

CHAPTER 8. Sustainable Activation of Chemical Substrates Under Sonochemical Conditions

Micheline Draye, Marion L. Chevallier, Vanille Quinty, Claire Besnard, Alexandre Vandeponseele, Gregory Chatel

► To cite this version:

Micheline Draye, Marion L. Chevallier, Vanille Quinty, Claire Besnard, Alexandre Vandeponseele, et al.. CHAPTER 8. Sustainable Activation of Chemical Substrates Under Sonochemical Conditions. Sustainable Organic Synthesis: Tools and Strategies, Royal Society of Chemistry, pp.212-238, 2021, Sustainable Organic Synthesis: Tools and Strategies, 10.1039/9781839164842-00212. hal-03546738

HAL Id: hal-03546738 https://hal.science/hal-03546738

Submitted on 28 Jan 2022

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



| 1 | X. Sustainable Activation of Chemical Substrates Under Sonochemical |
|----|---|
| 2 | Conditions |
| 3 | |
| 4 | |
| 5 | |
| 6 | |
| 7 | |
| 8 | M. Draye, M. Chevallier, ^a V. Quinty, ^a C. Besnard, ^a A. Vandeponseele ^a and G. |
| 9 | Chatel ^{a*} . |
| 10 | ^a Univ. Savoie Mont Blanc, CNRS, EDYTEM, 73000 Chambéry, France. |
| 11 | *corresponding email address: gregory.chatel@univ-smb.fr |
| 12 | |
| 13 | ABSTRACT |
| 14 | Ultrasound activation has been widely explored in organic chemistry to improve the |
| 15 | yields of reaction or their kinetics. The sonochemical approach can also allow |
| 16 | changing the selectivities or limiting the use of hazardous solvents or the amount of |
| 17 | catalyst, in accordance with the principle of green chemistry. However, a rigorous |
| 18 | control of the sonochemical parameters is necessary to better understand the |
| 19 | mechanisms involved under ultrasonic activation. |

20



21 X. 1. Introduction

Sonochemistry is the use of power ultrasound for chemical reactions. Some 22 chemists reported the first reaction involving ultrasound in 1927,^{1,2} although the term 23 "sonochemistry" has only been used since 1980.³ Ultrasound is often viewed, and 24 particularly in organic chemistry, as a simple and efficient mixing tool in the lab. 25 However, a control of the sonochemical parameters and experimental conditions 26 have made it possible to demonstrate, in many cases, significant improvements in 27 terms of reaction yields and/or reaction speed, thanks to the use of ultrasound. In 28 some cases, unexpected reactivities and selectivities have also been observed 29 under ultrasound, making it possible to imagine new perspectives and applications of 30 sonochemistry in organic chemistry. After having introduced sonochemistry by 31 presenting its basic theoretical and practical aspects, some case studies from recent 32 literature are summarized to show the major advantages of using ultrasound in an 33 organic reaction. At last, this chapter aims to highlight the current and future 34 challenges of organic sonochemistry. 35

36 X. 2. Sonochemistry, a chemistry based on power ultrasound

37 X. 2. 1. Acoustic cavitation and associated effects

Acoustic cavitation in liquid media is the phenomenon of formation, growth and violent collapse induced by sound waves that generate fluctuation of pressure. Liquid media usually contains free gas bubbles or gas molecules trapped in solid impurities, which can act as nuclei for cavitation⁴. Once the cavitation bubble is formed, its diameter increases throughout the expansion and compression phases to achieve a critical size at which it violently collapses. Indeed, expansion phases being isotherm and compression phases adiabatic, a large amount of acoustic energy is

Royal Society of Chemistry – Book Chapter X



accumulated inside the bubble. At the moment of bubble implosion, temperatures of
about 5,000 K and pressures close to 1,000 bar are then reached within the bubble
(see Figure X.1). These extremes conditions lead to different local effects such as
radicals' formation, shock waves, acoustic micro-currents and violent liquid microjets,
which are at the origin of application of sonochemistry^{5,6}.

50

[Insert Figure X.1 here]

51

52 X. 2. 2. Ultrasonic parameters and experimental factors affecting cavitation

The ambient conditions of a reaction system can strongly influence acoustic cavitation threshold and its intensity, which then directly affects the kinetic and/or the yield of the chemical reaction. The acoustic cavitation in the liquid may be affected by several parameters such as the frequency and power of the ultrasounds, the hydrostatic and external pressures, the temperature, the nature of the solvent and dissolved gas.

59 X. 2. 2. 1. Ultrasonic frequency

60 Ultrasound is a sound wave with a frequency (f, Eq. X.1) greater than the upper limit 61 of human hearing, which is generally over 20 kHz and below 10 MHz. When it 62 propagates in an elastic medium it presents all the general properties of periodic 63 progressive waves such as propagation, attenuation and reflection⁷.

64

[Insert Equation X.1 here]

In water low frequencies, ranging between 20 and 80 kHz, lead to relatively few
and large transient cavitation bubbles; Physical effects such as micromixing, erosion,
etc. predominate over chemical effects. High frequencies, ranging between 150 and



2,000 kHz, produce many small transient cavitation bubbles; Chemical effects such as production of hydroxyl radicals in water predominate over physical effects. It is noteworthy that, when the frequency increases, the depth of penetration of the ultrasonic wave decreases, decreasing the maximum pressure reached during implosion. It is therefore necessary to increase the sound power to obtain the same effects as at low frequency^{7,8}.

74 X. 2. 2 .2. Dissipated ultrasonic power

A piezoelectric transducer converts electrical energy into mechanical energy that is thus transmitted to the liquid, which is irradiated⁹. No transducer is 100% efficient in converting electrical to mechanical power. To determine the power output (P_{out}) for a given power input (P_{in}), it is necessary to know the transducer efficiency (h), according to Eq. X.2.

80

[Insert Equation X.2 here]

The mechanical energy is then converted into acoustical energy generating 81 acoustic cavitation if the minimum power required is reached, *i.e.*, at the Blake 82 threshold, which is 0.5 W.cm⁻² at 20 kHz in pure water and at atmospheric pressure⁷. 83 It is relatively easy to measure the electrical energy delivered by a transducer, but 84 this value in no way reflects the acoustic energy absorbed by the medium, which is 85 the only that can be active. Different methods are proposed for the determination of 86 such as thermoacoustic sensor¹⁰, acousto-optic ultrasonic power (P_{acous}) 87 piezoelectric hydrophone¹², sonoluminescence, interaction¹¹. and chemical 88 dosimetry⁸. Nevertheless, one of the most useful methods has been found to be the 89 calorimetric one^{8,13}. Therefore, the absorbed acoustic power P_{acous} in W.mL⁻¹, 90 transmitted to the solution can be measured using a conventional thermal probe 91

Royal Society of Chemistry – Book Chapter X



method^{14,15}. Using this method, all energy delivered to the system is considered as
dissipated as heat (Eq. 3).

94

[Insert Equation X.3 here]

95 X. 2. 2. 3. Hydrostatic Pressure

96 Hydrostatic pressure is a crucial parameter as the conditions within a collapsing 97 cavitation bubble become more extreme as it increases¹⁶. Thus, it has been shown 98 that, cavitation threshold in ultrapure water increases linearly with the hydrostatic 99 pressure as well as intensity of bubble collapse⁸. Thereby, an optimal hydrostatic 100 pressure is required to increase the efficiency of ultrasound when used in 101 sonochemical processes¹⁷.

102 X. 2. 1. 4. Temperature

When increasing temperature of a liquid, the solubility of gases it contains decreases whereas its vapor pressure increases decreasing the cavitation efficiency¹⁸. The influence of temperature on cavitation threshold is especially noticeable at elevated hydrostatic pressures and for fluids with large amounts of dissolved gases¹⁹.

107 X. 2. 1. 5. Nature of the solvent

Properties such as (1) solvent viscosity, (2) its vapor pressure, and (3) its surface tension can impact ultrasound intensity. For pure solvents the most determining parameter is the vapor pressure, which when high, decreases cavitation effects⁸. Thus, combination of a high surface tension with a low viscosity and a low vapor pressure favors cavitation¹⁸.

113 X. 2. 1. 6. Dissolved gas



After few acoustic cycles, transient bubbles violently collapse into smaller tiny bubbles that act as nuclei of new bubbles and create a hot spot with temperatures of up to 10,000 K^{19} . This adiabatic collapse of the bubbles is assumed to allow the calculation of the temperature and the pressure inside the bubbles according the equations X4 and X5²⁰. Whereas Eq. X.6 allows the calculation of pressure inside the bubble at the moment of the collapse.

