

# Influence of exposure assessment methods on associations between long-term exposure to outdoor fine particulate matter and risk of cancer in the French cohort Gazel

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#### 26 Abstract

Background: Many studies investigated the relationship between outdoor fine particulate
matter (PM<sub>2.5</sub>) and cancer. While they generally indicated positive associations, results have
not been fully consistent, possibly because of the diversity of methods used to assess exposure.

Objectives: To investigate how using different PM<sub>2.5</sub> exposure assessment methods influences
risk estimates in the large French general population-based Gazel cohort (20,625 participants
at enrollment) with a 26-year follow-up with complete residential histories.

33 Methods: We focused on two cancer incidence outcomes: all-sites combined and lung. We used 34 two distinct exposure assessment methods: a western European land use regression (LUR), 35 and a chemistry-dispersion model (Gazel-Air) for France, each with a time series  $\geq$  20-years 36 annual concentrations. Spearman correlation coefficient between the two estimates of PM<sub>2.5</sub> 37 was 0.71 across all person-years; the LUR tended to provide higher exposures. We used 38 extended Cox models with attained age as time-scale and time-dependent cumulative 39 exposures, adjusting for a set of confounders including sex and smoking, to derive hazard 40 ratios (HRs) and their 95% confidence interval, implementing a 10-year lag between exposure 41 and incidence/censoring.

42 Results: We obtained similar two-piece linear associations for all-sites cancer (3,711 cases), 43 with a first slope of HRs of 1.53 (1.24-1.88) and 1.43 (1.19-1.73) for one IQR increase of 44 cumulative PM<sub>2.5</sub> exposure for the LUR and the Gazel-Air models respectively, followed by a 45 plateau at around 1.5 for both exposure assessments. For lung cancer (349 cases), the HRs 46 from the two exposure models were less similar, with largely overlapping confidence limits.

47 Conclusion: Our findings using long-term exposure estimates from two distinct exposure48 assessment methods corroborate the association between air pollution and cancer risk.

49 **Keywords**: air pollution; PM<sub>2.5</sub>; lung cancer; survival analysis; epidemiology

#### 50 Introduction

51

52 Outdoor air pollution including fine particulate matter (with a diameter  $<2.5\mu$ m or PM<sub>2.5</sub>) has 53 been classified carcinogenic by the International Agency for Research on Cancer (Loomis et 54 al., 2013). Indeed, a large body of studies found positive associations between exposure to 55 different air pollutants, including PM<sub>2.5</sub>, and cancer risk of different sites (Pope et al., 2002; 56 Raaschou-Nielsen et al., 2016, 2013; Turner et al., 2017). Most studies relied on a single 57 exposure assessment method, which varied across the studies. Further, not all studies obtained 58 decade-long time-series data that allow to generate long-term exposures. While early studies 59 used ground-based measurement (such as Dockery et al. (1993)), the computation power and 60 the available data enabled later studies to use advanced modeling of air pollutant exposure 61 estimates, such as land use regression, kriging, satellite-based estimates, or chemistry-62 dispersion models. These rely on different source data, assumptions and equations, and come 63 with their own strengths and limitations in terms of: the granularity of the spatial resolution 64 (from less than 100 meters to more than ten kilometers); spatial coverage (from regional to 65 global scale); temporal coverage (from daily to annual time-step and covering up to more than 66 10 years); and the ability to capture spatial or temporal variations at different scales. This 67 methodological heterogeneity in exposure assessment among studies could partly explain the 68 heterogeneity of the epidemiologic findings. There have been a few attempts to investigate 69 whether the choice of exposure assessment method influences the results of risk analyses. Most 70 studies focused on nitrogen oxides and coarse particulate matter (Cohen et al., 2019; Korek et 71 al., 2017; Sellier et al., 2014), and only a few included PM<sub>2.5</sub> (Jerrett et al., 2017, 2017; Jin et 72 al., 2019; Klompmaker et al., 2021; Kulhánová et al., 2018; McGuinn et al., 2017), among which 73 only one focused on risk of cancer (Cheng et al., 2019).

To fill this gap, we aim to compare estimated exposures and the resulting risk estimates in a study of cancer using two distinct exposure assessment methods for  $PM_{2.5}$ . In a large population-based French cohort with 26 years of follow-up, exposure was assigned using land

- 77 use regression and chemistry-dispersion modeling, subsequently used to estimate associations
- 78 with two outcomes: all-type cancers and lung cancer.

#### 79 Material and methods

#### 80 Study population

The Gazel cohort included at inception, in 1989, 20,625 participants, aged 35-50, from the 81 82 French national gas and energy company Electricité-de-France (Goldberg et al., 2015). 83 Participants completed a baseline detailed self-administered questionnaire in 1989, and a 84 follow-up questionnaire every subsequent year-with a response rate over 80% during the first 85 three years, and over 70% since. The addresses of participants were collected 1) between 1989 86 and 2006, by residential calendars completed by the participants in 2006, and 2) from 2006 87 onward, by registration of each participant's precise residential address (Figure S1). All addresses were geocoded and precision quantified: from less precise (at postal code, 26%) to 88 89 the most precise (address-level with the full address including street name and number, 36%). 90 We did not observe any time trend in the percentage of precision of the geocodes.

91 By implementing a 10-year latency between exposure and incidence/censoring (see exposure 92 assessment and statistical analyses sections), we excluded participants with incident primary 93 cancers (all-sites or lung) diagnosed before 1999, or who died or were lost on follow-up before 94 1999. In addition, for the analyses on lung cancer, we compared lung cancer cases to the 95 participants who did not develop any cancer. Participants who were lost to follow-up or died 96 during the follow-up after 1999, without a diagnosis of cancer, were censored at date of exit or 97 at the date of death. We excluded participants with more than 20% of follow up due to missing 98 addresses, being located outside mainland France, or located on Corsica Island (for which we 99 could not attribute exposures with one of the models). In our study period (1999-2015), the 100 study populations included 19,348 and 15,694 participants for the respective analyses on 101 incident primary all-site and incident primary lung incident cancer (Figure S2).

