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# Characterization and suppression of the hydrodynamic instability in the time domain for acoustic propagation in a lined flow duct

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## Abstract

The gradient term suppression (GTS) method for removing the hydrodynamic instability appearing in the time-domain solutions of the linearized Euler equations (LEE) along a lined flow duct is assessed. For this, the characterization of a convective instability in the time domain, with the aid of a complementary modal analysis, is first presented. The effect of the mesh size and spatial filtering on the instability is investigated. In particular, a convergence of the instability in the time domain is achieved for a small enough grid size. The consequence of suppressing the mean flow gradient term on the modes is then investigated. It is shown that the unstable modes are indeed removed, but also that acoustic modes are significantly modified, especially for low Helmholtz numbers. The GTS method is finally applied to the NASA grazing impedance tube benchmark. It is found that tuning the weight of the mean flow gradient term within the LEE can be effective for suppressing the instability while conserving a reasonable accuracy of the acoustic component.

*Keywords:* Lined flow duct, hydrodynamic instability, removing of instability, time domain

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## 1. Introduction

Acoustic liners are widely used in the intake or bypass of the aero-engines to attenuate the noise generated by airplanes. To study their interaction with acoustic waves in the presence of a mean flow, time-domain approaches, based on the linearized Euler equations (LEE), are well-suited, as broadband results can be obtained with a single simulation [1, 2, 3]. In addition, extension to account for nonlinear effects due to large sound pressure levels can be considered. Time-domain approaches are however especially sensitive to instabilities, which can contaminate the pressure field and complicate the extraction of the acoustic component.

The presence of an instability over acoustic liners was first detected experimentally through the transmission coefficient [4, 5, 6, 7]. The transmission coefficient became larger than 1 around the liner resonance at sufficiently high Mach numbers, implying a source of acoustic energy. The source was rightfully attributed to an instability. Optical flow measurements [8, 9] led to a full spatial characterization of the instability, and revealed its convective nature.

The use of the Ingard-Myers boundary condition [10, 11], which describes how acoustic perturbations interact with an impedance wall for a vanishingly-thin inviscid boundary layer, was the

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16 usual approach from the early numerical and theoretical works on the subject. By doing so, in-  
17 stabilities were observed in many studies [12, 13, 1, 14, 15, 16]. Later, Brambley [17] showed that  
18 the Ingard-Myers boundary condition is mathematically ill-posed in the time domain because the  
19 model supports unstable modes of unlimited exponential growth at arbitrarily short wavelengths.  
20 He [18] has shown later theoretically that accounting for a boundary layer thickness in the bound-  
21 ary condition (modified Ingard-Myers condition) regularizes the problem. Since many studies have  
22 questioned the validity of the Ingard-Myers boundary condition [19, 20], the use of a finite boundary  
23 layer thickness has been explored to improve the modeling of the instabilities [21, 22, 23, 24, 25, 26].  
24 Detailed numerical analysis with a mass-spring-damper impedance model showed that the use of a  
25 mean velocity profile instead of the Ingard-Myers condition transformed the unstable mode from  
26 absolute to convective [27]. However, even with a full velocity profile, absolute instabilities seems  
27 to be present in time-domain simulations for liners with a more realistic broadband impedance [28].

28 The thermoviscous effects [29] or turbulent dissipation through an eddy viscosity profile [30,  
29 31, 32] are capable of attenuating the instability. It can even lead the nature of instability to  
30 change from absolute to convective [30, 29]. Including turbulent dissipation, the properties of the  
31 instability appear to be close to the experimental results. More recently, numerical simulations of  
32 a channel flow with an acoustic liner using implicit large eddy simulations [33] were performed.  
33 Surface waves with characteristics similar to the instability predicted by linear stability analysis  
34 were exhibited. However, very fine grids, and even tremendous computational resources for direct  
35 numerical simulations, are needed in those studies to properly capture the instability, which is a  
36 strong disadvantage if the goal of the simulations is the computation of the acoustic field.

37 Various strategies to attenuate the instability numerically in time-domain simulations have been  
38 explored in the past. Since it is observed that the instability often appears in fine grids, the use of  
39 coarse grids and artificial damping has been recommended to attain a stable simulation by some  
40 authors. In particular, the studies of Gabard and Brambley [27, 34] suggest that the origin of the  
41 absolute instability lies in the dispersion properties of the finite-difference schemes, and they proved  
42 the effectiveness of numerical filtering at the boundary to avoid spurious numerical instabilities.  
43 Marx [25] examined the case of a convective instability in the time-domain, and assessed the impact  
44 of selective filtering and grid size. He considers that if the instability is physical, increasing the  
45 grid size or strengthening the selective filters do not necessarily attenuate the instability.

46 Another approach, widely used in flows reminiscent of shear instabilities, is the substitution of  
47 the underlying LEE by a new set of equations which are inherently stable. Among them we can  
48 mention the gradient term suppression method (GTS) [35, 36, 37, 38, 39], the acoustic perturbation  
49 equations [40], the linearized perturbed compressible equations [41, 42], or the gradient term filtering  
50 method [43, 44]. These methods have been applied to deal with Kelvin-Helmholtz (KH) instabilities  
51 in various cases with shear flows, such as sound radiation through two-dimensional shear layers and  
52 sound radiation from a bypass duct [44, 36]. It has been shown that most of them are similarly  
53 effective for these particular flows [44].

54 To the authors' knowledge, such methods have not been employed yet for the suppression of  
55 instabilities generated in a lined flow duct. It is worth mentioning that the mechanism for these  
56 instabilities, while related to KH instabilities, is different. Specifically, the instabilities in a lined  
57 flow duct are related to the presence of acoustic liners and not only due to the shear flow. Among  
58 the methods existing in the literature for KH instabilities, we have opted to restrict ourselves to  
59 GTS in this study, as a first approach to the case of acoustic liners. However, other methods might  
60 perform similarly or even better in certain cases, so a future comparative study would certainly be

61 useful.

62 The objective of the paper is to analyze the effectiveness of the GTS method in suppressing  
 63 the hydrodynamic instability that appears in the time-domain simulations of acoustic propagation  
 64 along a lined duct. For this, it is beforehand verified that the instability is not of numerical origin  
 65 and is a part of the LEE solution and that its characteristics are in good agreement with the  
 66 predictions of a reference modal analysis, following Marx [25]. In particular, the impact of the grid  
 67 resolution and the amount of selective filtering on instabilities are analyzed.

68 The paper is organized as follows. The configuration of the model, the governing equations and  
 69 the numerical schemes are presented in Section 2. An example of an instability in the time-domain  
 70 approach is shown and is characterized in Section 3. In Section 4, the effect of the selective filter  
 71 and mesh size on the instability is assessed. Finally, in Section 5, the performance of the partial  
 72 GTS method to suppress the instability is analyzed, and is applied on the NASA GIT benchmark  
 73 problem.

## 74 2. Numerical models

75 A two-dimensional partially lined duct, of size  $H \times L$ , is considered, as shown in Fig. 1. The  
 76 acoustic liner is installed on the upper wall, while the lower wall is rigid. A point source is fixed  
 77 in the center of the duct. The source is impulsive, unless stated otherwise. A parallel shear flow of  
 78 velocity profile  $u_0$  goes from left to right, and vanishes on the walls.  $\rho_0$  is the mean density, and  $c_0$   
 79 is the sound speed. The physical quantities with dimensions of length, time, velocity, and pressure  
 80 are nondimensionalized using  $H$ ,  $H/c_0$ ,  $c_0$  and  $\rho_0 c_0^2$ , respectively.

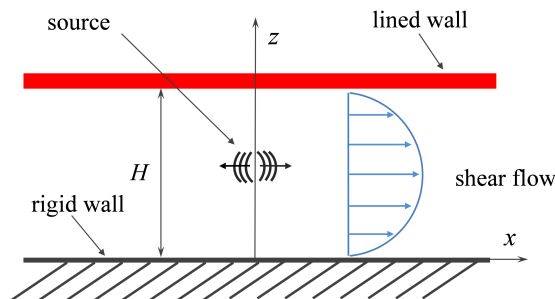


Fig. 1. Numerical configuration.

### 81 2.1. Governing equations

Acoustic propagation in a lined flow duct is governed by the LEE. Assuming the mean flow is homentropic and neglecting the gradient of mean pressure, the LEE in dimensionless form are written as:

$$\frac{\partial p}{\partial t} + u_0 \frac{\partial p}{\partial x} + \frac{\partial u}{\partial x} + \frac{\partial v}{\partial z} = Q \quad (1a)$$

$$\frac{\partial u}{\partial t} + u_0 \frac{\partial u}{\partial x} + v \frac{du_0}{dz} + \frac{\partial p}{\partial x} = 0 \quad (1b)$$

$$\frac{\partial v}{\partial t} + u_0 \frac{\partial v}{\partial x} + \frac{\partial p}{\partial z} = 0 \quad (1c)$$

82 where  $p$ ,  $u$  and  $v$  are the acoustic pressure and components of the acoustic velocity along the  $x$  and  
 83  $z$ -direction, respectively.

84 The mean flow velocity profile is given by:

$$u_0(z) = M \frac{n_t + 1}{n_t} (1 - |1 - 2z|^{n_t}), \quad (2)$$

85 where  $M$  is the Mach number corresponding to the mean velocity. The parameter  $n_t$  specifies the  
 86 flow profile and can be related to the boundary layer thickness. The displacement thickness, defined  
 87 by:

$$\delta = \int_0^{1/2} \left( 1 - \frac{u_0(z)}{u_0(1/2)} \right) dz \quad (3)$$

88 is equal to  $\delta = 1/[2(n_t + 1)]$ . Unless stated otherwise, the flow profile parameter is set to  $n_t = 9$ ,  
 89 corresponding to  $\delta = 5\%$ . Note the 2D velocity profile in Eq. (2) does not have an inflection point  
 90 and should not support any KH instability. While not shown for conciseness, it has been verified  
 91 that the time-domain simulations in a rigid duct with this sheared flow profile do not present any  
 92 instability.

## 93 2.2. Time-domain solver

94 The LEE are solved using high order finite-difference time-domain methods. The spatial deriva-  
 95 tives are calculated by optimized 4th-order finite-difference schemes over 11 points. Namely, the  
 96 centered scheme of Bogey & Bailly [45] and the non-centered schemes of Berland *et al.* [46] are  
 97 employed for the interior and boundary points, respectively. The optimized, 4th-order 6-stage  
 98 Runge-Kutta algorithm of Berland *et al.* [47] is employed for time integration. The time step is  
 99  $\Delta t = 0.0014$ .

100 Selective filters are used to remove grid-to-grid oscillations. For the interior points, the centered  
 101 11-point 6th-order selective filter of Bogey *et al.* [48] is adopted. For the boundary points, the  
 102 selective filters of Berland *et al.* [46] are used. A parameter, denoted by  $\sigma$  and referred to as the  
 103 filtering strength, allows one to adjust the effect of the selective filters. It ranges from 0 to 1, 0  
 104 meaning that no filtering is applied and 1 meaning that grid-to-grid oscillations are totally removed.  
 105 As the filtering is applied at every iteration, a filtering strength of 1 is usually not necessary and  
 106 can even deteriorate longer, well-resolved wavelengths. The default value is  $\sigma = 0.5$ .

107 The mesh is uniform in the  $x$ -direction with a size of  $\Delta x = 0.02$ . This ensures that the number  
 108 of points per acoustic wavelength  $\lambda = 2\pi/\omega$  is larger than 10 up to  $\omega = 10\pi$ . It can be noticed  
 109 that the duct cut-off frequency in the no-flow case corresponds to  $\omega = \pi$ . Damping zones with a  
 110 length of 20, are implemented upstream and downstream to prevent reflections. In these zones, the  
 111 mesh spacing gradually increases with a stretching factor of 3% and a Laplacian filter is applied to  
 112 add artificial dissipation [49]. Along the  $z$ -direction, the mesh size decreases gradually towards the  
 113 walls with a shrinking factor of 1%. The number of grid points along the duct height is  $n_z$ , with  
 114 default value 175.

115 For the rigid wall, the boundary condition  $v_z(x, z = 0) = 0$  is imposed. The lined wall is  
 116 modelled through the impedance boundary condition:

$$p(x, z = 1, t) = [\tilde{z} * v_n](x, t), \quad (4)$$

117 where  $*$  denotes the convolution operator,  $v_n(x, t) = v(x, z = 1, t)$  and  $\tilde{z}(t)$  is the impedance model

118 in the time domain. To avoid computing the convolution integral, the time-domain boundary  
 119 condition proposed in Troian *et al.* [50] is employed. For this, the admittance in the frequency  
 120 domain  $\beta(\omega)$ , [related to the time-domain impedance model by](#)

$$\beta(\omega)^{-1} = \int_{-\infty}^{+\infty} \tilde{z}(t)e^{-i\omega t} dt, \quad (5)$$

121 is approximated by a rational function:

$$\beta(\omega) = Y_{\infty} + \sum_{i=1}^P \frac{A_i}{\lambda_i + i\omega} + \sum_{i=1}^S \left( \frac{B_i - iC_i}{\alpha_i - i\beta_i + i\omega} + \frac{B_i + iC_i}{\alpha_i + i\beta_i + i\omega} \right), \quad (6)$$

122 where  $\lambda_i$  and  $\alpha_i \pm i\beta_i$  are respectively the real poles and complex-conjugate pole pairs of  $\beta(\omega)$ ,  $P$   
 123 and  $S$  denote their number and  $Y_{\infty}$ ,  $A_i$ ,  $B_i$  and  $C_i$  are real coefficients. For a given broadband  
 124 impedance model, the admittance parameters in Eq. (6) are determined using the vector fitting  
 125 algorithm [51] in the frequency band of interest. The implementation of the time-domain impedance  
 126 boundary condition is not further described here but interested readers can refer to Troian *et al.* [50]  
 127 for details.

