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Frédérica Feuzing, Jean Pierre Mbakidi, Luc Marchal, Sandrine Bouquillon, Eric Leroy. A review of paramylon processing routes from microalga biomass to non-derivatized and chemically modified products. Carbohydrate Polymers, 2022, 288, pp.119181. 10.1016/j.carbpol.2022.119181. hal-03575459

HAL Id: hal-03575459 https://hal.science/hal-03575459

Submitted on 4 Oct 2022

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A review of paramylon processing routes from microalga biomass to non-derivatized and chemically modified products.

3 Frédérica Feuzing,^{a,b} Jean Pierre Mbakidi,^b Luc Marchal,^a Sandrine Bouquillon,^b Eric Leroy,^{a,*}

^a Université de Nantes, Oniris, CNRS, GEPEA, UMR 6144, F- 44470 Carquefou, France.

^b Institut de Chimie Moléculaire de Reims, CNRS UMR 7312, Université de Reims
Champagne-Ardenne, BP 1039, 51687 Reims Cedex, France

7 * Corresponding author: <u>eric.leroy@univ-nantes.fr</u>

8 Abstract

Paramylon is a linear β -1,3-glucan, similar to curdlan, produced as intracellular granules by the 9 microalga Euglena gracilis, a highly versatile and robust strain, able to grow under various 10 trophic conditions, with valorization of CO₂, wastewaters, or food byproducts as nutrients. This 11 12 review focuses in particular on the various processing routes leading to new potential paramylon based products. Due to its crystalline structure, involving triple helices stabilized by 13 internal intermolecular hydrogen bonds, paramylon is neither water-soluble nor thermoplastic. 14 15 The few solvents able to disrupt the triple helices, and to fully solubilize the polymer as random coils, allow non derivatizing shaping into films, fibers, and even nanofibers by a specific self-16 17 assembly mechanism. Chemical modification in homogeneous or heterogeneous conditions is also possible. The non-selective or regioselective substitution of the hydroxyl groups of 18 19 glucosidic units leads to water-soluble ionic derivatives and thermoplastic paramylon esters 20 with foreseen applications ranging from health to bioplastics.

21 <u>Keywords</u>: β -1,3-glucan, crystallinity, solvents, processing, chemical modification.

22

23 Introduction

Among the natural glucans (defined in this review as polysaccharides that are homopolymers 24 of glucose) that possess a linear macromolecular structure, those involving β -1,3 linkages 25 26 between glycosidic repeating units are the scarcest. Contrary to cellulose, involving β -1,4 linkages, or amylose from starch, based on α -1,4 linkages, which are referred to by the same 27 names whatever was their botanical, bacterial, or fungal origin; linear β -1,3-glucans have been 28 29 given different names depending on their origin, the most common being curdlan and paramylon (Stone, 2009): paramylon is a storage polysaccharide deposited as microscopic 30 31 granules in the cytoplasm of euglenoids, in particular the microalga Euglena gracilis (abbreviated *E. gracilis* in the following). It was discovered in 1850 by German Professor J. 32 Gottlieb who named it. "paramylon" associates the two latin words « par » (standing by) and 33 « amylon » (starch)) (Kiss et al., 1987; Barsanti et al., 2011), because besides being an isomer 34 of starch, with a similar granule form, it is not stained by iodine (Clarke & Stone, 1960). Despite 35 this early discovery, paramylon remained a laboratory curiosity for a long time. 36

37 In contrast, curdlan was discovered in 1966 during studies of exopolysaccharides production by bacterium Alcaligene faecalis when a mutant was serendipitously found to produce it 38 (Harada et al., 1966). It was named after its ability to curdle, forming curd-like gels when heated 39 40 in water. It was rapidly used as food additive for this property, since the end of the 1980's in Asia (McIntosh et al., 2005). This gel forming ability has been ascribed to a partial water 41 solubility of native curdlan (Chen & Wang, 2020). In contrast, and although being composed 42 of macromolecules with the same linear β -1,3 structure, paramylon granules extracted from 43 44 euglenoids are completely insoluble in water and do not have such gel forming properties.



45

Figure 1: Macromolecular structure of linear glucans

46 Curdlan is found in various bacteria as an exopolysaccharide. It can be produced by 47 fermentation with a high yield, and is easy to recover (McIntosh et al., 2005). Therefore, it has 48 been produced industrially since the early 1990's. Registered by the Food and Drug 49 Administration (FDA) in 1996, it remains mainly used as stabilizer, thickener, and texturizer in 50 food, but also in pharmaceutical products. However, its unique biological activities are drawing 51 more and more attention, as evidenced by a recent review article (Chen & Wang, 2020).

As an opposition, until the last decade, the cultivation of euglenoids, and consequently the production of paramylon, remained mostly confined to research laboratories. However, the present decade may see paramylon's development at industrial scale. Facilities for edible mass outdoor cultivation of *E. gracilis* exist in Japan since 2005. With the emergence of this microalga cultivation's industry, the research on paramylon has intensified more and more: If we consider the selected papers focused on paramylon cited in the present review, only 22 were published between 1960 and 2010, while 57 papers were published in the last decade (20 for
2010-2015, 30 in 2015-2020, and already 7 papers in 2021).

60 Regarding potential applications, there has been a primary focus on food and health sectors. Paramylon was approved by the U.S. Food and Drug Administration in 2017 (GRAS Notice for 61 Paramylon Isolate from Euglena Gracilis, 2017). A battery of toxicological studies was 62 conducted on a dried preparation of E. gracilis biomass and pure paramylon to support their 63 safe food use (Simon et al., 2016): it was shown that they are non-immunogenic and well 64 65 tolerated (Del Cornò et al., 2020) when taken orally as food supplements. Generally speaking, the different biological activities of paramylon, and its resulting potential applications for 66 health, are very similar to those of curdlan, which have been recently reviewed in detail (Chen 67 68 & Wang, 2020). Along with remarkable anti-tumor (Quesada et al., 1976) and antimicrobial 69 (Kottuparambil et al., 2019) properties, paramylon's alleged anti-inflammatory (Choi et al., 2013; Russo et al., 2017) and immunostimulatory activities are the most promising (Barsanti et 70 71 al., 2011). Paramylon granules effectively interact with pattern recognition receptors in animal and plant models (Barsanti & Gualtieri, 2019). The biopolymer was shown to promote the 72 healing of epithelial wounds in vitro, and to suppress the acute inflammatory reaction caused 73 by alkali burns in rats (Choi et al., 2013). Studies also showed a protecting role against acute 74 liver damage induced by carbon tetrachloride (Sugiyama et al., 2009), and an inhibiting effect 75 76 on the development of atopic dermatitis lesions in mice (Sugiyama et al., 2010).

The first available commercial products are dietary fibers based on unprocessed raw paramylon granules, or even raw *E. gracilis* biomass, as immune-stimulating agent (Skov et al., 2012; Kataoka et al., 2002). However, the specific role of paramylon remains unclear. A recent study suggest that it is not a potent imunomodulator by itself (Phillips et al., 2019), and that other molecules present in *E. gracilis* biomass and paramylon granules contribute to this bioactive property (Phillips et al., 2019).

In the present review, our final aim is to focus on the various processing routes leading to new 83 84 potential paramylon based products, from nanofibers to biobased thermoplastics. However, we will start by briefly considering the cultivation of *E. gracilis* for the production of paramylon. 85 Then we will take a closer look at the biopolymer's macromolecular structure, and to its 86 crystallinity which is key for processing strategies. We will discuss the different paramylon 87 solvents reported. Finally, the different processing routes, with and without chemical 88 modification of the biopolymer, will be detailed together with the corresponding potential 89 applications. 90

91

1) Paramylon production by cultivation of *Euglena gracilis*.

E. gracilis is a unicellular microalga belonging to the Euglenaceae family, or euglenoids, which
is pervasive in nature. It is found in swimming pools, ponds, and most freshwater, or brackish
biotopes. Contrary to bacteria, euglenoids do not present any pathological risk to humans. *E. gracilis* can be safely grown in large quantities by different ways including photoautotrophic
(using sunlight and CO₂), heterotrophic (using an external organic carbon source) or a
combination of both (Rodríguez-Zavala et al., 2010; Grimm et al., 2015).

In general, microalgae are an important potential source of metabolites including 98 99 polysaccharides, proteins, lipids and pigments. In the case of *E. gracilis*, various metabolites of interest such as dietary proteins, lipids, wax esters, tocopherol, carotenoids, and paramylon can 100 101 be produced, as highlighted in various recent review articles (Gissibl et al., 2019; Kottuparambil 102 et al., 2019). Paramylon is the unique reserve polysaccharide of the microalga. It is found in 103 proportion ranging from 50 to 80% of the cell's dry weight (Watanabe et al., 2013). Other euglenoids such as Euglena cantabrica also contain paramylon, with similar content from 34 104 105 to 80% (Muñóz-Almagro et al., 2020).

