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Packing and covering with balls on Busemann surfaces ¹

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Abstract. In this note we prove that for any compact subset S of a Busemann surface (S, d) (in particular, for any simple polygon with geodesic metric) and any positive number δ , the minimum number of closed balls of radius δ with centers at S and covering the set S is at most 19 times the maximum number of disjoint closed balls of radius δ centered at points of S : $\nu(S) \leq \rho(S) \leq 19\nu(S)$, where $\rho(S)$ and $\nu(S)$ are the covering and the packing numbers of S by δ -balls. Busemann surfaces represent a far-reaching generalization not only of simple polygons, but also of Euclidean and hyperbolic planes and of all planar polygonal complexes of global non-positive curvature. Roughly speaking, a Busemann surface is a geodesic metric space homeomorphic to \mathbb{R}^2 in which the distance function is convex.

1. INTRODUCTION

The set packing and the set covering problems are classical questions in computer science [34], combinatorics [5], and combinatorial optimization [18, 33]. Packing and covering problems in \mathbb{R}^d with special geometric objects have been also actively investigated in computational geometry [1, 10, 13, 29] and in discrete geometry [24, 30]. Finally, the covering and packing problems of arbitrary metric spaces with balls (which is the subject of the current paper) have been formulated in the middle of 20th century in pure mathematics [26]. The respective covering and packing numbers capture the size of the underlying metric space and play a central role in several areas of pure and applied mathematics: information theory, functional analysis, probability theory, statistics, and learning theory [20, 27, 28].

In the *set covering problem*, given a collection \mathcal{F} of subsets of a (finite or infinite) domain X , the task is to find a subcollection of \mathcal{F} of minimum size $\rho(\mathcal{F})$ whose union is X . The *set packing problem* asks to find a maximum number $\nu(\mathcal{F})$ of pairwise disjoint subsets of \mathcal{F} . Another problem closely related to set covering is the hitting set problem. A subset T is called a *hitting set* of \mathcal{F} if $T \cap S \neq \emptyset$ for any $S \in \mathcal{F}$. The *minimum hitting set problem* asks to find a hitting set of \mathcal{F} of smallest cardinality $\tau(\mathcal{F})$. All these three problems are *NP*-hard, moreover, they are difficult to approximate within a constant factor unless $P = NP$. In case when X is a metric space and \mathcal{F} is the set of its balls of equal radii, then the minimum covering and the minimum hitting set problems are equivalent, i.e., $\rho(\mathcal{F}) = \tau(\mathcal{F})$. Indeed, the centers of balls in any covering of X define a hitting set of \mathcal{F} and vice-versa, given a hitting set T of \mathcal{F} one can define a covering of X of the same size by considering the balls centered at the points of X .

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The inequality $\tau(\mathcal{F}) \geq \nu(\mathcal{F})$ holds for any family of sets \mathcal{F} on any domain X : any two sets from a packing cannot be hit by the same point of X . Of particular importance are the families of sets \mathcal{F} for which there exists a universal constant $c := c(\mathcal{F})$ such that $\tau(\mathcal{F}') \leq c\nu(\mathcal{F}')$ holds for any subfamily \mathcal{F}' of \mathcal{F} . In general, proving that for all subfamilies of a particular family of sets \mathcal{F} such a universal constant c exists is a notoriously difficult problem and it is open for many simple particular cases. For example, in 1965, Wegner [36] asked if for the family \mathcal{R} of all axis-parallel rectangles in \mathbb{R}^2 it is always true that $\tau(\mathcal{R}) \leq 2\nu(\mathcal{R}) - 1$ (Gyárfás and Lehel [21] relaxed this question by asking if $\tau(\mathcal{R}) \leq c\nu(\mathcal{R})$ for a universal constant c).

We briefly review now some families \mathcal{F} for which the inequality $\tau(\mathcal{F}) \leq c\nu(\mathcal{F})$ holds (when \mathcal{F} is a family of balls in a metric space some known results will be reviewed in the next section). The equality $\tau(\mathcal{F}) = \nu(\mathcal{F})$ holds if \mathcal{F} is an interval hypergraph, a hypertree, and more generally, a normal hypergraph [5, 33]. Covering and packing problems for special families of subtrees of a tree have been considered in [4, 33]. Alon [2, 3] established that if \mathcal{F} is a family of κ -intervals (i.e., unions of at most κ intervals) of the line (or a family consisting of unions of at most κ subtrees of a tree), then $\tau(\mathcal{F}) \leq 2\kappa^2\nu(\mathcal{F})$. A similar result has been obtained in [14] for unions of κ balls in a geodesic δ -hyperbolic space. Gyárfás and Lehel's relaxation of Wegner's conjecture was confirmed in [16, 19] for families of axis-parallel rectangles intersecting a common monotone curve. One common feature of all these results is that the inequality $\tau(\mathcal{F}) \leq c\nu(\mathcal{F})$ is established by constructing in a primal-dual way a hitting set T and a packing $\mathcal{P} \subseteq \mathcal{F}$ such that $|T| \leq c|\mathcal{P}|$. Consequently, this provides a factor c approximation algorithm for hitting set and packing problems for \mathcal{F} .

In this note, we consider the problem of covering and packing by balls of equal radii of subsets of Busemann surfaces. Using a similar approach as above, we prove that the minimum number of closed balls of radius δ required to cover a compact subset S of a Busemann surface (\mathcal{S}, d) is at most 19 times the maximum number of pairwise disjoint closed balls of radius δ with centers in S . Our initial motivation was to establish that such an inequality holds for simple polygons with geodesic metric. Busemann surfaces represent a far-reaching generalization not only of simple polygons, but also of Euclidean and hyperbolic planes and of all planar polygonal complexes of global non-positive curvature. Roughly speaking, a Busemann surface is a geodesic metric space homeomorphic to \mathbb{R}^2 in which the distance function is convex [31].

2. PRELIMINARIES AND MAIN RESULTS

In this section, we recall all necessary definitions and results related to the subject of this paper. We start with a subsection in which we recall some definitions, characterizations, and notations on geodesic metric spaces, Busemann spaces, and Busemann surfaces. We continue with two subsections, one dedicated to basic notions and notations about covering and packing problems, and the second one to some known results on covering and packing metric spaces and graphs with balls. We conclude the section with the formulation of the main results.

2.1. Busemann surfaces. We start with definitions of geodesics and geodesic metric spaces, in which we follow [9, Chapter I.1] and [31, Chapter 2]. Let (X, d) be a metric space. A *geodesic path* joining $x \in X$ to $y \in X$ is a map γ from the closed interval $[0, l] \subset \mathbb{R}$ to X such that $\gamma(0) = x, \gamma(l) = y$ and $d(\gamma(t), \gamma(t')) = |t - t'|$ for all $t, t' \in [0, l]$ (in particular, $l = d(x, y)$). The image of γ is called a *geodesic segment* (or a *geodesic*) with endpoints x and y . Let $[a, b] \subset \mathbb{R}$ be an interval. A map $\gamma : [a, b] \rightarrow X$ is said to be an *affine reparametrized geodesic* or a *constant speed geodesic*, if there exists a constant λ such that $d(\gamma(t), \gamma(t')) = \lambda \cdot |t - t'|$ for all $t, t' \in [a, b]$.

The definitions of (geodesic) lines and (geodesic) rays are similar to that of geodesic segment: a *geodesic line* (resp. *geodesic ray*) γ is a map from $I := \mathbb{R}$ (resp. $I := [0, \infty)$) to X such that for all $t, t' \in I$, $d(\gamma(t), \gamma(t')) = |t - t'|$. We will refer to the image of γ as a geodesic line or geodesic ray. A *local geodesic* is a map γ from an interval $I \subseteq \mathbb{R}$ to X such that for every $t \in I$ there exists $\epsilon > 0$ such that the restriction of γ on $I \cap [t - \epsilon, t + \epsilon]$ is geodesic.

A metric space X is said to be a *geodesic metric space* if every pair of points in X can be joined by a geodesic. A *uniquely geodesic space* is a geodesic space in which every pair of points can be joined by a unique geodesic.

We continue with the definition of Busemann spaces; we follow [31, Chapter 8]. A *Busemann space* (or a *non-positively curved space in the sense of Busemann*) is a geodesic metric space (X, d) in which the distance function between any two geodesics is convex: for all affinely reparametrized geodesics $\gamma : [0, 1] \rightarrow X$ and $\gamma' : [0, 1] \rightarrow X$, we have for all $t \in [0, 1]$, $d(\gamma(t), \gamma'(t)) \leq (1 - t) \cdot d(\gamma(0), \gamma'(0)) + t \cdot d(\gamma(1), \gamma'(1))$. Equivalently, (X, d) is a Busemann space if for any two affinely reparametrized geodesics $\gamma : [a, b] \rightarrow X$ and $\gamma' : [a', b'] \rightarrow X$ the map $f_{\gamma, \gamma'}(t) : [0, 1] \rightarrow \mathbb{R}$ defined by $f_{\gamma, \gamma'}(t) = d(\gamma((1 - t)a + tb), \gamma'((1 - t)a' + tb'))$ is a convex function. We continue by recalling the following fundamental characterizations of Busemann surfaces (they constitute a part of [31, Proposition 8.1.2]):

Proposition A. [31, Proposition 8.1.2(ii)&(v)&(vi)] *For a geodesic metric space (X, d) , the following conditions are equivalent:*

- (i) X is a Busemann space;
- (ii) Let $\gamma : [0, l] \rightarrow X$ and $\gamma' : [0, l'] \rightarrow X$ be two arbitrary geodesics in X . For every $t \in [0, 1]$, $d(\gamma(t \cdot l), \gamma'(t \cdot l')) \leq (1 - t) \cdot d(\gamma(0), \gamma'(0)) + t \cdot d(\gamma(l), \gamma'(l'))$;
- (iii) Let $\gamma : [0, l] \rightarrow X$ and $\gamma' : [0, l'] \rightarrow X$ be two arbitrary geodesics of X . Then $d\left(\gamma\left(\frac{l}{2}\right), \gamma'\left(\frac{l'}{2}\right)\right) \leq \frac{1}{2}(d(\gamma(0), \gamma'(0)) + d(\gamma(l), \gamma'(l')))$;
- (iv) Let $\gamma : [0, l] \rightarrow X$ and $\gamma' : [0, l'] \rightarrow X$ be two arbitrary geodesics of X having a common initial point $\gamma(0) = \gamma'(0)$. For all $t \in [0, 1]$, $d(\gamma(t \cdot l), \gamma'(t \cdot l')) \leq t \cdot d(\gamma(l), \gamma'(l'))$.

Busemann spaces satisfy many fundamental metric, geometric, and topological properties: they are contractible, have the fixed point property, are uniquely geodesic, local geodesics are geodesics, open and closed balls are convex, projections on convex sets are unique, and geodesics vary continuously with their endpoints. They can be characterized in a pretty local-to-global way: every complete geodesic locally compact, locally convex and simply connected

metric space is a Busemann space. For these and other results on Busemann spaces consult the book of Papadopoulos [31].

Basic examples of Busemann spaces are the Euclidean space \mathbb{E}^n , and more generally, normed strictly convex vector spaces, the hyperbolic n -dimensional space \mathbb{H}^n , \mathbb{R} -trees, and Riemannian manifolds of global nonpositive sectional curvature. A large subclass of Busemann spaces is constituted by non-positively curved spaces in the sense of Alexandrov, known also under the name of CAT(0) spaces [9].

A *planar surface* (without boundary) \mathcal{S} is a 2-dimensional manifold homeomorphic to the plane \mathbb{R}^2 . A geodesic metric space (\mathcal{S}, d) is called a *Busemann surface* if \mathcal{S} is a 2-dimensional manifold and the metric space (\mathcal{S}, d) is a Busemann space. Since Busemann spaces are contractible (by convexity of the distance function), each Busemann surface is a planar surface.

Particular instances of Busemann surfaces are non-positively curved piecewise-Euclidean (PE) (or piecewise hyperbolic) planar complexes without boundary. In fact, as is shown in [12, Subsection 2.4], any finite non-positively curved planar complex can be extended to a Busemann surface. Recall that a *planar PE complex* X is obtained from a (not necessarily finite) planar graph G by replacing each inner face of G having n sides by a convex n -gon in the Euclidean plane. The planar PE complex X is called a *non-positively curved planar complex* if the sum of angles around each inner vertex of G is at least 2π . Equivalently, by [9, Theorem 5.4] X is non-positively curved if and only if X endowed with the intrinsic l_2 -metric d is uniquely geodesic, or, equivalently, is a Busemann (or a CAT(0)) space.

Our motivating examples of Busemann surfaces are the simple polygons P in the plane endowed with the intrinsic geodesic metric. After triangulating P , one can view P as a finite non-positively curved planar complex and, as noticed in [12], P can be extended to a Busemann surface \mathcal{S} so that P will be a convex subset of \mathcal{S} .

