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1 **Effect of Micro- and Nanoparticle Shape on Biological Processes**

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1 **Abstract**

2 In the drug delivery field, there is beyond doubt that the shape of micro- and nanoparticles (M&NPs)
3 critically affects their biological fate. Herein, following an introduction describing recent
4 technological advances for designing nonspherical M&NPs, we highlight the role of particle shape in
5 cell capture, subcellular distribution, intracellular drug delivery, and cytotoxicity. Then, we discuss
6 theoretical approaches for understanding the shape effect on particle internalization by the cell
7 membrane. Subsequently, recent advances on shape-dependent behaviors of M&NPs in the systemic
8 circulation are detailed. In particular, the interaction of M&NPs with blood proteins, biodistribution,
9 and circulation under flow conditions are analyzed. Finally, the hurdles and future directions for
10 developing nonspherical M&NPs are underscored.

11 **Keywords:** Nanoparticle; Shape; Cytotoxicity; Cell internalization; Biodistribution;
12 Pharmacokinetics.

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1. Introduction

Since the 60's, micro- and nanoparticles (M&NPs) have had a powerful impact in biomedical applications such as imaging, diagnosis, and drug delivery. Since then, various classes of spherical M&NPs have been designed, mainly by tuning compositions, sizes, and surface properties. More recently, a new criterion to control biological processes has been added by conceiving M&NPs with specific shapes. Synthetic nonspherical M&NPs have been generated using different fabrication techniques divided into two categories: bottom-up and top-down approaches. Bottom-up fabrication relies on the association of specifically designed molecules into ordered structures. Chemical synthesis of particles with complex architectures (e.g., carbon nanotubes, inorganic particles) is based on bottom-up fabrication. Self-assembly of amphiphilic molecules constitutes the second group of bottom-up approaches. For example, disk liposomes¹ and filamentous micelles² were obtained by self-assembly processes. More recently, polymerization-induced self-assembly (PISA)^{3,4} offered the possibility to tune particle shape, size, and glass transition temperature⁵ by varying the composition of starting materials during polymerization. A novel class of particles was recently designed by combining nonspherical particle shape to Janus properties.⁶ Each particle face can be functionalized differently and independently.⁶ In another embodiment, the self-assembly of hydrophobically modified polysaccharide and α -cyclodextrin in water resulted from hexagonal-shaped M&NPs, referred to as micro- and nanoplatelets.⁷⁻¹⁴ It was possible to modify surface composition by changing the nature of the polysaccharide, while the size was adjusted by acting on the stirring duration of the starting materials.¹² Top-down approaches, on the other hand, are based on a controlled shaping of starting materials using different techniques such as lithography,¹⁵⁻¹⁸ and physical stretching of spherical particles.¹⁹⁻²³

All the available techniques have offered the possibility for a precise modification of particle shape, in addition to chemical, physicochemical, and physical properties. Consequently, the researchers considered particle shape as a novel parameter for controlling biological processes. Over the last decade, we have learned that particle shape mediates the motion in biological fluids in static conditions,^{10, 24, 25} and under a shear flow,²⁶⁻³⁰ interactions with the cell membrane,³¹⁻³⁴ endocytosis pathway,^{18-21, 35-41} and intracellular distribution.⁴²⁻⁴⁵ Herein, we will first review how M&NP shape impacts endocytosis. Both phagocytic and nonphagocytic pathways were underscored. Then, we will give a comprehensive analysis of whether particle shape impacts subcellular distribution and cytotoxicity. As an endocytosis process begins with the interaction of the particle with the cell membrane followed by the membrane deformation, a section will be dedicated to the multiscale

1 modeling of the mechanics and energies occurring during a wrapping episode. The complexity of the
2 interaction between a particle and the cell membrane due to the shape effect will be discussed.

3 In this review, special attention was paid to the events occurring immediately after administering
4 a particle in the body, even before interacting with the cell membrane. Immediately after their
5 administration, the biomolecules present in the biological media (e.g., proteins, lipids, and sugars)
6 will competitively bind to the M&NP surface leading to a biomolecular corona. Adsorption of blood
7 proteins is most relevant since blood contains thousands of different proteins, each of which may
8 potentially interact with a particle through non-covalent interactions.^{46,47} The protein corona controls
9 pharmacokinetics, biodistribution, interaction with cells, intracellular trafficking, targeting
10 capabilities,^{48,49} biological activity, immunological reactions,⁵⁰ and toxicity.⁴⁸ In this review, we will
11 explore how nonspherical shape impacts particle behaviors in the systemic circulation, including
12 interaction with blood protein, biodistribution, and circulation under a shear flow. We will analyze
13 the possibility of manipulating the M&NP shape to escape the complement cascade's activation and
14 prolong the circulation duration in the blood. Finally, we will discuss whether particle shape could
15 dictate targeting a specific organ and increase therapeutic efficacy by encapsulating a drug.

16 **2. Endocytosis**

17 **2.1. General overview**

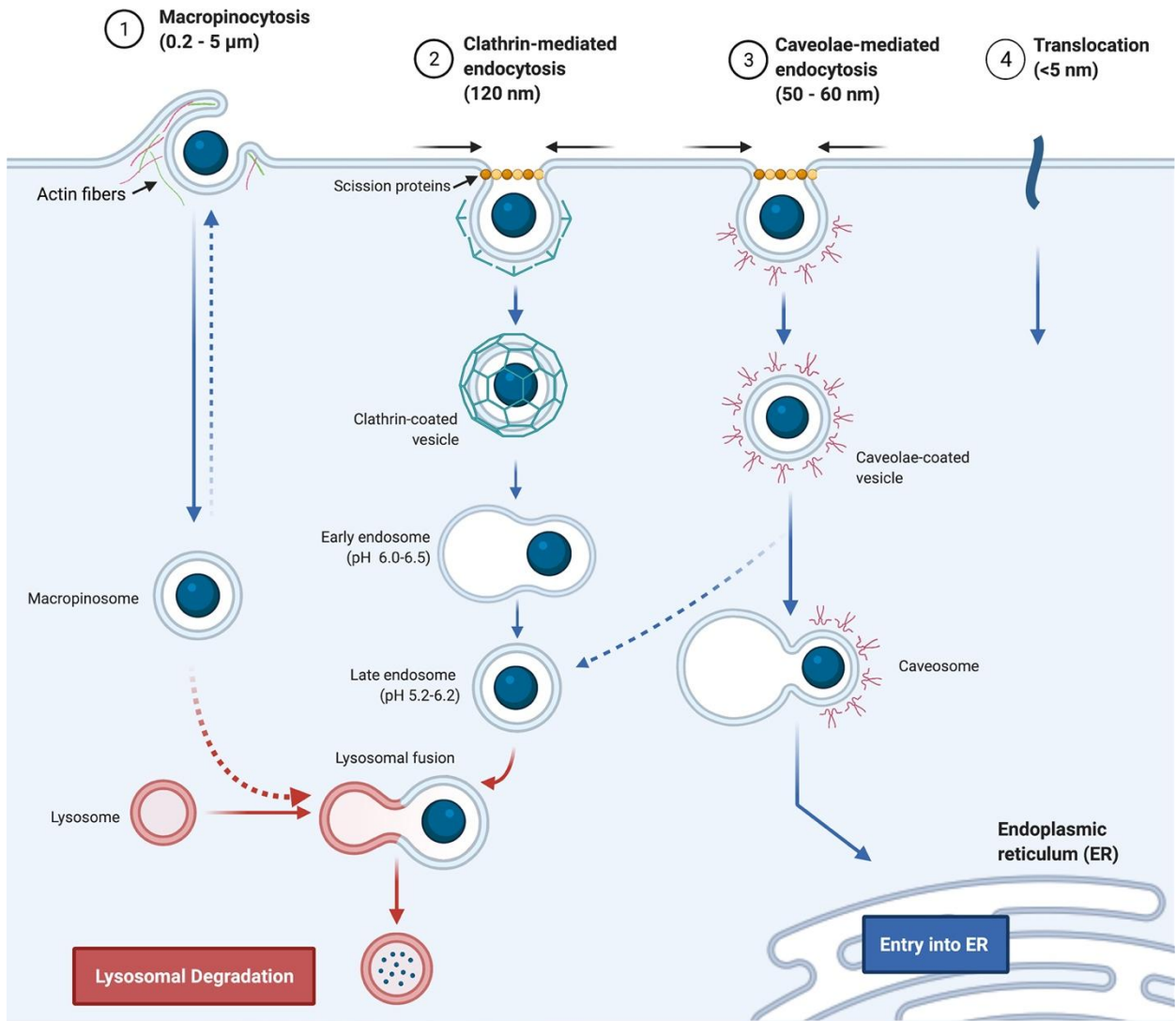
18 Endocytosis is a general term describing a fundamental cellular process by which the eukaryotic
19 cells internalize substances from the extracellular environment. The substances to be engulfed are
20 surrounded by pieces of the cell membrane and carried into the cell in vesicular structures that
21 eventually pinch off the membrane inside the cell (Figure 1). Endocytosis plays a critical role in
22 nutrient uptake, surface receptor regulation, cell motility, cell adhesion and orchestrates several cell
23 signalling pathways. Viruses and bacteria exploit this process to enter the cells.

24 Endocytosis is usually subdivided into two internalization mechanisms: ‘phagocytosis’ or cell
25 eating and ‘pinocytosis’ or cell drinking. Pinocytosis is also referred to as a nonphagocytic pathway.
26 Phagocytosis is mainly undertaken by professional phagocytes of the immune system, including
27 neutrophils, macrophages, and mature dendritic cells.⁵¹ In addition to professional phagocytes, the
28 engulfment of cells by nonprofessional phagocytic cells has been described for decades. In this
29 process, living cells engulf other living or dying cells. High rates of nonprofessional phagocytic
30 pathways were reported in cancer cells. This phenomenon was called cell cannibalism,^{52,53} which is
31 defined as ‘a cell that is contained within another bigger cell with a crescent-shaped nucleus’.

1 Pinocytosis occurs in all cells according to four main engulfment mechanisms depending on the
2 different proteins and lipids involved: macropinocytosis, clathrin-mediated endocytosis, caveolae-
3 mediated endocytosis, and clathrin- and caveolae-independent endocytosis. Clathrin-mediated
4 endocytosis is the most elucidated mechanism for nonphagocytic pathways of M&NPs and
5 macromolecules. Unlike phagocytosis, all mammalian cells can ensure this uptake pathway,⁵⁴ which
6 mainly engages receptor-ligand complexes. Endocytic coat proteins in the cytosol start to cluster on
7 the inner plasma membrane upon binding of a ligand to its receptor on the cell membrane. The
8 process continues with further recruitment of coat proteins from the cytosol. The process involves a
9 triskelion-shaped protein called clathrin. The flat membrane transforms on a ‘clathrin-coated pit’
10 following the self-organization of clathrin into cage-like structures (Figure 1). Subsequently, the pit
11 becomes deeper until it pinches inside the cytoplasm as vesicles (120 nm size) that are typically
12 delivered to the early endosomes (See Transmission electron microscopy (TEM) images in Figure 2).
13 (For review on clathrin-mediated endocytosis pathway see⁵⁴)

14 Caveolae-mediated endocytosis is a clathrin-independent endocytosis pathway. It is present in
15 different cells, mainly endothelial cells. Over this internalization process, a dimeric protein called
16 caveolin triggers the invagination of the cell membrane in the cytosolic side and forms small flask-
17 shaped caveolae (50 – 60 nm) (Figures 1 and 2). Then, the cargo containing caveolar vesicle is
18 detached from the cell membrane and released into the cytosol after a GTPase dynamin ensures the
19 fission from the cell membrane. Although this endocytic pathway is described to be relatively slow
20 (half-time >20 min), the internalized materials could bypass the endosomal and/or the lysosomal
21 compartments.⁵⁵

22 Macropinocytosis, also called big drink, is clathrin- and caveolin-independent endocytosis
23 pathway. It occurs in most mammalian cells, including professional phagocytes⁵⁶ and cancer cells
24 under stimuli such as growth hormones.⁵⁷ Macropinocytosis is mostly initiated by actin-driven
25 membrane ruffles (Figures 1 and 2). These later often fuse with the cell membrane to form large
26 vesicles (macropinosomes), whose size varies from 0.2 μm to more than 5 μm (Figure 1). For
27 review, see reference⁵⁸. Once inside the cytoplasmic environment, unmaturing macropinosomes
28 shrink through a series of tubulations and fissions.⁵⁹ Then, they sequentially fuse with endosomes
29 and lysosomes.



