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# Mean wind flow reconstruction of a high-rise building based on variational data assimilation using sparse pressure measurements

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## Abstract

Data assimilation consists in combining a model and measurements in order to estimate the state of the system. In wind engineering, the interest to take advantage of both sources of information is extremely high. As a matter of fact, on the one hand, measurements in wind tunnel experiments are in practice extremely sparse and, on the other hand, stationary numerical models based on Reynolds averaged Navier-Stokes (RANS) fail to predict the time-averaged wake accurately. Variational data assimilation (VDA) techniques are defined as high-dimensional optimisation problems, the solution of which is determined through an adjoint method. In practical applications, where only wall pressure measurements on the building are available, an accurate mean flow reconstruction is very challenging, especially in regions far away from any measurements. In the present paper, spatially distributed forces are considered as a control parameter on the momentum equation or the turbulence closure equations. These forcings are interpreted as corrections brought to the turbulence closure. Consequently, the problem corresponds to a high-dimensional optimisation problem for a spatially distributed variable with sparse pressure measurements. Some guidelines are brought here to perform accurate and physically consistent reconstructions for wind engineering applications. In order to deal as closely as possible with a practical application, this study focuses on the realizable revision of the  $k - \epsilon$  RANS model applied on a high-rise building wake flow. In particular, some regularisation strategies as well as efficient techniques for control parameter selection and identification are provided.

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## 1. Introduction

Because of their potential long-time exposure to strong atmospheric winds, high-rise buildings may exhibit problematic tearing affecting security [1, 2, 3]. To infer how wind flow affects these structures, some authors [4, 3] have used physical models and numerical simulations for a long time. In order to predict accurately the pressure distribution on the building, the surrounding flow field has to be well represented, but the development of computationally affordable models that fits experimental informations in realistic 3D configurations is still today challenging.

Wind tunnel experiments are conducted on reduced-scale models at the design stage, leading to reliable experimental load predictions thanks to years of progress on flow measurement techniques. High-Frequency Pressure Integration (HFPI) [5] is one of the most common techniques employed for wind load prediction. This sparse wall pressure measurement may lead to misinterpretations on the local pressure field when complex geometries are considered. Moreover, although techniques such as Particle Image Velocimetry (PIV) [6] allow in principle to obtain planar or even full 3D data [7] velocity fields, the cost is considerably higher.

More recently, made possible by the substantial progresses in computational fluid dynamics, numerical simulations of atmospheric boundary layer flow over these large structures have been carried out. Both steady simulations embedding turbulence models within the Reynolds averaged Navier–Stokes (RANS) and large eddy simulations (LES) were investigated to give an insight into the time-averaged flow profile. Several studies were conducted to compare their relative performances and assess their applicability to the prediction of flow around buildings. [1, 8, 9, 10, 11, 12, 13]. In most of these studies, flow-field around cubic-buildings were analysed, and deficiencies of the eddy viscosity modelling in the  $k - \epsilon$  model [14] were put forward (e.g., by Murakami et al. [1]). These include the stagnation point anomaly [15, 16] with overestimation of turbulent kinetic energy near the frontal corner and the resulting underestimation of the size of separated boundary layers. Another reported anomaly is the underestimation of turbulent kinetic energy in the wake resulting in an overestimation of the size of

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the recirculation zone. These accuracy issues strongly hinder the predictive abilities of the model to reproduce wall-pressure measurements. Although, LES leads to intrinsically superior performances [11, 12, 17], they are computationally expensive. Moreover, they carry some difficulties, such as the definition of time-dependent inlet and wall boundary conditions [18, 19, 20].

Measurements and numerical simulations provide complementary pieces of information. The aim of the data-model coupling strategy, which constitutes the core of the present work, is to move beyond the respective limitations of data and models by taking advantage of all available information. This strategy will allow us to provide an effective solution to address turbulence modelling errors at a reasonable cost, informed by data obtained from realistic experimental procedures. The concept of data-model coupling, or more commonly referred to as data-assimilation (DA), comes from estimation theory, and was first been applied to numerical weather prediction [21].

Two large classes of DA exist. Statistical techniques based on Bayesian inference leads to sequential strategies such as the Kalman filter. It has been used to estimate optimal flow parameters from data affected by a high uncertainty level [22, 23]. It is opposed to variational methods [24, 25], where the estimation problem is written as an optimisation problem. The latter is solved through gradient descent optimization techniques based on the adjoint of the linear tangent dynamics operator or an ensemble approximation of it. These methodologies have been carried out for direct numerical simulations (DNS) or LES models in [26, 27, 28, 22, 29, 30].

In this line of thought, formal uncertainty quantification (UQ) techniques have been employed to address the modelling errors underlying the closure constants of RANS systems in probabilistic terms [31, 32, 33, 34, 35, 36]. In a recent work by Shirzadi et al. [37], global coefficients of the standard  $k - \epsilon$  model were adapted for unstable atmospheric boundary layer (ABL) flow around high-rise buildings using Monte-Carlo optimisation techniques. More recently, in Ben Ali et al. [38] the global coefficients of the realizable revision of the  $k - \epsilon$  model were also calibrated for wind-loads prediction on a high-rise building of ratio  $H/D = 4.9$  (same configuration as the present paper). In this latter work, the authors used wind tunnel pressure measurements in a variational data assimilation (VDA) framework. The VDA is then applied for a detailed analysis of the considered turbulence model. Local sensitivity to the global turbulence coefficients is discussed, and a calibration process was conducted to investigate the limitations of the models through hold/relax closure hypothesis scenarios. It was re-



ported that the VDA technique is indeed able to provide insights on the model variability through calibration of model closure coefficients. It was observed that variabilities of the solution were strongly constrained and did not allow the flow to reach an effective region of the state space for accurate estimations of both the wind-loads and the recirculation zone, highlighting, in consequence, the rigidity of the standard closure model. To overcome such restrictions, the authors have considered a so-called *weak constraint* by defining an additive forcing term to allow the solution to deviate from the default model. Better flow reconstructions have been obtained, but no detailed studies have been performed to determine the best way to relax the dynamical constraint for flow reconstruction. Many control parameters and formulations of the optimisation problem can be considered. The present study complements the work of Ben Ali et al. [38] to explore in details the possible control parameters choices to estimate at best the flow surrounding the building.

In the last few years, studies dealing mainly with fundamental and industrial oriented flow configurations, e.g., airfoils, infinite cylinder, backward step, have been considered for data assimilation (DA) flow reconstruction. A hierarchy of procedures has been proposed. In [39, 40], laminar steady Navier–Stokes equations corrected by a direct forcing on the momentum equations have been used to assimilate synthetic particle image velocimetry (PIV) data. For turbulent flows, DA with RANS turbulence models have been explored [41, 42, 43, 44]. Franceschini and Sipp [44] have partly addressed closure errors to the transport equation of eddy-viscosity in the Spalart-Allmaras model [45]. In other works [41, 42, 43], data (either synthetic or experimental) were assimilated, and turbulence model errors were addressed in the turbulent energy production as it constitutes one common issue for the prediction of a variety of flow configurations. In these studies, reconstructions of several benchmark flow configurations, ranging from one-dimensional channel flows to 2D airfoil flows, were performed under the framework of both ensemble Kalman [46] and VDA. It should be noted that all these studies were investigated in bi-dimensional flow configurations, in which turbulence is often generated at a unique integral scale. To our knowledge, three-dimensional cases of complex flow interactions, such as building-lower atmospheric boundary layer interaction, are still largely unexplored with VDA.

Ben Ali et al. [38] focused on exploiting the VDA framework to extract physical information on the flow and identify deficiencies in the turbulence

110 closure modelling of the RANS. In the present study, the objective is to  
111 perform the best flow estimation as possible with a distributed control pa-  
112 rameter based on a RANS model and on wall-pressure measurements for  
113 wind-engineering applications. The main contributions are :

- 114 • Applying the VDA on a realistic wind engineering estimation problem  
115 combining wall-pressure measurements with a routinely used indus-  
116 trial three-dimensional RANS code;
- 117 • Determining the best choice of distributed control parameter to avoid  
118 overfitting and obtain accurate reconstructions;
- 119 • Proposing a Sobolev gradient descent direction and comparing it with  
120 penalty techniques to regularise the solution and drastically accelerate  
121 the convergence.

122 To these ends, a large set of assimilations with various choices are per-  
123 formed and compared to provide guidelines for VDA estimations in wind  
124 engineering.

125 This paper is organized as follows. In section 2, the flow configuration  
126 is presented. In section 3, the methodology to derive the VDA approach  
127 is introduced. A modified set of RANS equations is presented, motiva-  
128 tions for the choices of parameter and regularization solution are given.  
129 In section 4, the numerical setup is presented. In section 5.1, the data-  
130 assimilation results of the various parametrization strategies are shown. Fi-  
131 nally, to obtain accurate reconstructions, a modified model that combines  
132 previous parametrizations is investigated in section 5.2.

## 133 2. Flow configuration

134 The present work was conducted on an isolated high-rise building with  
135 a square section and an aspect ratio of  $H/D \simeq 4.9$  for which  $H = 147$  m  
136 is the height and  $D = 30$  m the width at full scale. Measurements were  
137 produced from experiments held in CSTB (Nantes, France) as part of the  
138 thesis of Sheng et al. [47]. In his work, two types of experiments were  
139 carried out, using the HFPI for wall-pressure and PIV plans for the near  
140 wake flow. It should be recall that only the wall-pressure was considered in  
141 this work as input data for the data-assimilation procedure. PIV plans from  
142 the same experiment were used only for an external validation purpose. For  
143 illustration, figure 1 shows the 3D view of the case study from both the  
144 experiments and our computational setup.

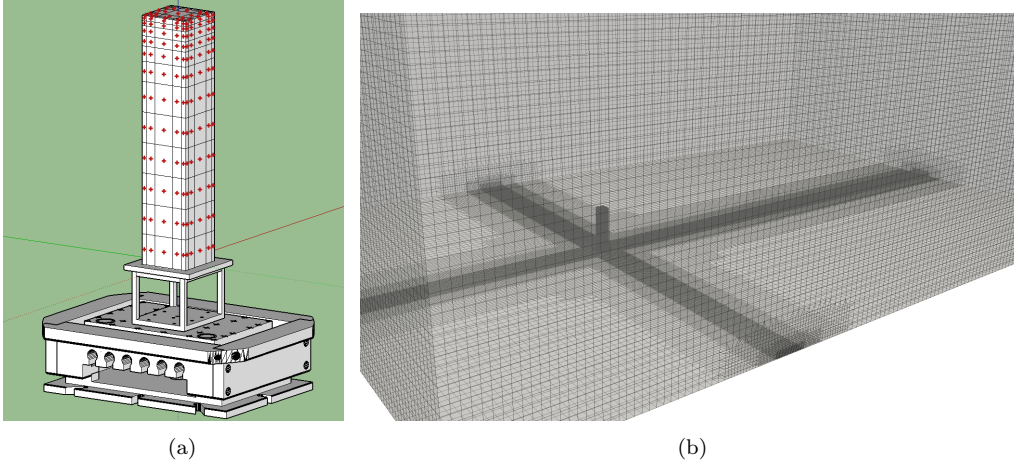


Figure 1: Flow configuration (a) the scaled model of the building with positions of pressure measurements (red dots), (b) the full scale building in the computational domain.