The Gazel study protocol, including a written consent of the cohort participants, was approved
by the French authority for data confidentiality (Commission Nationale Informatique et
Liberté #105728) and by the Ethics Evaluation Committee of Inserm (IRB0000388,
FWA00005831).

106

#### 107 Cancer incidence

We identified any incident cancer in the study period (1999-2015), by means of several sources described below: the register of the gas and energy company's (Electricité-de-France) medical department, a validated in-house procedure based on the questionnaires and medical records for retired participants (Goldberg et al., 2007), and the French national medico-administrative database of the national health insurance.

113 The company registered all cancers (except non-melanoma skin cancers) occurring during the 114 employment period of all Gazel participants. Each notified cancer case was documented with 115 pathology reports and the date of diagnosis, and coded according to the International 116 Classification of Diseases. The company did not register cancers occurring after retirement. To 117 address this limitation, from 2008 we obtained dates of diagnosis and types of cancer (ICD-10 118 and ICD-O-2) as follows: first by recording cancer diagnosis that Gazel participants self-119 reported in the annual follow-up questionnaires, and then by contacting all of the Gazel 120 participants who gave consent for collecting medical information for validation purposes. We 121 also used data from the national health insurance database that records all data on the use of 122 the French health system leading to reimbursement (from medical appointments to the purchase of prescribed drugs and medical examinations). We linked this database to all Gazel 123 124 participants who gave consent. This database allowed identifying cancer from data on 125 hospitalizations with dates and diagnoses, and from the "chronic diseases" register (an official 126 health insurance's list of 30 serious chronic diseases, including cancer) (Tuppin et al., 2017).

In these cancer data sources, we used ICD-10 codes to identify primary incident cases of allsite cancer (the whole neoplasms chapter except secondary malignant neoplasms C77-79) and

lung cancer (C34). Right censoring was applied at incident primary cancers (all-sites or lung,
depending on analysis), date of departure from the cohort or end of follow up, whichever
occurred first.

#### 132 Exposure assessment

We assessed PM<sub>2.5</sub> exposure in the Gazel cohort with two conceptually different exposure assessment methods: a land use regression model developed for western Europe covering the 1990-2015 period (de Hoogh et al., 2018), and a chemistry-transport model developed for France covering the 1989-2008 period (Bentayeb et al., 2014) – these time spans are fully compatible with our study period (1999-2015) since we implement a 10-year lag.

138 Land use regression (LUR): annual mean PM<sub>2.5</sub> concentrations for 2010 were estimated at a 139 fine spatial resolution (100x100 m) using a supervised stepwise linear regression approach 140 regressing measured PM<sub>2.5</sub> concentrations (543 sites from the European AIRBASE network) 141 against a range of predictor variables, including satellite derived and chemical transport 142 modelled PM<sub>2.5</sub> estimates, road density, land use variables and altitude. Residual variation at 143 the monitoring sites was explained by ordinary kriging. The model explained 66% of the spatial 144 variation in measured PM<sub>2.5</sub> concentrations (de Hoogh et al., 2018). The estimated PM<sub>2.5</sub> 145 concentrations for 2010 were rescaled for years 1990-2015, by European NUTS-1 region (eight 146 NUTS-1 regions in mainland France), using back- and forward- extrapolation with the ratio 147 method. Annual mean PM<sub>2.5</sub> estimates (1990 – 2015) from the 26x26 km Danish Eulerian 148 Hemispheric Model (DEHM) (Brandt et al., 2012) were used for this purpose. In addition, in 149 this study, we further back-extrapolated PM<sub>2.5</sub> exposure to 1989.

150 <u>Chemistry-dispersion model (Gazel-Air):</u> the chemistry-dispersion model, already used in the 151 previous Gazel-Air study (Bentayeb et al., 2014), assessed PM<sub>2.5</sub> concentrations each year 152 between 1989 and 2008 following these steps: (i) the Chimere chemistry-transport model was 153 used to compute annual mean concentrations over Europe (on a 30x30 km grid) and France 154 (on a 10x10 km grid). This model was fed by the European Monitoring and Evaluation Program 155 emissions inventories, point sources, road emissions, and biogenic emissions. (ii) Mesh refinement and data assimilation with geostatistical analyses were then applied to estimateconcentrations on a 2x2 km grid.

For each participant and each available year, we estimated PM<sub>2.5</sub> exposure as the value at the
residential address for both exposure assessment methods.

#### 160 **Covariables**

Based on previous literature, we selected *a priori* the following variables as confounders orpotential effect modifiers:

163 Sociodemographic and occupational variables: sex, education (9-11 years, 12-13 years, 14-15 164 years, other secondary education, other diploma), and socio-economic status (low: blue-collar 165 workers or clerks; medium: first-line supervisors or sales representatives; high: management), 166 all at baseline. We also used Gazel participants' occupational histories linked to a French job 167 exposure matrix (Imbernon, 1991) to include a synthetic summary of occupational exposure to 168 nine known lung carcinogens (asbestos, cadmium, chlorinated solvents, chromium, coal 169 gasification, coal-tar pitch, creosotes, crystalline silica, and hydrazine) over the whole 170 employment period in the company and categorized into none, one, two, or at least three 171 carcinogens.