120

[Insert Equations X.4, X.5 and X.6 here]

Thus, according to equations X.4 and X.5, a monatomic gas leads to higher maximum temperatures and pressures and thereby to more violent collapse of the bubble than a polyatomic gas because its γ value is greater. Thermal effects consecutive to the collapse of the bubble mainly depend on gas phase thermal properties such as heat capacity and thermal conduction.

In the presence of gas with high thermal conduction, the temperature reached at the time of implosion is lower than in the presence of a gas with low thermal conduction, decreasing thus T_{max} . In addition, increasing the gas content, *i.e.* the number of gas nuclei of a liquid, leads to lowering of both the cavitational threshold and the intensity of the shock wave released on the collapse of the bubble.

131 X. 2. 2. 7. External pressure

An increase of P_h leads to an increase of P_m , which is the pressure of the medium (Eq. X.6). In equations X.4 and X.5, when P_m increases T_m and P_m increase, leading to an increase in the cavitation threshold and the intensity of cavity collapse.

- 135 X. 2. 2. 8. Ultrasonic intensity
- 136 The ultrasonic intensity, I_{max} , can be expressed as Eq. X.7⁷:



[Insert Equations X.7 here]

138 If considering ρ and *c* constant in the medium where the sound propagates, 139 then I_{max} is proportional to the square of the acoustic amplitude P_A . Thus, an 140 increase in P_A leads to an increase of the ultrasonic intensity, which increases the 141 sonochemical effects up to an optimal value. Indeed, an increase of P_A , leads to a 142 decrease of the time available for the collapse of the too large bubble formed that 143 may become thus insufficient.

Likewise, during their propagation through a medium, the intensity of ultrasound waves decreases when their distance from the emitting source increases (Eq. X.8). Indeed, sound is attenuated in a liquid medium due to reflection, refraction, diffraction and/or scattering of the waves, or the conversion of some of their mechanical energy into heat.

149

[Insert Equation X.8 here]

In addition, if too many bubbles are produced at the transducer/liquid interface,
the ultrasonic energy entering the system is attenuated, decreasing the efficiency by
decoupling of the system.

153 X. 2. 3. Mode of irradiation and sonoreactors

154 X. 2. 3. 1. Modes of irradiation

Two physic phenomena are widely used to generate ultrasonic waves from ultrasonic devices: magnetostriction and piezoelectricity. Phenomenon of magnetostriction takes place when ferromagnetic materials transform an oscillating magnetic field into mechanical vibration⁷. Piezoelectric effect is the ability of certain crystalline materials when subjected to an electric field to convert electrical energy

137



into mechanical energy. The strain is then proportional to the applied field and the
 mechanical vibrations may lead to ultrasonic sound²¹. This phenomenon is the most
 widely used in ultrasound devices.

163 The main materials used are barium titanate (BaTiO₃), synthetic cristals of 164 lithium nobiate (LiNbO₄) and lead zirconate titanate (PbTiZrO₃). These materials are 165 separated from the reactor by metal or glass and ultrasound waves irradiate directly 166 or indirectly the reaction medium (see Figure X.2). An immerged titanium probe is 167 often used as a waveguide.

168

[Insert Figure X.2 here]

169 X. 2. 3. 2. Equipment

170 Three major sonoreactors are used at lab: ultrasonic bath, cup horn and probe 171 (Figures X.2, and X.3)^{22,23,24}.

172

[Insert Figure X.3 here]

Ultrasonic bath, which represents the most common source of ultrasound in laboratories, is widely used for its low cost. With a frequency of 20 to 60 kHz and acoustic intensities of 1 to 5 W.cm⁻², this device is not well adapted to organic reactions due to the non-homogeneous dissipation of ultrasound energy and the associated lack of reproducibility of the experiments²⁵.

178 Cup-horn reactors present high intensity, which is generally 50 times more 179 intense than in US baths, and directly irradiate the liquid medium²⁶. Their geometry 180 allows a good distribution of the ultrasonic field. This device is able to produce low 181 and high frequencies depending of the piezoelectric ceramic chosen and positioned 182 at the bottom of the reactor for upward irradiation.



Ultrasonic probes provide intense ultrasonic energy, which is concentrated at their tip and approximately 100 times higher compared to ultrasonic baths; they allow a direct irradiation of the medium.

186 In both cases, the use of a jacketed reactors is recommended to control the 187 temperature of the medium during the study of organic reactions at lab⁶.

In addition, a study of the shape of the reactor may be relevant to avoid dead zones or to optimize certain physical, thermal and / or chemical effects. Thus, the tubular reactors, providing radial irradiations, make it possible to focus the highintensity ultrasonic field in the heart of the tube and to develop continuous processes.²⁷.

193

194 X. 2. 3. 3. Characterization of the ultrasonic parameters

A rigorous characterization of sonochemical parameters is crucial to facilitate the comparison between each study reported in the literature and to understand the involved mechanisms⁶. Indeed, the used frequency, electric and acoustic powers, ultrasonic intensity, radical production, shape and geometry of the reactor and other experimental conditions (temperature, pressure, gas atmosphere, nature and volume of solvent, etc.) have to be rigorously reported in the experimental part of scientific publications.

The frequency, inherent to the equipment used, is the first parameter to report to identify which range is used between low frequency (20-80 kHz) and high frequency (200-2,000 kHz). The electric power also called "nominal electric power" or "electric power input" is the energy delivered by the device, measured by a wattmeter (in W). The absorbed acoustical power (P_{acous}, expressed in W or W.mL⁻¹) can be estimated through a calorimetric method using Equation X.3. Acoustic Royal Society of Chemistry – Book Chapter X



intensity (I_{acous}) is defined as the acoustic power per unit area of the probe (Equation
 X.9, W.cm⁻²).

210

[Insert Equation X.9 here]

As previously mentioned, the extreme conditions during the collapse of bubbles lead to radical production. For example, the sonolysis of water (Scheme X.1) and the recombination of radical species into hydrogen peroxide (Scheme X.2) are observed under ultrasonic conditions.

215 [Insert Schemes X.1 and X.2 here]

The radical species production can be experimentally estimated or measured 216 by dosimetry methods^{28,29}, Electron Paramagnetic Resonance (EPR),³⁰ spin-trapping 217 and/or sonochemiluminescence experiments^{31,32,33}. Chemical dosimetry is the most 218 convenient and employed method. For example, KI dosimetry involves the oxidation 219 of iodide ions into iodine by hydroxyl radicals formed under ultrasound, through the 220 reaction shown in Scheme X.3. The concentration of I_3^- can be easily measured 221 using UV-Visible spectrophotometry at a wavelength of 355 nm (ε_{λ} = 26,303 L.mol⁻ 222 ¹.cm⁻¹) to deduce the concentration of HO[•] radicals. 223

224

[Insert Scheme X.3 here]

Other methods are used such as Fricke dosimetry (oxidation of Fe^{2+} to Fe^{3+}), terephthalate dosimetry (use of terephthalic acid in alkaline solution) or nitrite and nitrate dosimetry⁶. The Sonochemical Efficiency (SE) can be defined combining electric/acoustic power and rate of radicals formation determined by dosimetry (Equation X10). It constitutes an efficient assessment method to compare different ultrasonic conditions/reactors.



[Insert Equation X.10 here]

In EPR spin-trapping experiments diamagnetic nitroso or nitrone compounds are used as spin trap for the conversion short-lived radicals into relatively longer lived nitroxyl radicals that are observable by EPR spectroscopy^{30,34}. This accurate characterization method is limited by the non-availability of the equipment at lab.

The sonoluminescence is the emission of photons during the collapse of 236 bubbles. The activity of radical or excited species formed in the gas phase of the 237 bubbles during this collapse can be explored using specific detectors by observing 238 the UV-Visible spectra of the sonoluminescence^{35,36}. The use of luminol solution (3-239 aminophtalhydrazide) oxidized by HO[•] radical formed in water under sonochemical 240 activation leads to the formation of 3-aminophthalic acid with electrons in an excited 241 state. The visible blue light emission ($\lambda = 430$ nm) due to the de-energization of 242 these electrons allows the mapping of effective zones in a sonoreactor, through this 243 chemiluminescence method³⁶. 244

245 X. 3. Organic sonochemistry: beneficial effects and new reactivities

246 X. 3. 1. Green organic sonochemistry

The effects of ultrasound during organic reactions have led to serious improvements in terms of reactivity and performance, often under mild conditions, in accordance with a green chemistry approach³⁷. Sonochemical reactions in water or biphasic aqueous systems constitute a great potential for further developments.

