



Practical access to (S)- heterocyclic aromatic acetates via CAL-B /Na₂CO₃ -deacylation and Mitsunobu reaction protocol

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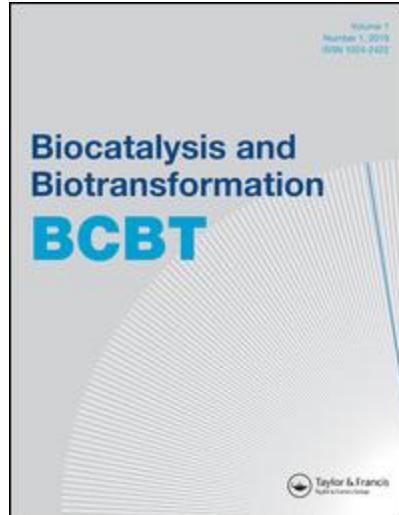
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**Practical access to (S)-heterocyclic aromatic acetates via
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Protocol.**

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Keywords:	Heteroaromatic carbinol, Enzymatic kinetic resolution, Hydrolysis, CAL-B, Na ₂ CO ₃ , Deracemization

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Abstract:

Herein, we report for the first time the preparation of enantiomerically pure forms of 2,3-dihydrobenzofuran-3-ol (**1**), chroman-4-ol (**2**), thiochroman-4-ol (**3**), 1-(furan-2-yl) ethanol (**5**) and 1-(thiophen-2-yl) ethanol (**6**), using kinetic resolution *via* *CAL-B* / Na₂CO₃ hydrolysis in organic media. The (*R*)-furnished alcohols and the (*S*)-remained acetates are recovered enantiopures (ee>99%, E>>200, Conv=50%). Those ideal EKR_s are well incorporated to the Mitsunobu inversion protocol in a one pot procedure to give (*S*)-heterocyclic acetates (**1a-3a**) in good to excellent enantiomeric excess 88%<ee<92%. Whilst, the (*S*)-heteroaromatic acetates (**5a-6a**) are given in moderate enantiomeric excess 51%<ee<62%. All the (*S*)-acetates are given in good isolated chemical yields (>80%) allowing to overcome the maximum of 50% yield which could be usually reached in a regular KR-processes. To date, the enzymatic resolution of 2,3-dihydrobenzofuran-3-ol (**1**) and their acetate is rarely described.

Keywords:

Heterocyclic carbinols, Heteroaromatic carbinol, Enzymatic kinetic resolution, Hydrolysis, *CAL-B*, Na₂CO₃, Mitsunobu esterification, Deracemization *via* stereoinversion.

1 2 3 1. Introduction 4 5

6 Heterocyclic and heteroaromatic carbinols containing oxygen or sulfur are important cores
7 found in many biologically natural products, or used as a key chiral synthons to obtain a large
8 number of complex bioactive molecules used in pharmaceutical, flavor and fragrance
9 industries (Andersen et al. 2006; Veitch and Grayer 2011). Moreover, oxygen heterocycles
10 are the second most common type that appears as structural components of U.S. Food and
11 Drug Administration (FDA) approved pharmaceuticals (Delost et al. 2018). Chroman-,
12 thiochroman, furyl- and thiofuryl- carbinol derivatives present an anti-inflammatory, anti-
13 bacterial, anti-oxidant, anti-carcinogenic as well as β -blockers activities (Debayan et al. 2017;
14 Wallert et al. 2020) or anti-oxidative properties, like molecules of the vitamin E family who
15 exert anti-atherogenic and anti-inflammatory activities (El Hadi et al. 2018; Nagashimada
16 and Tsuguhito 2019). 2,3-dihydrobenzofuran-3-ols (coumarane) skeletons are also present
17 in high valuable bioactive molecules (Bertolini and Pineschi 2009; Ni et al. 2020) (Figure 1).

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28 **Figure 1:** Some bioactive molecules within heterocyclic (aromatic) fragment.
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33 Hence, the need of development of green and sustainable methods for the preparation of a
34 single enantiomerically pure form of those active pharmaceutical ingredients (APIs) stills an
35 important synthetic challenge.
36

37 Enzymatic kinetic resolution is the most efficient methods allowing smoothly preparing
38 enantiopure pharma intermediates (Dunn 2012; Sheldon et al. 2020; Wu et al. 2021),
39 especially since biocatalytic processes perfectly integrate with a majority of the principles of
40 green chemistry (Sheldon et al. 2011). Among used enzymes, lipases (triacylglycerol acyl
41 hydrolases, EC.3.1.1.3) have paid growing attention for their technical and environmental
42 advantages: ease of use under mild conditions, recyclability, and biodegradability, without
43 the use of cofactors, excellent stability in organic solvents and for their remarkable *chemo*-,
44 *regio*- and *enantio*-selectivity. The lipase from *Candida antarctica* fraction B, under an
45 immobilized form, is one of the most useful due to their exclusive properties such as facile
46 recovery, thermostability, environmental begin (Idris and Attaullah 2012). This methodology
47 can be carried out by transesterification in organic solvents or hydrolysis in water, albeit with
48 the main drawback of a poor atom economy, as the maximum theoretical yield of 50% (Faber
49 2011). To circumvent this limitation several deracemization methods have been developed
50 and have led access to single enantiomer with high optical purity and good chemical yields
51 (Turner 2010; Musa et al. 2019; Aranda et al. 2020).

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The preparation of enantiomerically pure forms of heterocyclic and heteroaromatic carbinols using kinetic resolution *via* enzymatic acylation is scarcely reported (Olexandr et al. 2014; Ferrerira et al. 2016) and that of hydrolysis was used even less (Kang et al. 1995; Holmberg et al. 2005). Furthermore, at the best of our knowledge the enzymatic kinetic resolution of 2,3-dihydrobenzofuran-3-ol and their acetate is rarely described (Honig et al. 1994).

In the present paper, we describe for the first time the kinetic resolution of few heterocyclic and heteroaromatic carbinols *via* enzymatic-alkaline hydrolysis, a simple and practical enzymatic hydrolysis method developed in our laboratory, with sodium carbonate in organic medium catalyzed by *CAL-B* lipase (Merabet-Khelassi et al. 2012). This approach has been used effectively on many substrates such as arylalkylcarbinol acetates (Houiene et al. 2013), *N,O*-protected amino alcohols (Alalla et al. 2016), 2-aryl-1-cyclohexyl acetates (Belkacemi et al. 2017) and 3-(1-hydroxyethyl)phenol (Braia et al. 2018). This reaction has been integrated to the heterocyclic aromatic alcohols and incorporated to a deracemization process *via* stereoinversion (Bouzemi et al. 2006; Houiene et al. 2013) with the Mitsunobu esterification (Mitsunobu and Yamada 1967) to gave quantitatively access to the (*S*)-heterocyclic (aromatic) acetates. (Scheme 1)

Scheme 1: Our present investigation

2. Materials and methods:

2.1. Chemicals and Materials

All reagents purchased from: Aldrich, were used as received. The *Candida Antarctica* *lipase* immobilized on acrylic resin *CAL-B* was purchased from Aldrich. Specific activity >5 000U/g used without any pre-treatment. Reactions were monitored by thin-layer chromatography (TLC) carried out on Silica gel 60F₂₅₄ plates type MERCK 5179, 250 mesh, using UV light (254 nm) as the visualizing agent. The separation of the resulting alcohols and the remaining acetates was performed by column chromatography using Silica gel 60Å, 70-230 mesh 63-200μm.

2.2. Instrumentations

NMR spectra were recorded on Bruker spectrometers (300 MHz for ¹H, 75 MHz for ¹³C) instrument and calibrated using residual deuterated solvent as an internal reference (peak at

7.26 ppm in ^1H NMR and 3 peaks at 77 ppm in ^{13}C NMR in the case of CDCl_3 and DMSO. The following abbreviations were used to designate multiplicities: s=singlet, d=doublet, t=triplet, q=quartet, m=multiplet, br: broad signal, dd=double-doublet. Chemical shifts were expressed in ppm and coupling constant (J) in Hz. The enantiomeric excesses were measured by a chiral stationary phase HPLC: *Chiraldak* OD-H column (4.6×250 mm), *Chiraldak* IA column (4.6×250 mm). Retention times are reported in minutes. Optical rotations were determined using a Perkin-Elmer 341 Polarimeter at room temperature using a cell of 1 dm length and $\lambda = 589$ nm.

2.3. General procedures of all racemic compounds:

2.3.1. Synthesis of racemic alcohols (1-6):

All racemic alcohols (1-6) were prepared from the corresponding commercial ketones using the following procedure: to solution of THF / H_2O (4/1, v/v), 6 equivalent of sodium borohydride (18 mmol) are added, and stirred at 0°C . 3 mmoles of the corresponding alcohol diluted in 20mL of THF are added by drops. After consumption the ketone, the mixture was neutralized by addition of a solution of (HCl, 1N). Then the organic layer was removed, and the aqueous layer was extracted with ethyl acetate (3 x 80mL). The combined organic layers were dried over anhydrous MgSO_4 and concentrated to give the corresponding alcohols with good yields. The ^1H and ^{13}C NMR spectra of these products were in good agreement with the literature.

2,3-Dihydro-benzofuran-3-ol (1): $\text{C}_8\text{H}_8\text{O}_2$ Crude oil. Yield = 72%. $R_f = 0.15$. Eluent (v,v): petroleum ether /ethyl acetate (80/20).

$^1\text{H NMR}$ (300 MHz, CDCl_3) δ : 2.3 (s, 1H, OH); 4.43 (ddd, $J = 13.4, 10.7, 4.5$ Hz, 2H); 5.28 (dd, $J = 6.4, 2.6$ Hz, 1H); 6.92 (ddd, $J = 14.5, 10.6, 4.5$ Hz, 2H); 7.33 (m, 2H). **$^{13}\text{C NMR}$ (75 MHz, CDCl_3) δ :** 72.1, 79, 110.54, 121, 125.4, 175.4, 128.2, 130.7.

Chiral HPLC: *Chiraldak* IA column, $t_R = 16.85$ min, $t_S = 18.46$ min. Eluent (v,v): *n*-hexane/iPrOH: 95/5; flow rate: 0.7 mL/min .

Chroman-4-ol (2): $\text{C}_9\text{H}_{10}\text{O}_2$ Uncolored oil. Yield = 90%. $R_f = 0.17$. Eluent (v,v): petroleum ether /ethyl acetate (80/20).

$^1\text{H NMR}$ (300 MHz, CDCl_3) δ : 2 (m,2H) 2.15 (br s, 1H); 4,3 (m, 2H) ; 4,8 (t, 1H, $J=4$ Hz) ; 6.96 (m, 2H), 7.19–7.5 (m, 2H). **$^{13}\text{C NMR}$ (75 MHz, CDCl_3) δ :** 30.8; 61.9; 63.1; 117; 120.5; 124.3; 129.6; 129.7; 154.5.

Chiral HPLC: *Chiraldak* ODH column, $t_S = 19.73$ min, $t_R = 23.00$ min. Eluent (v,v): *n*-hexane/iPrOH: 95/5; flow rate: 0.5mL/min.

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3 **2,3-dihydrothiochroman-4-ol (3):** C₉H₁₀OS Uncolored oil. Yield = 90%. R_f= 0.18. Eluent
4 (v,v): petroleum ether /ethyl acetate (80/20).

5
6 **¹H NMR (300 MHz, CDCl₃) δ:** 2 (m, 1H) ; 2,3 (m, 2H) ; 2.8 (m, 1H) ; 3.3 (dt, J= 12.3, 3 Hz,
7 1H) 4,76 (dd, J = 4.8, 3 Hz, 1H); 7.05–7.3 (m, 4H). **¹³C NMR (75 MHz, CDCl₃) δ:** 21.5, 30.0,
8 66.5, 124.3, 126.7, 128.4, 130.3, 133.2, 134.6.

9
10 **Chiral HPLC:** Chiralpak OD-H column, t_S = 25.15 min, t_R= 31.65 min. Eluent (v,v): n-
11 hexane/iPrOH: 95/5; flow rate: 0.5mL/min.

12
13
14 **1,2,3,4-tetrahydronaphthalen-1-ol (4):** C₁₀H₁₂O, Crude oil. Yield = 89%. R_f= 0.21. Eluent
15 (v,v): petroleum ether /ethyl acetate (80/20).

16
17 **¹H NMR (300 MHz, CDCl₃) δ:** 1.2-1.9 (m, 4H); 2 (br, OH); 2,8 (m, 2H); 4,7 (m, 1H); 7,1-7,28
18 (m, 3H); 7.4-7.5 (m, 1H). **¹³C NMR (75 MHz, CDCl₃) δ:** 18.8; 29.2; 32.2; 68.1; 126.1;
19 127.57; 128.7; 138.8; 137.14.

20
21 **Chiral HPLC:** Chiralpak IA column, t_S = 18.12min, t_R = 19.93min. Eluent (v,v): hexane/iPrOH:
22 95/5; flow rate: 0.5mL/min.

23
24 **1-furan-2-yl-ethanol (5):** C₆H₈O₂, Crude oil. Yield = 87%. R_f= 0.2. Eluent (v,v): petroleum
25 ether /ethyl acetate (80/20).

26
27 **¹H NMR (300 MHz, CDCl₃) δ :** 1.55 (d, 3H, J=6.5 Hz, 3H); 2.1(br,1H) ; 4.89 (q,1H) ; 6.24 (d,
28 J=3.2Hz, 1H); 6.34 (dd, J=3.2,1.8 Hz, 1H); 7.39 (dd, J=1.8, 0.7Hz, 1H). **¹³C NMR (75 MHz,
29 CDCl₃) δ** 21.25; 63.61; 105.13; 110.14; 141.9 ; 157.58.

30
31 **GC-2010 Plus:** Chiralpak Shimadzu Chiraldex G-BP, t_S = 24.3 min, t_R = 24.95 min.

32
33 **1-thiophen-2-yl-ethanol (6):** C₆H₈OS, Crude oil. Yield = 85%. R_f= 0.25. Eluent (v,v):
34 petroleum ether /ethyl acetate (80/20).

35
36 **¹H NMR (300 MHz, CDCl₃) δ:** 1.62 (dd, J=6.4, 3.2Hz, 3H); 1.97 (br,1H); 5.1 (q, J= 6.4, 2.7
37 Hz, 1H); 6.98 (m, 2H); 7.26 (m, 1H). **¹³C NMR (75 MHz, CDCl₃) δ:** 25.2; 66.2; 123.7; 124.4;
38 126.6; 149.8.