172 Lifestyle variables: time-varying variables for tobacco (cumulative smoking pack-years and 173 smoking status), alcohol intake (abstinent, non-daily drinker, light drinker, moderate drinker, 174 heavy drinker, unclear pattern), family status (single or not), body mass index (BMI in kg/m<sup>2</sup>). 175 Two variables were included only at specific time points: passive smoking at home or at work 176 (yes or no) in 1990 and 1996, and fruit-vegetable intake (never or less than once a week; once 177 or twice a week; more than twice a week but not every day; every day or almost) in 1998, 2004, 178 2009 and 2014. We computed these variables as time-varying, attributing the data collected in 179 1990 and 1996 to each year of the periods 1989-1995 and 1996-2015 for passive smoking, and 180 the data collected in 1998, 2004, 2009 and 2014 to each year of the periods 1989-1998, 1999-2004, 2005-2010, 2011-2015 for fruit and vegetable consumption. 181

182 Contextual variables: For all participants, we calculated the distance to the nearest major road 183 and assigned European NUTS-1 region (Nomenclature of Territorial Units for Statistics, which 184 are areas defined by the European Union) every year. We built an "urban classification" 185 variable based on the population density obtained from the French National Institute for 186 Statistics, for every municipality, which was weighted according to the inhabited surface of 187 each municipality and classified as high (urban), moderate (semi-urban), and low (rural). We 188 further specified whether urban participants were living in the Paris region or not. We obtained 189 such values for the years 1990, 2000, and 2010 and assessed a "long term urban classification" 190 as solely urban in the Paris region, solely urban out of the Paris region, solely semi-urban, 191 solely rural, or mixed during these three years. We also obtained the French deprivation index 192 (Rey et al., 2009) calculated in 2009 for all participants having survived until then (categorized 193 into three tertiles as low, middle, and high deprivation – with a missing value for participants 194 who died before 2009, considered as a category so not to lose 650 participants when using this 195 variable in the analyses).

#### 196 Imputations

197 Our dataset included some missing values (from 21% for passive smoking to 29% for BMI). We 198 imputed missing values longitudinally, considering we had several years of follow-up by 199 participant. To do so, we chose a 2-level imputation model with participants as clusters using 200 the packages MICE (van Buuren and Groothuis-Oudshoorn, 2011) and MICEADDS (Robitzsch 201 et al., 2018). We imputed all variables except exposures to PM<sub>2.5</sub>, and contextual variables (but 202 we still used them to impute all the other variables) using the functions "2l.pmm" and 203 "2l.only.pmm" for time-varying and time-independent variables, respectively. We obtained a 204 satisfactory convergence after 10 iterations for the 10 datasets (Figure S3).

#### 206 **Statistics**

#### **207** Comparing estimates of exposure to PM<sub>2.5</sub>:

208 Estimates from the two exposure assessment methods, applied to each participant for each 209 year, were compared as follows. First, we calculated Spearman's correlation coefficients a) for 210 the complete dataset and b) on subsets according to the year and region to check for any 211 differences. We plotted Bland-Altman graphs to check for any bias between the two methods, 212 again using the complete dataset and subsets. Since the two exposure assessment methods 213 considered the influence of traffic and roads differently, we also explored correlations in 214 population subsets defined by distance to the nearest major road. We defined subsets as (i) the 215 European NUTS-1 regions, and (ii) we divided the distance to the major road into quartiles, 216 and further subdivided the first quartile at 150m to explore near-road exposures.

To visualize the differences between the two exposure models over France in 1990 and 2008, we mapped the differences in exposures (LUR minus Gazel-Air) for participants available at the two time points. To improve the maps' readability, we averaged the results on a 5x5km grid.

#### **221** Associations between PM<sub>2.5</sub> and cancer, using exposure derived from the two models:

Since cancers are believed to develop over a lengthy period of time, it is customary to discount exposures that occurred recently as these are unlikely to have affected the disease risk (Rothman et al., 2008). In the main analysis, we thus implemented a 10-year lag period (Supplementary Methods). We thus considered only cases diagnosed between 1999 and 2015, with corresponding exposures 10 years before incidence/censoring.

We used Cox regressions to analyze the associations between  $PM_{2.5}$  exposure and incident primary cancer (all-site and lung cancer cases). Specifically, we built extended Cox models with time-varying variables to satisfy the proportional hazards assumption (Supplementary material). We used attained age as underlying time scale and a time-varying cumulative exposure to  $PM_{2.5}$  as previously used (Lequy et al., 2021), adjusted for age at enrollment

232 (Pencina et al., 2007); we also adjusted for calendar time dichotomized with a cut-off in 2007 (Supplementary Material). We used a spline function with three degrees of freedom to test for 233 234 non-linearity. Due to non-linearity (Figure S4), we modelled the relationship with a two-piece 235 linear model by including an interaction term between PM<sub>2.5</sub> exposure and a Boolean variable 236 at the specified percentile (Lequy et al., 2021), and provide resulting hazard ratios (HR) and 237 95% confidence intervals accordingly (Supplementary Material). As an alternative analysis to 238 address non-linearity and check for the robustness of the results, we natural log-transformed 239 the cumulative annual PM<sub>2.5</sub> time-dependent exposure (Lequy et al., 2021).

240 For both the two-piece linear and the log-transformation approach, we adjusted for the 241 following a priori variables: sex, tobacco smoking (cumulative pack-years), passive smoking, 242 alcohol consumption, socioeconomic status, education, marital status, BMI, occupational exposure to lung carcinogens, and fruit and vegetable consumption, each with a 10-year lag 243 244 (except passive smoking and fruit-vegetable consumption, because of the way they were 245 imputed, see "Imputations" section). We assumed a linear relationship between continuous 246 variables and each outcome. Sex was included as a strata variable as it did not meet the 247 proportional hazards assumption.

248 For all-site cancer incidence, we conducted sensitivity analyses by (i) further adjusting for the 249 area-level French deprivation index in addition to individual SES (Temam et al., 2017), (ii) 250 restricting the study population to the participants with only the most precise geocodes 251 throughout their follow-up (i.e. addresses-level geocodes, see "Study population"), and 252 implementing several alternative ways to handle missing data by (iii) considering missing data 253 as a category, (iv) imputing missing data as median/mode, or (v) conducting complete-case  $\mathbf{254}$ analyses. To detect any effect modification by sex, smoking status, distance to the nearest 255 major road, and population density, we restricted our analyses to the following groups: female 256 or male, ever or never smokers, nearest major road within or beyond the median distance of 257 500m over the follow-up, and following the urban classification at municipality-level (see

covariables): solely urban (separately in the Paris region vs out of the Paris region) or solelysemi-urban or solely rural.