251 Sonocatalysis often allows using environmentally friendly conditions, and to 252 decrease reaction times and reach higher yields. In addition, cavitation phenomenon 253 leads to physical and chemical effects, which consequences are interesting for 254 catalyst surface cleaning and free reactive radicals production^{38,39}. In the most 255 Royal Society of Chemistry – Book Chapter X



general cases, the combination of ultrasound activation and a catalyst can lead to 255 synergistic effects, mainly observed through the physical effects of US on solid 256 catalysts. Indeed, the physical effects generated by cavitation bubble collapse leads 257 to improved mass transfer that contributes to permanent cleaning of the catalyst's 258 surface and increases the probability for compounds to meet and react. Shear forces 259 induced by shock waves and microstreaming provoke the de-agglomeration of 260 catalyst, the reduction of particle size, which implies an increase in surface area⁴⁰. 261 For these reason, poisoning of catalyst can be avoided, the active surface of catalyst 262 is increased, which leads to higher reaction rates, improved yields and lower 263 chemicals consumption; this makes sonocatalysis an effective tool for green 264 chemistry^{41,42,43,44,45}. As an example, the S-alkylation of hetaryl thiols was performed 265 at room temperature six times faster and led to increased yield under US (74% in 30 266 min) compared to silent conditions (66% in 3 h)⁴². Another synergistic aspect relies in 267 the microbubbles present in the crevices of solid catalysts. They constitute nuclei for 268 cavitational bubble, increasing the number of collapsing events⁴⁶. When bubble 269 collapse near a solid surface such as a solid catalyst particle or vessel, the inrush of 270 liquid generates an "asymmetrical collapse" disaggregation of the catalyst but also 271 the fragmentation of the bubble in smaller bubbles that constitute new nuclei for 272 more cavitation bubbles and collapsing events^{47,48}. 273

In the case of semiconductor catalyst such as TiO₂, electron/hole pairs can be generated at its surface through the absorption of energy: (UV-)light in the case of sonoluminescence from cavitation bubbles, or by heat from the extreme temperature resulting from the collapse of bubbles^{41,49}. These charges (electron and hole) operate in redox reactions with the solvent to form radical species or directly with organic compounds of the medium. In sonicated reaction medium, the generation of



radical species will favor reaction mechanism implying electron transfer rather than 280 ionic path²³. For example, the heterocyclization of 1,2-propanediol with fullerene to 281 form fullerene-fused dioxane adduct was enabled by the improved mixing of the non-282 miscible liquid phases, provided by US and also possibly by secondary radical 283 reaction in the reaction bulk that would react with the C60 fullerene structure⁵⁰. A 284 change in mechanism and selectivity was describe by Ando where benzyl bromide 285 reacted with potassium cyanide and alumina in toluene with a Friedel-Crafts 286 mechanism to afford o- and p-benzyltoluene when stirred mechanically at 50 °C. 287 Under 45 kHz US irradiation, the mechanism switched to a nucleophilic substitution 288 and lead to the production of benzyl cyanide in 71% yield⁵¹. Bubbles generated by 289 acoustic waves in the reaction medium are influenced by the presence of solid 290 catalyst particles and vice versa, justifying the beneficial effect of their combination, 291 called synergy. 292

Another meaning of sonocatalysis is the activation of a reaction by US, through physical and chemical effects generated by cavitation activity²³. In that case, the origin for the effects observed are separated into two categories: true and false sonocatalysis, "true" being linked to chemical effects such as the generation of radicals, and false referring to mechanical effects of US^{52} .

298 X. 3. 2. Cases studies in organic sonochemistry

299 X. 3. 2. 1. Examples of oxidation reactions

Many oxidation reactions such as aldehyde⁵³ or alcohol⁵⁴ oxidations have been studied under ultrasonic irradiation because their mechanisms pass through a radical route.



The aerobic oxidation of D-glucose into D-glucuronic acid was investigated under high frequency ultrasound (550 kHz, $P_{acous} = 0.36 \text{ W.mL}^{-1}$) (see Scheme X.4)⁵⁵. In absence of oxygen, D-glucose was oxidized into D-gluconic acid as main product (40% yield), while D-glucose under oxygen bubbling was oxidized into Dglucuronic acid with excellent yield and selectivity (94% and 98%, respectively). The presence of oxygen bubbling into reaction media allows to increase production rate of radicals HO[•]. In this case, mechanisms involving HO[•] and HOO[•] were suspected.

310

[Insert Scheme X.4 here]

In a very recent study, the H₂O₂-mediated epoxidation of *cis*-cyclooctene 311 performed under high frequency ultrasonic irradiation (800 kHz, $P_{acous} = 0.58 \text{ W.mL}^{-1}$) 312 led to improve results compared to silent conditions and revealed important 313 mechanistic insights of the studied reaction (see Scheme X.5)⁵⁶. Indeed, while a 314 maximum yield of 89% and a selectivity of 91% were observed under silent 315 conditions, better results were obtained (96% yield and 98% selectivity) in 30 min 316 under high frequency ultrasound induced by a good thermoregulation and mild 317 mixing brought by the sonoreactor. In addition, the non-radical nature of cis-318 cyclooctene epoxidation mechanism has been demonstrated. 319

320

[Insert Scheme X.5 here]

At last, the oxidative cleavage of double bond of olefins has been studied under ultrasonic irradiation (see Scheme X.6)⁵⁶. Simultaneous use of ultrasound and Aliquat 336[®] as phase transfer catalyst was essential to perform the oxidative cleavage of olefins without organic solvent and in less than 1 h. At room temperature and thanks to 20 kHz ultrasound probe, mono- and diacids derived from linear and cyclic olefins are obtained with good to excellent yields, from 65 to 96%.

Royal Society of Chemistry – Book Chapter X



327

[Insert Scheme X.6 here]

328 X. 3. 2. 2. Examples of reduction reactions

Amines are important molecules that are involved in the synthesis of amino acids⁵⁷ 329 or vitamins⁵⁸ and many of them exhibit interesting biological activities. Therefore, 330 they are used as antihistaminics, analgesics, antiglycemics⁵⁹, anesthesic⁶⁰, 331 decongestant⁶¹, pyschostimulant⁶² or antidepressant⁶³. Classical preparation of 332 amine derivatives relies on the reduction of nitro precursors using different methods. 333 Among them hydrogenation catalyzed by metals, is still the most used in the 334 petrochemical and pharmaceutical industries⁶⁴. However, these methods are often 335 associated with a lack of chemoselectivity in the presence of other reducible 336 functions. In addition, the reaction is exothermic, and involves pressurized hydrogen 337 and flammable solvents, requiring thus particular precautions in terms of safetv⁶⁵. 338 The research of more selective, safe and environmentally friendly method of 339 reduction is thus an important issue. It is well known that low frequency ultrasonic 340 irradiation (20 kHz < f < 80 kHz) may enhance catalyst activity and chemical 341 reactions' kinetic and selectivity⁶⁶. Thus, in 2000, Basu *et al.* described the reduction 342 of nitroaromatic compounds to the corresponding amines using a Sm/NH₄CI 343 reducing agent under 10 to 25 min of ultrasonic irradiation (see Table X.1)⁶⁷. 344

345

[Insert Table X.1 here]

In Table X.1, 86% of 6-aminochrysene were obtained from 6-nitrochrysene (Table X.1, compound **2**) after 10 min of sonication using a Sm/NH₄Cl reducing agent in methanol, whereas no reaction was observed under silent conditions. 2nitro-9H-fluorene (Table X.1, compound **3**) was reduced to 2-aminofluorene in 10 min under ultrasonic irradiation whereas no transformation was observed when the



reducing agent was changed for In/NH₄CI or for Fe powder/NH₄CI. Under silent 351 conditions, 10 h under methanol reflux were required to reduce 2-nitro-9H-fluorene 352 (Table X.1, compound 3) to the corresponding amine. In addition, the reaction was 353 shown to be selective towards sensitive functional groups such as bromo (Table X.1, 354 compound 4), cyano (Table X.1, compound 5), ester (Table X.1, compound 6), 355 unsaturated bonds (Table X.1, compound 7) and heterocycles (Table X.1, compound 356 8). Unfortunately, ultrasonic frequency and power are not given, making it impossible 357 to reproduce the experiments under identical conditions. 358

