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## CARBON CYCLE

# Strong Southern Ocean carbon uptake evident in airborne observations

Matthew C. Long<sup>1\*</sup>, Britton B. Stephens<sup>1</sup>, Kathryn McKain<sup>2,3</sup>, Colm Sweeney<sup>3</sup>, Ralph F. Keeling<sup>4</sup>, Eric A. Kort<sup>5</sup>, Eric J. Morgan<sup>4</sup>, Jonathan D. Bent<sup>1,4,†</sup>, Naveen Chandra<sup>6,‡</sup>, Frederic Chevallier<sup>7</sup>, Róisín Commane<sup>8</sup>, Bruce C. Daube<sup>9</sup>, Paul B. Krummel<sup>10</sup>, Zoë Loh<sup>10</sup>, Ingrid T. Lujikx<sup>11</sup>, David Munro<sup>2,3</sup>, Prabir Patra<sup>12</sup>, Wouter Peters<sup>11,13</sup>, Michel Ramonet<sup>7</sup>, Christian Rödenbeck<sup>14</sup>, Ann Stavert<sup>10</sup>, Pieter Tans<sup>3</sup>, Steven C. Wofsy<sup>9,15</sup>

The Southern Ocean plays an important role in determining atmospheric carbon dioxide (CO<sub>2</sub>), yet estimates of air-sea CO<sub>2</sub> flux for the region diverge widely. In this study, we constrained Southern Ocean air-sea CO<sub>2</sub> exchange by relating fluxes to horizontal and vertical CO<sub>2</sub> gradients in atmospheric transport models and applying atmospheric observations of these gradients to estimate fluxes. Aircraft-based measurements of the vertical atmospheric CO<sub>2</sub> gradient provide robust flux constraints. We found an annual mean flux of  $-0.53 \pm 0.23$  petagrams of carbon per year (net uptake) south of 45°S during the period 2009–2018. This is consistent with the mean of atmospheric inversion estimates and surface-ocean partial pressure of CO<sub>2</sub> (P<sub>CO<sub>2</sub></sub>)-based products, but our data indicate stronger annual mean uptake than suggested by recent interpretations of profiling float observations.

**O**cean water-column carbon inventories suggest that the Southern Ocean accounts for more than 40% of the cumulative global ocean uptake of anthropogenic CO<sub>2</sub> (1, 2). However, estimates of contemporary net Southern Ocean air-sea carbon fluxes based on surface-ocean partial pressure of CO<sub>2</sub> (P<sub>CO<sub>2</sub></sub>) observations or atmospheric inversions remain highly uncertain (3–8). Recent interpretations of profiling float observations have introduced further complications, proposing a profound revision of the Southern Ocean carbon budget, with reduced summertime uptake and larger wintertime outgassing (9, 10). Given the Southern Ocean's critical role

as a sink for anthropogenic CO<sub>2</sub>, as well as indications that regional fluxes vary substantially on decadal time scales (7, 11, 12), it is essential to develop more-robust constraints on Southern Ocean air-sea CO<sub>2</sub> exchange. Observations of atmospheric CO<sub>2</sub> provide an opportunity for doing so, as the atmosphere effectively integrates flux signals over large surface regions. Atmospheric inversion models provide a formal statistical method to estimate fluxes that optimally satisfy atmospheric observational constraints, given circulation simulated by data-constrained atmospheric transport models (4, 13, 14). However, global-scale atmospheric inversion models have not converged on consistent Southern Ocean fluxes, as they suffer from inaccuracies in the simulated transport, reliance on uncertain “prior” flux estimates, and requirements to meet tighter constraints elsewhere in the world, where signals are stronger and measurements less sparse (4, 13–17).

In this study, we derived “emergent constraints” on regional air-sea fluxes by relating fluxes in a collection of models to observable gradients in CO<sub>2</sub> in the atmosphere directly overlying the Southern Ocean. We used observations from nine deployments of three recent aircraft projects: the HIAPER Pole-to-Pole Observations (HIPPO) project (18), the O<sub>2</sub>/N<sub>2</sub> Ratio and CO<sub>2</sub> Airborne Southern Ocean (ORCAS) study (19), and the Atmospheric Tomography (ATom) mission (20) (see supplementary materials, hereafter SM). We also examined 44 atmospheric CO<sub>2</sub> records from surface monitoring stations in the high-latitude Southern Hemisphere, selecting and filtering the highest-quality data (SM). Collectively, these observations show a distinct pattern in the seasonal variability of atmospheric CO<sub>2</sub> overlying the Southern Ocean, most notably charac-