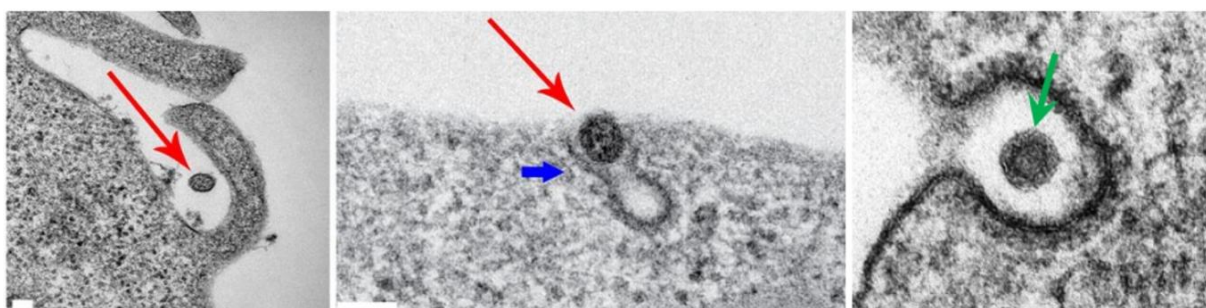
1
2

Figure 1: (A) Illustration of the main nonphagocytic pathways in eukaryotic cells.

① Macropinocytosis

② Clathrin-mediated endocytosis

③ Caveolae-mediated endocytosis



1
2 **Figure 2:** Transmission electron microscopy images of micropinocytosis (1), clathrin-mediated endocytosis
3 (2) (Reproduced from reference⁶⁰ with permission), and caveolin-mediated endocytosis (3) (Reproduced from
4 reference⁶¹ with permission). In (1) and (2), the red arrows indicate filamentous influenza virion undergoing
5 clathrin-mediated endocytosis. The blue arrowhead in (2) indicates the clathrin lattice. Scale bars in (1) and
6 (2) correspond to 100 nm. The image in (3) represents the entry of Simian Hemorrhagic Fever Virus (green
7 arrow) into MA-104 cells through caveolin-mediated endocytosis at 5 min. Noteworthy, the image published
8 in reference⁶¹ without scale bar, but the diameter of ranges from 40 to 50 nm.

9 2.2. Shape-dependent endocytosis

10 While it is beyond doubt that particle size and surface properties significantly impact their cellular
11 internalization pathway, shape-dependent cell uptake has been studied more recently. Investigating
12 the impact of particle shape on phagocytosis determines whether undesirable capture by the
13 mononuclear phagocytic system (MPS) can be limited. In contrast, the cell capture of M&NPs can
14 dictate therapeutic applications for intracellular drug delivery. The mechanism by which the M&NPs
15 are captured dictates intracellular transport and the possibility to target specific intracellular
16 compartments by acting on particle shape, as detailed in section 2.3. Although there is evidence that
17 M&NP shape affects the rate and the mechanism of particle endocytosis,^{18, 62-67} contradictory data
18 were reported on the shape-dependency of M&NPs cellular uptake. Previous works showed that the
19 shape of M&NPs affects phagocytosis,²¹ internalization by nonphagocytic cells,¹⁸ and intracellular
20 transport.⁶³ In contrast, other works reported that M&NP geometry does not play a dominant role in
21 their cellular uptake.⁶⁸ Hereafter, the influence of M&NPs shape on cellular uptake was analyzed and
22 discussed.

23 2.2.1. Phagocytosis of nonspherical particles

24 The phagocytosis process is critical in eliminating invading pathogens such as viruses, bacteria,
25 yeasts, and dead cells.⁶⁹ It is also involved in immune regulation, inflammation, and cancer. In the
26 drug delivery context, the phagocytic mechanism was extensively studied for exogenous M&NPs as
27 it regulates their biological fate and toxicological behaviors.⁷⁰ One crucial step before particle
28 phagocytosis is their opsonization. This process consists of attaching proteins, called opsonins, on

1 the surface of the particles to be engulfed. Opsonized particles are thus tagged and will be recognized
2 by the phagocyte membrane receptors. To date, several opsonins have been reported, including
3 complement components,⁷¹ immunoglobulins (e.g., IgM, IgG), type one collagen, and C-reactive
4 protein.⁷² Conventional receptors for opsonized particles include the Fc region of immunoglobulins,
5 complement receptors, and scavenger receptors.⁷³ Others investigated the effect of other receptors
6 such as CD44 in the opsonization process.⁷⁴ Importantly, unopsonized particles can also be
7 recognized by phagocytes, either as a consequence of the presence of specific patterns in their
8 surface such as carbohydrates residues (Mannose, I-fructose, glucose) or via the CD11/CD18
9 integrins receptors that can bind to both opsonized and unopsonized particles.⁷⁵

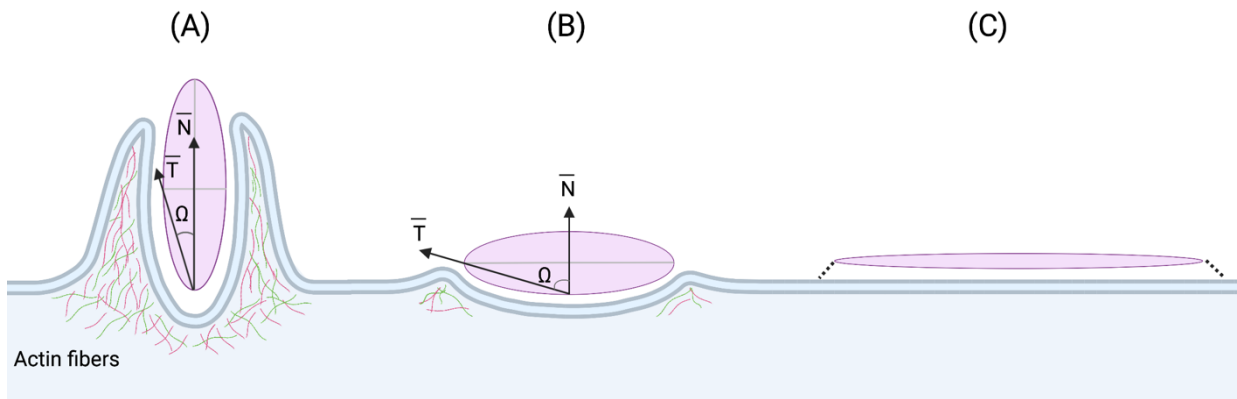
10 Once introduced to the phagocyte's membrane receptors, a signalling cascade will trigger the
11 assembly of actin.⁷⁶ This event provides a force necessary for forming cell membrane extensions that
12 engulf the foreign particle and create a phagosome,⁷⁷ (Figure 3.A) which will carry the uptaken
13 particles in the cytoplasm. After actin depolymerization, the phagosome transforms into an
14 intracellular vacuole membrane. This later fuses with the endosomes and eventually lysosomes
15 forming phagolysosomes,⁷⁸ which acidic environment is responsible for the engulfed particle's
16 degradation.⁷⁹

17 Although M&NP uptake by macrophages is usually undesirable, it was also exploited to target
18 reticuloendothelial system for the delivery of drugs (e.g., cytotoxic, antiparasitic, antifungal,
19 antibacterial, antiviral, and anti-inflammatory drugs). In the drug delivery context, the impact of
20 M&NPs size and surface chemistry on phagocytosis was investigated by several research groups.
21 Studies have suggested that macrophage phagocytes can engulf a wide range of particle sizes from
22 250 nm to several micrometers.^{53, 80} It is also known that macrophage phagocytes can 'eat bigger
23 than their head'.⁸¹ The engulfment of large particles is essential for host defense against infection and
24 removing dead cells.

25 Along with the size, the shape of M&NPs has emerged as a critical attribute of their
26 internalization by macrophages. The Mitragotri group revealed that particle shape plays a crucial role
27 in macrophage phagocytosis.^{20, 21, 35, 36} Polystyrene particles were prepared by the film stretching
28 method. They had variables sizes and shapes: spheres (radius varied from 1 to 12.5 μm), oblate
29 ellipsoids ($1 \times 4 \mu\text{m}$, AR 4), prolate ellipsoids (major axis 2 – 6 μm , AR 1.3 – 3), elliptical disks
30 (major axis 4 – 8 μm , AR 1.5 – 4.5) and UFO-shaped particles (sphere radius 1.5 μm , ring radius 4
31 μm).²¹ Particle internalization revealed that the phagocytosis kinetic was controlled by the local
32 geometry of the particle-cell interface. In this regard, ellipsoidal disks ($3 \times 14 \mu\text{m}$) were internalized

1 within a few minutes (< 6 min) when the tangent angle (Ω) of the particle surface with macrophages
 2 was smaller than 45° (Figure 3.A). In contrast, for $\Omega > 45^\circ$ (Figure 3.B), the macrophages, attached
 3 to the flat side of the particle, were able to spread on the particle surface but did not internalize it
 4 even after 12 h.²¹ Importantly, those results were related to the differences in the actin structure
 5 formed when the particle interacted with the macrophage surface. Indeed, when the particle was
 6 attached to the cell with its flat side, actin polymerized at points of contact but failed to create the
 7 adequate actin structure necessary to engulf the particle (Figure 3.B).

8 Those findings could be interesting for different applications, including the possibility of acting
 9 on particle shape to inhibit particle phagocytosis by macrophages.⁸² It was demonstrated that worm-
 10 like particles with high AR (> 20) exhibited negligible phagocytosis compared to spherical particles
 11 with equivalent volume.⁸² Videomicroscopy and fluorescence microscopy techniques revealed that
 12 worm-like microparticles interacted with macrophages at the two-particle endpoints (Figure 3.C).



13
 14 **Figure 3:** Schematic representation of the different orientations of a particle during phagocytosis. The tangent
 15 angle Ω between \bar{N} and \bar{T} was $< 90^\circ$ in (A) and $> 90^\circ$ in (B). Dash lines in (C) represent the interaction of
 16 high AR particles at the two-particle endpoints. Adapted with permission. from references.^{21, 82}

17 **2.2.2. Nonphagocytic pathways of nonspherical particles**

18 *2.2.2.1. Improved cell uptake of nonspherical particles*

19 Several studies suggested that nonspherical M&NPs were taken up by cells at faster rates and in
 20 more significant amounts than spheres. Comparing the cellular uptake of mesoporous silica M&NPs
 21 with different aspect ratios (Ars) showed that particles having larger ARs were taken up by cells
 22 (e.g., HeLa cells, human melanoma cells, and adenocarcinomic human alveolar basal epithelial cells)
 23 in large amounts and had faster internalization rates.^{66, 67} The particles had similar equivalent
 24 diameter, surface composition, and surface charge but different AR: nanospheres (AR of ~ 1 ,
 25 diameter ~ 100 nm), short rods (AR of ~ 2 and ~ 240 nm in length), and long rods (AR of ~ 4 and 450
 26 nm in length).⁶⁶ The number of long rods internalized by cells was almost twice that of short rods.