359 Hypophosphorous acid and its salts are mild, cheap and powerful reducing agents commonly used for electrochemical applications⁶⁸. Furthermore, sodium 360 hypophosphite seems has been registered as a non-hazardous substance for Man 361 and for the Environment in 2010 by REACH⁶⁹. In that context, with sodium 362 hypophosphite/hypophosphorous acid as reducing system and Pd/C as catalyst, 363 Letort et al. studied the reduction of nitro compounds to the corresponding amines in 364 $H_2O/2$ -MeTHF at 60 °C⁷⁰. The authors studied the reaction in terms of solvent effect, 365 NaH₂PO₂/H₃PO₂ ratio, temperature, Pd/C catalyst loading, with 2-nitroethylbenzene 366 as model substrate. The methodology scope was then extended to other aliphatic 367 nitro compounds (see Table X.2) and the results obtained were compared to those 368 obtained under ultrasonic irradiation. 369

370

[Insert Table X.2 here]

³⁷¹ Under the same conditions and even with 1.25 mol% of Pd/C the reduction of ³⁷² β -nitrostyrene did not lead to the corresponding unsaturated amines nor to the ³⁷³ saturated one, but to a mixture of 44 % of (*Z*)- and 38 % of (*E*)-2-phenylacetaldehyde ³⁷⁴ oximes⁷⁰. The reaction was found to be faster under ultrasonic irradiation and ³⁷⁵ especially in the mixture H₂O/Me-THF (2:1). Thus, a maximum yield of 92% of 2-³⁷⁶ Royal Society of Chemistry – Book Chapter X



phenylethan-1-amine was obtained at 60 °C in only 15 min under ultrasonic 376 irradiation compared to 90 min required under silent conditions. In water as solvent 377 90 min were required to obtain 35% of amine under silent conditions whereas almost 378 the double was obtained under ultrasonic irradiation. In water and under ultrasonic 379 irradiation, a maximal yield of 90% was obtained in 15 min when the temperature 380 was increased to 70 °C. The use of a vibromixer allowed the authors to attribute the 381 effects of ultrasound to the formation of an intense micro-emulsion and classified the 382 reaction as a type II reaction termed as "false sonochemistry". 383

384 X. 3. 2. 3. Examples of fused heterocycles

N-containing heterocycles are essential building blocks for the synthesis of many 385 biologically active compounds^{71,72}. In that context, Nongrum et al. described the 386 synthesis of fused benzo *N*,*N*-containing heterocycles under ultrasonic activation 387 and using 1-désoxy-1-(méthylamino)-D-glucitol, *i.e.*, meglumine, as organocatalyst⁷³. 388 The authors used meglumine, an environmental water-soluble amino sugar, to 389 catalyze the reaction in association with ultrasound as alternative activation technic 390 with mixture ethanol-water as solvent. The reaction was optimized in terms of 391 catalyst loading, solvent and activation technique with 1,2-phenyldiamine, dimedone 392 and tolualdehyde as substrates. The scope of the reaction was then extended to 393 aromatic aldehydes bearing electron-withdrawing and -donating substituents, 394 leading to excellent yields under 20 to 30 min of ultrasonic irradiation (see Table 395 $(X.3)^{73}$. Two hypotheses have been formulated to explain the role of meglumine, 396 which is suggested to act via electrophilic or nucleophilic activation of dimedone. 397 Under the same conditions, 85 to 90% of guinoxaline derivatives were synthesized 398 under 25 to 35 min of ultrasonic irradiation at 50 °C. 399

[Insert Table X.1 here]

Royal Society of Chemistry – Book Chapter X

400



Using an acidic ionic liquid, the 1,4-diazabicyclo[2,2,2]octanium diacetate, *i.e.,* DABCO diacetate, Fekri *et al.* synthesized 93 to 97 % of various benzodiazepines under 8 to 17 min of ultrasonic irradiation (see Table X.4)⁷⁴. The reaction under silent conditions and at 90 °C afforded the products in higher reaction times and lower yields. A mechanism involving the ionic liquid for increasing the electrophilicity of the carbonyl substrates is then proposed.

407

[Insert Table X.4 here]

408 X. 3. 2. 4. Examples of organometallic reactions

Sonochemistry is a green alternative method to classical methods to promote the synthesis of organic compounds, offering then versatile and easy pathways for a wide variety of transformations such as Reformatsky, Barbier-Grignard or Michael reactions⁷⁵.

The Reformatsky reaction, which converts aldehydes and ketones to β-413 hydroxyesters, is one of the first organometallic reactions with problems of metal 414 activation under silent conditions that was studied under ultrasound. In 1982, it was 415 shown that the addition of ultrasound resulted in better reaction yields in less time 416 compared to silent conditions⁷⁶. In fact, for a reaction time of 12 h, the yield was 58% 417 while with ultrasound (ultrasonic bath, frequency not indicated), it rose to 98% in only 418 30 min. Several studies were carried out in order to understand the role of ultrasound 419 in this reaction^{77,78,79}. Authors reported successful syntheses with quantitative yields 420 of β -hydroxyester by reacting phenylketons, α -bromoesters, zinc dust and a catalytic 421 amount of iodine under high intensity ultrasonic irradiation⁸⁰. But, the role of iodine 422 was not fully understood. Reformatsky reactions could then be carried out using non-423 traditional electrophiles such as glyoxylic oximes⁸¹. 424



In the Barbier-Grignard type reaction, the organometallic reagent, which intervenes, serves as a nucleophile for the formation of a carbon-carbon bond⁸². The zinc-mediated Barbier reaction was carried out in a biphasic CO_2/H_2O system allowing a preferential partitioning of the desired homoallyl alcoholic product⁸³. Here, pulsed ultrasound is efficient for dispersing and mixing the two phases creating thus an emulsion while ensuring the kinetic control.

The Michael reaction is often a first choice organic transformation because 431 there are a large number of Michael acceptors and nucleophiles. Indeed, almost all 432 activated alkenes such as α , β -unsaturated ketones can act as acceptors. It is also 433 possible in a single step to form stereogenic centers⁸⁴. Numerous studies have 434 demonstrated the need for basic or acidic catalysts in the reaction of addition of 435 nucleophiles 1,4-conjugated to unsaturated carbonyl compounds⁸⁵. However, these 436 conditions gave way to side reactions. The effects of ultrasound then allowed a more 437 efficient approach when adding the conjugate⁸⁶. In a recent study, researchers 438 studied the chemical behavior of 3-diethyl phosphonocoumarin in an ultrasound-439 assisted Michael-type reaction⁸⁷. Several organometallic compounds have been 440 selected to react with this coumarin as hard nucleophiles isomers (see Scheme X.7). 441 This approach allowed the use of a simpler experimental set and milder reaction 442 conditions. Excellent reproducibility of yield is reported as well as high 443 diastereoselective activity of isolated *trans* isomers⁸⁷. 444

445

[Insert Scheme X.7 here]

446 **X. 3.**

X. 3. 3. Scale-up and industrial applications

In organic sonochemistry, a series of macroscopic characteristics, namely the use of
less toxic/hazardous chemicals, eco-friendly solvents, alternative or renewable raw

Royal Society of Chemistry – Book Chapter X



449 materials, the development of reaction conditions to increase the selectivity of the 450 product or even the minimization of consumption energy during transformations 451 reinforce the aspect sought in green chemistry and encourage the use of ultrasound 452 on a larger scale^{6,88}.

As presented previously, lab scale experiments in organic chemistry are very promising to create green processes in industry^{23,89}. However, to our knowledge, no industrial scale sonoreactor was successfully employed for organic synthesis. Large scale transfers require adjustments concerning various parameters and a scale-up methodology is lacking⁹⁰.

The efficiency of sonochemical reactions relies on hydrodynamics, mixing and 458 459 mass transfer. Two types of parameters need to be studied when scaling up a lab-460 scale experiment to industrial purpose: (i) the operating parameters such as the frequency, the intensity and the initial radius of bubble nuclei and (ii) the geometric 461 parameters such as the size and location of transducers, the shape and dimensions 462 of the reactor. Both types of parameters will impact the mixing and hydrodynamic 463 characteristics that define the cavitation field. The main problems in intensifying 464 organic reactions relate to the fact that the yield and selectivity of the chemical 465 reaction are non-linearly based on operating parameters and geometry; a 466 467 proportional amplification of the dimension of the reactor is therefore not sufficient to reproduce the same experimental conditions. Fine predictions of many parameters 468 such as pressure, temperature, and cavitational activity distribution are required to 469 achieve chemical reaction effectiveness. Another problem frequently encountered is 470 that cavitation events happen mainly near the irradiating surfaces. The adjustment of 471 lab-scale reaction at large-scale requires higher energy input to counterbalance 472 energy dissipation in wider bulk media leading to fast erosion of the sonication 473 Royal Society of Chemistry – Book Chapter X



surfaces⁹⁰. Large-scale reactors contain larger volumes, which bring the issue of US
attenuation, due to reflection, refraction or absorption of the incident sound wave and
leads to spatial variation of the cavitational activity, creating active and passive
zones in the reactor. This needs to be avoided to perform chemical reactions at an
industrial scale⁹¹.