39
40 **Chiral HPLC:** Chiralpak Whelk column,t_S = 6.38 min, t_R = 7.78 min. Eluent (v,v): n-
41 hexane/iPrOH: 75/25; flow rate: 0.7mL/min.

51 52 **2.3.2. Synthesis of racemic acetate (1a-6a):**

53
54 The racemic acetate were synthesized by classical chemical acetylation via the
55 corresponding racemic-alcohol (1equiv), using 1.5 equiv. of anhydride acetic, 1.2 equiv. of
56 Et₃N, and a catalytic amount of 4-dimethylaminopyridine (0.2 equiv) in 3 mL of CH₂Cl₂. The
57 final products were obtained pure after standard work-up in excellent yields. The 1H and 13C
58 NMR spectra of these products were in good agreement with the literature.
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4 **2,3-Dihydro-benzofuran-3-yl acetate (1a):** C₁₀H₁₀O₃ Crude oil. Yield = 70%. R_f= 0.60.

5 Eluent (v,v): petroleum ether /ethyl acetate (80/20).

6 **¹H NMR (300 MHz, CDCl₃) δ:** 2 (s, 3H); 4.5 (dd, 1H); 4.6 (dd, 1H); 6.25 (dd, J = 4.8 Hz, 1H,);

7 6.94 -7.48(m, 4H). **¹³C NMR (75 MHz, CDCl₃) δ:** 21, 74.22, 75.9; 110; 121; 124.4; 126.7;

8 131.2; 161; 170.8.

9 **Chiral HPLC:** Chiralpak IA column, t_S = 12.18. min, t_R = 12.75 min. Eluent (v,v): n-hexane/
10 iPrOH: 95/5; flow rate: 0.5 mL/min.

11
12 **Chroman-4-yl acetate (2a):** C₁₁H₁₂O₃ Yellow oil, Yield=90%. R_f =0.80. Eluent (v,v):
13 petroleum ether /ethyl acetate (80/20).

14 **¹H NMR (300 MHz, CDCl₃) δ:** 2 (s, 3H, OCOCH₃), 2.2 (m, 2H, CH₂), 4.3 (m, 2H, OCH₂), 5.9

15 (t, J= 2 Hz, 1H), 6.9 (m, 2H), 7.3 (m, 2H). **¹³C NMR (75 MHz, CDCl₃) δ:** 21.4; 29.7; 63; 65.2;

16 116.7; 120; 120.19; 129.9; 131.3; 155.5; 170.

17 **Chiral HPLC:** Chiralpak IA column, t_R = 12.07. min, t_S = 12.8 min. Eluent (v,v): n-
18 hexane/EtOH: 99/01; flow rate: 0.5 mL/min

19
20 **Thiochroman-4-yl acetate (3a):** C₁₁H₁₂O₂S, Yellow oil, Yield= 81%; R_f = 0.75. Eluent (v,v):
21 petroleum ether /ethyl acetate (80/20).

22 **¹H NMR (300 MHz, CDCl₃) δ** 2 (s, 3H), 2.14 (m, 1H), 2.4 (m, 1H), 2.9 (dd, 1H), 3.3 (dd, 1H),

23 6.07 (t, J= 6.5 Hz, 1H), 7-7.3 (H_{arom}). **¹³C NMR (75 MHz, CDCl₃) δ** 21.06 ; 21.74 ; 63.71 ;

24 125.09 ; 125.3; 126.5; 143.02 ; 170.

25 **Chiral HPLC:** Chiralpak OD-H column, t_R = 13.02 min, t_S = 13.83 min. Eluent (v,v): n-
26 hexane/iPrOH: 95/5; flow rate: 0.5mL/min

27
28 **1,2,3,4-tetrahydronaphthalen-1-yl acetate (4a):** Crude oil, Yield: 90%; R_f = 0.71. Eluent
29 (v,v): petroleum ether /ethyl acetate (80/20).

30 **¹H NMR (300 MHz, CDCl₃) δ:** 1.80-1.83 (m_a, 1H cyclic); 1.93-2.08 (m_a, 3H cyclic) ; 2.3 (s, 3H,

31 -2OC-CH₃) ; 2.68-2.90 (m_a, 2H cyclic) ; 5.98-6.01 (t, J=4.09 Hz; 1H) ; 7.10-7.29 (m_a, 4H). **¹³C**

32 **NMR (75MHz, CDCl₃) δ:** 16.8; 21.19; 6.16; 26.4; 28 ; 62.6; 123.35; 125.35; 127.18; 132;

33 135.6; 169.5.

34 **Chiral HPLC:** Chiralpak IA column, min, t_R =8.78 min, t_S = 9.26. Eluent (v,v): hexane/iPrOH:
35 95/05; flow rate: 0.5mL/min.

36
37 **1-(fur-2-yl) ethyl acetate (5a):** C₆H₁₀O₃ Yellow oil, Yield: 72%; R_f = 0.63. Eluent (v,v):
38 petroleum ether /ethyl acetate (80/20).

1
2
3 **¹H NMR (300 MHz, CDCl₃) δ:** 1.59 (d, *J* = 36.3 Hz, 3H), 2.07 (s, 3H), 5.97 (q, *J* = 36.3 Hz, 1H),
4 6.34 (m, 2H), 7.4 (dd, *J* = 32.1, 34.2 Hz, 1H). **¹³C NMR (75 MHz, CDCl₃) δ:** 17.04; 21.46;
5 64.49; 107.17; 110.42; 142.46; 153.02; 170.

6
7 **GC-2010 Plus:** Chiralpak Shimadzu Chiraldex G-BP. Gradient 60°C for 20 min; 10°C/min;
8 180°C for 20min. t_R = 23.45 min, t_S = 24.03 min

9
10
11 **1-(thien-2-yl)ethyl acetate (6a) :** C₆H₁₀O₂S Yellow oil. Yield: 80%; R_f = 0.58. Eluent (v,v):
12 petroleum ether /ethyl acetate (80/20).

13
14 **¹H NMR (300 MHz, CDCl₃) δ:** 1.67 (d, *J* = 36.6 Hz, 3H), 2 (s, 3H), 6.1 (q, *J* = 36.6 Hz, 1H), 6.9
15 (dd, *J* = 33.3, 34.5 Hz, 1H), 7.05 (d, *J* = 33.3 Hz, 1H), 7.2 (d, *J* = 34.5 Hz, 1H). **¹³C NMR (75**

16 MHz, CDCl₃) δ : 20.76; 21.91; 67.71; 124.99; 125.67; 126.78; 144.19; 170.

17
18 **Chiral HPLC:** Chiralpak IA column, t_R = 8.38 min, t_S = 8.80 min. Eluent (v,v): n-hexane/iPrOH:
19 95/5; flow rate: 0.5mL/min.

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21
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24 **2.4. Enzymatic hydrolysis of racemic acetate (1a-6a):**

25 In a typical procedure, 1mmol of the racemic acetate (**1a-6a**) is dissolved in 3 mL of THF
26 before the addition of 1mmol of sodium carbonate and 40 mg of *CAL-B*. The mixture was
27 stirred at 40°C for 72h. After removal of the enzyme by filtration and evaporation of the
28 solvent, the reaction mixture was purified by flash chromatography (Ep/EtOAc: 95/5) to
29 separate the residual (*R*) or (*S*)-acetate and the furnished (*S*) or (*R*)-alcohol. The two
30 enantiomers were analyzed by chiral HPLC.

31
32
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35 **2.5. Deracemization through a selective alkaline-enzymatic**
36 **hydrolysis/Mitsunobu esterification sequence:**

37 Racemic acetates **1a-6a** (2 mmol), dissolved in 3 mL of tetrahydrofuran, were added to of
38 immobilized lipase from *Candida antarctica B*. The reaction mixture was then stirred at 40 °C
39 for the indicated time until the conversion reached C = 50%. The reaction was stopped by
40 filtering off the solid enzyme on celite. The crude mixture of the (*S*)-acetate and unreacted
41 (*R*)-alcohol in 4mL THF. To this solution were added AcOH (0.144 g, 2.4 mmol) and PPh₃
42 (0.628 g, 2.4 mmol). The reaction mixture was immediately cooled to 0°C and a solution of
43 diisopropylazodicarboxylate (DIAD) (0.48 g, 2.4 mmol) was added dropwise, under vigorous
44 stirring during 20 min. The mixture was allowed to warm to room temperature and stirred for
45 24 h. Concentration of reaction mixture in *vacuo* followed by silica gel column
46 chromatographic purification of the residue using hexane and ethyl acetate (8:2) gave only
47 the (*S*)-acetates **1a-6a** in 70%–88% yield.

1
2 **3. Results and discussion:**

3
4 All racemic alcohols are obtained quantitatively *via* reduction of the corresponding ketones
5 using sodium borohydride. All racemic acetates are obtained quantitatively *via* classical
6 chemical acylation of the corresponding alcohols. All products are well characterized with
7 spectroscopic analyses (¹H and ¹³C NMR).

8
9 **3.1. CAL-B-catalyzed alkaline-hydrolysis of (1a-6a) in non aqueous media:**

10
11 The enzymatic hydrolysis reactions of acetates (**1a-6a**) were carried out on equimolecular
12 mixture of racemic acetate and sodium carbonate in the presence of 40 mg of lipase CAL-B,
13 in THF. The evolution of the reactions was checked by TLC. (scheme 2)

14
15 **Scheme 2.** Enzymatic-alkaline hydrolysis

16
17 After three days at 40°C, the lipase was filtered. The enantiomeric excesses of the (S)-
18 remaining acetates and the (R)-recovered alcohols were evaluated by chiral HPLC or chiral
19 GC. The obtained results are collected in **Table 1**.

20
21 The CAL-B catalyzed hydrolysis in basic medium (Na₂CO₃) showed an excellent selectivity
22 (E>>200) in all cases. The (R)-alcohols (**1-6**) and the (S)-acetates (**1a-6a**) are obtained with
23 high enantiomeric excesses (99% ≤ ee ≤ 85%) at optimum conversions (46% ≤ Conv ≤ 50%)
24 (**Table 1**). The size of the cycle and the oxygen rapprochement to the stereogenic center
25 (**1a-2a**) don't affect the reactivity and the selectivity of the CAL-B. Also, switching of oxygen
26 (**2a**) by sulfur (**3a**) doesn't destabilize the CAL-B-catalytic site. This fact may attribute to
27 absence of any disturbances due to stereoelectronic constraints exhibited by the nature of
28 the heteroatom (entry 4 versus 2 & 3).

29
30 The resolution of the acetate (**4a**) is examined and allows to checking the impact of the
31 electro-negativity of the heteroatom (sulfur and oxygen) on the stability of stereospecific
32 pocket of the CAL-B. The heteroatom incorporated into the aromatic ring (**5a-6a**) has no
33 significant influence on the performance of the CAL-B under the kosmostropic effect of the
34 monovalent cation (Na⁺) in the organic medium. No influence is recorded and all the
35 substrates resolved fit well with the active site of this serine-hydrolase.

1
2
Table 1: CAL-B-catalyzed Kinetic resolution via hydrolysis of acetates (**1a-6a**) in the presence
3 of Na₂CO₃:
4
5

6
7 It is interesting to note that the acylation of alcohols (**2-6**) by vinyl acetate was also selective,
8 in a previous work; the acetates (*R*)-(2a-6a) were obtained with high selectivities *via* CAL-B-
9 catalyzed transesterification (Melais et al. 2015). Thus the hydrolysis and acylation reactions
10 catalyzed by CAL-B are enantiocomplementary.
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14 In this work, the enantiomers of 2,3-dihydrodrobenzo-2-ol were obtained with high
15 enantiomeric excesses between 97-99%, through an enzymatic kinetic resolution (entry 1)
16 *via* an alkaline hydrolysis (figure 1).
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23 **Figure 2:** HPLC chiral analyses of results of alkaline enzymatic resolution of *rac*-1a
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29 **3.2. Deracemization through a selective alkaline-CAL-B hydrolysis/ Mitsunobu
30 esterification sequence.**

31 Following of the high reactivities and selectivities in the kinetic resolution catalyzed with the
32 CAL-B lipase in the presence of Na₂CO₃, we have combined enzymatic alkaline hydrolysis to
33 the Mitsunobu esterification protocol to leads deracemization *via* stereoinversion, the two
34 steps were carried out in one pot. For that, the acetates (**1a-6a**) were hydrolyzed according
35 the conditions established below.
36
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38 After three days at 40°C, the CAL-B was filtered. The enantiomeric excesses of the
39 remaining acetate and the recovered alcohol were evaluated by chiral HPLC or chiral GC.
40 Directly, to the filtrate, 1.2 equivalent of triphenyl phosphine, and 1.2 equivalent of acetic acid
41 were added. The diisopropylazodicarboxylate (DIAD) (1.2 equivalent) was added slowly at
42 0°C, to convert the (*R*)-alcohols into the (*S*)-acetates. The desired acetates was then isolated
43 after standard work up and purification by silica-gel column chromatography. The
44 enantiomeric purity was determined by chiral HPLC or chiral GC, and the absolute
45 configurations were determined by the sign of optical rotation and comparison to the
46 literature data. (**Scheme 3**)
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49
50 **Scheme 3:** Deracemization through a selective alkaline enzymatic hydrolysis / Mitsunobu
51 esterification sequence.
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The results detailed in scheme 3, show that the (S)-acetates (**1a-6a**) were obtained quantitatively ($70\% \leq \text{yield} \leq 84\%$). It was found that the enantiomeric purity of the acetates recovered is dependent to the acetate structure. With the heterocyclic carbinols (**1-3**), in the Mitsunobu esterification, the deracemization was done smoothly, with little bit loss of the enantiopurities of the formed acetates ($5\% \leq \Delta\text{ee} \leq 10\%$). Despite the high ee of both enantiomers recovered from the enzymatic resolution ($\text{ee} \geq 98\%$), the (S)-acetates (**1a-3a**) are obtained with ($88\% \leq \text{ee}_{(S)} \leq 92\%$). On the other hand, with the heteroaromatic carbinols (**5-6**), a notable drop of enantiomeric purity of the recovered acetates after the enzymatic resolution step ($37\% \leq \Delta\text{ee} \leq 49\%$). Where the (S)-acetates (**5a-6a**) are obtained with ($51\% \leq \text{ee}_{(S)} \leq 62\%$). The loss of the enantiopurity of alcohols during the nucleophilic substitution (S_N2) (Mitsunobu esterification) was attributed to partial stereoinversion. Or else, this phenomenon of drop of optical purity may arise in the case of benzylic alcohol, from a competitive substitution process proceeding *via* an attack of the carbocation intermediate formed by nucleophile *via* a non-stereoselective (S_N1) process to generate the racemic product (Warmerdam et al. 1993; Hillier et al. 2004; Houiene et al. 2013), which in our case will give rise to an overall decrease of the enantiomeric excess of recovered (S)-acetates.