terized by lower-troposphere CO<sub>2</sub> depletion in austral summer and neutral to weakly positive enhancement in austral winter (Figs. 1 and 2, A to C). To isolate CO<sub>2</sub> gradients driven by Southern Ocean fluxes, we examined CO<sub>2</sub> anomalies relative to a local reference, using potential temperature ( $\theta$ ) to delineate boundaries in the vertical dimension (SM). We defined a metric quantifying the vertical CO<sub>2</sub> gradient,  $\Delta_{\theta}\text{CO}_2$ , as the difference between the median value of CO<sub>2</sub> observed south of 45°S, where  $\theta < 280$  K, and that in the mid- to upper-troposphere, where  $295 \text{ K} < \theta < 305 \text{ K}$ . The aircraft observations suggest that the amplitude of seasonal variation in CO<sub>2</sub> is minimized within this upper  $\theta$  range relative to the rest of the column (fig. S7); it is also above the vertical extent of wintertime, near-surface homogeneity (Fig. 2A) and below altitudes substantially influenced by the stratosphere, making it a good reference for detecting regional air-sea flux signals (see SM). Similarly, we defined a metric of the horizontal surface gradient,  $\Delta_y\text{CO}_2$ , as the difference between CO<sub>2</sub> averaged across stations in the core latitudes of summertime CO<sub>2</sub> drawdown (Fig. 1, C and D, shaded region) and that at the South Pole Observatory (SPO).  $\Delta_{\theta}\text{CO}_2$  is strongly negative in the austral summer, followed by near-neutral conditions in the austral winter through spring (Fig. 2B). Correspondingly,  $\Delta_y\text{CO}_2$  also indicates summertime drawdown at the surface and weakly positive to near-neutral conditions in winter (Fig. 2C), although the amplitude of seasonal variation in  $\Delta_{\theta}\text{CO}_2$  is more than three times larger than that in  $\Delta_y\text{CO}_2$ . Variation in drawdown intensity across stations contributing to  $\Delta_y\text{CO}_2$  likely reflects differential sampling of air exposed to strong ocean productivity signals (fig. S4).

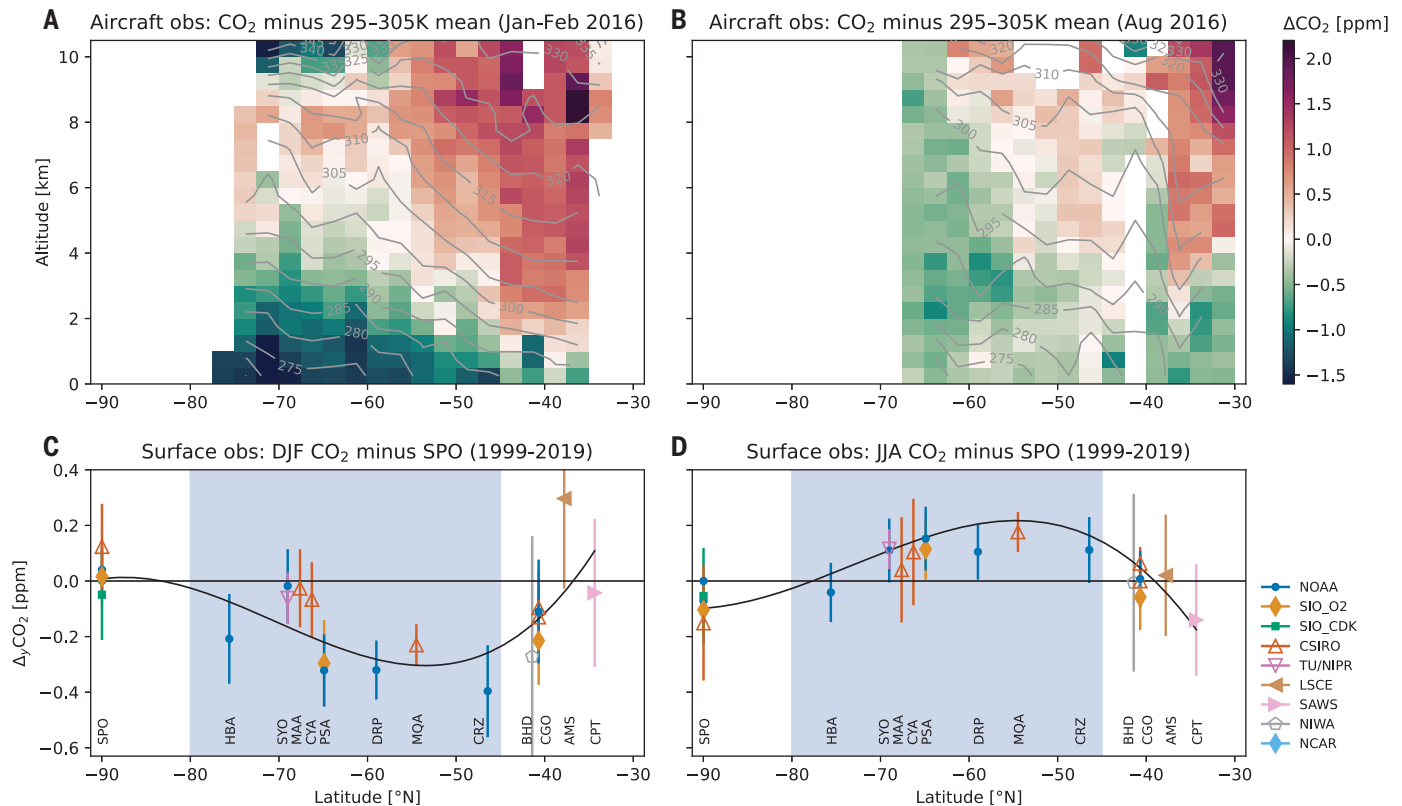
We developed inferences about air-sea CO<sub>2</sub> fluxes from these gradient metrics by examining a collection of atmospheric inverse models that simulate time-varying, three-dimensional CO<sub>2</sub> fields sampled to replicate observations (SM). The inverse models demonstrate that seasonality in  $\Delta_{\theta}\text{CO}_2$  and  $\Delta_y\text{CO}_2$  is dominated by Southern Ocean air-sea fluxes. Although land and fossil fuel fluxes are small south of 45°S, extraregional contributions do influence local gradients via transport from the north. The models explicitly simulate CO<sub>2</sub> tracers responsive only to ocean (CO<sub>2</sub><sup>ocn</sup>), land (CO<sub>2</sub><sup>ld</sup>), and fossil fuel (CO<sub>2</sub><sup>ff</sup>) fluxes and subject to identical transport fields. The simulations of these tracers indicate that the influence of land fluxes generally opposes the effect of fossil fuel emissions for both gradient metrics, and the seasonality in the land and fossil fuel tracers is much weaker than the ocean-derived signal (Fig. 2, D and E, and fig. S6). The negative vertical (positive horizontal) gradient in fossil fuel CO<sub>2</sub> is consistent with elevated CO<sub>2</sub> concentrations in the equatorward portion of the