1 Similar results were reported earlier by Gratton and coworkers.¹⁸ In this investigation, hydrogel
2 particles with variable size, shape, and surface charge were designed by a top-down lithographic
3 fabrication method called PRINTTM (Particle Replication In Nonwetting Templates).^{83, 84} While all
4 the particles were internalized by HeLa cells, high AR cylindrical particles (150 × 450 nm) were
5 internalized faster than cubic particles and short cylinders. Those cylindrical particles had an AR of 3
6 and were taken up by cells ~4 times faster than spheres. The particles were positively charged and
7 had a similar volume.

8 In agreement with those findings, other investigations showed that rod-like polymeric micelles
9 were taken up by Caco-2 cells 12 times more efficiently than spherical particles with similar
10 compositions.⁸⁵ The exact mechanism for faster and higher internalization rates of nonspherical
11 M&NPs is not well elucidated. However, the increased surface area of a long rod and disk particles
12 with the cell membrane is believed to play a relevant role in cell internalization.^{18, 19, 37-39}

13 In addition to AR, the sharpness of the edges was found to be a relevant parameter for cell
14 internalization. For instance, it was found that microparticles that had sharp edges were faster
15 internalized by cells than round-shaped particles.⁴⁰ While the exact mechanism was not yet studied;
16 the authors explained those differences by the possible difficulty to recruit enough actin filaments for
17 round-shaped particles compared to particles with sharp edges.⁴⁰ Similarly, Hu et al.,⁴¹ revealed that
18 particles with irregular spiked surfaces exhibited faster cellular uptake than spheres and smooth
19 disks. The shape-controlled nanostructures were formed by the hierarchical self-assembly approach
20 of amphiphilic block copolymers.

21 *2.2.2.2. Decreased cell uptake of nonspherical particles*

22 Several studies suggested that cellular internalization of nonspherical M&NPs through
23 nonphagocytic pathways was reduced compared to spherical particles. In particular, Au nanospheres
24 were taken up by cells to a larger extent than chemically similar nanorods.⁶⁵ In this experiment,
25 uptake efficiency was inversely proportional to AR. The cellular internalization of Au nonspherical
26 particles tends to be reduced compared to spherical particles.^{65, 86} However, it is worth noting that
27 the surface properties of spherical and rod-like M&NPs are different. Indeed, the surface of the Au
28 spherical particles is coated with citric acid, while the nanorod surface was stabilized with cetyl
29 trimethylammonium bromide (CTAB). The differences in surface composition of rods and spheres
30 may partially explain the contradictory results obtained with other particles.

31 Nevertheless, similar trends were also reported for polystyrene disk particles.^{19, 38} Indeed, while
32 spherical particles enter the cells and perturb the cellular function, the disks bind only to the cell

1 membrane with a significantly reduced cellular function such as reactive oxygen species (ROS)
2 generation, apoptosis, and cell cycle progression.³⁸ The nanospheres and the disks had similar
3 diameters (~ 20 nm) and surface potentials ($\zeta \approx -24$ mV in serum). As the disks had a 2 nm
4 thickness, the volume was not constant. Furthermore, the nanoparticles used in this investigation are
5 about 10 to 50 times smaller than those used in further experiments revealing preferential cell uptake
6 for nonspherical particles.

7 Lower cell uptake for nonspherical M&NPs agrees with theoretical models based on free energy
8 minimization. In a model developed by Decuzzi and Ferrari,⁶⁴ it was predicted that nanoparticles
9 with extremely low or high AR were not endocytosed. The AR should be in an intermediate range
10 for complete wrapping by the cell membrane.

11 **2.3. Subcellular distribution**

12 We have detailed how the M&NPs interact with cells and how they are internalized depending on
13 their shape. While the correlation between the M&NP shape, their interactions with cells, and the
14 internalization mechanism has been thoroughly investigated, only a few studies addressed their
15 distribution inside the cells. Beyond the internalization mechanism, understanding the intracellular
16 distribution of M&NPs is essential for the subcellular drug delivery to the target compartment, cell
17 imaging, and understanding cytotoxicity behaviors. The intracellular distribution of a drug or its
18 carrier is mediated by the internalization route (Figure 1). After their cell uptake, most M&NPs are
19 confined in early endosomes, which would mature into late endosomes and then lysosomes before
20 exocytosis.⁸⁷ Several strategies have been envisioned for endosome targeting through receptor-
21 mediated internalization. Ligands such as folate, transferrin, and low-density lipoproteins have been
22 exploited for their ability to bind receptors that are overexpressed in malignant tumors for therapy or
23 imaging.^{88, 89} The pH of early endosome ($\sim 6 - 6.5$) was exploited to design pH-sensitive delivery
24 systems to treat severe diseases such as cancer or Alzheimer's disease.^{90, 91} However, the
25 internalization of the early endosome could represent a hurdle in developing M&NPs for targeting
26 other subcellular compartments such as the cytosol or the nucleus. Most of the drug-loaded particles
27 that are endocytosed accumulate in late endosomes and lysosomes, where they are degraded due to
28 harsh acidic pH or removed from the cell before reaching the target subcellular compartment (Figure
29 1).⁹² For example, mRNA or siRNA carriers must escape the endosome to be released into the
30 cytosol, where they interact with the RNA machinery. To release the drugs in the cytosol and avoid
31 endosomal degradation, ionizable lipids were used in designing lipid-based nanoparticles. These
32 lipids are neutral at physiological pH but protonated in endosomes. In this way, lipid ionization

1 facilitates the fusion of their lipids with the endosomal membrane and enables cytosolic RNA
2 delivery (For reviews on mRNA delivery, see references ^{93, 94}). In another embodiment, it was
3 demonstrated that particles with sharp corners and edges offered a rapid endosomal escape to the
4 cytosol by breaking the endosome's membrane.^{42, 43} Soft-shaped particles failed to escape the
5 endosome, regardless of their surface charge, size, and composition. The AR of the particles used in
6 this study was ~ 1 , the average size was ~ 115 nm, and most of the particles had at least 1 or 2 sharp
7 corners.⁴²

8 Shape-directed subcellular compartmentalization was already demonstrated with iron oxide
9 nanoparticles.⁴⁴ The particles had similar composition and surface charge but differed by their shape
10 (spheres, spindles, biconcave particles, and nanotubes). The intracellular distribution revealed that
11 the biconcave particles were preferably localized in the nucleus, whereas spheres were distributed in
12 the cytoplasm and nucleus. The spindle and nanotube geometries were observed mainly in the
13 cytoplasm. In another investigation, Xu et al.,⁴⁵ revealed that layered double hydroxide nanorods
14 (30 – 60 nm in width and 100 – 200 nm in length) and nanosheets (10 – 20 nm in thickness and
15 50 – 150 nm in lateral wide) were internalized through clathrin-mediated endocytosis, and they
16 rapidly escape the endosome. The authors revealed that the intracellular distribution is shape-
17 dependent since the nanorods quickly translocated into the nucleus while nanosheets were retained in
18 the cytoplasm. The authors hypothesized that the width of layered double hydroxide nanorods
19 reduced from 30 – 60 nm to 20 – 30 nm after deacidification within the endosome. Indeed, layered
20 double hydroxides are a family of anionic materials that can buffer endosome acidification.
21 Consequently, protons were pumped from the cytosol, leading to particles releasing into the cytosol.
22 Considering that the cylindrical nuclear pores are 20 – 30 nm in width, it is likely that the nanorods
23 enter the nucleus after the endosomal escape. The size-fit of short single-walled carbon nanotubes
24 (SWNTs) to the nuclear pores partly explained their rapid translocation into the nucleus. SWNTs,
25 characterized by a diameter of ~ 1 nm and a length of $\sim 300 - 1000$ nm, could cross the lipidic cell
26 membrane through an energy-dependent nonendocytic pathway (Figure 1.4) and mainly distributes
27 inside the nucleus.⁹⁵ While others suggested that energy-dependent endocytosis pathways may occur
28 for SWNTs. For example, SWNTs ($\sim 50 - 200$ nm in length) were internalized by cells primarily by
29 a clathrin-coated pit pathway.⁹⁶ While there is no doubt on the cell internalization and accumulation
30 of SWNTs in the nucleus, a central question is whether those particles are excreted from the cells by
31 exocytosis. Jin et al.,⁹⁷ studied SWNT exocytosis by using single-particle tracking. The exocytosis
32 rate closely matched the endocytosis rate.

1 From another perspective, several studies revealed that the shape and AR of M&NPs dictated the
2 cell internalization mechanisms and significantly impacted intracellular trafficking (Table 1).
3 Macropinocytosis and clathrin-mediated endocytosis were the main mechanisms for cell entry of
4 ellipsoidal polymeric particles.⁹⁸ The ellipsoids were formed by the nanoprecipitation of
5 poly(glycerol sebacate)-*co*-polyethylene glycol (PGS-*co*-PEG) copolymers. The dimensions of the
6 ellipsoids were changed by acting on the molar ratio of PEG within the copolymer: AR ~4.9 (235 ×
7 48 nm) or AR ~2.4 (195 × 80 nm).

8 In other investigations, the uptake of rod-shaped mesoporous particles with an AR of 2.1 – 2.5
9 (60 – 90 × 160 – 190 nm) was internalized through a macropinocytosis process.⁶⁷ The particles
10 with an intermediate AR were endocytosed faster and in a higher amount than particles with low AR
11 (1~1.2) and high AR (4.0~4.5). Interestingly the rod particles with an intermediate AR accumulated
12 preferentially in the perinuclear region, while shorter and longer rods were more randomly
13 distributed in the cell. Gratton et al.,¹⁸ revealed that hydrogel PRINTTM nanoparticles with an AR of
14 3 (150 × 450 nm) were rapidly internalized into cells and translocated close to the nuclear
15 membrane. Those particles were internalized by cells through multiple modes of non-specific
16 endocytosis, most notably by a clathrin-mediated mechanism, caveolae-mediated endocytosis, and to
17 a lesser extent, macropinocytosis.

18 However, other findings revealed that nanoparticle shape and AR did not significantly affect
19 intracellular trafficking. The impact of Au nanoparticle shape on intracellular trafficking was
20 investigated by varying the ARs from 1 to 7.⁹⁹ Both spherical and rod particles were functionalized
21 with DNA oligonucleotides to target class A scavenger receptors. The particles entered the cells
22 through a caveolae-mediated pathway regardless of the AR. Targeted nanorods reached the late
23 endosome within 4 to 8 h post-incubation with cells, but they did not progress from the late
24 endosome to the lysosome. In agreement with these findings, the lysosomal compartmentalization
25 was independent of the shape of soft hydrogel capsules.¹⁰⁰ In this investigation, the AR varied from 1
26 to 3.8. Shi et al.,¹⁰¹ reported a higher accumulation of rod-like particles with high AR (~18 ×
27 102 nm) in the endosome and the lysosome than low AR rods (~25 × 74 nm). Intracellular
28 trafficking experiments were performed on polyplexes of *N*-(2-hydroxypropyl) methacrylamide-
29 oligolysine brush polymers. When both polyplexes had the same molecular weight and chemical
30 composition, they differed in the oligolysine length, which confers to them a different shape.
31 Furthermore, the preferential accumulation of high AR rod-like particles in the endosomal/lysosomal
32 compartments delayed nuclear delivery. Those findings were attributed to the cationic polyplexes

- 1 that could interact through multivalent interactions with the endosomal and lysosomal membranes.
- 2 Higher interactions are expected with a higher surface area offered by rod-shaped polyplexes.

1

Table 1: Summary of the effect of the M&NP shape on the cell internalization pathway.