To embed a finite non-positively curved planar complex X (or a triangulated simple polygon) into a Busemann surface \mathcal{S} , to each boundary edge e of X we add a closed halfplane H_e of \mathbb{R}^2 so that e is a segment of the boundary of H_e . If two boundary edges e, e' of X share a common endvertex x , then H_e and $H_{e'}$ will be glued along the rays of their boundaries emanating from x which are disjoint from e and e' . It can be easily seen that the resulting planar surface \mathcal{S} is CAT(0) and that X isometrically embeds into \mathcal{S} .

Several elementary properties of geodesic lines and convex sets in Busemann planar surfaces have been presented in [12]. In our proofs we use some of these properties (convexity of cones and triangles, Pasch and Peano axioms, geodesic extension property), which will be recalled together with some basic properties of Busemann spaces (convexity of balls, local geodesics are geodesics) in Subsection 3.2. Our proofs require some other properties of convexity and distance function in Busemann surfaces, which will be established in Subsection 3.2: monotonicity of perimeters of triangles, convexity preserves diameters of sets, Helly theorem, convexity of shades of geodesic segments and of triangles, line-separation of a triangle and a point not belonging to this triangle, to mention some of them.

2.2. Covering and packing with balls. Let (X, d) be a metric space, S be a subset of X , and δ be an arbitrary positive real number. For a point $x \in X$, we will denote by $B_\delta(x) = \{y \in X : d(x, y) \leq \delta\}$ and $B_\delta^\circ(x) = \{y \in X : d(x, y) < \delta\}$ the *closed* and the *open balls* of radius δ and center x . A δ -*simplex* is a subset Y of X of diameter at most 2δ , i.e., $d(x, y) \leq 2\delta$ for any $x, y \in Y$. The *Rips* (or the *Vietoris-Rips*) *complex* $P_\delta(S)$ of S [9, p.468] is a simplicial complex whose vertices are the points of S and a subset $Y \subseteq S$ is a simplex of $P_\delta(S)$ if and only if $\text{diam}(Y) \leq 2\delta$, i.e., if Y is a $\frac{\delta}{2}$ -simplex. Denote by $G_\delta(S)$ the 1-skeleton of $P_\delta(S)$, i.e., S is the vertex-set of $G_\delta(S)$ and x, y are adjacent in $G_\delta(S)$ if and only if the pair x, y defines a simplex of $P_\delta(S)$, i.e., $d(x, y) \leq 2\delta$. Notice that $P_\delta(S)$ is the clique complex of $G_\delta(S)$. Finally, let $\overline{G}_\delta(S)$ denote the complement of the graph $G_\delta(S)$.

For a given radius $\delta > 0$, a set of closed balls $\mathcal{C} = \{B_\delta(x_i) : i \in I\}$ with centers $x_i \in X$ is called a *covering* of a set S if $S \subseteq \bigcup_{i \in I} B_\delta(x_i)$. Analogously, a set of open balls $\mathcal{C}^\circ = \{B_\delta^\circ(x_i) : i \in I\}$ is called an *open covering* of S if $S \subseteq \bigcup_{i \in I} B_\delta^\circ(x_i)$. Denote by $\rho_\delta(S)$ (respectively, by $\rho_\delta^\circ(S)$) the minimum number of balls of radius δ in a covering (respectively, in an open covering) of S , and call $\rho_\delta(S)$ and $\rho_\delta^\circ(S)$ the *covering* and the *open covering numbers* of S . Obviously, $\rho_\delta(S) \leq \rho_\delta^\circ(S)$. If S is compact, then $\rho_\delta^\circ(S)$ is finite, and therefore $\rho_\delta(S)$ is finite as well.

A set of closed balls $\mathcal{P} = \{B_\delta(x_i) : i \in I\}$ with centers $x_i \in S$ is called a *packing* of $S \subseteq X$ if the balls of \mathcal{P} are pairwise disjoint. Analogously, a set of open balls $\mathcal{P}^\circ = \{B_\delta^\circ(x_i) : i \in I\}$ with centers $x_i \in S$ is called an *open packing* of S if the balls of \mathcal{P}° are pairwise disjoint. Denote by $\nu_\delta(S)$ the maximum number of closed balls in a packing of S , i.e., the size of a largest subset P of S such that $d(x_i, x_j) > 2\delta$ for any two distinct points x_i, x_j of P , and call $\nu_\delta(S)$ the *packing number* of S . Analogously, the *open packing number* $\nu_\delta^\circ(S)$ is the size of a largest subset P of S such that $d(x_i, x_j) \geq 2\delta$ for any two distinct points x_i, x_j of P . Clearly, for any $S \subseteq X$, the following inequalities hold: $\nu_\delta(S) \leq \nu_\delta^\circ(S)$, $\nu_\delta(S) \leq \rho_\delta(S)$, and $\nu_\delta^\circ(S) \leq \rho_\delta^\circ(S)$. Therefore, if S is compact, then $\nu(S)$ and $\nu_\delta^\circ(S)$ are finite as well. Finally, a δ -*simplex covering* of S is a collection $\mathcal{R} = \{Y_i : i \in I\}$ of δ -simplices such that $Y_i \subseteq S$ and $S = \bigcup_{i \in I} Y_i$. The δ -*simplex covering number* $\theta_\delta(S)$ of S is the minimum number of δ -simplices in a covering of S . Notice that $\theta_\delta(S) = 1$ (i.e., S is an δ -simplex) if and only if $\nu_\delta(S) = 1$.

We will say that a class \mathcal{M} of metric spaces has the *bounded covering-packing property* if there exists a universal constant c such that for any metric space (X, d) from \mathcal{M} , any $\delta > 0$, and any compact subset S of X , the inequality $\rho_\delta(S) \leq c\nu_\delta(S)$ holds. We will also say that \mathcal{M} has the *bounded simplex-ball covering property*, if there exists a universal constant c such that for any $(X, d) \in \mathcal{M}$ and any $\delta > 0$, any δ -simplex S of X can be covered by at most c balls of radius δ . Recall also that a class \mathcal{G} of graphs is *linearly χ -bounded* if there exists a constant c such that $\chi(G) \leq c\omega(G)$ for any graph $G \in \mathcal{G}$.

Lemma 1. *Let \mathcal{M} be a class of metric spaces having the bounded simplex-ball covering property. If the class of graphs $\mathcal{G} = \{\overline{G}_{2\delta}(S) : \delta > 0 \text{ and } S \text{ is a compact subset of } X\}$ is linearly χ -bounded, then \mathcal{M} satisfies the bounded covering-packing property.*

Proof. Since any coloring of $\overline{G}_{2\delta}(S)$ is a clique covering of $G_{2\delta}(S)$ and each clique of $G_{2\delta}(S)$ is a δ -simplex of S , the set S admits a δ -simplex covering with at most $c\omega(\overline{G}_{2\delta}(S))$ simplices. If (X, d) has the bounded covering-packing property with constant c' , we conclude that S can be covered with at most $c'c\omega(\overline{G}_{2\delta}(S)) = c'\nu_\delta(S)$ balls of radius δ . \square

An important class of metric spaces satisfying the bounded covering-packing property (and extending the Euclidean spaces) is constituted by metric spaces with *bounded doubling dimension*, i.e., metric spaces (X, d) in which for any $\delta > 0$ any ball of radius 2δ of X can be covered with a constant number of balls of radius δ [17]. We will relax this doubling property in the following way. We will say that a metric space (X, d) satisfies the *weak doubling property* if there exists a constant c such that for any $\delta > 0$ and any compact set $S \subseteq X$, there exists a point $v \in S$ such that $B_{2\delta}(v) \cap S$ can be covered with at most c balls of radius δ of X . The proof of the following result will be given in the next section:

Proposition 1. *If a complete metric space (X, d) satisfies the weak doubling property with constant c , then for any compact set $S \subseteq X$ and any $\delta > 0$, $\rho_\delta(S) \leq c\nu_\delta(S)$.*

2.3. Related work. Kolmogorov and Tikhomirov [26] introduced the three covering and packing numbers (under different notations and names) and noticed the following simple but fundamental relationship between them: for any completely bounded (in particular, compact) subset S of an arbitrary metric space (X, d) ,

$$\nu_\delta(S) \leq \theta_\delta(S) \leq \rho_\delta(S) \leq \nu_{\frac{\delta}{2}}(S).$$

Furthermore, they called the binary logarithms of the quantities $\theta_\delta(S)$, $\rho_\delta(S)$, and $\nu_\delta(S)$ the δ -entropy of S , the δ -entropy of S with respect to X , and the δ -capacity of S , respectively (also called *metric entropy* and *metric capacity* of S). These quantities found numerous applications in pure and applied mathematics [28], probability theory and statistics [20], learning theory [27], and computational geometry [17], just to name some.

Notice also the following graph-theoretical interpretation of covering and packing numbers $\theta_\delta(S)$, $\nu_\delta(S)$, and $\rho_\delta(S)$. A δ -simplex covering of S in the sense of Kolmogorov and Tikhomirov corresponds to a covering of S by simplices of the Rips complex $P_{2\delta}(S)$ and to a clique cover of $G_{2\delta}(S)$; therefore $\theta_\delta(S)$ corresponds to the size of a minimum clique covering of $G_{2\delta}(S)$, i.e., to the chromatic number $\chi(\overline{G}_{2\delta}(S))$ of the complement $\overline{G}_{2\delta}(S)$ of the graph $G_{2\delta}(S)$. Analogously, a packing of S corresponds to a stable set of $G_{2\delta}(S)$, i.e., to a clique of $\overline{G}_{2\delta}(S)$; consequently, $\nu_\delta(S)$ equals the clique number $\omega(\overline{G}_{2\delta}(S))$ of the complement of $G_{2\delta}(S)$. Finally, $\rho_\delta(S)$ corresponds to the domination number of $G_\delta(S)$, i.e., to the minimum covering of S by stars of $G_\delta(S)$.

It was shown in [15] that the class $\mathcal{M}_{\text{planar}}$ of all metric spaces obtained as standard graph-metrics of planar graphs has the bounded simplex-ball covering property. In [6], this result was generalized to all graphs on surfaces of a given genus; see also [7, 8] for other generalizations of the result of [15]. It was conjectured in [11, Problem 5] that the class $\mathcal{M}_{\text{planar}}$ has the bounded covering-packing property, namely, that it satisfies the weak doubling property. Notice also, that it was shown in [14] that if S is a compact subset of a geodesic ε -hyperbolic space (in the

sense of Gromov) or of an ε -hyperbolic graph, then $\rho_{\delta+2\varepsilon}(S) \leq \nu_\delta(S)$ (compare it with the general inequality $\nu_\delta(S) \leq \rho_\delta(S) \leq \nu_{\frac{\delta}{2}}(S)$). This result can be interesting if the hyperbolicity ε constant is much smaller than the radius δ of balls used in the covering.

There exists a strong analogy between the properties of graphs and geodesic metric spaces, due to their uniform local structure. Any graph $G = (V, E)$ gives rise to a network-like geodesic space (into which G isometrically embeds) obtained by replacing each edge xy of G by a segment isometric to $[0, 1]$ with ends at x and y . Conversely, by [9, Proposition 8.45], any geodesic metric space (X, d) is $(3, 1)$ -quasi-isometric to a graph $G = (V, E)$. (Let (X_1, d_1) and (X_2, d_2) be metric spaces. A map $f : X_1 \rightarrow X_2$ is called a (λ, ϵ) -quasi-isometric embedding if there exists constants $\lambda \geq 1$ and $\epsilon \geq 0$ such that for all $x, y \in X_1$, $\frac{1}{\lambda}d_1(x, y) - \epsilon \leq d_2(f(x), f(y)) \leq \lambda d_1(x, y) + \epsilon$.) This graph G is constructed in the following way: let V be an open $\frac{1}{3}$ -packing of X (it exists by Zorn's lemma but can be infinite). Then two points $x, y \in V$ are adjacent in G if and only if $d(x, y) \leq 1$.

Due to this analogy, one can formulate the previous question about $\mathcal{M}_{\text{planar}}$ for their continuous counterparts $\mathcal{M}_{\text{polygon}}$ —polygons in \mathbb{R}^2 endowed with the (intrinsic) geodesic metric. It turns out that this question was not yet considered even for simple polygons (in this case, only a factor 2 approximation algorithm for packing number was recently given in [35]). The geodesic metric on simple polygons was studied in several papers in connection with algorithmic problems. In particular, it was shown in [32], that balls are convex, implying that simple polygons are Busemann spaces. In this paper, we consider the relationship between the packing and covering numbers not only for simple polygons in the Euclidean or hyperbolic planes but also for (compact subsets of) general Busemann surfaces.

2.4. The main results. We continue with statements of the main results of this note. Starting from now, we will denote $\rho_\delta(S)$ and $\nu_\delta(S)$ by $\rho(S)$ and $\nu(S)$, respectively.

