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Synthesis, Characterization and Encapsulation Properties of Rigid and Flexible Porphyrin Cages Assembled from N-Heterocyclic Carbene-Metal Bonds

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KEYWORDS. porphyrinoids ; carbene ligands ; cage compounds ; self-assembly ; host-guest systems.

ABSTRACT : Four porphyrins equipped with imidazolium rings on the *para* positions of their *meso* aryl groups were prepared and used as tetrakis(N-heterocyclic carbene) (NHC) precursors for the synthesis of porphyrin cages assembled from eight NHC-M bonds ($M = Ag^+$ or Au^+). The conformation of the obtained porphyrin cages in solution and their encapsulation properties strongly depend on the structure of the spacer -(CH₂)_n- (n = 0 or 1) between *meso* aryl groups and peripheral NHC ligands. In absence of methylene groups (n = 0), porphyrin cages are rather rigid and the short porphyrin-porphyrin distance prevents encapsulation of guest molecules like 1,4-diazabicyclo[2.2.2]octane (DABCO). By contrast, the presence of methylene functions (n = 1) between *meso* aryl groups and peripheral NHCs offers additional flexibility to the system allowing the inner space between the two porphyrins to expand enough to encapsulate guest molecules like water molecules or DABCO. The peripheral NHC-wingtip groups also play a significant role on the encapsulation properties of the porphyrin cages.

INTRODUCTION

Coordination driven self-assembly of supramolecular complexes is an active field of research to devise original scaffolds with different sizes and shapes.^{1,2} Many fascinating supramolecular architectures built upon the formation of metal-ligand bonds were reported in the literature like helicates^{3,4} and knots.⁵⁻⁷ Metallocages are supramolecular complexes possessing confined nanospaces that may be used for host-guest chemistry^{8,9} and several applications ranging from the encapsulation of molecular species for sensing¹⁰ or catalysis¹¹⁻¹⁵ to the design of drug delivery systems for biomedical applications.¹⁶⁻¹⁹ Incorporating π -conjugated systems into the ligands structure can bring several advantages such as structural rigidity and optoelectronic properties. In this context, porphyrins are particularly appealing molecular scaffolds to design innovative metallocages.²⁰ Obviously, porphyrins with their large structure and their D_{4h} symmetry are attractive building blocks to design metallocages with expanded three-dimensional cavities which are suitable for guest encapsulation²¹⁻²⁵ and catalysis.²⁶⁻²⁸ Porphyrins are also stable photoand electroactive π -conjugated systems and there are well-established synthetic procedures to functionalize their *meso* and β -pyrrolic positions.²⁹ These macrocycles can also incorporate metal ions into their cavity, which can coordinate different ligands. This strategy has been exploited by Osuka's group to synthesize metallocages containing several porphyrins.³⁰ Cage-like structures containing two porphyrins assembled face-to-face, namely cofacial porphyrin dimers, are particularly investigated since they can be used as model compounds for photoinduced energy/electron transfer processes between a donor and an acceptor.³¹⁻³³ Cofacial porphyrin dimers are also suitable structures to investigate encapsulation processes.^{20,34-36} In this case, porphyrins play a key role in the encapsulation process since their large π -delocalized systems and their inner metal centers can stabilize aromatic guest molecules inside the cavity through π - π -stacking

interactions or suitable ligands through the formation of coordination bonds. For these reasons, cofacial porphyrin dimers are particularly investigated in catalysis, notably for small molecule activation reactions like O_2 reduction reactions (ORR)^{37,38} and CO₂ reduction reactions.³⁹

Compared to systems built upon the formation of covalent bonds, the synthesis of cofacial porphyrin dimers through self-assembly processes is appealing because the yields are usually higher and purification procedures are easier. Most of coordination driven self-assembled cofacial porphyrin dimers are Werner-type coordination compounds built upon formation of metal-ligand bonds with nitrogen, oxygen, sulfur or phosphorus donor atoms of polydentate ligands. Some of them were proven to be efficient for ORR.^{40,41} Recently, we reported the synthesis of the first cofacial porphyrin dimers assembled from eight N-heterocyclic carbene-metal (NHC-M) bonds.⁴² The synthetic strategy consists in the self-assembly of the two porphyrins through labile NHC-Ag⁺ bonds followed by the replacement of Ag⁺ by Au⁺ (transmetalation reaction). This two-step procedure allowed us to easily obtain very stable porphyrin cages, although an initial self-assembly process was used for their synthesis. Inspired by these preliminary findings, we describe here the synthesis and characterization of novel porphyrin cages assembled from NHC-M bonds ($M = Ag^+$ or Au⁺). In this study, two types of porphyrins equipped with imidazolium rings on the para positions of their meso aryl groups were used as tetrakis(NHC) precursors. They differ by the nature of the spacer $-(CH_2)_n$ (n = 0 or 1) between the *meso* aryl groups and the peripheral NHCs. In absence of methylene group (n = 0), porphyrin-based tetrakis(NHC) are rather rigid, while the presence of methylene functions (n = 1) between the *meso* aryl groups and the peripheral NHCs brings additional flexibility. The aim of this work is to investigate the impact of the introduction of these methylene groups (i) on the conformation of the obtained porphyrin cages in solution, and (ii) on their encapsulation properties. Indeed, the flexibility offered by the sp^3 carbon atoms of the

methylene groups allows the two porphyrins of the cages to move away from each other enabling guest molecules to be encapsulated if the cavity is large enough. The role played by the peripheral NHC-wingtip groups on the conformation of the obtained porphyrin cages and their encapsulation properties is also investigated.

EXPERIMENTAL SECTION

Materials. Reactions needing inert atmosphere were performed under argon using ovendried glassware and Schlenk techniques. All solvents were obtained from commercial suppliers and used as received. Dry acetonitrile and DMSO were purchased from Alfa Aesar. DMF was purchased from Sigma-Aldrich. Dry CH₂Cl₂ and THF were obtained by a PureSolve MD5 solvent purification system from Innovative Technology. Silver(I) oxide 99% (Ag₂O) was purchased from Fluorochem and gold(I) complex [AuCl(tht)] (tht = tetrahydrothiophene) was prepared according to the procedure described in the literature.⁴³ Pyrrole (>99%) was purchased from TCI and distilled under reduced pressure before use. Imidazole (99.5%), 4-fluorobenzaldehyde (98%), iodomethane (99%), potassium hexafluorophosphate (>99%) and zinc(II) acetate dihydrate (>98%) were purchased from Sigma-Aldrich. Hydrogen tetrachloroaurate(III) trihydrate, ACS 99.99% (metals basis), Au 49.0% min was used as starting material and purchased from Alfa Aesar. Porphyrins 2a and 2c were prepared according to the procedures described in the literature.^{44,45} Porphyrins 4a-d were prepared according to procedures described in the Supporting Information. TLC were carried out on Merck DC Kieselgel 60 F-254 aluminium sheets and spots were visualized with UV-lamp $(\lambda = 254/365 \text{ nm})$ if necessary. Preparative purifications were performed by silica gel column chromatography (Merck 40-60 µM).

Instruments and methods. NMR spectroscopy and MS spectrometry were performed at the Laboratoire de Mesures Physiques (LMP) of the University of Montpellier (UM). ¹H, COSY, ROESY, HSQC, HMBC, ${}^{13}C{}^{1}H{}, {}^{31}P{}^{1}H{}, {}^{19}F{}^{1}H{}$ and DOSY NMR spectra were recorded on Bruker 400 MHz Avance III HD, 500 MHz Avance III or 600 MHz Avance III spectrometers at 298 K. Deuterated solvents DMSO-d₆, CD₃CN and CD₂Cl₂ were used as received (purchased from Sigma-Aldrich). ¹H and ¹³C{¹H} NMR spectra were calibrated to TMS on the basis of the relative chemical shift of the residual non-deuterated solvent as an internal standard. Chemical shifts (δ) are expressed in ppm and coupling constants values (ⁿJ) are expressed in Hz. Abbreviations used for NMR spectra are as follows: s, singlet; d, doublet; t, triplet; quint, quintuplet; sext, sextuplet; sept, septuplet; m, multiplet; br, broad. No satisfactory elemental analysis data were obtained for porphyrin cages. High Resolution Mass spectra (HRMS) were recorded on a Bruker MicroTof QII instrument in positive/negative modes (ESI). UV-Visible absorption spectra were recorded in CH₂Cl₂, CH₃CN or DMSO with a JASCO V-750 UV-Visible-NIR spectrophotometer in 10 mm quartz cells (Hellma); molar extinction coefficients ε (L.mol⁻¹.cm⁻¹) are expressed as log ε . Abbreviation used: sh, shoulder. All spectra can be found in the Supporting Information. Experimental procedures used for DFT calculations are described in the Supporting Information.

General procedure for the preparation of porphyrin cages $[Ag4(1a-d)_2](PF_6)_4$. Porphyrin 4a-d (around 0.04-0.06 mmol) was dissolved in dry CH₃CN (10 mL) and degassed with argon for 10 minutes. Then, Ag₂O (4.0 or 6.0 eq) was added and the reaction mixture was vigorously stirred under argon at 70°C for 24 or 48 hours protected from light. The reaction was monitored by UV-visible absorption spectroscopy (in CH₂Cl₂) to verify the formation of the porphyrin cage (hypsochromic shift of the Soret absorption band). After cooling at room temperature, the reaction mixture was evaporated. Dichloromethane was added (10-15 mL) and the solution was filtered through a pad of celite. The obtained solution was concentrated under reduced pressure and precipitated from CH_2Cl_2/Et_2O . The solid was filtered off, washed with Et_2O and dried under vacuum to afford the porphyrin cage [Ag₄(1a-d)₂](PF₆)₄ as a dark solid.