To anticipate the problematics related to large scale sonoreactors, experimental 479 measurements and theoretical techniques exist to predict the cavitational activity 480 distribution. The mapping of a sonoreactor can contribute to upscale chemical 481 reactions. It consists in the characterization of the cavitational phenomena and 482 implies the quantification of primary effects (generated at the same time as the 483 bubble collapse) and secondary effects (generated after bubble collapse). 484 Parameters like pressure can be quantified using a hydrophone while changes in 485 local temperature can be measured with a thermocouple⁹² and gives information on 486 the local cavitation activity and is used to quantify the efficacy of the sonochemical 487 reactor in term of energy transfer. The quantification of secondary parameters as 488 radical production can be assessed through dosimetry. Chemical reaction implying 489 hydroxyl radicals enable an indirect measure of their concentration and they can 490 have large measurement errors⁹³. The modelling of cavitational parameters such as 491 pressure, temperature but also the bubble radius and the chemical species formed 492 can be predicted through modelling^{94,95}. Large scale reactors imply specific studies 493 as for example, the pressure of the reaction medium is a function of depth and 494 impacts the conditions of cavitation bubble collapse like pressure and temperature, 495 and thus the physical and chemical effects on chemical reactions^{96,97}. Concerning 496 sonochemical efficiency assessment, most studies are conducted on single bubble 497



498 model^{98,99} which does not take account of neighbor bubbles behavior mutually
499 influencing the other bubble behavior^{100,101}.

500 The scaling-up of organic reaction under US would enable great energy and 501 chemical savings. The main difficulty to design large scale reactors is to ensure a 502 uniform distribution of cavitational activity. Empirical methods and theoretical 503 modelling can be used to work on this aspect and contribute to the industrialization 504 of US for organic synthesis.

505 **4. Conclusions: from the challenges to new perspectives of organic** 506 **sonochemistry**

Since the evaluation of the eco-compatibility of a process cannot be done 507 subjectively, there are a certain number of calculations of green chemistry such as 508 the economy of atoms, the mass reaction efficiency, the intensity mass, effective 509 mass yield, carbon efficiency, the E-factor, the Eco-Scale or other green 510 metrics^{102,103}. These tools are still little exploited by sonochemists and the 511 systematization of their use is recommended. The systematic comparison between 512 513 silent and ultrasonic conditions are necessary, under the same conditions, to highlight the improvements brought by ultrasound in terms of efficiency and eco-514 compatibility. For example, the energy consumption should be also measured. At lab 515 scale, in situ data monitoring can be collected to extrapolated the ultrasound 516 conditions at a higher scale 104. 517

In terms of equipment, the combined efforts of chemists, physicists and equipment manufacturers will be needed for the chemical process industry to exploit cavitation as a more viable option for chemical transformations.



As a perspective, the combination of ultrasound with other physical and 521 chemical methods of activation seems promising. For example, the use of subcritical 522 H₂O (hydrothermal, 200 °C) under ultrasonic irradiation (20 kHz) shows stable 523 cavitation with nonlinear bubble oscillations. On the other hand, formation of 524 hydrogen peroxide H_2O_2 thermally instable is not observed¹⁰⁵. Sonohydrothermal 525 synthesis is described for the synthesis of inorganic nanomaterial¹⁰⁶, but not yet for 526 organic synthesis. Indeed, the combination of ultrasound with supercritical CO₂ has 527 not been used in organic chemistry due to high pressure and lack of phase 528 boundaries observed in the supercritical state ¹⁰⁷. Hence, for biomass valorization, 529 positive influence of ultrasound in supercritical CO₂ is observed thanks to 530 mechanical effects (micro- and macro-mixing, cell damage) that could be useful in 531 organic synthesis¹⁰⁸. 532

In organic synthesis, the use of US and microwaves in tandem or simultaneously at laboratory scale improves selectivity, yield and lowers reaction times^{109,110}, satisfying some principles of green chemistry criteria. The synergistic effects observed are commonly attributed to the improved heat transfer provided by microwaves and the intense mass transfer resulting from US. Each irradiation technology compensates the weakness of the other, which justifies the added value of their simultaneous use, especially in heterogeneous systems^{110,111}.

Despite the many advantages of microreactors, one major drawback is the potential clogging of their channels¹¹² In that context, the synergy of ultrasound and microfluidics has shown its potential. Thus, Sedelmeier *et al.*¹¹³ used an ultrasonic bath to avoid the fouling of the microreactor due to the deposition of manganese dioxide used for the oxidation of nitralkanes into their corresponding carboxylic acids. Recently, Delacour *et al.*¹¹⁴ developed a scaled-up ultrasonic reactor to prevent Royal Society of Chemistry – Book Chapter X



clogging in particle forming reactions. The millireactor was used for the synthesis of barium sulphate. Results showed that only 0.48 W.mL⁻¹ was required to prevent channel clogging and to increase the productivity by two orders of magnitude compared to a microreactor.

550 Sonophotocatalysis, which is the combination of sonochemistry and 551 photocatalysis, has mainly been used as an advanced oxidation process for the 552 removal of pollutants. Its recent use in organic synthesis showed promising results 553 as a synergistic effect of both activation methods afforded an alkyl carbon chain 554 elongation from 3 C (malonic acid) to 4 C (succinic acid). The carbon chain 555 elongation was observed only when US and UV irradiations were combined¹¹⁵.

At last, the physicochemical properties of some ionic liquids (ILs), such as their 556 557 large thermal stability, their wide liquid domain or even their low vapor pressure make them very interesting reaction media for green chemistry. The combination of 558 ultrasound with the appropriate ionic liquid is a powerful tool for performing various 559 organic transformations¹¹⁶. The rate of reactions, selectivity and yields are often 560 improved. Their combination with US then makes it possible to obtain the desired 561 products without using inorganic of organic catalysts, even at room temperature¹¹⁷. 562 The possible degradation phenomena (few ppm) of ILs under US conditions have 563 nevertheless to be considered¹¹⁸. Deep eutectic solvents are also very promising as 564 a green alternative in synergy with ultrasound in organic syntheses¹¹⁹. 565

566 When combining processes, technologies, tools or reagents, an interesting way 567 to assess to efficiency of the coupling is to calculate its synergy. Synergy 568 corresponds to a beneficial effect from a coupling that is positive if the results 569 obtained with the coupling of processes is superior to the sum of the results obtained



with each independent process¹⁰⁹. Several indicators of synergy can be found in the literature but their definition and the equations that are used should always be detailed to avoid confusion. Among indicators for synergy the following can be found: synergy, synergy effect, synergistic effect, %synergy or synergy index^{120,121,122}. For example, the equation for percentage of synergy (Equation X.11) and the synergy index (Equation X.12) for n processes leading to chemical reactions with k as rate constant, are detailed below.

577

[Insert Equations X.11 and X.12 here]

578 The calculation of the %synergy and synergy index are recommended when 579 ultrasound are combined in an organic reaction with another physical or chemical 580 activation method in order to prove the advantages of the used combination.

581

582

583 FIGURE AND TABLE CAPTIONS

Figure X.1: Schematic representation of bubble formation, growth and collapsing.





Figure X.2: Schematic representation of mode of irradiation depending on the main device used in laboratories (adapted from reference ¹²³).



Figure X.3: Cup horn system (left) and ultrasonic probe (right) at lab.



