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The Impact of Asphalt Mixture Specification Limits: A Theoretical Analysis

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Abstract

Specifications limits and tolerances are crucial in the relationships among contractor, road agency, and citizens, because they impact profits, acceptance procedures, and pavement durability. They mainly depend on processes involved and unfortunately their relationship with durability is mainly empirical and calls for further investigation. In the light of the above, the study described in this paper deals with assessing how durability and pay adjustment are affected by variations of the explanatory variables (e.g., air void content) within specification limits. A model was set up in order to assess the impact on the modulus of a bituminous mixture, which is a crucial factor for the expected life. The model was applied to a well-known set of contract specifications, in order to check for their suitability and rationale. Results demonstrate that, usually, air void-related consequences are worse than penetration-related consequences, which, in turn, outrank aggregate gradation and asphalt content-related consequences. An exception is given by the maximum size of aggregates. Furthermore, when pay adjustments build on empirical algorithms, they have to be layer-specific because the same “error” implies severer consequences in deeper layers. Results can benefit both researchers and practitioners.

36 **Introduction**

37 The dynamic modulus of a bituminous mixture (supposed to act as a linear viscoelastic material) is a complex
38 number and is an important parameter in the design procedure because it affects the expected life of asphalt
39 pavements (Colonna et al., 2012; Clyne et al. 2003). Its absolute value is calculated as the peak stress amplitude
40 (σ_0) divided by the peak amplitude of recoverable axial strain (ϵ_0 , cf. NCHRP Report 465). Stress and strain
41 distribution depend on moduli. Importantly, the impact of modulus on expected life is multifaceted because
42 many other parameters affect these relationships: 1) higher moduli may correspond to lower strains (Shahadan
43 et al., 2013); 2) at the same time they may correspond to lower fatigue cracking performance; 3) furthermore,
44 lower performance to thermal cracking is expected.

45 Many authors have proposed algorithms to estimate the dynamic modulus based on nonlinear regressions,
46 semi-empirical methods or rheological models. Input data are typical asphalt mixture parameters that refer to
47 volumetric properties, aggregate gradations, test conditions (i.e. temperature and loading frequency) and
48 asphalt binder (i.e. viscosity and percentage).

49 Among the models in the literature, the following can be listed:

- 50 i. Nielsen Model, 1970 (Nielsen 1970, Riccardi 2017), based on mortar characteristics (fine aggregates
51 + bitumen);
- 52 ii. Asphalt Institute, 1982 (Asphalt Institute 1982, Giuliana et al. 2011);
- 53 iii. Witczak - NCHRP 1-40D model, 1996 (Witczak and Fonseca 1996, Yu 2012, Riccardi 2017) or
54 Witczak-ban model, where the dynamic shear modulus and the phase angle of the asphalt binder are
55 used;
- 56 iv. Witczak 1-37A model, or Witczak-Andrei model, 1999 (Andrei et al. 1999, Yousefdoost et al. 2013,
57 cf. eq. 1);
- 58 v. Hirsch Model, 2002 (Christensen et al. 2015, Riccardi 2017), that is based on VMA, VFA and G_b ;
- 59 vi. Lee et al. 2002, based on Witczak 1-37A model;
- 60 vii. Alkhateeb Model, 2006 (Alkhateeb et al. 2006, Yousefdoost et al. 2013), that involves voids of mineral
61 aggregates, VMA, and the shear modulus of the asphalt binder, G_b ;
- 62 viii. Cho et al., 2010, where the dynamic modulus is a function similar to the one of the Witczak 1-37A
63 model, but depends linearly on p_{200} (Cho et al. 2010, Georgouli et al. 2016);

- 64 ix. Seo et al., 2013, based on Witczak 1-37A model and FWD data;
 65 x. Leiva-Villacorta et al. 2013, based on artificial neural networks and on Witczak 1-37A model;

66

67 Note that they basically refer to four main families: Nielsen, Asphalt Institute, Hirsch, and Witczak.

68 The 1999 Witczak model (1-37A, Yu 2012) is based on nonlinear regressions, derived by analyzing 205
 69 laboratory mixtures (171 unmodified asphalt binders and 34 modified binders), for a total of 2750 data points.

70 The model predicts the dynamic modulus E^* (psi) of HMA mixtures based on eight main input parameters that
 71 describe loading condition, aggregate gradation, asphalt binder characteristics and interaction with aggregates.

72

$$\log|E^*| = 3.750063 + 0.02932 \cdot \rho_{200} - 0.001767 \cdot (\rho_{200})^2 - 0.002841 \cdot \rho_4 - 0.058097 \cdot AV +$$

$$- 0.802208 \cdot \left(\frac{V_{beff}}{V_{beff} + AV} \right) + \frac{3.871977 - 0.0021 \cdot \rho_4 + 0.003958 \cdot \rho_{3/8} - 0.000017 \cdot (\rho_{3/8})^2 + 0.00547 \cdot \rho_{3/4}}{1 + e^{(-0.603313 - 0.313351 \cdot \log(f) - 0.393532 \cdot \log(\eta))}} \quad (1)$$

74

75 In the equation above, $|E^*|$ is the absolute value of the dynamic modulus [psi], (1psi = 0.0069MPa), η is asphalt
 76 binder viscosity [10 Poise = 1 Pas], f is the loading frequency [Hz], AV is the air void content [%], V_{beff} is the
 77 effective asphalt binder content [% by volume], $\rho_{3/4}$ is the cumulative % retained on the 19 mm (3/4 inch)
 78 sieve, $\rho_{3/8}$ is the cumulative % retained on the 9.5 mm (3/8 inch) sieve, ρ_4 is the cumulative % retained on the
 79 4.75 mm (No. 4) sieve, and ρ_{200} is the percentage passing through the 0.075 mm (No. 200) sieve.

80 For the viscosity, it can be assessed based on experiments or it can be predicted through models, such as the
 81 one below (Yu 2012):

82

$$\log(\eta) = 10.5012 - 2.2501 \cdot \log(Pen) + 0.00389 \log(Pen)^2 \quad (2)$$

84

85 Where η is the viscosity [Poise], Pen [0.1mm] refers to the penetration of a standard needle of 100 g, which
 86 penetrates the asphalt binder for 5 seconds.

87 Even if modulus derivation is a complex topic (Garcia and Thompson 2007, Cross et al. 2007, Esfandiarpour
 88 and Shalaby 2017, Praticò et al. 2016), Witczak model is an excellent solution for a given real mixture but, for
 89 the purpose of this paper, it is not possible to consider input parameters as “independent”. Indeed, changing a

90 single variable implies variations on other input parameters (i.e., explanatory variables). In particular: i)
91 aggregate gradation ($\rho_{3/4}$, $\rho_{3/8}$, ρ_4 and ρ_{200}) influences bulk specific gravity and apparent specific gravity of
92 aggregates (G_{sb} and G_{sa}); ii) asphalt content (P_b) and asphalt binder specific gravity (G_b) influence the
93 percentage of absorbed asphalt (P_{ba}), the volume of absorbed asphalt binder (V_{ba}), the effective asphalt content
94 (P_{be}), and the volume of effective asphalt binder content (V_{beff}); iii) P_b and G_b influence the maximum
95 theoretical specific gravity of the mixture (G_{mm}). For example, a variation of asphalt content or of the passing
96 ρ_{200} implies a change of the air voids and consequently of the dynamic modulus.

97 Based on the above, the reciprocal relationships among the explanatory variables cannot be overlooked for a
98 proper modulus estimation.

99

100 Objectives

101 In the light of the issues above, the study described in this paper aims at studying the effect of the variation of
102 the main contract variables (e.g., ρ_{200}) within the range permitted by contract specifications and tolerances.
103 This includes: i) assessing the effects on moduli, expected life and pay adjustment, based on the synergistic
104 consideration of the mutual effects among the different explanatory variables which impact estimation models;
105 ii) Proposing and applying a criterion for deriving specification limits well-grounded in logic.

106 The main tasks of this study were the following:

- 107 • Task 1: Modelling (section below). In this task, due to the interdependency among several variables
108 of the algorithm for the derivation of moduli, a model was set up to derive the value of G_{mb} based on
109 a number of inputs.
- 110 • Task 2: Derivation and discussion of model outputs. In this task, the derivation of mechanistic
111 properties, expected life and pay adjustment was carried out as a function of each contract variable.
112 Based on the equations set up, consequences in terms of pay adjustment were inferred.
- 113 • Task 3: Conclusions and recommendations (last section). In this task, analyses were carried out and
114 recommendations were derived.

115

116 Modelling

117 The Flow chart in Fig. 1 illustrates the conceptual framework to derive a layer modulus. In more detail, the
118 synergistic effect of gradation percentages and construction on volumetrics is depicted. It summarizes the path
119 towards the derivation of the modulus of a layer in terms of the Witczak model and clearly illustrates that
120 multiple phenomena of linear or non-linear correlations between couples of explanatory variables are present
121 (risk of multicollinearity). For example, P_b affects both V_{beff} and AV , which are inversely correlated.

