

Comparison of the predictive accuracy of multiple definitions of cognitive impairment for incident dementia: a 20-year follow-up of the Whitehall II cohort study

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- 1 Comparison of the predictive accuracy of multiple definitions of cognitive impairment for
- 2 incident dementia: A 20-year follow-up of the Whitehall II cohort study
- 3

4 Marcos D. Machado-Fragua^{*} PhD

- 5 Aline Dugravot MSc
- 6 Julien Dumurgier MD
- 7 Mika Kivimaki PhD Full Professor
- 8 Andrew Sommerlad PhD
- 9 Benjamin Landré PhD
- 10 Aurore Fayosse MSc
- 11 Séverine Sabia PhD
- 12 Archana Singh-Manoux PhD Full Professor
- 13
- 14 Université de Paris, Inserm U1153, Epidemiology of Ageing and Neurodegenerative diseases, Paris,
- 15 France (MD Machado-Fragua PhD, A Dugravot MSc, J Dumurgier MD, B Landré PhD, A Fayosse
- 16 MSc, S Sabia PhD, A Singh-Manoux PhD)
- 17 Department of Epidemiology and Public Health, University College London, UK (M Kivimaki PhD, S
- 18 Sabia PhD, A Singh-Manoux PhD)
- 19 Cognitive Neurology Center, Saint Louis Lariboisiere Fernand Widal Hospital, AP-HP, Université
- 20 de Paris, Paris, France (J Dumurgier MD)
- 21 Helsinki Institute of Life Sciences, University of Helsinki, Helsinki, Finland (M Kivimaki PhD)
- 22 Division of Psychiatry, University College London, London, UK (A Sommerlad PhD)
- 23 Camden and Islington NHS Foundation Trust, London, UK (A Sommerlad PhD)
- 24
- 25 <u>*Corresponding author & address</u>
- 26 Université de Paris
- 27 Inserm U1153, Epidemiology of Ageing and Neurodegenerative diseases
- 28 10 Avenue de Verdun
- 29 75010 Paris, France
- 30 Tel: +33 (0) 157279045
- 31 Email: marcos.machado@inserm.fr
- 32

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- 34 figure

35 ABSTRACT

Background Studies use cognitive assessment at one point in time to define cognitive impairment 36 37 in order to examine conversion to dementia. Our objective was to examine the predictive accuracy 38 and conversion rate of seven alternate definitions of cognitive impairment for dementia. 39 **Methods** 5687 participants from the Whitehall II study, mean age $65 \cdot 5$ (SD $5 \cdot 8$) years in 2007, 40 were followed up for a mean 10.0 (SD 1.9) years for clinically-diagnosed dementia (N=270). 41 Algorithms based on poor cognitive performance (defined using age and sex thresholds, and 42 subsequently education or occupation) and objective cognitive decline (using data from 1997-1999, 2002-2004, and 2007-2009) were used to generate seven alternate definitions of cognitive 43 44 impairment. We compared predictive accuracy using Royston's R², Akaike's information criterion 45 (AIC), sensitivity, specificity, and C-statistic. 46 Findings Cognitive impairment defined using both cognitive performance and decline had higher 47 hazard ratios (between 5.08 to 5.48) for dementia than definitions based on cognitive 48 performance alone (3.25 to 3.39). However, all definitions had poor predictive performance (C-49 statistic ranged from 0.59 to 0.63), primarily due to low sensitivity (21.6% to 48.4%). A predictive 50 model containing age, sex and education without measures of cognitive impairment had better 51 predictive performance (C-statistic 0.78, sensitivity 74.2%, specificity 72.2%) than all seven 52 definitions of cognitive impairment (all p<.001). 53 Interpretation These findings suggest that cognitive impairment in early old age is not useful for 54 dementia prediction, even when it is defined using longitudinal data on cognitive decline and 55 thresholds of poor cognitive performance defined by education or occupation. 56 Funding NIH, UK Medical Research Council 57 Keywords: cognitive impairment; cognitive decline; dementia; predictive accuracy; C-statistic; 58 longitudinal study

59 INTRODUCTION

60 Late-onset dementias are complex diseases with a long preclinical phase with pathophysiological 61 hallmarks appearing 15-20 years before clinical symptoms.¹ It has been estimated that even small 62 delays in onset could substantially reduce the burden of ADRD and result in substantial savings to 63 health care systems.² There are no established methods of early identification of individuals at risk 64 of dementia. Alongside biological models of Alzheimer's disease there is considerable research on 65 understanding changes in clinical trajectory, primarily cognitive function, leading to dementia. The 66 intermediate stage between healthy ageing and dementia has been studied using concepts such as cognitive impairment,³ to identify the intermediate, transitional phase between normal 67 68 cognitive ageing and dementia onset.

69 The criteria for these diagnostic constructs typically include subjective complaint from the 70 patient or an informant or loss of cognition from a previous state, measurable poor cognitive 71 performance, and absence of dementia diagnosis.³⁻⁵ The best definition of loss of cognition from a previous state remains unclear; most studies rely on clinical judgement but repeated cognitive 72 73 testing is thought to be more informative.^{6,7} Most studies on cognitive impairment (CI) show increased risk of dementia in these individuals.^{3,4,8,9} Much research on CI has focused on 74 75 conversion rates, reflecting the percentage of CI cases who transition to dementia, rather than 76 assessments of the predictive accuracy of CI for dementia, which may be more meaningful for 77 assessment of the risk of dementia and informative in clinical settings.