### 145 3. Methodology

146 This section briefly presents the variational data-assimilation framework,  
147 which was developed in [38].

148 With the variational approach [24, 25], the estimation problem is ex-  
149 pressed in terms of the minimization of an objective functional, which mea-  
150 sures the mismatch between the model predictions and the data, under  
151 the constraint that the optimal state obeys to the model dynamics. In  
152 the context of time-averaged models, the data assimilation problem can be  
153 formulated as

$$\min_{\alpha} \mathcal{J}(\alpha, \mathbf{X}(\alpha), \mathbf{Y}) \quad (1a)$$

$$\text{subject to} \quad M_i(\alpha, \mathbf{X}(\alpha)) = 0 \quad i = 1, \dots, N, \quad (1b)$$

154 where  $\mathcal{J}()$  is the objective functional that quantifies the discrepancy  
155 between the assimilated data and the model predictions, with  $\mathbf{Y}$  referring  
156 to the measurements and  $\mathbf{X}$  to the flow variables. This objective is then  
157 minimized under the constraint of  $N$  flow governing equations  $M_i$ .

#### 158 3.1. Objective functional

159 Following [38], the objective functional is constructed as follows. First,  
160 the experimental pressure is scaled consistently with the pressure of the

161 model using the pressure coefficient  $C_p = \frac{P - P_\infty}{\frac{1}{2}\rho U_{\text{ref}}^2}$ , with the reference velocity  
 162  $U_{\text{ref}}$  (resp. reference pressure  $P_\infty$ ) being the far upstream velocity (resp.  
 163 pressure) at  $H_{\text{ref}} = \frac{2}{3}H$ . The specific functional reads as follows

$$\mathcal{J}(P, \alpha) = \frac{1}{2} \|\rho U_{\text{ref}}^2 \Delta C_p^w\|_{R^{-1}}^2 + \|\nabla \alpha\|_{B^{-1}}^2, \quad (2)$$

164 where  $\Delta C_p^w = C_{p_{\text{obs}}}^w - \mathcal{I}(C_p^w)$  with the subscript  $w$  standing for wall-pressure  
 165 and  $obs$  for measurements. The interpolation operator  $\mathcal{I}$  maps the wall-  
 166 pressure coefficient of the numerical model to the pressure coefficient at the  
 167 sensor position. The covariance matrix,  $R$ , between measurements allows  
 168 to take into account experimental uncertainties and potential correlations  
 169 between measurements. The norm  $\|\cdot\|_{R^{-1}}$  is induced by the inner product  
 170  $(\cdot, \cdot)_{R^{-1}} = (\cdot, R^{-1}\cdot)$ , where  $(\cdot, \cdot)$  stands for the Euclidean inner product.  
 171 Similarly,  $\|\cdot\|_{B^{-1}}$  is induced by the weighted  $L^2(\Omega)$  inner product  $(\cdot, \cdot)_{B^{-1}} =$   
 172  $(\cdot, B^{-1}\cdot)_{L^2(\Omega)}$ .

173 It can outline here the strongly sparse nature of the partial pressure ob-  
 174 servations making the estimation problem difficult. To cope with this diffi-  
 175 culty, an  $L^2$  regularization term is added to penalize the gradient magnitude  
 176 of the spatially distributed control parameter  $\alpha$ . The covariance matrix  $B$   
 177 penalises allows to adjust the degree of regularity to be prescribed. A too-  
 178 small penalty leads to irregular solutions, while strong penalties deteriorate  
 179 reconstruction performances by imposing a too-smooth solution. In our  
 180 case, spatial homogeneity for this constraint is assumed, and the confidence  
 181 matrix  $B$  is considered diagonal and uniform. Its inverse is straightforwardly  
 182 expressed as

$$B_{ii}^{-1} = \zeta_i \left( \max \left| \frac{\partial \mathcal{J}^0}{\partial \alpha} \right| \right).$$

183 in which  $\zeta_i$  is a positive free parameter and subscript  $i$  stands for the vector  
 184 and matrix component. The other term is a scaling to ensure dimensional  
 185 homogeneity.

### 186 3.2. Constraint definition

187 This section is dedicated to the specification of the dynamical model  $\mathbf{M}$ .  
 188 The Reynolds averaged Navier-Stokes equations are considered, with the  
 189 realizable version of the  $k - \epsilon$  turbulence model [48]:

$$\frac{\partial(\rho U_j U_i)}{\partial x_j} + \frac{\partial P}{\partial x_i} - \frac{\partial}{\partial x_j} \left[ \mu_{eff} \left( \frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) \right] = F_i, \quad (3a)$$

$$\frac{\partial U_j}{\partial x_j} = 0, \quad (3b)$$

$$\frac{\partial \rho U_j k}{\partial x_j} - \frac{\partial}{\partial x_j} \left[ \left( \mu + \frac{\mu_t}{\sigma_k} \right) \frac{\partial k}{\partial x_j} \right] - \mu_t \left( \frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) \frac{\partial U_i}{\partial x_j} + \rho \epsilon = 0, \quad (3c)$$

$$\frac{\partial \rho U_j \epsilon}{\partial x_j} - \frac{\partial}{\partial x_j} \left[ \left( \mu + \frac{\mu_t}{\sigma_\epsilon} \right) \frac{\partial \epsilon}{\partial x_j} \right] - C_1(S, k, \epsilon) S \epsilon + C_2 \frac{\epsilon^2}{k + \sqrt{\mu \epsilon}} = F_\epsilon. \quad (3d)$$

where  $U, \rho$  and  $P$  denote the average velocity, the density and the pressure, respectively. The turbulent kinetic energy and the energy dissipation density are denoted  $k$  and  $\epsilon$ . Here,  $\mu_{eff} = (\mu_t + \mu)$  stands for an effective viscosity gathering both the molecular and the isotropic eddy viscosity, with

$$\mu_t = C_\mu \rho \frac{k^2}{\epsilon}. \quad (4)$$

Details on the closure constants are provided in Appendix A. It is worth noting that, through the Boussinesq approximation, the isotropic component  $\frac{2}{3}\rho k$  of the Reynolds stress is absorbed into  $P$ . Therefore, in the computation of the pressure coefficient required for the objective functional (2), the isotropic part must be subtracted to obtain  $C_p = \frac{P - P_\infty - \frac{2}{3}\rho k}{1/2\rho U_{ref}^2}$ . Note the addition of two forcing terms  $\mathbf{F}$  and  $F_\epsilon$ , respectively to the RANS equations and to the turbulence dissipation transport equation. Those forcing terms constitute the control parameter  $\alpha$  as detailed in section 3.3. Recalling that the corrections brought by the forcing term  $F_\epsilon$  solely correspond to the previous work of [38].

### 3.3. Parameter choice

In the construction of the Reynolds averaged Navier-Stokes equations, some assumptions are performed at different levels. The first one pertains to the Boussinesq hypothesis and consists in modelling the Reynolds stress tensor in the momentum equation by a diffusive term weighted by a scalar eddy viscosity. The value of the eddy viscosity is determined by an algebraic equation involving statistical quantities related to the turbulence. At a second level, transport equations of these turbulent quantities are constructed.

212 Some semi-empirical terms acting as sources and sinks in these transport  
 213 equations allow closing the equations.

214 In the present work, for each layer of assumptions, a possible parametriza-  
 215 tion is proposed as given in the system (3d). Herein, some detailed motiva-  
 216 tions are provided for the parameter choice.

217 *Parametrising the momentum equation.* At the first level, one aim to bring  
 218 a direct correction to the mean deformation field. In theory, the exact  
 219 modeling of the deformation should properly include the mechanisms of  
 220 energy transfer between the mean field towards the turbulence scales and  
 221 vice versa. However, the Boussinesq hypothesis leads to a unidirectional  
 222 energy transfer. From this point of view, a volume force term added to the  
 223 mean momentum equations can be proposed as a control parameter enabling  
 224 us to relax the Boussinesq hypothesis and bring non-diffusive mechanisms.  
 225 Without a priori knowledge of its form, it is straightforward to consider a  
 226 vector force

$$\mathbf{F}_1 = \mathbf{f}_u$$

227 which is later-on referred to as a *raw* form. As this raw form lacks of struc-  
 228 turation, a more refined shape, yet with a simple expression, of this force  
 229 is also investigated. Here, it is aimed to ensure some consistency between  
 230 the deformation correction prescribed by this force and the fact that the  
 231 Reynolds stress induces it. Corrections can be restricted to regions where  
 232 the turbulence appears to be the most active and where the turbulence  
 233 model is likely to be prone to strong errors. Shear layers in separated flows  
 234 are challenging for turbulence models due to strong flow inhomogeneity and  
 235 anisotropy, which is poorly represented by eddy diffusion models. We con-  
 236 sider here addressing only corrections due to the flow inhomogeneity, that  
 237 is, regions with a strong gradient of turbulent kinetic energy. Thus, a sim-  
 238 ple model of more refined forcing term for the direct deformation correction  
 239 may be defined as

$$\mathbf{F}_2 = \mathbf{f}_u |\nabla k|.$$

240 This choice of constraint enables to bring some structure to the parameter  
 241 field in a simple way. It can be noticed that this pre-factor is consistent  
 242 in terms of physical dimension with the divergence of the Reynolds stress  
 243 tensor. Given these two forms, two corrective models are then investigated.

244 *Parametrising the turbulence closure.* In contrast to a direct forcing on the  
 245 mean deformation field, corrections of the mean flow can still be embed-  
 246 ded under the Boussinesq hypothesis. Parameters are then included in the

247 turbulence model equations rather than at the level of the momentum equa-  
 248 tions, and their effects on the mean flow are restricted to a purely diffusive  
 249 mechanism. Under the realizable  $k - \epsilon$  model framework, two possible cor-  
 250 rective models can be designed: on the transport equation of kinetic energy  
 251  $k$  or on the equation of the dissipation rate  $\epsilon$ .

252 In this work, only the correction of the transport of  $\epsilon$  is considered since  
 253 it is the equation where the closure terms are present. This choice keeps as  
 254 much as possible the physical structure imposed by the RANS modelling.

255 As for its form, it can be assumed either that  $F_\epsilon$  is an additive term  
 256 without any particular structure as with  $\mathbf{F}_1$ , or that it is an explicit function  
 257 of  $\epsilon$ . Consistently with [38], authors propose to pre-multiply the forcing term  
 258 by  $\epsilon$  in order to reject unphysical corrections at locations where turbulence  
 259 is weak.

260 The following simple definition is considered

$$F_\epsilon = -f_\epsilon \epsilon,$$

261 in order to improve the robustness of the DA procedure.

### 262 3.4. Descent direction

263 The minimization is performed by a gradient-based algorithm which  
 264 requires the evaluation of the objective functional gradient w.r.t the control  
 265 variables  $\alpha$ . To that end, the adjoint formulation [24, 49] is considered.  
 266 In fact, the problem (1) is equivalent to the problem of determining the  
 267 optimal state  $\mathbf{X}$  and the set of parameters  $\alpha$  in addition to an adjoint state  
 268  $\mathbf{X}^*$  of a Lagrangian functional  $\mathcal{L}(\mathbf{X}, \mathbf{X}^*, \alpha)$  [50]. It is defined by augmenting  
 269 the functional  $\mathcal{J}$  with the constraint  $\mathbf{M}$  weighted by the adjoint state:

$$\mathcal{L}(\mathbf{X}, \mathbf{X}^*, \alpha) = \mathcal{J}(\mathbf{X}, \alpha) + \int_{\Omega} (\mathbf{X}^*)^T \mathbf{M}(\mathbf{X}, \alpha) \, d\Omega. \quad (5)$$

270 Then, by considering an infinitesimal perturbation of its arguments, set-  
 271 ting the first variation of  $\mathcal{L}$  equal to zero leads to an optimality system,  
 272 which provides the optimal solution.