122 Apart from the quality characteristics of asphalt binder (Pen , η) and load frequency, gradation affects ρ_i , G_{sb} ,
123 as well as G_{sa} and G_{se} . This latter affects G_{mm} (together with P_b and G_b). G_{mb} depends on G_{mm} , on P_b , and on
124 compaction effort (N). From G_{mm} and G_{mb} , AV can be derived, while based on P_b , G_b , and stone specific
125 gravities (G_{sb} , G_{se} , G_{sa}), absorbed (V_{ba} , P_{ba}) and effective asphalt binder (V_{eff} , P_{be}) can be derived.

126 The main relationships among the explanatory variables of Witczak model are summarized below (symbols
127 are explained in Fig. 1). Equations below refer to gradation and specific gravity (Sukirman 2010, Arifin et al.
128 2015, McGennis et al. 1995):

$$130 \quad \rho_{3/4} = 100 - P_{19mm} \quad (3)$$

$$131 \quad \rho_{3/8} = 100 - P_{9.5mm} \quad (4)$$

$$132 \quad \rho_4 = 100 - P_{4.75mm} \quad (5)$$

$$133 \quad G_{sb} = \frac{\sum_{i=1}^n P_i}{\sum_{i=1}^n \frac{P_i}{G_i}} \quad (6)$$

$$134 \quad G_{se} = G_{sb} + cf \cdot (G_{sa} - G_{sb}) \quad (7)$$

135

136 P_s - P_b volumetric parameters follow the well-known relationships (Al-Khateeb et al. 2006, California Test
137 Number 2010, and WAQTC TM 13 2012):

138

$$139 \quad P_s = 1 - P_b \quad (8)$$

$$140 \quad G_{mm} = \frac{1}{\left(\frac{P_s}{G_{se}}\right) + \left(\frac{P_b}{G_b}\right)} \quad (9)$$

$$141 \quad P_{ba} = \frac{G_{se} - G_{sb}}{G_{sb} \cdot G_{se}} \cdot G_b \quad (10)$$

$$142 \quad V_{ba} = \frac{\frac{P_{ba} \cdot P_s}{G_b \cdot G_{mb}}}{100}$$

$$143 \quad (11)$$

$$144 \quad V_{ba} = \frac{100 \cdot P_s \cdot \frac{1 - G_{se}}{100}}{\frac{P_b}{100} \cdot G_b + \frac{P_s}{100} \cdot G_{se}} \cdot 100 \cdot \left(\frac{1}{G_{sb}} - \frac{1}{G_{se}} \right) \quad (12)$$

$$145 \quad P_{be} = P_b - P_{ba} \cdot P_s \quad (13)$$

$$146 \quad V_{beff} = \frac{P_{be} \cdot G_{mb}}{G_b} \quad (14)$$

$$147 \quad AV = \frac{G_{mm} - G_{mb}}{G_{mm}} \quad (15)$$

$$148 \quad VMA = 100 - \frac{G_{mb} - P_s}{G_{sb}} \quad (16)$$

$$149 \quad VFA = \frac{VMA - AV}{VMA} \cdot 100 \quad (17)$$

$$150 \quad VFA = \frac{\frac{P_{be}}{G_b}}{\frac{VMA}{G_{mb}}} \cdot 100 \quad (18)$$

151

152 It is worth noting that equations above depend on G_{mb} . This latter should be measured on the given bituminous

153 mixture of given G_{se} , G_b , P_b .

154 For G_{mb} , based on the literature, two main synergistic effects must be considered, i.e., compaction and asphalt
155 binder content. Low P_b values do not permit a proper lubrication of particle contacts. It follows that a proper
156 packing is hindered and G_{mb} is reduced.

157 Higher asphalt binder contents allow optimizing the packing of particles and achieving higher values of G_{mb} .
158 If P_b exceeds a given optimal content, the lubrication effect is not anymore the most relevant factor because
159 the excessive amount of asphalt binder tends to decrease the overall G_{mb} and G_{mm} , which both tend towards
160 G_b .

161 At the same time, for a given asphalt content, higher compaction efforts imply higher G_{mb} and lower air voids
162 content, AV.

163 Compaction efforts (e.g., number of passes) do not increase the G_{mb} indefinitely, this latter approaching a given
164 asymptotic value.

165 Based on the above, from a predictive standpoint, the following equation is herein set up:

166

$$167 \quad G_{mb} = \left[a \left(\frac{P_b}{100} \right)^2 + b \left(\frac{P_b}{100} \right) + c \right] \cdot [d + (1 - d) \exp(N\% - 1)] \quad (19)$$

168

169 Where a, b, c and d are coefficients to calibrate, N% is the compaction energy expressed in terms of number
170 of passes with respect to the “refusal” value (it ranges from 0 to 1). The factor containing P_b refers to the effect
171 of bitumen percentage on specific gravity. The factor containing d refers to compaction and ranges from 0 to
172 1. Consequently, d ranges from $-[\exp(1)-1]^{-1}$ to 1. For a given P_b , AV tends to its maximum value when N%
173 tends to 0. In contrast, AV tends to its minimum, when N% tends to its maximum (1).

174 In Fig. 2 a dense-graded mix (Bulletin 27) and an open-graded mix containing ferrite (Peinado et al. 2014) are
175 fitted through equation 19.

176 Based on the synergistic derivation of the volumetric indicators, moduli were derived through eq. (1) (Cf. Fig.
177 1, Yu 2012).

178 Poisson coefficients were derived based on the literature (Rojas et al. 1998, Popovics 2008, Maher and Bennert
179 2008, Xiao 2009, Pezzano 2009, Houben 2009, Jung et al. 2012, Ghadimi et al. 2013, Hanifa et al. 2015).

180 For the derivation of the expected life, the software KenLayer was used (Huang 2004). This software analyzes
181 pavements based on multi-layer elastic theory under a circular loaded area. KenLayer can be applied to layered
182 systems under single, dual, dual-tandem, or dual-tridem wheels. To analyze pavements using KenLayer
183 software, the inputs required are section, geometry, material properties and wheel load. The main outputs are
184 stresses, deflections, and design life. Damage analysis can be made by dividing each year into a maximum of
185 12 periods, each with a different set of material properties. The geometry and moduli of the pavement are
186 reported in Fig. 3 and Table 1 and, in order to obtain an as-design life of 20 years, a traffic load of about 15
187 million TNRL (total number of load repetitions for each load group during each period) is considered.
188 Authors are aware of: 1) the dependence of outputs on traffic configurations, pavement structures, and local
189 environment; 2) the dependence of moduli on the given algorithm; 3) the existence of different versions of the
190 Witczak model, intended to adapt this latter to porous asphalt concretes (Giuliana et al. 2011, Geourgouli et
191 al. 2016).

192 To this end, it is worth noting that the analyses carried out in this study aim at highlighting the impact of
193 specification limits on expected life under given hypotheses.

194

195 ***Cost Modelling***

196 The expected life is a key-factor in the Life Cycle Cost Analyses (LCCA or LCC) of the pavement. LCCA is
197 a process for evaluating the total economic worth of a usable project segment by analyzing initial costs and
198 discounted future cost, such as maintenance, user, reconstruction, rehabilitation, restoring, and resurfacing
199 costs, over the life of the project segment (Walls and Smith 1998). Minimizing the pavement life cycle costs
200 will increase the sustainability of the pavement system (Praticò 2016). LCCA includes agency costs (AC),
201 which include initial preliminary engineering, contract administration, construction supervision and
202 construction costs, as well as future routine and preventive maintenance, resurfacing and rehabilitation costs,
203 salvage values, and sunk costs.

204 ACs affect the present value (PV) of agency costs (i.e. the future amount of expenses, discounted to reflect the
205 current value, Praticò 2016). The difference between the PV referred to the rehabilitation works of the design
206 pavement and the PV referred to the modified pavement (i.e., changing percentage of mix aggregates,
207 percentage of asphalt binder or its penetration) is the pay adjustment (PA), which is defined as “the actual

208 amount, either in dollars or in dollars per area/weight/volume, which is to be added or subtracted to the
209 contractor's bid price or unit bid price" (Hughes et al. 2011).

210 For PV, the following equations were applied:

211

$$212 \quad PV_{REH} = C_{REH} \frac{(R^D - R^{EXL})}{1 - R^D} \quad (20)$$

$$213 \quad PV_{RES} = C_{RES} \frac{R^O}{1 - R^D} \quad (21)$$

214

215 Where:

216 - R is the ratio between (1+i) and (1+r), where, for example, i=0.04 (inflation rate) and r=0.08 (interest
217 rate).

218 - C_{REH} refers to costs occurred in successive rehabilitations [€/m²].

219 - C_{RES} refers to costs occurred in successive resurfacings [€/m²].

220 - D is the expected life of the as-design pavement [years]. In more detail, D is the minimum expected
221 life of the different layers of the pavement. D does not take into consideration the friction course (which will
222 usually undergo its failure in a time that is smaller than D);

223 - EXL=EREH is the expected life of the as-constructed pavement [years]. EXL corresponds to D (but it
224 refers to the as-built pavement), in the sense that EXL does not take into consideration what happens to the
225 friction course;

226 - O=EXLFC=ERES is the expected life of the as-constructed friction course [years]. In other words, it
227 is the time between two successive resurfacings (FC, typically 10 years).