We therefore tested the hypothesis that inclusion of objective measures of cognitive decline using serial assessments of cognitive function in addition to a measure of poor cognitive performance at one time point improves prognostic performance of CI for dementia. Poor cognitive performance was defined using age and sex specific thresholds, and then also using education and occupational position at age 50 as sex and socioeconomic factors are known to

shape cognitive trajectories.^{10,11} The predictive accuracy of these CI, definitions was examined and
analyses repeated with mortality, the secondary outcome.

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87 METHODS

88 Study population

- 89 Data are from the ongoing Whitehall II cohort study which was established in 1985-1988 among
- 90 10,308 British civil servants (6895 men and 3413 women) aged 35-55 years.¹² A cognitive test
- battery was introduced to the study in 1997-1999 and repeated in 2002-2004, 2007-2009, 2012-
- 92 2013, and 2015-2016. In addition to data collection within the study, data over the follow-up were
- 93 available using linkage to electronic health records of the UK National Health Service (NHS) for all
- 94 but 10 of the 10,308 participants recruited to the study. Participants gave informed written
- 95 consent at each contact and the latest ethical approval was from National Health Service (NHS)
- 96 London Harrow Research Ethics Committee (reference number 85/0938).
- 97

98 Measures

- 99 Exposure: Cognitive impairment
- 100 The cognitive test battery was composed of tests of <u>memory</u> (assessed using a 20-word free recall
- 101 test in 2 minutes), language (measures of phonemic and semantic fluency where participants were
- asked to produce as many words as they would starting with "s" and then "animals" names, one
- 103 minute was allowed for each test), <u>executive function</u> (timed (10 minutes) Alice Heim 4-I test
- 104 (AH4-I),¹³ a test which includes a set of 65 verbal and mathematical reasoning items of increasing
- 105 difficulty), and the Mini Mental State Examination (MMSE).¹⁴

- 106 <u>Poor cognitive performance</u> in 2007-2009 was defined as MMSE <24¹⁵ or cognitive test scores
- 107 (memory, language, or executive function) below 1.5 SD¹⁶ using the distribution of the cognitive
- 108 test score within the study population using the following steps (syntax provided in appendix p 2).
- 109 standardize measures of memory, language, and executive function
- 110 regress each cognitive test score for every individual using age (at date of clinical assessment
- 111 in 2007-2009) and sex
- 112 extract residuals (observed-predicted test score)
- 113 calculate the root-mean-squared error
- 114 standardize the residuals using the root-mean-squared error
- 115 apply the 1.5 SD threshold to the standardized residuals.
- 116 The procedure described above was repeated by first adding education (university or higher
- degree, higher secondary school, lower secondary school or less) and then occupation (three-level
- 118 variable categorized as high, intermediate, and low using the British Civil Service grade of
- 119 employment at age 50) to the regression model.
- 120 Cognitive decline was defined using slopes of change in cognitive test scores using data from 1997-
- 121 1999, 2002-2004, and 2007-2009. Cognitive decline was defined being in the worst 10th percentile
- 122 of the slope of change on at least one cognitive domain or below the 20th percentile on more than
- 123 one domain.¹⁶
- 124 Seven definitions of CI were determined using the following criteria:
- 125 1. Age and sex-specific threshold for poor cognitive performance.
- 126 2. Cognitive decline.
- 127 3. Age and sex-specific threshold for poor cognitive performance and cognitive decline.
- 128 4. Age, sex and education-specific threshold for poor cognitive performance.
- 129 5. Age, sex and education-specific threshold for poor cognitive performance and cognitive
- 130 decline.

- 131 6. Age, sex and occupation-specific threshold for poor cognitive performance.
- 132 7. Age, sex and occupation-specific threshold for poor cognitive performance and cognitive133 decline.
- 134

135 Primary outcome: Dementia

- 136 Dementia ascertainment was undertaken using linkage to Hospital Episode Statistics (HES), the
- 137 Mental Health Services Data Set (MHDS), and the mortality register using ICD-10 codes F00-F03,
- 138 F05·1, G30, and G31. Record linkage was available until 31st of March 2019. HES contains clinical
- 139 diagnoses from inpatient, outpatient, and emergency departments and has sensitivity and
- 140 specificity of 78.0% and 92.0%, respectively.¹⁷ MHDS contains dementia diagnoses from inpatient,
- 141 outpatient and community mental health services, including memory services. The British national
- 142 mortality register collects information about cause-specific mortality. Date of dementia was set at
- 143 the first record of dementia diagnosis in any of these three databases.
- 144
- 145 Secondary Outcome: Mortality
- 146 The personal NHS identification number was used to identify participants who died during the
- 147 follow-up. Mortality data were collected until 31st of September 2019 from the British national
- 148 mortality register.
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- 150

151 Statistical analysis

152 Participants' characteristics in 2007-2009 were examined as a function of concurrent CI status as

153 well as dementia status at the end of follow-up. Differences in sociodemographic variables and CI

status were assessed using χ^2 test and student's *t* test, as appropriate. Cohen's kappa coefficients

155 were used to describe the agreement between the seven definitions of Cl.