Theorem 1. *Let S be a compact subset of a Busemann surface (\mathcal{S}, d) and δ an arbitrary positive number. Then $\rho(S) \leq 19\nu(S)$.*

Corollary 1. *Let \mathcal{P} be a simple polygon in \mathbb{R}^2 . Then $\nu(\mathcal{P}) \leq \rho(\mathcal{P}) \leq 19\nu(\mathcal{P})$ for any $\delta > 0$.*

Proof. Let \mathcal{P} be a simple polygon endowed with the geodesic metric. In [12] it was shown how to extend \mathcal{P} to a Busemann surface (\mathcal{S}, d) . Notice that by this construction, \mathcal{P} is embedded as a convex subset of \mathcal{S} . Since \mathcal{P} is a compact subset of \mathcal{S} , $\rho(\mathcal{P}) \leq 19\nu(\mathcal{P})$ by Theorem 1. Let $\mathcal{C} = \{B_\delta(x_1), \dots, B_\delta(x_k)\}$ be a covering of \mathcal{P} with closed δ -balls of (\mathcal{S}, d) constructed as in the proof of Propositions 2 and 3. Since \mathcal{P} is a compact convex subset of \mathcal{S} , the centers of the balls of \mathcal{C} will belong to \mathcal{P} , concluding the proof of Corollary 1. \square

The proof of Theorem 1 immediately follows from Proposition 1 and Proposition 3 formulated below and which establishes that Busemann surfaces satisfy the weak doubling property. One essential ingredient in the proof of Proposition 3 is the bounded simplex-ball covering property established in Proposition 2. We continue with the precise formulation of these two results.

Proposition 2 extends the well-known folkloric result by Hadwiger and Debrunner [22] that any set of pairwise intersecting unit balls in the plane can be pierced by three needles (answering a question by Grünbaum, this result was extended in [25] to translates of any convex compact set of \mathbb{R}^2). Namely, we show that Busemann surfaces satisfy the bounded simplex-ball covering property with constant 3:

Proposition 2. *Let S be a compact subset of a Busemann surface (\mathcal{S}, d) and suppose that the diameter of S is at most 2δ . Then S can be covered with 3 balls of radius δ , i.e., $\rho(S) \leq 3$.*

The second result shows that Busemann surfaces satisfy the weak doubling property:

Proposition 3. *Let S be a compact subset of a Busemann surface (\mathcal{S}, d) and let $u, v \in S$ be a diametral pair of S . Then $B_{2\delta}(v) \cap S$ can be covered by 19 balls of radius δ .*

The idea of proof of Proposition 3 is to partition the set $B_{2\delta}(v) \cap S$ into six regions, four of them of diameter $\leq 2\delta$ and to which we can apply Proposition 2 and two regions which can be covered with eight balls.

Remark 1. Notice that Busemann surfaces (unlike Euclidean and hyperbolic planes) do not have bounded doubling dimension, i.e., not every ball $B_{2\delta}(v)$ of radius 2δ can be covered with a fixed number of balls of radius δ . Indeed, for any positive integer n , the star S_n with n leaves u_1, \dots, u_n , center v , and length 2δ of all edges can be embedded isometrically into a Busemann surface \mathcal{S}_n in the following way. First embed S_n into a star \hat{S}_n consisting of n rays R_i , $i = 1, \dots, n$, with center v , where R_i is the ray passing via the leaf u_i of S_n . Notice that the union $L_{i,j}$ of any two distinct rays R_i and R_j is isomorphic to the real line \mathbb{R} . To each line $L_{i,i+1}$, $i = 1, \dots, n$ (where $i+1$ is taken modulo n), of \hat{S}_n we add a closed halfplane $H_{i,i+1}$ of \mathbb{R}^2 so that $L_{i,i+1} = R_i \cup R_{i+1}$ is the boundary of $H_{i,i+1}$. Two consecutive halfplanes $H_{i-1,i}$ and $H_{i,i+1}$ intersect in the common ray R_i . Two nonconsecutive halfplanes intersect only in the center v of S_n . Let \mathcal{S}_n be the planar surface obtained as the union of the n closed halfplanes $H_{i,i+1}$, $i = 1, \dots, n$. It can be easily seen that the resulting planar surface \mathcal{S}_n is Busemann (if fact, it is CAT(0)) and that S_n and \hat{S}_n are isometrically embedded into \mathcal{S}_n . Now, consider the ball $B_{2\delta}(v)$ of \mathcal{S}_n centered at the center v of S_n . Since the distance from v to any of the leaves u_i of S_n in S_n and \mathcal{S}_n is 2δ , $\{u_1, \dots, u_n\} \subset B_{2\delta}(v)$. On the other hand, since the distance in S_n and \mathcal{S}_n between any two different leaves u_i and u_j is 4δ , any covering in \mathcal{S}_n of the set $\{u_1, \dots, u_n\}$ with balls of radius δ requires at least n balls. Consequently, any covering of $B_{2\delta}(v)$ with balls of radius δ requires at least n balls.

3. PROOFS

In this section, we provide the proofs of Propositions 1-3. We start with the proof of Proposition 1, presented in Subsection 3.1. The proofs of Propositions 2 and 3 require some geometric properties of Busemann surfaces, which we present in Subsection 3.2. The proof of Proposition 2 is presented in Subsection 3.3 and the proof of Proposition 3 is given in Subsection 3.4.

3.1. Proof of Proposition 1. In this subsection, we will prove Proposition 1, which we recall now:

Proposition 1. *If a complete metric space (X, d) satisfies the weak doubling property with constant c , then for any compact set $S \subseteq X$ and any $\delta > 0$, $\rho_\delta(S) \leq cv_\delta(S)$.*

Proof. The proof of Proposition 1 is algorithmic and builds simultaneously (in a primal-dual way) a covering \mathcal{C} of S with closed δ -balls and an open packing P of S satisfying the inequality $|\mathcal{C}| \leq c|P|$. Since P is an open packing and S is compact, $|P| \leq \nu^\circ(S) \leq \rho^\circ(S) < \infty$, thus P and \mathcal{C} are finite and their construction requires a finite number of steps. Then using local perturbations, we will show how to transform P into a packing P' of the same size as P .

Start by setting $S_0^* := S$, $S_0 := S$, $\mathcal{C} := \emptyset$, $P := \emptyset$, and $i = 0$. While $S_i \neq \emptyset$, set $S_i^* := \overline{S}_i$ (the closure of S_i). Since (X, d) is complete, S_i^* is compact. Since (X, d) satisfies the weak doubling property, S_i^* contains a point v such that the set $B_{2\delta}(v) \cap S_i^*$ can be covered with $k \leq c$ balls $B_\delta(x_1), \dots, B_\delta(x_k)$ of radius δ of X . Add the balls $B_\delta(x_1), \dots, B_\delta(x_k)$ to the covering \mathcal{C} , denote the point v by p_i and add it to P . Finally, set $S_{i+1} := S_i \setminus (\bigcup_{j=1}^k B_\delta(x_j))$ and $S_{i+1}^* := \overline{S}_{i+1}$, and apply the algorithm to these two new sets.

We claim that P is an open packing of S . Pick any pair of points $p_i, p_j \in P$ and let $j < i$. Then p_i is either a point of S_i or p_i is the limit of an infinite sequence $\{s_t\}$ of points of S_i . From its definition, the set S_i consists of all yet not covered by \mathcal{C} points of S ; in particular, we have $S_i \cap (\bigcup_{k=1}^{i-1} B_{2\delta}(p_k)) = \emptyset$. Consequently, if $p_i \in S_i$, since $p_i \notin B_{2\delta}(p_j)$, we conclude that $d(p_i, p_j) > 2\delta$ in this case. Now, suppose that p_i is the limit of a sequence $\{s_t\}$ of points of S_i . If $d(p_i, p_j) < 2\delta$, then for any $\varepsilon > 0$ such that $d(p_i, p_j) + \varepsilon < 2\delta$, all points of $\{s_t\}$ except a finite number will be in the ε -neighborhood of p_i . For any such point s_t , we will have $d(s_t, p_j) \leq d(s_t, p_i) + d(p_i, p_j) \leq \varepsilon + d(p_i, p_j) < 2\delta$, contrary to the choice of s_t from S_i . This contradiction shows that P is an open packing of S . Consequently, P and \mathcal{C} are finite, and from their construction, $|\mathcal{C}| \leq c|P|$.

Now, we will show how to transform the finite open packing $P = \{p_1, \dots, p_n\}$ of S into a packing P' of the same size. For this we will move each point of P at most once. We proceed the points of P in the reverse order and for each point p_i of P either we include it in P' (and denote it by p'_i) or include in P' a point $p'_i \in S_i$. Suppose that after proceeding the points p_n, \dots, p_{i+1} , the set P' has the form $P' = \{p_1, \dots, p_i, p'_{i+1}, \dots, p'_n\}$ and satisfies the following invariants: (a) $d(p_j, p'_k) > 2\delta$ for any $j = 1, \dots, i$ and $k = i+1, \dots, n$ and (b) $d(p'_j, p'_k) > 2\delta$ for any $i+1 \leq j < k \leq n$. We will show how to proceed the point p_i to keep valid the invariants (a) and (b). If $d(p_i, p_j) > 2\delta$ for any $j < i$, then we simply set $p'_i = p_i$ and obviously (a) and (b) are preserved. Otherwise, suppose that there exists a point p_j with $j < i$ such that $d(p_i, p_j) = 2\delta$. By the construction of P and the argument in the proof that P is an open packing, we conclude that $p_i \notin S_i$ and therefore p_i is a limit of an infinite sequence $\{s_t\}$ of points of S_i . In the basis case $i = n$ we simply pick as p'_n any point from the sequence $\{s_t\}$. Obviously, the conditions (a) and (b) will be preserved. Now, suppose that $i < n$. Let $\varepsilon := \min\{d(p_i, p'_k) - 2\delta : k > i\}$. Clearly, $\varepsilon > 0$. Pick as p'_i any point of the sequence $\{s_t\}$ lying in the $\frac{\varepsilon}{2}$ -neighborhood of p_i . Then $d(p_j, p'_i) > 2\delta$ for

any $j < i$, because $p'_i \in S_i$. Also $d(p'_i, p'_k) > 2\delta$ for any $k > i$ because by triangle inequality $d(p'_i, p'_k) > d(p_i, p'_k) - d(p'_i, p_i) > d(p_i, p'_k) - \frac{\varepsilon}{2} > 2\delta$. This shows that after proceeding all points of P , we will obtain a set P' of n points of S , satisfying the conditions (a) and (b), i.e., a packing of S . This finishes the proof of Proposition 1. \square

3.2. Auxiliary results. In this subsection, we present some elementary properties of Busemann planar surfaces. We start with some fundamental properties of all Busemann spaces.

Lemma 2. [31, Proposition 8.1.4] *A Busemann space is uniquely geodesic.*

Lemma 3. [31, Corollary 8.2.3] *Every local geodesic of a Busemann space (X, d) is a geodesic.*

From these two lemmas immediately follows that geodesic lines of Busemann spaces do not self-intersect.

Let (X, d) be a Busemann space. For two points x, y of X , we denote by $[x, y]$ the unique geodesic segment joining x and y . We will also denote a line containing x and y by (x, y) when there is no ambiguity (there may be many such lines). A set $R \subseteq \mathcal{S}$ is called *convex* if $[p, q] \subseteq R$ for any $p, q \in R$. For a set Q of \mathcal{S} the smallest convex set $\text{conv}(Q)$ containing Q is called the *convex hull* of Q . The next lemma immediately follows from the definition of Busemann spaces.

Lemma 4. [31, Proposition 8.3.1] *The open balls and closed balls of a Busemann space (X, d) are convex.*

A geodesic metric space (X, d) is said to have the *geodesic extension property* if the geodesic $[x, y]$ between any two distinct points x, y can be extended to a *geodesic line*, i.e., to a line (x, y) passing via x and y . Based on [9, Footnote 24], it was noticed in [12, Lemma 1] that Busemann spaces have the extension property:

Lemma 5. *Any Busemann surface \mathcal{S} has the geodesic extension property.*

From now suppose that (\mathcal{S}, d) is a Busemann surface. For a geodesic line ℓ , we denote by H'_ℓ and H''_ℓ the unions of the two connected components of $\mathcal{S} \setminus \ell$ with ℓ . We call H'_ℓ and H''_ℓ *closed halfplanes*. Since each line is convex, H'_ℓ and H''_ℓ are convex sets of \mathcal{S} . We will say that a line ℓ *separates* two sets A and B if A and B belong to different closed halfplanes defined by ℓ .

For three points x, y, z of \mathcal{S} , the *geodesic triangle* $\partial\Delta(x, y, z)$ is the union of the three geodesics $[x, y], [y, z]$, and $[z, x]$. We will call the closed bounded region $\Delta(x, y, z)$ of \mathcal{S} bounded by $\partial\Delta(x, y, z)$ the *triangle* with vertices x, y, z . We will say that the triangle $\Delta(x, y, z)$ is *degenerated* if the points x, y, z are collinear, i.e., one of these points belongs to the geodesic between the other two. By a (*convex*) *quadrangle* we will mean the convex hull of four point x, y, z, v in convex position, i.e., neither of the four points is in the convex hull of the other three. For two distinct points $u, x \in \mathcal{S}$, let $C_u(x) := \{p \in \mathcal{S} : x \in [u, p]\}$; we will call the set $C_u(x)$ a *cone*. Since \mathcal{S} satisfies the geodesic extension property, the set $C_y(x) \cup [x, y] \cup C_x(y)$ can be equivalently defined as the union of all geodesic lines extending $[x, y]$.