228 In the next figures (results of the analysis), the ratios PA_{REH}/C_{REH} and PA_{RES}/C_{RES} were used. If these ratios are
229 positive, they represent a bonus. If not, they represent a penalty. Finally, it is worth pointing out that: 1) in
230 this case, due to the nature of the simulation (carried out on the "boundaries", specification limits), random
231 sampling through Monte Carlo simulation was not used (Wu et al. 2017). 2) even if pay factors based on
232 expected life are complex to apply, their use is here needed in the pursuit of objectives.

233

234 **Simulations and discussion**

235 In the pursuit of the objectives stated above, the pavement structure in Fig. 3 and Table 1 was considered (As-
236 Design Pavement). Additionally, in Table 1, reference moduli and thicknesses gathered from the literature are
237 reported.

238

239 ***Friction Course (FC)***

240 This section deals with the effects deriving from the variation of the main characteristics of FC (Porous Asphalt
241 Concrete, PAC, cf. Fig. 4 to Fig. 6).

242 X-axes refer to the parameter under investigation (i.e., parameter which is supposed to vary in a certain range
243 but that may undergo variations outside the specification limits).

244 Y-axes refer: i) to the expected life of the pavement, EXL (i.e., by referring to rehabilitations). Usually the
245 cement-treated base course was the cause of the pavement failure; ii) to the pay adjustment of the pavement
246 (part referred to rehabilitations, PA_{REH}) expressed as a percentage of the corresponding cost (C_{REH}), i.e.,
247 PA_{REH}/C_{REH} , eq. (20). It is noted that for the case under investigation C_{REH} includes the cost of friction course,
248 binder course, base course, and cement-treated base course; iii) to the pay adjustment for resurfacing (PA_{RES}),
249 expressed as a percentage of the corresponding cost (C_{RES}), i.e., PA_{RES}/C_{RES} , eq. (21). Note that C_{RES} refers to
250 the cost of the FC.

251 $P_{3/4}$ (Fig. 4-A) refers to the passing through the 3/4-inch sieve, which corresponds to $100-\rho_{3/4}$, where $\rho_{3/4}$ is the
252 cumulative percentage retained on the 19 mm (3/4 inch) sieve (cf. eq. 1).

253 Note that the default value is $P_{3/4}=100$, which corresponds to an EXL of 20 years and to a PA% of zero. Lower
254 values imply higher expected lives (Fig. 4-A, left y-axis) and then positive PAs ($<1\%$, Cf. Fig. 4-A, right y-
255 axis).

256 Fig. 4-B refers to the effects of nonconformities of $\rho_{3/8}$ (9.5mm sieve), where the default value is $P_{3/8}= 37.5\%$
257 (that corresponds to a value of 62.5% for ρ_{38} and to $EXL=20$, $PA_{REH}=0\%$, $PA_{RES}=0\%$). Higher values imply a
258 reduction of the expected life (negative PA_{REH} and PA_{RES}), while lower values may imply an increase ($P_{3/8}\approx 13$ -
259 20) or a relative decrease ($P_{3/8}\approx 20$ -38, approximately). Note that if $P_{3/8}$ is higher than about 20% (and lower

260 than the default value) PA_{REH} and PA_{RES} are positive (bonus), while for $P_{3/8}$ close to 20%, both PA_{REH} and
261 PA_{RES} approach a maximum (optimal condition).

262 Fig. 4-C focuses on ρ_4 (4.76 mm sieve) with a default value of $P_4=18.5\%$ (that corresponds to a cumulative %
263 retained of 81.5 for ρ_4). Higher values of P_4 ($>18.5\%$) imply an increase of expected life, PA_{REH} , and PA_{RES} .
264 Instead, for lower values of P_4 ($< 18.5\%$), expected life, PA_{REH} , and PA_{RES} are reduced.

265 Fig. 5-A refers to the effects of nonconformities of p_{200} (% passing through the 0.075 mm sieve), with a default
266 value of $P_{200}=p_{200}=10\%$.

267 Expected life, PA_{REH} and PA_{RES} have a “weak” parabolic behavior, with maxima corresponding to 8%. It is
268 interesting to note that for passing percentages higher than 18%, the expected life shows a sharp reduction,
269 with values of 0.1 years. This type of nonconformity corresponds to the failure of the friction course.

270 Fig. 5-B refers to the effects of asphalt binder penetration, where the default value is 60 (0.1 mm).

271 Expected life, PA_{REH} , and PA_{RES} have a nonlinear behavior. In particular, for penetrations higher than 60, the
272 expected life is lower and PA_{REH} and PA_{RES} have a negative sign. On the contrary, for penetrations lower than
273 60, the expected life is higher and PA_{REH} and PA_{RES} become positive.

274 Fig. 5-C focuses on asphalt binder percentage and its effect on expected life (y-axis, left), $PA_{REH}\%$ (y-axis,
275 right), and $PA_{RES}\%$ (y-axis, right). Note that asphalt binder percentages higher than the default value ($=4.76$)
276 imply higher EXL and positive PAs, and *vice versa*. Importantly, the model seems to underestimate rutting
277 and plastic deformations for asphalt binder percentages which exceed 8-10%.

278 Fig. 6-A illustrates the EXL and the PA compared to air voids (AV). The curves are monotonically decreasing
279 and higher values of AV imply lower values of EXL and of PA. In particular, for AV higher than about 22%,
280 pavement failure is due to the breaking of the friction course. Instead, for AV close to 7%, the failure occurs
281 in the base course.

282

283 ***Binder Course (BIC)***

284 This section deals with effects (on the pavement) caused by BIC characteristics (Figures 4-6).

285 Fig. 4-D refers to $100-\rho_{3/4} = P_{3/4}$.

286 In the case of the BIC, the default $P_{3/4}$ is 78%. Higher values of passing ($> 78\%$) imply a reduction of expected
287 life, of PA_{REH} , and PA_{RES} . Instead, for lower values ($< 78\%$), the expected life, PA_{RES} , and PA_{RES} are higher.

288 Fig. 4-E focuses on 9.51 mm sieve, with a default value of 57.5% (that corresponds to a cumulative % retained
289 of 42.5 for $p_{3/8}$). Unlike the case of the friction course, the behavior of the expected life, of PA_{REH} , and PA_{RES}
290 is linear and without maxima. In particular, higher values ($> 57.5\%$) imply a reduction of expected life, PA_{REH} ,
291 and PA_{RES} . Instead, for lower values ($< 57.5\%$), the expected life, PA_{RES} , and PA_{REH} are higher.

292 Fig. 4-F focuses on P_4 (4.76 mm sieve) with a default value of 42.5% (that corresponds to a cumulative %
293 retained of 57.5 for p_4). The linear behavior of expected life, PA_{REH} , and PA_{RES} is similar to the one for the
294 case of the friction course, where higher values of passing ($> 42.5\%$) imply the increase of expected life,
295 PA_{REH} , and PA_{RES} . Instead, for lower values ($< 42.5\%$), EXL, PA_{RES} , and PA_{RES} decrease.

296 Fig. 5-D refers to the effects of nonconformities of p_{200} (0.075 mm sieve), where the default value is 6 %.
297 Expected life, PA_{REH} and PA_{RES} have a parabolic behavior, with maxima corresponding to 8%. In particular,
298 in the range 6-10%, EXL is higher than 20 years, and PA_{REH} and PA_{RES} are positive. In contrast, in 0-6% and
299 10-16%, EXL decreases, while PA_{REH} and PA_{RES} are negative.

300 Fig. 5-E refers to the effects of asphalt binder penetration, where the default value is 90 (0.1 mm).

301 Expected life, PA_{REH} and PA_{RES} have a trend that is similar to the one of the friction course (Fig. 5-B).

302 Fig. 5-F refers to the variation of the asphalt binder percentage, with a default value of 4.5%. For a value of P_b
303 higher than 1.5%, the expected life varies in the range 16-21 years. Instead, for a P_b lower than 1.5%, expected
304 life and pay adjustment have a sharp reduction.

305 Regarding the binder course (see Fig. 6-B), the trend of EXL and PA with respect to the air void is similar to
306 the one observed for the friction course, with a monotonically decreasing behavior. It is interesting to note that
307 for values of AV higher than 18% the failure of the pavement is due to the BIC. In contrast, if AV is in the
308 range 12.5-18%, the BAC undergoes a premature failure. Finally, if AV is lower than 12.5%, the failure is due
309 to the cement-treated course (CT).

310

311 **Base Course (BAC)**

312 Fig. 4-G focuses on $p_{3/4}$ ($100-P_{3/4}$). In the case of BAC, the default value of the percentage passing through the
313 19 mm sieve is 72% and the behavior is similar to the one of BIC.

314 Fig. 4-H refers to $P_{3/8}$ (9.51 mm sieve) with a default value of $P_{3/8}=52.5\%$ (that corresponds to a cumulative %
315 retained of 47.5 for $\rho_{3/8}$). Like the case of the friction course, the behavior of the expected life, of PA_{REH} , and
316 PA_{RES} is nonlinear with maxima at 45.5%.