Finally, except chromanols derivatives (Ashley et al. 2017), the deracemization of acetates (**1a**, **5a** and **6a**) subject of the present work are not previously investigated through other deracemization methodologies. Furthermore the deracemisation of (**1a-6a**) *via* alkaline enzymatic hydrolysis / stereoinversion process is not described yet.

4. Conclusion

In the present work, we have applied the *CAL-B*-catalyzed kinetic resolution by hydrolysis in the presence of Na_2CO_3 and we have got both enantiomers of some heterocyclic and heteroaromatic carbinols enantiomerically pures.

The enzymatic hydrolysis of the acetates **1a**, **2a** and **3a** was not described yet. We have obtained those acetates enantiomerically pures from the highly selective alkaline-enzymatic hydrolysis ($\text{Conv} = 50\%$ and $E > 200$). Furthermore, the successful enzymatic kinetic resolution of 2,3-dihydrobenzofuran-3-ol and of their acetate was given for the first time.

To valorise the obtained results of the kinetic resolution by improving the atom efficiency, we have combined to the Mitsunobu stereoinversion protocol to recycling one of the formed enantiomers, in our case, the (*R*)-alcohol. The recovered (S)-acetates after the stereoinversion protocol were isolated quantitatively, allowing overcoming the maximum of

50% yield which could be usually reached in a regular kinetic resolution. The (*S*)-acetates (**1a-3a**) are obtained with good enantiomeric excesses (88% ≤ ee ≤ 92%). Otherwise, for the heteroaromatic structures (**5a-6a**) the Mitsunobu esterification stereoinversion step was suffered of an important drop of enantiomeric excesses.

Acknowledgments

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Disclosure statement

No potential conflict of interest was reported by the author(s).

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Supporting information

Additional supporting information may be found in the online version of this article at the publisher's web-site.

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Figures

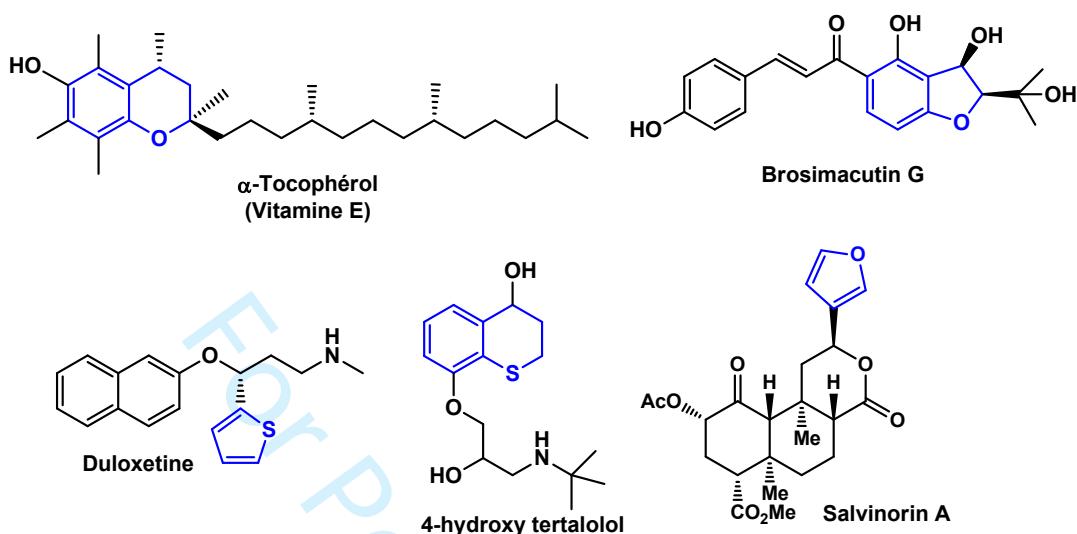


Figure 1: Some bioactive molecules within heterocyclic (aromatic) fragment.

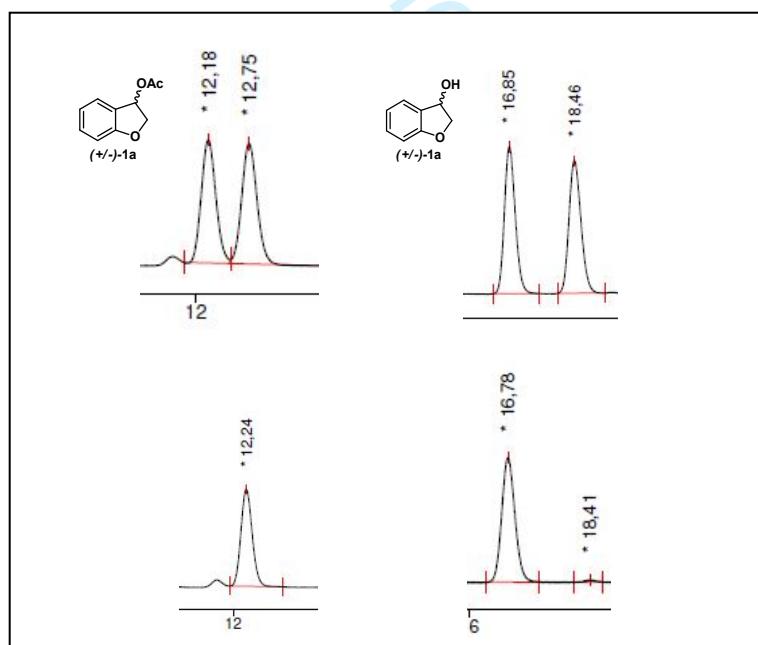
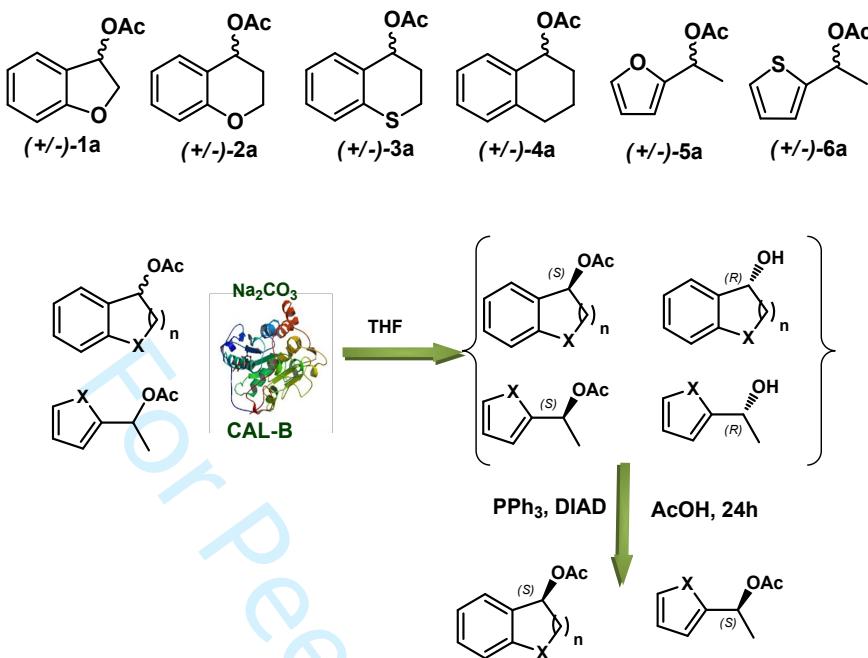
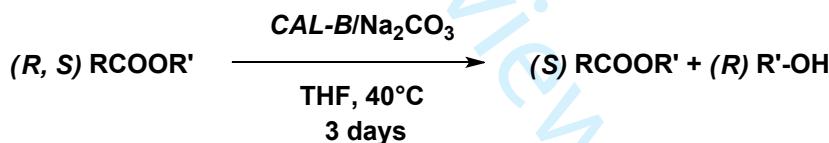


Figure 2 : HPLC chiral analyses of results of alkaline enzymatic resolution of *rac*-1a

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Schemes
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Scheme 1: Our present investigation
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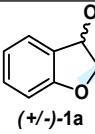
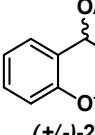
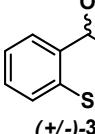
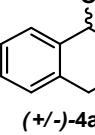
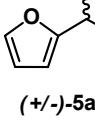
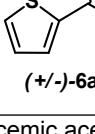
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Scheme 2: Enzymatic-alkaline hydrolysis
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(S)-1a	(S)-2a	(S)-4a	(S)-3a	(S)-5a	(S)-6a
92% ee	89% ee	88% ee	89.5% ee	51% ee	62% ee
84% yield	80% yield	80% yield	82% yield	70% yield	78% yield

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Scheme 3: Deracemization through a selective alkaline enzymatic hydrolysis / Mitsunobu esterification sequence.
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Table

Table1: *CAL-B*-catalyzed Kinetic resolution via hydrolysis of acetates (**1a-6a**) in the presence of Na_2CO_3 :

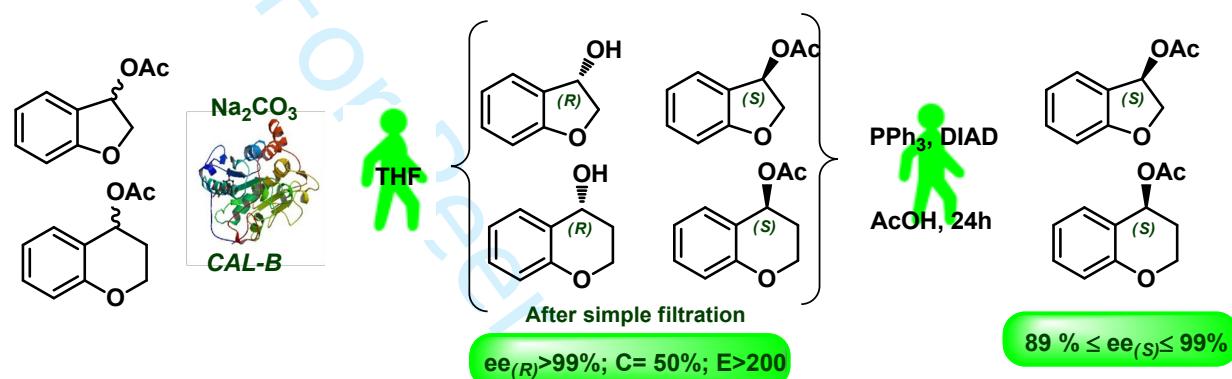
Entry ^a	Acetates	(S)-Acetate ee _S ^b (%)	Yield ^d (%)	(R)-Alcohol ee _P ^b (%)	Yield ^d (%)	Conv ^c (%)	E ^c
1	 (±)-1a	>99	40	97	45	50	>200
2	 (±)-2a	>99	42	>99	46	50	>200
3	 (±)-3a	98	47	>99	47	50	>200
4	 (±)-4a	85	45	>99	40	46	>200
5	 (±)-5a	97	45	96	43	50	>200
6	 (±)-6a	>99	46	>99	45	50	>200

(a) 1mmol of racemic acetate, 1 mmol of Na_2CO_3 , 40mg of *CAL-B*, 3 ml of THF at 40°C during 72 hours

(b) Enantiomeric excess of recovered products are measured by chiral HPLC or chiral GC.

(c) Conversion (Chen et al. 1982; Kagan and Fiaud 1988): Conv=ee_S/ee_P+ee_S; Selectivity: E =Ln [(1-C) (1-ee_S)]/Ln [(1-C) (1+ee_S)]

(d) Isolated yields.

Graphical Abstract:

Supporting information for:

Practical access to (S)-heterocyclic aromatic acetates via CAL-B/ Na_2CO_3 -deacylation and Mitsunobu reaction Protocol.

Nabila Braïa,^a Mounia Merabet-Khelassi,^a Martial Toffano^b and Louisa Aribi-Zouioueche ^{a*}

