maximum speed (red).

303

Temporal structure of the visual response and pairwise correlations

305 Finally, exploiting the temporal resolution of 3D-CASH (0.5 to 10 ms in the 3DScope, 306 depending on sample size), we aimed to extract the temporal information present in single-307 cell GCaMP6f responses and across the entirety of simultaneously recorded cells. First, to test 308 the dependence of the GCaMP6f response on a temporal stimulus parameter, we varied the 309 stimulus frequency between 1 and 4 Hz for two orthogonal stimulus directions (0° and 90°; 310 Fig. 6a). In the example cell (Fig. 6b,c), the GCaMP6f signal tracked the phase of the stimulus (Fig. 6b) and the time-resolved FFT spectrum exhibits an amplitude peak at each stimulus 311 312 frequency tested (Fig. 6c) in absence of stimulus artefacts (Supplementary Fig. 10). For a set 313 of orientation-sensitive cells we constructed the peri-stimulus time histogram (PSTH) of 314 inferred spikes for the preferred angle and calculated for every PSTH the ratio of the first 315 harmonic with respect to the mean rate (R₁/R₀; Fig. 6 d-f and Extended Data Fig. 9). The pooled 316 data (Fig. 6g) show a broad distribution with weak bimodality, ranging from non-phasic to 317 phasic responses (Extended Data Fig. 10).



321 Figure 6: Temporal response properties and population correlation analysis

322 (a) Trial-averaged fluorescence responses (10 trials; 445 Hz sampling) to contrast gratings of 0° and 90° 323 orientation and 1 to 4 Hz frequency for two example cells (top) and a 15-cell average (bottom) under isoflurane. 324 (b) GCaMP6f responses (cell #7) overlaid with the temporal phase of the stimulus (red lines). (c) Top: Short time 325 Fourier transform (STFT) of the trial-averaged stimulus response (cell #7) for 1 to 4 Hz stimuli (white dashed 326 lines). Bottom: Maximum Fourier amplitude (0° and 90° orientation) of the GCaMP6f response versus stimulus 327 frequency (10 trials; 373 cells; L2/3) with population mean (red bar). (d) STFT of the GCaMP6f response at 328 constant temporal (2 Hz; dashed white line) and spatial (0.04 cpd, cycles per degree) frequency (example cell; 38 329 trials). (e) Single-trial GCaMP6f response (green) to the best stimulus (240°; gray) together with MLspike-330 reconstructed response (black) and inferred spikes (vertical bars, black). (f) Peri-stimulus time stacked histogram 331 (PSTH) of the spike rate of the stimulus response to 240° (red) and 60° (blue) orientation (38 Trials, R_1/R_0 332 harmonic ratio). (g) R_1/R_0 histogram (155 cells; L2/3; 5 animals). (h) Left: Pearson correlation matrix of GCaMP6f 333 data (45 cells; awake state) during visual stimulation (protocol Fig. 5b; 444 Hz sampling; 130 s). Correlation 334 means: 0.25 (L2/3), 0.14 (L5) and 0.17 (interlaminar pairs). Right: Probability density function. (i) Principal 335 Components (PCs) of the correlation matrix in descending order of eigenvalue. (j) Top left: Eigenvalues and (inset) 336 the first three PCs. Bottom left and right: Weights of PCs 1 to 3 as a function of z-depth. (k) Pooled data (457 337 cells; 4 animals), including experiments under isoflurane (red) showing PC weights versus z-depth. (I) Time lag 338 histogram of maximum cross-correlation between cell in L2/3 (blue), L5 (red) and inter-layer pairs (black). (m) 339 Width, δt , of the zero lag correlation peak (SD; 11 recordings, 4 animals). Unpaired t-test (two-sided) of cross-340 laminar (L2/3+L5) neuron pairs versus pairs within L2/3 (p = 0.03) and L5 (p = 0.04). As control, cells were z-341 shuffled. Boxplot shows median (bar; black) 1^{st} and 3^{rd} quartile (box), ± 2.7 SD range (green) and outliers (black). 342 (n) Schematic showing spike delays between a master spike (green) and follower spikes in three neurons (red). 343 (o) Probability that the neuron to spike first following a spike in a master neuron is located in L2/3 or L5, 344 normalized by cell count and mean firing rate in the follower layer. P-values 3.10⁻² (*) for L2/3 masters and 2.4.10⁻ 345 ³ (**) for L5 masters (unpaired t-test; two-sided; N=11 experiments; 4 animals; 8·10⁵ spikes). Boxplot analogous 346 to (m).

347

348 We then asked how GCaMP6f signals of V1 neurons are correlated among cells distributed 349 across layers 2/3 and 5. Pairwise correlations evaluated from entire trials are higher among 350 layer 2/3 than among layer 5 cells or pairs between the two layers (Fig. 6h). Principal 351 component analysis of the correlation matrix (Fig. 6i) revealed a highest-ranking component 352 (PC1) with uniform weights across neurons (Fig. 6j), suggesting global correlation, and a 353 second-ranking component (PC2) with weights of opposite signs for neurons in layer 2/3 354 versus layer 5 (Fig. 6j and Fig. 6k for pooled data; 4 animals). From this we hypothesized higher 355 spike synchronicity between intra-laminar versus inter-laminar neuron pairs. Indeed, the zero-356 peak width, δt , of the cross-correlation histogram (Fig. 6I) was significantly lower for intra-357 laminar compared to inter-laminar pairs (p = 0.03 and 0.04, t-test; Fig. 6m). Next, we asked 358 how this observation is represented in the temporal structure of spike activity. For every cell, we calculated for every BSD³⁸-inferred spike as master spike the time delay to the closest 359 360 follower spike among all other cells (Fig. 6n). This analysis showed that spikes emitted from 361 neurons in a given layer are more likely followed by a closest spike in a neuron of the same 362 layer with a mean latency of 17 ± 60 ms (Fig. 60 and Supplementary Fig. 11).

364 Discussion

365 The present data highlight the utility of CASH to speed up *in-vivo* calcium measurement from cell somata in optically accessible 3D neuron populations. With a throughput of 20,000 366 neurons per second in CASH mode, the 3DScope constitutes a substantial gain over earlier 3D-367 AOD microscopes (< 1,000 neurons/s)^{24,39}. In CASH, the number of single-cell POIs , n_{cells} , is 368 given by $n_{cells} = r_{data}/r_{acg}$ with r_{data} , the data throughput of the microscope, and r_{acg} , the 369 sampling rate. Larger sample size therefore entails lower sampling rate, while the GCaMP6f 370 response-to-noise ratio (RNR) scales with $\sqrt{r_{acq}}$ (Supplementary Discussion). This trade-off 371 372 between sample size and RNR ultimately defines how many POIs can be sampled at a given level of spike inference error. In the case of densely distributed cortical cells, we expect CASH 373 374 to yield similar effective SNR as standard 2D two-photon imaging, whereas superior SNR is 375 obtained for sparsely distributed targets (Supplementary Discussion). At the same time, 376 sparse cell labeling helps to isolate single cells within the patterned excitation volume and, by 377 minimizing background fluorescence, maintain high probe sensitivity under 2-photon 378 excitation.

379 Earlier AOD-based 3D microscopes employed counterpropagating sibling gratings in 380 two AODs in series to cancel focus drift induced by linear frequency chirp in asynchronous mode^{20–22}. This scheme, however, does not prevent focus drift for non-linear chirps⁴⁰ and 381 382 suffers from low power transmission, because of the second AOD receiving input in mismatch with the Bragg angle, and from vanishing duty cycle for large defocus¹⁸. Resonant 3D mirror 383 384 scanners, on the other hand, are limited to low sampling rate (< 20 Hz) in few planes^{8,41} in 385 spite of high nominal throughput (> 10 megapixels/s) as only a minority of pixels contribute useful information. While recent smart sampling methods achieve up to kHz speed, these 386 methods were limited to 2D-distributed targets in the focal plane^{42–44}. To achieve similar 387 388 sampling speed, but from 3D-distributed targets, 3D-CASH takes a fundamentally different 389 approach. In CASH, the principal source of speed gain is random access, not large-scale 390 multiplexing. Single-shot excitation volumes remain confined to individual cells and their 391 immediate environments, which results in non-mixed cellular data at low laser power (< 0.4 392 mW per spot in the 5x5 grid) safely below known thresholds of heating damage¹⁵.

393 Our data reproduce observations in the rodent visual system, in particular similar 394 orientation tuning of neurons in layers 2/3 and 5⁴⁵, absence of spatial orientation clusters⁴⁶ 395 and modulation by locomotion³⁷, while *a priori* selection of cells in CASH assessed a larger

population of visual responsive V1 cells than previously reported³⁶. Phase sensitivity of the 396 397 visual response reflects excitation modulation of V1 neurons by contrast bars moving across neuronal receptive fields⁴⁷. At fixed stimulus periodicity, and without distinguishing excitatory 398 399 and inhibitory cells, we find a continuous distribution of non-phasic (complex) to phasic (simple) responses in line with receptive field diversity of V1 neurons^{48–51}. Analysis of pairwise 400 401 response correlations revealed the existence of a collective response, which segregates 402 neurons of layer 2/3 and 5, in spite of similar orientation tuning, and involves layer-dependent 403 millisecond spike delays. Given the present proof-of-principle, 3D-CASH acquisition supplies a 404 handle to assess correlations of neuronal activity between 3D-distributed cells, like those of 405 the mammalian columnar cortical circuit, on the true time scale of neuronal electrical activity. 406

408 Acknowledgments

409 We wish to acknowledge expert technical help by Gérard Paresys for electronic designs, Yvon 410 Cabirou for mechanical engineering, El Mehdi Afif for advice on FPGA programming, François 411 Le Fouiller for explanations of acousto-optic device design, the IBENS transgenesis platform 412 and Caroline Maihes-Hamon for help with virus injections, Clara Dussaux (Institut Pasteur, 413 Paris) for spin-coated fluorescent micro-slides, Philippe Rostaing for ultramicrotome 414 sectioning of fluorescent nano-slides, Christophe Auger for help with pharmacology and the 415 IBENS animal facility for animal care. We also want to thank Nathalie Rochefort, Frederic 416 Chavane, Sylvain Gigan and David Ferster for discussions. Funding was provided by ANR 417 (ALPINS ANR-15-CE19-0011-01, ANR-15-CE19-0011-02, EXPECT ANR-17-CE37-0022-01), 418 Région Île de France (DIM Cerveau & Pensée, ALPINS), NIH BRAIN Initiative (1U01NS103464), 419 Fondation pour l'Audition (FPA RD-2018-6), Institut de Convergence Qlife (Q-life ANR-17-420 CONV-0005) and the program Investissements d'Avenir launched by the French government 421 and implemented by the ANR, with the references: ANR-10-LABX-54 (Memolife), ANR-11-422 IDEX-0001-02 (Université PSL) and ANR-10-INSB-04- 01 (France-BioImaging Infrastructure). 423 SW was supported by postdoctoral fellowship SPF201809007064 from the Fondation pour la 424 Recherche Médicale France.

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426 Author Contributions

427 LB, SD and JFL initiated research and obtained funding, WA built the 3DScope, WA and BM 428 coded the software, BM developed the user interface, JF and WA designed the detection unit, 429 AT set up the transmission microscope, JF designed the tower head post, VV set up the 430 treadmill, WA set up the visual stimulator and isoflurane anesthesia, VV and WA performed 431 animal surgery, VV performed virus injections, WA performed experiments, SD and VV 432 performed cell-attached recordings, WA and SW analyzed data and created figures, LB, SD, 433 JFL, CV, VV, SW and WA discussed research, WA, LB, SD and JFL wrote the manuscript with 434 contributions from all authors.

435

436 Competing interests

BM and SD are co-founders of the company Karthala System and hold shares in this company.

- 439 JFL, LB and SD are inventors in accordance with United States Patents 10423017 and 10191268
- 440 jointly owned by the Institut National de la Santé et de la Recherche Médicale (INSERM), the
- 441 Centre National de la Recherche Scientifique (CNRS) and the École Normale Supérieure de
- 442 Paris (ENS).
- 443
- 444 All other authors declare that they do not have competing interests.
- 445

446 Data Availability Statement

- 447 Data used for the main figures are available on
- 448 https://www.opendata.bio.ens.psl.eu/3D-CASH/.
- 449 Further data are available from the authors upon request.
- 450
- 451 **Code Availability Statement**
- 452 Codes for hologram retrieval are available on <u>https://github.com/walther007/3D-CASH</u>. Other
- 453 codes will be provided by the authors upon request.