We continue by recalling some results from [12]. We start with a Pasch axiom, which we formulate in a slightly stronger but equivalent form:

Lemma 6. [12, Lemma 6] (*Pasch axiom*) *If $\Delta(x, y, z)$ is a triangle, $u \in [x, y], v \in [x, z]$, and $p \in [y, z]$, then $[u, v] \cap [x, p] \neq \emptyset$.*

Lemma 7. [12, Lemma 7] *The cone $C_u(x)$ is a convex and closed subset of \mathcal{S} .*

Lemma 8. [12, Lemma 8] *$\Delta(x, y, z)$ coincides with the convex hull of x, y, z .*

Lemma 9. [12, Lemma 9] (*Peano axiom*) *If $\Delta(x, y, z)$ is a triangle, $p \in [x, y], q \in [x, z]$, and $u \in [p, q]$, then there exists a point $v \in [y, z]$ such that $u \in [x, v]$.*

The next lemma asserts that the rays of two tangent lines at a point x induce one or two additional lines in their support (for an illustration, see Fig. 1 of [12]):

Lemma 10. [12, Lemma 5] *Let ℓ and ℓ' be two intersecting geodesic lines such that ℓ' is contained in a closed halfplane H defined by ℓ . Let $x \in \ell \cap \ell'$, and let r_1, \dots, r_4 be the four rays emanating from x with $\ell = r_1 \cup r_2$ and $\ell' = r_3 \cup r_4$ and r_1, r_4, r_3, r_2 appear in that order around x on H . Then $r_1 \cup r_3$ and $r_2 \cup r_4$ are also geodesic lines.*

Since a Busemann surface \mathcal{S} is homeomorphic to the plane \mathbb{R}^2 , the properties of \mathbb{R}^2 preserved by homeomorphisms also hold in \mathcal{S} . For example, any simple closed curve γ in \mathcal{S} divides the surface \mathcal{S} into an interior region $\mathcal{R} := \mathcal{R}(\gamma)$ bounded by γ and an exterior region. Moreover, \mathcal{R} is a contractible bounded subset of \mathcal{S} . A cut of \mathcal{R} with endpoints $x, y \in \gamma$ is a path $\mu : [a, b] \rightarrow \mathcal{R}$ such that $\mu(a) = x, \mu(b) = y$, and $\mu(c) \in \mathcal{R}$ for any $a \leq c \leq b$. Using the homeomorphism between \mathcal{S} and \mathbb{R}^2 , one can see that any cut μ of \mathcal{R} divides \mathcal{R} into two contractible bounded regions. Analogously, if x, u, y, v are four points occurring in this order on γ , μ' is a cut of \mathcal{R} with endpoints x, y , and μ'' is a cut of \mathcal{R} with endpoints u, v , then μ' and μ'' cross and divide \mathcal{R} into four contractible regions.

Using this kind of arguments, one can derive the following basic properties of Busemann surfaces:

- (1) If $\Delta(x, y, z)$ is a triangle and $t \in [y, z]$, then $\Delta(x, y, z)$ is divided into two triangles $\Delta(x, y, t)$ and $\Delta(x, z, t)$ (i.e., $\Delta(x, y, z) = \Delta(x, y, t) \cup \Delta(x, t, z)$ and $\Delta(x, y, t) \cap \Delta(x, t, z) = [x, t]$);
- (2) If $\Delta(x, y, z)$ is a triangle and $u \in [x, y], v \in [x, z]$, and $w \in [y, z]$, then $\Delta(x, y, z)$ is divided into four triangles $\Delta(x, u, v), \Delta(v, w, z), \Delta(u, w, y)$, and $\Delta(u, v, w)$;
- (3) If $\Delta(x, y, z)$ is a triangle and $u \in \Delta(x, y, z)$, then $\Delta(x, y, z)$ is divided into three triangles $\Delta(x, y, u), \Delta(y, z, u)$, and $\Delta(x, z, u)$;
- (4) If $Q = \text{conv}(x, y, z, u)$ is a convex quadrangle with sides $[x, y], [y, z], [z, u], [u, x]$ and $p \in [x, y], s \in [y, z], q \in [z, u], t \in [u, x]$, then the geodesic segments $[p, q]$ and $[s, t]$ divide Q into four convex quadrangles.

We will denote by $\partial B_r(x)$ the *sphere* of center x and radius r ; $\partial B_r(x)$ can be viewed as the difference between $B_r(x)$ and $B_r^\circ(x)$ or, equivalently, as the set $\{y \in \mathcal{S} : d(x, y) = r\}$. The following property is also a consequence of the homeomorphism between \mathcal{S} and \mathbb{R}^2 :

Lemma 11. *Any sphere $\partial B_r(x)$ of \mathcal{S} is homeomorphic to the circle \mathbb{S}^1 of \mathbb{R}^2 .*

We continue with some new properties of Busemann surfaces. Let $\pi(x, y, z)$ denote the perimeter of $\Delta(x, y, z)$, i.e., $\pi(x, y, z) = d(x, y) + d(y, z) + d(z, x)$. Then the following monotonicity properties of triangles holds:

Lemma 12. *If $x', y', z' \in \Delta(x, y, z)$, then $\pi(x', y', z') \leq \pi(x, y, z)$. Moreover, the equality holds only if either $\{x', y', z'\} = \{x, y, z\}$ or $\Delta(x, y, z)$ is degenerated, i.e., the points x, y, z are collinear.*

Proof. First assume that $\{x', y', z'\} \subset \partial\Delta(x, y, z)$. Then the inequality $\pi(x', y', z') \leq \pi(x, y, z)$ easily follows by applying the triangle inequality.

Otherwise we may assume by symmetry that $x' \notin \partial\Delta(x, y, z)$. By Lemma 8 (convexity of triangles), $(x', y') \cap \Delta(x, y, z)$ is a segment $[x'', y'']$ with $x'', y'' \in \partial\Delta(x, y, z)$. Again by convexity of triangles, $(x'', z') \cap \Delta(x, y, z)$ is a segment $[x'', z'']$ with $x'', z'' \in \partial\Delta(x, y, z)$ and such that $z' \in [x'', z'']$. Since $x'', y'', z'' \in \partial\Delta(x, y, z)$, by the first case we have $\pi(x'', y'', z'') \leq \pi(x, y, z)$. By construction, $x', y' \in [x'', y'']$ and $z' \in [x'', z'']$, whence again by the first case we have $\pi(x', y', z') \leq \pi(x'', y'', z'')$. Consequently, $\pi(x', y', z') \leq \pi(x, y, z)$.

The case of equality follows easily in the first case and from the fact that we reduced the general case to the first case. \square

Lemma 13. *If $u, v \in \Delta(x, y, z)$ and $d(x, y), d(y, z), d(z, x) \leq \delta$, then $d(u, v) \leq \delta$.*

Proof. Since $x, y, z \in B_\delta(x)$ and the ball $B_\delta(x)$ is convex, $\Delta(x, y, z) \subseteq B_\delta(x)$. Hence $u \in B_\delta(x) \cap B_\delta(y) \cap B_\delta(z)$, or equivalently $x, y, z \in B_\delta(u)$. Again, since $B_\delta(u)$ is convex, $v \in \Delta(x, y, z) \subseteq B_\delta(u)$, whence $d(u, v) \leq \delta$. \square

We continue with the following quadrangle condition:

Lemma 14. *If x, y, u, v are four points of \mathcal{S} such that $[x, y] \cap [u, v] \neq \emptyset$, then $\max\{d(x, u) + d(y, v), d(x, v) + d(y, u)\} \leq d(x, y) + d(u, v)$.*

Proof. Let $z \in [x, y] \cap [u, v]$. By triangle inequality, $d(x, u) \leq d(x, z) + d(z, u)$ and $d(v, y) \leq d(v, z) + d(z, y)$. Hence, $d(x, u) + d(v, y) \leq d(x, z) + d(z, u) + d(v, z) + d(z, y) = d(x, y) + d(u, v)$. Likewise, $d(x, v) + d(y, u) \leq d(x, y) + d(u, v)$. \square

The following lemma is a very particular case of a result of [23] established for all n -dimensional uniquely geodesic spaces:

Lemma 15. (*Helly property*) *Any collection $\mathcal{C} = \{C_i : i \in I\}$ of compact convex sets of \mathcal{S} has a nonempty intersection provided any three sets of \mathcal{C} have a nonempty intersection. In particular, any collection of closed balls \mathcal{B} of \mathcal{S} has a nonempty intersection provided any three balls of \mathcal{B} intersect.*

For a compact set S and a point $u \in S$, the *eccentricity* of u in S is $e_S(u) = \max\{d(u, v) : v \in S\}$. The *diameter* $\text{diam}(S)$ of S is the maximum eccentricity of a point u of S , i.e., $\text{diam}(S) = \max\{d(u, v) : u, v \in S\}$.

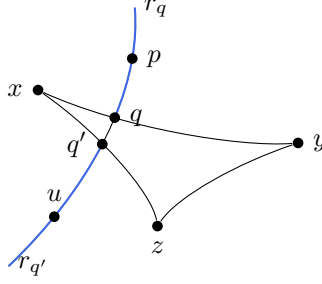


FIGURE 1. Illustration for Lemma 17.

Lemma 16. *For any compact set S of \mathcal{S} , any point $u \in S$ has the same eccentricity in the sets $\text{conv}(S)$ and S . Moreover, the sets S and $\text{conv}(S)$ have the same diameter.*

Proof. Let $r := e_S(u)$ and $R := \text{diam}(S)$. The set $\text{conv}(S)$ can be constructed as the directed union of the sets $S_0 = S \subseteq S_1 \subseteq S_2 \subseteq \dots$, where $S_i = \bigcup_{x, y \in S_{i-1}} [x, y]$. By induction on i we will prove that $e_{S_i}(u) = r$ and $\text{diam}(S_i) = R$. This is obvious for $i = 0$. Suppose now $i > 0$. Suppose this holds for all $j < i$ and pick any two points $x, y \in S_i$. By the definition of S_i , there exist four (not necessarily distinct) points $x', x'', y', y'' \in S_{i-1}$ such that $x \in [x', x'']$ and $y \in [y', y'']$. Since $\text{diam}\{x', x'', y', y''\} \leq \text{diam}(S_{i-1}) = R$, we deduce that $x', x'' \in B_R(y') \cap B_R(y'')$. By convexity of balls, $x \in B_R(y') \cap B_R(y'')$, i.e., $d(x, y') \leq R$ and $d(x, y'') \leq R$. Hence $y', y'' \in B_R(x)$. Consequently, since $y \in [y', y'']$ and $B_R(x)$ is convex, $d(x, y) \leq R$, i.e., $\text{diam}(S_i) = R$. Analogously, since $d(u, x'), d(u, x'') \leq r$, the convexity of the ball $B_r(u)$ implies that $d(u, x) \leq r$, whence $e_{S_i}(u) = r$. \square

For a point u and a geodesic segment $[x, y]$, the *shade* of $[x, y]$ with respect to u is the set

$$\text{Sh}_u(x, y) := \{p \in \mathcal{S} : [u, p] \cap [x, y] \neq \emptyset \text{ and some line } (u, p) \text{ separates } x \text{ and } y\}.$$

The second condition in the definition of $\text{Sh}_u(x, y)$, about a line separating x from y might seem irrelevant, but in a Busemann surface, two lines may be tangent without crossing each other (as in the conditions of Lemma 10). In particular, if (u, p) is tangent to $[x, y]$, then x and y are not necessarily separated by (u, p) .

The *shade* $\text{Sh}_u(x, y, z)$ of a triangle $\Delta(x, y, z)$ with respect to a point $u \notin \Delta(x, y, z)$ is the union of the shades of its three sides with respect to u :

$$\text{Sh}_u(x, y, z) := \text{Sh}_u(x, y) \cup \text{Sh}_u(y, z) \cup \text{Sh}_u(z, x).$$

Lemma 17. *Every point $p \in \text{Sh}_u(x, y, z) \setminus \Delta(x, y, z)$ is contained in two of the three shades $\text{Sh}_u(x, y)$, $\text{Sh}_u(y, z)$, and $\text{Sh}_u(x, z)$.*

Proof. Let ℓ be a geodesic extension of $[u, p]$; since $p \in \text{Sh}_u(x, y, z)$ we may assume that ℓ separates x and y . By homeomorphism to \mathbb{R}^2 , ℓ must also separate x from z or z from y , say the first. Thus both $[x, y]$ and $[x, z]$ are intersected by ℓ . Choose $q \in \ell \cap [x, y]$ and $q' \in \ell \cap [x, z]$. Then $[q, q'] \subseteq \Delta(x, y, z)$, by convexity of triangles.