<sup>1</sup>National Center for Atmospheric Research, Boulder, CO, USA. <sup>2</sup>Cooperative Institute for Research in Environmental Sciences, University of Colorado, Boulder, CO, USA. <sup>3</sup>Global Monitoring Laboratory, National Oceanic and Atmospheric Administration, Boulder, CO, USA. <sup>4</sup>Scripps Institution of Oceanography, University of California, San Diego, La Jolla, CA, USA. <sup>5</sup>Department of Climate and Space Sciences and Engineering, University of Michigan, Ann Arbor, MI, USA. <sup>6</sup>National Institute of Environmental Studies, Tsukuba, Japan. <sup>7</sup>Laboratoire des Sciences du Climat et de l'Environnement, IPSL-LSCE, CEA-CNRS-UVSQ, UMR8212 91191, France. <sup>8</sup>Department of Earth and Environmental Sciences, Lamont-Doherty Earth Observatory of Columbia University, Palisades, NY, USA. <sup>9</sup>Department of Earth and Planetary Sciences, Harvard University, Cambridge, MA, USA. <sup>10</sup>Climate Science Centre, CSIRO Oceans and Atmosphere, Aspendale, Victoria, Australia. <sup>11</sup>Department of Meteorology and Air Quality, Environmental Sciences Group, Wageningen University, Netherlands. <sup>12</sup>Research Institute for Global Change, Japan Agency for Marine-Earth Science and Technology (JAMSTEC), Yokohama, Japan. <sup>13</sup>Centre for Isotope Research, University of Groningen, Groningen, Netherlands. <sup>14</sup>Max Planck Institute for Biogeochemistry, Jena, Germany. <sup>15</sup>Harvard John A. Paulson School of Engineering and Applied Sciences, Harvard University, Cambridge, MA, USA.

\*Corresponding author. Email: mclong@ucar.edu

†Present address: Picarro, Inc., Santa Clara, CA, USA.

‡Present address: Research Institute for Global Change, JAMSTEC, Yokohama, Japan.



**Fig. 1. Observed patterns in atmospheric CO<sub>2</sub> over the Southern Ocean.**

(A and B) Cross sections observed by aircraft during (A) ORCAS, in January to February 2016, and (B) ATom-1, in August 2016. Colors show the observed CO<sub>2</sub> dry air mole fraction relative to the average observed within the 295–305 K potential temperature range south of 45°S on each campaign. Contour lines show the observed potential temperature. See figs. S1 and S2 for flight tracks and cross-sectional plots for all campaigns, and figs. S3 and S4 for simulated fields.