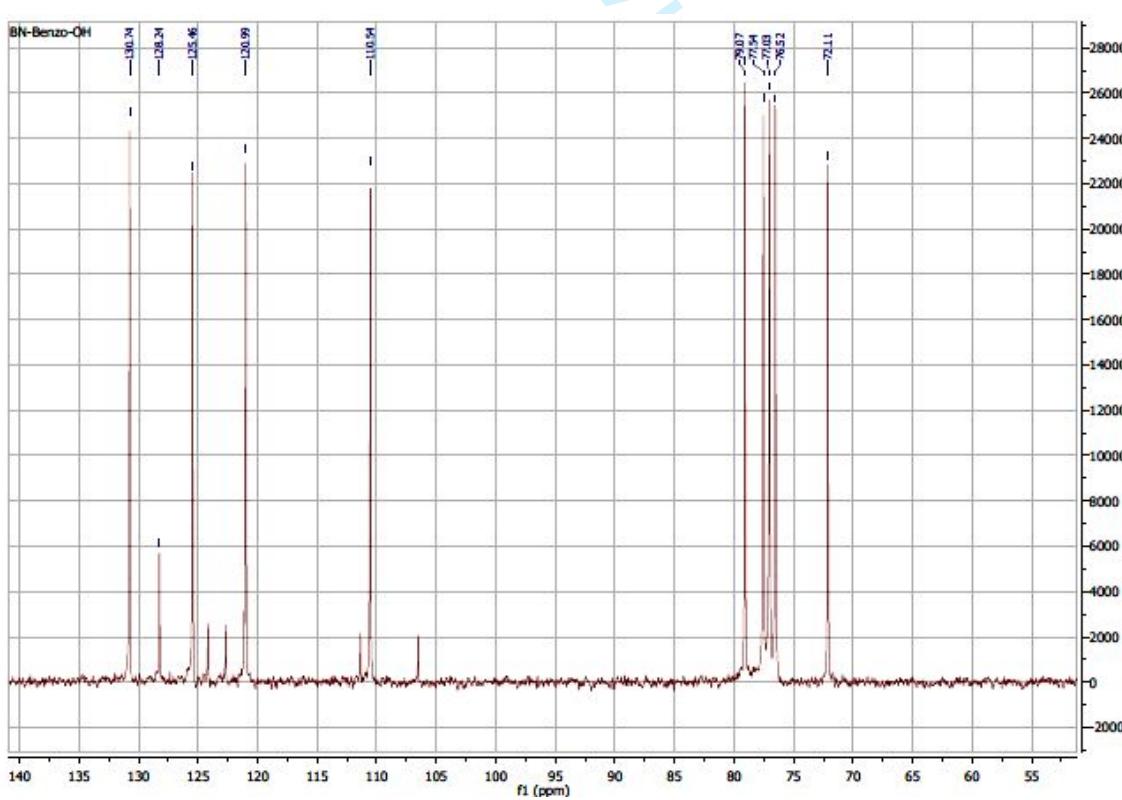
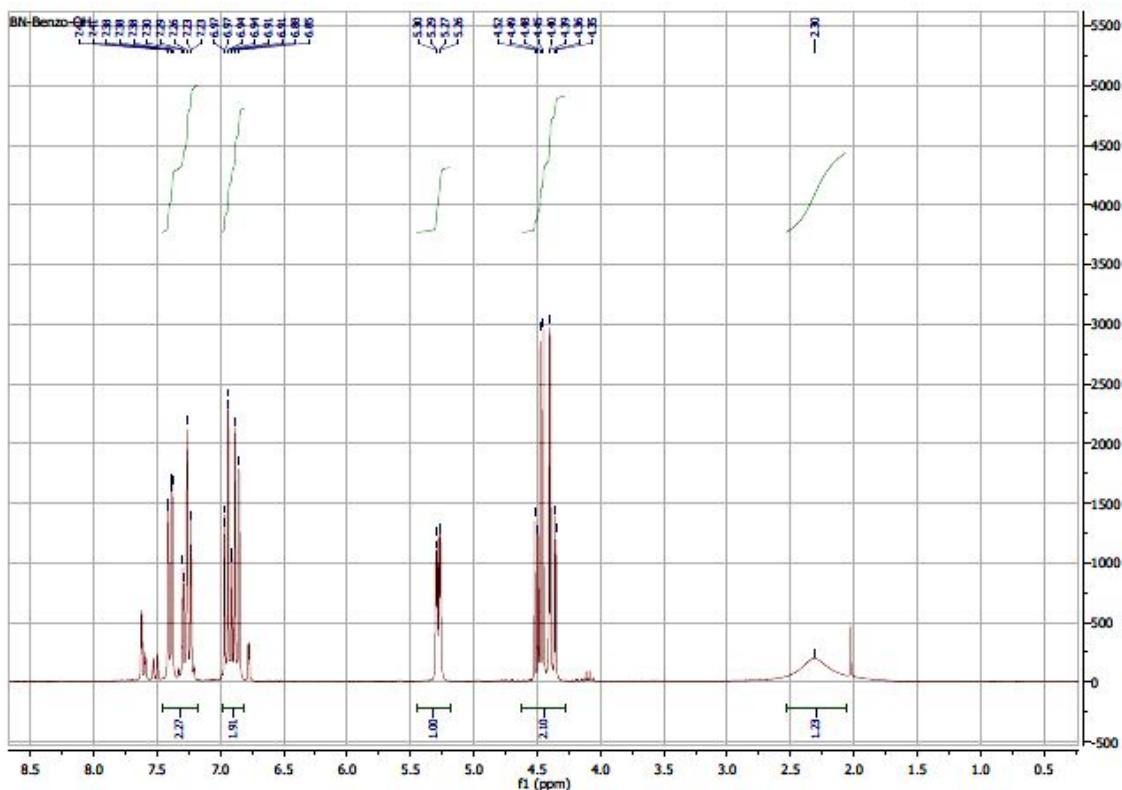
^a Ecocompatible Asymmetric Catalysis Laboratory.(LCAE) Badji Mokhtar Annaba-University.

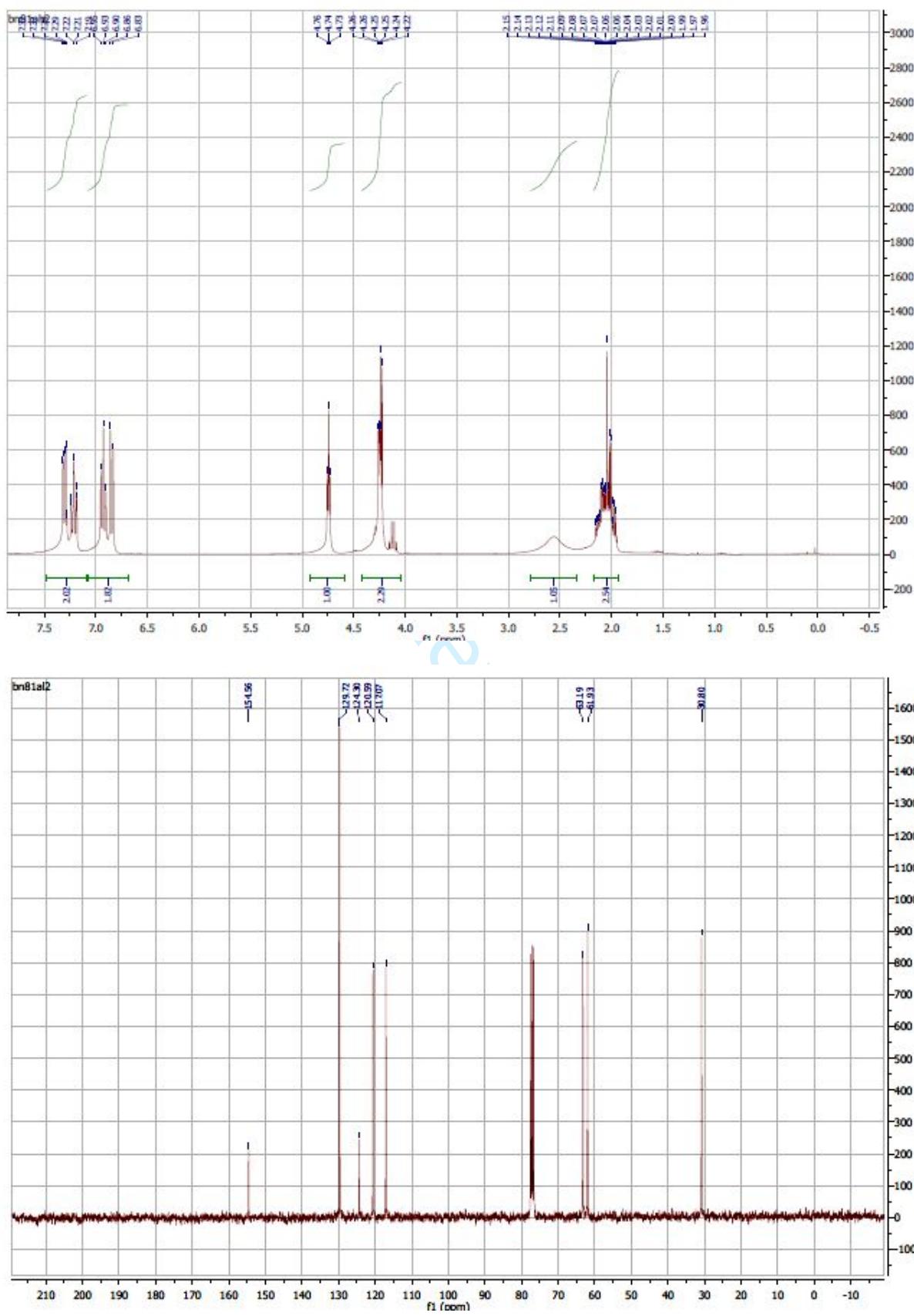
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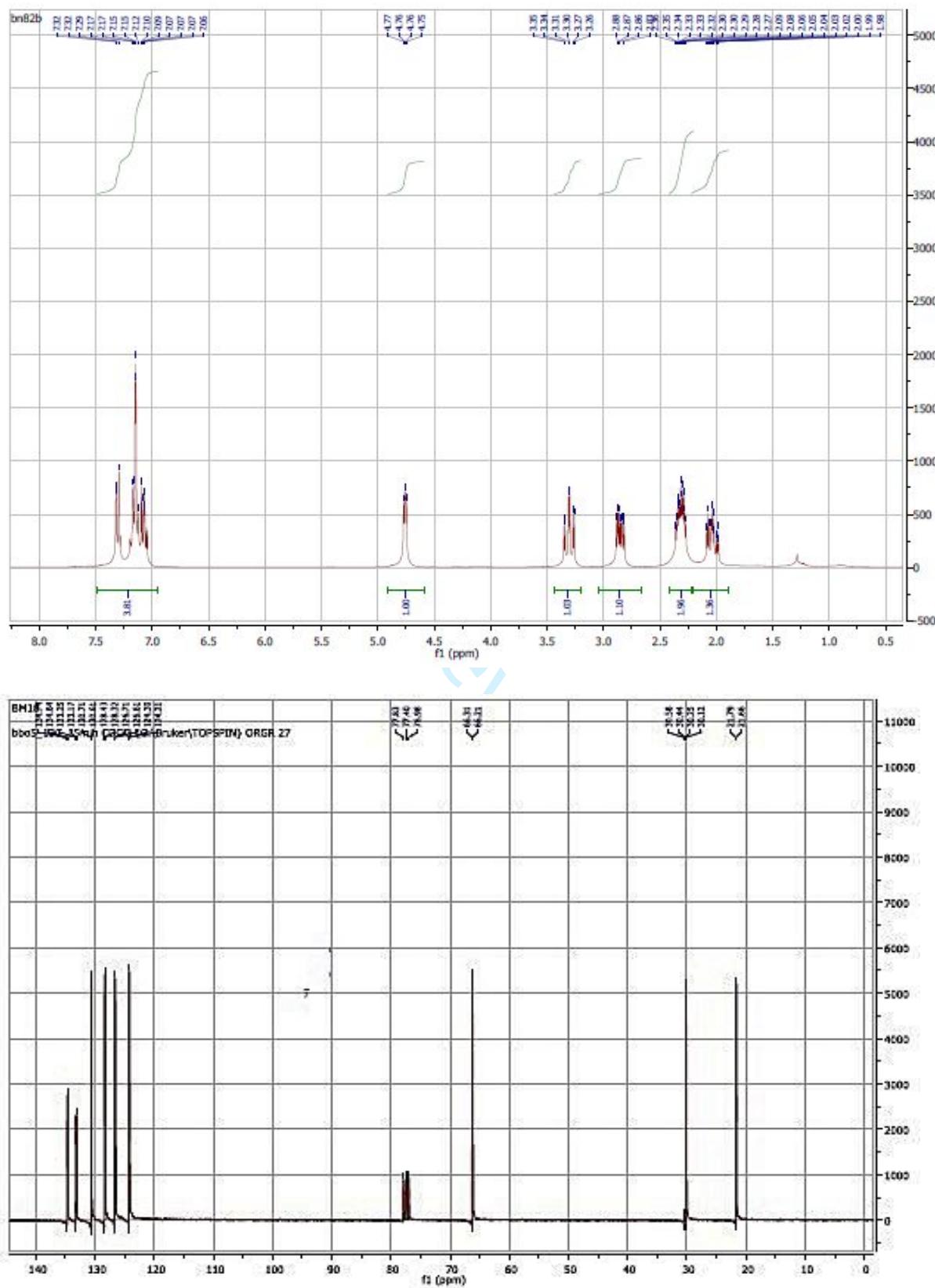
^b Equipe de Catalyse Moléculaire-ICMMO Bât 420. Université Paris- Saclay