156

157 Predictive accuracy of cognitive impairment for dementia

158 We calculated dementia rate per 1000 person-years for each CI definition. Cox proportional 159 hazard regression analysis were used to examine the association between CI and incidence of 160 dementia for all 7 definitions. The start of follow-up was the date of the 2007-2009 clinical 161 examination and participants were censored at date of record of dementia, death or 31st of March 162 2019, whichever came first. We accounted for competing risk of death using cause-specific hazard models by censoring at date of death participants who died during follow-up.¹⁸ The predictive 163 accuracy of seven CI definitions were assessed using: a) Royston's modified R² for survival data¹⁹ 164 165 with confidence intervals using 2000 bootstrap replications in order to measure the overall performance; higher values indicate greater explained variation; b) Akaike information criterion 166 (AIC), a measure of the relative goodness of fit of a statistical model, where lower values indicate 167 168 better model fit. Differences of 10 or more in AIC were considered to be meaningful; c) sensitivity and specificity using survival models, as measures of classification accuracy; and d) Harrell's C-169 statistic for survival models with 95% confidence intervals for discrimination,²⁰ formally compared 170 using a nonparametric approach using definition 1 (age and sex threshold for poor cognitive 171 performance) as the reference. In these analyses, we also compared Harrell's C-statistics obtained 172 173 for the seven CI definitions with that obtained using only age, sex, and education to predict dementia. 174

175 Predictive accuracy of cognitive impairment for mortality

The analyses described above were repeated using mortality as the outcome. The start of followup in these analyses was also the date of the 2007-2009 clinical examination and participants were censored at date of death or 31st of March 2019, whichever came first.

179

181 Sensitivity Analyses

182 We performed the following analyses to assess the robustness of our results. 1. Use of a 3-category variable (non-Cl, only poor cognitive performance, poor cognitive 183 184 performance and cognitive decline) to assess the importance of cognitive decline in CI when 185 both poor cognitive performance and cognitive decline are included in the definition 186 (definition 3, 5 and 7) using age, sex, and then education and occupation as described in the 187 main analyses. 2. To address the impact of missing data we undertook analysis using inverse probability 188 189 weighting to reflect the study population at baseline (1985). Of 9362 participants who were 190 alive in 2007-2009 (start of follow-up in these analyses) and did not have a dementia diagnosis we first calculated the probability of being included in the present study using data from 191 192 baseline on sociodemographic factors, health behaviours, cardiometabolic risk factors, and 193 mental health and data on chronic conditions over the follow-up (1985 to 2019). The inverse of 194 these probabilities was used to weight the analyses of the association between CI and dementia. 195 196 3. Alternate thresholds to define poor cognitive performance (1 SD and 1.96 SD instead of 1.5 197 SD). 198 4. Analyses restricted to participants 65 years and older at CI assessment. 199 5. To examine reversion from CI to normal status between 1997-2009, we used a 3-category 200 variable (non-CI, non-CI but previous poor cognition, and CI) to assess its association with the risk of dementia. Unstable CI was considered when a participant was classified as non-CI after 201 202 being identified as cognitively impaired in any previous examination. 203 6. Adding age, sex, and education to CI definitions in predicting dementia. The optimal cut-off point for sensitivity and specificity was established by maximizing the Youden index (sensitivity 204 + specificity – 1).²¹ 205

206	All analyses were	e conducted with St	ata version 15.0) (Stata Co	orp.). Two-sided	<i>p</i> <0.05 was
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207 considered statistically significant in all analyses.

208 Role of the funding source

209 The funders had no role in the study design, data collection, data analysis, data interpretation, or

210 writing of the report. All authors had access to all the data reported in the study and the

corresponding author had final responsibility for the decision to submit for publication.

212

213

214 **RESULTS**

215 Of the 10,308 participants in the Whitehall II cohort study, 954 (9.3%) died and 2593 216 (25.2%) were lost to follow-up between 1985 and 2007, before the assessment of CI (2007-2009). 217 We also excluded 1064 (10.3%) participants with missing cognitive data and 10 (0.1%) prevalent 218 cases of dementia at baseline, leading to analysis on 5687 participants (Figure 1). The average age 219 of participants at CI assessment was 65.7 years, and 27% were women (Table 1). Compared with 220 non-CI participants, those who were identified as having CI were older, had lower education and 221 occupational attainment, and had lower cognitive scores and greater 10-year decline in all 222 cognitive domains.

223 Over a median follow-up of 10·5 (IQR 10·1-10·9) years, a total of 270 (4·8%) participants 224 were diagnosed with dementia and 649 (11·4%) died. Participants' characteristics in 2007-2009 225 according to dementia status at the end of follow-up are shown in **Table 2**. Participants with 226 dementia diagnosis were older, had lower educational level and occupational attainment, and 227 they also had higher prevalence of CI.

The agreement between the seven definitions of CI (appendix p 3) showed that the definition based only on cognitive decline (definition 2) had poor agreement with definitions based only on cognitive performance (definitions 1, 4 and 6: kappa's 0.13, 0.14, and 0.15

respectively). Definitions based on cognitive performance alone (definition 1, 4, and 6) had
considerable agreement with each other, kappa between 0.69 and 0.79. Similarly, definitions
based on both cognitive performance and cognitive decline (definition 3, 5, and 7) agreed with
each other, kappa between 0.78 and 0.86.