273 In [38], a differentiation consistent both with the realizable  $k - \epsilon$  trans-  
 274 port equations and with the near-wall boundary layer law has been per-  
 275 formed. This has resulted in the definition of a continuous adjoint RANS  
 276 model, together with consistent associated boundary conditions of the RANS  
 277 tangent linear operator.

Moreover, a gradient projection onto the Sobolev space [51] is also considered for descent direction as an alternative regularization solution to penalization. As in the work of [38], the descent direction with Sobolev gradient is determined by solving the following equation

$$\frac{\partial \mathcal{L}^{H_1}}{\partial \alpha} = \left( \frac{1}{1 + l_{sob}^2} (\mathbf{I} - l_{sob}^2 \Delta) \right)^{-1} \frac{\partial \mathcal{L}}{\partial \alpha}, \quad (6)$$

in which the characteristic length scale  $l_{sob}$ , i.e. the filtering size, is chosen to smooth non-physical small scales and  $\Delta$  stands for the Laplacian operator, while  $\mathbf{I}$  stands for identity. Note that, unlike with the penalization approach which requires an estimate of a non-dimensional confidence factor  $\zeta$  with no direct physical significance, the free parameter  $l_{sob}$  has the dimensions of a length. This parameter can thus been chosen *a priori* based on a fraction of a relevant characteristic scales of the domain, such as the building width.

It is worth noting that this Sobolev gradient step can be presented as a standalone regularization technique without the addition of any penalization term on the control parameter. In this work, both techniques are considered individually. For conciseness, it is chosen to discuss their impact on the performances of the data-model coupling procedure when considering the additive forcing  $\mathbf{F}_1$ .

#### 4. Computational settings

Complying with the common standard [52], and consistently with [38], numerical computations are dimensioned with a full scale building.

##### 4.1. Inflow wind profile: a neutral ABL

The atmospheric flow is modeled as a horizontally-homogeneous turbulent boundary layer (HHTBL) [53, 54]. This consists in considering constant properties in the streamwise and spanwise directions. Thus, only variations along the vertical axis are considered. To enforce the inlet wind flow, profiles for  $U$ ,  $k$  and  $\epsilon$  are defined as

$$U_{in} = \frac{u_{\tau}^{ABL} \ln(z + z_0)}{\kappa z_0}, \quad k_{in} = \frac{(u_{\tau}^{ABL})^2}{\sqrt{C_{\mu}}} \quad \text{and} \quad \epsilon_{in} = \frac{(u_{\tau}^{ABL})^3}{\kappa(z + z_0)}, \quad (7)$$

where  $C_{\mu} = 0.09$  is chosen as for a standard  $k - \epsilon$  model and  $u_{\tau}^{ABL}$  is the friction velocity associated with the constant shear stress



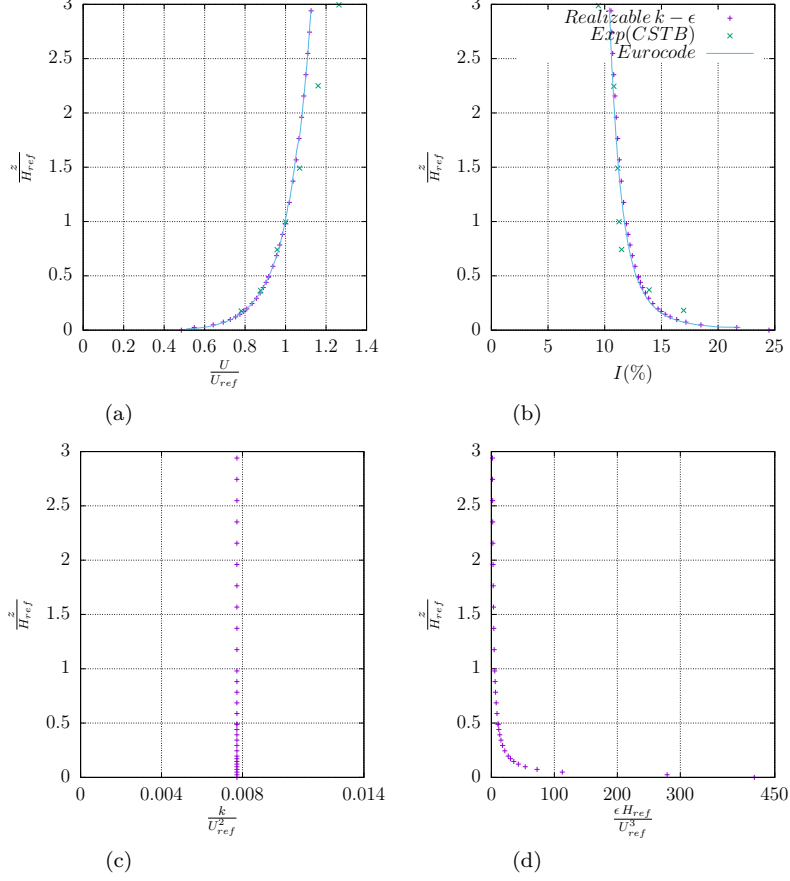


Figure 2: Profiles of the neutral atmospheric boundary layer: (a), mean wind velocity; (b), turbulent intensity  $I = \frac{\sqrt{k}}{U_{ref}}$ ; (c), turbulent kinetic energy and (d), turbulence dissipation rate.

$$u_{\tau}^{ABL} = \frac{\kappa U_{ref}}{\ln\left(\frac{H_{ref}+z_0}{z_0}\right)}. \quad (8)$$

The roughness height  $z_0$  is set to 0.02, which was chosen as an intermediate between class I and class II roughness [52]. The inflow velocity profiles are shown in figure 2.

#### 4.2. Computational domain

Based on recommendation by [16], in this work, the dimension of computational domain is fixed as  $18H \times 12H \times 6H$  (length  $\times$  width  $\times$  height). This sufficiently large domain helps to avoid the influence of the domain

boundaries on the flow near the building and the wall-pressure. Meanwhile, the upstream and downstream distances were set as  $\sim 6H$  and  $\sim 12H$ , respectively. The blockage ratio is 0.28 % less than the threshold of 3% [16].

Hexagonal structured grid was adopted to generate the background empty fetch, after which local mesh refinement approach was employed to densify the grid around the building model, as shown in figure 1b. The minimum distance of grid centroid to the ground was set to  $0.006 \times H$  ( $\sim$  one meter) while it reaches down to  $0.0008H$  for the centroid of the cell adjacent to the building walls. The whole domain corresponds to approximately 3.5 millions cells.

#### 4.3. Numerical method

The open source library OpenFOAM (5th version of the OpenFOAM foundation) [55] was used to implement the CFD and adjoint governing equations. The library utilizes a second order finite volume discretization approach and a fully implicit first order method for time integration. The variables are defined at the centers of each control volume (CV). A prediction-correction procedure is used for the pressure-velocity coupling using the SIMPLE-type methods with Rhie and Chow [56] interpolation. With regard to the discretization schemes, the second order upwind (or linear-upwind) scheme [57] is used for the advection terms as it is shown to be one of the most efficient and accurate scheme for Reynolds Averaged Navier–Stokes (RANS) simulations on bluff body flows [58].

#### 4.4. VDA settings

Regarding the minimization procedure, a steepest descent algorithm is used with an adaptive step. A maximum step size is fixed for each of the parameters based on a prior sensitivity validation test, while a minimum pre-factor for the step size of  $10^{-4}$  is considered as an optimization convergence criteria for all parametrisations.

Regularisation of the force parameter  $\mathbf{F}_1$  is proceeded separately either by the penalisation or by the prescription of the descent direction with Sobolev gradient. The penalty-free parameter ranges in  $\zeta_i = 0.1, 0.25, 0.5$ . Concerning the filtering length  $l_{sob}$ , as suggested in previous works [38, 59], a filtering length scale equivalent to 10% of the building width seems to give a fair compromise to filter unphysical small scales.

For the other parametrisation cases, only the Sobolev gradient is considered, with the same filtering length scale.

350 In the further investigation of combined parametrisations, penalty and  
 351 Sobolev gradient will be employed together to obtain an efficient regulari-  
 352 sation and capture physical flow features.

## 353 5. Results

354 This section is dedicated to the comparison of the different choices of  
 355 control parameter and regularisation techniques introduced in our data as-  
 356 simulation problem. The impact of their individual contribution on the wall-  
 357 pressure estimation and the wake flow reconstruction will be first explored.  
 358 Among all calculations presented in this paper, the case of control parameter  
 359  $f_\epsilon$  with Sobolev gradient regularisation is common with the study [38]. In  
 360 a second step, an efficient flow reconstruction by combining the advantages  
 361 identified in the previous techniques is proposed.

### 362 5.1. Choice of control parameter and regularisation

#### 363 5.1.1. Cost reduction and convergence rate

364 *Evaluation of the regularization.* Reduction of the (normalized) cost func-  
 365 tional in the case of the forcing  $\mathbf{F}_1$  on the momentum equation is com-  
 366 pared in figure 3a between Sobolev gradient and the penalty constraint.  
 367 With Sobolev gradient, the objective function has reached its lowest value  
 368 ( $\mathcal{J}/\mathcal{J}_0 \simeq 0.2$ ). It should be noted nevertheless that with a penalty pa-  
 369 rameter set to  $\zeta = 0.1$ , a very close level of reduction  $\mathcal{J}/\mathcal{J}_0 \simeq 0.26$  has  
 370 been reached, but after approximatively 5 times more iterations ( $n_{it} \sim 500$   
 371 against 100 with Sobolev gradient). The faster minimisation and the lower  
 372 objective demonstrate the Sobolev gradient ability to improve significantly  
 373 the gradient-based algorithm. This is consistent with the observation in [38]  
 374 using the alternative control parameter  $f_\epsilon$ . This improvement is at the price  
 375 of a low additional cost corresponding to the resolution of a Helmholtz like  
 376 equation, yet, of the same order as an additional iteration of the prediction-  
 377 correction loop. The filtering length  $l_{sob}$  can be chosen *a priori*, by taking  
 378 10% of the diameter of the building as characteristic length scale. It seems  
 379 to constitute a solid baseline. Indeed, a higher fraction leads to an overly-  
 380 diffused parameter, while lower values did not provide enough regulariza-  
 381 tion.

382 Note however that close to the optimal solution, the penalty provides a  
 383 better numerical behaviour, showing less oscillations around the minimum.

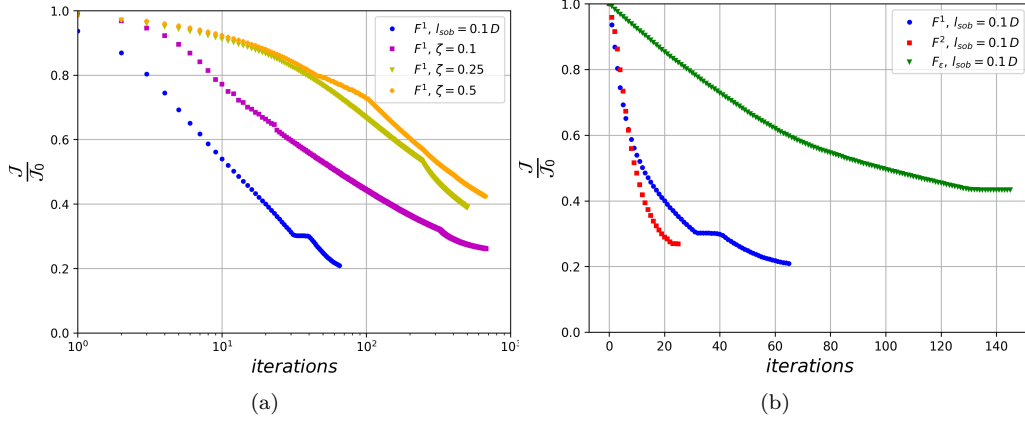


Figure 3: Cost function reduction with different parametrizations; (a) an added force  $F^1$  with Sobolev gradient projection  $l_{sob} = 0.1D$  against penalisation  $\zeta = 0.1, 0.25, 0.5$ , (b) comparison between all parameters with only Sobolev gradient projection.