The robustness and versatility of E. gracilis allow the production of paramylon within the 106 107 framework of environmental-friendly schemes (bioremediation, wastewater treatment, CO₂ trapping ...), taking advantage of the various trophic conditions depending on the available 108 109 resources (light, carbon ...): euglenoids can grow with a remarkably high tolerance to stressful environments: They are acidophilic and can tolerate high concentrations in heavy metals. 110 Therefore, they may be used for bioremediation of contaminated waters (Krajčovič et al., 2015), 111 112 while producing valuable metabolites, including paramylon. The heterotrophic cultivation of E. gracilis can involve nutrients recovered from wastewater (Aida et al., 2016; Rubiyatno et al., 113 2021): For example, the hydrothermal conversion of solid sludge residues lead to ammonia and 114 115 phosphoric acid rich culture media allowing higher growth rates than a standard AF-6 culture medium. The authors pointed out the high tolerance of E. gracilis to ammonia, which 116 concentration was ten times higher than in AF-6 medium (Aida et al., 2016). 117

One typical problem with heterotrophic microalgae cultivation is the noxious proliferation of 118 bacteria. However, in the case of *E. gracilis*, this can be beneficial to paramylon productity: 119 120 Co-cultivation with bacteria *Pseudoalteromonas* in heterotrophic conditions (Jeon et al., 2020) can increase paramylon yield by 34%. Last but not least, due to its fast growing acidophilic 121 nature, E. gracilis is particularly interesting for the direct conversion of CO₂ into biomass 122 123 (Piiparinen et al., 2018). The gas can be directly injected in the liquid cultivation media. The resulting decrease of pH is beneficial to the specific growth rate (SPR) of the microalga. 124 125 Maximum SPR values of 0.9 per day are reported at pH 3 under continuous light, with a considerable CO₂ consumption of about 2.4 g per g of biomass (Piiparinen et al., 2018). 126

127 This makes paramylon a potentially carbon neutral biopolymer. The storage polysaccharide is 128 produced by *E. gracilis* in autotrophic, heterotrophic or mixotrophic conditions (Krajčovič et 129 al., 2015). However, aerobic conditions are required. Anaerobic conditions lead to different 130 storage molecules such as wax esters, myristic acid being the main component (Inui et al.,

1983). It is indeed possible to induce the conversion of wax esters to paramylon by switching 131 132 form anaerobic to aerobic conditions (and vice versa). Table 1 sums up the impact of the E. gracilis cultivation conditions on the paramylon dry weight content of microalga biomass. 133 Photoautotrophic conditions lead to the lowest values: 20 % or lower depending on light 134 intensity and duration of cultivation (Grimm et al., 2015; Cook, 1963) because, as a storage 135 136 polysaccharide, its biosynthesis in photoautotrophic conditions only corresponds to excess 137 energy (Grimm et al., 2015). Therefore, paramylon content can be enhanced by increasing light intensity (Cook, 1963): For low intensities, protein production is predominant, while for very 138 high light intensities, paramylon content becomes higher. However, such photoautotrophic 139 140 conditions should be used only if no organic carbon source is available, or if one wants to avoid the cost of such nutrients. 141

142 When cheap nutrients are available, such as biomass waste (Aida et al., 2016), heterotrophic conditions are generally more interesting. Indeed, as shown on Table1, higher paramylon 143 144 content can be obtained in purely heterotrophic conditions (50-80% paramylon with an organic carbon source, in absence of light and CO_2). In presence of light, the paramylon content tends 145 to be lower, either for purely photo-heterotrophic conditions (with an organic carbon source, in 146 147 absence CO₂) (Matsuda et al., 2011), or for mixotrophic conditions (with an organic carbon source and CO₂) (Grimm et al., 2015). However, this concentration greatly depends on the 148 149 duration of the cultivation because the accumulation of paramylon continues during the 150 stationary phase (Grimm et al., 2015). Paramylon contents of 50% after 7 days have been recently reported for mixotrophic cultivation using sewage effluents as cultivation media 151 opening the perspective of transforming wastewater treatment plants into sustainable 152 paramylon production facilities (Rubiyatno et al., 2021). Note that the data in Table 1 concerns 153 only wild type E. gracilis strains. Mutant strains were reported to accumulate up to 90% of 154 paramylon when grown in the dark, with an adequate carbon source (Barsanti et al., 2001). It 155

156 should also be noted that cultivation strategies can also be developed in order to optimize the 157 coproduction of paramylon and other metabolites of interest: For example α -Tocopherol which 158 requires light. In such a case, mixotrophic conditions offer a better compromise than purely 159 heterotrophic ones (Grimm et al., 2015).

Table 1: Influence of *Euglena gracilis*'s cultivation conditions on the paramylon dry weight
 content of microalga biomass.

Cultivation conditions			Cultivation	Paramylon	Ref.
			days	content	
				(%)	
Aerobic	Light	Photo-autotrophic	4	10	(Grimm et al.,
	(continuous)	1000 µmol.m ⁻² .s ⁻¹	7-10	23	2015)
		Photo-heterotrophic	3	33	(Matsuda et
		108 µmol.m ⁻² .s ⁻¹			al., 2011)
		Mixotrophic	7	40	(Grimm et al.,
		1000 µmol.m ⁻² .s ⁻¹	10	72	2015)
	Darkness	Heterotrophic	3	58	(Matsuda et
					al., 2011)
			7	75	(Grimm et al.,
					2015)
			10	83	(Grimm et al.,
					2015)
Anaerobic	Light or	Photo-heterotophic	Wax esters		(Krajčovič et
	darkness	or heterotherophic	(no para	amylon)	al., 2015)

162

In early studies, authors remarked that paramylon is found in the cells as microscopic granules, 163 164 insoluble in water, and highly resistant to chemical or enzymatic attack, except for very specific enzymes (Meeuse, 1964). Thus, rather than solubilizing the biopolymer, it can be recovered in 165 166 its native granular state. This requires disrupting the cells and eliminating the other metabolites which are mostly lipids, pigments and proteins. Paramylon granules can then be recovered by 167 168 centrifugation, before being dried (Clarke & Stone, 1960) or freeze-dried (Kobayashi et al., 2010). This extraction process can be applied to fresh E. gracilis biomass (Zhong et al., 2021) 169 or previously lyophilized biomass (Tanaka et al., 2017). However, there is no standard method. 170 The exact nature of the different steps, and the order in which they are performed, varies from 171 172 one author to another:

The most common cell disruption method is mechanical. It typically involves sonication
in an aqueous medium where the biomass is dispersed (Tanaka et al., 2017). However,
enzymatic cell dissociation using trypsin has also been reported (Grimm et al., 2015)
though it seems rather used for quantification of paramylon content, rather than for bulk
extraction.

Hydrophobic compounds (lipids and pigments) are usually removed using polar solvents. Acetone or ethanol are the most widely used, but other solvents such as chloroform or chloroform/ethanol mixtures have been reported (Clarke & Stone, 1960).
Protein extraction can be done using detergents such as sodium dodecyl sulfate or sodium lauryl sulfate, either at low temperature for a relatively long time (e.g. 24 hours at 20-40°C) or at higher temperature with shorter times (e.g. 30 minutes at 70-100 °C) (Tanaka et al., 2017; Kobayashi et al., 2010).

Such paramylon granules extraction methods are also used to monitor microalga cell's paramylon content during cultivation (Šantek et al., 2012; Grimm et al., 2015). However, as they require several steps and hours, more sophisticated techniques have been developed: Realtime direct quantification of the intracellular components at the single cell resolution has been
reported (Wakisaka et al., 2016). However, a potentially cheaper and less-than-one-hour-long
alternative consists in disrupting the cells, and using specific fluorescent DNA aptamers having
a binding affinity with paramylon granules (Kim et al., 2020) for their quantification.

192

2) Macromolecular and crystalline structure of paramylon

In this section, we will see how paramylon is structured at different scales starting from the macromolecular structure of the biopolymer up to the granules. The various differences with curdlan will be discussed.

196 The macromolecular structure of paramylon is generally presented as perfectly linear, with only β -1,3 linkages between glucosidic repeating units (Stone, 2009). However, according to a recent 197 report of the US Food and Drug Administration (GRAS Notice for Paramylon Isolate from 198 *Euglena Gracilis*, 2017), a detailed analysis shows that the β -1,3 linkages content is closer to 199 94%. The other linkages identified are mostly β -1,4 and β -2,3 linkages, each in the 2 to 3 % 200 range. Therefore, small "defects" are present in paramylon's linear macromolecular 201 202 architecture. The same applies for curdlan, but with different "defects" since it is known to contain few β -1,6 linkages (McIntosh et al., 2005). 203

204 The size of curdlan and paramylon macromolecules are also significantly different. The reported average molecular weight for paramylon is typically between 100 and 500 kDa 205 206 (Koizumi et al., 1993; Barsanti et al., 2011), which corresponds to a number of glucose units between 600 and 3000. This value is about 4 times lower than for curdlan, for which molecular 207 weights of 2000 kDa (~12000 glucose units) have been measured by viscosimetric 208 measurements (Futatsuyama et al., 1999). More recent measurements by size-exclusion 209 chromatography with multi-angle laser-light scattering method confirmed the ratio of 210 211 approximately 4 between the average molecular weights of paramylon and curdlan (Tamura et al., 2009). However, number and weight average number of glucosidic units obtained by this
technique were about half of those obtained by viscosimetric measurements (Tamura et al.,
2009).