317 Fig. 4-I focuses on 4.76 mm sieve with a default value of $P_4=40\%$ (that corresponds to a cumulative % retained
318 of 60 for ρ_4) and the linear behavior of expected life, PA_{REH} , and PA_{RES} is similar to the one of the friction
319 course and of the binder course.

320 Fig. 5-G refers to the effects of nonconformities of ρ_{200} (0.075 mm sieve), where the default value is 5.5%.
321 Expected life, PA_{REH} , and PA_{RES} have a parabolic tendency, with maxima corresponding to 8%.

322 Fig. 5-H focuses on the effects of asphalt binder penetration, with a default value of 90 (0.1 mm).
323 Expected life, PA_{REH} and PA_{RES} have a nonlinear behavior with maxima at about 70. It is interesting to note
324 that between 50 and 70, PA_{REH} , and PA_{RES} increase. In contrast, for penetrations higher than 90, expected life,
325 PA_{REH} and PA_{RES} decrease and the damaged layer is the CT (PA_{REH} and PA_{RES} are negative). For penetrations
326 in the range 70-90, EXL decreases but PA_{REH} and PA_{RES} are positive and the failure is still occurring in the
327 base course.

328 Fig. 5-I refers to the variation of the asphalt binder percentage. For a value of P_b higher than 2%, the expected
329 life varies into the range 16-21 years. In contrast, for a value of P_b lower than 2%, expected life and pay
330 adjustment have a sharp reduction.

331 Fig. 6-C refers to the effect of air voids on EXL and on PA. The trend shows a maximum corresponding to
332 $AV=4.5\%$. In particular, for AV between 1.7% and 4.5%, EXL and PA increase and the pavement failure is
333 due to the BIC. When AV is in the range 4.5-12.2%, EXL and PA decrease and the failure occurs in the CT.
334 Finally, if AV is higher than 12.2%, EXL and PA continue to decrease, but the pavement failure is due to the
335 BAC.

336 It seems relevant to observe that in some cases EXL has a convex behavior instead of a linear one (e.g., BIC
337 penetration). This happens, for example, when considering the consequences deriving from nonconformities
338 of asphalt binder penetration in BIC or in BAC (cf. Fig. 5-E and Fig. 5-H, respectively).

339 The rationale behind this convexity is a change in the layer that prematurely fails.

340 For example, Fig. 7 (A and B) illustrates how the EXL of the pavement (y-axis, which usually derives from
341 the EXL of the deep layers, e.g., unbound base, CT, and BAC) varies when there is a defect of the grade of the
342 asphalt binder (x-axis), with respect to the as-design value (6mm for the FC, 9mm for BAC and BIC).

343 X-axes refer to the difference between real and as-design penetration.

344 Fig. 7 (A and B) points out a different behavior for low values of penetration: linearity, in the case of the binder
345 course (Fig. 7-B, BIC), versus convexity in the case of the base course (Fig. 7-A, BAC).

346 The rationale behind the difference above is that very low penetration values in the BAC imply a premature
347 failure of BAC over CT (see Fig. 7-A). In turn, this fact implies the transition from the straight line of the CT
348 to the straight line of the BAC, which implies the convexity. Importantly, this does not happen for the BIC and
349 for the FC.

350

351 **Sensitivity analysis**

352 The analysis of the sensitivity of longevity and costs with respect to the variations of the primary variables is
353 here carried out through two innovative parameters (cf. Fig. 8 and Table 2).

354 To this end, note that the expected life of the pavement, EXL, varies when the given indicator (or explanatory
355 variable, e.g., AV), varies between the upper and the lower specification limits (USL, LSL, respectively); for
356 example:

357

$$358 \quad EXL = EXL(AV) \quad (22)$$

359

360 As is well known, two types of specification limits can be pointed out: i) LSL_{JMF} and USL_{JMF} , i.e., lower and
361 upper specification limit referred to the job mix formula (e.g., 4.75% and 5.25% in terms of asphalt binder
362 percentage); ii) LSL_{specs} and USL_{specs} , i.e., lower and upper specification limit referred to contract requirements
363 specifications for the given layer (e.g., 4.5% and 5.5%, in terms of asphalt binder percentage).

364 Usually, LSL_{specs} and USL_{specs} are very general and are a starting point for the job mix formula and for the
365 corresponding LSL_{JMF} and USL_{JMF} .

366 Table 2 refers to the consequences of the variations of the given indicator, (e.g., AV) within the specification
 367 limits, expressed through two parameters herein setup, i.e., ΔY (in terms of years) and ΔPA (in terms of pay
 368 adjustment). ΔY is the maximum variation of the expected life (years) of the pavement, for a given couple of
 369 specification limits (LSL, USL) of the given indicator. For example:

$$371 \quad \forall I \in (LSL, USL) \quad \Delta Y = \max EXL - \min EXL \quad (23)$$

372
 373 Furthermore, ΔPA is defined as follows:

$$375 \quad \Delta PA = |\max(AV) - \min(AV)| \quad (24)$$

376
 377 ΔPA expresses the sensitivity of the ratio PA/C (where $PA/C = (PA_{RES} + PA_{REH}) / (C_{RES} + C_{REH})$, [%]) to possible
 378 variations in the given indicator (e.g., AV). It depends on the corresponding gap in terms of expected lives.

379 Table 2 summarizes the results (ΔY and ΔPA) obtained by considering variations of gradation ($\rho_{3/4}$, $\rho_{3/8}$, ρ_4 ,
 380 ρ_{200}), asphalt content (P_b), asphalt binder penetration (Pen), or air voids content (AV), for each single
 381 bituminous layer (FC, BIC, or BAC). Table 2 summarizes the results obtained for ΔPA and ΔY .

382 For example, if the AV of BAC varies in the range 5.5 ± 2.5 %, then this implies variations lower than 1.71
 383 years (EXL) and lower than 5.60% (PA/C).

384 By referring to the accepted range of variation with respect to the job mix formula (i.e., LSL_{JMF} , USL_{JMF}), note
 385 that negligible consequences are expected in terms of both expected life (< 1 year) and PA/C (< 3%). Indeed,
 386 for SL_J , based on results in Table 2, note that: i) for nonconformities of $\rho_{3/4}$, $\rho_{3/8}$, ρ_4 for the deepest bituminous
 387 layer, BAC, ΔY ranges from 0.43 to 1.10 years; ii) For $\rho_{3/4}$, $\rho_{3/8}$, ρ_4 and for BIC, ΔY ranges from 0.16 to 0.36
 388 years; iii) For $\rho_{3/4}$, $\rho_{3/8}$, ρ_4 and for FC, ΔY ranges from 0.06 to 0.16 years; iv) for ρ_{200} , the sensitivity ranges
 389 from 0.11 to 0.73, as a function of the layer involved; v) P'_b has similar consequences.

390 By referring to the accepted range of variation with respect to the general contract (LSL_{specs} , USL_{specs}), note
 391 that consequences may emerge greater than 4 years. In more detail: i) the sensitivity to the penetration grade

392 ranges from half a year to about two years (0.53 and 1.63 respectively); ii) the deeper the layer is, the higher
393 the consequences are. Consequently, it is recommended to shorten the $USL_{JMF}-LSL_{JMF}$ accordingly.

394 Importantly, for the layer FC and the indicator AV, note that: i) a range $USL_{specs}-LSL_{specs} = 8\%$ is considered;
395 ii) for $AV=LSL=18\%$, the expected life of the pavement is 21.3 years and the expected life of the FC is much
396 higher; iii) for $AV=USL=26\%$, the expected life of the friction course is would appear negligible, while the
397 expected life of the pavement is 18.9 years because of the cement-treated failure. At the same time, if the FC
398 were replaced, the evolution of the distress of the CT would be different and a higher expected life would be
399 expected. Consequently, the corresponding sensitivities in Table 2 (2.34 years and 23%, respectively) are
400 affected by this interpretation issue.

401 Fig. 8 summarizes the effects of P_b , gradation (AG), asphalt binder penetration (Pen), and air voids content
402 (AV), in terms of years (ΔY) and penalties ($\%, \Delta PA$). Fig. 8 highlights how the maximum value of ΔPA is
403 11.35% for the case of BAC (varying $\rho_{3/4}$).

404 The corresponding sensitivity in terms of years is 3.59 years (ΔY). Importantly, this fact emphasizes that the
405 specification limits in the area of the maximum aggregate size should have stricter specifications.

406

407 **Conclusions and summary**

408 Asphalt concrete contracts state that construction main variables (e.g., air voids content, AV) must comply
409 with limits (contract specification limits, SL, USL_{specs} , LSL_{specs}). Furthermore, if a Job mix formula is approved,
410 each variable must comply with ever narrower limits (J-specification limits, USL_{JMF} , LSL_{JMF}).

411 The study described in this paper deals with the assessment of the effects of these permitted variations on
412 expected life and pay adjustment.

413 To this end, equation 19 and 22-24 were herein set up.

414 Moduli were derived through the Witczak model, the expected life of pavement by the KenPave software, and
415 pay adjustment by equations 20 and 21. For the as-design pavement (Fig. 3), based on the proper consideration
416 of material characteristics and traffic, an expected life of 20 years was obtained.