Porphyrin cage [Ag4(1a)2](PF6)4. The title compound was prepared according to the above general procedure from porphyrin 4a (80 mg, 0.0429 mmol, 1.0 eq), Ag₂O (40 mg, 0.1718 mmol, 4.0 eq) and CH₃CN (10 mL). The reaction mixture was heated at 75 °C for 24 hours. The porphyrin cage [Ag4(1a)2](PF6)4 was obtained in 77% yield (59 mg, 0.0165 mmol). ¹H NMR (400 MHz, DMSO-*d*₆): $\delta = 8.41$ (br d, 8H, ${}^{3}J_{H,H} = 8.0$ Hz, H_{o out}), 8.36 (br s, 16H, H_{β-pvrr}), 8.24 (d, 8H, ${}^{3}J_{\text{H,H}} = 8.0 \text{ Hz}, \text{H}_{m \text{ out}}$, 8.15 (d, 8H, ${}^{3}J_{\text{H,H}} = 1.7 \text{ Hz}, \text{H}^{5}$), 8.00 (d, 8H, ${}^{3}J_{\text{H,H}} = 1.7 \text{ Hz}, \text{H}^{4}$), 7.80 (br d, 8H, ${}^{3}J_{H,H} = 8.0$ Hz, H_{min}), 7.66 (br d, 8H, ${}^{3}J_{H,H} = 8.0$ Hz, H_{oin}), 4.54 (t, 16H, ${}^{3}J_{H,H} = 7.2$ Hz, H_a), 2.15-2.06 (m, 16H, H_b), 1.54 -1.36 (m, 24H, H_c, H_d, H_e) 0.97 ppm (t, 24H, ${}^{3}J_{H,H} = 7.0$ Hz, -CH₃). ¹³C{¹H} NMR (150.9 MHz, CD₂Cl₂): $\delta = 179.2$ (dd, ¹*J*_{107Ag,C} = 185 Hz, ¹*J*_{109Ag,C} = 216 Hz, *C*_{NHC}), 148.9 ($C_{\alpha-pyrr}$), 143.0 (C_p), 140.0 (C_i), 136.8 ($C_o out$), 136.6 ($C_m out$), 131.7 ($C_{\beta-pyrr}$), 123.4 ($C_o in$), 123.1 (C_{m in}), 122.5 (C⁴), 122.1 (C⁵), 118.2 (C_{meso}), 54.0 (C_a) 32.5 (C_b), 32.2 (C_c), 27.2 (C_d), 23.2 (C_e), 14.4 ppm (-*CH*₃); ¹⁹F{¹H} NMR (376.5 MHz, CD₂Cl₂): δ = -73.8 ppm (d, ¹*J*_{F,P} = 711.5 Hz); ³¹P{¹H} NMR (162 MHz, CD₂Cl₂): δ = -144.5 ppm (sept, ¹J_{P,F} = 711.5 Hz). UV-Vis (DMSO): λ_{max} (log ε) = 413 (5.75), ~420-430 (sh), 561 (4.46), 603 nm (4.17); HRMS (ESI+): m/z calcd for $C_{160}H_{168}N_{24}Zn_2Ag_4^{4+}$ [M - 4PF₆]⁴⁺: 747.2170; found: 747.2181; MS (ESI-): *m/z* calcd for PF₆⁻: 144.96, found: 144.96.

Porphyrin cage $[Ag_4(1b)_2](PF_6)_4$. The title compound was prepared according to the above general procedure from porphyrin 4b (80 mg, 0.0457 mmol, 1.0 eq), Ag₂O (43 mg, 0.1828 mmol, 4.0 eq) and CH₃CN (10 mL).). The reaction mixture was heated at 75 °C for 24 hours. The porphyrin cage $[Ag_4(1b)_2](PF_6)_4$ was obtained in 68% yield (52 mg, 0.0155 mmol). ¹H NMR (600

MHz, DMSO-*d*₆): $\delta = 8.42$ (br d, 8H, ³*J*_{H,H} = 7.7 Hz, H_o out), 8.36 (br s, 16H, H_{β-pyrr}), 8.25 (dd, 8H, ³*J*_{H,H} = 7.7 Hz and ⁴*J*_{H,H} = 2.5 Hz, H_m out), 8.14 (d, 8H, ³*J*_{H,H} = 1.7 Hz, H⁵), 7.98 (d, 8H, ³*J*_{H,H} = 1.7 Hz, H⁴), 7.79 (br d, 8H, ³*J*_{H,H} = 7.9 Hz and ⁴*J*_{H,H} = 2.6 Hz, H_m in), 7.66 (br d, 8H, ³*J*_{H,H} = 7.9 Hz and ⁴*J*_{H,H} = 2.6 Hz, H_o in), 4.55 (t, 16H, ³*J*_{H,H} = 7.3 Hz, H_a), 2.10 (quint, 16H, ³*J*_{H,H} = 7.5 Hz, H_b), 1.53 (sext, 16H, ³*J*_{H,H} = 7.5 Hz, H_c), 1.09 ppm (t, 24H, ³*J*_{H,H} = 7.5 Hz, -*CH*₃). ¹³C{¹H} NMR (150.9 MHz, DMSO-*d*₆): $\delta = 173.4$, (*C*_{NHC}), 148.9 (*C*_{a-pyrr}), 142.7 (*C*_p), 138.9 (*C*_i), 135.2 (*C*_o out), 134.8 (*C*_o in), 130.2 (*C*_{β-pyrr}), 123.4 (*C*⁴), 122.9 (*C*⁵), 121.5 (*C*_m in), 121.0 (*C*_m out), 116.5 (*C*_{meso}), 51.9 (*C*_a) 33.5 (*C*_b), 19.6 (*C*_c), 13.8 ppm (-*CH*₃), *C*_{NHC} not observed. ¹⁹F{¹H} NMR (376.5 MHz, DMSO-*d*₆): $\delta = -70.1$ ppm (d, ¹*J*_{F,P} = 711.7 Hz); ³¹P{¹H} NMR (162 MHz, DMSO-*d*₆): $\delta = -144.0$ ppm (sept, ¹*J*_{P,F} = 711.4 Hz); UV-Vis (DMSO): λ_{max} (log ε) = 413 (5.51), ~420-430 (sh), 562 (4.51), 603 nm (4.35); HRMS (ESI+): *m/z* calcd for C₁₄₄H₁₃₆N₂₄Zn₂Ag₄⁴⁺ [M - 4PF₆]⁴⁺: 691.1534; found: 691.1505; MS (ESI-): *m/z* calcd for PF₆⁻: 144.96, found: 144.96.

Porphyrin cage [Ag₄(1c)₂](PF₆)₄. The title compound was prepared according to the above general procedure from porphyrin 4c (100 mg, 0.0521 mmol, 1.0 eq). Ag₂O (75 mg, 0.3126 mmol, 6.0 eq) and CH₃CN (10 mL). The reaction mixture was heated at 75 °C for 48 hours. Indeed, extended reaction time (48 hours) and more Ag₂O (6 eq) were needed to successfully achieve the synthesis of porphyrin cage [Ag₄(1c)₂](PF₆)₄ in 71% yield (68 mg, 0.0185 mmol). ¹H NMR (400 MHz, CD₂Cl₂): δ = 7.88 (s, 16H, H_{β-pyrr}), 7.64 (d br, 8H, ³J_{H,H} = 7.5 Hz, H_{m in}), 7.52 (d br, 8H, ³J_{H,H} = 7.5 Hz, H_{o out}), 7.41 (d br, 8H, ³J_{H,H} = 7.5 Hz H_{m out}), 7.34 (s br , H⁴), 7.33 (s br, H⁵), 7.22 (d br, 8H, ³J_{H,H} = 7.5 Hz, H_{o in}), 5.74 (s, 16H, CH₂), 4.41 (t br, 16H, ³J_{H,H} = 7.0 Hz, H_a), 2.09 (quint br, 16H, ³J_{H,H} = 7.0 Hz, H_b), 1.60-1.40 (m, 48H, H_c, H_d, H_e), 0.97 ppm (t, 24H, ³J_{H,H} = 7.0 Hz, -CH₃). ¹³C{¹H} NMR (125.8 MHz, CD₂Cl₂): 180.8 ppm (dd, ¹J_{107Ag,C} = 185 Hz, ¹J_{109Ag,C} = 216 Hz, C_{NHC}), 148.6 (C_{a-pyrr}), 142.2 (C_p), 136.5 (C_i), 135.9 (C_{o in}), 135.6 (C_{o out}), 130.9 (C_{β-pyrr}), 126.2 (C_{m out}),

125.9 (C_{min}), 122.9 (C_4), 122.7 (C_5), 119.6 (C_{meso}), 56.2 (CH_2), 53.1 (C_a), 32.3 (C_b), 32.0 (C_c), 27.0 (C_d), 23.2(C_e), 14.4 ppm (CH_3); ¹⁹F{¹H} NMR (376.5 MHz, CD₃CN): $\delta = -72.8$ ppm (d, ¹ $J_{F,P} = 706.7$ Hz); ³¹P{¹H} NMR (162 MHz, CD₃CN): $\delta = -144.4$ ppm (sept, ¹ $J_{P,F} = 706.7$ Hz); UV-Vis (DMSO): λ_{max} (log ε) = 426 (5.82), 561 (4.46), 602 nm (4.31); HRMS (ESI+): m/z calcd for $C_{168}H_{184}N_{24}Zn_2Ag_4^{4+}$ [M – 4PF₆]⁴⁺: 775.2463; found: 775.2479; MS (ESI–): m/z calcd for PF₆⁻: 144.96, found: 144.96.