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570 Methods

571 Optical Layout

572 The 3DScope (Supplementary Fig. 1) was set up on a 250 x 150 cm isolator table (Melles Griot 070T1035). The laser system (Pharos SP6, Light Conversion, Vilnius, Lithuania) consists of a 573 574 diode-pumped Yb:KGW mode-locked seed oscillator (1028 nm emission, 76 MHz repetition, 575 90 fs pulse duration, 1 W power), a diode-pumped Yb:KGW chirped-pulse amplifier (80 kHz 576 repetition, 190 fs duration, 75 µJ pulse energy, 6 W power) and an optical parametric amplifier 577 (630-2600 nm tuning range, Orpheus OPA, Light Conversion) delivering 400 mW of signal 578 output (800-1000 nm). Signal and idler beams are separated by a dichroic mirror of 1030 nm 579 cut-off in a double pass configuration at Brewster angle and collimated to a 13 mm beam waist (~1/e²) by 5x (signal) and 2.6x (idler) Galilean telescopes using AR-coated BK7 plano-spherical 580 581 lenses. The acousto-optic (AO) devices of 15 mm active aperture were custom-made from 582 TeO₂ monocrystals by AA Opto-Electronic (Orsay, France) with a surface cut for 583 autocollimation of the input and coaxial direction of the first-order beam at the acoustic 584 carrier frequency. The cycle time $t_c = d/v$ is 24.6 μ s, with d = 16 mm, the crystal diameter, and v = 650 m/s, the velocity for acoustic wave propagation in non-rotated biaxial TeO₂⁵². The AO 585 586 diffraction efficiency reaches at least 70 % at 36 MHz (800-1000 nm) and 28 MHz (1050-1300 587 nm) bandwidth through quasi-tangential phase match in anisotropic Bragg diffraction⁵². Two 588 AODs are oriented with their active axis parallel to the horizontal (X-AOD) and vertical (Y-AOD) 589 direction and 4f-conjugated by two achromats (f = 100 mm, d = 30 mm, Thorlabs). An AO 590 modulator (AOM) in 45° orientation is set up to fully compensate the angular dispersion in the 591 AODs at the carrier frequency and partially at side band frequencies⁵³. The distance between 592 the AOM and the AODs is adjustable through a two-mirror retro-reflection unit on a motorized 593 translator (150 mm travel, VT-80, PI Instruments) for tuning of temporal dispersion 594 correction⁵³. The AODs are conjugated to the optical back aperture (OBA) of the objective 595 (Olympus XLPlan N 25x 1.05 WMP; 8 mm focal length; 15.2 mm OBA diameter) through an 596 achromatic scan lens (f = 300 mm, d = 2", Thorlabs) and a tube lens (f = 200 mm, d = 36 mm, 597 Nikon MXA 20696), yielding 1.5 angular magnification and 0.66 radial OBA fill factor. The 598 maximum mean power available behind the objective was 25 mW at 940 nm (40 kHz). The 599 microscope head uses the movable objective microscope (MOM; Sutter, Novato, CA) 600 configuration, which permits (x y z)-translations (\pm 12 mm) and rotation of the objective, and 601 an Olympus BX-RFA epifluorescence unit with a three-color light source (ScopeLED B 190). The

detection unit was *de-novo* designed. Using a light diffusion model⁵⁴, we derived a 9° half-602 angle of diffusion-induced spread of emission light at the OBA for an assumed 1 mm thick 603 tissue volume, which defined the collection power of the downstream optics⁵⁵ equal to a 604 Helmholtz-Lagrange parameter of 45 mm². The detection unit features two detection 605 606 channels for green and red emission light, a 42 x 35 x 2.5 mm dichroic mirror (Chroma 720dxxr) 607 followed by IR block (d = 40 mm, Chroma ET750sp-1500), a large aperture collimator lens (f = 80 mm, d = 40, Edmund #48-239), a 46 x 34 x 1 mm secondary dichroic mirror (Chroma 608 609 T562lpxr), emission filters (d = 30 mm, ET520/60 and ET575lp, Chroma), an aspheric 610 condenser lens for each channel (f = 26.5 mm, d = 30 mm, Thorlabs ACL3026A) and two GaAsP 611 hybrid detectors (Hamamatsu R11322U-40). Optical layout was based on ray optics using 612 transfer matrices with subsequent refinement by ray tracing (OpticStudio; Zemax). The 613 microscope, excluding the wide-field imaging path, was coded in Rhinoceros 5 (McNeel) using 614 component CAD files from Thorlabs, Newport, Linos, Turbo Squid, Light Conversion and AA 615 Optoelectronic.

616 Electronic layout

617 In Bragg diffraction, the diffraction angle of the first order beam, Θ , is given by $\Theta = \lambda f / v$, 618 with λ , the optical wavelength in air, f, the acoustic carrier frequency, and v, the acoustic 619 velocity in TeO₂. To preserve this angle between different wavelengths of excitation, our 620 design keeps λf constant by adapting the carrier frequency according to $f_{AOD}(\lambda) =$ 81 MHz · 1000 nm/ λ and $f_{AOM}(\lambda) = \sqrt{2} \cdot f_{AOD}(\lambda)$. While the AOM operates at constant RF 621 622 frequency (MDS1, AA Opto-Electronic), the AODs operate on the basis of real-time RF 623 frequency and power update in time-locked FM/AM mode using fast-switching direct digital synthesizer (DDS) circuits with 2.5 W power amplifiers (DDSPA, AA Opto-Electronic). A 624 625 synchronisation signal is generated with a Schmitt trigger (74VHC123A, Fairchild 626 Semiconductors) from the output of a fast photodiode at the exit of the Pharos pulse 627 compressor by picking every second pulse from the initial 80 kHz laser output. This timing 628 signal (Laser SYNC) then triggers two clock signals on a timer counter board (14 MHz, 345 629 cycle; NI PXIe-6612, National Instruments) to clock the real-time data transfer between digital 630 I/O channels (50 MHz; NI PXIe 6537, National Instruments) as the sender and the AOD DDS 631 boards (23 bit frequency resolution, 8 bit power resolution, 64 ns sweeping time) as receivers. 632 The FM/AM data are calculated on the host computer for every laser pulse and streamed with 633 forerun to the output devices through first-in-first-out (FIFO) memory stacks using direct 634 memory access (DMA). Data acquisition is gated by the laser SYNC to reject detector dark 635 noise during 25 µs inter-pulse intervals. As the expected photon flux amounts to several 100 636 emitted photons per laser pulse, and because the R11322U-40 photomultiplier has a picosecond response time, 0.45 quantum efficiency and up to 2x10⁵ gain, the expected 637 detector signal is a 3 ns anode current pulse of the order of 200 μ A, or 10 mV at 50 Ω , when 638 assuming a 3 ns excited state decay time of EGFP⁵⁶. The detection chain consists of a 200 MHz 639 640 RC filter, a programmable low noise voltage amplifier (DHPVA, Femto, Berlin, Germany) and a 641 two-channel 800 MHz AC analog-to-digital converter (ADC) with \pm 1 V input range at 12 bit 642 resolution (NI-5772, FLEX-RIO, National Instruments) in combination with a 50,950 slice field-643 programmable gate array (FPGA) (NI PXIe 7971R, National Instruments). While the ADC runs 644 continuously, the FPGA circuit was set up to pick the wanted data from the data stream and 645 send them to the host. For this, the circuit runs a 200 MHz loop in phase with the 800 MHz 646 converter clock to read the ADC data (4 data words per cycle and channel) and keeps them in 647 a circular buffer. Once the laser SYNC trigger is received, pre-trigger samples (195 ns 648 pretrigger) of fixed length (75 ns gate window), to account for the delay of the SYNC signal 649 relative to the laser pulse, are retrieved from the buffer, summed and written to output FIFOs. 650 The amplifier gain (10 to 100) was adapted to match the \pm 1 V ADC input range. The anode 651 output of the R11322U-40 was a linear function of the input light up to 10 μ A mean and 30 652 mA peak anode current (measured with 200 MHz bandwidth). Low-incidence (< 0.001 per 653 sample) detector popcorn noise, possibly by bremsstrahlung-induced spurious photoelectron 654 emission, was removed in post-processing by outlier filtering with negligible loss of 655 information. The software operating the 3DScope was coded in LabVIEW 2015 (National 656 Instruments). The FPGA circuit was created with the Vivado 2014.4 compiler (Xilinx) under NI 657 RIO 17.0 (National Instruments).

658 Iterative Fourier-Transform Algorithm

In complex notation, the light electrical field is given by $A(x, y) e^{i\varphi(x,y)}$, with A, the amplitude, and φ , the phase. In the iterative Fourier-Transform algorithm (Gerchberg-Saxton) the electric field is transformed between the holographic plane (the back focal plane of the microscope objective) and the Fourier plane (the front focal plane,) by forward and inverse Fourier transforms under the constraint of a fixed constant amplitude in the holographic plane and the wanted target amplitude distribution in the Fourier plane (Fig. 2a). Since the hologram

needs to be separable along the x- and y-coordinates⁵⁷, $A(x, y) = A_x(x) \cdot A_y(y)$ and 665 $\varphi(x, y) = \varphi_x(x) + \varphi_y(y)$, we performed independent searches for 1D holograms on the two 666 axes using a 10,000 point discretization grid of 30 mm length. The search loop was initiated in 667 668 the Fourier plane with a random phase. We then recovered the 2D hologram by combining 669 the two solutions in x-y coordinate space and reconstructed the intensity distribution in 670 Fourier space which included a non-linear propagator to obtain the light field outside of the 671 Fourier plane. However, valid holograms are not univocally defined by the target intensity 672 distribution in the focal plane. By looping through a number of searches (~10), we selected for 673 low FM and AM bandwidths to maximize the remaining modulation bandwidth and power 674 transmission of the system, respectively. As a consequence of AM modulation, the 675 transmission dropped by 45 %, 65 % and 65 % in AM/FM versus FM only mode for 3x3, 5x5 676 and 2x5 spot grids, respectively. In about a third of the experiments AM modulation was 677 prohibited because of insufficient laser power. In this case we used the FM-only mode with a 678 modified target pattern which included side lobes up to 5th order with up to 30 % primary 679 target spot intensity to achieve homogeneous intensity in primary target spots comparable to 680 the FM/AM mode. Finally, the RF signal to drive the AODs is obtained as the derivative of the 681 unwrapped holographic phase in x- and y-direction⁵⁷.

682 *Point-Spread-Function Measurement*

683 We used a custom-made transmission microscope to directly image the intensity distribution 684 in the focal plane from a thin fluorescent layer in the xy plane (Fig. 2c) through a high NA 685 objective (LEICA HC PL APO 40x/1.1 W) used with synthetic water immersion fluid (ZEISS 686 Immersol W). Samples were a fluorescein-doped spin-coated PMMA layer, a nano-plastic slide⁵⁸ and a bulk layer of aqueous fluorescein (20 mM) of 10-20 µm thickness under a cover 687 688 slip. While the nano-slide was the only sample to provide a sub-resolution nominal thickness 689 (500 nm), the axial FWHMs of the measured PSF (0.25 µm z-steps) were consistent among the 690 three samples (Supplementary Fig. 3b), presumably because of the large NA difference of 691 focusing and imaging objective.

692 Cell Motion Measurements

To estimate x,y movements of brain cells during mouse behavior under our experimental conditions we recorded time-lapse movies of EGFP-expressing neurons at 6-16 Hz frame rate and registered the frames using NoRMCorre⁵⁹ which yielded cell displacements Δx , Δy with respect to a fixed reference frame. For estimating axial movement, we additionally recorded a reference z-stack of the same cell at 0.25 μ m axial resolution, but with the animal held under isoflurane anesthesia to suppress cell movement. In this case we used the focal image frame of the z-stack as registration reference for the t-stack and calculated the correlation coefficients between all t- and z-frames. For each t-frame a Δ z-displacement is estimated as the z-position of the z-frame yielding maximal correlation.

702 Visual Stimulation

703 Visual stimulus movies were generated in Matlab (R2013b, Mathworks) as a 8 bit gray scale 704 image stack at 500 x 400 pixel resolution. During run time, images were resized to 1280 x 1024 705 screen resolution of a 17" LED back-illuminated computer monitor (Dell E1715S) and played 706 at 30 Hz in sync with the vertical display retrace clock of the monitor using synchronization 707 tools from the Psychtoolbox (PTB-3) for Matlab. In addition, the screen back-illumination was 708 gated at 40 kHz under control of the laser SYNC such that the screen was off during a 20 μ s 709 time window which included the acquisition gate (see Supplementary Fig. 11a). The screen 710 was placed at ~12 cm distance from the left eye of the animal such that the eye axis was 711 approximately normal and central to the screen covering a 110° (h) x 100° (v) lateral eye field. 712 We used three stimulus movies. A noise movie, shown to the animal during image stack 713 acquisition, was created by inverse Fourier transformation of a random spatial-temporal 714 frequency spectrum subject to a temporal cutoff of 4 cycles per second (cps) and a 1/f spatial 715 frequency envelope with half maximum value of 0.04 cycles per degree (cpd) and 0.15 cpd 716 cutoff⁶⁰. The second movie was composed of a sequence of moving sinusoidal gratings with 717 different orientations and fixed temporal (2 cps) and spatial frequency (0.04 cpd) at high 718 contrast (0.8). Each grating was shown for 3 s followed by 7 s of gray screen. Stimulus 719 sequences were repeated in following trails after a 30 s pause showing a black screen and in 720 each case started with 10 s of gray screen. The third movie showed sinusoidal gratings in pairs 721 of 0° and 90° orientation with each pair given another temporal frequency between 0.5 and 4 722 cps, at constant 0.04 cpd. The movies were shown on a gray background within a circular field 723 of 75° centered to a position on the screen which elicited largest activation of cells within the imaged brain region (~250 x 250 μ m²). Stimulus on- and offset-times were annotated by 724 725 logging the CPU time and by recording the signal of a photodiode placed at the right lower 726 corner of the screen to record the contrast switch upon stimulus on- and offset. The 727 photodiode signal was acquired at 5 kHz on a PCIe-6351 ADC board (National Instruments) 728 using the microscope acquisition flag as a start trigger.

729 Animal Welfare

Experimental procedures were conducted in compliance with French and European laws,
including the "European Convention for the Protection of Vertebrate Animals used for
Experimental and other Scientific Purposes" (ETS 123), and following INSERM and NIH
institutional guidelines. All procedures were approved by the "Charles Darwin"-Ethics
Committee of École Normale Supérieure under project number 04828.02 and 12007.

735 Surgical Procedures

736 This work includes data from five female heterozygous pGAD65-EGFP⁶¹ mice (C57BL/6 737 background), aged 7-9 months, and 12 wild-type male C57BL/6 mice (Janvier Labs, France), 738 aged 3-6 months (ventilated home cage; $23 \pm 1^{\circ}$ C; 50 ± 5 % humidity; 12 h light/dark). The 739 animals received surgery for implantation of an optical cranial window. The skin over the 740 cranial bone was incised along the midline and retracted to both sides. The bone was treated 741 with hydrogen peroxide solution and adhesion primers (Optibond, Kerr), before a 6 mm 742 craniotomy of the parietal bone was performed over primary visual cortex of the right 743 hemisphere at -2.8 mm antero-posterior and 2.5 mm medio-lateral of Bregma. In the case of 744 the wild-type mice, 0.5 μ L virus solution was injected (Legato 130, KD Scientific) at 0.1 μ L/min 745 under stereotaxic control (# 942, Knopf) 300 µm below the pia mater using quartz electrodes 746 (~20 µm tip size) with a 10 µL Hamilton syringe. Per animal, up to 3 injections were done with 747 lateral offsets of 200 to 500 µm. Solutions were made up of AAV2/1-pSyn(h)-Cre-WPRE-GH 748 (1.9·10¹³ GC/mL; 1:1000) and AAV2/1-pSyn(h)-FLEX-GCaMP6f-WPRE-SV40 (1.4·10¹³ GC/mL; 749 1:30) using a Ringer base solution. In two mice, AAV2/1-pCAG-FLEX-tdTomato-WPRE-SV40 (8.52·10¹² GC/mL 1:150) was added as a third virus. All viruses were supplied by the Vector 750 751 Core Facility of the University of Pennsylvania (Penn Vector Core, USA). Finally, the craniotomy 752 was covered with a 5 mm BK cover glass (0.15 mm thickness; Warner Instruments) and sealed 753 with UV-curable dental cement (Tetric Evoflow, Ivoclar Vivadent). In the last step, a headpost 754 was fixed to the skull with dental cement. The headpost was either an inox plate or a titan-755 printed tower (Fig. 3a), both providing comparable mechanical rigidness. Virus-injected mice 756 were used for experiments during a time window of 1 to 4 weeks after injection.