128 The source term  $Q$  in Eq. (1a) is chosen as

$$Q(x, z, t) = \lambda(t) \exp\left(-\frac{x^2 + (z - 0.5)^2}{B_s^2} \ln 2\right), \quad (7)$$

129 where  $B_s$  is the Gaussian half-width of the source, with a value of 0.104. Both impulsive and  
 130 harmonic sources are used in this study. For impulsive sources,  $\lambda(t)$  is defined as:

$$\lambda(t) = \frac{t - t_s}{t_c} \exp\left(-\frac{(t - t_s)^2}{t_c^2} \ln 2\right) H(t) \quad (8)$$

131 where  $t_s = 5.42$  is a time shift,  $t_c = 0.95$  specifies the frequency content of the source signal and  
 132  $H(t)$  is the Heaviside function. In the case of a harmonic source  $\lambda(t)$  is defined as:

$$\lambda(t) = \exp(i\omega t) H(t) \quad (9)$$

133 with  $\omega$  the angular frequency. [A complex-valued harmonic source is chosen for an easier extraction](#)  
 134 [of instability characteristics. It can be noticed that acoustic pressure and velocity fields in the time-](#)  
 135 [domain numerical simulations are also complex-valued when this harmonic source is employed.](#)

### 136 2.3. Modal analysis approach

137 A modal analysis is also performed to determine the stability properties of the lined section, from  
 138 which the wavenumbers and the mode shapes of all modes can be determined, including the unstable  
 139 modes. Monochromatic waves propagating in an infinite, homogeneous duct are considered:

$$\begin{aligned} u &= U(z) e^{i(\omega t - kx)}, \\ v &= V(z) e^{i(\omega t - kx)}, \\ p &= P(z) e^{i(\omega t - kx)}, \end{aligned} \quad (10)$$

140 where  $U$ ,  $V$  and  $P$  are the mode shapes, which depend only on  $z$ , and  $k$  is the mode wavenumber.  
 141 Note that  $\omega$  and  $k$  can be complex-valued.

142 In order to obtain this information, the next step is to introduce Eqs. (10) into Eqs. (1) to  
 143 obtain an eigenvalue problem. The eigenvalue problem is not directly formulated using the physical  
 144 variables; instead, the characteristic variables, i.e.,  $U$ ,  $P - V$  and  $P + V$ , are used. Specifically,  
 145  $P - V$  and  $P + V$  are the characteristic waves travelling along the  $-z$  and  $+z$ -direction, respectively.  
 146 The motivation for using the characteristic variables is described below. The discretization of the  
 147 LEE on a grid with  $N$  points leads to a system of  $3N$  equations for  $3N$  unknowns. The boundary  
 148 conditions however bring two additional equations. In order to avoid having an overdetermined  
 149 system, it is thus necessary to choose two equations to eliminate, which is not trivial and somewhat  
 150 arbitrary. The characteristic variables allow for a suitable choice (see Appendix A).

151 Doing so, the LEE in the frequency domain are written as:

$$\begin{pmatrix} 1 & \frac{-1}{2i\omega} \frac{du_0}{dz} & \frac{1}{2i\omega} \frac{du_0}{dz} \\ 0 & 1 - \frac{1}{i\omega} \frac{d}{dz} & 0 \\ 0 & 0 & 1 + \frac{1}{i\omega} \frac{d}{dz} \end{pmatrix} \begin{pmatrix} U \\ P - V \\ P + V \end{pmatrix} = \frac{k}{\omega} \begin{pmatrix} u_0 & \frac{1}{2} & \frac{1}{2} \\ 1 & u_0 & 0 \\ 1 & 0 & u_0 \end{pmatrix} \begin{pmatrix} U \\ P - V \\ P + V \end{pmatrix}. \quad (11)$$

152 This matrix system has to be completed with appropriate boundary conditions. On the rigid  
 153 wall, the boundary condition becomes  $[P + V](z = 0) = [P - V](z = 0)$ . On the lined wall, the  
 154 impedance boundary condition is written as:

$$[P - V](z = 1) = \frac{1 - \beta}{1 + \beta} [P + V](z = 1) \quad (12)$$

155 Note that the admittance in Eq. (12) depends on the frequency. In the stability analysis performed  
 156 in Section 3, the frequency can be complex-valued and the admittance has to be calculated accord-  
 157 ingly. To be noticed, admittance models given by a rational function in Eq. (6) are used in the  
 158 paper.

159 The eigenvalue problem in Eq. (11) is solved numerically. The spatial derivatives are discretized  
 160 using the Chebyshev spectral method [52], which is able to generate smaller numerical errors than  
 161 finite-difference schemes for a given number of grid points. The gradient of the mean flow  $du_0/dz$  is  
 162 also calculated numerically using the Chebyshev spectral method. The default value of the number  
 163 of grid points  $N$  used in the modal analysis is 150. The discretized LEE are then recast into a  
 164 generalized eigenvalue problem, which is solved by the `eig` function of *MATLAB*. Details on the  
 165 discretization and on the implementation of boundary conditions are provided in Appendix A.

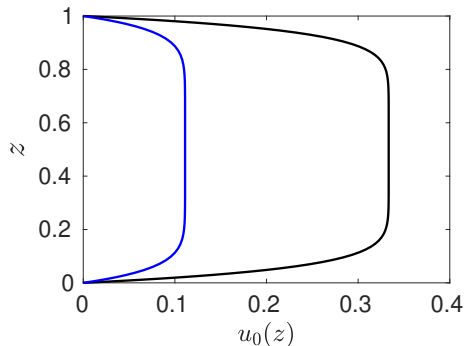
### 166 3. Stability analysis

167 As described in the previous section, both methods will be applied to study hydrodynamic  
 168 instabilities. The occurrence of a hydrodynamic instability depends on the characteristics of the  
 169 liner, the mean flow profile and the source frequency. Two cases with Mach numbers of  $M = 0.1$   
 170 and  $M = 0.3$  are considered. The corresponding flow profiles are shown in Fig. 2. For simplicity, a

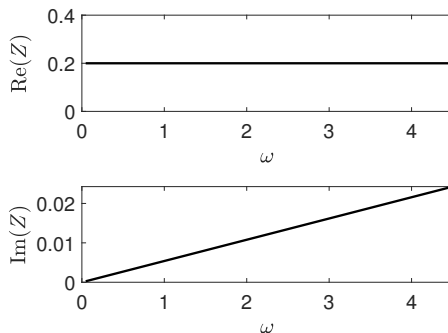
171 mass-spring-damper (MSD) liner is used, with impedance

$$Z(\omega) = R + i\omega m + \frac{K}{i\omega}, \quad (13)$$

172 where  $R$ ,  $m$  and  $K$  are respectively the damping, mass and spring stiffness. The impedance  
 173 spectrum of the liner used in our case is shown in Fig. 3, with  $R = 0.2$ ,  $m = 5.4 \times 10^{-3}$  and  $K = 0$ .



**Fig. 2.** Mean flow profile for: —  $M = 0.1$  and —  $M = 0.3$ .



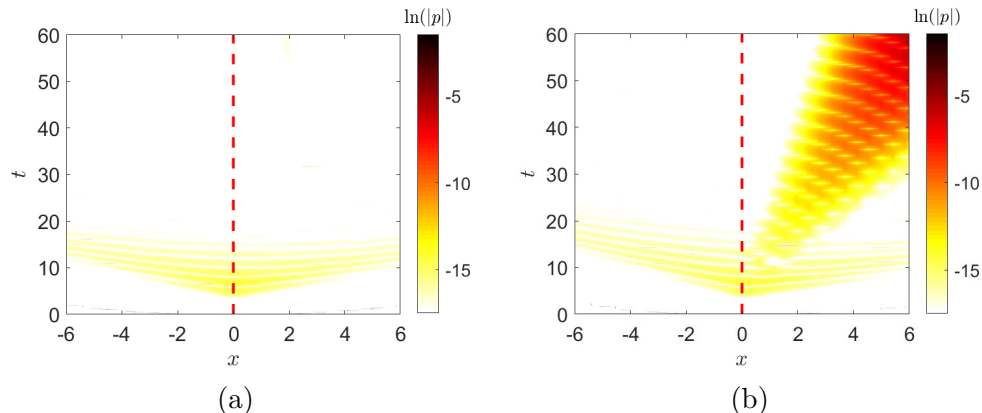
**Fig. 3.** Impedance of the MSD liner.

### 174 3.1. Example of time-domain simulation

175 Time-domain simulations are first performed using an impulsive source to illustrate the acous-  
 176 tic propagation along the lined duct. Fig. 4 shows the pressure response varying with time and  
 177 position along the  $x$ -axis on the lined wall. For  $M = 0.1$ , two branches originating from  $t = t_s$  are  
 178 observed, corresponding to upstream and downstream propagating waves. These acoustic waves  
 179 are attenuated along the lined wall. After  $t \approx 18$ , they have left the computational domain and the  
 180 remaining fluctuating pressure is almost null. For  $M = 0.3$ , these two branches are retrieved. Their  
 181 orientation is modified due to the difference in the Mach number. Another contribution is however  
 182 observed in the downstream direction. Its magnitude and its spatial extent increase as it propa-  
 183 gates away from the source, indicating it is an instability. Moreover, since the pressure response is  
 184 given as a function of the distance and time, the velocity of acoustic waves and instabilities can be



185 deduced from the slopes of the different branches. It can then be estimated that the propagation  
 186 velocity of the instability is smaller than that of the acoustic waves.

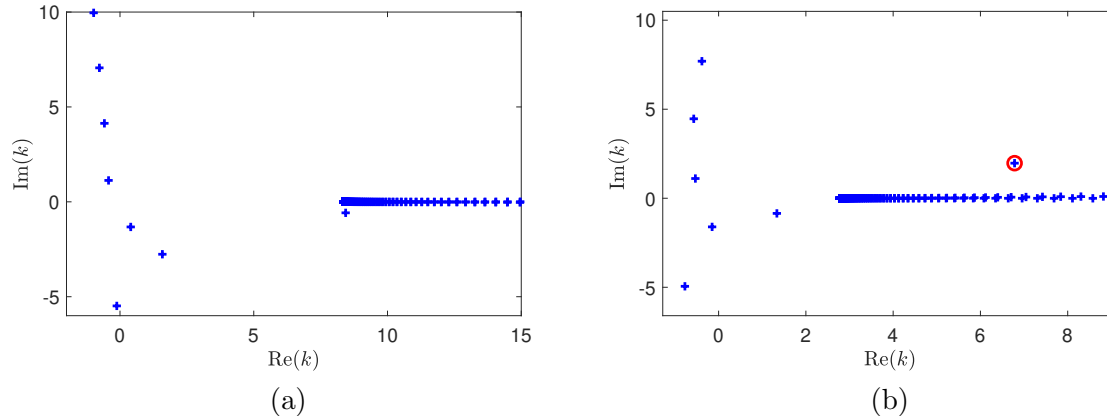


**Fig. 4.** Pressure response  $\ln |p(x, z = 1, t)|$  to an impulsive source on the lined wall for (a)  $M = 0.1$  and (b)  $M = 0.3$ . The source position is indicated by the vertical dashed line.

### 187 3.2. Characterization of the instability from modal analysis

188 This section aims at correlating the instability observed in the time-domain simulations with  
 189 the modal analysis. Therefore, a harmonic source is imposed on both approaches. For predicting  
 190 the response to a harmonic excitation, a spatial stability analysis is performed: the frequency is  
 191 real-valued and the mode wavenumbers can be complex. Fig. 5 displays the wavenumbers of all  
 192 modes at  $\omega = 0.9271$  for both  $M = 0.1$  and  $M = 0.3$ . This particular frequency is chosen because  
 193 it corresponds to a dimensional frequency  $f = 1000$  Hz for the benchmark data of the NASA GIT  
 194 duct (sound speed  $c_0 = 344.28$  m s $^{-1}$  and duct height  $H = 0.0508$  m), which is considered in  
 195 Section 5.3. It is also close to the frequency  $\omega = 0.8344$  where the maximal spatial growth rate  
 196 is observed (not shown). For  $M = 0.1$ , there is no mode in the upper-right complex  $k$ -plane and  
 197 therefore no possible instability. For  $M = 0.3$ , the wavenumber of one mode ( $k = 6.78 + 1.97i$ )  
 198 has both positive real and imaginary parts. This is possibly an unstable mode, and its stability  
 199 depends on the propagation direction. If the mode is an upstream decaying mode, the propagation  
 200 is stable. On the other hand, if it propagates in the downstream direction, it corresponds to an  
 201 instability. The propagation direction of this mode therefore needs to be determined.