384 *Evaluation of the parameter choice.* A comparison of the cost reduction with  
385 the different choices of parametrization is shown in figure 3b. It can be seen  
386 that using a structured force on the momentum equation  $\mathbf{F}_2$  allows reaching  
387 an optimal objective at a level very close to the raw force  $\mathbf{F}_1$  ( $\mathcal{J}/\mathcal{J}_0 \simeq 0.27$ ),  
388 yet with a twice faster descent. This faster convergence suggests that adding  
389 a physical-based structure to the correction force seems to lead to a more  
390 efficient descent direction. Meanwhile, forcing with  $f_\epsilon$  on the transport  
391 equation of  $\epsilon$  leads to a lower cost reduction with more iterations. This is  
392 an expected result since it relies on a model that is further constrained, as  
393 highlighted in [38]. Recalling that the cost functional is representative of  
394 the building wall pressure. Due to potential overfitting effects, it is hard to  
395 conclude just in light of this result. Sections 5.1.3 and 5.1.4 explore velocity  
396 field reconstructions in the wake to obtain more prescriptive conclusions.

### 397 5.1.2. Wall pressure

398 Results of the pressure loads at and around measurement locations are  
399 compared with the experimental data [47] and the non-assimilated model  
400 in figure 4.

401 In the back facade, where  $C_p < 0$ , reconstructed wall-pressure with  
402 the raw force  $\mathbf{F}_1$  shows perfect fitting to data, while the pre-multiplied  
403 force  $\mathbf{F}_2$  and the forcing  $f_\epsilon$  on the transport of  $\epsilon$  lead to an intermediate  
404 estimation between model and data. Observing the windward and side  
405 facades and the roof top, it can be noticed that the forcing  $f_\epsilon$  associated

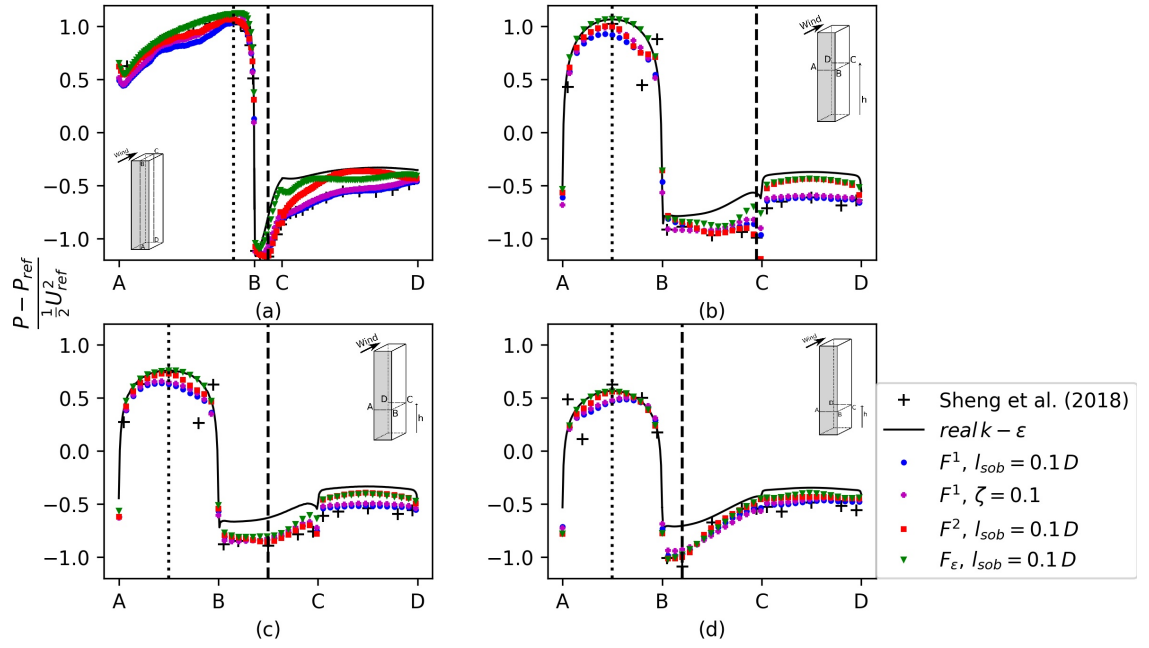


Figure 4: Pressure coefficient profiles along building facades with different parametrizations; (a) along the symmetry plane and along horizontal transverse plane at three heights, (b)  $z/D = 3.33$ , (c)  $z/D = 0.9$ , (d)  $z/D = 0.63$ .

with the most structured model leads to the closest results to the non-assimilated model, while the less structured model by a raw forcing  $\mathbf{F}_1$  fits better the measurements. This is consistent with the results of section 5.1.1. A structured forcing on the momentum equation  $\mathbf{F}_2$  leads to intermediate results.

If the objective of data assimilation is to perform wall pressure interpolation between pressure taps enhanced by a RANS model, then the raw forcing on the momentum equation is well suited. The present results show that more structure forcings give more confidence in the model. In the next sections, a detailed comparison on the ability of these strategies is given in terms of velocity field reconstruction.

### 5.1.3. Wake centreline profiles

Results of the streamwise velocity on the wake centreline are here confronted to data from PIV experiments [47] and the uncorrected model in figure 5. It should be recalled that these data were not used in the assimilation problem. Hereafter, an up-wash (resp. down-wash) denotes the ascending (resp. descending) motions in the wake velocity field. In order to span both up-wash and down-wash motions, profiles are compared at four height levels ( $z/D = 0.63, 1.26$  for up-wash and  $z/D = 2.5, 3.33$  for down-wash). Moreover, at each height, a different recirculation length is identified, by the intersection of the velocity profile with the axis  $U/U_{ref} = 0$ . As a first remark, all corrective models show some reduction at all heights. Yet, depending on the chosen parameter and the considered wake region, different reduction levels are achieved. With a parameter  $f_\epsilon$ , data assimilation leads to the shortest recirculation among all parameters and regardless of the considered region, with a very good agreement with PIV data. For both momentum forcing strategies  $\mathbf{F}_1$  and  $\mathbf{F}_2$ , model abilities for retrieving the wake flow extension vary with the height and the forcing type. The most noticeable relative reductions are observed closer to the ground for the up-wash flow, with a very close prediction for both force shapes. However, in the down-wash flow region, a raw form leads to better wake reduction, while the structured forcing  $\mathbf{F}_2$  leads to poor results.

At this stage, forcing on  $f_\epsilon$  leads to good wake velocity field reconstruction, but lower performances for pressure loads predictions and wall pressure interpolation. Meanwhile, a direct forcing on the momentum equation improves pressure prediction, but suspiciously by an unphysical mechanism, since it does not reduce the wake consistently with PIV measurements.

A second key aspect in the velocity profiles is the maximum reverse

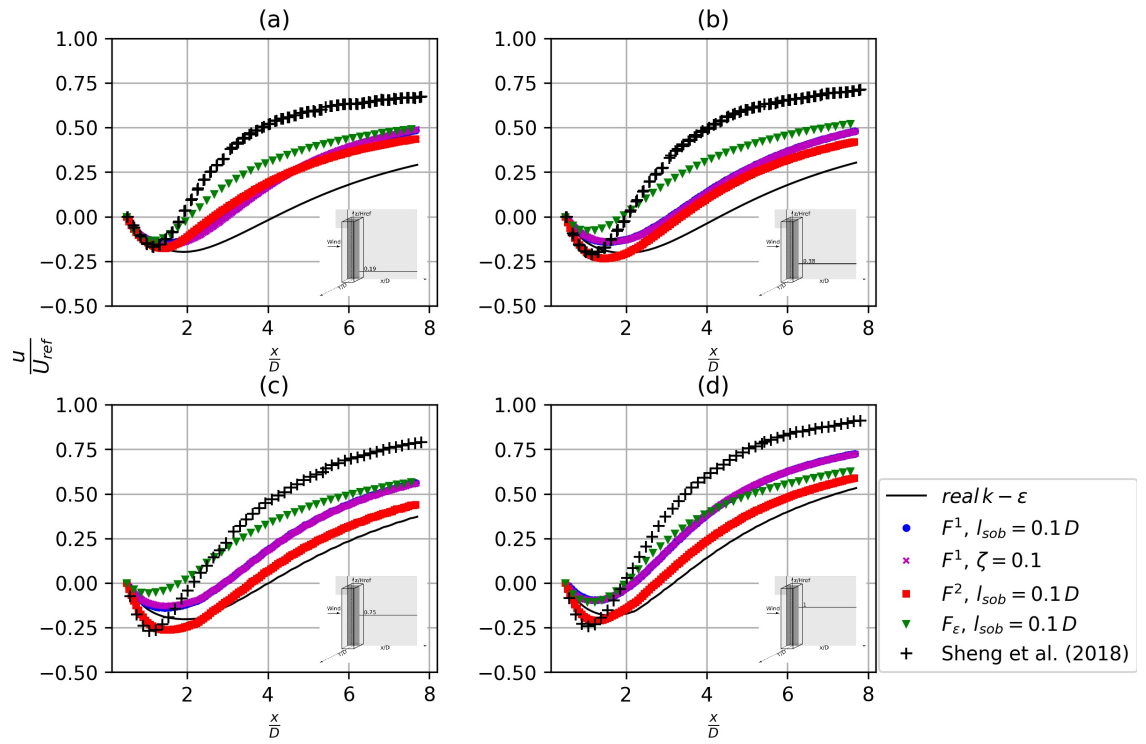


Figure 5: Streamwise velocity comparison along centreline at heights, (a)  $z/D = 0.63$ , (b) 1.25, (c) 2.5, (d) 3.33.

velocity, happening approximatively around  $x/D = 1.5$  in the PIV measurements. Strong reverse flow is associated with intense depression and is thus indicative of the ability of the estimated wake to match the leeward pressure. The mismatch of the minimum velocity between PIV and model is especially strong for large  $z/D$ . Based on this criteria, forcing on  $f_\epsilon$  and the direct raw forcing  $\mathbf{F}_1$  shows bad performances, but surprisingly, the structured forcing on the momentum equation  $\mathbf{F}_2$  leads to accurate maximum reversed velocity in the wake. This is corroborated by the leeward pressure predictions in figure 4a), suggesting that the reasonable pressure predictions close to the high end (near point C) are obtained due to a good velocity prediction in the wake close to the building. It can be noted that in the case of raw forcing, regularisation effects are not visible in the wake centreline velocity profiles.

#### 5.1.4. Reconstructing flow features

For a better insight into the reconstructed flow fields, figure 6 shows time-averaged sectional streamlines at symmetry plane. Note that sectional streamlines are computed by in-plane velocity components. In order to discuss the flow topology, two specific points are to be observed. The first one is the saddle point observed at the frontier of the recirculation region, resulting from the interaction between the descending velocities (down-wash) and ascending velocities (up-wash) (for the uncorrected RANS model it is around  $x/D \simeq 4, z/D \simeq 2$ ). The location of this point is strongly related to the recirculation lengths discussed in section 5.1.3. The second point is the focal point around  $(x/D \simeq 1.5, z/D \simeq 4.5)$  reminiscent of a large spanwise vortex structure, connecting the two symmetric recirculation vortices visible in the horizontal plans in figures 7 and 8. This focal point is a sign of a local depression.