Porphyrin cage [Ag4(1d)2](PF6)4. The title compound was prepared according to the above general procedure from porphyrin 4d (80 mg, 0.0443 mmol, 1.0 eq), Ag₂O (41 mg, 0.1771 mmol, 4 eq) and CH₃CN (10 mL). The porphyrin cage [Ag₄(1d)₂](PF₆)₄ was obtained in 82% yield (63 mg, 0.0182 mmol). ¹H NMR (600 MHz, CD₃CN): δ = 8.24 (br s, 16H, H_{β-pyrr}), 8.07 (br d, 8H, ${}^{3}J_{H,H} = 7.3 \text{ Hz}, H_{o out}$, 7.82 (br d, 8H, ${}^{3}J_{H,H} = 7.3 \text{ Hz}, H_{m out}$), 7.77 (d, 8H, ${}^{3}J_{H,H} = 7.3 \text{ Hz}, H_{m in}$), 7.53 (d, 8H, ${}^{3}J_{H,H} = 1.9$ Hz, H⁵), 7.42 (d, 8H, ${}^{3}J_{H,H} = 1.9$ Hz, H⁴), 7.41 (br d, 8H, ${}^{3}J_{H,H} = 7.3$ Hz, H_o *in*), 5.69 (s, 16H, CH₂), 4.40 (t, 16H, ${}^{3}J_{H,H} = 7.1$ Hz, H_a), 2.00 (quint, 16H, ${}^{3}J_{H,H} = 7.1$ Hz, H_b), 1.49 (sext, 16H, ${}^{3}J_{H,H} = 7.1$ Hz, H_c), 1.01 ppm (t, 24H, ${}^{3}J_{H,H} = 7.1$ Hz, -CH₃); ${}^{13}C{}^{1}H{}$ NMR (150.9 MHz, CD₃CN): 149.9 ($C_{\alpha-pvrr}$), 144.1 (C_p), 136.7 (C_i), 136.0 ($C_{o\ in}$), 134.4 ($C_{o\ out}$), 131.9 ($C_{\beta-pvrr}$), 127.6 (C_{m out}), 126.3 (C_{m in}), 123.7 (C₄), 122.6 (C₅), 119.9 (C_{meso}), 56.2 (CH₂), 52.5 (C_a), 34.4 (C_b), 20.6 (C_c), 14.0 ppm (CH₃), C_{NHC} not observed; ¹⁹F{¹H} NMR (376.5 MHz, CD₃CN): $\delta = -73.9$ ppm (d, ${}^{1}J_{F,P} = 706.5 \text{ Hz}$); ${}^{31}P{}^{1}H$ NMR (162 MHz, CD₃CN): $\delta = -144.7 \text{ ppm}$ (sept, ${}^{1}J_{P,F} = 706.5 \text{ Hz}$); Hz); UV-Vis (DMSO): λ_{max} (log ε) = 422 (5.83), 564 (4.69), 604 nm (4.52); HRMS (ESI+): m/zcalcd for $C_{152}H_{152}N_{24}Zn_2Ag_4^{4+}$ [M - 4PF₆]⁴⁺: 719.1857; found: 719.1875; MS (ESI-): m/z calcd for PF₆⁻: 144.96; found: 144.96.

General procedure for the preparation of porphyrin cages $[Au_4(1a-d)_2](PF_6)_4$. Porphyrin cages $[Ag_4(1a-d)_2](PF_6)_4$ (around 0.01-0.02 mmol) was dissolved in dry CH₃CN (5 mL) and degassed with argon for 10 minutes. Then, [AuCl(tht)] (6 eq) was added and the reaction mixture was vigorously stirred under argon and protected from light at room temperature for 24 hours. The reaction was monitored by ESI-TOF(+) mass spectrometry. Once finished, the reaction mixture was evaporated. Dichloromethane was added (10-15 mL) and the solution was filtered through a pad of celite. The obtained solution was concentrated under reduced pressure and precipitated from CH₂Cl₂/Et₂O. The solid was filtered off, washed with Et₂O and dried under vacuum to afford the porphyrin cage $[Ag_4(1a-d)_2](PF_6)_4$ as a dark solid.

Porphyrin cage [Au₄(1a)₂](PF₆)₄. The title compound was prepared according to the above general procedure from the porphyrin cage [Ag₄(1a)₂](PF₆)₄ (42 mg, 0.0117 mmol, 1.0 eq), [AuCl(tht)] (23 mg, 0.0702 mmol, 6.0 eq), and CH₃CN (5 mL). The porphyrin cage [Au₄(1a)₂](PF₆)₄ was obtained in 68% yield (32 mg, 0.0081 mmol). ¹H NMR (400 MHz, DMSOd₆): $\delta = 8.39$ (br s, 16H, H_{β-pyrr}), 8.37 (dd ,8H, ³J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 2.5 Hz, H_{o out}), 8.20 (dd ,8H, ³J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 2.5 Hz, H_{o out}), 8.20 (dd ,8H, ³J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 2.5 Hz, H_{o int}), 8.20 (dd ,8H, ³J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 2.5 Hz, H_{o int}), 8.20 (dd ,8H, ³J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 2.5 Hz, H_{o int}), 8.20 (dd ,8H, ³J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 2.5 Hz, H_{o int}), 8.20 (dd ,8H, ³J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 2.5 Hz, H_{o int}), 8.20 (dd ,8H, ³J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 2.5 Hz, H_{o int}), 8.20 (dd ,8H, ³J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 2.5 Hz, H_{o int}), 8.20 (dd ,8H, ³J_{H,H} = 7.8 Hz and ⁴J_{H,H} = 2.5 Hz, H_{o int}), 4.63 (t, 16H, ³J_{H,H} = 7.5 Hz, H_a), 2.14 (m, 16H, H_b), 1.51-1.41 (m, 48H, H_c, H_d, H_e), 0.98 ppm (t, 24H, ³J_{H,H} = 7.0 Hz, -CH₃); ¹³C {¹H} NMR (150.9 MHz, DMSO-d₆): δ = 180.7 (C_{NHC}), 147.2 (C_{a-pyrr}), 142.9 (C_p), 138.0 (C_i), 135.6 (C_{o out}), 134.9 (C_{o in}), 130.3 (C_{β-pyrr}), 123.8 (C_{m in}), 123.7 (C_{m out}), 122.3 (C⁴), 122.1 (C⁵), 116.6 (C_{meso}), 55.0 (C_d) 31.4 (C_b), 31.2 (C_c), 26.0 (C_d), 22.2 (C_e), 14.1 ppm (-CH₃); ¹⁹F {¹H} NMR (376.5 MHz, DMSO-d₆): δ = -71.1 ppm (d, ¹J_{F,P} = 711.5 Hz); ³¹P {¹H} NMR (162 MHz, DMSO-d₆): δ = -144.3 ppm (sept, ¹J_{P,F} = 711.5 Hz); UV-Vis (DMSO): λ_{max} (log ε) = 414 (5.53), 562 (4.43), 604 nm (4.19); HRMS (ESI+): m/z calcd for $C_{160}H_{168}N_{24}Zn_2Au_4^{4+}[M-4PF_6]^{4+}$: 836.2786; found: 836.2804; MS (ESI–): *m/z* calcd for PF₆⁻: 144.96, found: 144.96.

Porphyrin cage [Au₄(1b)₂](PF₆)₄. The title compound was prepared according to the above general procedure from the porphyrin cage [Ag4(1b)2](PF6)4 (60 mg, 0.0179 mmol, 1.0 eq), [AuCl(tht)] (35 mg, 0.1074 mmol, 6.0 eq), and CH₃CN (5 mL). The porphyrin cage [Au4(1c)2](PF6)4 was obtained in 60% yield (40 mg, 0.0107 mmol). ¹H NMR (600 MHz, DMSO d_6): $\delta = 8.39$ (br s, 16H, H_{\beta}-pvrr), 8.38 (dd ,8H, ${}^3J_{\rm H,H} = 7.8$ Hz and ${}^4J_{\rm H,H} = 2.4$ Hz, H_{\overline{o}}, 8.20 (dd} $_{,8H, 3}J_{H,H} = 7.8$ Hz and $^{4}J_{H,H} = 2.4$ Hz, $H_{m out}$, 8.15 (d, 8H, $^{3}J_{H,H} = 1.7$ Hz, H⁵), 8.04 (d, 8H, $^{3}J_{H,H}$ = 1.7 Hz, H⁴), 7.82 (dd, 8H, ${}^{3}J_{H,H}$ = 7.9 Hz and ${}^{4}J_{H,H}$ = 2.4 Hz, H_{m in}), 7.72 (dd, 8H, ${}^{3}J_{H,H}$ = 7.9 Hz and ${}^{4}J_{H,H} = 2.4 \text{ Hz}, H_{o in}$, 4.63 (t, 16H, ${}^{3}J_{H,H} = 7.4 \text{ Hz}, H_{a}$), 2.13 (quint, 16H, ${}^{3}J_{H,H} = 7.5 \text{ Hz}, H_{b}$), 1.54 (sext, 16H, ${}^{3}J_{H,H} = 7.4$ Hz, H_c), 1.09 ppm (t, 24H, ${}^{3}J_{H,H} = 7.4$ Hz, -CH₃). ${}^{13}C{}^{1}H$ NMR (150.9 MHz, DMSO-*d*₆): $\delta = 180.8$ (*C*_{NHC}), 147.2 (*C*_{a-pyrr}), 142.9 (*C*_p), 137.9 (*C*_i), 135.6 (*C*_{o out}), 134.9 (*C*_o) in), 130.3 ($C_{\beta-\text{pvrr}}$), 123.7 ($C_{m in}$), 123.6 ($C_{m out}$), 122.2 (C^4), 122.0 (C^5), 116.5 (C_{meso}), 51.2 (C_a) 33.3 (C_b), 19.5 (C_c), 13.8 ppm (-CH₃); NMR (376.5 MHz, DMSO- d_6): $\delta = -70.1$ ppm (d, ${}^{1}J_{\text{F,P}} = 711.8$ Hz); ³¹P{¹H} NMR (162 MHz, DMSO- d_6): $\delta = -144.0$ ppm (sept, ¹ $J_{P,F} = 711.8$ Hz); UV-Vis (DMSO): λ_{max} (log ε) = 414 (5.65), 562 (4.29), 603 nm (3.95); HRMS (ESI+): m/z calcd for $C_{144}H_{136}N_{24}Zn_2Ag_4^{4+}$ [M - 4PF₆]⁴⁺: 780.2152; found: 780.2101; MS (ESI-): *m/z* calcd for PF₆⁻: 144.96, found: 144.96.