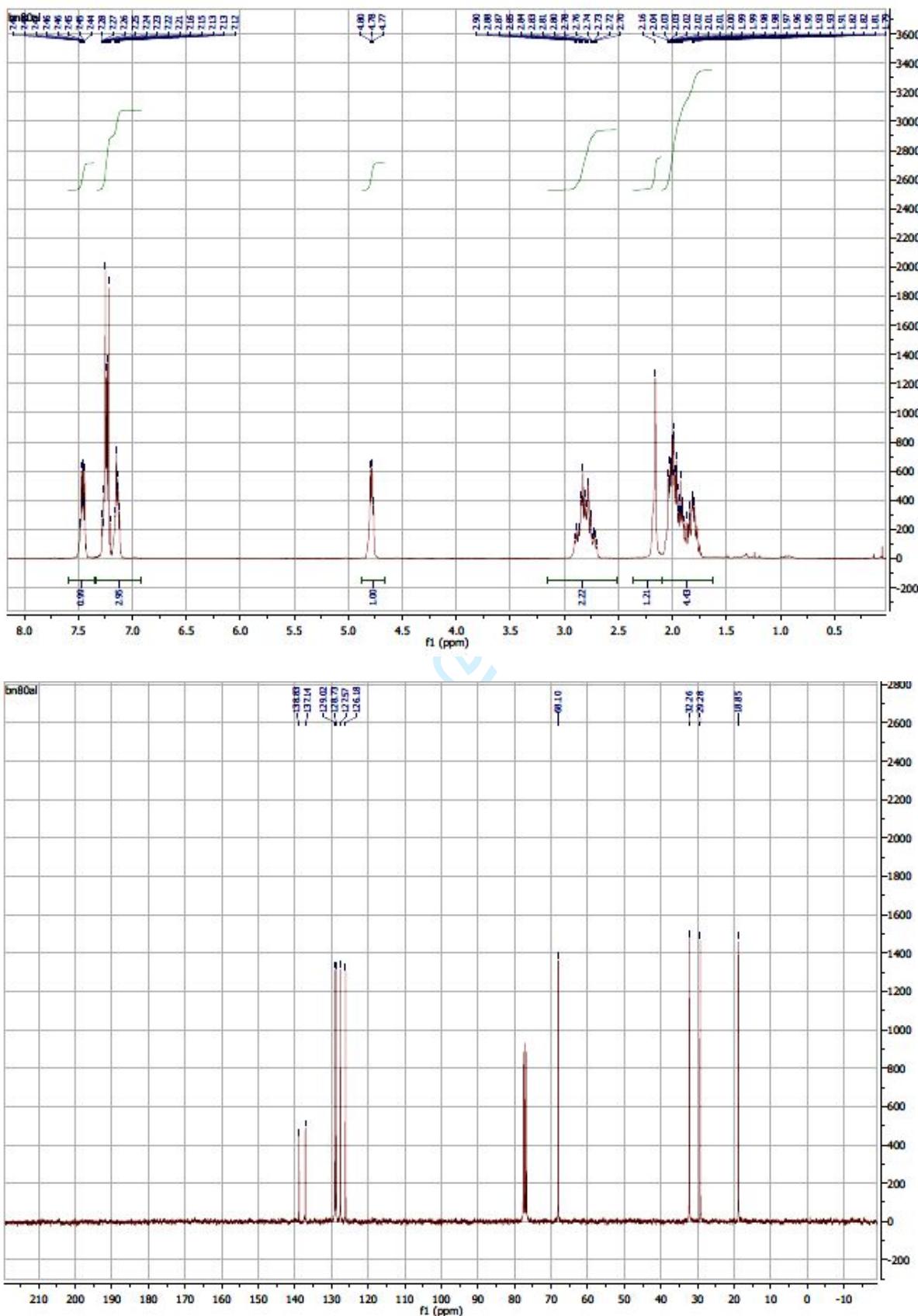
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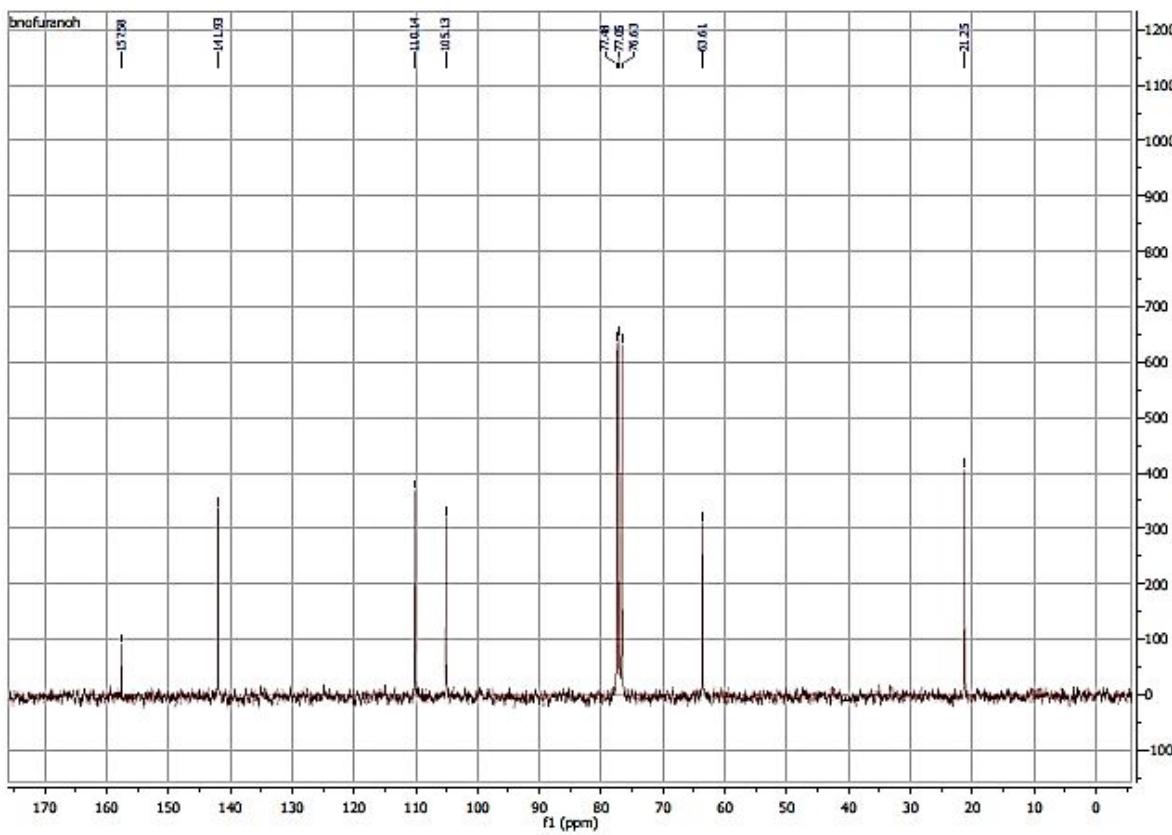
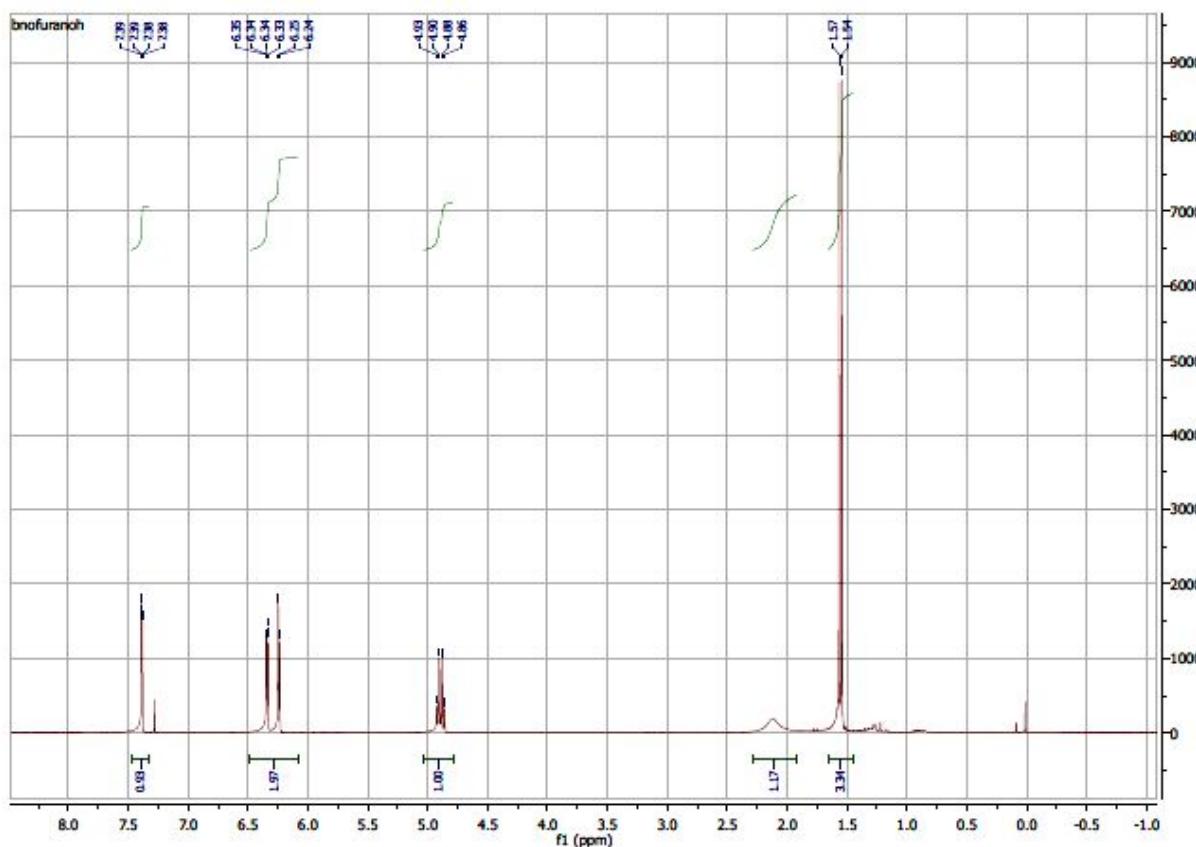
1 NMR spectra of all racemic compounds	S2
2 Chromatograms of some markedly results of the alkaline-enzymatic hydrolysis	S14
3 Chromatograms of some markedly results after Mitsunobu esterification	S20

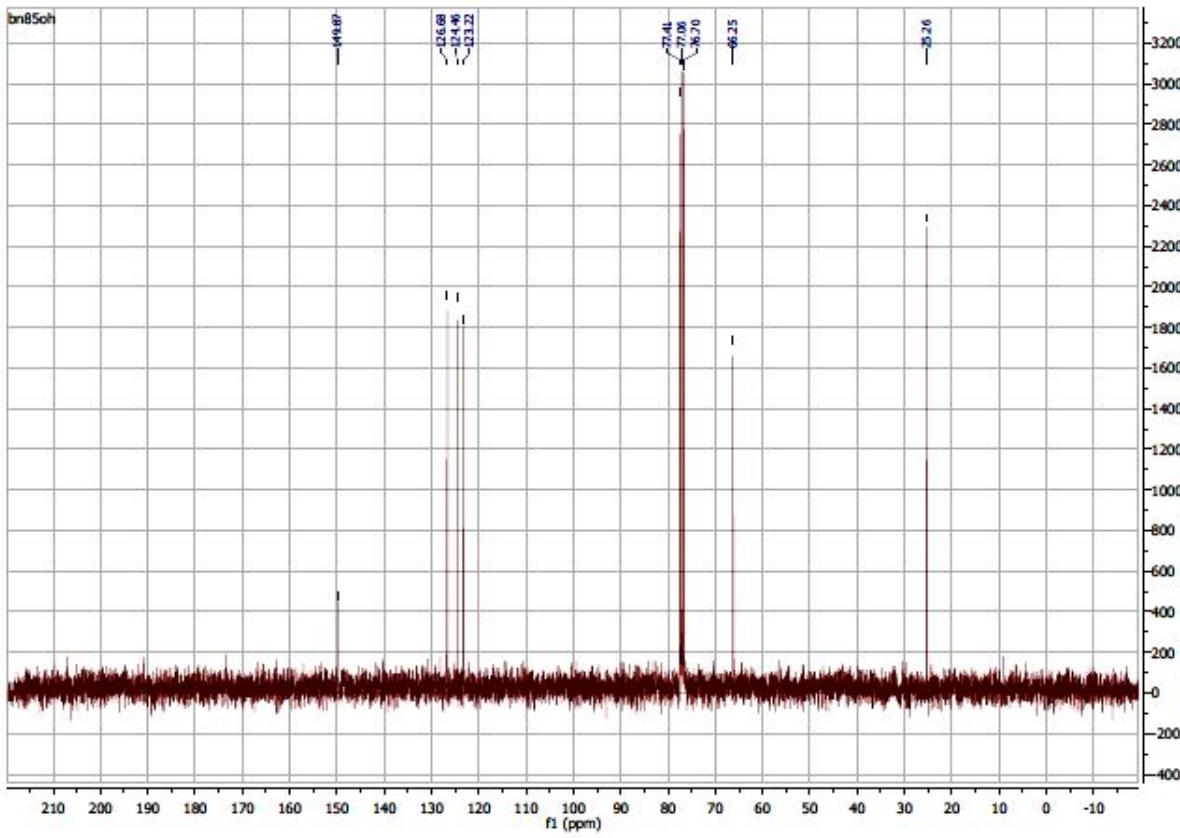
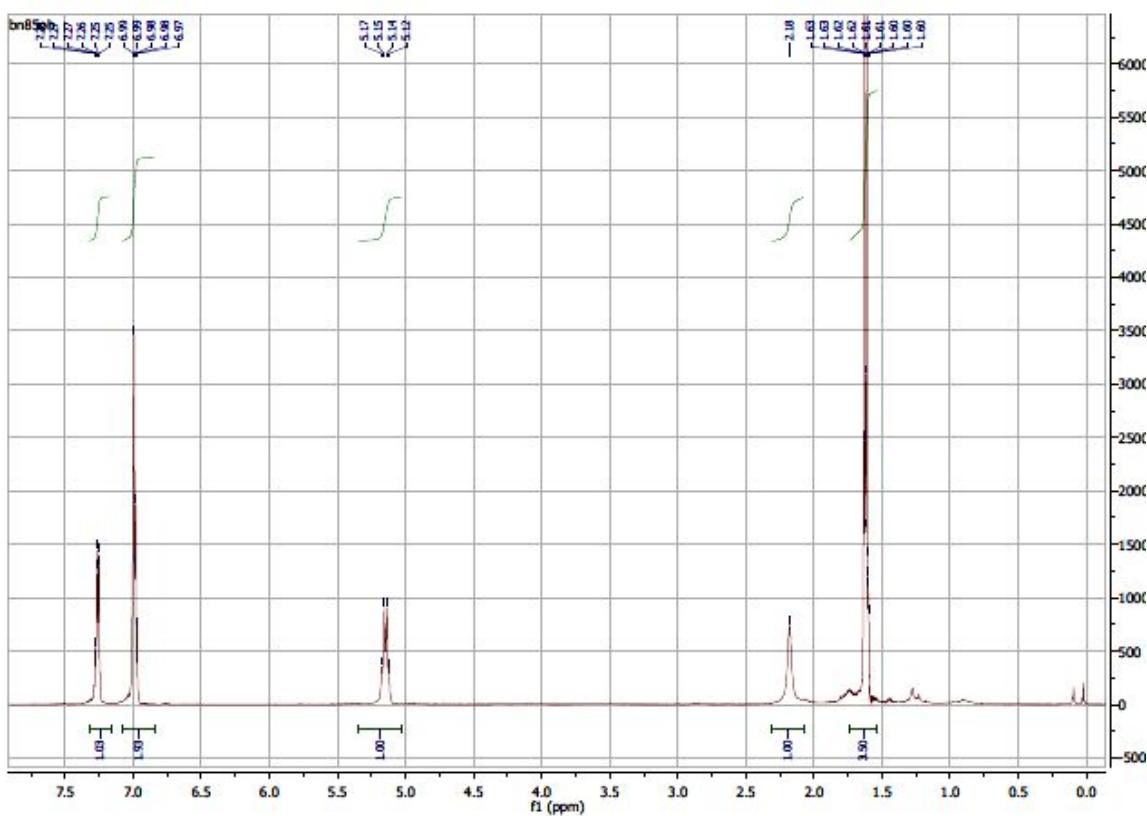
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1. NMR spectra of all racemic compounds**2,3-Dihydro-benzofuran-3-ol (1)**