Last but not least, paramylon strongly differs from curdlan in terms of native crystallinity. A 215 216 crystallinity index of approximately 88% was estimated for paramylon granules from density measurements, compared to only 30% for native curdlan (Marchessault & Deslandes, 1979). 217 Moreover, the native crystalline structures of the two biopolymers are fundamentally different, 218 despite they both involve a parallel arrangement of the chains, that take different helical 219 220 conformations: Native paramylon only involves highly stable and water insoluble triple helices conformers, while native curdlan microfibrils also involve less stable, and water-soluble, single 221 222 helices conformers (Chen & Wang, 2020). This explains why, unlike paramylon, curdlan is 223 partially water-soluble, the later rearrangements of the chains and microfibrils leading to gelation (Chen & Wang, 2020). 224

The native crystalline arrangement of the helices is also different: In native curdlan, the simple 225 helices are arranged in orthorhombic crystalline cells (Okuyama et al., 1991), while in 226 paramylon the triple helices are arranged in hexagonal crystalline cells (Marchessault & 227 Deslandes, 1979; Chuah et al., 1983). It has to be noted that this difference was not clear until 228 the early 1990's (Okuyama et al., 1991) because the X-Ray Diffraction early studies did not 229 involve native curdlan, but recrystallized samples. Indeed, such recrystallized curdlan adopts 230 231 the same triple helices/hexagonal structure as paramylon (Marchessault & Deslandes, 1979; Marchessault et al., 1977). 232

Figure 2, drawn after (Marchessault & Deslandes, 1979) and (Chuah et al., 1983), gives a closer
look at this multi scale crystalline structure of paramylon:

At the lower scale, groups of 3 chains are assembled forming a triple helix (6/1 right
handed (Chuah et al., 1983) with an advance of approximately 2.9 Angstrom per

monomer unit (Marchessault et al., 1977).) These triple helix structural units are very 237 238 stable, mostly due to internal hydrogen bonds that involve only the C2 secondary hydroxyl groups of each glucosidic unit (Chuah et al., 1983). These bonds are assumed 239 240 to form a hexagonal shape perpendicular to the helix axis (as shown with dotted line on figure 2). However, according to an alternative model, other hydrogen bonds not 241 242 perpendicular to the axis may involve secondary C2 OH groups in different x,y planes (Miyoshi et al., 2004). This alternative model is theoretically more stable for β -1,3-243 glucans containing more than ten glucosidic units (Miyoshi et al., 2004). 244

Parallel triple helices then form the hydrogen bonded hexagonal crystalline structure
(Deslandes et al., 1980). The dimensions of the hexagonal unit cell depend on moisture
content. Only paramylon occurs in the (almost fully) dry high density form, while water
molecules are always present in the unit cell for recrystallized curdlan (Marchessault &
Deslandes, 1979; Chuah et al., 1983).

The transition between dry and hydrated polymorphs of paramylon is reversible with a large 250 251 hysteresis: upon drying, the transition from hydrated to dry polymorph takes place around 30% relative humidity, and around 70% relative humidity the other way round (Kobayashi et al., 252 2010). One molecule of water per glucopyranoside residue is present in the hydrated form for 253 which the in-plane dimensions are a = b = 15.56 Å, while c = 18.78 Å is equal to the repeating 254 length of the triple helices (Deslandes et al., 1980). Upon drying, the dimensions of the cell are 255 shrunk to a = b = 14.41 Å and c = 5.87 Å (Chuah et al., 1983), so that this later corresponds to 256 257 1/3 of the repeating length of the triple helices. Such a transition to the dry polymorph can also occur upon heating due to water evaporation, therefore impeding any possibility for the melting 258 259 of the crystalline structure, without thermal degradation of the biopolymer, as shown by recent hot compression molding experiments on paramylon and curdlan (Kawahara et al., 2020). 260

The paramylon granules can have different shapes depending on the euglenoid strain. At least 261 262 six different morphological variations have been reported, from disks to rods (Monfils et al., 2011). For E. gracilis, the shape is typically flat cylindrical with reported diameters around 263 5µm and thicknesses about 3 µm (Anraku et al., 2020). The granules are actually surrounded 264 by a biomembrane. Inside these paramylon native granules, schematically represented on 265 Figure 2, the triple helices are arranged tangentially, while curdlan have a more spherulite-like 266 microstructure (Marchessault & Deslandes, 1979). It is assumed that paramylon is first 267 biosynthesized as elementary microfibrils with low crystallinity (Kiss et al., 1987, 1988): as the 268 269 granule matures, the microfibrils assemble to form the final highly ordered crystalline structure with concentric deposition of aggregates of elemental nanofibers of 4-10 nm. Recently, 270 morphometric characterizations by focused ion beam/scanning electron microscopy (FIB/SEM) 271 tomography (Anraku et al., 2020) confirmed that the paramylon particles have a very dense 272 structure without large void spaces. A specific surface area (SSA) of 2.438 m²/g was measured 273 274 by BET which is close to starch granules from potatoes and corn.





Summing up, paramylon is similar to curdlan but presents structural differences at different scales, from the "defects" present at the macromolecular structure level of the chains, to the native crystalline arrangement. However, the key difference is probably the presence of watersoluble single-helices in curdlan, explaining its gel forming properties, while paramylon is completely water insoluble. Therefore, the processing of paramylon into materials requires the use of specific solvents and/or chemical modifications.

282

3) Solvents of paramylon

Like cellulose or starch, paramylon is water insoluble and has very few known solvents. This 283 limited solubility can be explained not only by the stability of the crystalline structure which is 284 extensively hydrogen bonded (Deslandes et al., 1980) but also, and mostly, by the stability of 285 286 the individual triple helices, due to the intermolecular hydrogen bonds between C2 secondary 287 OH groups (Chuah et al., 1983) (Figure 2). Indeed, some of the oldest known and most frequently used solvents of paramylon are alkaline aqueous solutions (NaOH (Clarke & Stone, 288 1960; Manners et al., 1966) or KOH (Vogel & Barber, 1968)). They can dissolve the 289 biopolymer either "completely" in the form of random coils, or "partially" as individual triple 290 helices, depending on the alkaline concentration: In dilute alkaline solutions, paramylon 291 granules are disrupted into elemental 4 nm microfibrils (Kiss et al., 1988). Triple helices disrupt 292 for NaOH concentrations higher than 0.22 M. This transition can be detected by adding Congo 293 Red to the solution since the dye forms a complex with the triple helices (Shibakami et al., 294 295 2013a). Note that such a triple helix to random coil transition at extreme pH is reversible upon lowering alkaline concentration. For paramylon the reverse random coil to triple helices 296 transition takes place for NaOH concentrations around 0.17–0.20 M allowing the formation of 297 nanofibers by self-assembly (Shibakami et al., 2013a). 298

Concentrated NaOH solutions are also used as a medium for homogeneous chemical
modification of paramylon (Daglio et al., 2019; Shibakami et al., 2016) and for purification of

paramylon by solubilization and precipitation by addition of ethanol (Russo et al., 2017). 301 302 Recently, aqueous solutions of curdlan in various states from triple helices to random coils and intermediate state were obtained by first solubilizing the biopolymer in NaOH solutions 303 304 followed by neutralization with HCl solutions of the same concentration (Yan et al., 2020). This approach can also be applied to paramylon. Indeed, a Japanese patent application from 2011 305 (Arashida et al., 2011) describes the preparation of "amorphous" paramylon (actually partially 306 307 recrystallized as single helices) by a similar solubilization/neutralization process. This process leads to a low apparent density paramylon powder (0.1g.cm⁻³) with significantly increased 308 water and oil absorption performances compared to raw paramylon granules, improving 309 310 potential benefits as dietary fibers (Arashida et al., 2011).

Other reported solvents of paramylon working at room temperature are listed in **Table 2**. Formaldehyde and concentrated acids, such as H_2SO_4 (55%) and anhydrous formic acid, were reported as solvents in early studies (Clarke & Stone, 1960). Formic acid was later used for production of films by evaporation casting despite it has the drawback of depolymerising paramylon by acid hydrolysis (Clarke & Stone, 1960; Kawahara & Koganemaru, 2006). Moreover, for acidic solvents there seem to be no evidence in literature that the triple helices are disrupted.

318 Some solvents able to destabilize hydrogen bonds allow disrupting the triple helices structure, in particular dimethylsulfoxide (DMSO): It has been used for producing oriented curdlan fibers 319 for XRD studies by dissolution and coagulation in methanol (Chuah et al., 1983) and to obtain 320 paramylon single helices by solubilisation followed by lyophilisation of the DMSO solution 321 (Aketagawa et al., 1993). However, DMSO is essentially used for viscosimetric measurements, 322 for which it is preferred to NaOH solutions. For this latter, a study with curdlan samples of 323 various molecular weights (including small molecular weights comparable to that of 324 paramylon) showed that the viscosity strongly depends on the alkaline concentration, and the 325

resulting state of the macromolecules (random coils or triple helices) (Futatsuyama et al., 1999). 326 327 As an opposition, macromolecules are always in the form of random coils in DMSO (Futatsuyama et al., 1999), resulting in viscosities that depend only on curdlan concentration, 328 329 molecular weight, and temperature. Therefore, the Mark-Houwink parameters have been determined for curdlan in DMSO at 25°C (Futatsuyama et al., 1999) allowing the determination 330 of the average molecular weight by viscosimetry. This method has also been applied to 331 paramylon molecular weight evaluation (Kawahara & Koganemaru, 2006). Note that mixtures 332 of water with cadoxen (cadmium and ethylenediamine based solvent) have been also reported 333 as efficient solvents of curdlan (Futatsuyama et al., 1999) for viscosimetric measurements and 334 335 so may be used also for paramylon. However the authors recommend to use DMSO (Futatsuyama et al., 1999)." 336