202 In Fig. 5, noticeable modes other than the acoustic modes and the instability are convected  
 203 modes which satisfy the dispersion relation  $ku_0(z) = \omega$ . For a sheared mean flow, they come  
 204 as a continuous spectrum, located in the  $k$ -plane along the horizontal half-line starting at  $k =$   
 205  $\omega/\max(u_0)$ . In solving numerically the eigenvalue problem, this continuous spectrum turns into in-  
 206 dividual modes, which are greatly dependent on the discretization. In particular, even the wavenum-  
 207 bers of these modes may have small positive imaginary parts and thus be weakly unstable. As shown  
 208 in the study of Brambley *et al.* [53], the contributions of the continuous spectrum are negligible  
 209 when the point source is located at the duct centerline, where the mean flow is relatively unsh-  
 210 eared. Note that the use of selective filters, as employed in the time-domain simulations, tends to move the  
 211 convected modes towards the lower right quadrant of the  $k$ -plane [25] and thus to make all these  
 212 modes stable. As we are primarily interested in the instability, no specific method is employed to



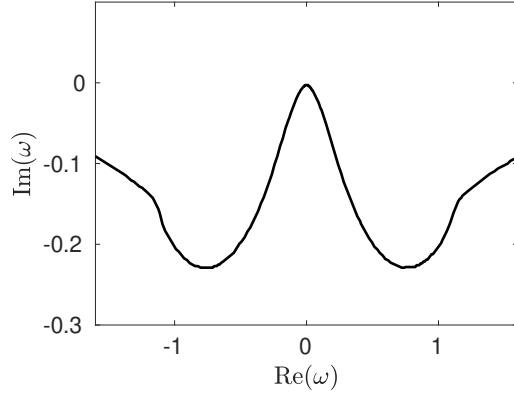
**Fig. 5.** Wavenumber obtained from modal analysis for  $\omega = 0.9271$  and for (a)  $M = 0.1$  and (b)  $M = 0.3$ . The encircled wavenumber indicates a possible unstable hydrodynamic mode.

213 have an accurate prediction of convective modes. Such a method has been for instance described  
 214 in Vilenski and Rienstra [54].

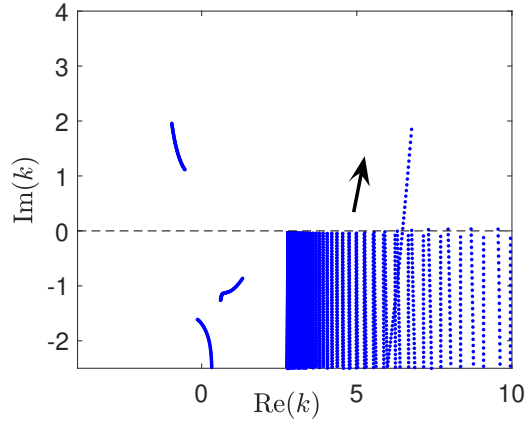
215 The Briggs-Bers criterion [55, 56] is used to determine the propagation direction of the modes,  
 216 and, if an unstable mode is present, whether it is convective or absolute. This criterion has been  
 217 introduced to study the plasma instability and has been later considered for the stability analysis  
 218 of acoustic propagation in a lined flow duct [17]. However, before using the Briggs-Bers criterion,  
 219 it must be checked that it is applicable. For instance, as discussed by Brambley [17], the acous-  
 220 tic propagation in a lined duct with a plug flow and the Myers impedance boundary condition is  
 221 mathematically ill-posed, implying that Briggs-Bers criterion can not be used. In order to apply  
 222 the Briggs-Bers criterion, one must first check that the growth rates of all modes, and in partic-  
 223 ular the unstable modes, are bounded. To do so, a temporal stability analysis is conducted. The  
 224 wavenumber here remains real, and the frequency  $\omega$ , possibly complex-valued, satisfying the disper-  
 225 sion relation is sought. If for a particular value of the wavenumber, one has  $\text{Im}(\omega) < 0$ , the system  
 226 is then unstable. Fig. 6 shows the growth rate of the possible unstable mode for  $M = 0.3$  as a  
 227 function of  $\text{Re}(\omega)$ . It is observed that the growth rate is bounded at  $\text{Im}(\omega) \approx -0.23$ , meaning that  
 228 the instability will not be amplified at an arbitrarily large growth rate for any given real frequency.  
 229 The Briggs-Bers criterion can thus be applied in this case.

230 To determinate if a possible unstable mode is an instability, the trajectory of its wavenumber  
 231 in the complex  $k$ -plane is recorded as  $\omega = \text{Re}(\omega) + i \text{Im}(\omega)$  is varied. More specifically,  $\text{Re}(\omega)$  is  
 232 fixed while  $\text{Im}(\omega)$  is varied from  $-\infty$  to 0. Fig. 7 shows this process for  $\text{Re}(\omega) = 0.9271$ , while the  
 233 imaginary part  $\text{Im}(\omega)$  goes from  $-1.4$  to 0. The wavenumber of the possible unstable mode crosses  
 234 the real  $k$ -axis from the lower  $k$ -plane when  $\text{Im}(\omega)$  is approaching 0. The crossing of the real  $k$ -axis  
 235 from the lower half  $k$ -plane indicates that this mode is indeed a downstream propagating mode and  
 236 is thus an instability.

237 In addition, in order to discard the existence of an absolute instability, it should be verified,  
 238 that the trajectories of the wavenumbers in the  $k$ -plane for any  $\text{Re}(\omega)$  do not show any saddle  
 239 point, which corresponds to merging roots of  $k$  from different halves of the  $k$ -plane. This has been  
 240 carefully checked on a large range of values of  $\text{Re}(\omega)$ . To sum up, for  $\omega = 0.9271$ , there is only one  
 241 hydrodynamic instability, and it is a convective instability.



**Fig. 6.** Growth rate of the unstable mode for a real wavenumber and for  $M = 0.3$ .



**Fig. 7.** Trajectories of the wavenumbers for  $\text{Re}(\omega) = 0.9271$  as  $\text{Im}(\omega)$  varies from  $-1.4$  to  $0$ . The arrow indicates the direction of increasing  $\text{Im}(\omega)$ .

### 242 3.3. Characterization of the instability from time-domain simulation

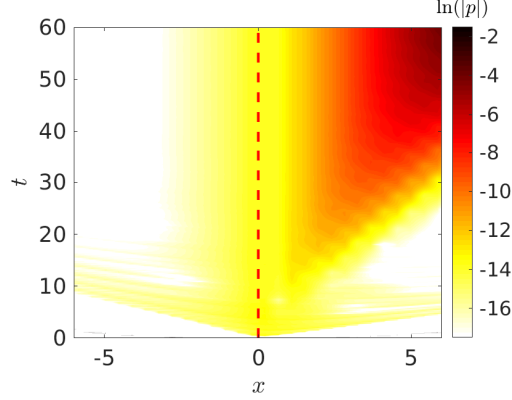
#### 243 3.3.1. Instability type

244 The type of the instability observed in the time-domain simulations can also be investigated by  
 245 considering acoustic propagation driven by a harmonic source with  $\omega = 0.9271$ . The logarithm of  
 246  $|p|$  on the lined wall  $z = 1$  varying with  $t$  and  $x$  is shown in Fig. 8. With a harmonic source, the  
 247 response of pressure has a constant value at  $x = 0$ . The attenuation by the liner can be noticed along  
 248 the upstream direction, and the hydrodynamic instability is observed in the downstream direction.  
 249 Once a steady-state is attained, the magnitude of the instability at a given location is not increasing  
 250 over time. It thus appears that the instability observed in the time-domain simulation is also a  
 251 convective one.

#### 252 3.3.2. Wavenumber

253 If the instability is convective and is the main contribution to the pressure field, the fluctuating  
 254 pressure will have the form:

$$p(x, z, t) = P_{\text{HI}}(z) e^{i\omega t} e^{-i\text{Re}(k_{\text{HI}})x} e^{\text{Im}(k_{\text{HI}})x}, \quad (14)$$



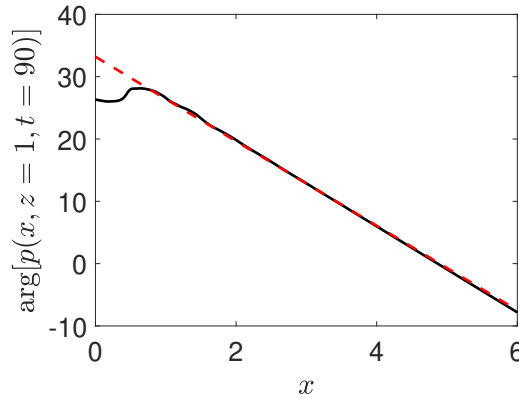
**Fig. 8.** Pressure response  $\ln |p(x, z = 1, t)|$  to a harmonic source at  $\omega = 0.9271$  obtained from the time-domain simulation for  $M = 0.3$ . The source position is indicated by the vertical dashed line.

255 where  $P_{\text{HI}}$  is the mode shape of the instability and  $k_{\text{HI}}$  is its wavenumber.

256 In this case, the phase of the complex pressure, denoted by  $\arg$  is given by:

$$\arg[p(x, z, t)] = -\text{Re}(k_{\text{HI}})x + \omega t + \arg[P_{\text{HI}}(z)]. \quad (15)$$

257 The real part of the instability wavenumber can then be estimated from the time-domain solution  
 258 through the axial evolution of the phase of the complex pressure. Fig. 9 shows  $\arg(p)$  along the  
 259 liner ( $z = 1$ ) at  $t = 90$ , for which it has been checked that the instability is well developed. The  
 260 phase has been unwrapped to ensure that  $\arg(p)$  is continuous. The estimated slope for  $2 \leq x \leq 6$   
 261 of the phase is  $-6.89$ , which is very close to the prediction of modal analysis  $\text{Re}(k_{\text{HI}}) = 6.78$ .



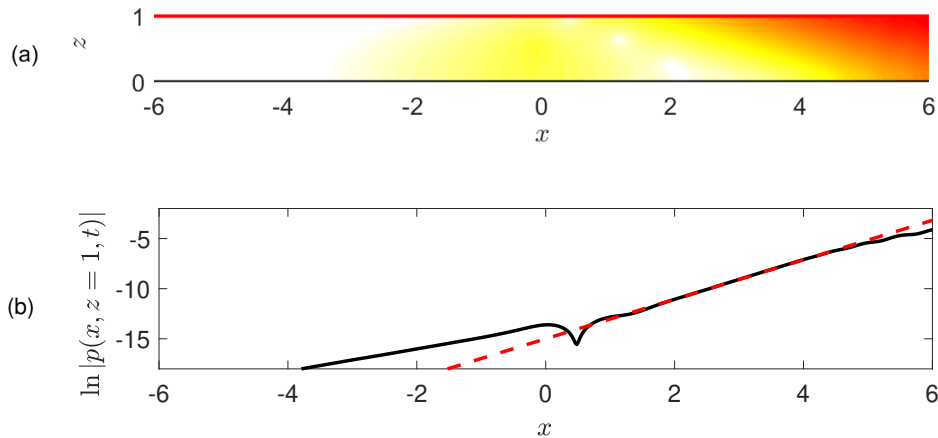
**Fig. 9.** Comparison of the phase of the pressure on the lined wall from the time-domain solution at  $t = 90$  — and from the analytical solution in Eq. (15) ( $\arg(p) \propto -6.78x$ ) - - - .

262 Similarly, the growth rate of the convective instability  $\text{Im}(k_{\text{HI}})$  in Eq. (14) can be calculated  
 263 from the logarithm of  $|p(x, z, t)|$ :

$$\ln |p(x, z, t)| = \text{Im}(k_{\text{HI}})x + \ln |P_{\text{HI}}(z)|. \quad (16)$$

264 A snapshot of  $\ln |p|$  at  $t = 90$  from the time-domain simulation is depicted in Fig. 10 (a). The

265 instability is seen growing from the source at the vicinity of the liner. Fig. 10 (b) shows the spatial  
 266 variation of  $\ln |p(x, z = 1, t = 90)|$ . A linear growth is observed downstream, where the instability is  
 267 the dominant contribution to the pressure field. The estimated slope for  $2 \leq x \leq 6$  is 1.99, which is  
 268 again in close agreement with the spatial growth rate predicted by modal analysis  $\text{Im}(k_{\text{HI}}) = 1.97$ .

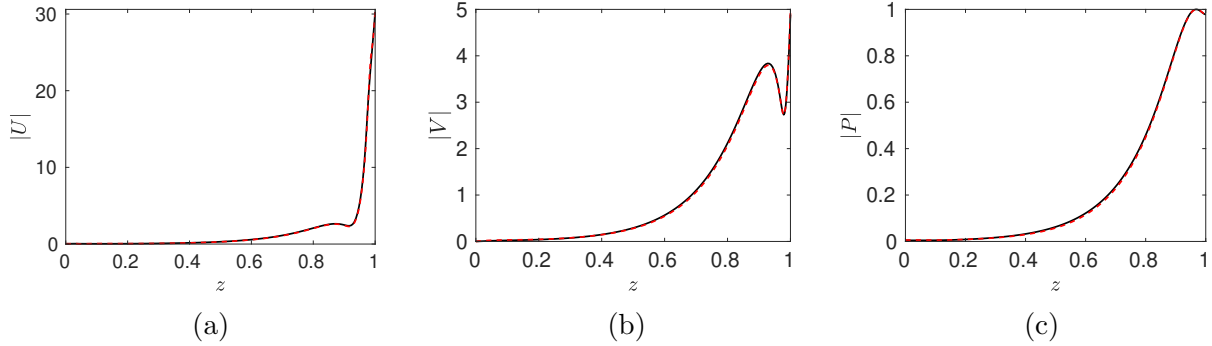


**Fig. 10.** (a) Snapshot of  $\ln |p|$  at  $t = 90$  and (b) comparison of the amplitude of the pressure on the lined wall from the time-domain solution  $\text{—}$  and from the analytical solution in Eq. (16) ( $\ln |p| \propto 1.97x$ )  $\text{- - -}$ .