417 The method herein set up builds on mechanics even if the numerosity and complexity of interactions involves
418 the need for a careful calibration. Based on results, the following conclusions can be drawn:

- 419 i) Usually, AV-related consequences are worse than Pen-related consequences, which, in turn,
420 outrank AG- and P_b -related consequences. An exception is given by the maximum size of
421 aggregates.
- 422 ii) Except that for $\rho_{3/4}$, the variations of the aggregate gradation (AG) within the JMF-
423 specification limits (where JMF stands for job mix formula) have no relevant influence on
424 expected life and on pay adjustment, which vary at most of ± 0.5 year and $\pm 1.6\%$, respectively.
425 Even extending the variations of the aggregate gradation to 3 times the specification limits,
426 the effects in terms of expected life appear irrelevant, except that for the pay adjustment of the
427 BAC, which varies in the range $[-5.2\%, +4.6\%]$ for the sieve $\rho_{3/4}$, in the range $[-2.3\%, +1.0\%]$
428 for the sieve $P_{3/8}$, in the range $[-5.1\%, +5.0\%]$ for the sieve ρ_4 , and in the range $[-5.2\%, +0.9\%]$
429 for the sieve ρ_{200} . Furthermore, the failure of the pavement is due to the CT.
- 430 iii) In terms of percentage of asphalt binder is it possible to observe that:
- 431 a) A variation of the asphalt binder percentage of ± 3 times the J-tolerance in FC, BIC and
432 BAC implies no significant variations of EXL and PA.
- 433 b) On the contrary, AV variations imply a sharp reduction of the EXL.
- 434 iv) Higher values of the penetration of asphalt binder in FC, BIC and BAC imply a reduction in
435 terms of expected life and pay adjustment, according to a monotonous trend. The failure of
436 the pavement is always due to the breaking of cement-treated layer, except when penetration
437 of BAC asphalt binder is lower than 3 times the tolerance with respect to the as-design value.
438 In that case the failure of the pavement is due to the BAC.
- 439 v) Variations of air voids in $\pm 3JT$ (where $USL_{JMF}-LSL_{JMF}=2JT$) have a strong influence on each
440 layer. Values of AV, added of 3 times the tolerance, imply a great reduction of PA. The most
441 critical situation occurs for the BIC, which causes the failure of the pavement in less of 2 years
442 and reduces the PA of -92%.
- 443 vi) Sensitivity analysis confirms that AV is the most relevant parameter for the expected life and
444 for the pay adjustment of the pavement, with an ΔY , sensitivity in years, of 2.34 years and an
445 ΔPA , sensitivity in pay adjustment, of 23% for the FC.

446 vii) When contract-related limits SL_C (instead of SL_J) are considered, it turns out that
447 consequences are severer (1-3 times greater). This originates from being C-specification limits
448 wider than J-specification limits.

449

450 Results of this study demonstrate that small variations (i.e., $\pm JT$) of asphalt binder content or asphalt binder
451 penetration do not have a relevant impact on expected life and on pay adjustment. This statement is no more
452 valid if the variations concern the air void or $\rho_{3/4}$ or if they become “high” (i.e., $\pm 3JT$). In those cases, pavement
453 could fail in a very short time.

454 Furthermore, it is assessed that when pay adjustments build on empirical algorithms, they have to be layer-
455 specific because the same “error” implies severer consequences in deeper layers.

456 Further research will investigate the effect on EXL and PA varying concurrently and how the results of this
457 investigation are affected by the design model used.

PROOF

458 **Notation list**

459 The following symbols are used in this paper:

460 AG = aggregate gradation;

461 ANAS = ANAS contract specifications;

462 AV = air void content [%];

463 BAC = base course;

464 bBAC = asphalt binder percentage for the base course;

465 BIC = binder course;

466 C_{REH} = costs of successive rehabilitations [€/m²];

467 C_{RES} = costs of successive resurfacings [€/m²];

468 CT = sub-base (cement-treated base course);

469 D = 20, expected life of the as-design pavement [years];

470 E = E* = dynamic modulus [MPa];

471 |E*| = dynamic modulus [psi], (1psi = 0.0069MPa);

472 EBAC = dynamic modulus of the base course [MPa];

473 EXL = EREH = expected life of the as-constructed pavement [years];

474 f = loading frequency [Hz];

475 FC = friction course (porous asphalt concrete);

476 G_b = asphalt binder specific gravity;

477 G_{mb} = bulk specific gravity of the compacted mixture;

478 G_{mm} = maximum theoretical specific gravity of the mixture;

479 G_{sa} = apparent specific gravity of the aggregate;

480 G_{sb} = bulk specific gravity of the aggregate;

481 G_{se} = effective specific gravity of the aggregate;

482 I = indicator;

483 i = 0.04, inflation rate;

484 ΔPA = sensitivity of the ratio PA/C in terms of %;

485 ΔPA_{JMF} = sensitivity of the ratio PA/C in terms of % referred to the Job mix formula;

486 ΔPA_{specs} = sensitivity of the ratio PA/C in terms of % referred to contract requirements;

487 ΔY = sensitivity of EXL in terms of years;

488 ΔY_{JMF} = sensitivity of EXL in terms of years referred to the Job mix formula;

489 ΔY_{specs} = sensitivity of EXL in terms of years referred to contract requirements;

490 JMF = Job mix formula;

491 JT = job mix formula tolerance;

492 LCCA = Life Cycle Cost Analyses of the pavement;

493 LSL = lower specification limit;

494 LSL_{specs} = lower specification limit (contract requirements for the given layer);

495 LSL_{JMF} = lower specification limit (job mix formula);

496 N = compaction energy expressed in terms of number of passes with respect to the “refusal” value (0 to 1);

497 O = EXLFC = ERES = expected time of the as-constructed friction course (FC, typically 10 years);

498 P_b = asphalt content (percent by mass of total mix);

499 P'_b = asphalt content (percent by mass of aggregate);

500 $P_{3/4}$ = passing at the 3/4-inch sieve, which corresponds to $100 - p_{3/4}$;

501 $P_{3/8}$ = passing at the 3/8-inch sieve;

502 P_4 = passing at the 3/8-inch sieve;

503 PA = pay adjustment;

504 $PA/C = (PA_{\text{RES}} + PA_{\text{REH}}) / (C_{\text{RES}} + C_{\text{REH}})$, [%];

505 PAC = Porous Asphalt Concrete;

506 PA_{REH} = pay adjustment for rehabilitation;

507 PA_{RES} = pay adjustment for resurfacing;

508 P_{ba} = percent of absorbed asphalt (by total weight of aggregate);

509 P_{be} = effective asphalt content [%];

510 Pen = asphalt binder penetration [0.1mm].

511 PV = present value of agency costs;

512 R = ratio between (1+i) and (1+r);

513 r, i = interest rate, inflation rate;

514 SL_{specs} = specification limit (contract requirements);
515 SL_{JMF} = specification limit (job mix formula);
516 SUB = subgrade;
517 t = thickness;
518 UB = compacted subgrade;
519 USL = upper specification limit;
520 USL_{specs} = upper specification limit (contract requirements for the given layer);
521 USL_{JMF} = upper specification limit (job mix formula);
522 V_{ba} = volume of absorbed asphalt binder [%];
523 V_{beff} = effective asphalt binder content [% by volume];
524 V_{beff} = effective asphalt binder content [% by volume];
525 α = coefficients to calibrate;
526 β = coefficients to calibrate;
527 γ = coefficients to calibrate;
528 η = asphalt binder viscosity [10^6 Poise = 10^5 Pas];
529 ρ_{200} = passing percentage the 0.075 mm (No. 200) sieve;
530 $\rho_{3/4}$ = cumulative % retained on the 19 mm (3/4 inch) sieve;
531 $\rho_{3/8}$ = cumulative % retained on the 9.5 mm (3/8 inch) sieve;
532 ρ_4 = cumulative % retained on the 4.75 mm (No. 4) sieve;

533
534
535

536 **Data Availability Statements**

537

538 Data generated or analyzed during the study are available from the corresponding author by request.

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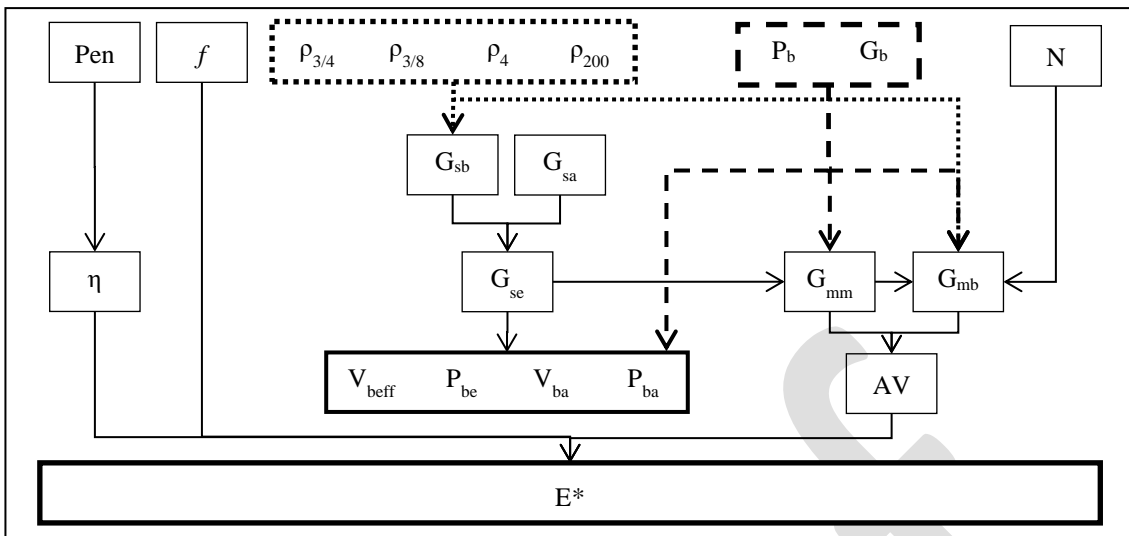
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721