More precise measurements can be made using SEC/MALLS technique using a mixture of 1%
LiCl in 1,3-Dimethyl-2-imidazolidinone (DMI) as eluent (Tamura et al., 2009). DMI/LiCl is
indeed an efficient solvent of both curdlan and paramylon, but requires heating at 100°C.
Dimethylformamide (DMF)/ LiCl has also been recently reported as a solvent for paramylon
homogeneous modification at 70°C (Seok et al., 2021)

The other reported hydrogen bonding solvents, listed in Table 2, also require heating in order 342 to completely dissolve paramylon. N,N-Dimethylacetamide with a small amount of lithium 343 chloride (typically DMAc/ LiCl 8g.1⁻¹) has been used as an efficient media for homogeneous 344 chemical modification (Shibakami, 2017; Shibakami et al., 2014). Recently, a mixture of 345 DMAc with ionic liquid (1-butyl-3-methylimidazolium 346 and chloride) with а [Bmim][Cl]/DMAc volume ratio of 5:2 was used as a solvent of paramylon (Yasuda et al., 347 2018). No details are given about the state of the paramylon molecules in the solution. However, 348 recent works on the solubility of curdlan in another imidazolium ionic liquid (1-ethyl-3-349 methylimidazolium acetate ([Emim][OAc])) suggest complete solubility as random coils (Bai 350

et al., 2019). Therefore, ionic liquids may be a new family of solvent for paramylon.
Nevertheless, depolymerization may be an issue: significant molecular mass decrease was
observed for curdlan after 2 hours at 80°C in [Emim][OAc] (Suzuki et al., 2021).

Summing up, due to its crystalline structure and its triple helix conformation (stabilized by interchain hydrogen bonds involving the C4/C6 –OH groups, and the C2-OH groups, respectively), paramylon is only soluble at extreme pH (acidic or basic) or in solvents able to form strong hydrogen bonds. Moreover, its solubility can be either complete, with individual paramylon macromolecules taking a random coil conformation, or partial with remaining triple helices, depending on the ability of the solvent to completely or partially disrupt the different interchain hydrogen bonds.

361

Solvent	State of macromolecules	Known drawback	Main applications	References
Formaldehyde	unknown	toxicity	none	(Clarke & Stone, 1960)
DMSO	random coil	none	viscosimetry	(Futatsuyama et al., 1999) (Kawahara & Koganemaru, 2006)
			recrystallization of paramylon monohelices	(Aketagawa et al., 1993).
КОН	unknown	unknown	none	(Vogel & Barber, 1968)
NaOH < 0.22 M	triple helix	incomplete solubilization	extraction	(Clarke & Stone, 1960; Manners et al., 1966)
			fractionation into microfibrills	(Kiss et al., 1988)
			Self-assembly	(Shibakami et al., 2013a)
NaOH > 0.22 M	random coil	None	purification,	(Russo et al., 2017)
			"amorphisation"	(Arashida et al., 2011)
			chemical modification	(Daglio et al., 2019; Shibakami et al., 2016)

H ₂ SO ₄ (55%)	unknown	depolymerization	none	(Clarke & Stone, 1960)
Formic acid (90%)	unknown	depolymerization	solvent casting	(Kawahara & Koganemaru, 2006)
DMAc / LiCl	random coil	temperature (≈ 100°C)	chemical modification	(Shibakami et al., 2014)
BMIMCl/ DMAc	unknown	temperature (60-70°C)	film regeneration	(Yasuda et al., 2018)
BMI/LiCl	random coil	temperature (≈ 100°C)	chromatography	(Tamura et al., 2009)
DMF/LiCl	random coil	temperature (≈ 70°C)	chemical modification	(Seok et al., 2021)

364

4) Processing of paramylon without chemical modification

The preservation of paramylon's unique β -(1,3) linear macromolecular structure allows targeting applications as materials that take advantage of two intrinsic properties: the biological activity, and the mechanical properties induced by its triple helix based crystallinity. According to some authors, the synergistic optimization of these properties may however be difficult to achieve since biological activity tends to be enhanced by an amorphous structure, while mechanical reinforcement requires a high crystallinity (Kawahara, 2014).

Almost all the processes described in the scientific and patent literature involve at least two 371 steps: First, native paramylon granules (or previously purified paramylon powder) is 372 solubilized. Then in a second step, paramylon is regenerated in the targeted shape (powder, 373 fibers, films) by elimination of the solvent, either by evaporation when it is volatile or by 374 375 washing with a non-solvent of paramylon. However, in a recent study solvent free processing of paramylon and curdlan was intended: Both polymers were hot pressed into films at 376 377 temperatures around 200°C, with optimal conditions around 220 and 230°C for paramylon and 378 curdlan, respectively (Kawahara et al., 2020). However, the XRD data suggest that the crystalline structure never completely melts. The persistence of some peaks and the appearance 379 of new ones at high temperatures rather suggest rearrangements, which differ between curdlan 380 381 and paramylon samples. In addition, significant degradation and subsequent uncontrolled crosslinking reaction occur. However, this hot press process leads to materials with mechanical 382 properties comparable to those of Polyamide 12 in terms of flexural modulus and strength, and 383 to those of epoxy resins in terms of elongation at break (Kawahara et al., 2020). 384

In 2006, Kawahara & Koganemaru (Kawahara & Koganemaru, 2006) were the first to show the feasibility of film casting from paramylon solutions in 90% formic acid. Transparent/yellow films were obtained by slow evaporation of the solvent. X-ray diffraction and tensile tests indicated that the films were mostly amorphous with glassy behaviour (Young's modulus ≈ 1

GPa and elongation at break < 15%). Partial recrystallization was observed by annealing under 389 390 water steam, leading to higher stiffness and lower elongation. A decrease of films mechanical properties was observed for very long residence time in formic acid solution (>72 hours) before 391 392 film casting. It was ascribed to depolymerisation hydrolysis, leading to paramylon oligomers, as confirmed by viscosimetric measurements (Kawahara & Koganemaru, 2006). The same 393 394 authors tried, without success, to produce paramylon fibers by wet spinning using a solution of 395 Na₂SO₄ as coagulation bath. Blending with polyvinyl acetate (PVA) (initially co solubilized in formic acid) improved the spinnability, but resulted in low mechanical properties due to 396 incompatibility of the PVA/paramylon mixture (Kawahara & Koganemaru, 2006). 397

Formic acid was also used as a co-solvent of paramylon and silk fibroin for the preparation of 398 399 blend films (Arthe et al., 2020). Despite, the high hydrophobicity of the protein, the blends 400 obtained seemed to be compatible, according to AFM and SEM observations showing no heterogeneities at the micrometre scale. Infrared spectroscopy did not show specific interactions 401 402 between the biopolymers. However, a synergy was observed in terms of mechanical properties: for a 75:25 protein:paramylon ratio, the stiffness of the blend films was twice higher than that 403 of the pure biopolymers films (Arthe et al., 2020). The authors showed that the blends present 404 405 a combination of properties (low water absorption/swelling capacity, blood compatibility and non-toxicity) suitable for wound healing applications. This kind of potential application was 406 407 also reported for pure paramylon 20-µm thick films produced using a [Bmim][Cl]/DMAc mixture as a solvent (Yasuda et al., 2018). Due to the non-volatility of the ionic liquid, the 408 regeneration of paramylon films required washing with a non-solvent (methanol) and drying. 409 Unfortunately, no characterization of the films' structure and mechanical properties are 410 provided. Only their biological activity is investigated, showing wound healing properties by 411 inhibiting inflammatory aggression, suggesting potential wound dressing applications (Yasuda 412 413 et al., 2018).

Regarding the shaping of fibers, it can be first recalled that curdlan was shaped as oriented 414 415 fibers for XRD studies, by solubilisation in DMSO and coagulation in methanol (Chuah et al., 1983). More recently, curdlan was shaped into fibers by wet spinning from solutions in 1-ethyl-416 417 3-methylimidazolium ionic liquid [Emim][OAc] (Suzuki et al., 2021). The authors reported a solubility of curdlan up to 9 wt.% in the ionic liquid at 80°C. The dope was extruded in air and 418 419 spin drawn in two different coagulating baths (water or ethanol). For optimized spinning 420 conditions, the semi-crystalline transparent fibers obtained combined relatively high Young's modulus (≈ 2 GPa) and high elongation at break (up to 40%). A similar processing route may 421 422 be applied for the production of paramylon fibers. However, the authors reported a significant decrease of the molecular mass of curdlan, which was almost divided by two. This suggest a 423 depolymerisation of the biopolymer while in solution in the ionic liquid. In the case of 424 paramylon which already has much lower molecular masses than curdlan, this may be an issue. 425 Paramylon nanofibers can be obtained by two methods : electrospinning (Kawahara, 2014) and 426 self-assembly (Shibakami et al., 2013a): Fully amorphous paramylon nanofibers were obtained 427 428 by electrospinning of paramylon solutions in formic acid. In this work the author takes advantage of the unavoidable hydrolysis kinetics of paramylon by formic acid to optimize the 429 viscosity of the solution so that the appropriate range of Berry number (i.e. intrinsic viscosity \times 430 concentration) for electrospinning is matched. The nanofibers obtained had diameters ranging 431 432 from 50 to 500 nm (average value 192 ± 135 nm). Being fully amorphous, they were too brittle for mechanical reinforcement. However, the author proposed to use them for the production of 433 biologically active amorphous micropowder by grinding (Kawahara, 2014). 434