Porphyrin cage $[Au_4(1c)_2](PF_6)_4$. The title compound was prepared according to the above general procedure from the porphyrin cage $[Ag_4(1c)_2](PF_6)_4$ (55 mg, 0.0149 mmol, 1.0 eq), [AuCl(tht)] (29 mg, 0.0894 mmol, 6.0 eq), and CH₃CN (5 mL). The porphyrin cage $[Au_4(1c)_2](PF_6)_4$ was obtained in 72% yield (43 mg, 0.0107 mmol). ¹H NMR (600 MHz, CD₂Cl₂):

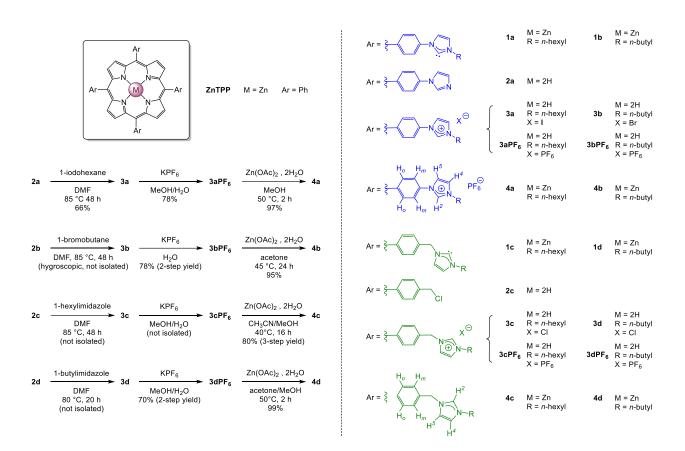
δ = 7.97 (s, 16H, H_{β-pyrr}), 7.65 (dd, 8H, ³*J*_{H,H} = 7.5 Hz and ⁴*J*_{H,H} = 1.5 Hz, H_{m in}), 7.56 (dd, 8H, ³*J*_{H,H} = 7.5 Hz and ⁴*J*_{H,H} = 1.8 Hz, H_{o out}), 7.41 (dd, 8H, ³*J*_{H,H} = 7.5 Hz and ⁴*J*_{H,H} = 1.5 Hz, H_{m out}), 7.36 (d, 8H, ³*J*_{H,H} = 1.7 Hz, H⁴), 7.34 (d, 8H, ³*J*_{H,H} = 1.7 Hz, H⁵), 7.22 (dd, 8H, ³*J*_{H,H} = 7.5 Hz and ⁴*J*_{H,H} = 1.8 Hz, H_{o in}), 5.81 (s, 16H, C*H*₂), 4.49 (t, 16H, ³*J*_{H,H} = 7.0 Hz, H_a), 2.13 (quint, 16H, ³*J*_{H,H} = 7.0 Hz, H_b), 1.60-1.40 (m, 48H, H_c, H_d, H_e), 0.98 ppm (t, 24H, ³*J*_{H,H} = 7.0 Hz, -C*H*₃); ¹³C {¹H} NMR (150.9 MHz, CD₃CN): δ = 184.8 (*C*_{NHC}), 148.7 (*C*_{a-pyrr}), 142.3 (*C*_i), 136.9 (*C*_{o in}), 135.6 (*C*_p), 135.5 (*C*_{o out}), 131.1 (*C*_{β-pyrr}), 126.1 (*C*_{m in}), 126.1 (*C*_{m out}), 123.0 (*C*₄ or C₅), 122.9 (*C*₄ or C₅), 119.7 (*C*_{meso}), 55.6 (*C*H₂), 52.5 (*C*_a), 32.2 (*C*_b), 32.1(*C*_c), 27.0 (*C*_d), 23.2 (*C*_e), 14.5 ppm (*C*H₃); ¹⁹F {¹H} NMR (376.5 MHz, CD₃CN): $\delta = -72.6$ ppm (d, ¹*J*_{F,P} = 706.9 Hz); ³¹P {¹H} NMR (162 MHz, CD₃CN): $\delta = -144.1$ ppm (sept, ¹*J*_{P,F} = 706.9 Hz); UV-Vis (DMSO): λ_{max} (log ε) = 425 (5.54), 562 (4.39), 602 nm (4.23); HRMS (ESI+): *m/z* calcd for C₁₆₈H₁₈₄N₂₄Zn₂Au₄⁴⁺ [M - 4PF₆]⁴⁺: 864.5595; found: 864.5514; MS (ESI-): *m/z* calcd for PF₆⁻: 144.96, found: 144.96.

Porphyrin cage [Au4(1d)₂](**PF**₆)₄. The title compound was prepared according to the above general procedure from the porphyrin cage [**Ag**₄(**1d**)₂](**PF**₆)₄ (36 mg, 0.0104 mmol, 1.0 eq), [AuCl(tht)] (20 mg, 0.0624 mmol, 6.0 eq), and CH₃CN (5 mL). The porphyrin cage [**Au**4(**1d**)₂](**PF**₆)₄ was obtained in 86% yield (34 mg, 0.0089 mmol). ¹H NMR (400 MHz, CD₃CN): $\delta = 8.24$ (br s, 16H, H_{β-pyrr}), 8.09 (dd, 8H, ³J_{H,H} = 7.9 Hz and ⁴J_{H,H} = 1.7 Hz, H_o out), 7.95 (br d, 8H, ³J_{H,H} = 7.9 Hz, H_m in), 7.83 (dd, 8H, ³J_{H,H} = 7.4 Hz and ⁴J_{H,H} = 1.9 Hz, H_m out), 7.54 (d, 8H, ³J_{H,H} = 2.0 Hz, H⁴), 7.39 (dd, 8H, ³J_{H,H} = 7.4 Hz and ⁴J_{H,H} = 1.4 Hz and ⁴J_{H,H} = 1.9 Hz, H_o out), 1.55-1.45 (m, 16H, H_c), 1.01 ppm (t, 24H, ³J_{H,H} = 7.3 Hz, -CH₃); ¹³C {¹H} NMR (150.9 MHz, CD₃CN): $\delta = 184.1$ (*C*_{NHC}), 149.9 (*C*_{*a*-pyrr}), 144.2 (C_p), 136.4 (C_i), 136.1 (C_o in), 134.3 (C_o out), 131.9 (C_β-pyrr), 127.8 (C_m out), 126.4 (C_m in), 123.9 (C⁴), 122.7 (C⁵), 120.0 (C_{meso}), 55.5 (CH₂), 52.1 (C_a), 34.3 (C_b), 20.6 (C_c),

14.1 ppm (CH₃); ¹⁹F{¹H} NMR (376.5 MHz, CD₃CN): $\delta = -73.8$ ppm (d, ¹*J*_{F,P} = 706.6 Hz); ³¹P{¹H} NMR (162 MHz, CD₃CN): $\delta = -144.7$ ppm (sept, ¹*J*_{P,F} = 706.6 Hz); UV-Vis (DMSO): λ_{max} (log ε) = 424 (5.71), 562 (4.58), 604 nm (4.42); HRMS (ESI+): *m/z* calcd for C₁₅₂H₁₅₂N₂₄Zn₂Au₄⁴⁺ [M - 4PF₆]⁴⁺: 808.2473; found: 808.2485; MS (ESI-): *m/z* calcd for PF₆⁻: 144.96; found: 144.96.

RESULTS AND DISCUSSION

Preparation of porphyrin-NHC precursors. Deprotonation of azolium salts with a base in a presence of metal ions is one of the most simple and efficient synthetic pathways to obtain NHC-metal complexes.⁴⁶⁻⁴⁸ For this purpose, we synthesized zinc(II) porphyrins with imidazolium groups on the *para* positions of the four *meso* positions (Scheme1) as porphyrin tetrakis(NHC) precursors.⁴² Rigid (**1a** and **1b**) and flexible (**1c** and **1d**) porphyrin tetrakis(NHC) ligands were investigated. Rigid tetrakis(NHC) ligands **1a** and **1b** were obtained by directly attaching the NHC on the *para* positions of the four *meso* aryl groups. Flexible tetrakis-NHC ligands **1c** and **1d** were obtained by introducing methylene groups between the NHCs and the *para* positions of the four *meso* aryl groups. Long alkyl chains were used as peripheral NHC-wingtip groups in order to improve the solubility of the obtained complexes. Finally, zinc(II) was used as inner metal to protect the macrocycle from unwanted metalation reaction with silver(I) during the synthesis of cofacial porphyrin dimers.

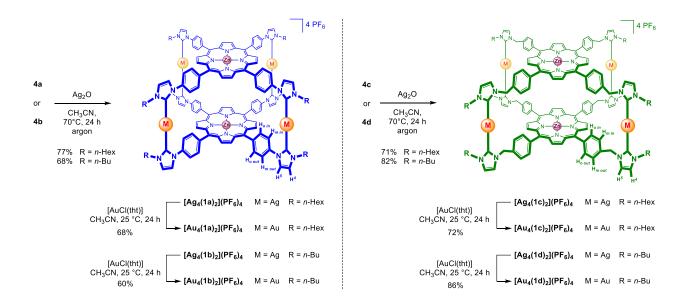


Scheme 1. Right: structures of porphyrins 1a-4a, 1b-4b, 1c-4c and 1d-4d. Left: synthesis of porphyrins 4a-d.