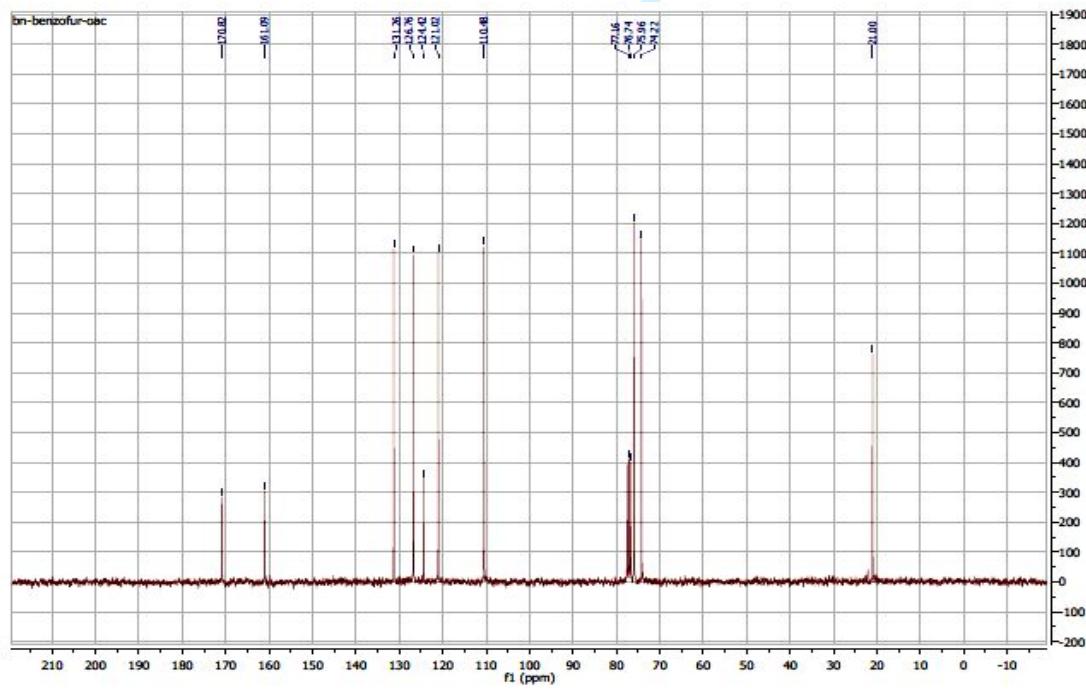
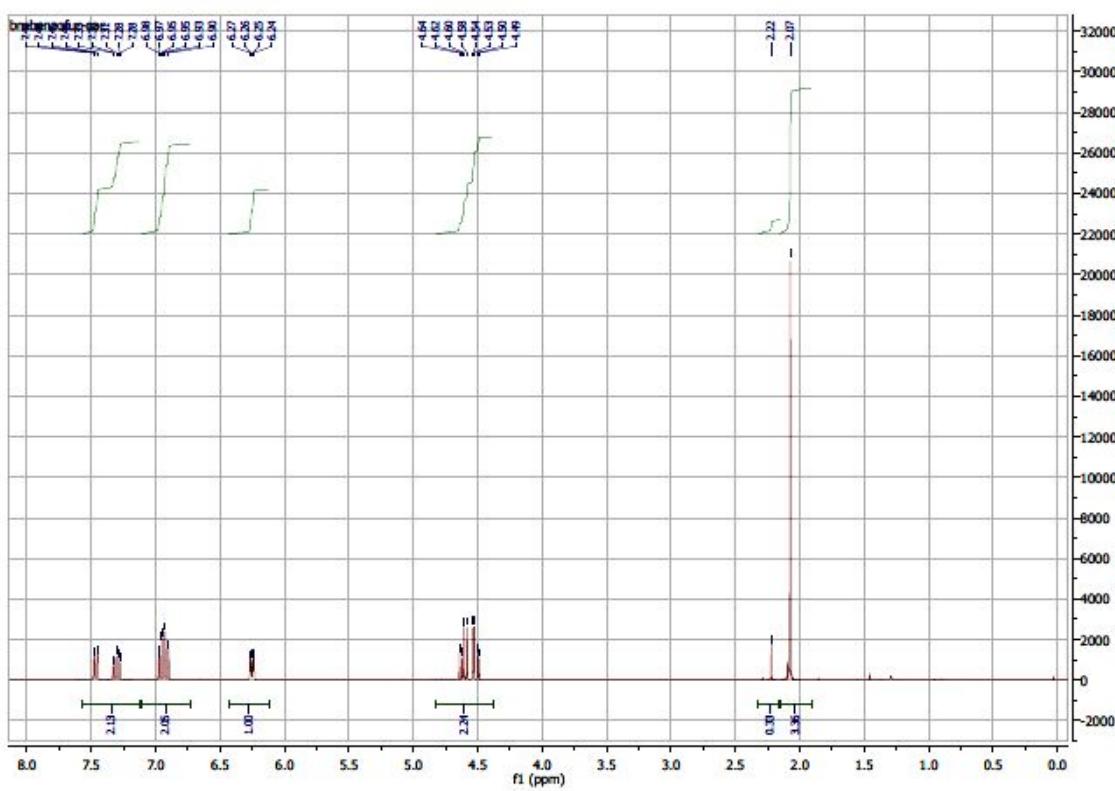
Chroman-4-ol (2)

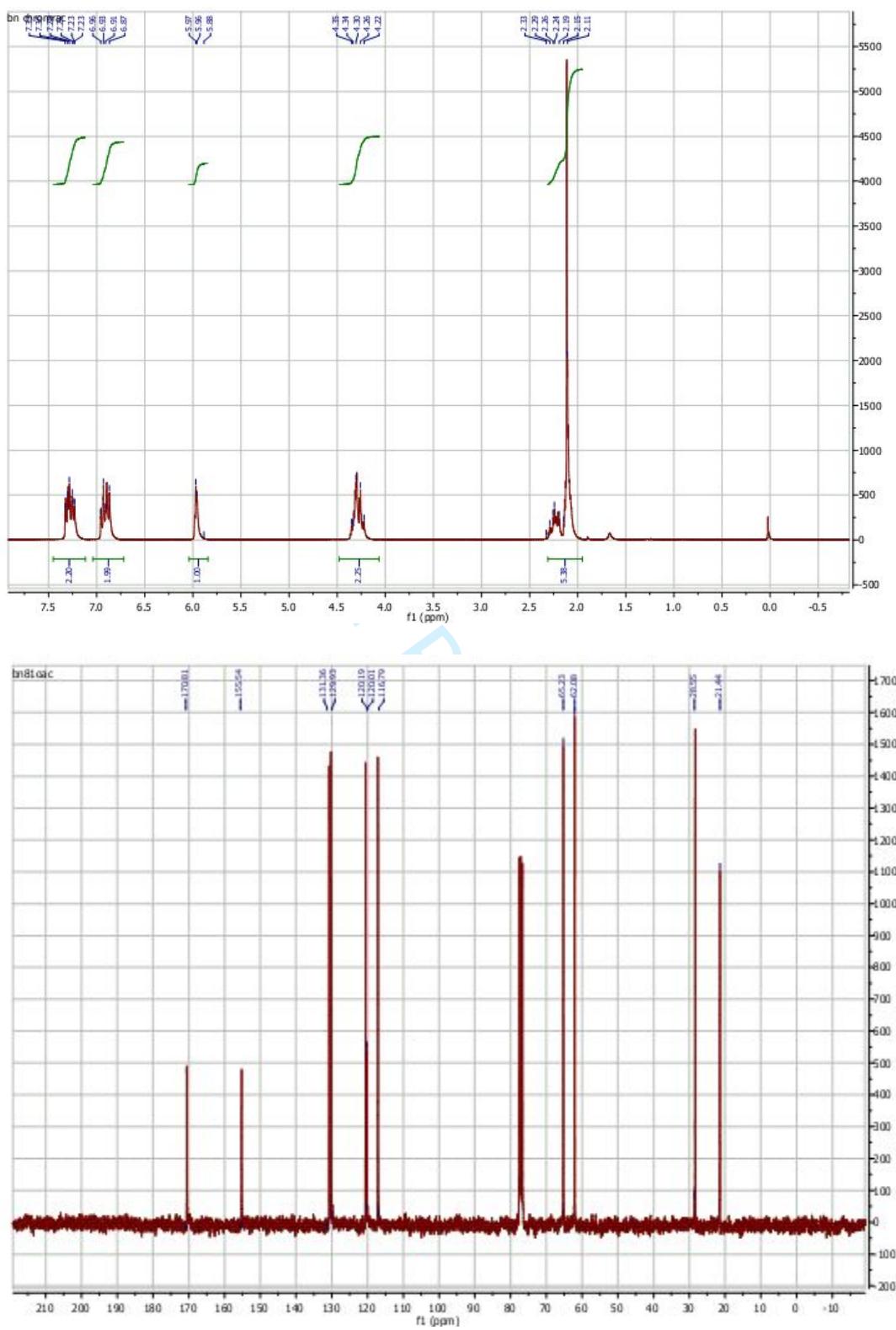
2, 3-dihydrothiochroman-4-ol (3)

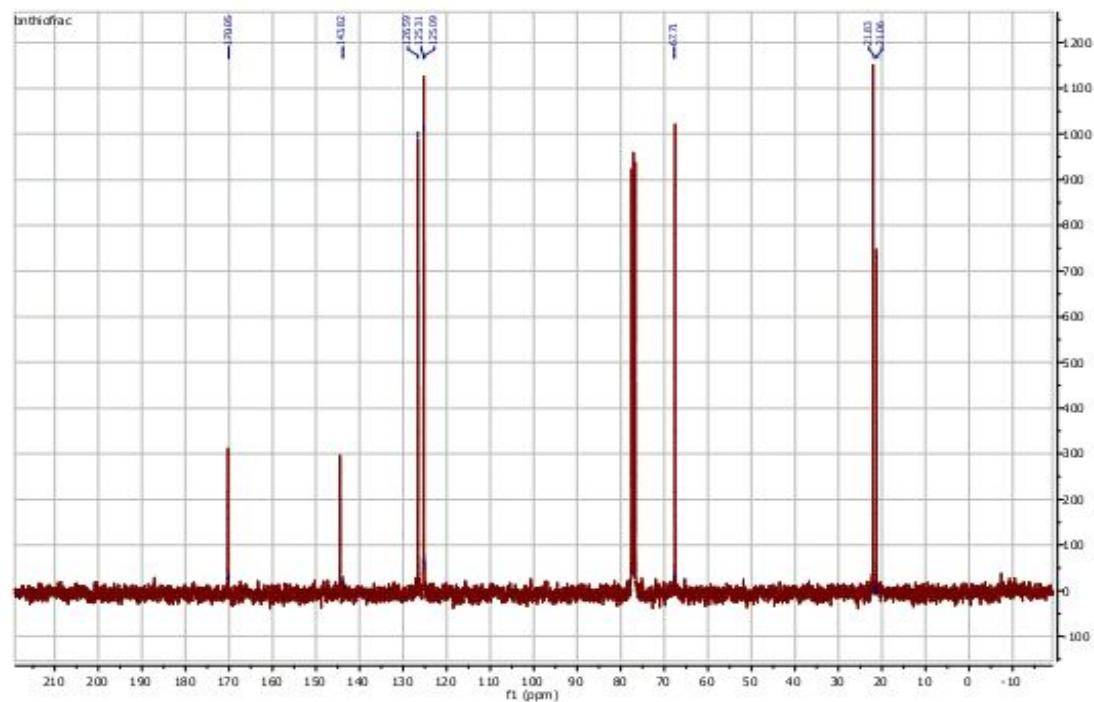
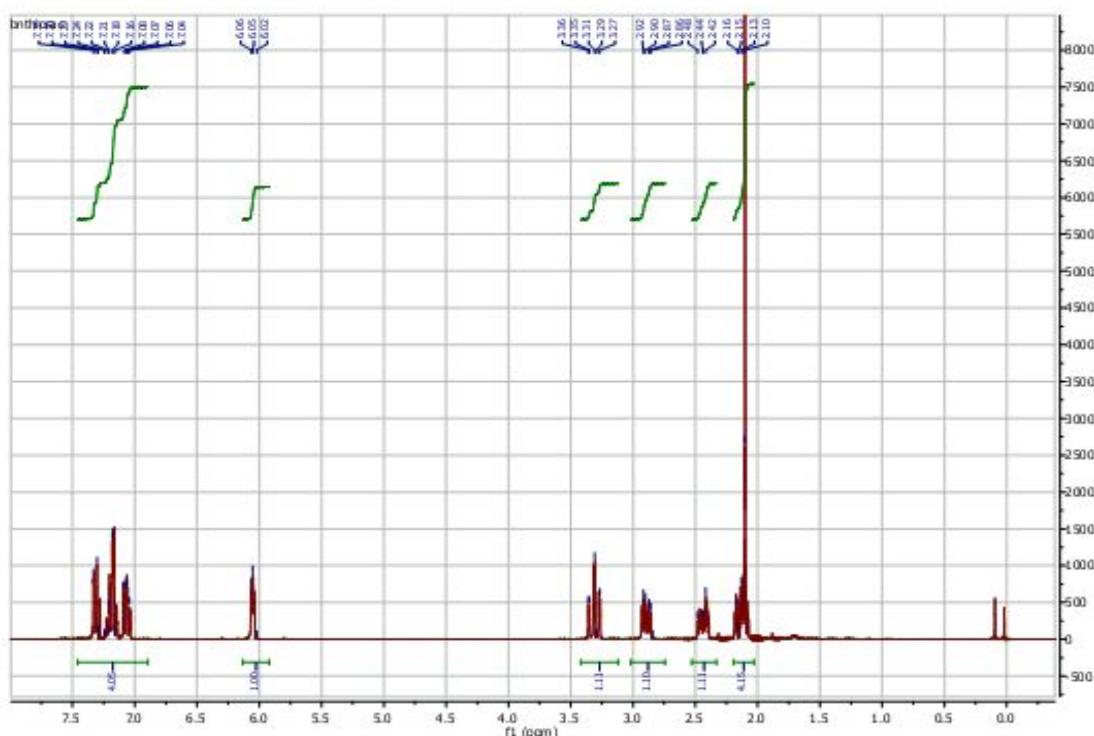
1, 2, 3, 4-tetrahydronaphthalen-1-ol (4)