For lung cancer incidence, due to the small number of cases, we did not perform any sensitivityor stratified analyses.

We conducted all analyses with the R software version 3.5.1 (R Core Team, 2018) and the 'survival' package (Therneau, 2015; Therneau and Grambsch, 2000). Unless specified otherwise, we used multiple imputations by chained equations to conduct all the analyses and pooled estimates using Rubin's rules.

#### 266 **Results**

#### 267 Characteristics of Gazel's participants

268 Of the eligible 19,348 participants, we identified 3,711 incident primary cancers (all-sites) and 269 349 incident primary lung cancer cases diagnosed between 1999 and 2015. Our study sample 270 included more than 70% males and the median age of participants was 43.5 years at enrollment 271 (Table 1). At enrollment, most had never smoked or had quit smoking, and the cumulative pack-years for ever-smokers was 15. More than 40% were light alcohol drinkers. Around 60% 272 273 were not exposed to any of the nine occupational lung carcinogens. The high number of study 274 participants leads to statistically significant differences between participants diagnosed with 275 cancer (all-sites) and participants without cancer during the observation period. However, 276 these differences were rather small, except for sex and pack-years of tobacco smoking: cancer 277 cases were more likely to be men and reported more cumulative pack-years.

#### 278 Comparing the LUR and Gazel-Air exposure models

The common period in which the two models provided exposures spanned from 1989 to 2008. The median exposure to  $PM_{2.5}$  in 1989 was 33.3 µg.m<sup>-3</sup> [interquartile range: 30.1-37.8] and 17.0 µg.m<sup>-3</sup> [14.5-20.5] for the LUR and the Gazel-Air model, respectively. We observed a decreasing trend over time for both models, although more pronounced for the LUR model(Figure 1).

284 *Temporal comparison*: the raw annual estimates from the two exposure assessment methods 285 correlated highest in 2008 (Spearman's correlation coefficient of 0.68) the year closest to 2010 286 for which the LUR was originally developed and for which we have Gazel-Air estimates. 287 Correlations ranged from 0.62 to 0.79 across years (0.71 combining all available years). 288 Exposure estimates diverged when going back in time, with almost systematically higher LUR 289 estimates. Further, the Bland-Altman graphs indicated an overestimation of the LUR model 290 compared to the Gazel-Air model already noticeable in 2008 and increasing when going back 291 in time (Figure S5).

*Spatial comparison*: when stratifying by European NUTS-1 region, we observed correlations ranging from 0.59 to 0.75 (Table 2). Furthermore, within each European NUTS-1 region and for the specific years 1990, 2000, and 2008, we observed quite stable correlations (although slightly weaker) in these regions over time (Table 2).

*Spatio-temporal comparison*: the maps revealed differences between the two models that evolved differentially according to the region (Figure 2). For example, the LUR model estimated both higher and lower levels of PM<sub>2.5</sub> in 2008, but estimated almost only higher PM<sub>2.5</sub> concentrations across France in 1990. Therefore, the spatial differences did not increase similarly all over France when going back in time.

We did not detect any substantial effect of the distance to the nearest major road on the correlations, with a magnitude of 0.7 for all years combined (Table 2). We also checked specifically in 1990, 2000, and 2008 and observed no substantial effect of the distance to the nearest major road.

**305** Associations between PM<sub>2.5</sub> exposure and cancer using two exposure

- **306** assessment methods
- 307 <u>All-sites combined cancer incidence:</u>

12

308 Using the two-piece linear approach with one interquartile range (IQR  $- 216 \,\mu\text{g/m}^3$  for the 309 LUR, 127  $\mu$ g/m3 for Gazel-Air) as the unit of exposure, we estimated associations for each 310 exposure assessment method separately. PM<sub>2.5</sub> response approximately followed nonlinear 311 curve with a positive slope until roughly the 65<sup>th</sup> percentile of the exposure distribution before 312 a plateau (Figure S4). The exposure estimates derived from the LUR model led to an HR of 313 1.53 (95% Confidence interval: 1.24-1.88) and those from the Gazel-Air model led to an HR of 1.43 (1.19-1.73) below the 65<sup>th</sup> percentile of exposure (Table 3), then a plateau at HR around 314 315 1.5 for both exposure assessments (Figure 3, Table 3). Using the natural log-transformed 316 approach, we estimated HR of 1.21 (1.07-1.37) per 1-unit increase of natural log-transformed 317 cumulative PM<sub>2.5</sub> for the LUR approach and 1.19 (1.07-1.33) for the Gazel-Air approach (Table 318 S1).

The sensitivity analyses yielded mostly positive and similar point-estimates using the two exposure assessment methods (Table 4, Table S1, Figure S6). Across population subsets, we estimated similar associations between incident cancer and exposure to PM2.5, whether using the LUR or the Gazel-Air exposures (Table S1, Table S2, Figure S6).

- 323 The estimated associations between important risk factors such as smoking and alcohol intake
- and all-site combined cancer incidence showed expected directions and magnitude (Table S3).