Porphyrins **4a** and **4b** was obtained in four steps starting from 4-(1H-imidazol-1yl)benzaldehyde (Scheme 1). The free-base *meso* 5,10,15,20-tetrakis(4'imidazolylphenyl)porphyrin **2a** was prepared as previously reported.⁴⁴ Then, alkylation of the four peripheral imidazole groups afforded porphyrin **3a** and **3b**, which were directly engaged in anion metathesis reactions with KPF₆. The obtained porphyrins **3aPF**₆ and **3bPF**₆ were metalated with Zn(OAc)₂·2H₂O to obtain the two zinc(II) porphyrins **4a** and **4b**, respectively. The ¹H NMR spectra of these porphyrins showed the expected deshielded signals of the imidazolium H² protons at $\delta \sim 10$ ppm in DMSO-*d*₆. The signals of PF₆⁻ anions were observed at $\delta = -146.5$ ppm (septuplet) and $\delta = -71.1$ ppm (doublet) by ³¹P{¹H} and ¹⁹F{¹H} NMR spectroscopy, respectively. The metalation of the porphyrin core with zinc(II) was confirmed by ¹H NMR spectroscopy, UV-Vis absorption spectroscopy and mass spectrometry. Porphyrins **4b** and **4c** were also prepared in four steps starting from 4-(chloromethyl)benzaldehyde (Scheme 1). The free-base *meso* 5,10,15,20tetrakis(4'-chloromethylphenyl)porphyrin **2c** was prepared as previously reported.⁴⁵ It was then reacted with 1-hexylimidazole and 1-butylimidazole to obtain the corresponding porphyrins **3c** and **3d**, respectively. These compounds were directly engaged in the anion metathesis reaction with KPF₆ to obtain the corresponding imidazolium salts **3cPF**₆ and **3dPF**₆. Finally, metalation reaction with Zn(OAc)₂·2H₂O afforded the zinc(II) porphyrins **4c** and **4d**. Their spectroscopic data are also in agreement with the proposed structures.

Preparation of porphyrin cages. Porphyrins **4a-d** were used as tetrakis-NHC precursors for the synthesis of porphyrin cages (Scheme 2). NHCs are able to bind strongly to transition metal ions in low and high oxidation states.⁴⁶⁻⁴⁸ This is not advantageous to obtain coordination driven self-assembled supramolecular complexes, because reversible coordination bonds are needed to allow self-correction leading to the formation of thermodynamic compounds. However, Ag^+-C_{NHC} bonds are notable exceptions and are rather labile leading back to the formation of free NHCs and Ag^+ ions. Therefore, NHC ligands combined with Ag^+ ions behave like Werner-type compounds and are suitable building blocks to obtain coordination driven self-assembled supramolecular complexes. Moreover, the reversibility of the Ag^+-C_{NHC} bonds is routinely used to transmetalate NHCs from Ag^+ to other transition metal ions like Au^+ .⁴⁹ This strategy was applied for the synthesis of coordination-driven metallacycles and metallacages from poly-NHC ligands.⁵⁰⁻⁵³ The porphyrin cages $[Ag_4(1a-d)_2](PF_6)_4$ were prepared by reacting porphyrins 4a-d with Ag₂O in CH₃CN for 24-48 hours in the dark (Scheme 2). The tetracationic nature of the four dimeric species $[Ag_4(1a-d)_2]^{4+}$ was confirmed by high resolution mass spectrometry (ESI+) with excellent agreements between experimental isotopic profiles and calculated ones (see mass spectra in the Supporting Information). Then, NHC ligands of silver(I) complexes $[Ag_4(1a-d)_2](PF_6)_4$ were easily transmetalated from Ag⁺ to Au⁺ by reacting porphyrin cages with [AuCl(tht)] (tht = tetrahydrothiophene) (Scheme 2) to obtain the corresponding gold(I) complexes $[Au_4(1a-d)_2](PF_6)_4$. The expected molecular mass peaks of these complexes were observed with isotopically resolved profiles in good agreement with the calculated distributions (see for example the experimental and calculated mass spectra of the porphyrin cage $[Au_4(1a)_2](PF_6)_4$ in Figure 1).

Scheme 2. Synthesis of cofacial porphyrin dimers $[M_4(1a-d)_2](PF_6)_4$ (M = Ag or Au, Hex = *n*-hexyl, Bu = *n*-butyl, tht = tetrahydrothiophene).



These porphyrin cages were characterized by ¹H and ¹³C $\{^{1}H\}$ NMR spectroscopy. The choice of the solvent (DMSO-d₆, CD₃CN or CD₂Cl₂) is of crucial importance to obtain spectra with well resolved signals. As a representative example, the aromatic regions of the ¹H NMR spectra of the rigid porphyrin cages [Ag4(1a)2](PF6)4 and [Au4(1a)2](PF6)4 in DMSO-d6 are represented in Figure 1 and are consistent with a similar face-to-face orientation of the porphyrins and an average structure of D_{4h} symmetry. As illustrated in Figure 1a, the signals of the ortho (H_o) and *meta* (H_m) protons of the *meso* aryl groups of precursor 4a are observed as two doublets. In the ¹H NMR spectrum of the cofacial dimer [Ag₄(1a)₂](PF₆)₄ and [Au₄(1a)₂](PF₆)₄ represented in Figures 1b and 1c, respectively, four separated doublets are observed for ortho and meta protons suggesting that rotation of the meso aryl groups is restricted within the dimeric structures. As a consequence, different chemical environments are experienced by all meso aryl protons, namely $H_{o out}$, $H_{m out}$, $H_{o in}$ and $H_{m in}$ (see Scheme 2 and Figures 1b and 1c; attribution of the signals is based on 2D NMR experiments). The protons oriented inside the cofacial porphyrin dimer (H_{o in} and H_m in) have upfield chemical shifts because of the shielding effect of the second porphyrin, whereas the protons oriented outside the cofacial porphyrin dimer ($H_{o out}$ and $H_{m out}$) have chemical shifts rather similar to those observed for the monomeric porphyrin 4a. Similar observations could be made for the other porphyrin cages. In the ${}^{13}C{}^{1}H$ NMR spectra of gold(I) complexes [Au₄(1ad)2](PF₆)₄, the signals of the NHC were observed as singlets at $\delta \sim 180-185$ ppm in good agreement with data reported in the literature.^{42,46-48}

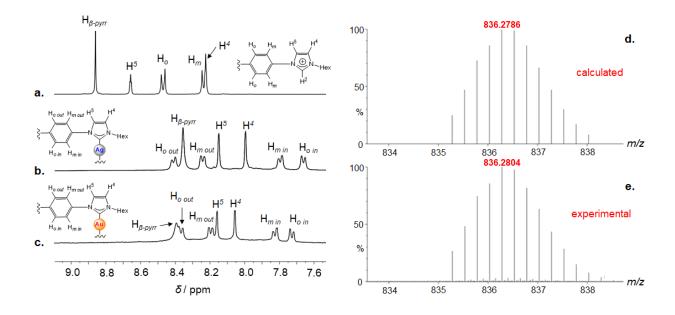


Figure 1 Left: stacked partial ¹H NMR (400 MHz) spectra in DMSO- d_6 of porphyrin 4a (a), and porphyrin cages [Ag₄(1a)₂](PF₆)₄ (b) and [Au₄(1a)₂](PF₆)₄ (c). Right: calculated (d) and experimental (e) isotopic distribution profiles of the tetracationic species C₁₆₀H₁₆₈N₂₄Zn₂Au₄⁴⁺, namely [Au₄(1a)₂]⁴⁺.

Conformations of the porphyrin cages in solution. ¹H NMR and UV-visible absorption spectroscopies were used to determine conformations adopted by porphyrin cages in solution. We conducted these studies on gold(I) complexes which are more stable and less sensitive to daylight compared to the corresponding silver(I) complexes.⁴² Moreover, well-resolved ¹H NMR spectra were obtained for all gold(I) complexes. Porphyrins have characteristic UV-visible absorption spectra and changes observed in their absorption bands indicate modifications in porphyrins structure or, as it is the case here, in their environment and spatial organization. Indeed, *H*-aggregates exhibit a Soret absorption band blue-shift with respect to the one of the monomer, corresponding to a face-to-face stacking of the monomeric species. In contrast, *J*-aggregates are

edge-by-edge or side-to-side assemblies that produce a Soret absorption band red-shift with respect to the one of the monomer.⁵⁴ Here, **ZnTPP** was taken as a reference compound and three solvents were used with increasing polarity in the order $CH_2Cl_2 < CH_3CN < DMSO$. In Figure 2 are gathered UV-visible absorption spectra of porphyrin cages [Au4(1a)2](PF6)4 and [Au4(1c)2](PF6)4 with *n*-hexyl NHC-wingtip groups in the range of wavelengths where the Soret absorption bands of porphyrins can be observed. Almost identical UV-visible spectra were obtained for porphyrin cages [Au4(1b)2](PF6)4 and [Au4(1d)2](PF6)4 with *n*-butyl NHC-wingtip groups (see the Supporting Information, Figure S128). As it is illustrated in Figure 2, Soret absorption bands of porphyrins belonging to cofacial porphyrin dimers [Au4(1a)2](PF6)4 and [Au4(1c)2](PF6)4 are blue-shifted with respect to the one of ZnTPP in all solvents. On the contrary, Q-bands of porphyrin cages are slightly red-shifted with respect to those of ZnTPP. These spectral evolutions are consistent with the proposed face-to-face orientation between the two porphyrins.

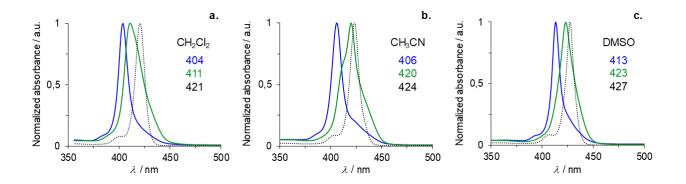


Figure 2. UV-Visible absorption spectra of ZnTPP (dotted black), and porphyrin cages $[Au_4(1a)_2](PF_6)_4$ (blue) and $[Au_4(1c)_2](PF_6)_4$ (green) in CH₂Cl₂ (a), CH₃CN (b) and DMSO (c). Values of λ_{max} of the Soret absorption bands are given. Normalized absorbance of the Soret absorption band at A = 1.0.

