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Frédéric Coquel, Claude Marmignon, Pratik Rai, Florent Renac. An entropy stable high-order discontinuous Galerkin spectral element method for the Baer-Nunziato two-phase flow model. Journal of Computational Physics, 2021, 431, pp.110135. 10.1016/j.jcp.2021.110135. hal-03566182

## HAL Id: hal-03566182 https://hal.science/hal-03566182v1

Submitted on 13 Feb 2023  $\,$ 

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Version of Record: https://www.sciencedirect.com/science/article/pii/S0021999121000279 Manuscript\_b5c4a299e45838bcad5e3f79fdc09ca0



Contents lists available at ScienceDirect

## Journal of Computational Physics



journal homepage: www.elsevier.com/locate/jcp

# An entropy stable high-order discontinuous Galerkin spectral element method for the Baer-Nunziato two-phase flow model

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## ARTICLE INFO

Article history:

#### 2000 MSC: 65M12, 65M70, 76T10

Keywords: Compressible two-phase flows, Baer-Nunziato model, entropy stable scheme, discontinuous Galerkin method, summation-byparts

### ABSTRACT

In this work we propose a high-order discretization of the Baer-Nunziato twophase flow model (Baer and Nunziato, Int. J. Multiphase Flow, 12 (1986), pp. 861-889) with closures for interface velocity and pressure adapted to the treatment of discontinuous solutions, and stiffened gas equations of states. We use the discontinuous Galerkin spectral element method (DGSEM), based on collocation of quadrature and interpolation points (Kopriva and Gassner, J. Sci. Comput., 44 (2010), pp. 136-155). The DGSEM uses summation-byparts (SBP) operators in the numerical quadrature for approximating the integrals over discretization elements (Carpenter et al., SIAM J. Sci. Comput., 36 (2014), pp. B835-B867; Gassner et al., J. Comput. Phys., 327 (2016), pp. 39-66). Here, we build upon the framework provided in (F. Renac, J. Comput. Phys., 382 (2019), pp. 1-36) for nonconservative hyperbolic systems to modify the integration over cell elements using the SBP operators and replace the physical fluxes with entropy conservative fluctuation fluxes from Castro et al. (SIAM J. Numer. Anal., 51 (2013), pp. 1371-1391), while we derive entropy stable numerical fluxes applied at interfaces. This allows to prove a semi-discrete inequality for the cell-averaged physical entropy, while keeping high-order accuracy. The design of the numerical fluxes also formally preserves the kinetic energy at the discrete level. High-order integration in time is performed using strong stability-preserving Runge-Kutta schemes and we propose conditions on the numerical parameters for the positivity of the cellaveraged void fraction and partial densities. The positivity of the cell-averaged solution is extended to nodal values by the use of an a posteriori limiter. The high-order accuracy, nonlinear stability, and robustness of the present scheme are assessed through several numerical experiments in one and two space dimensions.

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#### 1. Introduction

Compressible two-phase flow models find extensive applications in engineering and physics. For instance, in the 2 aerospace industry, they are used to model the flow of a mixture of liquid kerosene and air through the combustion 3 chamber of jet engines, whereas, in the oil and gas industry they are used to model and simulate the extraction 4 of oil through pipelines. Elsewhere, in the nuclear industry these models are used to study and simulate the flow 5 inside a pressurized water reactor. One of the models commonly employed for the study of compressible two-phase 6 flows is the Baer-Nunziato model [5], which was originally proposed to describe the flow of a mixture of energetic 7 granular material embedded in gaseous combustion product. This was later modified and adapted to the study of 8 mixture of gas and liquid in [58, 18, 21, 28]. In general, the model is a two-velocity, two-pressure, two-temperature 9 system that describes two-phase flows in complete disequilibrium with respect to the chemical, mechanical, thermal, 10 and thermodynamic processes. The interaction between the phases are governed by the presence of nonconservative 11 products and zeroth order relaxation source terms. In this work we will neglect the source terms and limit ourselves 12 to the convective part of the model. However, the homogeneous model under consideration is fairly general using 13 closure laws for the interface velocity and pressure [18, 28] as well as stiffened gas equations of states (EOS) relevant 14 for flows with both liquid and gas phases. 15

The homogeneous Baer-Nunziato model is a system of first order, nonlinear, nonconservative partial differential 16 equations. The system is hyperbolic and may become weakly hyperbolic and even resonant. Hyperbolic systems 17 may generate discontinuous solutions in finite time even for smooth initial data, however, in the case of nonconser-18 vative systems, the definition of the nonconservative product is not unique at discontinuities in the classical sense of 19 distributions and leads to an ambiguity in the value of the product. Following the notion of the Rankine-Hugoniot 20 conditions from conservation laws, the jump conditions for nonconservative systems may be generalized and may be 21 either based on the choice of Lipschitz paths connecting separate states around discontinuities [20], or based on the 22 kinetic relations derived from the physical entropy [7]. Furthermore, uniqueness of the solution requires satisfying a 23 nonlinear stability condition, for a given convex entropy function, called the entropy condition [48]. 24

Numerical schemes that approximate hyperbolic systems should ideally recover admissible solutions by satisfying 25 a discrete entropy condition [48, 35]. This property of the numerical scheme is known as entropy stability. In the case 26 of conservation laws, Tadmor [62] provided the framework for entropy conservative and entropy stable numerical 27 fluxes which allow for either conservation or dissipation of entropy in space by three-point finite volume schemes. 28 This was extended to nonconservative systems in [51, 11] by the use of fluctuation fluxes and the theory of connecting 29 paths [20]. However, path-consistent schemes do not always converge to the right admissible solutions as the solutions 30 are dependent on the choice of path which defines the jump relation and hence the viscous profile used to attain entropy 31 stability [2, 13, 15]. Entropy stable schemes using fluctuation fluxes to discretize nonconservative hyperbolic systems 32 can be found in [37, 10, 54] and we refer to [49] for a review. 33

High-order accuracy of the numerical scheme is another exceedingly desirable quality that one seeks. Though not
 exhaustive, we refer to finite volume schemes using the path-consistent framework and either reconstruction operators
 [12], or central schemes [14]; to discontinuous Galerkin (DG) methods [57, 27, 26]; or to ADER methods [23, 22].
 Among these the DG methods have gained substantial popularity over the years. The semi-discrete form of the DG
 method is proven to satisfy an entropy inequality for square entropy functions in scalar conservation laws [43], which
 was extended to symmetric systems in [38].

In [31], Gassner and coauthors have proposed an entropy stable high-order scheme for the compressible Euler 40 equations using the discontinuous Galerkin spectral elements method (DGSEM), which was extended to general 41 conservation laws in [17]. They used the general framework for conservative elementwise flux differencing schemes 42 [25] satisfying a semi-discrete entropy inequality for the cell-averaged entropy. The DGSEM is based on collocation 43 of quadrature nodes with interpolation points using the Gauss-Lobatto quadrature rules [46]. The scheme was shown 44 to satisfy the summation-by-parts (SBP) property [29] for the discrete operators which allows to take into account 45 the numerical quadrature that approximates integrals compared to other techniques that require their exact evaluation 46 [43, 36, 37]. Such a form of the nodal DG method has found tremendous use in the development of entropy stable 47 high-order schemes for the compressible Euler equations [31, 17] and multicomponent Euler equations [55], the 48 shallow water equations [65], the magnetohydrodynamic (MHD) equations [50, 9, 66] and gradient flows [61]. In the 49 case of nonconservative systems, a semi-discrete framework was proposed in [54] based on the DGSEM formulation 50 that proves to be entropy stable and high-order accurate. 51

In the present work we utilize the framework from [54] and focus on the design of a high-order entropy stable scheme for the Baer-Nunziato model. This framework is here extended to systems that contain both space derivatives

in divergence form and nonconservative products, which is based on a direct generalization of the frameworks of 54 entropy stable finite volume schemes for conservation laws [62] and for nonconservative systems [11]. Such gener-55 alization has already been proposed for balance laws in [11]. This generalization allows the design of discretizations 56 that reduce to conservative schemes using conservative numerical fluxes when the nonconservative products vanish as 57 it is the case away from material fronts in the Baer-Nunziato model. Using this framework, we modify the integration 58 over cell elements using the SBP operator and replace the physical fluxes with two-point entropy conservative fluxes 59 in fluctuation form [11], while we use entropy stable fluxes at the cell interfaces [11, 54]. The entropy conservative 60 fluxes are derived by using the entropy condition [11], and we add upwind-type dissipation as advocated in [41] to 61 obtain the entropy stable numerical fluxes. Let us stress that such choice of numerical fluxes at interfaces is not 62 unique and may be replaced by other numerical fluxes from the literature that guaranty entropy stability and robust-63 ness [19, 37]. The scheme is also kinetic energy preserving at the discrete level. The present method is introduced in 64 one space dimension for the sake of clarity and we provide details on its extension to multiple space dimensions on 65 Cartesian meshes in the appendices. The extension of the DGSEM to quadrangles and hexahedra is direct and based 66 on tensor products of one-dimensional basis functions and quadrature rules. 67

We then focus on high-order integration in time for which we rely on strong stability-preserving explicit Runge-Kutta methods [60, 33] which are defined as convex combinations of first-order schemes and keep their properties under some condition on the time step. We analyze the properties of the fully discrete one-step scheme and derive explicit conditions on the time step and numerical parameters to maintain the positivity of the cell-averaged partial densities and a maximum principle for the cell-averaged void fraction. Positivity of the solution is then enforced at nodal values by the use of a posteriori limiters [67, 68]. Numerical tests in one and two space dimensions are finally performed to assess the properties of the present scheme.

The plan of the paper is as follows. Section 2 describes the Baer-Nunziato model and highlights its physical and mathematical properties. In section 3, we introduce the DGSEM framework and the semi-discrete scheme. The derivation of entropy conservative and entropy stable numerical fluxes are given in section 4. The properties of the scheme and the limiters are described in section 5. The results of the numerical experiments in one space dimension are presented in section 6, while those in two space dimensions are presented in section 7. Finally, concluding remarks on the present work are provided in section 8.

#### 81 2. The Baer-Nunziato model

We consider the Cauchy problem for the homogeneous Baer-Nunziato two-phase flow model in one space dimension [4, 24, 64, 3]:

$$\partial_t \mathbf{u} + \partial_x \mathbf{f}(\mathbf{u}) + \mathbf{c}(\mathbf{u})\partial_x \mathbf{u} = 0, \qquad x \in \mathbb{R}, t > 0,$$
 (1a)

$$\mathbf{u}(x,0) = \mathbf{u}_0(x), \quad x \in \mathbb{R},$$
(1b)

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$$\mathbf{u} := \begin{pmatrix} \alpha_{1} \\ \alpha_{1}\rho_{1} \\ \alpha_{1}\rho_{1}u_{1} \\ \alpha_{1}\rho_{1}E_{1} \\ \alpha_{2}\rho_{2} \\ \alpha_{2}\rho_{2}u_{2} \\ \alpha_{2}\rho_{2}E_{2} \end{pmatrix}, \quad \mathbf{f}(\mathbf{u}) := \begin{pmatrix} 0 \\ \alpha_{1}\rho_{1}u_{1} \\ \alpha_{1}(\rho_{1}u_{1}^{2} + p_{1}) \\ \alpha_{1}u_{1}(\rho_{1}E_{1} + p_{1}) \\ \alpha_{2}\rho_{2}u_{2} \\ \alpha_{2}(\rho_{2}u_{2}^{2} + p_{2}) \\ \alpha_{2}u_{2}(\rho_{2}E_{2} + p_{2}) \end{pmatrix}, \quad \mathbf{c}(\mathbf{u})\partial_{x}\mathbf{u} := \begin{pmatrix} u_{I} \\ 0 \\ -p_{I} \\ -p_{I}u_{I} \\ 0 \\ p_{I} \\ p_{I}u_{I} \end{pmatrix} \partial_{x}\alpha_{1}, \quad (2)$$

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<sup>90</sup> represent the variable vector, physical fluxes, and nonconservative product, respectively. The phase densities are  $\rho_i$ , <sup>91</sup> the velocities are  $u_i$ , and the specific total energies are  $E_i = e_i + u_i^2/2$  where  $e_i$  is the specific internal energy and <sup>92</sup> i = 1, 2 refers to the *i*th phase. The void fraction of each individual phase is denoted as  $\alpha_i$  and we assume that both <sup>93</sup> satisfy the saturation condition

$$\alpha_1 + \alpha_2 = 1. \tag{3}$$

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In one space dimension, the model is a system of seven equations including the evolution equations for the mass, momentum and energy of each phase, along with a transport equation for the void fraction. The solution **u** belongs to

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<sup>97</sup> the phase space

$$\Omega_{\scriptscriptstyle \rm BNM} = \left\{ \mathbf{u} \in \mathbb{R}^7 : 0 < \alpha_i < 1, \, \rho_i > 0, \, u_i \in \mathbb{R}, \, \rho_i e_i > p_{\infty,i}, \, i = 1, 2 \right\}. \tag{4}$$

<sup>99</sup> Space variations of the physical quantities are governed by the flux function  $\mathbf{f} : \Omega_{\text{BNM}} \ni \mathbf{u} \mapsto \mathbf{f}(\mathbf{u}) \in \mathbb{R}^7$  and the <sup>100</sup> nonconservative product  $\mathbf{c}(\mathbf{u})\partial_x \mathbf{u}$ , with  $\mathbf{c} : \Omega_{\text{BNM}} \ni \mathbf{u} \mapsto \mathbf{c}(\mathbf{u}) \in \mathbb{R}^{7\times7}$ , which couples the phases and hinders the system <sup>101</sup> (1a) to be written in divergence form. Furthermore, observe that if  $\alpha_i$  is uniform in space, the phases decouple into <sup>102</sup> separate systems of compressible Euler equations.

The pressure of each phase  $p_i$  is related to the density and internal energy through a stiffened gas EOS:

$$\mathbf{p}_i(\rho_i, e_i) = (\gamma_i - 1)\rho_i e_i - \gamma_i \mathbf{p}_{\infty,i},\tag{5}$$

where  $\gamma_i = C_{p_i}/C_{v_i} > 1$  is the ratio of specific heats of phase *i* and  $p_{\infty,i} \ge 0$  are some constants. System (1a) is supplemented with closure laws for the interfacial velocity and pressure,  $u_I$  and  $p_I$ , respectively, that govern the exchange of information at the interface of the two phases. In this work, we use definitions of the interfacial velocity and pressure based on convex combinations of the velocities and pressures of the two phases [18, 28] and adapted to the treatment of discontinuous solutions:

$$u_{\rm I} := \beta u_1 + (1 - \beta) u_2, \tag{6a}$$

$$p_{I} := \mu p_{1} + (1 - \mu) p_{2}, \tag{6b}$$

where the weights are

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$$\beta = \frac{\chi \alpha_1 \rho_1}{\chi \alpha_1 \rho_1 + (1 - \chi) \alpha_2 \rho_2}, \quad \mu = \frac{(1 - \beta)T_2}{\beta T_1 + (1 - \beta)T_2}, \quad \chi \in \{0, \frac{1}{2}, 1\},$$
(7)

and  $T_i$  denotes the temperature of the *i*th phase.

<sup>116</sup> Under the particular choice for the closures (6) and (7), the characteristic field associated to the eigenvalue  $u_I$  for <sup>117</sup> the Jacobian f'(u) + c(u) of (1) is linearly degenerate (LD) [18]. This allows to close the jump relation across an <sup>118</sup> isolated material interface since  $u_I$  is now continuous across it. Moreover, the possible choices for  $\chi$  in (7) are the <sup>119</sup> ones that allow to obtain a conservative equation for the physical entropy for smooth solutions [18]. Physical systems <sup>120</sup> such as the Baer-Nunziato model are indeed naturally equipped with a physical entropy function. Using

$$\rho_i C_{v_i} T_i = \rho_i e_i - p_{\infty,i} = \frac{p_i + p_{\infty,i}}{\gamma_i - 1}, \quad i = 1, 2,$$
(8)

122 the phasic entropies read

$$s_{i}(\rho_{i},\theta_{i}) = -C_{v_{i}}\ln\left(\frac{p+p_{o,i}}{\rho_{i}^{\gamma_{i}}}\right) = -C_{v_{i}}\left(\ln\theta_{i} + (\gamma_{i}-1)\ln\rho_{i}\right) - C_{v_{i}}\ln\left((\gamma_{i}-1)C_{v_{i}}\right), \quad i = 1, 2,$$
(9)

with  $\theta_i = \frac{1}{T_i}$  the inverse of temperature, and obey the second law of thermodynamics.