269 Another example for a different frequency  $\omega = 0.4636$  can be found in Appendix B. This shows  
 270 the viability of the time-domain method in simulating the instability.

### 271 3.3.3. Mode shapes

272 The mode shapes  $P$ ,  $U$  and  $V$  of the instability determined from modal analysis are compared  
 273 with the vertical profiles of  $p$ ,  $u$  and  $v$  calculated from the time-domain solution in Fig. 11. The  
 274 results of the time-domain solution correspond to the section  $x = 4$  at  $t = 90$ , for which it has been  
 275 checked that the solution is not changing after additional time iterations. When the time-domain  
 276 solution is in a steady-state, the vertical profiles of  $p$ ,  $u$  and  $v$  should hold similar shapes regardless  
 277 of  $x$ -position and time iteration. For comparison, the mode shapes of the instability and the vertical  
 278 profiles of  $p$ ,  $u$  and  $v$  are normalized by the maximum of the pressure modulus. The peaks of the  
 279 amplitudes are observed near the lined wall. Then they gradually decrease to a small value on the  
 280 rigid wall. The match between modal analysis and the time-domain solution is remarkable.



**Fig. 11.** Comparison of the mode shape of the instability for (a)  $U(z)$ , (b)  $V(z)$  and (c)  $P(z)$  — determined from the time-domain solution at  $x = 4$  and  $t = 90$  and - - - calculated by modal analysis.

#### 281 4. Convergence of instability

282 Previous studies on the same topic, i.e., instabilities appearing during sound propagation in a  
 283 lined flow duct, have investigated the impact of spatial filters and grid resolution on the instabilities.  
 284 It was concluded that selective filtering is effective to remove or partly remove the instability [34, 3]  
 285 and that the use of fine grids can reinforce the instability [27, 2], in particular when using the Myers  
 286 impedance boundary condition instead of resolving the boundary layer as done here. Therefore,  
 287 the effects of both factors on the hydrodynamic instabilities are studied.

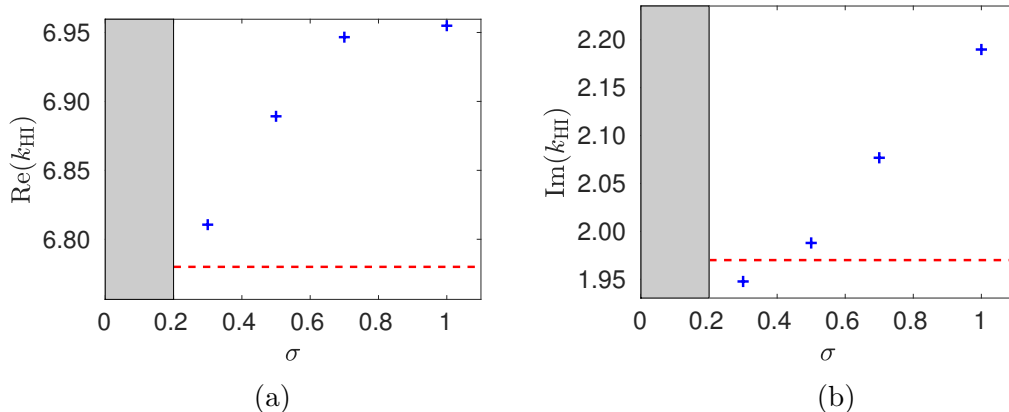
##### 288 4.1. Effect of the filtering strength

289 In the time-domain simulations, a selective filter of strength  $\sigma$  is applied on the whole domain  
 290 to remove the grid-to-grid oscillations. In the previous sections,  $\sigma$  was set to 0.5. Simulations are  
 291 now performed with different values of  $\sigma$  in order to study how the properties of the hydrodynamic  
 292 instability are modified.

293 Fig. 12 shows the real and imaginary parts of the instability wavenumber obtained with the  
 294 time-domain solutions as a function of  $\sigma$ . The results are compared with the reference wavenumber  
 295 obtained by modal analysis. When the strength of selective filter is between 0.7 and 1, the strong  
 296 dissipation of the selective filter results in a noticeable deviation between the reference result and  
 297 the time-domain prediction. In particular, the growth rate of the instability is overestimated. As  $\sigma$   
 298 decreases,  $\text{Re}(k_{\text{HI}})$  and  $\text{Im}(k_{\text{HI}})$  start to approach the reference wavenumber. The value of  $\sigma$  does  
 299 not make a significant difference to  $\text{Re}(k_{\text{HI}})$  and  $\text{Im}(k_{\text{HI}})$ , when it is in the range between 0.2 and  
 300 0.5. For  $\sigma$  below 0.2, spurious numerical waves with a short wavelength are rapidly growing near  
 301 the duct walls and the simulations become unstable instantly. Therefore, for accurately predicting  
 302 the hydrodynamic instability, the filtering strength should be chosen as small as possible while  
 303 sufficiently large to stabilize the numerical calculation. A value of  $\sigma$  between 0.2 and 0.5 seems to  
 304 be a good compromise considering the time step used in the time-domain simulations.

##### 305 4.2. Effect of the mesh size along the duct height

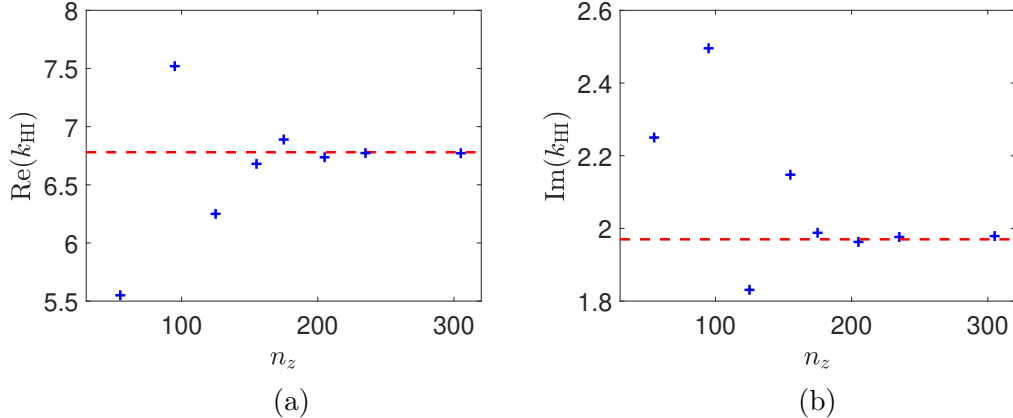
306 Along the  $x$ -direction, centered high-order finite-difference schemes, with dispersion-relation  
 307 preserving properties, are employed. It is assumed that the mesh size  $\Delta x$  is small enough so that  
 308 the dispersion properties of the instability are well-reproduced. In particular, it has been checked



**Fig. 12.** (a) Real and (b) imaginary parts of the instability wavenumber  $+$  estimated from the time-domain solution as a function of the filtering strength  $\sigma$  and  $- - -$  predicted by modal analysis. The grey region indicates unstable simulations.

309 that  $k^*(k_{\text{HI}})$  is very close to  $k_{\text{HI}}$ , where  $k^*$  is the effective wavenumber of the centered finite-  
 310 difference scheme employed here. No particular effect in reducing  $\Delta x$  is thus expected. The grid  
 311 along the  $z$ -direction is anticipated to have more impact on the instability. Indeed, non-centered  
 312 schemes are used near the walls, which enhance dispersion. They can amplify or dissipate even  
 313 constant-amplitude waves, contrary to centered schemes. In addition, the instability is directly  
 314 related to the mean flow profile through  $u_0$  and  $du_0/dz$ . The discretization of the mean flow  
 315 profile, in particular within the boundary layer, is expected to play a role in the results.

316 Fig. 13 shows the impact of the number of grid points along the  $z$ -direction  $n_z$  on the real  
 317 and imaginary parts of the instability wavenumber. For  $n_z \leq 155$ , the deviations of  $\text{Re}(k_{\text{HI}})$  and  
 318  $\text{Im}(k_{\text{HI}})$  estimated from the time-domain simulations and the modal analysis are noticeable. Note  
 319 that the mesh is already fine enough for  $n_z = 55$  to precisely calculate the acoustic propagation.  
 320 As shown in [57], the pressure of instabilities should decay exponentially away from the lining  
 321 as  $\exp[-\text{Re}(\mu)(1-z)]$  with  $\mu^2 = k^2 - (\omega - Mk)^2$ . In the present case,  $\text{Re}(\mu) = 6.69$  so that  
 322 the number of points per wavelength is about  $2\pi n_z / \text{Re}(\mu) \approx 52$ , which is very large. However,  
 323 that is still not sufficient to precisely calculate the hydrodynamic instability. As  $n_z$  increases, the  
 324 features of hydrodynamic instability can be captured and the wavenumber gradually approaches  
 325 the prediction of modal analysis. With  $n_z \geq 175$  the time-domain solution is deemed converged.



**Fig. 13.** (a) Real and (b) imaginary parts of the instability wavenumber  $+$  estimated from the time-domain solution as a function of the number of grid points along the duct height  $n_z$  and  $- - -$  predicted by modal analysis.

## 326 5. Suppression of the hydrodynamic instability

327 In all cases tested with realistic Mach numbers, it was not possible to prevent the appearance  
 328 of the instability using coarse grids and increased selective filter strength. We turned therefore to  
 329 the methods based on the stabilization via modification of the underlying equations. Given the  
 330 similar performance of many of these methods in turbulent jets [44], we opted for the gradient term  
 331 suppression (GTS) method due to its simplicity and performance.

332 In the case that the acoustic field is generated by an impulsive source, as in the present case,  
 333 it may be possible to separate the acoustic and instability components in time through a temporal  
 334 window. This would allow to account for the full LEE, but it is of use only when the instability  
 335 is weak enough. In general, a robust suppression strategy is needed with a minimal impact on  
 336 acoustic propagation.

### 337 5.1. Partial GTS

338 A natural generalization of the original GTS method is used here to suppress the instability,  
 339 consisting of a partial suppression of the gradient term instead of a complete suppression. Eqs. (1)  
 340 are modified by adding a coefficient  $\epsilon$  in front of the term of  $du_0/dz$ . Therefore, Eq. (1b) turns to

$$\frac{\partial u}{\partial t} + u_0 \frac{\partial u}{\partial x} + \epsilon \frac{du_0}{dz} v + \frac{\partial p}{\partial x} = 0 \quad (17)$$

341 where  $\epsilon$  adjusts the strength of the mean flow gradient term and ranges from 1 to 0.

342 Combining Eqs. (1a), (17) and (1c) leads to the wave equation:

$$\frac{D}{Dt} \left( \frac{D^2 p}{Dt^2} - \nabla^2 p \right) + (\epsilon + 1) \frac{du_0}{dz} \frac{\partial^2 p}{\partial x \partial z} = \frac{D^2 Q}{Dt^2} \quad (18)$$

343 where  $D/Dt = \partial/\partial t + u_0 \partial/\partial x$  is the material derivative. In particular, the Lilley's equation  
 344 is recovered for  $\epsilon = 1$ . The decrease of  $\epsilon$  from 1 to 0 is thus equivalent to transforming the  
 345 original Lilley's equation, which sustains unstable modes, to a simplified form of Lilley's equation  
 346 which appears to be inherently stable [35]. In addition, decreasing  $\epsilon$  from 1 to 0 diminishes the



347 refraction term proportional to  $du_0/dz$  in the original Lilley's equation by a factor of two. A gradual  
 348 suppression of the instability is then expected when diminishing the value of  $\epsilon$ .

349 Another remarkable value is  $\epsilon = -1$  for which Eq. (18) corresponds to the material derivative of  
 350 the convected wave equation. However, Eq. (18) is already stable for  $\epsilon = 0$ . In addition, it will be  
 351 shown that the prediction of the acoustic propagation tends to be deteriorated with the decrease  
 352 of  $\epsilon$ . Therefore, the study is hereafter restricted to  $\epsilon \in [0, 1]$ .