In the PIV symmetry plan, compared to the non-corrected models, the saddle point is located more upstream and slightly higher in  $z$ . Consistently with the results of section 5.1.3, the optimisations pull the saddle point upstream, but not sufficiently, and lower in  $z$  instead of being raised in the case of the structure-free forcing  $\mathbf{F}_1$ . With the structured forcing  $\mathbf{F}_2$ , the  $z$  position of the saddle point is correct, but the recirculation length is too large. Forcing on the turbulence closure equation through  $f_\epsilon$  leads to a drastic reduction of the recirculation region, and an accurate prediction of the saddle point position. As pointed out in [38], this striking result is mitigated by the fact that this saddle point has been pulled slightly too far upstream. Horizontal cross sections in figures 7 and 8 complement the view



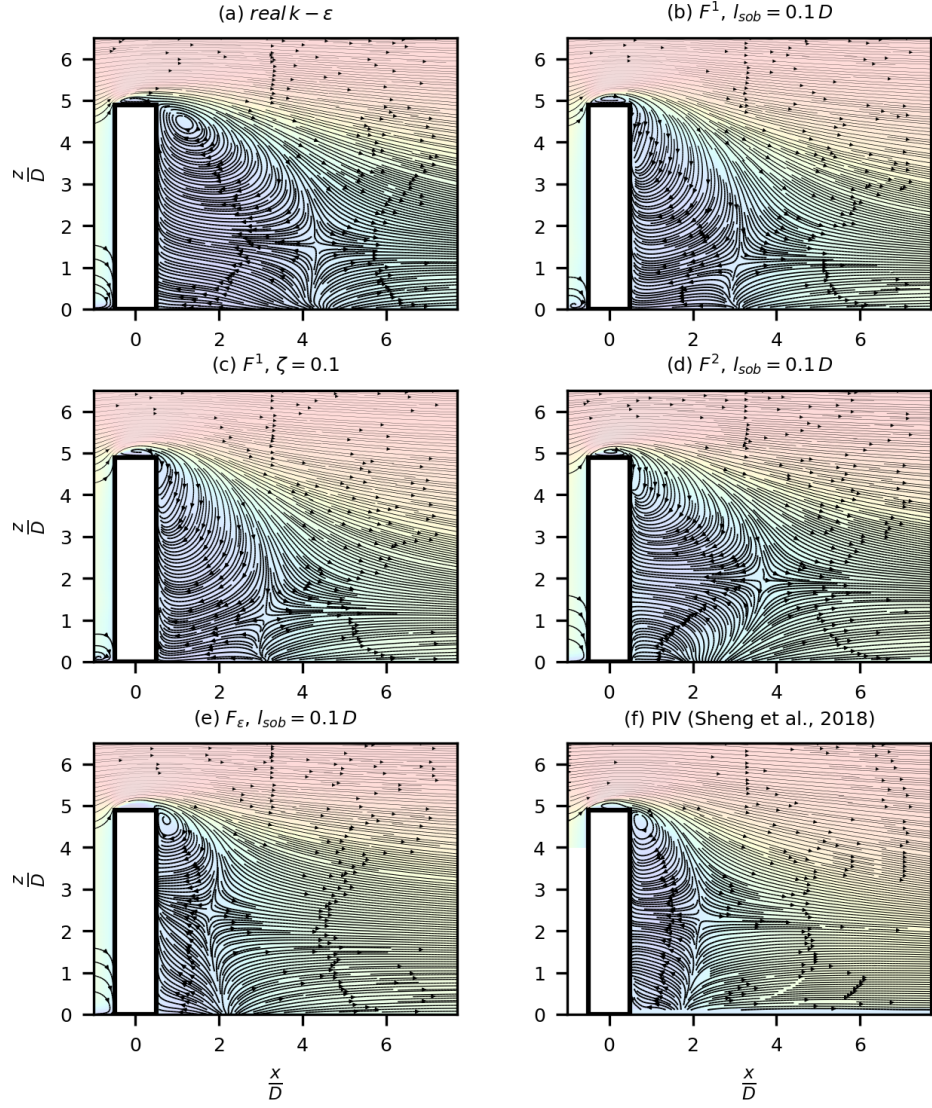


Figure 6: Flow topology (2D) comparison with the different proposed parametrization at symmetry plane.

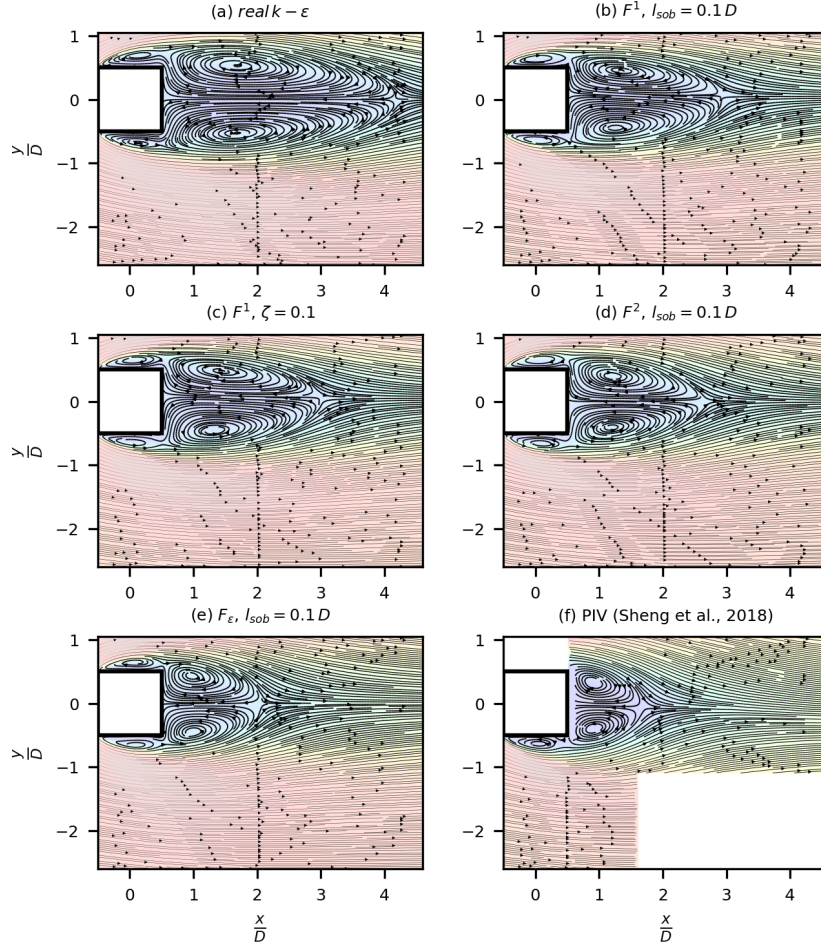


Figure 7: Flow topology (2D) comparison with the different proposed parametrization on horizontal plane at normalized height  $z/D = 0.63$ .

482 of the 3D flow structure.

483 Concerning the focal point in figure 6, complete disappearance is noted  
 484 by the raw direct forcing  $\mathbf{F}_1$ ; whereas it is moved upstream in the vicinity of  
 485 the leeward facade in the case of structured force  $\mathbf{F}_2$ . Yet, with the proposed  
 486 closure parameter  $f_\epsilon$ , this critical point is retrieved.

487 By taking these observations globally, it could be argued that the re-  
 488 circulation region is globally reduced. This is, however, not achieved in an  
 489 entirely satisfactory way with a raw force.

490 The results suggest that the pressure reduction in the leeward facade  
 491 is performed in the optimisation by approaching the focal point very close

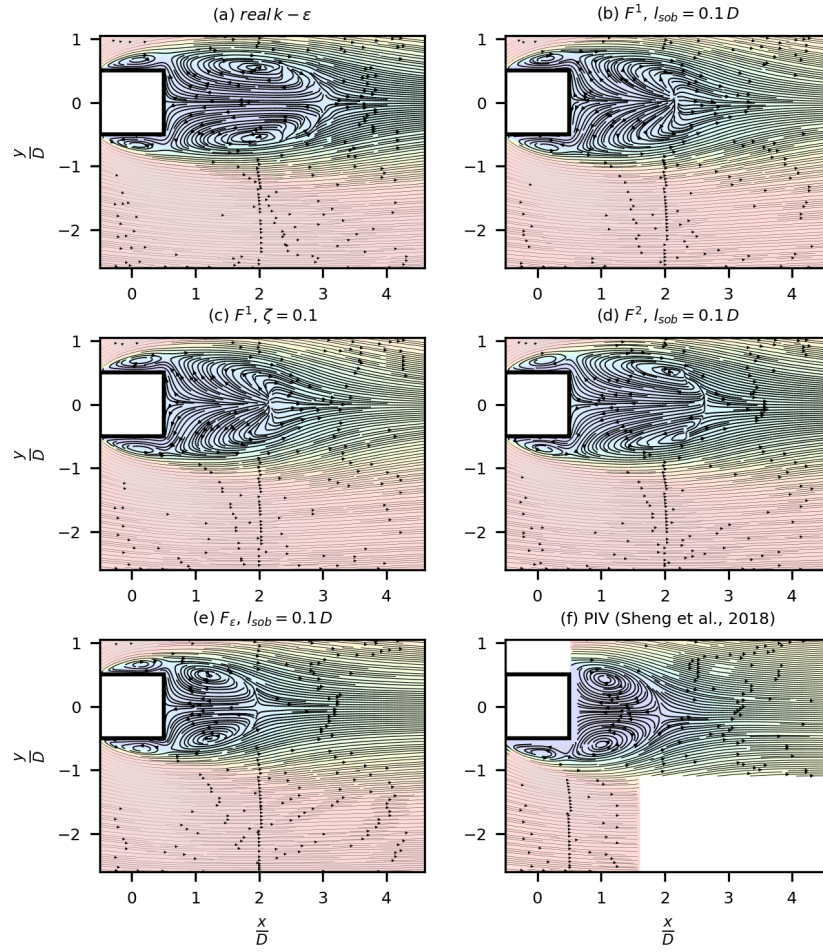


Figure 8: Flow topology (2D) comparison with the different proposed parametrization on horizontal plane at normalized height  $z/D = 3.3$ .

to the wall instead of significantly reducing the overall recirculation region. This was not the case with  $f_\epsilon$  as a control parameter, as the two-dimensional vortices at both elevations ( $z/D = 0.63, 3.33$ ) are still captured. Note nonetheless that as one span downward, far enough from the high-end, such that descending motions are negligible (say at  $z/D = 0.63$ ), all models tend to preserve the physical features (see figure 7).

Clearly, each parametrization has its pro and cons when applied individually. Direct forcing, either structured or not, provides a superior ability for wall-pressure interpolation, while embedding parameters in the turbulence closure equation does preserve better the physical features of the wake velocity field. To take advantage of both strategies, it is proposed next to investigate the coupling of these two kinds of corrections. The choice of the control parameter shape plays an important role here. Hence, performing an efficient hybridisation is far from being an easy task as it requires proper scaling between the two corrections.

## 5.2. Exploiting complementary effects for reconstruction

### 5.2.1. Control parameters

In order to refine flow reconstructions, this section considers simultaneously two kinds of control parameters; one forcing vector field acting on the momentum equations and a scalar forcing field acting on the transport equation of  $\epsilon$ . In order to control better the rigidity of the model, a structured form of the forcing on the momentum equation is chosen. The present choice is motivated based on the numerical tests performed in the previous sections to act on two different physical mechanisms.