The porphyrin cage [Au4(1a)2](PF6)4 has the most blue-shifted Soret absorption band with respect to the one of ZnTTP. It suggests that porphyrin cage incorporating the rigid phenyl groups between the porphyrin cores and the peripheral NHC ligands has probably the shortest porphyrin-porphyrin distance. In CH₂Cl₂, the porphyrin cage [Au4(1a)2](PF6)4 (Figure 2a, blue spectrum) exhibits a Soret absorption band with a maximum at $\lambda_{max} = 404$ nm as compared to the Soret absorption band of the reference ZnTTP ($\lambda_{max} = 421$ nm, $\Delta\lambda_{max} = 17$ nm, black dotted spectrum in Figure 2a). A weak shoulder around ~420-450 nm is also noticeable. In more polar and coordinating solvents like CH₃CN and DMSO, the shape of the Soret absorption band of the porphyrin cage [Au4(1a)2](PF6)4 remains constant (Figures 2b and 2c, blue spectra). The hypsochromic shifts observed for the Soret absorption bands with respect to the one of ZnTPP are also in the same range ($\Delta\lambda_{max} = 18$ and 14 nm in CH₃CN and DMSO, respectively) suggesting that the porphyrin-porphyrin distances are rather similar in these three solvents. This may be explained by the rigidity of the porphyrin cages incorporating the phenyl groups between the porphyrin cores and the peripheral NHC ligands.

UV-visible absorption spectrum of porphyrin cage $[Au_4(1c)_2](PF_6)_4$ in CH₂Cl₂ exhibits a Soret absorption band with a maximum at $\lambda_{max} = 411$ nm (Figure 2a, green spectrum). The observed hypsochromic shift ($\Delta \lambda_{max} = 10$ nm) of the Soret absorption bands with respect to the one of **ZnTPP** is also consistent with a face-to-face orientation between the two porphyrins. However, the blue-shift observed for $[Au_4(1c)_2](PF_6)_4$ is less important (10 nm vs 17 nm) compared to the one observed for $[Au_4(1a)_2](PF_6)_4$. This may be attributed to a greater porphyrinporphyrin distance decreasing excitonic coupling between the two porphyrins. The Soret absorption bands of this porphyrin cage $[Au_4(1c)_2](PF_6)_4$ is also very broad with a full width at half maximum of $\Delta \lambda = 22$ nm ($\Delta \lambda = 11$ nm for **ZnTPP** and for the rigid porphyrin cage [Au₄(1c)₂](PF₆)₄). This is also the case in polar solvents like CH₃CN and DMSO. Indeed, shoulders can be clearly observed in the Soret absorption band of [Au₄(1c)₂](PF₆)₄ in CH₃CN. These spectral features are attributed to the presence of several cofacial conformations in solution due to the incorporation of the more flexible *meso* benzyl groups.⁵⁵ It is also remarkable that Soret absorption bands of the flexible porphyrin cage [Au₄(1c)₂](PF₆)₄ is blue-shifted by only $\Delta\lambda_{max} =$ 4 nm with respect to the one of ZnTPP in polar solvents like CH₃CN and DMSO, while this hypsochromic shift is more important for [Au₄(1a)₂](PF₆)₄ ($\Delta\lambda_{max} =$ 18 and 13 nm in CH₃CN and DMSO, respectively). This observation suggests that the porphyrin-porphyrin distance of the flexible porphyrin cage [Au₄(1a)₂](PF₆)₄ and DMSO ("*open*" conformation) than in CH₂Cl₂ ("*closed*" conformation). It contrasts with the fact that the porphyrin-porphyrin distance of the rigid porphyrin cage [Au₄(1a)₂](PF₆)₄ remains relatively the same whatever the nature of the solvent.

Conformation of porphyrin cages was also investigated by ¹H NMR spectroscopy. Aromatic regions of ¹H NMR spectra in CD₃CN and CD₂Cl₂ of porphyrin cages [Au₄(1ad)₂](PF₆)₄ are represented in Figure 3. ¹H NMR spectra of both porphyrin cages [Au₄(1a)₂](PF₆)₄ and [Au₄(1b)₂](PF₆)₄ with *n*-hexyl and *n*-butyl NHC-wingtip groups, respectively, are very similar in CD₃CN (Figures 3a *vs.* 3c) and in CD₂Cl₂ (Figures 3b *vs.* 3d). Therefore, the nature of the peripheral *n*-alkyl chains do not play a significant role on the conformation of the rigid porphyrin cages [Au₄(1a)₂](PF₆)₄ and [Au₄(1b)₂](PF₆)₄ in solution. These two cages also adopt similar conformations in CD₃CN and CD₂Cl₂ since their ¹H NMR spectra are similar in both solvents (see Figures 3a *vs.* 3b for porphyrin cage [Au₄(1a)₂](PF₆)₄, and Figures 3c *vs.* 3d for porphyrin cage [Au₄(1b)₂](PF₆)₄). The singlets due to β-pyrrolic protons are in the same range in CD₃CN (δ = 8.58 ppm) and in CD₂Cl₂ (δ = 8.66 ppm) showing that porphyrin-porphyrin distances are similar in both solvents, in agreement with observations made by UV-visible absorption spectroscopy. Again, this can be explained by the rigidity of the porphyrin cages [Au₄(1a)₂](PF₆)₄ and [Au₄(1b)₂](PF₆)₄ with *meso* phenyl groups between porphyrin cores and peripheral NHCs.

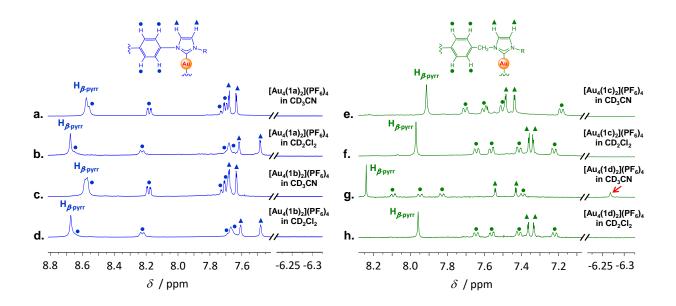


Figure 3. ¹H NMR spectra (400 MHz) of porphyrin cages [Au₄(1a-d)₂](PF₆)₄ in CD₃CN and CD₂Cl₂ (• = signals of *meso* aryl protons ; \blacktriangle = signals of H⁴ and H⁵, R = *n*-hexyl or *n*-butyl groups).

Surprising results were obtained when considering more flexible porphyrin cages $[Au_4(1c)_2](PF_6)_4$ and $Au_4(1d)_2](PF_6)_4$ with *meso* benzyl groups between porphyrin cores and peripheral NHCs. The flexible porphyrin cage $[Au_4(1c)_2](PF_6)_4$ with *n*-hexyl NHC-wingtip groups adopts similar conformations in CD₃CN and CD₂Cl₂ since ¹H NMR spectra are similar in both solvents (see Figures 3e *vs*. 3f). The singlets due to β -pyrrolic protons are in the same range in CD₃CN (δ = 7.91 ppm) and in CD₂Cl₂ (δ = 7.97 ppm) showing that porphyrin-porphyrin distances

are similar in both solvents. Interestingly, a striking difference was observed when considering the porphyrin cage [Au₄(1d)₂](PF₆)₄ with *n*-butyl NHC-wingtip groups in CD₃CN (Figure 3g) and in CD_2Cl_2 (Figure 3h). In CD_2Cl_2 , the porphyrin cages [Au₄(1c)₂](PF₆)₄ and [Au₄(1d)₂](PF₆)₄ adopt the same conformation because the aromatic region of their ¹H NMR spectra are very similar (see Figures 3f vs. 3h). It corresponds to the "closed" conformation observed by UV-visible spectroscopy in CH_2Cl_2 . Surprisingly, conformation of the porphyrin cage [Au4(1d)2](PF6)4 in CD_3CN is different from that of the porphyrin cage $[Au_4(1c)_2](PF_6)_4$ in the same solvent (see Figures 3g vs. 3e). All signals of the aromatic region are significantly shifted downfield ($\delta = 8.24$ ppm for the singlet due to β -pyrrolic protons). This spectral evolution may be attributed to a greater porphyrin-porphyrin distance in the case of the porphyrin cage $[Au_4(1d)_2](PF_6)_4$. In the same time, a high upfield shifted signal is observed at $\delta = -6.27$ ppm (Figure 3g). This signal was only observed in the case of the porphyrin cage [Au₄(1d)₂](PF₆)₄ in CD₃CN as it is represented in Figure 3. Encapsulation of molecular species between the two porphyrins may explain the observed "open" conformation and the greater porphyrin-porphyrin distance in this case. This point is discussed in the next paragraph.

Encapsulation Properties. If there is enough space between the two porphyrins, porphyrin cages can encapsulate guest molecules and, as a consequence, protons of the encapsulated molecules are shielded by the ring currents of the two porphyrins. As there is no upfield signal in the ¹H NMR spectra of the rigid porphyrin cages [Au4(1a)2](PF6)4 and [Au4(1b)2](PF6)4 in CD₃CN and CD₂Cl₂, the presence of guest molecules like solvent or H₂O between the two porphyrins is very unlikely (Figure 3a-d). By contrast, an upfield singlet at $\delta = -6.27$ ppm was observed in the ¹H NMR spectrum of the flexible porphyrin cage [Au4(1d)2](PF6)4 in CD₃CN (see Figure 3g). ¹H 2D diffusion-ordered spectroscopy (DOSY) NMR showed that this upfield signal and signals due