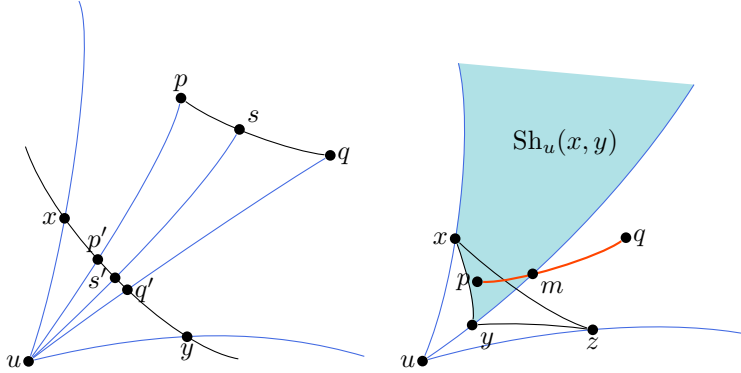


FIGURE 2. Illustrations for the proof of Lemma 18.

Since $u, p \notin \Delta(x, y, z)$, u and p are each contained in one of the rays $r_{q'}$ and r_q , where $r_q, r_{q'}$ are defined in such a way that $r_{q'} \cup [q', q] \cup r_q = \ell$ and the rays r_q and $r_{q'}$ are disjoint; see Figure 1. If both u and p are contained in the same ray, say $r_{q'}$, then as $[u, p] \cap \Delta(x, y, z) \neq \emptyset$ and $[q, q'] \subseteq \Delta(x, y, z)$, one of u and p would be in $\Delta(x, y, z)$ by convexity of triangles, and this would be a contradiction. Hence u and p are in distinct rays. This implies that $q' \in [u, p]$, $[u, p]$ intersects $[x, z]$, whence $p \in \text{Sh}_u(x, z)$. \square

Lemma 18. *For any point u , any geodesic segment $[x, y]$ not containing u , and any triangle $\Delta(x, y, z)$ not containing u , the shades $\text{Sh}_u(x, y)$ and $\text{Sh}_u(x, y, z)$ are convex.*

Proof. Let $p, q \in \text{Sh}_u(x, y)$ and $s \in [p, q]$ (see Figure 2, left). We may assume $s \notin \{p, q\}$. Let $p' \in [x, y] \cap [u, p]$ and $q' \in [x, y] \cap [u, q]$. Suppose without loss of generality that x, p', q', y occur in this order on $[x, y]$. By Pasch axiom there exists a point $s' \in [p', q'] \cap [u, s]$. Let ℓ be some line extending $[u, s]$.

If ℓ is tangent to (p, q) at s , by Lemma 10, $s \in [u, p]$ or $s \in [u, q]$, and then there is a line (x, p) or (x, q) separating y and z , and this line extends $[x, s]$. Otherwise, ℓ separates p and q . But ℓ does not separate x and p (witnessed by the curve with support $[x, p'] \cup [p', p]$), and similarly does not separate y and q . By homeomorphism to \mathbb{R}^2 , ℓ separates \mathcal{S} into exactly two connected components, hence ℓ separates x and y . Thus $s \in \text{Sh}_u(x, y)$, establishing the convexity of $\text{Sh}_u(x, y)$.

Now we will prove the convexity of $\text{Sh}_u(x, y, z)$. If each of p and q is not contained in $\Delta(x, y, z)$, then by Lemma 17 both p and q belong to a common shade of the sides of Δ . Since this shade is convex, $[p, q] \subset \text{Sh}_u(x, y, z)$. If both p and q are in $\Delta(x, y, z)$, as $\Delta(x, y, z) \subset \text{Sh}_u(x, y, z)$, the result follows by convexity of the triangle $\Delta(x, y, z)$ (Lemma 8).

Finally, assume that $p \in \Delta(x, y, z)$ and $q \notin \Delta(x, y, z)$ (see Figure 2, right). Let p belong to the shade of $[x, y]$. By Lemma 17, q is in the shades of at least two sides. If one of these sides is $[x, y]$, then we are done. So, suppose that $q \notin \text{Sh}_u(x, y)$ and $q \in \text{Sh}_u(y, z) \cap \text{Sh}_u(z, x)$. Let $[p, m] := [p, q] \cap \text{Sh}_u(x, y)$. If $m \in [x, y]$, let m' be a point of $\partial\Delta(x, y, z)$ such that $[m, m']$ is the intersection of $\Delta(x, y, z)$ with some line extending $[p, q]$. In particular, m' is on a side

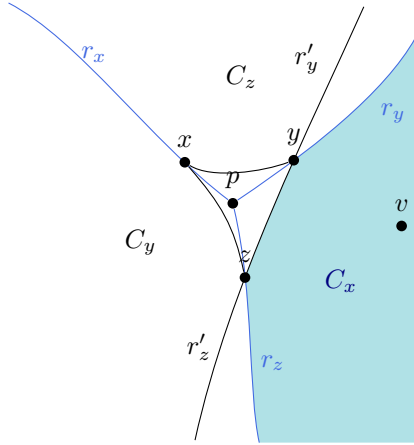


FIGURE 3. Illustration for the proof of Lemma 19.

distinct from $[x, y]$, say $m' \in [x, z]$. Since $p \in [q, m']$ and $m', q \in \text{Sh}_u(x, z)$, $p \in \text{Sh}_u(x, z)$ by convexity of $\text{Sh}_u(x, z)$, and we are done.

Now suppose that the point m is on the boundary of $\text{Sh}_u(x, y)$ and not on $[x, y]$. Since $m \in \text{Sh}_u(x, y)$, there exists a line ℓ extending $[u, m]$ and separating x from y . By the definition of Busemann spaces, if ℓ does not pass via x or y , then for any point m' in a small enough neighborhood of m , the geodesic $[u, m']$ also intersects $[x, y]$ and a line extending $[u, m']$ will separate x from y , whence $m' \in \text{Sh}_u(x, y)$. But this contradicts the choice of m as the point such that $[p, m] = [p, q] \cap \text{Sh}_u(x, y)$. Indeed, the extension of $[p, m]$ through m in the direction of q will contain points m' of $\text{Sh}_u(x, y)$. Hence the line ℓ passes via x or y , i.e., m is in $C_u(x)$ or $C_u(y)$. Hence m belongs to the shade of $[x, z]$ or $[y, z]$. Since $q \in \text{Sh}_u(y, z) \cap \text{Sh}_u(z, x)$, by convexity of that shade we conclude that $[m, q] \subset \text{Sh}_u(x, y, z)$. Since by construction of m , $[p, m] \subset \text{Sh}_u(x, y) \subset \text{Sh}_u(x, y, z)$, we obtain that $[p, q] \subset \text{Sh}_u(x, y, z)$, establishing the convexity of $\text{Sh}_u(x, y, z)$. \square

Lemma 19. *If $v \notin \Delta(x, y, z)$, then there exists a line ℓ extending a side of $\Delta(x, y, z)$ and separating v and $\Delta(x, y, z)$.*

Proof. We may assume x, y and z are not aligned, otherwise any line ℓ containing them would separate the triangle from any point.

Let $p \in \Delta(x, y, z) \setminus \{x, y, z\}$. Let r_x be a ray emanating from x not going through p on a line (p, x) . Define similarly r_y and r_z . Those three rays are distinct because x, y and z are not aligned. Then by homeomorphism to \mathbb{R}^2 , $r_x \cup r_y \cup r_z \cup \partial\Delta(x, y, z)$ separates the surface \mathcal{S} into 4 connected components, one of them being $\Delta(x, y, z)$ (see Figure 3). We may assume that v is in the closure C_x of the component with boundary $\gamma := r_y \cup [y, z] \cup r_z$. Hence γ separates v from $\Delta(x, y, z)$.

Let (y, z) be an extension of $[y, z]$, let r'_y be the ray of (y, z) from y not containing z , and r'_z be the ray of (y, z) from z not containing y , so that $(y, z) = r'_y \cup [y, z] \cup r'_z$. Then we

may assume that r'_y does not intersect the interior of C_x . Indeed, otherwise (y, z) is tangent to (p, y) on y , hence by Lemma 10 we could choose (y, z) such that $r_y = r'_y$. Similarly we may assume r'_z does not intersect the interior of C_x . Hence the line (y, z) separates C_x from $\Delta(x, y, z)$. \square

3.3. Proof of Proposition 2. In this subsection we will prove the following Proposition 2:

Proposition 2. *Let S be a compact subset of a Busemann surface (\mathcal{S}, d) and suppose that the diameter of S is at most 2δ . Then S can be covered with 3 balls of radius δ , i.e., $\rho(S) \leq 3$.*

Proof. Let S be a compact subset of (\mathcal{S}, d) and suppose that the diameter of S is at most 2δ . Since by Lemma 16, the diameter of $\text{conv}(S)$ coincides with the diameter of S and $\text{conv}(S)$ is compact, we will further assume without loss of generality that S is convex. We will prove that S can be covered with three balls of radius δ . Since $\text{diam}(S) \leq 2\delta$, any two balls centered at points of S intersect. If any three such balls intersect, then Lemma 15 implies that $\bigcap_{x \in S} B_\delta(x) \neq \emptyset$ and if v is an arbitrary point from this intersection, then $S \subseteq B_\delta(v)$. Therefore, further we can suppose that S contains triplets of points such that the δ -balls centered at these points have an empty intersection. We will call such triplets *critical*.

Let $x, y, z \in S$ be an arbitrary triplet of points of S . Denote by x^*, y^* , and z^* the midpoints of the geodesics $[y, z]$, $[x, z]$, and $[x, y]$, respectively. Since $d(x, y), d(y, z), d(z, x) \leq 2\delta$, from Proposition A we conclude that $d(x^*, y^*), d(y^*, z^*), d(z^*, x^*) \leq \delta$. Let $A_x := \Delta(x, y, z) \cap B_\delta(y) \cap B_\delta(z)$, $A_y := \Delta(x, y, z) \cap B_\delta(x) \cap B_\delta(z)$, and $A_z := \Delta(x, y, z) \cap B_\delta(x) \cap B_\delta(y)$. These sets are compact (as the intersection of compact sets) and nonempty (because $x^* \in A_x, y^* \in A_y$, and $z^* \in A_z$). Among all triplets of points, one from each of the sets A_x, A_y , and A_z , let x', y', z' be a triplet with the minimum perimeter $\pi(x', y', z')$ of $\Delta(x', y', z')$. Such a triplet exists because the sets A_x, A_y , and A_z are compact. If the triplet x, y, z is not critical, then the points x', y', z' coincide. We will call $\Delta(x', y', z')$ a *critical triangle* for the triplet x, y, z .

The *roadmap of the proof* is as follows: we prove that the three δ -balls centered at x', y' , and z' cover the whole set S (Claim 6). We proceed by contradiction and assume that there is an uncovered point $v \in S$. The proof depends on the position of v . The first part of the proof is to exhibit a suitable partition of the set S . First, the triangle $\Delta(x, y, z)$ is subdivided into seven smaller triangles (Claim 5, see Figure 5 Case 1), and we show that each of them is covered. Thus v must be outside $\Delta(x, y, z)$. If one of the segments $[x, v]$, $[y, v]$, and $[z, v]$ intersects the critical triangle $\Delta(x', y', z')$, then again v is covered (Figure 5 Cases 2 and 3). Finally, in the remaining cases (Figure 5 Case 4), we show that v with two points among x, y, z define a critical triangle with a larger perimeter, contradicting the choice of $\Delta(x, y, z)$. Claims 1–5 are about the geometry of S with respect to the defined points. Claim 6 examines the four possible locations of v , illustrated in Figure 5, and discards each of them.

We continue with simple properties of critical triplets and their critical triangles:

Claim 1. *If x, y, z is a critical triplet of S , then (a) the triangle $\Delta(x', y', z')$ is non-degenerated and (b) $x' \in \partial B_\delta(y) \cap \partial B_\delta(z)$, $y' \in \partial B_\delta(z) \cap \partial B_\delta(x)$, and $z' \in \partial B_\delta(x) \cap \partial B_\delta(y)$.*

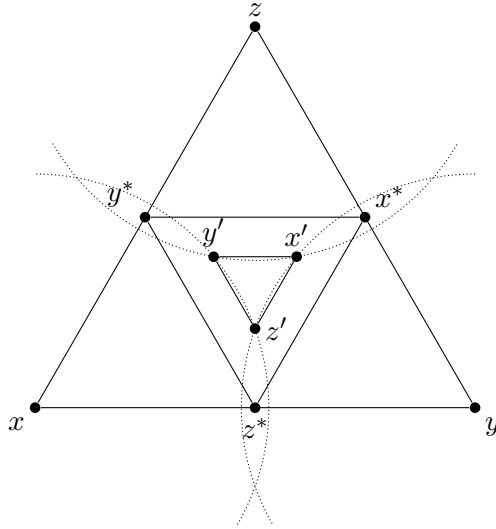


FIGURE 4. The choice of points x', y', z' in Proposition 2.