722 **Figures**



723

724 **Fig. 1.** Main variables that affect a bituminous layer modulus

725 Symbols. ρ_{200} : % Passing the 0.075 mm (No. 200) sieve; ρ_4 : Cumulative % retained on the 4.75 mm (No. 4) sieve; $\rho_{3/8}$:
 726 Cumulative % retained on the 9.5 mm (3/8 inch) sieve; $\rho_{3/4}$: Cumulative % retained on the 19 mm (3/4 inch) sieve; f :
 727 Loading frequency [Hz]; Pen: asphalt binder penetration [0.1 mm]; η : asphalt binder viscosity [10^6 Poise]; P_b : Asphalt
 728 content (percent by mass of total mix); G_b : Asphalt binder specific gravity; G_{mb} : Bulk specific gravity of the compacted
 729 mixture; G_{sb} : Bulk specific gravity of the aggregate; G_{sa} : Apparent specific gravity of the aggregate; G_{se} : Effective
 730 specific gravity of the aggregate; G_{mm} : Maximum theoretical specific gravity of the mixture; P_{ba} : Percent of absorbed
 731 asphalt (by total weight of aggregate); P_{be} : Effective asphalt content [%]; AV: Air void content [%]; V_{beff} : Effective
 732 asphalt binder content [% by volume]; V_{ba} : Volume of absorbed asphalt binder [%]; E^* : Dynamic modulus; N:
 733 compaction energy (in percentage with respect to the maximum value).

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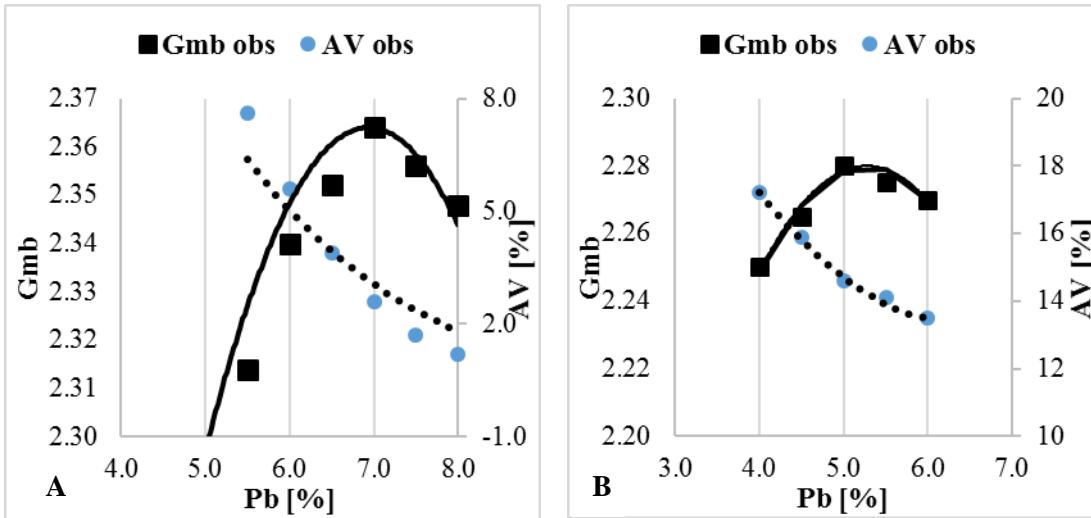
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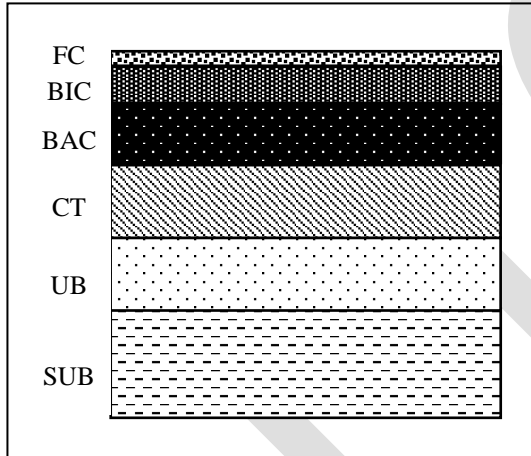


742

743 **Fig. 2.** Example of prediction of AV and Gmb based on eq. 19 for a dense-graded mix (A) and an open-
 744 graded mix (B).

745 Symbols. AV: air content; AVObs: observed values of air content, Pb: Asphalt content (percent by mass of total mix);
 746 Gmb: Bulk specific gravity of the compacted mixture; GmbObs: observed values of bulk specific gravity of the compacted
 747 mixture.

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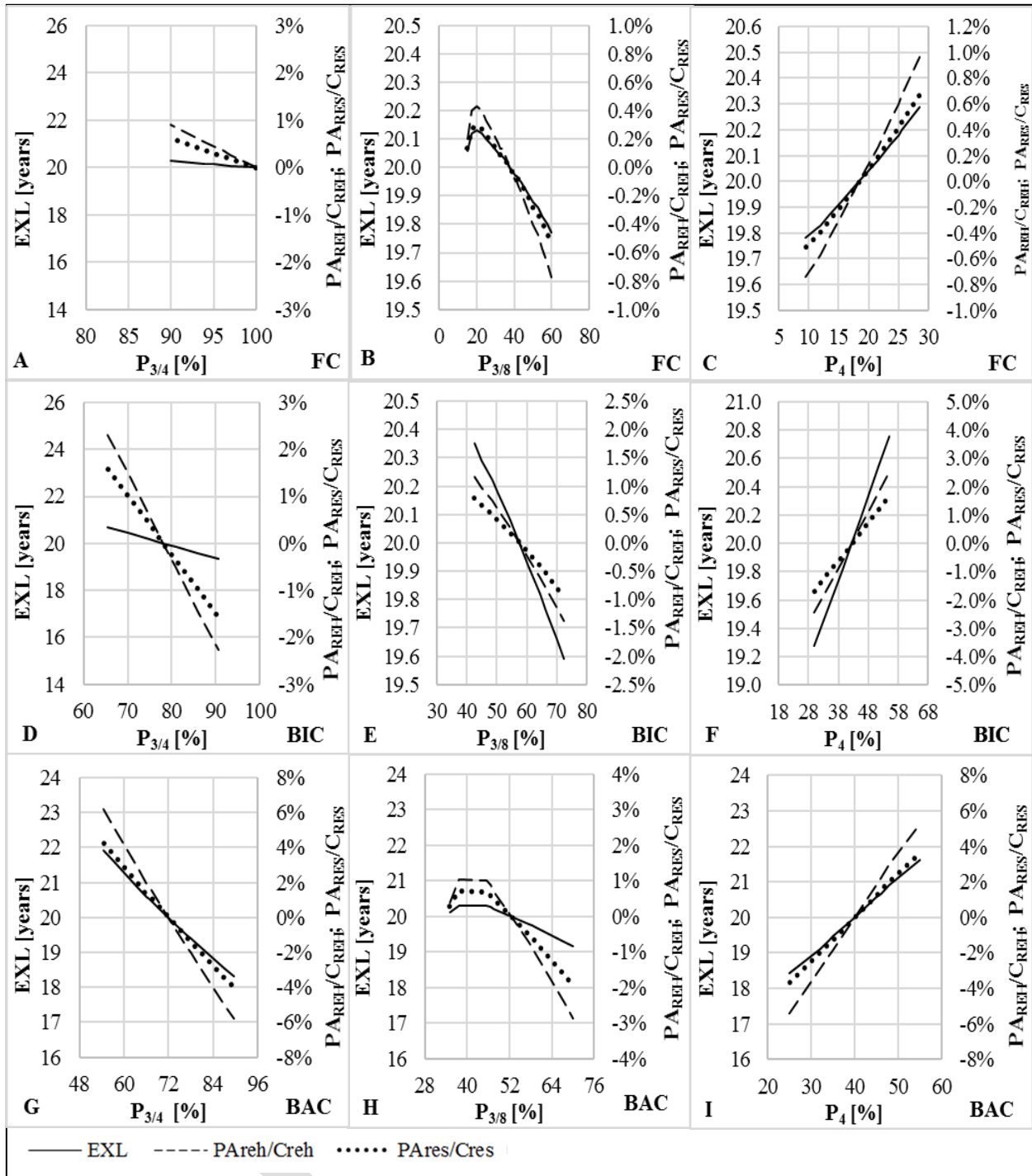


749

750 **Fig. 3.** As design pavement (scheme)

751 Symbols. FC: friction course (porous asphalt concrete); BIC: binder course; BAC: base course; CT: sub-base (cement-
 752 treated base course); UB: compacted subgrade; SUB: subgrade; E: dynamic modulus; t: thickness.