to the porphyrin cage have the same diffusion coefficient indicating that they diffuse as a single entity (Figure 4a). These data strongly suggest the formation of a host-guest system between the porphyrin cage $[Au_4(1d)_2](PF_6)_4$ and encapsulated molecular species. Thus, the upfield singlet at $\delta = -6.27$ ppm may be attributed to encapsulated CH₃CN (solvent used for the synthesis of porphyrin cages) or H₂O molecules (present in CD₃CN or generated in the course of the deprotonation of imidazolium salts by Ag_2O). Since no correlation with any carbon could be observed by ¹H-¹³C{¹H} HSQC NMR spectroscopy, the signal at $\delta = -6.27$ ppm is not due to the methyl group of CH₃CN. Moreover, it was observed even if the synthesis of the cages was performed in CD₃CN. Hence, it may be assumed that this upfield signal is due to protons of encapsulated H₂O molecules, although it was not possible to observe them by mass spectrometry. The most convincing evidence arises from the NMR experiment where H₂O is added in excess to a solution of the porphyrin-cage $[Au_4(1c)_2](PF_6)_4$ with *n*-hexyl NHC-wingtip groups in CD₃CN. Initially, this porphyrin cage is in its "closed" conformation in CD₃CN at a concentration in the millimolar range used for ¹H NMR spectroscopy and no high upfield signal could be observed (Figure 4b). Upon addition of $H_2O(10\% v/v)$ to a solution of the porphyrin cage [Au₄(1c)₂](PF₆)₄ in CD₃CN, a new set of signals is observed by ¹H NMR spectroscopy (Figure 4c). These new signals are very similar to those observed in the ¹H NMR spectrum of the porphyrin cage $[Au_4(1d)_2](PF_6)_4$ in CD₃CN (Figure 4d). Moreover, a new high upfield signal is observed at $\delta =$ -6.22 ppm and this signal is attributed to encapsulated H₂O molecules (Figure 4c, red arrow). Integration values are above 2H (~2.5-3H) and suggest that probably two H₂O molecules are axially coordinated to zinc(II) porphyrins (one H₂O per zinc(II) ion) and directed inside the cavity. Indeed, many ligands and molecules show a preference for binding inside the cavity of porphyrin cages.⁵⁶⁻⁵⁹ The H₂O proton signals appear as singlets because of the quick dynamic behavior on

the ¹H NMR timescale. Both signals of free H₂O and encapsulated H₂O are independently observed as sharp signals. This indicates that the binding and release of H₂O at room temperature is slower than the NMR timescale. Interestingly, we also observed that no (or very weak) sign of D/H exchange was observed upon the addition of D₂O to a solution of [Au₄(1d)₂](PF₆)₄ in CD₃CN. We presume that *inner* H₂O molecules surrounded by the porphyrin cage [Au₄(1d)₂]⁴⁺ are protected from D/H exchange with *outer* D₂O molecules. This observation is in line with the fact that, in some cases, chemical stability of encapsulated molecular species is remarkably improved within hosts. For example, Stoddart and coworkers recently reported the high chemical stability of a freebase porphyrin encapsulated within an X-shaped cyclophane receptor: protonation, D/H exchange and solvolysis of the encapsulated free-base porphyrin are blocked by the cyclophane host.⁶⁰

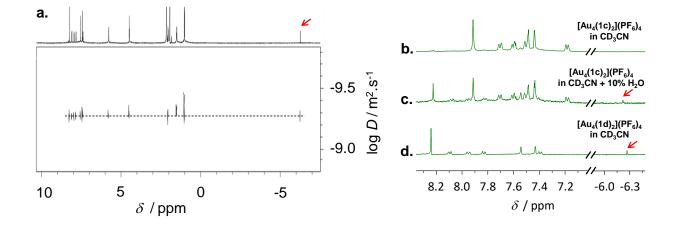


Figure 4. ¹H 2D DOSY NMR spectrum (600 MHz) of porphyrin cage $[Au_4(1d)_2](PF_6)_4$ in CD₃CN (a). ¹H NMR spectra (400 MHz) of porphyrin cage $[Au_4(1c)_2](PF_6)_4$ in CD₃CN (b) and in CD₃CN +10% H₂O (v/v) (c). ¹H NMR spectrum (400 MHz) of porphyrin cage $[Au_4(1d)_2](PF_6)_4$ in CD₃CN (d).

When comparing the two flexible porphyrin cages $[Au_4(1c)_2](PF_6)_4$ and $[Au_4(1d)_2](PF_6)_4$, it is remarkable to note that the length of the peripheral akyl chains (*n*-hexyl *vs. n*-butyl) dramatically modifies the encapsulating properties. The porphyrin cage $[Au_4(1d)_2](PF_6)_4$ with peripheral *n*butyl groups has a high affinity for H₂O in CD₃CN and it was not possible to observe the "*closed*" conformation (*i.e.* without H₂O molecules): the amount of H₂O present in commercially available CD₃CN is sufficient and it is not necessary to add more H₂O in the NMR sample. On the contrary, the "*closed*" conformation of the porphyrin cage $[Au_4(1c)_2](PF_6)_4$ with peripheral *n*-hexyl groups is the only conformation which is observed in CD₃CN. The "*open*" conformation encapsulating H₂O molecules is observed when a large amount of H₂O is added in the NMR sample. Thus, it is obvious that the length of the peripheral alkyl chains also plays an important role in the encapsulation of H₂O.

Density Functional Theory (DFT) calculations were performed to optimize the geometries of the rigid and flexible porphyrin cages, namely $[Au_4(1a/b)2]^{4+}$ and $[Au_4(1c/d)2]^{4+}$, in view of comparing their porphyrin-porphyrin distances (see the Supporting Information). Long alkyl chains are replaced by methyl groups to construct model systems and shorten computing time. Investigated gold(I) complexes are displayed in Figure 5. The calculated Au⁺-C_{NHC} distances of ~2.05-2.06 Å are in agreement with distances measured in X-ray crystal structures of linear bis(NHC) gold(I) complexes.⁵⁰⁻⁵² Porphyrin cages $[Au_4(1a/b)2]^{4+}$ are considered without guest molecule between the two porphyrins as guest inclusion was not observed in the conditions used for this study (Figure 5a). The two porphyrins have a face-to-face orientation, but are not completely flat and adopt a slight out-of-plane saddle-shaped distortion. For this complex, the calculated Zn-Zn distance is ~5.53 Å. DFT calculations were further performed to explore the flexible porphyrin cages $[Au_4(1c/d)2]^{4+}$. In a first approach, no guest was included as it was experimentally observed in dichloromethane (Figure 5b). Interestingly, incorporation of the flexible benzyl group between the porphyrin cores and the peripheral NHCs allows the porphyrinporphyrin distance to be shorter. Indeed, the calculated Zn-Zn distance is ~3.82 Å. The two porphyrins also adopt a stronger out-of-plane saddle-shaped distortion. Then, we considered the inclusion of two H₂O molecules within the flexible porphyrin cages [Au4(1c/d)2]⁴⁺ (Figure 5c). Both H₂O molecules are coordinated to zinc(II) porphyrins and linked together by a hydrogen bond, as it was previously observed and/or calculated for other H₂O dimers encapsulated within discrete 3D-molecular hosts such as superphane⁶¹ or fullerene C₇₀.⁶² The calculated Zn-Zn distance of 7.44 Å is significantly longer compared to the one calculated for [Au4(1a/b)2]⁴⁺ (~+1.9 Å) and in agreement with the less remarkable blueshift of the Soret absorption band observed by UV-Visible absorption spectroscopy.

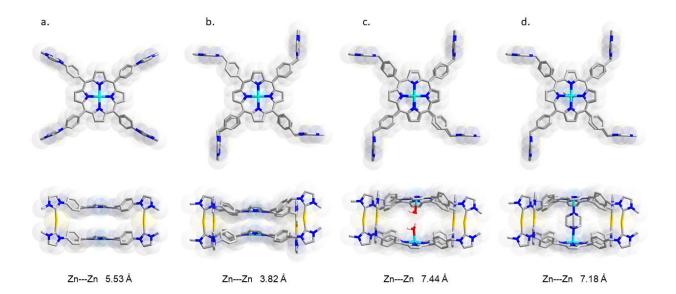


Figure 5. DFT optimized geometries of empty porphyrin cages $[Au_4(1a/b)_2]^{4+}$ (a), empty porphyrin cages $[Au_4(1c/d)_2]^{4+}$ (b), porphyrin cages $[Au_4(1c/d)_2]^{4+}$ encapsulating two H₂O

molecules (c), porphyrin cages $[Au_4(1c/d)_2]^{4+}$ encapsulating DABCO (d). Alkyl chains were replaced by methyl groups to shorten computing time. H atoms are omitted for clarity, except those of H₂O molecules. See the Supplementary Information for computational details.

Following these observations, we turned our attention to the bifunctional ligand 1,4diazabicyclo[2.2.2]-octane (DABCO), a typical guest used as probe to investigate encapsulation properties of cofacial porphyrin dimers⁶³ or as template for their synthesis.⁶⁴⁻⁶⁶ First, we investigated the encapsulation properties of the rigid porphyrin cage [Au₄(1a)₂](PF₆)₄ (Figure 6ac). One equivalent of DABCO was added to a solution of the porphyrin cage [Au4(1a)2](PF6)4 in CD₃CN at 25 °C and a weak upfield singlet was observed by ¹H NMR spectroscopy at $\delta = -5.21$ ppm (Figure 6b, red arrow). This signal is attributed to the protons of DABCO sandwiched between two porphyrins (free DABCO in CD₃CN, δ = 2.65 ppm). However, it may correspond to two possible structures (Figure 7), i.e. inclusion complexes (coordination of DABCO inside the porphyrin cage) or ternary complexes (coordination of DABCO *outside* bridging two porphyrin cages). To answer this question, the DABCO/ $[Au_4(1a)_2](PF_6)_4$ ratio was increased up to 5 and we observed that the weak upfield signal due to DABCO disappeared (Figure 6c). This is due to the decomposition of ternary complexes upon coordination of additional DABCO as it was previously observed by Sanders and coworkers with analogous systems.⁶³ No additional upfield singlet could be observed by ¹H NMR spectroscopy by reacting the porphyrin cage [Au₄(1a)₂](PF₆)₄ with DABCO. The singlets due to β -pyrrolic protons remain in the same range ($\delta = 8.55 - 8.58$ ppm) upon addition of DABCO. This shows that the porphyrin-porphyrin distance is not modified and that there is no encapsulation of DABCO even after several hours. The rigidity of the porphyrin cage [Au₄(1a)₂](PF₆)₄ and the short porphyrin-porphyrin distance (~5.5 Å according to DFT calculations) can explain this result.