125 Smooth solutions of (1) satisfy

$$\partial_t \sum_{i=1}^2 \alpha_i \rho_i s_i + \partial_x \sum_{i=1}^2 \alpha_i \rho_i s_i u_i = \sum_{i=1}^2 (\mathbf{p}_{\mathbf{I}} - \mathbf{p}_i) (\mathbf{u}_{\mathbf{I}} - u_i) \theta_i \partial_x \alpha_i, \tag{10}$$

<sup>127</sup> which indeed vanishes for the closure of interfacial quantities (6) and (7):

$$\sum_{i=1}^{2} (\mathbf{p}_{\mathrm{I}} - \mathbf{p}_{i})(\mathbf{u}_{\mathrm{I}} - u_{i})\theta_{i}\partial_{x}\alpha_{i} = 0.$$
(11)

In the case of non-smooth solutions, such as shocks, admissible weak solutions must satisfy a nonlinear stability condition for the convex entropy function  $\eta(\mathbf{u}) := -\sum_{i=1}^{2} \alpha_i \rho_i s_i$  and entropy flux  $q(\mathbf{u}) := -\sum_{i=1}^{2} \alpha_i u_i \rho_i s_i$ :

$$\partial_t \eta(\mathbf{u}) + \partial_x q(\mathbf{u}) \leqslant 0. \tag{12}$$

<sup>132</sup> System (1a) can also be written in quasi-linear form as

$$\partial_t \mathbf{u} + \mathbf{A}(\mathbf{u})\partial_x \mathbf{u} = 0, \quad x \in \mathbb{R}, t > 0,$$
(13)

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**Fig. 1:** A one-dimensional representation of the mesh with cells  $\kappa_j$  of size *h*. The left and right interfaces of cell  $\kappa_j$  are at  $x_{j \neq \frac{1}{2}}$ , and representation of the left and right traces at  $x_{j + \frac{1}{2}}$ .

where  $\mathbf{A} : \Omega_{\text{BNM}} \ni \mathbf{u} \mapsto \mathbf{A}(\mathbf{u}) = \mathbf{f}'(\mathbf{u}) + \mathbf{c}(\mathbf{u}) \in \mathbb{R}^{7 \times 7}$  is a matrix-valued function for smooth solutions of (1). The system (13) is hyperbolic over the phase space (4) and  $\mathbf{A}(\mathbf{u})$  admits real eigenvalues

$$\lambda_1(\mathbf{u}) = u_1 - c_1, \ \lambda_2(\mathbf{u}) = u_2 - c_2, \ \lambda_3(\mathbf{u}) = u_1, \ \lambda_4(\mathbf{u}) = u_1, \ \lambda_5(\mathbf{u}) = u_2, \ \lambda_6(\mathbf{u}) = u_1 + c_1, \ \lambda_7(\mathbf{u}) = u_2 + c_2, \ (14)$$

associated to linearly independent eigenvectors. Here  $c_i(\rho_i, e_i)^2 = \gamma_i(\gamma_i - 1)(\rho_i e_i - p_{\infty,i})/\rho_i$  is the speed of sound for the EOS (5). Observe, in (14), that  $\lambda_3$ ,  $\lambda_4$  and  $\lambda_5$  are associated to LD fields, whereas the others ones,  $\lambda_1$ ,  $\lambda_2$ ,  $\lambda_6$  and  $\lambda_7$ , are associated to genuinely nonlinear (GNL) fields. Note that (13) is only weakly hyperbolic when  $u_I$  is equal to one transport velocity,  $u_1$  or  $u_2$ , for  $\chi = 1$  or 0 in (7). In this work we assume that (13) is hyperbolic and well-posed and exclude resonance phenomena [19]:

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$$\alpha_i \neq 0, \quad u_I \neq u_i \pm c_i, \quad i = 1, 2.$$
 (15)

When resonance occurs, the system turns degenerate as the right eigenvectors no longer span the whole phase space (4).

<sup>145</sup> During the remaining course of this work we will be interested in discretizing the initial value problem (1). We <sup>146</sup> will discretize the system in space using the DGSEM framework from [54] and propose numerical fluxes that maintain <sup>147</sup> the nonlinear stability condition (12) at the semi-discrete level in addition to several other properties.

<sup>148</sup> In the remainder of the paper, we will use the following general notation for the vectors in (2):

$$\mathbf{u} = \begin{pmatrix} \alpha_i \\ \alpha_i \rho_i \\ \alpha_i \rho_i u_i \\ \alpha_i \rho_i E_i \end{pmatrix}, \quad \mathbf{f}(\mathbf{u}) = \begin{pmatrix} 0 \\ \alpha_i \rho_i u_i \\ \alpha_i (\rho_1 u_i^2 + p_i) \\ \alpha_i u_i (\rho_i E_i + p_i) \end{pmatrix}, \quad \mathbf{c}(\mathbf{u}) \partial_x \mathbf{u} = \begin{pmatrix} \mathbf{u}_{\mathrm{I}} \\ 0 \\ -\mathbf{p}_{\mathrm{I}} \\ -\mathbf{p}_{\mathrm{I}} \mathbf{u}_{\mathrm{I}} \end{pmatrix} \partial_x \alpha_i, \quad i = 1, 2,$$

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where the first component is obviously redundant from (3).

#### **3.** Space discretization with the DGSEM

We discretize the physical domain using a grid  $\Omega_h := \bigcup_{j \in \mathbb{Z}} \kappa_j$  containing cells  $\kappa_j = [x_{j-\frac{1}{2}}, x_{j+\frac{1}{2}}], x_{j+\frac{1}{2}} = jh$  with cell size h > 0, see Figure 1. Here the mesh is assumed to be uniform without loss of generality.

#### 155 3.1. Numerical solution

<sup>156</sup> We look for approximate solutions in the function space of piecewise polynomials

$$\mathcal{W}_{h}^{p} = \left\{ v_{h} \in L^{2}(\Omega_{h}) : v_{h}|_{\kappa_{j}} \in \mathcal{P}_{p}(\kappa_{j}), \kappa_{j} \in \Omega_{h} \right\},$$
(17)

(16)

where  $\mathcal{P}_p(\kappa_j)$  denotes the space of polynomials of degree at most p in the element  $\kappa_j$ . The approximate solution to (1) is sought as

$$\mathbf{u}_{h}(x,t) = \sum_{k=0}^{p} \phi_{j}^{k}(x) \mathbf{U}_{j}^{k}(t) \quad \forall x \in \kappa_{j}, \kappa_{j} \in \Omega_{h}, t > 0,$$
(18)

where the subset  $(\phi_j^0, ..., \phi_j^p)$  constitutes a basis of  $\mathcal{W}_h^p$  restricted onto  $\kappa_j$  and  $\mathbf{U}_j^{0 \le k \le p}$  are the associated degrees of freedom (DOFs). Here we use the Lagrange interpolation polynomials  $\ell_{0 \le k \le p}$  associated to the Gauss-Lobatto nodes over the reference element I = [-1, 1]:  $-1 = s_0 < s_1 < \cdots < s_p = 1$ . The basis functions thus satisfy the relation

$$\ell_k(s_l) = \delta_{kl}, \quad 0 \leqslant k, l \leqslant p, \tag{19}$$

where  $\delta_{kl}$  is the Kronecker symbol. The basis functions with support in a given element  $\kappa_j$  are written as  $\phi_j^k(x) = \ell_k(\sigma_j(x))$ , where  $\sigma_j(x) = 2(x - x_j)/h$  and  $x_j = (x_{j+\frac{1}{2}} + x_{j-\frac{1}{2}})/2$  denotes the center of the element.

The DOFs thus correspond to the point values of the solution: given  $0 \le k \le p$ ,  $j \in \mathbb{Z}$ , and  $t \ge 0$ , we have  $\mathbf{u}_h(x_j^k, t) = \mathbf{U}_j^k(t)$  for  $x_j^k = x_j + s_k h/2$ . Likewise, the left and right traces of the solution at the element interfaces are  $\mathbf{u}_h(x_{j+1/2}^-, t) = \mathbf{U}_j^p(t)$  and  $\mathbf{u}_h(x_{j-1/2}^+, t) = \mathbf{U}_j^0(t)$ , respectively. The integrals over the elements are approximated using the Gauss-Lobatto quadrature rule, where the points are collocated with the interpolation points of the numerical solution:

$$\int_{\kappa_j} f(x)dx \approx \frac{h}{2} \sum_{l=0}^p \omega_l f(x_j^l), \tag{20}$$

where  $\omega_l > 0$ , with  $\sum_{l=0}^{p} \omega_l = 2$ , are the quadrature weights and  $x_j^l$  the quadrature points. This allows to define the discrete inner product in the element  $\kappa_j$  as

$$\langle f,g\rangle_j^p := \frac{h}{2} \sum_{l=0}^p \omega_l f(x_j^l) g(x_j^l).$$
<sup>(21)</sup>

176 We also introduce the discrete difference matrix

$$D_{kl} = \ell'_l(s_k) = \frac{h}{2} d_x \phi^l_j(x^k_j), \quad 0 \leqslant k, l \leqslant p.$$

$$(22)$$

<sup>178</sup> This operator satisfies the summation-by-parts property, as noticed in [46],

 $\omega_k D_{kl} + \omega_l D_{lk} = \delta_{kp} \delta_{lp} - \delta_{k0} \delta_{l0} \quad \forall 0 \le k, l \le p,$ (23)

<sup>180</sup> which is the discrete analogue of the following integration-by-parts

$$\int_{\kappa_j} \phi_j^k(x) d_x \phi_j^l(x) dx + \int_{\kappa_j} d_x \phi_j^k(x) \phi_j^l(x) dx = \left[ \phi_j^k(x) \phi_j^l(x) \right]_{x_{j-1/2}}^{x_{j+1/2}^-},$$
(24)

since the Gauss-Lobatto quadrature rule is exact for polynomial integrands up to degree 2p - 1. Furthermore, the property  $\sum_{l=0}^{p} \ell_l \equiv 1$  implies

$$\sum_{l=0}^{p} D_{kl} = 0 \quad \forall 0 \leqslant k \leqslant p.$$
<sup>(25)</sup>

185 3.2. Semi-discrete form

The semi-discrete DGSEM formulation of (1a), see [57, 26, 54], reads: find  $\mathbf{u}_h$  in  $(\mathcal{V}_h^p)^7$  such that

$$\int_{\Omega_{h}} v_{h} \partial_{t} \mathbf{u}_{h} dx + \int_{\Omega_{h}} v_{h} \left( \partial_{x} \mathbf{f}(\mathbf{u}_{h}) + \mathbf{c}(\mathbf{u}_{h}) \partial_{x} \mathbf{u}_{h} \right) dx + \sum_{j \in \mathbb{Z}} v_{h} \left( x_{j+\frac{1}{2}}^{-} \right) \mathbf{D}^{-} \left( \mathbf{U}_{j}^{p}(t), \mathbf{U}_{j+1}^{0}(t) \right) + \sum_{j \in \mathbb{Z}} v_{h} \left( x_{j-\frac{1}{2}}^{+} \right) \mathbf{D}^{+} \left( \mathbf{U}_{j-1}^{p}(t), \mathbf{U}_{j}^{0}(t) \right) = 0 \quad \forall v_{h} \in \mathcal{V}_{h}^{p}, t > 0,$$

$$(26)$$

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where  $\mathbf{D}^{\pm}(\cdot, \cdot)$  are the numerical fluxes at the interfaces in fluctuation form which will be defined below.

<sup>189</sup> Upon substituting  $v_h$  for the Lagrange interpolation polynomials  $\phi_j^k(x) = \ell_k(\sigma_j(x))$ , defined by (19), and using the <sup>190</sup> quadrature rule (20) to approximate the volume integrals, (26) becomes

<sup>191</sup> 
$$\frac{\omega_k h}{2} \frac{d\mathbf{U}_j^k}{dt} + \omega_k \sum_{l=0}^p D_{kl} \Big( \mathbf{f}(\mathbf{U}_j^l) + \mathbf{c}(\mathbf{U}_j^k) \mathbf{U}_j^l \Big) + \delta_{kp} \mathbf{D}^-(\mathbf{U}_j^p, \mathbf{U}_{j+1}^0) + \delta_{k0} \mathbf{D}^+(\mathbf{U}_{j-1}^p, \mathbf{U}_j^0) = 0 \quad \forall j \in \mathbb{Z}, 0 \leq k \leq p,$$
(27)

<sup>192</sup> along with the projection of the initial condition (1b) on the function space:

$$\mathbf{U}_{j}^{k}(0) = \mathbf{u}_{0}(x_{j}^{k}) \quad \forall j \in \mathbb{Z}, 0 \leq k \leq p.$$

$$(28)$$

#### 194 3.3. Numerical fluxes

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We rely on numerical fluxes in fluctuation form [51] that satisfy the properties of entropy conservation and entropy stability for the semi-discrete form (27). Here we recall their definition from [11].

<sup>197</sup> **Definition 3.1.** Let  $\mathbf{D}_{ec}^{\pm}$  be consistent numerical fluxes in fluctuation form,  $\mathbf{D}_{ec}^{\pm}(\mathbf{u}, \mathbf{u}) = 0$  for all  $\mathbf{u}$  in  $\Omega_{\text{BNM}}$ , and  $(\eta, q)$  be <sup>198</sup> an entropy-entropy flux pair for (*la*), then  $\mathbf{D}_{ec}^{\pm}$  are said to be entropy conservative if they satisfy the following relation:

$$\mathbf{v}(\mathbf{u}^{-})^{\mathsf{T}}\mathbf{D}_{ec}^{-}(\mathbf{u}^{-},\mathbf{u}^{+}) + \mathbf{v}(\mathbf{u}^{+})^{\mathsf{T}}\mathbf{D}_{ec}^{+}(\mathbf{u}^{-},\mathbf{u}^{+}) = q(\mathbf{u}^{+}) - q(\mathbf{u}^{-}) \quad \forall \mathbf{u}^{\pm} \in \Omega_{\text{BNM}},$$
(29)

where  $\mathbf{v}(\mathbf{u}^{\pm}) := \eta'(\mathbf{u}^{\pm})$  denote the entropy variables.

In this work we look for entropy conservative fluxes with the following form

$$\mathbf{D}_{ec}^{-}(\mathbf{u}^{-},\mathbf{u}^{+}) = \mathbf{h}(\mathbf{u}^{-},\mathbf{u}^{+}) - \mathbf{f}(\mathbf{u}^{-}) + \mathbf{d}^{-}(\mathbf{u}^{-},\mathbf{u}^{+}),$$
(30a)

$$\mathbf{D}_{ec}^{+}(\mathbf{u}^{-},\mathbf{u}^{+}) = \mathbf{f}(\mathbf{u}^{+}) - \mathbf{h}(\mathbf{u}^{-},\mathbf{u}^{+}) + \mathbf{d}^{+}(\mathbf{u}^{-},\mathbf{u}^{+}),$$
(30b)

where  $\mathbf{h}(\mathbf{u}^-, \mathbf{u}^+)$  is a numerical flux that approximates the traces of the physical fluxes,  $\mathbf{f}(\mathbf{u}^{\pm})$ , and  $\mathbf{d}^{\pm}(\mathbf{u}^-, \mathbf{u}^+)$  are fluctuation fluxes for the discretization of the nonconservative term in (1a). The numerical fluxes satisfy the consistency conditions:

$$\mathbf{h}(\mathbf{u},\mathbf{u}) = \mathbf{f}(\mathbf{u}), \quad \mathbf{d}^{\pm}(\mathbf{u},\mathbf{u}) = 0 \quad \forall \mathbf{u} \in \Omega_{\text{BNM}}.$$
(31)

<sup>209</sup> The condition for entropy conservation now becomes

$$\mathbf{v}(\mathbf{u}^{-})^{\mathsf{T}}\mathbf{d}^{-}(\mathbf{u}^{-},\mathbf{u}^{+}) + \mathbf{v}(\mathbf{u}^{+})^{\mathsf{T}}\mathbf{d}^{+}(\mathbf{u}^{-},\mathbf{u}^{+}) + \llbracket\mathbf{v}^{\mathsf{T}}\mathbf{f} - q\rrbracket = \mathbf{h}(\mathbf{u}^{-},\mathbf{u}^{+})^{\mathsf{T}}\llbracket\mathbf{v}\rrbracket \quad \forall \mathbf{u}^{\pm} \in \Omega_{_{\mathrm{BNM}}},$$
(32)

where  $[a] = a^+ - a^-$  denotes the jump operator. This relation is a direct generalization of entropy conditions in [62, 11] to systems with both conservative and nonconservative terms.

Furthermore, we seek entropy stable fluxes by adding dissipation to the entropy conservative fluxes as advocated in [41] for conservation laws:

$$\mathbf{D}^{\pm}(\mathbf{u}^{-},\mathbf{u}^{+}) \coloneqq \mathbf{D}_{ec}^{\pm}(\mathbf{u}^{-},\mathbf{u}^{+}) \pm \mathbf{D}_{\nu}(\mathbf{u}^{-},\mathbf{u}^{+}), \tag{33}$$

where  $\mathbf{D}_{v}(\mathbf{u}^{-},\mathbf{u}^{+})$  is a numerical dissipation that satisfies consistency and entropy dissipation:

(20)

$$\mathbf{D}_{\nu}(\mathbf{u},\mathbf{u}) = 0, \quad \llbracket \mathbf{v}(\mathbf{u}) \rrbracket^{\top} \mathbf{D}_{\nu}(\mathbf{u}^{-},\mathbf{u}^{+}) \ge 0 \quad \forall \mathbf{u},\mathbf{u}^{\pm} \in \Omega_{\text{BNM}}.$$
(34)

<sup>218</sup>Observe, in the semi-discrete form (27), that the discrete volume integral does not bear proper constraints towards <sup>219</sup>entropy conservation or dissipation. In other words we cannot control the sign of its scalar product with the entropy <sup>220</sup>variables. Therefore, we modify the volume integral and replace it with entropy conservative fluctuation fluxes, as in <sup>221</sup>[54]. The semi-discrete scheme now reads

$$\frac{\omega_k h}{2} \frac{d\mathbf{U}_j^k}{dt} + \mathbf{R}_j^k(\mathbf{u}_h) = 0, \tag{35}$$

$$\mathbf{R}_{j}^{k}(\mathbf{u}_{h}) = \omega_{k} \sum_{l=0}^{p} D_{kl} \tilde{\mathbf{D}}(\mathbf{U}_{j}^{k}, \mathbf{U}_{j}^{l}) + \delta_{kp} \mathbf{D}^{-}(\mathbf{U}_{j}^{p}, \mathbf{U}_{j+1}^{0}) + \delta_{k0} \mathbf{D}^{+}(\mathbf{U}_{j-1}^{p}, \mathbf{U}_{j}^{0}),$$
(36)

225 and

$$\widetilde{\mathbf{D}}(\mathbf{u}^-, \mathbf{u}^+) := \mathbf{D}_{ec}^-(\mathbf{u}^-, \mathbf{u}^+) - \mathbf{D}_{ec}^+(\mathbf{u}^+, \mathbf{u}^-), \tag{37a}$$

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$$\stackrel{(30)}{=} \mathbf{h}(\mathbf{u}^{-}, \mathbf{u}^{+}) + \mathbf{h}(\mathbf{u}^{+}, \mathbf{u}^{-}) + \mathbf{d}^{-}(\mathbf{u}^{-}, \mathbf{u}^{+}) - \mathbf{d}^{+}(\mathbf{u}^{+}, \mathbf{u}^{-}).$$
(37b)

Note that in the above relation we do not require **h** to be symmetric as in [25, 17], but rather use the symmetrizer  $\frac{1}{2}(\mathbf{h}(\mathbf{u}^-, \mathbf{u}^+) + \mathbf{h}(\mathbf{u}^+, \mathbf{u}^-))$ .