The GTS method is expected to provide an accurate prediction of acoustic propagation at high frequencies [35]. This can be explained by conducting an order of magnitude analysis. The order of the magnitude of each term in Eq. (1b) is as follows:

$$\frac{Du}{Dt} \sim (\omega - Mk)\hat{u}, \quad \frac{du_0}{dz}v \sim \frac{M}{\delta}\hat{v}, \quad \frac{\partial p}{\partial x} \sim k\hat{p},$$

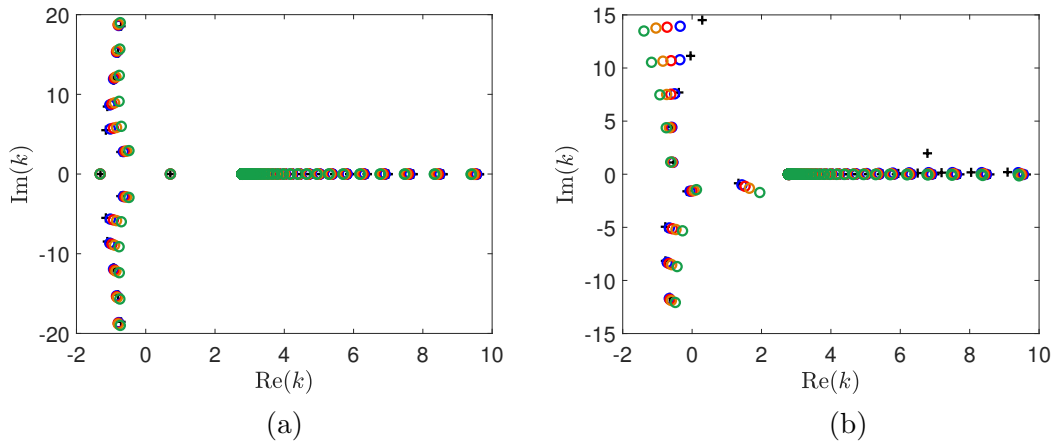
353 where for acoustic modes the wavenumber is in the order of the frequency  $k \sim \omega$  and the acoustic  
 354 variables are in the same order of magnitude  $\hat{u} \sim \hat{v} \sim \hat{p}$ . The mean flow gradient term in Eq. (1b)  
 355 can be neglected if

$$\left|v \frac{du_0}{dz}\right| \ll \left|\frac{\partial p}{\partial x}\right|, \left|\frac{Du}{Dt}\right|. \quad (19)$$

356 which, considering the orders of magnitude above, shows that the mean flow gradient term is  
 357 expected to have a negligible effect on the acoustic propagation for  $\omega\delta \gg M$ . This explains why  
 358 the high frequency components are less impacted by the partial GTS method.

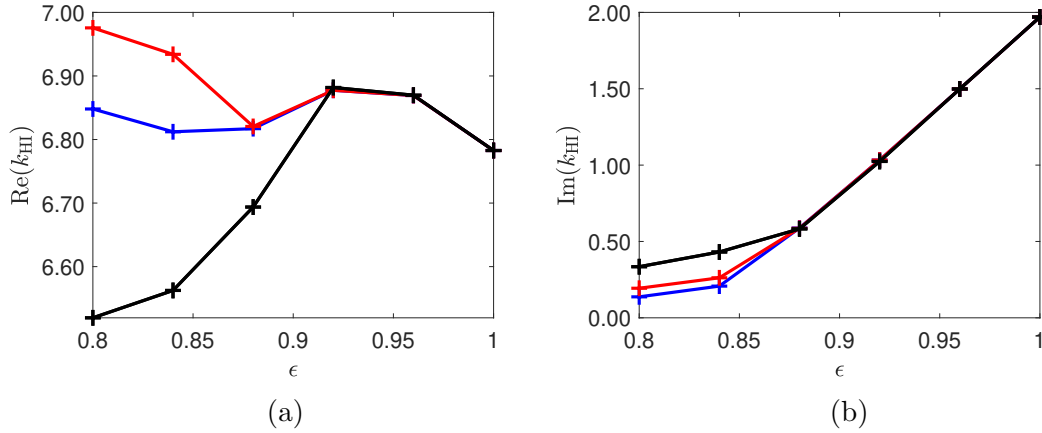
### 359 5.2. Effect on the wavenumber and the mode shape

360 The wavenumbers are studied when  $\epsilon$  varies from 1 to 0. Fig. 14 shows the wavenumbers in  
 361 the  $k$ -plane determined by modal analysis for different values of  $\epsilon$  for the rigid and partially lined  
 362 duct. It is first noticed that modifying  $\epsilon$  tends to move all the modes in the complex  $k$ -plane. The  
 363 instability for the lined duct, observed for  $\epsilon = 1$ , is not seen for the smaller values of  $\epsilon$ , which shows  
 364 that a small decrease of  $\epsilon$  is sufficient to suppress the instability. Also, the wavenumbers for the  
 365 rigid duct are less sensitive to  $\epsilon$  than for the lined duct.



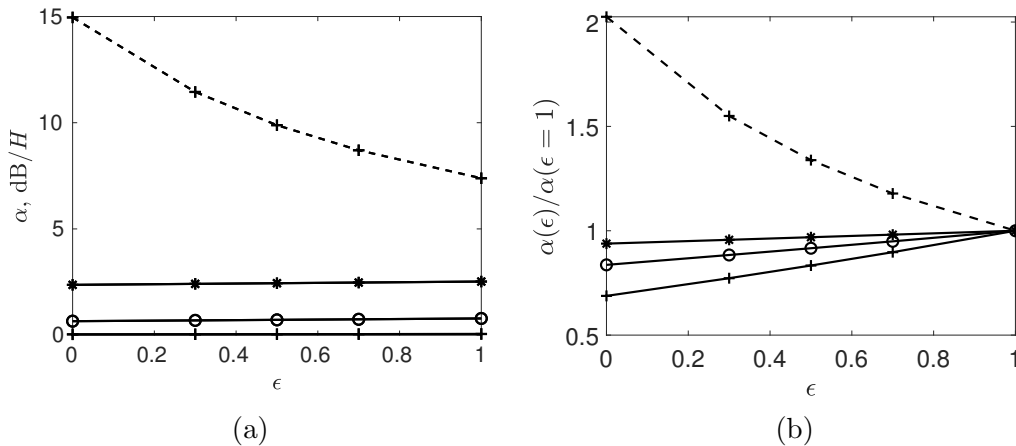
**Fig. 14.** Wavenumbers in the  $k$ -plane at  $\omega = 0.9271$  for a duct (a) with two rigid walls, (b) with one rigid wall and for different strengths of gradient term:  $+$   $\epsilon = 1$ ,  $\bullet$   $\epsilon = 0.7$ ,  $\circ$   $\epsilon = 0.5$ ,  $\circ$   $\epsilon = 0.3$  and  $\circ$   $\epsilon = 0$ .

366 To analyze more finely the effect of  $\epsilon$  on the instability, the variations of the instability wavenum-  
 367 ber are plotted as a function of  $\epsilon$  in Fig. 15. The results are shown for three different grid sizes  
 368 ( $N = 100, 200$  and  $300$ ). The growth rate of the unstable mode  $\text{Im}(k_{\text{HI}})$  decreases gradually with  
 369  $\epsilon$  which proves the stabilizing effect of decreasing  $\epsilon$ . It is interesting to note that for  $\epsilon \leq 0.94$ , the  
 370 wavenumber of the unstable mode starts depending on the grid size. There, the unstable mode  
 371 merges into the continuous spectrum of convected modes near the real  $k$ -axis.



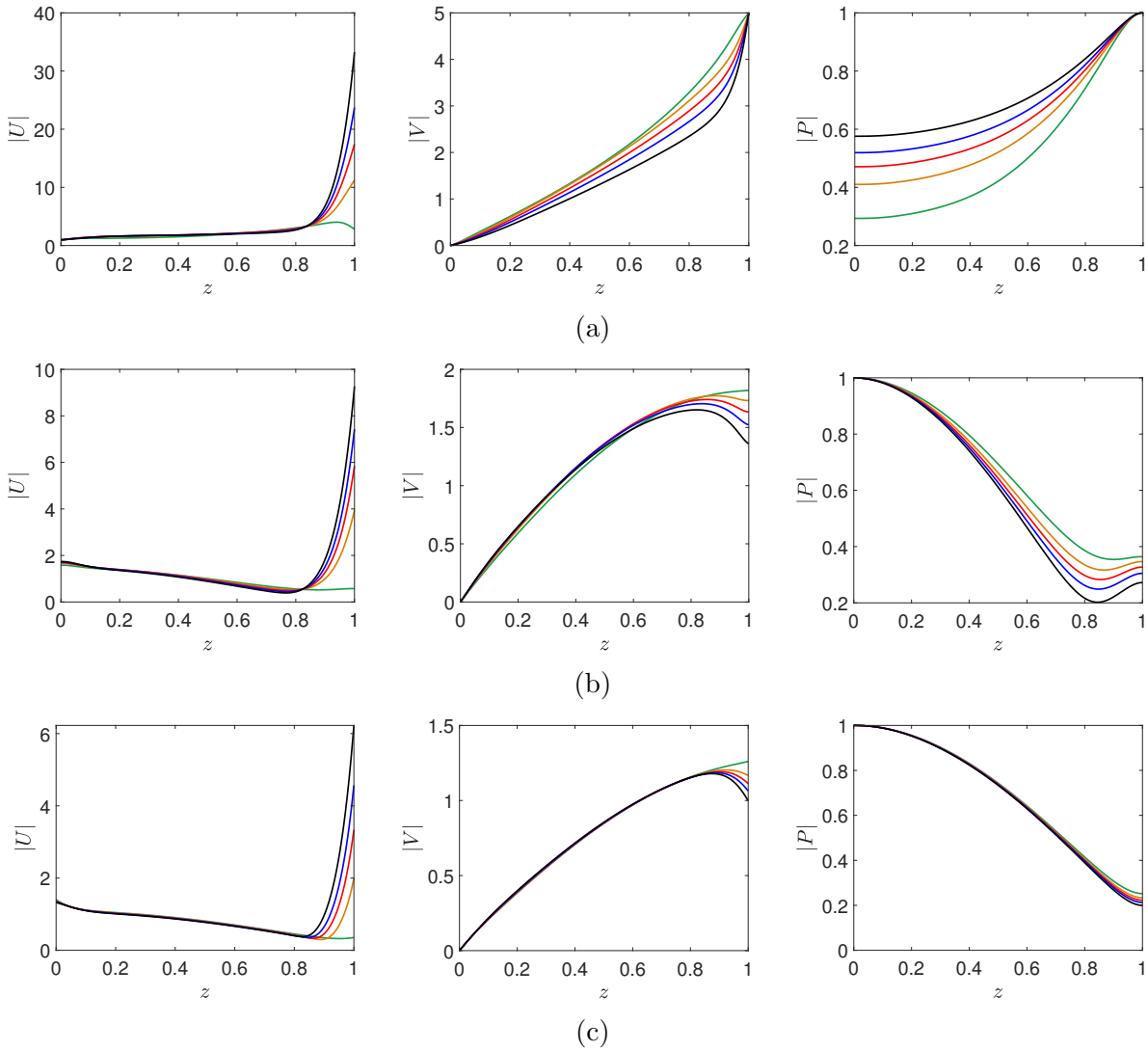
**Fig. 15.** Variations of the (a) real and (b) imaginary parts of the instability wavenumber  $k_{\text{HI}}$  as a function of  $\epsilon$  for different numbers of grid points:  $\blacksquare$   $N = 100$ ,  $\color{red}\blacksquare$   $N = 200$  and  $\color{blue}\blacksquare$   $N = 300$ .

372 Acoustic modes are also affected by reducing the parameter  $\epsilon$ . To quantify the impact of  
 373 the partial GTS method on the wavenumbers of the acoustic modes, the attenuation rate of the  
 374 propagative acoustic mode is provided in Fig. 16 (a). The attenuation rate  $\alpha$ , defined as  $\alpha =$   
 375  $20 \log_{10} e^{-\text{Im}(k)}$ , expresses the decrease per unit distance ( $H$ ) of the signal amplitude during the  
 376 propagation along the axial direction. For  $\omega = 0.9271$ , the attenuation rate of the plane wave mode  
 377 increases from 7.39 to 14.95 dB/ $H$  when  $\epsilon$  decreases from 1 to 0.



**Fig. 16.** (a) Attenuation rate of acoustic modes and (b) ratio of the attenuation rate determined for the partial GTS method to that for the original LEE for (dashed)  $\omega = 0.9271$  and (full)  $\omega = 9.271$ : + plane wave mode, o second downstream propagative mode and \* third downstream propagative mode.

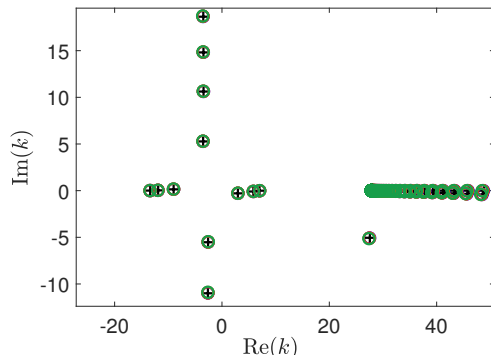
378 In addition, Fig. 17 depicts the mode shapes of the acoustic velocity components and pressure for  
 379 the plane wave mode and for the leading upstream and downstream evanescent modes for different  
 380 values of  $\epsilon$ . The modulus of all three variables are normalized by the maximum of  $|P|$ . Modifying  
 381  $\epsilon$  has an evident impact on  $|U|$  and  $|V|$ , noticeably near the lined wall. This effect is particularly  
 382 dramatic for  $|U|$ , whose peak on the lined wall decreases by a factor of 10 from  $\epsilon = 1$  to  $\epsilon = 0$ .  
 383 Also, a noticeable increase of  $|V|$  near the lined wall is observed as  $\epsilon$  decreases. Concerning the  
 384 pressure, the mode shape does not vary too much with  $\epsilon$ . For the plane wave mode, a decrease of  
 385 the amplitude is noticed near the rigid wall, while for the evanescent modes, the amplitude grows  
 386 gradually near the lined wall as  $\epsilon$  decreases.