Dementia incidence rates, associations between CI and dementia, and predictive accuracy 235 of the seven CI definitions are shown in Table 3. The rate of dementia per 1000 person-years was 236 237 higher in CI than non-CI cases; these differences were larger when CI was defined using both 238 cognitive performance and cognitive decline (19.8 vs. 3.9, 20.2 vs. 3.9, and 19.0 vs. 3.9 for 239 definitions 3, 5 and 7, respectively) than when using only cognitive performance (11.6 vs. 3.7, 11.9 240 vs. 3.6, and 11.6 vs. 3.6 for definitions 1, 4, and 6 respectively) or only cognitive decline (9.4 vs. 3.2). This pattern of results was reflected in results from Cox regression, with the highest hazard 241 242 ratio (HR) seen for definition 5 (age, sex, education threshold for poor cognitive performance AND 243 cognitive decline: HR 5.48 (95% Confidence Interval 4.13, 7.26)) and lowest for definition 2 (only 244 cognitive decline: HR 3.01 (95% Confidence Interval 2.37, 3.82)).

 Table 3 also shows definition 5 (age, sex, education threshold for poor cognitive
 245 246 performance and cognitive decline) to have the highest explained variance (R^2 = 0.214, 95% 247 Confidence Interval 0.130, 0.311) and the best model fit (lowest AIC). The CI definitions including 248 both poor cognitive performance and cognitive decline had the better goodness of fit in terms of 249 R^2 and AIC (Δ AIC >-10 for definitions 3, 5 and 7 compared to definition 1). The C-statistic for 250 definition 1 (age, sex threshold for cognitive performance) was modest (0.608, 95% Confidence 251 Interval 0.580, 0.637) and p-values for difference in C-statistic using definition 1 as reference (all p>0.05) suggest similar discrimination for all seven definitions of CI. Sensitivity of the definitions 252 253 ranged from 21.6% to 48.4%, specificity from 75.1% to 94.9%, with definitions that included both 254 cognitive performance and decline (definitions 3, 5, and 7) showing lower sensitivity but greater

255 specificity. A prediction model only with demographic variables (age, sex, and education) had a Cstatistic of 0.783 (0.758, 0.809), better than all seven CI measures (p-values were <0.0001). 256 Additional analyses (appendix p 4) showed systematically stronger associations with 257 258 dementia when cognitive decline was included in the definition of CI (p-value <0.0001 for 259 comparison of hazard ratios). Inverse probability weighting to account for missing data yielded 260 results (appendix p 5) similar to those reported in Table 3, with the highest HR for definition 5 (HR 261 4.99 (95% Confidence Interval 3.69, 6.74)) and lowest for definition 2 (HR 2.70 (95% Confidence Interval 2.09, 3.48)). When thresholds of 1 SD rather than 1.5 SD were used (appendix p 6), the 262 263 highest HR was found for definition 7 (HR 4·17 (95% Confidence Interval 3·24, 5·37)) and lowest 264 for definition 4 (HR 2.63 (95% Confidence Interval 2.07, 3.34)). For the 1.96 SD threshold 265 (appendix p 7), the highest HR was for definition 3 (HR 7.09 (95% Confidence Interval 5.07, 9.93)) 266 and lowest for definition 2 (HR 3.01 (95% Confidence Interval 2.37, 3.82)). Analysis restricted to 267 participants 65 years and older (N=2837) (appendix p 8) showed definition 5 to have the highest 268 HR (HR 4.84 (95% Confidence Interval 3.58, 6.55)) and definition 2 the lowest (HR 2.75 (95% 269 Confidence Interval 2.12, 3.56)). The risk of dementia in participants with unstable CI (reversion 270 from CI to non-CI status) was similar to non-CI participants (appendix p 9). 271 Adding age, sex and education to CI measures considerably improved the predictive 272 accuracy of all definitions (appendix p 10). For example, definition 1 (age, sex threshold for

cognitive performance) had its C-statistic change from 0.608 (Table 3) to 0.807 (appendix p 10),

with improvement in R^2 from 0.155 to 0.643 and sensitivity from 32.9% to 77.2%. In these analyses

all predictive models performed equally well irrespective of the definition of CI.

Table 4 shows all seven definitions of CI to be associated with mortality, compared to nonCI persons, the HR for mortality using age and sex threshold of CI (definition 1) was 1.71 (95% CI
1.42, 2.06). The R² for mortality associated with all seven CI definitions were lower than those
observed for dementia. CI defined using only cognitive decline (definition 2) had the best overall

fit (R^2 and AIC) and the best discrimination (C-statistic 0.566, 95% Confidence Interval 0.547,

281 0.585), significantly better than definition 1 (p=0.02).

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- 283

284 **DISCUSSION**

This prospective study has five key findings. First, CI defined using objective measure of 10-285 286 year cognitive along with poor cognitive performance was more strongly associated with dementia compared to definitions using only poor cognitive performance or only cognitive decline. Two, the 287 288 discrimination and predictive accuracy of all CI definitions for dementia was modest, it was 289 characterized by high specificity but modest sensitivity. Most studies on CI are based on older 290 adults,^{22,23} restricting our analyses to participants older than 65 years did not alter main findings. 291 Three, using education or occupation specific thresholds for poor cognitive performance in 292 addition to age and sex had a small impact in improving predictive performance of CI for 293 dementia. Four, in general CI had a weaker association with mortality than with dementia. Five, demographic variables (age, sex, education) on their own were substantially better predictors of 294 295 dementia than all seven CI definitions and thus adding these measures to the prediction model 296 substantially improved prediction accuracy.