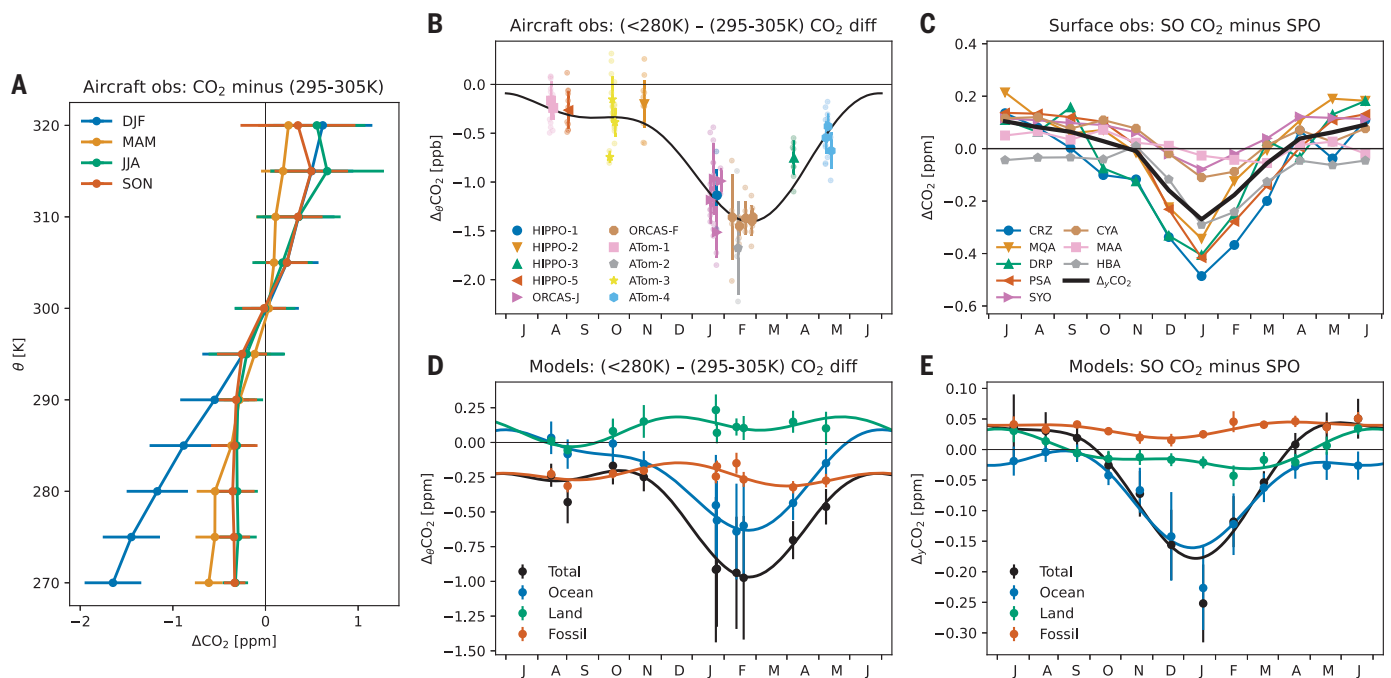
(C and D) Compilation of mean CO<sub>2</sub> observed at surface monitoring stations minus the National Oceanic and Atmospheric Administration (NOAA) in situ record at the South Pole Observatory (SPO) during the period 1999–2019 for (C) summer (DJF) and (D) winter (JJA). The black line is a spline fit provided simply as a visual guide. Blue shading denotes the latitude band in which we designate “Southern Ocean stations.” See table S1 and fig. S5 for station locations and temporal coverage. SM includes additional methodological details.

domain, particularly at high altitude (Fig. 1, A and B, and figs. S2 to S4). Ancillary measurements of methane-mixing ratios confirm that this feature reflects long-range transport of emission signals from land, but that it has little influence on Δ<sub>y</sub>CO<sub>2</sub> (figs. S6 and S8). Additional evidence that fossil fuel emissions make only small contributions to the annual mean and seasonality in Δ<sub>y</sub>CO<sub>2</sub> comes from ancillary observations of sulfur hexafluoride—which provides an analog for fossil fuel CO<sub>2</sub> (21) and shows very little spatial or temporal structure over the Southern Ocean (fig. S9).

To develop quantitative flux estimates, we related simulated Δ<sub>o</sub>CO<sub>2</sub><sup>ocn</sup> and Δ<sub>y</sub>CO<sub>2</sub><sup>ocn</sup> to regionally integrated, temporally averaged air-sea flux in each modeling system (Fig. 3). In addition to inverse models, we included forward atmospheric transport integrations forced with spatially explicit surface-ocean P<sub>CO<sub>2</sub></sub>-based flux datasets (SM). Ultimately, each model realization was a forward simulation producing three-dimensional CO<sub>2</sub> fields from which we computed gradient metrics consistent with the model’s surface fluxes and atmospheric trans-

port. The relationship between the fluxes and simulated gradient metrics across the collection of models enabled using the observed gradients to constrain Southern Ocean fluxes. We assumed that the relevant surface-influence region can be approximated as the area south of a particular latitude and focused on fluxes integrated over the region south of 45°S, noting that the flux products indicate strong meridional gradients and seasonality in the zonal mean fluxes south of 30°S (fig. S18, A and B). We averaged the regional fluxes over individual seasons to regress against the surface mole fraction observations and over 90 days before each aircraft campaign (see SM for sensitivity tests, including an assessment of different region boundaries and a similar analysis based on gradients in total CO<sub>2</sub>). There is a robust relationship between the CO<sub>2</sub> flux south of 45°S and Δ<sub>o</sub>CO<sub>2</sub><sup>ocn</sup> across the models (Fig. 3, A and B). The sensitivity of Δ<sub>o</sub>CO<sub>2</sub><sup>ocn</sup> to fluxes varies seasonally, as indicated by a change in slope between seasons. December to February (DJF) is distinct in having a smaller slope (higher sensitivity); the other seasons