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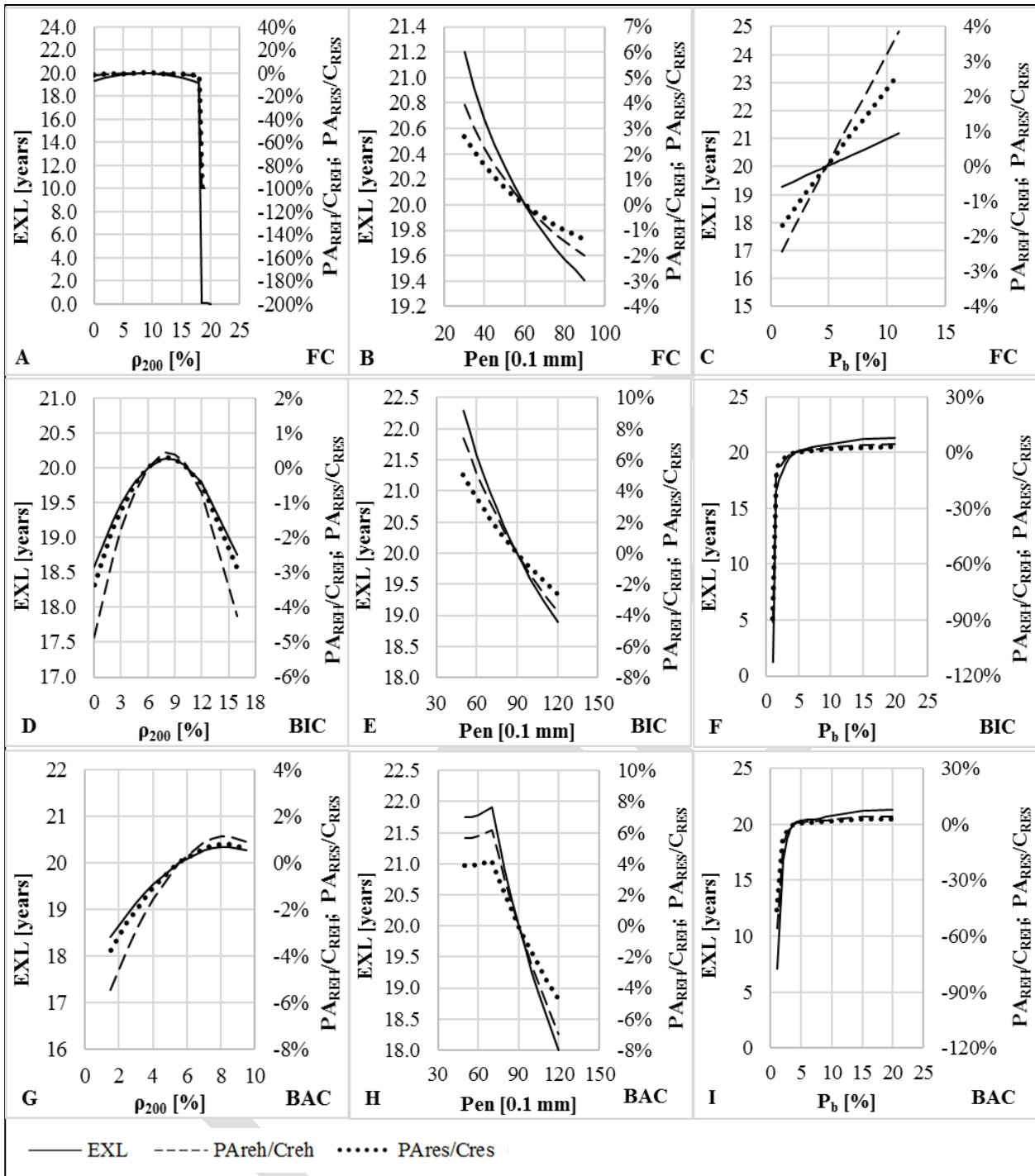
754

755 **Fig. 4.** EXL and PA vs P_{3/4} (A, D, G), P_{3/8} (B, E, H), P₄ (C, F, I) for FC, BIC, and BAC

756 Symbols. P_{3/4}, P_{3/8}, P₄: percent passing to the given sieve; FC: friction course; BIC: binder course; BAC: base course;

757 EXL = expected life; PA_{REH}, PA_{RES}: pay adjustment for rehabilitation and resurfacing, respectively. C_{REH}, C_{RES}: costs.

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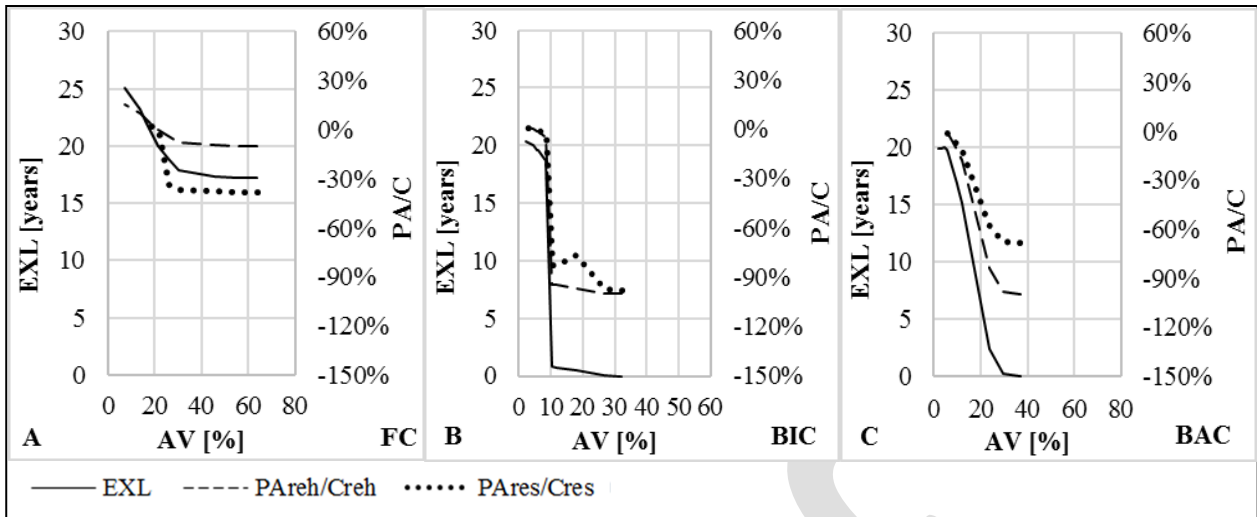


759

760 **Fig. 5.** EXL and PA vs ρ_{200} (A), Pen (B), P_b (C) for FC, BIC, and BAC

761 Symbols. ρ_{200} : passing; Pen: penetration; P_b: bitumen percentage; FC: friction course; BIC: binder course; BAC: base
 762 course; EXL = expected life; PA_{REH}, PA_{RES}: pay adjustment for rehabilitation and resurfacing, respectively. C_{REH}, C_{RES}:
 763 costs.

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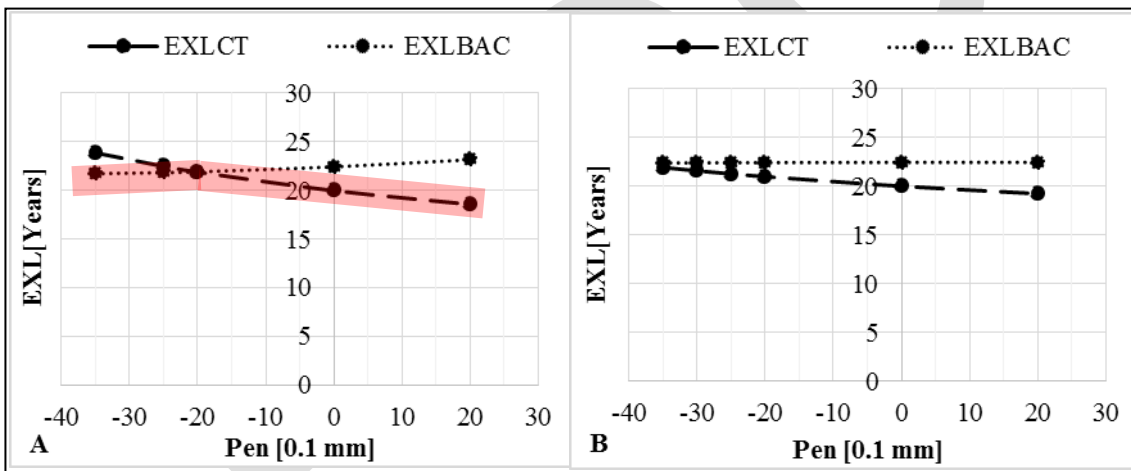
766 **Fig. 6.** EXL and PA vs AV (air voids) for FC, BIC, and BAC