#### 325 Lung cancer incidence:

326 PM<sub>2.5</sub> response approximately followed nonlinear curve with a positive slope until roughly the 327 73<sup>rd</sup> percentile of the exposure distribution before a plateau (Figure S4). Our main analysis 328 provided discrepant HRs between the two exposure models, but statistically non-significant 329 and with largely overlapping confidence intervals (Figure S4, Table 3). The exposure estimates 330 derived from the LUR model led to an HR of 1.15 (0.58-2.29) and those from the Gazel-Air 331 model led to an HR of 1.79 (0.94-3.40) below the 73th percentile of exposure, then a plateau at 332 around 1.1 and 2.0 for the LUR and the Gazel-Air exposure assessment respectively. Using the 333 log-transformation approach, we estimated, per 1-unit increase of log-transformed cumulative

PM<sub>2.5</sub>, an HR of 1.00 (0.65-1.56) for the LUR estimates and 1.14 (0.77-1.69) for the Gazel-Air
estimates per 1-unit increase of natural log-transformed cumulative PM<sub>2.5</sub>.

#### 336 **Discussion**

This study aimed at comparing the associations between long-term exposure to PM<sub>2.5</sub> and incident cancer risk using two conceptually different exposure assessment methods. Our findings confirmed the known association between long-term exposure to PM<sub>2.5</sub> and all-site cancer risk. Furthermore, we found very similar associations between long-term cumulative PM<sub>2.5</sub> exposure and incident cancer using a land-use regression and a chemistry-dispersion model. For lung cancer, our results were less consistent and statistically non-significant.

Regarding the exposure assessment, we observed higher exposure estimates for the LUR in 2008 and these differences between the LUR and Gazel-Air models increased back in time from 2008 to 1989. These differences did not depend on a major PM<sub>2.5</sub> determinant such as the distance to the nearest major road and could be at least partly explained by the differences between Gazel-Air and the DEHM model used to extrapolate the LUR estimates between 1990-2015, such as the underlying equations, the covered area (France or Europe), and the emission data. Yet the exposure estimates from both methods were highly correlated.

350 When comparing the associations obtained using separately these two exposure assessment 351 methods, we noticed generally similar associations in the case of all-site cancer for which the 352 analyses had a great statistical power. For all-site cancer, the only striking discrepancy in our 353 findings pertains to the population subset "urban out of Paris region" for which using the LUR 354 model provided a positive but statistically non-significant HR, while using the Gazel-Air model 355 provided a null association. While this difference could be due to chance, this could also be due 356 to the exposure assessment methodology: as already mentioned, Gazel-Air had a resolution of 357 2x2 km (larger than the LUR) and did not model PM<sub>2.5</sub> in urban areas as thoroughly in other 358 cities as in Paris, which may lead to misclassification for participants living in such urban areas. 359 On the contrary, the LUR estimates were derived from a single European model: the advantage

360 is that these estimates are harmonized all over France, even though these estimates may be 361 less specific of French PM<sub>2.5</sub> measurements than the Gazel-Air estimates. The associations on 362 lung cancer were very different but the wide confidence intervals largely overlapped. Still, 363 despite statistically non-significant, the point estimates were positive, in agreement with the 364 current literature. With only 349 lung cancer cases, these analyses clearly lacked statistical 365 power and interpreting these findings requires great caution. There are many methods of PM<sub>2.5</sub> 366 exposure assessment, and for large study areas and populations models based on 367 measurements are needed. There is, however, no gold-standard; in this study, for outcomes 368 with sufficient statistical power, the two validated methods led to similar risk estimates. More 369 research is warrantied on the influence of different exposure assessment methods on risk 370 estimates, in particular using long time-series. Such time-series should also try including 371 trends in PM<sub>2.5</sub> composition to further refine the association between PM<sub>2.5</sub> exposure and risk 372 of cancer, and evaluate the effects of composition changes.

373 This study relies on very detailed epidemiological and environmental characterization, from a 374 large population-based cohort with a 26-year follow-up. We could assess exposure for each 375 available address and year and take into account all moves over the follow-up period. This 376 ensured the most accurate exposure assignment considering our data (home addresses and 377 annual concentrations of PM<sub>2.5</sub>), a quite rare feature in the literature especially when studying 378 the influence of the exposure assessment method on risk estimates. Both exposure models 379 provided spatial exposure contrasts. We used a time-dependent Cox model which controls for 380 as many time-dependent covariables as possible, and took into account the possible bias linked 381 to an aging cohort and the corresponding increasing cancer risk. These models estimated 382 expected associations with all covariables (e.g. positive associations with smoking, negative 383 with increasing fruit-vegetable intake). Like all similar studies so far, we have only obtained 384 residential addresses, so we could not consider commuting or pollution at the workplace or in 385 leisure activities. We also had no data on indoor air pollution. We obtained exposure data at 386 an annual time-step, which should minimize misclassification since we focused on long-term 387 exposure and took into account participants' moves. The MATEX job-exposure matrix did not 388 allow for distinguishing exposure to specific compounds such as polycyclic aromatic 389 hydrocarbon; yet adding these exposures would probably not affect the point estimates of the 390 association between PM<sub>2.5</sub> and cancer incidence, as the estimated associations between cancer 391 incidence and occupational exposure to carcinogens in this study were not statistically 392 significant (Table S3). Finally, we had to proceed to multiple imputations due to the missing 393 data in the follow-up – since we adjusted for several covariables, the percentage of incomplete 394 data was rather high in the final Cox model. But we used 10 sets of imputed data with good 395 convergence across these 10 datasets, therefore we are confident in our results using the 396 imputed data.

397 To conduct our analyses, and to meet the proportional hazard assumption, we had to specify a 398 time-dependent Cox model using cumulative exposure as main variable. This unusual method 399 allowed considering the fact PM<sub>2.5</sub> exposure levels decreased over a 26-year period during 400 which the study population aged and was more at risk to develop a cancer; we can expect that 401 studies on the long-term health effects of air pollution exposure are going to face this issue 402 more and more often, at least in countries in which air pollution has decreased. Besides, 403 current levels of developed countries decreased to low levels, whose effects might be difficult 404 to detect. To consider these two issues, it is necessary to develop suitable methods. In our 405 study, both of the evaluated exposure variables (i) led to violating the proportional hazard 406 assumption in a non-time-dependent Cox model, (ii) followed a similar non-linear relationship 407 with cancer risk, and (iii) provided similar associations with all-site cancer risk. For all these 408 advantages, this methodology yielded associations that can be difficult to directly compare with 409 the literature.