Proof. The assertion (a) follows from the convexity of balls: if $\Delta(x', y', z')$ is degenerated and say $y' \in [x', z']$, since $x', z' \in B_\delta(y)$, from the convexity of $B_\delta(y)$ we conclude that $y' \in B_\delta(y)$, contrary to the assumption that x, y, z is critical.

To prove (b), suppose by way of contradiction that $y' \notin \partial B_\delta(x)$, i.e., $d(x, y') < \delta$. Then there exists an $\varepsilon > 0$ such that $B_\varepsilon^\circ(y') \subset B_\delta(x)$. On the other hand, the intersection $B_\varepsilon^\circ(y') \cap \Delta(x', y', z')$ is different from y' . Since $y', x' \in B_\delta(z)$, the convexity of $B_\delta(z)$ implies that $[y', x'] \subset B_\delta(z)$. Therefore, we can find a point $y'' \in [y', x'] \cap B_\varepsilon^\circ(y')$ different from y' . Then $y'' \in \Delta(x', y', z') \subseteq \Delta(x, y, z)$ and y'' still belongs to the intersection $B_\delta(x) \cap B_\delta(z)$. Since $\Delta(x', y', z')$ is non-degenerated, by Lemma 12, we obtain $\pi(x', y'', z') < \pi(x', y', z')$, contrary to the choice of the points x', y', z' . This finishes the proof of Claim 1. \square

Now, among all triplets of S select a triplet x, y, z for which the perimeter of the critical triangle $\Delta(x', y', z')$ is as large as possible. Notice that such a triplet necessarily exists since the perimeter function $\pi : S \times S \times S \rightarrow \mathbb{R}^+$ is continuous because S is convex and attain a maximum because S is compact. Clearly, x, y, z is a critical triplet of S .

Claim 2. $\Delta(x', y', z') \subseteq \Delta(x^*, y^*, z^*)$. In particular, $d(x', y'), d(y', z'), d(z', x') \leq \delta$.

Proof. Since $\Delta(x^*, y^*, z^*)$ is convex, it suffices to show that $x', y', z' \in \Delta(x^*, y^*, z^*)$. By their definition, the points x', y', z' belong to $\Delta(x, y, z)$. The triangle $\Delta(x, y, z)$ is the union of four triangles $\Delta(x, y^*, z^*), \Delta(x^*, y, z^*), \Delta(x^*, y^*, z)$, and $\Delta(x^*, y^*, z^*)$. Suppose by way of contradiction that one of the points x', y', z' is located in $\Delta(x, y^*, z^*) \setminus [y^*, z^*]$. Since $d(x, y^*), d(x, z^*) \leq \delta$, by the convexity of $B_\delta(x)$, $d(x, v) \leq \delta$ for any point $v \in [y^*, z^*]$. Now, if a point w belongs to $\Delta(x, y^*, z^*) \setminus [y^*, z^*]$, then extending the geodesic $[x, w]$ through w we will find a point $w' \in [y^*, z^*]$ such that $w \in [x, w']$. Since $d(x, w') \leq \delta$, we conclude that $d(x, w) <$

δ . Consequently, neither of the points x', y', z' can belong to $\Delta(x, y^*, z^*) \setminus [y^*, z^*]$ (because each of them belongs to two spheres and does not belong to the third ball). Analogously, one can prove that x', y', z' do not belong to $\Delta(x^*, y, z^*) \setminus [x^*, z^*]$ and to $\Delta(x^*, y^*, z) \setminus [x^*, y^*]$. Consequently, $x', y', z' \in \Delta(x^*, y^*, z^*)$. The second assertion follows from Lemma 13. This establishes Claim 2. \square

We continue with a monotonicity property of the shade $\text{Sh}_x(y', z')$. Let $s(y') \in [y, z] \cap [x, y')$ and $s(z') \in [y, z] \cap [x, z')$, where $[x, y')$ and $[x, z')$ are two rays with origin x passing through y' and z' , respectively. We will call $s(y')$ and $s(z')$ the *shadows* of y' and z' in $[y, z]$ (or in any line (y, z) extending $[y, z]$). Analogously, one can define the shadow $s(p)$ in $[y, z]$ of any point $p \in [y', z']$ or of any point $p \in \Delta(x, y, z)$.

Claim 3. *For any choice of the shadows $s(y')$ and $s(z')$ of y' and z' in $[y, z]$, the points $y, s(z'), s(y'), z$ occur in this order on $[y, z]$.*

Proof. Suppose by way of contradiction that $y, s(y'), s(z'), z$ occur in this order on $[y, z]$. Then $y' \in [x, s(y')] \subset \Delta(x, y, s(z'))$. If $y' \in \Delta(x, y, z')$, then by Lemma 12 (perimeters of triangles with basis $[x, y]$), we have

$$2\delta < d(x, y') + d(y', y) \leq d(x, z') + d(z', y) = 2\delta,$$

a contradiction. On the other hand, if $y' \in \Delta(z', y, s(z'))$, then $[y', z]$ intersects $[x, s(z')]$ and $[z', s(z')]$. Consequently, $z' \in \Delta(x, y', z)$ and this case is symmetric to the first case. Since $\Delta(x, y, z')$ and $\Delta(z', y, s(z'))$ cover $\Delta(x, y, s(z'))$, this finishes the proof of Claim 3. \square

Claim 4. *If $p, q \in \Delta(x, y, z), v \in \text{Sh}_x(p, q)$, and $v' \in [y, z] \cap (x, v)$, where (x, v) is a line passing via x and v and separating p and q , then there exist shadows $s(p)$ and $s(q)$ of p and q in $[y, z]$ such that $v' \in [s(p), s(q)]$.*

Proof. Pick any shadows $s(p)$ and $s(q)$ of p and q in $[y, z]$. Suppose without loss of generality that the points $y, s(p), s(q), z$ occur in this order on $[y, z]$. Assume that $v' \notin [s(p), s(q)]$, otherwise we are done. Suppose without loss of generality that $v' \in [y, s(p)]$. Since $x, s(p)$, and $s(q)$ all belong to a common closed halfplane defined by $(x, v') = (x, v)$, the whole triangle $\Delta(x, s(p), s(q))$ also belong to this halfplane. Since $p, q \in \Delta(x, s(p), s(q))$ and the line (x, v) separates p and q , we conclude that $p \in (x, v)$. This implies that $p \in [x, v']$ and consequently, v' is a shadow of p in $[y, z]$. Thus selecting v' as a shadow $s(p)$ of p we are done. \square

Claim 5. *The seven triangles*

$$\Delta(x, y, z'), \Delta(x, y', z), \Delta(x', y, z), \Delta(x, y', z'), \Delta(x', y, z'), \Delta(x', y', z), \Delta(x', y', z')$$

partition the triangle $\Delta(x, y, z)$.

Proof. First we show that $\Delta(y, z, x') = \Delta(y, z, s_y(x')) \cap \Delta(y, z, s_z(x'))$, where $s_y(x')$ and $s_z(x')$ are shadows of x' in $[x, z]$ with respect to y and in $[x, y]$ with respect to z . Indeed, since $x' \in [y, s_y(x')] \cap [z, s_z(x')]$, by convexity of triangles we have $\Delta(y, z, x') \subseteq \Delta(y, z, s_y(x')) \cap \Delta(y, z, s_z(x'))$. To prove the converse inclusion, let $w \in \Delta(y, z, s_y(x')) \cap \Delta(y, z, s_z(x'))$ and

suppose that $w \notin \Delta(y, z, x')$. Then $w \in \Delta(y, z, s_z(x')) \setminus \Delta(y, z, x') = \Delta(y, x', s_z(x')) \setminus [y, x']$. Since any shadow of w in $[x, z]$ with respect to y belongs to $[x, s_y(x')] \setminus \{s_y(x')\}$, this contradicts $w \in \Delta(y, z, s_y(x'))$. In the same way, we can prove analogous statements for $\Delta(x, y, z')$ and $\Delta(x, z, y')$. From this and Claim 3 we deduce that the triangles $\Delta(y, z, x')$, $\Delta(x, y, z')$, and $\Delta(x, z, y')$ pairwise intersect only in the segments $[x, z'] \cap [x, y']$, $[y, x'] \cap [y, z']$ and $[z, x'] \cap [z, y']$.

Let P be the closure of $\Delta(x, y, z) \setminus (\Delta(y, z, x') \cup \Delta(x, y, z') \cup \Delta(x, z, y'))$. Then P is a hexagon with vertices x, y', z, x', y, z' and sides $[x, y']$, $[y', z]$, $[z, x']$, $[x', y]$, $[y, z']$, and $[z', x]$. We assert that $[x', y']$, $[x', z']$, and $[y', z']$ are diagonals of P (i.e., belong to P). If $[x', y']$ is not included in P , then P contains a vertex in $\Delta(z, x', y')$ different from z, x', y' . Clearly, this vertex can only be z' . But $\Delta(z, x', y') \subseteq B_\delta(z)$ and $d(z, z') > \delta$, a contradiction. The three diagonals do not cross each other because they pairwise have a common extremity. Hence $[x', y']$, $[x', z']$, $[y', z']$ triangulate P , concluding the proof of the claim. \square

Claim 6. $S \subseteq B_\delta(x') \cup B_\delta(y') \cup B_\delta(z')$.

Proof. Pick any point $v \in S$. We distinguish four cases, depending of the location of v .

Case 1: $v \in \Delta(x, y, z)$.

Then v is located in one of the seven triangles defined in Claim 5. First suppose that $v \in \Delta(x', y', z')$. Since by Claim 2 each side of $\Delta(x', y', z')$ is of length at most δ , by convexity of balls, $\Delta(x', y', z')$ belong to each of the balls $B_\delta(x')$, $B_\delta(y')$, and $B_\delta(z')$, whence $d(x', v), d(y', v), d(z', v) \leq \delta$.

Now suppose that $v \in \Delta(x, y', z') \cup \Delta(x', y, z') \cup \Delta(x', y', z)$, say $v \in \Delta(x, y', z')$. Analogously to the previous case, since the sides of the triangle $\Delta(x, y', z')$ are at most δ , we conclude that $d(y', v), d(z', v) \leq \delta$. Finally, suppose that $v \in \Delta(x, y, z') \cup \Delta(x', y, z) \cup \Delta(x, y', z)$, say $v \in \Delta(x, y, z')$. Then $x, y \in B_\delta(z')$, whence $v \in \Delta(x, y, z') \subseteq B_\delta(z')$, yielding $d(z', v) \leq \delta$. This concludes the proof of Case 1.

Further, we will assume that $v \notin \Delta(x, y, z)$.

Case 2: $v \in \text{Sh}_x(y', z') \cup \text{Sh}_y(x', z') \cup \text{Sh}_z(x', y')$.

Suppose without loss of generality that v belongs to the shade $\text{Sh}_x(y', z')$. If $x' \in [x, v]$, then $d(x', v) = d(x, v) - d(x, x') \leq \delta$ and we are done since the diameter is at most 2δ , hence we assume from now that $x' \notin [x, v]$. We have $[x, v] \cap [y', z'] \neq \emptyset$. Then by Lemma 17 $[x, v]$ intersects one of the sides $[z', x']$ and $[y', x']$ of $\Delta(x', y', z')$, say $[z', x']$. But then $[x, v]$ intersects $\partial B_\delta(x) \cap \Delta(x', y', z')$ in a point v' and $\partial B_\delta(y) \cap \Delta(x', y', z')$ in a point v'' , where $v' \in [x, v'']$. Since $d(v, x) \leq 2\delta$ and $d(x, v') = \delta$, we conclude that $d(v, v'') \leq d(v, v') \leq 2\delta - \delta = \delta$.

Next, we assert that $[y, x'] \cap [v, v''] \neq \emptyset$. Let $s(x')$ be a shadow of x' on $[y, z]$; we may assume that $[x, v] \cap [y, s(x')] \neq \emptyset$. Then considering $\Delta(y, s(x'), x')$, the geodesic $[x, v]$ intersects another of its side, either $[y, x']$ or $[x', s(x')]$. In the latter case, it follows that $x' \in [x, v]$ and we excluded that case. Hence we can assume the former case. Then as v'' is not in the interior of $\Delta(y, x', z)$ by Claim 5. $[v'', v] \cap [y, x'] \neq \emptyset$, as asserted.