#### 231 3.4. Properties of the semi-discrete scheme

The modification to the integrals over cell elements in (36) allows for an entropy stable numerical scheme that preserves the high-order accuracy of the scheme. Below we generalize the results from [54] to systems that contain both conservative and nonconservative terms.

Theorem 3.1. Let  $\mathbf{D}^{\pm}$  be consistent and entropy stable fluctuation fluxes (33) and (34) in (36) and  $\mathbf{\tilde{D}}$  defined by (37b) with consistent and entropy conservative fluctuation fluxes (32) and (31). Then, the semi-discrete numerical scheme (35) satisfies an entropy inequality for the entropy-entropy flux pair ( $\eta$ , q) in (12):

$$u \frac{d\langle \eta(\mathbf{u}_h) \rangle_j}{dt} + Q(\mathbf{U}_j^p, \mathbf{U}_{j+1}^0) - Q(\mathbf{U}_{j-1}^p, \mathbf{U}_j^0) \leqslant 0,$$
(38)

where  $\langle \eta(\mathbf{u}_h) \rangle_j(t) = \sum_{k=0}^p \frac{\omega_k}{2} \eta(\mathbf{U}_j^k(t))$  is the cell averaged entropy and the conservative numerical entropy flux is defined

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$$Q(\mathbf{u}^{-},\mathbf{u}^{+}) = \frac{q(\mathbf{u}^{-}) + q(\mathbf{u}^{+})}{2} + \frac{1}{2}\mathbf{v}(\mathbf{u}^{-})^{\mathsf{T}}\mathbf{D}^{-}(\mathbf{u}^{-},\mathbf{u}^{+}) - \frac{1}{2}\mathbf{v}(\mathbf{u}^{+})^{\mathsf{T}}\mathbf{D}^{+}(\mathbf{u}^{-},\mathbf{u}^{+}).$$
(39)

Further assuming that  $\mathbf{d}^{\pm}$  in (37b) have the form

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$$\mathbf{d}^{\pm}(\mathbf{u}^{-},\mathbf{u}^{+}) = C^{\pm}(\mathbf{u}^{-},\mathbf{u}^{+})[\![\mathbf{u}]\!], \tag{40a}$$

(40d)

$$C(\mathbf{u}^{-},\mathbf{u}^{+}) := C^{+}(\mathbf{u}^{-},\mathbf{u}^{+}) + C^{-}(\mathbf{u}^{-},\mathbf{u}^{+}),$$
(40b)

$$C(\mathbf{u}^{-},\mathbf{u}^{+})+C(\mathbf{u}^{+},\mathbf{u}^{-})=\mathbf{c}(\mathbf{u}^{-})+\mathbf{c}(\mathbf{u}^{+}), \qquad (40c)$$

 $\mathcal{E}_{247}^{246}$   $C(\mathbf{u},\mathbf{u}) = \mathbf{c}(\mathbf{u}),$ 

where  $[\![\mathbf{u}]\!] = \mathbf{u}^+ - \mathbf{u}^-$ , the semi-discrete DGSEM (35) is a high-order approximation in space of smooth solutions for the nonconservative system (1a) that satisfies

$$h\frac{d\langle \mathbf{u}_{h}\rangle_{j}}{dt} + \langle \boldsymbol{c}(\mathbf{u}_{h}), d_{x}\mathbf{u}_{h}\rangle_{j}^{p} + \mathbf{D}^{-}(\mathbf{U}_{j}^{p}, \mathbf{U}_{j+1}^{0}) + \mathbf{f}(\mathbf{U}_{j}^{p}) + \mathbf{D}^{+}(\mathbf{U}_{j-1}^{p}, \mathbf{U}_{j}^{0}) - \mathbf{f}(\mathbf{U}_{j}^{0}) = 0,$$
(41)

<sup>251</sup> for the cell averaged solution

$$\langle \mathbf{u}_h \rangle_j(t) := \frac{1}{h} \int_{\kappa_j} \mathbf{u}_h(x, t) dx = \frac{1}{2} \sum_{k=0}^p \omega_k \mathbf{U}_j^k(t).$$
(42)

Proof. These results are consequences of, e.g., [17, Theorem 3.3] for the conservative terms and [54, Theorems 3.1 and 3.2] for the nonconserative ones. First, the entropy inequality has been proved in [54, Theorem 3.1] by using the definition (37a) of the volume terms together with the entropy condition (29). High-order accuracy of the discretization in the volume integral in (36) has been proved in [17, Theorem 3.3] for the conservative terms by using the symmetric flux  $\frac{1}{2}(\mathbf{h}(\mathbf{u}^-, \mathbf{u}^+) + \mathbf{h}(\mathbf{u}^+, \mathbf{u}^-))$  in (37b) and the SBP property (23), and in [54, Theorem 3.2] by using (40) and the SBP property. Finally, by summing (35) over  $0 \le k \le p$  and using (36) and (42) we obtain

$$h\frac{d\langle \mathbf{u}_h \rangle_j}{dt} + \sum_{k=0}^p \sum_{l=0}^p \omega_k D_{kl} \tilde{\mathbf{D}}(\mathbf{U}_j^k, \mathbf{U}_j^l) + \mathbf{D}^-(\mathbf{U}_j^p, \mathbf{U}_{j+1}^0) + \mathbf{D}^+(\mathbf{U}_{j-1}^p, \mathbf{U}_j^0) = 0,$$

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$$\sum_{k,l=0}^{p} \omega_{k} D_{kl} \tilde{\mathbf{D}}(\mathbf{U}_{j}^{k}, \mathbf{U}_{j}^{l}) \stackrel{(37b)}{=} \sum_{k,l=0}^{p} \omega_{k} D_{kl} \Big( \mathbf{h}(\mathbf{U}_{j}^{k}, \mathbf{U}_{j}^{l}) + \mathbf{d}^{-}(\mathbf{U}_{j}^{k}, \mathbf{U}_{j}^{l}) \Big) + \sum_{k,l=0}^{p} \omega_{k} D_{kl} \Big( \mathbf{h}(\mathbf{U}_{j}^{l}, \mathbf{U}_{j}^{k}) - \mathbf{d}^{+}(\mathbf{U}_{j}^{l}, \mathbf{U}_{j}^{k}) \Big)$$

$$\stackrel{(23)}{=} \sum_{k,l=0}^{p} \omega_{k} D_{kl} \Big( \mathbf{h}(\mathbf{U}_{j}^{k}, \mathbf{U}_{j}^{l}) + \mathbf{d}^{-}(\mathbf{U}_{j}^{k}, \mathbf{U}_{j}^{l}) \Big) - \sum_{k,l=0}^{p} \omega_{l} D_{lk} \Big( \mathbf{h}(\mathbf{U}_{j}^{l}, \mathbf{U}_{j}^{k}) - \mathbf{d}^{+}(\mathbf{U}_{j}^{l}, \mathbf{U}_{j}^{k}) \Big) + \mathbf{f}(\mathbf{U}_{j}^{p}) - \mathbf{f}(\mathbf{U}_{j}^{0}) \Big)$$

$$\stackrel{(40a)}{=} \sum_{k,l=0}^{p} \omega_{k} D_{kl} C(\mathbf{U}_{k}^{k}, \mathbf{U}_{l}^{l}) (\mathbf{U}_{l}^{l} - \mathbf{U}_{l}^{k}) + \mathbf{f}(\mathbf{U}_{j}^{p}) - \mathbf{f}(\mathbf{U}_{j}^{0}) \Big)$$

$$\sum_{\substack{(40b)\\(40d)}} \sum_{k,l=0}^{p} \omega_k D_{kl} C(\mathbf{U}_j^k, \mathbf{U}_j^l) \mathbf{U}_j^l + \sum_{k,l=0}^{p} \omega_l D_{lk} C(\mathbf{U}_j^k, \mathbf{U}_j^l) \mathbf{U}_j^k - \mathbf{c}(\mathbf{U}_j^p) \mathbf{U}_j^p + \mathbf{c}(\mathbf{U}_j^0) \mathbf{U}_j^0 + \mathbf{f}(\mathbf{U}_j^p) - \mathbf{f}(\mathbf{U}_j^0) \mathbf{U}_j^l + \mathbf{c}(\mathbf{U}_j^p) \mathbf{U}_j^p + \mathbf{c}(\mathbf{U}_j^p) \mathbf{U}$$

$$\stackrel{(40c)}{=} \sum_{k,l=0}^{p} \omega_k D_{kl} \Big( \mathbf{c}(\mathbf{U}_j^k) + \mathbf{c}(\mathbf{U}_j^l) \Big) \mathbf{U}_j^l - \mathbf{c}(\mathbf{U}_j^p) \mathbf{U}_j^p + \mathbf{c}(\mathbf{U}_j^0) \mathbf{U}_j^0 + \mathbf{f}(\mathbf{U}_j^p) - \mathbf{f}(\mathbf{U}_j^0) \Big)$$

$$\stackrel{(23)}{=} \sum_{k,l=0}^{p} \omega_k D_{kl} \mathbf{c}(\mathbf{U}_j^k) \mathbf{U}_j^l - \sum_{k,l=0}^{p} \omega_k D_{kl} \mathbf{c}(\mathbf{U}_j^k) \mathbf{U}_j^k + \mathbf{f}(\mathbf{U}_j^p) - \mathbf{f}(\mathbf{U}_j^0)$$

$$\stackrel{(25)}{=} \langle \mathbf{c}(\mathbf{u}_h), d_x \mathbf{u}_h \rangle_j^p + \mathbf{f}(\mathbf{U}_j^p) - \mathbf{f}(\mathbf{U}_j^0)$$

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Note that (41) proves that the discretization of the fluxes  $\mathbf{f}$  in (36) is in conservative form. In the following section we propose numerical fluxes for (13) that satisfy the assumptions in Theorem 3.1.

#### 272 4. Numerical fluxes for the Baer-Nunziato model

Here we derive the numerical fluxes for the model (1a) that satisfy the entropy conservation (32) and dissipation (33) properties together with the assumptions in Theorem 3.1. An essential tool which would help in the algebraic manipulations are the Leibniz identities, which we recall here. Let  $a^+, a^-, b^+, b^-, c^+, c^-$  in  $\mathbb{R}$  have finite values, then we have

$$\llbracket ab \rrbracket = \overline{a}\llbracket b \rrbracket + \overline{b}\llbracket a \rrbracket, \quad \llbracket abc \rrbracket = \overline{a}(\overline{b}\llbracket c \rrbracket + \overline{c}\llbracket b \rrbracket) + \overline{bc}\llbracket a \rrbracket, \tag{43}$$

where  $\overline{a} = \frac{a^+ + a^-}{2}$  is the arithmetic mean and  $[[a]] = a^+ - a^-$  is the jump operator.

279 4.1. Entropy conservative fluxes

280 We begin by proposing entropy conservative numerical fluxes.

**Proposition 4.1.** The numerical fluxes (30) with the following definitions are consistent and entropy conservative fluxes that satisfy the assumptions (40) of Theorem 3.1 for the Baer-Nunziato model (1a) with the EOS (5) and the interface variables (6).

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$$\mathbf{h}(\mathbf{u}^{-},\mathbf{u}^{+}) := \begin{pmatrix} 0\\h_{\rho_{i}}\\h_{\rho u_{i}}\\h_{\rho E_{i}} \end{pmatrix} - \beta_{s} \frac{\llbracket \alpha_{i} \rrbracket}{2} \begin{pmatrix} 1\\\tilde{h}_{\rho_{i}}\\\tilde{h}_{\rho u_{i}}\\\tilde{h}_{\rho E_{i}} \end{pmatrix}, \quad \mathbf{d}^{\pm}(\mathbf{u}^{-},\mathbf{u}^{+}) := \frac{\llbracket \alpha_{i} \rrbracket}{2} \begin{pmatrix} \mathbf{u}_{I}^{\pm}\\0\\-\mathbf{p}_{I}^{\pm}\\-\mathbf{p}_{I}^{\pm}\mathbf{u}_{I}^{\pm} \end{pmatrix},$$
(44)

285 where

$$(h_{\rho_i}, h_{\rho u_i}, h_{\rho E_i}) = \left(\overline{\alpha}_i \overline{u}_i \hat{\rho}_i, \ \overline{\alpha}_i \left(\overline{u}_i^2 \hat{\rho}_i + \frac{\overline{p_i \theta_i}}{\overline{\theta}_i}\right), \ \overline{\alpha}_i \overline{u}_i \left(\hat{\rho}_i \left(\frac{C_{v_i}}{\overline{\theta}_i} + \frac{u_i^- u_i^+}{2}\right) + \frac{\overline{p_i \theta_i}}{\overline{\theta}_i} + p_{\infty,i}\right)\right),$$

$$(\tilde{h}_{\rho_i}, \tilde{h}_{\rho u_i}, \tilde{h}_{\rho E_i}) = \left(\hat{\rho}_i, \ \hat{\rho}_i \overline{u}_i, \ \hat{\rho}_i \left(\frac{C_{v_i}}{\overline{\theta}_i} + \frac{u_i^- u_i^+}{2}\right) + p_{\infty,i}\right),$$

$$(45)$$

 $\beta_s \ge 0$  is defined in Theorem 5.2 and  $\hat{a} = \frac{\llbracket a \rrbracket}{\llbracket \ln a \rrbracket}$  is the logarithmic mean [41].

*Proof.* Consistency of the numerical flux **h** follows from consistency of the arithmetic and logarithmic means and the fact that  $\rho_i e_i = \rho_i C_{v_i} T_i + p_{\infty,i}$  from (8). It can be easily checked that  $\mathbf{d}^{\pm}$  satisfy (40) and consistency  $\mathbf{d}^{\pm}(\mathbf{u}, \mathbf{u}) = 0$ . Now let us recall the entropy variables associated to the entropy in (12):

$$\mathbf{v}(\mathbf{u}) = \begin{pmatrix} (-1)^i \left( p_1 \theta_1 - p_2 \theta_2 \right) \\ -s_i + \left( h_i - \frac{u_i^2}{2} \right) \theta_i \\ u_i \theta_i \\ -\theta_i \end{pmatrix}, \tag{46}$$

where  $h_i(\rho_i, e_i) = e_i + \frac{p_i(\rho_i, e_i)}{\rho_i} = C_{p_i}T_i$  is the specific enthalpy for phase i = 1, 2. Then, the discrete counterpart of (11) holds for the interface closures (6) and reads

$$\sum_{i=1}^{2} \overline{(\mathbf{p}_{\mathrm{I}} - \mathbf{p}_{i})(\mathbf{u}_{\mathrm{I}} - u_{i})\theta_{i}} \llbracket \alpha_{i} \rrbracket = 0.$$
(47)

Entropy conservation requires the fluxes (30) to satisfy (32) so we have to check that

$$\Delta Q(\mathbf{u}^{-},\mathbf{u}^{+}) := -\mathbf{h}(\mathbf{u}^{-},\mathbf{u}^{+}) \cdot \llbracket \mathbf{v}(\mathbf{u}) \rrbracket + \mathbf{v}(\mathbf{u}^{-}) \cdot \mathbf{d}^{-}(\mathbf{u}^{-},\mathbf{u}^{+}) + \mathbf{v}(\mathbf{u}^{+}) \cdot \mathbf{d}^{+}(\mathbf{u}^{-},\mathbf{u}^{+}) + \llbracket \mathbf{f}(\mathbf{u}) \cdot \mathbf{v}(\mathbf{u}) - q(\mathbf{u}) \rrbracket = 0.$$
(48)

Below we detail each term in the above relation by using the Liebniz identities (43) for the numerical fluxes (44).
 Note that direct manipulations give

$$[[p_i\theta_i]] \stackrel{(5)}{=} (\gamma_i - 1)C_{v_i}[[\rho_i]] - p_{\infty,i}[[\theta_i]], \quad [[h_i\theta_i]] = 0, \quad [[s_i]] \stackrel{(9)}{=} -C_{v_i}[[\ln \theta_i]] - (\gamma_i - 1)C_{v_i}[[\ln \rho_i]], \quad \overline{u}_i^2 - \frac{\overline{u_i^2}}{2} = \frac{u_i^- u_i^+}{2}.$$
(49)