Table X.1: Reduction of aromatic nitro compounds by Sm/NH_4Cl under ultrasonic irradiation (ultrasonic bath, frequency not indicated, room temperature)⁶⁷.

| Ar-NO ₂ <u>Sm/NH₄Cl (4/20)</u> Ar-NH ₂ | | | | | | | | |
|---|----|----|----|----------------------------|------------------------------|----------|----|----|
| Ar-NO ₂ | | 2 | | IO ₂ Br 4 | NO ₂ N NC 5 | MeO 6 | | |
| Time, min | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 25 |
| Yield, % | 88 | 86 | 92 | 88 | 87 | 74 | 90 | 56 |

Table X.2: Reduction of aliphatic nitro compounds under silent and ultrasonic conditions (ultrasonic microtip, 20 kHz, $P_{acous} = 3.94$ W, 3 mL)⁷⁰.



| $\frac{\text{NAH}_2\text{PO}_2/\text{H}_3\text{PO}_2 (4:1), \text{Pd/C, time}}{\text{H}_2\text{O}/2-\text{MeTHF} (2:1),))) \text{ or silent, 60°C}} RNH_2$ | | | | | | |
|---|---------------|---------------|------------------------------------|------------------------------------|--|--|
| R | | | NH | | | |
| Activation method | Silent /)))) | Silent /)))) | Silent /)))) /)))) /)))) /)))) | Silent /)))) /)))) /)))) /)))) | | |
| Time, min | 90 / 15 | 90 / 15 | 90 / 10 / 20 / 30 / 30 | 90 / 15 / 20 / 30 / 30 | | |
| Catalyst loading, mol% | 0.6 / 0.6 | 0.6 / 0.6 | 0.6 / 0.6 / 0.6 / 0.6 / 1.25 | 0.6 / 0.6 / 0.6 / 0.6 / 1.25 | | |
| Yield, % | 90 / 90 | 84 / 84 | 98 / 61 / 78 / 84 / 98 | 80 / 78 / 80 / 85 / 85 | | |

600

Table X.3: Synthesis of 1 *H*-dibenzo[b,e][1,4]diazepin-1-one derivatives with 5 mol%

of meglumine as catalyst under silent and ultrasonic conditions (ultrasonic bath) 73 .

| $H_{2} \xrightarrow[H]{} OH OH \\ H_{2} \xrightarrow[H]{} OH OH \\ H_{2} \xrightarrow[H]{} OH OH \\ H_{2} \xrightarrow[H]{} OH OH \\ \hline H_{$ | | | | |
|--|-----------|----------|----------------|--|
| |)))) | | Silent 300 min | |
| Ar | Time, min | Yield, % | Yield, % | |
| C ₆ H ₅ | 20 | 92 | 65 | |
| 4-CH ₃ C ₆ H ₄ | 20 | 92 | 68 | |
| 4-OCH ₃ C ₆ H ₄ | 20 | 88 | 65 | |
| 4-CIC ₆ H ₄ | 25 | 90 | 60 | |
| 4-BrC ₆ H ₄ | 25 | 92 | 68 | |
| $4-NO_2C_6H_4$ | 30 | 85 | 58 | |
| 4-OHC ₆ H ₄ | 25 | 88 | 60 | |
| 4-N(CH ₃) ₂ C ₆ H ₄ | 30 | 88 | 64 | |
| 2-CIC ₆ H ₄ | 25 | 82 | 62 | |
| 2-NO ₂ C ₆ H ₄ | 30 | 85 | 55 | |
| 1-Naphthyl | 25 | 88 | 55 | |
| Furfuryl | 30 | 88 | 64 | |
| C ₆ H ₅ CH=CH | 30 | 82 | 60 | |
| 4-(OH) and 3-(OCH ₃)C ₆ H ₃ | 25 | 90 | 65 | |
| 3,4-(OCH ₃)2C ₆ H ₃ | 20 | 90 | 60 | |

603

Table X.4: Synthesis of various benzodiazepines with 0.5 mmol of ionic liquid as catalyst under silent and ultrasonic conditions (ultrasonic bath, 45 kHz, $P_{in} = 305$ $W)^{74}$.





ΟН

94%





624 625

627 628

626 Scheme X.6:



629 Scheme X.7:



630

631 Equation X.1.

632
$$f = \frac{c}{\lambda}$$

633 where f is the frequency (Hz), c is the celerity of sound (m.s⁻¹) and λ the wavelength (m).

634

635 Equation X.2.

 $636 \qquad P_{out} = h \times P_{in}$

637

- 638 Equation X.3.
- 639 $P_{acous} = m \times c_p \times \left(\frac{dT}{dt}\right)_0$



640 where P_{acous} is the absorbed acoustical power in W (or reported to the volume in W.mL⁻¹), m 641 is the mass in kg of liquid in the sonoreactor, c_p is the specific heat capacity in J.kg⁻¹.K⁻¹, 642 $\left(\frac{dT}{dt}\right)_0$ is the initial slope of the increase of the temperature of the solution versus time of 643 ultrasonic irradiation.

644

645 Equations X.4., X.5 and X.6

646
$$P_{max} = P \left[\frac{P_m(\gamma-1)}{P} \right]^{\frac{\gamma}{\gamma-1}}$$
 Eq. X.4

647
$$T_{max} = T_0 \left[P_m \frac{(\gamma - 1)}{P} \right]$$
 Eq. X.5

648

649
$$P_m = P_a + P_h$$
 Eq. X.6

where P_{max} and T_{max} are the maximum pressure and temperature at the collapse, P is the pressure inside the bubble at its maximum size; it is usually equal to the vapor pressure P_v , P_m is the pressure inside the liquid at the moment of the collapse, T_0 is the ambient temperature, P_a is the acoustic pressure applied, P_h is the pressure within the fluid. It is usually taken to be ambient or atmospheric pressure. The polytropic factor γ is the ratio of the specific heat capacities of the gas or the gas vapor mixture.

656

657 **Equation X.7.**

$$658 \qquad I_{max} = \frac{P_A^2}{2\rho c}$$

659 where P_A is the acoustic amplitude, ρ is the density of the medium, and *c* is the velocity of 660 the sound in the medium.

661

662 Equation X.8.



$$663 \qquad I_{max} = I_0 e^{-2\alpha d}$$

664 where I_0 is the initial sound intensity, α is the absorption coefficient of the medium and I_{max} is 665 the ultrasonic intensity at the distance *d*.

666

667 Equation X.9.

668

$$I_{acous} = \frac{P_{acous}}{S_{probe}}$$

where I_{acous} is the acoustic intensity (W.cm⁻²), P_{acous} is the acoustic power (W) and S_{probe} is the surface of the irradiating probe.

671 **Equation X.10.**

$$SE_{elec/acous} = \frac{n_{ions}}{E_{elec/acous}}$$

where SE is the Sonochemical Efficiency (mol.J⁻¹), n_{ions} is the number of moles of considered produced under ultrasonic irradiation (mol of I_3^- , NO_2^- , NO_3^- depending of the dosimetry method) and $E_{elec/acous}$ is the electrical or acoustic power (W, electric or acoustic).

675 **Equation X.11.**

676
$$%synergy = 100 \quad \frac{k_{combined \ processes - \sum_{i=1}^{n} k_{process \ i}}{k_{combined \ processes}}$$

677 **Equation X.12.**

678 synergy index =
$$\frac{k_{combined processes}}{\sum_{i=1}^{n} k_{process i}}$$

679

- 680 **ABBREVIATIONS:**
- 681 c: celerity of sound
- 682 c_p: specific heat capacity



- 683 DABCO: 1,4-Diazabicyclo[2.2.2]octane
- 684 E_{elec/acous}: electrical or acoustic power
- 685 EPR: Electron Paramagnetic Resonance
- 686 f: frequency
- 687 I₀: initial sound intensity
- 688 I_{acous}: acoustic intensity
- 689 I_{max}: ultrasonic intensity
- 690 ILs: Ionic Liquids
- 691 m: mass of liquid in the sonoreactor
- 692 P: pressure inside the bubble at its maximum size
- 693 P_a is the acoustic pressure applied
- 694 P_A: acoustic amplitude
- 695 P_{acous}: acoustical power
- P_h : pressure within the fluid
- 697 P_{in}: power input
- 698 P_m: pressure inside the liquid at the moment of the collapse
- 699 P_{max}: maximum pressure at the collapse
- 700 P_{out}: power output
- 701 P_v : vapor pressure at the moment of the collapse
- 702 REACH: Registration, Evaluation and Authorisation of Chemicals
- 703 SE: Sonochemical Efficiency



- 704 Sprobe: surface of the irradiating probe
- 705 T₀: ambient temperature
- 706 T_{max}: temperature at the collapse
- 707 US: Ultrasound
- 708 UV: Ultraviolet
- 709 λ : wavelength
- 710 $\left(\frac{dT}{dt}\right)_{0}$: initial slope of the increase of the temperature of the solution versus time of ultrasonic
- 711 irradiation
- 712 α : absorption coefficient of the medium
- 713 γ : polytropic factor
- 714 ρ : density of the medium
- 715

716 **REFERENCES**:

- 1. R. Woods and A. Loomis, *Philos. Mag.*, 1927, 4, 414.
- 2. T. Richards and A. Loomis, J. Amer. Chem. Soc., 1927, 49, 3086.
- 3. E. Neppiras, *Phys. Rep.*, 1980, **61**, 159.
- 4. S. K. Bhangu and M. Ashokkumar, Top. Curr. Chem., 2016, 374, 1.
- 5. T. Lepoint and F. Lepoint-Mullie, *Theoretical Bases*, In: *Synthetic Organic Sonochemistry*, Plenum Press, 1998, 1-49.