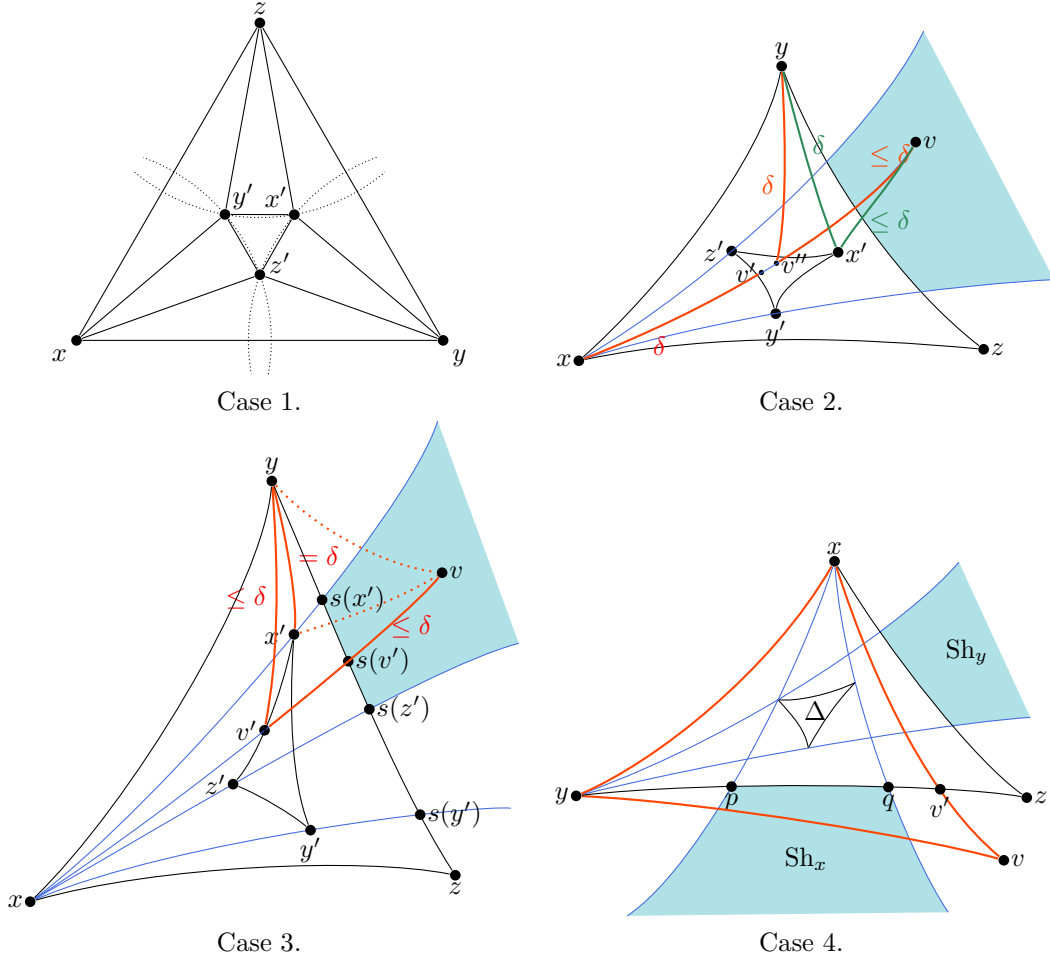


FIGURE 5. Illustration of the proof of Claim 6.

Hence, we can suppose that $[y, x'] \cap [v, v''] \neq \emptyset$. By Lemma 14, $d(y, v'') + d(v, x') \leq d(y, x') + d(v, v'')$. Since $d(y, v'') = d(y, x') = \delta$ and $d(v, v'') \leq \delta$, we obtain that $d(v, x') \leq \delta$, concluding the proof of Case 2.

Case 3: $v \in \text{Sh}_x(x', y', z') \cup \text{Sh}_y(x', y', z') \cup \text{Sh}_z(x', y', z')$.

Suppose without loss of generality that $v \in \text{Sh}_x(x', y', z')$. In view of Case 2, we can assume that $v \notin \text{Sh}_x(y', z')$. By Lemma 17 $v \in \text{Sh}_x(x', y') \cap \text{Sh}_x(x', z')$. By definition of $\text{Sh}_x(x', z')$, there is a line (x, v) passing via x and v and separating x' from z' . Let $v' \in [x', z'] \cap (x, v)$. Let $s(v')$ be a shadow of v' in $[y, z]$ such that $s(v') \in [x, v] \cap [y, z]$ (it exists because $v' \in [x, v]$). Notice that $s(v') \notin \text{Sh}_x(y', z')$. Indeed, otherwise there exists a line $(x, s(v'))$ extending $[x, s(v')]$ and separating the points y' and z' . But then $[x, s(v')]$ separates y' and z' in $\Delta(x, y, z)$. Therefore any line extending $[x, s(v')]$, in particular the line

(x, v) , also separates the points y' and z' . This contradicts the assumption $v \notin \text{Sh}_x(y', z')$. Hence $s(v') \notin \text{Sh}_x(y', z')$.

Consider the shadows $s(x')$, $s(y')$, and $s(z')$ of x' , y' , and z' in $[y, z]$ such that $s(v') \in [s(x'), s(z')]$ (such shadows $s(x')$ and $s(z')$ exist by Claim 4). Since $\text{Sh}_x(y', z')$ is convex (Lemma 18) and $s(v') \notin \text{Sh}_x(y', z')$, we conclude that $s(v')$ does not belong to $[s(z'), s(y')]$. By Claim 3, either $s(v')$ belongs to $[y, s(z')]$ or $s(v')$ belongs to $[s(y'), z]$, say the first. Consequently, further we will assume that $s(v') \in [y, s(z')]$ and $s(v') \neq s(z')$. We have:

- (i) $d(y, v') \leq \delta$, because $v' \in [x', z']$, $d(y, x') = d(y, z') = \delta$ and $B_\delta(y)$ is convex (Lemma 4),
- (ii) $d(v, v') = d(v, x) - d(v', x) \leq 2\delta - \delta = \delta$, because $v' \in [v, x]$ and $d(v', x) > \delta$ by minimality of $\pi(x', y', z') \geq \pi(x', y', v')$.

Assume now that $x' \in \Delta(y, v, v')$. Then applying Lemma 12 to the triangles $\Delta(y, v, x')$ and $\Delta(y, v, v')$ having $[y, v]$ as a side, we obtain $d(y, x') + d(x', v) \leq d(y, v') + d(v', v)$. Since $d(y, x') = \delta$ and $d(y, v'), d(v', v) \leq \delta$, we derive that $d(x', v) \leq \delta$.

It remains to prove that $x' \in \Delta(y, v, v')$. We prove this in two steps. First, we show that $x' \in \Delta(x, y, v)$. Since $s(v') \in [y, s(z')]$ and $s(v') \neq s(z')$, the point v' belongs to $\Delta(y, x, s(z')) \setminus [x, s(z')]$. Since $v' \in [x', z']$, we conclude that x' also belongs to $\Delta(y, x, s(z')) \setminus [x, s(z')]$. Moreover, since $s(v') \in [s(x'), s(z')]$, the point $s(x')$ is located between y and $s(v')$. Since $x' \in [s(x'), x]$, x' belongs to the triangle $\Delta(x, y, s(v'))$ and therefore to the triangle $\Delta(x, y, v)$.

Second, we prove by way of contradiction that $x' \notin \Delta(x, y, v')$. Otherwise, if $x' \in \Delta(x, y, v')$, let z'' be a point in the intersection of $[x, y]$ and a geodesic line extending $[x', v'] \subseteq [x', z']$. Then $v' \in [z', z''] \subset \Delta(x, y, z')$. Applying Lemma 12 to the triangles $\Delta(x, y, z')$ and $\Delta(x, y, x')$ having $[x, y]$ as a side, we get $2\delta < d(y, x') + d(x', x) \leq d(y, z') + d(z', x) = 2\delta$, a contradiction. This shows that indeed $x' \in \Delta(y, v, v')$ and concludes the proof of Case 3.

Case 4: $v \notin \text{Sh}_x(x', y', z') \cup \text{Sh}_y(x', y', z') \cup \text{Sh}_z(x', y', z')$.

Suppose without loss of generality that v is separated from $\Delta(x', y', z')$ by a line (y, z) extending $[y, z]$ (such a line exists by Lemma 19). Suppose also by way of contradiction that $v \notin B_\delta(x') \cup B_\delta(y') \cup B_\delta(z')$. Since the shade $\text{Sh}_x(x', y', z')$ is convex by Lemma 18, the intersection of $\text{Sh}_x(x', y', z')$ with (y, z) (and with $[y, z]$) is a geodesic segment $[p, q]$. Let $v' \in [x, v] \cap (y, z)$. We assert that $v' \notin [p, q]$. Indeed, if $v' \in [p, q]$, then $v' \in \text{Sh}_x(x', y', z')$, thus the intersection $[x, v'] \cap \Delta(x', y', z')$ is nonempty. Since $[x, v'] \subseteq [x, v]$, we conclude that $[x, v] \cap \Delta(x', y', z') \neq \emptyset$, contrary to our assumption that $v \notin \text{Sh}_x(x', y', z')$. Consequently, $v' \notin [p, q]$. Then one can easily see that either $[p, q] \subseteq [y, v']$ or $[p, q] \subseteq [v', z]$ holds, say the first. In this case, since $s(x'), s(y'), s(z') \in [p, q]$, and $x' \in [x, s(x')]$, $y' \in [x, s(y')]$, $z' \in [x, s(z')]$, we deduce that $x', y', z' \in \Delta(x, y, v)$. This shows that either $\Delta(x', y', z') \subseteq \Delta(x, y, v)$ or $\Delta(x', y', z') \subseteq \Delta(x, z, v)$ holds, say the first.

Let $\Delta(x'', y'', v'')$ be the critical triangle of the triplet x, y, v . We assert that $x', y', z' \in \Delta(x'', y'', v'')$. For this we will first prove that

$$\Delta(x, y, v) \setminus (B_\delta^\circ(x) \cup B_\delta^\circ(y) \cup B_\delta^\circ(v)) \subseteq \Delta(x'', y'', v'').$$

Indeed, since $d(y, x'') = d(y, v'') = \delta$ and the balls are convex, $\Delta(y, v'', x'') \subseteq B_\delta(y)$. Moreover, $\Delta(y, v'', x'') \setminus [v'', z''] \subseteq B_\delta^\circ(y)$. Indeed, any point $p \in \Delta(y, v'', x'') \setminus [v'', z'']$ belongs to a geodesic segment $[y, q]$ with $q \in [v'', z'']$. Since $q \in B_\delta^\circ(y)$ and $p \neq q$, necessarily $d(y, p) < \delta$. Analogously, we obtain that $\Delta(y'', x'', v) \setminus [y'', x''] \subseteq B_\delta^\circ(v)$ and $\Delta(x, v'', y'') \setminus [v'', y''] \subseteq B_\delta^\circ(x)$. On the other hand, each of the triangles $\Delta(x, y, v'')$, $\Delta(x, y'', v)$, and $\Delta(x'', y, v)$ is covered by two of the three open balls $B_\delta^\circ(x)$, $B_\delta^\circ(y)$, and $B_\delta^\circ(v)$. For example, $\Delta(x, y, v'')$ is covered by $B_\delta^\circ(x)$ and $B_\delta^\circ(y)$. Indeed, by monotonicity of perimeters (Lemma 12), for any point $p \in \Delta(x, y, v'')$, we have $\min\{d(p, x), d(p, y)\} \leq \delta$. Moreover, by the same result, if $d(x, p) = \delta$, then $d(y, p) < \delta$. This establishes that $\Delta(x, y, v'') \subseteq B_\delta^\circ(x) \cup B_\delta^\circ(y)$. Now, the required inclusion follows from Claim 5.

Since z' has distance δ to x and y and z' has distance $> \delta$ to z and v , from previous inclusion we obtain $z' \in \Delta(x'', y'', v'')$. Analogously, since x' has distance δ to y and z and x' has distance $> \delta$ to x and v , we conclude that $x' \in \Delta(x'', y'', v'')$ (the proof for y' is analogous). Hence $x', y', z' \in \Delta(x'', y'', z'')$. From Lemma 12 we conclude that $\pi(x', y', z') < \pi(x'', y'', v'')$, contrary to the choice of the triplet x, y, z as a triplet having a critical triangle $\Delta(x', y', z')$ of maximal perimeter. This concludes the proof of Claim 6 and of Proposition 2. \square

\square

3.4. Proof of Proposition 3. We start by restating Proposition 3:

Proposition 3. *Let S be a compact subset of a Busemann surface (\mathcal{S}, d) and let $u, v \in S$ be a diametral pair of S . Then $B_{2\delta}(v) \cap S$ can be covered by 19 balls of radius δ .*

Proof. Let S be a compact subset of a Busemann surface (\mathcal{S}, d) . Let u, v be a diametral pair of S , i.e., $u, v \in S$ and $d(u, v) = \text{diam}(S)$. Let $\ell := (u, v)$ be a line extending $[u, v]$ and let S' and S'' be the intersections of S with the closed halfplanes Π'_ℓ and Π''_ℓ defined by ℓ . We will show how to cover each of the sets $S'_0 := S' \cap B_{2\delta}(v)$ and $S''_0 := S'' \cap B_{2\delta}(v)$ with a fixed number of balls of radius δ . We will establish this for S'_0 , the same method works for S''_0 ; at the end we will optimize over the two solutions since some balls from different solutions have the same centers and thus coincide.