Then, by (44) and (46), we have

$$\begin{split} \left[ \left[ \mathbf{v}(\mathbf{u}) \right] \cdot \mathbf{h}(\mathbf{u}^{-}, \mathbf{u}^{+}) &= \sum_{i=1}^{2} \overline{\alpha}_{i} \hat{\rho}_{i} \overline{u}_{i} \left[ \left(h_{i} - u_{i}^{2}/2\right) \theta_{i} - s_{i} \right] + \overline{\alpha}_{i} \left( \hat{\rho}_{i} \overline{u}_{i}^{2} + \frac{\overline{\mathbf{p}_{i} \theta_{i}}}{\theta_{i}} \right) \left[ \left[ u_{i} \theta_{i} \right] \right] - \overline{\alpha}_{i} \overline{u}_{i} \left( \hat{\rho}_{i} \left( \frac{\mathbf{C}_{v_{i}}}{\theta_{i}} + \frac{u_{i}^{-} u_{i}^{*}}{2} \right) + \frac{\overline{\mathbf{p}_{i} \theta_{i}}}{\theta_{i}} + \mathbf{p}_{\infty,i} \right) \left[ \left[ \theta_{i} \right] \right] \\ &- \beta_{s} \frac{\left[ u_{i} \right]}{2} \left( - \left[ \left[ \mathbf{p}_{i} \theta_{i} \right] \right] + \hat{\rho}_{i} \left[ \left[ \left(h_{i} - u_{i}^{2}/2\right) \theta_{i} - s_{i} \right] \right] + \hat{\rho}_{i} \overline{u}_{i} \left[ \left[ u_{i} \theta_{i} \right] \right] - \left( \hat{\rho}_{i} \left( \frac{\mathbf{C}_{v_{i}}}{\theta_{i}} + \frac{u_{i}^{-} u_{i}^{*}}{2} \right) + \mathbf{p}_{\infty,i} \right) \left[ \left[ \theta_{i} \right] \right] \right) \\ & \left( \overset{(49)}{=} \sum_{i=1}^{2} -\overline{\alpha}_{i} \hat{\rho}_{i} \overline{u}_{i} \left( \overline{u}_{i} \overline{\theta}_{i} \left[ u_{i} \right] \right] + \overline{u_{i}^{2}/2} \left[ \left[ \theta_{i} \right] - \mathbf{C}_{v_{i}} \left[ \left[ \ln \theta_{i} \right] \right] - \left( \gamma_{i} - 1 \right) \mathbf{C}_{v_{i}} \left[ \left[ \ln \rho_{i} \right] \right] \right) \\ &+ \overline{\alpha}_{i} \left( \hat{\rho}_{i} \overline{u}_{i}^{2} + \frac{\overline{\mathbf{p}_{i} \theta_{i}}}{\theta_{i}} \right) \left[ \left[ u_{i} \theta_{i} \right] \right] - \overline{\alpha}_{i} \overline{u}_{i} \left( \hat{\rho}_{i} \left( \frac{\mathbf{C}_{v_{i}}}{\theta_{i}} + \frac{u_{i}^{-} u_{i}^{*}}{2} \right) + \frac{\overline{\mathbf{p}_{i} \theta_{i}}}{\theta_{i}} + \mathbf{p}_{\infty,i} \right) \left[ \left[ \theta_{i} \right] \right] \\ &- \beta_{s} \frac{\left[ u_{i} \right]}{2} \left( - \left( \gamma_{i} - 1 \right) \mathbf{C}_{v_{i}} \left[ \left[ \theta_{i} \right] \right] - \overline{\alpha}_{i} \overline{u}_{i} \left( \theta_{i} \right] \right] - \hat{\rho}_{i} \left( \overline{u}_{i} \overline{\theta}_{i} \left[ \left[ u_{i} \right] \right] + \overline{u_{i}^{2}/2} \left[ \left[ \theta_{i} \right] \right] - \left( \gamma_{i} - 1 \right) \mathbf{C}_{v_{i}} \left[ \left[ \ln \rho_{i} \right] \right] \right) \\ &- \beta_{s} \frac{\left[ u_{i} \right]}{2} \left( - \left( \gamma_{i} - 1 \right) \mathbf{C}_{v_{i}} \left[ \left[ \rho_{i} \right] \right] + \mathbf{p}_{\infty,i} \left[ \theta_{i} \right] \right] - \hat{\rho}_{i} \left( \overline{u}_{i} \overline{\theta}_{i} \left[ \left[ u_{i} \right] \right] + \overline{u_{i}^{2}/2} \left[ \left[ \theta_{i} \right] \right] - \left( \gamma_{i} - 1 \right) \mathbf{C}_{v_{i}} \left[ \left[ \ln \rho_{i} \right] \right] \right) \\ &+ \hat{\rho}_{i} \overline{u}_{i} \left( \overline{u}_{i} \left[ \left[ \theta_{i} \right] \right] + \overline{\theta}_{i} \left[ \left[ u_{i} \right] \right] \right) - \left( \hat{\rho}_{i} \left( \frac{\mathbf{U}_{i} u_{i}^{*} \right) + \mathbf{p}_{\infty,i} \right) \left[ \left[ \theta_{i} \right] \right] \right) \\ &+ \hat{u}_{i} \left( \overline{u}_{i} \left[ \overline{\theta}_{i} \right] + \overline{\theta}_{i} \left[ u_{i} \right] \right] \right) - \mathbf{C}_{v_{i}} \left[ \left[ \ln \theta_{i} \right] \right] - \left( \gamma_{i} - 1 \right) \mathbf{C}_{v_{i}} \left[ \left[ \ln \rho_{i} \right] \right] \right) \\ &+ \hat{u}_{i} \left( \frac{\mathbf{U}_{i}}{\mathbf{U}_{i}} \right) \left( \overline{u}_{i} \left[ \overline{u}_{i} \right] \right) \left( \overline{u}_{i} \left[ \overline{u}_{i} \right] \right$$

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Furthermore, using (44) we easily obtain

$$\mathbf{v}(\mathbf{u}^{-}) \cdot \mathbf{d}^{-}(\mathbf{u}^{-}, \mathbf{u}^{+}) + \mathbf{v}(\mathbf{u}^{+}) \cdot \mathbf{d}^{+}(\mathbf{u}^{-}, \mathbf{u}^{+}) = \sum_{i=1}^{2} \overline{(p_{I}u_{I} - p_{I}u_{i} - p_{i}u_{I})\theta_{i}} [\![\alpha_{i}]\!] \stackrel{(47)}{=} -\sum_{i=1}^{2} \overline{p_{i}u_{i}\theta_{i}} [\![\alpha_{i}]\!],$$
(51)

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$$\begin{bmatrix} \mathbf{f}(\mathbf{u}) \cdot \mathbf{v}(\mathbf{u}) - q(\mathbf{u}) \end{bmatrix} = \sum_{i=1}^{2} \begin{bmatrix} -\alpha_i \rho_i u_i \left( s_i - (h_i - u_i^2/2) \theta_i \right) + \alpha_i (\rho_i u_i^2 + \mathbf{p}_i) u_i \theta_i - \alpha_i (\rho_i E_i + \mathbf{p}_i) u_i \theta_i + \alpha_i \rho_i s_i u_i \end{bmatrix} \\ = \sum_{i=1}^{2} \begin{bmatrix} \alpha_i \mathbf{p}_i u_i \theta_i \end{bmatrix} \stackrel{(43)}{=} \sum_{i=1}^{2} \overline{\mathbf{p}_i u_i \theta_i} \llbracket \alpha_i \rrbracket + \overline{\alpha}_i \overline{\mathbf{p}_i \theta_i} \llbracket u_i \rrbracket + \overline{\alpha}_i \overline{u}_i \llbracket \mathbf{p}_i \theta_i \end{bmatrix}$$
(52)  
$$\stackrel{(49)}{=} \sum_{i=1}^{2} \overline{\mathbf{p}_i u_i \theta_i} \llbracket \alpha_i \rrbracket + \overline{\alpha}_i \overline{\mathbf{p}_i \theta_i} \llbracket u_i \rrbracket + \overline{\alpha}_i \overline{u}_i \left( (\gamma_i - 1) \mathbf{C}_{\mathbf{v}_i} \llbracket \rho_i \rrbracket - \mathbf{p}_{\infty,i} \llbracket \theta_i \rrbracket \right).$$

Substituting (50), (51) and (52) into (48) and collecting terms proportional to  $[\rho_i], [\mu_i], \text{ and } [\theta_i], \text{ we get}$ 306

$$\begin{split} \Delta Q(\mathbf{u}^{-},\mathbf{u}^{+}) \stackrel{(49)}{=} & \sum_{i=1}^{2} \overline{\alpha}_{i} \left( \hat{\rho}_{i} \overline{u}_{i}^{2} \overline{\theta}_{i} - \left( \hat{\rho}_{i} \overline{u}_{i}^{2} + \frac{\overline{p_{i} \theta_{i}}}{\overline{\theta}_{i}} \right) \overline{\theta}_{i} + \overline{p_{i} \theta_{i}} \right) [\![u_{i}]\!] \\ &+ \overline{\alpha}_{i} \overline{u}_{i} \left( \hat{\rho}_{i} \left( \frac{\overline{u_{i}^{2}}}{2} - C_{v_{i}} \frac{\|\ln \theta_{i}\|}{\|\theta_{i}\|} \right) - \hat{\rho}_{i} \overline{u}_{i}^{2} - \frac{\overline{p_{i} \theta_{i}}}{\overline{\theta_{i}}} + \hat{\rho}_{i} \left( \frac{C_{v_{i}}}{\overline{\theta}_{i}} + \frac{u_{i}^{-} u_{i}^{+}}{2} \right) + \frac{\overline{p_{i} \theta_{i}}}{\overline{\theta_{i}}} + p_{\infty,i} - p_{\infty,i} \right) [\![\theta_{i}]\!] \\ &- (\gamma_{i} - 1) C_{v_{i}} \overline{\alpha}_{i} \overline{u}_{i} \left( \hat{\rho}_{i} [\![\ln \rho_{i}]\!] - [\![\rho_{i}]\!] \right) = 0, \end{split}$$

which concludes the proof. 308

**Remark 4.1.** The contributions to the volume integral in (36) of the terms associated to  $\beta_s$  in (44) vanish due to the 309 symmetrizer  $\mathbf{h}(\mathbf{u}^-, \mathbf{u}^+) + \mathbf{h}(\mathbf{u}^+, \mathbf{u}^-)$  in (37b). They will however play an important role in the design of the entropy 310 stable fluxes at interfaces (see Theorem 5.2). They may be compared to the upwinding term in the Lax-Friedrichs flux 311 derived in [59] for (1a). The main motivation for including this term was to introduce stabilizing mechanisms in the 312 transport equation for the void fraction, as is evident from the first component of **h** in (44). However,  $u_{\rm L}$  is associated 313 to a LD field, so the remaining terms  $\bar{h}_{\rho_i}$ ,  $\bar{h}_{\rho_{u_i}}$ , and  $\bar{h}_{\rho E_i}$  are further included so that this dissipation does not affect the 314 entropy balance as shown in the proof above. 315

**Remark 4.2.** Assuming perfect gas EOS in (5),  $p_{\infty,i} = 0$ , and uniform void fractions,  $[\![\alpha_i]\!] = 0$ , then the numerical 316 flux  $h(\mathbf{u}^-, \mathbf{u}^+)$  in (44) for both phases reduce to the entropy conservative Chandraskhar flux [16] for the compressible 317 Euler equations. This numerical flux has been here extended to the stiffened gas EOS(5). 318

#### 4.2. Entropy stable fluxes 319

We here follow the procedure in [41] and build entropy stable fluxes (33) by adding upwind-type dissipation to the 320 entropy conservative numerical fluxes (30). We introduce numerical dissipation to the equations of mass, momentum 321 and energy for each phase. The rationales for this particular choice of the numerical dissipation are as follows. First, 322 we do not add numerical dissipation to the void fraction equation as it is associated to a LD field. We stress that the 323 conservative flux in (44) already adds dissipation through an upwinding term without altering the entropy balance (see 324 Remark 4.1). Second, since we exclude resonance effects according to the assumption (15), the void fractions remain 325 uniform across shocks leading to uncoupled phases. It is, thus, appropriate to include dissipation phase by phase. 326

**Proposition 4.2.** A class of entropy stable fluxes (33) that satisfy (34) can be obtained for the Baer-Nunziato model 327 (1a) where the numerical dissipation takes the form 328

$$\mathbf{D}_{\nu}(\mathbf{u}^{-},\mathbf{u}^{+}) = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & k_{22} & 0 & 0 \\ 0 & k_{32} & k_{33} & 0 \\ 0 & k_{42} & k_{43} & k_{44} \end{pmatrix} \begin{pmatrix} 0 \\ \llbracket \rho_{i} \rrbracket \\ \llbracket u_{i} \rrbracket \\ \llbracket T_{i} \rrbracket \end{pmatrix},$$

where the matrix entries satisfy the following conditions 330

$$k_{22} \ge 0, \quad k_{33} \ge 0, \quad k_{44} \ge 0, \quad k_{32} = \overline{u_i} k_{22}, \quad k_{43} = \overline{u_i} k_{33}, \quad k_{42} = \left(\frac{C_{\nu_i}}{\hat{\theta}_i} + \frac{u_i^- u_i^+}{2}\right) k_{22}. \tag{53}$$

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Proof. By construction we have  $\mathbf{D}_{v}(\mathbf{u}, \mathbf{u}) = 0$ . Then, using (46) and (9), we get

$$\begin{bmatrix} \mathbf{v}(\mathbf{u}) \end{bmatrix} \cdot \mathbf{D}_{\mathbf{v}}(\mathbf{u}^{-}, \mathbf{u}^{+}) = \sum_{i=1}^{2} k_{22}(\gamma_{i} - 1) \mathbf{C}_{\mathbf{v}i} \llbracket \rho_{i} \rrbracket \llbracket \ln \rho_{i} \rrbracket + k_{33} \overline{\theta_{i}} \llbracket u_{i} \rrbracket^{2} - k_{44} \llbracket T_{i} \rrbracket \llbracket \theta_{i} \rrbracket$$
$$+ \overline{\theta_{i}}(k_{32} - k_{22} \overline{u_{i}}) \llbracket \rho_{i} \rrbracket \llbracket u_{i} \rrbracket - \left(k_{42} - \overline{u_{i}}k_{32} - k_{22} \left(\frac{\mathbf{C}_{\mathbf{v}i}}{\hat{\theta_{i}}} - \frac{\overline{u_{i}^{2}}}{2}\right) \right) \llbracket \rho_{i} \rrbracket \llbracket \theta_{i} \rrbracket$$
$$- (k_{43} - \overline{u_{i}}k_{33}) \llbracket u_{i} \rrbracket \llbracket \theta_{i} \rrbracket$$
$$\begin{pmatrix} (53) \\ \equiv \end{pmatrix} \sum_{i=1}^{2} k_{22}(\gamma_{i} - 1) \mathbf{C}_{\mathbf{v}i} \llbracket \rho_{i} \rrbracket \llbracket \ln \rho_{i} \rrbracket + k_{33} \overline{\theta_{i}} \llbracket u_{i} \rrbracket^{2} - k_{44} \llbracket T_{i} \rrbracket \llbracket \theta_{i} \rrbracket \ge 0.$$

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Using dimensional arguments, we define  $k_{33} = \overline{\rho}_i k_{22}$  and  $k_{44} = \overline{\rho}_i C_{v_i} k_{22}$ , and  $k_{22} = \frac{\epsilon_v}{2} \max \left( \rho_{\mathbf{A}}(\mathbf{u}^-), \rho_{\mathbf{A}}(\mathbf{u}^+) \right)$ , with  $\epsilon_v \ge 0$  and  $\rho_{\mathbf{A}}(\mathbf{u}) = \max_{i=1,2}(|u_i| + c_i)$  the spectral radius of  $\mathbf{A}(\mathbf{u})$  in (13), to get the following numerical dissipation

$$\mathbf{D}_{\nu}(\mathbf{u}^{-},\mathbf{u}^{+}) = \frac{\epsilon_{\nu}}{2} \max\left(\rho_{\mathbf{A}}(\mathbf{u}^{-}),\rho_{\mathbf{A}}(\mathbf{u}^{+})\right) \begin{pmatrix} \mathbf{0} \\ \llbracket \rho_{i} \rrbracket \\ \llbracket \rho_{i} u_{i} \rrbracket \\ \left(\frac{C_{\nu i}}{\hat{\theta}_{i}} + \frac{u_{i}^{-}u_{i}^{+}}{2}\right) \llbracket \rho_{i} \rrbracket + \overline{\rho}_{i} \llbracket E_{i} \rrbracket \end{pmatrix}.$$
(54)

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**Remark 4.3.** Nonconservative systems may admit shocks which depend on small scale mechanisms such as viscosity and that numerical methods may fail to capture because the leading viscosity terms in the equivalent equation do not match these mechanisms [49]. The jump conditions indeed depend on the family of paths prescribed in the jump relations which should be consistent with the viscous profile. Using (54) the decay rate for the cell-averaged entropy (38) reads

$$h \frac{d\langle \eta(\mathbf{u}_h) \rangle_j}{dt} + Q(\mathbf{U}_j^p, \mathbf{U}_{j+1}^0) - Q(\mathbf{U}_{j-1}^p, \mathbf{U}_j^0) = -\frac{\epsilon_v}{2} \sum_{i=1}^2 \frac{(\gamma_i - 1)\mathbf{C}_{v_i} \llbracket \rho_i \rrbracket^2}{\hat{\rho}_i} + \overline{\rho_i} \overline{\theta_i} \llbracket u_i \rrbracket^2 - \overline{\rho_i} \mathbf{C}_{v_i} \llbracket T_i \rrbracket \llbracket \theta_i \rrbracket \leqslant 0,$$

where the two last terms in the RHS are analogous to the ones in the physical model [28] for a Prandtl number  $Pr_i = 3\gamma_i/4$ :

$$\partial_t \eta(\mathbf{u}) + \partial_x q(\mathbf{u}) = -\sum_i \frac{4\mu_i}{3} \left( \theta_i (\partial_x u_i)^2 - \frac{3C_{\mathsf{P}_i}}{4Pr_i} \partial_x T_i \partial_x \theta_i \right)$$

and  $\mu_i > 0$  is the dynamic viscosity coefficient and are therefore consistent with the small scale mechanisms. The first term in the RHS was seen to improve stability and robustness of the computations despite its lack of physical relevance.

#### **5.** Properties of the high-order DGSEM scheme for the Baer-Nunziato model

#### 351 5.1. Kinetic energy preservation

The equation for the kinetic energy of the model (1a) can be derived from the mass and momentum equations:

$$\partial_t K_i + \partial_x K_i u_i + u_i \partial_x \alpha_i p_i - p_I u_i \partial_x \alpha_i = 0, \quad i =$$

where  $K_i = \frac{1}{2} \alpha_i \rho_i u_i^2$  is the partial kinetic energy of the *i*th phase. These equations contain nonconservative terms 354 of pressure work and energy transfer between the phases. The property of kinetic energy preservation by numerical 355 schemes was introduced in [42] for the compressible Euler equations, where a general condition was provided to 356 impose kinetic energy preservation for finite volume schemes, and was seen to be useful in turbulent flow simulations. 357 Kinetic energy preservation was later extended to high-order nodal DG schemes in [30, 31] and we refer to [47] for 358 split forms of the convective terms in the compressible Euler equations that lead to kinetic energy preserving schemes. 359 According to [31, Theorem 2] it is sufficient to show that the volume terms of the advective part of the cell-averaged 360 kinetic energy can be written in conservation form. 361

Theorem 5.1. The discretization of the volume integral in (36) with the numerical fluxes (44) is kinetic energy preserving.