**Fig. 17.** Mode shapes for the MSD liner at  $\omega = 0.9271$  and for several values of  $\epsilon$ : (a) plane wave mode and leading (b) downstream and (c) upstream evanescent modes for, from left to right, velocity components along  $x$  and  $z$ -direction and pressure: —  $\epsilon = 1$ , —  $\epsilon = 0.7$ , —  $\epsilon = 0.5$ , —  $\epsilon = 0.3$  and —  $\epsilon = 0$ .

387 The impact of the partial GTS method on the acoustic propagation at high Helmholtz numbers

388 is here studied. Fig. 18 provides the mode wavenumbers for  $\omega = 9.271$ , while keeping the same  
389 impedance and boundary layer thickness as for  $\omega = 0.9271$ . Three downstream propagative modes  
390 are noticed and no unstable mode is observed. The mode wavenumbers are less changed due to  
391 the partial GTS method compared with Fig. 14. The attenuation rates for the three downstream  
392 propagative modes are also plotted in Fig. 16 (a). The deviation caused by the gradient term  
393 suppression are respectively 0.017, 0.13 and 0.16 dB/H for the three modes. The ratio of the  
394 attenuation rate obtained with the partial GTS method to that determined with the original LEE  
395 is also shown in Fig. 16 (b). It is seen that the largest changes of attenuation rate occur for low  
396 Helmholtz numbers.



**Fig. 18.** Wavenumbers in the  $k$ -plane at  $\omega = 9.271$  for a lined duct and for several values of  $\epsilon$ :  $+$   $\epsilon = 1$ ,  $\circ$   $\epsilon = 0.7$ ,  $\times$   $\epsilon = 0.5$ ,  $\circ$   $\epsilon = 0.3$  and  $\circ$   $\epsilon = 0$ .

### 397 5.3. NASA GIT benchmark

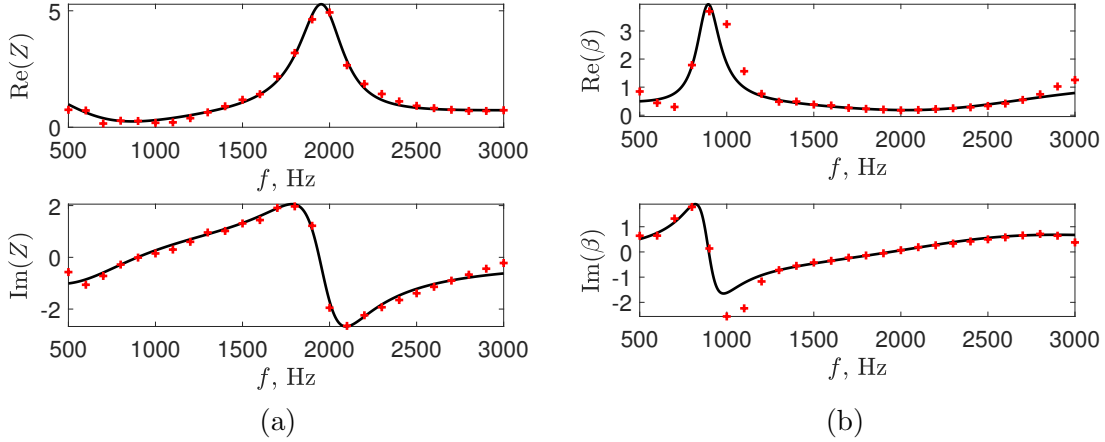
398 The GTS method is now applied to the GIT benchmark problem [58], which is widely used  
399 for validation of aeroacoustic solvers of lined ducts under plane wave propagation. A number of  
400 numerical studies have reported the existence of an instability at 1 kHz for this problem [16, 59, 28].  
401 The tube has a dimension of  $0.8128 \times 0.0508 \times 0.0508$  m. The upper wall is lined with a ceramic  
402 tubular liner (CT57) with a length of 0.406 m. The sound pressure level (SPL) and phase of acoustic  
403 waves have been measured at various Mach numbers and for frequencies from 500 Hz to 3 kHz.

404 The source is installed ahead of the section  $x = 0$  at a distance of 0.05 m. The mean velocity  
405 profile is given by Eq. (2), with a Mach number of 0.335 and a boundary layer thickness equal  
406 to 2 % of the duct height. A grid of 55 points is used along the  $z$ -direction, while the grid size  
407 along the  $x$ -direction is  $\Delta x = 0.0011$  m. The time step has been set to  $2 \times 10^{-6}$  s. The broadband  
408 admittance of the liner (see. Eq. (6)) is obtained by a fit of the educed values provided by Jones *et*  
409 *al.* [58] for  $M = 0.335$ . In this case, two pairs of complex-conjugate poles are sufficient to have  
410 a good match from 500 Hz up to 3 kHz, as shown in Fig. 19. The coefficients of the obtained  
411 admittance model are given in Table 1.

412 Fig. 20 shows a series of successive snapshots of the pressure. The left and right columns  
413 correspond to the results without GTS ( $\epsilon = 1$ ) and full GTS ( $\epsilon = 0$ ), respectively. We focus first  
414 on the case without GTS. Once the incoming pulse encounters the liner leading edge, it splits into  
415 two wave fronts, a first one that is transmitted downstream and is progressively attenuated by the  
416 liner, and a second one that is reflected upstream. At the same time, the instability is generated

Table 1: Coefficients of the rational function for the normalized broadband admittance model of CT57

	$\alpha_i$	$\beta_i$	$B_i$	$C_i$	$Y_\infty$
$i = 1$	6135	-14886	-4584	3729	1.16
$i = 2$	507	5577	1788	-290	



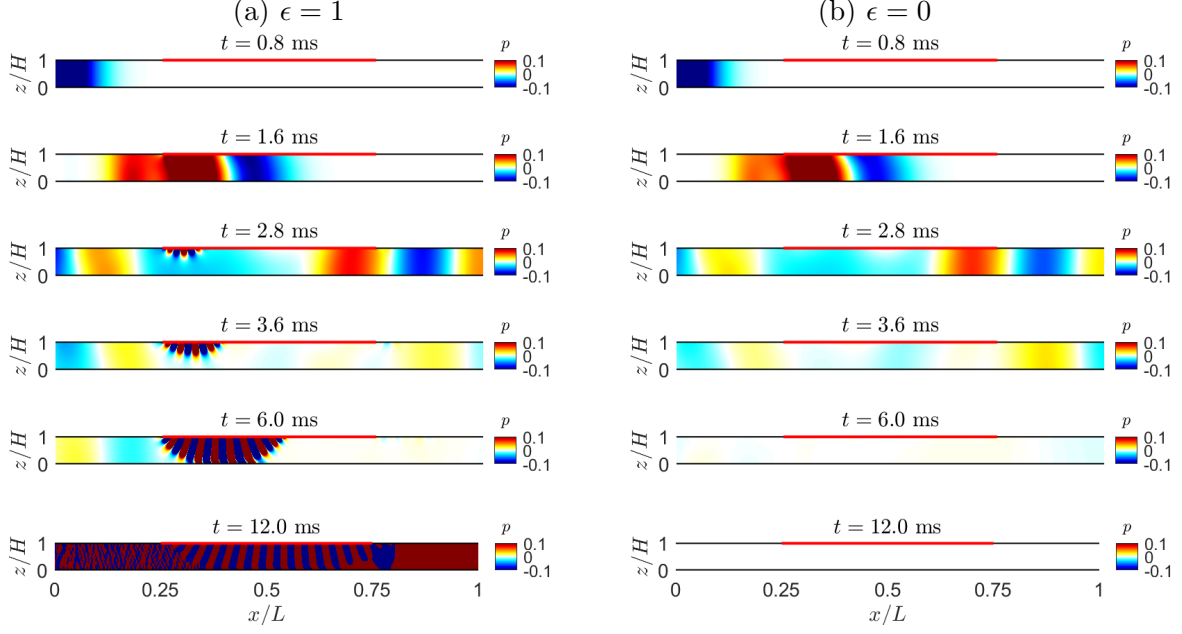
**Fig. 19.** (a) Impedance and (b) admittance of the CT57 liner: + NASA educed values and — fit obtained with the vector fitting algorithm.

417 at the leading edge of the liner. Briefly afterwards, when most of the transmitted and reflected  
 418 acoustic pulses have left the computational domain, the instability takes over in the entire lined  
 419 section, leading to a divergent pressure field. In the case of full GTS, the same overall behavior is  
 420 observed for the acoustic component. However, no instability is generated and the acoustic energy  
 421 falls to zero at increasing times.

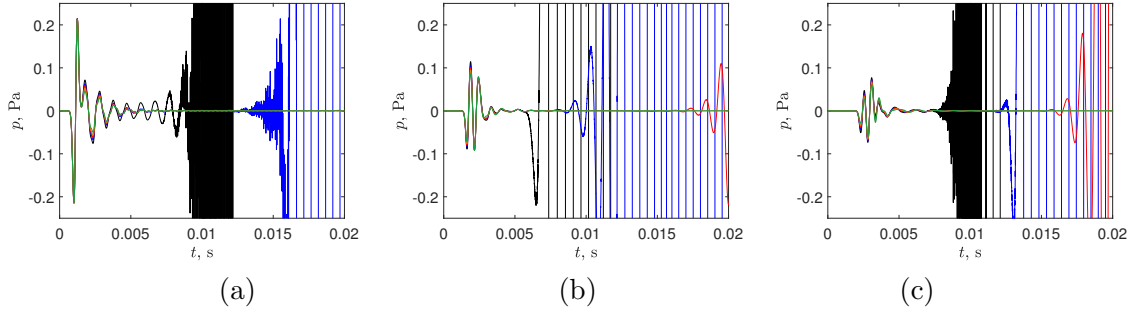
422 Even though the full GTS method shows encouraging results for suppressing instabilities, it  
 423 should be applied carefully. Indeed, it may not be always physically valid to ignore the instability.  
 424 Alomar *et al.* [9] showed experimentally a feedback mechanism between the instability and the  
 425 acoustic waves for a liner of finite length. The instabilities generated at the leading edge of the liner  
 426 are scattered into acoustic waves at the trailing edge; these acoustic waves propagate upstream and  
 427 trigger another instabilities once they are scattered at the leading edge. This feedback mechanism  
 428 can not be reproduced when the full GTS method is employed.

429 The time series of the pressure at three virtual microphones located on the rigid wall, at different  
 430 axial locations, are shown in Fig. 21 for several values of  $\epsilon$ . The successive appearance of the initial  
 431 acoustic pulse and the instability is observed at all three locations. The impact of  $\epsilon$  is mainly on  
 432 the instability component: decreasing values of  $\epsilon$  induces a delay in the emergence of the instability.  
 433 This is the expected behavior, as decreasing  $\epsilon$  causes a decrease of the amplification of the unstable  
 434 mode, and thus it takes more time for the instability to leverage the acoustic pressure levels. For  
 435  $\epsilon = 0.3$  and 0, no instability appears within the simulation time.

436 In order to obtain the frequency spectrum of the pressure field, a tapered cosine window is  
 437 applied to the pressure signals to discard the instability component, as shown in Fig. 22. The  
 438 Fourier transform of the filtered signals is then computed. Note that for the cases for which the  
 439 partial GTS method induces a delay in the appearance of the instability, the window could have



**Fig. 20.** Pressure map (Pa) along the duct at different times for (a)  $\epsilon = 1$  and (b)  $\epsilon = 0$ .

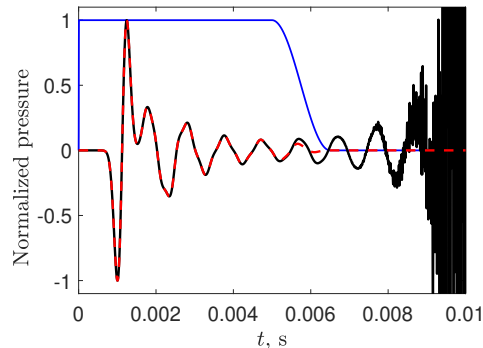


**Fig. 21.** Time series of pressure on the rigid wall at (a)  $x = 0.12L$ , (b)  $x = 0.5L$  and (c)  $x = 0.86L$  for:  $\blacksquare$   $\epsilon = 1$ ,  $\blacksquare$   $\epsilon = 0.7$ ,  $\blacksquare$   $\epsilon = 0.5$ ,  $\blacksquare$   $\epsilon = 0.3$  and  $\blacksquare$   $\epsilon = 0$ .

440 be chosen longer; moreover, for the cases for which the partial GTS allows a suppression of the  
 441 instability, the window is not necessary. However, for consistency, the same window is applied on  
 442 all the pressure signals and for all the cases considered.

443 The resulting SPL and phase of the acoustic pressure along the duct wall opposite to the liner  
 444 are shown in Fig. 23, at different frequencies and for different values of  $\epsilon$ . For comparison with the  
 445 NASA measurements, the curves are adjusted so that the SPL and the phase are 130 dB and  $0^\circ$ ,  
 446 respectively, at  $x = 0$ . Without GTS ( $\epsilon = 1$ ), some oscillations on the SPL and on the phase are  
 447 observed at 500, 1000 and 1500 Hz in the lined section. These are due to the difficulty in isolating the  
 448 acoustic contribution by simple windowing. Indeed, as seen in Fig. 21 (a) and (b), there is an overlap  
 449 near  $t = 0.005$  s between the acoustic pulse and the instability. An obvious deviation between the  
 450 simulations and the experimental results is noticed at 3 kHz. One of the reasons is that the window  
 451 function applied for calculating the Fourier transform does not account for the full acoustic signal.  
 452 It can also be partly explained by the proximity of the cut-off frequency of the rigid duct, which

453 induced a significant error in the impedance eduction of the liner in the GIT experiment. Overall,  
 454 the trends of both the SPL and the phase are insensitive to  $\epsilon$ . Decreasing  $\epsilon$  tends to underestimate  
 455 the pressure levels, especially downstream of the liner. The largest discrepancies are observed at  
 456  $f = 1$  and 3 kHz. For the other frequencies, the SPL predictions are within a few dBs from the  
 457 measured values.