Much of the research on CI focusses on conversion rates to dementia. A 2009 metaanalyses reported cumulative conversion rate to be 22.7% (95% CI 14.2, 32.6) in community settings.²² In our study, with assessment of cognitive decline over a 10-year span and a subsequent 10-year follow-up, the cumulative conversion ranged from 9% to 18% for the seven definitions of CI. Conversion to dementia may not be meaningful as an annual conversion rate of 10% from MCI to dementia reported in a review²³ seems implausible because it implies that nearly two-third of the study population would convert to dementia after ten years.

304 Two recent studies used data from Alzheimer's Disease Neuroimaging Initiative study (ADNI), based only on persons with an MCI diagnosis, to examine conversion. One study found 305 cognitive measures to be more robust predictors of conversion than cerebrospinal fluid (CSF) 306 biomarkers,²⁴ and the second used over 750 predictors and found the best performing model to 307 include demographic measures, cognitive/functional markers and morphometric MRI measures 308 (83% sensitivity, 76% specificity, area under the curve (AUC) = 0.87).²⁵ As in our study, the role of 309 310 demographic variables in prediction has also been noted in a recent study that examined conversion from normal cognition to MCI, with an AUC of 0.68; in this study adding 6 (APOE e4, 2 311 312 cognitive tests, right hippocampus volume, right entorhinal cortex thickness, CSF p-tau) other measures improved the AUC to 0.85.²⁶ Taken together, these findings highlight the importance of 313 314 demographic variables in dementia prediction, they also have the advantage of being widely 315 available compared to CSF or imaging biomarkers.

316 An important element in the conceptualization of CI is loss of cognition from a previous 317 state with recommendations that this shall be undertaken using serial measurement,⁴ preferably with a minimum of 3 data points.⁷ Cognitive testing at one point of time is less reliable and subject 318 to random variation, particularly at older ages.^{6,7} However, most studies do not use cognitive 319 320 decline in the diagnosis of CI, either because the study period is short or repeat data on cognitive 321 function are not available. One exception is a study on 618 adults aged 70-90 years with data on cognitive decline over 2 years and a 6-year follow-up that found objective cognitive decline not to 322 323 improve the predictive accuracy for progression to dementia (AUC 0.52 to 0.62 compared to 0.59 to 0.72 for measures of poor cognitive performance).²⁷ Another multi-cohort study on the 324 325 progression from normal cognition to MCI also reported better performance with cognitive decline, specifically an improvement in specificity.²⁸ Our results also show that including both poor 326 cognitive performance and cognitive decline (definitions 3, 5 and 7) increases specificity so these 327 328 metrics should be used in contexts where it is important to avoid false positive cases.

329 Education and occupation have been studied extensively in the onset of CI and dementia, with a recent study showing education to delay the onset of CI but not the subsequent 330 progression to dementia.²⁹ Likewise, our results suggest that using education specific thresholds 331 332 for poor cognitive performance have a marginal effect in terms of improving prediction of 333 dementia. Taken together, our results support the position that cognitive impairment is a poor predictor of dementia.^{22,27} While CI definitions without demographic variables have high 334 335 specificity, the low prevalence of dementia implies a large proportion of false positive test results, resulting in low positive predictive value for CI. A similar observation has been made for blood 336 337 based biomarkers for Alzheimer's disease that have high negative predictive value but low positive predictive value.³⁰ Combining multiple predictors (such as biomarkers, imaging data, risk factors 338 339 and cognitive markers) to define CI does improve prediction accuracy^{25,31,32} but even in these 340 studies the major predictive ability continues to be from demographic variables. Our results on CI 341 reflects findings on multivariable prediction models for dementia where reviews of existing 342 models have found their predictive accuracy to be poor.³³ 343 The primary strength of this study is use of a large scale, longitudinal study spanning over 20 years that allows assessment of the usefulness of CI for dementia. Cognitive decline spanning 344 ten years could be used to determine CI status, following recommendations in this domain.^{4,6,7} As 345 346 such data are unlikely to be available in clinical practice we also used measures of cognitive 347 performance alone in CI definitions 1, 4, and 6. Other advantages were the use of an algorithm to 348 guide diagnostic classification of CI, which reduces random variability and biases due to variability in clinical judgment. Finally, the use of predictive statistics in addition to conversion rates and 349 350 hazard ratios to show strength of associations allows assessment of the utility of CI. 351 Notwithstanding, when interpreting our results, the limitations of the study must also be

taken into account. The cognitive battery did not include all possible cognitive domains,

353 particularly measures of visuospatial memory or delayed recall, or multiple cognitive tests in each