A different approach for the production of crystalline nanofibers is a bottom up approach taking advantage of the intrinsic self-assembly behaviour of β -1,3-glucan chains (Shibakami et al., 2013a). This ability had been previously described for other β -1,3-glucans with pendant chains such as schizophyllan (Sletmoen & Stokke, 2008), but its application to nanofibers production

is only possible with paramylon chains, which do not present branching. Indeed, the control of 439 440 alkaline solvent NaOH concentration allows triggering the transition of paramylon solubilized macromolecules from individual random coil, at high concentration, to triple helix rods, when 441 442 the NaOH concentration is decreased. Thus for linear chains, large nanofibers can be obtained apparently consisting of more than 100 triple helices (Shibakami et al., 2013a). It is striking 443 that these large nanofibers seem to be constituted of smaller 4 nm nanofibers which is the size 444 445 of elemental nanofibrils reported when paramylon granules are disrupted in dilute alkaline solutions (Kiss et al., 1988). Moreover, it has to be noted that, as shown on Figure 2, the 446 formation of triple helix and its stabilisation by internal hydrogen bonds involves only the C2 447 448 secondary hydroxyl groups of each glucosidic unit (Chuah et al., 1983). Consequently, the remaining hydroxyl groups, which are external to the helices, can be chemically modified 449 without losing the self-assembly properties (Shibakami et al., 2013b). Unmodified paramylon 450 451 nanofibers prepared by such a self-assembly approach showed an anti-fibrotic effect on liver damage induced by carbon tetrachloride (Kusmic et al., 2018). These 10 nm thick nanofibers 452 were efficient at a dosage about 20 times lower than in previous studies involving paramylon 453 granules (Sugiyama et al., 2009). Nanofibers also effectively interact with pattern recognition 454 receptors in animal and plant models (Barsanti & Gualtieri, 2019). 455

The above literature results demonstrate that is it possible to use solvent based processes in 456 457 order to transform native paramylon granules into different shapes (powder, films, fibers and nanofibers) and blends with other polymers, while preserving its native chemical structure (only 458 the molecular weight is decreased in some cases). This solvent based processing also allows 459 modifying the physical structure of paramylon chains, which can become partially or 460 completely amorphous. However, when mechanical properties were characterized, amorphous 461 structures tend to result in brittleness, both for nanofibers and films. In addition, the available 462 463 data does not allow evidencing a relationship between an amorphous structure and enhanced bioactive properties. Indeed, for nanofibers (the only shape for which both fully amorphous and
highly crystalline structures are reported), the bioactive properties of electrospun amorphous
nanofibers were not characterized, while crystalline self-assembled nanofibers showed
improved bioactivity compared to native paramylon granules.

468 Concurrently, solvent free processing trials by hot press up to 230°C showed that paramylon is 469 not thermoplastic. Indeed, despite semi-crystalline films with mechanical properties 470 comparable to engineering polymers could be obtained by this process, this was not possible 471 without simultaneous thermal degradation and uncontrolled crosslinking. The absence of 472 melting of paramylon's crystalline structure below its degradation temperature may be related 473 to the shrinkage of the hexagonal cell upon drying, which is likely to make it more stable upon 474 heating, thus preventing melting.

475

5) Chemical modifications of paramylon

476 Each sugar residue of β -1,3-glucan chains presents three hydroxyl groups that can be used as modification sites located the C2, C4 and C6 carbons (Figure 1). The primary C6 -OH group 477 is more reactive (Chen & Wang, 2020). The various chemical modifications reported in 478 479 literature are either non-selective or regioselective. They are conducted either in homogeneous conditions, using some of the solvents listed in Table 2, or in heterogeneous conditions after 480 dispersion of paramylon in non-solvents. The two main kinds of modified paramylons described 481 in literature are ionic derivatives (paramylon sulfates, salts of paramylon ethers and one salt of 482 paramylon acid) (Table 3 and Table 4), and different types of paramylon esters and mixed 483 484 esters (including some bearing an ionic group) (Table 4 and Table 5).

However, before focusing on these two main categories, we have to mention a recent alternative
approach, in which crosslinked hydrogels were obtained by reacting paramylon and curdlan
with ethylene glycol diglycidyl ether (EGDGE) (Matsumoto et al., 2021). The reaction between

the epoxy functions of the crosslinker and the hydroxyl groups of the biopolymers was 488 489 performed in a 1M NaOH aqueous solution at room temperature during 48 hours. After neutralization with acetic acid and washing with water, self-standing gels were obtained. The 490 491 authors tested different initial paramylon concentrations and varied the polysaccharide:EGDGE ratio. Though the crosslinking efficiency was rather low, with a maximum of 43% of the initial 492 493 EGDGE content present in the hydrogels, the hydrogels showed high deformability in 494 compression, up to 94% strain at break, and remarkable shape recovery when deformed at 80% strain. However, the shape recovery for hydrogels obtained by crosslinking of paramylon was 495 lower than that of curdlan based hydrogels. In addition, a higher initial concentration of 496 497 polysaccharide is required in the case of paramylon. These results were ascribed to the higher molecular weight of curdlan, leading to entanglements even at low concentrations (Matsumoto 498 499 et al., 2021). It should be pointed out that the same research group produced curdlan based 500 organogels by subsequent acetylation of crosslinked hydrogels (Enomoto-Rogers et al., 2016). These organogels were hydrophobic and swell in chloroform. The same approach could 501 502 potentially be applied to crosslinked paramylon.

503 5.1) Paramylon sulftate, ether, and acid ionic derivatives

The various reported anionic and cationic paramylon derivatives (except esters) obtained by 504 505 homogeneous and heterogeneous reactions are listed in Table 3. To our knowledge the first reported modified paramylons are anionic and cationic derivatives (Koizumi et al., 1993). The 506 authors report a significant increase of water solubility of all the ionic derivatives, which results 507 in an inhibitory activity against human immunodeficiency virus (HIV) for sulfated paramylon 508 509 with a sulphur content above 4%. The highest anti-HIV activities were obtained for sulphur contents in the 12.6% to 14.0% range (Koizumi et al., 1993), which corresponds to values of 510 degree of substitution (DS) between 1.2 and 1.7. However, the authors do not give information 511 about the chemical modification conditions. Similar properties were previously reported for 512

sulfated curdlan (Yoshida et al., 1990). The homogeneous modification of curdlan was performed in solution in DMSO, by reaction with piperidine-N-sulfonic acid. The DS values ranged from 0.8 to a maximum of 1.6 (100% for C6, \approx 5% for C4 and 40% for C2) (Yoshida et al., 1990). Given that paramylon is also soluble in DMSO and presents the same macromolecular structure as curdlan (with shorter chains), we assume that similar nonregioselective sulfatation reaction conditions can be applied to paramylon. However, we do not know if this was the case in the work on sulfated paramylon (Koizumi et al., 1993).

More recently, anionic paramylon ether derivatives were obtained by carboxymethylation, leading to sodium salts of carboxymethyl paramylons that are not only water-soluble, but also showed nanofiber forming ability (Shibakami et al., 2016). The modification was performed both in homogeneous and heterogeneous conditions. Homogenous reaction with chloroacetic acid in concentrated NaOH leads to low DS ≈ 0.13 . Note that slightly higher DS ≈ 0.25 were obtained in the same homogeneous conditions by other authors who suggest applications as pharmaceutical tablets disintegrant (Daglio et al., 2019).

527 Heterogeneous carboxymethylation in a mixture of 2-propanol and NaOH aqueous solution 528 allowed a better control of the DS with values ranging from as low as 0.015 up to 0.78 (Shibakami et al., 2016). However, this heterogeneous reaction required the previous 529 530 preparation of "amorphous" paramylon by solubilisation in NaOH aqueous solution followed by neutralization with HCl as described in a patent application from 2011 (Arashida et al., 531 532 2011). The nanofiber forming ability depends on the DS range: For 0.07 < DS < 0.78, the nanofiber forming was observed in solution in water, whereas for lower 0.015 < DS < 0.07, a 533 534 nanofiber gel is formed in water, leading to aerogels maintaining their fibrous structure when 535 freeze-dried. (Shibakami et al., 2016). The formation mechanism of these nanofibers was not investigated but involves previously water solubilized chains. Therefore, it is probably similar 536

to the self-assembly mechanism described by the same group for non-derivatized paramylon(Shibakami et al., 2013a).