Particle type	Shape	Endocytic pathway	Methods for characterizing particle cell uptake	Dimensions (nm)	ζ (mV)	Cell line	Ref
Hydroxyapatite crystals	Plates	Macropinocytosis	CLSM	221 × 115	-8.45	A7R5 ^a	102
	Spheres	Clathrin-mediated endocytosis		63 × 63	-2.57		
	Needles	Multiple pathways		227 × 44	-4.58		
	Rods	Mainly macropinocytosis followed by caveola-mediated endocytosis		129 × 41	-7.02		
Mannose-functionalized micelles [#]	Spheres	Clathrin- and caveola-mediated endocytosis	CLSM, flow cytometry	46 × 46	-10.9	RAW 264.7 ^b	103
	Short cylinders	Clathrin-mediated endocytosis		99 × 50	-13.9		
	Long cylinders	Clathrin-mediated endocytosis		215 × 47	-13.3		
Silica M&NPs	spheres	Clathrin-mediated endocytosis	CLSM, TEM	178 × 178	87	A549 ^c & RAW 264.7 ^b	68, 104
	worms	Macropinocytosis		232 × 1348	58		
Au nanoparticles	Stars	Clathrin-mediated endocytosis	ICP-AES, TEM	~68.55	-2.47	RAW 264.7 ^b	105
	Rods	Clathrin- and caveolae-mediated endocytosis		~70.49	40.53		
	Triangles	Clathrin Dynamin dependent pathway		~61.33	36.07		
Au nanoparticles	Stars	Clathrin and Caveolae	ICP-AES, TEM, dark field microscopy	15 × 15	- 13.87	SMM C 7721 ^d & GES-1 ^e & 4T1 ^f	106
	Rods	Clathrin		33 × 10	54.27		
	Sphere (small)	Clathrin		15 × 15	-25.8		
	Sphere (medium)	Clathrin		45 × 45	-25.4		
	Sphere (large)	Macropinocytosis		80 × 80	-8.83		
Silica particles	rod	Macropinocytosis	Flow cytometry, CLSM, TEM	60-90 × 160-190	13.0	HeLa	67

2 a/ aortic smooth muscle cell line, b/ macrophages, c/ human lung tumor epithelial cells, d/ human hepatocarcinoma cell
3 line, e/ human gastric epithelial cell line, f/ mouse breast cancer cell line. [#]two different polymers were used for the
4 nanoparticle design: poly(DL-lactic acid)-*b*-poly-(acrylic acid) for spherical micelles and poly(L-lactic acid)-*b*-
5 poly(acrylic acid) for cylindrical micelles. CLSM: Confocal laser scanning microscopy. TEM: Transmission electron
6 microscopy. AFM: Atomic force microscopy. IPC-AES: Inductively coupled plasma-atomic emission spectrometer.

2.4. Intracellular drug delivery

A key question is how to exploit shape-dependent cell uptake for efficient drug delivery? In this regard, it was demonstrated that rod-shaped mesoporous silica particles with an AR of $\sim 2.1 - 2.5$ were more efficient in delivering hydrophobic chemotherapeutic agents (e.g., camptothecin or paclitaxel) than spherical ones.⁶⁷ The cytotoxic potential of the encapsulated drugs was studied in HeLa cells. In a study conducted by Enlow et al.,¹⁵ cylindrical poly(lactic acid-*co*-glycolic acid) (PLGA) particles (200×200 nm) prepared by PRINTTM process showed high and efficient loading of docetaxel. Particles containing up to 40 wt% of the drug showed higher cytotoxicity than Taxotere. Similarly, the cell uptake and the cytotoxicity of tubular polymersome-loaded doxorubicin were higher than for spherical particles.¹⁰⁷

Nonspherical M&NPs showed promising results for the intracellular delivery of nucleic acids, which is challenging because of their negative charge and degradation in physiological conditions. For instance, SWNT/DNA complexes resulted in an efficient gene delivery capacity in cells.¹⁰⁸ SWNTs had a diameter of 20 nm and a length of 200 nm. In another investigation, small interfering RNA (si-RNA)-encapsulated needle-shaped PLGA particles (80×320 nm) were generated by PRINTTM process.¹⁰⁹ Particle surface was coated with lipids DOTAP:DOPE (1:1 wt%) to increase the transfection efficiency (DOTAP: 1,2-dioleoyl-3-trimethylammoniumpropane, DOPE: dioleoylphosphatidylethanolamine). The particles were efficiently internalized by different cell lines, and the knockdown of therapeutically relevant genes was demonstrated.¹⁰⁹ In a more recent investigation,¹¹⁰ the si-RNA loading, release and internalization efficiencies, and effectiveness of post-transcriptional gene silencing of hollow Au particles (45 – 50 nm size) with different shapes and dimensions were compared (nanoshells, nanocages, and nanorods). The three particles were internalized by cells, but nanoshells and nanocages displayed the highest knockdown efficiency.

2.5. Cytotoxicity

Among the different available approaches to evaluate the safety of M&NPs, in vitro experiments represent the first step before selecting the most promising preparation to be tested ex vivo and in vivo. A panel of cell viability tests is available to evaluate cytotoxicity, as summarized in Table 2. Compared with M&NPs size and surface properties, the effect of particle shape on cytotoxicity was more recently studied. Efforts devoted to understand the relationship between particle shape and cell toxicity highlighted contradictory results (Table 3). For example, no significant cytotoxicity was observed with cylindrical PEGylated PRINTTM microparticles after 4 h or 72 h of incubation with four different cell lines, including HeLa and macrophages.¹⁶ The microparticles had a mean height of

1 0.68 μm , a mean width of 1 μm , and positive or negative zeta potentials. In another investigation,
2 PEGylated nanoimprinted disks (325 nm \times 100 nm), and rod-shaped particles (400 nm \times
3 100 nm \times 100 nm) did not induce cell death on three different cell lines (HeLa, HEK 293, and
4 HUVEC). A ratio of 10^5 particles per cell was used.³⁹ As expected from the biocompatible and
5 biodegradable PLGA, M&NPs were not toxic on cells regardless of their shape.¹⁵ In this
6 investigation, cylinders (200 nm \times 200 nm, 320 nm \times 80 nm, or 600 nm \times 200 nm), 2 μm cubes,
7 and 3 μm particles with center fenestrations were tested on ovarian carcinoma cells. In agreement
8 with those findings, ellipsoidal particles composed of PGS-*co*-PEG copolymers with two different
9 AR (AR \sim 4.9, 235 nm \times 48 nm and AR \sim 2.4, 195 nm \times 80 nm) did not show any toxicity toward
10 two cell lines preosteoblasts.⁹⁸ Similarly, changing the shape from spherical to rods, cylinders, disks,
11 or worms of silica, Au, or polystyrene nanoparticles did not affect cell viability.^{38, 68, 86, 104, 111, 112}
12 Collectively, it was found that the cytotoxicity was rather related to particle concentration, surface
13 charge,¹⁰⁹ particle size, and the cell type.

14 In opposition to these results, other studies showed that AR had a significant impact on cell death.
15 The cytotoxicity of high AR silica nanorods (AR \sim 4, 450 nm in length) was higher than low AR
16 nanorods (AR \sim 2, 240 nm in length).⁶⁶ It was found that DNA-coated Au nanorods that had high AR
17 (\sim 4 or 7) induced higher cytotoxicity than low AR nanorods.⁹⁹ Similarly, nanorods and star-shaped
18 particles had higher toxicity compared to spherical particles.¹⁰⁶ In another investigation, both
19 diameter and AR of cerium oxide nanorods were varied to determine the critical AR that induced cell
20 death.¹¹³ The AR varied from 1 to $>$ 100 (from 33 to $>$ 1000 nm in length and 7 to 9.5 nm in
21 diameter). While no significant cell death was reported with low AR nanorods (up to 31), the highest
22 AR induced significantly higher cell death and activated the NALP3 inflammasome. Subsequent
23 activation of the multiprotein complex NALP3 inflammasome activates caspase 1, leading to pro-
24 inflammatory cytokines including interleukin-1 β (IL-1 β) and IL-18. For review, see reference¹¹⁴.
25 Similar conclusions were drawn for high AR multi-walled carbon nanotubes, which activated
26 NALP3 inflammasome and led to cytokine release in a human monomyelocytic leukemia cell line.¹¹⁵
27 Some researchers showed that the cytotoxicity of multi-walled carbon nanotubes could be decreased
28 by acting on their length.^{116, 117} Indeed, short carbon nanotubes (600 nm in length) induced less ROS
29 and inflammatory cytokines than long nanotubes (20 μm in length). Several studies showed that long
30 ($>$ 15 μm in length) and more rigid multi-walled carbon tubes were not wrapped by macrophages.
31 Frustrated phagocytosis in macrophages induced chronic granulomatous inflammation due to the
32 release of reactive oxygen species and hydrolytic enzymes.^{118, 119} Interestingly, spherically shaped
33 carbon nanotubes induced lower cytotoxicity than high AR multi-walled carbon nanotubes.¹²⁰ In a

1 recent study, Nahle et al.,¹²¹ studied the effect of the morphology of carbon nanotubes on their
2 cytotoxicity on rat alveolar macrophage cell line. Their results showed higher toxicity of multi-
3 walled carbon nanotubes than single-walled carbon nanotubes.¹⁰⁸ The nanotubes were ammonium
4 functionalized and had a diameter of about 20 nm and an apparent length of about 200 nm. In
5 addition to the shape and the length, other characteristics could contribute to adverse biological
6 outcomes, including surface functionalization. Indeed, significant differences in cytotoxic behaviors
7 were observed with multi-walled carbon nanotubes (10 – 30 μm in length and 20 – 30 nm in
8 diameter), which induced less profibrogenic effects when functionalized with carboxylic groups.¹¹⁵

Table 2: Commonly used methods and assays to determine M&NPs cytotoxicity.

Toxicity test	Principle	Data interpretation	Ref
MTT assay	MTT is a colorimetric assay, which determines the mitochondrial activity in living cells. It is based on the reduction of MTT (3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyl tetrazolium bromide) (yellow colored) by the action of mitochondrial oxidoreductase enzymes. This reduction results in insoluble purple formazan crystals that can be quantified after solubilization in dimethyl sulfoxide and measuring the optical density at 570 nm.	The purple color's intensity (or optical density) is directly proportional to the mitochondrial oxidoreductase activity. The healthy cells exhibit high rates of MTT reduction to formazan and high optical density, corresponding to lower cytotoxicity of the M&NPs at the tested concentration.	122, 123
MTS assay	MTS is a colorimetric assay based in the reduction of the MTS reagent (3-[4,5-dimethylthiazol-2-yl]-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium). In MTS assay, the formazan dye is soluble in cell culture media, and the optical density is measured at 490-500 nm.	Similar to MTT assay, the purple's color intensity is correlated to the cell viability. Lower optical density corresponds to higher cell death.	124, 125
LDH assay (or LDH release assay)	In the lactate dehydrogenase (LDH) assay, the level of plasma membrane damage in a cell population is assessed. LDH is an enzyme found in the cytoplasm of living cells. When the cell membrane is damaged, the LDH is released into the cell culture medium. The assay is based on the reduction of tetrazolium salt into a red-colored formazan dye. The optical density is measured at 492 nm.	The cell death is proportional to the intensity of the red color, which is proportional to the reduction of tetrazolium salt by the LDH leaked into the cell culture.	123, 126 127
ROS assays	Reactive oxygen species are produced inside the cells as a response to environmental stress. There are several assays for the detection of ROS: <ul style="list-style-type: none"> - Fluorescence dependent methods - Chemiluminescence dependent methods - Spectrometry methods - Chromatography methods - Electrochemical biosensors - Electron spin resonance - Fluorescent protein-based methods. 	Elevated ROS (e.g., elevated intensity in the fluorescence or spectrometry methods) is highly correlated with cell death by necrosis or apoptosis.	127 128
WST-1[®] assay	WST-1 (2-(4-iodophenyl)-3-(4-nitrophenyl)-5-(2,4-disulfophenyl)-2H-tetrazolium) is a water-soluble reagent. It does not penetrate the cell but is instead cleaved by the succinate-tetrazolium reductase at the cell surface to form a water-soluble formazan dye. The optical density of formazan dye is measured at 450 nm.	Similar to MTT and MTS assays, the cell viability is correlated to the optical density intensity.	129
WST-8[®] assay (CCK-8[®] assay)	WST-8 [®] (CCK-8 [®]) assay is based on the same principle as WST-1 [®] or MTS assay but exhibits superior detection sensitivity by the tetrazolium salts are highly soluble. The optical density of formazan dye is measured at 450 nm.	Similar to MTT, MTS, and WST-1 [®] assays, the formazan dye concentration is proportional to the intensity of the optical density and the cell viability.	130
Neutral red uptake assay	Neutral red uptake assay is based on the detection of neutral red (NR) dye inside the living cells. Viable cells can internalize the NR dye, which is taken up by the lysosome. This later is stained red. On the other hand, non-viable cells are not able to internalize this dye. After the lysosome staining,	Neutral red uptake assay provides a quantitative estimation of the number of viable cells. The cell viability is positively correlated to the amount of NR dye released and thus the optical density intensity.	131