410 Many different exposure assessment methods have been used in air pollution epidemiology. 411 Only a few studies have used multiple methods to derive estimates of PM<sub>2.5</sub> exposure with a 412 view to comparing the results generated by the different methods. Jerrett et al. (2017) and Jin 413 et al. (2019) studied mortality risk and burden, respectively, and used PM<sub>2.5</sub> exposures from 414 different modeling techniques (based on remote sensing and ground-level data, at different

415 resolutions from 0.01° to 12x12km). Both studies found high correlations between the exposure 416 assessment methods, ranging from 0.54 to 0.99 and from 0.65 to 0.90, and associations with 417 a similar magnitude. Klompmaker et al. (2021) also studied mortality risk using three types of 418 modelled PM<sub>2.5</sub> exposure (at baseline) – including the same LUR as that used in this study – 419 with correlations between 0.61-0.72, and generally positive associations with mortality 420 especially for respiratory outcomes (respiratory diseases and lung cancer mortality), with 421 weaker associations using the LUR exposures. Jin and colleagues (2019) had observed a larger 422 uncertainty due to the epidemiological data larger than the one due to the exposure assessment 423 method. McGuinn et al. (2017) studied cardiovascular pathologies and exposures from five 424 approaches providing correlated variables (coefficient between 0.60 and 0.88). They also 425 found similar odds. Cheng et al. (2019) studied breast cancer incidence and used exposures to 426 PM<sub>2.5</sub> from ordinary kriging and dispersion models: they did not find similar associations with 427 breast cancer risk but pointed out different spatial and temporal resolutions for the two 428 exposure models. In particular, their kriging model relied on regional rather than local sources, 429 while the dispersion model focused on local sources. This was not the case for our two exposure 430 models. In general, our findings are consistent with those of previous studies, both in terms of 431 the exposure correlation coefficient (0.71 in our study) and in the sense that we found similar 432 magnitude of the associations from the two exposure models. This indicates a reassuring 433 robustness of the findings reported so far, especially for main analyses, but stresses the need 434 for giving due consideration to the selection of exposure models more often.

#### 435 **Conclusions**

This study compared two distinct and often used exposure assessment methods in epidemiological analyses on air pollution exposure and cancer risk. We found similar associations between PM<sub>2.5</sub> exposure and all-site cancer using both exposure assessment methods. Although the associations with lung cancer were less similar, results from both exposure assessment methods were positive. While both methods are considered fit for purpose, without a gold-standard exposure assessment method, we cannot say which point

estimate is more accurate; however, our findings suggest that the epidemiological findings
related to PM<sub>2.5</sub> and the investigated cancers are robust.

#### 444 Acknowledgments

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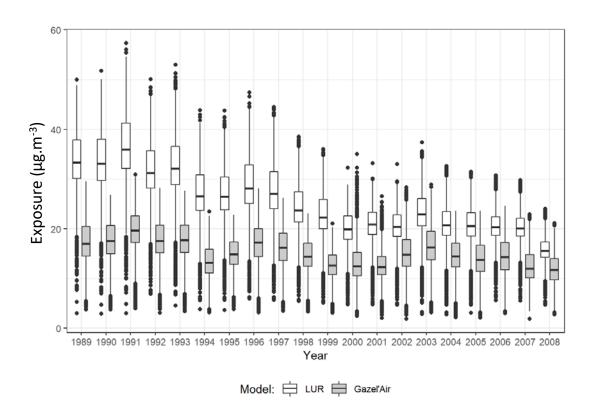
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## 600 List of figures





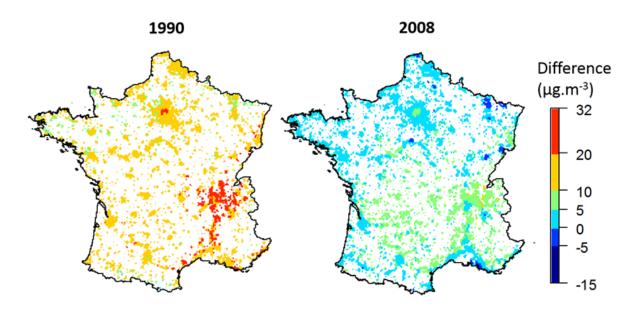
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603 Figure 1: distribution of PM<sub>2.5</sub> concentrations for Gazel participants (19,348)

604 from 1989 to 2008 (without any time lag) according to the LUR (white) or the

605 *Gazel-Air (grey) exposure assessment* (the boxes depict the 1<sup>st</sup> and 3<sup>rd</sup> quartiles with the

- 606 median as the internal dash, the lines stretch to the median±1.5 interquartile range and the
- 607 points represent values beyond these cutoffs).



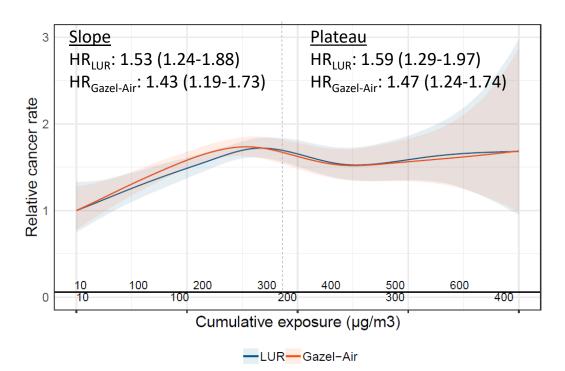
610 Figure 2: Differences between the LUR and the Gazel-Air exposure assessment

611 (with LUR estimates as the reference) for all available Gazel participants in

612 **1990 (left) and 2008 (right).** For example, the red values that the LUR estimates were up

- 613 to  $32\mu g.m^{-3}$  higher than the Gazel-Air estimates (e.g. in 1990 in the Southeastern region), and
- 614 the dark blue values indicate that the LUR estimates were up to 15µg.m<sup>-3</sup> lower than the
- 615 Gazel-Air estimates (e.g. in small areas of the Northeastern region in 2008).