539 Anionic paramylon acid derivatives were also obtained by regioselective heterogeneous oxidation of C6 -OH groups, mediated with 2,2,6,6-tetramethylpiperidine-1-oxyl radical 540 (TEMPO) in water at pH 10 with NaBr and NaClO (Tamura et al., 2009). Up to 100% 541 substitution of C6-OH groups was observed. The resulting $(1\rightarrow 3)$ - β -D-polyglucuronic acid 542 543 sodium salt was water-soluble. However, this was not the case for the other lower DS values studied (1% to 43% substitution of C6-OH groups) which remained insoluble in water, pointing 544 545 out the need for a minimum degree of such regioselective substitution for water solubility. This 546 contrast with the anionic ether paramylon derivatives may be due to the acid linkage. The C2 secondary OH groups being unmodified, these paramylon derivatives were able to form 547 nanofibers (Kawahara, 2014) by the self-assembly mechanism discussed earlier (Shibakami et 548 al., 2013a). However, a strong decrease of molecular weight was also observed with a final DP 549 = 68 (Tamura et al., 2009). Note that such a small size probably also favours the water solubility. 550 551 Indeed, underivatized curdlan oligomers with DP = 32, obtained by other authors by hydrolysis with H₂O₂, were also soluble in water (Zhu & Wu, 2019). 552

553 Cationic paramylon ether derivatives have been obtained by both homogeneous (Shibakami et al., 2018) and heterogeneous reactions (Daglio et al., 2019). Homogeneous modification was 554 performed in concentrated NaOH aqueous solution with glycidyltrimethylammonium chloride, 555 leading to different DS, from 0.01 to 0.64 depending on the paramylon: ammonium salt ratio 556 (Shibakami et al., 2018). Freeze-dried cationic paramylon samples were put into water showing 557 558 poor dispersibility for DS < 0.06, and very good solubility for DS > 0.31. For intermediate DS values (0.07 < DS < 0.16) the cationic paramylon chains spontaneously formed nanofibers after 559 560 dissolution, leading to gelation. Freeze-drying of the gel allowed XRD characterization of the nanofiber whose crystallinity is close to native paramylon, suggesting that the secondary C2 561

hydroxyl groups, allowing triple helices self-assembly and stabilization, were hardly modified, 562 and that the more reactive C6 OH group was mostly substituted for these intermediate DS 563 (Shibakami et al., 2018). Concurrently, cationic paramylons with DS > 0.31 and DS < 0.06564 565 showed outstanding transparent film forming ability by casting from homogeneous solutions and heterogeneous solutions, respectively (Shibakami et al., 2018). For DS > 0.3 the cationic 566 567 paramylons may also be used as antidiabetic agents due to their ability to selectively absorb 568 hydrophobic bile salts. Upon administration to diet induced obese mice, a reduction of mesenteric and body fat was observed with a significantly higher secretion of glucagon-like 569 peptide-1 compared to with cellulose reference. (Shibakami, Shibata, et al., 2019). 570

571 Recently, higher DS (0.85-1.22) cationic paramylon nanofibers were successfully dispersed in 572 organic solvents such as methanol and binary volatile solvent systems allowing the casting of 573 films (Shibakami, 2021b). Introduction of phenolphthalein showed that, when the films were 574 immersed in alkaline solution, this dye molecule was released faster with increasing DS 575 (Shibakami, 2021b), suggesting potential applications in controlled dye release.

More recently, the heterogeneous modification of paramylon was performed with (3-chloro-2-576 hydroxypropyl) trimethylammonium chloride (CHPTAC) in water at 90°C, in presence of 577 NaOH and NaBH₄ to prevent depolymerisation (Daglio et al., 2019). Only one DS ≈ 0.30 was 578 obtained. In agreement with previous report (Shibakami et al., 2018), this cationic derivative 579 was apparently not fully soluble in water. A solubility in 0.03 mol.l⁻¹ Tris/HCl buffer (pH 8.0) 580 is reported (Daglio et al., 2019). However, the modified polysaccharide showed efficient 581 flocculation properties on kaolin suspension, comparable to commercial cationic 582 583 polyacrylamides (Daglio et al., 2019).

Туре	media	reactant type	Reference	Derivative properties
	i	Homogeneous mod	dification	
Anionic.	DMSO(*)	piperidine-N-sulfonic acid	(Koizumi et al., 1993).	Anti HIV activity
		(*)		(1.2 < DS < 1.7)
Anionic	Aqueous NaOH	Chloroacetic acid	(Shibakami et al., 2016;	Tablets disintegrant (DS $\approx 0.18-0.25$)
			Daglio et al., 2019).	
cationic	Aqueous NaOH	Glycidilammonium chloride	(Shibakami et al., 2018)	Nanofibers (0.07 <ds<0.16)< td=""></ds<0.16)<>
				Transparent Solvent cast films
				(DS > 0.31 or DS < 0.06)
			(Shibakami, 2021b)	Nanofibers dispersible in organic
				solvents (DS \approx 1.22)
				Controlled dye release films
		Heterogeneous mod	lifications	
Anionic	2-propanol/Aqueous	Chloroacetic acid	(Shibakami et al.,	Controlled DS from 0.015 to 0.80
	NaOH mixture		2016).	Nanofibers forming ability.
Anionic	Water (pH 10)	TEMPO (regioselective)	(Tamura et al., 2009).	Water-soluble
				Nanofibers (DS≈1 for C6)
cationic	Water	Chlorinated ammonium	(Daglio et al., 2019)	Flocculant (DS ≈ 0.30)
		chloride		

Table 3: Paramylon ionic derivatives obtained by homogeneous or heterogeneous reactions in various media (*: not specified by authors, assumed similar to previous anionic modification of curdlan (see text)).

586 **5.2)** Paramylon esters derivatives

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This section is dedicated to the various modifications involving the substitution of paramylon -OH groups by an ester linkage to a pendant group R= -O- (C=O) – X, which can be of different

size and nature (including hydrophobic alkyl chains but also hydrophilic ionic groups).

To our knowledge the first reported modification of this kind was heterogeneous acetylation (X 590 591 = - CH₃) by reaction with acetic anhydride in a mixture with acetic acid ($\approx 2:1$ acid/anhydride ratio) with sulfuric acid catalysis (Shibakami et al., 2012). A DS = 0.18 was obtained resulting 592 593 in the formation of a gel for hydrated paramylon granules, whereas for anhydrous granules, the authors observed the transformation of the shape of the suspended paramylon granules from 594 native spheroidal shape to doughnut-like shape. This unexpected result was ascribed to the 595 596 solubility of acetylated paramylon in acetic acid, and to the preferential solubilization of the acetylated chains located in the center of the granule, progressively leading to a hole in it 597 (Shibakami et al., 2012). Note that in this case, the "product" is not a paramylon derivative and 598 had no foreseen applications. 599

In what follows, we will focus first on homogeneous modification reactions, which are the mostwidely studied, and then on heterogeneous reactions in other media.

602 **5.2.1) Homogenous esterification**

The various reported esters and mixed esters of paramylon obtained by homogeneous modification in DMAc/LiCl and DMF/LiCl are listed in **Table 4**. In these solvents of paramylon, the reaction with anhydrides can be conducted in presence of pyridine.

Acetylation leads to different properties depending on the DS. The first report concerns DS > 2.01, leading to semi-crystalline films (Shibakami et al., 2015b): depending on the subsequent purification, acetylated paramylon with different DS were obtained. They were fully soluble in either chloroform (DS =2.01) or dichloromethane (DS = 2.37), allowing the production of self-

standing films by solution casting. The optically transparent films obtained were semi-610 crystalline, with a higher crystallinity for higher DS, resulting in Young's modulus and 611 elongation at break comparable to those of acetylated cellulose (Shibakami et al., 2015b). 612 613 However, it should be noted that their crystalline structure was different from that of native paramylon, with the suspected formation of mono helices by these highly acetylated 614 paramylons (Shibakami et al., 2015b). More recently, lower DS values were investigated 615 616 (Shibakami, 2021a): the same chemical modification conditions were used, but varying reaction time in order to control the DS (Shibakami, 2021a). For the intermediate 1.59 < DS < 2.01617 range, acetylated paramylons displayed a glass transition with a low crystallinity, whereas for 618 619 lower range (1.16 < DS < 1.42) highly crystalline materials, without any observed glass transition, were obtained. Summing up, this suggests the following overall trend for acetylated 620 621 paramylons: i) high crystallinity similar to pristine paramylon in the lower DS range, ii) lower 622 crystallinity with an amorphous phase for intermediate DS, and iii) increasing crystallinity with presence of mono helices in the higher DS range. 623

Besides, acetylated paramylon with DS lower than 2 were able to form nanofibers by triple 624 helices self-assembly. For DS = 1.42, nanofibers dispersible and stable in chloroform were 625 626 obtained, opening perspectives for industrial applications (Shibakami, 2021a). Note that more "classically" water-soluble anionic paramylon esters salts ($-X = -CH_2-CH_2-(C=O)-O^-Na^+$), 627 able to self-assemble as nanofibers, had previously been obtained by reaction with succinic 628 anhydride in the same homogeneous conditions (in presence of triethylamine), followed by 629 dialyze (Shibakami et al., 2013b). For these succinylated paramylons, the partial DS of the C2, 630 C4 and C6 were 0.23, 0.05 and 0.18, respectively, thus leaving enough intact C2 –OH groups 631 for the formation of triple helices in NaOH solutions (Shibakami et al., 2013b). 632

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Paramylon propionate was also recently obtained by reaction with propionic anhydride (Seok 634 635 et al., 2021) resulting in DS of 1.6 and 2.0, with a preferential substitution of the C6 primary and C4 secondary hydroxyl groups. These paramylon monoesters were subsequently modified 636 by reacting the C2 hydroxyl group with L-lactide or ε -caprolactone, resulting in poly(lactic 637 acid) (PLA) or polycaprolactone (PCL) grafted side chains. These grafted paramylon 638 derivatives were thermoplastic, with glass transition and melting temperatures close to those of 639 640 pure PLA and pure PCL, respectively. However, the mechanical properties were significantly 641 lower (Seok et al., 2021). Nevertheless, this approach opens the perspective of making polymer 642 blends between these grafted paramylon esters and pure PLA or PCL.