*Proof.* Let us consider the time derivative and volume term of the advective parts of the mass and momentum equations of phase i = 1, 2 in (36). Using (44) they read

$$\Delta K_{i,j}^{\alpha\rho,k} = \frac{\omega_k h}{2} d_l(\alpha_{i,j}^k \rho_{i,j}^k) + \sum_{l=0}^p 2\omega_k D_{kl} h_i^{\alpha\rho}(\mathbf{U}_j^k, \mathbf{U}_j^l), \quad \Delta K_{i,j}^{\alpha\rho\mu,k} = \frac{\omega_k h}{2} d_l(\alpha_{i,j}^k \rho_{i,j}^k u_{i,j}^k) + \sum_{l=0}^p 2\omega_k D_{kl} \frac{u_{i,j}^k + u_{i,j}^l}{2} h_i^{\alpha\rho}(\mathbf{U}_j^k, \mathbf{U}_j^l),$$

with  $h_i^{\alpha\rho}(\mathbf{u}^-, \mathbf{u}^+) = \frac{1}{2} \left( h_{\rho_i}(\mathbf{u}^-, \mathbf{u}^+) + h_{\rho_i}(\mathbf{u}^+, \mathbf{u}^-) \right)^{(44)} = \overline{\alpha}_i \overline{u}_i \hat{\rho}_i$ . Introducing  $K_{i,j}^k = \frac{1}{2} \alpha_{i,j}^k \rho_{i,j}^k (u_{i,j}^k)^2$ , we have

$$\sum_{k=0}^{p} u_{i,j}^{k} \Delta K_{i,j}^{\alpha \rho u,k} - \frac{(u_{i,j}^{k})^{2}}{2} \Delta K_{i,j}^{\alpha \rho,k} = \sum_{k=0}^{p} \frac{\omega_{k}h}{2} d_{t}(K_{i,j}^{k}) + \sum_{k,l=0}^{p} 2\omega_{k} D_{kl} \Big( u_{i,j}^{k} \frac{u_{i,j}^{k} + u_{l,j}^{l}}{2} - \frac{(u_{i,j}^{k})^{2}}{2} \Big) h_{i}^{\alpha \rho}(\mathbf{U}_{j}^{k}, \mathbf{U}_{j}^{l})$$

$$= d_{t} \langle K_{i}(\mathbf{u}_{h}) \rangle_{j} + \sum_{k,l=0}^{p} 2\omega_{k} D_{kl} \frac{u_{i,j}^{k} u_{l,j}^{l}}{2} h_{i}^{\alpha \rho}(\mathbf{U}_{j}^{k}, \mathbf{U}_{j}^{l})$$

$$\overset{(23)}{=} d_{t} \langle K_{i}(\mathbf{u}_{h}) \rangle_{j} + \sum_{k,l=0}^{p} \omega_{k} D_{kl} \frac{u_{i,j}^{k} u_{i,j}^{l}}{2} h_{i}^{\alpha \rho}(\mathbf{U}_{j}^{k}, \mathbf{U}_{j}^{l}) - \sum_{k,l=0}^{p} \omega_{l} D_{lk} \frac{u_{i,j}^{k} u_{i,j}^{l}}{2} h_{i}^{\alpha \rho}(\mathbf{U}_{j}^{k}, \mathbf{U}_{j}^{l}) + u_{i,j}^{p} K_{i,j}^{p} - u_{i,j}^{0} K_{i,j}^{0}$$
$$= d_{t} \langle K_{i}(\mathbf{u}_{h}) \rangle_{j} + u_{i,j}^{p} K_{i,j}^{p} - u_{i,j}^{0} K_{i,j}^{0},$$

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by symmetry of  $h_i^{\alpha\rho}(\mathbf{u}^-,\mathbf{u}^+)$ , which concludes the proof.

### 374 5.2. Positivity of the numerical solution

High-order time integration is made through the use of strong stability-preserving explicit Runge-Kutta schemes
 [60] that are convex combinations of explicit first-order schemes in time. Therefore, we focus on the fully discrete
 scheme by using a one-step first-order explicit time discretization.

We use the notation  $t^{(n)} = n\Delta t$  with  $\Delta t > 0$  the time step, and set  $\lambda = \frac{\Delta t}{h}$ ,  $\mathbf{u}_{h}^{(n)}(\cdot) = \mathbf{u}_{h}(\cdot, t^{(n)})$  and  $\mathbf{U}_{j}^{k,n} = \mathbf{U}_{j}^{k}(t^{(n)})$ . The fully discrete scheme reads

$$\frac{\omega_k}{2} (\mathbf{U}_j^{k,n+1} - \mathbf{U}_j^{k,n}) + \lambda \mathbf{R}_j^k(\mathbf{u}_h^{(n)}) = 0,$$
(55)

where  $\mathbf{R}_{j}^{k}(\cdot)$  is defined in (36). Our analysis of the discrete scheme provides conditions on the numerical parameters that guarantee the positivity of the cell-averaged partial densities and a maximum principle on the cell-averaged void fraction. Unfortunately, we were not able to derive conditions for positivity of the partial internal energies, i.e.,  $\rho_i e_i > p_{i,\infty}$ , and we refer to [19] for a first-order scheme that guaranties such condition.

**Theorem 5.2.** Assume that  $\rho_{i,j\in\mathbb{Z}}^{0\leqslant k\leqslant p,n} > 0$ ,  $\alpha_{i,j\in\mathbb{Z}}^{0\leqslant k\leqslant p,n} > 0$  for i = 1, 2 and let  $\beta_s$ , in (44), be locally defined at element interfaces, then under the CFL condition

$$\lambda \max_{j \in \mathbb{Z}} \max_{i=1,2} \left( \max_{0 \leq k \leq p} \frac{1}{\omega_{k}} \left( \langle \mathbf{u}_{\mathbf{I}_{h}^{(n)}}, d_{x} \phi_{j}^{k} \rangle_{j}^{p} + \delta_{kp} \frac{\beta_{s_{j+1/2}} - \mathbf{u}_{\mathbf{I}_{j}^{(n)}}}{2} + \delta_{k0} \frac{\beta_{s_{j-1/2}} + \mathbf{u}_{\mathbf{I}_{j}^{(n)}}}{2} \right), \\ \frac{1}{\omega_{0}} \left( \frac{(\beta_{s_{j-1/2}} - \overline{u}_{i,j-1/2})\hat{\rho}_{i,j-1/2}}{2\rho_{i,j}^{0,n}} + \frac{\epsilon_{\nu_{j-1/2}}}{\alpha_{i,j}^{0,n}} \right), \frac{1}{\omega_{p}} \left( \frac{(\beta_{s_{j+1/2}} + \overline{u}_{i,j+1/2})\hat{\rho}_{i,j+1/2}}{2\rho_{i,j}^{p,n}} + \frac{\epsilon_{\nu_{j+1/2}}}{\alpha_{i,j}^{0,n}} \right) \right) < \frac{1}{2},$$
(56)

388 where  $\overline{u}_{i,j+1/2} = \frac{u_{i,j}^{p,n} + u_{i,j+1}^{0,n}}{2}$ ,  $\hat{\rho}_{i,j+1/2} = \frac{\rho_{i,j+1}^{0,n} - \rho_{i,j}^{p,n}}{\ln \rho_{i,j+1}^{0,n} - \ln \rho_{i,j}^{p,n}}$ , and

$$\beta_{s_{j+1/2}} := \max_{i=1,2} (|u_{i,j}^{p,n}|, |u_{i,j+1}^{0,n}|), \tag{57}$$

we have for the cell averaged solution at time  $t^{(n+1)}$ 

$$\langle \alpha_{i,h} \rho_{i,h} \rangle_j^{(n+1)} > 0, \quad \langle \alpha_{i,h} \rangle_j^{(n+1)} > 0, \quad i = 1, 2, \quad j \in \mathbb{Z}.$$

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Furthermore, 

$$\langle \alpha_{i,h} \rangle_{j}^{(n+1)} = \sum_{k=0}^{p} \left( \frac{\omega_{k}}{2} - \lambda \left( \langle \mathbf{u}_{\mathbf{I}_{h}^{(n)}}, d_{x} \phi_{j}^{k} \rangle_{j}^{p} + \delta_{kp} \frac{\beta_{s_{j+1/2}} - \mathbf{u}_{\mathbf{I}_{j}^{p,n}}}{2} + \delta_{k0} \frac{\beta_{s_{j-1/2}} + \mathbf{u}_{\mathbf{I}_{j}^{0}}}{2} \right) \right) \alpha_{i,j}^{k,n}$$

$$+ \lambda \frac{\beta_{s_{j+1/2}} - \mathbf{u}_{\mathbf{I}_{j}^{p,n}}}{2} \alpha_{i,j+1}^{0,n} + \lambda \frac{\beta_{s_{j-1/2}} + \mathbf{u}_{\mathbf{I}_{j}^{0,n}}}{2} \alpha_{i,j-1}^{p,n}$$

$$(58)$$

is a convex combination of DOFs at time  $t^{(n)}$ . 

*Proof.* Summing over  $0 \le k \le p$  the first component of (55) for the void fraction we obtain 

$$\begin{aligned} \langle \alpha_{i,h} \rangle_{j}^{(n+1)} &\coloneqq \sum_{k=0}^{p} \frac{\omega_{k}}{2} \alpha_{i,j}^{k,n+1} \\ &= \sum_{k=0}^{p} \frac{\omega_{k}}{2} \alpha_{i,j}^{k,n} - \lambda \Big( \sum_{l=0}^{p} \omega_{k} D_{kl} \mathbf{u}_{lj}^{k,n} \alpha_{i,j}^{l,n} + \delta_{kp} \frac{\mathbf{u}_{lj}^{p,n} - \beta_{s_{j+1/2}}}{2} (\alpha_{i,j+1}^{0,n} - \alpha_{i,j}^{p,n}) + \delta_{k0} \frac{\mathbf{u}_{lj}^{0,n} + \beta_{s_{j-1/2}}}{2} (\alpha_{i,j}^{0,n} - \alpha_{i,j-1}^{p,n}) \Big) \\ &\stackrel{(21)}{=} \sum_{k=1}^{p-1} \left( \frac{\omega_{k}}{2} - \lambda \langle \mathbf{u}_{lh}^{(n)}, d_{x} \phi_{j}^{k} \rangle_{j}^{p} \right) \alpha_{i,j}^{k,n} + \left( \frac{\omega_{0}}{2} - \lambda \left( \langle \mathbf{u}_{lh}^{(n)}, d_{x} \phi_{j}^{0} \rangle_{j}^{p} + \frac{\beta_{s_{j-1/2}} + \mathbf{u}_{lj}^{0,n}}{2} \right) \right) \alpha_{i,j}^{n,n} \\ &\quad + \left( \frac{\omega_{p}}{2} - \lambda \left( \langle \mathbf{u}_{lh}^{(n)}, d_{x} \phi_{j}^{p} \rangle_{j}^{p} + \frac{\beta_{s_{j+1/2}} - \mathbf{u}_{lj}^{p,n}}{2} \right) \right) \alpha_{i,j}^{p,n} + \lambda \frac{\beta_{s_{j-1/2}} + \mathbf{u}_{lj}^{0,n}}{2} \alpha_{i,j-1}^{p,n} + \lambda \frac{\beta_{s_{j+1/2}} - \mathbf{u}_{lj}^{p,n}}{2} \alpha_{i,j+1}^{0,n}, \end{aligned}$$

which is a convex combination of DOFs at time n with (57) and the following restriction on the time-step: 

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$$\lambda\left(\langle \mathbf{u}_{\mathbf{I}_{h}^{(n)}}, d_{x}\phi_{j}^{k}\rangle_{j}^{p} + \delta_{kp}\frac{\beta_{s_{j+1/2}} - \mathbf{u}_{\mathbf{I}_{j}^{p,n}}}{2} + \delta_{k0}\frac{\beta_{s_{j-1/2}} + \mathbf{u}_{\mathbf{I}_{j}^{0,n}}}{2}\right) < \frac{\omega_{k}}{2}, \quad 0 \leq k \leq p,$$

since from (6) we have  $\beta_{s_{j+1/2}} \ge \max(|\mathbf{u}_{I_{j}}^{p,n}|, |\mathbf{u}_{I_{j+1}}^{0,n}|)$ . For the cell-averaged partial densities, we use a similar technique to [67, 52] and sum over  $0 \le k \le p$  the second component in (55) for the partial densities to get 

$$\begin{split} \langle \alpha_{i,h}\rho_{i,h} \rangle_{j}^{(n+1)} &= \sum_{k=0}^{p} \frac{\omega_{k}}{2} \alpha_{i,j}^{k,n} \rho_{i,j}^{k,n} - \lambda \bigg( \bigg( \overline{u}_{i,j+1/2} \frac{\alpha_{i,j}^{p,n} + \alpha_{i,j+1}^{0,n}}{2} - \frac{\beta_{s_{j+1/2}}}{2} \Big( \alpha_{i,j+1}^{0,n} - \alpha_{i,j}^{p,n} \Big) \Big) \hat{\rho}_{i,j+1/2} - \epsilon_{v_{i,j+1/2}} \Big( \rho_{i,j+1}^{0,n} - \rho_{i,j}^{p,n} \Big) \Big) \\ &+ \lambda \bigg( \bigg( \overline{u}_{i,j-1/2} \frac{\alpha_{i,j-1}^{p,n} + \alpha_{i,j}^{0,n}}{2} - \frac{\beta_{s_{j-1/2}}}{2} \Big( \alpha_{i,j}^{0,n} - \alpha_{i,j-1}^{p,n} \Big) \Big) \hat{\rho}_{i,j-1/2} - \epsilon_{v_{i,j-1/2}} \Big( \rho_{i,j}^{0,n} - \rho_{i,j-1}^{p,n} \Big) \Big) \\ &= \sum_{k=1}^{p-1} \frac{\omega_{k}}{2} \alpha_{i,j}^{k,n} \rho_{i,j}^{k,n} \\ &+ \bigg( \frac{\omega_{p}}{2} - \lambda \bigg( \frac{\beta_{s_{j+1/2}} + \overline{u}_{i,j+1/2}}{2} \frac{\hat{\rho}_{i,j+1/2}}{\rho_{i,j}^{p,n}} + \frac{\epsilon_{v_{i,j+1/2}}}{\alpha_{i,j}^{p,n}} \bigg) \bigg) \alpha_{i,j}^{p,n} \rho_{i,j}^{p,n} + \lambda \bigg( \frac{\beta_{s_{j+1/2}} - \overline{u}_{i,j+1/2}}{2} \frac{\hat{\rho}_{i,j+1}}{\alpha_{i,j+1}^{0,n}} \bigg) \alpha_{i,j+1}^{0,n} \rho_{i,j+1}^{0,n} \\ &+ \bigg( \frac{\omega_{0}}{2} - \lambda \bigg( \frac{\beta_{s_{j-1/2}} - \overline{u}_{i,j-1/2}}{2} \frac{\hat{\rho}_{i,j-1/2}}{\rho_{i,j}^{0,n}} + \frac{\epsilon_{v_{i,j-1/2}}}{\alpha_{i,j}^{0,n}} \bigg) \bigg) \alpha_{i,j}^{0,n} \rho_{i,j}^{0,n} + \lambda \bigg( \frac{\beta_{s_{j-1/2}} + \overline{u}_{i,j-1/2}}{2} \frac{\hat{\rho}_{i,j-1}^{p,n}}{\alpha_{i,j+1}^{0,n}} \bigg) \alpha_{i,j-1}^{p,n} \rho_{i,j}^{p,n} \\ &+ \bigg( \frac{\omega_{0}}{2} - \lambda \bigg( \frac{\beta_{s_{j-1/2}} - \overline{u}_{i,j-1/2}}{2} \frac{\hat{\rho}_{i,j-1/2}}{\rho_{i,j}^{0,n}} + \frac{\epsilon_{v_{i,j-1/2}}}{\alpha_{i,j}^{0,n}}} \bigg) \bigg) \alpha_{i,j}^{0,n} \rho_{i,j}^{0,n} + \lambda \bigg( \frac{\beta_{s_{j-1/2}} + \overline{u}_{i,j-1/2}}{2} \frac{\hat{\rho}_{i,j-1}^{p,n}}{\rho_{i,j-1}^{p,n}} + \frac{\epsilon_{v_{i,j-1}}}{\alpha_{i,j-1}^{p,n}} \bigg) \alpha_{i,j-1}^{p,n} \rho_{i,j-1}^{p,n} \\ &+ \bigg( \frac{\omega_{0}}{2} - \lambda \bigg( \frac{\beta_{s_{j-1/2}} - \overline{u}_{i,j-1/2}}{2} \frac{\hat{\rho}_{i,j-1/2}}{\rho_{i,j}^{0,n}} + \frac{\epsilon_{v_{i,j-1/2}}}{\alpha_{i,j}^{0,n}}} \bigg) \bigg) \alpha_{i,j}^{0,n} \rho_{i,j}^{0,n} \\ &+ \bigg( \frac{\omega_{0}}{2} - \lambda \bigg( \frac{\beta_{s_{j-1/2}} - \overline{u}_{i,j-1/2}}}{2} \frac{\hat{\rho}_{i,j-1/2}}{\rho_{i,j}^{0,n}} + \frac{\epsilon_{v_{i,j-1/2}}}}{2} \frac{\hat{\rho}_{i,j-1}^{0,n}}{\rho_{i,j-1}^{0,n}}} \bigg) \bigg) \alpha_{i,j}^{0,n} \\ &+ \bigg( \frac{\omega_{0}}{2} - \lambda \bigg( \frac{\beta_{s_{j-1/2}} - \overline{u}_{i,j-1/2}}}{2} \frac{\hat{\rho}_{i,j-1/2}}{\rho_{i,j-1}^{0,n}} + \frac{\epsilon_{v_{i,j-1/2}}}{2} \frac{\hat{\rho}_{i,j-1/2}^{0,n}}{\rho_{i,j-1}^{0,n}}} \bigg) \bigg) \alpha_{i,j}^{0,n} \\ &+ \bigg( \frac{\omega_{0}}{2} - \lambda \bigg( \frac{\beta_{0,j}}{2} + \frac{\omega_{0,j}}{2} + \frac{\omega_{0,j}}{2}$$

and is positive if 

$$\lambda \left( \frac{\beta_{s_{j-1/2}} - \overline{u}_{i,j-1/2}}{2} \frac{\hat{\rho}_{i,j-1/2}}{\rho_{i,j}^{0,n}} + \frac{\epsilon_{\nu_{i,j-1/2}}}{\alpha_{i,j}^{0,n}} \right) \leqslant \frac{\omega_0}{2}, \quad \lambda \left( \frac{\beta_{s_{j+1/2}} + \overline{u}_{i,j+1/2}}{2} \frac{\hat{\rho}_{i,j+1/2}}{\rho_{i,j}^{p,n}} + \frac{\epsilon_{\nu_{i,j+1/2}}}{\alpha_{i,j}^{p,n}} \right) \leqslant \frac{\omega_p}{2},$$

provided  $\epsilon_{\nu_{i,j\pm 1/2}} \ge 0$  and (57). 