757 Additional procedures for in-vivo electrophysiology

A total of seventeen 2-4 weeks old C57BL/6 mice (both genders; Charles River) were prepared
for simultaneous optical and cell-attached (loose patch) electrophysiological recordings. At
P17-30 a small injection craniotomy was performed over visual cortex and 250 nL of a virus

mixture of AAV2/1-pSyn(h)-Cre-WPRE-GH (1·10¹⁰ GC/mL) and AAV2/1-pSyn(h)-FLEX-761 GCaMP6f-WPRE-SV40 (2·10¹² GC/mL) was injected at 75 nL/min with an angle of 64° against 762 763 the normal at 2.8 mm posterior and 2.7 mm lateral of Bregma in the right hemisphere. After 764 1-2 weeks, the bone was thinned over the injection site and a head plate was cemented to 765 the skull. Under wide field excitation, the site of GCaMP6f expression was determined and a 766 craniotomy of approximately 0,7 x 1 mm was performed over the indicated region, followed 767 by a small durectomy about 450 µm lateral of the intended recording site. Under the 3DScope, 768 anesthetized mice (1.65 g/kg urethane in 0.9 % NaCl i.p.) were kept on a heating pad under 769 continuous monitoring of the breathing rhythm. A 5-15 MOhm borosilicate patch pipette filled 770 with artificial cerebral spinal fluid (ACSF) solution (in mM: 140 NaCL, 2.5 KCl, 1.5 CaCl₂, 1.5 771 MgCl₂, 30 Hepes, pH 7.3 with NaOH, 0.1 Alexa 954 hydrazide) and a supplement to increase 772 cell firing during membrane approach (3.5 mM potassium gluconate or 20 nM kainaite) was 773 lowered into the durectomized area and slowly advanced towards the target region (PatchStar 774 Micromanipulator, Scientifica) under an angle of ~70° versus normal at positive electrode 775 pressure. During experiments, the craniotomy was superfused with HEPES-buffered ACSF of 776 34°C at 2 ml/min using a peristaltic pump (IPC, Ismatec) with an in-line solution heater (SF-28, 777 Warner). Membrane sealing was helped by hyperpolarizing the electrode to -70 to -40 mV in 778 voltage-clamp mode (MultiCamp 700 B, Molecular Devices). A frame sync signal was recorded 779 together with the electrode current (20 kHz; Digidata 1440 A, Molecular Devices) for post-780 alignment of optical and electrophysiological recordings.

781 Experimental Protocol

782 Four days before start of recording sessions novice mice were accustomed to human handling, 783 head fixation and walking on a treadmill. The custom-made treadmill⁶² featured a PLA-printed 784 outward treadmill of 7.7 cm diameter with grip bars fitting the size of the mouse paw, side 785 barriers to safeguard the animal on the track and an optoelectronic rotation decoder to report 786 the walking distance (HEDS-5645#A06, Broadcom). The decoder signal (two phase-shifted 787 pulse trains of 500 counts per rotation (cpr) and 1 cpr, respectively) were digitized at 10 kHz 788 on a USB-ADC unit (USB-6259 BNC, National Instruments) and decoded for locomotion 789 direction and speed by a custom virtual instrument in LabVIEW 2017. In 21 experiments, we 790 anesthetized the animal under head clamp with a custom-designed anesthesia mask effusing 791 3 % isoflurane with 20 % O₂ and 80 % N₂O (1 L/min). Following induction, we reduced to 1.2 792 to 1.5 % isoflurane, installed a heat pad for homeothermic control to 37°C (H-800156, Harvard

Instruments) and began monitoring the respiration rate using a piezoelectric diaphragm (7BB-20-6, Murata). After administration of a sedative (Astenodex, 0.1 mL/kg i.p.) isoflurane was further reduced to 0.25 to 0.5 %. At this stage, the respiratory rhythm was low (1-2 beats/s), vibrissae were inactive, the animal was not reacting to whisker touch or body squeezes, but would weakly react to strong paw pinches. This condition was stable for at least one hour. For longer recordings, a second Astenodex dose was given. Experimental sessions were limited to a maximum of two hours.

800 Data Acquisition

801 Image stacks were acquired at 300 x 300 pixel resolution at 2 to 2.5 μ m z-steps and using one 802 laser pulse per pixel resulting in 2.25 frames/s acquisition speed. Every stack extended 200 803 μ m above and below the focal plane which was set at 300 to 380 μ m below the pia mater in 804 layer 4. From these stacks, cell bodies were manually selected as POIs using custom tools in 805 the 3DScope GUI to add the (xyz) center coordinates to a job list for the scan engine together 806 with the laser power and hologram to be applied, making these parameters freely eligible for 807 every POI and laser pulse. In this work we used point grids (5x5 and 2x5) for all POIs, but 808 adapted the size depending on laminar position of target cells in layer 2/3, 16x16 μ m² (5x5) 809 and $24x24\mu m^2$ (2x5), or layer 5, 18x18 μm^2 (5x5) and 28x28 μm^2 (2x5). The laser power was 810 adapted assuming a scattering length of 200 µm. After every experiment performed in the 811 CASH mode, we verified the cell coordinates by acquiring micro-frame images of 25x25 pixel 812 size centered at the programmed cell coordinates. In cases where we found a drift, we 813 discarded the CASH data and repeated the measurement. All GCaMP6f recordings were using 814 940 nm excitation.

815 Data Analysis

816 Fluorescence traces were normalized by the median using a running window of 50 s and 817 detector popcorn noise was removed with a three-point Hampel filter. Our infection protocol 818 yielded expression in neurons of layer 2/3 and layer 5 close to the injection site whereas 819 neurons in intermediate layer 4 were generally not infected. Our assignment of neurons to 820 layer 2/3 or 5 was therefore unambiguous in most cases. However, in a few cases, GCaMP6f-821 expressing cell bodies were found in positions that seemed to belong to the granular rather 822 than the supra-granular layer. In our assignment we did not distinguish these cells and labeled 823 them layer 2/3 throughout. For evaluating the effect of locomotion on GCaMP6f visual 824 responses we determined the maximum velocity of the animal during a time window starting

825 6 s before presentation of the stimulus onset and ending at stimulus offset (3 s after stimulus 826 onset). This ad-hoc choice was motivated by the observation that locomotion episodes often 827 lasted longer than the stimulus (3s) and that the effect of neuromodulation may last over several seconds⁶³. In case of EGFP signal-to-noise (SNR) was calculated as $SNR \coloneqq \langle F \rangle / SD(F)$ 828 829 and in case of GCaMP6f as SNR: = $S_{peak}/SD_{baseline}$ with S_{peak} , the peak response amplitude, and SD_{baseline}, the standard deviation of the baseline defined as width of the negative tail of 830 831 the Δ F/F trace histogram or, alternatively, as the standard deviation of the Δ F/F trace after high-pass filtering with 4 Hz cutoff. Central tendency and spread of measured data are given 832 833 as mean \pm SD, unless stated otherwise. Sampling rates are given in Hertz or, for avoidance of 834 ambiguity, in kilo samples per second (kS/s).

835 Neuropil Correction

836 Corrections for neuropil activity were obtained by subtracting the neuropil traces (NP) from 837 the traces obtained from the cell body (CB) using a global weight factor alpha, $[\Delta F/F](t;\alpha) =$ 838 $[\Delta F/F]_{CB} - \alpha [\Delta F/F]_{NP}$. We used two different algorithms to determine the α parameter. In noise 839 regression, we minimized the width of the negative tail of the trace histogram, departing from 840 the idea that low frequency baseline fluctuation is a consequence of population calcium activity as reported in $[\Delta F/F]_{NP}$, thus $\alpha = argmin\{SD(\Delta F/F(t; \alpha) \leq 0)\}$. Alternatively, we 841 842 performed correlation regression seeking to decorrelate the baselines of the cellular and the neuropil traces, e.g. $\alpha = argmin\{corr(\Delta F/F(t; \alpha) \le 0, [\Delta F/F]_{NP}(t) \le 0)\}$. In this case, 843 844 we obtained the alpha value as the slope of a linear fit to $[\Delta F/F(t)]_{NP}$ and $[\Delta F/F(t)]_{CB}$ data pairs 845 presented as xy-plot (Supplementary Fig. 7c). To exclude the contribution of neuronal activity, 846 we divided the $[\Delta F/F]_{CB}$ axis into 50 bins and included into the fit only those pairs with $[\Delta F/F]_{CB}$ 847 values smaller or equal to the 10 %-tile of $[\Delta F/F]_{CB}$ in every bin. Corrected traces were 848 smoothened with a three-point running average filter.

849 Deconvolution of GCaMP6f recordings

For MLspike event detection, we used as template for the GCaMP6f response: τ_{on} (50 ms), τ_{off} (300 ms), Δ F/F amplitude (0.075) for a single spike and a hill coefficient (1.7) based on data given in³⁶, together with maximal baseline drift (0.02/s). For the analysis of the dataset with synchronous membrane current recording the template was updated to: τ_{on} (90 ms), τ_{off} (370 ms), Δ F/F amplitude (0.075) for a single spike and a hill coefficient (1.7).

855 Spike-triggered average GCaMP6f waveforms were fitted with a bi-exponential response

- 856 function $f(t; a, b, \tau_{on}, \tau_{off}) := b + a \cdot (1 exp[-(t t_{spike})/\tau_{on}])$.
- 857 $exp[-(t t_{spike})/\tau_{off}]$, with τ_{on} , τ_{off} , the rise and decay time constants of the GCaMP6f 858 response, respectively, and t_{spike} , the MLspike-inferred spike time.
- 859 Visual Stimulus Response

860 For neuron response to a contrast-grating stimulus, we defined the fluorescence stimulus response $r_F(\Theta) \coloneqq 1/n \sum_{i=1}^n [\Delta F/F](i;\Theta)$ with n, the number of samples from onset to 861 862 offset of the stimulus, and Θ , the stimulus orientation. We judged a response significant 863 $(\Delta F/F+)$, if among all orientations of a given grating the mean response to at least one 864 orientation surpassed three times the baseline noise given by the standard error of the mean 865 (SEM) of the signal during a 3s pre-stimulus period. For $(\Delta F/F+)$ neurons, we calculated the global orientation selectivity index, gOSI, as vector sum of the responses over all orientations: 866 $gOSI \coloneqq \sum_{\Theta} r_F(\Theta) e^{i2\Theta} / \sum_{\Theta} r_F(\Theta)$. Likewise, the global direction selectivity index: $gDSI \coloneqq$ 867 $\sum_{\Theta} r_F(\Theta) e^{i\Theta} / \sum_{\Theta} r_F(\Theta)$. To judge statistical significance of orientation selectivity, we 868 869 calculated gOSI from bootstrap data by drawing bootstrap responses for every orientation of 870 the grating by random selection with replacement from the set of responses of the same 871 neuron across all orientations and stimulus repetitions. We then evaluated the SD of |gOSI| from 10⁴ bootstraps as an estimate of |gOSI| variance of isotropic responses and defined 872 873 orientation-selective neurons (OS+) as neurons with |gOSI| equal or larger than two times the 874 SD of the index in the isotropic case. For (OS+) neurons, we evaluated the preferred 875 orientation as $\Theta_{Pref} = 0.5 \cdot atan(Im(gOSI)/Re(gOSI))$ and the specific orientation selectivity index $OSI(\Theta) := [r_F(\Theta) - r_{\perp}(\Theta)]/[r_F(\Theta) + r_{\perp}(\Theta)]$ with $r_{\perp}(\Theta)$, the mean response 876 to orientations orthogonal to Θ . From OSI we obtained a second estimate for the preferred 877 orientation by global fit to a biphasic von Mises function $OSI_{fit}(\Theta; \theta_{pref}, c_1, c_2, c_3) = c_1 \cdot$ 878 $e^{(cos(\Theta - \Theta_{Pref}) - 1)/c_2} + c_3 \cdot e^{(cos(\Theta - \Theta_{Pref} + 180^o) - 1)/c_2}$. The R₁/R₀ ratio was calculated from R₁, 879 the peak-to-peak amplitude of the Fourier component at stimulus frequency, v_{Stim} , of the 880 spike PSTH $R_1 \coloneqq 2 \cdot \sum_{\nu_{Stim}=0.5Hz}^{\nu_{Stim}+0.5Hz} [FFT\{PSTH(R; \Delta t)\}(\nu)]$, with R, the spike rate, and Δt , the 881 binning width (80 ms) and the mean spike rate $R_0 := mean\{PSTH(R; \Delta t)\}$. We determined 882 R₁/R₀ separately for the forward and counterpropagating stimulus of preferred orientation to 883 884 identify the larger of the two.

885 Evaluation of Spike Detection Error

886 To simulate a fluorescence signal F(t), we generated poisson trains of spikes N(t) at a firing 887 rate of 0.2 Hz, we sampled the signal at 250 Hz, convolved it with a kernel modeling GCaMP6f kinetics $K(\tau) \coloneqq exp(-\tau/\tau_{decay})(1 - exp(-\tau/\tau_{rise}))$ with a rising time (τ_{rise}) and decay 888 time (τ_{decay}) of, respectively, 100 ms and 300 ms, and added Gaussian photonic noise $\epsilon(t)$. 889 In order to model the neuropil component, we added a neuropil signal FNP(t) extracted from 890 the experiments, to obtain the the simulated fluorescence $F(t) = \int K(\tau)N(t-\tau)d\tau +$ 891 $\epsilon(t) + FNP(t)$. To probe the neuropil correction estimator, we first corrected F(t) using 892 893 noise regression or correlation regression and inferred spike trains from the corrected 894 fluorescence signal using MLspike and BSD. Spikes were considered as detected, if they were 895 found in a fixed incidence time window of either 12 or 20 ms around the true spike. The 896 estimation accuracy was quantified by the error rate (ER), the complement of the F-score:

- 897 $ER = 1 2 \cdot Sensitivity * precision/(Sensitivity + Precision)$, with sensitivity and 898 precision defined as:
- sensitivity = (number of detected true spikes)/(total number of true spikes)
- and precision = (number of detected true spikes)/(total number of detected spikes).
- 901 ER, sensitivity and precision were evaluated for SNRs from 2 to 5 from 600 spikes in simulated902 trials of 3000 s duration.