6. G. Chatel, Sonochemistry: New opportunities for green chemistry, World Scientific, 2017, 170 p.

7. M. Draye, J. Estager and N. Kardos, In: *Activation Methods: Sonochemistry and High Pressure*, (Eds J.-P. Goddard, M. Malacria and C. Ollivier), Willey, 2019, 26.

8. B. G. Pollet, *Electrocatal*. 2014, 5, 330.

9. N. Ghasemi, F. Zare, P. Davari, P. Weber, C. Lagton and A. Gosh, *Proceedings of the 7th IEEE Conference on Industrial Electronics and Applications* (ICIEA), 2012, 647.

10. B. Fay and M. Rinker, Ultrason., 1996, 34, 563.

11. L. He, F. Zhu, Y. Chen, K. Duan, X. Lin, Y. Pan and J. Tao, *Rev. Sci. Instrum.*, 2016, **87**, 054903.

12. G. Harris, Ultras. Med. Biol., 1985, 11, 803.

13. T. Uchida, T. Kikuchi, M. Yoshioka, Y. Matsuda and R. Horiuchi, *Acoust. Sci. Tech.*, 2015, **36**, 445.

14. N. Navarro, T. Chave, P. Pochon, II. Bisel, and S. Nikitenko, *J. Phys. Chem.* B, 2011, **115**, 2024.

15. I. Margulis and M. Margulis, *Acoust. Phys.*, 2005, **51**, 695.

16. C. C. Church, D. F. Gaitan, Y. A Pishchalnikov and T. J. Matula, *J. Acoust. Soc. Am.*, 2011, **129**, 2620.

17. H. Delmas, N. Tuan Le, L. Barthe and C. Julcour-Lebigue, *Ultrason. Sonochem.*, 2015, **25**, 51.



18. Y. T. Shah, A. B. Pandit and V. S. Moholkar, In: *Cavitation Reaction Engineering*,
The Plenum Chemical Engineering Series, Dan Luss Editor, Springer Science, 1999,
79.

19. J. Rooz, E. V. Rebrov, J. C. Schouten and J. T.F. Keurentjes, *Ultrason. Sonochem.* 2013, **20**,1.

20. B. E. Noltingk and E. A. Neppiras, Proc. Phys. Soc. B 1950, 63, 674.

21. L. H. Thompson and L. K. Doraiswamy, Ind. Eng. Chem. Res. 1999, 38, 1215.

22. T. J. Mason and J. P. Lorimer, *Applied sonochemistry: the uses of power ultrasound in chemistry and processing.* 2002.

23. G. Cravotto and P. Cintas, Chem. Soc. Rev., 2006, 35, 180.

24 P. R. Gogate, P. N. Patil, *Sonochemical reactors*, In: *Sonochemistry*, Springer, 2017, 255.

25 V. S. Moholkar, S. P. Sable and A. B. Pandit, AIChE J. 2000, 46, 684.

26 J.-P. Bazureau and M. Draye, *Ultrasound and Microwaves: Recent Advances in Organic Chemistry*, Transworld Research Network, Kerala, 2011, 241.

27 G. Chatel, M. Draye, R. Duwald, C. Piot and P. Fanget, Patent FR 20 06171, 2020.

28 Y. lida, K. Yasui, T. Tuziuti and M. Sivakumar, Microchem. J., 2005, 80, 159.

29 Y. Asakura, M. Maebayashi, T. Matsuoka and S. Koda, *Electron. Commun. Japan*, 2007, **90**, 1.

30 K. Makino, M. M. Mossoba, and P. Riesz, J. Amer. Chem. Soc., 1982, 104, 3537.

Royal Society of Chemistry – Book Chapter X



31 E. N. Harvey, J. Amer. Chem. Soc., 1939, 61, 2392.

32 Y. Hu, Z. Zhang and C. Yang, Ultrason. Sonochem., 2008, 15, 665.

33 R. J. Wood, J., Lee and M. J. Bussemaker, *Ultrasonics Sonochem.*, 2019, **58**, 104645.

34 Q.-A. Zhang, Y. Shen, X.-H. Fan, J. F. Garcia Martin, X. Wang and Y. Song, *Ultrason. Sonochem.* 2015, **27**, 96.

35 W. B. McNamara, Y. Didenko and K. S. Suslick, *Nature*, 1999, **401**, 772.

36 K. Yasuda, T. Torii, K. Yasui, Y. Iiad, T. Tuziuti, M. Nakamura and Y. Asakura, *Ultrason. Sonochem.* 2007, **14**, 699.

37 G. Chatel, Ultrason. Sonochem., 2018, 40, 117.

38 G. Cravotto, E. Borretto, M. Oliverio, A. Procopio and A. Penoni, *Catal. Commun.*, 2015, **63**, 2.

39 A. Tuulmets, S. Salmar and J. Järv, *Sonochemistry in water organic solutions*, Novinka Books, 2010, 54 p.

40 T. Lepoint and F. Lepoint-Mullie, In: *Synthetic Organic Sonochemistry*, ed. J.-L. Luche, Springer, Boston, MA, 1998.

41 G. H. Mahdavinia, S. Rostamizadeh, A. M. Amani and Z. Emdadi, *Ultrason. Sonochem.*, 2009, **16**, 7.

42 T. Deligeorgiev, S. Kaloyanova, N. Lesev and J. J. Vaquero, *Ultrason. Sonochem.*, 2010, **17**, 783.

43 A. Maleki, Ultrason. Sonochem., 2018, 40, 460.



44 I. Mohammadpoor-Baltork, M. Moghadam, S. Tangestaninejad, V. Mirkhani and Z. Eskandari, *Ultras. Sonochem.*, 2010, **17**, 857.

45 R. S. Disselkamp, Y.-H. Chin and C. H. F. Peden, J. Catal., 2004, 227, 552.

46 P. Qiu, B. Park, J. Choi, B. Thokchom, A. B. Pandit and J. Khim, *Ultras. Sonochem.*, 2018, **45**, 29.

47 N. Bremond, M. Arora, S. M. Dammer and D. Lohse, *Phys. Fluids*, 2006, **18**, 121505.

48 D. E. Yount, E. W. Gillary and D. C. Hoffman, J. Acous. Soc. Amer., 1984, **76**, 1511.

49 P. Gholami, A. Khataee, R. D. C. Soltani and A. Bhatnagar, *Ultras. Sonochem.*, 2019, **58**, 104681.

50Z. S. Kinzyabaeva and G. L. Sharipov, Ultras. Sonochem., 2018, 42, 119.

51 T. Ando, S. Sumi, T. Kawate, J. Ichihara and T. Hanafusa, *J. Chem. Soc., Chem. Commun.*, 1984, 439.

52 J.-L. Luche, Ultras. Sonochem., 1996, 3, S215.

53 U. Neuenschwander, J. Neuenschwander and I. Hermans, *Ultrason. Sonochem.*, 2012, **19**, 1011.

54 R. Naik, A. Nizam, A. Siddekha and M. A Pasha, *Ultrason. Sonochem.*, 2011, **18**, 1124.

55 P. N. Amaniampong, A. Karam, Q. T. Trinh, K. Xu, H. Hirao, F. Jérôme and G. Chatel, *Sci. Rep.*, 2017, **7**, 40650.



56 T. Cousin, G. Chatel, N. Kardos, B. Andrioletti and M. Draye, *Ultrason. Sonochem.*, 2019, **53**, 120.

57 P. Hu, Y. Ben-David and D. Milstein, J. Am. Chem. Soc., 2016, 138, 6143.

58 P. Contant, L. Forzy, U. Hengartner and G. Moine, *Helv. Chim. Acta*, 1990, **73**, 1300.

59 W. Lijinsky, Cancer Res. 1974, 34, 255.

60 D. Lambert, Local Anesthetic Pharmacology, In: Stanley T.H., Ashburn M.A. (eds) Anesthesiology and Pain Management. Developments in Critical Care Medicine and Anesthesiology, Springer, 1994, Vol 29, 35.