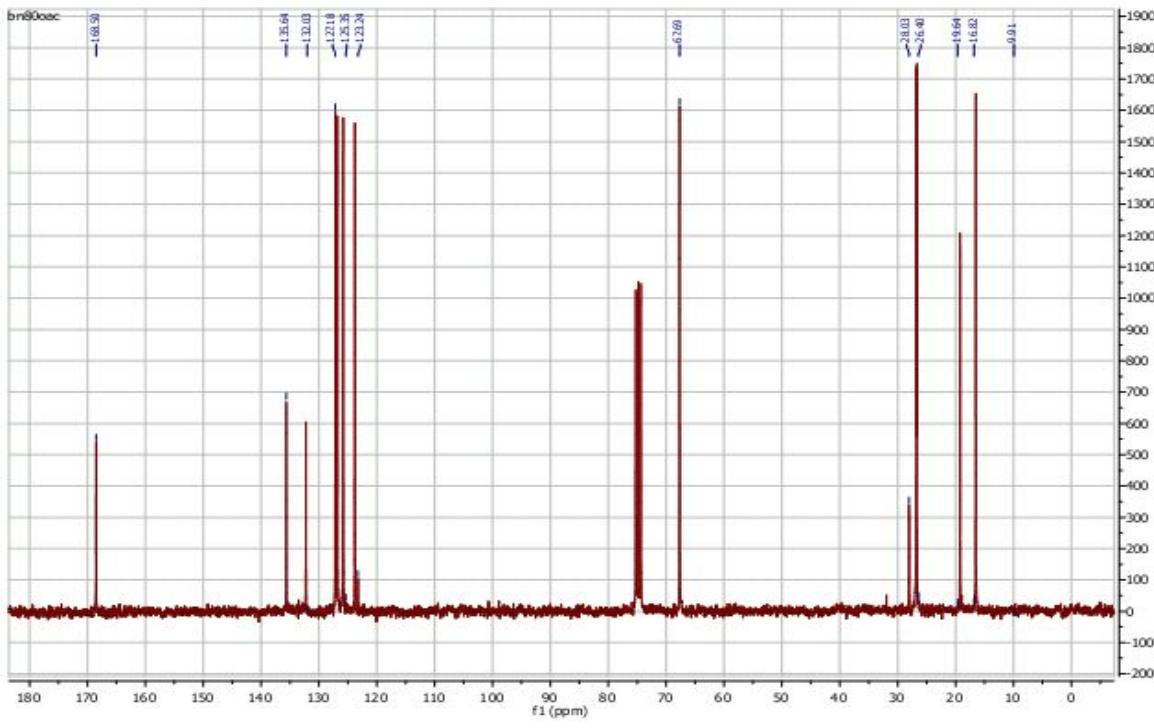
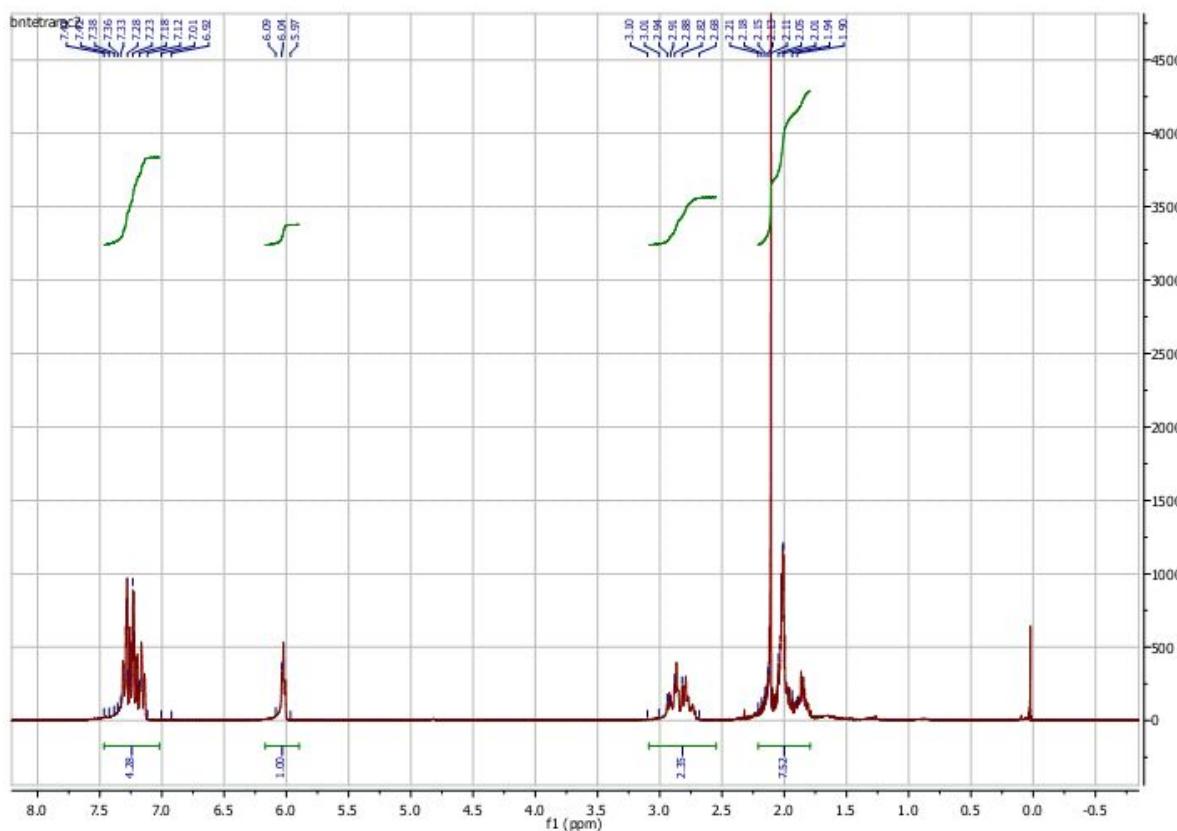
1-furan-2-yl-ethanol (5)

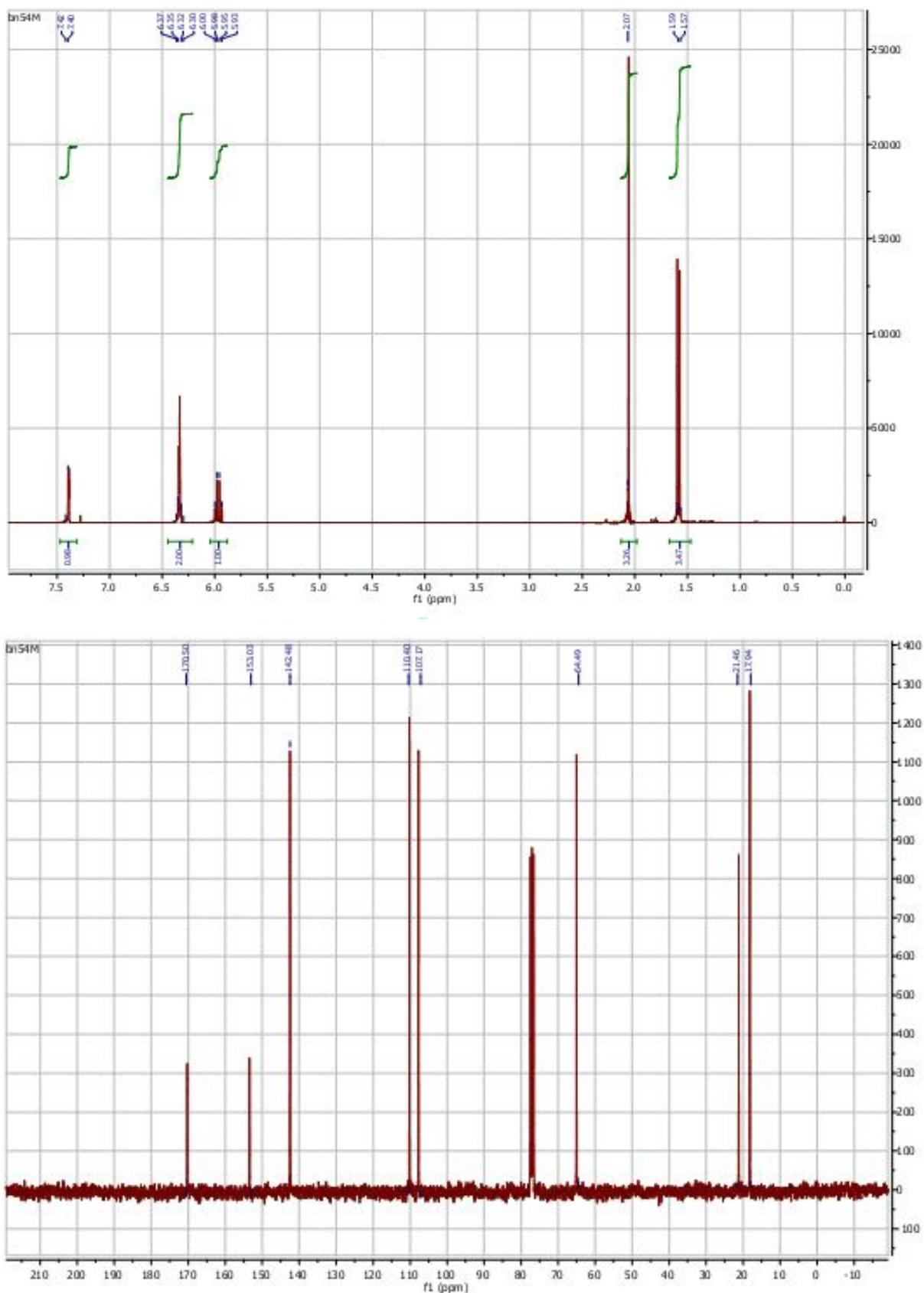
1-thiophen-2-yl-ethanol (6)

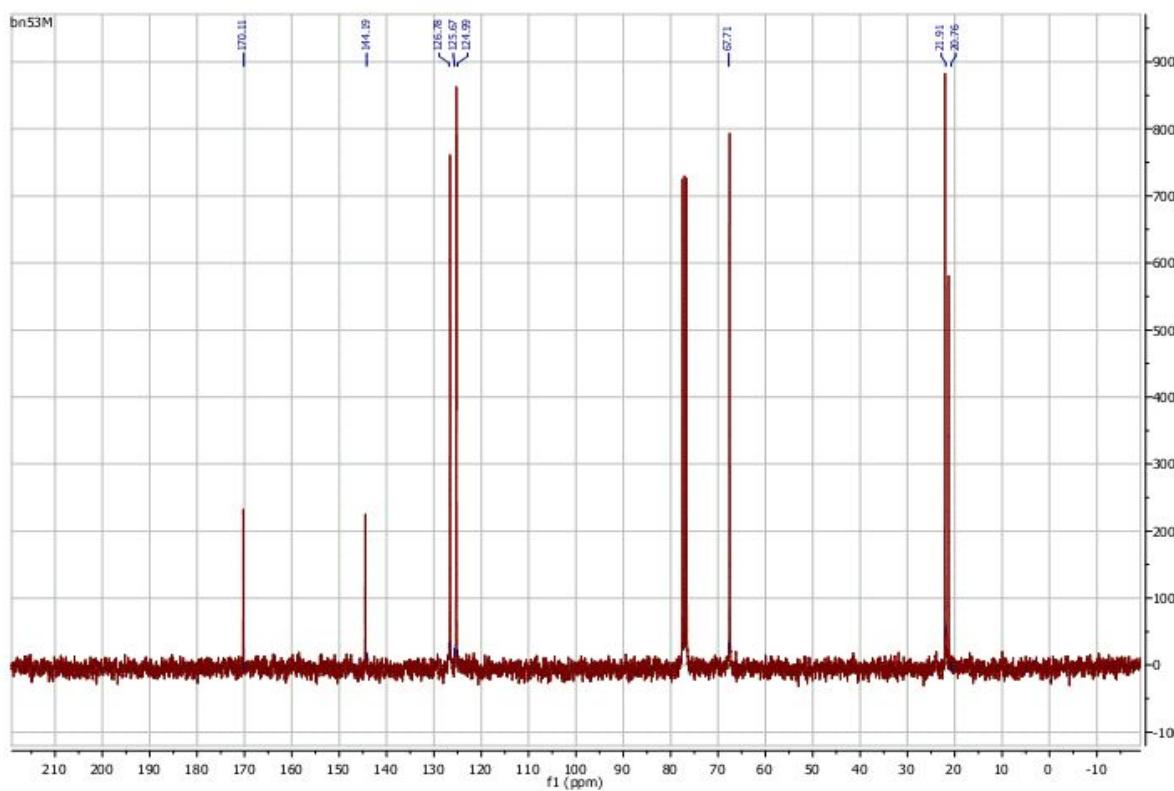
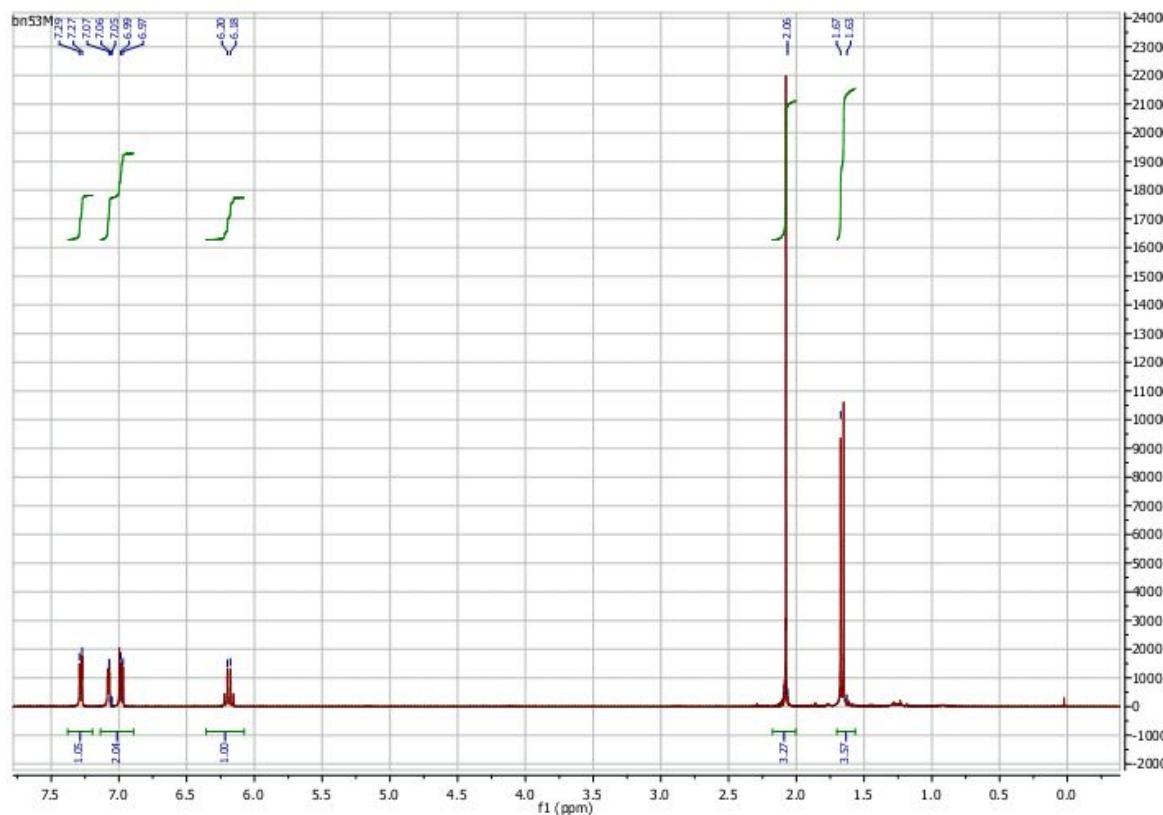
2, 3-Dihydro-benzofuran-3-yl acetate (1a):

Chroman-4-yl acetate (2a):

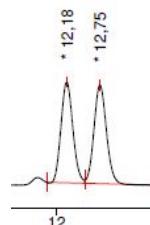
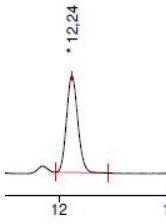
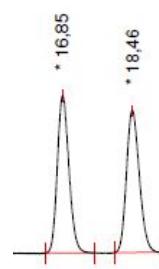
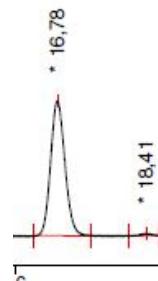
Thiochroman-4-yl acetate (3a)