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619

620 Figure 3: Associations between cumulative PM<sub>2.5</sub> estimated by the LUR or the 621 Gazel-Air exposure assessments and all-site incident cancer in the Gazel cohort, 622 with 293,188 person-years and 3,711 incident cancer cases. The y-axis represents the 623 Hazard ratios (HR) and confidence intervals with the lowest exposure as the reference for each 624 exposure assessment method using Cox models with a spline function for the exposure, and 625 with attained age as underlying time-scale and time-dependent variables, adjusted for sex, 626 cumulative smoking pack-years, passive smoking, alcohol use, BMI, education, socioeconomic 627 status, family status, fruit and vegetable consumption, occupational exposure to nine lung 628 carcinogens, age at inclusion and calendar time. The "Slope" HRs on the left represent the slope 629 of the curve below the 65th percentile exposure (vertical dashed line, 315  $\mu$ g/m<sup>3</sup> for the LUR, 630 185  $\mu$ g/m<sup>3</sup> for Gazel-Air) and are expressed per one IQR increase in cumulative exposure to 631 PM<sub>2.5</sub>. The "Plateau" HRs on the right represent the plateau above the 65th percentile of 632 exposure, calculated for the 80<sup>th</sup> percentile of each exposure assessment method ( $380 \mu g/m^3$  for 633 the LUR, 215  $\mu$ g/m<sup>3</sup> for Gazel-Air). IQR= 216  $\mu$ g/m<sup>3</sup> for the LUR, 127  $\mu$ g/m<sup>3</sup> for Gazel-Air. The x-

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axis represents the cumulative exposure for both exposure assessment methods, the LUR at

635 the top of the axis and Gazel-Air at the bottom.

636

### 637 List of tables

- 638 Table 1: Sociodemographic characteristics of the 19,348 Gazel participants included in this
- 639 study, stratified by their diagnosis of incident primary cancer (all-sites). Number
- 640 (percentage) of participants or median [interquartile range].

	No	Yes		
	15637	3711		
Follow-up time (years)	27.0 [27.0, 27.0]	20.3 [17.0, 23.7]		
Age at enrollment (years)	43.5 [41.0, 46.5]	44.5 [42.0, 47.0]		
Sex (male)	11147 (71.3)	2956 (79.7)		
Smoking status				
Nonsmoker	6859 (44.2)	1425 (38.8)		
Former smoker	4442 (28.6)	1075 (29.2)		
Current smoker	4210 (27.1)	1177 (32.0)		
Smoking - cumulative pack-years*	15.0 [7.5, 25.7]	17.7 [8.5, 29.6]		
Passive smoking (yes)	10623 (78.1)	2507 (78.0)		
Alcohol use				
Abstinent	391 (2.5)	104 (2.8)		
Light drinker	11170 (71.4)	2421 (65.3)		
Moderate drinker	2386 (15.3)	654 (17.6)		
Heavy drinker	1370 (8.8)	448 (12.1)		
Unclear pattern	317 (2.0)	83 (2.2)		

#### Incident cancer during follow-up period

### **Occupational exposure**\*\*

None	9443 (60.4)	2100 (56.6)
One	1319 (8.4)	328 (8.8)
Two	1697 (10.9)	448 (12.1)
Three or more	3178 (20.3)	835 (22.5)
Education		
9-11 years	11238 (73.5)	2720 (74.8)
12-13 years	1144 (7.5)	227 (6.2)
14-15 years	887 (5.8)	207 (5.7)
Other secondary education	1668 (10.9)	398 (10.9)
Other diploma	357 (2.3)	83 (2.3)
Socioeconomic status		
Low	2762 (17.7)	621 (16.7)
Intermediate	9179 (58.8)	2130 (57.4)
High	3677 (23.5)	958 (25.8)
Family status (not single)	13722 (89.0)	3288 (90.0)
Body mass index	25.0 [23.2, 27.0]	26.3 [22.6, 30.0]
Vegetable & fruit consumption		
Never or less than once a week	81 (0.7)	27 (1.0)
Once or twice a week	794 (7.0)	211 (7.7)
More than twice a week, not everyday	2562 (22.6)	641 (23.5)
Every day or almost	7912 (69.7)	1851 (67.8)

\*: pack-years for ever-smokers only; \*\*: to nine selected lung carcinogens

- 642 Table 2: Spearman's correlation coefficients between the LUR and the Gazel-Air exposure
- 643 estimates assessed for the 19,348 eligible Gazel participants across years, then stratified by
- 644 European NUTS-1 region or by distance to the nearest major road, over the full follow-up or
- 645 *during specific years.*

Variable	Category	All years	1990	2000	2008
All combine	d	0.71	0.70	0.70	0.68
NUTS-1	FR1 - Région parisienne	0.71	0.78	0.54	0.58
region	FR2 - Bassin parisien	0.75	0.51	0.36	0.48
	FR3 - Nord	0.73	0.75	0.77	0.71
	FR4 - Est	0.59	0.23	0.36	0.23
	FR5 - Ouest	0.71	0.63	0.58	0.53
	FR6 - Sud-Ouest	0.63	0.76	0.61	0.62
	FR7 - Centre-Est	0.73	0.80	0.77	0.73
	FR8 - Méditerranée	0.59	0.72	0.67	0.73
Distance to	o <150m	0.73	0.77	0.70	0.73
the neares	t 150-365m	0.70	0.69	0.67	0.69
major road	365-830m	0.70	0.69	0.70	0.66
	830-1730m	0.71	0.70	0.68	0.66
	>1730m	0.70	0.61	0.65	0.62

European NUTS-1 regions refer to areas defined by the European Union.