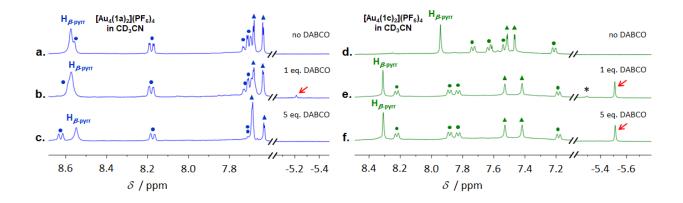


Figure 6. ¹H NMR spectra (400 MHz) in CD₃CN of the porphyrin cages [Au₄(1a)₂](PF₆)₄ (a) and [Au₄(1c)₂](PF₆)₄ (b); 15 minutes after the addition of 1 equivalent of DABCO (b and e); 15 minutes after the addition of 5 equivalents of DABCO (c and f) (• = signals of *meso* aryl protons ; \blacktriangle = signals of H⁴ and H⁵). * The weak signal at δ = -5.29 ppm in spectrum (e) may be due to the formation of trace amount of ternary complexes (coordination of DABCO outside bridging two porphyrin cages).

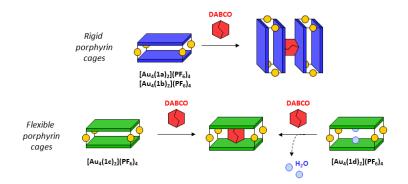


Figure 7. Schematic representation of the reactions of porphyrin cages with DABCO.

Then, we investigated the encapsulation of DABCO by the more flexible porphyrin cages [Au₄(1c)₂](PF₆)₄ ("closed" conformation) and [Au₄(1d)₂](PF₆)₄ ("open" conformation with encapsulated H₂O molecules). DFT calculations show that flexible porphyrin cages $[Au_4(1c/d)_2]^{4+}$ are able to encapsulate DABCO with a calculated Zn-Zn distance of 7.14 Å and structural features including porphyrin cores distortion similar to those calculated for the previous system with encapsulated H_2O molecules (Figure 5d). The porphyrin cage [Au₄(1c)₂](PF₆)₄ was mixed with one equivalent of DABCO in CD₃CN at 25 °C and a new set of signals was observed within minutes in the ¹H NMR spectrum of the reaction mixture (Figure 6e). The singlet due to the β pyrrolic protons is significantly shifted downfield ($\Delta \delta = 0.41$ ppm) upon inclusion of DABCO suggesting a greater porphyrin-porphyrin distance in good agreement with DFT optimized geometries. An upfield signal integrating for 12H is observed at $\delta = -5.51$ ppm and corresponds to the signal of the protons of DABCO sandwiched between the two porphyrins of the cage (Figure 6e, red arrow). This complex is stable and did not decompose when the DABCO/[Au4(1c)2](PF6)4 ratio was increased up to 5 confirming the formation of the inclusion complex $[DABCO@Au4(1c)2]^{4+}$ (Figure 6f). The D_{4h} symmetry of the porphyrin cage $[Au4(1c)2]^{4+}$ is retained after inclusion of DABCO with D_{3h} symmetry. It is in agreement with a fast rotation of DABCO inside the porphyrin cage along the Zn---Zn axis.

The same upfield signal of DABCO at $\delta = -5.51$ ppm and identical signals in the aromatic region were observed by ¹H NMR spectroscopy when the porphyrin cage [Au₄(1d)₂](PF₆]₄ was mixed with one equivalent of DABCO in CD₃CN at 25 °C (Figure 8a). Here, the appearance of this singlet at $\delta = -5.51$ ppm is concomitant with the disappearance of the signal at $\delta = -6.27$ ppm due to encapsulated H₂O molecules suggesting the occurrence of a guest exchange reaction. Compared to the previous system, the inclusion of DABCO in the porphyrin cage [Au₄(1d)₂](PF₆)₄

is significantly slower because signals of the remaining starting material could be observed after 4 hours (Figure 8a, middle): ¹H NMR signals of both porphyrin cages [Au4(1d)2]⁴⁺ including H₂O molecules (green dots) and DABCO (red dots) were observed independently. After 16 hours, only one species corresponding to the inclusion complex [DABCO@Au4(1d)2]⁴⁺ was observed in solution (Figure 8a, bottom). DOSY ¹H NMR experiment shows that the porphyrin cage [Au4(1d)2]⁴⁺ and DABCO diffuse at the same rate, which provides strong evidence that DABCO is encapsulated (Figure S129). 2D ¹H ROESY NMR spectrum showed a cross-peak of DABCO protons with meso aryl protons pointing inside the cavity, namely Ho in, confirming the encapsulation of DABCO between the two porphyrins (Figure S130). High-resolution ESI-TOF(+) mass spectrometry also supports the encapsulation of DABCO by the presence of a peak at m/z =836.2723 Da, which could be assigned to the tetracationic species [DABCO@Au4(1d)2]⁴⁺ (Figure 8b). Finally, binding of DABCO was investigated by UV-visible absorption spectroscopy. Addition of DABCO leads to a slight redshift of the Soret absorption band from 421 to 423 nm. UV-Visible titration experiment with 0-3 equivalents of DABCO shows the presence of isobestic points indicating that only two species exist in solution: the starting material [Au4(1d)2]⁴⁺ containing H₂O molecules and the inclusion complex $[DABCO@Au_4(1d)_2]^{4+}$ (Figure 8c). Satisfyingly, this is in agreement with NMR studies (Figure 8a). The absence of spectral evolution with DABCO > 1 equivalent confirms that the inclusion complex $[DABCO(a)Au_4(1d)_2]^{4+}$ persists in solution even in the presence of an excess of DABCO. A binding constant of $K_a = 1.3 \times 10^5 \text{ M}^-$ ¹ was calculated using a Benesi-Hildebrand plot. Coordination of a second DABCO was not observed.

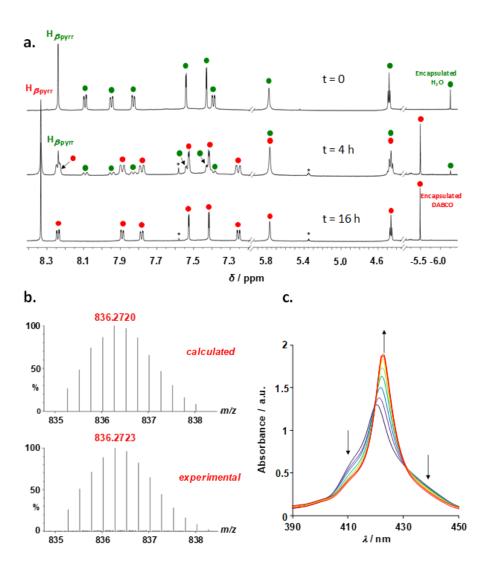


Figure 8. (a) ¹H NMR spectra of porphyrin cage [**Au**₄(**1d**)₂](**PF**₆)₄ in CD₃CN (top), 4 hours after the addition of 1 equivalent of DABCO (middle), 16 hours after the addition of 1 equivalent of DABCO (bottom); spectra at t = 0 and t = 16 hours were recorded at 600 MHz; spectrum at t = 4 hours was recorded at 400 MHz; signals marked with * = impurities; (b) HR ESI(+) mass spectrum of [**Au**₄(**1d**)₂](**PF**₆)₄ encapsulating DABCO: experimental (bottom) and calculated (top) isotopic distribution profiles of the tetracationic species $C_{158}H_{164}N_{26}Zn_2Au_4^{4+}$, namely [**DABCO@Au**₄(**1d**)₂]⁴⁺; (c) UV-Visible absorption spectra of [**Au**₄(**1d**)₂](**PF**₆)₄ (2.5 µM in CH₃CN) 24 hours after addition of DABCO (0, 0.2, 0.4, 0.6, 0.8, 1, 1.2, 1.5, 2 and 3 equivalents).

CONCLUSION

In summary, four porphyrins equipped with imidazolium rings on the *para* positions of the meso aryl groups were prepared and used as NHC precursors for the synthesis of porphyrin cages assembled from eight metal-carbene bonds. The reversibility of the Ag⁺-C_{NHC} bonds was used to our advantage to allow two porphyrins to self-assemble with a face-to-face orientation and to further transmetalate NHC ligands from Ag(I) to Au(I). In solution, we found that the conformation and the encapsulation properties of these cage-like architectures strongly depend on the structure of the $-(CH_2)_n$ -NHC side arms on the *para* positions of the *meso* aryl groups. Indeed, in absence of methylene functions (n = 0), the two porphyrins are in close proximity and the obtained rigid systems are not able to act as host to encapsulate a typical guest like DABCO. In this case, external coordination of DABCO on zinc(II) porphyrins was observed. By contrast, the presence of methylene functions (n = 1) between *meso* aryl groups and peripheral NHCs bring additional flexibility to the systems allowing the inner space between the two porphyrins to expand enough to encapsulate H₂O molecules or DABCO. More surprisingly, the length of the peripheral alkyl chains used as NHC-wingtip groups (n-hexyl vs. n-butyl) also dramatically modifies the conformation of the flexible porphyrin cages in solution and their encapsulating properties. To conclude, we demonstrate here that host-guest chemistry is feasible with porphyrin cages built upon formation of NHC-metal bonds and encapsulation of other guests may be considered in the future. The possibility to use porphyrin cages assembled from NHC-metal bonds for catalysis is also currently under investigation in our group.

ASSOCIATED CONTENT

Supporting Information.

The Supporting Information is available free of charge at https://pubs.acs.org/. Additional experimental details and experimental procedures for the preparation of porphyrins **4a-d**, NMR spectra, mass spectra and UV-visible absorption spectra for all compounds.

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34

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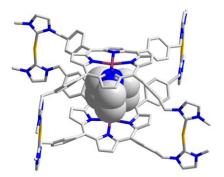
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Entry for the Table of Contents



Porphyrin cages assembled from eight N-heterocyclic carbene-metal (NHC-M) bonds were synthesized and characterized ($M = Ag^+$ or Au^+). Their conformation in solution and their encapsulation properties strongly depend on the nature of the linkers between porphyrins and NHCs.