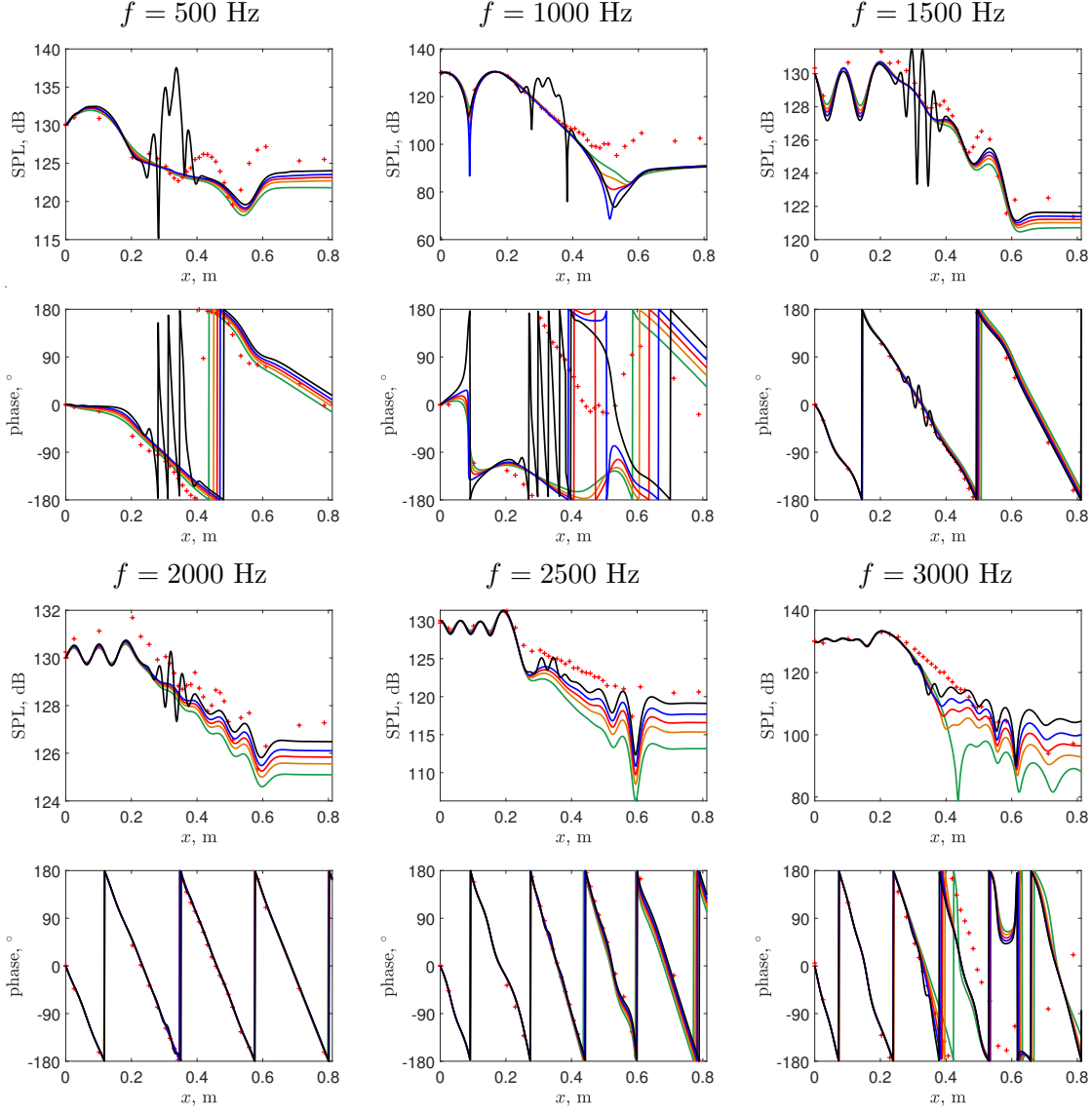


**Fig. 22.** Window function used for signal processing — blue — and example of (normalized) pressure signal at  $x = 0.12L$  before — black — and after windowing — red dashed —.

458 To assess the effectiveness of the GTS, another quantity of interest, namely the insertion loss  
 459 (IL), is considered. It is defined as the difference in acoustic power at the exit section  $x = L$  with  
 460 and without liner. Details on the calculation of the acoustic power from the time-domain numerical  
 461 solution can be found in Troian *et al.* [50]. Fig. 24 shows the frequency variations of IL calculated  
 462 for different values of  $\epsilon$ , as well as estimated from the NASA measurements. A peak is observed  
 463 close to the liner resonance (1.1 kHz) for  $\epsilon = 1$  and 0.7, in good agreement with the experimental  
 464 values. The impact of  $\epsilon$  on the IL is weak except in the immediate vicinity of the resonance and  
 465 also for  $f > 2.5$  kHz. Note that even if a significant increase of IL is observed for  $f > 2.5$  kHz when  
 466 decreasing  $\epsilon$ , a good match is achieved for  $\epsilon = 0.5$ . Figs. 21 and 23 show that, for this particular  
 467 case,  $\epsilon = 0.5$  seems to be the optimal value ensuring a good compromise between stable simulation  
 468 and accurate prediction. However, this is not a general conclusion, as the optimal value of  $\epsilon$  may  
 469 depend on the flow profile, the Mach number and the liner, among others, and may thus be different  
 470 from case to case.

471 The NASA GIT benchmark deals with small Helmholtz numbers ( $\omega \leq 2.8$ ). To examine the  
 472 performance of the partial GTS method for high Helmholtz numbers, an additional set of time-  
 473 domain simulations are conducted for a duct whose height  $H = 0.508$  m is 10 times larger than  
 474 the one of the NASA GIT duct. The lengths of the duct and of the liner are also increased, with  
 475 respective values of 4.06 m and 2.03 m. The boundary layer thickness of the mean flow remains  
 476 equal to 2 % of the duct height. The numerical parameters are the same as before except the  
 477 number of grid points along the  $z$ -direction, set to  $n_z = 175$ .

478 The time series of the pressure at  $x = 0.5L$  on the rigid wall of the large duct are presented for  
 479 several values of  $\epsilon$  in Fig. 25. Compared with the waveforms for the smaller duct in Fig. 21, the  
 480 signals are more complex because of the multimodal propagation. Instabilities are only observed  
 481 for  $\epsilon = 1$  and do not appear when the partial GTS method is applied. A view on the pressure  
 482 response for  $0.004 \text{ s} < t < 0.01 \text{ s}$  is shown in Fig. 25 (b). The signals for the several values of  $\epsilon$  are  
 483 almost superimposed even if the amplitude of the waveform is slightly reduced as  $\epsilon$  decreases.

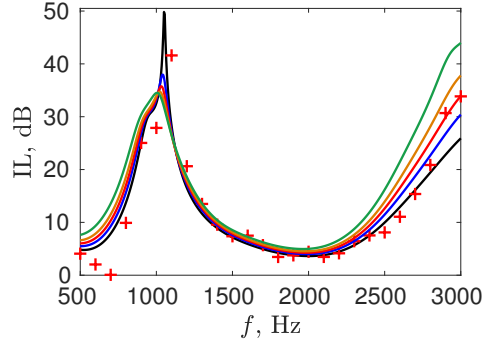


**Fig. 23.** SPL and phase of the acoustic pressure along the duct for  $M = 0.335$  and for different values of  $\epsilon$ :  $\epsilon = 1$ ,  $\epsilon = 0.7$ ,  $\epsilon = 0.5$ ,  $\epsilon = 0.3$ ,  $\epsilon = 0$ , + NASA experiments.

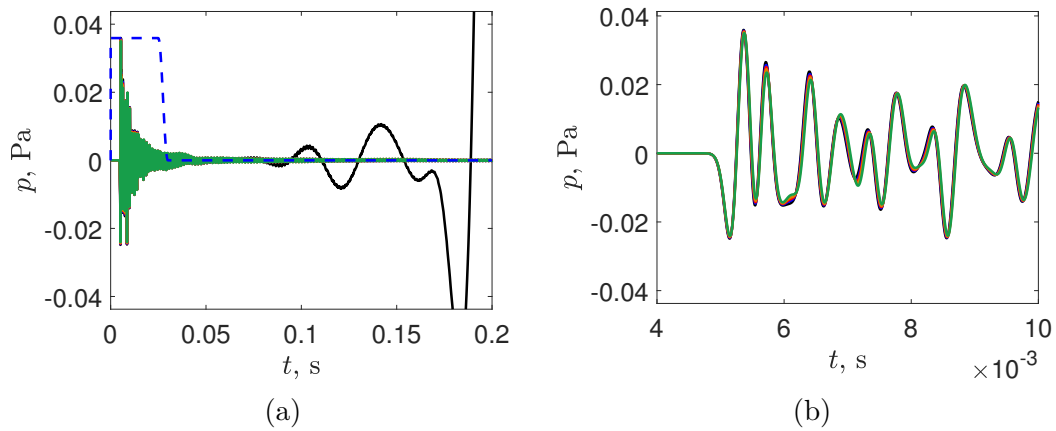
484 The SPL and phase along the large duct on the wall opposite to the liner are provided for three  
 485 frequencies and for several values of  $\epsilon$  in Fig. 26. A window function, shown in Fig. 25 (a) is also  
 486 applied to avoid the influence of instabilities and is wider than the one shown in Fig. 22. Compared  
 487 with the results for the NASA GIT duct shown in Fig. 23, the differences due to the suppression  
 488 of the gradient term are relatively small.

489 Finally, the powers  $W$  at the exit plane  $x = L$  are compared for several values of  $\epsilon$  and for both  
 490 ducts. Fig. 27 shows the power difference  $\Delta W$ , which is defined as  $\Delta W = 10 \log_{10}[W(\epsilon)/W(\epsilon = 1)]$ ,  
 491 where  $W(\epsilon)$  is the power when the partial GTS method is applied and  $W(\epsilon = 1)$  is the power  
 492 calculated from the original LEE solution. For the NASA GIT case,  $\Delta W$  has a large peak near  
 493  $f = 1.1$  kHz due to the suppression of the instability and has largest variations near  $f = 3$  kHz.



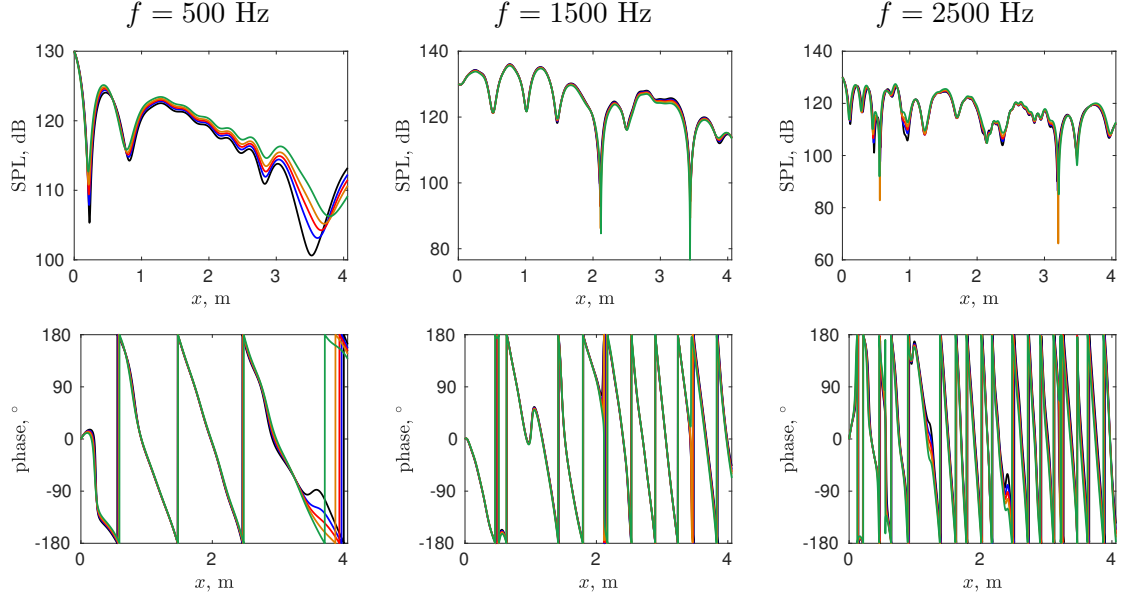


**Fig. 24.** Insertion loss for  $M = 0.335$  and for: —  $\epsilon = 1$ , —  $\epsilon = 0.7$ , —  $\epsilon = 0.5$ , —  $\epsilon = 0.3$ , —  $\epsilon = 0$ , + NASA experiments.