The number of participants slightly decreased from 1990 onwards as some of them have been censored, and participants moved to other NUTS-1 regions during the follow-up.

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Table 3: main associations between cumulative long-term exposure to PM<sub>2.5</sub> and firstoccurring all-site and lung incident cancer in the Gazel cohort using two exposure models. Hazard Ratios (HR) and their 95% Confidence Interval (CI) correspond to the increased risk of cancer below the 65<sup>th</sup> percentile of cumulative exposure for all-site cancer and 73<sup>rd</sup> for lung cancer ("slope") per one IQR increase of cumulative exposure, and above these percentiles ("plateau").

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			LUR	Gazel-Air		
Cancer	Cumulative exposure	HR	CI	HR	CI	
All-site	<p65* (slope)<="" td=""><td>1.53</td><td>1.24-1.88</td><td>1.43</td><td>1.19-1.73</td></p65*>	1.53	1.24-1.88	1.43	1.19-1.73	
	>p65 **(plateau)	1.59	1.29-1.97	1.47	1.24-1.74	
Lung	<p73* (slope)<="" td=""><td>1.25</td><td>0.58-2.29</td><td>1.79</td><td colspan="2">0.94-3.40</td></p73*>	1.25	0.58-2.29	1.79	0.94-3.40	
	>p73 **(plateau)	1.04	0.07-16.1	1.94	0.25-15.29	

Extended Cox model with attained age as time axis, and with time-varying exposure to cumulative exposure to PM<sub>2.5</sub>, adjusted for sex (included with a strata function), age at enrollment, calendar time (time-varying, dichotomized at year 2007), cumulative pack-years (time-varying), passive smoking, alcohol intake (time-varying), socioeconomic status, marital status (time-varying), body mass index (time-varying), occupational exposure to lung carcinogens, and consumption of fruit and vegetable (time-varying). All-site cancer: results obtained with 3,711 cases of all-site cancer and 293,188 person-years. Lung cancer: results obtained with 349 cases of all-site cancer and 293,188 person-years. Lung cancer: results obtained with 349 cases of all-site cancer and 293,188 person-years. \*p65 is the 65<sup>th</sup> percentile of cumulative exposure and corresponds to 315  $\mu$ g/m3 and to 185  $\mu$ g/m3 for the LUR and the Gazel-Air exposure assessments, respectively; p73 is the 73<sup>th</sup> percentile of cumulative exposure and corresponds to 355  $\mu$ g/m3 and to 200  $\mu$ g/m3 for the LUR and the Gazel-Air exposure assessments, respectively. IQR= 216  $\mu$ g/m3 for the LUR, 127  $\mu$ g/m3 for Gazel-Air.\*\*: the HR and CI were calculated for the 80<sup>th</sup> percentile (380  $\mu$ g/m<sup>3</sup> for the LUR, 215  $\mu$ g/m<sup>3</sup> for Gazel-Air).

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Table 4: Sensitivity analyses for associations between long-term exposure to PM<sub>2.5</sub> and firstoccurring all-site incident cancer in the Gazel cohort using two exposure models. Hazard
Ratios (HR) and their 95% Confidence Interval (CI) correspond to the increased risk of cancer
below the 65<sup>th</sup> percentile of exposure ("slope") per one IQR increase of cumulative exposure)
and above this 65<sup>th</sup> percentile ("plateau").

Exposure	LUR				Ga	Gazel-Air			
		<p65*< td=""><td>(slope)</td><td>&gt;p65 (p</td><td>lateau) **</td><td><p65 (s<="" td=""><td>slope)*</td><td>&gt;p65 (</td><td>plateau)**</td></p65></td></p65*<>	(slope)	>p65 (p	lateau) **	<p65 (s<="" td=""><td>slope)*</td><td>&gt;p65 (</td><td>plateau)**</td></p65>	slope)*	>p65 (	plateau)**
Sensitivity analyses	Cases	HR	95% CI	HR	95%CI	HR	95% CI	HR	95%CI
Further adjusted for area-	3,711	1.59	1.29-1.95	1.67	1.35-2.06	1.47	1.22-1.77	1.50	1.27-1.78
level deprivation									
Using address-level	1,014	1.59	1.03-2.46	1.43	0.59-3.51	1.37	0.93-2.01	1.32	0.67-2.62
geocodes									
Complete-case analysis	1,881	1.40	1.03-1.90	1.33	0.84-2.10	1.48	1.13-1.93	1.44	1.03-2.05
Missing data as category	3,711	1.53	1.23-1.89	1.61	1.28-2.01	1.41	1.17-1.71	1.45	1.22-1.73
Extended Cox model with	attained age	as time a	axis, and wit	h time-va	arying exposure	to cumula	ative exposu	re to PM	I <sub>2.5</sub> , adjusted
for sex (included with a stra	ata function)	, age at e	nrollment, c	alendar ti	me (time-varyii	ng, dichoto	omized at ye	ar 2007)	, cumulative
pack-years (time-varying), passive smoking, alcohol intake (time-varying), socioeconomic status, marital status (time-varying),									
body mass index (time-varying), occupational exposure to lung carcinogens, and consumption of fruit and vegetable (time-									
varying). *p65 is the 65 <sup>th</sup> percentile of cumulative exposure and corresponds to 315 $\mu$ g/m3 and to 185 $\mu$ g/m3 for the LUR and the									
Gazel-Air exposure assessments, respectively. IQR= 216 $\mu$ g/m3 for the LUR, 127 $\mu$ g/m3 for Gazel-Air.**: the HR and CI were									
calculated for the 80 <sup>th</sup> perce	calculated for the 80 <sup>th</sup> percentile (380 $\mu$ g/m <sup>3</sup> for the LUR, 215 $\mu$ g/m <sup>3</sup> for Gazel-Air).								