903 Correlation analysis

904 To characterize the structure of pairwise neuronal correlation, we performed principal 905 component analysis (PCA) on the Pearson correlation matrix calculated from GCaMP6f traces. 906 For each data set, the first three eigen vectors (principal components, PCs), sorted by their 907 eigenvalues, were kept for explanation of largest variance. For these three PCs, we extracted 908 the weights of individual cells and correlated the weights of all cells with their z-position 909 obtained from the imaging stack. Among the three highest PCs we identified for each dataset 910 the PC that showed the highest correlation of weight and z-position. To analyze synchronicity, 911 we computed the cross-correlation of $\Delta F/F$ traces between all cells and extracted the time lag 912 from -500 to 500 ms yielding the highest correlation coefficient. From these data we 913 calculated the histograms of the maximal time lags for cell pairs within layer 2/3, within layer 914 5 and across the two layers. We used the widths of these distributions, given by their standard 915 deviation, δt , as a measure of synchronicity among cells in each group.

916 Spike propagation analysis

37

917 We reconstructed spike trains from the GCaMP6f traces using blind sparse deconvolution (BSD). For every neuron i and every emitted spike s at time $t_{i,s}$ we searched for all neurons j 918 the spike s' such that $t_{j,s'} - t_{i,s}$ is minimal with $d_{i,j,s} \coloneqq \min(t_{js'} - t_{i,s} > 0)$, the 919 920 corresponding time delay, see Fig. 6n. To distinguish intra- and inter-laminar temporal spike order, we computed N_i^j , the number of spikes emitted by neuron i having a closest follower 921 spike in a neuron j, $n_k^l \coloneqq \sum_{i \in L_k} \sum_{j \in L_l} N_i^j$, the number of spikes emitted by neurons in layer k 922 having first follower spikes in layer I, and $n_k^T \coloneqq \sum_l n_k^l$, the total number of spikes emitted by 923 924 neurons in layer k, with L_k , the subset of cells in layer k, k = [2/3,5]. From these numbers we 925 calculated $p_k^l := n_k^l / n_k^T$, the probability of a spike emitted by a neuron in layer k having a first follower spike in layer I (Supplementary Fig. 11b). We also calculated the normalized 926 927 probabilities $\hat{p}_k^l \coloneqq p_k^l / (f_l \cdot N_l)$, the probabilities p_k^l divided by the number of cells in the 928 follower layer I, N_l , and the mean spike rate, f_l , in the follower layer I (Fig. 60). Data analysis 929 was performed in Matlab R2019b (Mathworks).

930

931 References Methods

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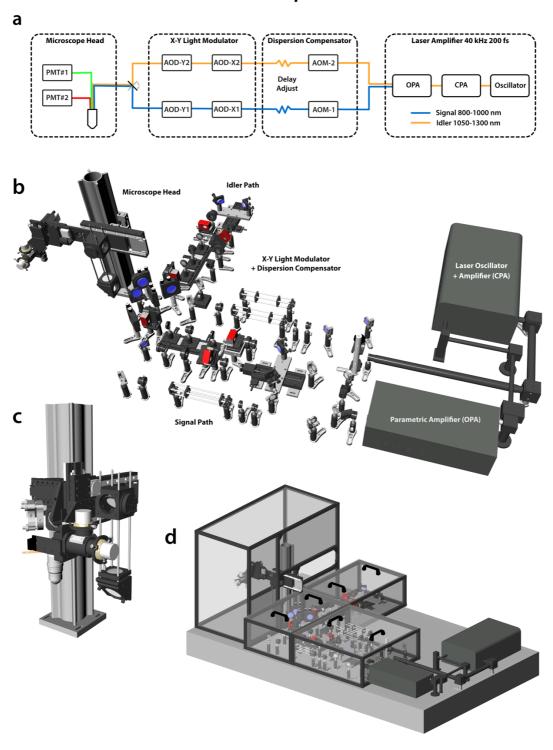
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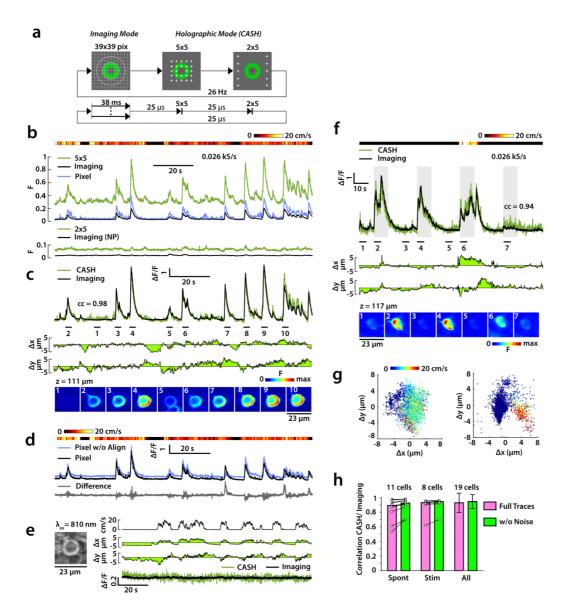
Extended Data Figures

3DScope



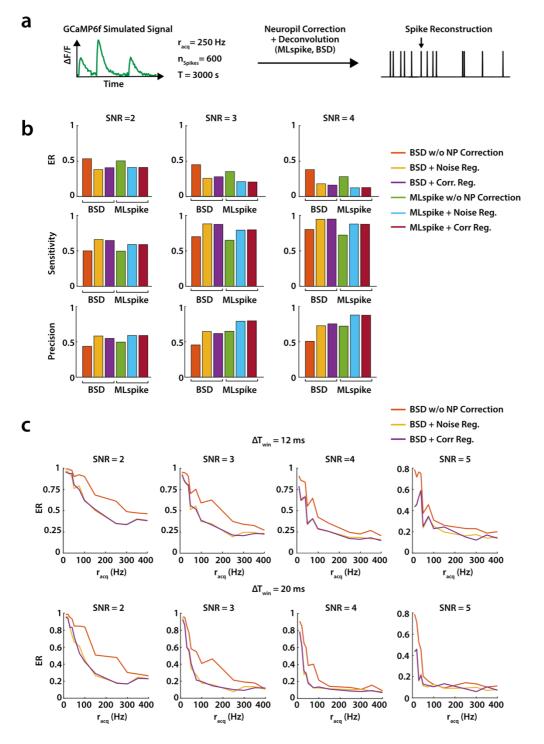
Extended Data Fig. 1 Optical hardware (3DScope)

a) Schematic representation of the active components in the signal and idler paths of the microscope (PMT: photomultiplier tube detector; AOD: acousto-optic deflector; AOM: acousto-optic modulator; OPA: optical parametric amplifier; CPA: chirped pulse amplifier). (b-d) 3D-rendered views of the microscope. (b) Top view without table and safety walls. (c) Microscope head with XYZ-movable objective (modified Movable Objective Microscope, MOM, Sutter Instruments) with added 2" periscope and custom-designed relay optics and detection unit. (d) Complete view with transparent safety walls for the purpose of visualization.



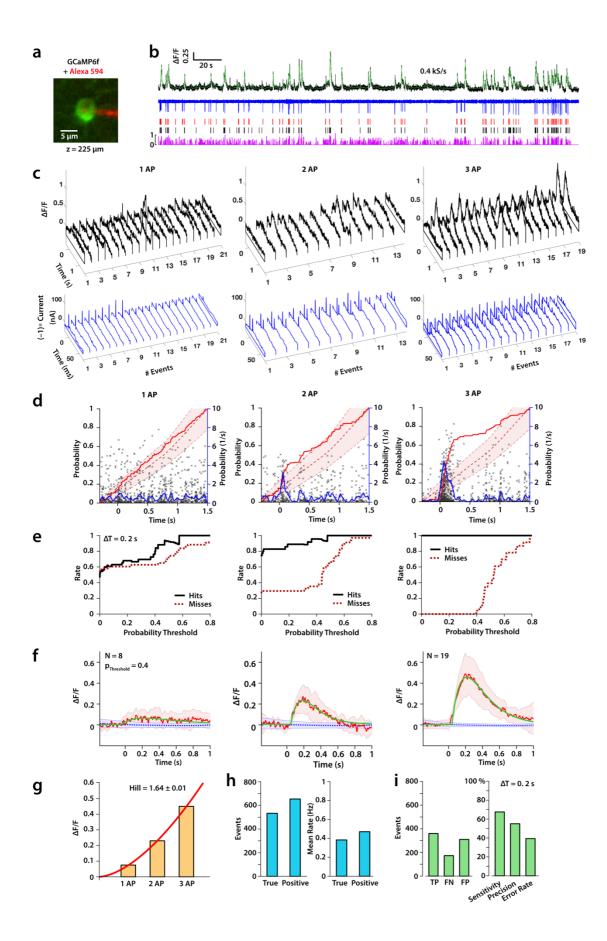
Extended Data Fig. 2 Simultaneous CASH and image-scan recording

(a) Experimental design: A single GCaMP6f-expressing cell is targeted first with a cell-centered image scan (39x39 pixels, 38 ms scan time) followed by a two-shot CASH acquisition (50 µs) resulting in 26 Hz loop rate. (b) Example recording (130 s) of spontaneous activity showing (top to bottom) the motion of the animal, absolute fluorescence signal obtained from 5x5 CASH (green), imaging (black; pixel mean after image stack alignment), the brightest pixel of the aligned image stack (blue), the 2x5 CASH signal (green) and the imaging-derived neuropil signal (black; pixel mean across a concentric region around the cell body). (c) Top: Overlay of $\Delta F/F$ fluorescence traces after neuropil (NP) correction and normalization minimizing the global mean squared difference. The alignment yields 0.98 coefficient of correlation (cc). Middle: amplitudes of cell motion in x and y direction from alignment of image frames. Bottom: fluorescence images of the targeted cell at different instants as marked above (black lines). (d) Overlay of the single pixel trace before (blue) and after (black) x,y-alignment of the time lapse image sequence. (e) Overlay of a CASH (green) and imaging (black; same cell as above), but with 810 nm excitation for Ca^{2+} -independent fluorescence (bottom), with x,y cell motion (middle) and running speed of the animal (top). (f) Top: overlay of single-trial CASH (green) and imaging (black) recordings (different cell) during presentation of a visual noise stimulus (gray bars) yielding 0.94 correlation. Middle: x,y cell motions. Bottom: Snapshot fluorescence images of the cell at instants indicated by bars above (black). (g) 2D representation of x,y cell motion during recordings in (b; left) and (f; right) with moving speed of the animal in false color. (h) Coefficient of correlation of GCaMP6f traces of simultaneous CASH and imaging recordings, analogous to (b) and (f), for all recorded cells (violet bars; median) and of the same traces after removal of photon noise by MLspike deconvolution fit (green bars; median). The number of observed cells are indicated above. Error bars represent SD.



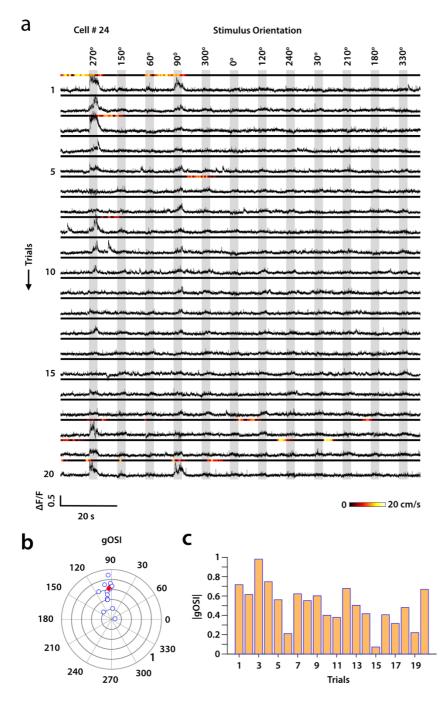
Extended Data Fig. 3 Event Detection accuracy evaluated on simulated data

a) Workflow schematic: We generated GCaMP6f-like neural calcium activity ($r_{acq} = 250 Hz$, 600 spikes, mean firing rate 0.2 Hz, 3000 s) contaminated with a neuropil signal. After neuropil correction, we deconvoluted the data and from inferred spikes evaluated the error rate (ER), the sensitivity and the precision of inference. **(b)** Error rates for artificial data (SNR 2, 3 and 4) according to neuropil correction (noise- or correlation regression) and inference algorithm (MLspike and BSD). **(c)** Error rate as function of acquisition rate, r_{acq} , for data with SNR between two and five obtained with the BSD algorithm for two window sizes ΔT_{win} (12 and 20 ms). A spike is rated as detected, if the spike falls within this time window centered around the time of the true spike.