767 Symbols. AV: air content; FC: friction course; BIC: binder course; BAC: base course; EXL = expected life; PA_{REH},

768 PA_{RES}: pay adjustment for rehabilitation and resurfacing, respectively. C_{REH}, C_{RES}: costs.

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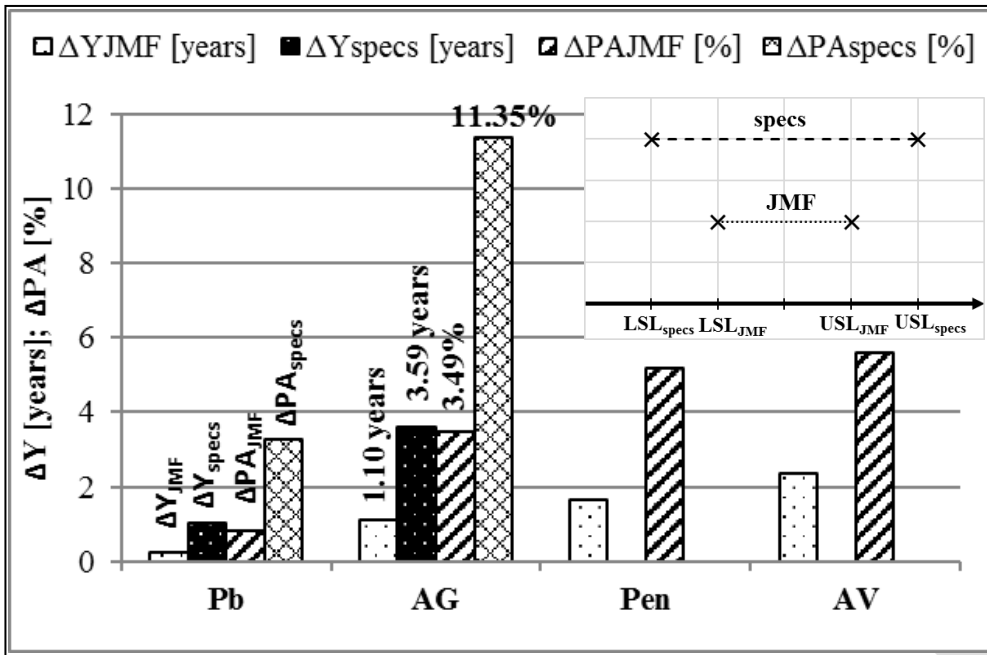
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772 **Fig. 7.** Consequences of BAC (A) and BIC (B) nonconformity on the expected life of the pavement

773 Symbols. EXLCT = expected life of sub-base (cement-treated base course); EXLBAC = expected life of base course;

774 Pen: penetration.

775



776

777 **Fig. 8.** Sensitivity of expected life (ΔY) and PA (ΔPA) to variations within specification limits type JMF or
 778 type specs

779 Symbols. EXL = expected life; PA_{REH} , PA_{RES} : pay adjustment for rehabilitation and resurfacing, respectively. C_{REH} ,
 780 C_{RES} : costs; ΔY_{JMF} = sensitivity of EXL in terms of years referred to the Job mix formula; ΔY_{specs} = sensitivity
 781 of EXL in terms of years referred to contract requirements; ΔPA_{JMF} = sensitivity of the ratio PA/C in terms of
 782 % referred to the Job mix formula; ΔPA_{specs} = sensitivity of the ratio PA/C in terms of % referred to contract
 783 requirements; P_b = asphalt binder content; AG: gradation; Pen = asphalt binder penetration; AV = air void
 784 content.

785

786

787 **Table**

788 **Table 1:** Layers moduli

Layer	Reference value E (MPa)	Reference value t (cm)	Design value E (MPa)	Design value t (cm)
Friction course (FC)	448 ^a ÷ 2258 ^b	-	1013	5
Binder course (BIC)	2188 ^c ÷ 8220 ^d	4 ^e ÷ 9 ^e	4393	7
Base course (BAC)	3085 ^c ÷ 9418 ^d	7 ^e ÷ 25 ^e	5101	15
Sub-base (CT)	1263 ^f ÷ 1583 ^g	-	1423	20
Compacted Subgrade (UB)	160 ^h ÷ 491 ^{h,i}	20 ^l ÷ 30 ^l	252	20
Subgrade (SUB)	45 ^m ÷ 242 ^m	semi-inf ⁿ	85	semi-inf

Note: FC = friction course (porous asphalt concrete); BIC = binder course; BAC = base course; CT = sub-base (cement-treated base course); UB = compacted subgrade; SUB = subgrade; E = dynamic modulus; t = thickness.

^abased on Cho et al. 2010.

^bbased on Asphalt Institute 1982.

^cbased on Leiva-Villacorta et al. 2013.

^dbased on Witzak and Fonseca 1996.

^ebased on reference data.

^fbased on Gaspard 2000.

^gbased on Molenaar 2009.

^hbased on Giannattasio et al. 1989.

ⁱbased on Hossain et al. 2013.

^lbased on Bucchi et al. 2009.

^mbased on Putri et al. 2012.

ⁿbased on Boussinesq 1885.

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792

Table 2: Sensitivity of EXL and PA/C for each parameter and layer

Indicator → ↓ Layer ↓	$\rho_{3/4}$		$\rho_{3/8}$		ρ_4		ρ_{200}		P'_b		Pen	AV
	SL _{JM} F	SL _{spec} s	SL _{JM} F	SL _{spec} s	SL _{JM} F	SL _{spec} s	SL _{JM} F	SL _{spec} s	SL _{JM} F	SL _{spec} s	SL _{spec} s	SL _{spec} s
FC	0.0±3.0	0.0±0.0	62.5±3.0	62.5±22.5	81.5±3.0	81.5±6.5	10.0±1.5	10.0±2.0	5.00±0.25	5.00±0.50	60±10	22.0±4.0
BIC	22.0±3.0	22.0±18.5	42.5±3.0	42.5±12.5	57.5±3.0	57.5±12.5	6.0±1.5	6.0±2.0	4.50±0.25	4.50±0.50	90±10	5.9±2.9
BAC	28.0±5.0	28.0±18.0	47.5±5.0	47.5±17.5	60.0±5.0	60.0±15.0	5.5±1.5	5.5±2.5	3.75±0.25	3.75±0.75	90±10	5.5±2.5
Range of variation of I												
FC	0.08	-	0.06	0.33	0.16	0.35	0.11	0.14	0.08	0.15	0.53	2.34
BIC	0.33	-	0.16	0.63	0.36	1.48	0.32	0.44	0.21	0.43	0.87	1.60
BAC	1.02	3.59	0.43	1.15	1.10	3.19	0.73	1.20	0.25	1.02	1.63	1.71
ΔY												
FC	0.25	-	0.19	1.05	0.51	1.11	0.35	0.44	0.25	0.48	1.68	22.62
BIC	1.02	-	0.51	2.00	1.14	4.69	1.02	1.40	0.50	1.37	2.76	5.18
BAC	3.24	11.35	1.36	3.69	3.49	10.11	2.32	3.80	0.80	3.27	5.16	5.60

Note: ΔY = sensitivity of EXL; ΔPA = sensitivity of PA/C; ρ_{200} = passing the 0.075 mm (No. 200) sieve; ρ_4 = cumulative % retained on the 4.75 mm (No. 4) sieve; $\rho_{3/8}$ = cumulative % retained on the 9.5 mm (3/8 inch) sieve; $\rho_{3/4}$ = cumulative % retained on the 19 mm (3/4 inch) sieve; Pen = penetration of a standard needle of 100 g, which penetrates the asphalt binder for 5 seconds (0.1 mm); P'_b = asphalt content (percent by mass of aggregate); AV = air void content (%); FC = friction course; BIC = binder course; BAC = base course; SL_{spec} = specification limit referred to contract requirements, ANAS contract specifications 2000; SL_{JMF} = specification limit referred to the job mix formula, ANAS contract specifications 2000.

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796 **List of figure captions**

797 **Fig. 1.** Main variables that affect a bituminous layer modulus.

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800 Loading frequency [Hz]; Pen: asphalt binder penetration [0.1 mm]; η : asphalt binder viscosity [10^6 Poise]; P_b :
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802 compacted mixture; G_{sb} : Bulk specific gravity of the aggregate; G_{sa} : Apparent specific gravity of the aggregate; G_{se} :
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805 Effective asphalt binder content [% by volume]; V_{ba} : Volume of absorbed asphalt binder [%]; E^* : Dynamic modulus;
806 N: compaction energy (in percentage with respect to the maximum value).

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809 graded mix (B)

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811 mix); G_{mb} : Bulk specific gravity of the compacted mixture; G_{mb} exp: experimental values of bulk specific gravity of
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821 costs.

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- 853 contract requirements, ANAS contract specifications 2000; SLJ = specification limit referred to the job mix formula,
- 854 ANAS contract specifications 2000.

Proof