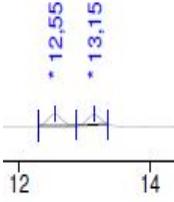
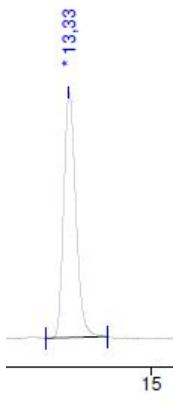
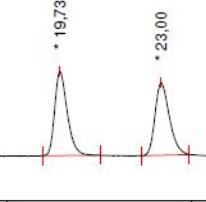
1, 2, 3, 4-tetrahydronaphthalen-1-yl acetate (4a):

1-(fur-2-yl) ethyl acetate (5a)

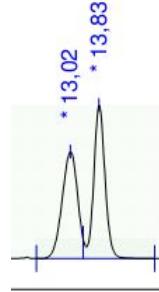
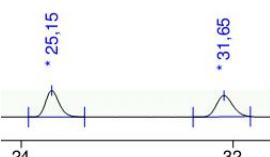
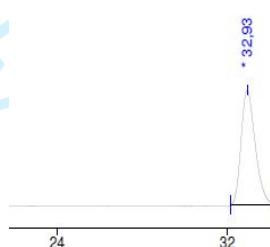
1-(thien-2-yl)ethyl acetate (6a)

1
2
3 **2. Chromatograms of some markedly results of the Alkaline-enzymatic hydrolysis**
4

5 6 Substrate	7 Analysis's conditions	8 HPLC Chromatograms
9 10 1a	11 Chiral HPLC: <i>Chiralpak IA column</i> , $t_S = 12.18$ min, $t_R =$ 12 12.75 min. Eluent (v,v): <i>n</i> -hexane/ iPrOH: 95/5; flow 13 rate: 0.5 mL/min. 14 15 ee > 99%. (<i>S</i>)- 1a	16 Rac 17  18 19 (S)-1a 20  21 22 23 24 25 26 27 28 29
30 1	31 Chiral HPLC: <i>Chiralpak IA column</i> , $t_R = 16.85$ min, $t_S =$ 32 18.46 min. Eluent (v,v): <i>n</i> -hexane/ iPrOH: 95/5; flow 33 rate: 0.7 mL/min . 34 35 ee > 99%. (<i>R</i>)- 1	36 rac 37  38 (R)-1 39  40 41 42 43 44 45 46 47 48 49 50 51

	<p>2a</p> <p>Chiral HPLC: Chiralpak IA column, $t_R = 12.55$ min, $t_S = 13.15$ min. Eluent (v,v): n-hexane/EtOH: 99/1; flow rate: 0.5 mL/min.</p> <p>ee > 99%. (<i>S</i>)-2a</p> <p>$[\alpha]^{20}_D = -182.6$ (c 0.5, CHCl₃).</p>	 <p>(S)-2a</p>  <p>(S)-2a</p>
	<p>2</p> <p>Chiral HPLC: Chiralpak ODH column, $t_S = 19.73$ min, $t_R = 23.00$ min. Eluent (v,v): n-hexane/iPrOH: 95/5; flow rate: 0.5mL/min.</p> <p>ee >99% (<i>R</i>)-2</p> <p>$[\alpha]^{20}_D = +106$ (c 0.5, CHCl₃).</p> <p>[Lit¹. (<i>R</i>)-2b $[\alpha]^{20}_D = +68$ (c 0.36, CHCl₃), 64%ee]</p>	 <p>(R)-2</p>  <p>(R)-2</p>

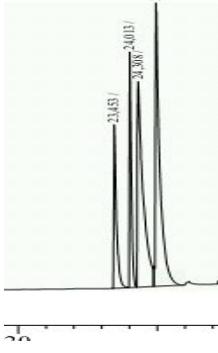
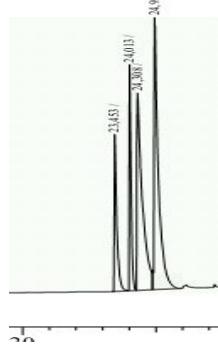
¹ Boyd D, Shama N.D, Boyle R, Evans TA, Malone JF, McCombe KM, Dalton H. *J Chem Soc Perkin*. **1996**;1:1758–1765.

	<p>3a</p> <p>Chiral HPLC: <i>Chiralpak</i> OD-H column, $t_R = 13.02$ min, $t_S = 13.83$ min. Eluent (v,v): n-hexane/iPrOH: 95/5; flow rate: 0.5mL/min.</p> <p>ee = 98 %. (<i>S</i>)-3a</p> <p>$[\alpha]^{20}_D = -213$ (<i>c</i>0.5, CHCl₃)</p>	<p>Rac</p>  <p>(<i>S</i>)-3a</p>  <p>Rac</p>
	<p>3</p> <p>Chiral HPLC: <i>Chiralpak</i> OD-H column, $t_S = 25.15$ min, $t_R = 31.65$ min. Eluent (v,v): n-hexane/iPrOH: 95/5; flow rate: 0.5mL/min.</p> <p>ee > 99%. (<i>R</i>)-3</p> <p>$[\alpha]^{20}_D = +125$ (<i>c</i>0.3, CHCl₃).</p> <p>[Lit¹. (<i>R</i>)-3 $[\alpha]^{20}_D = +141$ (<i>c</i> 2.11, CHCl₃), >97% ee]</p>	 <p>(<i>R</i>)-3</p> 

	<p>4a</p> <p>Chiral HPLC: <i>Chiralpak IA</i> column, min, $t_R = 8.78$ min, $t_S = 9.26$. Eluent (v,v): hexane/iPrOH: 95/5; flow rate: 0.5mL/min.</p> <p>ee = 85% (S)-4a</p> <p>$[\alpha]^{20}_D = -71$ (<i>c</i> 0.5, CH₃Cl).</p> <p>[Lit.² (S)-4a: $[\alpha]^{20}_D = -97.5$ (<i>c</i> 0.5, CHCl₃), 90%ee]</p>	
	<p>4</p> <p>Chiral HPLC: <i>Chiralpak IA</i> column, $t_S = 18.12$min, $t_R = 19.93$min. Eluent (v,v): hexane/iPrOH: 95/5; flow rate: 0.5mL/min.</p> <p>ee >99%. (R)-4</p> <p>$[\alpha]^{20}_D = -29$ (<i>c</i> 0.3, CHCl₃).</p> <p>[Lit.³ (R)-4: $[\alpha]^{20}_D = -28$ (<i>c</i> 0.5, CHCl₃), 99%ee]</p>	

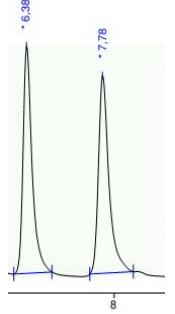
²Z. Houiene , M. Merabet-Khelassi , N. Bouzemi , Olivier Riant , L. Aribi-Zouioueche, Tetrahedron: Asymmetry (2013) 290–296, 828-833.

³ Bouzemi, N.; Aribi-Zouioueche, L.; Fiaud, J. C. Tetrahedron Asymmetry 2006, 17, 797–800.

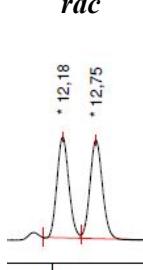
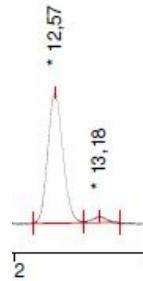
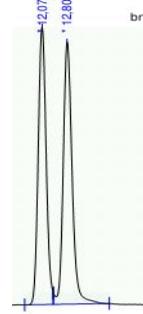
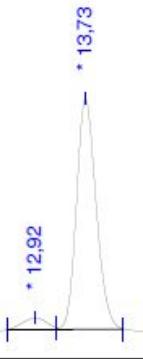
	<p>5a</p> <p>GC-2010 Plus: <i>Chiraldex</i> Shimadzu <i>Chiraldex G-BP</i></p> <p>Gradient 60°C for 20 min; 10°C/min; 180°C for 20min</p> <p>$t_S = 23.45$ min, $t_R = 24.03$ min.</p> <p>ee = 97%. (<i>S</i>)-5a</p> <p>$[\alpha]^{20}_D = -129.2$ (<i>c</i> 0.2, CHCl₃).</p> <p>[Lit⁴. (<i>R</i>)-5a $[\alpha]^{20}_D = +145$ (<i>c</i> 3.7, CHCl₃), 99%ee]</p>	 <p>(S)-5a</p>  <p>(R)-5</p>
	<p>5</p> <p>GC-2010 Plus: <i>Chiraldex</i> Shimadzu <i>Chiraldex G-BP</i></p> <p>$t_S = 24.3$ min, $t_R = 24.95$ min. Eluent (v,v):acetone</p> <p>ee = 99%. (<i>R</i>)-5</p> <p>$[\alpha]^{20}_D = +23.2$ (<i>c</i> 0.1, CHCl₃).</p> <p>[Lit⁵. (<i>R</i>)-5: $[\alpha]^{20}_D = +21$ (<i>c</i> 1.45, CHCl₃), 95%ee]</p>	 <p>(R)-5</p> 

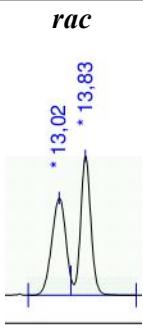
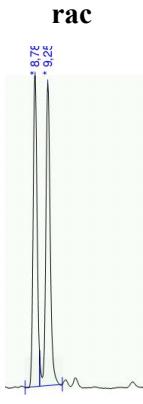
⁴Dartagnan S.P. Ferreira, Jeiely G. Ferreira, Everaldo F.S. Filho, Jefferson L. Princivalva. Journal of Molecular Catalysis B: Enzymatic 126 (2016) 37–45

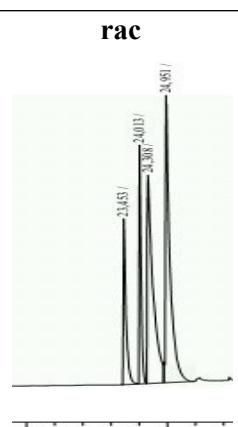
⁵Fantin G, Fogagnolo M, Medici A, Pedrini P, Polis S, Grdini F. Tetrahedron: Asymmetry. 1993;4:1607–1612

	<p>6a</p> <p>Chiral HPLC: <i>Chiraldak</i> IA column, $t_R = 8.38$ min, $t_S = 8.80$ min. Eluent (v,v): n-hexane/iPrOH: 95/5; flow rate: 0.5mL/min.</p> <p>ee > 99%. (<i>S</i>)-6a</p> <p>$[\alpha]^{20}_D = -69.7$ (<i>c</i> 0.2, CHCl₃).</p> <p>[Lit⁴. (<i>R</i>)-6a : $[\alpha]^{25}_D = +114$ (<i>c</i> 5.15, CHCl₃), >99%ee]</p>	 <p>(<i>S</i>)-6a</p> 
	<p>6</p> <p>Chiral HPLC: <i>Chiraldak</i> Whelk column, $t_S = 6.38$ min, $t_R = 7.78$ min. Eluent (v,v): n-hexane/iPrOH: 75/25; flow rate: 0.7mL/min.</p> <p>ee >99%. (<i>R</i>)-6</p> <p>$[\alpha]^{20}_D = +29$ (<i>c</i> 0.2, CHCl₃).</p> <p>[Lit⁵. (<i>R</i>)-6: $[\alpha]^{25}_D = +27$ (<i>c</i> 2.5, CHCl₃), 96%ee]</p>	 <p>(<i>R</i>)-6</p> 

1
2
3 **3. Chromatograms of some markedly results after Mitsunobu esterification**
4

5 6 Substrate	7 8 Analysis's conditions	9 10 HPLC Chromatograms
11 12 1a	13 Chiral HPLC: <i>Chiraldapak IA column</i> , $t_S = 12.18$. min, $t_R = 12.75$ min. Eluent (v,v): n-hexane/ iPrOH: 95/5; flow rate: 0.5 mL/min. 14 15 16 ee = 92%. (S)-1a 17 18 19 20 $[\alpha]^{20}_D = -30.7$ (<i>c</i> 0.2, CHCl ₃).	21 rac 22  23 (S)-1a 24  25 26 27 28 29 30 31 32
33 34 2a	35 Chiral HPLC: <i>Chiraldapak IA column</i> , $t_R = 12.07$. 36 min, $t_S = 12.8$ min. Eluent (v,v): n-hexane/EtOH: 37 99/01; flow rate: 0.5 mL/min 38 39 ee = 89% (S)-2a 40 41 42 43 44 45	46 rac 47  48 (S)-2a 49  50 51 52 53 54 55 56 57 58 59 60

	<p>3a</p> <p>Chiral HPLC: <i>Chiraldpak OD-H</i> column, $t_R = 13.02$ min, $t_S = 13.83$ min. Eluent (v,v): n-hexane/iPrOH: 95/5; flow rate: 0.5mL/min</p> <p>ee = 88% (<i>S</i>)-3a</p>	 <p style="text-align: center;">(<i>S</i>)-3a</p> 
	<p>4a</p> <p>Chiral HPLC: <i>Chiraldpak IA</i> column, min, $t_R = 8.78$ min, $t_S = 9.26$. Eluent (v,v): hexane/iPrOH: 95/05; flow rate: 0.5mL/min.</p> <p>ee = 89.5% (<i>S</i>)-4a</p>	 <p style="text-align: center;">(<i>S</i>)-4a</p> 

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	<p>5a</p> <p>GC-2010 Plus: <i>Chiraldex</i> Shimadzu <i>Chiraldex G-BP</i></p> <p>Gradient 60°C for 20 min; 10°C/min; 180°C for 20min</p> <p>$t_R = 23.45$ min, $t_S = 24.03$ min</p> <p>ee = 51% (<i>S</i>)-5a</p>	<p>rac</p>  <p>(<i>S</i>)-5a</p> 
	<p>6a</p> <p>Chiral HPLC: <i>Chiraldex IA</i> column, $t_R = 8.38$ min, $t_S = 8.80$ min. Eluant (v,v): n-hexane/iPrOH: 95/5; flow rate: 0.5mL/min.</p> <p>ee = 62% (<i>S</i>)-6a</p>	<p>rac</p>  <p>(<i>S</i>)-6a</p> 