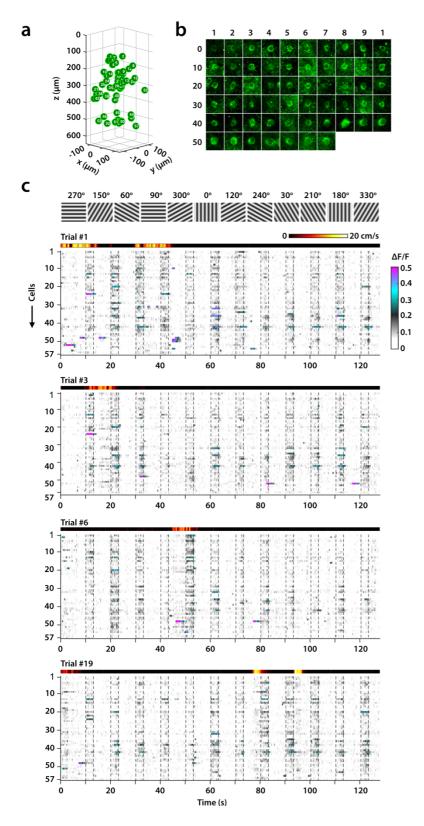
Extended Data Fig. 4 Simultaneous CASH and cell-attached patch recording

(a) Image of patch electrode-targeted neuron expressing GCaMP6f (green) and membrane-attached electrode filled with Alexa 594 (red). (b) Five minutes example recording from the neuron shown in (a) with GCaMP6f signal (black), MLspike-retrieved GCaMP6f signal (green), electrode current at -65 mV holding potential (blue), position of spikes inferred from the current trace (red), spikes obtained from MLspike deconvolution (black) and MLspikeestimated spike probability (magenta). (c) Spike-sorted GCaMP6f transients in black (first row) and electrodemeasured spikes in blue (second row) for single (1 AP; 21 events), double (2 AP; 14 events) and triple (3 AP; 19 events) action potential events with the first spike at time zero and drawn from 23 minutes total recording time. Detection was subject to the condition that no further event is found during 0.6 s before the first spike and 0.7 s after the last spike. The incidence window was 30 ms. (d) MLSpike-estimated spike probability (black crosses) for events shown in (c) in the -0.3 to 1.5 s from the electrode-detected spike event at time zero, together with the normalized cumulative probability (red) and probability density calculated with a gaussian kernel (20 ms; blue). Dotted curve (red) represents the cumulative ensemble probability at ± SD confidence (dotted red band) bootstrapped from segments of the recordings (10^4 repetitions) where no spikes were detected. (e) Diagnostic of optical spike detection for 1-3 AP events as function of a probability threshold with a 0.2 s detection window following an electrode-detected spike. The true positive (hit) and false negative (misses) rate was calculated from the MLspike-detected events reaching a probability beyond threshold anywhere within the detection window. (f) Spike-triggered average of the GCaMP6f transient for 1 AP (8 events), 2 AP (6 events) and 3 AP (19 events) which surpassed a 0.4 probability threshold (red) with ± 1 SD (red band), biexponential fit to the mean (green; 0.33 ± 0.4 decay time constant) and the ± 1 SD bootstrap distribution of GCaMP6f transients in absence of spike events (blue). (g) Mean GCaMP6f response amplitude for 1-3 AP events obtained from the thresholded spike response in (f), together with polynomial fit (red). (h) Left: Total number of electrode-detected spike events (true events) and MLspike-detected events (positive events). Right: Mean firing rate across the whole data set evaluated from true or from positive events, respectively. (i) Left: True positives (TP), false negatives (FN) and false positives (FP) counts across the whole data set when applying a 0.2 s detection window. Right: MLSpike inference sensitivity, precision and error rate evaluated from the counts in (h, left) and (I, left).



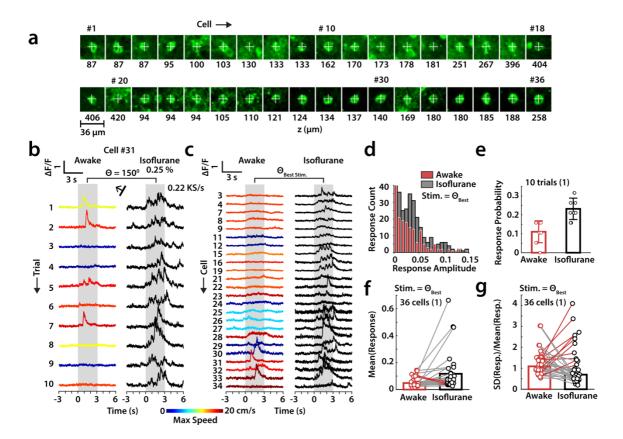


GCaMP6f orientation-sensitive visual responses (cell # 24 in Fig. 5d-f). (a) GCaMP6f fluorescence signal in response to the repeated presentation (20 repeats) of the same stimulus series (gray bars) with orientations indicated on the top of the figure. The moving speed of the animal is shown for every trial as a false-color bar together with the GCaMP6f trace (black). (b) Global orientation sensitivity index (gOSI) derived from single trials (blue dots) and from the trial average (red dot). (c) gOSI amplitude for all trials.



Extended Data Fig. 6 Single trial visual responses in a cell population

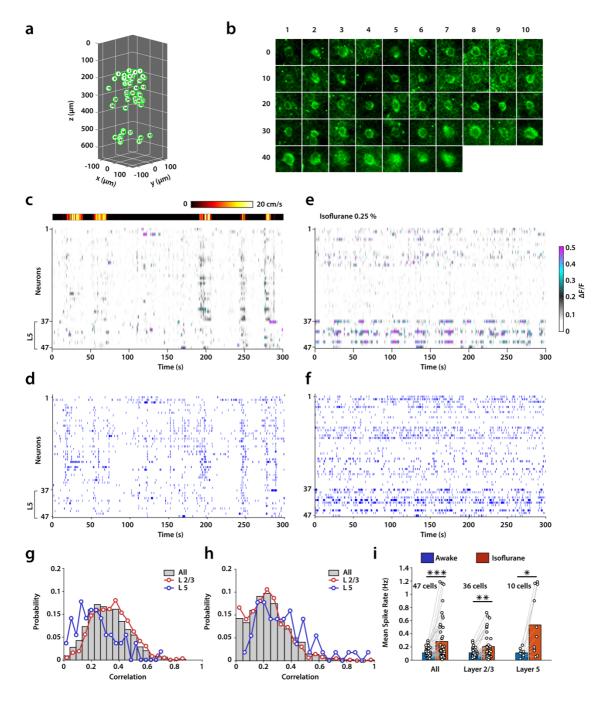
(a) 3D coordinates of cells in the dataset (same as in Fig. 5b-h). (b) GCaMP6f fluorescence images of all cells in the dataset. (c) False-color representation of GCaMP6f fluorescence responses to visual contrast grating stimuli (vertical dotted lines). Shown are the responses in trials n° 1, 3, 6 and 19 of a total of 20 trials (trial average shown in Fig. 5b) together with the locomotion velocity as horizontal color bar. The orientation of stimulus gratings, same for all trials, are shown on the very top.



Extended Data Fig. 7

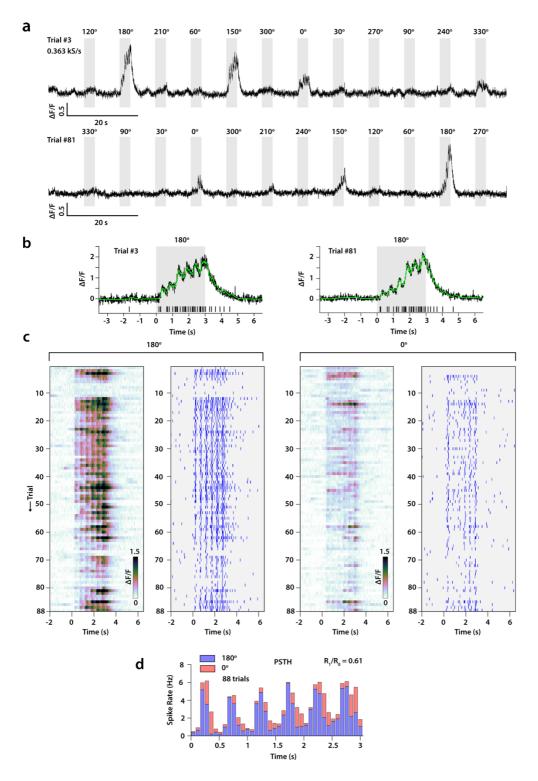
GCaMP6f responses of V1 cells in the awake versus the anesthetized state

(a) Fluorescence images of 36 cells (L2/3; two experiments; one animal) that passed the test for significant tuning response in both states ($p \le 0.03$, bootstrap test). Values below indicate the z position below pia. (b) Single-trial fluorescence responses of cell #31 to the preferred orientation (150°) in the awake state (left) and in the anesthetized state under 0.25 % isoflurane (right). Traces in the awake state are color-coded according the maximum speed of locomotion of the animal. (c) Single-trial fluorescence responses of 24 cells by choosing for each cell the stimulus trial of maximum integral response in the awake (left) and anesthetized (right) state, using the same color code as in (b). (d) Overlaid histograms of response amplitude for the best stimulus across all trials and all cells in the awake (red) and anesthetized (gray) state. Responses with amplitude above 0.15 have low counts and are omitted from the figure. The zero-response bar was cropped at the top. (e) Measured cellaveraged response probability in single trials (mean; 10 repetitions; SD error). Trial responses to the best stimulus, as judged from the average response, were considered as positive when their amplitude was at least three times larger than baseline noise, otherwise as failures. Number of animals given in brackets. (f) Trailaveraged integral response amplitude for the best stimulus for all cells when recorded in the awake (red) and anesthetized (black) state. Connecting lines indicate data belonging to the same cell with red lines for cells featuring larger response in the awake state. (g) Standard Deviation (SD) of trial responses for the best stimulus normalized to the mean of the response for cells when recorded in the awake (red) and (black).



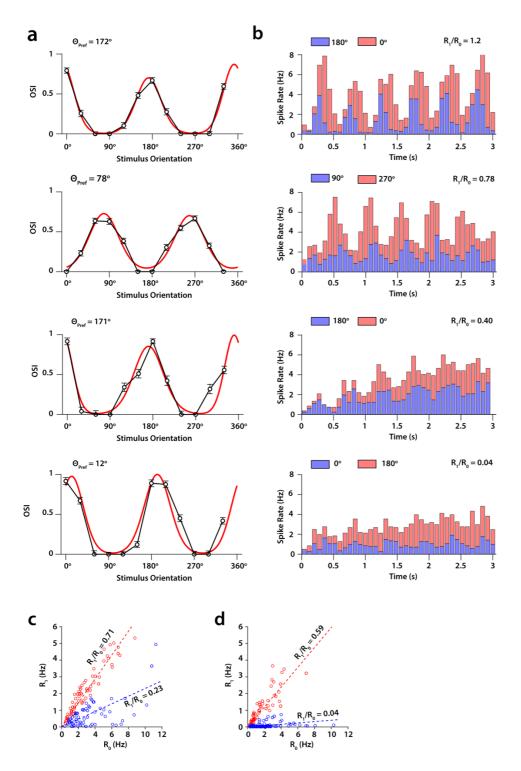


a) 3D coordinates of cells in the dataset (47 cells). (b) GCaMP6f Fluorescence images of all cells. (c) Fluorescence traces in false colors, according to the color bar on the right side of (e) recorded in the awake state. Color bar on the top indicates locomotion velocity of the animal. (d) Spikes found in (c) by MLspike. (e) Fluorescence traces from the same cells as in (c), but with the mouse under 0.25 % isoflurane anesthesia. (f) Spikes found in (e) by MLspike. (g) Histogram of pairwise spike correlations in (d) between all cells (black), between layer 2/3 cells (red) and between layer 5 cells (blue). Spikes were counted as synchronous if they fall within a 10 ms time window. (h) Same as in (g), but evaluated from the dataset in (f). (i) Mean spike rates calculated from the spike maps in (d) and (f). Paired t-test, $p = 2 \cdot 10^{-4}$ (***; 47 cells), $2 \cdot 10^{-3}$ (**; 36 cells), $1 \cdot 10^{-2}$ (*; 10 cells). Pairs of same cells connected by lines (gray).





(a) GCaMP6f response of a layer 2/3 example cell to a stimulus series of contrast gratings with grating orientations between 0° and 360° randomized between trials and moving at a speed of 2 cycles per second (cps) under isoflurane (0.25-0.5 %) anesthesia. Sampling with 363 Hz. *Top*: Trial #3. *Bottom*: Trail # 81 (Gaussian-filtered, sigma 2.5 ms). (b) Responses to 180° stimulus orientation of trial #3 (*left*) and # 81 (*right*) with MLspike-infered spikes indicated as black vertical marks (*bottom*) and convolution of inferred spikes with the GCaMP6f response template (green curve). (c) False-color representation of the GCaMP6f responses to the 180° (left) and 0° counterpropagating stimulus (right) for 88 consecutive trials together with MLspike-inferred spikes (blue vertical lines). (d) Stacked peristimulus time histogram (PSTH).



Extended Data Fig. 10 Orientation and phase sensitive responses(a) Orientation sensitivity index (OSI) for four example neurons with orientation preferences (Θ_{Pref}) 178°, 78°, 171° and 12° (*top to bottom*). Experimental (black) with biphasic fit (red). Error bars indicate SEM. **(b)** Stacked peristimulus time stacked histograms (PSTH) obtained for the neurons in (a) from 80 (# 1, #2, #4) or 50 (# 3) trials with 80 ms binning width resulting in R₁/R₀ ratios ranging from 1.2 to 0.04 (top to bottom). **(c)** Harmonic spike Rate R₁ as function of the mean rate R₀ in response to the preferred stimulus orientation and preferred direction for 155 neurons in layer 2/3. Responses classified according to R₁/R₀ larger (red) or lower (blue) than the R₁/R₀ ensemble mean. Linear fits to the data given as dashed lines. **(d)** Same as (a) for the counterpropagating direction of the preferred stimulus.

SUPPLEMENTARY MATERIAL

Fast Optical Recording of Neuronal Activity by 3D Custom-Access Serial Holography

Walther Akemann, Sébastien Wolf, Vincent Villette, Benjamin Mathieu, Astou Tangara, Jozsua Fodor, Cathie Ventalon, Jean-François Léger, Stéphane Dieudonné, Laurent Bourdieu

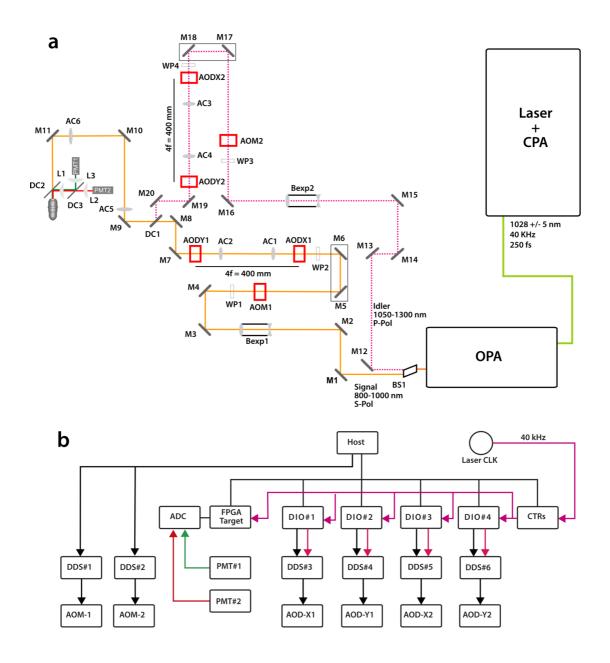
Supplementary Figures

Supp. Fig. 1	3DScope: optical and electronic layout
Supp. Fig. 2	Ortho-normal phase functions for biaxial spatial light modulation
Supp. Fig. 3	Optical characteristics of the 3DScope
Supp. Fig. 4	Holography in FM/AM versus FM mode
Supp. Fig. 5	3D-Reconstruction of 3x3, 5x5, 2x5 target CGHs
Supp. Fig. 6	Estimation of in vivo 3D movement of supra-granular neuron cell bodies
Supp. Fig. 7	Correction for neuropil signaling
Supp. Fig. 8	Event detection from GCaMP6f CASH recordings
Supp. Fig. 9	3D distribution of orientation sensitivity
Supp. Fig. 10	Suppression of stimulus artifacts in recordings of visual responses (Control)
Supp. Fig. 11	Master-follower spike propagation analysis (Controls)

Supplementary Discussion

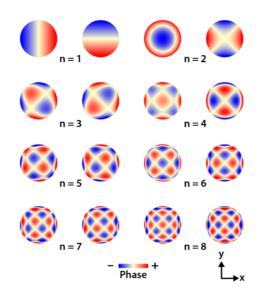
Response-to-noise ratio of the GCaMP6f response				
Supp. Fig. 12	GCaMP6f response-to-noise ratio			
Signal-to-noise ration of GCaMP-CASH recordings				
Supp. Fig. 13	The case of CASH acquisition			
Supp. Fig. 14	The case of imaging acquisition			
Supp. Fig. 15	SNR of CASH and imaging compared			

Spatial resolution of the 3DScope acousto-optic light modulator



Supp. Fig. 1 3DScope: optical and electronic layout

(a) Optical layout using the following abbreviations: CPA, chirped pulse amplifier, OPA, optical parametric amplifier, M, mirror, DC, dichroic mirror, AC, achromat, L, lens, BS, beam splitter, Bexp, beam expander, WP, $\lambda/2$ waveplate, P-Pol, horizontal polarization, S-Pol, vertical polarization. (b) Schematic of the control layout: DDS, direct digital synthesizer, ADC, analog to digital converter, DIO, digital I/O, PMT, photomultiplier tube, CLK, laser synchronous clock signal, CTR, digital counter. Connections serve data transfer (black), timing signals (pink) and detector signals (green, red).