individually have larger slopes that are similar to each other, thus we grouped data from campaigns flown in March to November together (Fig. 3B). For the surface data, we find a significant positive relationship between the regional air-sea flux and Δ<sub>y</sub>CO<sub>2</sub> in DJF across the models (Fig. 3C); the flux-Δ<sub>y</sub>CO<sub>2</sub> relationship dwindles in strength during nonsummer months, however, and there is no significant relationship in austral winter [June to August (JJA)] (Fig. 3D). The spread enabling these relationships results from the diversity of flux estimates, while the scatter about the fits represents both different realizations of atmospheric transport and spatiotemporal mismatch between the true surface influence function and our coarse spatiotemporal approximation. The smaller slope for the aircraft data in DJF is consistent with greater atmospheric stability (reduced vertical mixing) over the cold ocean during austral summer, intensifying the flux signal in the lower troposphere; more-energetic vertical mixing in other seasons, as well as stronger horizontal flow, results in diminished sensitivity in Δ<sub>o</sub>CO<sub>2</sub> and no clear relationship



**Fig. 2. Seasonal evolution of atmospheric CO<sub>2</sub> over the Southern**

**Ocean.** (A) Vertical profiles of CO<sub>2</sub> observations collected by aircraft south of 45°S, binned on 5 K potential temperature ( $\theta$ ) bins and averaged by season (whiskers show standard deviation; fig. S6 shows model comparison). MAM, austral fall (March to May); SON, austral spring (September to November). (B) The vertical gradient ( $\Delta_{\theta}\text{CO}_2$ ) in CO<sub>2</sub> measured from aircraft south of 45°S. Small points show  $\Delta_{\theta}\text{CO}_2$  for individual profiles; larger points show the median and standard deviation (whiskers) for each flight. The black line shows a two-harmonic fit to the flight-median points. (C) Monthly climatology

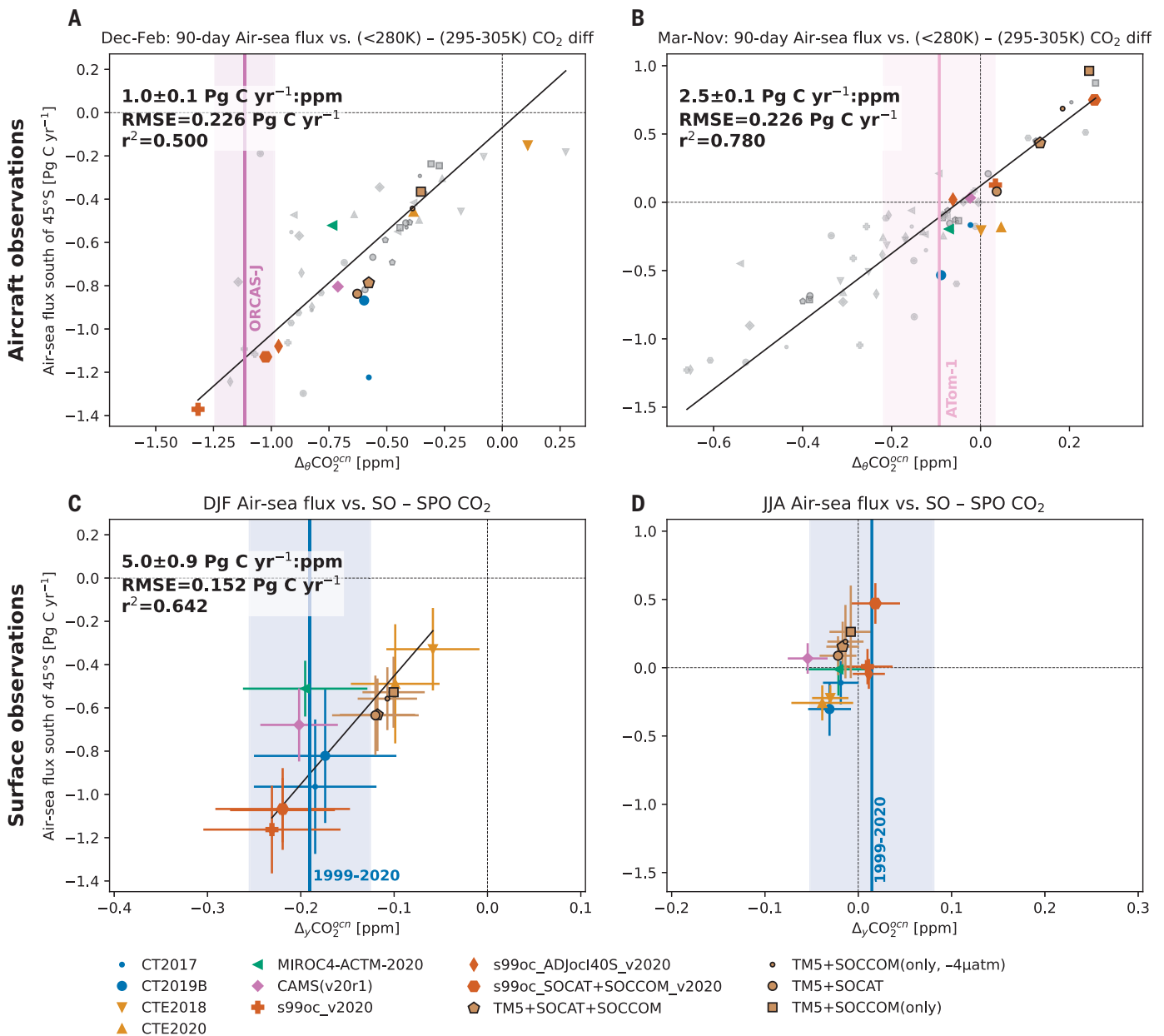
(1999–2019) of the latitudinal gradient in CO<sub>2</sub> measured by surface stations (Fig. 1); the black line shows the station mean metric ( $\Delta_y\text{CO}_2$ ). Separate laboratory records at Syowa Station (SYO) and Palmer Station (PSA) have been averaged. The seasonal evolution of (D)  $\Delta_{\theta}\text{CO}_2$  and (E)  $\Delta_y\text{CO}_2$  simulated in a collection of atmospheric inversion models (table S3). The points show the median across the models, and whiskers show the standard deviation. The colors correspond to the total CO<sub>2</sub> (black) and CO<sub>2</sub> tracers responsive to only ocean (blue), land (green), and fossil (red) surface fluxes. Note that the y axis bounds differ by panel.