	cells are washed, and the NR dye extracted. The optical density of NR dye is quantified at 540 nm.		
Alamar blue[®] assay	Alamar blue [®] (AB) is a water-soluble dye, which is not toxic to the cells. AB enters the cytosol, where it is reduced by mitochondrial enzymes in living cells. This reduction is accompanied by a change in the culture medium color from indigo blue to fluorescent pink. The change in color is easily measured by colorimetric or fluorometric reading.	The magnitude of AB reduction is correlated to the optical density intensity (or the fluorescence), which is proportional to the number of viable cells.	¹²⁷
PicoGreen[®] assay	In the PicoGreen [®] fluorescence enhancement assay, the fluorochrome (PicoGreen) selectively binds double-stranded DNA (dsDNA) in living cells, leading to a fluorescence enhancement. The samples are excited at 480 nm, and their emission is set at 520-530 nm.	Fluorescence intensity amplitude is a consequence of a high amount of DNA in the living cell.	¹³²
Annexin V-affinity assay	Annexin V staining assay allows sensitive and non-destructive analysis of apoptosis. Annexin V has a high affinity for phosphatidylserine. In healthy cells, phosphatidylserine is found in the inner leaflet of the lipid bilayer of the plasma membrane. During an apoptotic episode, the phosphatidylserine becomes exposed to the cell surface and is subsequently detected by the Annexin V labeled kit. Reading can be done either by flow cytometry or microscopy techniques.	In flow cytometry, living cells emit in the window of the fluorescent conjugate of Annexin V (e.g., dark green for Annexin V-FITC). In contrast, the apoptotic cells emit in a different window (e.g., blue).	¹³³
Caspase 3 assay	The activation of caspase 3, a mammalian cysteine protease, plays a significant role in the apoptotic process. Caspase 3 is detected in the cell lysate by means of a fluorogenic substrate (N-acetyl-Asp-Glu-Val-Asp-7-amino-4-methylcoumarin). This substrate is cleaved by caspase 3, generating a fluorescent component (7-amino-4-methylcoumarin) that can be detected in a fluorescent reader ($\lambda_{ex} = 380$ nm) and $\lambda_{em} = 420$ nm).	Higher fluorescence emitted after caspase 3 assay corresponds to a high apoptotic process.	¹³⁴
Cell membrane surface molecules assessment	In addition to the release of LDH and cytokines, it was reported that cells may over-express some molecules on their surface after contact with M&NPs. <ul style="list-style-type: none"> - CD11c: corresponds to an enhancement of macrophage maturation. - MHCII, CD1d: corresponds to an enhancement of antigen presentation. - CD40 and CD86: which translate a co-stimulation activity of the antigen-presenting cells. A way to access these molecules is by targeting them with specific staining after realizing an Fc-blocking.	The high fluorescence toward a targeted surface molecule compared to a negative control is interpreted by an over-expression of this surface molecule after the cell's contact with M&NPs.	¹³⁵
RT-PCR	Reverse transcript polymerase chain reaction (RT-PCR) is based on the detection of bcl-2 and bax, which are specific gene primers associated with apoptosis. The calculation of the bax/bcl-2 ratio determines the susceptibility of a cell to undergo apoptosis. Accordingly, high values of this ratio are	When M&NPs are toxic to a cell, the apoptosis is translated by high values of bax/bcl-2 ratio.	¹³⁶

	highly correlated with an apoptotic episode.		
CLSM	Confocal laser scanning microscopy is often used for analyzing the cell damage induced by the M&NPs. Appropriate staining of M&NPs and cells offers the possibility to visualize the internalization events and/or subcellular particle distribution. This technique was also used for visualizing cell shrinking and asymmetry during apoptosis.	CLSM allows the analysis of a cell apoptosis episode, the presence of white vesicles, and particle penetration inside the cell.	137
Electron microscopy techniques	Electron microscopy techniques are mainly used for the non-quantitative determination of cell apoptosis. They lead to the morphological characterization of dead cells and the determination of hallows regions in the dell membrane. Although very useful, these techniques are not often used as a first intention because, compared to other techniques, they are expensive, and they mainly allow a qualitative analysis.	Owing to their high resolution, electron microscopy techniques, when correctly set up, allow visualizing apoptotic events after cells treatment with M&NPs.	138-140

Table 3: Cytotoxicity of nonspherical M&NPs prepared from different materials.

M&NPs	Particle shape	Particle size (nm)	ζ (mV)	Incubation time	C ($\mu\text{g/mL}$)	Cell line	Cytotoxicity assay	Observation	Ref
Hydroxyapatite	Rod	96	-5.7	24 h	10 – 300	RAW 264.7 ^a and BEAS-2B ^b	PicoGreen assay	PicoGreen assay revealed that the necrosis of BEAS-2B cells was tributary to the particle shape, which is in the order needles > plates > spheres > rods. Based on this assay, RAW264.7 was reported to be less sensitive to this test than BEAS-2B. Note that plate-shaped particles showed up to 15% reduction in dsDNA at 10 and 30 $\mu\text{g/mL}$. Overall, needles and plates were found to be more toxic according to this test.	141
	Plates	221 \times 115	-9.7						
	Spheres	92.3	-14.1				ROS assay (DCFDA)	DCFDA assay (2',7'-dichlorodihydrofluorescein) is a fluorescent-dependent assay that allows the detection of ROS. The assay showed that, in BEAS-2B cells, regardless of the nanoparticle shape, ROS levels were at the same level as controls without nanoparticles. However, in RAW264.7 cells, needle-shaped particles generated increased ROS levels than the other shapes.	
	Needles	74.9	-4.8				Cytokine assay	Cytokine test revealed high expression of IL-6 in needle-shaped and plates particles. The IL-6 expression with the other nanoparticle shapes was comparable to the negative control. The same study did not reveal any difference in the TNF- α expression regardless of the particle shape.	
Silica	Long rods	278.1 (AR~4)	-30	24 h	50 – 1000	Caco2 ^c	MTT assay	No significant changes in cell viability were reported with the cells after incubation with the nanoparticles, compared to control cells that were not exposed to nanoparticles. The authors explained the results by degrading the nanoparticles into nontoxic silicate ions,	111
	Short rods	289.0 (AR~2)							
	Spheres	250.9							

								regardless of their shape.	
Alumina	Rods	20 – 30 in width and 100 – 200 in length	N/A	72 h	15.6 – 8000	Astrocytes ^d	MTT assay	According to MTT results, nanorods exhibited higher toxicity for astrocytes than nanoflakes (LC ₅₀ value 3.6-fold higher for nanoflakes than that for nanorods).	142
	Flakes	20 – 30 in both width and length	N/A				ROS assay	Both particles (nanorods and nanoflakes) induced ROS production. However, nanorods induced higher ROS generation than nanoflakes.	
							Cytokine assay	The increase in the concentration of IL-1 β , IL-2, and IL-6 was 1.5 to 2-fold higher after exposure of the cells to nanorods compared to nanoflakes.	
Silica	Worms	232 \times 1348	87	72 h ¹	0.0001 – 1000	RAW 264.7 and A549 ^e	WST-8 assay, caspase 3, annexin V, and LDH assay	It was found that, at low concentrations (< 100 μ g/mL), nanoparticle geometry played little to no role in mitochondrial function, membrane integrity, or cell death.	68
	Cylinders	214 \times 428	79	24 h ²					
	Spheres	178	58						
Au	Rods	10 \times 33	54.2	24 h	0 – 8000	SMMC 721 ^f , GES-1 ^g , and 4T1 ^h	Cell counting kit (CCK-8) assay	CCK-8 assay revealed high cell viability (up to 80%) after the exposure with the nanoparticles, regardless of their shape at a high concentration of 3000 μ g/mL. However, lower toxicity was noticed for spherical particles compared to rods and stars.	106
	Stars	15	-13.8						
	Spheres	15, 45 or 80	-8.8 to -25.4						
mPEG coated Au	Rods	~90.81	1.14	24 h	2.5 – 40	RAW 264.7 ^a	CCK-8 assay	The three shapes of PEGylated Au nanoparticles were nontoxic for RAW 264.7 cells regardless of particle concentration.	105
	Stars	~82.88	-3.87						
	Triangles	~84.57	-1.88						

- 1 1/ incubation at 72 h for WST-1 assay. 2/ incubation at 24 h for LDH assay. a/ macrophage; Abelson murine leukaemia virus-transformed cell line. b/Primary and immortalized human bronchial epithelial cells. c/ Human colon tumor epithelial cells. d/ star-shaped glial cells in the brain and spinal cord. e/ human lung tumor epithelial cells. f/ human hepatocarcinoma cell line. g/ human gastric epithelial cell line. h/ mouse breast cancer cell line.

3. Theoretical approaches for particle endocytosis

3.1. Mechanical forces and energies over particle endocytosis

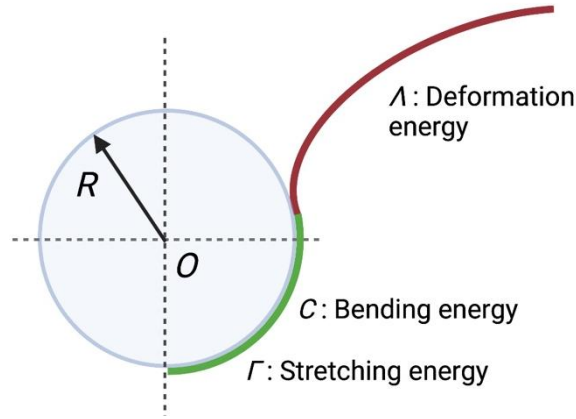
Forces and energies occurring during the interaction of a particle with a cell membrane are essential for cellular function and various biological processes. The creation of a vesicle wrapping the particle requires a mechanical force to deform the cell membrane and deviate from its spontaneous curvature denoted κ_0 . Spontaneous curvature arises from combining several mechanisms, including membrane lipid composition and asymmetry, partitioning of transmembrane domains and protein crowding, insertion of hydrophobic protein motifs, the assembly of hydrophilic protein domains (e.g., clathrin, dynamin, caveolin), and macroscopic scaffolding by the cytoskeleton. For a review see, reference¹⁴³.

Contacting a particle with the cell membrane is an essential step for the beginning of the endocytic cascade, which results in particle wrapping, either through specific or non-specific mechanisms. When a particle docks on the cell membrane, various new tensions are created which, are drained by electrostatic forces, van der Waals forces, hydrophobic forces, and receptor-ligand interactions.¹⁴⁴ All these forces cumulate to form an adhesion strength (w) that creates the necessary imbalance to the resistance forces and thus starts the wrapping process.