#### 406 5.3. A posteriori limiters

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The properties of Theorem 5.2 hold only for the cell averaged value of the numerical solution at time  $t^{(n+1)}$ , which can be extended to nodal values by using a posteriori limiters [68, 67]. We here limit the void fraction with the bounds of its initial value over the whole domain, while we enforce positivity of the partial densities, similar to [54]. The limiter reads

 $\tilde{\mathbf{U}}_{j}^{k,n+1} = \theta_{j} \Big( \mathbf{U}_{j}^{k,n+1} - \langle \mathbf{u}_{h} \rangle_{j}^{(n+1)} \Big) + \langle \mathbf{u}_{h} \rangle_{j}^{(n+1)}, \quad 0 \leq k \leq p, \quad j \in \mathbb{Z},$ (59)

with  $0 \leq \theta_j \leq 1$  defined by  $\theta_j := \min(\theta_j^{\rho_i}, \theta_j^{\alpha_i} : i = 1, 2)$  where

$$\theta_{j}^{\rho_{i}} = \min\left(\frac{\langle \alpha_{i,h}\rho_{i,h}\rangle_{j}^{(n+1)} - \epsilon}{\langle \alpha_{i,h}\rho_{i,h}\rangle_{j}^{(n+1)} - (\alpha_{i}\rho_{i})_{j}^{min}}, 1\right), \quad (\alpha_{i}\rho_{i})_{j}^{min} = \min_{0 \le k \le p} (\alpha_{i}\rho_{i})_{j}^{k,n+1}, \\ \theta_{j}^{\alpha_{i}} = \min\left(\frac{\langle \alpha_{i,h}\rangle_{j}^{(n+1)} - m_{i,j}^{\alpha}}{\langle \alpha_{i,h}\rangle_{j}^{(n+1)} - \alpha_{i,j}^{min}}, \frac{M_{i,j}^{\alpha} - \langle \alpha_{i,h}\rangle_{j}^{(n+1)}}{\alpha_{i,j}^{max} - \langle \alpha_{i,h}\rangle_{j}^{(n+1)}}, 1\right), \quad \alpha_{i,j}^{min} = \min_{0 \le k \le p} \alpha_{i,j}^{k,n+1}, \quad \alpha_{i,j}^{max} = \max_{0 \le k \le p} \alpha_{i,j}^{k,n+1},$$
(60)

414  $0 < \epsilon \ll 1$  is a parameter (we set  $\epsilon = 10^{-8}$  in our numerical tests), and

$$m_{i,j}^{\alpha} = \min_{j \in \mathbb{Z}} \min_{0 \leqslant k \leqslant p} \alpha_{i,j}^{k,0}, \quad M_{i,j}^{\alpha} = \max_{j \in \mathbb{Z}} \max_{0 \leqslant k \leqslant p} \alpha_{i,j}^{k,0}.$$

The limiter (60) guarantees that  $\tilde{\rho}_{j}^{0 \leq k \leq p, n+1} > 0$  together with the following bounds on the void fractions  $m_{i,j}^{\alpha} \leq \tilde{\alpha}_{j}^{0 \leq k \leq p, n+1} \leq M_{i,j}^{\alpha}$ .

#### **6.** Numerical tests in one space dimension

In this section we assess the high-order accuracy, robustness, and nonlinear stability of the numerical scheme for 419 the Baer-Nunziato model by considering numerical tests for the initial value problem (1). We recall the numerical 420 scheme in Appendix A. We use  $u_I = u_2$  and  $p_I = p_1$  as the interfacial variables (6). Unless stated otherwise, 421 all numerical tests are performed with fourth order accuracy in space, p = 3, on a unit domain  $\Omega = [-0.5, 0.5]$ 422 discretized with a uniform mesh of 100 cells. The values of the numerical dissipation parameter  $\epsilon_v$  in (54) lie in the 423 range [0.1, 0.5]. The time integration is performed by using the three-stage third-order strong stability-preserving 424 Runge-Kutta scheme by Shu and Osher [60]. The limiter (59) is applied at the end of each stage. The time step 425 is computed through (56). The numerical experiments of sections 6 and 7 have been obtained with the CFD code 426 Aghora developed at ONERA [56]. 427

#### 428 6.1. Advection of density and void fraction waves

We first test the high-order accuracy of the scheme (35). Let us consider a unit domain with periodic conditions and the following initial condition  $\mathbf{u}_0(x)$ 

$$\alpha_{1,0}(x) = \frac{1}{2} + \frac{1}{4}\sin(4\pi x), \quad \rho_{i,0}(x) = 1 + \frac{1}{2}\sin(2\pi x), \quad u_{i,0}(x) = 1, \quad p_{i,0}(x) = 1, \quad i = 1, 2,$$

which results in a density wave and a void fraction wave with different frequencies and amplitudes that are purely advected in a uniform flow. The EOS parameters in (5) are  $\gamma_1 = 1.4$ ,  $p_{\infty_1} = 2.0$  and  $\gamma_2 = 3.0$ ,  $p_{\infty_2} = 5.0$ .

Table 1 indicates the values of the norms of the error on  $\frac{1}{2}(\rho_1 + \rho_2)$  obtained at final time  $T_{max} = 5$  with different polynomial degrees and grid refinements, as well as the associated orders of convergence. We observe, as the mesh is refined, that the expected p + 1 order of convergence is recovered with the present scheme.

#### 437 6.2. Riemann Problems

We now consider a series of Riemann problems from [9, 64, 19] to assess the entropy conservation, robustness, and stability properties of the present scheme. The initial condition reads

$$\mathbf{u}_0(x) = \begin{cases} \mathbf{u}_L, & x < x_0, \\ \mathbf{u}_R, & x > x_0. \end{cases}$$

Table 2 contains the initial conditions for the different Riemann problems, while the physical parameters are given in Table 3.

		1	-		-		-
p	h	$\ e_h\ _{L^1(\Omega_h)}$	$O_1$	$\ e_h\ _{L^2(\Omega_h)}$	$O_2$	$\ e_h\ _{L^{\infty}(\Omega_h)}$	$O_{\infty}$
	1/32	4.51E-02	-	5.08E-02	-	8.52E-02	-
1	1/64	7.71E-03	2.55	9.75E-03	2.38	2.05E-02	2.05
1	1/128	2.90E-03	1.41	3.38E-03	1.53	6.79E-03	1.59
	1/256	7.67E-04	1.92	8.88E-04	1.93	1.71E-03	1.99
	1/32	2.08E-04	-	2.24E-04	-	5.41E-04	-
2	1/64	1.93E-05	3.43	2.49E-05	3.29	5.88E-05	3.20
2	1/128	2.59E-06	2.90	3.29E-06	2.92	8.16E-06	2.85
	1/256	3.43E-07	2.92	4.40E-07	2.90	1.25E-06	2.71
	1/32	1.33E-06	-	1.74E-06	-	5.57E-06	-
2	1/64	4.21E-08	4.98	6.19E-08	4.81	2.49E-07	4.48
3	1/128	2.28E-09	4.21	3.55E-09	4.12	1.53E-08	4.03
	1/256	1.41E-40	4.02	2.22E-10	3.99	1.00E-09	3.93

**Table 1:** Test for high-order accuracy: different norms of the error on densities under *p*- and *h*-refinements and associated orders of convergence at final time  $T_{max} = 5$ .

Test case		$\alpha_1$	$ ho_1$	$u_1$	$\mathbf{p}_1$	$ ho_2$	$u_2$	$p_2$
FC	$\mathbf{u}_L$	0.5	1.0	0.0	1.0	1.0	0.0	1.0
EC	$\mathbf{u}_R$	0.5	1.125	0.0	1.1	1.125	0.0	1.1
DD1	$\mathbf{u}_L$	0.1	1.0	1.0	1.0	1.5	1.0	1.0
KPI	$\mathbf{u}_R$	0.9	2.0	1.0	1.0	1.0	1.0	1.0
	$\mathbf{u}_L$	0.8	2.0	0.0	3.0	1900.0	0.0	10.0
Kr2	$\mathbf{u}_R$	0.1	1.0	0.0	1.0	1950.0	0.0	1000.0
DD3	$\mathbf{u}_L$	0.2	0.99988	-1.99931	0.4	0.99988	-1.99931	0.4
KI J	$\mathbf{u}_R$	0.5	0.99988	1.99931	0.4	0.99988	1.99931	0.4
 DD/	$\mathbf{u}_L$	0.3	1.0	-19.59741	1000.0	1.0	-19.59716	1000.0
KI4	$\mathbf{u}_R$	0.8	1.0	-19.59741	0.01	1.0	-19.59741	0.01
PP5	$\mathbf{u}_L$	0.999	1.6	1.79057	5.0	2.0	1.0	10.0
KI J	$\mathbf{u}_R$	0.001	2.0	1.0	10.0	2.67183	1.78888	15.0

Table 2: Initial conditions for the Riemann problems.

#### 443 6.2.1. Test for entropy conservation

The property of entropy conservation of the numerical fluxes (30) in the modified scheme (35) is validated based from the experimental setup introduced in [9]. Here we only focus on entropy conservative fluxes, so we choose  $\epsilon_v = 0$ in (54). The initial condition corresponds to the test case EC in Table 2 which generates discontinuities of moderate strength in each phase. We impose periodic boundary conditions and the global entropy should remain constant over the computational domain, while being modified only as a result of the time integration. We thus introduce the entropy budget

$$\mathcal{E}_{\Omega_h}(t) := h \Big| \sum_{\kappa_j \in \Omega_h} \langle \eta(\mathbf{u}_h) \rangle_j - \langle \eta(\mathbf{u}_0) \rangle_j \Big|, \tag{61}$$

which evaluates the variations in the computation of the cell-averaged entropy over the domain  $\Omega_h$ . The results in Table 4 show that the error (61) decreases to machine accuracy when refining the time step, with the order of convergence corresponding to the theoretical approximation order of the time integration scheme. This validates the entropy conservation of the numerical fluxes (30).

#### 455 6.2.2. Riemann problems

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The results of the Riemann problems in Table 2 are shown in Figures 2 to 6, where we compare the numerical results with the exact solutions from [64, 19].

<sup>458</sup> Here the test RP1 consists in the advection of a material interface in a uniform flow and the results in Figure 2 <sup>459</sup> show that the velocity and pressure of both phases remain uniform in time which may be related to the so-called

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	EC	RP1	RP2	RP3	RP4	RP5
$x_0$	0.0	0.0	0.0	0.0	0.3	0.0
$T_{max}$	0.15	0.25	0.15	0.15	0.007	0.05
$\gamma_1$	1.4	3.0	1.35	1.4	1.4	3.0
$\gamma_2$	1.4	1.4	3.0	1.4	3.0	1.4
$p_{\infty_1}$	0.1	0.1	0.0	0.0	0.0	0.0
$p_{\infty_2}$	0.0	0.0	3400.0	0.0	100.0	0.0

**Table 3:** Location of discontinuity on  $\Omega_h$ , final time, EOS parameters from (5).

time step	$\mathcal{E}_{\Omega_h}(t)$	0
$\Delta t$	6.85E-06	_
$\Delta t/2$	2.08E-06	2.94
$\Delta t/4$	2.65E-07	2.97
$\Delta t/8$	3.31E-08	2.99
$\Delta t/16$	4.14E-09	3.00
$\Delta t/32$	5.14E-10	3.00

**Table 4:** Global entropy budget and the corresponding order of convergence O when refining the time step at final time  $T_{max} = 0.15$ .

criterion of Abgrall [1]. The observed smearing of the contact is a consequence of the limiter (60) which is a common
 remark for all Riemann problems that we will consider.

The results for tests RP2 and RP3 in Figures 3 and 4 contain the development of shocks, rarefaction and contacts in both phases. The scheme captures the correct solutions, but the intermediate states contain small oscillations at the shock and rarefaction waves in phase 1 of RP2. It is however observed that as the mesh is refined all the intermediate states are accurately captured and the DG solution converges to the exact weak entropy solution. The scheme also proves to maintain the positivity of the partial densities in the near vacuum region of RP3, see Figure 4.

The capabilities of the scheme to resolve strong shocks are demonstrated in Figure 5 for the RP4 test case. Here the left-traveling rarefaction waves and the material discontinuity are well captured, whereas small oscillations are observed around the right-traveling shock in both phases. A possible reason could be that, as the dissipation is introduced in the numerical scheme through the interfaces, the internal DOFs may suffer from a lack of stabilization mechanism.

Finally, the test case RP5 probes the numerical scheme close to resonance (15) mimicking pure phases separated 472 by a material interface. Numerical experiments are given for two different grids. Note that we do not consider pure 473 phases in this work and restrict ourselves to conditions close to resonance (see [19] about the numerical difficulties 474 associated to resonance effects and the derivation of a robust scheme handling such phenomena). The design of the 475 present scheme is based on entropy variables (46) requiring the map  $\mathbf{u} \mapsto \mathbf{v}(\mathbf{u})$  to be one-to-one and thus excluding 476 pure phases. We indicate in Figure 6 the regions where the corresponding phases exist. The results show a correct 477 approximation of the intermediate states where either phase exists, while spurious oscillations occur but in regions 478 where the corresponding phase is absent. As the mesh is refined, we observe a damping of the oscillations where the 479 phase exist, but oscillations in the regions of vanishing phase persist. 480

#### **7.** Numerical tests in multiple space dimensions

<sup>482</sup> The Baer-Nunziato model in multiple space dimensions reads

$$\partial_t \mathbf{u} + \nabla \cdot \mathbf{f}(\mathbf{u}) + \mathbf{c}(\mathbf{u}) \nabla \mathbf{u} = 0, \quad \mathbf{x} \in \mathbb{R}^d, \ t \ge 0,$$
(62)

484 where

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$$\mathbf{u} := \begin{pmatrix} \alpha_i \\ \alpha_i \rho_i \\ \alpha_i \rho_i \mathbf{v}_i \\ \alpha_i \rho_i \mathbf{E}_i \end{pmatrix}, \quad \mathbf{f}(\mathbf{u}) := \begin{pmatrix} 0 \\ \alpha_i \rho_i \mathbf{v}_i^{\mathsf{T}} \\ \alpha_i (\rho_i \mathbf{v}_i \mathbf{v}_i^{\mathsf{T}} + p_i \mathbf{I}) \\ \alpha_i (\rho_i \mathbf{E}_i + p_i) \mathbf{v}_i^{\mathsf{T}} \end{pmatrix}, \quad \mathbf{c}(\mathbf{u}) \nabla \mathbf{u} := \begin{pmatrix} \mathbf{v}_I^{\mathsf{T}} \\ 0 \\ -p_I \mathbf{I} \\ -p_I \mathbf{v}_I^{\mathsf{T}} \end{pmatrix} \nabla \alpha_i, \quad i = 1, 2,$$



Fig. 2: Comparison of the fourth order accurate numerical solution to the exact solution for test case RP1 at final time  $T_{max} = 0.25$ .

with  $\mathbf{v}_i = (u_i, v_i, w_i)^{\top}$  the velocity vector of the *i*th phase,  $\mathbf{p}_i = \mathbf{p}_i(\rho_i, e_i)$  given by (5) and  $e_i = E_i - \frac{1}{2}\mathbf{v}_i \cdot \mathbf{v}_i$  the specific internal energy.

The DGSEM scheme (35) can be extended to (62). The derivation of the scheme for Cartesian meshes is introduced in Appendix B, while the numerical fluxes for the above model are presented in Appendix C. Unless stated otherwise, the time step is computed with the CFL condition in Appendix D and was seen to maintain positivity of the solution though it does not guaranty positivity of the partial internal energies.

Numerical experiments in two-space dimensions are given in the remainder of this section including tests on high order accuracy, entropy conservation, kinetic energy preservation, together with the simulation of a shock-bubble
 interaction problem.