between fluxes and the surface station-based  $\Delta_y\text{CO}_2$  metric in winter.

Vertical lines in Fig. 3 show representative observations of each gradient metric corrected for land and fossil fuel contributions; the intersection of these lines with the flux-gradient fit provides a quantitative flux estimate. Applying this emergent constraint for each aircraft campaign yields 10 flux estimates spread over 7 months of the year; these data suggest that the Southern Ocean is a strong sink for CO<sub>2</sub> in austral summer, with fluxes that are near-neutral during winter (Fig. 4A). Applying a two-harmonic fit to the data, we estimated an annual mean flux spread over the aircraft observing period (2009–2018) of  $-0.53 \pm 0.23$  petagrams of carbon (Pg C) year<sup>-1</sup> (Fig. 4B). The seasonal cycle of fluxes estimated from aircraft campaigns largely agrees with flux estimates derived from the Surface Ocean CO<sub>2</sub> Atlas (SOCAT)  $P_{\text{CO}_2}$  data product, using either neural network interpolation (22) or the Jena mixed-layer scheme (23) (Fig. 4A). Similarly, the aircraft-based fluxes agree with the multimodel mean of inverse estimates, although inversions tend to underestimate summer uptake (fig. S12C), over-

estimate winter uptake (fig. S12D), and show greater than 100% disagreement on the annual mean flux. We have not explicitly accounted for interannual variability or trends in the fluxes over the period of aircraft data collection (fig. S12, C and D), although we expect this to be a relatively small effect, as seasonal coverage between HIPPO and ATom is relatively uniform (Fig. 4A). The flux estimates obtained from the surface atmospheric CO<sub>2</sub> gradient in summer are consistent with the aircraft-based estimates (fig. S12C) but have larger uncertainty—indeed, the magnitude of the  $\Delta_y\text{CO}_2$  signal is small relative to analytical uncertainty (SM), a particular challenge in this region, where sites are remote, conditions are harsh, and intercomparison between the multiple laboratories maintaining CO<sub>2</sub> records is limited (24, 25). Despite the large uncertainty, however, trends in  $\Delta_y\text{CO}_2$  are consistent with increasing Southern Ocean uptake since 2005 (7, 26) (see SM); for instance,  $\Delta_y\text{CO}_2$  declined by about  $0.16 \pm 0.03$  parts per million (ppm) decade<sup>-1</sup> over the period 2005–2019 for both DJF and JJA, and while there was no significant flux-gradient relationship in JJA (Fig. 3D), the associated  $\Delta_y\text{CO}_2$ -based flux estimates sug-

gest the DJF flux was  $-0.5 \pm 0.7$  Pg C year<sup>-1</sup> from 2005 to 2009,  $-1.1 \pm 0.9$  Pg C year<sup>-1</sup> from 2010 to 2014, and  $-1.3 \pm 1.1$  Pg C year<sup>-1</sup> from 2015 to 2019 (fig. S12C). Notably, the aircraft-based flux estimates indicate stronger annual mean uptake than fluxes incorporating  $P_{\text{CO}_2}$  estimates from the Southern Ocean Carbon and Climate Observations and Modeling (SOCCOM) profiling float pH measurements (10, 27). The primary SOCCOM flux product we examined (SOCAT+SOCCOM) is derived from neural network interpolation including both ship-based surface-ocean  $P_{\text{CO}_2}$  observations as well as float-derived  $P_{\text{CO}_2}$  estimates [see SM and (27)]; this product yields weaker annual mean uptake but tracks the individual aircraft campaign flux estimates within uncertainty (Fig. 4A). The other two SOCCOM-based products presented here are sensitivity runs (10, 27) that selectively exclude ship-based  $P_{\text{CO}_2}$  observations in the Southern Ocean (see SM). While these products have a seasonal phase and amplitude similar to those of the aircraft flux estimates, they indicate greater outgassing in winter and less ingassing during summer than the aircraft-based fluxes (Fig. 4). Such large fluxes should have clear atmospheric signatures