354 domain that may measure CI better. Ascertainment of dementia via linkage to electronic health 355 records rather than clinical evaluation is likely to miss milder cases of dementia. However, this approach has the advantage of being able to include all participants in the analyses rather than 356 357 just those who participate in-person in ascertainment of dementia. In the UK, HES records on 358 dementia have been shown to have high specificity but modest sensitivity (78%) due to missing of milder cases of dementia,¹⁷ we additionally used the mental health data to improve the sensitivity 359 360 of dementia diagnosis. Previous studies using these data on dementia show expected associations with risk factors¹¹ suggesting that the quality of the dementia data is an unlikely explanation of the 361 362 findings of the present study. Further, the presence of false negatives would affect all prediction 363 models rather than only those using CI. The analysis on dementia is based on smaller numbers 364 than that used for mortality and the confidence intervals accompanying the HR are wider but the number of events is large enough not to violate the guideline of 10-15 events per predictor. Small 365 366 numbers did not allow examination of dementia-related mortality or dementia sub-types. Finally, Whitehall II study participants are likely to be healthier than the general population, though we 367 368 have shown risk factor-outcome associations in the study to be similar to those of the general population.34 369

In conclusion, our study suggests that cognitive decline is necessary but not sufficient for later dementia. Adding an objective measure of cognitive decline over 10 years does not improve the predictive accuracy of CI, and is unlikely to be a cost-effective approach to identifying persons who would benefit from therapeutic strategies aimed at delaying or preventing dementia. Further research is needed to determine risk factors or biomarkers that are useful in early identification of persons at increased risk of dementia.

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391

392 AUTHOR CONTRIBUTIONS

- 393 Conceptualization: AD, SS, ASM.
- 394 Methodology: MDM-F, AD, AF, SS, ASM.
- 395 Investigation: MDM-F, AD, JD, MK, AS, BL, AF, SS, ASM.
- 396 Validation: MDM-F, AD, SS, ASM.
- 397 Formal analysis: MDM-F, AD.
- 398 Access and verified the data: MDM-F, AD.
- 399 Data Curation: AD, AF.
- 400 Writing –original draft preparation: MDM-F, ASM.

- 401 Writing review and editing: MDM-F, AD, JD, MK, AS, BL, AF, SS, ASM.
- 402 Visualization: MDM-F, AD, SS, ASM.
- 403 Supervision: AD, SS, ASM.
- 404 Funding acquisition: MK, ASM.
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414

415 DATA AVAILABILITY

- 416 Whitehall II data cannot be shared publicly because of constraints dictated by the study's ethics approval
- 417 and IRB restrictions. The Whitehall II data are available for sharing within the scientific community.
- 418 Researchers can apply for data access at https://www.ucl.ac.uk/epidemiology-health-
- 419 care/research/epidemiology-and-public-health/research/whitehall-ii/data-sharing.

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Table 1. Characteristics of the participants as a function of Cognitive Impairment.

	Total	Cognitive Impairment ^a								
	Population N=5687	Definition 1 N=821	Definition 2 N=1485	Definition 3 N=338	Definition 4 N=837	Definition 5 N=347	Definition 6 N=826	Definition 7 N=354		
Age, M (SD)	65·7 (5·9)	65·8 (5·9)	67·0 (6·0)	67·2 (6·0)	66·0 (6·0)	67·2 (6·1)	65·8 (6·1)	67·2 (6·1)		
Women, %	27.3	32.2	30.6	33.4	30.2	31.1	29.2	30.8		
Low education, %	41.7	59.3	43·7	56.5	41.5	43·2	48.4	48.3		
Low occupation, %	10.7	30.2	12.8	29.0	27.0	24.8	14.4	15.8		
Cognitive scores in 2007/09										
Memory (Range 0-20), M(SD)	6·21 (2·21)	4·32 (2·21)	5·51 (2·19)	3·86 (2·22)	4·38 (2·29)	3.98 (2.35)	4·48 (2·25)	4·05 (2·30)		
Language (Range 0-35), M(SD)	15·34 (3·46)	11·64 (3·44)	14·56 (3·63)	11·56 (3·97)	11·78 (3·48)	11·63 (3·96)	12·03 (3·51)	11·83 (3·85)		
Executive function (Range 0-65), M(SD)	43·92 (10·82)	30.38 (11.34)	41·31 (11·27)	31·05 (11·78)	31·35 (11·74)	32·14 (12·04)	33·06 (11·84)	33·30 (11·86)		
MMSE <24, %	0.8	5.5	3.0	13.3	5.4	13·0	5.5	12.7		
Mean 10-y decline										
Memory, M(SD)	- 0·32 (1·15)	- 0·56 (1·39)	- 1.05 (1.46)	- 1·23 (1·58)	- 0·56 (1·39)	- 1·22 (1·59)	- 0·62 (1·35)	- 1·27 (1·50)		
Language, M(SD)	- 0·37 (0·79)	- 0·49 (1·01)	- 0·87 (1·02)	- 0·92 (1·27)	- 0·51 (1·01)	- 0·94 (1·26)	- 0·54 (1·02)	- 0·97 (1·25)		
Executive function, M(SD)	- 0·27 (0·60)	- 0·45 (0·69)	- 0.60 (0.79)	- 0·78 (0·84)	- 0·45 (0·69)	- 0·75 (0·85)	- 0·48 (0·70)	- 0·79 (0·85)		

^aCognitive Impairment defined as follows:

1. Age and sex threshold for poor cognitive performance.

2. Cognitive decline.

3. Age, sex threshold for poor cognitive performance AND cognitive decline.

4. Age, sex, education threshold for poor cognitive performance.

5. Age, sex, education threshold for poor cognitive performance AND cognitive decline.

6. Age, sex, occupation threshold for poor cognitive performance.

7. Age, sex, occupation threshold for poor cognitive performance AND cognitive decline.

	Deme	Dementiaª			
	No	Yes	p-value ^b		
N, %	5417 (95·2)	270 (4.8)			
Age at baseline, M(SD)	65·5 (5·8)	71·4 (4·9)	<.0001		
Women	1463 (27·0)	89 (33·0)	·03		
Low education	2224 (41·1)	150 (55·6)	<.0001		
Low occupation	552 (10·2)	57 (21·1)	<.0001		
Cognitive Impairment definitions					
1. Age and sex threshold for poor cognitive performance	730 (13·5)	91 (33·7)	<.0001		
2. Cognitive decline	1351 (24·9)	134 (49·6)	<.0001		
3. Age, sex threshold for poor cognitive performance AND cognitive decline	278 (5·1)	60 (22·2)	<.0001		
4. Age, sex, education threshold for poor cognitive performance	742 (13·7)	95 (35·2)	<.0001		
5. Age, sex, education threshold for poor cognitive performance AND cognitive decline	284 (5·2)	63 (23·3)	<.0001		
6. Age, sex, occupation threshold for poor cognitive performance	734 (13·6)	92 (34·1)	<.0001		
7. Age, sex, occupation threshold for poor cognitive performance AND cognitive decline	293 (5·4)	61 (22·6)	<.0001		

Table 2. Sample characteristics in 2007-2009 as a function of dementia status at the end of follow-up (31st March 2019).

Data are n (%), unless otherwise specified.

^aData on dementia subtype was as follows: Alzheimer's disease (N=108), Vascular dementia (N=43), Parkinson's dementia (N=9), Mixed Alzheimer's & vascular dementia (N=14), Mixed Vascular and Parkinson's dementia (N=2), Mixed Alzheimer's and Parkinson's dementia (N=4), Other/Missing subtype (N=90).

 $^{\text{b}}\text{p}$ values for differences in χ^2 test (categorical data) or student's t test (continuous).

		Rate of								C_Statistic		
	N cases /total	dementia/ 1000 person- years	Follow-up years M(SD)	HR (95% Confidence Interval)	R ² (95% Confidence Interval)	AIC	ΔAIC	Sensitivity %	Specificity %	(95% Confidence Interval)	<i>p</i> - valueª	<i>p</i> - value⁵
COGNITIVE IMP	PAIRMENT DEFIN	ITIONS										
1. Age and se	ex threshold f	or poor cogni	tive perform	ance								
Non-Cl	179/4866	3.7	10.1 (1.7)	1 (Ref.)	0.155	4527·9	Ref.	32.9	86.6	0.608	Ref.	<.0001
CI	91/821	11.6	9·5 (2·4)	3·26 (2·53, 4·19)	(0·088, 0·238)					(0·580 <i>,</i> 0·637)		
2. Cognitive	decline											
Non-Cl	136/4202	3.2	10·1 (1·7)	1 (Ref.)	0.169	4521·4	- 6·5	48.4	75·1	0.631	·23	<.0001
CI	134/1485	9.4	9·6 (2·3)	3·01 (2·37, 3·82)	(0·102 <i>,</i> 0·248)					(0·601 <i>,</i> 0·660)		
3. Age, sex th	nreshold for p	oor cognitive	performanc	e AND cognitive de	cline							
Non-Cl	210/5349	3.9	10·1 (1·8)	1 (Ref.)	0.200	4506·3	- 21.6	21.8	94.9	0.592	·09	<.0001
CI	60/338	19.8	9·0 (2·9)	5·30 (3·98, 7·07)	(0·117, 0·292)					(0·566, 0·617)		
4. Age, sex, e	education thre	eshold for poo	or cognitive p	performance								
Non-Cl	175/4850	3.6	10·1 (1·7)	1 (Ref.)	0.169	4521·0	- 6.9	34.3	86.4	0.612	·64	<.0001
CI	95/837	11.9	9·5 (2·5)	3·39 (2·64, 4·36)	(0·099 <i>,</i> 0·257)					(0·583, 0·641)		
5. Age, sex, e	education thre	eshold for poo	or cognitive p	performance AND c	ognitive decline							
Non-Cl	207/5340	3.9	10·1 (1·8)	1 (Ref.)	0.214	4499·2	- 28.7	22.4	94.8	0.595	·25	<.0001
CI	63/347	20.2	9·0 (2·9)	5·48 (4·13, 7·26)	(0·130 <i>,</i> 0·311)					(0·569, 0·621)		
6. Age, sex, o	occupation th	reshold for po	oor cognitive	performance								
Non-Cl	178/4861	3.6	10·1 (1·8)	1 (Ref.)	0.155	4527·7	- 0.2	32.5	86.5	0.608	.99	<.0001
CI	92/826	11.6	9·6 (2·4)	3·25 (2·52, 4·17)	(0·088 <i>,</i> 0·234)					(0·579 <i>,</i> 0·637)		
7. Age, sex, occupation threshold for poor cognitive performance AND cognitive decline												
Non-Cl	209/5333	3.9	10·1 (1·8)	1 (Ref.)	0.194	4509·0	- 18·9	21.6	94.6	0.591	·15	<.0001
CI	61/354	19.0	9.0 (2.9)	5·08 (3·82, 6·76)	(0·115, 0·285)					(0·565 <i>,</i> 0·616)		
DEMOGRAPHIC	MODEL											
Age, sex, &	270/5687	NA	NA	NA	0.543	4312.6	-215-3	74.2	72·2	0.783	<∙0001	Ref.
education	2,0,0007	1 1/ 1	1 1/ 1		(0·465 <i>,</i> 0·626)				- = =	(0·758 <i>,</i> 0·809)		

Table 3. Predictive performance of seven cognitive impairment definitions for dementia.