Let us consider a simple example representing the interaction of a spherical particle with the cell membrane in Figure 4. Upon the interaction of a particle with the cell membrane surface, adhesion energy (ΔE) is generated at the particle-membrane interface. While unspecific ΔE is rapidly set up after particle interaction with the cell membrane, specific ΔE requires a longer time as it introduces a translational entropy. Once the particles are robustly attached to the cell membrane, the wrapping process begins, and the cell membrane deflects from its original curvature κ_0 , relying primarily on a bending energy $C(\eta)$ and a stretching energy $\Gamma(\eta)$ (Figure 4). The wrapping extent (or the degree of wrapping) η (with $0 < \eta < 1$) is calculated from the ratio of the wrapped particle area to the total particle area. Additional deformation energy $\Lambda(\eta)$ stored in the curved membrane as represented in Figure 4.¹⁴⁵⁻¹⁴⁷ Typically, for a fully wrapped particle, $\eta = 1$, the cell membrane comes back to its original curvature and $\Lambda = 0$. These two energies and the additional deformation energy are necessary for an effective wrapping of the particle (Figure 4). The total membrane deformation energy

1 (DE) required for an effective particle wrapping is the sum of $C(\eta)$, $\Gamma(\eta)$, and $\Lambda(\eta)$, as
 2 illustrated in Figure 4 and Eq.1.

3
$$DE = C(\eta) + \Gamma(\eta) + \Lambda(\eta) \quad \text{Eq.1}$$



4
 5 **Figure 4:** Schematic representation of membrane deformation energies over a wrapping episode.
 6 Adapted with permission from references¹⁴⁵⁻¹⁴⁷. R is the effective radius of the sphere.

7 Many theoretical studies were dedicated to understand how the cell membrane responds to
 8 external forces induced by the interaction of a particle.^{32, 33, 145-152} Although various
 9 parameters are recurrently linked with a wrapping process; particle size undeniably plays a
 10 significant role. Based on energetic and kinetic considerations, it was possible to predict the
 11 minimum radius (R_{min}) upon which the endocytosis of a particle cannot be effective. If we
 12 consider a simple case in which a spherical particle interacts with a cell membrane through
 13 non-specific adhesion, the R_{min} is expressed by Eq.2.¹⁴⁷

14
$$R_{min} = \sqrt{\frac{2B}{\alpha_{ns} - \sigma}} \quad \text{Eq.2}$$

15 where B is the membrane bending modulus, α_{ns} represents the adhesion strength, and σ
 16 the membrane tension. For biological membranes, membrane bending modulus B , at a
 17 temperature of 300 K, is commonly expressed by Eq.3.¹⁵³

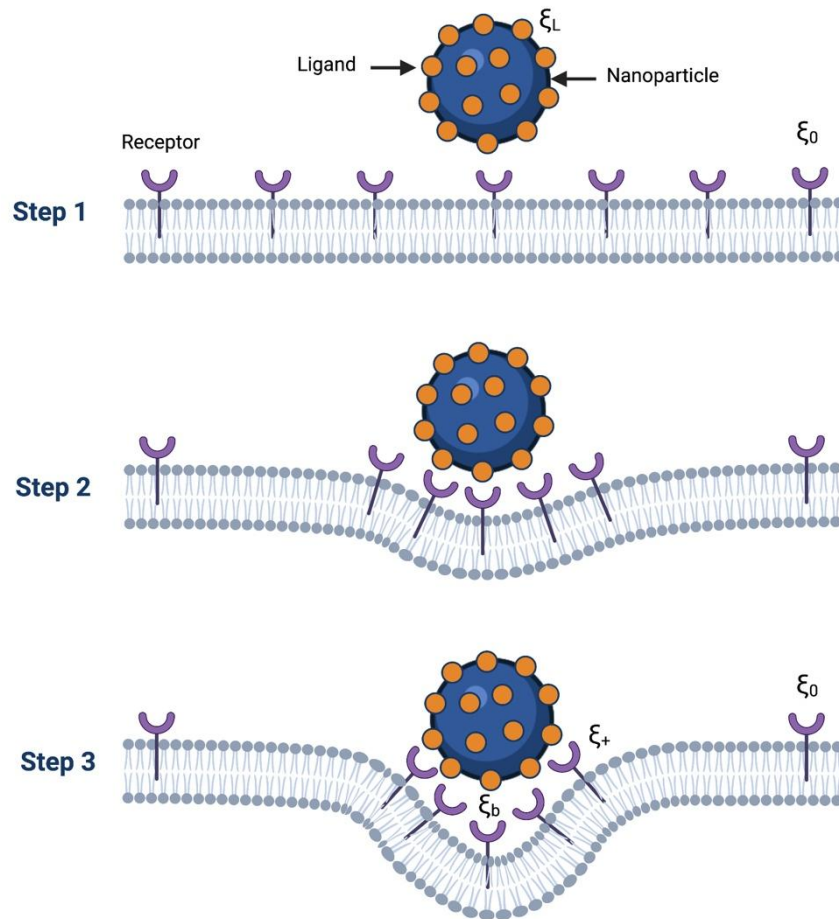
18
$$B \sim 15k_B T \quad \text{Eq.3}$$

19 Thus, when α_{ns} is equal to $1 k_B T / \text{nm}^2$, the minimum radius below which a wrapping
 20 process cannot occur is $R_{min} = 5 \text{ nm}$.¹⁴⁷ In this situation, other endocytic pathways for cell
 21 entry, such as transmembrane diffusion, are privileged (Figure 1.4).

22 In the case of specific interactions between ligand-coated spherical or cylindrical particles
 23 with mobile receptors in the cell membrane, mathematical modeling revealed that particles

1 which size ranged from 10 – 100 nm were endocytosed and exocytosed cells via wrapping
2 events without implicating clathrin or caveolin coats.¹⁵⁴ According to the same authors, the
3 optimal radius of spherical particles at which the endocytic time is the shortest was 27 –
4 30 nm. Those predictions are in good agreement with several experimental data, revealing
5 that the optimal radius for a maximum cell uptake was $\sim 25 - 30$ nm.^{86, 155, 156}
6 Thermodynamic models developed for specific interactions of ligand-coated nanoparticles
7 and receptors in the cell membrane also predicted an optimal size for nanoparticle wrapping
8 of $\sim 25 - 28$ nm.^{150, 157} In the developed models, the ligands were assumed to be uniformly
9 distributed on the particle surface with a density ξ_L and were immobile.^{154, 157} The influence
10 of ligand distribution on cellular uptake using coarse-grained molecular dynamics¹⁵⁸ or
11 statistical dynamics model of endocytosis,¹⁵⁹ revealed that nanoparticles with homogenous
12 ligand distribution are the most effectively wrapped.

13 Before the interaction, it was assumed that the receptors were uniformly distributed on the
14 flat cell membrane with density ξ_0 , and that their total number remains constant over
15 wrapping (Figure 5). Upon the contact of the nanoparticle with the cell membrane, the mobile
16 receptors diffused toward the contact area. The receptor density interacting with the ligands
17 on the nanoparticle surface (ξ_b) became higher in the contact area. In contrast, a depletion
18 zone with reduced receptor density (ξ_+) is formed in the noninteracting region of the cell
19 membrane (Figure 5). ξ_b reaches a maximum value when each ligand interacts with one
20 receptor ($\xi_b = \xi_L$). In the model developed by Gao et al.,¹⁵⁴ the nanoparticle was considered
21 either cylindrical or spherical. Notably, if we assume that the cell size was much larger than
22 nanoparticle size, the initial state of the cell membrane was presented as flat. Simulation
23 results revealed that an optimal receptor density ratio ($\bar{\xi} = \xi_0/\xi_L$) is required for effective
24 wrapping. As the $\bar{\xi}$ increased, the optimal wrapping radius and optimal wrapping time
25 decreased. In contrast, for small $\bar{\xi}$, the optimal particle radius and wrapping time increased.¹⁵⁴



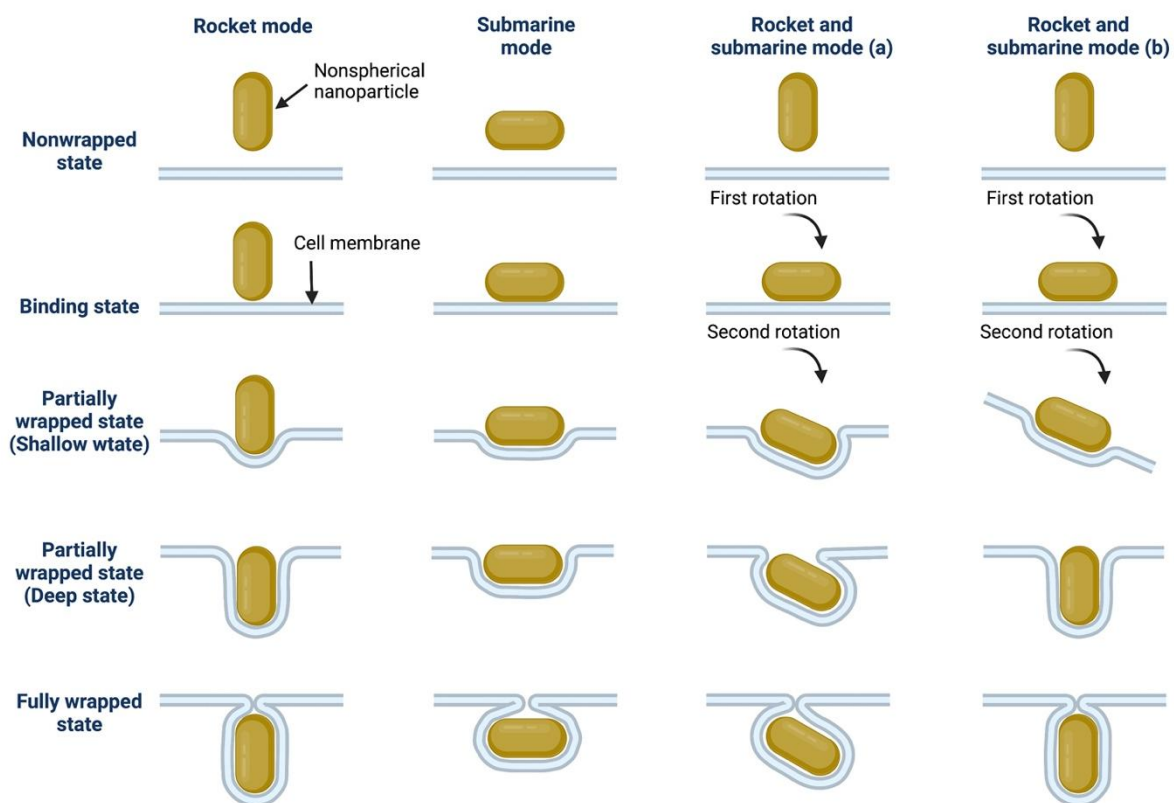
1
 2 **Figure 5:** Schematic representation of the different steps for receptor-mediated wrapping of a
 3 spherical nanoparticle. Step 1: Nonwrapped state. Step 2: binding state. Step 3: partially wrapped state.
 4 ξ_0 is the receptor density in the remote region. ξ_+ is the receptor density in the depletion region. ξ_b is
 5 the density of the receptor in the binding region. ξ_L is the ligand density on the nanoparticle surface.

6 **3.2. Shape effect**

7 While the fundamental biophysical behaviors of cell membrane deformation over a
 8 wrapping episode remain applicable for nonspherical particles, they exhibited some
 9 differences in the wrapping mechanism compared with spherical ones. Understanding the
 10 energetics of a nonspherical particle is more complicated due to the various orientations
 11 possible upon the interaction with the cell membrane. Thanks to computational modeling at
 12 different length scales, the energetics for nonspherical particle wrapping were better
 13 understood. Typically, coarse-grained models and numerical calculations of membrane
 14 mechanics based on energy minimization revealed that the endocytosis mechanism of a
 15 nonspherical particle is defined as a sequence of four different steps:³¹⁻³⁴ a nonwrapped state,
 16 a binding state, a partially wrapped state, and a complete wrapped state, where the particle is
 17 completely engulfed by the cell membrane (Figure 6). A partially wrapped state could be
 18 further subdivided into two other steps: the shallow wrapped state, which comes right after an

1 effective particle binding to the surface area, and a deep-wrapped state mainly characterized
 2 by a deeper nanoparticle penetration and a higher surface tension of the cell (Figure 6).