**Fig. 3. Emergent constraints on air-sea fluxes south of 45°S.** (A and B) 90 day–mean air-sea fluxes south of 45°S versus  $\Delta_{\theta}\text{CO}_2^{\text{ocn}}$  from model simulations (see SM) replicating aircraft observations collected during (A) December to February and (B) March to November. Colored vertical lines show an observed value of  $\Delta_{\theta}\text{CO}_2$  [ORCAS during January in (A) and ATom-1 in (B)] corrected for land and fossil fuel influence, with shading indicating both analytical uncertainty and model spread in the correction (see SM); colored points highlight the model samples from these particular campaigns, while gray points show data from other campaigns in the (A) December to February or (B) March to November timeframe. Figures S10 and S11 show similar plots for each individual aircraft campaign. (C and D) Seasonal-mean surface fluxes versus  $\Delta_{\gamma}\text{CO}_2^{\text{ocn}}$  computed

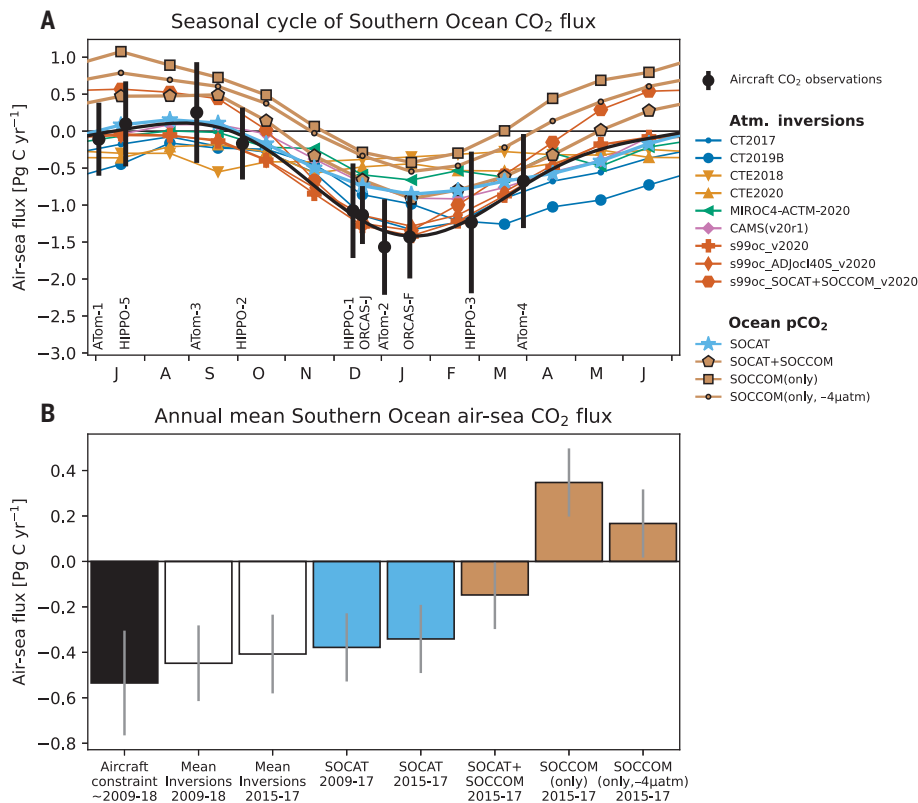
from models for (C) summer (DJF) and (D) winter (JJA) over the period 1999–2019. Points correspond to individual models; whiskers denote the standard deviation of interannual variability. Light blue vertical lines show the observed  $\Delta_{\gamma}\text{CO}_2$  corrected for land and fossil fuel influence; shading shows analytical uncertainty and model spread in the correction (see SM; fig. S12, A and B, shows  $\Delta_{\gamma}\text{CO}_2$  time series). The sign convention for fluxes is positive upward. Diagonal lines, where significant, show the best-fit line to all data points shown; inset text shows an estimate of the slope with standard error (SM), and goodness-of-fit statistics are also shown. Table S3 provides detailed information on the model products, defining the acronyms used in the legend. Note that the axis bounds differ by panel. See fig. S16 for a version of this plot based on total CO<sub>2</sub>.

(Fig. 3, A and B), but no such signatures are evident in any of the Southern Ocean aircraft campaigns (Fig. 2, A and B, and figs. S2, S10, and S11).