If $\text{diam}(S) \leq 2\delta$, we simply apply Proposition 2. Therefore, further we will assume that $\text{diam}(S) > 2\delta$. By Lemma 16, u, v is also a diametral pair of $\text{conv}(S)$ and of $\text{conv}(S')$. Let x be a point of $[u, v]$ at distance 2δ from u . Let w be a point of $\text{conv}(S') \cap \partial B_{2\delta}(v)$ maximizing the distance to u , i.e., maximizing the perimeter $\pi(u, v, w)$. Such a point w exists because the set $\text{conv}(S') \cap \partial B_{2\delta}(v)$ is compact and nonempty (the point x belongs to this intersection).

Let x' be a point of $[u, w]$ at distance $\left(1 - \frac{2\delta}{d(u, v)}\right) d(u, w)$ from w . Notice that since $d(u, w) \leq d(u, v)$, we have $d(x', w) \leq 2\delta$. Notice also that if we set $t := 1 - \frac{2\delta}{d(u, v)}$, then $0 < t < 1$ and x is the point of $[u, v]$ such that $d(u, x) = t \cdot d(u, v)$ and x' is the point of $[u, w]$ such that $d(u, x') = t \cdot d(u, w)$. By Proposition A(iv) $d(x, x') \leq t \cdot d(v, w) < d(v, w) \leq 2\delta$. On the other hand, $d(u, x) - d(u, x') = t \cdot (d(u, v) - d(u, w)) \geq 0$. Since $d(x, v) = 2\delta$, we conclude that $d(v, x') \geq 2\delta$ and equality $d(v, x') = 2\delta$ holds if and only if $x = x'$ (because in case of

equality, x and x' belong to the geodesic $[u, v]$ and thus they must coincide). Let A be the quadrilateral of $\Delta(u, v, w)$ bounded by the four geodesics $[x, x']$, $[x', w]$, $[w, v]$, and $[v, x]$.

Claim 1. $\Delta(u, v, w) \cap S'_0 = A \cap S'_0$.

Proof. Indeed, suppose by way of contradiction that there exists a point $z \in \Delta(u, v, w) \cap S'_0$ not belonging to A . Let z' be a point obtained as the intersection of $[x, x']$ with the extension of the geodesic $[u, z]$ through z . Then $z \in [u, z']$ and $z' \neq z$, yielding $d(u, z) < d(u, z')$. Since $d(u, z') \leq \max\{d(u, x), d(u, x')\} = d(u, x)$ by the convexity of balls, we deduce that $d(u, z) < d(u, x)$. Since $d(v, z) \leq 2\delta$ and $d(v, x) = 2\delta$, we conclude that $d(u, z) + d(z, v) < d(u, x) + d(x, v)$, contrary to the choice of x from $[u, v]$. This finishes the proof of Claim 1. \square

Let B be the region of the halfplane Π' consisting of all points z such that $[u, z] \cap [v, w] \neq \emptyset$. Finally, let C be the region of Π' consisting of all points z such that $[z, v] \cap [u, w] \neq \emptyset$. Notice that $B \cup C$ consists of precisely those points z of Π' such that $\Delta(u, v, w)$ and $\Delta(u, v, z)$ are not comparable.

Claim 2. $S'_0 \subseteq A \cup B \cup C$.

Proof. Using the remark preceding the statement, suppose by way of contradiction that $S'_0 \cap \Pi'$ contains a point z such that $\Delta(u, v, w)$ is properly included in $\Delta(u, v, z)$. If $d(v, z) = 2\delta$, then $\pi(u, v, w) < \pi(u, v, z)$ by Lemma 12, and we will obtain a contradiction with the choice of w . Thus $d(v, z) < 2\delta$. Since $u \notin B_{2\delta}(v)$, the geodesic $[u, z]$ intersects $\partial B_{2\delta}(v)$ in a point w' . Let w'' be a common point of $[u, z]$ and a geodesic extension (v, w) . Then $w \in [w'', v]$. Since $d(v, w) = 2\delta$, we have $d(v, w'') > 2\delta$. Since w' and w'' are located on $[u, z]$, $d(v, z) \leq 2\delta$, and $d(v, w') = 2\delta$, the convexity of $B_{2\delta}(v)$ implies that w' is located on $[u, z]$ between w'' and z . This means that $\Delta(u, v, w)$ is properly contained in $\Delta(u, v, w')$. By Lemma 12, $\pi(u, v, w') > \pi(u, v, w)$. Now, since $w' \in [z, u]$, $d(v, w') = 2\delta$, and $z \in S'_0$, we conclude that $w' \in \text{conv}(S') \cap \partial B_{2\delta}(v)$, contradicting the choice of w . This finishes the proof of Claim 2. \square

Now, we will analyze how to cover the points of S'_0 in each of the regions A, B, C .

Claim 3. $\text{diam}(B \cap S'_0) \leq 2\delta$.

Proof. Pick any two points $y, y' \in B \cap S'_0 \leq 2\delta$. If the triangles $\Delta(u, v, y)$ and $\Delta(u, v, y')$ are incomparable, i.e., $y \notin \Delta(u, v, y')$ and $y' \notin \Delta(u, v, y)$, then $[y, v] \cap [y', u] \neq \emptyset$ or $[y', v] \cap [y, u] \neq \emptyset$, say the first (this dichotomy follows from the fact that \mathcal{S} is homeomorphic to \mathbb{R}^2). By Lemma 14, $d(y, y') + d(u, v) \leq d(y, v) + d(u, y')$. Since $d(y, v) \leq 2\delta$ and $d(u, y') \leq d(u, v)$ (by the choice of v), we conclude that $d(y, y') \leq d(y, v) \leq 2\delta$.

Now, suppose that $y' \in \Delta(u, v, y)$. Since $[y, u]$ intersects $[v, w]$ and $d(u, y) \leq d(u, v)$ by the choice of v , by Lemma 14 we have $d(y, w) \leq d(v, w) = 2\delta$. Also $d(v, y) \leq 2\delta$ because $y, y' \in S'_0$. Since $v, w \in B_{2\delta}(y)$, by the convexity of the ball $B_{2\delta}(y)$ we conclude that $y' \in B_{2\delta}(y)$. Hence $d(y, y') \leq 2\delta$. Consequently, $\text{diam}(B \cap S'_0) \leq 2\delta$. \square

Claim 4. $\text{diam}(C \cap S'_0) \leq 2\delta$.

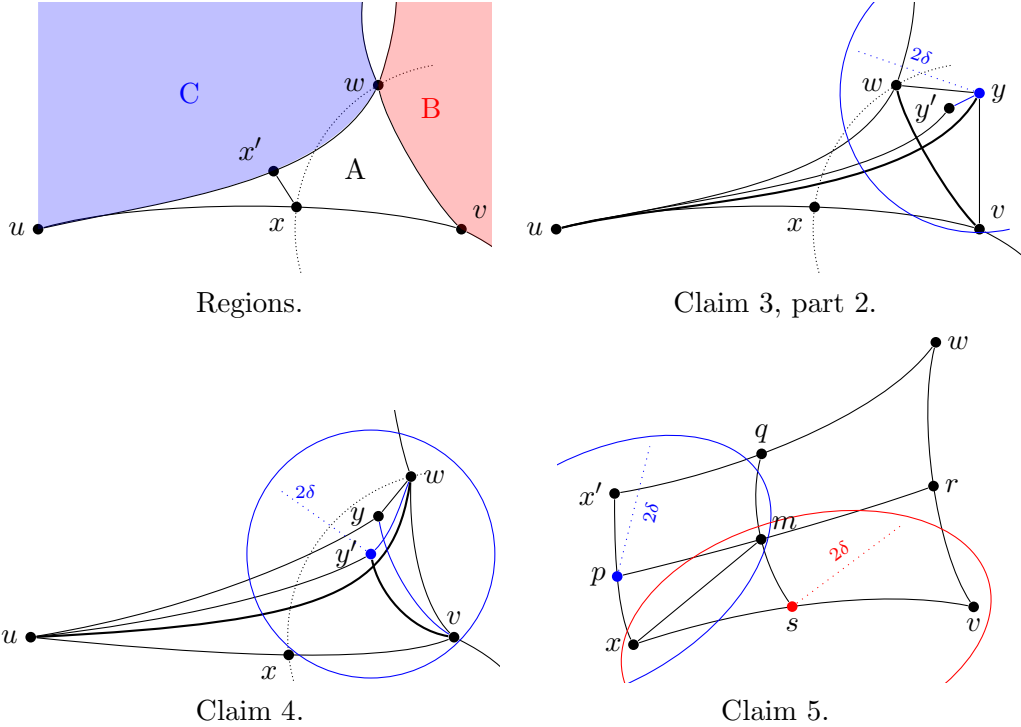


FIGURE 6. Illustration of the proof of Proposition 3.

Proof. Pick any two points $y, y' \in C \cap S'_0$. Again, if the triangles $\Delta(u, v, y)$ and $\Delta(u, v, y')$ are incomparable, then we proceed as in the proof of Claim 1. Now suppose that $y' \in \Delta(u, v, y)$. Since $[y', v]$ intersects $[u, w]$ and $d(v, y') \leq 2\delta$, $d(u, w) \leq d(u, v)$, from Lemma 14 we deduce that $d(y', w) \leq 2\delta$. Since $y' \in \Delta(u, v, y) \setminus \Delta(u, v, w)$, we conclude that $[y, v] \cap [y', w] \neq \emptyset$. Again, by Lemma 14 $d(y, y') + d(v, w) \leq d(y, v) + d(y', w)$. Since $d(v, w) = 2\delta$, $d(y, v), d(y', w) \leq 2\delta$, we immediately conclude that $d(y, y') \leq 2\delta$. \square

Claim 5. *The set A and consequently the set $S'_0 \cap A$ can be covered by 4 balls of radius δ .*

Proof. Recall that A is a convex quadrilateral having all four sides $[x, x']$, $[x', w]$, $[w, v]$, and $[v, x]$ of size at most 2δ . Let p, q, r , and s be the midpoints of $[x, x']$, $[x', w]$, $[w, v]$, and $[v, x]$, respectively. By Proposition A(iii), $d(p, r) \leq 2\delta$ and $d(q, s) \leq 2\delta$. Let m be the midpoint of $[q, s]$. Again, by Proposition A(iii), $d(p, m) \leq \delta$ and $d(m, r) \leq \delta$. Since $d(m, q), d(m, s) \leq \delta$, the geodesics $[m, p]$, $[m, q]$, $[m, r]$, and $[m, s]$ partition A into four convex quadrilaterals with all sides at most δ .

We assert that A is covered by the four δ -balls centered at the points p, q, r and s . Indeed, pick any point z of A . Without loss of generality, we show that the quadrilateral with vertices x, p, m , and s is covered by $B_\delta(p)$ and $B_\delta(q)$. The geodesic $[x, m]$ splits this quadrilateral into two triangles $\Delta(x, p, m)$ and $\Delta(x, s, m)$. By convexity of balls, we have $\Delta(x, p, m) \subseteq B_\delta(p)$ and $\Delta(x, m, s) \subseteq B_\delta(s)$. \square

Summarizing, we conclude that S'_0 can be covered by $3 + 3 + 4 = 10$ balls of radius δ . Analogously, the set S''_0 can be covered by 10 balls of radius δ . However, notice that the ball $B_\delta(s)$ is counted in both coverings, thus $S \cap B_{2\delta}(v)$ can be covered by 19 balls of radius δ . This finishes the proof of Proposition 3. \square

4. OPEN QUESTIONS

We conclude the paper with three open questions.

Question 4.1. Describe a polynomial time algorithm (in the number of sides and the size of the packing) that, given a simple polygon \mathcal{P} with n sides, constructs a covering and a packing of \mathcal{P} satisfying the conditions of Corollary 1. Equivalently, find a polynomial in n algorithm (and maybe in the description of S) to implement each step of the algorithm resulting from Propositions 1-3: finding a covering of a closed subset S of \mathcal{P} of diameter $\leq 2\delta$ with at most 3 balls (Proposition 2) and the construction of the regions A, B , and C in the proof of Proposition 3.

Question 4.2. Is it true that there exists a universal constant c such that $\rho(S) \leq c\nu(S)$ for any compact (finite) subset of points of an arbitrary polygon (with holes) endowed with the geodesic metric? Does such a constant c exist if $\text{diam}(S) \leq 2\delta$, i.e., do polygons with holes satisfy the weak-doubling property? The same questions can be raised for polygons with holes on Busemann surfaces.

Question 4.3. Is it true that the results of this note can be extended to all 2-dimensional Busemann spaces and, more generally, to all n -dimensional Busemann spaces (in the latter case, the constant c will depend of n)? The case of CAT(0) cube complexes (and, in particular, of CAT(0) square complexes) is already interesting and nontrivial.

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