3 Interestingly, several groups agree that nonspherical particles can enter the cell through
 4 different modes (Figure 6).^{31, 34, 160-162} a submarine mode (or parallel adhering mode), when
 5 the particle is presented to the cell membrane via its long axis and a rocket mode (or
 6 perpendicular entry mode) when the long axis is perpendicular to the cell membrane. Another
 7 case is also possible. It consists of the competition between both submarine and rocket modes,
 8 characterized by the rotation of the particle over a wrapping episode (Figure 6.a and 6.b).^{34, 163}



9
 10 **Figure 6:** Illustration of the different entry modes of nonspherical nanoparticles according to
 11 numerical simulations.

12 Within the same framework, modeling of particle membrane interaction revealed that the
 13 wrapping of cube-like and rod-like particles compared to the wrapping of spheres and
 14 ellipsoids is different.³¹ Complete wrapping of cube-like particles required increased adhesion
 15 energy in the lateral edges to back up the deformation energy of the lower edge and increased
 16 deformation energy of the upper edge.³¹ For cube-like particles, the adhesion strength to reach
 17 a deep wrapping state is ~ 2 times higher than for a sphere with an equal surface area. This
 18 was accompanied by increased membrane tension and adhesion strength. For a complete
 19 wrapping of Hauser's cube, the adhesion strength was found to be ~ 3 times higher than for a

1 sphere. Moreover, very high adhesion strength is necessary for the complete wrapping of
2 cube-like particles. On the other hand, particle shape dictated its internalization mode. For
3 example, a nanorod with AR ~ 1.5 switched from a rocket position to a submarine position,
4 but for sharper edges, rocket mode predominates.³¹

5 In another embodiment, molecular dynamic simulations on a coarse-grained model
6 revealed that the local curvature radius and the sharpness of the edges of the nanoparticle in
7 contact with the membrane played a significant role in the wrapping process.¹⁶¹ Indeed, the
8 passive endocytosis process of ligand-coated rod particles was thermodynamically favorable,
9 while cylinders did not undergo complete wrapping, but they remained attached to the
10 membrane. Notably, in this article, rod particles were referred to as spherocylinders (cylinders
11 with hemispherical caps at both ends). The cylinders had a diameter D , while the whole rod's
12 length was L . The AR was ~ 1 or ~ 2 , as calculated from the ratio L/D . The results showed
13 that, upon the interaction with the membrane, the rods changed their orientation from
14 perpendicular to parallel (Figure 6.a). The same investigation revealed that the endocytosis of
15 rods was more thermodynamically favorable than spheres.¹⁶¹

16 However, opposite results were found with PEG-coated nanoparticles with variable shapes
17 and using large-scale dissipative particle dynamics simulations.¹⁶⁴ The simulations revealed
18 that, at low PEG density, spherical nanoparticles had the fastest internalization rate, followed
19 by cubes, then rods and disks.¹⁶⁴ The molecular simulations showed that the entry pathway of
20 nanoparticles with high AR (rods and disks) was highly dependent on the contact angle with
21 the membrane. When the nanoparticles with high AR interacted with a cell membrane through
22 their major axis, the large membrane deformation and time-consuming particle orientation
23 delayed the internalization. The same study showed that star-shaped nanoparticles exhibited
24 fast wrapping by the cell membrane, which is similar to spherical nanoparticles. It was
25 noticed that when the nanoparticles were fully covered with PEG, the effective shapes of all
26 the particles were close to spherical. In these conditions, the shape of the nanoparticle core is
27 invisible to the cell membrane (see Figure 9 in reference¹⁶⁴).

28 **4. Shape-dependent behaviors in the systemic circulation**

29 Protein corona represents the first barrier a particle encounters when administrated in the
30 blood because thousands of different blood proteins will competitively bind to the particle
31 surface.^{165, 166} This phenomenon complicates any prediction of cell interactions,
32 biodistribution, and toxicity because it is the protein corona/M&NP complex, rather than the

1 bare M&NPs interacting with biological machinery.¹⁶⁷ Furthermore, targeting properties of
2 M&NPs are hindered by protein adsorption on functional ligands, preventing their interaction
3 with the corresponding cell receptors.⁴⁹ After adsorption of opsonins on the M&NP surface
4 and phagocytosis, the particles will be directed to MPS organs, such as the liver and spleen.
5 Indeed, 30 – 99% of administered M&NPs accumulate and sequester in the liver.¹⁶⁸ To
6 reduce non-specific adsorption of proteins on the M&NPs surface, a plethora of research
7 works were focused on grafting hydrophilic polymers such as PEG on the particle surface.¹⁶⁹
8 This strategy reduced opsonization leading to the escape of immediate sequestration by the
9 immune system after administration in the blood. Unfortunately, now, there is a piece of
10 evidence that repeated administration of PEG-coated nanoparticles in the blood induces
11 hypersensitivity reactions described as complement activation-related pseudoallergy
12 (CARPA).¹⁷⁰ Several strategies have been envisioned so far to reduce the adsorption of
13 opsonins on the particle surface. Unlike size and surface properties (e.g.,
14 hydrophilic/hydrophobic ratio, steric hindrance), the effect of M&NP shape on opsonization
15 was understudied. Some data were reported on the impact of the radius of curvature of
16 M&NPs in the composition and conformation of adsorbed proteins by using spherical
17 particles with variable sizes. For example, previous works on spherical gold nanoparticles
18 showed that big particle size, and consequently high radius of curvature, affects protein
19 corona structure as proteins may stretch to fit the large particle surface. Smaller dimensions of
20 isotropic nanoparticles resulted in decreased interaction with proteins, causing fewer
21 structural changes.¹⁷¹⁻¹⁷⁴ For anisotropic nanoparticles with high AR, one expects large
22 proteins aligning along the long axis to fit on the nanoparticle surface.^{175, 176} Large proteins,
23 on the other hand, may not fit in small spaces and hence be excluded from the small
24 dimension of the nanoparticles. Additionally, anisotropic particle geometry does affect
25 complement activation. The IgM and the classical and lectin pathway convertase (C4b2a)
26 have cross-sectional diameters of ~40 nm and ~30 nm, respectively, making its assembly
27 and deposition onto the surface of nanoparticles with high curvature rather tricky.¹⁷⁷⁻¹⁷⁹
28 Therefore, particle dimensions lower than 30 nm, and depending on their surface chemistry,
29 may not efficiently trigger calcium-sensitive pathways of complement activation, while a
30 surface-bound C3b is expected to occupy an area of 40 nm.¹⁸⁰

31 Specific interactions of nonspherical M&NPs with blood proteins, with macrophages and
32 polymorphonuclear neutrophils, as well as the dynamic behaviors of the particles under shear
33 flow, significantly impact their biodistribution (Table 4). The most reported tendency is that

1 nonspherical M&NPs had a prolonged circulation time in the blood,^{2, 41, 62, 181, 182} and had a
2 preferential accumulation in specific organs,^{2, 17, 39, 181, 183-187} compared to spherical particles.
3 For example, rod-shaped PEGylated Au particles (10 × 45 nm) had a longer circulation time
4 in the blood than spherical particles (50 nm in diameter), which preferentially accumulated in
5 the liver.¹⁸² Exceptional long circulation times (up to 1 week) were reported with highly
6 flexible filamentous micelles (~20 – 60 nm in cross-sectional diameter and a tuneable length
7 ~2 – 18 μm) after intravenous injection in rats.² Comparatively, PEGylated spherical
8 vesicles (120 nm) with similar surface properties to filomicelles were cleared within 48 h.
9 Several mechanisms are involved in the prolonged circulation time of filamentous micelles.
10 One possible explanation could be their low phagocytosis profiles. Indeed, high AR and high
11 flexibility of the filamentous micelles reduced their interaction with macrophages, required
12 for their internalization. Previous research on spherical nanoparticles with variable rigidity
13 showed that rigid particles displayed higher uptake by macrophages than soft ones.^{30, 188}
14 Rapid elimination of stiff nanoparticles was correlated to their higher macrophage capture.³⁰
15 When filomicelle rigidity was increased by crosslinking, they were cleared within a few
16 hours.

17 On the other hand, the same study revealed that filomicelle size is a critical attribute for
18 their interaction with macrophages and subsequent internalization.² Long worms were less
19 captured by macrophages than short filomicelles or spheres, thus reducing their clearance.
20 Similarly, by tuning the shape of polystyrene or Au particles from spheres to rods or elliptical
21 disks, macrophage uptake was reduced, circulation $t_{1/2}$ in mice blood was increased, while
22 liver uptake was decreased.^{62, 182}

23 Contrarily to spheres, the disks, targeted to intracellular adhesion molecule (ICAM-1),
24 preferentially accumulated in the lungs. The lung to liver accumulation was 1.7-fold higher
25 for anti-ICAM-coated polystyrene rods (125 × 500 nm) than for spherical particles (200 nm
26 diameter).¹⁸⁹ Shape-specific tissue accumulation was also observed for anti-transferrin-
27 conjugated rod particles for brain targeting. Transferrin-coated rods exhibited ~7 fold higher
28 accumulation in the brain compared with transferrin-coated spherical particles.¹⁸⁹ In vitro
29 experiments performed on a synthetic microvascular network model showed that rod particles
30 exhibited higher binding to the walls under shear flow than spherical particles. This
31 phenomenon, known as margination, was tackled a decade ago for nonspherical M&NPs.¹⁹⁰⁻
32 ¹⁹² In a theoretical study, Toy et al.,¹⁹⁰ concluded that Au nanorods had an 8-fold higher
33 margination rate than nanospheres. Another investigation revealed that both size and

1 morphology had a consequent impact on the margination and adhesion of M&NPs.¹⁹³ Their
2 experimental analysis, conducted on spherical, oblate, prolate, and rod M&NPs, revealed a
3 higher margination and adhesion of micro-scale nonspherical particles than nano-scale
4 spherical counterparts. Additionally, Da Silva-Candal et al.,¹⁹⁴ found that rod particles have a
5 more targeting efficiency to the endothelium receptors than spherical particles. Spherical
6 particles are more likely to follow the shear forces' direction and continue their way in the
7 streamline. Once they marginate, nonspherical particles display another characteristic which
8 is their ability to set up a multi-point contact with the receptors in the vessel wall due to their
9 bigger surface area and lower number of curvatures. Several experimental and theoretical
10 studies confirmed the ability of elongated M&NPs to marginate to the vascular wall of the
11 vessel and subsequent extravasation under shear and pressure forces.¹⁹⁵ For review articles,
12 see references^{196, 197}.

13 The tendency of rod-like or disk-like particles to align the blood flow and to extravasate
14 partly explains higher accumulation in tumoral tissues (Table 4).^{182, 184, 187, 198-200} The
15 biodistribution of porous silicon discoidal particles (400 × 600 nm and 400 × 1000 nm,
16 height × diameter) in a murine bearing cancer model was found to be up to 5 times higher
17 than with spherical particles (600 nm diameter).²⁰⁰ Spherical particles accumulated more
18 prominently in the liver. Rod-like particles (80 × 320 nm) loaded with docetaxel showed
19 reduced phagocytosis by macrophages and prolonged circulation time in the blood compared
20 to spherical particles, resulting from higher tumor exposure to the drug.¹⁸¹ Additionally,
21 nonspherical M&NPs showed improved diffusion through tumoral tissues compared with
22 spheroidal particles.²⁰¹ Chauhan et al.,¹⁹⁹ revealed that nanorods (15 × 54 nm) diffused in a
23 tumor-mimetic collagen gel ~5 times faster than the nanospheres (35 nm). The same
24 nanorods penetrated tumors ~4 times faster than nanospheres in mice bearing orthotopic
25 tumors.¹⁹⁹ The two particles had similar plasma $t_{1/2}$ and clearance in mice.