#### 495 7.1. Advection of density and void fraction waves

We here reproduce the test on accuracy from section 6.1 and consider the pure advection of oblique void fraction and density waves in a uniform flow in a unit square with periodic boundary conditions. The initial condition reads

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$$\alpha_{1,0}(\mathbf{x}) = \frac{1}{2} + \frac{1}{4}\sin\left(4\pi(x+y)\right), \ \rho_{i,0}(\mathbf{x}) = 1 + \frac{1}{2}\sin\left(2\pi(x+y)\right), \ u_{i,0}(\mathbf{x}) = 1, \ v_{i,0}(\mathbf{x}) = 1, \ p_{i,0}(\mathbf{x}) = 1, \ i = 1, 2.$$
(63)

p	h	$\ e_h\ _{L^1(\Omega_h)}$	$O_1$	$\ e_h\ _{L^2(\Omega_h)}$	$O_2$	$\ e_h\ _{L^{\infty}(\Omega_h)}$	$O_{\infty}$
	1/32	1.00E-01	1.83	1.11E-01	1.82	1.83E-01	1.65
1	1/64	1.67E-02	2.58	2.03E-02	2.45	3.98E-02	2.20
1	1/128	4.86E-03	1.78	5.83E-03	1.80	1.16E-02	1.78
	1/32	4.84E-04	3.67	5.90E-04	3.65	1.22E-03	3.59
2	1/64	3.81E-05	3.66	4.92E-05	3.58	1.00E-04	3.61
2	1/128	2.77E-06	3.78	3.77E-06	3.71	1.06E-05	3.55
	1/32	2.77E-06	6.37	3.53E-06	6.28	1.32E-05	5.75
2	1/64	8.04E-08	5.11	1.03E-07	5.10	5.38E-07	4.61
3	1/128	4.18E-09	4.26	5.27E-09	4.29	2.96E-08	4.18

The EOS parameters in (5) are  $\gamma_1 = 1.4$ ,  $p_{\infty_1} = 2.0$  and  $\gamma_2 = 3.0$ ,  $p_{\infty_2} = 5.0$ . The obtained results are presented in Table 5. It is again observed that the expected p + 1 order of convergence is achieved.

**Table 5:** Test for high-order accuracy with initial condition (63): different norms of the errors on  $\frac{1}{2}(\rho_1 + \rho_2)$  under grid and polynomial degree refinements and associated orders of convergence at final time  $T_{max} = 5$ .

#### 501 7.2. Entropy conservation

We also check entropy conservation by using the same procedure as in section 6.2.1 on the unit square with periodic boundary conditions. The initial condition is EC in Table 2 with zero transverse velocity,  $v_i = 0$  for i = 1, 2, and we keep the same EOS parameters. The global entropy budget, similar to (61), is displayed in Table 6 when refining the time step. Again the conservation of entropy by the space discretization is observed.

time step	$\mathcal{E}_{\Omega}(t)$	0
$\Delta t$	7.49E-04	-
$\Delta t/2$	1.07E-04	2.81
$\Delta t/4$	1.37E-05	2.97
$\Delta t/8$	1.72E-06	2.99
$\Delta t/16$	2.15E-07	3.00
$\Delta t/32$	2.67E-08	3.01
$\Delta t/64$	3.19E-09	3.06

**Table 6:** Global entropy budget (61) in two space dimensions and the corresponding order of convergence O at final time  $T_{max} = 0.15$ .

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#### 507 7.3. Kinetic energy preservation

The property of kinetic energy preservation in Theorem 5.1 is here investigated. We propagate material and contact discontinuities in a unit square with periodic boundary conditions, following the initial condition  $\mathbf{u}_0(x, y) = \mathbf{u}_R$  if  $0 \le x, y \le \frac{1}{2}$  or  $\frac{1}{2} \le x, y \le 1$ , else  $\mathbf{u}_0(x, y) = \mathbf{u}_L$  (see Table 7). The EOS parameters for the two-phases are  $\gamma_1 = \gamma_2 = 1.4$ ,  $p_{\infty,1} = 0.1$ , and  $p_{\infty,2} = 0$ . For this test, the pressure fields are uniform and equal so that the kinetic energy is conserved.

Figure 7 presents the temporal variations of the global kinetic energy of the domain  $KE(t) = \int_{\Omega_h} \sum_{i=1}^2 \frac{1}{2} \alpha_i \rho_i u_i^2 dx$ from its initial value and we observe that KE(t) does not vary in time. We conclude that KE(t) is not changed by the advective terms, but only by the pressure work, which validates Theorem 5.1.

Test case		$\alpha_1$	$ ho_1$	$u_1$	$v_1$	$p_1$	$ ho_2$	$u_2$	$v_2$	<b>p</b> <sub>2</sub>
VED	$\mathbf{u}_L$	0.4	1.0	1.0	1.0	1.0	1.5	1.0	1.0	1.0
KEF	$\mathbf{u}_R$	0.6	2.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0

Table 7: Initial conditions for the kinetic energy preservation test case.

#### 516 7.4. Shock-bubble interaction

This numerical test involves the interaction between a shock wave and a material discontinuity. The test was introduced by Haas and Sturtevant [34] to experimentally study the interaction of a shock wave with a single discrete gas inhomogeneity. Later it was adopted as a numerical benchmark to validate the robustness and accuracy of various numerical schemes for compressible two-phase flows, see [53, 59, 32, 45, 40, 44, 63, 55] and references therein.

The computational domain  $\Omega_h = [0, 6.5] \times [0, 1.78]$  is discretized using a Cartesian mesh with 1300×356 elements. The initial condition involves a bubble of unit diameter containing a mixture of 95% of helium by volume ( $\alpha_1 = 0.95$ ) and 5% of air, to exclude resonance effects (15), in a domain filled with 5% of air. The center of the bubble is located at  $\mathbf{x} = (3.5, 0.89)$ . A left moving shock is initially placed at the rightmost edge of the bubble,  $x_0 = 4$ , and then moves to the left and interacts with the bubble. The initial condition is provided in Table 8.

	$\alpha_1$	$ ho_i$	<i>u</i> <sub>i</sub>	$v_i$	p <sub>i</sub>
Pre-shock air $(i = 2)$	0.05	1.3764	-0.3336	0.0	1.1213
Helium bubble $(i = 1)$	0.95	0.1819	0.0	0.0	0.7143
Post-shock air $(i = 2)$	0.05	1.0	0.0	0.0	0.7143

Table 8: Physical parameters for the initial condition of the shock-bubble interaction problem.

The EOS parameters for helium and air are  $\gamma_1 = 1.648$  and  $C_{v1} = 6.06$ , and  $\gamma_2 = 1.4$  and  $C_{v2} = 1.786$ , respectively. The physical model does not involve viscous effects so to avoid oscillations of the interface we smoothen the initial condition around the material interface following [45, 39, 8]. The numerical test is performed using periodic boundary conditions at the top and bottom boundaries, and non-reflective conditions on the left and right boundaries.

Figure 8 illustrates the deformation of the He bubble as the shock passes through it. The plotted fields are those of the void fraction for phase 1, the total pressure and numerical Schlieren. It is observed that the material interface and the shock are accurately captured without excessive smearing of the contact. Note however that, for the Baer-Nunziato model, the pressure field shows the presence of a secondary shock inside the bubble (see e.g. the Schlieren at  $t = 62\mu$ s). This secondary shock is due to the presence of air inside the bubble. Furthermore, as the shock leaves the bubble, vortices are generated on the bubble interface as a result of the Kevin-Helmoltz instability.

Figure 9 shows the space-time diagram for three characteristic points on the interface of the bubble. We compare the results obtained with the DGSEM scheme to reference data from [45]. The deformation of the bubble shows complete agreement with the reference data and indicate that the smooth initial condition does not affect the global deformation of the bubble.

Finally, we compare results obtained under mesh refinement in Figure 10. We observe a sharpening of the material
 interface and the excitation of Kelvin-Helmoltz vortices as the mesh is refined. The positions of the three characteristic
 points in Figure 9 are clearly unaffected by the mesh refinement.

#### 543 8. Concluding remarks

In this work, we derive a high-order entropy stable scheme for the Baer-Nunziato model [5, 58] for flows of two separated immiscible fluids in complete disequilibria with respect to the chemical, mechanical, thermal, and thermodynamic processes. Here we focus on the discretization of the convective part of the model and neglect the disequilibria source terms. The exchange of information at the interfaces of the fluids is governed through interface variables of pressure and velocity, for which we choose closure laws [18, 28] that allow the material interface to be associated to a LD field and an entropy inequality in conservative form to be derived from the model. The model is closed with stiffened gas EOS relevant for both gas and liquid phases.

The space discretization is performed by using the semi-discrete entropy stable DGSEM framework proposed in [54], which involves modifying the integration over cell elements by replacing the physical fluxes with two point entropy conservative fluxes in fluctuation form [51, 11], while employing entropy stable fluctuation fluxes at the cell interfaces. This framework is here generalized to include both conservative and nonconservative terms to allow a conservative discretization of the former ones. The entropy conservative fluxes are derived by using the condition in [11], to which we add upwind type dissipation to obtain the entropy stable fluxes. The semi-discrete scheme is high-order accurate for smooth solutions, satisfies an entropy inequality, and is kinetic energy preserving. We use a method of lines with an explicit time integration and propose conditions on the numerical parameters that guarantee the positivity of the cell-averaged partial densities and a maximum principle on the void fraction for the fully discrete scheme coupled with a first-order forward Euler discretization. High-order integration in time is performed using strong stability-preserving explicit Runge-Kutta schemes [60]. The positivity of the solution is then extended to nodal values using a posteriori limiters adapted from [67, 68, 52].

The numerical tests involve specific test cases that support the high-order accuracy, stability and robustness of the semi-discrete scheme in one and two space dimensions. Riemann problems are performed in one space dimension involving the development of strong shocks, contacts, near vacuum regions, and vanishing phases. The results obtained with a fourth-order scheme show that the present method captures the physically relevant solution. The intermediate states are well resolved, as well as the shocks and contacts and the computation is shown to be robust in situations close to either vacuum, or resonance. Furthermore, the application to the simulation of a shock-bubble interaction problem in two space dimensions confirm the accurate approximation of the shock and material interfaces.

Future work will concern the consideration of stiff relaxation source terms for a mixture of gas and liquid and their modeling to achieve both entropy stability and Galilean invariance in the same way as what has been done in [6] for modeling deflagration-to-detonation transition in granular explosives.

#### 573 Acknowledgement

The authors would like to thank Prof. Soshi Kawai for sharing the reference data for the space-time diagrams in Figure 9.

#### 576 Appendix A. The semi-discrete DGSEM for the Baer-Nunziato model

<sup>577</sup> Here we recall the semi-discrete scheme (35)

$$\frac{\omega_k h}{2} \frac{d\mathbf{U}_j^k}{dt} + \omega_k \sum_{l=0}^p \tilde{\mathbf{D}}(\mathbf{U}_j^k, \mathbf{U}_j^l) D_{kl} + \delta_{kp} \mathbf{D}^-(\mathbf{U}_j^p, \mathbf{U}_{j+1}^0) + \delta_{k0} \mathbf{D}^+(\mathbf{U}_{j-1}^p, \mathbf{U}_j^0) = 0,$$

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$$\tilde{\mathbf{D}}(\mathbf{u}^-,\mathbf{u}^+) = 2\mathbf{h}(\mathbf{u}^-,\mathbf{u}^+) + \mathbf{d}^-(\mathbf{u}^-,\mathbf{u}^+) - \mathbf{d}^+(\mathbf{u}^+,\mathbf{u}^-),$$

and to which we apply the numerical fluxes from Propositions 4.1 and 4.2, that gives the semi-discrete system of equations for the two-phase Baer-Nunziato model (2) at each DOF k of cell j at time n:

$$\frac{\omega_{k}h}{2} \frac{d}{dt} \alpha_{1,j}^{k,n} + \omega_{k} \sum_{l=0}^{p} \mathbf{u}_{1,j}^{k,n} \alpha_{1,j}^{l,n} D_{kl} + \delta_{kp} \left( (\mathbf{u}_{1j}^{p,n} - \beta_{sj+1/2}) \frac{\llbracket \alpha_{1} \rrbracket_{j+1/2}}{2} \right) + \delta_{k0} \left( (\mathbf{u}_{1j}^{0,n} + \beta_{s_{j-1/2}}) \frac{\llbracket \alpha_{1} \rrbracket_{j-1/2}}{2} \right) = 0,$$

$$\frac{\omega_{k}h}{2} \frac{d}{dt} (\alpha_{i}\rho_{i})_{j}^{k,n} + \omega_{k} \sum_{l=0}^{p} 2h_{\rho_{i}} (\mathbf{U}_{j}^{k,n}, \mathbf{U}_{j}^{l,n}) D_{kl} + \delta_{kp} \left( h_{\rho_{i,j+1/2}} - \beta_{s_{j+1/2}} \frac{\llbracket \alpha_{i} \rrbracket_{j+1/2}}{2} \tilde{h}_{\rho_{i,j+1/2}} - (\alpha_{i}\rho_{i}u_{i})_{j}^{p,n} - \frac{\epsilon_{\nu_{j+1/2}}}{2} \max \left( \rho_{\mathbf{A}}(\mathbf{u}_{j}^{p,n}), \rho_{\mathbf{A}}(\mathbf{u}_{j+1}^{0,n}) \right) \llbracket \rho_{i} \rrbracket_{j+1/2} \right)$$

$$+ \delta_{k0} \left( \beta_{s_{j-1/2}} \frac{\llbracket \alpha_{i} \rrbracket_{j-1/2}}{2} \tilde{h}_{\rho_{i,j-1/2}} - h_{\rho_{i,j-1/2}} + (\alpha_{i}\rho_{i}u_{i})_{j}^{0,n} + \frac{\epsilon_{\nu_{j-1/2}}}{2} \max \left( \rho_{\mathbf{A}}(\mathbf{u}_{j-1}^{0,n}), \rho_{\mathbf{A}}(\mathbf{u}_{j}^{0,n}) \right) \llbracket \rho_{i} \rrbracket_{j-1/2} \right) = 0, \quad i = 1, 2,$$

$$\begin{split} \frac{\omega_{k}h}{2} \frac{d}{dt} (\alpha_{i}\rho_{i}u_{i})_{j}^{k,n} + \omega_{k} \sum_{l=0}^{p} \left( 2h_{\rho u_{l}}(\mathbf{U}_{j}^{k,n},\mathbf{U}_{j}^{l,n}) - \mathbf{p}_{lj}^{k,n}\alpha_{j}^{l,n} \right) D_{kl} \\ &+ \delta_{kp} \left( h_{\rho u_{l,j+1/2}} - \left( \beta_{s_{j+1/2}}\tilde{h}_{\rho \mu u_{l,j+1/2}} + \mathbf{p}_{lj}^{p,n} \right) \frac{\|\alpha_{i}\|_{j+1/2}}{2} - \alpha_{i,j}^{p,n} (\rho_{i}u_{i} + \mathbf{p}_{i})_{j}^{p,n} \\ &- \frac{\epsilon_{v_{j+1/2}}}{2} \max \left( \rho_{A}(\mathbf{u}_{j}^{p,n}), \rho_{A}(\mathbf{u}_{j+1}^{0,n}) \right) \|\rho_{i}u_{i}\|_{j+1/2}}{2} - h_{\rho u_{i,j-1/2}} + \alpha_{i,j}^{0,n} (\rho_{i}u_{i} + \mathbf{p}_{i})_{j}^{p,n} \\ &+ \delta_{k0} \left( \left( \beta_{s_{j-1/2}}\tilde{h}_{\rho u_{i,j-1/2}} - \mathbf{p}_{l,j}^{0,n} \right) \frac{\|\alpha_{i}\|_{j-1/2}}{2} - h_{\rho u_{i,j-1/2}} + \alpha_{i,j}^{0,n} (\rho_{i}u_{i} + \mathbf{p}_{i})_{j}^{0,n} \\ &+ \frac{\epsilon_{v_{l+1/2}}}{2} \max \left( \rho_{A}(\mathbf{u}_{j-1}^{0,n}), \rho_{A}(\mathbf{u}_{j}^{p,n}) \right) \|\rho_{i}u_{i}\|_{j-1/2} \right) = 0, \quad i = 1, 2, \end{split}$$

$$\frac{\omega_{k}h}{2} \frac{d}{dt} (\alpha_{i}\rho_{i}E_{i})_{j}^{k,n} + \omega_{k} \sum_{l=0}^{p} \left( 2h_{\rho E_{i}}(\mathbf{U}_{j}^{k,n}, \mathbf{U}_{j}^{l,n}) - \mathbf{p}_{lj}^{k,n} \mathbf{u}_{lj}^{k,n} \alpha_{l,j}^{l,n} \right) D_{kl} \\ &+ \delta_{kp} \left( h_{\rho E_{i,j+1/2}} - \left( \beta_{s_{j+1/2}}\tilde{h}_{\rho E_{i,j+1/2}} + \mathbf{p}_{lj}^{p,n} \mathbf{u}_{lj}^{p,n} \right) \frac{\|\alpha_{i}\|_{j+1/2}}{2} - \alpha_{i,j}^{p,n} u_{l,j}^{p,n} (\rho_{i}E_{i} + \mathbf{p}_{i})_{j}^{p,n} \\ &- \frac{\epsilon_{v_{j+1/2}}}{2} \max \left( \rho_{A}(\mathbf{u}_{j}^{p,n}), \rho_{A}(\mathbf{u}_{j,j}^{p,n}) \right) \left( \left( \frac{\mathbf{C}_{v_{i}}}{\hat{\theta}_{i,j+1/2}} + \frac{u_{i,j}^{p,n} u_{i,j}^{0,n}}{2} \right) \|\rho_{i}\|_{j-1/2} + \overline{\rho}_{i,j+1/2} \|E_{i}\|_{j+1/2} \right) \right) \\ &+ \delta_{k0} \left( \left( \beta_{s_{j-1/2}} \tilde{h}_{\rho E_{i,j-1/2}} - \mathbf{p}_{lj}^{0,n} \mathbf{u}_{l,j}^{0,n} \right) \frac{\|\alpha_{i}\|_{j-1/2}}{2} - h_{\rho E_{i,j-1/2}} + \alpha_{i,j}^{0,n} u_{i,j}^{0,n} (\rho_{i}E_{i} + \mathbf{p}_{i})_{j}^{0,n} \\ &+ \frac{\epsilon_{v_{j-1/2}}}{2} \max \left( \rho_{A}(\mathbf{u}_{j-1}^{0,n}), \rho_{A}(\mathbf{u}_{j}^{p,n}) \right) \left( \left( \frac{\mathbf{C}_{v_{i}}}{\hat{\theta}_{i,j-1/2}} + \frac{u_{i,j}^{p,n} u_{i,j}^{0,n}}{2} \right) \|\rho_{i}\|_{j-1/2} + \overline{\rho}_{i,j-1/2} \|E_{i}\|_{j-1/2} \right) \right) = 0, \quad i = 1, 2 \end{cases}$$

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where  $\epsilon_{v_{i,j\pm 1/2}} \ge 0$ ,  $\rho_{\mathbf{A}}(\mathbf{u}) = \max_{i=1,2}(|u_i| + c_i)$ ,  $\beta_{s_{j\pm 1/2}}$  is defined is (57), while the numerical fluxes  $(h_{\rho_i}, h_{\rho u_i}, h_{\rho E_i})$  and  $(\tilde{h}_{\rho_i}, \tilde{h}_{\rho u_i}, \tilde{h}_{\rho E_i})$  are defined from (45).