More precisely, the choice of the control parameter is motivated based on the following observations. It has been shown in section 5.1 that forcing directly on the momentum equation tends to lead to an overfitting of the data. As a matter of fact, the wall pressure is well represented, but the flow field is unphysical. A way to provide a better structure to this forcing is to premultiply it by  $|\nabla k|$ . This still leads to a good reduction of the wall pressure discrepancy, with performances in terms of the cost functional decrease comparable to the direct forcing. Regarding the flow field, despite a moderate reduction of the wake extension, the vertical position of the saddle point is better recovered.

On the other hand, forcing on the transport of  $\epsilon$  leads to impressive reduction of the recirculation length. this can be interpreted by the fact that it acts on the turbulent mixing, which is a key driver to simulate correctly the wake shape. The disadvantage of this very structured model is that it

530 constraints severely the data assimilation process to reach in its exploration  
 531 a sufficiently large domain of physical solution in the state space[38].

With the objective of performing the best flow reconstruction as possible, since they apparently act on two separate mechanisms, a combination of both strategies is investigated in the following. The model proposed in that aim reads as

$$\frac{\partial(\rho U_j U_i)}{\partial x_j} + \frac{\partial}{\partial x_i} \left( P + \frac{2}{3} \rho k \right) - \frac{\partial}{\partial x_j} \left[ \mu_{eff} \left( \frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) \right] = -F_2 \quad (9a)$$

$$\frac{\partial U_i}{\partial x_i} = 0 \quad (9b)$$

$$M_k = 0 \quad (9c)$$

$$M_\epsilon = -f_\epsilon \epsilon \quad (9d)$$

532 where  $M_k$  and  $M_\epsilon$  corresponds to the turbulent kinetic energy and tur-  
 533 bulent dissipation evolutions of the base model.

### 534 5.2.2. Quality assessment for flow reconstructions

535 Validation metrics are defined here to evaluate the quality of the recon-  
 536 struction. These metrics are proposed to compare the interpolation quality,  
 537 i.e., the ability to reconstruct the wall pressure on the building based on  
 538 sparse measurements, and the extrapolation/generalisation quality, i.e., the  
 539 velocity field reconstruction. For the former purpose, the objective function  
 540  $\mathcal{J}$  is simply considered.

541 The wake reconstruction assessment is not straightforward. Consistently  
 542 with the discussion of the velocity profiles in section 5.1.3, quantities repre-  
 543 sentative respectively of the recirculation length and the maximum reverse  
 544 flow velocity are defined. At a height  $z_j$ ,  $\mathcal{M}_2^j$  measures the recirculation  
 545 length relative error, defined as

$$\mathcal{M}_2^j = \left| \Delta L_x^j / \Delta L_x^{j,0} \right|, \quad (10)$$

546 with  $\Delta L_x^j$  the error in recirculation length of the optimised model com-  
 547 pared with PIV, and  $\Delta L_x^{j,0}$  the error of recirculation length of the non-  
 548 assimilated RANS model compared with PIV.

549 Similarly, the relative error of maximum reverse velocity at height  $z^j$  is  
 550 defined by

$$\mathcal{M}_3^j = \left| \Delta U_{x_{\min}}^j / \Delta U_{x_{\min}}^{j,0} \right|, \quad (11)$$



|   | $L_x^*$          | $U_{x_{\min}}^*$       | $\mathcal{M}_2(\%)$ | $\mathcal{M}_3(\%)$ |
|---|------------------|------------------------|---------------------|---------------------|
| Sheng et al. [47]   | 1.86, 2.13, 1.93 | -0.170, -0.470, -0.421 | -                   | -                   |
| realizable $k - \epsilon$   | 4.15, 4.01, 3.18 | -0.197, -0.203, -0.181 | 100, 100, 100       | 100, 100, 100       |
| $F_1, l_{sob} = 0.1 D$  | 2.92, 2.89, 2.16 | -0.146, -0.138, -0.095 | 46.5, 40.3, 18.2    | 86.8, 124, 136      |
| $F_2 =  \nabla k  f_u, l_{sob} = 0.1 D$                                   | 2.64, 3.56, 2.75 | -0.177, -0.264, -0.211 | 33.9, 76.0, 65.6    | 26.7, 77.1, 87.5    |
| $F_2, f_\epsilon, l_{sob} = 0.1 D$  | 2.43, 2.40, 2.33 | -0.158, -0.120, -0.158 | 25.1, 14.5, 32.0    | 43.2, 131, 110      |
| $F_2, f_\epsilon, l_{sob} = 0.1 D, (\zeta_{fu}, \zeta_{fe}) = (0.1, 15)$  | 2.29, 2.42, 2.36 | -0.115, -0.105, -0.144 | 18.9, 15.3, 34.4    | 201, 137, 116       |
| $F_2, f_\epsilon, l_{sob} = 0.1 D, (\zeta_{fu}, \zeta_{fe}) = (0.25, 15)$ | 2.18, 2.32, 2.30 | -0.099, -0.091, -0.135 | 14.5, 10.0, 29.7    | 260, 142, 120       |
| $F_2, f_\epsilon, l_{sob} = 0.1 D, (\zeta_{fu}, \zeta_{fe}) = (0.5, 15)$  | 2.22, 2.17, 2.25 | -0.104, -0.080, -0.120 | 15.7, 2.20, 25.0    | 241, 147, 126       |
| $f_\epsilon, l_{sob} = 0.1 D$   | 2.06, 1.77, 1.98 | -0.135, -0.055, -0.106 | 8.69, 18.9, 3.94    | 129, 156, 131       |

Table 1: Normalized Recirculation length  $L_x^*$  and peak streamwise velocity  $U_x^*$  inside the recirculating flow at two height  $z/D = (0.63, 2.5, 3.33)$  on the symmetry plane ( $y/D = 0$ ) with different parametrization. Variables are normalized by  $D$  and  $U_{ref}$ , respectively.

where  $\Delta U_{x_{\min}}^j$  stands for the error on the wake's peak velocity of the optimised model compared with PIV, and  $\Delta U_{x_{\min}}^{j,0}$  for the error on the maximum reverse velocity of the non-assimilated RANS model compared with PIV.

As seen in section 5.1.3, it turns out that the two criteria  $\mathcal{M}_2$  and  $\mathcal{M}_3$  follow an opposite trend by varying the model rigidity, from an overfitted to an over-constrained situation. A good estimation of the wake velocity field consists of performing a trade-off between these quantities for all heights  $z^j$ . It is then proposed to define the new quantity

$$A^2(\mathcal{M}_2, \mathcal{M}_3) = \frac{(1 - \frac{1}{n_z} \sum_j^{n_z} \mathcal{M}_2^j)}{\frac{1}{n_z} \sum_j^{n_z} \mathcal{M}_3^j} \quad (12)$$

where  $n_z$  denotes the number of considered heights. Here it should be pointed out that a large value of  $A^2(\mathcal{M}_2, \mathcal{M}_3)$  is the footprint of a good estimation.

Yet, the choice of metric is not unique. The present choice has the advantage of being more sensitive than a standard  $L^2(\Omega)$  norm, by being oriented toward a worst-case scenario in identifying maximum values. The average over several peak values confers robustness to the metric.

The first two metrics are given in table 1, measured at three heights, namely  $z/D = 0.33, 2.5, 3.33$  in the symmetry plane.

Averaged metrics are collected in table 2. Tables summarize the current (hybrid) parametrization results with different regularization levels: the individual, direct forcing form, the structured force alone, and the corrected closure on  $\epsilon$  budget alone.

|  | $\varepsilon_{\mathcal{J}} = \mathcal{J}^{end} / \mathcal{J}^0 (\%)$ | $A^1(\mathcal{M}_2) (\%)$ | $A^1(\mathcal{M}_3) (\%)$ | $A^2(\mathcal{M}_2, \mathcal{M}_3)$ |
|--|--|---------------------------|---------------------------|-------------------------------------|
| Sheng et al. [47]  | -  | -                         | -                         | -                                   |
| realizable $k - \epsilon$  | 100  | 100                       | 100                       | -                                   |
| $F_1, l_{sob} = 0.1 D$   | 20.9   | 35                        | 116                       | 0.56                                |
| $F_2 =  \nabla k  f_u, l_{sob} = 0.1 D$  | 26.9   | 58.5                      | <u>63.8</u>               | 0.65                                |
| $F_2, f_\epsilon, l_{sob} = 0.1 D$   | 23.7   | 23.9                      | 94.6                      | <u>0.80</u>                         |
| $F_2, f_\epsilon, l_{sob} = 0.1 D, (\zeta_{f_u}, \zeta_{f_\epsilon}) = (0.1, 15)$  | <u>20.3</u>  | 22.8                      | 151                       | 0.51                                |
| $F_2, f_\epsilon, l_{sob} = 0.1 D, (\zeta_{f_u}, \zeta_{f_\epsilon}) = (0.25, 15)$ | 25.2   | 18.1                      | 174                       | 0.47                                |
| $F_2, f_\epsilon, l_{sob} = 0.1 D, (\zeta_{f_u}, \zeta_{f_\epsilon}) = (0.5, 15)$  | 29   | 14.3                      | 171                       | 0.50                                |
| $f_\epsilon, l_{sob} = 0.1 D$  | 43.4   | <u>10.5</u>               | 139                       | 0.64                                |

Table 2: Summarizing scores for reconstruction quality.

These quantitative comparisons are accompanied by graphs of the cost function reduction, illustrated in figure 9, and wall-pressure distributions, in figure 10. In the following, these tables and figures are discussed by comparing the proposed criteria.

*Objective reduction assessment.* Among all tests performed, the best cost functional reduction is obtained with the coupled scaling  $(\zeta_{f_{u_i}}, \zeta_{f_\epsilon}) = (0.1, 15)$ . The reached performance is even better than when a direct raw forcing  $\mathbf{F}^1$  without penalty (highly overfitted) was considered. In the following sections, the physical relevance of the flow structure in these cases is explored.

Employing penalty in conjunction with Sobolev gradient seems to improve the cost functional reduction. Here, the effect of this is explored as follows. Three penalization scaling factors of the momentum forcing were considered:  $\zeta_{f_{u_i}} = 0.1, 0.25, 0.5$ , with a given penalization to  $\epsilon$  fixed at  $\zeta_{f_\epsilon} = 15$ . Fixing instead  $\zeta_{f_{u_i}}$  and sweeping  $\zeta_{f_\epsilon}$ , leads to an opposite trend since it is the ratio between them which drives the overall behaviour. As expected, high values of  $\zeta_{f_{u_i}}$  leads to large constraints for the optimisation, while lower values does not efficiently suppress non-physical oscillations. Moreover, it has been observed that  $\zeta_{f_{u_i}}$  has a significantly higher impact on the cost functional than  $\zeta_{f_\epsilon}$ . This is consistent with the fact that forcing on the momentum equations allows modifying the wall-pressure easily. Nonetheless, a high impact of  $\zeta_{f_\epsilon}$  on the flow field should be expected, as  $f_\epsilon$  affects the bulk momentum diffusion.