26 To sum up this section, although it is not possible to make a clear conclusion on the in vivo
27 fate of nonspherical M&NPs particles compared to spherical ones, there is a piece of evidence
28 that particles with a high AR and high flexibility had prolonged circulation in the blood. The
29 increased accumulation of elongated particles in tumoral tissues is promising. It could lead to
30 an increase in the efficacy of chemotherapeutics and a reduction of the side effects by limiting
31 the accumulation in healthy tissues. Those findings should trigger further studies to design
32 more effective nanomedicines by acting on M&NP shape.

1

Table 4: Comparison of the effect of M&NP morphology on their behavior *in vivo* regarding their biodistribution, clearance, and therapeutic efficiency.

M&NPs	Route of administration (Animal used)	Shape and size	Studied property	Observations	Ref
Mesoporous silica nanoparticles	Intravenous (mice)	Long rods (720 nm in length, AR ~5) Short rods (185 nm in length, AR ~1.5)	Biodistribution (2 h, 24 h, and 7 days after injection)	- Two hours after injection, both short and long PEGylated nanorods accumulated mainly in the liver, spleen, and lung (over 80% of the injected dose). Short PEGylated nanorods were more easily trapped in the liver, while long PEGylated nanorods accumulated preferentially in the lung. However, 24 h after injection, both short and long PEGylated nanorods were trapped in the spleen > lung > liver.	202
			Excretion	- Short PEGylated nanorods had a faster clearance than long PEGylated nanorods in urine and feces.	
			Biocompatibility	- There is no association between the shape and the toxicity of the nanoparticles.	
Micelles	Intravenous (mice and rats)	Filomicelles (~20 – 60 nm) × (2 – 18 μm)	Biodistribution (up to 6 days)	- The blood circulation of filomicelles was up to 6 days, while spherical vesicles of similar chemistry were cleared within two days after injection to rats. - Four days after injection, the filomicelles accumulated in the liver > spleen > kidney > lung.	2
			Treatment	- 7 days after injection to tumor-bearing mice, paclitaxel-loaded filomicelles can more effectively reduce tumor size compared to free paclitaxel	
Micelles	Intravenous (tumor-bearing mice)	Filomicelles Spheres	Biodistribution (after 24 h)	- Filomicelles remained in the blood for at least 24 h. - 24 h after injection, uptake was higher in the MPS organs (liver > spleen > lungs > heart > tumor > kidney > brain).	203
			Treatment	- The high accumulation of paclitaxel-loaded filomicelles produced a higher mass shrinkage and tumor cell death regarding the spherical control.	
Rod-shaped	Intravenous	Short rods (~18 ×	Biodistribution	- The results are represented as total tissue penetration	204

nucleoprotein nanoparticles derived from tobacco mosaic virus (Either PEGylated or RGD targeted)	(tumor-bearing mice)	60 nm, AR ~3.5) Medium rods (~18 × 130 nm, AR ~7) Long rods (~18 × 300 nm, AR ~16)		(bladder + liver + spleen). PEGylated short rods showed a peak tissue accumulation at 2 h, while PEGylated long rods showed a peak tissue accumulation at 6 h.	
			Clearance	- PEGylated long rods were cleared by the RE system as the nanoparticles were cleared via the liver and spleen. - PEGylated short rods were mainly cleared via the bladder suggesting a renal filtration elimination.	
			Accumulation in the tumors	- The accumulation of both stealth and targeted nanorods in the tumors was AR-dependent. PEGylated short rods showed the highest accumulation in tumors, followed by median and long nanorods. RGD-targeted median nanorods showed the best accumulation in tumors.	
PEGylated tobacco mosaic virus-derived nanoparticles	Intravenous	Rods (~18 × 130 nm, AR ~7) Spheres (54 nm measured by TEM)	Biodistribution	- Rods and spherical particles accumulated mainly in the liver and spleen.	205
			Clearance	- Spherical particles showed shorter circulation in the blood and were more rapidly eliminated than rods. They were no longer detected after 24 h of injection. - Rod particles were detectable in the liver and spleen after 24 h.	
Liposomes	Intravenous (rats)	Spheres Disks	Biodistribution	- The discoidal shape enhanced by nearly 2 folds the circulation half-life of liposomes in a rat model.	1
Polymeric nanoparticles (polymerization induced self-assembly)	Intravenous (tumor-bearing mice)	Rods (37 nm in diameter and 350 – 500 nm in length) Worms (44.5 nm in diameter and 1 – 2 μm in length) Spheres (~21 nm or 33 nm)	Biodistribution	- Worms displayed the highest accumulation in the spleen > liver > lungs > kidneys.	206
			Clearance	- Regardless of their morphology, the particles were cleared from the blood circulation 2 days post-delivery.	
			Accumulation in the tumors	- The highest tumor accumulation was obtained with small and spherical micelles, whereas the lowest tumor accumulation was observed for the worms.	

5. Summary and future directions

While a consensus on the M&NPs shape dependence on biological processes was reached, some contradictory results should be pointed out at several levels. The major issue is related to a discrepancy in particle composition, size, shape, surface properties. In several investigations, the surface area and volume of M&NPs with different shapes are not always kept constant and the biological process may be rather driven by other particle parameters than geometry. The isolation of the parameters one by one should lead to a better understanding of the exact role of the shape on biological processes. Such understanding requires robust technological tools for designing particles with controlled properties in a reproducible manner. Not only by handling the shape but also 3D dimensions, surface properties, and particle mechanical behaviors, because those parameters are interconnected. The development of novel technological tools for designing nonspherical M&NPs with a simultaneous control of their properties is still needed. Indeed, each technique used for preparing nonspherical particles has its advantages and drawbacks. For example, mainly micrometer sized particles with different shapes and AR can be obtained by photolithography²⁰⁷ and microfluidics.²⁰⁸ By self-assembly of small amphiphilic molecules, a variety of morphologies are harvested, including rods, nanoribbons, filomicelles, and worms.²⁰⁹ Generally speaking, shape modification is mediated by the composition of the amphiphilic molecules. Ring-opened benzyl-L-glutamate led to particles with different AR (up to 3.5).²¹⁰ However, particles with different AR do not have comparable surface properties since the shape is controlled by polymer molecular weight. In some cases, nonspherical M&NPs did not always show a shape stability. For example, elliptical particles switch their shape to a sphere.²¹¹ The particles were obtained by physical deformation of poly(lactide-*co*-glycolide) spheres contained in a film composed of poly(vinyl alcohol). In a recent investigation, Ahmed et al.,²³ succeeded in preparing shape-stable elliptical particles by film stretching technique. However, the same study highlighted some difficulties in removing poly(vinyl alcohol) from the particle preparation.²³ In addition to surface modification following the adsorption of poly(vinyl alcohol), moving from spherical to elongated shape could also modify the particle mechanical properties, leading to mixed parameters. The exact implication of each parameter in the biological process could be complicated.

Modeling the interaction of M&NPs with the cell membrane offers the opportunity to isolate the parameters conferring a better understanding of fundamental events over particle

1 wrapping. Such knowledge will pave the way toward the correct interpretation of the
2 experimental data of the endocytosis process in different applications, including pathogen
3 infections and drug delivery. Furthermore, the models developed for particle wrapping could
4 be applicable to a wide range of biological processes such as viral entry to cells, intracellular
5 trafficking, and exocytosis. The basic structures representing only the double bilayer
6 membrane and receptors could be further improved by adding complex structures of the cell
7 membrane. The effect of clathrin and other envelope proteins should also be considered.
8 Finally, it is necessary to consider physiologically relevant conditions such as osmotic
9 pressure.

10 On the other hand, in several reports, it was found that the biological effect was correlated
11 to the purification level of the preparations. For carbon nanotubes, cytotoxicity was rather due
12 to metal traces contaminants than the carbon nanotube itself.²¹² For Au particles, the
13 cytotoxicity was mainly due to extrachemicals present in the preparation. The free detergent
14 hexadecyltrimethylammonium bromide, due to incomplete purification of the Au nanorods,
15 was shown to be toxic to the cell membrane.^{112, 213, 214} Standardization of the properties of the
16 preparations, their purification, and the conditions for cytotoxicity experiments is necessary to
17 investigate the effect of particle shape accurately.

18 Furthermore, the experimental conditions for the biological evaluations, such as the cell
19 lines, the ratio between the cells and the M&NPs, and the animal model used, are of crucial
20 importance. For example, it was shown that the same preparation was more rapidly eliminated
21 from the mice blood than rats. Comparisons between M&NPs can be reasonably drawn
22 between experiments conducted on similar cells and animals.

23 Another challenge in the future is related to the lack of standardization of the
24 physicochemical techniques for the characterization of M&NPs dimensions. In several
25 studies, the particle size was given for spherical and nonspherical particles, while
26 nonspherical particles are typically characterized by at least two dimensions (e.g., length,
27 width, thickness). Furthermore, most of the investigations used dynamic light scattering
28 techniques to characterize the size of nonspherical particles. However, this technique, based
29 on the Stocks-Einstein equation, was not adapted to compare the size of particles with
30 different diffusion coefficients. Indeed, in a recent investigation, Diaz-Salmeron et al.,¹⁰
31 revealed that, in static conditions, hyaluronan particles with a high AR, designated
32 nanoplatelets, exhibited more linear trajectories and faster diffusion in water than
33 nanospheres. Electron microscopy techniques could provide information on the particle shape

1 and dimensions. However, imaging techniques that require the drying of the sample (e.g.,
2 TEM, SEM, and AFM imaging in the air), did not lead to a correct determination of the exact
3 particle dimensions in liquid conditions, and in turn, it could lead to a misinterpretation of the
4 results obtained in culture media or in vivo. A better analysis of M&NPs dimensions was
5 provided by cryo-TEM, cryo-SEM, and AFM in liquid conditions. It was observed that the
6 height cross-section of flat particles obtained by hierarchical self-assembly of α -cyclodextrin
7 and hydrophobically modified hyaluronan in liquid were \sim 4.5-fold lower than that measured
8 in dry conditions.¹¹ An accurate characterization thus is essential for understanding and the
9 correct interpretation of the biological behaviors.

10 In short, despite the interest in particle shape in biological processes, the issues mentioned
11 above should be addressed to speed-up the translation of nonspherical particles into the clinic.
12 Indeed, despite the efforts in developing shape-controlled M&NPs, only a few examples are
13 commercially available. Besides inorganic particles, PRINTTM particles that are uniform in
14 shape and bioresorbable (LIQ001) were tested in the clinic to improve immune response and
15 efficacy of seasonal influenza vaccine. The therapeutic and commercial success of disk-like
16 liposomes (Amphotec[®]) and Ribbon-like particles (Abelcet[®]) should pave the way to
17 additional investigations for other applications.

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38 **8. Abbreviations**

39 AFM: Atomic force microscopy

40 AR: aspect ratio

41 Au: gold

42 CARPA: complement activation-related pseudoallergy

43 CLSM: Confocal laser scanning microscopy.

44 CTAB: Cetyl trimethylammonium bromide

45 DOPE: dioleoylphosphatidylethanolamine

- 1 DOTAP: 1,2-dioleoyl-3-trimethylammoniumpropane
- 2 ICAM-1: intracellular adhesion molecule
- 3 IPC-AES: Inductively coupled plasma-atomic emission spectrometer
- 4 M&NPs: micro- and nanoparticles
- 5 MPS: mononuclear phagocytic system
- 6 PEG: polyethylene glycol
- 7 PGS: poly(glycerol sebacate)
- 8 PISA: polymerization-induced self-assembly
- 9 PLGA: poly(lactic acid)-*co*-(glycolic acid)
- 10 PRINT: Particle Replication In Nonwetting Templates
- 11 ROS: reactive oxygen species
- 12 SWNTs: single-walled carbon nanotubes
- 13 TEM: Transmission electron microscopy.