The above homogeneous acetylation and succinylation reactions with anhydrides were also applied as secondary modifications in order to obtain thermoplastic mixed esters (Shibakami et al., 2014) and amphiphilic mixed esters (Shibakami, 2017). In both cases, paramylon was previously esterified with long hydrophobic pendent chain esters ($-X_1 = -(CH_2)_n-CH_3$; n=12 or 14 or 16) by reaction with acyl chlorides. This long chain substituent is preferentially substituted to the more reactive C6-OH group, so that the subsequent acetylation or succinylation targets mainly the remaining secondary -OH groups:

- Paramylon acylates succinylated in salt form ($-X_2 = -CH_2-CH_2-(C=O)-O^-Li^+$) were amphiphilic (Shibakami, 2017). Besides still showing ability to self-assemble as nanofibers, these mixed esters induced high viscosity in aqueous solutions, in particular when combining succinylation to higher DS₁ and acyl chain length (Shibakami, 2017). Films prepared by soaking/casting in ethanol combined mechanical strength with optical transparency and remarkable water absorption performances that may be used for medical applications (Shibakami, 2017).

Concurrently, acetylated paramylon acylates with a total DS in the 2.5 to 2.7 range
(acylation DS values were in the 0.28-0.61 range, while acetylation DS was > 2) showed

thermoplastic behavior (Shibakami et al., 2014), with melt flow rates and mechanical 659 properties comparable to commercial bioplastics such PLA and Polyamide11. It is 660 noteworthy that the reversed sequential modification (acetylation followed by acylation) 661 hinders the later due to the higher reactivity of the C6 primary hydroxyl group that, once 662 substituted by acetates, cannot be acylated. This results in a low acylation DS and no 663 thermoplasticity (Shibakami et al., 2014). It suggests that a minimum DS is required in 664 665 order to obtain thermoplastic paramylon esters. Regarding the type of long chain substituent, the authors insisted on the potential of paramylon acetate myristate for 666 industrial production because of the possibility to obtain myristic acid from E. gracilis 667 668 cultivation in anaerobic conditions (Inui et al., 1983).

669 Another homogeneous modification strategy for the synthesis of high DS thermoplastic 670 paramylon mixed esters involves the simultaneous "one pot" reaction of paramylon with multiple anhydrides, in the presence of 4-dimethylaminopyridine (Shibakami & Sohma, 2017). 671 672 It successfully lead to mixed esters with acetate $(X_1 = -CH_3)$ and medium chain length acylate $(X_2 = -(CH_2)_n-CH_3)$ (with n = 1, 2, 3 or 4). Note that the multiple anhydride reactants are 673 obtained by previously mixing one anhydride with one acid: for example, propionic anhydride 674 with acetic acid, or inversely acetic anhydride with propionic acid. However, this affects the 675 final partial DS values, resulting in medium chain length rich or acetyl rich mixed esters with 676 677 different melt volume rate, melting and glass transition temperatures and mechanical properties. For almost all mixed esters, films could be obtained by hot-pressing at temperatures above 678 200°C (Shibakami & Sohma, 2017). It was later shown that paramylon acetate propionate can 679 display melt spinnability at 240°C when the partial DS are in the ranges 0.5-0.7 and 2.2-2.5, 680 respectively (Shibakami, Sohma, et al., 2019). The tough monofilaments obtained may be used 681 in medical applications such as sutures. 682

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Reactants	R= -O- (C=O) – X	Reference	Derivative properties
Acetic anhydride	$X = -CH_3$ DS = 2.01-2.37	(Shibakami et al., 2015b)	Transparent self standing films
Acetic anhydride	X=-CH ₃ DS = 1.16-2.01	(Shibakami, 2021a)	Nanofibers stable in chloroform
			(DS = 1.42)
Succinic anhydride	$X = -CH_2-CH_2-(C=O)-O^-Na^+$	(Shibakami et al., 2013b)	Water-soluble nanofibers
	DS = 0.46		
Propionic anhydride*	X= - (CH ₂)-CH ₃	(Seok et al., 2021)	Thermoplastic (after grafting
	DS = 1.60-2.00		with PLA or PCL, see text)
1: Acyl chlorides	$X_1 = -(CH_2)_n - CH_3$; n=12,14,16	(Shibakami et al., 2014)	Thermoplastic
2: Acetic anhydride	$DS_1 = 0.28-0.61$		
(sequential reactions)	$X_2 = -CH_3$; $DS_2 = 2.07-2.49$		
1: Acyl chlorides	$X_1 = -(CH_2)_n - CH_3$; n=12,14,16	(Shibakami, 2017)	Amphiphilic thickeners
2: Succinic anhydride	DS ₁ up to 0.13		Water absorbing films
(sequential reactions)	$X_2=-CH_2-CH_2-(C=O)-O^-Li^+ \text{ or }-CH_3$		(Acylate Succinate salts)
	DS_2 up to 0.46 (salt) or 2.77 (acetate)		
Multiple anhydrides	$X_1 = -CH_3 DS_1 = 0.36 - 1.24$	(Shibakami & Sohma, 2017)	Thermoplastic (hot-press)
(simultaneous reactions)	$X_2 = -(CH_2)_n-CH_3$; n= 1, 2, 3, 4		
	$DS_2 = 1.71-2.24$		
Multiple anhydrides	$X_1 = -CH_3$ $DS_1 = 0.36-1.24$	(Shibakami, Sohma, et al.,	Melt spinnable (paramylon
(simultaneous reactions)	$X_2 = - (CH_2)_n - CH_3$; n = 0,1,2	2019)	acetate proprionate, $DS_1 = 0.5$ -
	$DS_2 = 1.71-2.24$		0.7; DS ₂ = 2.2-2.5)

Table 4: Paramylon esters and mixed esters obtained by homogeneous esterification_reactions in DMAc/LiCl, except * in DMF/LiCl.

685 **5.2.2**) Heterogeneous esterification in other media

Heterogeneous esterification of paramylon has also been reported in various media for the 686 687 production of monoesters and mixed esters (Table 5). It allows obtaining high total DS values necessary for thermoplasticity, but with a better control of partial DS values. Thermal 688 transitions, mechanical properties and viscosity can thus be finely tuned. A "one pot" synthesis 689 approach of mixed esters consists in a heterogeneous reaction with two acids in trifluoroacetic 690 691 anhydride (TFAA) (Shibakami et al., 2015a). Using acetic acid and various long chain fatty 692 acids, the authors observed that the resulting paramylon derivatives (with total DS in the 2.59-2.89 range) are actually soluble in TFAA so that the final solution was homogeneous. The 693 advantage of this approach is that it allows controlling the partial DS by tuning the feed ratio 694 695 of the two acids. In the case of myristic acid, this allows tuning the thermoplasticity properties 696 of the paramylon acetate myristate (Shibakami et al., 2015a). Indeed a more recent work shows 697 that paramylon acetate myristate with partial DS ≈ 0.35 lead to a good compromise between moldability and mechanical properties, the myristate pendent group acting both as an "internal 698 plasticiser" and a "viscosity increaser" (Shibakami & Sohma, 2020). 699

700 This approach was also applied to the synthesis of fully substituted paramylon monoesters with varying pendant chain length (X = $-(CH_2)_n-CH_3$; n= 0,1,2,3,4,5,6,7,9,11) (Gan et al., 2017). 701 702 Total substitution of the paramylon hydroxyl groups was achieved (DS = 3). Depending on the substituted chain length, the thermoplastic polymers were semi-crystalline (n =0-5 with melting 703 704 temperatures (T_m) values from 281 to 114 °C) or amorphous (n =7-11; the glass transition temperature (T_g) was not measured). Except for the acetate, all these paramylon derivatives 705 706 were thermoplastic above their glass transition or their melting temperature, allowing hot 707 pressing of films. Film casting from solutions in chloroform was also possible, with similar mechanical properties (Gan et al., 2017). Thermal stretching of the films allowed improving 708 the mechanical performances of the semi-crystalline paramylon monoesters thanks to 709

orientation. Further investigation of the crystallinity of stretched fibers showed that, contrary to native paramylon, the esterified chains take a 5/1 helical conformation, and that they are arranged in pseudohexagonal unit cells (Gan et al., 2019). This five-fold conformation favors the axial deformation of the fibers, which have low Young's moduli (2.5 to 1 GPa with increasing pendent chain length), especially compared to cellulose triacetates fibers which have a 3/2 helical conformation and Young's moduli ten times higher (Gan et al., 2019).

Partially substituted paramylon monoesters, with DS lower than 3, can be obtained by heterogeneous reaction of acyl chlorides in pyridine (Shibakami & Sohma, 2018). The DS can be controlled in the 1.50 to 3 range by acyl chloride/paramylon ratio. Contrary to fully substituted monoesters, some of these lower DS derivatives were not only thermoplastic, allowing hot pressing of films, but also presented a remarkable tackiness at room temperature. Thus they may be used as pressure sensitive adhesives, with best performances observed for paramylon myristates with DS \approx 2.6-2.9 (Shibakami & Sohma, 2018).

More recently, the same heterogeneous reaction of acyl chlorides with paramylon was performed in N-methylpyrrolidone/pyridine mixtures (Zhong et al., 2021). DS values from 1.7 to 2.7 were obtained by varying the acyl chloride/paramylon ratio. The authors demonstrated that the DS value can be easily characterized on particles of the paramylon derivatives by terahertz spectroscopy, using specific signature peaks of paramylon which are shifted upon modification (Zhong et al., 2021).