*Wake predictions.* Examining the averaged flow metrics  $A^1(\mathcal{M}_2), A^1(\mathcal{M}_3)$  reveals a reversed trend between them. The larger the reduction of the recirculation length, the worst the prediction of the peak streamwise velocity. With no penalization considered, the only adjustment of the closure (by

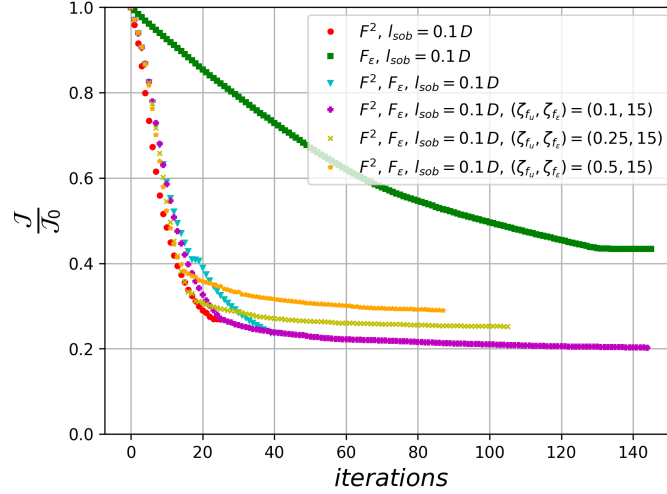


Figure 9: Cost function reduction under a correction of a structured force with a modified closure applied on  $\epsilon$  budget for different penalization  $(\zeta_{f_u}, \zeta_{f_\epsilon}) = ([0.1 \ 0.25 \ 0.5], 15)$ . Comparison is made between raw-force (black circle), structured force (blue square), default closure, and modified closure made at the  $\epsilon$  budget (yellow triangle).

599  $f_\epsilon$ ) enables the lowest wake extension but leads to the slightest accurate  
600 predictions, falling outside the base model error. On the other hand, the  
601 only correction of the structured force leads to the least wake contraction  
602 and the best error decrease.

603 To measure the trade-off between recirculation length and peak veloc-  
604 ity, the quantity  $A^2(\mathcal{M}_2, \mathcal{M}_3)$  (table 2) is introduced. From table 2, it  
605 can be observed that the synchronous adjustment of the closure with the  
606 force provides the better compromise ( $A^2 = 0.8$ ), which is better achieved  
607 with no penalization. It can also be seen that the direct forcing gives a  
608 very low compromise ( $A^2 = 0.56$ ) as compared with the most constrained  
609 parametrization by only correcting  $f_\epsilon$  ( $A^2 = 0.64$ ). This supports the idea,  
610 that this metric is only partially representative of the quality of reproduc-  
611 tion of flow features.

612 By examining the flow topology, illustrated in figures 11 and 12, it can be  
613 seen that correcting at the same time ( $|\nabla k|f_u, f_\epsilon$ ) leads to an intermediate  
614 solution between both individual corrections ( $|\nabla k|f_u, 0$ ) and  $(0, f_\epsilon)$ .

615 It can be seen that recirculation region in the symmetry plane has sig-  
616 nificantly reduced and a saddle point location in good agreement with PIV  
617 data. However, it is still noted that the model excessively pulls the focal  
618 point near the high end to the leeward facade, the mechanism employed



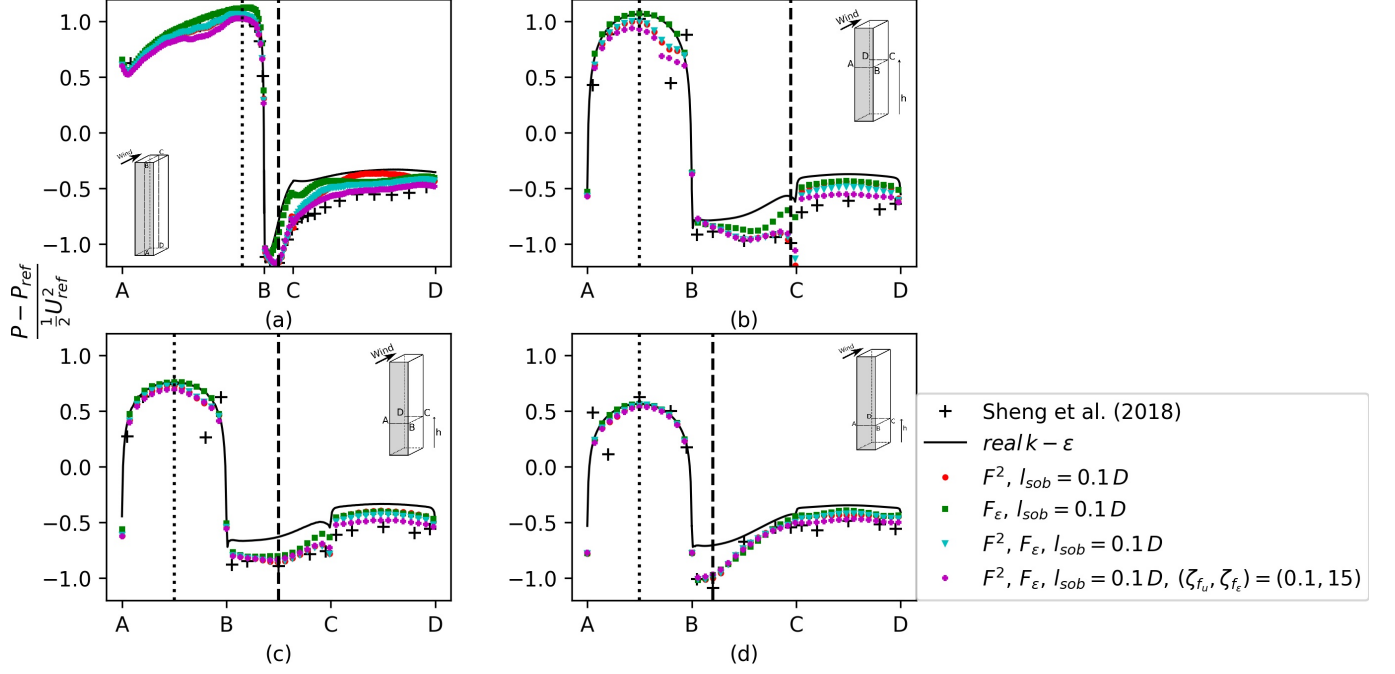


Figure 10: Pressure coefficient profiles along building facades under a correction of a structured force with a modified closure applied on  $\epsilon$  budget for different penalization  $(\zeta_{f_u}, \zeta_{f_e}) = ([0.10.250.5], 15)$ . Comparison is made between raw-force (black circle), structured force (blue square), default closure, and modified closure made at the  $\epsilon$  budget (yellow triangle).

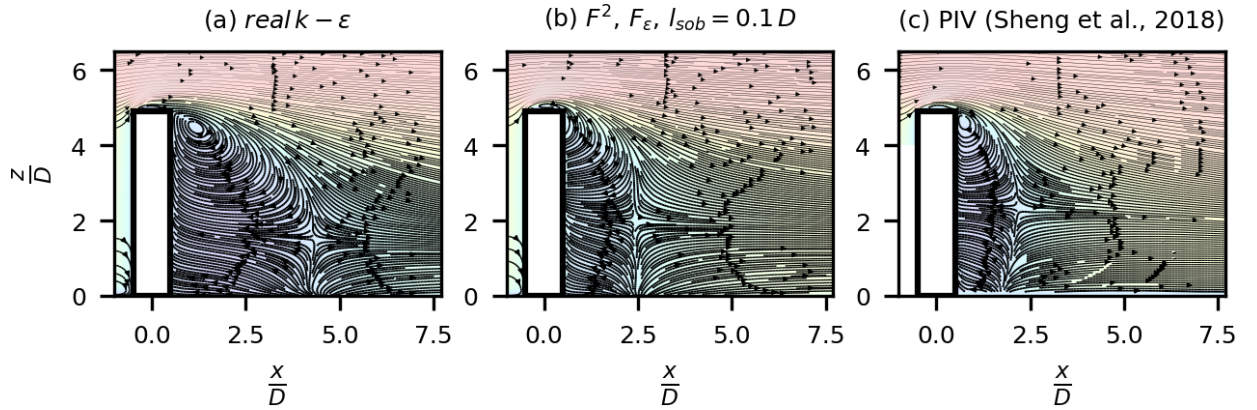


Figure 11: Flow topology (2D) with reconstruction under a correction of a structured force with a modified closure on symmetry plane.

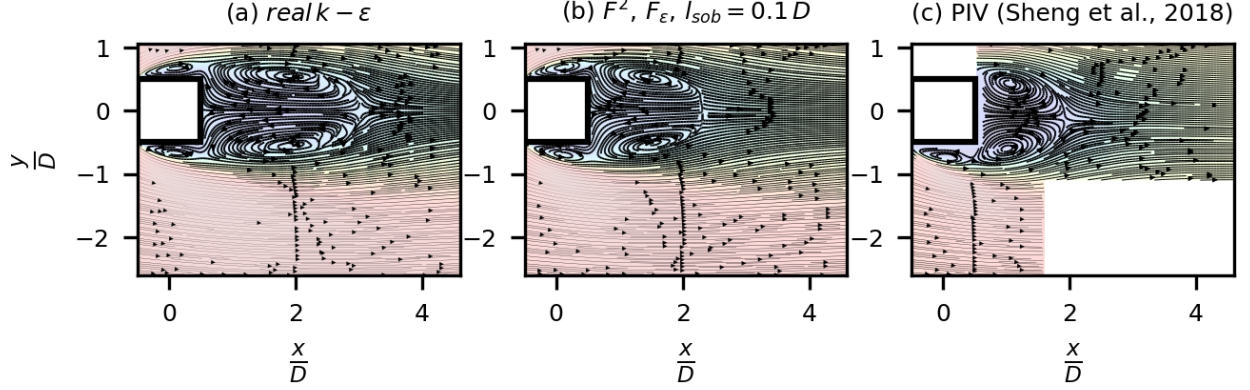


Figure 12: Flow topology (2D) with reconstruction under a correction of a structured force with a modified closure on horizontal plane at normalized height  $z/D = 3.33$ .

by the optimisation to fit the wall-pressure at the high end of the leeward facade. Nonetheless, this remaining overfitting artifact is very limited in space as the transverse structures are well retrieved. The reconstructed flow still recovers the lateral vortex rolls, which extend from the ground and meet at the high-end vortex on the symmetry plane, with the focal points in the horizontal planes being well captured until height  $z/D = 3.33$  with the downstream location of  $x/D \simeq 1.5$ . This location is intermediate between the base RANS and the PIV ( $x/D \simeq 1$ ) (see figure 12). Note that, except with the correction of  $f_\epsilon$  solely, both structured/raw form of forcing with default closure does not capture them at all.

Therefore, by considering both the qualitative observations of the flow features and the proposed wall/flow metrics, it can be summarized that combination of a direct forcing on the momentum equation with simple structuring form, along with a forcing on the transport equation of  $\epsilon$  is a promising strategy. It ensures overall a good quality to the reconstruction. It is worth noting that although the quantitative flow metric  $A^2$  did not favors the penalization, examining the qualitative flow topology suggests a better restitution of some physical features. It was observed by the recapturing of the high-end focal point with the couple of scaling  $(\zeta_{f_{u_i}}, \zeta_{f_\epsilon}) = (0.5, 15)$ , which is otherwise absent (see figure 13). Therefore, providing a penalization plays an important role in refining the flow features. Yet, an adequate couple of scaling is required to provide a good compromise between a well-interpolated wall pressure and the best retrieval of realistic flow patterns.