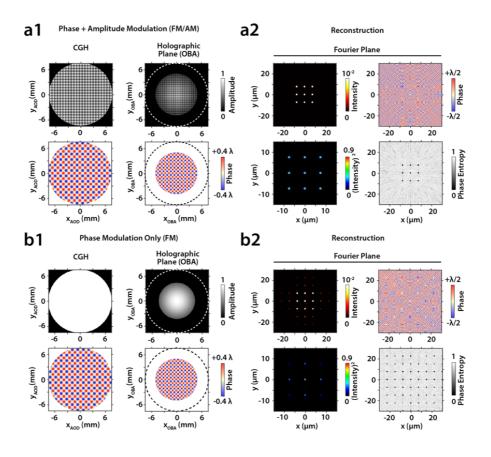
Supp. Fig. 2 Ortho-normal phase functions for biaxial spatial light modulation

Circular polynomial phase functions compatible with the geometry of a two-axis spatial light modulator (XY-SLM) up to 8th polynomial order. The functions, separable with respect to x- and y-coordinates, of the form $\varphi_n^{\pm}(x, y) = c_{\pm}(n) + \sum_{i=1}^n (a_{\pm}(i) \cdot x^i \pm b_{\pm}(i) \cdot y^i)$ are numerically constructed by successive Gram-Schmidt orthogonalization starting from $\varphi_0(x, y) = 1/\sqrt{\pi}$, $\varphi_1^+(x, y) = 2/\sqrt{\pi} \cdot x$ and $\varphi_1^-(x, y) = 2/\sqrt{\pi} \cdot y$ and $\varphi_n^{\pm}(x, y) = x^n \pm y^n$, $n \ge 2$. The zero-order mode of constant amplitude (Piston) is omitted. The total number of normal modes grows with polynomial order n as 2n+1. These modes are the two-axis equivalents to the Zernike polynomials to 8th order, used in optics to characterize aberrations in instruments with circular aperture.

EOV (µm)	Signal	5 WMP 800 nm 820 nm 820 nm 900 nm	Idler 1050 nm 1100 nm 1100 nm 1250 nm 1250 nm 1350 nm 1350 nm 1350 nm 1350 nm 1400 nm 1350 nm 100	
b Fluorescein/PMMA Multilayer X-Proj. 5 µm		Plastic Nano-Slide X-Proj. 5 μm	Fluorescein Bulk Solution X-Proj. 5 µm $Y-Proj.$ 0	
	Fluorescein/PMMA	Color Plastic Slide	Aqueous Fluorescein Layer	Diffraction
Axial FWHM	Multilayer 4.3 μm	D = 500 nm 4.7 μm	D = 10-20 μm 4.2 ± 0.8 μm	Limit 4.4 μm
Lateral FWHM	1.0 μm	1.1 μm	1.1 ± 0.2 μm	0.8 μm

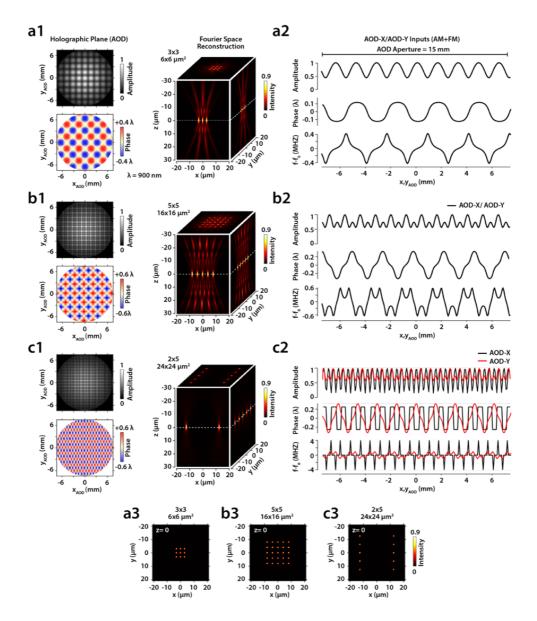
Supp. Fig. 3 Optical characteristics of the 3DScope

(a) Modeled relationship between depth of view (DOV) and field of view (FOV) in the 3DScope taking into account the objective magnification (25x) and the acoustic bandwidths available at different excitation wavelengths in the signal and the idler paths. (b) Measurement of the fluorescence point focus in the 3DScope under two-photon excitation (900 nm) using three different fluorescence samples, a cover slip spin-coated with a Fluorescein/PMMA layer, a plastic slide (Chroma) cut to a thickness of 0.5 μ m with a microtome using a diamond knife and an aqueous 20 mM solution of fluorescein sandwiched between a glass slide and a coverslip.



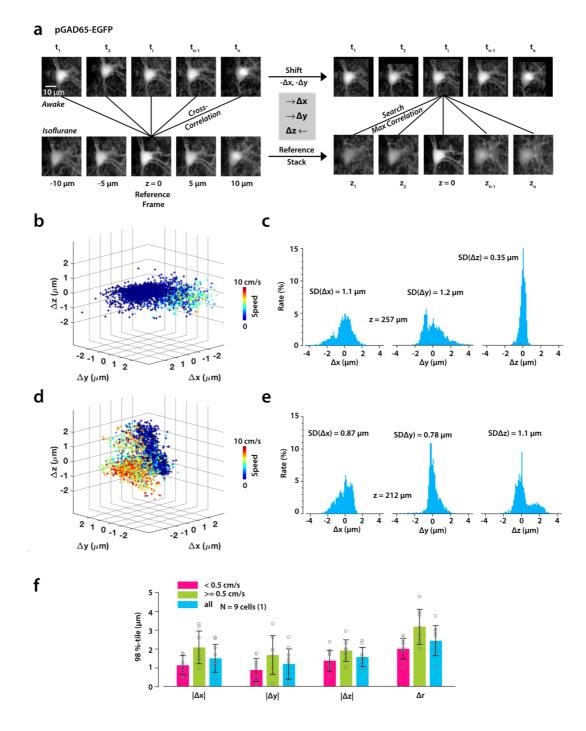
Supp. Fig. 4 Holography in the FM/AM versus FM mode

FFT reconstruction of the computer-generated hologram (CGH) given in Fig. 2b in either FM/AM mode (a) or FM mode (b). **(a1)** *Left Column*: CGH. *Right column*: Light field in the plane of the objective back aperture (OBA) of a Gaussian laser beam carrying FM/AM modulation given by the CGH. Size of the OBA indicted with a dashed line. **(a2)** Light field in the Fourier (focal) plane obtained by FFT of the OBA light field. *Left column*: Intensity and the intensity squared, with the squared intensity as a proxy for the two-photon fluorescence intensity distribution. *Right column*: Phase and phase entropy. **(b1)** and **(b2)** same as (a1) and (a2), but without AM. Notably, the reconstructed phase in the Fourier plane exhibits disc-like regions of constant phase and minimal entropy at the target sites in the FM/AM mode, while the phase outside the target sites is scrambled, indicating destructive interference. In the FM only mode, on the other hand, the reconstructed phase exhibits local flatness at side lobe positions.



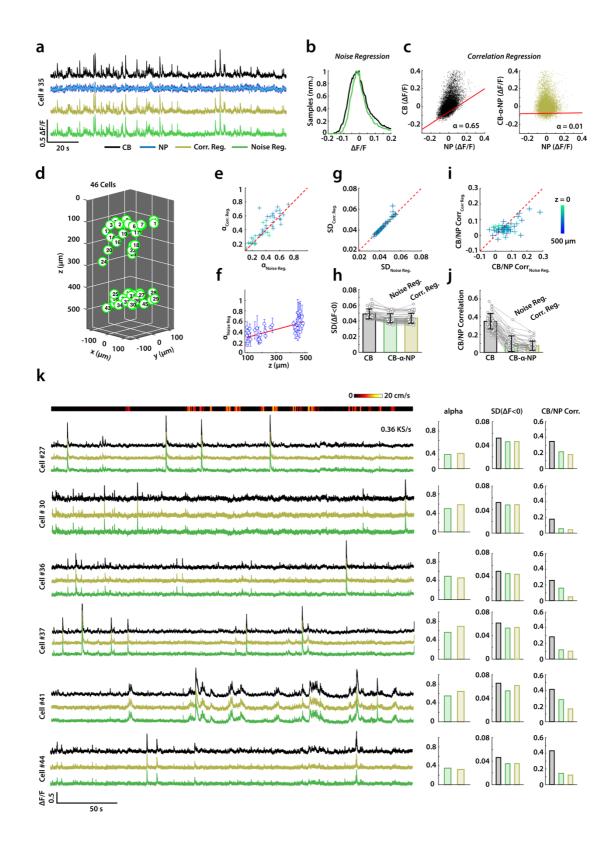
Supp. Fig. 5 3D reconstructions of 3x3, 5x5, 2x5 target CGHs

(a) $3x3 (6x6 \ \mu\text{m}^2)$ target. (a1) Left Column: Light field in the AOD plane. Right: Intensity reconstruction in Fourier space using Fourier transform and a linear phase propagator. (a2) AOD phase and RF input signals: AM signal (top), phase (middle) and FM signal (bottom). (a3) Intensity distribution in the focal plane. (b1-3) $5x5 (16x16 \ \mu\text{m}^2)$ target. (c1-3) $2x5 (24x24 \ \mu\text{m}^2)$ target.





(a) Experimental design: first, a time-lapse image stack from a recording of a single EGFP-expressing cell in a pGAD65-EGFP transgenic mouse (50x50 pixels; 16 frames/s) is x,y-aligned by frame-wise cross-correlation with the focal image of an z-image stack (50x50 pixels, $\Delta z = 0.25 \mu$ m) of the same cell in the anesthetized animal. In a second step, the 2D-correlation between every t-frame and all z-frames is calculated and the z-position is assigned to the z-frame of highest correlation. (b) x-, y- and z-displacement amplitude of the cell represented in (a), color-coded according to the motion speed of the animal. (c) x-, y-, z-displacement histograms of the same data indicating the SD of each distribution. (d, e) Same estimation for a different cell in a different recording session (38 days earlier; 14 days after surgery) in the same animal. (f) Pooled displacement data (mean ± SD of the 98 percentile of x-, y-, z- and radial displacements; N = 9 cells; 1 animal) discriminated according to those movements that occur in the resting animal (red; motion speed < 0.5 cm/s) and the moving animal (green; motion speed >= 0.5 cm/s). Data taken from 14 to 55 days post surgery. Number of animals given in brackets.

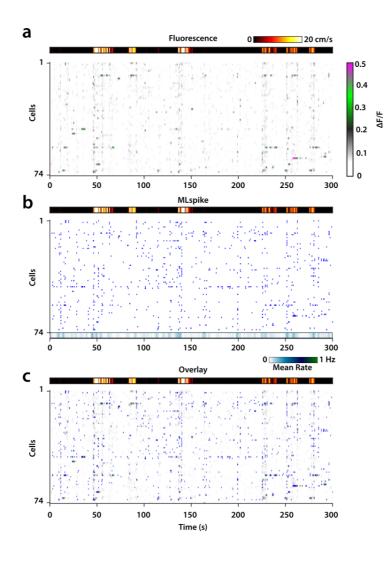


Supp. Fig. 7 Correction of neuropil signaling *Legend continues next page.*

Supp. Fig. 7

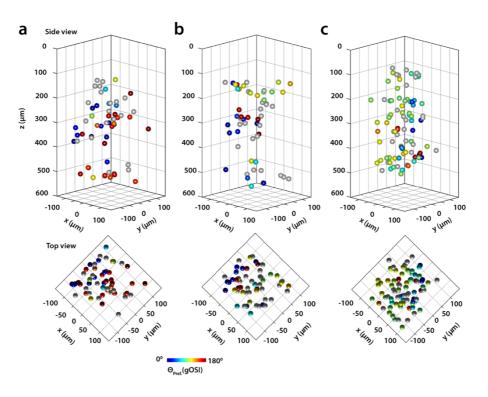
Subtraction of neuropil signaling

(a) Example traces with the CB signal (black), the NP signal (dark blue), the NP signal smoothed with a 50 ms window (light blue) and the corrected signal using correlation regression (yellow) or noise regression (green). (b) Trace histogram of the CB trace (black) and the corrected trace using noise regression (green). (c) Left: XY-plot of NP and CB data with linear fit (red) excluding data points with large CB values owing to cellular activity, with the slope (0.65) identical to the α value. *Right*: XY-plot of NP and corrected CB data with linear fit (red) excluding cellular activity, with residual correlation reduced to 0.01. (d) Coordinates of 46 neurons of the data set shown in this figure. (e) α regression parameters (mean and SD; 3 repetitions; 46 cells) for all cells in the data set obtained from noise regression (x-axis) and correlation regression (y-axis). Dashed red line indicates the identity manifold. (f) Noise regression-derived α values for all cells, plotted as function of the z coordinates. Red line indicates a linear fit to the data. Error bars represent SD. (g) Residual baseline noise, $SD(\Delta F/F < 0)$, after noise regression (x-axis) and correlation regression (y-axis). (h) Baseline noise, mean and SD for all cells, of the CB raw data (black) and after noise (green) and correlation (yellow) regression. Error indicated as SD. Lines (gray) connect same cells. (i) Residual correlation coefficient of CB and NP trace, for all cells in the data set, after noise regression (x-axis) and correlation regression (y-axis). Error indicated as SD. (j) Correlation of CB and NP trace, mean and SD for all cells in the dataset, before regression (black), after noise (green) and correlation (yellow) regression. CB parameter obtained with noise regression. (k) Six example cells (#27, #30, #36, #37, #41, #44). Left column: CB raw trace (black), and same trace after noise (green) and correlation (yellow) regression. Color bar on top indicates walking speed of the animal. *Right column*: Regression parameter (*left*), baseline noise SD(Δ F<0) (middle) and correlation coefficient of CB and NP trace (right) with the same color code as for the traces on the left side.