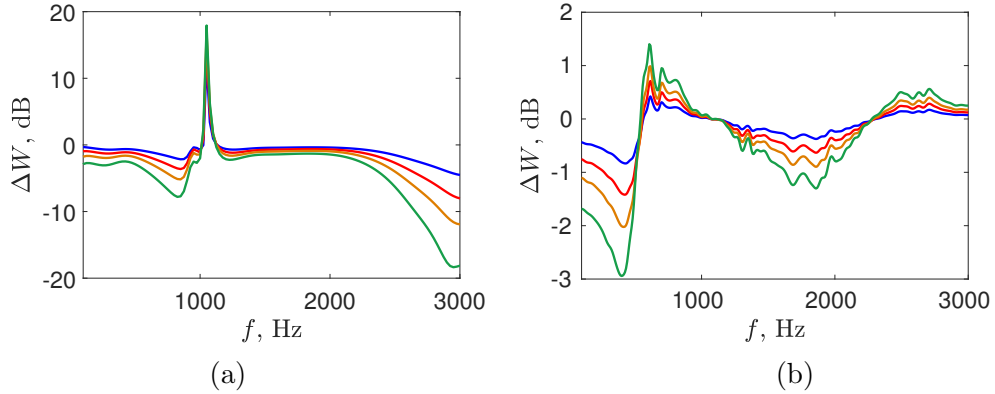


**Fig. 25.** (a) Time series of pressure on the rigid wall of the large duct at  $x = 0.5 L$  and (b) view for  $0.004 \text{ s} \leq t \leq 0.01 \text{ s}$  for —  $\epsilon = 1$ , —  $\epsilon = 0.7$ , —  $\epsilon = 0.5$ , —  $\epsilon = 0.3$  and —  $\epsilon = 0$ . The dashed line shows the shape of the window function used in signal processing.

494 For the large duct,  $\Delta W$  takes much smaller values. Over the entire frequency band of interest, it  
 495 is smaller than 3 dB for the full GTS method and than 1 dB for the partial GTS method and for  
 496  $\epsilon = 0.7$ . The results shown in this section confirm that the partial GTS method noticeably impacts  
 497 the acoustic propagation for low Helmholtz numbers but should be acceptable for large Helmholtz  
 498 numbers.



**Fig. 26.** SPL and phase of the acoustic pressure along the large cross-section duct for  $M = 0.335$  and for different values of  $\epsilon$ : —  $\epsilon = 1$ , —  $\epsilon = 0.7$ , —  $\epsilon = 0.5$ , —  $\epsilon = 0.3$ , —  $\epsilon = 0$ .



**Fig. 27.** Power difference  $W(\epsilon)/W(\epsilon = 1)$  as a function of the frequency (a) for the NASA GIT duct and (b) for the large duct and for different values of  $\epsilon$ : —  $\epsilon = 0.7$ , —  $\epsilon = 0.5$ , —  $\epsilon = 0.3$ , —  $\epsilon = 0$ .

## 499 6. Conclusion

500 An analysis of the partial GTS method for suppressing hydrodynamic instabilities in a lined  
501 flow duct has been performed. The case of a convective instability generated in a lined flow duct  
502 was first illustrated. The instability was calculated by solving the linearized Euler equations with a  
503 finite-difference time-domain approach. The characteristics of the instability were compared with  
504 the predictions of modal analysis. It was shown that both methods indicate that the instability is  
505 convective. Perfect matches of wavenumber and mode shapes were also obtained. The effect of the  
506 grid resolution and selective filter, which have been reported in the literature to have a significant  
507 impact on the instabilities, was investigated. It turns out that very fine grids, compared with what  
508 is required for acoustic waves, are needed for precisely calculating the instability.

509 The partial GTS method for suppressing the instability was then assessed. The impact of  
510 diminishing the mean flow gradient term on the modes has been investigated. The unstable mode  
511 turns to be highly sensitive to the mean flow gradient term. The acoustic modes are also greatly  
512 altered for small Helmholtz numbers, but are less affected for high Helmholtz numbers. The partial  
513 GTS method was finally applied on the NASA GIT benchmark. The effectiveness of this method  
514 has been shown for removing the instabilities in time-domain simulations. The SPL was however  
515 underestimated by several dBs for certain frequencies. In particular, a total suppression of the  
516 mean flow gradient term seems too severe to accurately predict sound propagation in a lined flow  
517 duct, while a partial suppression of this term seems to provide an acceptable prediction, especially  
518 in the high frequency range.

519 In addition, even if removing instabilities allows for a stable numerical calculation, it may be,  
520 in particular cases, not physically valid: as an example, the feedback mechanism between the  
521 instability and acoustic waves for a liner of finite length discussed in Alomar and Aurégan [9] could  
522 not be reproduced when the instability is suppressed.

523 Future works can be conducted to study an absolute instability in the time-domain approach.  
524 Since the effectiveness of the partial GTS method has been proved, other techniques proposed for  
525 dealing with shear instabilities [40, 41, 42, 44, 43] can also be considered.

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## 532 Appendix A. Matrices of the discretized eigenvalue problem

533 This appendix details the discretization of the eigenvalue problem to obtain the mode wavenum-  
534 ber and mode shapes. The number of grid points is denoted by  $N$ . Eq. (11) could be rewritten as:  
535  $\mathbf{A}\mathbf{X} = \frac{k}{\omega}\mathbf{B}\mathbf{X}$  with  $\mathbf{X}$  being the column vector of unknowns at the grid points:

$$\mathbf{X} = [U(z_1) \cdots U(z_N) (P - V)(z_1) \cdots (P - V)(z_N) (P + V)(z_1) \cdots (P + V)(z_N)]^T \quad (\text{A.1})$$

536 where  $(z_i)_{1 \leq i \leq N}$ , with  $z_1 = 0$  and  $z_N = 1$ , are the grid points. At the boundary points, the  
537 variables should satisfy both the LEE equations and the boundary conditions. To avoid having an  
538 over-constrained system, some information must be discarded. The use of characteristic variables  
539 allows one to make a suitable choice. Thus, at  $z = 0$ , the incident wave on the boundary is  $P - V$   
540 and the reflected wave is  $P + V$ . Therefore,  $P + V$  is determined with the boundary condition  
541 and not from the LEE. Similarly, at  $z = 1$ , the incident and reflected wave are  $P + V$  and  $P - V$ ,  
542 respectively and, hence,  $P - V$  is determined with the boundary condition.

Bringing the LEE equations and the boundary conditions together lead to the  $3N \times 3N$  matrices:

$$\mathbf{A} = \left[ \begin{array}{c|c|c} \mathbf{I}_{(1:N),(1:N)} & -\frac{\epsilon}{2i\omega}(\mathbf{D}\mathbf{U}_0)_{(1:N),(1:N)} & \frac{\epsilon}{2i\omega}(\mathbf{D}\mathbf{U}_0)_{(1:N),(1:N)} \\ \hline \mathbf{0}_{N,N} & \left(\mathbf{I} - \frac{1}{i\omega}\mathbf{D}\right)_{(1:N-1),(1:N)} & \mathbf{0}_{N-1,N} \\ \hline 0 & \dots & 0 & -1 - \beta & 0 & \dots & 0 & 1 - \beta \\ \hline \mathbf{0}_{N,N} & 1 & 0 & \dots & 0 & -1 & 0 & \dots & 0 \\ \hline & & \mathbf{0}_{N-1,N} & & \left(\mathbf{I} + \frac{1}{i\omega}\mathbf{D}\right)_{(2:N),(1:N)} & & & & \end{array} \right] \quad (\text{A.2})$$

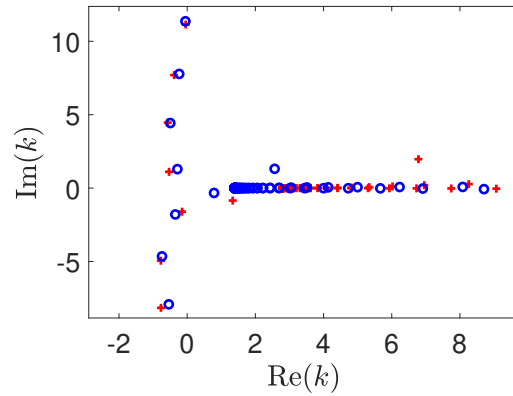
$$\mathbf{B} = \left[ \begin{array}{c|c|c} \mathbf{U}_0_{(1:N),(1:N)} & \frac{1}{2}\mathbf{I}_{(1:N),(1:N)} & \frac{1}{2}\mathbf{I}_{(1:N),(1:N)} \\ \hline \mathbf{I}_{(1:N-1,N)} & \mathbf{U}_0_{(1:N-1),(1:N)} & \mathbf{0}_{N,N} \\ \hline \mathbf{0}_{1,N} & \mathbf{0}_{1,N} & \\ \hline \mathbf{0}_{1,N} & & \mathbf{0}_{1,N} \\ \hline \mathbf{I}_{(2:N,N)} & \mathbf{0}_{N,N} & \mathbf{U}_0_{(2:N),(1:N)} \end{array} \right] \quad (\text{A.3})$$

544 where  $\mathbf{0}_{I,J}$  is the zero matrix with  $I$  rows and  $J$  columns and  $\mathbf{D}$  and  $\mathbf{I}$  are the differentiation and  
 545 identity matrix, respectively, both square matrices of size  $N$ . The matrix  $\mathbf{U}_0$  is a diagonal matrix  
 546 of size  $N$  with diagonal elements  $\mathbf{U}_0_{i,i} = u_0(z_i)$ . In addition, the notation  $\mathbf{M}_{(I:J),(K:L)}$  indicates  
 547 the submatrix of  $\mathbf{M}$  formed by rows  $I$  to  $J$  and columns  $K$  to  $L$ . Finally, the parameter  $\epsilon$  in matrix  
 548  $\mathbf{A}$  controls the mean flow gradient term and is equal to  $\epsilon = 1$  for the LEE equations and to  $\epsilon = 0$   
 549 for the full GTS method.

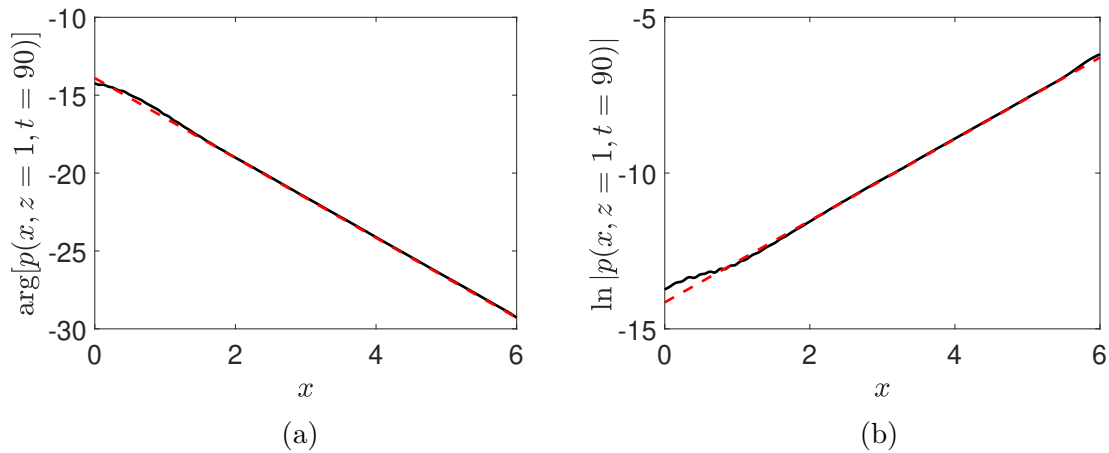
## 550 Appendix B. Comparison of the instability characteristics for another frequency

551 In this appendix, the comparison of the instability characteristics determined from the time-  
 552 domain numerical solution with those predicted by the modal analysis approach, done in Section 3  
 553 for  $\omega = 0.9271$ , is performed for another frequency, namely  $\omega = 0.4636$ . The objective is to confirm  
 554 the viability of the time-domain method in simulating the instability.

555 Fig. B.28 displays the wavenumbers of the modes determined by modal analysis for  $\omega = 0.4636$ .  
 556 The reference result  $\omega = 0.9271$  is also indicated. Note that the MSD liner model is still used  
 557 and the liner impedance at these two frequencies is different. The wavenumbers of the acoustic  
 558 propagating mode ( $k = 0.79 - 0.33i$ ) and the unstable mode ( $k_{\text{HI}} = 2.57 + 1.31i$ ) show the most  
 559 evident change. Compared with  $\omega = 0.9271$ , the spatial growth rate of the unstable mode decreases  
 560 only slightly. On the other hand, the wavelength becomes three times longer. Fig. B.29 shows the  
 561 evolution of  $\arg(p)$  and  $\ln|p|$  along the lined wall for  $\omega = 0.4636$  obtained from the time-domain  
 562 solution at  $t = 90$  and predicted with the analytical solution in Eq. (14), where the value of  $k_{\text{HI}}$   
 563 obtained from modal analysis has been used. Here again, an excellent match is observed.



**Fig. B.28.** Wavenumber obtained by modal analysis for  $\circ \omega = 0.4636$  and  $+ \omega = 0.9271$ .



**Fig. B.29.** Comparison of (a) the phase and (b) amplitude of the pressure on the lined wall for  $\omega = 0.4636$  from the time-domain solution at  $t = 90$  — and from the analytical solution - - - .

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