#### 589 Appendix B. DGSEM in multiple space dimensions

We here extend the DGSEM to multiple space dimensions and restrict ourselves to Cartesian meshes. For the sake of clarity we introduce the scheme in two space dimensions, d = 2, on uniform grids without loss of generality.

<sup>592</sup> The physical domain  $\Omega$  is discretized with a Cartesian grid  $\Omega_h$  with elements  $\kappa_{i,j} = [x_{i-\frac{1}{2}}, x_{i+\frac{1}{2}}] \times [y_{j-\frac{1}{2}}, y_{j+\frac{1}{2}}]$  with <sup>593</sup>  $x_{i+\frac{1}{2}} = ih_x, y_{j+\frac{1}{2}} = jh_y$ , where  $h_x > 0$  and  $h_y > 0$  are the space steps. The Cartesian coordinate system is denoted as <sup>594</sup>  $(0, \mathbf{e_x}, \mathbf{e_y})$ . Each element  $\kappa_{i,j}$  is defined through the mapping  $\mathbf{x}_{i,j} : I^2 \ni (\xi, \eta) \mapsto \mathbf{x} = \mathbf{x}_{i,j}(\xi, \eta) \in \kappa_{i,j}$  with  $I^2 = [-1, 1]^2$ . <sup>595</sup> The function space  $\mathcal{V}_h^p$  restricted onto an element  $\kappa_{i,j}$  is spanned with functions defined as tensor products of one-<sup>596</sup> dimensional Lagrange polynomials associated to the Gauss-Lobatto nodes (see section 3.1):

$$\phi_{i,j}^{kl}ig(\mathbf{x}_{i,j}(\xi,\eta)ig) \coloneqq \ell_k(\xi)\ell_l(\eta), \quad 0\leqslant k,l\leqslant p$$

which satisfy the cardinality relation  $\ell_k(\xi_{\tilde{k}})\ell_l(\eta_{\tilde{l}}) = \delta_{\tilde{k}k}\delta_{\tilde{l}l}$  for  $0 \leq \tilde{k}, k, \tilde{l}, l \leq p$ . The approximate solution is now represented as

• 
$$\mathbf{u}_{h}(\mathbf{x},t) := \sum_{k,l=0}^{p} \phi_{i,j}^{kl}(\mathbf{x}) \mathbf{U}_{i,j}^{kl}(t) \quad \forall \mathbf{x} \in \kappa_{i,j}, \ t \ge 0.$$

The integrals over the physical elements and faces are approximated with Gauss-Lobatto quadratures:

$$\int_{\kappa_{i,j}} f(\mathbf{x}) dV \approx \sum_{k,l=0}^{p} \omega_k \omega_l \frac{h_x h_y}{4} f(\mathbf{x}_{i,j}^{kl}), \quad \int_e f(\mathbf{x}) dS \approx \sum_{k=0}^{p} \omega_k \frac{|e|}{2} f(\mathbf{x}_e^k),$$

where  $\omega_k$  and  $\omega_k \omega_l$  are the Gaussian weights, and |e| is the length of e.

The semi-discrete DGSEM for the discretization of (62) then reads 604

$$\frac{h_{x}h_{y}}{4}\frac{d\mathbf{U}_{i,j}^{kl}}{dt} + \omega_{l}\frac{h_{y}}{2}\left(\sum_{m=0}^{p}\omega_{k}D_{km}\tilde{\mathbf{D}}(\mathbf{U}_{i,j}^{kl},\mathbf{U}_{i,j}^{ml},\mathbf{e}_{\mathbf{x}}) + \delta_{kp}\mathbf{D}^{-}(\mathbf{U}_{i,j}^{pl},\mathbf{U}_{i+1,j}^{0l},\mathbf{e}_{\mathbf{x}}) + \delta_{k0}\mathbf{D}^{+}(\mathbf{U}_{i-1,j}^{pl},\mathbf{U}_{ij}^{0l},\mathbf{e}_{\mathbf{x}})\right) \\ + \omega_{k}\frac{h_{x}}{2}\left(\sum_{m=0}^{p}\omega_{l}D_{lm}\tilde{\mathbf{D}}(\mathbf{U}_{i,j}^{kl},\mathbf{U}_{i,j}^{km},\mathbf{e}_{\mathbf{y}}) + \delta_{lp}\mathbf{D}^{-}(\mathbf{U}_{i,j}^{kp},\mathbf{U}_{i,j+1}^{k0},\mathbf{e}_{\mathbf{y}}) + \delta_{l0}\mathbf{D}^{+}(\mathbf{U}_{i,j-1}^{kp},\mathbf{U}_{ij}^{k0},\mathbf{e}_{\mathbf{y}})\right) = 0,$$

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with 608 609

 $\tilde{\mathbf{D}}(\mathbf{u}^-,\mathbf{u}^+,\mathbf{n}) \mathrel{\mathop:}= \mathbf{D}_{ec}^-(\mathbf{u}^-,\mathbf{u}^+,\mathbf{n}) - \mathbf{D}_{ec}^+(\mathbf{u}^+,\mathbf{u}^-,\mathbf{n}),$ 

and the numerical fluxes are defined in Appendix C. 610

#### Appendix C. Entropy conservative and entropy stable fluxes in multiple space dimensions 611

In multidimensional space, for solutions belonging to the phase space 612

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$$\Omega_{\text{BNM}} = \{ \mathbf{u} \in \mathbb{R}^{5+2d} : 0 < \alpha_i < 1, \, \rho_i > 0, \, \mathbf{v}_i \in \mathbb{R}^d, \, \rho_i e_i > \mathbf{p}_{\infty,i}, \, i = 1, 2 \},\$$

the entropy conservative fluxes (30) are defined as follows: 614

$$\mathbf{D}_{ec}^{\mp}(\mathbf{u}^-,\mathbf{u}^+,\mathbf{n})=\pm\mathbf{h}(\mathbf{u}^-,\mathbf{u}^+,\mathbf{n})\mp\mathbf{f}(\mathbf{u}^{\mp})\cdot\mathbf{n}+\mathbf{d}^{\mp}(\mathbf{u}^-,\mathbf{u}^+,\mathbf{n}),$$

for the system (62). They are assumed to be consistent,  $\mathbf{h}(\mathbf{u}, \mathbf{u}, \mathbf{n}) = \mathbf{f}(\mathbf{u}) \cdot \mathbf{n}$  and  $\mathbf{d}^{\dagger}(\mathbf{u}, \mathbf{u}, \mathbf{n}) = 0$ , and are defined as 616 follows: 617

$$\mathbf{h}(\mathbf{u}^{-},\mathbf{u}^{+},\mathbf{n}) := \begin{pmatrix} \mathbf{0} \\ \overline{\alpha}_{i}\hat{\rho}_{i}\overline{\mathbf{v}}_{i}\cdot\mathbf{n} \\ \overline{\alpha}_{i}\left(\hat{\rho}_{i}(\overline{\mathbf{v}}_{i}\cdot\mathbf{n})\overline{\mathbf{v}}_{i}+\frac{\overline{\mathbf{p}_{i}}\overline{\theta}_{i}}{\overline{\theta}_{i}}\mathbf{n}\right) \\ \overline{\alpha}_{i}\left(\hat{\rho}_{i}\left(\frac{\mathbf{C}_{\mathbf{v}i}}{\hat{\theta}_{i}}+\frac{\mathbf{v}_{i}^{-}\cdot\mathbf{v}_{i}^{+}}{2}\right)+\frac{\overline{\mathbf{p}_{i}}\overline{\theta}_{i}}{\overline{\theta}_{i}}+\mathbf{p}_{\infty,i}\right)\overline{\mathbf{v}}_{i}\cdot\mathbf{n} \end{pmatrix} - \beta_{s}\frac{\llbracket\alpha_{i}\rrbracket}{2}\begin{pmatrix} 1 \\ \hat{\rho}_{i}\\ \hat{\rho}_{i}\overline{\mathbf{v}}_{i} \\ \hat{\rho}_{i}\left(\frac{\mathbf{C}_{\mathbf{v}i}}{\hat{\theta}_{i}}+\frac{\mathbf{v}_{i}^{-}\cdot\mathbf{v}_{i}^{+}}{2}\right)+\mathbf{p}_{\infty,i}\right),$$

$$\mathbf{d}^{\pm}(\mathbf{u}^{-},\mathbf{u}^{+},\mathbf{n}) := \frac{\llbracket \alpha_{i} \rrbracket}{2} \begin{pmatrix} \mathbf{v}_{\mathrm{I}}^{\pm} \cdot \mathbf{n} \\ \mathbf{0} \\ -\mathbf{p}_{\mathrm{I}}^{\pm} \mathbf{n} \\ -\mathbf{p}_{\mathrm{I}}^{\pm} \mathbf{v}_{\mathrm{I}}^{\pm} \cdot \mathbf{n} \end{pmatrix}, \quad i \in \{1,2\}.$$

The entropy stable fluxes read 621

$$\mathbf{D}^{\pm}(\mathbf{u}^{-},\mathbf{u}^{+},\mathbf{n}) = \mathbf{D}_{ec}^{\pm}(\mathbf{u}^{-},\mathbf{u}^{+},\mathbf{n}) \pm \mathbf{D}_{\nu}(\mathbf{u}^{-},\mathbf{u}^{+},\mathbf{n})$$

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with 623

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$$\mathbf{D}_{\nu}(\mathbf{u}^{-},\mathbf{u}^{+},\mathbf{n}) = \frac{\epsilon_{\nu}}{2} \max\left(\rho_{\mathbf{A}}(\mathbf{u}^{-},\mathbf{n}),\rho_{\mathbf{A}}(\mathbf{u}^{+},\mathbf{n})\right) \begin{pmatrix} 0 \\ \llbracket \rho_{i} \rrbracket \\ \llbracket \rho_{i} \mathbf{v}_{i} \rrbracket \\ \llbracket \rho_{i} \mathbf{v}_{i} \rrbracket \\ \left(\frac{\mathbf{C}_{\mathbf{v}i}}{\hat{\theta}_{i}} + \frac{\mathbf{v}_{i}^{-} \cdot \mathbf{v}_{i}^{+}}{2}\right) \llbracket \rho_{i} \rrbracket + \overline{\rho_{i}} \llbracket E_{i} \rrbracket \end{pmatrix}, \quad i \in \{1,2\},$$

where  $\epsilon_{v} \ge 0$  and  $\rho_{\mathbf{A}}(\mathbf{u}, \mathbf{n}) = \max_{i=1,2}(|\mathbf{v}_{i} \cdot \mathbf{n}| + c_{i})$ . 625

#### <sup>626</sup> Appendix D. Condition for positivity of the cell-averaged solution in multiple space dimensions

The condition for positivity of the solution is based on the extension of Theorem 5.2. We introduce  $\lambda_x = \frac{\Delta t}{h_x}$  and  $\lambda_y = \frac{\Delta t}{h_y}$  with  $\Delta t > 0$  the time step. Let  $\rho_{i,j}^{0 \le k,l \le p,n} > 0, 1 > \alpha_{i,j}^{0 \le k,l \le p,n} > 0$ , then the cell-averaged partial densities and void fraction are positive, at time  $t^{(n+1)}$ , under the following CFL condition:

$$\begin{aligned} (\lambda_{x} + \lambda_{y}) \max_{\kappa \in \Omega_{h}} \max_{\mathbf{u} = \mathbf{u}_{1}, \mathbf{u}_{2}} \max_{0 \leqslant k \leqslant p} \left( \max_{0 \leqslant k \leqslant p} \frac{1}{\omega_{k}} \left( \sum_{l=0}^{p} \omega_{l} D_{lk} \mathbf{u}_{l,ij}^{lm} + \delta_{kp} \frac{\beta_{s_{i+1/2}}^{m} - \mathbf{u}_{1,ij}^{pm}}{2} + \delta_{k0} \frac{\beta_{s_{j-1/2}}^{m} + \mathbf{u}_{1,ij}^{0m}}{2} \right), \\ & \max_{0 \leqslant l \leqslant p} \frac{1}{\omega_{l}} \left( \sum_{k=0}^{p} \omega_{k} D_{kl} \mathbf{v}_{l,ij}^{mk} + \delta_{lp} \frac{\beta_{s_{j+1/2}}^{m} - \mathbf{v}_{1,ij}^{mp}}{2} + \delta_{l0} \frac{\beta_{s_{j-1/2}}^{m} + \mathbf{v}_{1,ij}^{m0}}{2} \right), \\ & \frac{1}{\omega_{0}} \left( \frac{(\beta_{s_{i-1/2}}^{m} - \overline{u}_{i-1/2}^{m}) \hat{\rho}_{i-1/2}^{m}}{2\rho_{i,j}^{0m}} + \frac{\epsilon_{v_{i-1/2}}^{m}}{\alpha_{i,j}^{0m}} \right), \frac{1}{\omega_{p}} \left( \frac{(\beta_{s_{j+1/2}}^{m} + \overline{u}_{i+1/2}^{m}) \hat{\rho}_{i+1/2}^{m}}{2\rho_{i,j}^{pm}} + \frac{\epsilon_{v_{j+1/2}}^{m}}{\alpha_{i,j}^{mp}} \right) \right)^{(n)} < \frac{1}{2}, \end{aligned} \tag{D.1}$$

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$$\beta_{s_{i+1/2}}^m = \max_{i_p=1,2} \left( |u_{i_p,i,j}^{pm,n}|, |u_{i_p,i+1,j}^{0m,n}| \right), \quad \beta_{s_{j+1/2}}^m = \max_{i_p=1,2} \left( |v_{i_p,i,j}^{mp,n}|, |v_{i_p,i,j+1}^{m0,n}| \right), \quad 0 \leqslant m \leqslant p,$$

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$$\overline{u}_{i+1/2}^{m} = \frac{u_{i,j}^{pm,n} + u_{i+1,j}^{0m,n}}{2}, \quad \overline{v}_{j+1/2}^{m} = \frac{v_{i,j}^{mp,n} + v_{i+1,j}^{m0,n}}{2}, \quad 0 \leqslant m \leqslant p,$$

$$\hat{\rho}_{i+1/2}^{m} = \frac{\rho_{i+1,j}^{0m,n} - \rho_{i,j}^{mm,n}}{\ln \rho_{i+1,j}^{0m,n} - \ln \rho_{i,j}^{pm,n}}, \quad \hat{\rho}_{j+1/2}^{m} = \frac{\rho_{i,j+1}^{m0,n} - \rho_{i,j}^{mp,n}}{\ln \rho_{i,j+1}^{m0,n} - \ln \rho_{i,j}^{mp,n}}, \quad 0 \leqslant m \leqslant p,$$

where u, v, and  $\rho$  refer either to phase  $\mathbf{u}_1$ , or to  $\mathbf{u}_2$  in (D.1).

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Fig. 3: Test for convergence of solution through mesh refinement: RP2 at at final time  $T_{max} = 0.15$ . The black symbols represent solutions on a mesh with 100 elements, whereas the symbols in red represent solutions on a mesh with 400 elements.



Fig. 4: Comparison of the fourth order accurate numerical solution to the exact solution for test case RP3 at final time  $T_{max} = 0.15$ .



Fig. 5: Comparison of the fourth order accurate numerical solution to the exact solution for test case RP4 at final time  $T_{max} = 0.007$ .



Fig. 6: Comparison of the fourth order accurate numerical solution of test case RP5 to the exact solution on meshes with 100 elements (black symbols) and 400 elements (red symbols) at final time  $T_{max} = 0.05$ .



Fig. 7: The demonstration of kinetic energy preservation for the test case KEP, where  $\langle KE(t) - KE_0 \rangle$  is the difference in the kinetic energies of the initial state and those calculated along the physical time until  $T_{max} = 1.5$ .



**Fig. 8:** The snapshots of the deformation of the He bubble due to the left traveling shock at various physical times. For each snapshot, the left plot displays contours of the void fraction  $\alpha_1$  and of the total pressure  $p = \alpha_1 p_1 + \alpha_2 p_2$ , while the right plot shows the Schlieren  $\phi = \exp(|\nabla \rho|/|\nabla \rho|_{\text{max}})$ , with  $\rho = \alpha_1 \rho_1 + \alpha_2 \rho_2$ , obtained with a polynomial degree p = 3.



Fig. 9: Space-time diagram for three characteristic points on the interface of the He bubble. The solid lines are the reference data from [45], while the symbols are the results obtained with the present DGSEM scheme for polynomial of degree p = 3 and on a  $1300 \times 356$  mesh.



**Fig. 10:** Comparison of the deformation of the He bubble at the physical time of  $427\mu s$  for different mesh refinements. The top figures display contours of the void fraction  $\alpha_1$  and of the total pressure  $p = \alpha_1 p_1 + \alpha_2 p_2$ , while the bottom figures show Schlieren  $\phi = \exp(|\nabla \rho|/|\nabla \rho|_{\text{max}})$ , with  $\rho = \alpha_1 \rho_1 + \alpha_2 \rho_2$ , obtained with a polynomial degree p = 3.