Our analysis demonstrates that Southern Ocean air-sea fluxes impart a coherent pattern

in atmospheric CO<sub>2</sub> as measured by aircraft. The surface station network is only detectably sensitive to variations in fluxes during austral summer and hampered by measurement noise commensurate with flux signals. Our results highlight the difficulty global atmospheric in-

versions have in capturing meaningful estimates of Southern Ocean fluxes using existing surface data constraints. It is important to note that a robust emergent constraint (Fig. 3) requires a diverse collection of models to avoid results being affected by biases specific to a single model



**Fig. 4. Observationally based estimates of Southern Ocean air-sea fluxes.** (A) The seasonal cycle of air-sea  $\text{CO}_2$  flux south of  $45^\circ\text{S}$  estimated from aircraft campaigns (black points, labels), plotted at the center of the 90-day window for which the emergent flux constraint was calibrated. Whiskers show the standard deviation derived from propagating analytical and statistical uncertainties; the black line shows a two-harmonic fit used to estimate the annual mean flux. The colored lines give the seasonal cycle from atmospheric inversion systems as well as the neural network extrapolation (22) of the Surface Ocean  $\text{CO}_2$  Atlas (SOCAT)  $P_{\text{CO}_2}$  observations (31) and profiling float observations from the Southern Ocean Carbon and Climate Observations and Modeling (SOCCOM) project (32). Fluxes are averaged over the period 2009–2018, except for the three neural network–based flux estimates (27) incorporating SOCCOM observations, which are averaged over the period 2015–2017. (B) Annual mean flux estimated in this study (leftmost bar) including uncertainty (whisker), along with the mean and standard deviation (whiskers) across the inversion systems shown in (A) as well as the surface-ocean  $P_{\text{CO}_2}$ -based methods; averaging time periods are noted in the axis labels (both SOCAT flux estimates were derived using neural network training over the full observational period). The uncertainty estimate on the SOCAT and SOCCOM fluxes is approximated from (10), which reported  $\pm 0.15 \text{ Pg C year}^{-1}$  as the “method uncertainty” associated with the neural network–based flux estimates for the whole Southern Ocean (south of  $44^\circ\text{S}$ ). Note that while s99oc\_v2020 and s99c\_SOCAT+SOCCOM\_v2020 are global inversions, their ocean fluxes are prescribed, not optimized using atmospheric observations (see SM); similarly, the CAMS(v20r1) ocean fluxes remain close to its SOCAT  $P_{\text{CO}_2}$ -based prior.

or unidentified transport biases common across models. The collection of models we included use four different underlying meteorological reanalysis datasets, four different transport models, and differ in spatial resolution and treatment of vertical transport (table S3); moreover, they make up a substantial proportion of the models commonly used for long-term global  $\text{CO}_2$  inversions. However, inclusion of additional model solutions would improve confidence in our result by increasing the number of independent realizations of transport. Despite this potential limitation, aircraft observations leverage the broad integrative power of

the atmosphere, which provides an advantage over estimating fluxes from surface ocean  $P_{\text{CO}_2}$  observations: The ocean surface is heterogeneous, making representative sampling difficult; air-sea fluxes computed from  $P_{\text{CO}_2}$  estimates depend on an uncertain gas exchange parameterization (28); and float-based estimates have additional uncertainty associated with estimating  $P_{\text{CO}_2}$  itself (29). However, we resolved fluxes only over a broadly defined Southern Ocean region; finer-scale spatial features present in surface-ocean  $P_{\text{CO}_2}$  data can provide important mechanistic insight, reinforcing the need for more high-quality, widely

distributed ocean observations to advance process understanding. Uncertainty regarding Southern Ocean carbon uptake is a critical limitation in current understanding of the global carbon cycle (30). Our results can be used to validate Earth system models and inversion-based assessments of the Southern Hemisphere carbon budget. Critically, integral constraints on the atmospheric  $\text{CO}_2$  budget require balanced fluxes; therefore, our result of strong Southern Ocean uptake alleviates the need to identify missing Southern Hemisphere land or subtropical ocean sinks, as suggested by the float observations. Finally, our analysis has important implications for effective monitoring of the Southern Ocean carbon sink. A regular program of aircraft observations could provide a cost-effective approach to drastically improve estimates of the carbon budget for the Southern Ocean and globally, helping to fulfill a societal requirement for clear understanding of mechanisms driving variation in atmospheric  $\text{CO}_2$ .

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## SUPPLEMENTARY MATERIALS

[science.org/doi/10.1126/science.abi4355](https://science.org/doi/10.1126/science.abi4355)  
Materials and Methods  
Supplementary Text  
Figs. S1 to S19  
Tables S1 to S4  
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## Strong Southern Ocean carbon uptake evident in airborne observations

Matthew C. Long, Britton B. Stephens, Kathryn McKain, Colm Sweeney, Ralph F. Keeling, Eric A. Kort, Eric J. Morgan, Jonathan D. Bent, Naveen Chandra, Frederic Chevallier, Róisín Commane, Bruce C. Daube, Paul B. Krummel, Zoë Loh, Ingrid T. Lujikx, David Munro, Prabir Patra, Wouter Peters, Michel Ramonet, Christian Rödenbeck, Ann Stavert, Pieter Tans, and Steven C. Wofsy

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### Up in the air

Understanding ocean-atmospheric carbon dioxide (CO<sub>2</sub>) fluxes in the Southern Ocean is necessary for quantifying the global CO<sub>2</sub> budget, but measurements in the harsh conditions there make collecting good data difficult, so a quantitative picture still is out of reach. Long *et al.* present measurements of atmospheric CO<sub>2</sub> concentrations made by aircraft and show that the annual net flux of carbon into the ocean south of 45°S is large, with stronger summertime uptake and less wintertime outgassing than other recent observations have indicated. —HJS

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