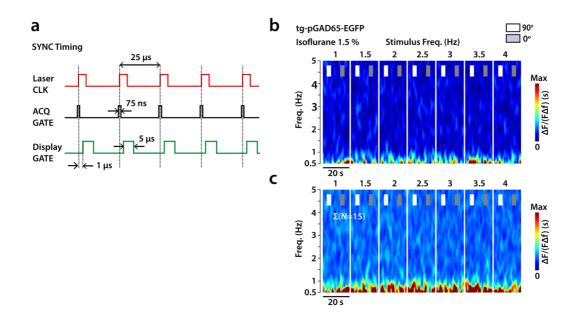
Supp. Fig. 8 Event detection from GCaMP6f CASH recordings

(a) GCaMP6f fluorescence traces from 74 cells in false-color representation according to color bar on the left. Same dataset as in Fig. 4. Color bar on the top represents locomotion velocity of the animal. (b) MLspike-detected spike events with mean spike rate (74 cells; 0.2 s bin width; *bottom*). (c) Overlay of (a) and (b).



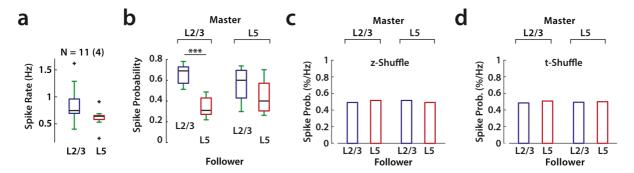
Supp. Fig. 9 3D distribution of orientation sensitivity

Measured angles of orientation tuning of neurons obtained from the vector sum of responses across all stimulus orientations, gOSI, in three different experiments in the painted ball representation in side view (top) and top view (bottom). (a) Same data as given in Fig. 5d. (b) and (c) display data from two different experiments in the awake state performed in the same mouse, but different selections of cells.



Supp. Fig. 10 Suppression of stimulus artifacts in recordings of visual responses (Control)

(a) Timing protocol for gated stimulus presentation. The screen is switched on and off in sync with the laser clock in such a way that the screen gate is off at least 18 µs before the acquisition gate opens. (b) Control experiment using the same stimulus protocol as in Fig. 6c, but with a mouse expressing EGFP instead of GCaMP6f (1.5 % isoflurane). *Top*: STFT calculated from the 10 trial average of the data of one cell. *Bottom*: Average STFT average including data from 15 cells.



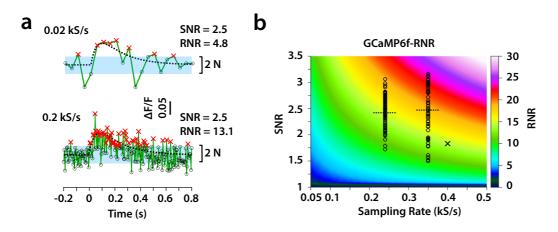
Supp. Fig. 11 Master-follower spike propagation analysis (Controls)

(a) Median rate (black bar; N = 11 experiments; 4 animals) of BSD-inferred spikes of layer 2/3 (L2/3) and 5 (L5) neurons including awake and anesthetized conditions with 1st and 3rd quartile (box), \pm 2.7 SD range (green whiskers) and outliers (black crosses). (b) Probability, p_k^l (median; black bar), of a spike emitted in a cell of layer k (master) having the first follower spike emitted in a neuron in layer I (follower). Same data as in (a). P-value $p = 1.8 \cdot 10^{-4}$ (***; T-test, unpaired, two-sided t-test) between layer 2/3 versus layer 5 follower cells if cells in layer 2/3 were the master, whereas the difference was not significant if cells in layer 5 were the master. The probability p_k^l is equivalent to the probability \hat{p}_k^l shown in panel Fig. 6o, but without normalization with regard to cell count and mean firing rate. (c-d) Spike probability (mean) obtained from master-follower analysis analogous to Fig. 6o of the same dataset after shuffling the z-positions of cells (c) or shuffling the spike trains for each follower cell (d).

Supplementary Discussion

Response-to-noise ratio of the GCaMP6f response

Signal-to-noise ratio (SNR) specifies the amplitude of the probe response relative to the amplitude of the noise in a given recording. In this way, SNR evaluates the information present in single data points close to the maximum of the response rather than the information carried by the entirety of sample points covering the response transient. In consequence, SNR underestimates the information available in highly oversampled signals. As a measure of the effective signal-to-noise ratio of the integral response, we define the response-to-noise ratio (RNR) as the integrated response above baseline noise divided by the standard error of the noise signal. Assuming a biexponential time course of the GCaMP6f response with fixed rise (50 ms) and decay (300 ms) time constants and fixed single-spike response amplitude (7.5 %), numerical integration of the response at variable level of noise (SNR from 1 to 3.5) reveals the RNR gain to be expected from accelerated sampling (Supp. Fig. 12).



Supp. Fig. 12: GCaMP6f response-to-noise ratio (RNR)

(a) Schematic of an isolated GCaMP6f spike response ($\tau_{ON} = 0.05 \text{ s}$, $\tau_{OFF} = 0.3 \text{ s}$, $\Delta F/F = 0.075$; dashed line) when sampled at 0.02 kHz (top) and 0.2 kHz (bottom) with N, the baseline noise (SD). In green: GCaMP6f response with simulated Gaussian noise (SNR 2.5). The response-to-noise ratio (RNR) is defined as the mean of samples (red crosses) above baseline noise (blue horizontal band) divided by the error of the mean of the noise. (b) RNR for the GCaMP6f response to single spikes as function of SNR and sampling rate (in kilo samples/s). Presumed single spike RNR of recordings shown in Fig. 4b-j (0.24 kHz; first trial) and Fig. 5a-h (0.35 kHz; first trial) as black circles (single cells; horizontal dashed line: ensemble mean). RNR of the recording with simultaneous electrophysiology (0.4 kHz; Fig. 4k-m) indicated as black cross.

The relationship between SNR and RNR follows from the following estimation. Because of the fast onset and slow decay of the GCaMP6f response, the response may be approximated by an instantaneous step onset followed by an exponential decay with time constant τ_{off} giving rise to a $FWHM \approx \ln(2) * \tau_{off}$. By considering response values above half maximum, the integrated mean response, R, and the the standard error of the noise, SEM_{noise} , are then given as:

$$R \approx f_R \cdot S_{peak} \text{ with } f_R := \left(ln(2) \tau_{off} \right)^{-1} \int_0^{ln(2)\tau_{off}} e^{-t/\tau_{off}} dt$$
$$SEM_{noise} = SD_{baseline} / \sqrt{ln(2)\tau_{off} \tau_{acq}}$$

with $r_{acq} = r_{data}/n_{cells}$, the sampling rate, $r_{data} = 2 \cdot 10^4/s$, the total data throughput of the 3DScope, and n_{cells} , the number of sampled cells (POIs). The response-to-noise ratio, $RNR = R/SEM_{noise}$, therefore follows as:

$$RNR \approx f_R \cdot \sqrt{ln(2)\tau_{off}r_{acq}} \cdot SNR$$

Therefore, with SNR fixed by the experimental conditions of the recording, RNR increases with the square root of the applied sampling rate. With τ_{off} (0.33 s), f_R (0.72) and the measured single spike peak response S_{peak} (\approx 7.5 %) and baseline noise $SD_{baseline}$ (\approx 3.3 %, see Fig. 4f) this results in the following estimates, valid under recording conditions in this work: $SNR \approx 2.3$ and $RNR \approx 0.83 \sqrt{r_{acq}/Hz} = 116/\sqrt{n_{cells}}$.

Signal-to-noise ratio of GCaMP-CASH recordings

The 3DScope was designed to perform fluorescence recording close to the photon shot noise limit, thus:

$$SNR \le SNR_{shot noise} = \Delta F / F \cdot \sqrt{n_{ph}}$$
 (Eq. 1)

with $\Delta F/F$, the effective fluorescence response amplitude of the probe (APs < 4; Ext. Fig. 4g), and n_{ph} , the mean number of baseline photons detected during sampling time bins. In the following, we estimate n_{ph} in GCaMP-CASH from given experimental parameters in comparison to standard 2-photon imaging.

Abbreviations

Two-photon excitation and optical detection

- λ_0 Laser wavelength in vacuum
- n Index of refraction of water (n = 1.33)
- τ_L Laser pulse FWHM
- T_L Laser pulse repetition time interval
- P_L Mean laser power applied in one focal spot
- EL Pulse Energy
- d_L Laser duty cycle $d_L = \tau_L / T_L$
- f_c Geometrical collection yield of the objective
- f_F Optical filter and lens transmission of the detection optics
- q_{det} Quantum yield of the detector
- c_{probe} Cytosolic concentration of the probe
- V_{ex} Single spot two-photon excitation volume
- q_{em} Fluorescence quantum yield of the probe
- p_{TPA} Probability of molecular two-photon absorption of the probe
- σ_2 Two-photon cross section of the probe in the resting state
- Φ_{ex} Instantaneous laser photon flux in the focal plane
- NA Numerical aperture (NA = 1.05; OLYMPUS XLPIan WMP 25x)
- f_{obj} Objective focal length
- D Size of objective entrance pupil (Diameter)
- f_{fill} Radial fill factor of the objective pupil in the excitation path (f_{fill} = 0.66 in the 3DScope)
- W_0 Gaussian beam waist in the objective focal plane $w_0 \cong 2\lambda_0 f_{Obj} / (\pi n f_{fill} D)$
- z_R Rayleigh length of a Gaussian beam $z_R \cong \pi w_0^2 n/\lambda_0$

Data acquisition

- racq Sampling rate
- n_{Cell} Number of cells sampled
- n_{acq} Number of laser pulses contributing to one sampling bin of one cell
- $ho_{\mathcal{C}}$ Volume density of cells in the population of interest
- Ac Surface area of the cytosolic compartment of cells of interest (cell body) in the focal plane

Abbreviations continued

CASH mode

- n_{holo} \qquad Number of focal spots generated by the hologram
- f_{holo} Holographic yield; fraction of focal spots contributing to the cellular signal
- n_{foci} Number of focal spots contributing to the cellular signal; n_{foci} = f_{holo} n_{holo}
- I_x Feed size of the focal grid in x-direction (generated by the X-AOD)
- ly Feed size of the focal grid in y-direction (generated by the Y-AOD)
- \hat{P}_L Total laser power $\hat{P}_L = n_{holo} P_L$
- r_{data} Data throughput of the microscope (number of sampled neurons per second)

Imaging mode

- f_{pix} Pixel yield; fraction of pixels in a frame used to extract the fluorescence signal of single cells
- l_z Axial segmentation length

As n_{ph} depends on details of the sampling protocol applied in each case, it is useful to first estimate, n_{ph}^1 , the number of detected photons per laser pulse emanating from one focal spot:

$$n_{ph}^{1} = \frac{1}{2} c_{probe} V_{ex} f_{C} f_{F} q_{det} q_{em} p_{TPA}$$
(Eq. 2)

Approximating the spatial-temporal profile of the laser pulse within the two-photon excitation volume by a square hat function of temporal width τ_L , p_{TPA} is given by¹:

$$p_{TPA} \cong 1 - exp(-\sigma_2 \phi_{ex}^2 \tau_L) \tag{Eq. 3}$$

which simplifies to $p_{TPA} \cong \sigma_2 \phi_{ex}^2 \tau_L$ for $P_{TPA} < 0.5$. Making use of $\phi_{ex} \cong \frac{\lambda_0 T_L}{hc \tau_L \pi w_0^2} P_L$ gives:

$$p_{TPA} \cong \sigma_2 \left(\frac{\lambda_0}{hc}\right)^2 \frac{\tau_L}{d_L^2} \frac{1}{\left(\pi w_0^2\right)^2} P_L^2$$
(Eq. 4)

and using $V_{ex} \cong \pi w_0^2 \cdot 2z_R \cong 2(\pi w_0^2)^2 n/\lambda_0$:

$$n_{ph}^{1} \cong c_{probe} f_C f_F q_{det} q_{em} \sigma_2 \frac{n\lambda_0}{(hc)^2} \frac{\tau_L}{d_L^2} P_L^2$$
(Eq. 5)

Notably, n_{ph}^1 does not depend on the actual size of the excitation volume as long as the excitation falls into the cytosolic space of the target cell. Once n_{ph}^1 is known, n_{ph} follows from:

$$n_{ph} = n_{acq} n_{foci} n_{ph}^1 \tag{Eq. 6}$$

where n_{foci} is the number of grid spots contributing to excitation of the probe in cells of interest. In general, $n_{foci} \leq n_{holo}$.