Incorporating the forcing in the transport equation of turbulence dis-

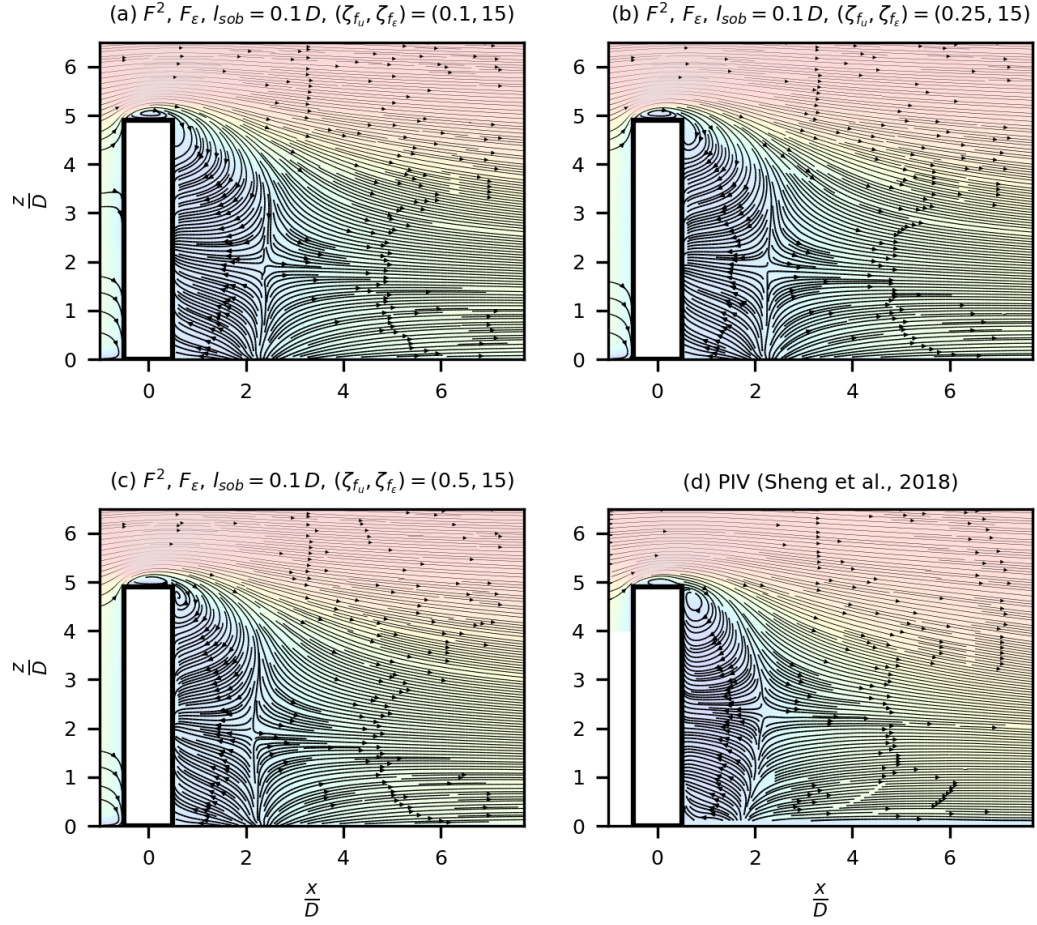


Figure 13: Flow topology (2D) on symmetry plane. Comparison with flow reconstruction, (a) under a correction of a structured force with a modified closure applied on  $\epsilon$  budget with no penalization, (b) with penalization  $(\zeta_{f_{u_i}}, \zeta_{f_\epsilon}) = (0.1, 15)$ , (c)  $(\zeta_{f_{u_i}}, \zeta_{f_\epsilon}) = (0.5, 15)$ .

sipation rate reduces the overfitting. It suggests that the structure of the RANS model is relevant and that the corrections to be added lie where the closure is performed. This principle is likely generalisable for other models: the control parameters should correct the closure models which are by definition not fully known. The second main observation is that a very good improvement is reached relaxing the Boussinesq assumption by a forcing on the momentum equation. This suggests that in wake flows, the effect of large scale structures and/or strong inhomogeneities and anisotropy (as in shear layers) have a significant effect on the mean flow. Having accurate results through a forcing on the momentum equation is a hard task since an overfitting issue is faced. It is achieved thanks to the hybrid approach that provides a forcing on both equations.

## 6. Conclusion

The present study aimed to investigate variational data assimilation (DA) methodologies devised in previous work [38] to reconstruct 3D wind flows around structures and provide guidelines toward an efficient reconstruction. In this framework, the Reynolds Averaged Navier–Stokes equations (RANS) constitute the base mathematical model to describes the mean wind flow. In particular, the case on which this study focuses on was dedicated to the analysis of a high-rise building with a square section and an aspect ratio  $H/D \sim 5$ . For its improved capability to account for the energy transfer with strong strain rates, the realizable revision developed by Shih et al. [48] was also chosen as a reference turbulence closure. The inflow wind profile models the lower part of the atmospheric boundary layer under the assumption of horizontal homogeneity. The data considered for the assimilation were the averaged wall-pressure measured on a scaled model of the building in a wind tunnel experiment by Sheng et al. [47] at CSTB (Nantes, France).

Several important findings arising from this study can be highlighted:

- In 3D wake flows, despite the fact than RANS models systemically overpredict the recirculation length, wall-pressure information is a meaningful enough piece of information to recover accurately experimental wake extension;
- In weak constraint VDA, a control parameter on the transport equation within which the closure is performed avoids overfitting. Over-

679 fitting can be acceptable for wall-pressure interpolation, but reveals  
680 catastrophic for wake flow reconstructions;

- 681 • A “hybrid” control parameter on the transport of  $\epsilon$  and on the mo-  
682 mentum equation leads to very accurate results due to a relaxation of  
683 the Boussinesq assumption;
- 684 • Sobolev gradient descent direction leads to efficient regularisation and  
685 to a fast convergence.

686 The present study constitutes a first step towards applying DA proce-  
687 dure to real-world applications in structural wind engineering, where veloc-  
688 ity field measurements of the whole 3D domain are not accessible. Note  
689 that in this work, the quality of the data-assimilated flow field is quantified  
690 by validation PIV data plans (but only wall-pressure measurements were  
691 used for estimation). With the guidelines provided in this study, we can  
692 imagine validations with more sparse and less costly measurements (sonic  
693 anemometers) for estimations in operational conditions.

694 The reconstruction strategy employed in the present paper considered  
695 a distributed additive forcing control parameter, acting on the momentum  
696 equation and/or the transport equation of turbulent dissipations, where the  
697 closure is performed.

698 In the first step, to recover the mean flow from the pressure measure-  
699 ments, an unknown spatially distributed forcing was added to the momen-  
700 tum equation in order to infer corrections to the Reynolds induced force.  
701 Without a priori knowledge of its nature, an initial raw form was consid-  
702 ered but led to unphysical oscillations. To avoid that, two regularization  
703 approaches were investigated; the first one proceeded by penalizing the gra-  
704 dient of the control parameter while the second was instead conducted by  
705 Sobolev gradient [51, 59]. Regarding the effects of regularisation, cost re-  
706 duction results with the Sobolev gradient yielded a much faster convergence,  
707 lower discrepancy levels, and an excellent agreement with the wall-pressure  
708 experiments in most building’s wall regions. An insufficient reduction of  
709 the recirculation region, associated with non-physical features, has been ob-  
710 served regarding flow reconstruction ability. It has raised the point about  
711 the lack of structuration in the forcing.

712 In order to remedy this situation, authors proceeded by refining the  
713 shape of the force with the aim to ensure some consistency with the forc-  
714 ing directly related to the Reynolds stresses. To that purpose, a pre-  
715 multiplication by the gradient of the kinetic energy favours corrections

where Reynolds stresses are likely active. In terms of objective, globally, the discrepancy with wall-pressure experiments has been reduced significantly and in a faster way, allowing us to reach a state very close to the one achieved with raw forcing. Moreover, a better retrieval of the wake features was noticed, particularly close to the ground reattachment point. Unfortunately, it still leads to an insufficient reduction of the wake.

Alternatively, correction on the transport equation of  $\epsilon$  was also considered. The modified closure produced a drastic improvement of the wake extension, associated with a moderate performance of wall-pressure reconstruction. This behaviour is well understood because acting near the turbulence closure enables the maintenance of the model structure and avoiding overfitting the wall pressure measurements. However, as the flow adjustment comes only from diffusive effects, it suggests some room for improvement with other forms of the (relaxing for instance the Boussinesq eddy viscosity assumption) distributed parameters to obtain accurate flow estimations.

With these results in mind, an intermediate solution was proposed. While maintaining the Boussinesq assumption and correcting the turbulence closure equation, an addition of a direct correction of the deformation with a premultiplication factor is considered. To go toward an efficient hybridization, control parameter forms have played a significant role here. The reconstruction results were then discussed, and the quality of the reconstruction was evaluated qualitatively and by the definition of some wall/flow validations metrics. Both the qualitative and quantitative observations have shown that the combination of a direct forcing with a simple structuring form and local closure adjustment is a promising strategy as it ensures overall a good quality to the reconstruction.

It is interesting here to point out the great improvement in the prediction of the tearing pressures, often the most important information needed for dimensioning, goes with the improvement in the prediction of the wake. Moreover, the conjunction of descent direction in  $H^1$  and gradient penalization in  $L^2$  allows us to refine the quality of the flow estimation. Besides the quality benefits on the final flow field, it was noticed that a robust DA procedure could be ensured with the addition of penalty.

This allows to consider this kind of parametrization as a potential candidate for an efficient complex flow reconstruction given the limited amount of data in hand. More so, with regards to the chosen parameters *forms*, the authors are confident that this is quite generalizable as Boussinesq assup-

tion represents one fundamental bottleneck of the RANS modelling. It is believed that this may represents an advancement over the state of the art on flow reconstruction, and it constitutes an additional step toward more challenges in wind engineering such as flow reconstructions around complex buildings or within urban cities.

## Appendix A. Closure parameters in the realizable $k - \epsilon$ RANS equations

In this appendix, closure coefficients of the realizable  $k - \epsilon$  RANS equations are detailed. First,  $\sigma_k$  (resp.  $\sigma_\epsilon$ ) is a closure constant, that allows the turbulent mixing to have a different intensity in the momentum equations than in the transport equation of kinetic energy  $k$  (resp. dissipation rate  $\epsilon$ ). Besides, in the transport equation of  $\epsilon$ ,

$$C_1 = \max \left( 0.43, \frac{\eta}{(5 + \eta)} \right) \quad (\text{A.1})$$

with

$$\eta = Sk/\epsilon \quad (\text{A.2})$$

is the normalised strain rate. The constant  $C_2$  is a closure coefficients.

Recall that the particularity of the realizable revision by Shih et al. [48] is that the coefficient  $C_\mu$  is a non uniform coefficient that depends on the mean strain-rate and ensures the realizability conditions, i.e. Schwartz inequality and the non-negativity of the diagonal Reynolds stress. It is defined by

$$C_\mu = \frac{1}{A_0 + A_s U_s \frac{k}{\epsilon}}, \quad (\text{A.3})$$

with

$$U_s = \sqrt{S_{ij}S_{ij} + \Omega_{ij}\Omega_{ij}} \quad (\text{A.4a})$$

$$S_{ij} = \frac{1}{2} \left( \frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) \quad (\text{A.4b})$$

$$\Omega_{ij} = \frac{1}{2} \left( \frac{\partial U_i}{\partial x_j} - \frac{\partial U_j}{\partial x_i} \right) \quad (\text{A.4c})$$

and

$$A_s = \sqrt{6} \cos(\phi), \quad \phi = \frac{1}{3} \arccos(\sqrt{6}W) \quad (\text{A.5a})$$

$$W = \frac{S_{ij}S_{jk}S_{ki}}{S}, \quad S = \sqrt{2S_{ij}S_{ij}}, \quad (\text{A.5b})$$

where  $S_{ij}$  and  $\Omega_{ij}$  are, respectively, the mean strain (with its magnitude  $S$ ) and rotation rates. The parameter  $A_0$  is a tuning coefficient.

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