729

Media	Reactants	R=-O- (C=O) – X	Reference	Derivative properties
Acetic acid	Acetic anhydride	X= -CH ₃ ; DS=0.18	(Shibakami et al., 2012)	Doughnut-like particles
Trifluoroacetic	Acetic acid	$X_1 = -CH_3$	(Shibakami et al., 2015a)	Thermoplastic
anhydride	Long chain fatty acids	$DS_1 = 1.84-2.24$		(Acetate Myristate)
		$X_2 = -(CH_2)_n - CH_3;$		
		n=6,8,10,12,14,15,16		
		DS ₂ =0.35-1.05		
Trifluoroacetic	Various carboxylic	$X = -(CH_2)_n-CH_3;$	(Gan et al., 2017)	Semi-crystalline thermoplastics (n =1-5)
anhydride	acids	n=0,1,2,3,4,5,6,7,9,11		Amorphous thermoplastics (n =7-11)
		DS =3		Hot pressed and solvent casted films.
				Thermally stretched crystalline fibers.
pyridine	Various acyl	$X = -(CH_2)_n - CH_3;$	(Shibakami & Sohma,	Thermoplastic (hot pressed)
	chlorides	n=12,14,16	2018)	Pressure sensitive adhesives
		DS = 1.5-3		(paramylon myristates)
N-methylpyrrolidone	Various acyl	$X = -(CH_2)_n - CH_3;$	(Zhong et al., 2021).	Not studied.
/pyridine mixture	chlorides	n=12,14,16		
		DS = 1.7-2.7		
N-methylpyrrolidone /pyridine mixture	chlorides Various acyl chlorides	n=12,14,16 DS = 1.5-3 $X= - (CH_2)_n - CH_3;$ n=12,14,16 DS = 1.7-2.7	(Zhong et al., 2021).	Pressure sensitive adhesives (paramylon myristates) Not studied.

Table 5: Paramylon ester derivatives obtained by heterogeneous reactions in various media.

Summarizing, compared to pristine paramylon, the various derivatives described throughout this section allow a much wider variety of processing routes: their solvent based processing into films and nanofibers can be done either in water or in organic solvents, thanks to modified solubility, while solvent free thermomechanical processing can become possible thanks to their thermoplasticity without thermal degradation:

Solubility in water was achieved by substituting paramylon's –OH groups with anionic 738 or cationic groups. The reported minimum DS values are typically lower than 1, but 739 740 depend strongly on the type of linkage and ionic group. As recalled by one author (Tamura et al., 2009), historically, the first motivation for investigating ionic derivatives 741 was to enhance paramylon's biological activity, whose limited performances were 742 ascribed to its absence of solubility in water. For paramylon sulfates, a correlation is 743 indeed reported between water solubility and bioactivity. However, the optimum DS 744 745 (1.2-1.7) range is very high compared to that of most of the other anionic and cationic derivatives studied since then. Actually, it is striking that the authors often did not focus 746 on the impact of solubility on their bioactive properties, but rather on their nanofiber 747 748 forming ability in water (which actually seems to be an efficient way to improve paramylon's bioactive properties, as discussed in section 4 (Sugiyama et al., 2009) 749 (Barsanti & Gualtieri, 2019)) and on the production of films from these nanofibers. 750

The reported results suggests that the ability to form nanofibers in water requires the ionic derivatives to combine: i) an absence of, or a low substitution of C2 –OH groups in order to have stable triple helices (this implies a maximum total DS, 1 and 1.22 being the highest reported for regioselective substitution of C6-OH groups, and non regioselective substitution, respectively), and ii) A minimum total DS allowing the destabilization of the native crystalline structure for a partial solubilization before subsequent reassembly as nanofibers. This minimum total DS depends on the ionic substituent and needs to be finely tuned in order avoid, or favor, gelation of thenanofibers.

Paramylon acetates can be similarly processed as nanofibers and films in organic 760 761 solvents. However, this requires higher minimum DS values than for ionic derivatives in water (e.g. in chloroform, solubility is achieved at DS=2.01, while nanofibers are 762 763 stable of DS=1.42). This may be ascribed to the hydrophilic nature of paramylon's –OH groups, which thus need to be highly substituted. Contrary to pristine paramylon, 764 765 depending on their DS, paramylon acetates can present a glass transition or a modified crystallinity with presence of mono helices. However, thermoplasticity cannot be 766 767 achieved, even for DS=3.

Thermoplasticity requires both a high DS (generally close to 3) and the introduction of 768 at least a fraction of longer aliphatic ester pendant groups allowing to reduce the 769 interactions between paramylon chains by playing an internal plasticiser role. It is 770 striking that the thermoplastic paramylon esters obtained can have melting temperature 771 as high as 280°C, without reported thermal degradation during hot-press processing. 772 This indicates that the substitutions also increase the thermal stability of paramylon. 773 774 Both mono and mixed esters can be used, with the following apparent rules in terms of DS: i) If only one type of aliphatic ester pendant groups is used, a DS equal to 3 is 775 necessary for short chains (e.g. proprionates), while for long pendant chains (e.g. 776 myristates), the minimum DS can be lowered (down to ≈ 2.5); ii) long pendant chains 777 can also be combined with short chains, including acetates. However, the maximum 778 779 partial acetylation DS depends on the size of co-substituent: If long pendant chains are used, a rather high partial acetylation can be used (DS \approx 2), whereas with lower chain 780 781 length co-subtituents, the maximum acetylation degree that can be tolerated becomes lower, but the total DS should remain close to 3. 782

783 Conclusions and perspectives

784 With the emergence of microalga *E. gracilis* large scale cultivation, the linear β -1,3-glucan paramylon produced by this microorganism is likely to become more and more widely available 785 786 in the coming years. Due to the high versatility and robustness of euglenoids, paramylon can be produced either in photoautotrophic or heterotrophic (or both), as well as within the 787 framework of environmental-friendly schemes, such as bioremediation, wastewater treatment, 788 789 and CO₂ trapping. This makes paramylon a potentially carbon neutral and sustainable feedstock for biobased products. However, as a storage polysaccharide, it is biosynthesized as highly 790 791 crystalline, water insoluble, intracellular granules, in which β -1,3-glucan form stable triple helices. Beside its applications in this raw granular native form, such as dietary fibers, various 792 793 processing routes have been developed, leading to both non-derivatized and chemically modified paramylon products, with various shapes and properties as summarized in Figure 3. 794



795



Figure 3: Overview of paramylon processing routes and potential applications.

797 The use of specific solvents, allowing to disrupt the triple helices, gives the possibility to shape798 native paramylon into films and fibers without chemical modification. The reversibility of triple

helices disruption in aqueous alkaline solutions allows the production of nanofibers by a selfassembly mechanism. This outstanding property of paramylon can persist when paramylon is chemically modified by substitution of hydroxyl groups, except the C2 hydroxyl groups that are involved in triple helices stabilization mechanism. Thus paramylon derivatives based nanofibers can be produced including nanofibers dispersible in organic solvents.

Chemical modifications, in homogeneous or heterogeneous conditions, also allows obtaining two classes of paramylon derivatives: i) a variety of water-soluble ionic derivatives particularly interesting for health related applications; and ii) paramylon monoesters and mixed esters, including thermoplastic and melt spinnable derivatives for the production of bioplastic films and fibers.

809 Suggestions and prospects

Produced as intracellular granules, paramylon first needs to be extracted from E. gracilis 810 biomass. However, there is currently no standard method neither for the extraction of the 811 812 granules, nor for the purification of paramylon. In the perspective of large scale production, it would be necessary to investigate the influence of the extraction and/or of the purification 813 conditions on paramylon's purity and molecular weight. Controlling the purity seems 814 particularly important for the use of paramylon as an immunomodulator, for which the role of 815 other molecules present in E. gracilis biomass and paramylon granules needs to be further 816 817 investigated (Phillips et al., 2019).

Regarding the reported solvents of paramylon, it appears that for some of them the exact state of solubilized paramylon, as triple helices or random coils, is not clear. Therefore, it would be interesting to investigate this point, particularly for ionic liquids. Indeed, beside DMSO and concentrated alkaline solutions (which both allow the disruption of triple helices), ionic liquids seem promising new candidates that remain poorly studied, despite their known versatility as

solvents of polysaccharides. Despite their potential influence on paramylon depolymerisation 823 (as observed for curdlan when solubilized in 1-ethyl-3-methylimidazolium acetate) which 824 should be further investigated, ionic liquids present the advantage of being tunable by changing 825 826 the chemical structure of the anion and cation moieties. These moieties can even be biobased and/or biocompatible. Thus, ionic liquids can potentially be used, not only as solvents for non-827 828 derivatising shaping of paramylon or as media for chemical modification, but even as final 829 components of paramylon materials (as plasticisers for example). Last but not least, ionic liquid being efficient solvents of other polysaccharides and biopolymers, they may be used as co-830 solvent for the processing of blends with paramylon. 831

Concurrently, the recently reported paramylon monoesters grafted with PCL or PLA chains open perspectives for the melt processing of blends of paramylon derivatives with such polyester bioplastics. The use of derivatised paramylon nanofibers as reinforcements in other polymers would also be interesting to investigate.

836 Acknowledgements

837 This project has received financial support from the CNRS through the MITI interdisciplinary838 program 80Prime.

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