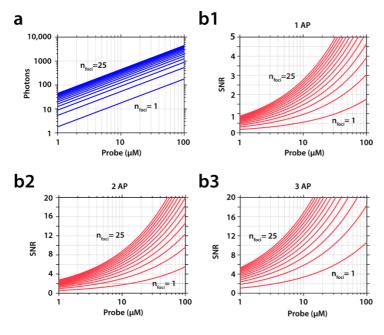
The case of CASH acquisition

In CASH, cells are sampled with one laser pulse per sample bin, thus $n_{acq} = 1$, using amplified laser pulses for excitation, to maximize the photon yield per pulse, and distributing the pulse energy equally among the grid spots, to avoid saturation of the probe. In the following we assume excitation at 30 % saturation ($P_{TPA} = 0.3$), which according to Eq. 3 implies 17 μ W mean laser power (E_L 0.42 nJ) per focal spot, and hence 0.42 mW in case of the 5x5 grid pattern (Fig. 4a), by using the two-photon cross section of EGFP of $3 \cdot 10^{-57}$ m⁴s (940 nm; pH 8)³. From Eq. 6 we calculate n_{ph} and *SNR* as function of c_{probe} and n_{foci} (Supp. Fig. 13), while regarding all other

quantities as fixed parameters defined either by the optical design (3DScope) or the molecular properties of the probe (GCaMP6f).

		5			
f _c	0.2 (NA 1.05)	р _{тра}	0.3	f _{obj}	8 mm
f _F	0.8	TL	25 µs	D	15.12 mm
\mathbf{q}_{det}	0.45	τ_{L}	200 fs	f _{fill}	0.66
\mathbf{q}_{em}	0.6	dL	8·10 ⁻⁹	n _{acq}	1
σ_2	3·10 ⁻⁵⁷ m⁴s	λο	940 nm		

Parameters used in evaluating SNR in CASH mode



Supp. Fig. 13

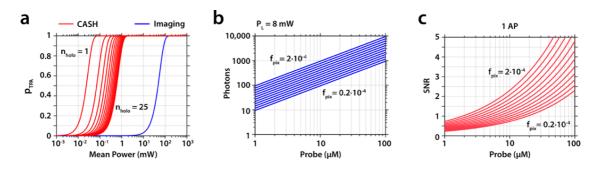
(a) Number of estimated baseline photons n_{ph} per sample bin as function of cytosolic concentration of the probe and the number of focal spots, $n_{foci} = 1, 3, ..., 25$. (b1-3) Estimated signal-to-noise ratios for the GCaMP6f response to single (1 AP), double (2 AP) and triple action potentials (3 AP) using the data in (a) and the response amplitudes given in Ext. Fig. 4g. For consistency with the experimental CASH data, the SNR calculation includes the effect of a three-point smoothing filter.

The case of imaging acquisition

In imaging mode, $n_{holo} = 1$ and $n_{acq} = f_{pix}/(r_{acq}T_L)$. Because of the large difference in duty cycle between a standard 2-photon microscope, with 80 MHz pulse rate and 200 fs pulse width ($d_L = 1.6 \cdot 10^{-5}$), and the 3DScope ($d_L = 8 \cdot 10^{-9}$) saturation occurs at much higher laser power (Supp. Fig. 14a), at 34 mW to reach 30 % saturation (Eq. 3), for instance, which is unsustainable because of tissue heating (see Discussion). For estimation we therefore reduced the laser power to 8 mW ($E_L 0.1 \text{ nJ}$) equivalent to 2 % probe saturation (Eq. 3). Using Eq. 5 and Eq. 6 we estimate n_{ph} and *SNR* as function of c_{probe} and f_{pix} .

		-				
1	f _c	0.2 (NA 1.05)	PL	8 mW	f _{obj}	8 mm
t	f⊦	0.8	ΤL	12.5 ns	D	15.12 mm
	q _{det}	0.45	τ_{L}	200 fs	f _{fill}	0.66
	q _{em}	0.6	d∟	1.6·10 ⁻⁵	n _{holo}	1
	σ2	3.10 ⁻⁵⁷ m ⁴ s	λο	940 nm	r _{acq}	20 Hz

Parameters used in evaluating SNR in imaging mode



Supp. Fig. 14

(a) Probability of 2-photon absorption (pTPA) as function of mean power of excitation in the focal volume according to Eq. 3 in the imaging case ($16 \cdot 10^{-6}$ duty cycle) as compared to CASH ($8 \cdot 10^{-9}$ duty cycle; 1,3,...25 spots). (b) Number of estimated baseline photons (n_{ph}) per sample bin in the imaging case as function of cytosolic concentration of the probe and the fraction of pixels in the imaging frame (f_{pix}) used for extracting single cell responses. Values of f_{pix} vary 2, 1.6, 1.3, 1.1, 0.86, 0.7, 0.57, 0.46, 0.37, 0.3, 0.25 and 0.2 ($x10^{-4}$). (c) Expected SNR of the GCaMP6f response to single action potentials (1 AP) in the imaging case as function of probe concentration and f_{pix} . In the case of 500 x 500 pixels imaging frame size, the given values of f_{pix} correspond to ROI sizes of 50, 40, 33, 27, 22, 18, 14, 12, 9, 7, 6, and 5 pixels. The assumed sampling rate is 20 frames/s.

SNR of CASH and imaging compared

The relationship of SNR between CASH and imaging acquisition follows immediately from Eqs. 1, 5 and 6:

$$\frac{SNR^{C}}{SNR^{Im}} = \alpha_{Im}^{C} \cdot \frac{\hat{P}_{L}^{C}}{P_{L}^{Im}} \text{ with } \alpha_{Im}^{C} \coloneqq \sqrt{\frac{f_{holo} r_{acq}^{Im} T_{L}^{Im}}{n_{holo} f_{pix}}} \frac{d_{L}^{Im}}{d_{L}^{C}}$$
(Eq. 7)

with superscripts: Im = imaging and C = CASH. \hat{P}_L^C = the total excitation power summed over all grid spots.

Eq. 7 has the advantage of eliminating the shared molecular and experimental parameters difficult to estimate, notably the two-photon cross section and cytosolic concentration of GCaMP. Since in CASH the SNR is independent of the sampling rate, α_{Im}^{C} in Eq. 7 does not explicitly depend on the sampling rate r_{acq}^{C} . However, $r_{acq}^{C} \gg r_{acq}^{Im}$ and therefore the GCaMP-CASH signal is, in general, highly oversampled with respect to the GCaMP imaging signal.

For a fair comparison of the noise, and thus SNR, we equalize the sampling rate by summing r_{acq}^C/r_{acq}^{lm} data bins of the CASH signal and impose $n_{Cell}^c = n_{Cell}^{lm}$:

$$\left[\frac{SNR^{C}}{SNR^{Im}}\right]_{eq} = \beta_{Im}^{C} \cdot \frac{\hat{P}_{L}^{C}}{P_{L}^{Im}} \text{ with } \beta_{Im}^{C} \coloneqq \sqrt{\frac{r_{acq}^{C}}{r_{acq}^{Im}}} \cdot \alpha_{Im}^{C}$$
(Eq. 8)

In an imaging experiment, the pixel yield f_{pix} scales inversely with n_{Cell}^{Im} , the number of simultaneously imaged cells, according to $f_{pix} = \rho_c A_c l_z / n_{Cell}^{Im}$ with the segmentation length l_z measuring the axial length of the volume containing all cells positively segmented from the image frame. We estimate this length to correspond to the sum of the optical sectioning length of the microscope and the mean diameter of the nucleus in the cell population of interest. The holographic yield in CASH, on the other hand, equals $f_{holo} = A_c / (l_x l_y)$.

Substituting for f_{pix} and f_{holo} in Eq. 7 and Eq. 8 and making use of $r_{acq}^{C} = r_{data}^{C}/n_{Cell}^{C}$:

$$\alpha_{Im}^{C} = \sqrt{\frac{r_{acq}^{Im}}{r_{acq}^{C}}} \sqrt{\frac{n_{cell}^{Im}}{n_{cell}^{C}}} \sqrt{\frac{r_{data}^{C} T_{L}^{Im}}{n_{holo} l_{x} l_{y} l_{z} \rho_{C}}} \frac{d_{L}^{Im}}{d_{L}^{C}}$$
(Eq. 9)

and using $n_{Cell}^{C} = n_{Cell}^{Im}$:

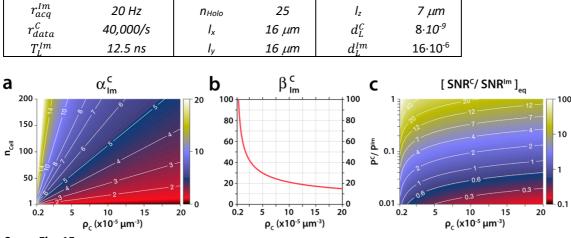
$$\beta_{Im}^{C} = \sqrt{\frac{r_{data}^{C} T_{L}^{Im}}{n_{holo} l_{x} l_{y} l_{z} \rho_{C}}} \frac{d_{L}^{Im}}{d_{L}^{C}}$$
(Eq. 10)

As f_{pix} , and thus α_{Im}^{C} and β_{Im}^{C} , depends on ρ_{C} , evaluation of Eqs. 9 and 10 needs a morphological model of the target cell distribution in the imaged field of interest.

The following table reproduces values of ρ_c from neuron reconstructions in mouse somato-sensory cortex (SS1) found in the literature⁴.

Species	Brain Region	Neuron Type	ρ _c (x10⁻⁵ μm⁻³)
Mouse	SS1	L2/3 pyramid	$\textbf{8.24}\pm\textbf{0.9}$
	SS1	L2/3 interneuron	$\textbf{1.122} \pm \textbf{0.15}$
	SS1	L4 pyramid	$\textbf{13.78} \pm \textbf{0.7}$
	SS1	L4 interneuron	$\textbf{1.16} \pm \textbf{0.8}$
	SS1	L5 pyramid	$\textbf{5.13} \pm \textbf{0.5}$
	SS1	L5 interneuron	$\textbf{1.04} \pm \textbf{0.1}$

We calculate the scale factors α_{Im}^{C} and β_{Im}^{C} as function of cell density ρ_{c} from Eqs. 9 and 10. With β_{Im}^{C} known and using Eq. 8, we then estimate the ratio of the SNR offered by CASH and imaging under equalized conditions of sampling as function of cell density ρ_{c} and relative excitation power $\hat{P}_{L}^{C}/P_{L}^{Im}$.



Parameters used in evaluating α_{Im}^{c} , β_{Im}^{c} and $[SNR^{c}/SNR^{Im}]$:

Supp. Fig. 15

(a) Scale factor α_{lm}^{C} as function of the cell density, ρ_{c} , and number of imaged cells, n_{Cell}^{Im} , for a 5x5 spot grid covering a 16x16 μm^{2} space. (b) Scale factor β_{lm}^{C} as function of the cell density ρ_{c} . (c) Ratio of expected SNRs in CASH versus imaging with equalized sampling as function of cell density ρ_{c} and the ratio of the mean excitation power in CASH (5x5 grid) versus imaging, $\hat{P}_{L}^{C} / P_{L}^{Im}$.

 β_{Im}^{C} exceeds α_{Im}^{C} up to one order of magnitude (Supp. Fig. 15a,b) as expected from the temporal oversampling of the GCaMP signal in CASH with respect to the assumed 20 Hz rate of image frame acquisition. By taking into account maximally sustainable excitation level, limited in CASH by onset of probe saturation (Supp. Fig. 13 and associated text) and in imaging by tissue heating (Supp. Fig. 14 and associated text), and not withstanding other possible limiting effects, we consider as useful power range $0.03 < \hat{P}_L^C / P_L^{Im} < 0.3$. In this range, the estimated effective SNR_{eq} in CASH may exceed the SNR in imaging by up to a factor twelve (Supp. Fig. 15c).

In our estimation above (Supp. Fig. 13 and 14), in particular, the power ratio was 0.05 ($\hat{P}_L^C = 0.42 \ mW$, $P_L^{Im} = 8 \ mW$). This results in SNR of similar magnitude ([SNR^C/SNR^{Im}]_{eq} = 1.2) in case of a target cell density resembling the pyramidal neuron population in the supra-granular layer of mouse cortex⁴ ($\rho_c = 8.2 \cdot 10^{-5} \ \mu m^{-3}$), and about three times higher SNR in case of the same layer interneuron population⁴ ([SNR^C/SNR^{Im}]_{eq} = 3.1; $\rho_c = 1.1 \cdot 10^{-5} \ \mu m^{-3}$), for instance.

Spatial resolution of the 3DScope acousto-optic light modulator

The spatial resolution of an AOD defines the maximum number of distinct spots the AOD permits to create in the far field or, equivalently, in the focal plane of an added ideal focusing element which is: $N = \Delta \Theta_{scan} / \Delta \Theta_{heam}$, where $\Delta \Theta_{scan}$ is the AOD angular tuning range and $\Delta \Theta_{beam}$, the angular spread of the laser beam⁵. $\Delta \Theta_{scan}$ can be expressed as $\Delta \Theta_{scan} = M_{ang} \lambda / v \Delta f = 4.2^{\circ}$, with Δf , the total scan bandwidth (36 MHz), M_{ang}, the angular magnification (1.5) of the AOD-objective relay, and λ , the wavelength (900 nm). In the diffraction limit, $\Delta \Theta_{peam} =$ $M_{ang} \cdot 1.22 \lambda / D_{AOD}$, with D_{AOD} , the AOD aperture diameter (15 mm). Therefore, $N_{diff} \approx 680$ for λ = 900 nm. In the 3DScope, the laser beam is additionally broadened by angular chromatic dispersion at AOD side band frequencies while angular dispersion is compensated for the acoustic carrier wave. In this case, $\Delta \theta_{beam} \leq$ $M_{ang} \cdot \Delta \lambda / v \cdot \Delta f / 2$, with $\Delta \lambda = 0.44 \lambda^2 \cdot \tau_{laser} / c$, the FWHM wavelength broadening of a Gaussian laser pulse (6 nm for τ_{laser} = 200 fs) and $\pm \Delta f/2$, the extremal frequencies in the side bands. Thus, $N_{disp} \ge$ $2 c\tau_{laser}/(0.44 \cdot \lambda) \approx 300$. Notably, the diffraction and dispersion limits, N_{diff} and N_{disp} are far below the digital frequency resolution ($N_{diaital} = 6 \cdot 10^5$) of the DDS (59.6 Hz/bit; 23 bit; 36 MHz AO bandwidth). Finally, the FM resolution depends on the pixelization of the AOD-shaped wavefront as a consequence of RF-AOD being synthetized on a 14 MHz DDS write clock. Given the 15 mm size of the aperture, the discretization grid has a size of $n_{pix} = 324$. The digital pixelization limits the FM spatial frequency in the AOD pupil to $\pm 0.5 n_{pix}/D_{AOD}$. Therefore, the maximal spot number N_{pix} due to the pixelation limit becomes $N_{pix} < 0.5 n_{pix} \approx 162$.

Supplementary References

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