HR: Hazard Ratio, CI: Cognitive impairment, R²=Royston's R², AIC: Akaike information criterion, C-Statistic=Harrell's C-index, M(SD)=Mean (Standard Deviation), NA: Not Applicable. ^ap values for difference in C-statistic using definition 1 as reference.

 ^{b}p values for difference in C-statistic using demographic model as reference.

	N cases	Rate of mortality/ 1000	Follow-up years	HR (95% Confidence	R ² (95% Confidence	AIC	∆AIC	Sensitivity	Specificity ∞	C-Statistic (95%	p -	p -
	/ total	person- years	M(SD)	Interval)	Interval)			/0	70	Interval)	value	value
COGNITIVE IMP	AIRMENT DEFIN	NITIONS										
1. Age and se	x threshold f	for poor cogni	tive perform	ance								
Non-Cl	508/4866	9.8	10·6 (1·8)	1 (Ref.)	0.027	11058·3	Ref.	22·1	86.5	0.540	Ref.	<.0001
CI	141/821	16.7	10·3 (2·2)	1·71 (1·42, 2·06)	(0·011 <i>,</i> 0·050)					(0·524 <i>,</i> 0·556)		
2. Cognitive o	lecline											
Non-Cl	400/4202	8.9	10·7 (1·7)	1 (Ref.)	0.020	11033·2	- 25·1	38.4	75.5	0.566	·02	<.0001
CI	249/1485	16.3	10·3 (2·1)	1·84 (1·57, 2·16)	(0·026 <i>,</i> 0·080)					(0·547 <i>,</i> 0·585)		
3. Age, sex th	reshold for p	oor cognitive	performanc	e AND cognitive de	cline							
Non-Cl	572/5349	10.1	10.6 (1.8)	1 (Ref.)	0.036	11048.4	- 9.9	12.2	94.9	0.533	·21	<.0001
CI	77/338	22.9	9·9 (2·5)	2·31 (1·82, 2·93)	(0.015, 0.066)					(0·520 <i>,</i> 0·545)		
4. Age, sex, e	ducation thre	eshold for poo	or cognitive p	performance								
Non-Cl	505/4850	9.8	10·6 (1·8)	1 (Ref.)	0.028	11057.4	- 0.9	22.7	86.3	0.542	·79	<.0001
CI	144/837	16.7	10·3 (2·2)	1·71 (1·43, 2·07)	(0·010 <i>,</i> 0·052)					(0·526 <i>,</i> 0·557)		
5. Age, sex, e	ducation thre	eshold for poo	or cognitive p	performance AND c	ognitive decline							
Non-Cl	569/5340	10.1	10·6 (1·8)	1 (Ref.)	0.038	11045.8	- 12·5	12.7	94.7	0.534	·36	<.0001
CI	80/347	23.2	10·0 (2·5)	2·35 (1·86, 2·96)	(0·016 <i>,</i> 0·067)					(0·522 <i>,</i> 0·547)		
6. Age, sex, o	ccupation th	reshold for po	oor cognitive	performance								
Non-Cl	514/4861	10.0	10·6 (1·8)	1 (Ref.)	0.020	11065.7	7.4	21.0	86.3	0.534	·29	<.0001
CI	135/826	15.8	10·3 (2·2)	1·60 (1·32, 1·93)	(0·518, 0·549)					(0·518, 0·549)		
7. Age, sex, o	ccupation th	reshold for po	oor cognitive	performance AND	cognitive decline	9						
Non-Cl	569/5333	10.1	10·6 (1·8)	1 (Ref.)	0.037	11047.8	- 10·5	12.7	94.6	0.534	·35	<.0001
CI	80/354	22.7	10·0 (2·5)	2·29 (1·81, 2·90)	(0·016, 0·065)					(0·521, 0·546)		
DEMOGRAPHIC	MODEL											
Age, sex, &	649/5687	NA	NA	NA	0.322	10718·0	- 340·3	64·8	71·5	0.708	<.0001	Ref.
education	- /				(0·267, 0·385)				-	(0·688 <i>,</i> 0·729)		-

Table 4. Predictive performance of seven cognitive impairment definitions for mortality.

HR: Hazard Ratio, CI: Cognitive impairment, R²=Royston's R², AIC: Akaike information criterion, C-Statistic=Harrell's C-index, M(SD)=Mean (Standard Deviation), NA: Not Applicable. ^ap values for difference in C-statistic using definition 1 as reference.

 ^{b}p values for difference in C-statistic using demographic model as reference.