61 S. Perrin, D. Montani, C. O'Connell, S. Günther, B. Girerd, L. Savale, C. Guignabert, O. Sitbon, G. Simonneau, M. Humbert and M.-C. Chaumais, *Eur. Respir. J.*, 2015, **46**, 1211.

62 R. Rothman and M. Baumann, Eur. J. Pharmacol., 2003, 479, 23.

63 L. Antkiewicz-Michaluk, I. Romanska, A. Wąsik and J. Michaluk, *Neurotox. Res.*, 2017, **32**, 94.

64 T. Kahl, K.-W. Schröder, F. Lawrence, W. Marshall, H. Höke and R. Jäckh, *Aniline*, In: *Ullmann's Encyclopedia of Industrial Chemistry*, Ed. Wiley-VCH, 2012.

65 S. M. Kelly and B. H. Lipshutz, Org. Lett., 2014, 16, 98.

66 M. Draye, G. Chatel and R. Duwald, *Pharmaceuticals*, 2020, **13**, 1.

67 M. K. Basu, F. F. Becker and B. K. Banik, *Tetrahedron Lett.*, 2000, 41, 5603.



68 O. Elendu, M. Ojewumi, Y. D. Yeboah and E. E. Kalu, *Int. J. Electrochem. Sci.*, 2015, **10**, 10792.

69 ECHA, Sodium phosphinate, online: <u>https://echa.europa.eu/fr/substance-</u> information/-/substanceinfo/100.028.791 (accessed December 2020).

70 S. Letort, M. Lejeune, N. Kardos, E. Métay, F. Popowycz, M. Lemaire and M. Draye, *Green Chem.*, **2017**, 19, 4583.

71 G. Chen, Z. Liu, Y. Zhang, X. Shan, L. Jiang, Y. Zhao, W. He, Z. Feng, S. Yang and G. Liang, ACS Med. Chem. Lett., 2013, 4, 69.

72 M. Gaba, S. Singh and C. Mohan, Eur. J. Med. Chem., 2014, 76, 494.

73 N. Nongrum, G. K. Kharmawlong, J. W. S. Rani, N. Rahman, A. Dutta and R. Nongkhlaw, *J. Heterocycl. Chem.*, 2019, **56**, 2873.

74 S. Sarhandi, L. Zare Fekri and E. Vessally, Acta Chim. Slov., 2018, 65, 246.

75 M. Mohamed, J. Pharm. Sci., 2016, 53, 108.

76 B. H. Han and P. Boudjouk, J. Org. Chem., 1982, 47, 5030.

77 P. Boudjouk, D. P. Thompson, W. H. Ohrbom and B. Han, *Organometallics,* 1986, **5**, 1257.

78 K. S. Suslick and S. J. Doktycz, J. Am. Chem. Soc., 1989, 111, 2342.

79 N. A. Ross and R. A. Bartsch, J. Heterocycl. Chem., 2001, 38, 1255.

80 N. A. Ross and R. A. Bartsch, J. Org. Chem. 2003, 68, 360.

81 R. G. Soengas and A. M. Estévez, Ultrason. Sonochem., 2012, 19, 916.

82 C.-J. Li, Tetrahedron, 1996, 52, 5643.

Royal Society of Chemistry – Book Chapter X



83. S. M. Cenci, L. R. Cox and G. A. Leeke, *ACS Sustainable Chem. Eng.* 2014, **2**, 1280.

- 84. B. D. Mather, K. Viswanathan and K. M. Miller, T. E. Long, *Prog. Polym. Sci.* 2006, **31**, 487.
- 85. J. Christoffers, Eur. J. Org. Chem. 1998, 1998, 1259.
- 86. Y.-L Song, Y.-F. Dong, F. Wu, T. Yang and G.-L. Yang, *Ultrason. Sonochem.*, 2015, **22**, 119.
- 87. A. Koleva, N. Petkova and R. Nikolova, Synlett, 2016, 27, 2676.
- 88. B. Banerjee, Ultrason. Sonochem. 2017, 35, 1.
- 89. M. Draye and N. Kardos, Top. Curr. Chem., 2016, 374, 74.
- 90. P. R. Gogate, V. S. Sutkar and A. B. Pandit, Chem. Eng. J., 2011, 166, 1066.
- 91. V. S. Sutkar and P. R. Gogate, Chem. Eng. J., 2009, 155, 26.
- 92. J. W. K. Kambiz Farahmand, Exp. Heat Transfer, 2001, 14, 107.
- 93. Y. Iida, K. Yasui, T. Tuziuti and M. Sivakumar, *Microchem. J.*, 2005, **80**, 159.

94. S. Merouani, O. Hamdaoui, Y. Rezgui and M. Guemini, *Res. Chem. Intermediat.*, 2015, **41**, 881.

95. K. Yasui, T. Kozuka, T. Tuziuti, A. Towata, Y. Iida, J. King and P. Macey, *Ultrason Sonochem.*, 2007, **14**, 605.

96. N. Kerabchi, S. Merouani and O. Hamdaoui, *Ultrason. Sonochem.*, 2018, **48**, 136.



97. N. Kerabchi, S. Merouani and O. Hamdaoui, *Separ. Purif. Technol.*, 2018, **206**, 118.

98. Y. T. Didenko and K. S. Suslick, Nature, 2002, 418, 394.

99. S. Koda, K. Tanaka, H. Sakamoto, T. Matsuoka and H. Nomura, *J. Phys. Chem.*, 2004, **108**, 11609.

100. A. A. Doinikov and S. T. Zavtrak, Physics Fluids, 1995, 7, 1923.

101. T. Tuziuti, K. Yasui, Y. Iida, M. Sivakumar and S. Koda, *J. Phys. Chem.*, 2004, **108**, 9011.

102. R. A. Sheldon, *Green Chem.* 2007, **9**, 1273.

103. R. A. Sheldon, ACS Sustainable Chem. Eng., 2018, 6, 32.

104. P. Tierce, Patent, 1998, FR2768948B1, WO1999016558A1.

105. S. I. Nikitenko, M. Brau, R. Pflieger, Ultrason. Sonochem., 2020, 67, 105189.

106. C. Cau, Y. Guari, T. Chave, J. Larionova, P. Pochon and S. I. Nikitenko, *J. Phys. Chem.*, 2013, **117**, 22827.

107. S. Balachandran, S. E. Kentish, R. Mawson and M. Ashokkumar, *Ultrason. Sonochem.*, 2006, **13**, 471.

108. E. S. Dassoff and Y. O. Li, Trends Food Sci. Technol., 2019, 86, 492.

109. G. Cravotto and P. Cintas, Chem. Eur. J., 2007, 13, 1902.

110. V. G. Gude, Resource-Efficient Technologies, 2015, 1, 116.

111. Y. Peng and G. Song, Green Chem., 2003, 5, 704.



112 . D. Fernandez Rivas and S. Kuhn, Top. Curr. Chem., 2016, 374, 70.

113. J. Sedelmeier, S. V. Ley, I. R. Baxendale and M. Baumann, *Org. Lett.*, 2010, **12**, 3618.

114. C. Delacour, D. Stephens, C. Lutz, R. Mettin and S. Kuhn, *Org. Process Res. Dev.*, 2020, **24**, 2085.

115. Y. Naruke, H. Tanaka and H. Harada, *Electrochemistry*, 2011, 79, 826.

116. G. Chatel and D. R. MacFarlane, *Chem. Soc. Rev.*, 2014, **43**, 8132.

117. G. Kaur, A. Sharma and B. Banerjee, *ChemistrySelect*, 2018, **3**, 5283.

118. G. Chatel, R. Pflieger, E. Naffrechoux, S. I. Nikitenko, J. Suptil, C. Goux-Henry,

N. Kardos, B. Andrioletti and M. Draye, ACS Sustainable Chem. Eng., 2013, 1, 137.

119. N. D. Oktaviyanti, Kartinin and A. Mun'im, *Heliyon*, 2019, **5**, e02950.

120. D. Panda and S. Manickam, Ultrason. Sonochem., 2017, 36, 481.

121. Y. Son, M. Lim, J. Khim and M. Ashokkumar, *Ind. Eng. Chem. Res.*, 2012, **51**, 232.

122. R. Abazari, A. R. Mahjoub, S. Sanati, Z. Rezvani, Z. Hou and H. Dai, *Inorg. Chem.*, 2019, **58**, 1834.

123. S. Saito, *Ultrasound Field and Bubbles*, In: *Sonochemistry and the Acoustic Bubble*, Elsevier Inc., 2015, 11.